

ISO 20022

Corporate Actions

Message Definition Report - Part 2

Draft 1 for ISO 20022 Securities SEG approval

This document provides details of the Message Definitions for Corporate Actions.

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Table of Contents

1	Message Set Overview	4
1.1	List of MessageDefinitions	4
2	head.001.001.02 BusinessApplicationHeaderV02	7
2.1	MessageDefinition Functionality	7
2.2	Structure	10
2.3	Constraints	11
2.4	Message Building Blocks	12
3	DRAFTseev.031.001.11 CorporateActionNotificationV11	23
3.1	MessageDefinition Functionality	23
3.2	Structure	26
3.3	Constraints	44
3.4	Message Building Blocks	55
4	DRAFTseev.032.001.08 CorporateActionEventProcessingStatusAdviceV08	258
4.1	MessageDefinition Functionality	258
4.2	Structure	259
4.3	Constraints	259
4.4	Message Building Blocks	260
5	DRAFTseev.033.001.11 CorporateActionInstructionV11	272
5.1	MessageDefinition Functionality	272
5.2	Structure	274
5.3	Constraints	278
5.4	Message Building Blocks	281
6	DRAFTseev.034.001.12 CorporateActionInstructionStatusAdviceV12	329
6.1	MessageDefinition Functionality	329
6.2	Structure	331
6.3	Constraints	333
6.4	Message Building Blocks	335
7	DRAFTseev.035.001.12 CorporateActionMovementPreliminaryAdviceV12	365
7.1	MessageDefinition Functionality	365
7.2	Structure	368
7.3	Constraints	379
7.4	Message Building Blocks	387
8	DRAFTseev.036.001.12 CorporateActionMovementConfirmationV12	527
8.1	MessageDefinition Functionality	527
8.2	Structure	529
8.3	Constraints	537
8.4	Message Building Blocks	543

9	DRAFTseev.037.001.12 CorporateActionMovementReversalAdviceV12	646
9.1	MessageDefinition Functionality	646
9.2	Structure	648
9.3	Constraints	649
9.4	Message Building Blocks	651
10	DRAFTseev.038.001.06 CorporateActionNarrativeV06	670
10.1	MessageDefinition Functionality	670
10.2	Structure	671
10.3	Constraints	671
10.4	Message Building Blocks	672
11	DRAFTseev.040.001.10 CorporateActionInstructionCancellationRequestV10	678
11.1	MessageDefinition Functionality	678
11.2	Structure	679
11.3	Constraints	680
11.4	Message Building Blocks	682
12	DRAFTseev.041.001.11	
	CorporateActionInstructionCancellationRequestStatusAdviceV11	698
12.1	MessageDefinition Functionality	698
12.2	Structure	700
12.3	Constraints	701
12.4	Message Building Blocks	704
13	DRAFTseev.042.001.10 CorporateActionInstructionStatementReportV10	726
13.1	MessageDefinition Functionality	726
13.2	Structure	727
13.3	Constraints	730
13.4	Message Building Blocks	732
14	Message Items Types	781
14.1	MessageComponents	781
14.2	Message Datatypes	1071

1 Message Set Overview

Introduction

This document provides details of the Message Definitions for Corporate Action.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
DRAFTseev.031.001.11 CorporateActionNotificationV11	<p>The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information, eligible balance and entitlements.</p> <p>It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently</p>

MessageDefinition	Definition
	<p>replaced by another CorporateActionNotification message with complete or confirmed information.</p> <p>It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.</p>
DRAFTseev.032.001.08 CorporateActionEventProcessingStatusAdviceV08	<p>An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.</p> <p>The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.</p>
DRAFTseev.033.001.11 CorporateActionInstructionV11	<p>The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.</p> <p>This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.</p>
DRAFTseev.034.001.12 CorporateActionInstructionStatusAdviceV12	<p>The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.</p> <p>This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.</p>
DRAFTseev.035.001.12 CorporateActionMovementPreliminaryAdviceV12	<p>The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent to pre-advise an upcoming posting or reversal of securities and/or cash postings.</p>
DRAFTseev.036.001.12 CorporateActionMovementConfirmationV12	<p>The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.</p>
DRAFTseev.037.001.12 CorporateActionMovementReversalAdviceV12	<p>The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.</p>
DRAFTseev.038.001.06 CorporateActionNarrativeV06	<p>The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the</p>

MessageDefinition	Definition
	CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.
DRAFTseev.040.001.10 CorporateActionInstructionCancellationRequestV10	The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.
DRAFTseev.041.001.11 CorporateActionInstructionCancellationRequestStatusAdviceV11	The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.
DRAFTseev.042.001.10 CorporateActionInstructionStatementReportV10	The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	12
	From <Fr>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	To <To>	[1..1]			13
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		14
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		14
	BusinessService <BizSvc>	[0..1]	Text		14
	MarketPractice <MktPrctc>	[0..1]			14
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15
	CreationDate <CreDt>	[1..1]	DateTime		15
	BusinessProcessingDate <BizPrctgDt>	[0..1]	DateTime		15
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		15
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		16
	Priority <Prty>	[0..1]	CodeSet	C7	16
	Signature <Sgntr>	[0..1]	(External Schema)		17
	Related <Rltd>	[0..*]			17
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1152

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		13

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		978
	PostalAddress <PstlAdr>	[0..1]	±		978
	Identification <Id>	[0..1]	±		979
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	979
	ContactDetails <CtctDtls>	[0..1]	±		980

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		809
	BranchIdentification <BrnchId>	[0..1]	±		810

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		13
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		13

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		978
	PostalAddress <PstlAdr>	[0..1]	±		978
	Identification <Id>	[0..1]	±		979
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	979
	ContactDetails <CtctDtls>	[0..1]	±		980

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		809
	BranchIdentification <BrnchId>	[0..1]	±		810

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1159

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1159

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1159

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		15
	Identification <Id>	[1..1]	Text		15

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 1159

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 1158

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 1152

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 1152

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1080

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 1155](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 1079](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	18
	From <Fr>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	To <To>	[1..1]			19
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		20
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		20
	BusinessService <BizSvc>	[0..1]	Text		20
	CreationDate <CreDt>	[1..1]	DateTime		20
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		20
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		21
	Priority <Prty>	[0..1]	CodeSet	C7	21
	Signature <Sgntr>	[0..1]	(External Schema)		22

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 1152

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		978
	PostalAddress <PstlAdr>	[0..1]	±		978
	Identification <Id>	[0..1]	±		979
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	979
	ContactDetails <CtctDtls>	[0..1]	±		980

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		809
	BranchIdentification <BrnchId>	[0..1]	±		810

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		19
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		19

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		978
	PostalAddress <PstlAdr>	[0..1]	±		978
	Identification <Id>	[0..1]	±		979
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	979
	ContactDetails <CtctDtls>	[0..1]	±		980

2.4.14.3.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 809 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		809
	BranchIdentification <BrnchId>	[0..1]	±		810

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 1159

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 1159

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 1159

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 1152

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 1080

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 1079

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **DRAFTseev.031.001.11**

CorporateActionNotificationV11

3.1 **MessageDefinition Functionality**

Scope

The CorporateActionNotification message is sent by an account servicer to an account owner or its designated agent to notify details of a corporate action event and optionally account information, eligible balance and entitlements.

It may also include possible elections or choices available to the account owner. The account servicer can initially send the CorporateActionNotification message as a preliminary advice, subsequently replaced by another CorporateActionNotification message with complete or confirmed information.

It may also be sent to an account owner or its designated agent, to remind of event details and/or of missing or incomplete instructions for a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNotificationV11 MessageDefinition is composed of 25 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationGeneralInformation

General information about the event notification type, status and contents.

C. PreviousNotificationIdentification

Identification of the previously sent notification document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. CorporateActionGeneralInformation

General information about the corporate action event.

H. AccountDetails

General information about the safekeeping account, owner and account balance.

I. IntermediateSecurity

Details on rights credited to the account as for example trading period, expiry date, renounceability.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionOptionDetails

Information about the corporate action option.

L. AdditionalInformation

Additional information on the event.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. Registrar

Party/agent responsible for maintaining the register of the security.

Q. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

R. PhysicalSecuritiesAgent

A trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

S. DropAgent

A trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

T. SolicitationAgent

A trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

U. InformationAgent

A trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

V. Issuer

Issuer of the financial instrument.

W. Offeror

Party making the offer and which is different from the issuing company.

X. TransferAgent

A trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

Y. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <CorpActnNtfctn>	[1..1]		C10, C12, C15, C35, C37, C39, C40, C41, C50, C55, C56, C62, C64, C67, C68, C71, C72, C82, C44	
	Pagination <Pgntn>	[0..1]	±		55
	NotificationGeneralInformation <NtfctnGnllnf>	[1..1]		C57	55
	NotificationType <NtfctnTp>	[1..1]	CodeSet		56
	ProcessingStatus <PrcgSts>	[1..1]	±		56
	EligibleBalanceIndicator <ElgblBallnd>	[0..1]	Indicator		56
	PreviousNotificationIdentification <PrvsNtfctnId>	[0..1]	±		57
	InstructionIdentification <InstrId>	[0..1]	±		57
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		57
	EventsLinkage <EvtsLkg>	[0..*]	±		58
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C59	58
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		60
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		60
	ClassActionNumber <ClssActnNb>	[0..1]	Text		60
	EventProcessingType <EvtPrcgTp>	[0..1]	±		60
	EventType <EvtTp>	[1..1]	±	C22	61
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		61
	UnderlyingSecurity <UndrlygScty>	[1..1]		C47, C65	61
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42,	63

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C43, C63	
	PlaceOfListing <PlcOfListg>	[0..1]	±		64
	DayCountBasis <DayCntBsis>	[0..1]	±		64
	ClassificationType <ClssfctnTp>	[0..1]	±		64
	OptionStyle <OptnStyle>	[0..1]	±		65
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	65
	NextCouponDate <NxtCpnDt>	[0..1]	Date		65
	ExpiryDate <XpryDt>	[0..1]	Date		65
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		65
	MaturityDate <MtrtyDt>	[0..1]	Date		65
	IssueDate <IsseDt>	[0..1]	Date		66
	NextCallableDate <NxtClblDt>	[0..1]	Date		66
	PutableDate <PutblDt>	[0..1]	Date		66
	DatedDate <DtdDt>	[0..1]	Date		66
	ConversionDate <ConvstDt>	[0..1]	Date		66
	InterestRate <IntrstRate>	[0..1]	±		66
	NextInterestRate <NxtIntrstRate>	[0..1]	±		66
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		67
	PreviousFactor <PrvsFctr>	[0..1]	±		67
	NextFactor <NxtFctr>	[0..1]	±		67
	WarrantParity <WarrtParity>	[0..1]	±		68
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		68
	ContractSize <CtrctSz>	[0..1]	±		68
	AccountDetails <AcctDtls>	[1..1]			68
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		69
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C73	70
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		71
	AccountOwner <AcctOwnr>	[0..1]	±		72
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		72
	Balance <Bal>	[0..1]		C8	72
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		73

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockedBalance <BlckdBal>	[0..1]	±		74
	BorrowedBalance <BrwdBal>	[0..1]	±		74
	CollateralInBalance <CollInBal>	[0..1]	±		74
	CollateralOutBalance <CollOutBal>	[0..1]	±		75
	OnLoanBalance <OnLnBal>	[0..1]	±		75
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		75
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		76
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		76
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		76
	StreetPositionBalance <StrtPosBal>	[0..1]	±		77
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		77
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		77
	RegisteredBalance <RegdBal>	[0..1]	±		78
	ObligatedBalance <OblgtdBal>	[0..1]	±		78
	UninstructedBalance <UinstdBal>	[0..1]	±		78
	InstructedBalance <InstdBal>	[0..1]	±		79
	AffectedBalance <AfctdBal>	[0..1]	±		79
	UnaffectedBalance <UafctdBal>	[0..1]	±		79
	IntermediateSecurity <IntrmdtScty>	[0..1]			80
	SecurityIdentification <SctyId>	[1..1]	±	C19, C20, C42, C43, C63	80
	Quantity <Qty>	[0..1]	Quantity		81
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		82
	FractionDisposition <FrctnDspstn>	[0..1]	±		82
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		82
	MarketPrice <MktPric>	[0..1]	±		82
	ExpiryDate <XpryDt>	[1..1]	±		83
	PostingDate <PstngDt>	[1..1]	±		83
	TradingPeriod <TradgPrd>	[0..1]	±		83
	UninstructedBalance <UinstdBal>	[0..1]	±		84

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructedBalance <InstdBal>	[0..1]	±		84
	CorporateActionDetails <CorpActnDtls>	[0..1]			84
	DateDetails <DtDtls>	[0..1]		C21	88
	AnnouncementDate <AnncmntDt>	[0..1]	±		90
	CertificationDeadline <CertfctnDdln>	[0..1]	±		90
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		91
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		91
	EffectiveDate <FctvDt>	[0..1]	±		91
	EqualisationDate <EqulstnDt>	[0..1]	±		91
	FurtherDetailedAnnouncementDate <FrthrDtlDAnncmntDt>	[0..1]	±		92
	FixingDate <FxdDt>	[0..1]	±		92
	LotteryDate <LtryDt>	[0..1]	±		92
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		93
	MeetingDate <MtgDt>	[0..1]	±		93
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		93
	ProrationDate <PrratnDt>	[0..1]	±		94
	RecordDate <RcrdDt>	[0..1]	±		94
	RegistrationDeadline <RegnDdln>	[0..1]	±		94
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		95
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		95
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInst>	[0..1]	±		95
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		96
	UnconditionalDate <UcondDt>	[0..1]	±		96
	WhollyUnconditionalDate <WhlyUcondDt>	[0..1]	±		96
	ExDividendDate <ExDvddDt>	[0..1]	±		97
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		97
	SpecialExDate <SpclExDt>	[0..1]	±		97
	GuaranteedParticipationDate <GrntedPrtpctnDt>	[0..1]	±		98
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		98
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		98

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LapsedDate <LpsdDt>	[0..1]	±		99
	PaymentDate <PmtDt>	[0..1]	±		99
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		99
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		100
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		100
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		100
	FilingDate <FilgDt>	[0..1]	±		101
	HearingDate <HrgDt>	[0..1]	±		101
	PeriodDetails <PrdDtls>	[0..1]		C38	101
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		102
	InterestPeriod <IntrstPrd>	[0..1]	±		103
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		103
	ClaimPeriod <ClmPrd>	[0..1]	±		103
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		103
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		104
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		104
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		104
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		105
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		106
	CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>	[0..1]	±		106
	SplitPeriod <SplitPrd>	[0..1]	±		106
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16	107
	InterestRate <IntrstRate>	[0..1]	±		107
	PercentageSought <PctgSght>	[0..1]	±		108
	RelatedIndex <RltdIdx>	[0..1]	±		108

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Spread <Sprd>	[0..1]	±		108
	BidInterval <BidIntrvl>	[0..1]	±		109
	PreviousFactor <PrvsFctr>	[0..1]	±		109
	NextFactor <NxtFctr>	[0..1]	±		109
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		109
	InterestShortfall <IntrstShrtfll>	[0..1]	±		110
	RealisedLoss <RealsdLoss>	[0..1]	±		110
	DeclaredRate <DclrdRate>	[0..1]	±		110
	IndexFactor <IndxFctr>	[0..1]	±		111
	PriceDetails <PricDtls>	[0..1]			111
	MaximumPrice <MaxPric>	[0..1]	±		111
	MinimumPrice <MinPric>	[0..1]	±		111
	SecuritiesQuantity <SctiesQty>	[0..1]		C49	112
	MaximumQuantity <MaxQty>	[0..1]	±		112
	MinimumQuantitySought <MinQtySght>	[0..1]	±		113
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		113
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		113
	BaseDenomination <BaseDnmtn>	[0..1]	±		114
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		114
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C58	114
	CouponNumber <CpnNb>	[0..*]	±		115
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		115
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		115
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		115
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		116
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDivryInd>	[0..1]	Indicator		116
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		116
	DividendType <DvddTp>	[0..1]	±		116
	EventSequenceType <EvtSeqTp>	[0..1]	±		116
	OccurrenceType <OcrncTp>	[0..1]	±		117
	OfferType <OfferTp>	[0..*]	±		117

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		117
	EventStage <EvtStag>	[0..*]	±		118
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		118
	ChangeType <ChngTp>	[0..*]	±		118
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		118
	CapitalGainInOutIndicator <CptlGnlInOutInd>	[0..1]	±		119
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		119
	ElectionType <ElctnTp>	[0..1]	±		119
	LotteryType <LtryTp>	[0..1]	±		120
	CertificationType <CertfctnTp>	[0..1]	±		120
	ConsentType <CnsntTp>	[0..1]	±		120
	InformationType <InfTp>	[0..1]	±		120
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..1]	±		121
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		121
	AdditionalInformation <AddtlInf>	[0..1]		C3	121
	Offeror <Offerr>	[0..*]	±		121
	NewCompanyName <NewCpnyNm>	[0..1]	±		122
	URLAddress <URLAdr>	[0..*]			122
	Language <Lang>	[1..1]	CodeSet		122
	UpdateDescription <UpdDesc>	[0..1]	Text		122
	UpdateDate <UpdDt>	[0..1]	Date		123
	URLAddress <URLAdr>	[1..1]	Text		123
	CorporateActionOptionDetails <CorpActnOptnDtls>	[0..*]		C7, C11, C36, C61, C70, C60	123
	OptionNumber <OptnNb>	[1..1]	Text		134
	OptionType <OptnTp>	[1..1]			134
{Or	Code <Cd>	[1..1]	CodeSet		134
Or}	Proprietary <Prtry>	[1..1]	±		135
	FractionDisposition <FrctnDspstn>	[0..1]	±		136
	OfferType <OfferTp>	[0..*]	±		136

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionFeatures <OptnFeatr>	[0..*]	±		136
	OptionAvailabilityStatus <OptnAvlbtys>	[0..1]	±		137
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		137
	BidRangeType <BidRgTp>	[0..1]			137
{Or	Code <Cd>	[1..1]	CodeSet		137
Or}	Proprietary <Prtry>	[1..1]	±		138
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C13	138
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C13	138
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	139
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglnstr>	[1..1]	±		139
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		139
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		139
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		140
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		140
	AppliedOptionIndicator <ApplOptnInd>	[0..1]	Indicator		140
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C19, C20, C42, C43, C63	140
	DateDetails <DtDtls>	[0..1]			141
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		142
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		142
	ProtectDeadline <PrctDdln>	[0..1]	±		143
	MarketDeadline <MktDdln>	[0..1]	±		143
	ResponseDeadline <RspnDdln>	[0..1]	±		143
	ExpiryDate <XpryDt>	[0..1]	±		144
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		144
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		145
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		145
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			145
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		145
	Borrower <Brrwr>	[1..1]	±		146

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]			146
{Or	Date <Dt>	[1..1]	±		146
Or}	DateCode <DtCd>	[1..1]			147
{Or	Code <Cd>	[1..1]	CodeSet		147
Or}	Proprietary <Prtry>	[1..1]	±		147
	PeriodDetails <PrdDtls>	[0..1]			148
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		148
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		148
	ActionPeriod <ActnPrd>	[0..1]	±		149
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		149
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		149
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		149
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		150
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C28, C30, C51, C53, C74, C76, C78, C83, C85	150
	AdditionalTax <AddtlTax>	[0..1]	±		152
	GrossDividendRate <GrssDvddRate>	[0..*]	±		152
	NetDividendRate <NetDvddRate>	[0..*]	±		153
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		154
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		155
	ProrationRate <PrratnRate>	[0..1]	±		155
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		156
	SecondLevelTax <ScndLvITax>	[0..*]	±		156
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		156
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		157
	TaxOnIncome <TaxOnIncm>	[0..1]	±		157
	BidInterval <BidIntrvl>	[0..1]	±		157
	PriceDetails <PricDtls>	[0..1]			158

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		158
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		159
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		159
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		159
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		160
	MaximumPrice <MaxPric>	[0..1]	±		160
	MinimumPrice <MinPric>	[0..1]	±		160
	SecuritiesQuantity <SctiesQty>	[0..1]			161
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		161
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		162
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		162
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		162
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		162
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		163
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		163
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C23, C33, C45	163
	SecurityDetails <SctyDtls>	[1..1]		C48, C66	167
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42, C43, C63	169
	PlaceOfListing <PlcOfListg>	[0..1]	±		170
	DayCountBasis <DayCntBsis>	[0..1]	±		170
	ClassificationType <ClssfctnTp>	[0..1]	±		170
	OptionStyle <OptnStyle>	[0..1]	±		171
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	171
	NextCouponDate <NxtCpnDt>	[0..1]	Date		171
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		171
	MaturityDate <MtrtyDt>	[0..1]	Date		171
	IssueDate <IssDt>	[0..1]	Date		171
	NextCallableDate <NxtCllblDt>	[0..1]	Date		172

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PutableDate <PutblDt>	[0..1]	Date		172
	DatedDate <DtdDt>	[0..1]	Date		172
	ConversionDate <ConvsDt>	[0..1]	Date		172
	PreviousFactor <PrvsFctr>	[0..1]	±		172
	NextFactor <NxtFctr>	[0..1]	±		172
	InterestRate <IntrstRate>	[0..1]	±		173
	NextInterestRate <NxtIntrstRate>	[0..1]	±		173
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		173
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		174
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		174
	ContractSize <CtrctSz>	[0..1]	±		174
	IssuePrice <IssePric>	[0..1]	±		174
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		175
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		175
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		175
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		176
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		176
	IncomeType <IncmTp>	[0..1]	±		176
	ExemptionType <XmptnTp>	[0..*]	±		177
	EntitledQuantity <EntitldQty>	[0..1]	±		177
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		177
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	178
	FractionDisposition <FrctnDspstn>	[0..1]	±		178
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	178
	TradingPeriod <TradgPrd>	[0..1]	±		179
	DateDetails <DtDtls>	[1..1]			179
	PaymentDate <PmtDt>	[1..1]	±		179
	AvailableDate <AvlblDt>	[0..1]	±		180
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		180
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		180
	PariPassuDate <PrpssDt>	[0..1]	±		181

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LastTradingDate <LastTradgDt>	[0..1]	±		181
	RateDetails <RateDtls>	[0..1]			181
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		182
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		182
	NewToOld <NewToOd>	[0..1]	±		183
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		183
	ChargesFees <ChrgsFees>	[0..1]	±		183
	FiscalStamp <FscIStmp>	[0..1]	±		184
	ApplicableRate <AplblRate>	[0..1]	±		184
	TaxCreditRate <TaxCdtRate>	[0..1]	±		184
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		185
	PriceDetails <PricDtls>	[0..1]		C25	185
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			185
{Or	IndicativePrice <IndctvPric>	[1..1]	±		186
Or}	MarketPrice <MktPric>	[1..1]	±		186
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		186
	CashValueForTax <CshValForTax>	[0..1]	±		187
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		187
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		187
	CashMovementDetails <CshMvmntDtls>	[0..*]		C24, C34, C46, C26	188
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		192
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		192
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		192
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		193
	IncomeType <IncmTp>	[0..1]	±		193
	ExemptionType <XmptnTp>	[0..*]	±		193
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	194
	CashAccountIdentification <CshAcctId>	[0..1]	±		194

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountDetails <AmtDtls>	[0..1]			194
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	196
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	197
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	197
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	197
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	198
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	198
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	199
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	199
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	199
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	200
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	200
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	201
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	201
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C14	201
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	202
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	202
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	203
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	203
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	203
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	204
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	204
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	205
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	205
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	205
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	206
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	206
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	207
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	207
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	207
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	208

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C14	208
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C14	209
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	209
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C14	210
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C14	210
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	210
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	211
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	211
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	212
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	212
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	212
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	213
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	213
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	214
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	214
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	214
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	215
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	215
	DateDetails <DtDtls>	[1..1]			216
	PaymentDate <PmtDt>	[1..1]	±		216
	ValueDate <ValDt>	[0..1]	±		216
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		217
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		217
	ForeignExchangeDetails <FXDtls>	[0..1]	±		217
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C17, C18, C29, C31, C52, C54, C75, C77, C79, C84, C86	218
	AdditionalTax <AddtlTax>	[0..1]	±		220
	GrossDividendRate <GrssDvddRate>	[0..*]	±		220

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		221
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		222
	SecondLevelTax <ScndLvTax>	[0..*]	±		222
	ChargesFees <ChrgsFees>	[0..1]	±		223
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		223
	FiscalStamp <FscIStmp>	[0..1]	±		224
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		224
	NetDividendRate <NetDvddRate>	[0..*]	±		224
	ApplicableRate <AplblRate>	[0..1]	±		225
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		225
	TaxCreditRate <TaxCdtRate>	[0..1]	±		226
	TaxOnIncome <TaxOnIncm>	[0..1]	±		226
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		226
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		227
	EqualisationRate <EqulstnRate>	[0..1]	±		227
	DeemedRate <DmdRate>	[0..*]	±		227
	PriceDetails <PricDtls>	[0..1]		C27	228
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		229
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		229
	AdditionalInformation <AddtlInf>	[0..1]		C4	230
	AdditionalText <AddtlTxt>	[0..*]			232
	Language <Lang>	[1..1]	CodeSet		232
	UpdateDescription <UpdDesc>	[0..1]	Text		232
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		233
	NarrativeVersion <NrrtvVrsn>	[0..*]			233
	Language <Lang>	[1..1]	CodeSet		233
	UpdateDescription <UpdDesc>	[0..1]	Text		233
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		234
	InformationConditions <InfConds>	[0..*]			234

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		234
	UpdateDescription <UpdDesc>	[0..1]	Text		234
	UpdateDate <UpdDt>	[0..1]	Date		234
	AdditionalInformation <AddtlInf>	[1..*]	Text		234
	InformationToComplyWith <InfToCmplyWth>	[0..*]			234
	Language <Lang>	[1..1]	CodeSet		235
	UpdateDescription <UpdDesc>	[0..1]	Text		235
	UpdateDate <UpdDt>	[0..1]	Date		235
	AdditionalInformation <AddtlInf>	[1..*]	Text		235
	SecurityRestriction <SctyRstrctn>	[0..*]			235
	Language <Lang>	[1..1]	CodeSet		236
	UpdateDescription <UpdDesc>	[0..1]	Text		236
	UpdateDate <UpdDt>	[0..1]	Date		236
	AdditionalInformation <AddtlInf>	[1..*]	Text		236
	TaxationConditions <TaxtnConds>	[0..*]			236
	Language <Lang>	[1..1]	CodeSet		237
	UpdateDescription <UpdDesc>	[0..1]	Text		237
	UpdateDate <UpdDt>	[0..1]	Date		237
	AdditionalInformation <AddtlInf>	[1..*]	Text		237
	Disclaimer <Dsclmr>	[0..*]			237
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		238
	AdditionalInformation <AddtlInf>	[1..*]	Text		238
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]			238
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		239
	AdditionalInformation <AddtlInf>	[1..*]	Text		239
	AdditionalInformation <AddtlInf>	[0..1]		C5	239
	AdditionalText <AddtlTxt>	[0..*]		C6	241

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		242
	UpdateDescription <UpdDesc>	[0..1]	Text		242
	UpdateDate <UpdDt>	[0..1]	Date		242
	AdditionalInformation <AddtlInf>	[1..*]	Text		242
	NarrativeVersion <NrrtvVrsn>	[0..*]		C6	242
	Language <Lang>	[1..1]	CodeSet		243
	UpdateDescription <UpdDesc>	[0..1]	Text		243
	UpdateDate <UpdDt>	[0..1]	Date		243
	AdditionalInformation <AddtlInf>	[1..*]	Text		243
	InformationConditions <InfConds>	[0..*]		C6	243
	Language <Lang>	[1..1]	CodeSet		244
	UpdateDescription <UpdDesc>	[0..1]	Text		244
	UpdateDate <UpdDt>	[0..1]	Date		244
	AdditionalInformation <AddtlInf>	[1..*]	Text		244
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C6	244
	Language <Lang>	[1..1]	CodeSet		245
	UpdateDescription <UpdDesc>	[0..1]	Text		245
	UpdateDate <UpdDt>	[0..1]	Date		245
	AdditionalInformation <AddtlInf>	[1..*]	Text		245
	TaxationConditions <TaxtnConds>	[0..*]		C6	245
	Language <Lang>	[1..1]	CodeSet		246
	UpdateDescription <UpdDesc>	[0..1]	Text		246
	UpdateDate <UpdDt>	[0..1]	Date		246
	AdditionalInformation <AddtlInf>	[1..*]	Text		246
	Disclaimer <Dsclmr>	[0..*]		C6	246
	Language <Lang>	[1..1]	CodeSet		247
	UpdateDescription <UpdDesc>	[0..1]	Text		247
	UpdateDate <UpdDt>	[0..1]	Date		247
	AdditionalInformation <AddtlInf>	[1..*]	Text		247
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]		C6	247
	Language <Lang>	[1..1]	CodeSet		248

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		248
	UpdateDate <UpdDt>	[0..1]	Date		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	RegistrationDetails <RegnDtls>	[0..*]		C6	248
	Language <Lang>	[1..1]	CodeSet		249
	UpdateDescription <UpdDesc>	[0..1]	Text		249
	UpdateDate <UpdDt>	[0..1]	Date		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]		C6	249
	Language <Lang>	[1..1]	CodeSet		250
	UpdateDescription <UpdDesc>	[0..1]	Text		250
	UpdateDate <UpdDt>	[0..1]	Date		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]		C6	250
	Language <Lang>	[1..1]	CodeSet		251
	UpdateDescription <UpdDesc>	[0..1]	Text		251
	UpdateDate <UpdDt>	[0..1]	Date		251
	AdditionalInformation <AddtlInf>	[1..*]	Text		251
	URLAddress <URLAdr>	[0..*]			251
	Language <Lang>	[1..1]	CodeSet		252
	UpdateDescription <UpdDesc>	[0..1]	Text		252
	UpdateDate <UpdDt>	[0..1]	Date		252
	URLAddress <URLAdr>	[1..1]	Text		252
	IssuerAgent <IssrAgt>	[0..*]	±		252
	PayingAgent <PngAgt>	[0..*]	±		253
	SubPayingAgent <SubPngAgt>	[0..*]	±		253
	Registrar <Regar>	[0..1]	±		253
	ResellingAgent <RsellingAgt>	[0..*]	±		254
	PhysicalSecuritiesAgent <PhysSctiesAgt>	[0..1]	±		254
	DropAgent <DrpAgt>	[0..1]	±		254
	SolicitationAgent <SlctnAgt>	[0..*]	±		255

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationAgent <InfAgt>	[0..1]	±		255
	Issuer <Issr>	[0..1]	±		255
	Offeror <Offerr>	[0..*]	±		256
	TransferAgent <TrfAgt>	[0..1]	±		256
	SupplementaryData <SplmtryData>	[0..*]	±	C81	256

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalTextRule

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C8 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C9 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C10 AppliedOptionRule

If CorporateActionOptionDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionOptionDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C11 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C12 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionOptionDetails[*]/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C13 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C14 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C15 DeclaredRateRule

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

On Condition

/CorporateActionOptionDetails[*] is present
And /CorporateActionOptionDetails[*]/RateAndAmountDetails is present
And /CorporateActionOptionDetails[*]/RateAndAmountDetails/
IssuerDeclaredExchangeRate is present

Following Must be True
/CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present

This constraint is defined at the MessageDefinition level.

C16 DeclaredRateRule

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

C17 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C18 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C19 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C20 DescriptionUsageRule

Description must be used alone as the last resort.

C21 EffectiveDateRule

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

C22 EventTypeRule

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

C23 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C24 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C25 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C26 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

C27 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C28 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C29 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C30 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C31 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C32 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C33 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C34 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C35 InformationEventRule

If CorporateActionGeneralInformation/EventType/Code is INFO (Information), then IntermediateSecurity must be absent and no occurrences of CorporateActionOptionDetails may be present.

(MT 564 NVR C6).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'Information'

Following Must be True

/IntermediateSecurity Must be absent

And /CorporateActionOptionDetails[*] Must be absent

This constraint is defined at the MessageDefinition level.

C36 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C37 InterestPaymentRule

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/InterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. InterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

This constraint is defined at the MessageDefinition level.

C38 InterestPeriodRule

InterestPeriod must be used only if it is different from a normal accrued interest period.

C39 IntermediateSecuritiesDistribution1Rule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present in at least one occurrence of CorporateActionDetails.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionDetails Must be present

And /CorporateActionDetails/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C40 IntermediateSecurity1Rule

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then IntermediateSecurity must be absent.

(MT 564 NVR C6).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And      /CorporateActionGeneralInformation/EventType/Code is equal to value
    'IntermediateSecuritiesDistribution'
    Following Must be True
    /IntermediateSecurity Must be absent
  
```

This constraint is defined at the MessageDefinition level.

C41 IntermediateSecurity2Rule

If CorporateActionGeneralInformation/EventType/Code is RHTS (Rights Issue), then IntermediateSecurity must be present.

(MT 564 NVR C6).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And      /CorporateActionGeneralInformation/EventType/Code is equal to value
    'RightsIssue'
    Following Must be True
    /IntermediateSecurity Must be present
  
```

This constraint is defined at the MessageDefinition level.

C42 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C43 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C44 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C45 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C46 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C47 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C48 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C49 MaximumAndMinimumQuantitySoughtUsageRule

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

C50 NameChangeRule

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

This constraint is defined at the MessageDefinition level.

C51 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C52 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C53 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C54 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C55 NewMaturityDateRule

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code must be EXTM (Maturity Extension).

This constraint is defined at the MessageDefinition level.

C56 NotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replace) or RMDR (Reminder) then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

```
On Condition
    /NotificationGeneralInformation/NotificationType is equal to value
    'Replacement'
    Or
    /NotificationGeneralInformation/NotificationType is equal to value
    'Reminder'
Following Must be True
    /PreviousNotificationIdentification Must be present
```

This constraint is defined at the MessageDefinition level.

C57 NotificationTypeGuideline

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

C58 NumberRule

If Number is negative, then Sign must be present.

C59 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C60 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C61 OptionTypeUnknownProceedsUsageRule

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

C62 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

```
On Condition
    /CorporateActionGeneralInformation/EventType/Code is present
    And
    /CorporateActionGeneralInformation/EventType/Code is equal to value
    'OtherEvent'
Following Must be True
    /AdditionalInformation Must be present
    And
    /AdditionalInformation/AdditionalText[*] Must be present
    And
    /AdditionalInformation/AdditionalText[*]/AdditionalInformation[*]
    Must be present
```

This constraint is defined at the MessageDefinition level.

C63 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C64 PaymentDateRule

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition

```

    /CorporateActionOptionDetails[1]/CashMovementDetails[1] is present
    Or
    /CorporateActionOptionDetails[1]/SecuritiesMovementDetails[1] is
present

```

Following Must be True

```

    /CorporateActionDetails/DateDetails/PaymentDate Must be absent

```

This constraint is defined at the MessageDefinition level.

C65 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C66 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C67 QuantityOfIntermediateSecuritiesRule

If NotificationGeneralInformation/EligibleBalanceIndicator is "true" or "1" (yes) and if IntermediateSecurity is present, then IntermediateSecurity/Quantity must be present.

(MT564 Rule C3).

On Condition

```

    /NotificationGeneralInformation/EligibleBalanceIndicator is present
    And
    /NotificationGeneralInformation/EligibleBalanceIndicator is equal to
value 'true'

```

```

    And
    /IntermediateSecurity is present

```

Following Must be True

```

    /IntermediateSecurity/Quantity Must be present

```

This constraint is defined at the MessageDefinition level.

C68 RateAndCorporateActionEventRule

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C69 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C70 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C71 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionOptionDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumNominalQuantity Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

And /CorporateActionOptionDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C72 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General) then AmountDetails must not be present in any occurrences of CorporateActionOptionDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionOptionDetails[*]/CashMovementDetails[*]/AmountDetails

Must be absent

This constraint is defined at the MessageDefinition level.

C73 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C74 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C75 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C76 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C77 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C78 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C79 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C80 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C81 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C82 TotalEligibleBalanceRule

If NotificationGeneralInformation/EligibleBalanceIndicator is 'true' or '1' (Yes), then at least one occurrence of AccountDetails/AccountsListAndBalanceDetails must be present and TotalEligibleBalance must be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance.

If NotificationGeneralInformation/EligibleBalanceIndicator is 'false' or '0' (No) or is not present, then TotalEligibleBalance may or may not be present in all occurrences of AccountDetails/AccountsListAndBalanceDetails/Balance but it will not necessarily be the final eligible balance quantity.

This constraint is defined at the MessageDefinition level.

C83 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C84 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C85 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

C86 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C87 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		898
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		898

3.4.2 NotificationGeneralInformation <NtfctnGnllnf>

Presence: [1..1]

Definition: General information about the event notification type, status and contents.

Impacted by: [C57 "NotificationTypeGuideline"](#)

NotificationGeneralInformation <NtfctnGnlInf> contains the following **CorporateActionNotification5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	CodeSet		56
	ProcessingStatus <PrcgSts>	[1..1]	±		56
	EligibleBalanceIndicator <ElgblBalInd>	[0..1]	Indicator		56

Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message that was reported with EventCompletenessStatus being incomplete or complete.

3.4.2.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Specifies the type of notification.

Datatype: "CorporateActionNotificationType1Code" on page 1109

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.2.2 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

ProcessingStatus <PrcgSts> contains one of the following elements (see "CorporateActionProcessingStatus5Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			917
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		918
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	±		918

3.4.2.3 EligibleBalanceIndicator <ElgblBalInd>

Presence: [0..1]

Definition: Indicates whether the eligible balance is final except for a voluntary corporate action event where it can represent the current eligible balance when communicated before expiration date of that event.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [0..1]

Definition: Identification of the previously sent notification document.

PreviousNotificationIdentification <PrvsNtfctnId> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

3.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification9" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

3.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

3.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

3.4.7 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C59 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation136 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		60
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		60
	ClassActionNumber <ClssActnNb>	[0..1]	Text		60
	EventProcessingType <EvtPrcgTp>	[0..1]	±		60
	EventType <EvtTp>	[1..1]	±	C22	61
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		61
	UnderlyingSecurity <UndrlygScty>	[1..1]		C47, C65	61
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42, C43, C63	63
	PlaceOfListing <PlcOfListg>	[0..1]	±		64
	DayCountBasis <DayCntBsis>	[0..1]	±		64
	ClassificationType <ClssfctnTp>	[0..1]	±		64
	OptionStyle <OptnStyle>	[0..1]	±		65
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	65
	NextCouponDate <NxtCpnDt>	[0..1]	Date		65
	ExpiryDate <XpryDt>	[0..1]	Date		65
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		65
	MaturityDate <MtrtyDt>	[0..1]	Date		65
	IssueDate <IsseDt>	[0..1]	Date		66
	NextCallableDate <NxtCllbIDt>	[0..1]	Date		66
	PutableDate <PutblDt>	[0..1]	Date		66
	DatedDate <DtdDt>	[0..1]	Date		66
	ConversionDate <ConvsDt>	[0..1]	Date		66
	InterestRate <IntrstRate>	[0..1]	±		66
	NextInterestRate <NxtIntrstRate>	[0..1]	±		66
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		67
	PreviousFactor <PrvsFctr>	[0..1]	±		67
	NextFactor <NxtFctr>	[0..1]	±		67

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WarrantParity <WarrtParity>	[0..1]	±		68
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		68
	ContractSize <CtrctSz>	[0..1]	±		68

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

3.4.7.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

3.4.7.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

3.4.7.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

3.4.7.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType2Choice" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		960

3.4.7.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C22 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType84Choice"](#) on page 886 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		892

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

3.4.7.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary3Choice"](#) on page 958 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

3.4.7.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C47 "MaturityDateRule"](#), [C65 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42, C43, C63	63
	PlaceOfListing <PlcOfListg>	[0..1]	±		64
	DayCountBasis <DayCntBsis>	[0..1]	±		64
	ClassificationType <ClssfctnTp>	[0..1]	±		64
	OptionStyle <OptnStyle>	[0..1]	±		65
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	65
	NextCouponDate <NxtCpnDt>	[0..1]	Date		65
	ExpiryDate <XpryDt>	[0..1]	Date		65
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		65
	MaturityDate <MtrtyDt>	[0..1]	Date		65
	IssueDate <IsseDt>	[0..1]	Date		66
	NextCallableDate <NxtClblDt>	[0..1]	Date		66
	PutableDate <PutblDt>	[0..1]	Date		66
	DatedDate <DtdDt>	[0..1]	Date		66
	ConversionDate <ConvstDt>	[0..1]	Date		66
	InterestRate <IntrstRate>	[0..1]	±		66
	NextInterestRate <NxtIntrstRate>	[0..1]	±		66
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		67
	PreviousFactor <PrvsFctr>	[0..1]	±		67
	NextFactor <NxtFctr>	[0..1]	±		67
	WarrantParity <WarrtParity>	[0..1]	±		68
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		68
	ContractSize <CtrctSz>	[0..1]	±		68

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.7.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C19 "DescriptionPresenceRule", C20 "DescriptionUsageRule", C42 "ISINGuideline", C43 "ISINPresenceRule", C63 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

3.4.7.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

3.4.7.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

3.4.7.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

3.4.7.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		954
Or}	Proprietary <Prtry>	[1..1]	±		954

3.4.7.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1073**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.7.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1152**3.4.7.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1152**3.4.7.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1152**3.4.7.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1152

3.4.7.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1152

3.4.7.7.12 NextCallableDate <NxtClblDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1152

3.4.7.7.13 PutableDate <PutblDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1152

3.4.7.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1152

3.4.7.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1152

3.4.7.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.7.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.7.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.7.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.7.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.7.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see ["QuantityToQuantityRatio1"](#) on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

3.4.7.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.7.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.**ContractSize <CtrctSz>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.8 AccountDetails <AcctDtls>*Presence:* [1..1]*Definition:* General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification41Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		69
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C73	70
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		71
	AccountOwner <AcctOwnr>	[0..1]	±		72
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		72
	Balance <Bal>	[0..1]		C8	72
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		73
	BlockedBalance <BlckdBal>	[0..1]	±		74
	BorrowedBalance <BrrwdBal>	[0..1]	±		74
	CollateralInBalance <CollInBal>	[0..1]	±		74
	CollateralOutBalance <CollOutBal>	[0..1]	±		75
	OnLoanBalance <OnLnBal>	[0..1]	±		75
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		75
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		76
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		76
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		76
	StreetPositionBalance <StrtPosBal>	[0..1]	±		77
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		77
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		77
	RegisteredBalance <RegdBal>	[0..1]	±		78
	ObligatedBalance <OblgtdBal>	[0..1]	±		78
	UninstructedBalance <UinstdBal>	[0..1]	±		78
	InstructedBalance <InstdBal>	[0..1]	±		79
	AffectedBalance <AfctdBal>	[0..1]	±		79
	UnaffectedBalance <UafctdBal>	[0..1]	±		79

3.4.8.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		781

3.4.8.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C73 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		71
	AccountOwner <AcctOwnr>	[0..1]	±		72
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		72
	Balance <Bal>	[0..1]		C8	72
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		73
	BlockedBalance <BlckdBal>	[0..1]	±		74
	BorrowedBalance <BrrwdBal>	[0..1]	±		74
	CollateralInBalance <CollInBal>	[0..1]	±		74
	CollateralOutBalance <CollOutBal>	[0..1]	±		75
	OnLoanBalance <OnLnBal>	[0..1]	±		75
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		75
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		76
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		76
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		76
	StreetPositionBalance <StrtPosBal>	[0..1]	±		77
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		77
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		77
	RegisteredBalance <RegdBal>	[0..1]	±		78
	ObligatedBalance <OblgtdBal>	[0..1]	±		78
	UninstructedBalance <UinstdBal>	[0..1]	±		78
	InstructedBalance <InstdBal>	[0..1]	±		79
	AffectedBalance <AfctdBal>	[0..1]	±		79
	UnaffectedBalance <UafctdBal>	[0..1]	±		79

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

3.4.8.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

3.4.8.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

3.4.8.2.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

3.4.8.2.4 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C8 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		73
	BlockedBalance <BlckdBal>	[0..1]	±		74
	BorrowedBalance <BrrwdBal>	[0..1]	±		74
	CollateralInBalance <CollInBal>	[0..1]	±		74
	CollateralOutBalance <CollOutBal>	[0..1]	±		75
	OnLoanBalance <OnLnBal>	[0..1]	±		75
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		75
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		76
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		76
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		76
	StreetPositionBalance <StrtPosBal>	[0..1]	±		77
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		77
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		77
	RegisteredBalance <RegdBal>	[0..1]	±		78
	ObligatedBalance <OblgtdBal>	[0..1]	±		78
	UninstructedBalance <UinstdBal>	[0..1]	±		78
	InstructedBalance <InstdBal>	[0..1]	±		79
	AffectedBalance <AfctdBal>	[0..1]	±		79
	UnaffectedBalance <UafctdBal>	[0..1]	±		79

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

3.4.8.2.4.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat8" on page 783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		783
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		783
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		784

3.4.8.2.4.2 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BlckdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

3.4.8.2.4.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

3.4.8.2.4.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

3.4.8.2.4.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.8.2.4.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.9 IntermediateSecurity <IntrmdtScty>

Presence: [0..1]

Definition: Details on rights credited to the account as for example trading period, expiry date, renounceability.

IntermediateSecurity <IntrmdtScty> contains the following **FinancialInstrumentAttributes93** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±	C19, C20, C42, C43, C63	80
	Quantity <Qty>	[0..1]	Quantity		81
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		82
	FractionDisposition <FrctnDspstn>	[0..1]	±		82
	IntermediateSecuritiesToUnderlyingRatio <IntrmdtSctiesToUndrlygRatio>	[0..1]	±		82
	MarketPrice <MktPric>	[0..1]	±		82
	ExpiryDate <XpryDt>	[1..1]	±		83
	PostingDate <PstngDt>	[1..1]	±		83
	TradingPeriod <TradgPrd>	[0..1]	±		83
	UninstructedBalance <UinstdBal>	[0..1]	±		84
	InstructedBalance <InstdBal>	[0..1]	±		84

3.4.9.1 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C19 "DescriptionPresenceRule", C20 "DescriptionUsageRule", C42 "ISINGuideline", C43 "ISINPresenceRule", C63 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <Othrid>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.9.2 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of entitled intermediate securities based on the balance of underlying securities.

Datatype: "DecimalNumber" on page 1155

3.4.9.3 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnnclEntitlmntStsTp> contains one of the following elements (see "RenounceableEntitlementStatusTypeFormat3Choice" on page 953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		953

3.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType25Choice" on page 952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		953

3.4.9.5 IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio>

Presence: [0..1]

Definition: Quantity of intermediate securities awarded for a given quantity of underlying security.

IntermediateSecuritiesToUnderlyingRatio <IntrmdtSciesToUndrlygRatio> contains the following elements (see "QuantityToQuantityRatio1" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

3.4.9.6 MarketPrice <MktPric>

Presence: [0..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains the following elements (see "AmountPrice2" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		782
	PriceValue <PricVal>	[1..1]	Amount	C1	782

3.4.9.7 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat30Choice" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		789
Or}	DateCode <DtCd>	[1..1]			789
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

3.4.9.8 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "DateFormat30Choice" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		789
Or}	DateCode <DtCd>	[1..1]			789
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

3.4.9.9 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains the following elements (see "Period11" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpctdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpctdDt>	[1..1]	CodeSet		798

3.4.9.10 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.9.11 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

3.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]		C21	88
	AnnouncementDate <AnncmntDt>	[0..1]	±		90
	CertificationDeadline <CertfctnDdln>	[0..1]	±		90
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		91
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		91
	EffectiveDate <FctvDt>	[0..1]	±		91
	EqualisationDate <EqulstnDt>	[0..1]	±		91
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		92
	FixingDate <FvgDt>	[0..1]	±		92
	LotteryDate <LtryDt>	[0..1]	±		92
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		93
	MeetingDate <MtgDt>	[0..1]	±		93
	MarginFixingDate <MrgnFvgDt>	[0..1]	±		93
	ProrationDate <PrratnDt>	[0..1]	±		94
	RecordDate <RcrdDt>	[0..1]	±		94
	RegistrationDeadline <RegnDdln>	[0..1]	±		94
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		95
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		95
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInstr>	[0..1]	±		95
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		96
	UnconditionalDate <UcondlDt>	[0..1]	±		96
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		96
	ExDividendDate <ExDvddDt>	[0..1]	±		97
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		97
	SpecialExDate <SpclExDt>	[0..1]	±		97
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		98
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		98
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		98
	LapsedDate <LpsdDt>	[0..1]	±		99

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[0..1]	±		99
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		99
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		100
	MarketClaimTrackingEndDate <MktClmTrckgEndDt>	[0..1]	±		100
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		100
	FilingDate <FilgDt>	[0..1]	±		101
	HearingDate <HrgDt>	[0..1]	±		101
	PeriodDetails <PrdDtls>	[0..1]		C38	101
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		102
	InterestPeriod <IntrstPrd>	[0..1]	±		103
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		103
	ClaimPeriod <ClmPrd>	[0..1]	±		103
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		103
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		104
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		104
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		104
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInNomin eeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInStreet Name <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		105
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		106
	CoDepositoriesSuspensionPeriod <CoDpstrySspnsnPrd>	[0..1]	±		106
	SplitPeriod <SpltPrd>	[0..1]	±		106
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C16	107
	InterestRate <IntrstRate>	[0..1]	±		107
	PercentageSought <PctgSght>	[0..1]	±		108
	RelatedIndex <RltdIdx>	[0..1]	±		108
	Spread <Sprd>	[0..1]	±		108

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BidInterval <BidIntrvl>	[0..1]	±		109
	PreviousFactor <PrvsFctr>	[0..1]	±		109
	NextFactor <NxtFctr>	[0..1]	±		109
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		109
	InterestShortfall <IntrstShrtfl>	[0..1]	±		110
	RealisedLoss <RealsdLoss>	[0..1]	±		110
	DeclaredRate <DclrdRate>	[0..1]	±		110
	IndexFactor <IndxFctr>	[0..1]	±		111
	PriceDetails <PricDtls>	[0..1]			111
	MaximumPrice <MaxPric>	[0..1]	±		111
	MinimumPrice <MinPric>	[0..1]	±		111
	SecuritiesQuantity <SctiesQty>	[0..1]		C49	112
	MaximumQuantity <MaxQty>	[0..1]	±		112
	MinimumQuantitySought <MinQtySght>	[0..1]	±		113
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		113
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		113
	BaseDenomination <BaseDnmtn>	[0..1]	±		114
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		114
	InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>	[0..1]	Quantity	C58	114
	CouponNumber <CpnNb>	[0..*]	±		115
	CertificationBreakdownIndicator <CertfctnBrkdownInd>	[0..1]	Indicator		115
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		115
	RestrictionIndicator <RstrctnInd>	[0..1]	Indicator		115
	AccruedInterestIndicator <AcrdIntrstInd>	[0..1]	Indicator		116
	LetterOfGuaranteedDeliveryIndicator <LtrOfGrntedDivryInd>	[0..1]	Indicator		116
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		116
	DividendType <DvddTp>	[0..1]	±		116
	EventSequenceType <EvtSeqTp>	[0..1]	±		116
	OccurrenceType <OcrncTp>	[0..1]	±		117
	OfferType <OfferTp>	[0..*]	±		117

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>	[0..1]	±		117
	EventStage <EvtStag>	[0..*]	±		118
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..*]	±		118
	ChangeType <ChngTp>	[0..*]	±		118
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		118
	CapitalGainInOutIndicator <CptlGnlnOutInd>	[0..1]	±		119
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	±		119
	ElectionType <ElctnTp>	[0..1]	±		119
	LotteryType <LtryTp>	[0..1]	±		120
	CertificationType <CertfctnTp>	[0..1]	±		120
	ConsentType <CnsntTp>	[0..1]	±		120
	InformationType <InfTp>	[0..1]	±		120
	TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrclsInd>	[0..1]	±		121
	NewPlaceOfIncorporation <NewPlcOfIncorprtn>	[0..1]	Text		121
	AdditionalInformation <AddtlInf>	[0..1]		C3	121
	Offeror <Offerr>	[0..*]	±		121
	NewCompanyName <NewCpnyNm>	[0..1]	±		122
	URLAddress <URLAdr>	[0..*]			122
	Language <Lang>	[1..1]	CodeSet		122
	UpdateDescription <UpdDesc>	[0..1]	Text		122
	UpdateDate <UpdDt>	[0..1]	Date		123
	URLAddress <URLAdr>	[1..1]	Text		123

3.4.10.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

Impacted by: C21 "EffectiveDateRule"

DateDetails <DtDtls> contains the following **CorporateActionDate61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnnouncementDate <AnncmntDt>	[0..1]	±		90
	CertificationDeadline <CertfctnDdln>	[0..1]	±		90
	CourtApprovalDate <CrtApprvlDt>	[0..1]	±		91
	EarlyClosingDate <EarlyClsgDt>	[0..1]	±		91
	EffectiveDate <FctvDt>	[0..1]	±		91
	EqualisationDate <EqulstnDt>	[0..1]	±		91
	FurtherDetailedAnnouncementDate <FrthrDtldAnncmntDt>	[0..1]	±		92
	FixingDate <FxdDt>	[0..1]	±		92
	LotteryDate <LtryDt>	[0..1]	±		92
	NewMaturityDate <NewMtrtyDt>	[0..1]	±		93
	MeetingDate <MtgDt>	[0..1]	±		93
	MarginFixingDate <MrgnFxdDt>	[0..1]	±		93
	ProrationDate <PrratnDt>	[0..1]	±		94
	RecordDate <RcrdDt>	[0..1]	±		94
	RegistrationDeadline <RegnDdln>	[0..1]	±		94
	ResultsPublicationDate <RsltsPblctnDt>	[0..1]	±		95
	DeadlineToSplit <DdlnToSpl>	[0..1]	±		95
	DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwInsr>	[0..1]	±		95
	TradingSuspendedDate <TradgSspdDt>	[0..1]	±		96
	UnconditionalDate <UcondlDt>	[0..1]	±		96
	WhollyUnconditionalDate <WhlyUcondlDt>	[0..1]	±		96
	ExDividendDate <ExDvddDt>	[0..1]	±		97
	OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>	[0..1]	±		97
	SpecialExDate <SpcExDt>	[0..1]	±		97
	GuaranteedParticipationDate <GrntedPrtcptnDt>	[0..1]	±		98
	ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>	[0..1]	±		98
	ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>	[0..1]	±		98
	LapsedDate <LpsdDt>	[0..1]	±		99
	PaymentDate <PmtDt>	[0..1]	±		99

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdPartyDeadline <ThrdPtyDdln>	[0..1]	±		99
	EarlyThirdPartyDeadline <EarlyThrdPtyDdln>	[0..1]	±		100
	MarketClaimTrackingEndDate <MktClnTrckgEndDt>	[0..1]	±		100
	LeadPlaintiffDeadline <LeadPlntffDdln>	[0..1]	±		100
	FilingDate <FilgDt>	[0..1]	±		101
	HearingDate <HrgDt>	[0..1]	±		101

Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

3.4.10.1.1 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Date/time at which the issuer announced that a corporate action event will occur.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.2 CertificationDeadline <CertfctnDdln>

Presence: [0..1]

Definition: Deadline by which the certification must be sent.

CertificationDeadline <CertfctnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.3 CourtApprovalDate <CrtApprvDt>*Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.4 EarlyClosingDate <EarlyClsgDt>*Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.5 EffectiveDate <FctvDt>*Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.6 EqualisationDate <EqualstnDt>*Presence:* [0..1]

Definition: Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

EqualisationDate <EquIstnDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.7 FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt>

Presence: [0..1]

Definition: Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.8 FixingDate <FxdDt>

Presence: [0..1]

Definition: Date/time at which an index / rate / price / value will be determined.

FixingDate <FxdDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.9 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.10 NewMaturityDate <NewMtrtyDt>

Presence: [0..1]

Definition: Date/time to which the maturity date of an interest bearing security is extended.

NewMaturityDate <NewMtrtyDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.11 MeetingDate <MtgDt>

Presence: [0..1]

Definition: Date/time on which the bondholder's or shareholder's meeting will take place.

MeetingDate <MtgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.12 MarginFixingDate <MrgnFxdDt>

Presence: [0..1]

Definition: Date/time at which the margin rate will be determined.

MarginFixingDate <MrgnFxdt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.13 ProrationDate <PrratnDt>

Presence: [0..1]

Definition: Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

ProrationDate <PrratnDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.14 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.15 RegistrationDeadline <RegnDdln>

Presence: [0..1]

Definition: Date/time on which instructions to register or registration details will be accepted.

RegistrationDeadline <RegnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.16 ResultsPublicationDate <RsltsPblctnDt>

Presence: [0..1]

Definition: Date/time on which results are published, for example, results of an offer.

ResultsPublicationDate <RsltsPblctnDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.17 DeadlineToSplit <DdlnToSpl>

Presence: [0..1]

Definition: Deadline by which instructions must be received to split securities, for example, of physical certificates.

DeadlineToSplit <DdlnToSpl> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

Presence: [0..1]

Definition: Date/time on until which tax breakdown instructions will be accepted.

DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.19 TradingSuspendedDate <TradgSspdT>

Presence: [0..1]

Definition: Date/time at which trading of a security is suspended as the result of an event.

TradingSuspendedDate <TradgSspdT> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.20 UnconditionalDate <UcondIDt>

Presence: [0..1]

Definition: Date/time upon which the terms of the take-over become unconditional as to acceptances.

UnconditionalDate <UcondIDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.21 WhollyUnconditionalDate <WhlyUcondIDt>

Presence: [0..1]

Definition: Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

WhollyUnconditionalDate <WhlyUcondIDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.22 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

Presence: [0..1]

Definition: Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.24 SpecialExDate <SpclExDt>

Presence: [0..1]

Definition: Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as

being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

SpecialExDate <SpclExDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

Presence: [0..1]

Definition: Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

GuaranteedParticipationDate <GrntedPrtcptnDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>

Presence: [0..1]

Definition: Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>

Presence: [0..1]

Definition: Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.28 LapsedDate <LpsdDt>

Presence: [0..1]

Definition: Date/time at which an event/offer is terminated or lapsed.

LapsedDate <LpsdDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.29 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.30 ThirdPartyDeadline <ThrdPtyDdln>

Presence: [0..1]

Definition: Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

ThirdPartyDeadline <ThrdPtyDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

Presence: [0..1]

Definition: Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

EarlyThirdPartyDeadline <EarlyThrdPtyDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

Presence: [0..1]

Definition: Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

MarketClaimTrackingEndDate <MktCImTrckgEndDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

Presence: [0..1]

Definition: Last day an investor can become a lead plaintiff.

LeadPlaintiffDeadline <LeadPlntffDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.10.1.34 FilingDate <FilgDt>

Presence: [0..1]

Definition: Date on which the action was filed at the applicable court.

FilingDate <FilgDt> contains one of the following elements (see "DateFormat30Choice" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		789
Or}	DateCode <DtCd>	[1..1]			789
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

3.4.10.1.35 HearingDate <HrgDt>

Presence: [0..1]

Definition: Date for the hearing between the plaintiff and defendant, as set by the court.

HearingDate <HrgDt> contains one of the following elements (see "DateFormat30Choice" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		789
Or}	DateCode <DtCd>	[1..1]			789
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

3.4.10.2 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action event.

Impacted by: C38 "InterestPeriodRule"

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		102
	InterestPeriod <IntrstPrd>	[0..1]	±		103
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		103
	ClaimPeriod <ClmPrd>	[0..1]	±		103
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		103
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		104
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		104
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		104
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		105
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		105
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		106
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		106
	SplitPeriod <SpltPrd>	[0..1]	±		106

Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

3.4.10.2.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see ["Period6Choice"](#) on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.2 InterestPeriod <IntrstPrd>*Presence:* [0..1]*Definition:* Period during which the interest rate has been applied.**InterestPeriod <IntrstPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.3 CompulsoryPurchasePeriod <CmplsryPurchsPrd>*Presence:* [0..1]*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.4 ClaimPeriod <CImPrd>*Presence:* [0..1]*Definition:* Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.**ClaimPeriod <CImPrd>** contains one of the following elements (see ["Period6Choice"](#) on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

**3.4.10.2.5 DepositorySuspensionPeriodForBookEntryTransfer
<DpstrySspnsnPrdForBookNtryTrf>***Presence:* [0..1]*Definition:* Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>
contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.6 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg>

Presence: [0..1]

Definition: Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.

DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAg> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.7 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>

Presence: [0..1]

Definition: Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.

DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.8 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>

Presence: [0..1]

Definition: Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.

DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.9 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>*Presence:* [0..1]

Definition: Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.10 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>*Presence:* [0..1]

Definition: Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.11 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>*Presence:* [0..1]

Definition: Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.12 DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>*Presence:* [0..1]

Definition: Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

DepositorySuspensionPeriodForWithdrawalInStreetName

<DpstrySspnsnPrdForWdrwlInStrtNm> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.13 BookClosurePeriod <BookClsrPrd>

Presence: [0..1]

Definition: Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

BookClosurePeriod <BookClsrPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.14 CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd>

Presence: [0..1]

Definition: Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

CoDepositoriesSuspensionPeriod <CoDpstrisSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.2.15 SplitPeriod <SpltPrd>

Presence: [0..1]

Definition: Period during which a physical certificate can be split.

SplitPeriod <SpltPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.10.3 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action event.

Impacted by: C16 "DeclaredRateRule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate105** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		107
	PercentageSought <PctgSght>	[0..1]	±		108
	RelatedIndex <RltdIndx>	[0..1]	±		108
	Spread <Sprd>	[0..1]	±		108
	BidInterval <BidIntrvl>	[0..1]	±		109
	PreviousFactor <PrvsFctr>	[0..1]	±		109
	NextFactor <NxtFctr>	[0..1]	±		109
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		109
	InterestShortfall <IntrstShrtfll>	[0..1]	±		110
	RealisedLoss <RealsdLoss>	[0..1]	±		110
	DeclaredRate <DclrdRate>	[0..1]	±		110
	IndexFactor <IndxFctr>	[0..1]	±		111

Constraints

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

3.4.10.3.1 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.10.3.2 PercentageSought <PctgSght>

Presence: [0..1]

Definition: Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

PercentageSought <PctgSght> contains one of the following elements (see "RateFormat7Choice" on page 1046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1046
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1046

3.4.10.3.3 RelatedIndex <RltdIdx>

Presence: [0..1]

Definition: Index rate related to the interest rate of the forthcoming interest payment.

RelatedIndex <RltdIdx> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.10.3.4 Spread <Sprd>

Presence: [0..1]

Definition: Margin allowed over or under a given rate.

Spread <Sprd> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.10.3.5 BidInterval <BidIntrvl>*Presence:* [0..1]*Definition:* Acceptable price increment used for submitting a bid.**BidInterval <BidIntrvl>** contains one of the following elements (see ["RateAndAmountFormat38Choice"](#) on page 1044 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1044
Or	Amount <Amt>	[1..1]	Amount	C1	1045
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1045

3.4.10.3.6 PreviousFactor <PrvsFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see ["RateFormat12Choice"](#) on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.10.3.7 NextFactor <NxtFctr>*Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.**NextFactor <NxtFctr>** contains one of the following elements (see ["RateFormat12Choice"](#) on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.10.3.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>*Presence:* [0..1]*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1020

3.4.10.3.9 InterestShortfall <IntrstShrtfll>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

InterestShortfall <IntrstShrtfll> contains one of the following elements (see ["RateAndAmountFormat39Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

3.4.10.3.10 RealisedLoss <RealsdLoss>

Presence: [0..1]

Definition: For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

RealisedLoss <RealsdLoss> contains one of the following elements (see ["RateAndAmountFormat39Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

3.4.10.3.11 DeclaredRate <DclrdRate>

Presence: [0..1]

Definition: Dividend or interest rate declared by the issuer.

DeclaredRate <DclrdRate> contains one of the following elements (see ["RateAndAmountFormat39Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

3.4.10.3.12 IndexFactor <IndxFctr>*Presence:* [0..1]*Definition:* Public index rate applied to the amount paid to adjust it to inflation.**IndexFactor <IndxFctr>** contains one of the following elements (see ["RateAndAmountFormat37Choice"](#) on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.10.4 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action event.**PriceDetails <PricDtls>** contains the following **CorporateActionPrice57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumPrice <MaxPric>	[0..1]	±		111
	MinimumPrice <MinPric>	[0..1]	±		111

3.4.10.4.1 MaximumPrice <MaxPric>*Presence:* [0..1]*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.**MaximumPrice <MaxPric>** contains one of the following elements (see ["PriceFormat44Choice"](#) on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.10.4.2 MinimumPrice <MinPric>*Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.10.5 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

Impacted by: C49 "MaximumAndMinimumQuantitySoughtUsageRule"

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantity <MaxQty>	[0..1]	±		112
	MinimumQuantitySought <MinQtySght>	[0..1]	±		113
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		113
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		113
	BaseDenomination <BaseDnmtn>	[0..1]	±		114
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		114

Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

3.4.10.5.1 MaximumQuantity <MaxQty>

Presence: [0..1]

Definition: The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

MaximumQuantity <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

3.4.10.5.2 MinimumQuantitySought <MinQtySght>

Presence: [0..1]

Definition: Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

MinimumQuantitySought <MinQtySght> contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

3.4.10.5.3 NewBoardLotQuantity <NewBrdLotQty>

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.10.5.4 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.10.5.5 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.10.5.6 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.10.6 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Impacted by: C58 "NumberRule"

Datatype: "Max3Number" on page 1155

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

3.4.10.7 CouponNumber <CpnNb>

Presence: [0..*]

Definition: Number of the coupon attached/associated with a security.

CouponNumber <CpnNb> contains one of the following elements (see "IdentificationFormat3Choice" on page 822 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		823
Or	LongIdentification <LngId>	[1..1]	Text		823
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		823

3.4.10.8 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required.

Yes = certification required.

No = no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.9 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.10 RestrictionIndicator <RstrctnInd>

Presence: [0..1]

Definition: Indicates whether restrictions apply to the corporate action event or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.11 AccruedInterestIndicator <AcrdIntrstInd>

Presence: [0..1]

Definition: Indicates whether the holder is entitled to accrued interest.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 LetterOfGuaranteedDeliveryIndicator <LttrOfGrntedDlvryInd>

Presence: [0..1]

Definition: Indicates whether a letter of guaranteed delivery can be submitted in order to participate in the offer on full eligible position. It is not intended for use in situations arising from failed or late trades.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.13 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.14 DividendType <DvddTp>

Presence: [0..1]

Definition: Specifies the conditions in which a dividend is paid.

DividendType <DvddTp> contains one of the following elements (see ["DividendTypeFormat9Choice"](#) on page 951 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		951
Or}	Proprietary <Prtry>	[1..1]	±		952

3.4.10.15 EventSequenceType <EvtSeqTp>

Presence: [0..1]

Definition: Specifies whether the event is an interim or a final event in a series of predefined or planned events of the same type and for the same underlying instrument.

EventSequenceType <EvtSeqTp> contains one of the following elements (see "[EventSequenceTypeFormat1Choice](#)" on page 897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

3.4.10.16 OccurrenceType <OcrncTp>

Presence: [0..1]

Definition: Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

OccurrenceType <OcrncTp> contains one of the following elements (see "[DistributionTypeFormat7Choice](#)" on page 950 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951

3.4.10.17 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "[OfferTypeFormat10Choice](#)" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		949
Or}	Proprietary <Prtry>	[1..1]	±		950

3.4.10.18 RenounceableEntitlementStatusType <RnncblEntitlmntStsTp>

Presence: [0..1]

Definition: Specifies whether terms of the event allow resale of the rights.

RenounceableEntitlementStatusType <RnncblEntitlmntStsTp> contains one of the following elements (see "[RenounceableEntitlementStatusTypeFormat3Choice](#)" on page 953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		953

3.4.10.19 EventStage <EvtStag>*Presence:* [0..*]*Definition:* Stage in the corporate action event life cycle.**EventStage <EvtStag>** contains one of the following elements (see "CorporateActionEventStageFormat13Choice" on page 948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		948
Or}	Proprietary <Prtry>	[1..1]	±		949

3.4.10.20 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>*Presence:* [0..*]*Definition:* Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.**AdditionalBusinessProcessIndicator <AddtlBizPrclnd>** contains one of the following elements (see "AdditionalBusinessProcessFormat9Choice" on page 946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		948

3.4.10.21 ChangeType <ChngTp>*Presence:* [0..*]*Definition:* Specifies the type of change announced.**ChangeType <ChngTp>** contains one of the following elements (see "CorporateActionChangeTypeFormat5Choice" on page 945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946

3.4.10.22 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>*Presence:* [0..1]*Definition:* Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following elements (see ["IntermediateSecuritiesDistributionTypeFormat15Choice"](#) on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		944
Or}	Proprietary <Prtry>	[1..1]	±		945

3.4.10.23 CapitalGainInOutIndicator <CptlGnlInOutInd>

Presence: [0..1]

Definition: Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

CapitalGainInOutIndicator <CptlGnlInOutInd> contains one of the following elements (see ["CapitalGainFormat3Choice"](#) on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		943

3.4.10.24 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following elements (see ["TaxableIncomePerShareCalculatedFormat3Choice"](#) on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		942
Or}	Proprietary <Prtry>	[1..1]	±		943

3.4.10.25 ElectionType <ElctnTp>

Presence: [0..1]

Definition: Specifies the effect on the holdings of electing a corporate action option.

ElectionType <ElctnTp> contains one of the following elements (see ["ElectionTypeFormat3Choice"](#) on page 941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		942

3.4.10.26 LotteryType <LtryTp>*Presence:* [0..1]*Definition:* Specifies the type of lottery announced.**LotteryType <LtryTp>** contains one of the following elements (see "[LotteryTypeFormat4Choice](#)" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		941

3.4.10.27 CertificationType <CertfctnTp>*Presence:* [0..1]*Definition:* Specifies the certification format required, this is, physical or electronic format.**CertificationType <CertfctnTp>** contains one of the following elements (see "[CertificationTypeFormat3Choice](#)" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		940

3.4.10.28 ConsentType <CnsntTp>*Presence:* [0..1]*Definition:* Specifies the type of consent announced.**ConsentType <CnsntTp>** contains one of the following elements (see "[ConsentTypeFormat4Choice](#)" on page 939 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	±		939

3.4.10.29 InformationType <InfTp>*Presence:* [0..1]*Definition:* Specifies the type of information event.**InformationType <InfTp>** contains one of the following elements (see "[InformationTypeFormat4Choice](#)" on page 938 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		939

3.4.10.30 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>*Presence:* [0..1]*Definition:* Specifies the tax regulation being attributed to the non-distributed proceeds event.**TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>** contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.10.31 NewPlaceOfIncorporation <NewPlcOfIncorprtn>*Presence:* [0..1]*Definition:* New company's place of incorporation.*Datatype:* "Max350Text" on page 1159**3.4.10.32 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.*Impacted by:* C3 "AdditionalInformationRule"**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Offeror <Offerr>	[0..*]	±		121
	NewCompanyName <NewCpnyNm>	[0..1]	±		122
	URLAddress <URLAdr>	[0..*]			122
	Language <Lang>	[1..1]	CodeSet		122
	UpdateDescription <UpdDesc>	[0..1]	Text		122
	UpdateDate <UpdDt>	[0..1]	Date		123
	URLAddress <URLAdr>	[1..1]	Text		123

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

3.4.10.32.1 Offeror <Offerr>*Presence:* [0..*]

Definition: Provides the entity making the offer and is different from the issuing company.

Offeror <Offerr> contains the following elements (see "UpdatedAdditionalInformation3" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		961
	UpdateDate <UpdDt>	[0..1]	Date		961
	AdditionalInformation <AddtllInf>	[1..1]	Text		962

3.4.10.32.2 NewCompanyName <NewCpnyNm>

Presence: [0..1]

Definition: Provides the new name of a company following a name change.

NewCompanyName <NewCpnyNm> contains the following elements (see "UpdatedAdditionalInformation3" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		961
	UpdateDate <UpdDt>	[0..1]	Date		961
	AdditionalInformation <AddtllInf>	[1..1]	Text		962

3.4.10.32.3 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following **UpdatedURLInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		122
	UpdateDescription <UpdDesc>	[0..1]	Text		122
	UpdateDate <UpdDt>	[0..1]	Date		123
	URLAddress <URLAdr>	[1..1]	Text		123

3.4.10.32.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.10.32.3.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.10.32.3.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.10.32.3.4 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "Max2048Text" on page 1158

3.4.11 CorporateActionOptionDetails <CorpActnOptnDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: C7 "AdditionalTextRule", C11 "AppliedOptionRule", C36 "InstructCashAmountRule", C61 "OptionTypeUnknownProceedsUsageRule", C70 "RevocabilityPeriodRule", C60 "OptionNumberGuideline"

CorporateActionOptionDetails <CorpActnOptnDtls> contains the following
CorporateActionOption177 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		134
	OptionType <OptnTp>	[1..1]			134
{Or	Code <Cd>	[1..1]	CodeSet		134
Or}	Proprietary <Prtry>	[1..1]	±		135
	FractionDisposition <FrctnDspstn>	[0..1]	±		136
	OfferType <OfferTp>	[0..*]	±		136
	OptionFeatures <OptnFeatr>	[0..*]	±		136
	OptionAvailabilityStatus <OptnAvlbtYSts>	[0..1]	±		137
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		137
	BidRangeType <BidRgTp>	[0..1]			137
{Or	Code <Cd>	[1..1]	CodeSet		137
Or}	Proprietary <Prtry>	[1..1]	±		138
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C13	138
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C13	138
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	139
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>	[1..1]	±		139
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		139
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		139
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		140
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		140
	AppliedOptionIndicator <AppldOptnInd>	[0..1]	Indicator		140
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C19, C20, C42, C43, C63	140
	DateDetails <DtDtls>	[0..1]			141
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		142
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		142
	ProtectDeadline <PrctDdln>	[0..1]	±		143
	MarketDeadline <MktDdln>	[0..1]	±		143
	ResponseDeadline <RspnDdln>	[0..1]	±		143

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpiryDate <XpryDt>	[0..1]	±		144
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		144
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		145
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		145
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			145
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		145
	Borrower <Brrwr>	[1..1]	±		146
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]			146
{Or	Date <Dt>	[1..1]	±		146
Or}	DateCode <DtCd>	[1..1]			147
{Or	Code <Cd>	[1..1]	CodeSet		147
Or}	Proprietary <Prtry>	[1..1]	±		147
	PeriodDetails <PrdDtls>	[0..1]			148
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		148
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		148
	ActionPeriod <ActnPrd>	[0..1]	±		149
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		149
	PrivilegeSuspensionPeriod <PrvlgspsnsnPrd>	[0..1]	±		149
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		149
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		150
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C28, C30, C51, C53, C74, C76, C78, C83, C85	150
	AdditionalTax <AddtlTax>	[0..1]	±		152
	GrossDividendRate <GrssDvddRate>	[0..*]	±		152
	NetDividendRate <NetDvddRate>	[0..*]	±		153
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	±		155
	ProrationRate <PratrRate>	[0..1]	±		155
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		156
	SecondLevelTax <ScndLvITax>	[0..*]	±		156
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		156
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		157
	TaxOnIncome <TaxOnIncm>	[0..1]	±		157
	BidInterval <BidIntrvl>	[0..1]	±		157
	PriceDetails <PricDtls>	[0..1]			158
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		158
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		159
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		159
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		159
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		160
	MaximumPrice <MaxPric>	[0..1]	±		160
	MinimumPrice <MinPric>	[0..1]	±		160
	SecuritiesQuantity <SctiesQty>	[0..1]			161
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		161
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		162
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		162
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		162
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		162
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		163
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		163
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C23, C33, C45	163
	SecurityDetails <SctyDtls>	[1..1]		C48, C66	167
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C19, C20, C42, C43, C63	169

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfListing <PlcOfListg>	[0..1]	±		170
	DayCountBasis <DayCntBsis>	[0..1]	±		170
	ClassificationType <ClssfctnTp>	[0..1]	±		170
	OptionStyle <OptnStyle>	[0..1]	±		171
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	171
	NextCouponDate <NxtCpnDt>	[0..1]	Date		171
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		171
	MaturityDate <MtrtyDt>	[0..1]	Date		171
	IssueDate <IsseDt>	[0..1]	Date		171
	NextCallableDate <NxtCllblDt>	[0..1]	Date		172
	PutableDate <PutblDt>	[0..1]	Date		172
	DatedDate <DtdDt>	[0..1]	Date		172
	ConversionDate <ConvsDt>	[0..1]	Date		172
	PreviousFactor <PrvsFctr>	[0..1]	±		172
	NextFactor <NxtFctr>	[0..1]	±		172
	InterestRate <IntrstRate>	[0..1]	±		173
	NextInterestRate <NxtIntrstRate>	[0..1]	±		173
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		173
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		174
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		174
	ContractSize <CtrctSz>	[0..1]	±		174
	IssuePrice <IssePric>	[0..1]	±		174
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		175
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		175
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		175
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		176
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclnd>	[0..1]	CodeSet		176
	IncomeType <IncmTp>	[0..1]	±		176
	ExemptionType <XmptnTp>	[0..*]	±		177
	EntitledQuantity <EntitldQty>	[0..1]	±		177

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		177
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	178
	FractionDisposition <FrctnDspstn>	[0..1]	±		178
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	178
	TradingPeriod <TradgPrd>	[0..1]	±		179
	DateDetails <DtDtls>	[1..1]			179
	PaymentDate <PmtDt>	[1..1]	±		179
	AvailableDate <AvlblDt>	[0..1]	±		180
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		180
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		180
	PariPassuDate <PrpssDt>	[0..1]	±		181
	LastTradingDate <LastTradgDt>	[0..1]	±		181
	RateDetails <RateDtls>	[0..1]			181
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslnstSecies>	[0..1]	±		182
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgSecies>	[0..1]	±		182
	NewToOld <NewToOd>	[0..1]	±		183
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		183
	ChargesFees <ChrgsFees>	[0..1]	±		183
	FiscalStamp <FscIStmp>	[0..1]	±		184
	ApplicableRate <AplblRate>	[0..1]	±		184
	TaxCreditRate <TaxCdtRate>	[0..1]	±		184
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		185
	PriceDetails <PricDtls>	[0..1]		C25	185
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			185
{Or	IndicativePrice <IndctvPric>	[1..1]	±		186
Or}	MarketPrice <MktPric>	[1..1]	±		186
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		186
	CashValueForTax <CshValForTax>	[0..1]	±		187
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		187
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		187

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashMovementDetails <CshMvmntDtls>	[0..*]		C24, C34, C46, C26	188
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		192
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		192
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		192
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		193
	IncomeType <IncmTp>	[0..1]	±		193
	ExemptionType <XmptnTp>	[0..*]	±		193
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	194
	CashAccountIdentification <CshAcctId>	[0..1]	±		194
	AmountDetails <AmtDtls>	[0..1]			194
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	196
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	197
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	197
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	197
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	198
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	198
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	199
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	199
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	199
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	200
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	200
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	201
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	201
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C14	201
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	202
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	202
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	203
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	203
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	203

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	204
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C14	204
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	205
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	205
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	205
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	206
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	206
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	207
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	207
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	207
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	208
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C14	208
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C14	209
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	209
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C14	210
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C14	210
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	210
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	211
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	211
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	212
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	212
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	212
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	213
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	213
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	214
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	214
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	214
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	215
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	215
	DateDetails <DtDtls>	[1..1]			216

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		216
	ValueDate <ValDt>	[0..1]	±		216
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		217
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		217
	ForeignExchangeDetails <FXDtls>	[0..1]	±		217
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C17, C18, C29, C31, C52, C54, C75, C77, C79, C84, C86	218
	AdditionalTax <AddtlTax>	[0..1]	±		220
	GrossDividendRate <GrssDvddRate>	[0..*]	±		220
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		221
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		222
	SecondLevelTax <ScndLvlTax>	[0..*]	±		222
	ChargesFees <ChrgsFees>	[0..1]	±		223
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		223
	FiscalStamp <FscIStmp>	[0..1]	±		224
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		224
	NetDividendRate <NetDvddRate>	[0..*]	±		224
	ApplicableRate <AplblRate>	[0..1]	±		225
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		225
	TaxCreditRate <TaxCdtRate>	[0..1]	±		226
	TaxOnIncome <TaxOnIncm>	[0..1]	±		226
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		226
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		227
	EqualisationRate <EqulstnRate>	[0..1]	±		227
	DeemedRate <DmdRate>	[0..*]	±		227
	PriceDetails <PricDtls>	[0..1]		C27	228
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		229

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		229
	AdditionalInformation <AddtlInf>	[0..1]		C4	230
	AdditionalText <AddtlTxt>	[0..*]			232
	Language <Lang>	[1..1]	CodeSet		232
	UpdateDescription <UpdDesc>	[0..1]	Text		232
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		233
	NarrativeVersion <NrtrvVrsn>	[0..*]			233
	Language <Lang>	[1..1]	CodeSet		233
	UpdateDescription <UpdDesc>	[0..1]	Text		233
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		234
	InformationConditions <InfConds>	[0..*]			234
	Language <Lang>	[1..1]	CodeSet		234
	UpdateDescription <UpdDesc>	[0..1]	Text		234
	UpdateDate <UpdDt>	[0..1]	Date		234
	AdditionalInformation <AddtlInf>	[1..*]	Text		234
	InformationToComplyWith <InfToCmplyWth>	[0..*]			234
	Language <Lang>	[1..1]	CodeSet		235
	UpdateDescription <UpdDesc>	[0..1]	Text		235
	UpdateDate <UpdDt>	[0..1]	Date		235
	AdditionalInformation <AddtlInf>	[1..*]	Text		235
	SecurityRestriction <SctyRstrctn>	[0..*]			235
	Language <Lang>	[1..1]	CodeSet		236
	UpdateDescription <UpdDesc>	[0..1]	Text		236
	UpdateDate <UpdDt>	[0..1]	Date		236
	AdditionalInformation <AddtlInf>	[1..*]	Text		236
	TaxationConditions <TaxtnConds>	[0..*]			236
	Language <Lang>	[1..1]	CodeSet		237
	UpdateDescription <UpdDesc>	[0..1]	Text		237
	UpdateDate <UpdDt>	[0..1]	Date		237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalInformation <AddtlInf>	[1..*]	Text		237
	Disclaimer <Dsclmr>	[0..*]			237
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		238
	AdditionalInformation <AddtlInf>	[1..*]	Text		238
	CertificationBreakdown <CertfctnBrkdown>	[0..*]			238
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		239
	AdditionalInformation <AddtlInf>	[1..*]	Text		239

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

On Condition

```

/OptionType/Code is present
And /OptionType/Code is equal to value 'Other'
Following Must be True
/AdditionalInformation Must be present
And /AdditionalInformation/AdditionalText[*] Must be present
And /AdditionalInformation/AdditionalText[*]/AdditionalInformation Must be present

```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

On Condition

```

/OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
/PriceDetails/MaximumCashToInstruct Must be absent
And /PriceDetails/MinimumCashToInstruct Must be absent
And /PriceDetails/MinimumMultipleCashToInstruct Must be absent

```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

3.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1156

3.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption37Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		134
Or}	Proprietary <Prtry>	[1..1]	±		135

3.4.11.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 1112

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).

CodeName	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

3.4.11.2.2 Proprietary <Prtry>*Presence:* [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType26Choice](#)" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		938

3.4.11.4 OfferType <OfferTp>

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

OfferType <OfferTp> contains one of the following elements (see "[OfferTypeFormat10Choice](#)" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		949
Or}	Proprietary <Prtry>	[1..1]	±		950

3.4.11.5 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat24Choice](#)" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

3.4.11.6 OptionAvailabilityStatus <OptnAvlbtYsts>*Presence:* [0..1]*Definition:* Specifies the status of the option.**OptionAvailabilityStatus <OptnAvlbtYsts>** contains one of the following elements (see "[OptionAvailabilityStatus3Choice](#)" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

3.4.11.7 CertificationBreakdownType <CertfctnBrkdwnTp>*Presence:* [0..*]*Definition:* Type of certification/breakdown.**CertificationBreakdownType <CertfctnBrkdwnTp>** contains one of the following elements (see "[BeneficiaryCertificationType9Choice](#)" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		936

3.4.11.8 BidRangeType <BidRgTp>*Presence:* [0..1]*Definition:* Specifies the type of acceptable bid range values.**BidRangeType <BidRgTp>** contains one of the following **BidRangeType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		137
Or}	Proprietary <Prtry>	[1..1]	±		138

3.4.11.8.1 Code <Cd>*Presence:* [1..1]*Definition:* Bid range type expressed as a code value.*Datatype:* "[BidRangeType1Code](#)" on page 1079

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and

CodeName	Name	Definition
		maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

3.4.11.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Bid range type expressed as a proprietary value.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.9 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: [C13 "Country"](#)

Datatype: ["CountryCode"](#) on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.10 ValidDomicileCountry <VldDmclCtry>

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Impacted by: [C13 "Country"](#)

Datatype: ["CountryCode"](#) on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.11 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.12 DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		831
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		831

3.4.11.13 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether charges apply to the holder, for instance redemption charges.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.17 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11.18 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: [C19 "DescriptionPresenceRule"](#), [C20 "DescriptionUsageRule"](#), [C42 "ISINGuideline"](#), [C43 "ISINPresenceRule"](#), [C63 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrlId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.11.19 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		142
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		142
	ProtectDeadline <PrctDdln>	[0..1]	±		143
	MarketDeadline <MktDdln>	[0..1]	±		143
	ResponseDeadline <RspnDdln>	[0..1]	±		143
	ExpiryDate <XpryDt>	[0..1]	±		144
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		144
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		145
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		145
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			145
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		145
	Borrower <Brrwr>	[1..1]	±		146
	EndOfSecuritiesBlockingPeriod <EndOfScitiesBlckgPrd>	[0..1]			146
{Or	Date <Dt>	[1..1]	±		146
Or}	DateCode <DtCd>	[1..1]			147
{Or	Code <Cd>	[1..1]	CodeSet		147
Or}	Proprietary <Prtry>	[1..1]	±		147

3.4.11.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.3 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		792
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			792
	DateCode <DtCd>	[1..1]			793
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793
	Time <Tm>	[1..1]	Time		793
Or}	DateCode <DtCd>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

3.4.11.19.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.9 StockLendingDeadline <StockLndgDdln>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		145
	Borrower <Brrwr>	[1..1]	±		146

3.4.11.19.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdlIn> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.19.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

3.4.11.19.11 EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd>

Presence: [0..1]

Definition: Date until which the blocking of securities can occur upon instructing this option.

EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd> contains one of the following **DateFormat59Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		146
Or}	DateCode <DtCd>	[1..1]			147
{Or	Code <Cd>	[1..1]	CodeSet		147
Or}	Proprietary <Prtry>	[1..1]	±		147

3.4.11.19.11.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

3.4.11.19.11.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		147
Or}	Proprietary <Prtry>	[1..1]	±		147

3.4.11.19.11.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType9Code"](#) on page 1119

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

3.4.11.19.11.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.20 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		148
	ParallelTradingPeriod <ParlITradgPrd>	[0..1]	±		148
	ActionPeriod <ActnPrd>	[0..1]	±		149
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		149
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		149
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		149
	DepositorySuspensionPeriodForWithdrawal <DpstySspnsnPrdForWdrwl>	[0..1]	±		150

3.4.11.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C28 "GrossDividendRate2Rule", C30 "GrossDividendRate3Rule", C51 "NetDividendRate1Rule", C53 "NetDividendRate2Rule", C74 "SecondLevelTax1Rule", C76 "SecondLevelTax2Rule", C78 "SecondLevelTax3Rule", C83 "WithholdingTaxRate1Rule", C85 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate104** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		152
	GrossDividendRate <GrssDvddRate>	[0..*]	±		152
	NetDividendRate <NetDvddRate>	[0..*]	±		153
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		154
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		155
	ProrationRate <PrratnRate>	[0..1]	±		155
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		156
	SecondLevelTax <ScndLvITax>	[0..*]	±		156
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		156
	IssuerDeclaredExchangeRate <IssrDclrdXchgRate>	[0..1]	±		157
	TaxOnIncome <TaxOnIncm>	[0..1]	±		157
	BidInterval <BidIntrvl>	[0..1]	±		157

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

3.4.11.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.21.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat36Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	863
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	864
	RateStatus <RateSts>	[1..1]	CodeSet		864
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			864
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	866
	RateStatus <RateSts>	[0..1]		C69	866
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		867

3.4.11.21.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat38Choice" on page 853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	854
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			854
	Amount <Amt>	[1..1]	Amount	C1	855
	RateStatus <RateSts>	[1..1]	CodeSet		855
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			855
	RateType <RateTp>	[1..1]			855
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		856
	Amount <Amt>	[1..1]	Amount	C1	857
	RateStatus <RateSts>	[0..1]		C69	857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		858

3.4.11.21.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat8Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or	Amount <Amt>	[1..1]	Amount	C1	932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

3.4.11.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.21.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

3.4.11.21.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

3.4.11.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		928
	Amount <Amt>	[1..1]	Amount	C1	928
	RateStatus <RateSts>	[0..1]		C69	928
{Or	Code <Cd>	[1..1]	CodeSet		929
Or}	Proprietary <Prtry>	[1..1]	±		929

3.4.11.21.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

Presence: [0..1]

Definition: Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

IssuerDeclaredExchangeRate <IssrDclrdXchgRate> contains the following elements (see "ForeignExchangeTerms19" on page 817 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	817
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	818
	ExchangeRate <XchgRate>	[1..1]	Rate		818

3.4.11.21.11 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.21.12 BidInterval <BidIntrvl>

Presence: [0..1]

Definition: Acceptable price increment used for submitting a bid.

BidInterval <BidIntrvl> contains one of the following elements (see "RateAndAmountFormat38Choice" on page 1044 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1044
Or	Amount <Amt>	[1..1]	Amount	C1	1045
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1045

3.4.11.22 PriceDetails <PrctDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PrctDtls> contains the following **CorporateActionPrice70** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		158
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		159
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		159
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		159
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		160
	MaximumPrice <MaxPric>	[0..1]	±		160
	MinimumPrice <MinPric>	[0..1]	±		160

3.4.11.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

3.4.11.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1012

3.4.11.22.3 MaximumCashToInstruct <MaxCshToInst>*Presence:* [0..1]*Definition:* Maximum cash amount that may be instructed.**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		994

3.4.11.22.4 MinimumCashToInstruct <MinCshToInst>*Presence:* [0..1]*Definition:* Minimum cash amount that may be instructed.**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		994

3.4.11.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		994

3.4.11.22.6 MaximumPrice <MaxPric>*Presence:* [0..1]*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.**MaximumPrice <MaxPric>** contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.11.22.7 MinimumPrice <MinPric>*Presence:* [0..1]*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

MinimumPrice <MinPric> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.11.23 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		161
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		162
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		162
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		162
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		162
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		163
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		163

3.4.11.23.1 MaximumQuantityToInstruct <MaxQtyToInst>

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

MaximumQuantityToInstruct <MaxQtyToInst> contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

3.4.11.23.2 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

3.4.11.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.11.23.4 NewBoardLotQuantity <NewBrdLotQty>*Presence:* [0..1]*Definition:* Quantity of equity that makes up the new board lot.**NewBoardLotQuantity <NewBrdLotQty>** contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.11.23.5 NewDenominationQuantity <NewDnmtnQty>*Presence:* [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.11.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.11.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

3.4.11.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C23 "ExemptionTypeUsageRule", C33 "IncomeTypeUsageRule", C45 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C48, C66	167
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42, C43, C63	169
	PlaceOfListing <PlcOfListg>	[0..1]	±		170
	DayCountBasis <DayCntBsis>	[0..1]	±		170
	ClassificationType <ClssfctnTp>	[0..1]	±		170
	OptionStyle <OptnStyle>	[0..1]	±		171
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	171
	NextCouponDate <NxtCpnDt>	[0..1]	Date		171
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		171
	MaturityDate <MtrtyDt>	[0..1]	Date		171
	IssueDate <IsseDt>	[0..1]	Date		171
	NextCallableDate <NxtClblDt>	[0..1]	Date		172
	PutableDate <PutblDt>	[0..1]	Date		172
	DatedDate <DtdDt>	[0..1]	Date		172
	ConversionDate <ConvsDt>	[0..1]	Date		172
	PreviousFactor <PrvsFctr>	[0..1]	±		172
	NextFactor <NxtFctr>	[0..1]	±		172
	InterestRate <IntrstRate>	[0..1]	±		173
	NextInterestRate <NxtIntrstRate>	[0..1]	±		173
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		173
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		174
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		174
	ContractSize <CtrctSz>	[0..1]	±		174
	IssuePrice <IssePric>	[0..1]	±		174
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		175
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		175
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		175

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		176
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		176
	IncomeType <IncmTp>	[0..1]	±		176
	ExemptionType <XmptnTp>	[0..*]	±		177
	EntitledQuantity <EntitldQty>	[0..1]	±		177
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		177
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	178
	FractionDisposition <FrctnDspstn>	[0..1]	±		178
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	178
	TradingPeriod <TradgPrd>	[0..1]	±		179
	DateDetails <DtDtls>	[1..1]			179
	PaymentDate <PmtDt>	[1..1]	±		179
	AvailableDate <AvlblDt>	[0..1]	±		180
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		180
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		180
	PariPassuDate <PrpssDt>	[0..1]	±		181
	LastTradingDate <LastTradgDt>	[0..1]	±		181
	RateDetails <RateDtls>	[0..1]			181
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScities>	[0..1]	±		182
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		182
	NewToOld <NewToOd>	[0..1]	±		183
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		183
	ChargesFees <ChrgsFees>	[0..1]	±		183
	FiscalStamp <FscIStmp>	[0..1]	±		184
	ApplicableRate <AplblRate>	[0..1]	±		184
	TaxCreditRate <TaxCdtRate>	[0..1]	±		184
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		185
	PriceDetails <PricDtls>	[0..1]		C25	185
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			185
{Or	IndicativePrice <IndctvPric>	[1..1]	±		186
Or}	MarketPrice <MktPric>	[1..1]	±		186

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		186
	CashValueForTax <CshValForTax>	[0..1]	±		187
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		187
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		187

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C48 "MaturityDateRule", C66 "PreviousNextFactorRule"

SecurityDetails <SctyDtls> contains the following **FinancialInstrumentAttributes80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C19, C20, C42, C43, C63	169
	PlaceOfListing <PlcOfListg>	[0..1]	±		170
	DayCountBasis <DayCntBsis>	[0..1]	±		170
	ClassificationType <ClssfctnTp>	[0..1]	±		170
	OptionStyle <OptnStyle>	[0..1]	±		171
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	171
	NextCouponDate <NxtCpnDt>	[0..1]	Date		171
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		171
	MaturityDate <MtrtyDt>	[0..1]	Date		171
	IssueDate <IsseDt>	[0..1]	Date		171
	NextCallableDate <NxtCllblDt>	[0..1]	Date		172
	PutableDate <PutblDt>	[0..1]	Date		172
	DatedDate <DtdDt>	[0..1]	Date		172
	ConversionDate <ConvstDt>	[0..1]	Date		172
	PreviousFactor <PrvsFctr>	[0..1]	±		172
	NextFactor <NxtFctr>	[0..1]	±		172
	InterestRate <IntrstRate>	[0..1]	±		173
	NextInterestRate <NxtIntrstRate>	[0..1]	±		173
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		173
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		174
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±		174
	ContractSize <CtrctSz>	[0..1]	±		174
	IssuePrice <IssePric>	[0..1]	±		174

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

3.4.11.24.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C19 "DescriptionPresenceRule", C20 "DescriptionUsageRule", C42 "ISINGuideline", C43 "ISINPresenceRule", C63 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

3.4.11.24.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

3.4.11.24.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

3.4.11.24.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

3.4.11.24.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		954
Or}	Proprietary <Prtry>	[1..1]	±		954

3.4.11.24.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1073**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

3.4.11.24.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1152**3.4.11.24.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1152**3.4.11.24.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1152**3.4.11.24.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: ["ISODate" on page 1152](#)

3.4.11.24.1.11 NextCallableDate <NxtClbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 1152](#)

3.4.11.24.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 1152](#)

3.4.11.24.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 1152](#)

3.4.11.24.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 1152](#)

3.4.11.24.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see ["RateFormat12Choice" on page 961](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.11.24.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see "[RateFormat12Choice](#)" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

3.4.11.24.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.24.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see "[RateFormat3Choice](#)" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.24.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.11.24.1.20 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.11.24.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.11.24.1.22 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.**ContractSize <CtrctSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

3.4.11.24.1.23 IssuePrice <IssePric>*Presence:* [0..1]*Definition:* Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

3.4.11.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 926 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		926
Or}	Proprietary <Prtry>	[1..1]	±		927

3.4.11.24.4 NonEligibleProceedsIndicator <NonElglPrctdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElglPrctdsInd> contains one of the following elements (see "NonEligibleProceedsIndicator3Choice" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		926
Or}	Proprietary <Prtry>	[1..1]	±		926

3.4.11.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>** contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

3.4.11.24.6 NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* "NewSecuritiesIssuanceType5Code" on page 1135

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

3.4.11.24.7 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.24.8 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.24.9 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "[Quantity6Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

3.4.11.24.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat29Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			894
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		894
	Identification <Id>	[0..1]	Text		894
Or	Country <Ctry>	[1..1]	CodeSet	C3	894
Or	TypeAndIdentification <TpAndId>	[1..1]			895
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		895
	Identification <Id>	[1..1]	IdentifierSet	C1	895
Or}	Proprietary <Prtry>	[1..1]	±		896

3.4.11.24.11 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C13 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.24.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType26Choice" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		938

3.4.11.24.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.11.24.14 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

3.4.11.24.15 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		179
	AvailableDate <AvlblDt>	[0..1]	±		180
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		180
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		180
	PariPassuDate <PrpssDt>	[0..1]	±		181
	LastTradingDate <LastTradgDt>	[0..1]	±		181

3.4.11.24.15.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.15.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.15.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.15.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.15.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.15.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.24.16 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>	[0..1]	±		182
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		182
	NewToOld <NewToOd>	[0..1]	±		183
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		183
	ChargesFees <ChrgsFees>	[0..1]	±		183
	FiscalStamp <FscIStmp>	[0..1]	±		184
	ApplicableRate <AplblRate>	[0..1]	±		184
	TaxCreditRate <TaxCdtRate>	[0..1]	±		184
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		185

3.4.11.24.16.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties> contains one of the following elements (see "[RatioFormat17Choice](#)" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		924
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924
Or}	AmountToAmount <AmtToAmt>	[1..1]			925
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

3.4.11.24.16.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities> contains one of the following elements (see "RatioFormat17Choice" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		924
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924
Or}	AmountToAmount <AmtToAmt>	[1..1]			925
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

3.4.11.24.16.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat18Choice" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		921
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921
Or	AmountToAmount <AmtToAmt>	[1..1]			922
	Amount1 <Amt1>	[1..1]	Amount	C1	922
	Amount2 <Amt2>	[1..1]	Amount	C1	922
Or	AmountToQuantity <AmtToQty>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		923
Or}	QuantityToAmount <QtyToAmt>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		924

3.4.11.24.16.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1156

3.4.11.24.16.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see ["RateAndAmountFormat37Choice"](#) on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.24.16.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.24.16.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.24.16.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see ["RateFormat20Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

3.4.11.24.16.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpecfdRate>	[1..1]	CodeSet		1020

3.4.11.24.17 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* C25 "GenericCashPricePerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			185
{Or	IndicativePrice <IndctvPric>	[1..1]	±		186
Or}	MarketPrice <MktPric>	[1..1]	±		186
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		186
	CashValueForTax <CshValForTax>	[0..1]	±		187
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		187
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		187

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

3.4.11.24.17.1 IndicativeOrMarketPrice <IndctvOrMktPric>*Presence:* [0..1]*Definition:* Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		186
Or}	MarketPrice <MktPric>	[1..1]	±		186

3.4.11.24.17.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "[PriceFormat45Choice](#)" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

3.4.11.24.17.1.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "[PriceFormat45Choice](#)" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

3.4.11.24.17.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1012

3.4.11.24.17.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat46Choice" on page 1010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1010
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1011

3.4.11.24.17.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.11.24.17.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat47Choice" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1006
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007
Or	AmountPrice <AmtPric>	[1..1]	±		1007
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1007
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1007
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1009
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1010

3.4.11.25 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C24 "ExemptionTypeUsageRule", C34 "IncomeTypeUsageRule", C46 "IssuerOfferorTaxabilityIndicatorUsageRule", C26 "GenericCashPricePerProductGuideline"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		192
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		192
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		192
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		193
	IncomeType <IncmTp>	[0..1]	±		193
	ExemptionType <XmptnTp>	[0..*]	±		193
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C13	194
	CashAccountIdentification <CshAcctId>	[0..1]	±		194
	AmountDetails <AmtDtls>	[0..1]			194
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	196
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	197
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	197
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	197
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	198
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	198
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	199
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	199
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	199
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	200
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	200
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	201
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	201
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C14	201
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	202
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	202
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	203
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	203
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	203
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	204
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	204

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	205
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	205
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	205
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	206
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	206
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	207
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	207
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	207
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	208
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C14	208
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C14	209
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	209
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C14	210
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C14	210
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	210
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	211
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	211
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	212
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	212
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	212
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	213
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	213
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C14	214
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	214
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	214
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	215
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	215
	DateDetails <DtDtls>	[1..1]			216
	PaymentDate <PmtDt>	[1..1]	±		216
	ValueDate <ValDt>	[0..1]	±		216

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		217
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		217
	ForeignExchangeDetails <FXDtls>	[0..1]	±		217
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C17, C18, C29, C31, C52, C54, C75, C77, C79, C84, C86	218
	AdditionalTax <AddtlTax>	[0..1]	±		220
	GrossDividendRate <GrssDvddRate>	[0..*]	±		220
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		221
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		222
	SecondLevelTax <ScndLvltax>	[0..*]	±		222
	ChargesFees <ChrgsFees>	[0..1]	±		223
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		223
	FiscalStamp <FscIStmp>	[0..1]	±		224
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		224
	NetDividendRate <NetDvddRate>	[0..*]	±		224
	ApplicableRate <AplblRate>	[0..1]	±		225
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		225
	TaxCreditRate <TaxCdtRate>	[0..1]	±		226
	TaxOnIncome <TaxOnIncm>	[0..1]	±		226
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		226
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		227
	EqualisationRate <EqulstnRate>	[0..1]	±		227
	DeemedRate <DmdRate>	[0..*]	±		227
	PriceDetails <PricDtls>	[0..1]		C27	228
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		229
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		229

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

3.4.11.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

3.4.11.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Specifies exceptions to contractual payment service.

Datatype: "Payment2Code" on page 1140

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

3.4.11.25.3 NonEligibleProceedsIndicator <NonElgblPrclsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following elements (see "NonEligibleProceedsIndicator3Choice" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		926
Or}	Proprietary <Prtry>	[1..1]	±		926

3.4.11.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

3.4.11.25.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.25.6 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

3.4.11.25.7 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* C13 "Country"*Datatype:* "CountryCode" on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.11.25.8 CashAccountIdentification <CshAcctId>*Presence:* [0..1]*Definition:* Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

3.4.11.25.9 AmountDetails <AmtDtls>*Presence:* [0..1]*Definition:* Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts54** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C14	196
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C14	197
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C14	197
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C14	197
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C14	198
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C14	198
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C14	199
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C14	199
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C14	199
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C14	200
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C14	200
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C14	201
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C14	201
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C14	201
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C14	202
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C14	202
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C14	203
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C14	203
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C14	203
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C14	204
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C14	204
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C14	205
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C14	205
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C14	205
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C14	206
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C14	206
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C14	207
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C14	207
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C14	207

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C14	208
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C14	208
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C14	209
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C14	209
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C14	210
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C14	210
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C14	210
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C14	211
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C14	211
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C14	212
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C14	212
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C14	212
	TransactionTax <TxTax>	[0..1]	Amount	C1, C14	213
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C14	213
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C14	214
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C14	214
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C14	214
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C14	215
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C14	215

3.4.11.25.9.1 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.2 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.7 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation. Used mainly in France.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.9 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.10 FullyFrankedAmount <FullyFrnkdAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.11 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.12 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.13 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.14 TaxDeferredAmount <TaxDfrrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.15 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.16 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.17 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.18 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.19 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.20 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.21 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.22 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.23 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.24 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.26 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.27 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.28 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.29 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.30 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.31 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.32 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.33 IncomePortion <IncMPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.34 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.35 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.36 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.37 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.38 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.39 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.41 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.42 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.43 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.44 ForeignIncomeAmount <FrgnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.45 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.46 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.47 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.9.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

3.4.11.25.10 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		216
	ValueDate <ValDt>	[0..1]	±		216
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		217
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		217

3.4.11.25.10.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.25.10.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see "DateFormat46Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		791
Or}	DateCode <DtCd>	[1..1]			791
{Or	Code <Cd>	[1..1]	CodeSet		791
Or}	Proprietary <Prtry>	[1..1]	±		791

3.4.11.25.10.3 ForeignExchangeRateFixingDate <FXRateFxdt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.25.10.4 EarliestPaymentDate <EarlStPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

3.4.11.25.11 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms24](#)" on page 818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	818
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	819
	ExchangeRate <XchgRate>	[1..1]	Rate		819
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C14	819

3.4.11.25.12 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: [C17 "DeemedRate1Rule"](#), [C18 "DeemedRate2Rule"](#), [C29 "GrossDividendRate2Rule"](#), [C31 "GrossDividendRate3Rule"](#), [C52 "NetDividendRate1Rule"](#), [C54 "NetDividendRate2Rule"](#), [C75 "SecondLevelTax1Rule"](#), [C77 "SecondLevelTax2Rule"](#), [C79 "SecondLevelTax3Rule"](#), [C84 "WithholdingTaxRate1Rule"](#), [C86 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtIs> contains the following **Rate36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		220
	GrossDividendRate <GrssDvddRate>	[0..*]	±		220
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		221
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		222
	SecondLevelTax <ScndLvITax>	[0..*]	±		222
	ChargesFees <ChrgsFees>	[0..1]	±		223
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		223
	FiscalStamp <FscIStmp>	[0..1]	±		224
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		224
	NetDividendRate <NetDvddRate>	[0..*]	±		224
	ApplicableRate <AplblRate>	[0..1]	±		225
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		225
	TaxCreditRate <TaxCdtRate>	[0..1]	±		226
	TaxOnIncome <TaxOnIncm>	[0..1]	±		226
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		226
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		227
	EqualisationRate <EqulstnRate>	[0..1]	±		227
	DeemedRate <DmdRate>	[0..*]	±		227

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

3.4.11.25.12.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.25.12.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat38Choice" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	844
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			844
	Amount <Amt>	[1..1]	Amount	C1	845
	RateStatus <RateSts>	[1..1]	CodeSet		845
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			845
	RateType <RateTp>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847
	Amount <Amt>	[1..1]	Amount	C1	847
	RateStatus <RateSts>	[0..1]		C69	848
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		848

3.4.11.25.12.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat8Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or	Amount <Amt>	[1..1]	Amount	C1	932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

3.4.11.25.12.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

3.4.11.25.12.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

3.4.11.25.12.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.25.12.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat7Choice" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		919
Or	AmountToQuantity <AmtToQty>	[1..1]			920
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920
Or	Amount <Amt>	[1..1]	Amount	C1	920
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921

3.4.11.25.12.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.25.12.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat20Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

3.4.11.25.12.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat39Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	839
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[1..1]	CodeSet		840
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			840
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	842
	RateStatus <RateSts>	[0..1]		C69	842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		843

3.4.11.25.12.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1020

3.4.11.25.12.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat7Choice" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		919
Or	AmountToQuantity <AmtToQty>	[1..1]			920
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920
Or	Amount <Amt>	[1..1]	Amount	C1	920
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921

3.4.11.25.12.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat20Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

3.4.11.25.12.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

3.4.11.25.12.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.25.12.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

3.4.11.25.12.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "RateAndAmountFormat42Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1042
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1042

3.4.11.25.12.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat51Choice" on page 1026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1026
Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1027
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1027
	RateType <RateTp>	[1..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	±		1028
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[0..1]		C69	1029
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1030
	RateType <RateTp>	[1..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1030
	Rate <Rate>	[1..1]	Rate		1031

3.4.11.25.13 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C27 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPrctPdPerPdct>	[0..1]	±		229
	GenericCashPriceReceivedPerProduct <GncCshPrctRcvdPerPdct>	[0..1]	±		229

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

3.4.11.25.13.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

3.4.11.25.13.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat47Choice" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1006
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007
Or	AmountPrice <AmtPric>	[1..1]	±		1007
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1007
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1007
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1009
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1010

3.4.11.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative45** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]			232
	Language <Lang>	[1..1]	CodeSet		232
	UpdateDescription <UpdDesc>	[0..1]	Text		232
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		233
	NarrativeVersion <NrrtvVrsn>	[0..*]			233
	Language <Lang>	[1..1]	CodeSet		233
	UpdateDescription <UpdDesc>	[0..1]	Text		233
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		234
	InformationConditions <InfConds>	[0..*]			234
	Language <Lang>	[1..1]	CodeSet		234
	UpdateDescription <UpdDesc>	[0..1]	Text		234
	UpdateDate <UpdDt>	[0..1]	Date		234
	AdditionalInformation <AddtlInf>	[1..*]	Text		234
	InformationToComplyWith <InfToCmplyWth>	[0..*]			234
	Language <Lang>	[1..1]	CodeSet		235
	UpdateDescription <UpdDesc>	[0..1]	Text		235
	UpdateDate <UpdDt>	[0..1]	Date		235
	AdditionalInformation <AddtlInf>	[1..*]	Text		235
	SecurityRestriction <SctyRstrctn>	[0..*]			235
	Language <Lang>	[1..1]	CodeSet		236
	UpdateDescription <UpdDesc>	[0..1]	Text		236
	UpdateDate <UpdDt>	[0..1]	Date		236
	AdditionalInformation <AddtlInf>	[1..*]	Text		236
	TaxationConditions <TaxtnConds>	[0..*]			236
	Language <Lang>	[1..1]	CodeSet		237
	UpdateDescription <UpdDesc>	[0..1]	Text		237
	UpdateDate <UpdDt>	[0..1]	Date		237
	AdditionalInformation <AddtlInf>	[1..*]	Text		237
	Disclaimer <Dsclmr>	[0..*]			237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		238
	AdditionalInformation <AddtlInf>	[1..*]	Text		238
	CertificationBreakdown <CertfctnBrkdown>	[0..*]			238
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		239
	AdditionalInformation <AddtlInf>	[1..*]	Text		239

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.11.26.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following **UpdatedAdditionalInformation12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		232
	UpdateDescription <UpdDesc>	[0..1]	Text		232
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		233

3.4.11.26.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.11.26.1.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.1.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.1.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

3.4.11.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		233
	UpdateDescription <UpdDesc>	[0..1]	Text		233
	UpdateDate <UpdDt>	[0..1]	Date		233
	AdditionalInformation <AddtlInf>	[1..1]	Text		234

3.4.11.26.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.11.26.2.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.2.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.2.4 AdditionalInformation <AddtlInf>*Presence:* [1..1]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1159**3.4.11.26.3 InformationConditions <InfConds>***Presence:* [0..*]*Definition:* Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.**InformationConditions <InfConds>** contains the following **UpdatedAdditionalInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		234
	UpdateDescription <UpdDesc>	[0..1]	Text		234
	UpdateDate <UpdDt>	[0..1]	Date		234
	AdditionalInformation <AddtlInf>	[1..*]	Text		234

3.4.11.26.3.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1132**3.4.11.26.3.2 UpdateDescription <UpdDesc>***Presence:* [0..1]*Definition:* Specifies the amendments made to the narrative since the last message.*Datatype:* "Max140Text" on page 1157**3.4.11.26.3.3 UpdateDate <UpdDt>***Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1152**3.4.11.26.3.4 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1159**3.4.11.26.4 InformationToComplyWith <InfToCmplyWth>***Presence:* [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following
UpdatedAdditionalInformation11 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		235
	UpdateDescription <UpdDesc>	[0..1]	Text		235
	UpdateDate <UpdDt>	[0..1]	Date		235
	AdditionalInformation <AddtlInf>	[1..*]	Text		235

3.4.11.26.4.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.11.26.4.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.4.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.4.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

3.4.11.26.5 SecurityRestriction <SctyRstrctn>

Presence: [0..*]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following **UpdatedAdditionalInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		236
	UpdateDescription <UpdDesc>	[0..1]	Text		236
	UpdateDate <UpdDt>	[0..1]	Date		236
	AdditionalInformation <AddtlInf>	[1..*]	Text		236

3.4.11.26.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.11.26.5.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.5.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.5.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

3.4.11.26.6 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		237
	UpdateDescription <UpdDesc>	[0..1]	Text		237
	UpdateDate <UpdDt>	[0..1]	Date		237
	AdditionalInformation <AddtlInf>	[1..*]	Text		237

3.4.11.26.6.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.11.26.6.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.6.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.6.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

3.4.11.26.7 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		238
	AdditionalInformation <AddtlInf>	[1..*]	Text		238

3.4.11.26.7.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1132**3.4.11.26.7.2 UpdateDescription <UpdDesc>***Presence:* [0..1]*Definition:* Specifies the amendments made to the narrative since the last message.*Datatype:* "Max140Text" on page 1157**3.4.11.26.7.3 UpdateDate <UpdDt>***Presence:* [0..1]*Definition:* Specifies the date at which the narrative has been updated.*Datatype:* "ISODate" on page 1152**3.4.11.26.7.4 AdditionalInformation <AddtlInf>***Presence:* [1..*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1159**3.4.11.26.8 CertificationBreakdown <CertfctnBrkdown>***Presence:* [0..*]*Definition:* Provides additional information about the type of certification/breakdown required.**CertificationBreakdown <CertfctnBrkdown>** contains the following **UpdatedAdditionalInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		238
	UpdateDescription <UpdDesc>	[0..1]	Text		238
	UpdateDate <UpdDt>	[0..1]	Date		239
	AdditionalInformation <AddtlInf>	[1..*]	Text		239

3.4.11.26.8.1 Language <Lang>*Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1132**3.4.11.26.8.2 UpdateDescription <UpdDesc>***Presence:* [0..1]*Definition:* Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.11.26.8.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.11.26.8.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the event.

Impacted by: C5 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]		C6	241
	Language <Lang>	[1..1]	CodeSet		242
	UpdateDescription <UpdDesc>	[0..1]	Text		242
	UpdateDate <UpdDt>	[0..1]	Date		242
	AdditionalInformation <AddtlInf>	[1..*]	Text		242
	NarrativeVersion <NrrtvVrsn>	[0..*]		C6	242
	Language <Lang>	[1..1]	CodeSet		243
	UpdateDescription <UpdDesc>	[0..1]	Text		243
	UpdateDate <UpdDt>	[0..1]	Date		243
	AdditionalInformation <AddtlInf>	[1..*]	Text		243
	InformationConditions <InfConds>	[0..*]		C6	243
	Language <Lang>	[1..1]	CodeSet		244
	UpdateDescription <UpdDesc>	[0..1]	Text		244
	UpdateDate <UpdDt>	[0..1]	Date		244
	AdditionalInformation <AddtlInf>	[1..*]	Text		244
	InformationToComplyWith <InfToCmplyWth>	[0..*]		C6	244
	Language <Lang>	[1..1]	CodeSet		245
	UpdateDescription <UpdDesc>	[0..1]	Text		245
	UpdateDate <UpdDt>	[0..1]	Date		245
	AdditionalInformation <AddtlInf>	[1..*]	Text		245
	TaxationConditions <TaxtnConds>	[0..*]		C6	245
	Language <Lang>	[1..1]	CodeSet		246
	UpdateDescription <UpdDesc>	[0..1]	Text		246
	UpdateDate <UpdDt>	[0..1]	Date		246
	AdditionalInformation <AddtlInf>	[1..*]	Text		246
	Disclaimer <Dsclmr>	[0..*]		C6	246
	Language <Lang>	[1..1]	CodeSet		247
	UpdateDescription <UpdDesc>	[0..1]	Text		247
	UpdateDate <UpdDt>	[0..1]	Date		247
	AdditionalInformation <AddtlInf>	[1..*]	Text		247
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]		C6	247

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		248
	UpdateDescription <UpdDesc>	[0..1]	Text		248
	UpdateDate <UpdDt>	[0..1]	Date		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248
	RegistrationDetails <RegnDtls>	[0..*]		C6	248
	Language <Lang>	[1..1]	CodeSet		249
	UpdateDescription <UpdDesc>	[0..1]	Text		249
	UpdateDate <UpdDt>	[0..1]	Date		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249
	BasketOrIndexInformation <BsktOrIndxInf>	[0..*]		C6	249
	Language <Lang>	[1..1]	CodeSet		250
	UpdateDescription <UpdDesc>	[0..1]	Text		250
	UpdateDate <UpdDt>	[0..1]	Date		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250
	CertificationBreakdown <CertfctnBrkdown>	[0..*]		C6	250
	Language <Lang>	[1..1]	CodeSet		251
	UpdateDescription <UpdDesc>	[0..1]	Text		251
	UpdateDate <UpdDt>	[0..1]	Date		251
	AdditionalInformation <AddtlInf>	[1..*]	Text		251
	URLAddress <URLAdr>	[0..*]			251
	Language <Lang>	[1..1]	CodeSet		252
	UpdateDescription <UpdDesc>	[0..1]	Text		252
	UpdateDate <UpdDt>	[0..1]	Date		252
	URLAddress <URLAdr>	[1..1]	Text		252

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

3.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C6 "AdditionalInformationRule"

AdditionalText <AddtITxt> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		242
	UpdateDescription <UpdDesc>	[0..1]	Text		242
	UpdateDate <UpdDt>	[0..1]	Date		242
	AdditionalInformation <AddtInf>	[1..*]	Text		242

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.1.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.1.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.1.4 AdditionalInformation <AddtInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Impacted by: C6 "AdditionalInformationRule"

NarrativeVersion <NrrtvVrsn> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		243
	UpdateDescription <UpdDesc>	[0..1]	Text		243
	UpdateDate <UpdDt>	[0..1]	Date		243
	AdditionalInformation <AddtlInf>	[1..*]	Text		243

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.2.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.2.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.2.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.3 InformationConditions <InfConds>

Presence: [0..*]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

Impacted by: C6 "AdditionalInformationRule"

InformationConditions <InfConds> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		244
	UpdateDescription <UpdDesc>	[0..1]	Text		244
	UpdateDate <UpdDt>	[0..1]	Date		244
	AdditionalInformation <AddtlInf>	[1..*]	Text		244

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.3.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.3.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.3.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.3.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.4 InformationToComplyWith <InfToCmplWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

Impacted by: C6 "AdditionalInformationRule"

InformationToComplyWith <InfToCmplyWth> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		245
	UpdateDescription <UpdDesc>	[0..1]	Text		245
	UpdateDate <UpdDt>	[0..1]	Date		245
	AdditionalInformation <AddtlInf>	[1..*]	Text		245

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.4.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.4.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.4.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.4.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.5 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Impacted by: C6 "AdditionalInformationRule"

TaxationConditions <TaxtnConds> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		246
	UpdateDescription <UpdDesc>	[0..1]	Text		246
	UpdateDate <UpdDt>	[0..1]	Date		246
	AdditionalInformation <AddtlInf>	[1..*]	Text		246

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.5.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.5.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.5.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.5.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.6 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Impacted by: C6 "AdditionalInformationRule"

Disclaimer <Dsclmr> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		247
	UpdateDescription <UpdDesc>	[0..1]	Text		247
	UpdateDate <UpdDt>	[0..1]	Date		247
	AdditionalInformation <AddtlInf>	[1..*]	Text		247

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.6.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.6.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.6.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.6.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Impacted by: C6 "AdditionalInformationRule"

PartyContactNarrative <PtyCtctNrrtv> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		248
	UpdateDescription <UpdDesc>	[0..1]	Text		248
	UpdateDate <UpdDt>	[0..1]	Date		248
	AdditionalInformation <AddtlInf>	[1..*]	Text		248

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.7.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.7.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.7.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.7.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.8 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Impacted by: C6 "AdditionalInformationRule"

RegistrationDetails <RegnDtIs> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		249
	UpdateDescription <UpdDesc>	[0..1]	Text		249
	UpdateDate <UpdDt>	[0..1]	Date		249
	AdditionalInformation <AddtlInf>	[1..*]	Text		249

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.8.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.8.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.8.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.8.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..*]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

Impacted by: C6 "AdditionalInformationRule"

BasketOrIndexInformation <BsktOrIndxInf> contains the following
UpdatedAdditionalInformation13 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		250
	UpdateDescription <UpdDesc>	[0..1]	Text		250
	UpdateDate <UpdDt>	[0..1]	Date		250
	AdditionalInformation <AddtlInf>	[1..*]	Text		250

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.9.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.9.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.9.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.9.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Impacted by: C6 "AdditionalInformationRule"

CertificationBreakdown <CertfctnBrkdwn> contains the following **UpdatedAdditionalInformation13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		251
	UpdateDescription <UpdDesc>	[0..1]	Text		251
	UpdateDate <UpdDt>	[0..1]	Date		251
	AdditionalInformation <AddtlInf>	[1..*]	Text		251

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

3.4.12.10.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.10.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.10.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.10.4 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

3.4.12.11 URLAddress <URLAdr>

Presence: [0..*]

Definition: Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

URLAddress <URLAdr> contains the following **UpdatedURLInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		252
	UpdateDescription <UpdDesc>	[0..1]	Text		252
	UpdateDate <UpdDt>	[0..1]	Date		252
	URLAddress <URLAdr>	[1..1]	Text		252

3.4.12.11.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 1132

3.4.12.11.2 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

3.4.12.11.3 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

3.4.12.11.4 URLAddress <URLAdr>

Presence: [1..1]

Definition: Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

Datatype: "Max2048Text" on page 1158

3.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

3.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.16 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of the security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.17 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.18 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.19 DropAgent <DrpAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.20 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: A trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.21 InformationAgent <InfAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

3.4.22 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

3.4.23 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

3.4.24 TransferAgent <TrfAgnt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgnt> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

3.4.25 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C81 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **DRAFTseev.032.001.08**

CorporateActionEventProcessingStatusAdviceV08

4.1 **MessageDefinition Functionality**

Scope

An account servicer sends the CorporateActionEventProcessingStatusAdvice message to an account owner or its designated agent to report processing status of a corporate action event.

The account servicer uses this message to provide a reason as to why a corporate action event has not been completed by the announced payment dates.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate),

using the relevant elements in the business application header (BAH).

Outline

The CorporateActionEventProcessingStatusAdviceV08 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. NotificationIdentification
Identification of a previously sent notification document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. EventProcessingStatus
Information about the status of the corporate action.
- E. AdditionalInformation
Provides additional information.
- F. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnEvtPrccgStsAdv>	[1..1]			
	NotificationIdentification <NtfctnId>	[0..1]	±		260
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		261
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C3, C5	261
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		262
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		262
	ClassActionNumber <ClssActnNb>	[0..1]	Text		262
	EventType <EvtTp>	[1..1]		C4	262
{Or	Code <Cd>	[1..1]	CodeSet		263
Or}	Proprietary <Prtry>	[1..1]	±		269
	EventProcessingStatus <EvtPrccgSts>	[1..*]			269
{Or	Complete <Cmplt>	[1..1]	±		269
Or	Reconciled <Rcncl>	[1..1]	±		270
Or	Pending <Pdg>	[1..1]	±		270
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		270
	AdditionalInformation <AddtlInf>	[0..1]			270
	AdditionalText <AddtlTxt>	[0..*]	Text		271
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		271
	SupplementaryData <SplmtryData>	[0..*]	±	C7	271

4.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C4 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C5 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C6 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "[DocumentIdentification9](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

4.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification33" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			801
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		802
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		802
	DocumentNumber <DocNb>	[0..1]	±	C80	802

4.4.3 CorporateActionGeneralInformation <CorpActnGnInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C3 "EventTypeRule", C5 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnInf> contains the following **CorporateActionGeneralInformation154** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		262
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		262
	ClassActionNumber <ClssActnNb>	[0..1]	Text		262
	EventType <EvtTp>	[1..1]		C4	262
{Or	Code <Cd>	[1..1]	CodeSet		263
Or}	Proprietary <Prtry>	[1..1]	±		269

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

4.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

4.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

4.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

4.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C4 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		263
Or}	Proprietary <Prtry>	[1..1]	±		269

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

4.4.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.

CodeName	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).

CodeName	Name	Definition
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.

CodeName	Name	Definition
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or

CodeName	Name	Definition
		assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change

CodeName	Name	Definition
		in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.

CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

4.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

4.4.4 EventProcessingStatus <EvtPrcgSts>

Presence: [1..*]

Definition: Information about the status of the corporate action.

EventProcessingStatus <EvtPrcgSts> contains one of the following **EventProcessingStatus5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Complete <Cmplt>	[1..1]	±		269
Or	Reconciled <Rcncl>	[1..1]	±		270
Or	Pending <Pdg>	[1..1]	±		270
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		270

4.4.4.1 Complete <Cmplt>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has been completed.

Complete <Cmplt> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

4.4.4.2 Reconciled <Rcnld>

Presence: [1..1]

Definition: Corporate action event processing specifying that the funds paid have been reconciled with the funds received from the agent (meaning that there is no more risk of payment to be reversed).

Reconciled <Rcnld> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

4.4.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Specifies that a corporate action event processing has not been fully completed and is therefore pending.

Pending <Pdg> contains one of the following elements (see ["PendingStatus58Choice"](#) on page 834 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		834
Or}	Reason <Rsn>	[1..*]	±	C1	834

4.4.4.4 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to the event processing.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason6"](#) on page 1070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1070
	ProprietaryReason <PrtryRsn>	[0..*]	±	C7	1070

4.4.5 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		271
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		271

4.4.5.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1159

4.4.5.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C7 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 DRAFTseev.033.001.11 CorporateActionInstructionV11

5.1 MessageDefinition Functionality

Scope

The CorporateActionInstruction message is sent by an account owner to an account servicer to instruct election on a corporate action event.

This message is used to provide the custodian with instructions on how the account owner wishes to proceed with a corporate action event. Instructions include investment decisions regarding the exercise of rights issues, the election of stock or cash when the option is available, and decisions on the conversion or tendering of securities.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionV11 MessageDefinition is composed of 12 MessageBuildingBlocks:

- A. ChangeInstructionIndicator
Indicates that the current instruction is replacing a previous one that was cancelled earlier.
- B. CancelledInstructionIdentification
Identification of a previously sent cancelled instruction document.
- C. InstructionCancellationRequestIdentification
Identification of a previously sent instruction cancellation request document.
- D. OtherDocumentIdentification
Identification of other documents as well as the document number.
- E. EventsLinkage
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- F. CorporateActionGeneralInformation
General information about the corporate action event.
- G. AccountDetails

General information about the safekeeping account, owner and account balance.

H. BeneficialOwnerDetails

Information about the beneficial owner of the securities.

I. CorporateActionInstruction

Information about the corporate action instruction.

J. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

K. AdditionalInformation

Provides additional information.

L. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstr>	[1..1]		C3, C5, C7, C16, C22, C6	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		281
	CancelledInstructionIdentification <CancInstrId>	[0..1]	±		281
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		281
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		282
	EventsLinkage <EvtsLkg>	[0..*]	±		282
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C13, C19	282
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		284
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		284
	EventType <EvtTp>	[1..1]		C14	284
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		291
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	291
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C11, C12, C17, C18, C23	292
	PlaceOfListing <PlcOfListg>	[0..1]	±		293
	DayCountBasis <DayCntBsis>	[0..1]	±		294
	ClassificationType <ClssfctnTp>	[0..1]	±		294
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	294
	NextCouponDate <NxtCpnDt>	[0..1]	Date		295
	ExpiryDate <XpryDt>	[0..1]	Date		295
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		295
	MaturityDate <MtrtyDt>	[0..1]	Date		295
	IssueDate <IsseDt>	[0..1]	Date		295
	NextCallableDate <NxtClblDt>	[0..1]	Date		295
	PutableDate <PutblDt>	[0..1]	Date		295
	DatedDate <DtdDt>	[0..1]	Date		295

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConversionDate <ConvDt>	[0..1]	Date		296
	PreviousFactor <PrvsFctr>	[0..1]	Rate		296
	NextFactor <NxtFctr>	[0..1]	Rate		296
	InterestRate <IntrstRate>	[0..1]	Rate		296
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		296
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		296
	ContractSize <CtrctSz>	[0..1]	±		297
	AccountDetails <AcctDtls>	[1..1]		C25	297
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		298
	AccountOwner <AcctOwnr>	[0..1]	±		298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		299
	Balance <Bal>	[0..1]			299
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		300
	BlockedBalance <BlckdBal>	[0..1]	±		301
	BorrowedBalance <BrrwdBal>	[0..1]	±		301
	CollateralInBalance <CollInBal>	[0..1]	±		302
	CollateralOutBalance <CollOutBal>	[0..1]	±		302
	OnLoanBalance <OnLnBal>	[0..1]	±		302
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		303
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		303
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		303
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		304
	StreetPositionBalance <StrtPosBal>	[0..1]	±		304
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		304
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		305
	RegisteredBalance <RegdBal>	[0..1]	±		305
	BeneficialOwnerDetails <BnfclOwnrDtls>	[0..*]		C10	305
	OwnerIdentification <OwnrId>	[1..1]			306
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	306
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		307
Or	NameAndAddress <NmAndAdr>	[1..1]	±		307

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		307
	LEIdentification <LEId>	[0..1]	IdentifierSet		308
	AlternateIdentification <AltrnId>	[0..*]	±		308
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	308
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	308
	OwnedSecuritiesQuantity <OwndSciesQty>	[1..1]	±		309
	CertificationType <CertfctnTp>	[0..*]	±		309
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		309
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	Text		310
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C20, C21	310
	OptionNumber <OptnNb>	[1..1]	±		312
	OptionType <OptnTp>	[1..1]			312
{Or	Code <Cd>	[1..1]	CodeSet		313
Or}	Proprietary <Prtry>	[1..1]	±		314
	OptionFeatures <OptnFeatr>	[0..1]	±		315
	FractionDisposition <FrctnDspstn>	[0..1]	±		315
	ChangeType <ChngTp>	[0..*]	±		315
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		315
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		316
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	316
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	316
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	317
	SecurityIdentification <SctylId>	[0..1]	±	C11, C12, C17, C18, C23	317
	SecuritiesQuantityOrInstructedAmount <SciesQtyOrInstAmt>	[1..1]			318
{Or	SecuritiesQuantity <SciesQty>	[1..1]			318
	ConditionalQuantity <CondlQty>	[0..1]	±		319
	InstructedQuantity <InstQty>	[1..1]	±		319
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±		319
Or}	InstructedAmount <InstAmt>	[1..1]	Amount	C1, C9	320

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		320
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			320
	ProposedRate <PropsdRate>	[0..1]	Rate		321
	OversubscriptionRate <OvrsbcptRate>	[0..1]	±		321
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		321
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		322
	PriceDetails <PricDtls>	[0..1]		C15	322
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			323
{Or	IndicativePrice <IndctvPric>	[1..1]	±		323
Or}	MarketPrice <MktPric>	[1..1]	±		324
	IssuePrice <IssePric>	[0..1]	±		324
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		324
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		325
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		325
	AdditionalInformation <AddtlInf>	[0..1]			326
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		326
	DeliveryDetails <DlvryDtls>	[0..*]	Text		326
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		326
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		326
	ProtectInstruction <PrtctInstr>	[0..1]			326
	TransactionType <TxTp>	[1..1]	CodeSet		327
	TransactionIdentification <TxId>	[0..1]	Text		327
	ProtectSafekeepingAccount <PrtctSfkpgAcct>	[0..1]	Text		327
	ProtectDate <PrtctDt>	[0..1]	Date		327
	AdditionalInformation <AddtlInf>	[0..1]			327
	RegistrationDetails <RegnDtls>	[0..*]	Text		328
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		328
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	Text		328
	SupplementaryData <SplmtryData>	[0..*]	±	C27	328

5.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AdditionalInformationRule**

Elements in AdditionalInformation and CorporateActionInstruction/AdditionalInformation must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

This constraint is defined at the MessageDefinition level.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **BeneficialOwnerBreakdownRequestRule**

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C6 **ChangeInstructionIndicatorGuideline**

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C7 **CorporateActionEventIdentificationRule**

If the CorporateActionInstruction message is unsolicited (that is, this instruction has not been preceded by an CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeclarationDetailsRule

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

C11 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C12 DescriptionUsageRule

Description must be used alone as the last resort.

C13 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C14 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 GenericCashPricePerProductGuideline

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C16 InstructionCancellationRequestIdentificationRule

If ChangeInstructionIndicator is 'true' or '1' (Yes), then InstructionCancellationRequestIdentification must be present.

This constraint is defined at the MessageDefinition level.

C17 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C19 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C20 OptionNumber1Rule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C21 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C22 OptionTypeRule

If CorporateActionInstruction/OptionType/Code value is TAXI (Tax Instruction), then at least one occurrence of BeneficialOwnerDetails must be present.

This constraint is defined at the MessageDefinition level.

C23 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C24 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C25 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C26 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C27 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C28 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 ChangeInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that the current instruction is replacing a previous one that was cancelled earlier.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.2 CancelledInstructionIdentification <CancelInstrId>

Presence: [0..1]

Definition: Identification of a previously sent cancelled instruction document.

CancelledInstructionIdentification <CancelInstrId> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

5.4.3 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a previously sent instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

5.4.4 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

5.4.5 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

5.4.6 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C13 "EventTypeRule", C19 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation156 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		284
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		284
	EventType <EvtTp>	[1..1]		C14	284
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		291
	UnderlyingSecurity <UndrlygScty>	[0..1]		C24	291
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C11, C12, C17, C18, C23	292
	PlaceOfListing <PlcOfListg>	[0..1]	±		293
	DayCountBasis <DayCntBsis>	[0..1]	±		294
	ClassificationType <ClssfctnTp>	[0..1]	±		294
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	294
	NextCouponDate <NxtCpnDt>	[0..1]	Date		295
	ExpiryDate <XpryDt>	[0..1]	Date		295
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		295
	MaturityDate <MtrtyDt>	[0..1]	Date		295
	IssueDate <IssDt>	[0..1]	Date		295
	NextCallableDate <NxtCllblDt>	[0..1]	Date		295
	PutableDate <PutblDt>	[0..1]	Date		295
	DatedDate <DtdDt>	[0..1]	Date		295
	ConversionDate <ConvsDt>	[0..1]	Date		296
	PreviousFactor <PrvsFctr>	[0..1]	Rate		296
	NextFactor <NxtFctr>	[0..1]	Rate		296
	InterestRate <IntrstRate>	[0..1]	Rate		296
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		296
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		296
	ContractSize <CtrctSz>	[0..1]	±		297

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

5.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["Max35Text" on page 1159](#)

5.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["Max35Text" on page 1159](#)

5.4.6.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C14 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		291

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

5.4.6.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often

CodeName	Name	Definition
		reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

CodeName	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the

CodeName	Name	Definition
		issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with

CodeName	Name	Definition
		different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to

CodeName	Name	Definition
		reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which

CodeName	Name	Definition
		usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

5.4.6.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

5.4.6.4 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Security concerned by the corporate action.

Impacted by: [C24 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C11, C12, C17, C18, C23	292
	PlaceOfListing <PlcOfListg>	[0..1]	±		293
	DayCountBasis <DayCntBsis>	[0..1]	±		294
	ClassificationType <ClssfctnTp>	[0..1]	±		294
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	294
	NextCouponDate <NxtCpnDt>	[0..1]	Date		295
	ExpiryDate <XpryDt>	[0..1]	Date		295
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		295
	MaturityDate <MtrtyDt>	[0..1]	Date		295
	IssueDate <IssDt>	[0..1]	Date		295
	NextCallableDate <NxtCllblDt>	[0..1]	Date		295
	PutableDate <PutblDt>	[0..1]	Date		295
	DatedDate <DtdDt>	[0..1]	Date		295
	ConversionDate <ConvDt>	[0..1]	Date		296
	PreviousFactor <PrvsFctr>	[0..1]	Rate		296
	NextFactor <NxtFctr>	[0..1]	Rate		296
	InterestRate <IntrstRate>	[0..1]	Rate		296
	NextInterestRate <NxtIntrstRate>	[0..1]	Rate		296
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		296
	ContractSize <CtrctSz>	[0..1]	±		297

Constraints

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

5.4.6.4.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of a financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <Othrid>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.6.4.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

5.4.6.4.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

5.4.6.4.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

5.4.6.4.5 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 1073

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

5.4.6.4.6 NextCouponDate <NxtCpnDt>

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: "ISODate" on page 1152

5.4.6.4.7 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: "ISODate" on page 1152

5.4.6.4.8 FloatingRateFixingDate <FltgRateFxdDt>

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: "ISODate" on page 1152

5.4.6.4.9 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1152

5.4.6.4.10 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1152

5.4.6.4.11 NextCallableDate <NxtClldDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1152

5.4.6.4.12 PutableDate <PutbldDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1152

5.4.6.4.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1152

5.4.6.4.14 ConversionDate <ConvDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1152

5.4.6.4.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1155

5.4.6.4.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

Datatype: "BaseOne14Rate" on page 1155

5.4.6.4.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

Datatype: "PercentageRate" on page 1156

5.4.6.4.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

Datatype: "PercentageRate" on page 1156

5.4.6.4.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

5.4.6.4.20 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.**ContractSize <CtrctSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

5.4.7 AccountDetails <AcctDtls>*Presence:* [1..1]*Definition:* General information about the safekeeping account, owner and account balance.*Impacted by:* C25 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		298
	AccountOwner <AcctOwnr>	[0..1]	±		298
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		299
	Balance <Bal>	[0..1]			299
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		300
	BlockedBalance <BlckdBal>	[0..1]	±		301
	BorrowedBalance <BrrwdBal>	[0..1]	±		301
	CollateralInBalance <CollInBal>	[0..1]	±		302
	CollateralOutBalance <CollOutBal>	[0..1]	±		302
	OnLoanBalance <OnLnBal>	[0..1]	±		302
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		303
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		303
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		303
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		304
	StreetPositionBalance <StrtPosBal>	[0..1]	±		304
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		304
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		305
	RegisteredBalance <RegdBal>	[0..1]	±		305

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

5.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

5.4.7.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

5.4.7.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

5.4.7.4 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalanceDetails32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		300
	BlockedBalance <BlckdBal>	[0..1]	±		301
	BorrowedBalance <BrrwdBal>	[0..1]	±		301
	CollateralInBalance <CollInBal>	[0..1]	±		302
	CollateralOutBalance <CollOutBal>	[0..1]	±		302
	OnLoanBalance <OnLnBal>	[0..1]	±		302
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		303
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		303
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		303
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		304
	StreetPositionBalance <StrtPosBal>	[0..1]	±		304
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		304
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		305
	RegisteredBalance <RegdBal>	[0..1]	±		305

5.4.7.4.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity17Choice](#)" on page 1014 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1014
{Or	OriginalAndCurrentFaceAmount <OrghlAndCurFaceAmt>	[1..1]			1015
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015
Or}	SignedQuantity <SgndQty>	[1..1]	±		1016
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1016
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1016
	Quantity <Qty>	[1..1]	Quantity		1016
	QuantityType <QtyTp>	[1..1]	Text		1016
	Issuer </ssr>	[1..1]	Text		1017
	SchemeName <SchmeNm>	[0..1]	Text		1017

5.4.7.4.2 BlockedBalance <BckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BckdBal> contains one of the following elements (see "[BalanceFormat5Choice](#)" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "[BalanceFormat5Choice](#)" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.4 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "[BalanceFormat5Choice](#)" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "[BalanceFormat5Choice](#)" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.7.4.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

5.4.8 BeneficialOwnerDetails <BnfclOwnrDtls>

Presence: [0..*]

Definition: Information about the beneficial owner of the securities.

Impacted by: C10 "DeclarationDetailsRule"

BeneficialOwnerDetails <BnfcOwnrDtls> contains the following **PartyIdentification236** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[1..1]			306
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	306
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		307
Or	NameAndAddress <NmAndAdr>	[1..1]	±		307
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		307
	LEIIdentification <LEIld>	[0..1]	IdentifierSet		308
	AlternateIdentification <Altrnld>	[0..*]	±		308
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C8	308
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C8	308
	OwnedSecuritiesQuantity <OwndSciesQty>	[1..1]	±		309
	CertificationType <CertfctnTp>	[0..*]	±		309
	WithholdingTaxRate <WhldgTaxRate>	[0..1]	±		309
	CertificationBreakdown <CertfctnBrkdown>	[0..*]	Text		310

Constraints

- **DeclarationDetailsRule**

Unless bilaterally agreed, DeclarationDetails must not contain information that can be provided in a structured field.

5.4.8.1 OwnerIdentification <Ownrld>

Presence: [1..1]

Definition: Party that is the beneficial owner of the specified quantity of securities.

OwnerIdentification <Ownrld> contains one of the following **PartyIdentification229Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	306
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		307
Or	NameAndAddress <NmAndAdr>	[1..1]	±		307
Or}	IndividualOwnerIdentification <IndvOwnrld>	[1..1]	±		307

5.4.8.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.8.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

5.4.8.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

5.4.8.1.4 IndividualOwnerIdentification <IndvOwnrId>

Presence: [1..1]

Definition: Individual local country specific identification of the owner agreed between the account servicer and account owner.

IndividualOwnerIdentification <IndvOwnrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

5.4.8.2 LEIdentification <LEId>

Presence: [0..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIdentifier](#)" on page 1154

5.4.8.3 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

5.4.8.4 DomicileCountry <DmclCtry>

Presence: [0..1]

Definition: Country in which a person is permanently domiciled (the place of a person's permanent home).

Impacted by: [C8 "Country"](#)

Datatype: "[CountryCode](#)" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.5 NonDomicileCountry <NonDmclCtry>

Presence: [0..*]

Definition: Holder of the security certifies, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Impacted by: C8 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.8.6 OwnedSecuritiesQuantity <OwndSctiesQty>

Presence: [1..1]

Definition: Quantity of securities belonging to the beneficial owner specified.

OwnedSecuritiesQuantity <OwndSctiesQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

5.4.8.7 CertificationType <CertfctnTp>

Presence: [0..*]

Definition: Type of certification which is required.

CertificationType <CertfctnTp> contains one of the following elements (see "BeneficiaryCertificationType10Choice" on page 916 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		917

5.4.8.8 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..1]

Definition: Requested percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

5.4.8.9 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides additional information about the type of certification/breakdown required.

Datatype: "Max350Text" on page 1159

5.4.9 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action instruction.

Impacted by: C20 "OptionNumber1Rule", C21 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption180** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		312
	OptionType <OptnTp>	[1..1]			312
{Or	Code <Cd>	[1..1]	CodeSet		313
Or}	Proprietary <Prtry>	[1..1]	±		314
	OptionFeatures <OptnFeatrs>	[0..1]	±		315
	FractionDisposition <FrctnDspstn>	[0..1]	±		315
	ChangeType <ChngTp>	[0..*]	±		315
	EligibleForCollateralIndicator <ElgblForCollInd>	[0..1]	Indicator		315
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		316
	CurrencyToBuy <CcyToBuy>	[0..1]	CodeSet	C1	316
	CurrencyToSell <CcyToSell>	[0..1]	CodeSet	C1	316
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	317
	SecurityIdentification <Sctyld>	[0..1]	±	C11, C12, C17, C18, C23	317
	SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstAmt>	[1..1]			318
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			318
	ConditionalQuantity <CondlQty>	[0..1]	±		319
	InstructedQuantity <InstQty>	[1..1]	±		319
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±		319
Or}	InstructedAmount <InstAmt>	[1..1]	Amount	C1, C9	320
	ExecutionRequestedDateTime <ExctnReqdDtTm>	[0..1]	±		320
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]			320
	ProposedRate <PropsdRate>	[0..1]	Rate		321
	OversubscriptionRate <OvrscptRate>	[0..1]	±		321
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		321
	RequestedSecondLevelTaxRate <ReqdScndLvTaxRate>	[0..*]	±		322
	PriceDetails <PricDtls>	[0..1]		C15	322
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			323

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		323
Or}	MarketPrice <MktPric>	[1..1]	±		324
	IssuePrice <IssePric>	[0..1]	±		324
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		324
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		325
	ShareholderNumber <ShrhldrNb>	[0..1]	Text		325
	AdditionalInformation <AddtlInf>	[0..1]			326
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		326
	DeliveryDetails <DlvryDtls>	[0..*]	Text		326
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		326
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		326

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by a Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

5.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on [page 963](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

5.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption40Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		313
Or}	Proprietary <Prtry>	[1..1]	±		314

5.4.9.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption16Code" on page 1114

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

5.4.9.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

5.4.9.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat25Choice](#)" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

5.4.9.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractional amount/quantities are treated.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "[FractionDispositionType28Choice](#)" on page 915 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		916

5.4.9.5 ChangeType <ChngTp>

Presence: [0..*]

Definition: Type of changes affecting the security form.

ChangeType <ChngTp> contains one of the following elements (see "[CorporateActionChangeTypeFormat6Choice](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		915

5.4.9.6 EligibleForCollateralIndicator <ElgblForCollInd>

Presence: [0..1]

Definition: Indicates that the corporate action instruction is to be processed using the Available-for-Collateral pool.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.7 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.9.8 CurrencyToBuy <CcyToBuy>

Presence: [0..1]

Definition: Account servicer is instructed to buy the indicated currency after the receipt of cash proceeds.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.9 CurrencyToSell <CcyToSell>

Presence: [0..1]

Definition: Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.10 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

5.4.9.11 SecurityIdentification <Sctyld>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C11 "DescriptionPresenceRule", C12 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C23 "OtherIdentificationPresenceRule"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <Othrlid>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

5.4.9.12 SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantityOrInstructedAmount <SctiesQtyOrInstdAmt> contains one of the following **SecuritiesQuantityOrAmount4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SecuritiesQuantity <SctiesQty>	[1..1]			318
	ConditionalQuantity <CondQty>	[0..1]	±		319
	InstructedQuantity <InstdQty>	[1..1]	±		319
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±		319
Or}	InstructedAmount <InstdAmt>	[1..1]	Amount	C1, C9	320

5.4.9.12.1 SecuritiesQuantity <SctiesQty>

Presence: [1..1]

Definition: Provides information about securities quantity linked to a corporate action option.

SecuritiesQuantity <SctiesQty> contains the following **SecuritiesOption72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConditionalQuantity <CondlQty>	[0..1]	±		319
	InstructedQuantity <InstdQty>	[1..1]	±		319
	AdditionalRoundUpQuantity <AddtlRndUpQty>	[0..1]	±		319

5.4.9.12.1.1 ConditionalQuantity <CondlQty>

Presence: [0..1]

Definition: Minimum quantity of securities to be accepted (used in the framework of conditional privilege on election). In case of proration, if this minimum quantity is not reached then the instruction is void.

ConditionalQuantity <CondlQty> contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

5.4.9.12.1.2 InstructedQuantity <InstdQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstdQty> contains one of the following elements (see "[Quantity20Choice](#)" on page 1019 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1019
Or}	Quantity <Qty>	[1..1]	±		1019

5.4.9.12.1.3 AdditionalRoundUpQuantity <AddtlRndUpQty>

Presence: [0..1]

Definition: Quantity of additional shares requested due to the difference of "round-up against payment" practice between the account servicer and the account holder (for instance for French dividend option).

AdditionalRoundUpQuantity <AddtlRndUpQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

5.4.9.12.2 InstructedAmount <InstdAmt>

Presence: [1..1]

Definition: Cash amount to be instructed.

Impacted by: C1 "ActiveCurrency", C9 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

5.4.9.13 ExecutionRequestedDateTime <ExctnReqdDtTm>

Presence: [0..1]

Definition: Date/time at which the instructing party requests the instruction to be executed.

ExecutionRequestedDateTime <ExctnReqdDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

5.4.9.14 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProposedRate <PropsdRate>	[0..1]	Rate		321
	OversubscriptionRate <OvrsbcptRate>	[0..1]	±		321
	RequestedWithholdingTaxRate <ReqdWhldgTaxRate>	[0..*]	±		321
	RequestedSecondLevelTaxRate <ReqdScndLvlTaxRate>	[0..*]	±		322

5.4.9.14.1 ProposedRate <PropsdRate>

Presence: [0..1]

Definition: Rate proposed in a remarketing of variable rate notes.

Datatype: "PercentageRate" on page 1156

5.4.9.14.2 OversubscriptionRate <OvrsbcptRate>

Presence: [0..1]

Definition: Rate of allowed over-subscription.

OversubscriptionRate <OvrsbcptRate> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

5.4.9.14.3 RequestedWithholdingTaxRate <ReqdWhldgTaxRate>

Presence: [0..*]

Definition: Requested tax rate that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

RequestedWithholdingTaxRate <ReqdWhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat40Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

5.4.9.14.4 RequestedSecondLevelTaxRate <ReqdScndLvITaxRate>

Presence: [0..*]

Definition: Requested rate at which the income will be withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible.

RequestedSecondLevelTaxRate <ReqdScndLvITaxRate> contains one of the following elements (see "RateAndAmountFormat40Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

5.4.9.15 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C15 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **CorporateActionPrice60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			323
{Or	IndicativePrice <IndctvPric>	[1..1]	±		323
Or}	MarketPrice <MktPric>	[1..1]	±		324
	IssuePrice <IssePric>	[0..1]	±		324
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		324
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		325

Constraints

- **GenericCashPricePerProductGuideline**

Generic Cash Price Received per Product (OFFR) and Generic Cash Price Paid per Product (PRPP) are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

5.4.9.15.1 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		323
Or}	MarketPrice <MktPric>	[1..1]	±		324

5.4.9.15.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

5.4.9.15.1.2 MarketPrice <MktPric>*Presence:* [1..1]*Definition:* Last reported/known price of a financial instrument in a market.**MarketPrice <MktPric>** contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

5.4.9.15.2 IssuePrice <IssePric>*Presence:* [0..1]*Definition:* Initial issue price of a financial instrument.**IssuePrice <IssePric>** contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

5.4.9.15.3 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>*Presence:* [0..1]*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat49Choice" on page 1000 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1000
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1000
	PriceValue <PricVal>	[1..1]	Rate		1001
Or	AmountPrice <AmtPric>	[1..1]	±		1001
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1001
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1001
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1002
	PriceValue <PricVal>	[1..1]	Amount	C1	1002
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1002
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1003
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1003
	PriceValue <PricVal>	[1..1]	Amount	C1	1003
	Amount <Amt>	[1..1]	Amount	C1	1004
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1004

5.4.9.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount, for example, reinvestment price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

5.4.9.16 ShareholderNumber <ShrhldrNb>

Presence: [0..1]

Definition: Reference number assigned to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

Datatype: "Max25Text" on page 1158

5.4.9.17 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationToComplyWith <InfToCmplyWth>	[0..*]	Text		326
	DeliveryDetails <DlvryDtls>	[0..*]	Text		326
	ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>	[0..*]	Text		326
	InstructionAdditionalInformation <InstrAddtlInf>	[0..*]	Text		326

5.4.9.17.1 InformationToComplyWith <InfToCmplyWth>

Presence: [0..*]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or Sophisticated Investor Letter (SIL) to be provided.

Datatype: "Max350Text" on page 1159

5.4.9.17.2 DeliveryDetails <DlvryDtls>

Presence: [0..*]

Definition: Provides additional information on the delivery details of the outturned (derived) securities. This narrative is only to be used in case the securities are not eligible at the agent/custodian, and may not be used for settlement instructions.

Datatype: "Max350Text" on page 1159

5.4.9.17.3 ForeignExchangeInstructionsAdditionalInformation <FXInstrsAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to foreign exchange instructions.

Datatype: "Max350Text" on page 1159

5.4.9.17.4 InstructionAdditionalInformation <InstrAddtlInf>

Presence: [0..*]

Definition: Provides additional details pertaining to the corporate action instruction.

Datatype: "Max350Text" on page 1159

5.4.10 ProtectInstruction <PrtctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		327
	TransactionIdentification <TxId>	[0..1]	Text		327
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		327
	ProtectDate <PrctDt>	[0..1]	Date		327

5.4.10.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1144

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

5.4.10.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1157

5.4.10.3 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "Max35Text" on page 1159

5.4.10.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1152

5.4.11 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative30** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationDetails <RegnDtls>	[0..*]	Text		328
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		328
	CertificationBreakdown <CertfctnBrkdwn>	[0..*]	Text		328

5.4.11.1 RegistrationDetails <RegnDtls>

Presence: [0..*]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 1159

5.4.11.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

5.4.11.3 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..*]

Definition: Provides information required for the certification/breakdown.

Datatype: "Max350Text" on page 1159

5.4.12 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C27 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 DRAFTseev.034.001.12 CorporateActionInstructionStatusAdviceV12

6.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionStatusAdvice message is sent by an account servicer to an account owner or its designated agent, to report the status of a received corporate action election instruction.

This message is used to advise the status, or a change in status, of a corporate action-related transaction previously instructed by, or executed on behalf of, the account owner. This will include the acknowledgement/rejection of a corporate action instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatusAdviceV12 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionIdentification
Identification of a related instruction document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. InstructionProcessingStatus
Information about the processing status of the instruction.
- E. CorporateActionInstruction
Information about the corporate action instruction.
- F. ProtectInstruction
Provides detailed information on protect and cover protect instructions.
- G. AdditionalInformation
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStsAdv>	[1..1]		C2, C9	
	InstructionIdentification <InstrId>	[0..1]	±		335
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		336
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]		C14, C19	336
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		337
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		337
	ClassActionNumber <ClsActnNb>	[0..1]	Text		337
	EventType <EvtTp>	[1..1]		C15	337
{Or	Code <Cd>	[1..1]	CodeSet		338
Or}	Proprietary <Prtry>	[1..1]	±		344
	InstructionProcessingStatus <InstrPrcgSts>	[1..*]			344
{Or	Cancelled <Canc>	[1..1]	±		345
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		346
Or	Rejected <Rjctd>	[1..1]			346
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		347
Or}	Reason <Rsn>	[1..*]		C5	347
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		348
Or}	Proprietary <Prtry>	[1..1]	±		349
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350
Or	Pending <Pdg>	[1..1]			350
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		350
Or}	Reason <Rsn>	[1..*]		C6	350
	ReasonCode <RsnCd>	[1..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		352
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		352
Or	DefaultAction <DfltActn>	[1..1]	±		352
Or	StandingInstruction <StglInstr>	[1..1]	±		353

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		353
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C20, C22, C24, C25	353
	OptionNumber <OptnNb>	[1..1]	±		355
	OptionType <OptnTp>	[1..1]			355
{Or	Code <Cd>	[1..1]	CodeSet		355
Or}	Proprietary <Prtry>	[1..1]	±		357
	OptionFeatures <OptnFeatr>	[0..1]	±		357
	AccountOwner <AcctOwnr>	[0..1]	±		357
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		358
	CashAccount <CshAcct>	[0..1]	±		358
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		358
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C17, C18, C21	359
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		360
	InstructedBalance <InstdBal>	[0..1]	±		360
	UninstructedBalance <UinstdBal>	[0..1]	±		360
	StatusQuantity <StsQty>	[0..1]	±		361
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	361
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		362
	ProtectInstruction <PrctInstr>	[0..1]			362
	TransactionType <TxTp>	[1..1]	CodeSet		362
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		362
	TransactionIdentification <TxId>	[0..1]	Text		363
	ProtectSafekeepingAccount <PrctSfkpgAcct>	[0..1]	Text		363
	ProtectDate <PrctDt>	[0..1]	Date		363
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		363
	AdditionalInformation <AddtlInf>	[0..1]			363
	AdditionalText <AddtlTxt>	[0..*]	Text		364
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		364

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C26	364

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True
/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C19 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C20 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C21 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C22 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C23 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C24 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C25 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C26 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification9](#)" on [page 826](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

6.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification33" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			801
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		802
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		802
	DocumentNumber <DocNb>	[0..1]	±	C80	802

6.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C14 "EventTypeRule", C19 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation154** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		337
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		337
	ClassActionNumber <ClssActnNb>	[0..1]	Text		337
	EventType <EvtTp>	[1..1]		C15	337
{Or	Code <Cd>	[1..1]	CodeSet		338
Or}	Proprietary <Prtry>	[1..1]	±		344

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

6.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

6.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

6.4.3.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

6.4.3.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C15 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		338
Or}	Proprietary <Prtry>	[1..1]	±		344

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

6.4.3.4.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.

CodeName	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).

CodeName	Name	Definition
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.

CodeName	Name	Definition
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or

CodeName	Name	Definition
		assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change

CodeName	Name	Definition
		in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.

CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

6.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

6.4.4 InstructionProcessingStatus <InstrPrcgSts>

Presence: [1..*]

Definition: Information about the processing status of the instruction.

InstructionProcessingStatus <InstrPrcgSts> contains one of the following
InstructionProcessingStatus40Choice elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Cancelled <Canc>	[1..1]	±		345
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		346
Or	Rejected <Rjctd>	[1..1]			346
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		347
Or}	Reason <Rsn>	[1..*]		C5	347
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		348
Or}	Proprietary <Prtry>	[1..1]	±		349
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350
Or	Pending <Pdg>	[1..1]			350
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		350
Or}	Reason <Rsn>	[1..*]		C6	350
	ReasonCode <RsnCd>	[1..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		352
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		352
Or	DefaultAction <DfltActn>	[1..1]	±		352
Or	StandingInstruction <StglInstr>	[1..1]	±		353
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		353

6.4.4.1 Cancelled <Canc>

Presence: [1..1]

Definition: Provides status information related to an instruction request cancelled.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus12Choice](#)" on page 1068 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1068
Or}	Reason <Rsn>	[1..*]		C3	1068
	ReasonCode <RsnCd>	[1..1]			1069
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

6.4.4.2 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains one of the following elements (see "[AcceptedStatus8Choice](#)" on page 1066 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1066
Or}	Reason <Rsn>	[1..*]		C4	1067
	ReasonCode <RsnCd>	[1..1]			1067
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1068

6.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to an instruction request rejected for further processing due to system reasons.

Rejected <Rjctd> contains one of the following **RejectedStatus34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		347
Or}	Reason <Rsn>	[1..*]		C5	347
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		348
Or}	Proprietary <Prtry>	[1..1]	±		349
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

6.4.4.3.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		348
Or}	Proprietary <Prtry>	[1..1]	±		349
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason34Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		348
Or}	Proprietary <Prtry>	[1..1]	±		349

6.4.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason56Code" on page 1146

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.

CodeName	Name	Definition
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.

6.4.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

6.4.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

6.4.4.4 Pending <Pdg>

Presence: [1..1]

Definition: Provides status information related to a pending instruction.

Pending <Pdg> contains one of the following **PendingStatus61Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		350
Or}	Reason <Rsn>	[1..*]		C6	350
	ReasonCode <RsnCd>	[1..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		352
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		352

6.4.4.4.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: [C6 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **PendingStatusReason21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			351
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		352
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		352

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	±		352

6.4.4.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Datatype: "PendingReason20Code" on page 1141

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.

CodeName	Name	Definition
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.

6.4.4.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/event has a pending status.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

6.4.4.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: ["Max210Text" on page 1158](#)

6.4.4.5 DefaultAction <DfltActn>

Presence: [1..1]

Definition: Default action is taken.

DefaultAction <DfltActn> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

6.4.4.6 StandingInstruction <StgInstr>

Presence: [1..1]

Definition: Standing instruction has been applied.

StandingInstruction <StgInstr> contains the following elements (see ["NoSpecifiedReason1"](#) on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1058

6.4.4.7 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see ["ProprietaryStatusAndReason6"](#) on page 1070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1070
	ProprietaryReason <PrtryRsn>	[0..*]	±	C7	1070

6.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action instruction.

Impacted by: [C20 "OptionNumberRule"](#), [C22 "SafekeepingPlaceRule"](#), [C24 "StatusQuantityOrStatusCashAmount1Rule"](#), [C25 "StatusQuantityOrStatusCashAmount2Rule"](#)

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption184** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		355
	OptionType <OptnTp>	[1..1]			355
{Or	Code <Cd>	[1..1]	CodeSet		355
Or}	Proprietary <Prtry>	[1..1]	±		357
	OptionFeatures <OptnFeatrs>	[0..1]	±		357
	AccountOwner <AcctOwnr>	[0..1]	±		357
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		358
	CashAccount <CshAcct>	[0..1]	±		358
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		358
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C17, C18, C21	359
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		360
	InstructedBalance <InstdBal>	[0..1]	±		360
	UninstructedBalance <UinstdBal>	[0..1]	±		360
	StatusQuantity <StsQty>	[0..1]	±		361
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C1, C11	361
	SolicitationDealerFeeIndicator <SlctnDealrFeeInd>	[0..1]	Indicator		362

Constraints

- **OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- **SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- **StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

On Condition

/StatusQuantity is present

Following Must be True

/StatusCashAmount Must be absent

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

On Condition
 /StatusCashAmount is present
 Following Must be True
 /StatusQuantity Must be absent

6.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

6.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption41Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		355
Or}	Proprietary <Prtry>	[1..1]	±		357

6.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption17Code](#)" on page 1115

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).

CodeName	Name	Definition
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.

CodeName	Name	Definition
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

6.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

6.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat25Choice](#)" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

6.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

6.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

6.4.5.6 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

6.4.5.7 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

6.4.5.8 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C21 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True

```

/ISIN Must be present
Or /Description Must be present

6.4.5.9 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "SignedQuantityFormat7" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

6.4.5.10 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains the following elements (see "SignedQuantityFormat7" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

6.4.5.11 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat7](#)" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer </ssr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

6.4.5.12 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity6Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

6.4.5.13 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: [C1](#) "ActiveCurrency", [C11](#) "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

6.4.5.14 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>

Presence: [0..1]

Definition: Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.6 ProtectInstruction <PrctctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctctInstr> contains the following **ProtectInstruction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		362
	ProtectTransactionStatus <PrctctTxSts>	[0..1]	CodeSet		362
	TransactionIdentification <TxId>	[0..1]	Text		363
	ProtectSafekeepingAccount <PrctctSfkpgAcct>	[0..1]	Text		363
	ProtectDate <PrctctDt>	[0..1]	Date		363
	UncoveredProtectQuantity <UcvrdPrctctQty>	[0..1]	±		363

6.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType2Code" on page 1144

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

6.4.6.2 ProtectTransactionStatus <PrctctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus3Code" on page 1143

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

6.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1157

6.4.6.4 ProtectSafekeepingAccount <PrctSfkpgAcct>

Presence: [0..1]

Definition: Account which submitted the original protect instruction used for cover protect instructions whereby one safekeeping account is covering on behalf of another safekeeping account.

Datatype: "Max35Text" on page 1159

6.4.6.5 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1152

6.4.6.6 UncoveredProtectQuantity <UcvrdPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 1049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1049
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1049

6.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		364
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		364

6.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1159

6.4.7.2 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

6.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C26 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **DRAFTseev.035.001.12**

CorporateActionMovementPreliminaryAdvice

V12

7.1 MessageDefinition Functionality

Scope

The CorporateActionMovementPreliminaryAdvice message is sent by an account servicer to an account owner or its designated agent to pre-advise an upcoming posting or reversal of securities and/or cash postings.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementPreliminaryAdviceV12 MessageDefinition is composed of 27 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

B. MovementPreliminaryAdviceGeneralInformation

General information about the movement preliminary advice document.

C. PreviousMovementPreliminaryAdviceIdentification

Identification of the previously sent movement preliminary advice document.

D. NotificationIdentification

Identification of a previously sent notification document.

E. MovementConfirmationIdentification

Identification of a previously sent movement confirmation document.

F. InstructionIdentification

Identification of a related instruction document.

G. OtherDocumentIdentification

Identification of other documents as well as the document number.

H. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

I. ReversalReason

Reason for the reversal.

J. CorporateActionGeneralInformation

General information about the corporate action event.

K. AccountDetails

General information about the safekeeping account, owner and account balance.

L. CorporateActionDetails

Information about the corporate action event.

M. CorporateActionMovementDetails

Information about the corporate action option.

N. AdditionalInformation

Provides additional information.

O. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

P. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

Q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

R. Registrar

Party/agent responsible for maintaining the register of a security.

S. ResellingAgent

A broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

T. PhysicalSecuritiesAgent

A trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

U. DropAgent

A trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

V. SolicitationAgent

A trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

W. InformationAgent

A trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

X. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

Y. Issuer

Issuer of the financial instrument.

Z. Offeror

Party making the offer and which is different from the issuing company.

A TransferAgent

- A. A trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntPrimryAdv>	[1..1]		C9, C11, C31, C44, C48, C51, C52	
	Pagination <Pgntn>	[0..1]	±		387
	MovementPreliminaryAdviceGeneralInformation <MvmntPrimryAdvGnlInf>	[1..1]	±		387
	PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvId>	[0..1]	±		388
	NotificationIdentification <NtfctnId>	[0..1]	±		388
	MovementConfirmationIdentification <MvmntConfId>	[0..1]	±		388
	InstructionIdentification <InstrId>	[0..1]	±		389
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		389
	EventsLinkage <EvtsLkg>	[0..*]	±		389
	ReversalReason <RvslRsn>	[0..1]	±	C5	390
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C42	390
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		392
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		392
	ClassActionNumber <ClssActnNb>	[0..1]	Text		392
	EventProcessingType <EvtPrcgTp>	[0..1]	±		392
	EventType <EvtTp>	[1..1]	±	C18	393
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		393
	UnderlyingSecurity <UndrlygScty>	[1..1]		C36, C46	393
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	395
	PlaceOfListing <PlcOfListg>	[0..1]	±		396
	DayCountBasis <DayCntBsis>	[0..1]	±		396
	ClassificationType <ClssfctnTp>	[0..1]	±		396
	OptionStyle <OptnStyle>	[0..1]	±		397
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	397

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NextCouponDate <NxtCpnDt>	[0..1]	Date		397
	ExpiryDate <XpryDt>	[0..1]	Date		397
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		397
	MaturityDate <MtrtyDt>	[0..1]	Date		397
	IssueDate <IsseDt>	[0..1]	Date		398
	NextCallableDate <NxtClblDt>	[0..1]	Date		398
	PutableDate <PutblDt>	[0..1]	Date		398
	DatedDate <DtdDt>	[0..1]	Date		398
	ConversionDate <ConvsDt>	[0..1]	Date		398
	InterestRate <IntrstRate>	[0..1]	±		398
	NextInterestRate <NxtIntrstRate>	[0..1]	±		398
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		399
	PreviousFactor <PrvsFctr>	[0..1]	±		399
	NextFactor <NxtFctr>	[0..1]	±		399
	WarrantParity <WarrtParity>	[0..1]	±		400
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		400
	ContractSize <CtrctSz>	[0..1]	±		400
	AccountDetails <AcctDtls>	[1..1]			400
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		401
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C53	402
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		403
	AccountOwner <AcctOwnr>	[0..1]	±		404
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		404
	Balance <Bal>	[0..1]		C7	404
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		405
	BlockedBalance <BlckdBal>	[0..1]	±		406
	BorrowedBalance <BrrwdBal>	[0..1]	±		406
	CollateralInBalance <CollInBal>	[0..1]	±		406
	CollateralOutBalance <CollOutBal>	[0..1]	±		407
	OnLoanBalance <OnLnBal>	[0..1]	±		407
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		407

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		408
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		408
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		408
	StreetPositionBalance <StrtPosBal>	[0..1]	±		409
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		409
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		409
	RegisteredBalance <RegdBal>	[0..1]	±		410
	ObligatedBalance <OblgtdBal>	[0..1]	±		410
	UninstructedBalance <UinstdBal>	[0..1]	±		410
	InstructedBalance <InstdBal>	[0..1]	±		411
	AffectedBalance <AfctdBal>	[0..1]	±		411
	UnaffectedBalance <UafctdBal>	[0..1]	±		411
	CorporateActionDetails <CorpActnDtls>	[0..1]			412
	DateDetails <DtDtls>	[0..1]			412
	RecordDate <RcrdDt>	[0..1]	±		412
	ExDividendDate <ExDvddDt>	[0..1]	±		413
	LotteryDate <LtryDt>	[0..1]	±		413
	EventStage <EvtStag>	[0..1]	±		413
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		414
	LotteryType <LtryTp>	[0..1]	±		414
	SecuritiesQuantity <ScitiesQty>	[0..1]			414
	BaseDenomination <BaseDnmtn>	[0..1]	±		414
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		415
	CorporateActionMovementDetails <CorpActnMvmntDtls>	[0..*]		C6, C10, C30, C50, C43	415
	OptionNumber <OptnNb>	[1..1]	Text		424
	OptionType <OptnTp>	[1..1]			424
{Or	Code <Cd>	[1..1]	CodeSet		425
Or}	Proprietary <Prtry>	[1..1]	±		426
	FractionDisposition <FrctnDspstn>	[0..1]	±		426
	OfferType <OfferTp>	[0..*]	±		427

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionFeatures <OptnFeatr>	[0..*]	±		427
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>	[0..1]	±		427
	OptionAvailabilityStatus <OptnAvlbtys>	[0..1]	±		427
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		428
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C12	428
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C12	428
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	428
	DefaultProcessingOrStandingInstruction <DfltPrccgOrStglInstr>	[1..1]	±		429
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		429
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		429
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		429
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		430
	AppliedOptionIndicator <ApplOptnInd>	[0..1]	Indicator		430
	SecurityIdentification <SctyId>	[0..1]	±	C16, C17, C32, C33, C45	430
	DateDetails <DtDtls>	[0..1]			431
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		432
	ProtectDeadline <PrctDdln>	[0..1]	±		433
	MarketDeadline <MktDdln>	[0..1]	±		433
	ResponseDeadline <RspnDdln>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			435
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436
	PeriodDetails <PrdDtls>	[0..1]			436
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		436

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		437
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		437
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C38, C40, C54, C56, C58, C62, C64	438
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDividendRate <GrssDvddRate>	[0..*]	±		440
	NetDividendRate <NetDvddRate>	[0..*]	±		441
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsubcptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvITax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445
	PriceDetails <PricDtls>	[0..1]			445
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447
	SecuritiesQuantity <SctiesQty>	[0..1]			447
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		448

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		448
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		449
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		449
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C19, C28, C34	450
	SecurityDetails <SctyDtls>	[1..1]		C37, C47	453
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C16, C17, C32, C33, C45	455
	PlaceOfListing <PlcOfListg>	[0..1]	±		456
	DayCountBasis <DayCntBsis>	[0..1]	±		456
	ClassificationType <ClssfctnTp>	[0..1]	±		456
	OptionStyle <OptnStyle>	[0..1]	±		457
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	457
	NextCouponDate <NxtCpnDt>	[0..1]	Date		457
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		457
	MaturityDate <MtrtyDt>	[0..1]	Date		457
	IssueDate <IssDt>	[0..1]	Date		457
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		458
	PutableDate <PutblDt>	[0..1]	Date		458
	DatedDate <DtdDt>	[0..1]	Date		458
	ConversionDate <ConvsDt>	[0..1]	Date		458
	PreviousFactor <PrvsFctr>	[0..1]	±		458
	NextFactor <NxtFctr>	[0..1]	±		458
	InterestRate <IntrstRate>	[0..1]	±		459
	NextInterestRate <NxtIntrstRate>	[0..1]	±		459
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		459
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		460
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		460
	ContractSize <CtrctSz>	[0..1]	±		460

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuePrice <IssePric>	[0..1]	±		460
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		461
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		461
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		462
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		462
	IncomeType <IncmTp>	[0..1]	±		462
	ExemptionType <XmptnTp>	[0..*]	±		463
	EntitledQuantity <EntitldQty>	[0..1]	±		463
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		463
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	464
	FractionDisposition <FrctnDspstn>	[0..1]	±		464
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	464
	TradingPeriod <TradgPrd>	[0..1]	±		465
	DateDetails <DtDtls>	[1..1]			465
	PaymentDate <PmtDt>	[1..1]	±		465
	AvailableDate <AvlbIDt>	[0..1]	±		466
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		466
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		466
	PariPassuDate <PrpssDt>	[0..1]	±		467
	LastTradingDate <LastTradgDt>	[0..1]	±		467
	RateDetails <RateDtls>	[0..1]			467
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbRslnntScities>	[0..1]	±		468
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>	[0..1]	±		468
	NewToOld <NewToOd>	[0..1]	±		469
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		469
	ChargesFees <ChrgsFees>	[0..1]	±		469
	FiscalStamp <FscIStmp>	[0..1]	±		470
	ApplicableRate <AplblRate>	[0..1]	±		470
	TaxCreditRate <TaxCdtRate>	[0..1]	±		470

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		471
	PriceDetails <PricDtls>	[0..1]		C21	471
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			471
{Or	IndicativePrice <IndctvPric>	[1..1]	±		472
Or}	MarketPrice <MktPric>	[1..1]	±		472
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		472
	CashValueForTax <CshValForTax>	[0..1]	±		473
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		473
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		473
	CashMovementDetails <CshMvmntDtls>	[0..*]		C20, C29, C35	474
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		478
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		478
	NonEligibleProceedsIndicator <NonElgblPrdsInd>	[0..1]	±		478
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		479
	IncomeType <IncmTp>	[0..1]	±		479
	ExemptionType <XmptnTp>	[0..*]	±		479
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	480
	CashAccountIdentification <CshAcctId>	[0..1]	±		480
	AmountDetails <AmtDtls>	[0..1]			480
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	482
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	482
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	483
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	483
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	484
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	484
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	484
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	485
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	485
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	486

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FullyFrankedAmount <FullyFrnkdAmt>	[0..1]	Amount	C1, C13	486
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	487
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	487
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	487
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C13	488
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	488
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	489
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	489
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	489
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	490
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	490
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C13	491
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	491
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	491
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	492
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	492
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	493
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	493
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	493
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	494
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	494
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	495
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	495
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	495
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	496
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	496
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	497
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	497
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	497
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	498
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	498

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	499
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	499
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	499
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	500
	DateDetails <DtDtls>	[1..1]			500
	PaymentDate <PmtDt>	[1..1]	±		501
	ValueDate <ValDt>	[0..1]	±		501
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		501
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		501
	ForeignExchangeDetails <FXDtls>	[0..1]	±		502
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C14, C15, C24, C26, C39, C41, C55, C57, C59, C63, C65	502
	AdditionalTax <AddtlTax>	[0..1]	±		505
	GrossDividendRate <GrssDvddRate>	[0..*]	±		505
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		505
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		506
	SecondLevelTax <ScndLvTax>	[0..*]	±		506
	ChargesFees <ChrgsFees>	[0..1]	±		507
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		507
	FiscalStamp <FscIStmp>	[0..1]	±		508
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		508
	NetDividendRate <NetDvddRate>	[0..*]	±		508
	ApplicableRate <AplblRate>	[0..1]	±		509
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		509
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	TaxOnIncome <TaxOnIncm>	[0..1]	±		510
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		510
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		511

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EqualisationRate <EqulstnRate>	[0..1]	±		511
	DeemedRate <DmdRate>	[0..*]	±		511
	PriceDetails <PricDtls>	[0..1]		C22	512
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		513
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		513
	AdditionalInformation <AddtlInf>	[0..1]		C3	514
	AdditionalText <AddtlTxt>	[0..1]	±		515
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		515
	InformationConditions <InfConds>	[0..1]	±		515
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		516
	SecurityRestriction <SctyRstrctn>	[0..1]	±		516
	TaxationConditions <TaxtnConds>	[0..1]	±		516
	Disclaimer <Dsclmr>	[0..1]	±		517
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		517
	AdditionalInformation <AddtlInf>	[0..1]		C4	517
	AdditionalText <AddtlTxt>	[0..1]	±		518
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		518
	InformationConditions <InfConds>	[0..1]	±		519
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		519
	TaxationConditions <TaxtnConds>	[0..1]	±		519
	Disclaimer <Dsclmr>	[0..1]	±		520
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		520
	RegistrationDetails <RegnDtls>	[0..1]	±		520
	BasketOrIndexInformation <BsktOrIndxInf>	[0..1]	±		521
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		521
	IssuerAgent <IssrAgt>	[0..*]	±		521
	PayingAgent <PngAgt>	[0..*]	±		522
	SubPayingAgent <SubPngAgt>	[0..*]	±		522
	Registrar <Regar>	[0..1]	±		522
	ResellingAgent <RsellingAgt>	[0..*]	±		523
	PhysicalSecuritiesAgent <PhysScetiesAgt>	[0..1]	±		523

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DropAgent <DrpAgt>	[0..1]	±		523
	SolicitationAgent <SlctnAgt>	[0..*]	±		524
	InformationAgent <InfAgt>	[0..1]	±		524
	SupplementaryData <SplmtryData>	[0..*]	±	C61	524
	Issuer <Issr>	[0..1]	±		525
	Offeror <Offerr>	[0..*]	±		525
	TransferAgent <TrfAgt>	[0..1]	±		525

7.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalTextRule

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

C7 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 AppliedOptionRule

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

This constraint is defined at the MessageDefinition level.

C10 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

C11 BeneficialOwnerBreakdownRequestRule

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionMovementDetails[*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value 'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C12 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C13 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C14 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

C15 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C16 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C17 DescriptionUsageRule

Description must be used alone as the last resort.

C18 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C19 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C20 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C21 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C22 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C23 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

C24 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

C25 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

C26 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C27 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C28 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C29 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C30 InstructCashAmountRule

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

C31 IntermediateSecuritiesDistributionRule

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionMovementDetails/IntermediateSecuritiesDistributionType must be present in at least one occurrence of CorporateActionMovementDetails.

(MT 564 NVR C11).

On Condition

/CorporateActionGeneralInformation/EventType/Code is equal to value 'IntermediateSecuritiesDistribution'

Following Must be True

/CorporateActionMovementDetails[*]/IntermediateSecuritiesDistributionType Must be present

This constraint is defined at the MessageDefinition level.

C32 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C33 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C34 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C35 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C36 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C37 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

C38 NetDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C39 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

C40 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

C41 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

C42 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C43 OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

C44 OtherEventRule

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present

And /CorporateActionGeneralInformation/EventType/Code is equal to value 'OtherEvent'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText Must be present

And /AdditionalInformation/AdditionalText/AdditionalInformation[1] Must be present

This constraint is defined at the MessageDefinition level.

C45 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C46 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C47 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

C48 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDividendRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/InterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

This constraint is defined at the MessageDefinition level.

C49 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C50 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

C51 SafekeepingAccount1Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumNominalQuantity Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumQuantityToInstruct Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/MinimumMultipleQuantityToInstruct Must be absent

And /CorporateActionMovementDetails[*]/SecuritiesMovementDetails[*]/

SecurityDetails/ContractSize Must be absent

This constraint is defined at the MessageDefinition level.

C52 SafekeepingAccount2Rule

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

On Condition

/AccountDetails/ForAllAccounts is present

And /AccountDetails/ForAllAccounts/IdentificationCode is equal to value 'General'

Following Must be True

/CorporateActionMovementDetails[*]/CashMovementDetails[*]/AmountDetails

Must be absent

This constraint is defined at the MessageDefinition level.

C53 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C54 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C55 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C56 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C57 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C58 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

C59 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

C60 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C61 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C62 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C63 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C64 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C65 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C66 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		898
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		898

7.4.2 MovementPreliminaryAdviceGeneralInformation <MvmntPrlmryAdvGnllnf>

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

MovementPreliminaryAdviceGeneralInformation <MvmntPrimryAdvGnlInf> contains the following elements (see ["CorporateActionPreliminaryAdviceType2"](#) on page 960 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		960
	EligibilityIndicator <ElgbltyInd>	[0..1]	Indicator		960

7.4.3 PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvId>

Presence: [0..1]

Definition: Identification of the previously sent movement preliminary advice document.

PreviousMovementPreliminaryAdviceIdentification <PrvsMvmntPrimryAdvId> contains the following elements (see ["DocumentIdentification31"](#) on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

7.4.4 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see ["DocumentIdentification31"](#) on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

7.4.5 MovementConfirmationIdentification <MvmntConfId>

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfId> contains the following elements (see ["DocumentIdentification31"](#) on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

7.4.6 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "[DocumentIdentification9](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

7.4.7 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "[DocumentIdentification32](#)" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

7.4.8 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "[CorporateActionEventReference3](#)" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

7.4.9 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C5 "AdditionalReasonInformationRule"

ReversalReason <RvslRsn> contains the following elements (see "CorporateActionReversalReason3" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		914

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.10 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C42 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation141 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		392
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		392
	ClassActionNumber <ClssActnNb>	[0..1]	Text		392
	EventProcessingType <EvtPrcgTp>	[0..1]	±		392
	EventType <EvtTp>	[1..1]	±	C18	393
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		393
	UnderlyingSecurity <UndrlygScty>	[1..1]		C36, C46	393
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	395
	PlaceOfListing <PlcOfListg>	[0..1]	±		396
	DayCountBasis <DayCntBsis>	[0..1]	±		396
	ClassificationType <ClssfctnTp>	[0..1]	±		396
	OptionStyle <OptnStyle>	[0..1]	±		397
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	397
	NextCouponDate <NxtCpnDt>	[0..1]	Date		397
	ExpiryDate <XpryDt>	[0..1]	Date		397
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		397
	MaturityDate <MtrtyDt>	[0..1]	Date		397
	IssueDate <IssDt>	[0..1]	Date		398
	NextCallableDate <NxtCllblDt>	[0..1]	Date		398
	PutableDate <PutblDt>	[0..1]	Date		398
	DatedDate <DtdDt>	[0..1]	Date		398
	ConversionDate <ConvsDt>	[0..1]	Date		398
	InterestRate <IntrstRate>	[0..1]	±		398
	NextInterestRate <NxtIntrstRate>	[0..1]	±		398
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		399
	PreviousFactor <PrvsFctr>	[0..1]	±		399
	NextFactor <NxtFctr>	[0..1]	±		399

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WarrantParity <WarrtParity>	[0..1]	±		400
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		400
	ContractSize <CtrctSz>	[0..1]	±		400

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

7.4.10.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

7.4.10.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

7.4.10.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

7.4.10.4 EventProcessingType <EvtPrcgTp>

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

EventProcessingType <EvtPrcgTp> contains one of the following elements (see "CorporateActionEventProcessingType2Choice" on page 959 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		960

7.4.10.5 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: [C18 "EventTypeRule"](#)

EventType <EvtTp> contains one of the following elements (see ["CorporateActionEventType86Choice"](#) on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		885

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

7.4.10.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see ["CorporateActionMandatoryVoluntary3Choice"](#) on page 958 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

7.4.10.7 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: [C36 "MaturityDateRule"](#), [C46 "PreviousNextFactorRule"](#)

UnderlyingSecurity <UndrlygScty> contains the following **FinancialInstrumentAttributes79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	395
	PlaceOfListing <PlcOfListg>	[0..1]	±		396
	DayCountBasis <DayCntBsis>	[0..1]	±		396
	ClassificationType <ClssfctnTp>	[0..1]	±		396
	OptionStyle <OptnStyle>	[0..1]	±		397
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	397
	NextCouponDate <NxtCpnDt>	[0..1]	Date		397
	ExpiryDate <XpryDt>	[0..1]	Date		397
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		397
	MaturityDate <MtrtyDt>	[0..1]	Date		397
	IssueDate <IsseDt>	[0..1]	Date		398
	NextCallableDate <NxtClblDt>	[0..1]	Date		398
	PutableDate <PutblDt>	[0..1]	Date		398
	DatedDate <DtdDt>	[0..1]	Date		398
	ConversionDate <ConvstDt>	[0..1]	Date		398
	InterestRate <IntrstRate>	[0..1]	±		398
	NextInterestRate <NxtIntrstRate>	[0..1]	±		398
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	±		399
	PreviousFactor <PrvsFctr>	[0..1]	±		399
	NextFactor <NxtFctr>	[0..1]	±		399
	WarrantParity <WarrtParity>	[0..1]	±		400
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		400
	ContractSize <CtrctSz>	[0..1]	±		400

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.10.7.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C16 "DescriptionPresenceRule", C17 "DescriptionUsageRule", C32 "ISINGuideline", C33 "ISINPresenceRule", C45 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

7.4.10.7.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

7.4.10.7.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

7.4.10.7.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

7.4.10.7.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		954
Or}	Proprietary <Prtry>	[1..1]	±		954

7.4.10.7.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1073**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.10.7.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1152**7.4.10.7.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1152**7.4.10.7.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1152**7.4.10.7.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: "ISODate" on page 1152

7.4.10.7.11 IssueDate <IsseDt>

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: "ISODate" on page 1152

7.4.10.7.12 NextCallableDate <NxtCllbDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: "ISODate" on page 1152

7.4.10.7.13 PutableDate <PutbDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: "ISODate" on page 1152

7.4.10.7.14 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 1152

7.4.10.7.15 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 1152

7.4.10.7.16 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.10.7.17 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.10.7.18 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

PercentageOfDebtClaim <PctgOfDebtClm> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.10.7.19 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see ["RateFormat12Choice"](#) on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

7.4.10.7.20 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see ["RateFormat12Choice"](#) on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

7.4.10.7.21 WarrantParity <WarrtParity>*Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see ["QuantityToQuantityRatio1"](#) on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

7.4.10.7.22 MinimumNominalQuantity <MinNmnlQty>*Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.10.7.23 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.**ContractSize <CtrctSz>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.11 AccountDetails <AcctDtls>*Presence:* [1..1]*Definition:* General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification41Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		401
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C53	402
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		403
	AccountOwner <AcctOwnr>	[0..1]	±		404
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		404
	Balance <Bal>	[0..1]		C7	404
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		405
	BlockedBalance <BlckdBal>	[0..1]	±		406
	BorrowedBalance <BrrwdBal>	[0..1]	±		406
	CollateralInBalance <CollInBal>	[0..1]	±		406
	CollateralOutBalance <CollOutBal>	[0..1]	±		407
	OnLoanBalance <OnLnBal>	[0..1]	±		407
	PendingDeliveryBalance <PdgDivryBal>	[0..*]	±		407
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		408
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		408
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		408
	StreetPositionBalance <StrtPosBal>	[0..1]	±		409
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		409
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		409
	RegisteredBalance <RegdBal>	[0..1]	±		410
	ObligatedBalance <OblgtdBal>	[0..1]	±		410
	UninstructedBalance <UinstdBal>	[0..1]	±		410
	InstructedBalance <InstdBal>	[0..1]	±		411
	AffectedBalance <AfctdBal>	[0..1]	±		411
	UnaffectedBalance <UafctdBal>	[0..1]	±		411

7.4.11.1 ForAllAccounts <ForAllAccts>

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

ForAllAccounts <ForAllAccts> contains the following elements (see "[AccountIdentification10](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		781

7.4.11.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

Impacted by: [C53 "SafekeepingPlaceRule"](#)

AccountsListAndBalanceDetails <AcctsListAndBalDtls> contains the following **AccountAndBalance42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		403
	AccountOwner <AcctOwnr>	[0..1]	±		404
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		404
	Balance <Bal>	[0..1]		C7	404
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		405
	BlockedBalance <BlckdBal>	[0..1]	±		406
	BorrowedBalance <BrrwdBal>	[0..1]	±		406
	CollateralInBalance <CollInBal>	[0..1]	±		406
	CollateralOutBalance <CollOutBal>	[0..1]	±		407
	OnLoanBalance <OnLnBal>	[0..1]	±		407
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		407
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		408
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		408
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		408
	StreetPositionBalance <StrtPosBal>	[0..1]	±		409
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		409
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		409
	RegisteredBalance <RegdBal>	[0..1]	±		410
	ObligatedBalance <OblgtdBal>	[0..1]	±		410
	UninstructedBalance <UinstdBal>	[0..1]	±		410
	InstructedBalance <InstdBal>	[0..1]	±		411
	AffectedBalance <AfctdBal>	[0..1]	±		411
	UnaffectedBalance <UafctdBal>	[0..1]	±		411

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

7.4.11.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

7.4.11.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

7.4.11.2.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

7.4.11.2.4 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Impacted by: C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		405
	BlockedBalance <BlckdBal>	[0..1]	±		406
	BorrowedBalance <BrrwdBal>	[0..1]	±		406
	CollateralInBalance <CollInBal>	[0..1]	±		406
	CollateralOutBalance <CollOutBal>	[0..1]	±		407
	OnLoanBalance <OnLnBal>	[0..1]	±		407
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		407
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		408
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		408
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		408
	StreetPositionBalance <StrtPosBal>	[0..1]	±		409
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		409
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		409
	RegisteredBalance <RegdBal>	[0..1]	±		410
	ObligatedBalance <OblgtdBal>	[0..1]	±		410
	UninstructedBalance <UinstdBal>	[0..1]	±		410
	InstructedBalance <InstdBal>	[0..1]	±		411
	AffectedBalance <AfctdBal>	[0..1]	±		411
	UnaffectedBalance <UafctdBal>	[0..1]	±		411

Constraints

- **AffectedAndUnaffectedBalanceRule**

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

7.4.11.2.4.1 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "TotalEligibleBalanceFormat8" on page 783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		783
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		783
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		784

7.4.11.2.4.2 BlockedBalance <BckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BckdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.3 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.4 CollateralInBalance <CollnBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.5 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.6 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.7 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

7.4.11.2.4.8 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

7.4.11.2.4.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

7.4.11.2.4.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.12 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.13 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.14 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.15 ObligatedBalance <OblgtdBal>

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.16 UninstructedBalance <UinstdBal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.17 InstructedBalance <InstdBal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.18 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.11.2.4.19 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

7.4.12 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			412
	RecordDate <RcrdDt>	[0..1]	±		412
	ExDividendDate <ExDvddDt>	[0..1]	±		413
	LotteryDate <LtryDt>	[0..1]	±		413
	EventStage <EvtStag>	[0..1]	±		413
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		414
	LotteryType <LtryTp>	[0..1]	±		414
	SecuritiesQuantity <ScitiesQty>	[0..1]			414
	BaseDenomination <BaseDnmtn>	[0..1]	±		414
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		415

7.4.12.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		412
	ExDividendDate <ExDvddDt>	[0..1]	±		413
	LotteryDate <LtryDt>	[0..1]	±		413

7.4.12.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.12.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.12.1.3 LotteryDate <LtryDt>

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

LotteryDate <LtryDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.12.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

7.4.12.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat10Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		912

7.4.12.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		941

7.4.12.5 SecuritiesQuantity <SctiesQty>

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

SecuritiesQuantity <SctiesQty> contains the following **CorporateActionQuantity9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BaseDenomination <BaseDnmtn>	[0..1]	±		414
	IncrementalDenomination <IncrmtlDnmtn>	[0..1]	±		415

7.4.12.5.1 BaseDenomination <BaseDnmtn>

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

BaseDenomination <BaseDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.12.5.2 IncrementalDenomination <IncrmtlDnmtn>

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

IncrementalDenomination <IncrmtlDnmtn> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13 CorporateActionMovementDetails <CorpActnMvmntDtls>

Presence: [0..*]

Definition: Information about the corporate action option.

Impacted by: C6 "AdditionalTextRule", C10 "AppliedOptionRule", C30 "InstructCashAmountRule", C50 "RevocabilityPeriodRule", C43 "OptionNumberGuideline"

CorporateActionMovementDetails <CorpActnMvmntDtls> contains the following
CorporateActionOption178 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	Text		424
	OptionType <OptnTp>	[1..1]			424
{Or	Code <Cd>	[1..1]	CodeSet		425
Or}	Proprietary <Prtry>	[1..1]	±		426
	FractionDisposition <FrctnDspstn>	[0..1]	±		426
	OfferType <OfferTp>	[0..*]	±		427
	OptionFeatures <OptnFeatrs>	[0..*]	±		427
	IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtp>	[0..1]	±		427
	OptionAvailabilityStatus <OptnAvlbtys>	[0..1]	±		427
	CertificationBreakdownType <CertfctnBrkdwnTp>	[0..*]	±		428
	NonDomicileCountry <NonDmclCtry>	[0..*]	CodeSet	C12	428
	ValidDomicileCountry <VldDmclCtry>	[0..*]	CodeSet	C12	428
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	428
	DefaultProcessingOrStandingInstruction <DfltPrcgOrStgInstr>	[1..1]	±		429
	ChargesAppliedIndicator <ChrgsAppldInd>	[0..1]	Indicator		429
	CertificationBreakdownIndicator <CertfctnBrkdwnInd>	[0..1]	Indicator		429
	WithdrawalAllowedIndicator <WdrwlAllwdInd>	[0..1]	Indicator		429
	ChangeAllowedIndicator <ChngAllwdInd>	[0..1]	Indicator		430
	AppliedOptionIndicator <AppldOptnInd>	[0..1]	Indicator		430
	SecurityIdentification <SctyId>	[0..1]	±	C16, C17, C32, C33, C45	430
	DateDetails <DtDtls>	[0..1]			431
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		432
	ProtectDeadline <PrctDdln>	[0..1]	±		433
	MarketDeadline <MktDdln>	[0..1]	±		433
	ResponseDeadline <RspnDdln>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			435
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436
	PeriodDetails <PrdDtls>	[0..1]			436
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		436
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		437
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		437
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C23, C25, C38, C40, C54, C56, C58, C62, C64	438
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDividendRate <GrssDvddRate>	[0..*]	±		440
	NetDividendRate <NetDvddRate>	[0..*]	±		441
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvTax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]			445
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447
	SecuritiesQuantity <SctiesQty>	[0..1]			447
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		448
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		448
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		449
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		449
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C19, C28, C34	450
	SecurityDetails <SctyDtls>	[1..1]		C37, C47	453
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	455
	PlaceOfListing <PlcOfListg>	[0..1]	±		456
	DayCountBasis <DayCntBsis>	[0..1]	±		456
	ClassificationType <ClssfctnTp>	[0..1]	±		456
	OptionStyle <OptnStyle>	[0..1]	±		457
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	457
	NextCouponDate <NxtCpnDt>	[0..1]	Date		457
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		457
	MaturityDate <MtrtyDt>	[0..1]	Date		457
	IssueDate <IsseDt>	[0..1]	Date		457
	NextCallableDate <NxtCllblDt>	[0..1]	Date		458

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PutableDate <PutblDt>	[0..1]	Date		458
	DatedDate <DtdDt>	[0..1]	Date		458
	ConversionDate <ConvsDt>	[0..1]	Date		458
	PreviousFactor <PrvsFctr>	[0..1]	±		458
	NextFactor <NxtFctr>	[0..1]	±		458
	InterestRate <IntrstRate>	[0..1]	±		459
	NextInterestRate <NxtIntrstRate>	[0..1]	±		459
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		459
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		460
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		460
	ContractSize <CtrctSz>	[0..1]	±		460
	IssuePrice <IssePric>	[0..1]	±		460
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	TemporaryFinancialInstrumentIndicator <TempFinInstrmlnd>	[0..1]	±		461
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		461
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		462
	NewSecuritiesIssuanceIndicator <NewScitiesIssnclnd>	[0..1]	CodeSet		462
	IncomeType <IncmTp>	[0..1]	±		462
	ExemptionType <XmptnTp>	[0..*]	±		463
	EntitledQuantity <EntitldQty>	[0..1]	±		463
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		463
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	464
	FractionDisposition <FrctnDspstn>	[0..1]	±		464
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	464
	TradingPeriod <TradgPrd>	[0..1]	±		465
	DateDetails <DtDtls>	[1..1]			465
	PaymentDate <PmtDt>	[1..1]	±		465
	AvailableDate <AvlblDt>	[0..1]	±		466
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		466
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		466

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PariPassuDate <PrpssDt>	[0..1]	±		467
	LastTradingDate <LastTradgDt>	[0..1]	±		467
	RateDetails <RateDtls>	[0..1]			467
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScties>	[0..1]	±		468
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		468
	NewToOld <NewToOd>	[0..1]	±		469
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		469
	ChargesFees <ChrgsFees>	[0..1]	±		469
	FiscalStamp <FscIStmp>	[0..1]	±		470
	ApplicableRate <AplblRate>	[0..1]	±		470
	TaxCreditRate <TaxCdtRate>	[0..1]	±		470
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		471
	PriceDetails <PricDtls>	[0..1]		C21	471
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			471
{Or	IndicativePrice <IndctvPric>	[1..1]	±		472
Or}	MarketPrice <MktPric>	[1..1]	±		472
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		472
	CashValueForTax <CshValForTax>	[0..1]	±		473
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		473
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		473
	CashMovementDetails <CshMvmntDtls>	[0..*]		C20, C29, C35	474
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		478
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		478
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		478
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		479
	IncomeType <IncmTp>	[0..1]	±		479
	ExemptionType <XmptnTp>	[0..*]	±		479
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	480
	CashAccountIdentification <CshAcctId>	[0..1]	±		480

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountDetails <AmtDtls>	[0..1]			480
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	482
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	482
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	483
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	483
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	484
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	484
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	484
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	485
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	485
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	486
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	486
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	487
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	487
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	487
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C13	488
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	488
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	489
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	489
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	489
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	490
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	490
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C13	491
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	491
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	491
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	492
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	492
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	493
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	493
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	493

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	494
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	494
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	495
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	495
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	495
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	496
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	496
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	497
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	497
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	497
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	498
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	498
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	499
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	499
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	499
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	500
	DateDetails <DtDtls>	[1..1]			500
	PaymentDate <PmtDt>	[1..1]	±		501
	ValueDate <ValDt>	[0..1]	±		501
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		501
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		501
	ForeignExchangeDetails <FXDtls>	[0..1]	±		502
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C14, C15, C24, C26, C39, C41, C55, C57, C59, C63, C65	502
	AdditionalTax <AddtlTax>	[0..1]	±		505
	GrossDividendRate <GrssDvddRate>	[0..*]	±		505
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		505

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		506
	SecondLevelTax <ScndLvlTax>	[0..*]	±		506
	ChargesFees <ChrgsFees>	[0..1]	±		507
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		507
	FiscalStamp <FscIStmp>	[0..1]	±		508
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		508
	NetDividendRate <NetDvddRate>	[0..*]	±		508
	ApplicableRate <AplblRate>	[0..1]	±		509
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		509
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	TaxOnIncome <TaxOnIncm>	[0..1]	±		510
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		510
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		511
	EqualisationRate <EqulstnRate>	[0..1]	±		511
	DeemedRate <DmdRate>	[0..*]	±		511
	PriceDetails <PricDtls>	[0..1]		C22	512
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		513
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		513
	AdditionalInformation <AddtlInf>	[0..1]		C3	514
	AdditionalText <AddtlTxt>	[0..1]	±		515
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		515
	InformationConditions <InfCnds>	[0..1]	±		515
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		516
	SecurityRestriction <SctyRstrctn>	[0..1]	±		516
	TaxationConditions <TaxtnCnds>	[0..1]	±		516
	Disclaimer <Dsclmr>	[0..1]	±		517
	CertificationBreakdown <CertfctnBrkdwn>	[0..1]	±		517

Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

```
On Condition
  /OptionType is present
  And /OptionType/Code is equal to value 'Other'
Following Must be True
  /AdditionalInformation Must be present
  And /AdditionalInformation/AdditionalText Must be present
  And /AdditionalInformation/AdditionalText/AdditionalInformation Must be
  present
```

- **AppliedOptionRule**

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

```
On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
  And /PriceDetails/MinimumCashToInstruct Must be absent
  And /PriceDetails/MinimumMultipleCashToInstruct Must be absent
```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

7.4.13.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1156

7.4.13.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption37Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		425
Or}	Proprietary <Prtry>	[1..1]	±		426

7.4.13.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 1112

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.

CodeName	Name	Definition
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

7.4.13.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

7.4.13.3 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see ["FractionDispositionType26Choice"](#) on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		938

7.4.13.4 OfferType <OfferTp>*Presence:* [0..*]*Definition:* Specifies the conditions that apply to the offer.**OfferType <OfferTp>** contains one of the following elements (see "[OfferTypeFormat10Choice](#)" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		949
Or}	Proprietary <Prtry>	[1..1]	±		950

7.4.13.5 OptionFeatures <OptnFeatr>*Presence:* [0..*]*Definition:* Specifies the features that may apply to a corporate action option.**OptionFeatures <OptnFeatr>** contains one of the following elements (see "[OptionFeaturesFormat24Choice](#)" on page 896 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

7.4.13.6 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>*Presence:* [0..1]*Definition:* Specifies the type of intermediates securities distribution.**IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>** contains one of the following elements (see "[IntermediateSecuritiesDistributionTypeFormat15Choice](#)" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		944
Or}	Proprietary <Prtry>	[1..1]	±		945

7.4.13.7 OptionAvailabilityStatus <OptnAvlbtys>*Presence:* [0..1]*Definition:* Specifies the status of the option.**OptionAvailabilityStatus <OptnAvlbtys>** contains one of the following elements (see "[OptionAvailabilityStatus3Choice](#)" on page 936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

7.4.13.8 CertificationBreakdownType <CertfctnBrkdwnTp>*Presence:* [0..*]*Definition:* Type of certification/breakdown.**CertificationBreakdownType <CertfctnBrkdwnTp>** contains one of the following elements (see ["BeneficiaryCertificationType9Choice"](#) on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		936

7.4.13.9 NonDomicileCountry <NonDmclCtry>*Presence:* [0..*]*Definition:* Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.*Impacted by:* [C12 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.10 ValidDomicileCountry <VldDmclCtry>*Presence:* [0..*]*Definition:* Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.*Impacted by:* [C12 "Country"](#)*Datatype:* ["CountryCode"](#) on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.11 CurrencyOption <CcyOptn>*Presence:* [0..1]*Definition:* Currency in which the cash disbursed from an interest or dividend payment is offered.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* ["ActiveCurrencyCode"](#) on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.12 DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr>

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

DefaultProcessingOrStandingInstruction <DfltPrcgOrStglInstr> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		831
Or}	StandingInstructionIndicator <StglInstrInd>	[1..1]	Indicator		831

7.4.13.13 ChargesAppliedIndicator <ChrgsAppldInd>

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.14 CertificationBreakdownIndicator <CertfctnBrkdwnInd>

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.15 WithdrawalAllowedIndicator <WdrwlAllwdInd>

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.16 ChangeAllowedIndicator <ChngAllwdInd>

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.17 AppliedOptionIndicator <ApIdOptnInd>

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.13.18 SecurityIdentification <SctyId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: [C16 "DescriptionPresenceRule"](#), [C17 "DescriptionUsageRule"](#), [C32 "ISINGuideline"](#), [C33 "ISINPresenceRule"](#), [C45 "OtherIdentificationPresenceRule"](#)

SecurityIdentification <SctyId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

7.4.13.19 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtls> contains the following **CorporateActionDate78** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		432
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		432
	ProtectDeadline <PrctDdln>	[0..1]	±		433
	MarketDeadline <MktDdln>	[0..1]	±		433
	ResponseDeadline <RspnDdln>	[0..1]	±		433
	ExpiryDate <XpryDt>	[0..1]	±		434
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		434
	DepositoryCoverExpirationDate <DpstryCoverXprtnDt>	[0..1]	±		435
	StockLendingDeadline <StockLndgDdln>	[0..1]	±		435
	BorrowerStockLendingDeadline <BrrwrStockLndgDdln>	[0..*]			435
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436

7.4.13.19.1 EarlyResponseDeadline <EarlyRspnDdln>

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.2 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.3 ProtectDeadline <PrctctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctctDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.4 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.5 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		792
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			792
	DateCode <DtCd>	[1..1]			793
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793
	Time <Tm>	[1..1]	Time		793
Or}	DateCode <DtCd>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

7.4.13.19.6 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>*Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.9 StockLendingDeadline <StockLndgDdln>*Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdln>*Presence:* [0..*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdln>** contains the following **BorrowerLendingDeadline5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StockLendingDeadline <StockLndgDdln>	[1..1]	±		435
	Borrower <Brrwr>	[1..1]	±		436

7.4.13.19.10.1 StockLendingDeadline <StockLndgDdln>*Presence:* [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

StockLendingDeadline <StockLndgDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.19.10.2 Borrower <Brrwr>

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.

Borrower <Brrwr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

7.4.13.20 PeriodDetails <PrdDtls>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtls> contains the following **CorporateActionPeriod12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		436
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		437
	ActionPeriod <ActnPrd>	[0..1]	±		437
	RevocabilityPeriod <RvcbltyPrd>	[0..1]	±		437
	PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>	[0..1]	±		437
	AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>	[0..1]	±		438
	DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>	[0..1]	±		438

7.4.13.20.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.2 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.3 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.4 RevocabilityPeriod <RvcbltyPrd>

Presence: [0..1]

Definition: Period during which the shareholder can revoke, change or withdraw its instruction.

RevocabilityPeriod <RvcbltyPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

Presence: [0..1]

Definition: Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

PrivilegeSuspensionPeriod <PrvlgSspnsnPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

Presence: [0..1]

Definition: Period during which the participant of the account servicer can revoke change or withdraw its instructions.

AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.20.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

Presence: [0..1]

Definition: Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl> contains one of the following elements (see "Period6Choice" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.21 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C23 "GrossDividendRate2Rule", C25 "GrossDividendRate3Rule", C38 "NetDividendRate1Rule", C40 "NetDividendRate2Rule", C54 "SecondLevelTax1Rule", C56 "SecondLevelTax2Rule", C58 "SecondLevelTax3Rule", C62 "WithholdingTaxRate1Rule", C64 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate106** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		440
	GrossDividendRate <GrssDvddRate>	[0..*]	±		440
	NetDividendRate <NetDvddRate>	[0..*]	±		441
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		442
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	±		443
	ProrationRate <PrratnRate>	[0..1]	±		443
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		444
	SecondLevelTax <ScndLvITax>	[0..*]	±		444
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		444
	TaxOnIncome <TaxOnIncm>	[0..1]	±		445

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate.

(MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.21.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.21.2 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat36Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	863
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	864
	RateStatus <RateSts>	[1..1]	CodeSet		864
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			864
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	866
	RateStatus <RateSts>	[0..1]		C69	866
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		867

7.4.13.21.3 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat38Choice" on page 853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	854
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			854
	Amount <Amt>	[1..1]	Amount	C1	855
	RateStatus <RateSts>	[1..1]	CodeSet		855
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			855
	RateType <RateTp>	[1..1]			855
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		856
	Amount <Amt>	[1..1]	Amount	C1	857
	RateStatus <RateSts>	[0..1]		C69	857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		858

7.4.13.21.4 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat8Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or	Amount <Amt>	[1..1]	Amount	C1	932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

7.4.13.21.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.21.6 ProrationRate <PrratnRate>

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

ProrationRate <PrratnRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.21.7 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

7.4.13.21.8 SecondLevelTax <ScndLvITax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

7.4.13.21.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>*Presence:* [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		928
	Amount <Amt>	[1..1]	Amount	C1	928
	RateStatus <RateSts>	[0..1]		C69	928
{Or	Code <Cd>	[1..1]	CodeSet		929
Or}	Proprietary <Prtry>	[1..1]	±		929

7.4.13.21.10 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.22 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

PriceDetails <PricDtls> contains the following **CorporateActionPrice68** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		446
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		446
	MaximumCashToInstruct <MaxCshToInst>	[0..1]	±		446
	MinimumCashToInstruct <MinCshToInst>	[0..1]	±		447
	MinimumMultipleCashToInstruct <MinMltplCshToInst>	[0..1]	±		447

7.4.13.22.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

7.4.13.22.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

7.4.13.22.3 MaximumCashToInstruct <MaxCshToInst>*Presence:* [0..1]*Definition:* Maximum cash amount that may be instructed.**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		994

7.4.13.22.4 MinimumCashToInstruct <MinCshToInst>*Presence:* [0..1]*Definition:* Minimum cash amount that may be instructed.**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		994

7.4.13.22.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>*Presence:* [0..1]*Definition:* Minimum multiple of a cash amount that may be instructed.**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		994

7.4.13.23 SecuritiesQuantity <SctiesQty>*Presence:* [0..1]*Definition:* Provides information about securities quantity related to a corporate action option.**SecuritiesQuantity <SctiesQty>** contains the following **SecuritiesOption51** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MaximumQuantityToInstruct <MaxQtyToInst>	[0..1]	±		448
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		448
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		448
	NewBoardLotQuantity <NewBrdLotQty>	[0..1]	±		448
	NewDenominationQuantity <NewDnmtnQty>	[0..1]	±		449
	FrontEndOddLotQuantity <FrntEndOddLotQty>	[0..1]	±		449
	BackEndOddLotQuantity <BckEndOddLotQty>	[0..1]	±		449

7.4.13.23.1 MaximumQuantityToInstruct <MaxQtyToInst>*Presence:* [0..1]*Definition:* Maximum quantity of financial instrument that may be instructed.**MaximumQuantityToInstruct <MaxQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

7.4.13.23.2 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity19Choice" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

7.4.13.23.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13.23.4 NewBoardLotQuantity <NewBrdLotQty>*Presence:* [0..1]*Definition:* Quantity of equity that makes up the new board lot.

NewBoardLotQuantity <NewBrdLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13.23.5 NewDenominationQuantity <NewDnmtnQty>

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

NewDenominationQuantity <NewDnmtnQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13.23.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

FrontEndOddLotQuantity <FrntEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13.23.7 BackEndOddLotQuantity <BckEndOddLotQty>

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

BackEndOddLotQuantity <BckEndOddLotQty> contains one of the following elements (see "FinancialInstrumentQuantity20Choice" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

7.4.13.24 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

Impacted by: C19 "ExemptionTypeUsageRule", C28 "IncomeTypeUsageRule", C34 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption71** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityDetails <SctyDtls>	[1..1]		C37, C47	453
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	455
	PlaceOfListing <PlcOfListg>	[0..1]	±		456
	DayCountBasis <DayCntBsis>	[0..1]	±		456
	ClassificationType <ClssfctnTp>	[0..1]	±		456
	OptionStyle <OptnStyle>	[0..1]	±		457
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	457
	NextCouponDate <NxtCpnDt>	[0..1]	Date		457
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		457
	MaturityDate <MtrtyDt>	[0..1]	Date		457
	IssueDate <IsseDt>	[0..1]	Date		457
	NextCallableDate <NxtCllbldDt>	[0..1]	Date		458
	PutableDate <PutblDt>	[0..1]	Date		458
	DatedDate <DtdDt>	[0..1]	Date		458
	ConversionDate <ConvsDt>	[0..1]	Date		458
	PreviousFactor <PrvsFctr>	[0..1]	±		458
	NextFactor <NxtFctr>	[0..1]	±		458
	InterestRate <IntrstRate>	[0..1]	±		459
	NextInterestRate <NxtIntrstRate>	[0..1]	±		459
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		459
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		460
	MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>	[0..1]	±		460
	ContractSize <CtrctSz>	[0..1]	±		460
	IssuePrice <IssePric>	[0..1]	±		460
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		461
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		461
	NonEligibleProceedsIndicator <NonElgblPrcdsInd>	[0..1]	±		461

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		462
	NewSecuritiesIssuanceIndicator <NewSctiesIssnclnd>	[0..1]	CodeSet		462
	IncomeType <IncmTp>	[0..1]	±		462
	ExemptionType <XmptnTp>	[0..*]	±		463
	EntitledQuantity <EntitldQty>	[0..1]	±		463
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		463
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	464
	FractionDisposition <FrctnDspstn>	[0..1]	±		464
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	464
	TradingPeriod <TradgPrd>	[0..1]	±		465
	DateDetails <DtDtls>	[1..1]			465
	PaymentDate <PmtDt>	[1..1]	±		465
	AvailableDate <AvlblDt>	[0..1]	±		466
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		466
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		466
	PariPassuDate <PrpssDt>	[0..1]	±		467
	LastTradingDate <LastTradgDt>	[0..1]	±		467
	RateDetails <RateDtls>	[0..1]			467
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		468
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		468
	NewToOld <NewToOd>	[0..1]	±		469
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		469
	ChargesFees <ChrgsFees>	[0..1]	±		469
	FiscalStamp <FscIStmp>	[0..1]	±		470
	ApplicableRate <AplblRate>	[0..1]	±		470
	TaxCreditRate <TaxCdtRate>	[0..1]	±		470
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		471
	PriceDetails <PricDtls>	[0..1]		C21	471
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			471
{Or	IndicativePrice <IndctvPric>	[1..1]	±		472
Or}	MarketPrice <MktPric>	[1..1]	±		472

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		472
	CashValueForTax <CshValForTax>	[0..1]	±		473
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		473
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		473

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.24.1 SecurityDetails <SctyDtls>

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

Impacted by: C37 "MaturityDateRule", C47 "PreviousNextFactorRule"

SecurityDetails <SctyDtls> contains the following **FinancialInstrumentAttributes80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C16, C17, C32, C33, C45	455
	PlaceOfListing <PlcOfListg>	[0..1]	±		456
	DayCountBasis <DayCntBsis>	[0..1]	±		456
	ClassificationType <ClssfctnTp>	[0..1]	±		456
	OptionStyle <OptnStyle>	[0..1]	±		457
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C2	457
	NextCouponDate <NxtCpnDt>	[0..1]	Date		457
	FloatingRateFixingDate <FltgRateFxdDt>	[0..1]	Date		457
	MaturityDate <MtrtyDt>	[0..1]	Date		457
	IssueDate <IsseDt>	[0..1]	Date		457
	NextCallableDate <NxtCllblDt>	[0..1]	Date		458
	PutableDate <PutblDt>	[0..1]	Date		458
	DatedDate <DtdDt>	[0..1]	Date		458
	ConversionDate <ConvstDt>	[0..1]	Date		458
	PreviousFactor <PrvsFctr>	[0..1]	±		458
	NextFactor <NxtFctr>	[0..1]	±		458
	InterestRate <IntrstRate>	[0..1]	±		459
	NextInterestRate <NxtIntrstRate>	[0..1]	±		459
	MinimumNominalQuantity <MinNmnlQty>	[0..1]	±		459
	MinimumQuantityToInstruct <MinQtyToInst>	[0..1]	±		460
	MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>	[0..1]	±		460
	ContractSize <CtrctSz>	[0..1]	±		460
	IssuePrice <IssePric>	[0..1]	±		460

Constraints

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

7.4.13.24.1.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: [C16 "DescriptionPresenceRule"](#), [C17 "DescriptionUsageRule"](#), [C32 "ISINGuideline"](#), [C33 "ISINPresenceRule"](#), [C45 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see ["SecurityIdentification19"](#) on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

7.4.13.24.1.2 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

PlaceOfListing <PlcOfListg> contains one of the following elements (see "MarketIdentification3Choice" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

7.4.13.24.1.3 DayCountBasis <DayCntBsis>

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

DayCountBasis <DayCntBsis> contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

7.4.13.24.1.4 ClassificationType <ClssfctnTp>

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

ClassificationType <ClssfctnTp> contains one of the following elements (see "ClassificationType32Choice" on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

7.4.13.24.1.5 OptionStyle <OptnStyle>*Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		954
Or}	Proprietary <Prtry>	[1..1]	±		954

7.4.13.24.1.6 DenominationCurrency <DnmtnCcy>*Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C2 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1073**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.1.7 NextCouponDate <NxtCpnDt>*Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1152**7.4.13.24.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1152**7.4.13.24.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1152**7.4.13.24.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

Datatype: ["ISODate" on page 1152](#)

7.4.13.24.1.11 NextCallableDate <NxtCllbIDt>

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 1152](#)

7.4.13.24.1.12 PutableDate <PutbIDt>

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 1152](#)

7.4.13.24.1.13 DatedDate <DtdDt>

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 1152](#)

7.4.13.24.1.14 ConversionDate <ConvsDt>

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 1152](#)

7.4.13.24.1.15 PreviousFactor <PrvsFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

PreviousFactor <PrvsFctr> contains one of the following elements (see ["RateFormat12Choice" on page 961](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

7.4.13.24.1.16 NextFactor <NxtFctr>

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

NextFactor <NxtFctr> contains one of the following elements (see ["RateFormat12Choice"](#) on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

7.4.13.24.1.17 InterestRate <IntrstRate>

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

InterestRate <IntrstRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.24.1.18 NextInterestRate <NxtIntrstRate>

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

NextInterestRate <NxtIntrstRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.24.1.19 MinimumNominalQuantity <MinNmnlQty>

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

MinimumNominalQuantity <MinNmnlQty> contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.13.24.1.20 MinimumQuantityToInstruct <MinQtyToInst>*Presence:* [0..1]*Definition:* Minimum quantity of financial instrument that may be instructed.**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.13.24.1.21 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>*Presence:* [0..1]*Definition:* Minimum multiple quantity of financial instrument that may be instructed.**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.13.24.1.22 ContractSize <CtrctSz>*Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.**ContractSize <CtrctSz>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

7.4.13.24.1.23 IssuePrice <IssePric>*Presence:* [0..1]*Definition:* Initial issue/valuation price of a resulting security under a corporate action.

IssuePrice <IssePric> contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

7.4.13.24.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.24.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 926 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		926
Or}	Proprietary <Prtry>	[1..1]	±		927

7.4.13.24.4 NonEligibleProceedsIndicator <NonElgblPrctdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrctdsInd> contains one of the following elements (see "NonEligibleProceedsIndicator3Choice" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		926
Or}	Proprietary <Prtry>	[1..1]	±		926

7.4.13.24.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>*Presence:* [0..1]*Definition:* Proceeds are taxable according to the information provided by the issuer / offeror.**IssuerOfferorTaxabilityIndicator <IssrOfferrTaxblyInd>** contains one of the following elements (see ["IssuerOfferorTaxabilityIndicator1Choice"](#) on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

7.4.13.24.6 NewSecuritiesIssuanceIndicator <NewSctiesIssnclnd>*Presence:* [0..1]*Definition:* Specifies the nature of the securities issue.*Datatype:* ["NewSecuritiesIssuanceType5Code"](#) on page 1135

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

7.4.13.24.7 IncomeType <IncmTp>*Presence:* [0..1]*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

7.4.13.24.8 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

7.4.13.24.9 EntitledQuantity <EntitldQty>

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

EntitledQuantity <EntitldQty> contains one of the following elements (see "[Quantity6Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

7.4.13.24.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat29Choice" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			894
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		894
	Identification <Id>	[0..1]	Text		894
Or	Country <Ctry>	[1..1]	CodeSet	C3	894
Or	TypeAndIdentification <TpAndId>	[1..1]			895
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		895
	Identification <Id>	[1..1]	IdentifierSet	C1	895
Or}	Proprietary <Prtry>	[1..1]	±		896

7.4.13.24.11 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C12 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.24.12 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType26Choice" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		938

7.4.13.24.13 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.4.13.24.14 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

TradingPeriod <TradgPrd> contains one of the following elements (see "[Period6Choice](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

7.4.13.24.15 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		465
	AvailableDate <AvlblDt>	[0..1]	±		466
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		466
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		466
	PariPassuDate <PrpssDt>	[0..1]	±		467
	LastTradingDate <LastTradgDt>	[0..1]	±		467

7.4.13.24.15.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.15.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.15.3 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.15.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.15.5 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.15.6 LastTradingDate <LastTradgDt>

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

LastTradingDate <LastTradgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.24.16 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate89** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>	[0..1]	±		468
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		468
	NewToOld <NewToOd>	[0..1]	±		469
	TransformationRate <TrfrmatnRate>	[0..1]	Rate		469
	ChargesFees <ChrgsFees>	[0..1]	±		469
	FiscalStamp <FscIStmp>	[0..1]	±		470
	ApplicableRate <AplblRate>	[0..1]	±		470
	TaxCreditRate <TaxCdtRate>	[0..1]	±		470
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	±		471

7.4.13.24.16.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslntScies> contains one of the following elements (see "RatioFormat17Choice" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		924
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924
Or}	AmountToAmount <AmtToAmt>	[1..1]			925
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

7.4.13.24.16.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities> contains one of the following elements (see "RatioFormat17Choice" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		924
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924
Or}	AmountToAmount <AmtToAmt>	[1..1]			925
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

7.4.13.24.16.3 NewToOld <NewToOd>

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

NewToOld <NewToOd> contains one of the following elements (see "RatioFormat18Choice" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		921
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921
Or	AmountToAmount <AmtToAmt>	[1..1]			922
	Amount1 <Amt1>	[1..1]	Amount	C1	922
	Amount2 <Amt2>	[1..1]	Amount	C1	922
Or	AmountToQuantity <AmtToQty>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		923
Or}	QuantityToAmount <QtyToAmt>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		924

7.4.13.24.16.4 TransformationRate <TrfrmatnRate>

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "PercentageRate" on page 1156

7.4.13.24.16.5 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see ["RateAndAmountFormat37Choice"](#) on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.24.16.6 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.24.16.7 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see ["RateFormat3Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.24.16.8 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see ["RateFormat20Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

7.4.13.24.16.9 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.24.17 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* C21 "GenericCashPricePerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			471
{Or	IndicativePrice <IndctvPric>	[1..1]	±		472
Or}	MarketPrice <MktPric>	[1..1]	±		472
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		472
	CashValueForTax <CshValForTax>	[0..1]	±		473
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		473
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		473

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

7.4.13.24.17.1 IndicativeOrMarketPrice <IndctvOrMktPric>*Presence:* [0..1]*Definition:* Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		472
Or}	MarketPrice <MktPric>	[1..1]	±		472

7.4.13.24.17.1.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "[PriceFormat45Choice](#)" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

7.4.13.24.17.1.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "[PriceFormat45Choice](#)" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

7.4.13.24.17.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat45Choice" on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1012

7.4.13.24.17.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains one of the following elements (see "PriceFormat46Choice" on page 1010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1010
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1011

7.4.13.24.17.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

7.4.13.24.17.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat47Choice" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1006
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007
Or	AmountPrice <AmtPric>	[1..1]	±		1007
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1007
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1007
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1009
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1010

7.4.13.25 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

Impacted by: C20 "ExemptionTypeUsageRule", C29 "IncomeTypeUsageRule", C35 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		478
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		478
	NonEligibleProceedsIndicator <NonElgblPrclsInd>	[0..1]	±		478
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		479
	IncomeType <IncmTp>	[0..1]	±		479
	ExemptionType <XmptnTp>	[0..*]	±		479
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C12	480
	CashAccountIdentification <CshAcctId>	[0..1]	±		480
	AmountDetails <AmtDtls>	[0..1]			480
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	482
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	482
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	483
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	483
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	484
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	484
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	484
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	485
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	485
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	486
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	486
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	487
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	487
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	487
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C13	488
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	488
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	489
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	489
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	489
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	490
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	490

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C13	491
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	491
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	491
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	492
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	492
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	493
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	493
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	493
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	494
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	494
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	495
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	495
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	495
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	496
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	496
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	497
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	497
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	497
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	498
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C13	498
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	499
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	499
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	499
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	500
	DateDetails <DtDtls>	[1..1]			500
	PaymentDate <PmtDt>	[1..1]	±		501
	ValueDate <ValDt>	[0..1]	±		501
	ForeignExchangeRateFixingDate <FXRateFvgDt>	[0..1]	±		501
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		501
	ForeignExchangeDetails <FXDtls>	[0..1]	±		502

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C14, C15, C24, C26, C39, C41, C55, C57, C59, C63, C65	502
	AdditionalTax <AddtlTax>	[0..1]	±		505
	GrossDividendRate <GrssDvddRate>	[0..*]	±		505
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		505
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		506
	SecondLevelTax <ScndLvITax>	[0..*]	±		506
	ChargesFees <ChrgsFees>	[0..1]	±		507
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		507
	FiscalStamp <FscIStmp>	[0..1]	±		508
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		508
	NetDividendRate <NetDvddRate>	[0..*]	±		508
	ApplicableRate <AplblRate>	[0..1]	±		509
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		509
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	TaxOnIncome <TaxOnIncm>	[0..1]	±		510
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		510
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		511
	EqualisationRate <EqulstnRate>	[0..1]	±		511
	DeemedRate <DmdRate>	[0..*]	±		511
	PriceDetails <PricDtls>	[0..1]		C22	512
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		513
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		513

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

7.4.13.25.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.4.13.25.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1139

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

7.4.13.25.3 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

NonEligibleProceedsIndicator <NonElgblPrcdsInd> contains one of the following elements (see "NonEligibleProceedsIndicator3Choice" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		926
Or}	Proprietary <Prtry>	[1..1]	±		926

7.4.13.25.4 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

7.4.13.25.5 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

7.4.13.25.6 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

7.4.13.25.7 CountryOfIncomeSource <CtryOfIncmSrc>*Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* C12 "Country"*Datatype:* "CountryCode" on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.13.25.8 CashAccountIdentification <CshAcctId>*Presence:* [0..1]*Definition:* Identification of the account in which cash is maintained.

CashAccountIdentification <CshAcctId> contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

7.4.13.25.9 AmountDetails <AmtDtls>*Presence:* [0..1]*Definition:* Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C13	482
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C13	482
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C13	483
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C13	483
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C13	484
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C13	484
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C13	484
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C13	485
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C13	485
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C13	486
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C13	486
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C13	487
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C13	487
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C13	487
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C13	488
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C13	488
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C13	489
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C13	489
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C13	489
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C13	490
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C13	490
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C13	491
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C13	491
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C13	491
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C13	492
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C13	492
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C13	493
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C13	493
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C13	493

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitledAmount <EntitldAmt>	[0..1]	Amount	C1, C13	494
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C13	494
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C13	495
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C13	495
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C13	495
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C13	496
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C13	496
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C13	497
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C13	497
	TransactionTax <TxTax>	[0..1]	Amount	C1, C13	497
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C13	498
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C13	498
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C13	499
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C13	499
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C13	499
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C13	500

7.4.13.25.9.1 GrossCashAmount <GrssCshAmt>*Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C13 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1072**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.2 NetCashAmount <NetCshAmt>*Presence:* [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.3 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.4 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.5 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.6 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.7 MarketClaimAmount <MktClmAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.8 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.9 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.10 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.11 FullyFrankedAmount <FullyFrnkdtAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.12 UnfrankedAmount <UfrnkdAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.13 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.14 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.15 TaxDeferredAmount <TaxDfrrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.16 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Amount of value added tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.17 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.18 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.19 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.20 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.21 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.22 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.23 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.24 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.25 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.26 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.27 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.28 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.29 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.30 EntitledAmount <EntitldAmt>

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.31 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.32 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.33 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.34 EqualisationAmount <EqulstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.35 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.36 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.37 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.38 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.39 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.40 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.41 ForeignIncomeAmount <FrgrIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.42 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.43 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.44 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.9.45 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C13 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.13.25.10 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentDate <PmtDt>	[1..1]	±		501
	ValueDate <ValDt>	[0..1]	±		501
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		501
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		501

7.4.13.25.10.1 PaymentDate <PmtDt>*Presence:* [1..1]*Definition:* Date/time on which the movement is due to take place (cash and/or securities).**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.25.10.2 ValueDate <ValDt>*Presence:* [0..1]*Definition:* Date/time when calculating economic benefit for a cash amount.**ValueDate <ValDt>** contains one of the following elements (see "DateFormat46Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		791
Or}	DateCode <DtCd>	[1..1]			791
{Or	Code <Cd>	[1..1]	CodeSet		791
Or}	Proprietary <Prtry>	[1..1]	±		791

7.4.13.25.10.3 ForeignExchangeRateFixingDate <FXRateFxdt>*Presence:* [0..1]*Definition:* Date/time at which a foreign exchange rate will be determined.**ForeignExchangeRateFixingDate <FXRateFxdt>** contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.25.10.4 EarliestPaymentDate <EarlstPmtDt>*Presence:* [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlStPmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

7.4.13.25.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms24" on page 818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	818
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	819
	ExchangeRate <XchgRate>	[1..1]	Rate		819
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C14	819

7.4.13.25.12 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: C14 "DeemedRate1Rule", C15 "DeemedRate2Rule", C24 "GrossDividendRate2Rule", C26 "GrossDividendRate3Rule", C39 "NetDividendRate1Rule", C41 "NetDividendRate2Rule", C55 "SecondLevelTax1Rule", C57 "SecondLevelTax2Rule", C59 "SecondLevelTax3Rule", C63 "WithholdingTaxRate1Rule", C65 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		505
	GrossDividendRate <GrssDvddRate>	[0..*]	±		505
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		505
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		506
	SecondLevelTax <ScndLvITax>	[0..*]	±		506
	ChargesFees <ChrgsFees>	[0..1]	±		507
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		507
	FiscalStamp <FscIStmp>	[0..1]	±		508
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		508
	NetDividendRate <NetDvddRate>	[0..*]	±		508
	ApplicableRate <AplblRate>	[0..1]	±		509
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		509
	TaxCreditRate <TaxCdtRate>	[0..1]	±		510
	TaxOnIncome <TaxOnIncm>	[0..1]	±		510
	TaxOnProfits <TaxOnPrfts>	[0..1]	±		510
	TaxReclaimRate <TaxRclmRate>	[0..1]	±		511
	EqualisationRate <EqulstnRate>	[0..1]	±		511
	DeemedRate <DmdRate>	[0..*]	±		511

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus or GrossDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 564 NVR C8).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount or NetDividendRate/AmountAndRateStatus or NetDividendRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

7.4.13.25.12.1 AdditionalTax <AddtlTax>*Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.25.12.2 GrossDividendRate <GrssDvddRate>*Presence:* [0..*]*Definition:* Cash dividend amount per equity before deductions or allowances have been made.**GrossDividendRate <GrssDvddRate>** contains one of the following elements (see "GrossDividendRateFormat38Choice" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	844
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			844
	Amount <Amt>	[1..1]	Amount	C1	845
	RateStatus <RateSts>	[1..1]	CodeSet		845
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			845
	RateType <RateTp>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847
	Amount <Amt>	[1..1]	Amount	C1	847
	RateStatus <RateSts>	[0..1]		C69	848
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		848

7.4.13.25.12.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>*Presence:* [0..*]*Definition:* The actual interest rate used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat8Choice" on page 931 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or	Amount <Amt>	[1..1]	Amount	C1	932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

7.4.13.25.12.4 WithholdingTaxRate <WhldgTaxRate>

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

WithholdingTaxRate <WhldgTaxRate> contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

7.4.13.25.12.5 SecondLevelTax <ScndLvlTax>

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat41Choice" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

7.4.13.25.12.6 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.25.12.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat7Choice" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		919
Or	AmountToQuantity <AmtToQty>	[1..1]			920
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920
Or	Amount <Amt>	[1..1]	Amount	C1	920
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921

7.4.13.25.12.8 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

FiscalStamp <FscIStmp> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.25.12.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateFormat20Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

7.4.13.25.12.10 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat39Choice" on page 839 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	839
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[1..1]	CodeSet		840
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			840
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	842
	RateStatus <RateSts>	[0..1]		C69	842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		843

7.4.13.25.12.11 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

ApplicableRate <AplblRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1020

7.4.13.25.12.12 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat7Choice" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		919
Or	AmountToQuantity <AmtToQty>	[1..1]			920
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920
Or	Amount <Amt>	[1..1]	Amount	C1	920
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921

7.4.13.25.12.13 TaxCreditRate <TaxCdtRate>

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

TaxCreditRate <TaxCdtRate> contains one of the following elements (see "RateFormat20Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1043

7.4.13.25.12.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat37Choice" on page 1045 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

7.4.13.25.12.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

TaxOnProfits <TaxOnPrfts> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.25.12.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

TaxReclaimRate <TaxRclmRate> contains one of the following elements (see "RateFormat3Choice" on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

7.4.13.25.12.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

EqualisationRate <EqulstnRate> contains one of the following elements (see "RateAndAmountFormat42Choice" on page 1042 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1042
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1042

7.4.13.25.12.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat51Choice" on page 1026 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1026
Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1027
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1027
	RateType <RateTp>	[1..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	±		1028
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[0..1]		C69	1029
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1030
	RateType <RateTp>	[1..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1030
	Rate <Rate>	[1..1]	Rate		1031

7.4.13.25.13 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C22 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		513
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		513

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

7.4.13.25.13.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat44Choice" on page 1012 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

7.4.13.25.13.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat47Choice" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1006
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007
Or	AmountPrice <AmtPric>	[1..1]	±		1007
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1007
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1007
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1009
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1010

7.4.13.26 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

Impacted by: C3 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		515
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		515
	InformationConditions <InfConds>	[0..1]	±		515
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		516
	SecurityRestriction <SctyRstrctn>	[0..1]	±		516
	TaxationConditions <TaxtnConds>	[0..1]	±		516
	Disclaimer <Dsclmr>	[0..1]	±		517
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		517

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.13.26.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see "[UpdatedAdditionalInformation3](#)" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		961
	UpdateDate <UpdDt>	[0..1]	Date		961
	AdditionalInformation <AddtlInf>	[1..1]	Text		962

7.4.13.26.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see "[UpdatedAdditionalInformation3](#)" on page 961 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		961
	UpdateDate <UpdDt>	[0..1]	Date		961
	AdditionalInformation <AddtlInf>	[1..1]	Text		962

7.4.13.26.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.13.26.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.13.26.5 SecurityRestriction <SctyRstrctn>

Presence: [0..1]

Definition: Provides restriction(s) on securities.

SecurityRestriction <SctyRstrctn> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.13.26.6 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.13.26.7 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.13.26.8 CertificationBreakdown <CertfctnBrkdown>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdown> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

Impacted by: C4 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..1]	±		518
	NarrativeVersion <NrrtvVrsn>	[0..1]	±		518
	InformationConditions <InfCnds>	[0..1]	±		519
	InformationToComplyWith <InfToCmplyWth>	[0..1]	±		519
	TaxationConditions <TaxtnCnds>	[0..1]	±		519
	Disclaimer <Dsclmr>	[0..1]	±		520
	PartyContactNarrative <PtyCtctNrrtv>	[0..1]	±		520
	RegistrationDetails <RegnDtls>	[0..1]	±		520
	BasketOrIndexInformation <BsktOrIdxInf>	[0..1]	±		521
	CertificationBreakdown <CertfctnBrkdown>	[0..1]	±		521

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

7.4.14.1 AdditionalText <AddtlTxt>

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

AdditionalText <AddtlTxt> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

NarrativeVersion <NrrtvVrsn> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.3 InformationConditions <InfConds>

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

InformationConditions <InfConds> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.4 InformationToComplyWith <InfToCmplyWth>

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

InformationToComplyWith <InfToCmplyWth> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.5 TaxationConditions <TaxtnConds>

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

TaxationConditions <TaxtnConds> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.6 Disclaimer <Dsclmr>

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.7 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

PartyContactNarrative <PtyCtctNrrtv> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.8 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

RegistrationDetails <RegnDtls> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.9 BasketOrIndexInformation <BsktOrIndxInf>

Presence: [0..1]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

BasketOrIndexInformation <BsktOrIndxInf> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.14.10 CertificationBreakdown <CertfctnBrkdwn>

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

CertificationBreakdown <CertfctnBrkdwn> contains the following elements (see "UpdatedAdditionalInformation1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

7.4.15 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

7.4.16 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.17 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.18 Registrar <Regar>

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

Registrar <Regar> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.19 ResellingAgent <RsellingAgt>

Presence: [0..*]

Definition: A broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

ResellingAgent <RsellingAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.20 PhysicalSecuritiesAgent <PhysSctiesAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

PhysicalSecuritiesAgent <PhysSctiesAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.21 DropAgent <DrpAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

DropAgent <DrpAgt> contains one of the following elements (see ["PartyIdentification120Choice"](#) on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.22 SolicitationAgent <SlctnAgt>

Presence: [0..*]

Definition: A trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

SolicitationAgent <SlctnAgt> contains one of the following elements (see ["PartyIdentification120Choice"](#) on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.23 InformationAgent <InfAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

InformationAgent <InfAgt> contains one of the following elements (see ["PartyIdentification120Choice"](#) on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

7.4.24 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C61 ["SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4.25 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

7.4.26 Offeror <Offerr>

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

Offeror <Offerr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

7.4.27 TransferAgent <TrfAgt>

Presence: [0..1]

Definition: A trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

TransferAgent <TrfAgt> contains one of the following elements (see "PartyIdentification129Choice" on page 976 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

8 DRAFTseev.036.001.12 CorporateActionMovementConfirmationV12

8.1 MessageDefinition Functionality

Scope

The CorporateActionMovementConfirmation message is sent by an account servicer to an account owner or its designated agent to confirm the posting of securities or cash as a result of a corporate action event.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementConfirmationV12 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

B. NotificationIdentification

Identification of a previously sent notification document.

C. MovementPreliminaryAdviceIdentification

Identification of a previously sent movement preliminary advice document.

D. InstructionIdentification

Identification of a related instruction document.

E. OtherDocumentIdentification

Identification of other documents as well as the document number.

F. EventsLinkage

Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

G. TransactionIdentification

Transaction type and identification information.

H. CorporateActionGeneralInformation

General information about the corporate action event.

I. AccountDetails

General information about the safekeeping account, owner and account balance.

J. CorporateActionDetails

Information about the corporate action event.

K. CorporateActionConfirmationDetails

Information about the corporate action option.

L. AdditionalInformation

Provides additional information.

M. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

N. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

O. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

P. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntConf>	[1..1]		C2, C40, C41, C47, C48, C49, C31	
	Pagination <Pgntn>	[0..1]	±		543
	NotificationIdentification <NtfctnId>	[0..1]	±		543
	MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId>	[0..1]	±		544
	InstructionIdentification <InstrId>	[0..1]	±		544
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		544
	EventsLinkage <EvtsLkg>	[0..*]	±		545
	TransactionIdentification <TxId>	[0..1]			545
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		545
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C39	546
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		546
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		546
	ClassActionNumber <ClssActnNb>	[0..1]	Text		547
	EventType <EvtTp>	[1..1]	±	C16	547
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C29, C30, C42	547
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbntTp>	[0..1]	±		548
	FractionalQuantity <FrctnlQty>	[0..1]	±		549
	AccountDetails <AcctDtls>	[1..1]		C46	549
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		550
	AccountOwner <AcctOwnr>	[0..1]	±		551
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		551
	Balance <Bal>	[1..1]		C7	551
	ConfirmedBalance <ConfdBal>	[1..1]	±		552
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		553

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockedBalance <BlckdBal>	[0..1]	±		553
	BorrowedBalance <BrrwdBal>	[0..1]	±		553
	CollateralInBalance <CollInBal>	[0..1]	±		553
	CollateralOutBalance <CollOutBal>	[0..1]	±		554
	OnLoanBalance <OnLnBal>	[0..1]	±		554
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		554
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		555
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		555
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		555
	StreetPositionBalance <StrtPosBal>	[0..1]	±		556
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		556
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		556
	RegisteredBalance <RegdBal>	[0..1]	±		557
	AffectedBalance <AfctdBal>	[0..1]	±		557
	UnaffectedBalance <UafctdBal>	[0..1]	±		557
	CorporateActionDetails <CorpActnDtls>	[0..1]			558
	DateDetails <DtDtls>	[0..1]			558
	RecordDate <RcrdDt>	[0..1]	±		558
	ExDividendDate <ExDvddDt>	[0..1]	±		559
	EventStage <EvtStag>	[0..1]	±		559
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		559
	LotteryType <LtryTp>	[0..1]	±		560
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			560
	OptionNumber <OptnNb>	[1..1]	±		567
	OptionType <OptnTp>	[1..1]	±		567
	OptionFeatures <OptnFeatrs>	[0..*]	±		567
	FractionDisposition <FrctnDspstn>	[0..1]	±		567
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	568
	DateDetails <DtDtls>	[0..1]			568
	ResponseDeadline <RspnDdln>	[0..1]	±		568
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		569

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketDeadline <MktDdln>	[0..1]	±		569
	ExpiryDate <XpryDt>	[0..1]	±		569
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		570
	ProtectDeadline <PrctDdln>	[0..1]	±		570
	TradingDate <TradgDt>	[0..1]	±		570
	PeriodDetails <PrdDtls>	[0..1]			571
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		571
	ActionPeriod <ActnPrd>	[0..1]	±		571
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		572
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C24, C37, C38, C50, C52, C54, C58, C60	572
	GrossDividendRate <GrssDvddRate>	[0..*]	±		574
	NetDividendRate <NetDvddRate>	[0..*]	±		574
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		575
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		576
	ProrationRate <PrratnRate>	[0..1]	Rate		576
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		576
	SecondLevelTax <ScndLvITax>	[0..*]	±		576
	AdditionalTax <AddtlTax>	[0..1]	±		577
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		577
	PriceDetails <PricDtls>	[0..1]		C21	578
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		578
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		578
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C34	579
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]		C17, C27, C32	579
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C29,	581

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C30, C42	
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		582
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		583
	NewSecuritiesIssuanceIndicator <NewScitiesIssncInd>	[0..1]	CodeSet		583
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		583
	IncomeType <IncmTp>	[0..1]	±		584
	ExemptionType <XmptnTp>	[0..*]	±		584
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	584
	PostingQuantity <PstngQty>	[1..1]	±		585
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		585
	FractionDisposition <FrctnDspstn>	[0..1]	±		585
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	586
	DateDetails <DtDtls>	[1..1]			586
	PostingDate <PstngDt>	[1..1]	±		586
	AvailableDate <AvlblDt>	[0..1]	±		587
	PariPassuDate <PrpssDt>	[0..1]	±		587
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		587
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		588
	PaymentDate <PmtDt>	[0..1]	±		588
	RateDetails <RateDtls>	[0..1]			588
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRslnstScies>	[0..1]	±		589
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		589
	NewToOld <NewToOd>	[0..1]	±		590
	ChargesFees <ChrgsFees>	[0..1]	±		590
	FiscalStamp <FscIStmp>	[0..1]	Rate		590
	ApplicableRate <AplblRate>	[0..1]	Rate		590
	TaxCreditRate <TaxCdtRate>	[0..1]	±		591
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		591
	PriceDetails <PricDtls>	[0..1]		C19	591
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		591

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			592
{Or	IndicativePrice <IndctvPric>	[1..1]	±		592
Or}	MarketPrice <MktPric>	[1..1]	±		592
	CashValueForTax <CshValForTax>	[0..1]	±		593
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		593
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		593
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C43, C44	594
	DeliveringSettlementParties <DlvrGSttlmPties>	[0..1]	±	C43, C44	596
	CashMovementDetails <CshMvmntDtls>	[0..*]		C18, C28, C33	597
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		601
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		601
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		602
	IncomeType <IncmTp>	[0..1]	±		602
	ExemptionType <XmptnTp>	[0..*]	±		602
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	603
	Account <Acct>	[0..1]			603
{Or	CashAccount <CshAcct>	[1..1]	±		603
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		604
Or}	TaxAccount <TaxAcct>	[1..1]	±		604
	CashParties <CshPties>	[0..1]	±		604
	AmountDetails <AmtDtls>	[1..1]			605
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	607
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	608
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	608
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	609
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	609
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	609
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	610
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	610

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	611
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	611
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	612
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	612
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	612
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	613
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	613
	TaxDeferredAmount <TaxDfrrdAmt>	[0..1]	Amount	C1, C11	614
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	614
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	614
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	615
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	615
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	616
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	616
	SecondLevelTaxAmount <ScndLvTaxAmt>	[0..1]	Amount	C1, C11	616
	FiscalStampAmount <FscLStmpAmt>	[0..1]	Amount	C1, C11	617
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	617
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	618
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	618
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	618
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	619
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	619
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	620
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	620
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	620
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	621
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	621
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	622
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	622
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	622
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	623

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	623
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	624
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	624
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	624
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	625
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	625
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	626
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	626
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	626
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	627
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	627
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	628
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	628
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	628
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	629
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	629
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	630
	DateDetails <DtDtls>	[1..1]			630
	PostingDate <PstngDt>	[1..1]	±		630
	ValueDate <ValDt>	[0..1]	±		631
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		631
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		631
	PaymentDate <PmtDt>	[0..1]	±		631
	ForeignExchangeDetails <FXDtls>	[0..1]	±		632
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		632
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C23, C25, C35, C36, C51, C53, C55, C59, C61	632
	AdditionalTax <AddtlTax>	[0..1]	±		634

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesFees <ChrgsFees>	[0..1]	±		635
	FiscalStamp <FscIStmp>	[0..1]	Rate		635
	GrossDividendRate <GrssDvddRate>	[0..*]	±		635
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		636
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		636
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		637
	NetDividendRate <NetDvddRate>	[0..*]	±		637
	ApplicableRate <AplblRate>	[0..1]	Rate		638
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		638
	TaxCreditRate <TaxCdtRate>	[0..1]	±		639
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		639
	SecondLevelTax <ScndLvITax>	[0..*]	±		639
	TaxOnIncome <TaxOnIncm>	[0..1]	±		640
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		640
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		640
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	640
	DeemedRate <DmdRate>	[0..*]	±		641
	PriceDetails <PricDtls>	[0..1]		C20	641
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		642
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		642
	AdditionalInformation <AddtlInf>	[0..1]			643
	AdditionalText <AddtlTxt>	[0..*]	Text		643
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		643
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		644
	TaxationConditions <TaxtnConds>	[0..*]	Text		644
	IssuerAgent <IssrAgt>	[0..*]	±		644
	PayingAgent <PngAgt>	[0..*]	±		644
	SubPayingAgent <SubPngAgt>	[0..*]	±		645
	SupplementaryData <SplmtryData>	[0..*]	±	C57	645

8.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **AdditionalInformationRule**

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C4 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C5 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C6 **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

C7 **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

C8 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

C13 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

C14 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C15 DescriptionUsageRule

Description must be used alone as the last resort.

C16 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C17 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C18 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C19 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

C20 GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C21 GenericCashPriceReceivedPerProductGuideline

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

C22 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C23 GrossDividendRate2Rule

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

C24 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C25 GrossDividendRate3Rule

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

C26 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C27 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C28 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

C29 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C30 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C31 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C32 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C33 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

C34 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C35 NetDividendRate1Rule

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C36 NetDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C37 NettDividendRate1Rule

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

C38 NettDividendRate2Rule

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

C39 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C40 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C41 OtherDocumentIdentificationRule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/IndemnityAmount is present, then OtherDocumentationIdentification must be present to refer to the linked settlement confirmation.

This constraint is defined at the MessageDefinition level.

C42 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C43 Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

C44 Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

C45 RateStatusGuideline

The code value ACTU is the default value for a rate status.

C46 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C47 ScripOrDividendReinvestment1Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountCarriedForward or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/CashAmountBroughtForward is present, then CorporateActionGeneralInformation/EventType/Code value must be either DVSC (ScripDividend) or DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C48 ScripOrDividendReinvestment2Rule

If CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalDividendPayableAmount or CorporateActionConfirmationDetails/CashMovementDetails/AmountDetails/NotionalTaxAmount is present, then CorporateActionGeneralInformation/EventType/Code value must be DVSC (ScripDividend).

This constraint is defined at the MessageDefinition level.

C49 ScripOrDividendReinvestment3Rule

If CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainDate or CorporateActionConfirmationDetails/CashMovementDetails/TaxVoucherDetails/BargainSettlementDate is present, then CorporateActionGeneralInformation/EventType/Code value must be DRIP (DividendReinvestment).

This constraint is defined at the MessageDefinition level.

C50 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

C51 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

C52 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C53 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C54 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C55 SecondLevelTax3Rule

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

C56 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C57 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C58 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

C59 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

C60 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

C61 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

C62 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		898
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		898

8.4.2 NotificationIdentification <NtfctnId>

Presence: [0..1]

Definition: Identification of a previously sent notification document.

NotificationIdentification <NtfctnId> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

8.4.3 MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId>

Presence: [0..1]

Definition: Identification of a previously sent movement preliminary advice document.

MovementPreliminaryAdviceIdentification <MvmntPrlmryAdvId> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

8.4.4 InstructionIdentification <InstrId>

Presence: [0..1]

Definition: Identification of a related instruction document.

InstructionIdentification <InstrId> contains the following elements (see "DocumentIdentification9" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

8.4.5 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

8.4.6 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

8.4.7 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		545

8.4.7.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "Max35Text" on page 1159

8.4.8 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C39 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation142** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		546
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		546
	ClassActionNumber <ClssActnNb>	[0..1]	Text		547
	EventType <EvtTp>	[1..1]	±	C16	547
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C29, C30, C42	547
	IntermediateSecuritiesDistributionType <IntrmdtScitiesDstrbtnTp>	[0..1]	±		548
	FractionalQuantity <FrctnQty>	[0..1]	±		549

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

8.4.8.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

8.4.8.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

8.4.8.3 ClassActionNumber <ClassActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

8.4.8.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C16 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType87Choice" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		872
Or}	Proprietary <Prtry>	[1..1]	±		879

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

8.4.8.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C29 "ISINGuideline", C30 "ISINPresenceRule", C42 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrlId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.8.6 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp> contains one of the following elements (see "IntermediateSecuritiesDistributionTypeFormat16Choice" on page 909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

8.4.8.7 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

8.4.9 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

Impacted by: C46 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountAndBalance43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		550
	AccountOwner <AcctOwnr>	[0..1]	±		551
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		551
	Balance <Bal>	[1..1]		C7	551
	ConfirmedBalance <ConfdBal>	[1..1]	±		552
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		553
	BlockedBalance <BlckdBal>	[0..1]	±		553
	BorrowedBalance <BrrwdBal>	[0..1]	±		553
	CollateralInBalance <CollInBal>	[0..1]	±		553
	CollateralOutBalance <CollOutBal>	[0..1]	±		554
	OnLoanBalance <OnLnBal>	[0..1]	±		554
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		554
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		555
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		555
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		555
	StreetPositionBalance <StrtPosBal>	[0..1]	±		556
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		556
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		556
	RegisteredBalance <RegdBal>	[0..1]	±		557
	AffectedBalance <AfctdBal>	[0..1]	±		557
	UnaffectedBalance <UafctdBal>	[0..1]	±		557

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

8.4.9.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

8.4.9.2 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

8.4.9.3 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Location where the financial instruments are/will be safekept.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

8.4.9.4 Balance <Bal>*Presence:* [1..1]*Definition:* Provides information about balance related to a corporate action.*Impacted by:* C7 "AffectedAndUnaffectedBalanceRule"

Balance <Bal> contains the following **CorporateActionBalanceDetails31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmedBalance <ConfdBal>	[1..1]	±		552
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		553
	BlockedBalance <BlckdBal>	[0..1]	±		553
	BorrowedBalance <BrrwdBal>	[0..1]	±		553
	CollateralInBalance <CollInBal>	[0..1]	±		553
	CollateralOutBalance <CollOutBal>	[0..1]	±		554
	OnLoanBalance <OnLnBal>	[0..1]	±		554
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		554
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		555
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		555
	SettlementPositionBalance <SttlmPosBal>	[0..*]	±		555
	StreetPositionBalance <StrtPosBal>	[0..1]	±		556
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		556
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		556
	RegisteredBalance <RegdBal>	[0..1]	±		557
	AffectedBalance <AfctdBal>	[0..1]	±		557
	UnaffectedBalance <UafctdBal>	[0..1]	±		557

Constraints

- **AffectedAndUnaffectedBalanceRule**

Unaffected balance and Affected balances should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

8.4.9.4.1 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.2 TotalEligibleBalance <TtElgblBal>*Presence:* [0..1]*Definition:* Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.**TotalEligibleBalance <TtElgblBal>** contains the following elements (see ["TotalEligibleBalanceFormat8"](#) on page 783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		783
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		783
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		784

8.4.9.4.3 BlockedBalance <BlckdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are blocked.**BlockedBalance <BlckdBal>** contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.4 BorrowedBalance <BrrwdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that have been borrowed from another party.**BorrowedBalance <BrrwdBal>** contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.5 CollateralInBalance <CollInBal>*Presence:* [0..1]*Definition:* Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.6 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.7 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.8 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

8.4.9.4.9 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

8.4.9.4.10 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.11 SettlementPositionBalance <SttlmPosBal>

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains one of the following elements (see "BalanceFormat6Choice" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

8.4.9.4.12 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.13 TradeDatePositionBalance <TradDtPosBal>

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

TradeDatePositionBalance <TradDtPosBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.14 InTransshipmentBalance <InTrnsShipmntBal>

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

InTransshipmentBalance <InTrnsShipmntBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.15 RegisteredBalance <RegdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

RegisteredBalance <RegdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.16 AffectedBalance <AfctdBal>

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

AffectedBalance <AfctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.9.4.17 UnaffectedBalance <UafctdBal>

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

UnaffectedBalance <UafctdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

8.4.10 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			558
	RecordDate <RcrdDt>	[0..1]	±		558
	ExDividendDate <ExDvddDt>	[0..1]	±		559
	EventStage <EvtStag>	[0..1]	±		559
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		559
	LotteryType <LtryTp>	[0..1]	±		560

8.4.10.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		558
	ExDividendDate <ExDvddDt>	[0..1]	±		559

8.4.10.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.10.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.10.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

8.4.10.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat11Choice" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

8.4.10.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		941

8.4.11 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following
CorporateActionOption179 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		567
	OptionType <OptnTp>	[1..1]	±		567
	OptionFeatures <OptnFeatrs>	[0..*]	±		567
	FractionDisposition <FrctnDspstn>	[0..1]	±		567
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	568
	DateDetails <DtDtls>	[0..1]			568
	ResponseDeadline <RspnDdln>	[0..1]	±		568
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		569
	MarketDeadline <MktDdln>	[0..1]	±		569
	ExpiryDate <XpryDt>	[0..1]	±		569
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		570
	ProtectDeadline <PrtctDdln>	[0..1]	±		570
	TradingDate <TradgDt>	[0..1]	±		570
	PeriodDetails <PrdDtls>	[0..1]			571
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		571
	ActionPeriod <ActnPrd>	[0..1]	±		571
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		572
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C22, C24, C37, C38, C50, C52, C54, C58, C60	572
	GrossDividendRate <GrssDvddRate>	[0..*]	±		574
	NetDividendRate <NetDvddRate>	[0..*]	±		574
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		575
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrscptRate>	[0..1]	Rate		576
	ProrationRate <PrratnRate>	[0..1]	Rate		576
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		576
	SecondLevelTax <ScndLvITax>	[0..*]	±		576
	AdditionalTax <AddtlTax>	[0..1]	±		577

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		577
	PriceDetails <PricDtls>	[0..1]		C21	578
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		578
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		578
	PlaceOfTrade <PlcOfTrad>	[0..1]	±	C34	579
	SecuritiesMovementDetails <ScetiesMvmntDtls>	[0..*]		C17, C27, C32	579
	FinancialInstrumentIdentification <FinInstrmld>	[1..1]	±	C14, C15, C29, C30, C42	581
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		582
	TemporaryFinancialInstrumentIndicator <TempFinInstrmld>	[0..1]	±		583
	NewSecuritiesIssuanceIndicator <NewScetiesIssnclnd>	[0..1]	CodeSet		583
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		583
	IncomeType <IncmTp>	[0..1]	±		584
	ExemptionType <XmptnTp>	[0..*]	±		584
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	584
	PostingQuantity <PstngQty>	[1..1]	±		585
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		585
	FractionDisposition <FrctnDspstn>	[0..1]	±		585
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	586
	DateDetails <DtDtls>	[1..1]			586
	PostingDate <PstngDt>	[1..1]	±		586
	AvailableDate <AvlblDt>	[0..1]	±		587
	PariPassuDate <PrpssDt>	[0..1]	±		587
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		587
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		588
	PaymentDate <PmtDt>	[0..1]	±		588
	RateDetails <RateDtls>	[0..1]			588
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcdbdRslntrSceties>	[0..1]	±		589

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		589
	NewToOld <NewToOd>	[0..1]	±		590
	ChargesFees <ChrgsFees>	[0..1]	±		590
	FiscalStamp <FscIStmp>	[0..1]	Rate		590
	ApplicableRate <AplblRate>	[0..1]	Rate		590
	TaxCreditRate <TaxCdtRate>	[0..1]	±		591
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		591
	PriceDetails <PricDtls>	[0..1]		C19	591
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		591
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			592
{Or	IndicativePrice <IndctvPric>	[1..1]	±		592
Or}	MarketPrice <MktPric>	[1..1]	±		592
	CashValueForTax <CshValForTax>	[0..1]	±		593
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		593
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		593
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C43, C44	594
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C43, C44	596
	CashMovementDetails <CshMvmntDtls>	[0..*]		C18, C28, C33	597
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		601
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		601
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		602
	IncomeType <IncmTp>	[0..1]	±		602
	ExemptionType <XmptnTp>	[0..*]	±		602
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	603
	Account <Acct>	[0..1]			603
{Or	CashAccount <CshAcct>	[1..1]	±		603
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		604
Or}	TaxAccount <TaxAcct>	[1..1]	±		604

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashParties <CshPties>	[0..1]	±		604
	AmountDetails <AmtDtls>	[1..1]			605
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	607
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	608
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	608
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	609
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	609
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	609
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	610
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	610
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	611
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	611
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	612
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	612
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	612
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	613
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	613
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	614
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	614
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	614
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	615
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	615
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	616
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	616
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	616
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	617
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	617
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	618
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	618
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	618

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	619
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	619
	CashAmountBroughtForward <CshAmtBrghFwd>	[0..1]	Amount	C1, C11	620
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	620
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	620
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	621
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	621
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	622
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	622
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	622
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	623
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	623
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	624
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	624
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	624
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	625
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	625
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	626
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	626
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	626
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	627
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	627
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	628
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	628
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	628
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	629
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	629
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	630
	DateDetails <DtDtls>	[1..1]			630
	PostingDate <PstngDt>	[1..1]	±		630
	ValueDate <ValDt>	[0..1]	±		631

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeRateFixingDate <FXRateFxdt>	[0..1]	±		631
	EarliestPaymentDate <EarlStPmtDt>	[0..1]	±		631
	PaymentDate <PmtDt>	[0..1]	±		631
	ForeignExchangeDetails <FXDtls>	[0..1]	±		632
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		632
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C23, C25, C35, C36, C51, C53, C55, C59, C61	632
	AdditionalTax <AddtlTax>	[0..1]	±		634
	ChargesFees <ChrgsFees>	[0..1]	±		635
	FiscalStamp <FscIStmp>	[0..1]	Rate		635
	GrossDividendRate <GrssDvddRate>	[0..*]	±		635
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		636
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		636
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		637
	NetDividendRate <NetDvddRate>	[0..*]	±		637
	ApplicableRate <AplblRate>	[0..1]	Rate		638
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		638
	TaxCreditRate <TaxCdtRate>	[0..1]	±		639
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		639
	SecondLevelTax <ScndLvlTax>	[0..*]	±		639
	TaxOnIncome <TaxOnIncm>	[0..1]	±		640
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		640
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		640
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	640
	DeemedRate <DmdRate>	[0..*]	±		641
	PriceDetails <PricDtls>	[0..1]		C20	641
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		642

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		642

8.4.11.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

8.4.11.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "[CorporateActionOption33Choice](#)" on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		833

8.4.11.3 OptionFeatures <OptnFeatrs>

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat18Choice](#)" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

8.4.11.4 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType27Choice" on page 906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		906
Or}	Proprietary <Prtry>	[1..1]	±		906

8.4.11.5 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.6 DateDetails <DtDtIs>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

DateDetails <DtDtIs> contains the following **CorporateActionDate79** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ResponseDeadline <RspnDdln>	[0..1]	±		568
	SubscriptionCostDebitDate <SbcptCostDbtDt>	[0..1]	±		569
	MarketDeadline <MktDdln>	[0..1]	±		569
	ExpiryDate <XpryDt>	[0..1]	±		569
	CoverExpirationDeadline <CoverXprtnDdln>	[0..1]	±		570
	ProtectDeadline <PrctDdln>	[0..1]	±		570
	TradingDate <TradgDt>	[0..1]	±		570

8.4.11.6.1 ResponseDeadline <RspnDdln>

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.2 SubscriptionCostDebitDate <SbcptCostDbtDt>

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

SubscriptionCostDebitDate <SbcptCostDbtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.3 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.4 ExpiryDate <XpryDt>

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

ExpiryDate <XpryDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.5 CoverExpirationDeadline <CoverXprtnDdln>

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

CoverExpirationDeadline <CoverXprtnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.6 ProtectDeadline <PrctctDdln>

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

ProtectDeadline <PrctctDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.6.7 TradingDate <TradgDt>

Presence: [0..1]

Definition: Date/time at which the deal (rights) was agreed.

TradingDate <TradgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.7 PeriodDetails <PrdDtIs>

Presence: [0..1]

Definition: Provides information about the periods related to a corporate action option.

PeriodDetails <PrdDtIs> contains the following **CorporateActionPeriod13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceCalculationPeriod <PricClctnPrd>	[0..1]	±		571
	ActionPeriod <ActnPrd>	[0..1]	±		571
	ParallelTradingPeriod <ParllTradgPrd>	[0..1]	±		572

8.4.11.7.1 PriceCalculationPeriod <PricClctnPrd>

Presence: [0..1]

Definition: Period during which the price of a security is determined.

PriceCalculationPeriod <PricClctnPrd> contains the following elements (see "Period11" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

8.4.11.7.2 ActionPeriod <ActnPrd>

Presence: [0..1]

Definition: Period during which the specified option, or all options of the event, remains valid, for example, offer period.

ActionPeriod <ActnPrd> contains the following elements (see "Period11" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

8.4.11.7.3 ParallelTradingPeriod <ParlITradgPrd>

Presence: [0..1]

Definition: Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

ParallelTradingPeriod <ParlITradgPrd> contains the following elements (see "Period11" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

8.4.11.8 RateAndAmountDetails <RateAndAmtDtls>

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

Impacted by: C22 "GrossDividendRate2Rule", C24 "GrossDividendRate3Rule", C37 "NettDividendRate1Rule", C38 "NettDividendRate2Rule", C50 "SecondLevelTax1Rule", C52 "SecondLevelTax2Rule", C54 "SecondLevelTax3Rule", C58 "WithholdingTaxRate1Rule", C60 "WithholdingTaxRate2Rule"

RateAndAmountDetails <RateAndAmtDtls> contains the following **CorporateActionRate107** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GrossDividendRate <GrssDvddRate>	[0..*]	±		574
	NetDividendRate <NetDvddRate>	[0..*]	±		574
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		575
	MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>	[0..1]	Rate		576
	ProrationRate <PrratnRate>	[0..1]	Rate		576
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		576
	SecondLevelTax <ScndLvITax>	[0..*]	±		576
	AdditionalTax <AddtlITax>	[0..1]	±		577
	TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>	[0..*]	±		577

Constraints

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NettDividendRate1Rule**

If NetDividendRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NettDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

8.4.11.8.1 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat35Choice" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	868
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			868
	Amount <Amt>	[1..1]	Amount	C1	869
	RateStatus <RateSts>	[1..1]	CodeSet		869
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			869
	RateType <RateTp>	[1..1]			869
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		870
	Amount <Amt>	[1..1]	Amount	C1	871
	RateStatus <RateSts>	[0..1]		C69	871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

8.4.11.8.2 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat37Choice" on page 858 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	859
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			859
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			860
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C69	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

8.4.11.8.3 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat7Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C69	1039
{Or	Code <Cd>	[1..1]	CodeSet		1039
Or}	Proprietary <Prtry>	[1..1]	±		1040

8.4.11.8.4 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>*Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.*Datatype:* "PercentageRate" on page 1156**8.4.11.8.5 ProrationRate <PrratnRate>***Presence:* [0..1]*Definition:* Percentage of securities accepted by the offeror/issuer.*Datatype:* "PercentageRate" on page 1156**8.4.11.8.6 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat40Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

8.4.11.8.7 SecondLevelTax <ScndLvTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat40Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

8.4.11.8.8 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.8.9 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

TaxableIncomePerDividendShare <TaxblIncmPerDvddShr> contains the following elements (see "RateTypeAndAmountAndStatus26" on page 927 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		928
	Amount <Amt>	[1..1]	Amount	C1	928
	RateStatus <RateSts>	[0..1]		C69	928
{Or	Code <Cd>	[1..1]	CodeSet		929
Or}	Proprietary <Prtry>	[1..1]	±		929

8.4.11.9 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Provides information about the prices related to a corporate action option.*Impacted by:* C21 "GenericCashPriceReceivedPerProductGuideline"**PriceDetails <PricDtls>** contains the following **CorporateActionPrice61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		578
	OverSubscriptionDepositPrice <OverSbcptDpstPric>	[0..1]	±		578

Constraints

- GenericCashPriceReceivedPerProductGuideline**

GenericCashPriceReceivedPerProduct is the price received in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.11.9.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

8.4.11.9.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>*Presence:* [0..1]*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.

OverSubscriptionDepositPrice <OverSbcptDpstPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

8.4.11.10 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Place where the trade was executed.

Impacted by: C34 "MarketTypeAndIdentificationRule"

PlaceOfTrade <PlcOfTrad> contains the following elements (see "MarketIdentification84" on page 829 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		829
	Type <Tp>	[1..1]	±		829

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

8.4.11.11 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

Impacted by: C17 "ExemptionTypeUsageRule", C27 "IncomeTypeUsageRule", C32 "IssuerOfferorTaxabilityIndicatorUsageRule"

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption70** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C14, C15, C29, C30, C42	581
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		582
	TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>	[0..1]	±		583
	NewSecuritiesIssuanceIndicator <NewSctiesIssncInd>	[0..1]	CodeSet		583
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		583
	IncomeType <IncmTp>	[0..1]	±		584
	ExemptionType <XmptnTp>	[0..*]	±		584
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	584
	PostingQuantity <PstngQty>	[1..1]	±		585
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		585
	FractionDisposition <FrctnDspstn>	[0..1]	±		585
	CurrencyOption <CcyOptn>	[0..1]	CodeSet	C1	586
	DateDetails <DtDtls>	[1..1]			586
	PostingDate <PstngDt>	[1..1]	±		586
	AvailableDate <AvlblDt>	[0..1]	±		587
	PariPassuDate <PrpssDt>	[0..1]	±		587
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		587
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		588
	PaymentDate <PmtDt>	[0..1]	±		588
	RateDetails <RateDtls>	[0..1]			588
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>	[0..1]	±		589
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>	[0..1]	±		589
	NewToOld <NewToOd>	[0..1]	±		590
	ChargesFees <ChrgsFees>	[0..1]	±		590
	FiscalStamp <FscIStmp>	[0..1]	Rate		590
	ApplicableRate <AplblRate>	[0..1]	Rate		590
	TaxCreditRate <TaxCdtRate>	[0..1]	±		591

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		591
	PriceDetails <PricDtls>	[0..1]		C19	591
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		591
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			592
{Or	IndicativePrice <IndctvPric>	[1..1]	±		592
Or}	MarketPrice <MktPric>	[1..1]	±		592
	CashValueForTax <CshValForTax>	[0..1]	±		593
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		593
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		593
	ReceivingSettlementParties <RcvgSttlmPties>	[0..1]	±	C43, C44	594
	DeliveringSettlementParties <DlvrSttlmPties>	[0..1]	±	C43, C44	596

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.11.11.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C14 "DescriptionPresenceRule", C15 "DescriptionUsageRule", C29 "ISINGuideline", C30 "ISINPresenceRule", C42 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrlId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.11.11.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.11.11.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

TemporaryFinancialInstrumentIndicator <TempFinInstrmInd> contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 926 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		926
Or}	Proprietary <Prtry>	[1..1]	±		927

8.4.11.11.4 NewSecuritiesIssuanceIndicator <NewSciesIssncInd>

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType6Code" on page 1136

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

8.4.11.11.5 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

8.4.11.11.6 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

8.4.11.11.7 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

8.4.11.11.8 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.11.11.9 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see "[Quantity6Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

8.4.11.11.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "[SafekeepingPlaceFormat29Choice](#)" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			894
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		894
	Identification <Id>	[0..1]	Text		894
Or	Country <Ctry>	[1..1]	CodeSet	C3	894
Or	TypeAndIdentification <TpAndId>	[1..1]			895
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		895
	Identification <Id>	[1..1]	IdentifierSet	C1	895
Or}	Proprietary <Prtry>	[1..1]	±		896

8.4.11.11.11 FractionDisposition <FrctnDspstn>

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

FractionDisposition <FrctnDspstn> contains one of the following elements (see "FractionDispositionType27Choice" on page 906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		906
Or}	Proprietary <Prtry>	[1..1]	±		906

8.4.11.11.12 CurrencyOption <CcyOptn>

Presence: [0..1]

Definition: Currency in which the cash disbursed from an interest or dividend payment is offered.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.11.13 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

DateDetails <DtDtls> contains the following **SecurityDate15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		586
	AvailableDate <AvlblDt>	[0..1]	±		587
	PariPassuDate <PrpssDt>	[0..1]	±		587
	DividendRankingDate <DvddRnkgDt>	[0..1]	±		587
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		588
	PaymentDate <PmtDt>	[0..1]	±		588

8.4.11.11.13.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.11.13.2 AvailableDate <AvlblDt>

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

AvailableDate <AvlblDt> contains one of the following elements (see "[DateFormat43Choice](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.11.13.3 PariPassuDate <PrpssDt>

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

PariPassuDate <PrpssDt> contains one of the following elements (see "[DateFormat43Choice](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.11.13.4 DividendRankingDate <DvddRnkgDt>

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

DividendRankingDate <DvddRnkgDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.11.13.5 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.11.13.6 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

8.4.11.11.14 RateDetails <RateDtls>

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

RateDetails <RateDtls> contains the following **CorporateActionRate90** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>	[0..1]	±		589
	AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>	[0..1]	±		589
	NewToOld <NewToOd>	[0..1]	±		590
	ChargesFees <ChrgsFees>	[0..1]	±		590
	FiscalStamp <FscIStmp>	[0..1]	Rate		590
	ApplicableRate <AplblRate>	[0..1]	Rate		590
	TaxCreditRate <TaxCdtRate>	[0..1]	±		591
	FinancialTransactionTaxRate <FinTxTaxRate>	[0..1]	Rate		591

8.4.11.11.14.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies>

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdrslntScies> contains one of the following elements (see "RatioFormat20Choice" on page 1035 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1036
Or}	AmountToAmount <AmtToAmt>	[1..1]			1036
	Amount1 <Amt1>	[1..1]	Amount	C1	1036
	Amount2 <Amt2>	[1..1]	Amount	C1	1036

8.4.11.11.14.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies> contains one of the following elements (see "RatioFormat20Choice" on page 1035 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1036
Or}	AmountToAmount <AmtToAmt>	[1..1]			1036
	Amount1 <Amt1>	[1..1]	Amount	C1	1036
	Amount2 <Amt2>	[1..1]	Amount	C1	1036

8.4.11.11.14.3 NewToOld <NewToOd>*Presence:* [0..1]*Definition:* Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.**NewToOld <NewToOd>** contains one of the following elements (see ["RatioFormat19Choice"](#) on page 1032 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1033
Or	AmountToAmount <AmtToAmt>	[1..1]			1033
	Amount1 <Amt1>	[1..1]	Amount	C1	1033
	Amount2 <Amt2>	[1..1]	Amount	C1	1034
Or	AmountToQuantity <AmtToQty>	[1..1]			1034
	Amount <Amt>	[1..1]	Amount	C1	1034
	Quantity <Qty>	[1..1]	Quantity		1035
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	Quantity <Qty>	[1..1]	Quantity		1035

8.4.11.11.14.4 ChargesFees <ChrgsFees>*Presence:* [0..1]*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.**ChargesFees <ChrgsFees>** contains one of the following elements (see ["RateAndAmountFormat39Choice"](#) on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.11.14.5 FiscalStamp <FscIStmp>*Presence:* [0..1]*Definition:* Percentage of fiscal tax to apply.*Datatype:* ["PercentageRate"](#) on page 1156**8.4.11.11.14.6 ApplicableRate <ApIblRate>***Presence:* [0..1]*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.*Datatype:* ["PercentageRate"](#) on page 1156

8.4.11.11.14.7 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see ["RateFormat22Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	Amount <Amt>	[1..1]	Amount	C1	1021

8.4.11.11.14.8 FinancialTransactionTaxRate <FinTxTaxRate>*Presence:* [0..1]*Definition:* Rate of financial transaction tax.*Datatype:* ["PercentageRate"](#) on page 1156**8.4.11.11.15 PriceDetails <PricDtls>***Presence:* [0..1]*Definition:* Provides information about the prices related to securities movement.*Impacted by:* [C19 "GenericCashPricePerProductGuideline"](#)**PriceDetails <PricDtls>** contains the following **CorporateActionPrice59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInLieuOfSharePrice <CshInLieuOfShrPric>	[0..1]	±		591
	IndicativeOrMarketPrice <IndctvOrMktPric>	[0..1]			592
{Or	IndicativePrice <IndctvPric>	[1..1]	±		592
Or}	MarketPrice <MktPric>	[1..1]	±		592
	CashValueForTax <CshValForTax>	[0..1]	±		593
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		593
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		593

Constraints

- GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

8.4.11.11.15.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>*Presence:* [0..1]*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

CashInLieuOfSharePrice <CshInLieuOfShrPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

8.4.11.11.15.2 IndicativeOrMarketPrice <IndctvOrMktPric>

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

IndicativeOrMarketPrice <IndctvOrMktPric> contains one of the following **IndicativeOrMarketPrice8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndicativePrice <IndctvPric>	[1..1]	±		592
Or}	MarketPrice <MktPric>	[1..1]	±		592

8.4.11.11.15.2.1 IndicativePrice <IndctvPric>

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

IndicativePrice <IndctvPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

8.4.11.11.15.2.2 MarketPrice <MktPric>

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

MarketPrice <MktPric> contains one of the following elements (see "PriceFormat50Choice" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

8.4.11.11.15.3 CashValueForTax <CshValForTax>

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

CashValueForTax <CshValForTax> contains the following elements (see "AmountPrice2" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		782
	PriceValue <PricVal>	[1..1]	Amount	C1	782

8.4.11.11.15.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat51Choice" on page 998 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			998
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		999
	PriceValue <PricVal>	[1..1]	Rate		999
Or	AmountPrice <AmtPric>	[1..1]	±		999
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		999

8.4.11.11.15.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat48Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			994
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		995
	PriceValue <PricVal>	[1..1]	Rate		995
Or	AmountPrice <AmtPric>	[1..1]	±		995
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			995
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		996
	PriceValue <PricVal>	[1..1]	Amount	C1	996
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		996
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			997
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		997
	PriceValue <PricVal>	[1..1]	Amount	C1	997
	Amount <Amt>	[1..1]	Amount	C1	998
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		998

8.4.11.11.16 ReceivingSettlementParties <RcvgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of receiving settlement parties.

Impacted by: C43 "Party2PresenceRule", C44 "Party3PresenceRule"

ReceivingSettlementParties <RcvgSttlmPties> contains the following elements (see "SettlementParties75" on page 1050 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1052
	Identification <Id>	[1..1]			1052
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1052
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1053
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1053
	ProcessingIdentification <Prcgld>	[0..1]	Text		1053
	AlternateIdentification <Altrnld>	[0..*]	±		1053
	Party1 <Pty1>	[0..1]		C4	1054
	Identification <Id>	[1..1]	±		1054
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1054
	ProcessingIdentification <Prcgld>	[0..1]	Text		1055
	AlternateIdentification <Altrnld>	[0..*]	±		1055
	Party2 <Pty2>	[0..1]		C4	1055
	Identification <Id>	[1..1]	±		1055
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1056
	ProcessingIdentification <Prcgld>	[0..1]	Text		1056
	AlternateIdentification <Altrnld>	[0..*]	±		1056
	Party3 <Pty3>	[0..1]		C4	1056
	Identification <Id>	[1..1]	±		1057
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1057
	ProcessingIdentification <Prcgld>	[0..1]	Text		1057
	AlternateIdentification <Altrnld>	[0..*]	±		1057

Constraints

- Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

8.4.11.11.17 DeliveringSettlementParties <DlvrgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of delivering settlement parties.

Impacted by: C43 "Party2PresenceRule", C44 "Party3PresenceRule"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following elements (see "SettlementParties75" on page 1050 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1052
	Identification <Id>	[1..1]			1052
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1052
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1053
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1053
	ProcessingIdentification <PrctlId>	[0..1]	Text		1053
	AlternateIdentification <AltrId>	[0..*]	±		1053
	Party1 <Pty1>	[0..1]		C4	1054
	Identification <Id>	[1..1]	±		1054
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1054
	ProcessingIdentification <PrctlId>	[0..1]	Text		1055
	AlternateIdentification <AltrId>	[0..*]	±		1055
	Party2 <Pty2>	[0..1]		C4	1055
	Identification <Id>	[1..1]	±		1055
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1056
	ProcessingIdentification <PrctlId>	[0..1]	Text		1056
	AlternateIdentification <AltrId>	[0..*]	±		1056
	Party3 <Pty3>	[0..1]		C4	1056
	Identification <Id>	[1..1]	±		1057
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1057
	ProcessingIdentification <PrctlId>	[0..1]	Text		1057
	AlternateIdentification <AltrId>	[0..*]	±		1057

Constraints

- **Party2PresenceRule**

If Party 2 is present, then Party 1 must be present.

On Condition

/Party2 is present

Following Must be True

/Party1 Must be present

- **Party3PresenceRule**

If Party 3 is present, then Party 2 must be present.

On Condition

/Party3 is present

Following Must be True

/Party2 Must be present

8.4.11.12 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

Impacted by: C18 "ExemptionTypeUsageRule", C28 "IncomeTypeUsageRule", C33 "IssuerOfferorTaxabilityIndicatorUsageRule"

CashMovementDetails <CshMvmntDtls> contains the following **CashOption70** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		601
	ContractualPaymentIndicator <CtrctlPmtInd>	[0..1]	CodeSet		601
	IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>	[0..1]	±		602
	IncomeType <IncmTp>	[0..1]	±		602
	ExemptionType <XmptnTp>	[0..*]	±		602
	CountryOfIncomeSource <CtryOfIncmSrc>	[0..1]	CodeSet	C10	603
	Account <Acct>	[0..1]			603
{Or	CashAccount <CshAcct>	[1..1]	±		603
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		604
Or}	TaxAccount <TaxAcct>	[1..1]	±		604
	CashParties <CshPties>	[0..1]	±		604
	AmountDetails <AmtDtls>	[1..1]			605
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	607
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	608
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	608
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	609
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	609
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	609
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	610
	MarketClaimAmount <MktClmAmt>	[0..1]	Amount	C1, C11	610
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	611
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	611
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	612
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	612
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	612
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	613
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	613
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	614
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	614
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	614

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	615
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	615
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	616
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	616
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	616
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	617
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	617
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	618
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	618
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	618
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	619
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	619
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	620
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	620
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	620
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	621
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	621
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	622
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	622
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	622
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	623
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	623
	EUTaxRetentionAmount <EUTaxRtnAmt>	[0..1]	Amount	C1, C11	624
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	624
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	624
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	625
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	625
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	626
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	626
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	626

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	627
	ForeignIncomeAmount <FrgrnIncmAmt>	[0..1]	Amount	C1, C11	627
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	628
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	628
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	628
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	629
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	629
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	630
	DateDetails <DtDtls>	[1..1]			630
	PostingDate <PstngDt>	[1..1]	±		630
	ValueDate <ValDt>	[0..1]	±		631
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		631
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		631
	PaymentDate <PmtDt>	[0..1]	±		631
	ForeignExchangeDetails <FXDtls>	[0..1]	±		632
	TaxVoucherDetails <TaxVchrDtls>	[0..1]	±		632
	RateAndAmountDetails <RateAndAmtDtls>	[0..1]		C12, C13, C23, C25, C35, C36, C51, C53, C55, C59, C61	632
	AdditionalTax <AddtlTax>	[0..1]	±		634
	ChargesFees <ChrgsFees>	[0..1]	±		635
	FiscalStamp <FscIStmp>	[0..1]	Rate		635
	GrossDividendRate <GrssDvddRate>	[0..*]	±		635
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		636
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		636
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		637
	NetDividendRate <NetDvddRate>	[0..*]	±		637
	ApplicableRate <AplblRate>	[0..1]	Rate		638
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		638

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxCreditRate <TaxCdtRate>	[0..1]	±		639
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		639
	SecondLevelTax <ScndLvTax>	[0..*]	±		639
	TaxOnIncome <TaxOnIncm>	[0..1]	±		640
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		640
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		640
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	640
	DeemedRate <DmdRate>	[0..*]	±		641
	PriceDetails <PricDtls>	[0..1]		C20	641
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		642
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		642

Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

- **IssuerOfferorTaxabilityIndicatorUsageRule**

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

8.4.11.12.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

8.4.11.12.2 ContractualPaymentIndicator <CtrctlPmtInd>

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 1139

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

8.4.11.12.3 IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd>

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

IssuerOfferorTaxabilityIndicator <IssrOfferrTaxbltyInd> contains one of the following elements (see "IssuerOfferorTaxabilityIndicator1Choice" on page 901 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

8.4.11.12.4 IncomeType <IncmTp>

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

IncomeType <IncmTp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

8.4.11.12.5 ExemptionType <XmptnTp>

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

ExemptionType <XmptnTp> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

8.4.11.12.6 CountryOfIncomeSource <CtryOfIncmSrc>

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Impacted by: C10 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.11.12.7 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		603
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		604
Or}	TaxAccount <TaxAcct>	[1..1]	±		604

8.4.11.12.7.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

8.4.11.12.7.2 ChargesAccount <ChrgsAcct>*Presence:* [1..1]*Definition:* Account to be used for charges if different from the account for payment.**ChargesAccount <ChrgsAcct>** contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

8.4.11.12.7.3 TaxAccount <TaxAcct>*Presence:* [1..1]*Definition:* Account to be used for taxes if different from the account for payment.**TaxAccount <TaxAcct>** contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

8.4.11.12.8 CashParties <CshPties>*Presence:* [0..1]*Definition:* Provides information about cash parties.**CashParties <CshPties>** contains the following elements (see "CashParties34" on page 970 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]		C5	971
	Identification <Id>	[1..1]	±		971
	CashAccount <CshAcct>	[0..1]	±		972
	ProcessingIdentification <PrcgId>	[0..1]	Text		972
	AlternateIdentification <AltrnId>	[0..1]	±		972
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	972
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]		C5	973
	Identification <Id>	[1..1]	±		973
	CashAccount <CshAcct>	[0..1]	±		974
	ProcessingIdentification <PrcgId>	[0..1]	Text		974
	AlternateIdentification <AltrnId>	[0..1]	±		974

8.4.11.12.9 AmountDetails <AmtDtls>

Presence: [1..1]

Definition: Provides information about the amounts related to a cash movement.

AmountDetails <AmtDtls> contains the following **CorporateActionAmounts56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C11	607
	GrossCashAmount <GrssCshAmt>	[0..1]	Amount	C1, C11	608
	NetCashAmount <NetCshAmt>	[0..1]	Amount	C1, C11	608
	SolicitationFees <SlctnFees>	[0..1]	Amount	C1, C11	609
	CashInLieuOfShare <CshInLieuOfShr>	[0..1]	Amount	C1, C11	609
	CapitalGain <CptlGn>	[0..1]	Amount	C1, C11	609
	InterestAmount <IntrstAmt>	[0..1]	Amount	C1, C11	610
	MarketClaimAmount <MktClnAmt>	[0..1]	Amount	C1, C11	610
	IndemnityAmount <IndmntyAmt>	[0..1]	Amount	C1, C11	611
	ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>	[0..1]	Amount	C1, C11	611
	ReinvestmentAmount <RinvstmtAmt>	[0..1]	Amount	C1, C11	612
	FullyFrankedAmount <FullyFrnkAmt>	[0..1]	Amount	C1, C11	612
	UnfrankedAmount <UfrnkAmt>	[0..1]	Amount	C1, C11	612
	SundryOrOtherAmount <SndryOrOthrAmt>	[0..1]	Amount	C1, C11	613
	TaxFreeAmount <TaxFreeAmt>	[0..1]	Amount	C1, C11	613
	TaxDeferredAmount <TaxDfrdAmt>	[0..1]	Amount	C1, C11	614
	ValueAddedTaxAmount <ValAddedTaxAmt>	[0..1]	Amount	C1, C11	614
	StampDutyAmount <StmpDtyAmt>	[0..1]	Amount	C1, C11	614
	TaxReclaimAmount <TaxRclmAmt>	[0..1]	Amount	C1, C11	615
	TaxCreditAmount <TaxCdtAmt>	[0..1]	Amount	C1, C11	615
	AdditionalTaxAmount <AddtlTaxAmt>	[0..1]	Amount	C1, C11	616
	WithholdingTaxAmount <WhldgTaxAmt>	[0..1]	Amount	C1, C11	616
	SecondLevelTaxAmount <ScndLvlTaxAmt>	[0..1]	Amount	C1, C11	616
	FiscalStampAmount <FscIStmpAmt>	[0..1]	Amount	C1, C11	617
	ExecutingBrokerAmount <ExctgBrkrAmt>	[0..1]	Amount	C1, C11	617
	PayingAgentCommissionAmount <PngAgtComssnAmt>	[0..1]	Amount	C1, C11	618
	LocalBrokerCommissionAmount <LclBrkrComssnAmt>	[0..1]	Amount	C1, C11	618
	RegulatoryFeesAmount <RgltryFeesAmt>	[0..1]	Amount	C1, C11	618
	ShippingFeesAmount <ShppgFeesAmt>	[0..1]	Amount	C1, C11	619

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChargesAmount <ChrgsAmt>	[0..1]	Amount	C1, C11	619
	CashAmountBroughtForward <CshAmtBrghtFwd>	[0..1]	Amount	C1, C11	620
	CashAmountCarriedForward <CshAmtCrrdFwd>	[0..1]	Amount	C1, C11	620
	NotionalDividendPayableAmount <NtnlDvddPyblAmt>	[0..1]	Amount	C1, C11	620
	NotionalTaxAmount <NtnlTaxAmt>	[0..1]	Amount	C1, C11	621
	TaxArrearsAmount <TaxArrearsAmt>	[0..1]	Amount	C1, C11	621
	OriginalAmount <OrgnlAmt>	[0..1]	Amount	C1, C11	622
	PrincipalOrCorpus <PrncplOrCrps>	[0..1]	Amount	C1, C11	622
	RedemptionPremiumAmount <RedPrmAmt>	[0..1]	Amount	C1, C11	622
	IncomePortion <IncmPrtn>	[0..1]	Amount	C1, C11	623
	StockExchangeTax <StockXchgTax>	[0..1]	Amount	C1, C11	623
	EUTaxRetentionAmount <EUTaxRtntnAmt>	[0..1]	Amount	C1, C11	624
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C1, C11	624
	EqualisationAmount <EqulstnAmt>	[0..1]	Amount	C1, C11	624
	FATCATaxAmount <FATCATaxAmt>	[0..1]	Amount	C1, C11	625
	NRATaxAmount <NRATaxAmt>	[0..1]	Amount	C1, C11	625
	BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>	[0..1]	Amount	C1, C11	626
	TaxOnIncomeAmount <TaxOnIncmAmt>	[0..1]	Amount	C1, C11	626
	TransactionTax <TxTax>	[0..1]	Amount	C1, C11	626
	DeemedAmount <DmdAmt>	[0..1]	Amount	C1, C11	627
	ForeignIncomeAmount <FrgnIncmAmt>	[0..1]	Amount	C1, C11	627
	DeemedDividendAmount <DmdDvddAmt>	[0..1]	Amount	C1, C11	628
	DeemedFundAmount <DmdFndAmt>	[0..1]	Amount	C1, C11	628
	DeemedInterestAmount <DmdIntrstAmt>	[0..1]	Amount	C1, C11	628
	DeemedRoyaltiesAmount <DmdRyltsAmt>	[0..1]	Amount	C1, C11	629
	AdjustedSubscriptionAmount <AdjstdSbcptAmt>	[0..1]	Amount	C1, C11	629
	RefundedSubscriptionAmount <RfnddSbcptAmt>	[0..1]	Amount	C1, C11	630

8.4.11.12.9.1 PostingAmount <PstngAmt>*Presence:* [1..1]*Definition:* Amount of money that is to be/was posted to the account.*Impacted by:* C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.2 GrossCashAmount <GrssCshAmt>

Presence: [0..1]

Definition: Amount of money before any deductions and allowances have been made.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.3 NetCashAmount <NetCshAmt>

Presence: [0..1]

Definition: Amount of money after deductions and allowances have been made, if any, that is, the total amount +/- charges/fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.4 SolicitationFees <SlctnFees>

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.5 CashInLieuOfShare <CshInLieuOfShr>

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.6 CapitalGain <CptlGn>

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.7 InterestAmount <IntrstAmt>

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.8 MarketClaimAmount <MktClmAmt>

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.9 IndemnityAmount <IndmntyAmt>

Presence: [0..1]

Definition: (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.10 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

Presence: [0..1]

Definition: Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender. Also covers compensation/indemnity of missed dividend concerning early/late settlements if applicable to a market.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.11 ReinvestmentAmount <RinvstmtAmt>

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.12 FullyFrankedAmount <FullyFrnkAmt>

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.13 UnfrankedAmount <UfrnkAmt>

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.14 SundryOrOtherAmount <SndryOrOthrAmt>

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.15 TaxFreeAmount <TaxFreeAmt>

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.16 TaxDeferredAmount <TaxDfrdAmt>

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.17 ValueAddedTaxAmount <ValAddedTaxAmt>

Presence: [0..1]

Definition: Tax on value added.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.18 StampDutyAmount <StmpDtyAmt>

Presence: [0..1]

Definition: Amount of stamp duty.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.19 TaxReclaimAmount <TaxRclmAmt>

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.20 TaxCreditAmount <TaxCdtAmt>

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.21 AdditionalTaxAmount <AddtlTaxAmt>

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.22 WithholdingTaxAmount <WhldgTaxAmt>

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.23 SecondLevelTaxAmount <ScndLvITaxAmt>

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.24 FiscalStampAmount <FscIStmpAmt>

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.25 ExecutingBrokerAmount <ExctgBrkrAmt>

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.26 PayingAgentCommissionAmount <PngAgtComssnAmt>

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.27 LocalBrokerCommissionAmount <LclBrkrComssnAmt>

Presence: [0..1]

Definition: Local broker's commission.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.28 RegulatoryFeesAmount <RgltryFeesAmt>

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, Securities and Exchange fees.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.29 ShippingFeesAmount <ShppgFeesAmt>

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.30 ChargesAmount <ChrgsAmt>

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.31 CashAmountBroughtForward <CshAmtBrghTfwd>

Presence: [0..1]

Definition: Indicates cash retained from previous dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.32 CashAmountCarriedForward <CshAmtCrrdFwd>

Presence: [0..1]

Definition: Indicates the balance carried forward to next dividend.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.33 NotionalDividendPayableAmount <NtnlDvddPyblAmt>

Presence: [0..1]

Definition: Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.34 NotionalTaxAmount <NtnlTaxAmt>

Presence: [0..1]

Definition: Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.35 TaxArrearsAmount <TaxArrearsAmt>

Presence: [0..1]

Definition: Amount of money paid by the tax authorities in addition to the payment of the tax refund itself.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.36 OriginalAmount <OrgnlAmt>

Presence: [0..1]

Definition: Posting/settlement Amount in its original currency when conversion from/into another currency has occurred.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.37 PrincipalOrCorpus <PrncplOrCrps>

Presence: [0..1]

Definition: Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.38 RedemptionPremiumAmount <RedPrmAmt>

Presence: [0..1]

Definition: Amount of money (not interest) in addition to the principal at the redemption of a bond.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.39 IncomePortion <IncmPrtn>

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.40 StockExchangeTax <StockXchgTax>

Presence: [0..1]

Definition: Amount of stock exchange tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.41 EUTaxRetentionAmount <EUTaxRtnAmt>

Presence: [0..1]

Definition: Total amount of tax withheld at source in conformance with the EU Savings Directive.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.42 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.43 EqualisationAmount <EqualstnAmt>

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.44 FATCATaxAmount <FATCATaxAmt>

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.45 NRATaxAmount <NRATaxAmt>

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.46 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.47 TaxOnIncomeAmount <TaxOnIncmAmt>

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.48 TransactionTax <TxTax>

Presence: [0..1]

Definition: Amount of transaction tax.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.49 DeemedAmount <DmdAmt>

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.50 ForeignIncomeAmount <FrgnIncmAmt>

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.51 DeemedDividendAmount <DmdDvddAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.52 DeemedFundAmount <DmdFndAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.53 DeemedInterestAmount <DmdIntrstAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.54 DeemedRoyaltiesAmount <DmdRyltsAmt>

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.55 AdjustedSubscriptionAmount <AdjstdSbcptAmt>

Presence: [0..1]

Definition: Amount of money debited or credited to adjust for the difference between the estimated and the final subscription rate for Rights Exercise events.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.9.56 RefundedSubscriptionAmount <RfnddSbcptAmt>

Presence: [0..1]

Definition: Amount of money refunded from the original subscription cost for a Rights Exercise event. This occurs when the event is prorated and the subscription cost relating to the unaffected (unaccepted) balance is returned to the holder.

Impacted by: C1 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.11.12.10 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

DateDetails <DtDtls> contains the following **CorporateActionDate65** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDate <PstngDt>	[1..1]	±		630
	ValueDate <ValDt>	[0..1]	±		631
	ForeignExchangeRateFixingDate <FXRateFxdDt>	[0..1]	±		631
	EarliestPaymentDate <EarlstPmtDt>	[0..1]	±		631
	PaymentDate <PmtDt>	[0..1]	±		631

8.4.11.12.10.1 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.12.10.2 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.12.10.3 ForeignExchangeRateFixingDate <FXRateFxdDt>

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

ForeignExchangeRateFixingDate <FXRateFxdDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.12.10.4 EarliestPaymentDate <EarlstPmtDt>

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if the payment date is a non-business day or to indicate the first payment date of an offer.

EarliestPaymentDate <EarlstPmtDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.12.10.5 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date on which the distribution is due to take place (cash and/or securities).

PaymentDate <PmtDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

8.4.11.12.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

ForeignExchangeDetails <FXDtIs> contains the following elements (see ["ForeignExchangeTerms23"](#) on page 904 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	904
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	905
	ExchangeRate <XchgRate>	[1..1]	Rate		905
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C14	905

8.4.11.12.12 TaxVoucherDetails <TaxVchrDtIs>

Presence: [0..1]

Definition: Provides information about the tax voucher related to a cash movement.

TaxVoucherDetails <TaxVchrDtIs> contains the following elements (see ["TaxVoucher4"](#) on page 899 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		899
	BargainDate <BrngDt>	[0..1]	±		899
	BargainSettlementDate <BrngSttlmDt>	[0..1]	±		899

8.4.11.12.13 RateAndAmountDetails <RateAndAmtDtIs>

Presence: [0..1]

Definition: Provides information about the corporate action option.

Impacted by: [C12 "DeemedRate1Rule"](#), [C13 "DeemedRate2Rule"](#), [C23 "GrossDividendRate2Rule"](#), [C25 "GrossDividendRate3Rule"](#), [C35 "NetDividendRate1Rule"](#), [C36 "NetDividendRate2Rule"](#), [C51 "SecondLevelTax1Rule"](#), [C53 "SecondLevelTax2Rule"](#), [C55 "SecondLevelTax3Rule"](#), [C59 "WithholdingTaxRate1Rule"](#), [C61 "WithholdingTaxRate2Rule"](#)

RateAndAmountDetails <RateAndAmtDtls> contains the following **Rate35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalTax <AddtlTax>	[0..1]	±		634
	ChargesFees <ChrgsFees>	[0..1]	±		635
	FiscalStamp <FscIStmp>	[0..1]	Rate		635
	GrossDividendRate <GrssDvddRate>	[0..*]	±		635
	EarlySolicitationFeeRate <EarlySlctnFeeRate>	[0..1]	±		636
	ThirdPartyIncentiveRate <ThrdPtyIncntivRate>	[0..1]	±		636
	InterestRateUsedForPayment <IntrstRateUsdForPmt>	[0..*]	±		637
	NetDividendRate <NetDvddRate>	[0..*]	±		637
	ApplicableRate <AplblRate>	[0..1]	Rate		638
	SolicitationFeeRate <SlctnFeeRate>	[0..1]	±		638
	TaxCreditRate <TaxCdtRate>	[0..1]	±		639
	WithholdingTaxRate <WhldgTaxRate>	[0..*]	±		639
	SecondLevelTax <ScndLvITax>	[0..*]	±		639
	TaxOnIncome <TaxOnIncm>	[0..1]	±		640
	TaxOnProfits <TaxOnPrfts>	[0..1]	Rate		640
	TaxReclaimRate <TaxRclmRate>	[0..1]	Rate		640
	EqualisationRate <EqulstnRate>	[0..1]	Amount	C1	640
	DeemedRate <DmdRate>	[0..*]	±		641

Constraints

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 566 NVR C4).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate may be present, only one of those elements may be present.

(MT 566 NVR C3).

- **GrossDividendRate2Rule**

If GrossDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 566 NVR C4).

- **GrossDividendRate3Rule**

Only a single occurrence of either GrossDividendRate/Amount or GrossDividendRate/AmountAndRateStatus may be present. Both elements may not be present together. (MT 566 NVR C3).

- **NetDividendRate1Rule**

If NetDividendRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDividendRate. (MT 566 NVR C4).

- **NetDividendRate2Rule**

Only a single occurrence of either NetDividendRate/Amount may be present. Both elements may not be present together. (MT 566 NVR C3).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 566 NVR C4).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 566 NVR C8).

```
On Condition
  /SecondLevelTax[*] is present
Following Must be True
  /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 566 NVR C4).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 566 NVR C3).

8.4.11.12.13.1 AdditionalTax <AddtlTax>

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

AdditionalTax <AddtlTax> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.12.13.2 ChargesFees <ChrgsFees>

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

ChargesFees <ChrgsFees> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.12.13.3 FiscalStamp <FscIStmp>

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

Datatype: "PercentageRate" on page 1156

8.4.11.12.13.4 GrossDividendRate <GrssDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity before deductions or allowances have been made.

GrossDividendRate <GrssDvddRate> contains one of the following elements (see "GrossDividendRateFormat37Choice" on page 849 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	849
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			849
	Amount <Amt>	[1..1]	Amount	C1	850
	RateStatus <RateSts>	[1..1]	CodeSet		850
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			850
	RateType <RateTp>	[1..1]			851
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		852
	Amount <Amt>	[1..1]	Amount	C1	852
	RateStatus <RateSts>	[0..1]		C69	853
{Or	Code <Cd>	[1..1]	CodeSet		853
Or}	Proprietary <Prtry>	[1..1]	±		853

8.4.11.12.13.5 EarlySolicitationFeeRate <EarlySlctnFeeRate>

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

EarlySolicitationFeeRate <EarlySlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat8Choice" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1031
Or	AmountToQuantity <AmtToQty>	[1..1]			1031
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1032
Or}	Amount <Amt>	[1..1]	Amount	C1	1032

8.4.11.12.13.6 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, Payment is made to a third party who has solicited an entity to take part in the offer.

ThirdPartyIncentiveRate <ThrdPtyIncntivRate> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.12.13.7 InterestRateUsedForPayment <IntrstRateUsdForPmt>

Presence: [0..*]

Definition: Actual interest rate used for the payment of the interest for the specified interest period.

InterestRateUsedForPayment <IntrstRateUsdForPmt> contains one of the following elements (see "InterestRateUsedForPaymentFormat7Choice" on page 1037 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C69	1039
{Or	Code <Cd>	[1..1]	CodeSet		1039
Or}	Proprietary <Prtry>	[1..1]	±		1040

8.4.11.12.13.8 NetDividendRate <NetDvddRate>

Presence: [0..*]

Definition: Cash dividend amount per equity after deductions or allowances have been made.

NetDividendRate <NetDvddRate> contains one of the following elements (see "NetDividendRateFormat40Choice" on page 834 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	835
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			835
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			836
	RateType <RateTp>	[1..1]			836
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		837
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C69	838
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

8.4.11.12.13.9 ApplicableRate <AplblRate>

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

Datatype: "PercentageRate" on page 1156

8.4.11.12.13.10 SolicitationFeeRate <SlctnFeeRate>

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

SolicitationFeeRate <SlctnFeeRate> contains one of the following elements (see "SolicitationFeeRateFormat8Choice" on page 1031 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1031
Or	AmountToQuantity <AmtToQty>	[1..1]			1031
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1032
Or}	Amount <Amt>	[1..1]	Amount	C1	1032

8.4.11.12.13.11 TaxCreditRate <TaxCdtRate>*Presence:* [0..1]*Definition:* Amount of money per equity allocated as the result of a tax credit.**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see ["RateFormat22Choice"](#) on page 1020 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	Amount <Amt>	[1..1]	Amount	C1	1021

8.4.11.12.13.12 WithholdingTaxRate <WhldgTaxRate>*Presence:* [0..*]*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see ["RateAndAmountFormat40Choice"](#) on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

8.4.11.12.13.13 SecondLevelTax <ScndLvlTax>*Presence:* [0..*]*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

SecondLevelTax <ScndLvITax> contains one of the following elements (see "RateAndAmountFormat40Choice" on page 1040 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

8.4.11.12.13.14 TaxOnIncome <TaxOnIncm>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as an income.

TaxOnIncome <TaxOnIncm> contains one of the following elements (see "RateAndAmountFormat39Choice" on page 1043 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

8.4.11.12.13.15 TaxOnProfits <TaxOnPrfts>

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

Datatype: "PercentageRate" on page 1156

8.4.11.12.13.16 TaxReclaimRate <TaxRclmRate>

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

Datatype: "PercentageRate" on page 1156

8.4.11.12.13.17 EqualisationRate <EqulstnRate>

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

8.4.11.12.13.18 DeemedRate <DmdRate>

Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

DeemedRate <DmdRate> contains one of the following elements (see "RateAndAmountFormat52Choice" on page 1021 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1022
Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1022
	RateType <RateTp>	[1..1]			1022
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1023
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[0..1]		C69	1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1024
	RateType <RateTp>	[1..1]			1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Rate <Rate>	[1..1]	Rate		1026

8.4.11.12.14 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

Impacted by: C20 "GenericCashPricePerProductGuideline"

PriceDetails <PricDtls> contains the following **PriceDetails23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>	[0..1]	±		642
	GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>	[0..1]	±		642

Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

8.4.11.12.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

GenericCashPricePaidPerProduct <GncCshPricPdPerPdct> contains one of the following elements (see "PriceFormat51Choice" on page 998 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			998
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		999
	PriceValue <PricVal>	[1..1]	Rate		999
Or	AmountPrice <AmtPric>	[1..1]	±		999
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		999

8.4.11.12.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct> contains one of the following elements (see "PriceFormat48Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			994
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		995
	PriceValue <PricVal>	[1..1]	Rate		995
Or	AmountPrice <AmtPric>	[1..1]	±		995
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			995
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		996
	PriceValue <PricVal>	[1..1]	Amount	C1	996
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		996
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			997
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		997
	PriceValue <PricVal>	[1..1]	Amount	C1	997
	Amount <Amt>	[1..1]	Amount	C1	998
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		998

8.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		643
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		643
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		644
	TaxationConditions <TaxtnConds>	[0..*]	Text		644

8.4.12.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1159

8.4.12.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "Max350Text" on page 1159

8.4.12.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

8.4.12.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "Max350Text" on page 1159

8.4.13 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

8.4.14 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

8.4.15 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see ["PartyIdentification120Choice"](#) on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

8.4.16 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C57 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **DRAFTseev.037.001.12**

CorporateActionMovementReversalAdviceV12

9.1 **MessageDefinition Functionality**

Scope

The CorporateActionMovementReversalAdvice message is sent by an account servicer to an account owner or its designated agent to reverse a previously confirmed posting of securities or cash.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionMovementReversalAdviceV12 MessageDefinition is composed of 14 MessageBuildingBlocks:

- A. MovementConfirmationIdentification
Identification of a previously sent movement confirmation document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. EventsLinkage
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- D. ReversalReason
Reason for the reversal.
- E. TransactionIdentification
Transaction type and identification information.
- F. CorporateActionGeneralInformation
General information about the corporate action event.
- G. AccountDetails
General information about the safekeeping account, owner and account balance.
- H. CorporateActionDetails

Information about the corporate action event.

I. CorporateActionConfirmationDetails

Information about the corporate action option.

J. AdditionalInformation

Provides additional information.

K. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.

L. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

M. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnMvmntRvslAdv>	[1..1]		C2, C15, C13	
	MovementConfirmationIdentification <MvmntConflD>	[1..1]	±		651
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		652
	EventsLinkage <EvtsLkg>	[0..*]	±		652
	ReversalReason <RvslRsn>	[0..1]	±	C3	652
	TransactionIdentification <TxId>	[0..1]			653
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		653
	CorporateActionGeneralInformation <CorpActnGnllInf>	[1..1]		C14	653
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		654
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		654
	ClassActionNumber <ClsActnNb>	[0..1]	Text		654
	EventType <EvtTp>	[1..1]	±	C9	655
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C16	655
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		656
	FractionalQuantity <FrctnlQty>	[0..1]	±		656
	AccountDetails <AcctDtls>	[1..1]			657
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		657
	ConfirmedBalance <ConfdBal>	[1..1]	±		657
	CorporateActionDetails <CorpActnDtls>	[0..1]			657
	DateDetails <DtDtls>	[0..1]			658
	RecordDate <RcrdDt>	[0..1]	±		658
	ExDividendDate <ExDvddDt>	[0..1]	±		658
	EventStage <EvtStag>	[0..1]	±		659
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		659
	LotteryType <LtryTp>	[0..1]	±		659
	CorporateActionConfirmationDetails <CorpActnConfDtls>	[1..1]			660
	OptionNumber <OptnNb>	[1..1]	±		660

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionType <OptnTp>	[1..1]	±		661
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			661
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C16	661
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		662
	PostingQuantity <PstngQty>	[1..1]	±		663
	PostingDate <PstngDt>	[1..1]	±		663
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		663
	CashMovementDetails <CshMvmntDtls>	[0..*]			663
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	Account <Acct>	[0..1]			664
{Or	CashAccount <CshAcct>	[1..1]	±		664
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		665
Or}	TaxAccount <TaxAcct>	[1..1]	±		665
	PostingDate <PstngDt>	[1..1]	±		665
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		665
	ValueDate <ValDt>	[0..1]	±		666
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	666
	AdditionalInformation <AddtlInf>	[0..1]			666
	AdditionalText <AddtlTxt>	[0..*]	Text		667
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		667
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		667
	TaxationConditions <TaxtnConds>	[0..*]	Text		667
	IssuerAgent <IssrAgt>	[0..*]	±		667
	PayingAgent <PngAgt>	[0..*]	±		668
	SubPayingAgent <SubPngAgt>	[0..*]	±		668
	SupplementaryData <SplmtryData>	[0..*]	±	C18	668

9.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

This constraint is defined at the MessageDefinition level.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 DescriptionUsageRule

Description must be used alone as the last resort.

C9 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

This constraint is defined at the MessageDefinition level.

C14 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C15 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

This constraint is defined at the MessageDefinition level.

C16 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C17 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C18 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C19 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MovementConfirmationIdentification <MvmntConfld>

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

MovementConfirmationIdentification <MvmntConfld> contains the following elements (see "DocumentIdentification31" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

9.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

9.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

EventsLinkage <EvtsLkg> contains the following elements (see "CorporateActionEventReference3" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

9.4.4 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal.

Impacted by: C3 "AdditionalReasonInformationRule"

ReversalReason <RvsIRsn> contains the following elements (see "[CorporateActionReversalReason3](#)" on page 913 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		914

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

9.4.5 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Transaction type and identification information.

TransactionIdentification <TxId> contains the following **TransactionIdentification15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[1..1]	Text		653

9.4.5.1 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "[Max35Text](#)" on page 1159

9.4.6 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C14 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation142 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		654
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		654
	ClassActionNumber <ClssActnNb>	[0..1]	Text		654
	EventType <EvtTp>	[1..1]	±	C9	655
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C16	655
	IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>	[0..1]	±		656
	FractionalQuantity <FrctnQty>	[0..1]	±		656

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

9.4.6.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

9.4.6.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

9.4.6.3 ClassActionNumber <ClssActnNb>

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: "Max35Text" on page 1159

9.4.6.4 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C9 "EventTypeRule"

EventType <EvtTp> contains one of the following elements (see "CorporateActionEventType87Choice" on page 872 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		872
Or}	Proprietary <Prtry>	[1..1]	±		879

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

9.4.6.5 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

9.4.6.6 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

Presence: [0..1]

Definition: Type of intermediates securities distribution.

IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp> contains one of the following elements (see "[IntermediateSecuritiesDistributionTypeFormat16Choice](#)" on page 909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

9.4.6.7 FractionalQuantity <FrctnlQty>

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

FractionalQuantity <FrctnlQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

9.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

AccountDetails <AcctDtls> contains the following **AccountAndBalance36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		657
	ConfirmedBalance <ConfdBal>	[1..1]	±		657

9.4.7.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

9.4.7.2 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

9.4.8 CorporateActionDetails <CorpActnDtls>

Presence: [0..1]

Definition: Information about the corporate action event.

CorporateActionDetails <CorpActnDtls> contains the following **CorporateAction44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateDetails <DtDtls>	[0..1]			658
	RecordDate <RcrdDt>	[0..1]	±		658
	ExDividendDate <ExDvddDt>	[0..1]	±		658
	EventStage <EvtStag>	[0..1]	±		659
	AdditionalBusinessProcessIndicator <AddtlBizPrclnd>	[0..1]	±		659
	LotteryType <LtryTp>	[0..1]	±		659

9.4.8.1 DateDetails <DtDtls>

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

DateDetails <DtDtls> contains the following **CorporateActionDate59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RecordDate <RcrdDt>	[0..1]	±		658
	ExDividendDate <ExDvddDt>	[0..1]	±		658

9.4.8.1.1 RecordDate <RcrdDt>

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

RecordDate <RcrdDt> contains one of the following elements (see "[DateFormat43Choice](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

9.4.8.1.2 ExDividendDate <ExDvddDt>

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

ExDividendDate <ExDvddDt> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

9.4.8.2 EventStage <EvtStag>

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

EventStage <EvtStag> contains one of the following elements (see "CorporateActionEventStageFormat14Choice" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

9.4.8.3 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

AdditionalBusinessProcessIndicator <AddtlBizPrclnd> contains one of the following elements (see "AdditionalBusinessProcessFormat11Choice" on page 908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

9.4.8.4 LotteryType <LtryTp>

Presence: [0..1]

Definition: Specifies the type of lottery announced.

LotteryType <LtryTp> contains one of the following elements (see "LotteryTypeFormat4Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		941

9.4.9 CorporateActionConfirmationDetails <CorpActnConfDtls>

Presence: [1..1]

Definition: Information about the corporate action option.

CorporateActionConfirmationDetails <CorpActnConfDtls> contains the following **CorporateActionOption168** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		660
	OptionType <OptnTp>	[1..1]	±		661
	SecuritiesMovementDetails <SctiesMvmntDtls>	[0..*]			661
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C16	661
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		662
	PostingQuantity <PstngQty>	[1..1]	±		663
	PostingDate <PstngDt>	[1..1]	±		663
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		663
	CashMovementDetails <CshMvmntDtls>	[0..*]			663
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	Account <Acct>	[0..1]			664
{Or	CashAccount <CshAcct>	[1..1]	±		664
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		665
Or}	TaxAccount <TaxAcct>	[1..1]	±		665
	PostingDate <PstngDt>	[1..1]	±		665
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		665
	ValueDate <ValDt>	[0..1]	±		666
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	666

9.4.9.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

9.4.9.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following elements (see "CorporateActionOption33Choice" on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		833

9.4.9.3 SecuritiesMovementDetails <SctiesMvmntDtls>

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

SecuritiesMovementDetails <SctiesMvmntDtls> contains the following **SecuritiesOption66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C16	661
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		662
	PostingQuantity <PstngQty>	[1..1]	±		663
	PostingDate <PstngDt>	[1..1]	±		663
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		663

9.4.9.3.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the financial instrument.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C16 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrlId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

9.4.9.3.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.3.3 PostingQuantity <PstngQty>

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

PostingQuantity <PstngQty> contains one of the following elements (see ["Quantity6Choice"](#) on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

9.4.9.3.4 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

9.4.9.3.5 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnlPstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

9.4.9.4 CashMovementDetails <CshMvmntDtls>

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

CashMovementDetails <CshMvmntDtls> contains the following **CashOption59** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		664
	Account <Acct>	[0..1]			664
{Or	CashAccount <CshAcct>	[1..1]	±		664
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		665
Or}	TaxAccount <TaxAcct>	[1..1]	±		665
	PostingDate <PstngDt>	[1..1]	±		665
	OriginalPostingDate <OrgnlPstngDt>	[0..1]	±		665
	ValueDate <ValDt>	[0..1]	±		666
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C6	666

9.4.9.4.1 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 1119

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

9.4.9.4.2 Account <Acct>

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

Account <Acct> contains one of the following **Account8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccount <CshAcct>	[1..1]	±		664
Or	ChargesAccount <ChrgsAcct>	[1..1]	±		665
Or}	TaxAccount <TaxAcct>	[1..1]	±		665

9.4.9.4.2.1 CashAccount <CshAcct>

Presence: [1..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

9.4.9.4.2.2 ChargesAccount <ChrgsAcct>

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

ChargesAccount <ChrgsAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

9.4.9.4.2.3 TaxAccount <TaxAcct>

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

TaxAccount <TaxAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

9.4.9.4.3 PostingDate <PstngDt>

Presence: [1..1]

Definition: Date/Time of the posting (credit or debit) to the account.

PostingDate <PstngDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

9.4.9.4.4 OriginalPostingDate <OrgnlPstngDt>

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

OriginalPostingDate <OrgnPstngDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

9.4.9.4.5 ValueDate <ValDt>

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

ValueDate <ValDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

9.4.9.4.6 PostingAmount <PstngAmt>

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Impacted by: C1 "ActiveCurrency", C6 "CurrencyAmount"

Datatype: ["ActiveCurrencyAndAmount"](#) on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.10 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative31** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		667
	NarrativeVersion <NrrtvVrsn>	[0..*]	Text		667
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		667
	TaxationConditions <TaxtnConds>	[0..*]	Text		667

9.4.10.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1159

9.4.10.2 NarrativeVersion <NrrtvVrsn>

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: "Max350Text" on page 1159

9.4.10.3 PartyContactNarrative <PtyCtctNrrtv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

9.4.10.4 TaxationConditions <TaxtnConds>

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: "Max350Text" on page 1159

9.4.11 IssuerAgent <IssrAgt>

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

IssuerAgent <IssrAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

9.4.12 PayingAgent <PngAgt>

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

PayingAgent <PngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

9.4.13 SubPayingAgent <SubPngAgt>

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

SubPayingAgent <SubPngAgt> contains one of the following elements (see "PartyIdentification120Choice" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

9.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C18 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 DRAFTseev.038.001.06 CorporateActionNarrativeV06

10.1 MessageDefinition Functionality

Scope

The CorporateActionNarrative message is sent between an account servicer and an account owner or its designated agent to cater for such processes that cannot be handled using messages such as the CorporateActionNotification. It is not to be used for event narrative as that should be included in the CorporateActionNotification. Examples of when the CorporateActionNarrative message may be used include tax reclaims, restrictions, documentation requirements. This message should only be used when bilaterally agreed. This message is bi-directional.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionNarrativeV06 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. AccountDetails

General information about the safekeeping account and the account owner.

B. UnderlyingSecurity

Provides information about the securitised right for entitlement.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AdditionalInformation

Provides additional information.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnNrrtv>	[1..1]			
	AccountDetails <AcctDtls>	[0..1]			672
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		673
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10	673
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		673
	AccountOwner <AcctOwnr>	[0..1]	±		673
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		674
	ConfirmedBalance <ConfdBal>	[1..1]	±		674
	UnderlyingSecurity <UndrlygScty>	[0..1]	±	C4, C5, C6, C7, C9	674
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8	675
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		676
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		676
	NarrativeType <NrrtvTp>	[0..1]	±		676
	AdditionalInformation <AddtlInf>	[1..1]	±	C1	677
	SupplementaryData <SplmtryData>	[0..*]	±	C11	677

10.3 Constraints

C1 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: General information about the safekeeping account and the account owner.

AccountDetails <AcctDtls> contains one of the following **AccountIdentification42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ForAllAccounts <ForAllAccts>	[1..1]	±		673
Or}	AccountsListAndBalanceDetails <AcctsListAndBalDtls>	[1..*]		C10	673
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		673
	AccountOwner <AcctOwnr>	[0..1]	±		673
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		674
	ConfirmedBalance <ConfdBal>	[1..1]	±		674

10.4.1.1 ForAllAccounts <ForAllAccts>*Presence:* [1..1]*Definition:* All safekeeping accounts that own underlying financial instrument.**ForAllAccounts <ForAllAccts>** contains the following elements (see "[AccountIdentification10](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		781

10.4.1.2 AccountsListAndBalanceDetails <AcctsListAndBalDtls>*Presence:* [1..*]*Definition:* Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.*Impacted by:* [C10 "SafekeepingPlaceRule"](#)**AccountsListAndBalanceDetails <AcctsListAndBalDtls>** contains the following **AccountIdentification47** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		673
	AccountOwner <AcctOwnr>	[0..1]	±		673
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		674
	ConfirmedBalance <ConfdBal>	[1..1]	±		674

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

10.4.1.2.1 SafekeepingAccount <SfkpgAcct>*Presence:* [1..1]*Definition:* Account where financial instruments are maintained.*Datatype:* "[Max35Text](#)" on page 1159**10.4.1.2.2 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see ["PartyIdentification127Choice"](#) on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

10.4.1.2.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see ["SafekeepingPlaceFormat28Choice"](#) on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

10.4.1.2.4 ConfirmedBalance <ConfdBal>

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

ConfirmedBalance <ConfdBal> contains one of the following elements (see ["BalanceFormat5Choice"](#) on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

10.4.2 UnderlyingSecurity <UndrlygScty>

Presence: [0..1]

Definition: Provides information about the securitised right for entitlement.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <Othrld>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

10.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following **CorporateActionGeneralInformation92** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		676
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		676
	NarrativeType <NrrtvTp>	[0..1]	±		676

Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

10.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

10.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

10.4.3.3 NarrativeType <NrrtvTp>

Presence: [0..1]

Definition: Specifies the type of narrative related to the message.

NarrativeType <NrrtvTp> contains one of the following elements (see "CorporateActionNarrative3Choice" on page 903 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904

10.4.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional information.

Impacted by: C1 "AdditionalInformationRule"

AdditionalInformation <AddtlInf> contains the following elements (see "UpdatedAdditionalInformation8" on page 918 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		919
	UpdateDate <UpdDt>	[0..1]	Date		919
	AdditionalInformation <AddtlInf>	[1..*]	Text		919

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

10.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 DRAFTseev.040.001.10 CorporateActionInstructionCancellationRequestV10

11.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequest message is sent by an account owner to an account servicer to request cancellation of a previously sent corporate action election instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestV10 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. ChangeInstructionIndicator

Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

B. InstructionIdentification

Identification of a previously sent instruction document.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

General information about the safekeeping account and the account owner.

E. CorporateActionInstruction

Information about the corporate action option.

F. ProtectInstruction

Provides detailed information on protect and cover protect instructions.

G. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReq>	[1..1]		C2, C4, C3	
	ChangeInstructionIndicator <ChngInstrInd>	[0..1]	Indicator		682
	InstructionIdentification <InstrId>	[1..1]	±		682
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C8, C12	682
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		683
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		683
	EventType <EvtTp>	[1..1]		C9	684
{Or	Code <Cd>	[1..1]	CodeSet		684
Or}	Proprietary <Prtry>	[1..1]	±		690
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C10, C11, C15	690
	AccountDetails <AcctDtls>	[1..1]		C16	691
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		692
	AccountOwner <AcctOwnr>	[0..1]	±		692
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		692
	CorporateActionInstruction <CorpActnInstr>	[1..1]		C13, C14	693
	OptionNumber <OptnNb>	[1..1]	±		693
	OptionType <OptnTp>	[1..1]			694
{Or	Code <Cd>	[1..1]	CodeSet		694
Or}	Proprietary <Prtry>	[1..1]	±		696
	InstructedQuantity <InstdQty>	[1..1]	±		696
	ProtectInstruction <PrctInstr>	[0..1]			696
	TransactionType <TxTp>	[1..1]	CodeSet		696
	TransactionIdentification <TxId>	[0..1]	Text		697
	ProtectDate <PrctDt>	[0..1]	Date		697
	SupplementaryData <SplmtryData>	[0..*]	±	C17	697

11.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT565 NVR C8)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value
'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value
'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C3 ChangeInstructionIndicatorGuideline

ChangeInstructionIndicator may only be used if CorporateActionOptionDetails/WithdrawalAllowedIndicator was set to 'false' or '0' (No) in the CorporateActionNotification message previously received and if CorporateActionOptionDetails/ChangeAllowedIndicator was set to 'true' or '1' (Yes) in the CorporateActionNotification message previously received.

This constraint is defined at the MessageDefinition level.

C4 CorporateActionEventIdentificationRule

If the CorporateActionInstruction message was unsolicited (that is, this instruction has not been preceded by a CorporateActionNotification), then, CorporateActionGeneralInformation/CorporateActionEventIdentification must be NONREF.

This constraint is defined at the MessageDefinition level.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C7 DescriptionUsageRule

Description must be used alone as the last resort.

C8 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C9 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C10 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C11 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C12 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C13 OptionNumber1Rule

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

C14 OptionNumber2Rule

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

C15 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C16 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C17 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C18 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 ChangeInstructionIndicator <ChngInstrInd>

Presence: [0..1]

Definition: Indicates that cancelled instruction will be replaced by a new corporate action instruction to be sent later.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

11.4.2 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of a previously sent instruction document.

InstructionIdentification <InstrId> contains the following elements (see ["DocumentIdentification31"](#) on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

11.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: [C8 "EventTypeRule"](#), [C12 "OfficialCorporateActionEventReference"](#)

CorporateActionGeneralInformation <CorpActnGnlInf> contains the following
CorporateActionGeneralInformation155 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		683
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		683
	EventType <EvtTp>	[1..1]		C9	684
{Or	Code <Cd>	[1..1]	CodeSet		684
Or}	Proprietary <Prtry>	[1..1]	±		690
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C6, C7, C10, C11, C15	690

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

11.4.3.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

11.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

11.4.3.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C9 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		684
Or}	Proprietary <Prtry>	[1..1]	±		690

Constraints

- **EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

11.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of

CodeName	Name	Definition
		payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise

CodeName	Name	Definition
		periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is

CodeName	Name	Definition
		distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

CodeName	Name	Definition
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.

CodeName	Name	Definition
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

CodeName	Name	Definition
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

11.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

11.4.3.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identification of the security concerned by the corporate action.

Impacted by: C6 "DescriptionPresenceRule", C7 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrlId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

11.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: General information about the safekeeping account and the account owner.

Impacted by: C16 "SafekeepingPlaceRule"

AccountDetails <AcctDtls> contains the following **AccountIdentification46** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		692
	AccountOwner <AcctOwnr>	[0..1]	±		692
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		692

Constraints

- **SafekeepingPlaceRule**

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

11.4.4.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

11.4.4.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

11.4.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

11.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [1..1]

Definition: Information about the corporate action option.

Impacted by: C13 "OptionNumber1Rule", C14 "OptionNumber2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption181** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		693
	OptionType <OptnTp>	[1..1]			694
{Or	Code <Cd>	[1..1]	CodeSet		694
Or}	Proprietary <Prtry>	[1..1]	±		696
	InstructedQuantity <InstQty>	[1..1]	±		696

Constraints

- **OptionNumber1Rule**

For differentiation between options, the OptionNumber must be used (preferred rather than the OptionType for example in the case where two or more offers can be described by the same corporate action option code). Therefore, the OptionNumber value must be different for each option.

- **OptionNumber2Rule**

When the CorporateActionInstruction is unsolicited, that is, the instruction has not been preceded by an Corporate ActionNotification, then OptionNumber/code must be UNSO (unsolicited).

11.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "OptionNumber1Choice" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

11.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption40Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		694
Or}	Proprietary <Prtry>	[1..1]	±		696

11.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "CorporateActionOption16Code" on page 1114

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

CodeName	Name	Definition
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

11.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

11.4.5.3 InstructedQuantity <InstQty>

Presence: [1..1]

Definition: Quantity of securities to which this instruction applies.

InstructedQuantity <InstQty> contains one of the following elements (see "[Quantity20Choice](#)" on page 1019 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1019
Or}	Quantity <Qty>	[1..1]	±		1019

11.4.6 ProtectInstruction <PrctctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctctInstr> contains the following **ProtectInstruction3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		696
	TransactionIdentification <TxId>	[0..1]	Text		697
	ProtectDate <PrctctDt>	[0..1]	Date		697

11.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType3Code" on page 1144

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

11.4.6.2 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1157

11.4.6.3 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1152

11.4.7 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C17 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12 DRAFTseev.041.001.11 CorporateActionInstructionCancellationRequestStatusAdviceV11

12.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionCancellationRequestStatusAdvice message is sent by an account servicer to an account owner or its designated agent to report the status of a previously received CorporateActionInstructionCancellationRequest message sent by the account owner. This will include the acknowledgement/rejection of a request to cancel an outstanding instruction.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionCancellationRequestStatusAdviceV11 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionCancellationRequestIdentification
Identification of a related instruction cancellation request document.
- B. OtherDocumentIdentification
Identification of other documents as well as the document number.
- C. CorporateActionGeneralInformation
General information about the corporate action event.
- D. InstructionCancellationRequestStatus
Provides information about the processing status of the instruction cancellation request.
- E. CorporateActionInstruction
Information about the corporate action option.
- F. ProtectInstruction
Provides detailed information on protect and cover protect instructions.
- G. AdditionalInformation
Provides additional information.

H. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrCxlReqStsAdv>	[1..1]		C3, C9	
	InstructionCancellationRequestIdentification <InstrCxlReqId>	[0..1]	±		704
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		704
	CorporateActionGeneralInformation <CorpActnGnlInf>	[1..1]		C14, C19	704
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		705
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		705
	ClassActionNumber <ClsActnNb>	[0..1]	Text		706
	EventType <EvtTp>	[1..1]		C15	706
{Or	Code <Cd>	[1..1]	CodeSet		706
Or}	Proprietary <Prtry>	[1..1]	±		712
	InstructionCancellationRequestStatus <InstrCxlReqSts>	[1..*]			713
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		713
Or	Accepted <Accptd>	[1..1]	±		713
Or	Rejected <Rjctd>	[1..1]	±		714
Or	PendingCancellation <PdgCxl>	[1..1]	±		714
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		714
	CorporateActionInstruction <CorpActnInstr>	[0..1]		C20, C22, C24, C25	715
	OptionNumber <OptnNb>	[1..1]	±		716
	OptionType <OptnTp>	[1..1]			716
{Or	Code <Cd>	[1..1]	CodeSet		716
Or}	Proprietary <Prtry>	[1..1]	±		718
	OptionFeatures <OptnFeatr>	[0..1]	±		718
	AccountOwner <AcctOwnr>	[0..1]	±		718
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		719
	CashAccount <CshAcct>	[0..1]	±		719
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		719
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C12, C13, C17,	720

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C18, C21	
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		721
	InstructedBalance <InstdBal>	[0..1]	±		721
	UninstructedBalance <UnstdBal>	[0..1]	±		721
	StatusQuantity <StsQty>	[0..1]	±		722
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	722
	ProtectInstruction <PrctInstr>	[0..1]			723
	TransactionType <TxTp>	[1..1]	CodeSet		723
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		723
	TransactionIdentification <TxId>	[0..1]	Text		723
	ProtectDate <PrctDt>	[0..1]	Date		723
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		724
	AdditionalInformation <AddtlInf>	[0..1]			724
	AdditionalText <AddtlTxt>	[0..*]	Text		724
	PartyContactNarrative <PtyCtctNrrtv>	[0..*]	Text		724
	SupplementaryData <SplmtryData>	[0..*]	±	C26	724

12.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C7 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C9 BeneficialOwnerBreakdownRequestRule

If CorporateActionInstruction/OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT567 NVR C6)

On Condition

/CorporateActionInstruction/OptionType/Code is equal to value

'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code Must be equal to value

'WithholdingTaxReliefCertification'

This constraint is defined at the MessageDefinition level.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C13 DescriptionUsageRule

Description must be used alone as the last resort.

C14 EventTypeRule

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C15 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C19 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

C20 OptionNumberRule

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

C21 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C22 SafekeepingPlaceRule

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C23 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C24 StatusQuantityOrStatusCashAmount1Rule

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

C25 StatusQuantityOrStatusCashAmount2Rule

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

C26 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 InstructionCancellationRequestIdentification <InstrCxlReqId>

Presence: [0..1]

Definition: Identification of a related instruction cancellation request document.

InstructionCancellationRequestIdentification <InstrCxlReqId> contains the following elements (see "DocumentIdentification9" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

12.4.2 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification33" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			801
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		802
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		802
	DocumentNumber <DocNb>	[0..1]	±	C80	802

12.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

Presence: [1..1]

Definition: General information about the corporate action event.

Impacted by: C14 "EventTypeRule", C19 "OfficialCorporateActionEventReference"

CorporateActionGeneralInformation <CorpActnGnllnf> contains the following
CorporateActionGeneralInformation154 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtld>	[1..1]	Text		705
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>	[0..1]	Text		705
	ClassActionNumber <ClssActnNb>	[0..1]	Text		706
	EventType <EvtTp>	[1..1]		C15	706
{Or	Code <Cd>	[1..1]	CodeSet		706
Or}	Proprietary <Prtry>	[1..1]	±		712

Constraints

- **EventTypeRule**

EventType/Code OTHR must only be used in case no other corporate action event code is appropriate.

EventType/Code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

EventType/Code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

12.4.3.1 CorporateActionEventIdentification <CorpActnEvtld>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

12.4.3.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtld>

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

12.4.3.3 ClassActionNumber <ClassActnNb>*Presence:* [0..1]*Definition:* Reference assigned by a court to a class action.*Datatype:* "Max35Text" on page 1159**12.4.3.4 EventType <EvtTp>***Presence:* [1..1]*Definition:* Type of corporate action event.*Impacted by:* C15 "EventTypeRule"**EventType <EvtTp>** contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		706
Or}	Proprietary <Prtry>	[1..1]	±		712

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

12.4.3.4.1 Code <Cd>*Presence:* [1..1]*Definition:* Event types expressed as a code.*Datatype:* "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a

CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

12.4.3.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

12.4.4 InstructionCancellationRequestStatus <InstrCxlReqSts>

Presence: [1..*]

Definition: Provides information about the processing status of the instruction cancellation request.

InstructionCancellationRequestStatus <InstrCxlReqSts> contains one of the following **InstructionCancellationRequestStatus11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationCompleted <CxlCmpltd>	[1..1]	±		713
Or	Accepted <Accptd>	[1..1]	±		713
Or	Rejected <Rjctd>	[1..1]	±		714
Or	PendingCancellation <PdgCxl>	[1..1]	±		714
Or}	ProprietaryStatus <PrtrySts>	[1..1]	±		714

12.4.4.1 CancellationCompleted <CxlCmpltd>

Presence: [1..1]

Definition: Provides status information related to an instruction cancellation request completed.

CancellationCompleted <CxlCmpltd> contains one of the following elements (see "CancelledStatus11Choice" on page 1064 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1065
Or}	Reason <Rsn>	[1..*]		C4	1065
	ReasonCode <RsnCd>	[1..1]			1065
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1066
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1066

12.4.4.2 Accepted <Accptd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request accepted for further processing.

Accepted <Accptd> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1058

12.4.4.3 Rejected <Rjctd>

Presence: [1..1]

Definition: Provides status information related to a cancellation request rejected for further processing due to system (data) reasons.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus18Choice](#)" on page 1062 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1062
Or}	Reason <Rsn>	[1..*]		C5	1063
	ReasonCode <RsnCd>	[1..1]			1063
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1064
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1064

12.4.4.4 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Provides status information related to a pending cancellation request.

PendingCancellation <PdgCxl> contains one of the following elements (see "[PendingCancellationStatus7Choice](#)" on page 1060 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		1060
Or}	Reason <Rsn>	[1..*]		C1, C5	1061
	ReasonCode <RsnCd>	[1..1]			1061
{Or	Code <Cd>	[1..1]	CodeSet		1061
Or}	Proprietary <Prtry>	[1..1]	±		1062
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1062

12.4.4.5 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary status related to an instruction cancellation request.

ProprietaryStatus <PrtrySts> contains the following elements (see "[ProprietaryStatusAndReason6](#)" on page 1070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1070
	ProprietaryReason <PrtryRsn>	[0..*]	±	C7	1070

12.4.5 CorporateActionInstruction <CorpActnInstr>

Presence: [0..1]

Definition: Information about the corporate action option.

Impacted by: C20 "OptionNumberRule", C22 "SafekeepingPlaceRule", C24 "StatusQuantityOrStatusCashAmount1Rule", C25 "StatusQuantityOrStatusCashAmount2Rule"

CorporateActionInstruction <CorpActnInstr> contains the following **CorporateActionOption182** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[1..1]	±		716
	OptionType <OptnTp>	[1..1]			716
{Or	Code <Cd>	[1..1]	CodeSet		716
Or}	Proprietary <Prtry>	[1..1]	±		718
	OptionFeatures <OptnFeatrs>	[0..1]	±		718
	AccountOwner <AcctOwnr>	[0..1]	±		718
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		719
	CashAccount <CshAcct>	[0..1]	±		719
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		719
	FinancialInstrumentIdentification <FinInstrmld>	[0..1]	±	C12, C13, C17, C18, C21	720
	TotalEligibleBalance <TtlElgblBal>	[0..1]	±		721
	InstructedBalance <InstdBal>	[0..1]	±		721
	UninstructedBalance <UinstdBal>	[0..1]	±		721
	StatusQuantity <StsQty>	[0..1]	±		722
	StatusCashAmount <StsCshAmt>	[0..1]	Amount	C2, C11	722

Constraints

- OptionNumberRule**

For differentiation between options, the OptionNumber rather than the OptionType must be used, for example, in the case where two or more offers can be described by the same corporate action option code. Therefore, the OptionNumber value must be different for each option.

- SafekeepingPlaceRule**

SafekeepingPlace must only be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

- StatusQuantityOrStatusCashAmount1Rule**

If StatusQuantity is present, then StatusCashAmount is not allowed. (MT 567 C5).

On Condition
 /StatusQuantity is present
 Following Must be True
 /StatusCashAmount Must be absent

- **StatusQuantityOrStatusCashAmount2Rule**

If StatusCashAmount is present, then StatusQuantity is not allowed. (MT 567 C5).

On Condition
 /StatusCashAmount is present
 Following Must be True
 /StatusQuantity Must be absent

12.4.5.1 OptionNumber <OptnNb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

OptionNumber <OptnNb> contains one of the following elements (see "[OptionNumber1Choice](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

12.4.5.2 OptionType <OptnTp>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

OptionType <OptnTp> contains one of the following **CorporateActionOption41Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		716
Or}	Proprietary <Prtry>	[1..1]	±		718

12.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

Datatype: "[CorporateActionOption17Code](#)" on page 1115

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution

CodeName	Name	Definition
		rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.

CodeName	Name	Definition
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

12.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

12.4.5.3 OptionFeatures <OptnFeatrs>

Presence: [0..1]

Definition: Specifies the features that may apply to a corporate action option.

OptionFeatures <OptnFeatrs> contains one of the following elements (see "[OptionFeaturesFormat25Choice](#)" on page 893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

12.4.5.4 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

12.4.5.5 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

12.4.5.6 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account on which a securities entry is made.

CashAccount <CshAcct> contains one of the following elements (see "CashAccountIdentification5Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

12.4.5.7 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

12.4.5.8 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Identifies the financial instrument.

Impacted by: C12 "DescriptionPresenceRule", C13 "DescriptionUsageRule", C17 "ISINGuideline", C18 "ISINPresenceRule", C21 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True

```


/ISIN Must be present
Or /Description Must be present

12.4.5.9 TotalEligibleBalance <TtlElgblBal>

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

TotalEligibleBalance <TtlElgblBal> contains the following elements (see "SignedQuantityFormat7" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

12.4.5.10 InstructedBalance <Instdbal>

Presence: [0..1]

Definition: Balance of instructed position.

InstructedBalance <Instdbal> contains the following elements (see "SignedQuantityFormat7" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

12.4.5.11 UninstructedBalance <Uinstdbal>

Presence: [0..1]

Definition: Balance of uninstructed position.

UninstructedBalance <UinstdBal> contains the following elements (see "[SignedQuantityFormat7](#)" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer </ssr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

12.4.5.12 StatusQuantity <StsQty>

Presence: [0..1]

Definition: Quantity of securities that has been assigned the status indicated.

StatusQuantity <StsQty> contains one of the following elements (see "[Quantity6Choice](#)" on page 815 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

12.4.5.13 StatusCashAmount <StsCshAmt>

Presence: [0..1]

Definition: Amount of cash subscribed that has been assigned the status indicated.

Impacted by: C2 "ActiveCurrency", C11 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

12.4.6 ProtectInstruction <PrctInstr>

Presence: [0..1]

Definition: Provides detailed information on protect and cover protect instructions.

ProtectInstruction <PrctInstr> contains the following **ProtectInstruction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]	CodeSet		723
	ProtectTransactionStatus <PrctTxSts>	[0..1]	CodeSet		723
	TransactionIdentification <TxId>	[0..1]	Text		723
	ProtectDate <PrctDt>	[0..1]	Date		723
	UncoveredProtectQuantity <UcvrdPrctQty>	[0..1]	±		724

12.4.6.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Indicates whether the instruction is a protect or a cover protect instruction.

Datatype: "ProtectTransactionType3Code" on page 1144

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

12.4.6.2 ProtectTransactionStatus <PrctTxSts>

Presence: [0..1]

Definition: Status of the protect transaction.

Datatype: "ProtectInstructionStatus4Code" on page 1143

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

12.4.6.3 TransactionIdentification <TxId>

Presence: [0..1]

Definition: Unique reference of the protect transaction assigned by the depository and used for cover protect validation.

Datatype: "Max15Text" on page 1157

12.4.6.4 ProtectDate <PrctDt>

Presence: [0..1]

Definition: Date at which the protect instruction was created and used for cover protect validation.

Datatype: "ISODate" on page 1152

12.4.6.5 UncoveredProtectQuantity <UcvrdrPrctQty>

Presence: [0..1]

Definition: Remaining quantity of protect instruction which has not been covered.

UncoveredProtectQuantity <UcvrdrPrctQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 1049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1049
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1049

12.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information.

AdditionalInformation <AddtlInf> contains the following **CorporateActionNarrative10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalText <AddtlTxt>	[0..*]	Text		724
	PartyContactNarrative <PtyCtctNrtrv>	[0..*]	Text		724

12.4.7.1 AdditionalText <AddtlTxt>

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "Max350Text" on page 1159

12.4.7.2 PartyContactNarrative <PtyCtctNrtrv>

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max350Text" on page 1159

12.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C26 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13 DRAFTseev.042.001.10 CorporateActionInstructionStatementReportV 10

13.1 MessageDefinition Functionality

Scope

The CorporateActionInstructionStatementReport message is sent by an account servicer to an account owner or its designated agent to report balances at the safekeeping account level for one or more corporate action events or at the corporate action event level for one or more safekeeping accounts.

Usage

The message may also be used to:

- re-send a message previously sent (the sub-function of the message is Duplicate),
- provide a third party with a copy of a message for information (the sub-function of the message is Copy),
- re-send to a third party a copy of a message for information (the sub-function of the message is Copy Duplicate), using the relevant elements in the business application header (BAH).

Outline

The CorporateActionInstructionStatementReportV10 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Pagination

Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

B. StatementGeneralDetails

General characteristics related to a statement which reports information.

C. AccountAndStatementDetails

Account information and detailed account holdings information report for corporate action events.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CorpActnInstrStmntRpt>	[1..1]		C7, C14	
	Pagination <Pgntn>	[1..1]	±		732
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			732
	StatementType <StmntTp>	[1..1]	CodeSet		732
	ReportingType <RptgTp>	[1..1]	CodeSet		733
	StatementIdentification <StmntId>	[1..1]	Text		733
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		733
	ReportNumber <RptNb>	[0..1]	Text		733
	StatementDateTime <StmntDtTm>	[1..1]	±		733
	Frequency <Frqcy>	[1..1]	±		734
	UpdateType <UpdTp>	[1..1]	±		734
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		734
	NotificationDeadlinePeriod <NtfctnDdlPrd>	[0..1]	±		734
	AccountAndStatementDetails <AcctAndStmntDtls>	[1..*]		C16	735
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		738
	AccountOwner <AcctOwnr>	[0..1]	±		739
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		739
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			739
	GeneralInformation <GnlInf>	[1..1]			742
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		743
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		743
	EventType <EvtTp>	[1..1]		C11	743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		750
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		750
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		750
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C12, C13, C15	750
	Balance <Bal>	[0..1]			752

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		755
	UninstructedBalance <UinstdBal>	[1..1]	±		755
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			756
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		758
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		758
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		759
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		759
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		759
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		759
	OptionDetails <OptnDtls>	[0..*]			760
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		763
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		765
	ResponseDeadline <RspnDdln>	[0..1]	±		765
	MarketDeadline <MktDdln>	[0..1]	±		765
	ProtectDeadline <PrctDdln>	[0..1]	±		766
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProtectDate <PrtctDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrcg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775
	BlockedBalance <BlckdBal>	[0..1]	±		775
	BorrowedBalance <BrrwdBal>	[0..1]	±		776
	CollateralInBalance <CollInBal>	[0..1]	±		776
	CollateralOutBalance <CollOutBal>	[0..1]	±		776
	OnLoanBalance <OnLnBal>	[0..1]	±		776
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		777
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		777
	StreetPositionBalance <StrtPosBal>	[0..1]	±		777
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		778
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		778

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredBalance <RegdBal>	[0..1]	±		778
	ObligatedBalance <OblgtdBal>	[0..1]	±		778
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		779
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		779
	SupplementaryData <SplmtryData>	[0..*]	±	C17	779
	SupplementaryData <SplmtryData>	[0..*]	±	C17	780

13.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C7 CorporateActionEventAndBalanceRule

If StatementGeneralDetails/ActivityIndicator is 'true' or '1' (Yes) then at least one occurrence of AccountAndStatementDetails/CorporateActionEventAndBalance must be present.

If StatementGeneralDetails/ActivityIndicator is 'false' or '0' (No) then AccountAndStatementDetails/CorporateActionEventAndBalance must not be present in any occurrences of AccountAndStatementDetails.

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C10 DescriptionUsageRule

Description must be used alone as the last resort.

C11 EventTypeRule

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

C12 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C13 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C14 MultipleAccountAndStatementDetailsRule

If StatementGeneralDetails/ReportingType is MASE (Multiple Accounts) then AccountAndStatementDetails may be repeated and AccountAndStatementDetails/CorporateActionEventAndBalance must not be repeated in any occurrences of AccountAndStatementDetails.

If StatementGeneralDetails/ReportingType is SAME (Multiple Events) then AccountAndStatementDetails must not be repeated, and AccountAndStatementDetails/CorporateActionEventAndBalance may be repeated.

This constraint is defined at the MessageDefinition level.

C15 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C16 SafekeepingPlaceRule

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

C17 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 Pagination <Pgntn>

Presence: [1..1]

Definition: Page number of the message (within a statement) and continuation indicator to indicate that the statement is to continue or that the message is the last page of the statement.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		898
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		898

13.4.2 StatementGeneralDetails <StmtGnDtls>

Presence: [1..1]

Definition: General characteristics related to a statement which reports information.

StatementGeneralDetails <StmtGnDtls> contains the following **Statement72** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementType <StmtTp>	[1..1]	CodeSet		732
	ReportingType <RptgTp>	[1..1]	CodeSet		733
	StatementIdentification <Stmtd>	[1..1]	Text		733
	InstructionAggregationPeriod <InstrAggtnPrd>	[0..1]	±		733
	ReportNumber <RptNb>	[0..1]	Text		733
	StatementDateTime <StmtdtTm>	[1..1]	±		733
	Frequency <Frqcy>	[1..1]	±		734
	UpdateType <UpdTp>	[1..1]	±		734
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		734
	NotificationDeadlinePeriod <NtfctnDdlnPrd>	[0..1]	±		734

13.4.2.1 StatementType <StmtTp>

Presence: [1..1]

Definition: Indicates whether the statement is a balance only notification or if it includes missing instructions only or all instructions.

Datatype: "CorporateActionStatementType2Code" on page 1118

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

13.4.2.2 ReportingType <RptgTp>

Presence: [1..1]

Definition: Indicates whether the statement report on account holdings for corporate action events is for single account/multiple events or multiple accounts/single event.

Datatype: "CorporateActionStatementReportingType1Code" on page 1118

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

13.4.2.3 StatementIdentification <StmtdId>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 1159

13.4.2.4 InstructionAggregationPeriod <InstrAggtnPrd>

Presence: [0..1]

Definition: Indicates the period of instruction details within the statement.

InstructionAggregationPeriod <InstrAggtnPrd> contains the following elements (see "DatePeriod2" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		789
	ToDate <ToDt>	[1..1]	Date		789

13.4.2.5 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 1160

13.4.2.6 StatementDateTime <StmtdtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmntDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

13.4.2.7 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "Frequency25Choice" on page 820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		820
Or}	Proprietary <Prtry>	[1..1]	±		820

13.4.2.8 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "UpdateType15Choice" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		903

13.4.2.9 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.2.10 NotificationDeadlinePeriod <NtfctnDdlnPrd>

Presence: [0..1]

Definition: Period during which identification deadline has been set.

NotificationDeadlinePeriod <NtfctnDdlnPrd> contains one of the following elements (see "DateOrDateTimePeriod1Choice" on page 796 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		796
Or}	DateTime <DtTm>	[1..1]	±		797

13.4.3 AccountAndStatementDetails <AcctAndStmtDtls>

Presence: [1..*]

Definition: Account information and detailed account holdings information report for corporate action events.

Impacted by: C16 "SafekeepingPlaceRule"

AccountAndStatementDetails <AcctAndStmtDtls> contains the following **AccountIdentification56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		738
	AccountOwner <AcctOwnr>	[0..1]	±		739
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		739
	CorporateActionEventAndBalance <CorpActnEvtAndBal>	[0..*]			739
	GeneralInformation <GnlInf>	[1..1]			742
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		743
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		743
	EventType <EvtTp>	[1..1]		C11	743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		750
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		750
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		750
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C12, C13, C15	750
	Balance <Bal>	[0..1]			752
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		755
	UninstructedBalance <UinstdBal>	[1..1]	±		755
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			756
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		758
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		758
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		759
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		759
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		759
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		759
	OptionDetails <OptnDtls>	[0..*]			760
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCncldInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		763
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdlIn>	[0..1]	±		765
	ResponseDeadline <RspnDdlIn>	[0..1]	±		765
	MarketDeadline <MktDdlIn>	[0..1]	±		765
	ProtectDeadline <PrtctDdlIn>	[0..1]	±		766
	CoverProtectDeadline <CoverPrtctDdlIn>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrtctDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775
	BlockedBalance <BlckdBal>	[0..1]	±		775
	BorrowedBalance <BrrwdBal>	[0..1]	±		776
	CollateralInBalance <CollInBal>	[0..1]	±		776
	CollateralOutBalance <CollOutBal>	[0..1]	±		776
	OnLoanBalance <OnLnBal>	[0..1]	±		776
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		777
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		777
	StreetPositionBalance <StrtPosBal>	[0..1]	±		777
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		778
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		778
	RegisteredBalance <RegdBal>	[0..1]	±		778
	ObligatedBalance <OblgtdBal>	[0..1]	±		778
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		779
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		779
	SupplementaryData <SplmtryData>	[0..*]	±	C17	779

Constraints

- **SafekeepingPlaceRule**

SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

13.4.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

13.4.3.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification127Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

13.4.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 1047 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

13.4.3.4 CorporateActionEventAndBalance <CorpActnEvtAndBal>

Presence: [0..*]

Definition: Detailed account holdings information report for a corporate action event.

CorporateActionEventAndBalance <CorpActnEvtAndBal> contains the following
CorporateActionEventAndBalance20 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GeneralInformation <GnlInf>	[1..1]			742
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		743
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		743
	EventType <EvtTp>	[1..1]		C11	743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		750
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		750
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		750
	UnderlyingSecurity <UndrlygScty>	[1..1]	±	C9, C10, C12, C13, C15	750
	Balance <Bal>	[0..1]			752
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		755
	UninstructedBalance <UinstdBal>	[1..1]	±		755
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			756
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		758
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		758
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		759
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		759
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		759
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		759
	OptionDetails <OptnDtls>	[0..*]			760
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		763

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrctdInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdl>	[0..1]	±		765
	ResponseDeadline <RspnDdl>	[0..1]	±		765
	MarketDeadline <MktDdl>	[0..1]	±		765
	ProtectDeadline <PrctdDdl>	[0..1]	±		766
	CoverProtectDeadline <CoverPrctdDdl>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrctdInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrctdDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrctdDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775
	BlockedBalance <BlckdBal>	[0..1]	±		775
	BorrowedBalance <BrrwdBal>	[0..1]	±		776
	CollateralInBalance <CollInBal>	[0..1]	±		776
	CollateralOutBalance <CollOutBal>	[0..1]	±		776
	OnLoanBalance <OnLnBal>	[0..1]	±		776
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		777
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		777
	StreetPositionBalance <StrtPosBal>	[0..1]	±		777
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		778
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		778
	RegisteredBalance <RegdBal>	[0..1]	±		778
	ObligatedBalance <OblgtdBal>	[0..1]	±		778
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		779
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		779
	SupplementaryData <SplmtryData>	[0..*]	±	C17	779

13.4.3.4.1 GeneralInformation <GnlInf>

Presence: [1..1]

Definition: Provides general information related to a corporate action event.

GeneralInformation <GnlInf> contains the following **EventInformation15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionEventIdentification <CorpActnEvtId>	[1..1]	Text		743
	OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>	[0..1]	Text		743
	EventType <EvtTp>	[1..1]		C11	743
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		750
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]	±		750
	LastNotificationIdentification <LastNtfctnId>	[0..1]	±		750

13.4.3.4.1.1 CorporateActionEventIdentification <CorpActnEvtId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "Max35Text" on page 1159

13.4.3.4.1.2 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

Presence: [0..1]

Definition: Provides the reference of the linked official corporate action event.

Datatype: "Max35Text" on page 1159

13.4.3.4.1.3 EventType <EvtTp>

Presence: [1..1]

Definition: Type of corporate action event.

Impacted by: C11 "EventTypeRule"

EventType <EvtTp> contains one of the following **CorporateActionEventType102Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		750

Constraints

- EventTypeRule**

If Code is present, the code OTHR must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM must only be used when the redemption is decided by the issuer and not by the securities holders.

13.4.3.4.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Event types expressed as a code.

Datatype: "CorporateActionEventType34Code" on page 1102

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid

CodeName	Name	Definition
		interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.

CodeName	Name	Definition
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

CodeName	Name	Definition
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example,

CodeName	Name	Definition
		merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.

CodeName	Name	Definition
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.

CodeName	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

13.4.3.4.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event types expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

13.4.3.4.1.4 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

MandatoryVoluntaryEventType <MndtryVlntryEvtTp> contains one of the following elements (see "[CorporateActionMandatoryVoluntary3Choice](#)" on page 958 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

13.4.3.4.1.5 LastNotificationIdentification <LastNtfctnId>

Presence: [0..1]

Definition: Provides information about the identification of the last notification.

LastNotificationIdentification <LastNtfctnId> contains the following elements (see "[NotificationIdentification5](#)" on page 899 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		900
	CreationDateTime <CreDtTm>	[0..1]	±		900

13.4.3.4.2 UnderlyingSecurity <UndrlygScty>

Presence: [1..1]

Definition: Security concerned by the corporate action.

Impacted by: C9 "DescriptionPresenceRule", C10 "DescriptionUsageRule", C12 "ISINGuideline", C13 "ISINPresenceRule", C15 "OtherIdentificationPresenceRule"

UnderlyingSecurity <UndrlygScty> contains the following elements (see "SecurityIdentification19" on page 811 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <Othrld>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

13.4.3.4.3 Balance <Bal>

Presence: [0..1]

Definition: Provides information about the balance related to a corporate action.

Balance <Bal> contains the following **CorporateActionBalance44** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalEligibleBalance <TtlElgblBal>	[1..1]	±		755
	UninstructedBalance <UinstdBal>	[1..1]	±		755
	TotalInstructedBalanceDetails <TtlInstdBalDtls>	[1..1]			756
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		758
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		758
	TotalCancelledInstructionBalance <TtlCanclInstrBal>	[0..1]	±		759
	TotalPendingInstructionBalance <TtlPdgInstrBal>	[0..1]	±		759
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		759
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		759
	OptionDetails <OptnDtls>	[0..*]			760
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCanclInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		763
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		765
	ResponseDeadline <RspnDdln>	[0..1]	±		765
	MarketDeadline <MktDdln>	[0..1]	±		765
	ProtectDeadline <PrctDdln>	[0..1]	±		766
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		768

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrtctDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrProg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775
	BlockedBalance <BlckdBal>	[0..1]	±		775
	BorrowedBalance <BrrwdBal>	[0..1]	±		776
	CollateralInBalance <CollInBal>	[0..1]	±		776
	CollateralOutBalance <CollOutBal>	[0..1]	±		776
	OnLoanBalance <OnLnBal>	[0..1]	±		776
	OutForRegistrationBalance <OutForRegnBal>	[0..1]	±		777
	SettlementPositionBalance <SttlmPosBal>	[0..1]	±		777
	StreetPositionBalance <StrtPosBal>	[0..1]	±		777

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeDatePositionBalance <TradDtPosBal>	[0..1]	±		778
	InTransshipmentBalance <InTrnsShipmntBal>	[0..1]	±		778
	RegisteredBalance <RegdBal>	[0..1]	±		778
	ObligatedBalance <OblgtdBal>	[0..1]	±		778
	PendingDeliveryBalance <PdgDlvryBal>	[0..*]	±		779
	PendingReceiptBalance <PdgRctBal>	[0..*]	±		779

13.4.3.4.3.1 TotalEligibleBalance <TtlElgblBal>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

TotalEligibleBalance <TtlElgblBal> contains one of the following elements (see "[Quantity17Choice](#)" on page 1014 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1014
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1015
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015
Or}	SignedQuantity <SgndQty>	[1..1]	±		1016
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1016
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1016
	Quantity <Qty>	[1..1]	Quantity		1016
	QuantityType <QtyTp>	[1..1]	Text		1016
	Issuer <Issr>	[1..1]	Text		1017
	SchemeName <SchmeNm>	[0..1]	Text		1017

13.4.3.4.3.2 UninstructedBalance <UinstdBal>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

UninstructedBalance <UinstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

13.4.3.4.3.3 TotalInstructedBalanceDetails <TtlInstdBalDtls>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalanceDetails <TtlInstdBalDtls> contains the following **InstructedBalance14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalInstructedBalance <TtlInstdBal>	[1..1]	±		758
	TotalAcceptedInstructionBalance <TtlAccptdInstrBal>	[0..1]	±		758
	TotalCancelledInstructionBalance <TtlCancInstrBal>	[0..1]	±		759
	TotalPendingInstructionBalance <TtlPdglInstrBal>	[0..1]	±		759
	TotalRejectedInstructionBalance <TtlRjctdInstrBal>	[0..1]	±		759
	TotalProtectInstructionBalance <TtlPrctInstrBal>	[0..1]	±		759
	OptionDetails <OptnDtls>	[0..*]			760
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdglInstrBal>	[0..1]	±		763
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrctInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		765
	ResponseDeadline <RspnDdln>	[0..1]	±		765
	MarketDeadline <MktDdln>	[0..1]	±		765
	ProtectDeadline <PrctDdln>	[0..1]	±		766
	CoverProtectDeadline <CoverPrctDdln>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrctInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrctDt>	[0..1]	Date		768

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CoverProtectDate <CoverPrctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrProg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775

13.4.3.4.3.3.1 TotalInstructedBalance <TtlInstdBal>

Presence: [1..1]

Definition: Provides information about the total instructed balance.

TotalInstructedBalance <TtlInstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

13.4.3.4.3.3.2 TotalAcceptedInstructionBalance <TtlAccptdInstrBal>

Presence: [0..1]

Definition: Daily total of all accepted instructions for given day. Cover protect instructions will be included in this total balance.

TotalAcceptedInstructionBalance <TtlAccpdtInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.3 TotalCancelledInstructionBalance <TtlCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given day.

TotalCancelledInstructionBalance <TtlCancInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.4 TotalPendingInstructionBalance <TtlPdglInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status. It includes cancel pending instructions.

TotalPendingInstructionBalance <TtlPdglInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.5 TotalRejectedInstructionBalance <TtlRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions.

TotalRejectedInstructionBalance <TtlRjctdInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.6 TotalProtectInstructionBalance <TtlPrctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions sent in a given day.

TotalProtectInstructionBalance <TtlPrtctInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7 OptionDetails <OptnDtls>

Presence: [0..*]

Definition: Provide instructed balance breakdown information per option.

OptionDetails <OptnDtls> contains the following **InstructedCorporateActionOption15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionNumber <OptnNb>	[0..1]	Text		762
	OptionType <OptnTp>	[1..1]	±		762
	InstructedBalance <InstdBal>	[1..1]	±		762
	DefaultAction <DfltActn>	[0..1]	±		763
	OptionAcceptedInstructedBalance <OptnAccptdInstdBal>	[0..1]	±		763
	OptionCancelledInstructionBalance <OptnCancInstrBal>	[0..1]	±		763
	OptionPendingInstructionBalance <OptnPdgInstrBal>	[0..1]	±		763
	OptionRejectedInstructionBalance <OptnRjctdInstrBal>	[0..1]	±		764
	OptionProtectInstructionBalance <OptnPrtctInstrBal>	[0..1]	±		764
	EventDeadlines <EvtDdlns>	[1..1]			764
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		765
	ResponseDeadline <RspnDdln>	[0..1]	±		765
	MarketDeadline <MktDdln>	[0..1]	±		765
	ProtectDeadline <PrtctDdln>	[0..1]	±		766
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		766
	OptionInstructionDetails <OptnInstrDtls>	[0..*]			766
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrtctInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrtctDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrtctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775

13.4.3.4.3.3.7.1 OptionNumber <OptnNb>*Presence:* [0..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "Exact3NumericText" on page 1156**13.4.3.4.3.3.7.2 OptionType <OptnTp>***Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following elements (see "[CorporateActionOption30Choice](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		827
Or}	Proprietary <Prtry>	[1..1]	±		828

13.4.3.4.3.3.7.3 InstructedBalance <InstdBal>*Presence:* [1..1]*Definition:* Balance of instructed position.

InstructedBalance <InstdBal> contains one of the following elements (see "BalanceFormat5Choice" on page 787 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

13.4.3.4.3.3.7.4 DefaultAction <DfltActn>

Presence: [0..1]

Definition: Indicates the default action related to a corporate action event.

DefaultAction <DfltActn> contains one of the following elements (see "DefaultProcessingOrStandingInstruction1Choice" on page 831 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		831
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		831

13.4.3.4.3.3.7.5 OptionAcceptedInstructedBalance <OptnAccptdInstdBal>

Presence: [0..1]

Definition: Daily total of accepted instructions received for a given option.

OptionAcceptedInstructedBalance <OptnAccptdInstdBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7.6 OptionCancelledInstructionBalance <OptnCancInstrBal>

Presence: [0..1]

Definition: Daily total of cancelled instructions for a given option.

OptionCancelledInstructionBalance <OptnCancInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7.7 OptionPendingInstructionBalance <OptnPdgInstrBal>

Presence: [0..1]

Definition: Daily total of pending instructions in pending status for a given option. It includes cancel pending instructions.

OptionPendingInstructionBalance <OptnPdgInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7.8 OptionRejectedInstructionBalance <OptnRjctdInstrBal>

Presence: [0..1]

Definition: Daily total of rejected instructions for a given option.

OptionRejectedInstructionBalance <OptnRjctdInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7.9 OptionProtectInstructionBalance <OptnPrtctInstrBal>

Presence: [0..1]

Definition: Daily total of all protect instructions for a given option.

OptionProtectInstructionBalance <OptnPrtctInstrBal> contains the following elements (see "SignedQuantityFormat6" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.3.7.10 EventDeadlines <EvtDdlns>

Presence: [1..1]

Definition: Provides information about the deadlines related to a corporate action option.

EventDeadlines <EvtDdlns> contains the following **CorporateActionEventDeadlines3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EarlyResponseDeadline <EarlyRspnDdln>	[0..1]	±		765
	ResponseDeadline <RspnDdln>	[0..1]	±		765
	MarketDeadline <MktDdln>	[0..1]	±		765
	ProtectDeadline <PrtctDdln>	[0..1]	±		766
	CoverProtectDeadline <CoverPrtctDdln>	[0..1]	±		766

13.4.3.4.3.3.7.10.1 EarlyResponseDeadline <EarlyRspnDdln>*Presence:* [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

EarlyResponseDeadline <EarlyRspnDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

13.4.3.4.3.3.7.10.2 ResponseDeadline <RspnDdln>*Presence:* [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in a Service Level Agreement (SLA).

ResponseDeadline <RspnDdln> contains one of the following elements (see "DateFormat44Choice" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		792
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			792
	DateCode <DtCd>	[1..1]			793
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793
	Time <Tm>	[1..1]	Time		793
Or}	DateCode <DtCd>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

13.4.3.4.3.3.7.10.3 MarketDeadline <MktDdln>*Presence:* [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

13.4.3.4.3.3.7.10.4 ProtectDeadline <PrctDdln>

Presence: [0..1]

Definition: Deadline for protect instructions.

ProtectDeadline <PrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

13.4.3.4.3.3.7.10.5 CoverProtectDeadline <CoverPrctDdln>

Presence: [0..1]

Definition: Deadline for covering a protect instruction.

CoverProtectDeadline <CoverPrctDdln> contains one of the following elements (see "DateFormat43Choice" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

13.4.3.4.3.3.7.11 OptionInstructionDetails <OptnInstrDtls>

Presence: [0..*]

Definition: Instructions details received for the given option.

OptionInstructionDetails <OptnInstrDtls> contains the following **OptionInstructionDetails5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InstructionIdentification <InstrId>	[1..1]	Text		767
	InstructionSequenceNumber <InstrSeqNb>	[0..1]	Text		768
	ProtectIndicator <PrctctInd>	[0..1]	CodeSet		768
	InstructionQuantity <InstrQty>	[1..1]	±		768
	InstructionDate <InstrDt>	[1..1]	Date		768
	ProtectDate <PrctctDt>	[0..1]	Date		768
	CoverProtectDate <CoverPrctctDt>	[0..1]	Date		768
	BidPrice <BidPric>	[0..1]	±		769
	ConditionalQuantity <CondlQty>	[0..1]	±		769
	CustomerReference <CstmrRef>	[0..1]	Text		769
	InstructionNarrative <InstrNrrtv>	[0..1]	Text		769
	InstructionStatus <InstrSts>	[1..1]			769
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrpg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775

13.4.3.4.3.3.7.11.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identifies the instruction or protect instruction.

Datatype: "Max15Text" on page 1157

13.4.3.4.3.3.7.11.2 InstructionSequenceNumber <InstrSeqNb>*Presence:* [0..1]*Definition:* Provides the sequence number of the instruction.*Datatype:* "Max3NumericText" on page 1159**13.4.3.4.3.3.7.11.3 ProtectIndicator <PrctctInd>***Presence:* [0..1]*Definition:* Indicates whether the instruction is a protect or a cover protect instruction.*Datatype:* "ProtectTransactionType2Code" on page 1144

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

13.4.3.4.3.3.7.11.4 InstructionQuantity <InstrQty>*Presence:* [1..1]*Definition:* Securities quantity instructed in the instruction.**InstructionQuantity <InstrQty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

13.4.3.4.3.3.7.11.5 InstructionDate <InstrDt>*Presence:* [1..1]*Definition:* Date of the instruction.*Datatype:* "ISODate" on page 1152**13.4.3.4.3.3.7.11.6 ProtectDate <PrctctDt>***Presence:* [0..1]*Definition:* Date of the client protect instruction.*Datatype:* "ISODate" on page 1152**13.4.3.4.3.3.7.11.7 CoverProtectDate <CoverPrctctDt>***Presence:* [0..1]*Definition:* Date of the cover protect Instruction*Datatype:* "ISODate" on page 1152

13.4.3.4.3.3.7.11.8 BidPrice <BidPric>*Presence:* [0..1]*Definition:* Bid price of the instruction.**BidPrice <BidPric>** contains one of the following elements (see ["PriceFormat45Choice"](#) on page 1011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1012

13.4.3.4.3.3.7.11.9 ConditionalQuantity <CondlQty>*Presence:* [0..1]*Definition:* Conditional quantity of the instruction.**ConditionalQuantity <CondlQty>** contains one of the following elements (see ["FinancialInstrumentQuantity1Choice"](#) on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtdsdVal>	[1..1]	Amount		817

13.4.3.4.3.3.7.11.10 CustomerReference <CstmrRef>*Presence:* [0..1]*Definition:* Customer related narrative information.*Datatype:* ["Max30Text"](#) on page 1158**13.4.3.4.3.3.7.11.11 InstructionNarrative <InstrNrrtv>***Presence:* [0..1]*Definition:* Narrative information from the submitted instruction.*Datatype:* ["Max350Text"](#) on page 1159**13.4.3.4.3.3.7.11.12 InstructionStatus <InstrSts>***Presence:* [1..1]*Definition:* Status of a particular instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionProcessingStatus41Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]	±		770
Or	Cancelled <Canc>	[1..1]	±		770
Or	AcceptedForFurtherProcessing <AccptdForFrthrPrg>	[1..1]	±		771
Or	Rejected <Rjctd>	[1..1]			771
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774
Or	Pending <Pdg>	[1..1]	±		774
Or	PendingCancellation <PdgCxl>	[1..1]	±		775
Or	Covered <Cvrd>	[1..1]	±		775
Or}	Uncovered <Ucvrd>	[1..1]	±		775

13.4.3.4.3.3.7.11.12.1 Accepted <Accptd>

Presence: [1..1]

Definition: Instruction is accepted.

Accepted <Accptd> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1058

13.4.3.4.3.3.7.11.12.2 Cancelled <Canc>

Presence: [1..1]

Definition: Instruction cancelled by client cancellation instruction.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus12Choice](#)" on page 1068 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1068
Or}	Reason <Rsn>	[1..*]		C3	1068
	ReasonCode <RsnCd>	[1..1]			1069
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

13.4.3.4.3.3.7.11.12.3 AcceptedForFurtherProcessing <AccptdForFrthrPrcg>

Presence: [1..1]

Definition: Provides status information related to an instruction request that is accepted. This means that the instruction has been received, is processable and has been validated for further processing.

AcceptedForFurtherProcessing <AccptdForFrthrPrcg> contains the following elements (see "[NoSpecifiedReason1](#)" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

13.4.3.4.3.3.7.11.12.4 Rejected <Rjctd>

Presence: [1..1]

Definition: Instruction rejected by the receiver.

Rejected <Rjctd> contains one of the following **RejectedStatus35Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		771
Or}	Reason <Rsn>	[1..*]		C4	772
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774

13.4.3.4.3.3.7.11.12.4.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

13.4.3.4.3.3.7.11.12.4.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the rejected status.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason33** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		774

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

13.4.3.4.3.3.7.11.12.4.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the instruction or instruction cancellation has been rejected.**ReasonCode <RsnCd>** contains one of the following **RejectedReason35Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		774

13.4.3.4.3.3.7.11.12.4.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction/cancellation request has a rejected status.*Datatype:* "RejectionReason57Code" on page 1148

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.

CodeName	Name	Definition
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).

CodeName	Name	Definition
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.

13.4.3.4.3.3.7.11.12.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

13.4.3.4.3.3.7.11.12.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

13.4.3.4.3.3.7.11.12.5 Pending <Pdg>

Presence: [1..1]

Definition: Instruction has not been completed.

Pending <Pdg> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

13.4.3.4.3.3.7.11.12.6 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Cancellation instruction is pending.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus7Choice" on page 1060 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		1060
Or}	Reason <Rsn>	[1..*]		C1, C5	1061
	ReasonCode <RsnCd>	[1..1]			1061
{Or	Code <Cd>	[1..1]	CodeSet		1061
Or}	Proprietary <Prtry>	[1..1]	±		1062
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1062

13.4.3.4.3.3.7.11.12.7 Covered <Cvrd>

Presence: [1..1]

Definition: Protect Instruction has been full covered.

Covered <Cvrd> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

13.4.3.4.3.3.7.11.12.8 Uncovered <Ucvr>

Presence: [1..1]

Definition: Cover protect instruction accepted but it has not fully covered the protect instruction.

Uncovered <Ucvr> contains the following elements (see "NoSpecifiedReason1" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

13.4.3.4.3.4 BlockedBalance <BlckdBal>

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

BlockedBalance <BldkdBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.5 BorrowedBalance <BrrwdBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

BorrowedBalance <BrrwdBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.6 CollateralInBalance <CollInBal>

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

CollateralInBalance <CollInBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.7 CollateralOutBalance <CollOutBal>

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CollateralOutBalance <CollOutBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.8 OnLoanBalance <OnLnBal>

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

OnLoanBalance <OnLnBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.9 OutForRegistrationBalance <OutForRegnBal>

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

OutForRegistrationBalance <OutForRegnBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.10 SettlementPositionBalance <SttlmPosBal>

Presence: [0..1]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

SettlementPositionBalance <SttlmPosBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.11 StreetPositionBalance <StrtPosBal>

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

StreetPositionBalance <StrtPosBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.12 TradeDatePositionBalance <TradDtPosBal>*Presence:* [0..1]*Definition:* Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.**TradeDatePositionBalance <TradDtPosBal>** contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.13 InTransshipmentBalance <InTrnsShpmtBal>*Presence:* [0..1]*Definition:* Balance of physical securities that are in the process of being transferred from one depository/agent to another.**InTransshipmentBalance <InTrnsShpmtBal>** contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.14 RegisteredBalance <RegdBal>*Presence:* [0..1]*Definition:* Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).**RegisteredBalance <RegdBal>** contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.15 ObligatedBalance <OblgtdBal>*Presence:* [0..1]*Definition:* Position that account holders should return to the account servicer to participate in the event or to fulfil their obligation for the event to be complete, for example, return of securities for late announced drawing.

ObligatedBalance <OblgtdBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

13.4.3.4.3.16 PendingDeliveryBalance <PdgDlvryBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

PendingDeliveryBalance <PdgDlvryBal> contains the following elements (see "[PendingBalance5](#)" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		784
	PendingTransactions <PdgTxs>	[0..*]			784
	Payment <Pmt>	[1..1]	CodeSet		785
	TransactionIdentification <TxId>	[1..1]	Text		785
	SettlementDate <SttlmDt>	[0..1]	±		785

13.4.3.4.3.17 PendingReceiptBalance <PdgRctBal>

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

PendingReceiptBalance <PdgRctBal> contains the following elements (see "[PendingBalance5](#)" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		784
	PendingTransactions <PdgTxs>	[0..*]			784
	Payment <Pmt>	[1..1]	CodeSet		785
	TransactionIdentification <TxId>	[1..1]	Text		785
	SettlementDate <SttlmDt>	[0..1]	±		785

13.4.3.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Provides additional information related to the event and the balance of the corporate action.

Impacted by: [C17 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1" on page 962](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

13.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C17 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1" on page 962](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14 Message Items Types

14.1 MessageComponents

14.1.1 Account Identification

14.1.1.1 AccountIdentification10

Definition: Provides account identification information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationCode <IdCd>	[1..1]	CodeSet		781

14.1.1.1.1 IdentificationCode <IdCd>

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 1150

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

14.1.1.2 CashAccountIdentification5Choice

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

14.1.1.2.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C32 "IBAN"](#)

Datatype: ["IBAN2007Identifier"](#) on page 1154

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

14.1.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "Max34Text" on page 1159

14.1.2 Amount**14.1.2.1 AmountPrice2**

Definition: Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		782
	PriceValue <PricVal>	[1..1]	Amount	C1	782

14.1.2.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType2Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

14.1.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.3 Balance

14.1.3.1 TotalEligibleBalanceFormat8

Definition: Total eligible balance for the corporate action and full and part way period units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[0..1]	±		783
	FullPeriodUnits <FullPrdUnits>	[0..1]	±		783
	PartWayPeriodUnits <PartWayPrdUnits>	[0..1]	±		784

14.1.3.1.1 Balance <Bal>

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains one of the following elements (see "Quantity17Choice" on page 1014 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1014
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1015
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015
Or}	SignedQuantity <SgndQty>	[1..1]	±		1016
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1016
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1016
	Quantity <Qty>	[1..1]	Quantity		1016
	QuantityType <QtyTp>	[1..1]	Text		1016
	Issuer <Issr>	[1..1]	Text		1017
	SchemeName <SchmeNm>	[0..1]	Text		1017

14.1.3.1.2 FullPeriodUnits <FullPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.1.3 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.2 PendingBalance5

Definition: Provides information about pending balance and pending transactions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		784
	PendingTransactions <PdgTxs>	[0..*]			784
	Payment <Pmt>	[1..1]	CodeSet		785
	TransactionIdentification <TxId>	[1..1]	Text		785
	SettlementDate <SttlmDt>	[0..1]	±		785

14.1.3.2.1 Balance <Bal>

Presence: [1..1]

Definition: Signed quantity of balance.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.2.2 PendingTransactions <PdgTxs>

Presence: [0..*]

Definition: Overall process covering the trade and settlement transactions of financial instruments.

PendingTransactions <PdgTxs> contains the following **SettlementTypeAndIdentification25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Payment <Pmt>	[1..1]	CodeSet		785
	TransactionIdentification <TxId>	[1..1]	Text		785
	SettlementDate <SttlmDt>	[0..1]	±		785

14.1.3.2.2.1 Payment <Pmt>

Presence: [1..1]

Definition: Specifies how the transaction is to be settled.

Datatype: "DeliveryReceiptType2Code" on page 1120

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

14.1.3.2.2.2 TransactionIdentification <TxId>

Presence: [1..1]

Definition: Reference of the transaction.

Datatype: "Max35Text" on page 1159

14.1.3.2.2.3 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Identifies the intended settlement date.

SettlementDate <SttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.3.3 BalanceFormat6Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		786
Or	EligibleBalance <ElgblBal>	[1..1]	±		786
Or	NotEligibleBalance <NotElgblBal>	[1..1]	±		786
Or	FullPeriodUnits <FullPrdUnits>	[1..1]	±		787
Or}	PartWayPeriodUnits <PartWayPrdUnits>	[1..1]	±		787

14.1.3.3.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat7](#)" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

14.1.3.3.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.3.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.3.4 FullPeriodUnits <FullPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

FullPeriodUnits <FullPrdUnits> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.3.5 PartWayPeriodUnits <PartWayPrdUnits>

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

PartWayPeriodUnits <PartWayPrdUnits> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.4 BalanceFormat5Choice

Definition: Choice between balance, eligible balance and not eligible balance formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Balance <Bal>	[1..1]	±		787
Or	EligibleBalance <ElgblBal>	[1..1]	±		788
Or}	NotEligibleBalance <NotElgblBal>	[1..1]	±		788

14.1.3.4.1 Balance <Bal>

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

Balance <Bal> contains the following elements (see "[SignedQuantityFormat7](#)" on page 1017 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

14.1.3.4.2 EligibleBalance <ElgblBal>

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

EligibleBalance <ElgblBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.3.4.3 NotEligibleBalance <NotElgblBal>

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

NotEligibleBalance <NotElgblBal> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.4 Date Period

14.1.4.1 DatePeriod2

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		789
	ToDate <ToDt>	[1..1]	Date		789

14.1.4.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 1152

14.1.4.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 1152

14.1.5 Date Time

14.1.5.1 DateFormat30Choice

Definition: Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		789
Or}	DateCode <DtCd>	[1..1]			789
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

14.1.5.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 1152

14.1.5.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	±		790

14.1.5.1.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.5.1.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.5.2 DateAndDateTime2Choice*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.5.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 1152**14.1.5.2.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODateTime" on page 1152**14.1.5.3 DateFormat46Choice***Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		791
Or}	DateCode <DtCd>	[1..1]			791
{Or	Code <Cd>	[1..1]	CodeSet		791
Or}	Proprietary <Prtry>	[1..1]	±		791

14.1.5.3.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.5.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		791
Or}	Proprietary <Prtry>	[1..1]	±		791

14.1.5.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: ["DateType1Code"](#) on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

14.1.5.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.5.4 DateFormat44Choice

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		792
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			792
	DateCode <DtCd>	[1..1]			793
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793
	Time <Tm>	[1..1]	Time		793
Or}	DateCode <DtCd>	[1..1]			794
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

14.1.5.4.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.5.4.2 DateCodeAndTime <DtCdAndTm>

Presence: [1..1]

Definition: Specifies a date code and a time.

DateCodeAndTime <DtCdAndTm> contains the following **DateCodeAndTimeFormat3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			793
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793
	Time <Tm>	[1..1]	Time		793

14.1.5.4.2.1 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		793
Or}	Proprietary <Prtry>	[1..1]	±		793

14.1.5.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType7Code" on page 1119

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.5.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.5.4.2.2 Time <Tm>

Presence: [1..1]

Definition: Specifies the time.

Datatype: ["ISOTime" on page 1161](#)

14.1.5.4.3 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		794
Or}	Proprietary <Prtry>	[1..1]	±		794

14.1.5.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 1119](#)

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.5.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.5.5 DateFormat43Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		795
Or}	DateCode <DtCd>	[1..1]			795
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

14.1.5.5.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.5.5.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		795
Or}	Proprietary <Prtry>	[1..1]	±		795

14.1.5.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code"](#) on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.5.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.6 Date Time Period

14.1.6.1 DateTimePeriod1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		796
	ToDateTime <ToDtTm>	[1..1]	DateTime		796

14.1.6.1.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the period starts.

Datatype: "[ISODatetime](#)" on page 1152

14.1.6.1.2 ToDateTime <ToDtTm>

Presence: [1..1]

Definition: Date and time at which the period ends.

Datatype: "[ISODatetime](#)" on page 1152

14.1.6.2 DateOrDateTimePeriod1Choice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		796
Or}	DateTime <DtTm>	[1..1]	±		797

14.1.6.2.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see "DatePeriod2" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		789
	ToDate <ToDt>	[1..1]	Date		789

14.1.6.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see "DateTimePeriod1" on page 796 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		796
	ToDateTime <ToDtTm>	[1..1]	DateTime		796

14.1.6.3 Period11

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

14.1.6.3.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date and time at which the range starts.

StartDate <StartDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

14.1.6.3.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.6.3.1.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code"](#) on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.6.3.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date and time at which the range ends.

EndDate <EndDt> contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

14.1.6.3.2.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.6.3.2.2 NotSpecifiedDate <NotSpcfdDt>

Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code"](#) on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.6.4 Period6Choice

Definition: Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		799
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		799

14.1.6.4.1 Period <Prd>

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

Period <Prd> contains the following elements (see "Period11" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			797
{Or	Date <Dt>	[1..1]	±		797
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798
	EndDate <EndDt>	[1..1]			798
{Or	Date <Dt>	[1..1]	±		798
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		798

14.1.6.4.2 PeriodCode <PrdCd>

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 1119

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.1.7 Document

14.1.7.1 DocumentIdentification31

Definition: Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	LinkageType <LkgTp>	[0..1]	±	C87	800

14.1.7.1.1 Identification <Id>

Presence: [1..1]

Definition: Identifies the document.

Datatype: "Max35Text" on page 1159

14.1.7.1.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: C87 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition7Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		901

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

14.1.7.2 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		801
Or	LongNumber <LngNb>	[1..1]	Text		801
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		801

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

14.1.7.2.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 1156

14.1.7.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 1157

14.1.7.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "GenericIdentification36" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.7.3 DocumentIdentification33

Definition: Identification of a document as well as the document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			801
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		802
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		802
	DocumentNumber <DocNb>	[0..1]	±	C80	802

14.1.7.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		802
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		802

14.1.7.3.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 1159

14.1.7.3.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 1159

14.1.7.3.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C80 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "DocumentNumber5Choice" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		801
Or	LongNumber <LngNb>	[1..1]	Text		801
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		801

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

14.1.7.4 DocumentIdentification32

Definition: Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			803
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803
	DocumentNumber <DocNb>	[0..1]	±	C80	803
	LinkageType <LkgTp>	[0..1]	±	C87	804

14.1.7.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

Identification <Id> contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		803
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		803

14.1.7.4.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "Max35Text" on page 1159

14.1.7.4.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "Max35Text" on page 1159

14.1.7.4.2 DocumentNumber <DocNb>

Presence: [0..1]

Definition: Identification of the type of document.

Impacted by: C80 "ShortLongNumberRule"

DocumentNumber <DocNb> contains one of the following elements (see "[DocumentNumber5Choice](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		801
Or	LongNumber <LngNb>	[1..1]	Text		801
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		801

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

14.1.7.4.3 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

Impacted by: [C87 "WithLinkageRule"](#)

LinkageType <LkgTp> contains one of the following elements (see "[ProcessingPosition7Choice](#)" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		901

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

14.1.8 Financial Institution Identification

14.1.8.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		805
	MemberIdentification <Mmbld>	[1..1]	Text		805

14.1.8.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "[ClearingSystemIdentification2Choice](#)" on page 1071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1071
Or}	Proprietary <Prtry>	[1..1]	Text		1071

14.1.8.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "[Max35Text](#)" on page 1159

14.1.8.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	805
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		806
	LEI <LEI>	[0..1]	IdentifierSet		806
	Name <Nm>	[0..1]	Text		806
	PostalAddress <PstlAdr>	[0..1]	±		806
	Other <Othr>	[0..1]	±		807

14.1.8.2.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: [C2 "BICFI"](#)

Datatype: "[BICFIDec2014Identifier](#)" on page 1153

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

14.1.8.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "[ClearingSystemMemberIdentification2](#)" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		805
	MemberIdentification <Mmbld>	[1..1]	Text		805

14.1.8.2.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 1154

14.1.8.2.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 1157

14.1.8.2.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		987
Or}	Proprietary <Prtry>	[1..1]	±		988
	Department <Dept>	[0..1]	Text		988
	SubDepartment <SubDept>	[0..1]	Text		988
	StreetName <StrtNm>	[0..1]	Text		988
	BuildingNumber <BldgNb>	[0..1]	Text		988
	BuildingName <BldgNm>	[0..1]	Text		989
	Floor <Flr>	[0..1]	Text		989
	PostBox <PstBx>	[0..1]	Text		989
	Room <Room>	[0..1]	Text		989
	PostCode <PstCd>	[0..1]	Text		989
	TownName <TwnNm>	[0..1]	Text		989
	TownLocationName <TwnLctnNm>	[0..1]	Text		989
	DistrictName <DstrctNm>	[0..1]	Text		989
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		990
	Country <Ctry>	[0..1]	CodeSet	C3	990
	AddressLine <AdrLine>	[0..7]	Text		990

14.1.8.2.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "GenericFinancialIdentification1" on page 810 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		810
	SchemeName <SchmeNm>	[0..1]			811
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	Text		811
	Issuer <Issr>	[0..1]	Text		811

14.1.8.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		808
	LEI <LEI>	[0..1]	IdentifierSet		808
	Name <Nm>	[0..1]	Text		808
	PostalAddress <PstlAdr>	[0..1]	±		808

14.1.8.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 1159

14.1.8.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "LEIIdentifier" on page 1154

14.1.8.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 1157

14.1.8.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		987
Or}	Proprietary <Prtry>	[1..1]	±		988
	Department <Dept>	[0..1]	Text		988
	SubDepartment <SubDept>	[0..1]	Text		988
	StreetName <StrtNm>	[0..1]	Text		988
	BuildingNumber <BldgNb>	[0..1]	Text		988
	BuildingName <BldgNm>	[0..1]	Text		989
	Floor <Flr>	[0..1]	Text		989
	PostBox <PstBx>	[0..1]	Text		989
	Room <Room>	[0..1]	Text		989
	PostCode <PstCd>	[0..1]	Text		989
	TownName <TwnNm>	[0..1]	Text		989
	TownLocationName <TwnLctnNm>	[0..1]	Text		989
	DistrictName <DstrctNm>	[0..1]	Text		989
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		990
	Country <Ctry>	[0..1]	CodeSet	C3	990
	AddressLine <AdrLine>	[0..7]	Text		990

14.1.8.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		809
	BranchIdentification <BrnchId>	[0..1]	±		810

14.1.8.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	805
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		806
	LEI <LEI>	[0..1]	IdentifierSet		806
	Name <Nm>	[0..1]	Text		806
	PostalAddress <PstlAdr>	[0..1]	±		806
	Other <Othr>	[0..1]	±		807

14.1.8.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		808
	LEI <LEI>	[0..1]	IdentifierSet		808
	Name <Nm>	[0..1]	Text		808
	PostalAddress <PstlAdr>	[0..1]	±		808

14.1.8.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		810
	SchemeName <SchmeNm>	[0..1]			811
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	Text		811
	Issuer <Issr>	[0..1]	Text		811

14.1.8.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1159

14.1.8.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	Text		811

14.1.8.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 1123

14.1.8.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1159

14.1.8.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1159

14.1.9 Financial Instrument

14.1.9.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		812
	OtherIdentification <OthrId>	[0..*]			812
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813
	Description <Desc>	[0..1]	Text		813

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

14.1.9.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 1154

14.1.9.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		813
	Suffix <Sfx>	[0..1]	Text		813
	Type <Tp>	[1..1]	±		813

14.1.9.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 1159

14.1.9.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 1157

14.1.9.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	Text		821

14.1.9.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 1157

14.1.10 Financial Instrument Quantity

14.1.10.1 FinancialInstrumentQuantity19Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		814
Or	FaceAmount <FaceAmt>	[1..1]	Amount		814
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		814
Or}	Code <Cd>	[1..1]	CodeSet		814

14.1.10.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1155

14.1.10.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.10.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.10.1.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity4Code" on page 1144

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

14.1.10.2 QuantityToQuantityRatio1

Definition: Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

14.1.10.2.1 Quantity1 <Qty1>*Presence:* [1..1]*Definition:* Numerator of the quotient of quantities.*Datatype:* "DecimalNumber" on page 1155**14.1.10.2.2 Quantity2 <Qty2>***Presence:* [1..1]*Definition:* Denominator of the quotient of quantities.*Datatype:* "DecimalNumber" on page 1155**14.1.10.3 Quantity6Choice***Definition:* Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		815
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		815

14.1.10.3.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of financial instrument in units, original face amount or current face amount.**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.10.3.2 OriginalAndCurrentFace <OrgnlAndCurFace>*Presence:* [1..1]*Definition:* Original and current value of an asset-back instrument.**OriginalAndCurrentFace <OrgnlAndCurFace>** contains the following elements (see "OriginalAndCurrentQuantities1" on page 1049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1050
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1050

14.1.10.4 FinancialInstrumentQuantity20Choice*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		816
Or	FaceAmount <FaceAmt>	[1..1]	Amount		816
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		816
Or}	Code <Cd>	[1..1]	CodeSet		816

14.1.10.4.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 1155

14.1.10.4.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.10.4.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.10.4.4 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 1144

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

14.1.10.5 FinancialInstrumentQuantity1Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.10.5.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "DecimalNumber" on page 1155**14.1.10.5.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.*Datatype:* "ImpliedCurrencyAndAmount" on page 1073**14.1.10.5.3 AmortisedValue <AmtsdVal>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.*Datatype:* "ImpliedCurrencyAndAmount" on page 1073**14.1.11 Foreign Exchange****14.1.11.1 ForeignExchangeTerms19***Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	817
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	818
	ExchangeRate <XchgRate>	[1..1]	Rate		818

14.1.11.1.1 UnitCurrency <UnitCcy>*Presence:* [1..1]*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1073**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.11.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.11.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1156

14.1.11.2 ForeignExchangeTerms24

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	818
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	819
	ExchangeRate <XchgRate>	[1..1]	Rate		819
	ResultingAmount <RsltAmt>	[0..1]	Amount	C1, C14	819

14.1.11.2.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.11.2.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.11.2.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1156

14.1.11.2.4 ResultingAmount <RsltAmt>

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.1.12 Frequency

14.1.12.1 Frequency25Choice

Definition: Choice of format for a frequency, for example, the frequency of delivery of a statement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		820
Or}	Proprietary <Prtry>	[1..1]	±		820

14.1.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as an ISO 20022 code.

Datatype: "EventFrequency4Code" on page 1122

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

14.1.12.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.13 Identification Information

14.1.13.1 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	Text		821

14.1.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 1123

14.1.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 1159

14.1.13.2 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		821
	Identification <Id>	[0..1]	Text		821

14.1.13.2.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.13.2.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 1159

14.1.13.3 IdentificationType42Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		822

14.1.13.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 1151

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

14.1.13.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.13.4 IdentificationFormat3Choice

Definition: Choice between either a short, long or a proprietary identification format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortIdentification <ShrtId>	[1..1]	Text		823
Or	LongIdentification <LngId>	[1..1]	Text		823
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		823

14.1.13.4.1 ShortIdentification <ShrtId>

Presence: [1..1]

Definition: Format expressed as a short identification.

Datatype: "Exact3UpperCaseAlphaNumericText" on page 1156

14.1.13.4.2 LongIdentification <LngId>

Presence: [1..1]

Definition: Format expressed as a long identification.

Datatype: "Max30Text" on page 1158

14.1.13.4.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Format expressed as a proprietary identification.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.13.5 CorporateActionEventReference3

Definition: Identification of a linked corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			823
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824
	LinkageType <LkgTp>	[0..1]	±	C87	824

14.1.13.5.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked corporate action event.

EventIdentification <EvtId> contains one of the following **CorporateActionEventReference3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>	[1..1]	Text		824
Or}	LinkedCorporateActionIdentification <LkdCorpActnId>	[1..1]	Text		824

14.1.13.5.1.1 LinkedOfficialCorporateActionEventIdentification <LkdOffclCorpActnEvtId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: "Max35Text" on page 1159

14.1.13.5.1.2 LinkedCorporateActionIdentification <LkdCorpActnId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: "Max35Text" on page 1159

14.1.13.5.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

Impacted by: C87 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition7Choice" on page 900 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		901

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

14.1.13.6 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.13.6.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 1157

14.1.13.6.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1159

14.1.13.6.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 1159

14.1.13.7 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.13.7.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 1159

14.1.13.7.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1159

14.1.13.7.3 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 1159**14.1.13.8 DocumentIdentification9***Definition:* Identifies a document by a unique identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826

14.1.13.8.1 Identification <Id>*Presence:* [1..1]*Definition:* Identifies the document.*Datatype:* "Max35Text" on page 1159**14.1.13.9 GenericIdentification47***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826
	Issuer <Issr>	[1..1]	Text		826
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.13.9.1 Identification <Id>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.*Datatype:* "Exact4AlphaNumericText" on page 1157**14.1.13.9.2 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max4AlphaNumericText" on page 1159**14.1.13.9.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max4AlphaNumericText" on page 1159

14.1.14 Market

14.1.14.1 MarketIdentification1Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		827
Or}	Description <Desc>	[1..1]	Text		827

14.1.14.1.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: ISO 10383 Market Identification Code.

Datatype: "MICIdentifier" on page 1154

14.1.14.1.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "Max35Text" on page 1159

14.1.14.2 CorporateActionOption30Choice

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		827
Or}	Proprietary <Prtry>	[1..1]	±		828

14.1.14.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption11Code" on page 1110

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

14.1.14.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.14.3 MarketIdentification84

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		829
	Type <Tp>	[1..1]	±		829

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

14.1.14.3.1 Identification <Id>

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

Identification <Id> contains one of the following elements (see "[MarketIdentification1Choice](#)" on page 827 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		827
Or}	Description <Desc>	[1..1]	Text		827

14.1.14.3.2 Type <Tp>

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

Type <Tp> contains one of the following elements (see "[MarketType8Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830

14.1.14.4 MarketType8Choice

Definition: Choice of format for the market type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830

14.1.14.4.1 Code <Cd>

Presence: [1..1]

Definition: Market type expressed as an ISO 20022 code.

Datatype: "[MarketType2Code](#)" on page 1133

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

14.1.14.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Market type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.14.5 MarketIdentification3Choice

Definition: Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		831
Or}	Description <Desc>	[1..1]	Text		831

14.1.14.5.1 MarketIdentifierCode <MktldrCd>

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 1154

14.1.14.5.2 Description <Desc>

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "Max35Text" on page 1159

14.1.15 Miscellaneous

14.1.15.1 DefaultProcessingOrStandingInstruction1Choice

Definition: Choice between default processing or standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DefaultOptionIndicator <DfltOptnInd>	[1..1]	Indicator		831
Or}	StandingInstructionIndicator <StgInstrInd>	[1..1]	Indicator		831

14.1.15.1.1 DefaultOptionIndicator <DfltOptnInd>

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.1.15.1.2 StandingInstructionIndicator <StgInstrInd>

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.1.15.2 CorporateActionOption33Choice

Definition: Choice between a standard code or a proprietary code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		832
Or}	Proprietary <Prtry>	[1..1]	±		833

14.1.15.2.1 Code <Cd>

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOption12Code" on page 1111

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

14.1.15.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.3 PendingStatus58Choice

Definition: Choice between a reason or no reason for the corporate action event processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		834
Or}	Reason <Rsn>	[1..*]	±	C1	834

14.1.15.3.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.15.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following elements (see "PendingStatusReason18" on page 1058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1058
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1059
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1059

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.15.4 NetDividendRateFormat40Choice

Definition: Choice between different formats to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	835
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			835
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			836
	RateType <RateTp>	[1..1]			836
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		837
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C69	838
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

14.1.15.4.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.4.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	836
	RateStatus <RateSts>	[1..1]	CodeSet		836

14.1.15.4.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.4.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.4.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			836
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		837
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[0..1]		C69	838
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

14.1.15.4.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		837
Or}	Proprietary <Prtry>	[1..1]	±		837

14.1.15.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1134

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

14.1.15.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.4.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		838
Or}	Proprietary <Prtry>	[1..1]	±		839

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.4.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.4.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.5 NetDividendRateFormat39Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	839
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[1..1]	CodeSet		840
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			840
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	842
	RateStatus <RateSts>	[0..1]		C69	842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		843

14.1.15.5.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.5.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[1..1]	CodeSet		840

14.1.15.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.5.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.5.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			841
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842
	Amount <Amt>	[1..1]	Amount	C1	842
	RateStatus <RateSts>	[0..1]		C69	842
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

14.1.15.5.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

14.1.15.5.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 1134

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

14.1.15.5.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.5.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount"](#) on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.5.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C69 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		843
Or}	Proprietary <Prtry>	[1..1]	±		843

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.5.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.5.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.5.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.6 GrossDividendRateFormat38Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	844
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			844
	Amount <Amt>	[1..1]	Amount	C1	845
	RateStatus <RateSts>	[1..1]	CodeSet		845
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			845
	RateType <RateTp>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847
	Amount <Amt>	[1..1]	Amount	C1	847
	RateStatus <RateSts>	[0..1]		C69	848
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848
Or}	NotSpecifiedRate <NotSpdcfdRate>	[1..1]	CodeSet		848

14.1.15.6.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.6.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	845
	RateStatus <RateSts>	[1..1]	CodeSet		845

14.1.15.6.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.6.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.6.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			846
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847
	Amount <Amt>	[1..1]	Amount	C1	847
	RateStatus <RateSts>	[0..1]		C69	848
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

14.1.15.6.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		846
Or}	Proprietary <Prtry>	[1..1]	±		847

14.1.15.6.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1126

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.1.15.6.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.6.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.6.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C69 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		848
Or}	Proprietary <Prtry>	[1..1]	±		848

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.6.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.6.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.6.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

14.1.15.7 GrossDividendRateFormat37Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	849
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			849
	Amount <Amt>	[1..1]	Amount	C1	850
	RateStatus <RateSts>	[1..1]	CodeSet		850
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			850
	RateType <RateTp>	[1..1]			851
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		852
	Amount <Amt>	[1..1]	Amount	C1	852
	RateStatus <RateSts>	[0..1]		C69	853
{Or	Code <Cd>	[1..1]	CodeSet		853
Or}	Proprietary <Prtry>	[1..1]	±		853

14.1.15.7.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.7.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	850
	RateStatus <RateSts>	[1..1]	CodeSet		850

14.1.15.7.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.7.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.7.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			851
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		852
	Amount <Amt>	[1..1]	Amount	C1	852
	RateStatus <RateSts>	[0..1]		C69	853
{Or	Code <Cd>	[1..1]	CodeSet		853
Or}	Proprietary <Prtry>	[1..1]	±		853

14.1.15.7.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		852

14.1.15.7.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 1126

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.

CodeName	Name	Definition
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.1.15.7.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.7.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.7.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C69 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		853
Or}	Proprietary <Prtry>	[1..1]	±		853

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.7.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.7.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.8 NetDividendRateFormat38Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	854
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			854
	Amount <Amt>	[1..1]	Amount	C1	855
	RateStatus <RateSts>	[1..1]	CodeSet		855
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			855
	RateType <RateTp>	[1..1]			855
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		856
	Amount <Amt>	[1..1]	Amount	C1	857
	RateStatus <RateSts>	[0..1]		C69	857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		858

14.1.15.8.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.8.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	855
	RateStatus <RateSts>	[1..1]	CodeSet		855

14.1.15.8.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.8.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			855
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		856
	Amount <Amt>	[1..1]	Amount	C1	857
	RateStatus <RateSts>	[0..1]		C69	857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

14.1.15.8.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		856
Or}	Proprietary <Prtry>	[1..1]	±		856

14.1.15.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1133

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.1.15.8.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.8.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.8.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

14.1.15.8.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.8.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateValueType7Code](#)" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.9 NetDividendRateFormat37Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	859
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			859
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			860
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C69	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

14.1.15.9.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.9.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	860
	RateStatus <RateSts>	[1..1]	CodeSet		860

14.1.15.9.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.9.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.9.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			860
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861
	Amount <Amt>	[1..1]	Amount	C1	862
	RateStatus <RateSts>	[0..1]		C69	862
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

14.1.15.9.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861

14.1.15.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 1133

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.1.15.9.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.9.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.9.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		863

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.9.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

14.1.15.9.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.10 GrossDividendRateFormat36Choice

Definition: Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	863
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			864
	Amount <Amt>	[1..1]	Amount	C1	864
	RateStatus <RateSts>	[1..1]	CodeSet		864
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			864
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	866
	RateStatus <RateSts>	[0..1]		C69	866
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		867

14.1.15.10.1 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.10.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	864
	RateStatus <RateSts>	[1..1]	CodeSet		864

14.1.15.10.2.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.10.2.2 RateStatus <RateSts>

Presence: [1..1]

Definition: Value expressed as a rate status.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.10.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			865
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		866
	Amount <Amt>	[1..1]	Amount	C1	866
	RateStatus <RateSts>	[0..1]		C69	866
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867

14.1.15.10.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		865
Or}	Proprietary <Prtry>	[1..1]	±		866

14.1.15.10.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1126

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

14.1.15.10.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.10.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.10.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C69 "RateStatusGuideline"

RateStatus <**RateSts**> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		867
Or}	Proprietary <Prtry>	[1..1]	±		867

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.10.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.10.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.10.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType13Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

14.1.15.11 GrossDividendRateFormat35Choice

Definition: Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	868
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			868
	Amount <Amt>	[1..1]	Amount	C1	869
	RateStatus <RateSts>	[1..1]	CodeSet		869
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			869
	RateType <RateTp>	[1..1]			869
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		870
	Amount <Amt>	[1..1]	Amount	C1	871
	RateStatus <RateSts>	[0..1]		C69	871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

14.1.15.11.1 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.11.2 AmountAndRateStatus <AmtAndRateSts>

Presence: [1..1]

Definition: Specifies an amount and a rate status.

AmountAndRateStatus <AmtAndRateSts> contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	869
	RateStatus <RateSts>	[1..1]	CodeSet		869

14.1.15.11.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.11.2.2 RateStatus <RateSts>*Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.11.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			869
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		870
	Amount <Amt>	[1..1]	Amount	C1	871
	RateStatus <RateSts>	[0..1]		C69	871
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

14.1.15.11.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		870
Or}	Proprietary <Prtry>	[1..1]	±		870

14.1.15.11.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 1126

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

14.1.15.11.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.11.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.11.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		871
Or}	Proprietary <Prtry>	[1..1]	±		872

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.11.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

14.1.15.11.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.12 CorporateActionEventType87Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		872
Or}	Proprietary <Prtry>	[1..1]	±		879

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

14.1.15.12.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "[CorporateActionEventType30Code](#)" on page 1083

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.

CodeName	Name	Definition
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from

CodeName	Name	Definition
		DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.1.15.12.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Event type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.13 CorporateActionEventType86Choice*Definition:* Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		879
Or}	Proprietary <Prtry>	[1..1]	±		885

Constraints• **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

14.1.15.13.1 Code <Cd>*Presence:* [1..1]*Definition:* Event type expressed as a code.*Datatype:* "[CorporateActionEventType32Code](#)" on page 1096

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be

CodeName	Name	Definition
		combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up

CodeName	Name	Definition
		shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.

CodeName	Name	Definition
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may

CodeName	Name	Definition
		prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered

CodeName	Name	Definition
		following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For

CodeName	Name	Definition
		example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.1.15.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.14 CorporateActionEventType84Choice

Definition: Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		892

Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

14.1.15.14.1 Code <Cd>

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "[CorporateActionEventType31Code](#)" on page 1090

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

CodeName	Name	Definition
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company.

CodeName	Name	Definition
		They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.1.15.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.15 OptionFeaturesFormat25Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		893
Or}	Proprietary <Prtry>	[1..1]	±		893

14.1.15.15.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures12Code](#)" on page 1138

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

14.1.15.15.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.16 SafekeepingPlaceFormat29Choice

Definition: Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			894
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		894
	Identification <Id>	[0..1]	Text		894
Or	Country <Ctry>	[1..1]	CodeSet	C3	894
Or	TypeAndIdentification <TpAndId>	[1..1]			895
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		895
	Identification <Id>	[1..1]	IdentifierSet	C1	895
Or}	Proprietary <Prtry>	[1..1]	±		896

14.1.15.16.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		894
	Identification <Id>	[0..1]	Text		894

14.1.15.16.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 1150

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.1.15.16.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 1159

14.1.15.16.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.15.16.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		895
	Identification <Id>	[1..1]	IdentifierSet	C1	895

14.1.15.16.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 1150

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.1.15.16.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.15.16.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		821
	Identification <Id>	[0..1]	Text		821

14.1.15.17 OptionFeaturesFormat24Choice

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

14.1.15.17.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures11Code](#)" on page 1137

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.

CodeName	Name	Definition
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

14.1.15.17.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.18 EventSequenceTypeFormat1Choice

Definition: Choice between a standard code and proprietary code to specify the type of event in a series of predefined or planned events.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		898
Or}	Proprietary <Prtry>	[1..1]	±		898

14.1.15.18.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of an event sequence, in a coded format.*Datatype:* "EventSequenceType1Code" on page 1122

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.
INTE	Interim	Interim event in a series of successive events.

14.1.15.18.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of an event sequence, in a proprietary format.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.19 Pagination1*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		898
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		898

14.1.15.19.1 PageNumber <PgNb>*Presence:* [1..1]*Definition:* Page number.*Datatype:* "Max5NumericText" on page 1160**14.1.15.19.2 LastPageIndicator <LastPgInd>***Presence:* [1..1]*Definition:* Indicates the last page.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.1.15.20 TaxVoucher4

Definition: Specifies tax vouchers in the framework of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		899
	BargainDate <BrgrnDt>	[0..1]	±		899
	BargainSettlementDate <BrgrnSttlmDt>	[0..1]	±		899

14.1.15.20.1 Identification <Id>

Presence: [1..1]

Definition: Unique reference for the tax voucher required by the relevant tax authorities to ensure that any claim relating to this particular tax voucher cannot be duplicated.

Datatype: "Max35Text" on page 1159

14.1.15.20.2 BargainDate <BrgrnDt>

Presence: [0..1]

Definition: Date on which a dividend reinvestment purchase was completed. If there is only one bargain involved, the time it was struck needs to be included.

BargainDate <BrgrnDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.15.20.3 BargainSettlementDate <BrgrnSttlmDt>

Presence: [0..1]

Definition: Settlement date of the dividend reinvestment purchase transaction.

BargainSettlementDate <BrgrnSttlmDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.15.21 NotificationIdentification5

Definition: Provides information about the identification and the creation date of a notification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		900
	CreationDateTime <CreDtTm>	[0..1]	±		900

14.1.15.21.1 Identification <Id>

Presence: [1..1]

Definition: Unique identifier of the last notification document (message) assigned by the sender of the document.

Datatype: "Max35Text" on page 1159

14.1.15.21.2 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the last notification document (message) was created by the sender.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		790
Or}	DateTime <DtTm>	[1..1]	DateTime		790

14.1.15.22 ProcessingPosition7Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		900
Or}	Proprietary <Prtry>	[1..1]	±		901

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

14.1.15.22.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 1143

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

14.1.15.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.23 IssuerOfferorTaxabilityIndicator1Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		901
Or}	Proprietary <Prtry>	[1..1]	±		901

14.1.15.23.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "[IssuerTaxability2Code](#)" on page 1132

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

14.1.15.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		826
	Issuer <Issr>	[1..1]	Text		826
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.15.24 SignedQuantityFormat6

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.15.24.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "[ShortLong1Code](#)" on page 1151

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

14.1.15.24.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.15.25 UpdateType15Choice

Definition: Choice of format for the update information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		903

14.1.15.25.1 Code <Cd>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Datatype: "StatementUpdateType1Code" on page 1151

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

14.1.15.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.26 CorporateActionNarrative3Choice

Definition: Choice between a standard code or a proprietary code to specify the narrative type of corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		903
Or}	Proprietary <Prtry>	[1..1]	±		904

14.1.15.26.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the narrative type of the message.

Datatype: "CorporateActionNarrative1Code" on page 1109

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.

CodeName	Name	Definition
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

14.1.15.26.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the narrative type of the message.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.27 ForeignExchangeTerms23

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	904
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	905
	ExchangeRate <XchgRate>	[1..1]	Rate		905
	ResultingAmount <RsltgAmt>	[1..1]	Amount	C1, C14	905

14.1.15.27.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.27.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1073

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.27.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 1156

14.1.15.27.4 ResultingAmount <RsltgAmt>

Presence: [1..1]

Definition: Counter value of a foreign exchange conversion.

Impacted by: C1 "ActiveCurrency", C14 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 1072

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.1.15.28 FractionDispositionType27Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		906
Or}	Proprietary <Prtry>	[1..1]	±		906

14.1.15.28.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType11Code" on page 1124

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

14.1.15.28.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.29 OptionFeaturesFormat18Choice

Definition: Choice between a standard code or a proprietary code to specify the features that may apply to a corporate action option.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		907
Or}	Proprietary <Prtry>	[1..1]	±		907

14.1.15.29.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "[OptionFeatures6Code](#)" on page 1138

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

14.1.15.29.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.30 AdditionalBusinessProcessFormat11Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		908
Or}	Proprietary <Prtry>	[1..1]	±		909

14.1.15.30.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess7Code" on page 1076

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).

CodeName	Name	Definition
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

14.1.15.30.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.31 IntermediateSecuritiesDistributionTypeFormat16Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

14.1.15.31.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of intermediate security distribution.*Datatype:* "IntermediateSecurityDistributionType4Code" on page 1130

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

14.1.15.31.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.32 AdditionalBusinessProcessFormat10Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		911
Or}	Proprietary <Prtry>	[1..1]	±		912

14.1.15.32.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess6Code" on page 1075

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

14.1.15.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.33 CorporateActionEventStageFormat14Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		912
Or}	Proprietary <Prtry>	[1..1]	±		913

14.1.15.33.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "[CorporateActionEventStage4Code](#)" on page 1083

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.

CodeName	Name	Definition
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

14.1.15.33.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.34 CorporateActionReversalReason3

Definition: Specifies the reason why the corporate action reversal occurs.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		914

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.15.34.1 Reason <Rsn>

Presence: [1..1]

Definition: Specifies the reason for the reversal.

Reason <Rsn> contains one of the following **CorporateActionReversalReason3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		914
Or}	Proprietary <Prtry>	[1..1]	±		914

14.1.15.34.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason for the reversal.*Datatype:* "CorporateActionReversalReason1Code" on page 1117

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
IVAD	IncorrectValueDate	Value date is incorrect.
IREC	IncorrectRecordDate	Record date is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
UPAY	UnduePayment	Payment is not due.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
FNRC	FundsNotReceived	Funds have not been received.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.

14.1.15.34.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification for the reason of the reversal.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.34.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "Max256Text" on page 1158**14.1.15.35 CorporateActionChangeTypeFormat6Choice***Definition:* Choice between a standard code or a proprietary code to specify a type of change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		915
Or}	Proprietary <Prtry>	[1..1]	±		915

14.1.15.35.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of changes.*Datatype:* "CorporateActionCodeType2Code" on page 1081

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

14.1.15.35.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.36 FractionDispositionType28Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		916

14.1.15.36.1 Code <Cd>

Presence: [1..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType10Code" on page 1124

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

14.1.15.36.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.37 BeneficiaryCertificationType10Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		916
Or}	Proprietary <Prtry>	[1..1]	±		917

14.1.15.37.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of certification required.

Datatype: "BeneficiaryCertificationType5Code" on page 1079

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

14.1.15.37.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of certification required.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.38 CorporateActionProcessingStatus5Choice

Definition: Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			917
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		918
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		918
Or}	Proprietary <Prtry>	[1..1]	±		918

14.1.15.38.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the status of the details of the corporate action event.

Code <Cd> contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		918
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		918

14.1.15.38.1.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Indicates whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 1122

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

14.1.15.38.1.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Indicates the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 1122

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

14.1.15.38.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Information related to an identification, for example, party identification or account identification.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.39 UpdatedAdditionalInformation8

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		919
	UpdateDate <UpdDt>	[0..1]	Date		919
	AdditionalInformation <AddtlInf>	[1..*]	Text		919

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.15.39.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

14.1.15.39.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

14.1.15.39.3 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max8000Text" on page 1160

14.1.15.40 SolicitationFeeRateFormat7Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		919
Or	AmountToQuantity <AmtToQty>	[1..1]			920
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920
Or	Amount <Amt>	[1..1]	Amount	C1	920
Or}	NotSpecifiedRate <NotSpcedRate>	[1..1]	CodeSet		921

14.1.15.40.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.15.40.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	920
	Quantity <Qty>	[1..1]	Quantity		920

14.1.15.40.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.40.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.15.40.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.40.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.41 RatioFormat18Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		921
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		921
Or	AmountToAmount <AmtToAmt>	[1..1]			922
	Amount1 <Amt1>	[1..1]	Amount	C1	922
	Amount2 <Amt2>	[1..1]	Amount	C1	922
Or	AmountToQuantity <AmtToQty>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		923
Or}	QuantityToAmount <QtyToAmt>	[1..1]			923
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		924

14.1.15.41.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "QuantityToQuantityRatio1" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

14.1.15.41.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.41.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	922
	Amount2 <Amt2>	[1..1]	Amount	C1	922

14.1.15.41.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.41.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.41.4 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		923

14.1.15.41.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.41.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.15.41.5 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	923
	Quantity <Qty>	[1..1]	Quantity		924

14.1.15.41.5.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.41.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.15.42 RatioFormat17Choice

Definition: Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		924
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		924
Or}	AmountToAmount <AmtToAmt>	[1..1]			925
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

14.1.15.42.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "QuantityToQuantityRatio1" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

14.1.15.42.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.42.3 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	925
	Amount2 <Amt2>	[1..1]	Amount	C1	925

14.1.15.42.3.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.42.3.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.43 NonEligibleProceedsIndicator3Choice

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		926
Or}	Proprietary <Prtry>	[1..1]	±		926

14.1.15.43.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 1136

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

14.1.15.43.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.44 TemporaryFinancialInstrumentIndicator3Choice

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <Templnd>	[1..1]	Indicator		926
Or}	Proprietary <Prtry>	[1..1]	±		927

14.1.15.44.1 TemporaryIndicator <Templnd>

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.1.15.44.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.45 RateTypeAndAmountAndStatus26

Definition: Specifies the value expressed as a rate and an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		928
	Amount <Amt>	[1..1]	Amount	C1	928
	RateStatus <RateSts>	[0..1]		C69	928
{Or	Code <Cd>	[1..1]	CodeSet		929
Or}	Proprietary <Prtry>	[1..1]	±		929

14.1.15.45.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		927
Or}	Proprietary <Prtry>	[1..1]	±		928

14.1.15.45.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: ["DividendRateType1Code" on page 1121](#)

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

14.1.15.45.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.45.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyAnd13DecimalAmount" on page 1071](#)

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.45.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		929
Or}	Proprietary <Prtry>	[1..1]	±		929

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.45.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.15.45.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.46 RateAndAmountFormat41Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		929
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		930
Or	Amount <Amt>	[1..1]	Amount	C1	930
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			930
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

14.1.15.46.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.15.46.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.46.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.46.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			930
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931
	Rate <Rate>	[1..1]	Rate		931

14.1.15.46.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		931
Or}	Proprietary <Prtry>	[1..1]	±		931

14.1.15.46.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1152

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

14.1.15.46.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.46.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.15.47 InterestRateUsedForPaymentFormat8Choice

Definition: Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		932
Or	Amount <Amt>	[1..1]	Amount	C1	932
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			932
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		935

14.1.15.47.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.15.47.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.47.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			933
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Amount <Amt>	[1..1]	Amount	C1	934
	RateStatus <RateSts>	[0..1]		C69	934
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935

14.1.15.47.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933

14.1.15.47.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1145

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

14.1.15.47.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.47.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: [C1 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.15.47.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		934
Or}	Proprietary <Prtry>	[1..1]	±		935

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.15.47.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "[RateStatus1Code](#)" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.

CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

14.1.15.47.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.47.4 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

14.1.15.48 BeneficiaryCertificationType9Choice

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		935
Or}	Proprietary <Prtry>	[1..1]	±		936

14.1.15.48.1 Code <Cd>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "[BeneficiaryCertificationType4Code](#)" on page 1078

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.

CodeName	Name	Definition
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

14.1.15.48.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.49 OptionAvailabilityStatus3Choice

Definition: Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		937

14.1.15.49.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "OptionAvailabilityStatus1Code" on page 1137

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

14.1.15.49.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.50 FractionDispositionType26Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		937
Or}	Proprietary <Prtry>	[1..1]	±		938

14.1.15.50.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType8Code" on page 1125

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

14.1.15.50.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.51 InformationTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		939

14.1.15.51.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the information type required.

Datatype: "CorporateActionInformationType1Code" on page 1108

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

14.1.15.51.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the information type.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.52 ConsentTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		939
Or}	Proprietary <Prtry>	[1..1]	±		939

14.1.15.52.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the consent type required.

Datatype: "ConsentType1Code" on page 1080

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

14.1.15.52.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the consent type.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.53 CertificationTypeFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		940

14.1.15.53.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the certification format required, that is, physical or electronic format.

Datatype: "[CertificationFormatType1Code](#)" on page 1080

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

14.1.15.53.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the certification format.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.54 LotteryTypeFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		941

14.1.15.54.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 1133

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

14.1.15.54.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.55 ElectionTypeFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the type of election movement.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		941
Or}	Proprietary <Prtry>	[1..1]	±		942

14.1.15.55.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the effect on the holdings of electing a corporate action option.

Datatype: "ElectionMovementType2Code" on page 1121

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

14.1.15.55.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of election of a corporate action option.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.56 TaxableIncomePerShareCalculatedFormat3Choice

Definition: Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		942
Or}	Proprietary <Prtry>	[1..1]	±		943

14.1.15.56.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

Datatype: "[CorporateActionTaxableIncomePerShareCalculated1Code](#)" on page 1118

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

14.1.15.56.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.57 CapitalGainFormat3Choice

Definition: Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		943
Or}	Proprietary <Prtry>	[1..1]	±		943

14.1.15.57.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Datatype: "[EUCapitalGain2Code](#)" on page 1121

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

14.1.15.57.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of capital gain.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.58 IntermediateSecuritiesDistributionTypeFormat15Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		944
Or}	Proprietary <Prtry>	[1..1]	±		945

14.1.15.58.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "IntermediateSecurityDistributionType5Code" on page 1131

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.

CodeName	Name	Definition
		Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

14.1.15.58.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.59 CorporateActionCodeFormat5Choice

Definition: Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		945
Or}	Proprietary <Prtry>	[1..1]	±		946

14.1.15.59.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of changes.

Datatype: "[CorporateActionCodeFormat1Code](#)" on page 1081

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

14.1.15.59.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of changes.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.60 AdditionalBusinessProcessFormat9Choice

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		947
Or}	Proprietary <Prtry>	[1..1]	±		948

14.1.15.60.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the additional business process linked to a corporate action event.*Datatype:* "AdditionalBusinessProcess5Code" on page 1074

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

14.1.15.60.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the additional business process linked to a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.61 CorporateActionEventStageFormat13Choice

Definition: Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		948
Or}	Proprietary <Prtry>	[1..1]	±		949

14.1.15.61.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "[CorporateActionEventStage3Code](#)" on page 1082

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.

CodeName	Name	Definition
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

14.1.15.61.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.62 OfferTypeFormat10Choice

Definition: Choice between a standard code or proprietary code to specify the type of offer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		949
Or}	Proprietary <Prtry>	[1..1]	±		950

14.1.15.62.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: "[OfferType3Code](#)" on page 1136

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer),

CodeName	Name	Definition
		in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

14.1.15.62.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.63 DistributionTypeFormat7Choice

Definition: Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951

14.1.15.63.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Datatype: "[DistributionType3Code](#)" on page 1121

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

14.1.15.63.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of distribution.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.64 DividendTypeFormat9Choice

Definition: Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		951
Or}	Proprietary <Prtry>	[1..1]	±		952

14.1.15.64.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the frequency of the corporate action event.

Datatype: "[CorporateActionFrequencyType5Code](#)" on page 1108

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

CodeName	Name	Definition
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

14.1.15.64.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the frequency of the corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.65 FractionDispositionType25Choice

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		953

14.1.15.65.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: "FractionDispositionType9Code" on page 1125

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

14.1.15.65.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of fraction disposition.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.66 RenounceableEntitlementStatusTypeFormat3Choice*Definition:* Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		953

14.1.15.66.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the renounceable status.*Datatype:* "[RenounceableStatus1Code](#)" on page 1149

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

14.1.15.66.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the renounceable status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.67 OptionStyle8Choice

Definition: Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		954
Or}	Proprietary <Prtry>	[1..1]	±		954

14.1.15.67.1 Code <Cd>

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "OptionStyle2Code" on page 1139

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

14.1.15.67.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.68 ClassificationType32Choice

Definition: Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		954
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		955

14.1.15.68.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 1153

14.1.15.68.2 AlternateClassification <AltrnCIsfctn>*Presence:* [1..1]*Definition:* Proprietary classification of financial instrument.

AlternateClassification <AltrnCIsfctn> contains the following elements (see "GenericIdentification36" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.15.69 InterestComputationMethodFormat4Choice

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		955
Or}	Proprietary <Prtry>	[1..1]	±		958

14.1.15.69.1 Code <Cd>*Presence:* [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 1127

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb

CodeName	Name	Definition
		(or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall

CodeName	Name	Definition
		(falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the

CodeName	Name	Definition
		coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

14.1.15.69.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.70 CorporateActionMandatoryVoluntary3Choice

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

14.1.15.70.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify whether the event is mandatory, mandatory with options or voluntary.*Datatype:* "CorporateActionMandatoryVoluntary1Code" on page 1109

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

14.1.15.70.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of an event.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.71 CorporateActionEventProcessingType2Choice*Definition:* Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		960

14.1.15.71.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the processing type of a corporate action event.*Datatype:* "CorporateActionEventProcessingType1Code" on page 1082

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

14.1.15.71.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.15.72 CorporateActionPreliminaryAdviceType2

Definition: Type of movement preliminary advice document.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		960
	EligibilityIndicator <ElgbltyInd>	[0..1]	Indicator		960

14.1.15.72.1 Type <Tp>

Presence: [1..1]

Definition: Type of movement preliminary advice, for example. new or replacement.

Datatype: "[CorporateActionPreliminaryAdviceType1Code](#)" on page 1117

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

14.1.15.72.2 EligibilityIndicator <ElgbltyInd>

Presence: [0..1]

Definition: Indicates whether the movement preliminary advice is sent after entitlement date.

Value is Yes (true) if sent after entitlement date and No (false) if sent before entitlement date.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 1155):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.1.15.73 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		961
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		961

14.1.15.73.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["BaseOne14Rate"](#) on page 1155

14.1.15.73.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code"](#) on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.15.74 UpdatedAdditionalInformation3

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		961
	UpdateDate <UpdDt>	[0..1]	Date		961
	AdditionalInformation <AddtlInf>	[1..1]	Text		962

14.1.15.74.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: ["Max140Text"](#) on page 1157

14.1.15.74.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

14.1.15.74.3 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

14.1.15.75 UpdatedAdditionalInformation1

Definition: Additional information with update description and date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateDescription <UpdDesc>	[0..1]	Text		962
	UpdateDate <UpdDt>	[0..1]	Date		962
	AdditionalInformation <AddtlInf>	[1..*]	Text		962

14.1.15.75.1 UpdateDescription <UpdDesc>

Presence: [0..1]

Definition: Specifies the amendments made to the narrative since the last message.

Datatype: "Max140Text" on page 1157

14.1.15.75.2 UpdateDate <UpdDt>

Presence: [0..1]

Definition: Specifies the date at which the narrative has been updated.

Datatype: "ISODate" on page 1152

14.1.15.75.3 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: "Max350Text" on page 1159

14.1.15.76 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		963
	Envelope <Envlp>	[1..1]	(External Schema)		963

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

14.1.15.76.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 1159

14.1.15.76.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

14.1.15.77 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Number <Nb>	[1..1]	Text		963
Or}	Code <Cd>	[1..1]	CodeSet		963

14.1.15.77.1 Number <Nb>

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 1156

14.1.15.77.2 Code <Cd>

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "OptionNumber1Code" on page 1139

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

14.1.16 Organisation Identification

14.1.16.1 OrganisationIdentification29

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	964
	LEI <LEI>	[0..1]	IdentifierSet		964
	Other <Othr>	[0..*]			964
	Identification </d>	[1..1]	Text		965
	SchemeName <SchmeNm>	[0..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	Text		965
	Issuer </ssr>	[0..1]	Text		965

14.1.16.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.16.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 1154

14.1.16.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		965
	SchemeName <SchmeNm>	[0..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	Text		965
	Issuer <Issr>	[0..1]	Text		965

14.1.16.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 1159

14.1.16.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	Text		965

14.1.16.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 1123

14.1.16.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 1159

14.1.16.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 1159

14.1.17 Party Identification

14.1.17.1 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

14.1.17.1.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "[IdentificationType42Choice](#)" on page 822 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		822

14.1.17.1.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: [C3 "Country"](#)

Datatype: "[CountryCode](#)" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.17.1.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "[Max35Text](#)" on page 1159

14.1.17.2 Party38Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		967
Or}	Privateldentification <PrvtId>	[1..1]	±		967

14.1.17.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "OrganisationIdentification29" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	964
	LEI <LEI>	[0..1]	IdentifierSet		964
	Other <Othr>	[0..*]			964
	Identification <Id>	[1..1]	Text		965
	SchemeName <SchmeNm>	[0..1]			965
{Or	Code <Cd>	[1..1]	CodeSet		965
Or}	Proprietary <Prtry>	[1..1]	Text		965
	Issuer </ssr>	[0..1]	Text		965

14.1.17.2.2 Privateldentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "PersonIdentification13" on page 980 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			981
	BirthDate <BirthDt>	[1..1]	Date		981
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		981
	CityOfBirth <CityOfBirth>	[1..1]	Text		981
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	982
	Other <Othr>	[0..*]			982
	Identification <Id>	[1..1]	Text		982
	SchemeName <SchmeNm>	[0..1]			982
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	Text		983
	Issuer </ssr>	[0..1]	Text		983

14.1.17.3 PartyIdentificationAndAccount172

Definition: Provides information about identification and account of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			968
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	969
Or	NameAndAddress <NmAndAdr>	[1..1]	±		969
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		969
	CashAccount <CshAcct>	[0..1]	±		970
	ProcessingIdentification <PrcgId>	[0..1]	Text		970
	AlternateIdentification <AltrnId>	[0..1]	±		970

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.17.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification133Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	969
Or	NameAndAddress <NmAndAdr>	[1..1]	±		969
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		969

14.1.17.3.1.1 BICFI <BICFI>

Presence: [1..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 1153

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

14.1.17.3.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

14.1.17.3.1.3 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.17.3.2 CashAccount <CshAcct>*Presence:* [0..1]*Definition:* Account in which cash is maintained.**CashAccount <CshAcct>** contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

14.1.17.3.3 ProcessingIdentification <Prcgld>*Presence:* [0..1]*Definition:* Reference meaningful to the party identified.*Datatype:* "[Max35Text](#)" on page 1159**14.1.17.3.4 AlternatIdentification <Altrnld>***Presence:* [0..1]*Definition:* Alternate identification for a party.**AlternatIdentification <Altrnld>** contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternatIdentification <Altrnld>	[1..1]	Text		966

14.1.17.4 CashParties34*Definition:* Specifies cash parties in the framework of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Creditor <Cdtr>	[0..1]		C5	971
	Identification <Id>	[1..1]	±		971
	CashAccount <CshAcct>	[0..1]	±		972
	ProcessingIdentification <Prcgld>	[0..1]	Text		972
	AlternateIdentification <Altrnld>	[0..1]	±		972
	CreditorAgent <CdtrAgt>	[0..1]	±	C6	972
	MarketClaimCounterparty <MktClmCtrPty>	[0..1]		C5	973
	Identification <Id>	[1..1]	±		973
	CashAccount <CshAcct>	[0..1]	±		974
	ProcessingIdentification <Prcgld>	[0..1]	Text		974
	AlternateIdentification <Altrnld>	[0..1]	±		974

14.1.17.4.1 Creditor <Cdtr>

Presence: [0..1]

Definition: Party to which an amount of money is due.

Impacted by: C5 "AdditionalInformationRule"

Creditor <Cdtr> contains the following **PartyIdentificationAndAccount162** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		971
	CashAccount <CshAcct>	[0..1]	±		972
	ProcessingIdentification <Prcgld>	[0..1]	Text		972
	AlternateIdentification <Altrnld>	[0..1]	±		972

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.17.4.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.17.4.1.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

14.1.17.4.1.3 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[Max35Text](#)" on page 1159

14.1.17.4.1.4 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

14.1.17.4.2 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the creditor.

Impacted by: [C6 "AdditionalInformationRule"](#)

CreditorAgent <CdtrAgt> contains the following elements (see "PartyIdentificationAndAccount172" on page 968 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			968
{Or	BICFI <BICFI>	[1..1]	IdentifierSet	C2	969
Or	NameAndAddress <NmAndAdr>	[1..1]	±		969
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		969
	CashAccount <CshAcct>	[0..1]	±		970
	ProcessingIdentification <PrctlId>	[0..1]	Text		970
	AlternateIdentification <AltrnId>	[0..1]	±		970

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.17.4.3 MarketClaimCounterparty <MktClnCtrPty>

Presence: [0..1]

Definition: Party that has reimbursed the account owner with funds to which they were legally entitled.

Impacted by: C5 "AdditionalInformationRule"

MarketClaimCounterparty <MktClnCtrPty> contains the following **PartyIdentificationAndAccount162** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		973
	CashAccount <CshAcct>	[0..1]	±		974
	ProcessingIdentification <PrctlId>	[0..1]	Text		974
	AlternateIdentification <AltrnId>	[0..1]	±		974

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.17.4.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.17.4.3.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Account in which cash is maintained.

CashAccount <CshAcct> contains one of the following elements (see "[CashAccountIdentification5Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C32	781
Or}	Proprietary <Prtry>	[1..1]	Text		782

14.1.17.4.3.3 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[Max35Text](#)" on page 1159

14.1.17.4.3.4 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

14.1.17.5 PartyIdentification120Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.17.5.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.17.5.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.17.5.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

14.1.17.6 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	976
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		976
Or	NameAndAddress <NmAndAdr>	[1..1]	±		976
Or}	LEI <LEI>	[1..1]	IdentifierSet		977

14.1.17.6.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.17.6.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.17.6.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

14.1.17.6.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "LEIIdentifier" on page 1154

14.1.17.7 PartyIdentification127Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	977
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		977

14.1.17.7.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.17.7.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		826

14.1.17.8 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		978
	PostalAddress <PstlAdr>	[0..1]	±		978
	Identification <Id>	[0..1]	±		979
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	979
	ContactDetails <CtctDtls>	[0..1]	±		980

14.1.17.8.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "[Max140Text](#)" on page 1157

14.1.17.8.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		987
Or}	Proprietary <Prtry>	[1..1]	±		988
	Department <Dept>	[0..1]	Text		988
	SubDepartment <SubDept>	[0..1]	Text		988
	StreetName <StrtNm>	[0..1]	Text		988
	BuildingNumber <BldgNb>	[0..1]	Text		988
	BuildingName <BldgNm>	[0..1]	Text		989
	Floor <Flr>	[0..1]	Text		989
	PostBox <PstBx>	[0..1]	Text		989
	Room <Room>	[0..1]	Text		989
	PostCode <PstCd>	[0..1]	Text		989
	TownName <TwnNm>	[0..1]	Text		989
	TownLocationName <TwnLctnNm>	[0..1]	Text		989
	DistrictName <DstrctNm>	[0..1]	Text		989
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		990
	Country <Ctry>	[0..1]	CodeSet	C3	990
	AddressLine <AdrLine>	[0..7]	Text		990

14.1.17.8.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "Party38Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		967
Or}	PrivateIdentification <PrvtId>	[1..1]	±		967

14.1.17.8.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.17.8.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 983 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		983
	Name <Nm>	[0..1]	Text		984
	PhoneNumber <PhneNb>	[0..1]	Text		984
	MobileNumber <MobNb>	[0..1]	Text		984
	FaxNumber <FaxNb>	[0..1]	Text		984
	EmailAddress <EmailAdr>	[0..1]	Text		984
	EmailPurpose <EmailPurp>	[0..1]	Text		984
	JobTitle <JobTitl>	[0..1]	Text		984
	Responsibility <Rspnsblty>	[0..1]	Text		985
	Department <Dept>	[0..1]	Text		985
	Other <Othr>	[0..*]			985
	ChannelType <ChanlTp>	[1..1]	Text		985
	Identification <Id>	[0..1]	Text		985
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		985

14.1.18 Person Identification

14.1.18.1 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			981
	BirthDate <BirthDt>	[1..1]	Date		981
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		981
	CityOfBirth <CityOfBirth>	[1..1]	Text		981
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	982
	Other <Othr>	[0..*]			982
	Identification <Id>	[1..1]	Text		982
	SchemeName <SchmeNm>	[0..1]			982
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	Text		983
	Issuer <Issr>	[0..1]	Text		983

14.1.18.1.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		981
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		981
	CityOfBirth <CityOfBirth>	[1..1]	Text		981
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	982

14.1.18.1.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 1152

14.1.18.1.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 1159

14.1.18.1.1.3 CityOfBirth <CityOfBirth>

Presence: [1..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 1159

14.1.18.1.1.4 CountryOfBirth <CtryOfBirth>

Presence: [1..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.18.1.2 Other <Othr>

Presence: [0..*]

Definition: Unique identification of a person, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		982
	SchemeName <SchmeNm>	[0..1]			982
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	Text		983
	Issuer <Issr>	[0..1]	Text		983

14.1.18.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 1159

14.1.18.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	Text		983

14.1.18.1.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalPersonIdentification1Code" on page 1124**14.1.18.1.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 1159**14.1.18.1.2.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 1159**14.1.18.2 Contact4***Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		983
	Name <Nm>	[0..1]	Text		984
	PhoneNumber <PhneNb>	[0..1]	Text		984
	MobileNumber <MobNb>	[0..1]	Text		984
	FaxNumber <FaxNb>	[0..1]	Text		984
	EmailAddress <EmailAdr>	[0..1]	Text		984
	EmailPurpose <EmailPurp>	[0..1]	Text		984
	JobTitle <JobTitl>	[0..1]	Text		984
	Responsibility <Rspnsblty>	[0..1]	Text		985
	Department <Dept>	[0..1]	Text		985
	Other <Othr>	[0..*]			985
	ChannelType <ChanlTp>	[1..1]	Text		985
	Identification <Id>	[0..1]	Text		985
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		985

14.1.18.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix2Code" on page 1133

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

14.1.18.2.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 1157

14.1.18.2.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1160

14.1.18.2.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1160

14.1.18.2.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 1160

14.1.18.2.6 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max2048Text" on page 1158

14.1.18.2.7 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 1159

14.1.18.2.8 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 1159

14.1.18.2.9 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 1159

14.1.18.2.10 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 1160

14.1.18.2.11 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		985
	Identification <Id>	[0..1]	Text		985

14.1.18.2.11.1 ChannelType <ChanlTp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 1160

14.1.18.2.11.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 1157

14.1.18.2.12 PreferredMethod <PrefrdMtd>

Presence: [0..1]

Definition: Preferred method used to reach the contact.

Datatype: "PreferredContactMethod1Code" on page 1141

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

14.1.19 Postal Address

14.1.19.1 NameAndAddress5

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

14.1.19.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 1159

14.1.19.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 990 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		990
	AddressLine <AdrLine>	[0..5]	Text		991
	StreetName <StrtNm>	[0..1]	Text		991
	BuildingNumber <BldgNb>	[0..1]	Text		991
	PostCode <PstCd>	[0..1]	Text		991
	TownName <TwnNm>	[0..1]	Text		991
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		991
	Country <Ctry>	[1..1]	CodeSet	C3	992

14.1.19.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		987
Or}	Proprietary <Prtry>	[1..1]	±		988
	Department <Dept>	[0..1]	Text		988
	SubDepartment <SubDept>	[0..1]	Text		988
	StreetName <StrtNm>	[0..1]	Text		988
	BuildingNumber <BldgNb>	[0..1]	Text		988
	BuildingName <BldgNm>	[0..1]	Text		989
	Floor <Flr>	[0..1]	Text		989
	PostBox <PstBx>	[0..1]	Text		989
	Room <Room>	[0..1]	Text		989
	PostCode <PstCd>	[0..1]	Text		989
	TownName <TwnNm>	[0..1]	Text		989
	TownLocationName <TwnLctnNm>	[0..1]	Text		989
	DistrictName <DstrctNm>	[0..1]	Text		989
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		990
	Country <Ctry>	[0..1]	CodeSet	C3	990
	AddressLine <AdrLine>	[0..7]	Text		990

14.1.19.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		987
Or}	Proprietary <Prtry>	[1..1]	±		988

14.1.19.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 1077

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

14.1.19.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.19.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1160

14.1.19.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 1160

14.1.19.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "[Max70Text](#)" on page 1160

14.1.19.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "[Max16Text](#)" on page 1157

14.1.19.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 1159

14.1.19.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 1160

14.1.19.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 1157

14.1.19.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 1160

14.1.19.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1157

14.1.19.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1159

14.1.19.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 1159

14.1.19.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 1159

14.1.19.2.14 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 1159**14.1.19.2.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.19.2.16 AddressLine <AdrLine>*Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 1160**14.1.19.3 PostalAddress1***Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		990
	AddressLine <AdrLine>	[0..5]	Text		991
	StreetName <StrtNm>	[0..1]	Text		991
	BuildingNumber <BldgNb>	[0..1]	Text		991
	PostCode <PstCd>	[0..1]	Text		991
	TownName <TwnNm>	[0..1]	Text		991
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		991
	Country <Ctry>	[1..1]	CodeSet	C3	992

14.1.19.3.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 1077

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

14.1.19.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 1160

14.1.19.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 1160

14.1.19.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 1157

14.1.19.3.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 1157

14.1.19.3.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 1159

14.1.19.3.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 1159

14.1.19.3.8 Country <Ctry>*Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.20 Price**14.1.20.1 AmountPrice3***Definition:* Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.1.1 AmountPriceType <AmtPricTp>*Presence:* [1..1]*Definition:* Type of amount price.*Datatype:* "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.2 PriceFormat61Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			993
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		994

14.1.20.2.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following **AmountPrice6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		993
	PriceValue <PricVal>	[1..1]	Amount	C1	993

14.1.20.2.1.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType3Code" on page 1078

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

14.1.20.2.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.2.2 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1142

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.1.20.3 PriceFormat48Choice

Definition: Choice between a percentage price or an amount price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			994
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		995
	PriceValue <PricVal>	[1..1]	Rate		995
Or	AmountPrice <AmtPric>	[1..1]	±		995
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			995
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		996
	PriceValue <PricVal>	[1..1]	Amount	C1	996
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		996
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			997
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		997
	PriceValue <PricVal>	[1..1]	Amount	C1	997
	Amount <Amt>	[1..1]	Amount	C1	998
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		998

14.1.20.3.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		995
	PriceValue <PricVal>	[1..1]	Rate		995

14.1.20.3.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.3.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1156

14.1.20.3.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.3.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		996
	PriceValue <PricVal>	[1..1]	Amount	C1	996
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		996

14.1.20.3.3.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.3.3.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.3.3.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.20.3.4 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		997
	PriceValue <PricVal>	[1..1]	Amount	C1	997
	Amount <Amt>	[1..1]	Amount	C1	998

14.1.20.3.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.3.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.3.4.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.3.5 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1155

14.1.20.4 PriceFormat51Choice

Definition: Choice between a percentage price or an amount price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			998
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		999
	PriceValue <PricVal>	[1..1]	Rate		999
Or	AmountPrice <AmtPric>	[1..1]	±		999
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		999

14.1.20.4.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		999
	PriceValue <PricVal>	[1..1]	Rate		999

14.1.20.4.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.4.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1156

14.1.20.4.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.4.3 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "DecimalNumber" on page 1155

14.1.20.5 PriceFormat49Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1000
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1000
	PriceValue <PricVal>	[1..1]	Rate		1001
Or	AmountPrice <AmtPric>	[1..1]	±		1001
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1001
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1001
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1002
	PriceValue <PricVal>	[1..1]	Amount	C1	1002
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1002
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1003
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1003
	PriceValue <PricVal>	[1..1]	Amount	C1	1003
	Amount <Amt>	[1..1]	Amount	C1	1004
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1004

14.1.20.5.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1000
	PriceValue <PricVal>	[1..1]	Rate		1001

14.1.20.5.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for

CodeName	Name	Definition
		example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.5.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "PercentageRate" on page 1156**14.1.20.5.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.5.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType9Code" on page 1142

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.1.20.5.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>*Presence:* [1..1]*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1002
	PriceValue <PricVal>	[1..1]	Amount	C1	1002
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1002

14.1.20.5.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.5.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.5.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.20.5.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1003
	PriceValue <PricVal>	[1..1]	Amount	C1	1003
	Amount <Amt>	[1..1]	Amount	C1	1004

14.1.20.5.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.5.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.5.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1155

14.1.20.6 PriceFormat50Choice

Definition: Choice between a percentage price or an amount price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1004
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005
Or}	AmountPrice <AmtPric>	[1..1]	±		1005

14.1.20.6.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1005
	PriceValue <PricVal>	[1..1]	Rate		1005

14.1.20.6.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.6.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1156

14.1.20.6.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.7 PriceFormat47Choice

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1006
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007
Or	AmountPrice <AmtPric>	[1..1]	±		1007
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1007
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			1007
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			1009
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1010

14.1.20.7.1 PercentagePrice <PctgPric>

Presence: [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1006
	PriceValue <PricVal>	[1..1]	Rate		1007

14.1.20.7.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.7.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1156

14.1.20.7.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.7.3 NotSpecifiedPrice <NotSpcfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType8Code" on page 1142

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

14.1.20.7.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty> contains the following **AmountPricePerFinancialInstrumentQuantity6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1008
	PriceValue <PricVal>	[1..1]	Amount	C1	1008
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±		1008

14.1.20.7.4.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.7.4.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.7.4.3 FinancialInstrumentQuantity <FinInstrmQty>

Presence: [1..1]

Definition: Quantity of financial instrument.

FinancialInstrumentQuantity <FinInstrmQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.20.7.5 AmountPricePerAmount <AmtPricPerAmt>

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

AmountPricePerAmount <AmtPricPerAmt> contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		1009
	PriceValue <PricVal>	[1..1]	Amount	C1	1009
	Amount <Amt>	[1..1]	Amount	C1	1010

14.1.20.7.5.1 AmountPriceType <AmtPricTp>

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType1Code" on page 1077

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.1.20.7.5.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Value of the price.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.7.5.3 Amount <Amt>

Presence: [1..1]

Definition: The amount on which the price is based.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.20.7.6 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1155

14.1.20.8 PriceFormat46Choice

Definition: Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		1010
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		1011

14.1.20.8.1 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice2" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		782
	PriceValue <PricVal>	[1..1]	Amount	C1	782

14.1.20.8.2 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1142

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.1.20.9 PriceFormat45Choice*Definition:* Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1011
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012
Or	AmountPrice <AmtPric>	[1..1]	±		1012
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1012

14.1.20.9.1 PercentagePrice <PctgPric>*Presence:* [1..1]*Definition:* Price expressed as a percentage.**PercentagePrice <PctgPric>** contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1011
	PriceValue <PricVal>	[1..1]	Rate		1012

14.1.20.9.1.1 PercentagePriceType <PctgPricTp>*Presence:* [1..1]*Definition:* Specifies the type of percentage price.*Datatype:* "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.

CodeName	Name	Definition
YIEL	Yield	Price expressed as a yield.

14.1.20.9.1.2 PriceValue <PricVal>*Presence:* [1..1]*Definition:* Specifies the value of price.*Datatype:* "PercentageRate" on page 1156**14.1.20.9.2 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.9.3 NotSpecifiedPrice <NotSpcfdPric>*Presence:* [1..1]*Definition:* Value of the price not specified.*Datatype:* "PriceValueType10Code" on page 1142

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.1.20.10 PriceFormat44Choice*Definition:* Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			1012
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013
Or	AmountPrice <AmtPric>	[1..1]	±		1013
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		1013
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1014

14.1.20.10.1 PercentagePrice <PctgPric>*Presence:* [1..1]

Definition: Price expressed as a percentage.

PercentagePrice <PctgPric> contains the following **PercentagePrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		1013
	PriceValue <PricVal>	[1..1]	Rate		1013

14.1.20.10.1.1 PercentagePriceType <PctgPricTp>

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: "PriceRateType3Code" on page 1142

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.1.20.10.1.2 PriceValue <PricVal>

Presence: [1..1]

Definition: Specifies the value of price.

Datatype: "PercentageRate" on page 1156

14.1.20.10.2 AmountPrice <AmtPric>

Presence: [1..1]

Definition: Price expressed as a currency and amount.

AmountPrice <AmtPric> contains the following elements (see "AmountPrice3" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		992
	PriceValue <PricVal>	[1..1]	Amount	C1	992

14.1.20.10.3 NotSpecifiedPrice <NotSpdfdPric>

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 1142

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.1.20.10.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "DecimalNumber" on page 1155

14.1.21 Quantity

14.1.21.1 Quantity17Choice

Definition: Choice between different quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityChoice <QtyChc>	[1..1]			1014
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1015
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015
Or}	SignedQuantity <SgndQty>	[1..1]	±		1016
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1016
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1016
	Quantity <Qty>	[1..1]	Quantity		1016
	QuantityType <QtyTp>	[1..1]	Text		1016
	Issuer </ssr>	[1..1]	Text		1017
	SchemeName <SchmeNm>	[0..1]	Text		1017

14.1.21.1.1 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]			1015
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015
Or}	SignedQuantity <SgndQty>	[1..1]	±		1016

14.1.21.1.1.1 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following **OriginalAndCurrentQuantities6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1015
	FaceAmount <FaceAmt>	[1..1]	Amount		1015
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1015

14.1.21.1.1.1.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 1151

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

14.1.21.1.1.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.21.1.1.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.21.1.1.2 SignedQuantity <SgndQty>*Presence:* [1..1]*Definition:* Signed quantity of security.

SignedQuantity <SgndQty> contains the following elements (see "[SignedQuantityFormat6](#)" on page 902 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		902
	Quantity <Qty>	[1..1]	±		902

14.1.21.1.2 ProprietaryQuantity <PrtryQty>*Presence:* [1..1]*Definition:* Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[0..1]	CodeSet		1016
	Quantity <Qty>	[1..1]	Quantity		1016
	QuantityType <QtyTp>	[1..1]	Text		1016
	Issuer <Issr>	[1..1]	Text		1017
	SchemeName <SchmeNm>	[0..1]	Text		1017

14.1.21.1.2.1 ShortLongPosition <ShrtLngPos>*Presence:* [0..1]*Definition:* Sign of the quantity of security.*Datatype:* "[ShortLong1Code](#)" on page 1151

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

14.1.21.1.2.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Provides the proprietary quantity with a decimal number.*Datatype:* "[DecimalNumber](#)" on page 1155**14.1.21.1.2.3 QuantityType <QtyTp>***Presence:* [1..1]*Definition:* Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 1157](#)

14.1.21.1.2.4 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max35Text" on page 1159](#)

14.1.21.1.2.5 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max35Text" on page 1159](#)

14.1.21.2 SignedQuantityFormat7

Definition: Signed quantity of security formats.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortLongPosition <ShrtLngPos>	[1..1]	CodeSet		1017
	QuantityChoice <QtyChc>	[1..1]			1017
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

14.1.21.2.1 ShortLongPosition <ShrtLngPos>

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 1151](#)

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

14.1.21.2.2 QuantityChoice <QtyChc>

Presence: [1..1]

Definition: Choice between different quantity of security formats.

QuantityChoice <QtyChc> contains one of the following **Quantity19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1018
Or}	ProprietaryQuantity <PrtryQty>	[1..1]			1018
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

14.1.21.2.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of security.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity1Choice](#)" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.21.2.2.2 ProprietaryQuantity <PrtryQty>

Presence: [1..1]

Definition: Proprietary quantity of security format.

ProprietaryQuantity <PrtryQty> contains the following **ProprietaryQuantity8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	Quantity		1018
	QuantityType <QtyTp>	[1..1]	Text		1018
	Issuer <Issr>	[1..1]	Text		1019
	SchemeName <SchmeNm>	[0..1]	Text		1019

14.1.21.2.2.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "[DecimalNumber](#)" on page 1155

14.1.21.2.2.2.2 QuantityType <QtyTp>

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 1157

14.1.21.2.2.3 Issuer <Issr>

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max35Text" on page 1159

14.1.21.2.2.4 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 1159

14.1.21.3 Quantity20Choice

Definition: Choice between different formats of securities quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1019
Or	OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>	[1..1]	±		1019
Or}	Quantity <Qty>	[1..1]	±		1019

14.1.21.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify quantity of a financial instrument.

Datatype: "Quantity1Code" on page 1144

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

14.1.21.3.2 OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt>

Presence: [1..1]

Definition: Face amount and amortised value of security.

OriginalAndCurrentFaceAmount <OrgnlAndCurFaceAmt> contains the following elements (see "OriginalAndCurrentQuantities1" on page 1049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1050
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1050

14.1.21.3.3 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 816 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		817
Or	FaceAmount <FaceAmt>	[1..1]	Amount		817
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		817

14.1.22 Rate

14.1.22.1 RateFormat3Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1020

14.1.22.1.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.1.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType5Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.2 RateFormat22Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1020
Or}	Amount <Amt>	[1..1]	Amount	C1	1021

14.1.22.2.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.2.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.3 RateAndAmountFormat52Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1022
Or	Amount <Amt>	[1..1]	Amount	C1	1022
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1022
	RateType <RateTp>	[1..1]			1022
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1023
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[0..1]		C69	1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1024
	RateType <RateTp>	[1..1]			1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Rate <Rate>	[1..1]	Rate		1026

14.1.22.3.1 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "PercentageRate" on page 1156**14.1.22.3.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.3.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1022
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1023
	Amount <Amt>	[1..1]	Amount	C1	1023
	RateStatus <RateSts>	[0..1]		C69	1024
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024

14.1.22.3.3.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1023
Or}	Proprietary <Prtry>	[1..1]	±		1023

14.1.22.3.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1120

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

14.1.22.3.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.3.3.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.3.3.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: C69 "RateStatusGuideline"

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1024
Or}	Proprietary <Prtry>	[1..1]	±		1024

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.22.3.3.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.22.3.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.3.4 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1025
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025
	Rate <Rate>	[1..1]	Rate		1026

14.1.22.3.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1025
Or}	Proprietary <Prtry>	[1..1]	±		1025

14.1.22.3.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1120

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

14.1.22.3.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.3.4.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1156

14.1.22.4 RateAndAmountFormat51Choice

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1026
Or	Amount <Amt>	[1..1]	Amount	C1	1027
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1027
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1027
	RateType <RateTp>	[1..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	±		1028
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[0..1]		C69	1029
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1030
	RateType <RateTp>	[1..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1030
	Rate <Rate>	[1..1]	Rate		1031

14.1.22.4.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.4.3 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.4.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1027
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	±		1028
	Amount <Amt>	[1..1]	Amount	C1	1028
	RateStatus <RateSts>	[0..1]		C69	1029
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029

14.1.22.4.4.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1028
Or}	Proprietary <Prtry>	[1..1]	±		1028

14.1.22.4.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: "DeemedRateType1Code" on page 1120

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

14.1.22.4.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.4.4.2 Amount <Amt>

Presence: [1..1]

Definition: Value expressed as an amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.4.4.3 RateStatus <RateSts>

Presence: [0..1]

Definition: Value expressed as a rate status.

Impacted by: [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1029
Or}	Proprietary <Prtry>	[1..1]	±		1029

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.22.4.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: ["RateStatus1Code" on page 1145](#)

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.22.4.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30" on page 824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.4.5 RateTypeAndRate <RateTpAndRate>*Presence:* [1..1]*Definition:* Value is expressed as a rate type and a percentage rate.**RateTypeAndRate <RateTpAndRate>** contains the following **RateTypeAndPercentageRate10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1030
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1030
	Rate <Rate>	[1..1]	Rate		1031

14.1.22.4.5.1 RateType <RateTp>*Presence:* [1..1]*Definition:* Value expressed as a rate type.**RateType <RateTp>** contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1030
Or}	Proprietary <Prtry>	[1..1]	±		1030

14.1.22.4.5.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the type of deemed rate.*Datatype:* "DeemedRateType1Code" on page 1120

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

14.1.22.4.5.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of deemed rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.4.5.2 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1156

14.1.22.5 SolicitationFeeRateFormat8Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1031
Or	AmountToQuantity <AmtToQty>	[1..1]			1031
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1032
Or}	Amount <Amt>	[1..1]	Amount	C1	1032

14.1.22.5.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "[PercentageRate](#)" on page 1156

14.1.22.5.2 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1031
	Quantity <Qty>	[1..1]	Quantity		1032

14.1.22.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.5.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.22.5.3 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.6 RatioFormat19Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1033
Or	AmountToAmount <AmtToAmt>	[1..1]			1033
	Amount1 <Amt1>	[1..1]	Amount	C1	1033
	Amount2 <Amt2>	[1..1]	Amount	C1	1034
Or	AmountToQuantity <AmtToQty>	[1..1]			1034
	Amount <Amt>	[1..1]	Amount	C1	1034
	Quantity <Qty>	[1..1]	Quantity		1035
Or}	QuantityToAmount <QtyToAmt>	[1..1]			1035
	Amount <Amt>	[1..1]	Amount	C1	1035
	Quantity <Qty>	[1..1]	Quantity		1035

14.1.22.6.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

14.1.22.6.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1033
	Amount2 <Amt2>	[1..1]	Amount	C1	1034

14.1.22.6.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.6.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.6.3 AmountToQuantity <AmtToQty>

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

AmountToQuantity <AmtToQty> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1034
	Quantity <Qty>	[1..1]	Quantity		1035

14.1.22.6.3.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.6.3.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.22.6.4 QuantityToAmount <QtyToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

QuantityToAmount <QtyToAmt> contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	1035
	Quantity <Qty>	[1..1]	Quantity		1035

14.1.22.6.4.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.6.4.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "DecimalNumber" on page 1155

14.1.22.7 RatioFormat20Choice

Definition: Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		1036
Or}	AmountToAmount <AmtToAmt>	[1..1]			1036
	Amount1 <Amt1>	[1..1]	Amount	C1	1036
	Amount2 <Amt2>	[1..1]	Amount	C1	1036

14.1.22.7.1 QuantityToQuantity <QtyToQty>

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

QuantityToQuantity <QtyToQty> contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 814 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		815
	Quantity2 <Qty2>	[1..1]	Quantity		815

14.1.22.7.2 AmountToAmount <AmtToAmt>

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

AmountToAmount <AmtToAmt> contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	1036
	Amount2 <Amt2>	[1..1]	Amount	C1	1036

14.1.22.7.2.1 Amount1 <Amt1>

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.7.2.2 Amount2 <Amt2>

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.8 InterestRateUsedForPaymentFormat7Choice

Definition: Choice between an amount or a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1037
Or	Amount <Amt>	[1..1]	Amount	C1	1037
Or}	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			1038
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C69	1039
{Or	Code <Cd>	[1..1]	CodeSet		1039
Or}	Proprietary <Prtry>	[1..1]	±		1040

14.1.22.8.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.8.2 Amount <Amt>

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.8.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1038
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039
	Amount <Amt>	[1..1]	Amount	C1	1039
	RateStatus <RateSts>	[0..1]		C69	1039
{Or	Code <Cd>	[1..1]	CodeSet		1039
Or}	Proprietary <Prtry>	[1..1]	±		1040

14.1.22.8.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1038
Or}	Proprietary <Prtry>	[1..1]	±		1039

14.1.22.8.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 1145

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

14.1.22.8.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of rate.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.8.3.2 Amount <Amt>*Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.8.3.3 RateStatus <RateSts>*Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C69 "RateStatusGuideline"](#)

RateStatus <RateSts> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1039
Or}	Proprietary <Prtry>	[1..1]	±		1040

Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

14.1.22.8.3.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 1145

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.1.22.8.3.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.9 RateAndAmountFormat40Choice

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1040
Or	Amount <Amt>	[1..1]	Amount	C1	1040
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			1041
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

14.1.22.9.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.9.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.9.3 RateTypeAndRate <RateTpAndRate>

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

RateTypeAndRate <RateTpAndRate> contains the following **RateTypeAndPercentageRate8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			1041
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042
	Rate <Rate>	[1..1]	Rate		1042

14.1.22.9.3.1 RateType <RateTp>

Presence: [1..1]

Definition: Value expressed as a rate type.

RateType <RateTp> contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1041
Or}	Proprietary <Prtry>	[1..1]	±		1042

14.1.22.9.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "WithholdingTaxRateType1Code" on page 1152

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

14.1.22.9.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.22.9.3.2 Rate <Rate>*Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[PercentageRate](#)" on page 1156**14.1.22.10 RateAndAmountFormat42Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	1042
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1042

14.1.22.10.1 Amount <Amt>*Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1071**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.10.2 NotSpecifiedRate <NotSpcfdRate>*Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "[RateValueType7Code](#)" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.11 RateFormat20Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1043
Or	Amount <Amt>	[1..1]	Amount	C1	1043
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		1043

14.1.22.11.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.11.2 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.11.3 NotSpecifiedRate <NotSpctdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.12 RateAndAmountFormat39Choice

Definition: Choice of format between rate and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or}	Amount <Amt>	[1..1]	Amount	C1	1044

14.1.22.12.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.12.2 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.13 RateAndAmountFormat38Choice

Definition: Choice of format between a rate, an amount, index points or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1044
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1044
Or	Amount <Amt>	[1..1]	Amount	C1	1045
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		1045

14.1.22.13.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.13.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.13.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.13.4 IndexPoints <IndxPts>

Presence: [1..1]

Definition: Price expressed in index points.

Datatype: "DecimalNumber" on page 1155

14.1.22.14 RateAndAmountFormat37Choice

Definition: Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1045
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1045
Or}	Amount <Amt>	[1..1]	Amount	C1	1046

14.1.22.14.1 Rate <Rate>

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.14.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 1145

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.22.14.3 Amount <Amt>

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 1071

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.1.22.15 RateFormat7Choice

Definition: Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		1046
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		1046

14.1.22.15.1 Rate <Rate>

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "PercentageRate" on page 1156

14.1.22.15.2 NotSpecifiedRate <NotSpcfdRate>

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType10Code" on page 1145

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.1.23 Safekeeping Place

14.1.23.1 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			1047
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047
Or	Country <Ctry>	[1..1]	CodeSet	C3	1048
Or	TypeAndIdentification <TpAndId>	[1..1]			1048
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048
Or}	Proprietary <Prtry>	[1..1]	±		1049

14.1.23.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1047
	Identification <Id>	[0..1]	Text		1047

14.1.23.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 1150

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

14.1.23.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 1159

14.1.23.1.2 Country <Ctry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 1118**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.23.1.3 TypeAndIdentification <TpAndId>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1048
	Identification <Id>	[1..1]	IdentifierSet	C1	1048

14.1.23.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1150

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.1.23.1.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.23.1.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		821
	Identification <Id>	[0..1]	Text		821

14.1.24 Securities Quantity**14.1.24.1 FinancialInstrumentQuantity18Choice**

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1049
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		1049

14.1.24.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "[DecimalNumber](#)" on page 1155

14.1.24.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "[ImpliedCurrencyAndAmount](#)" on page 1073

14.1.24.2 OriginalAndCurrentQuantities1

Definition: Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1050
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1050

14.1.24.2.1 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.24.2.2 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 1073

14.1.25 Settlement Chain

14.1.25.1 SettlementParties75

Definition: Specifies settlement parties (delivering/receiving).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]		C3	1052
	Identification <Id>	[1..1]			1052
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1052
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1053
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1053
	ProcessingIdentification <PrctlId>	[0..1]	Text		1053
	AlternateIdentification <AltrnId>	[0..*]	±		1053
	Party1 <Pty1>	[0..1]		C4	1054
	Identification <Id>	[1..1]	±		1054
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1054
	ProcessingIdentification <PrctlId>	[0..1]	Text		1055
	AlternateIdentification <AltrnId>	[0..*]	±		1055
	Party2 <Pty2>	[0..1]		C4	1055
	Identification <Id>	[1..1]	±		1055
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1056
	ProcessingIdentification <PrctlId>	[0..1]	Text		1056
	AlternateIdentification <AltrnId>	[0..*]	±		1056
	Party3 <Pty3>	[0..1]		C4	1056
	Identification <Id>	[1..1]	±		1057
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1057
	ProcessingIdentification <PrctlId>	[0..1]	Text		1057
	AlternateIdentification <AltrnId>	[0..*]	±		1057

Constraints

• Party2PresenceRule

If Party 2 is present, then Party 1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

• Party3PresenceRule

If Party 3 is present, then Party 2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

14.1.25.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First receiving party in the settlement chain. In a plain vanilla settlement, it is the central securities depository where the receiving side of the transaction requests to receive the financial instrument.

Impacted by: C3 "AdditionalInformationRule"

Depository <Dpstry> contains the following **PartyIdentification143** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1052
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1052
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1053
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1053
	ProcessingIdentification <Prctl>	[0..1]	Text		1053
	AlternateIdentification <AltrId>	[0..*]	±		1053

Constraints

- AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.25.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following **PartyIdentification122Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	1052
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1053
Or}	Country <Ctry>	[1..1]	CodeSet	C3	1053

14.1.25.1.1.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 1153

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.1.25.1.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		986
	Address <Adr>	[0..1]	±		986

14.1.25.1.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C3 "Country"

Datatype: ["CountryCode"](#) on page 1118

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.1.25.1.1.2 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: ["Max35Text"](#) on page 1159

14.1.25.1.1.3 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Provides alternate identification for a party using an id type, a country code and a text field.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

14.1.25.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that interacts with the depository.

Impacted by: C4 "AdditionalInformationRule"

Party1 <Pty1> contains the following **PartyIdentificationAndAccount163** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1054
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1054
	ProcessingIdentification <PrctlId>	[0..1]	Text		1055
	AlternateIdentification <AltrnId>	[0..*]	±		1055

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.25.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.25.1.2.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "Max35Text" on page 1159

14.1.25.1.2.3 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "Max35Text" on page 1159

14.1.25.1.2.4 AlternateIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <Altrnld> contains the following elements (see "AlternatePartyIdentification7" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <Altrnld>	[1..1]	Text		966

14.1.25.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that interacts with the party1.

Impacted by: C4 "AdditionalInformationRule"

Party2 <Pty2> contains the following **PartyIdentificationAndAccount163** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1055
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1056
	ProcessingIdentification <Prcgld>	[0..1]	Text		1056
	AlternateIdentification <Altrnld>	[0..*]	±		1056

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.25.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.25.1.3.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[Max35Text](#)" on page 1159

14.1.25.1.3.3 ProcessingIdentification <PrctlId>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[Max35Text](#)" on page 1159

14.1.25.1.3.4 AlternateIdentification <AltrnId>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <AltrnId>	[1..1]	Text		966

14.1.25.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that interacts with the party2.

Impacted by: [C4](#) "[AdditionalInformationRule](#)"

Party3 <Pty3> contains the following **PartyIdentificationAndAccount163** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1057
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		1057
	ProcessingIdentification <PrctlId>	[0..1]	Text		1057
	AlternateIdentification <AltrnId>	[0..*]	±		1057

Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

14.1.25.1.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	975
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		975
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		975

14.1.25.1.4.2 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "[Max35Text](#)" on page 1159

14.1.25.1.4.3 ProcessingIdentification <Prcgld>

Presence: [0..1]

Definition: Reference meaningful to the party identified.

Datatype: "[Max35Text](#)" on page 1159

14.1.25.1.4.4 AlternateIdentification <Altrnld>

Presence: [0..*]

Definition: Alternate identification for a party.

AlternateIdentification <Altrnld> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		966
	Country <Ctry>	[1..1]	CodeSet	C3	966
	AlternateIdentification <Altrnld>	[1..1]	Text		966

14.1.26 Status

14.1.26.1 NoSpecifiedReason1

Definition: No specified reason available for the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1058

14.1.26.1.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.2 PendingStatusReason18

Definition: Specifies reasons for the pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1058
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1059
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1059

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction's processing is pending.

ReasonCode <RsnCd> contains one of the following **PendingReason54Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1059
Or}	Proprietary <Prtry>	[1..1]	±		1059

14.1.26.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction/event has a pending status.*Datatype:* "PendingReason19Code" on page 1140

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

14.1.26.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the reason why the instruction/event has a pending status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Provides additional information about the processed instruction.*Datatype:* "Max210Text" on page 1158**14.1.26.3 ProprietaryReason4***Definition:* Proprietary identification of the reason related to a status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1060
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1060

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.3.1 Reason <Rsn>

Presence: [0..1]

Definition: Proprietary identification of the reason related to a status.

Reason <Rsn> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.3.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

14.1.26.4 PendingCancellationStatus7Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		1060
Or}	Reason <Rsn>	[1..*]		C1, C5	1061
	ReasonCode <RsnCd>	[1..1]			1061
{Or	Code <Cd>	[1..1]	CodeSet		1061
Or}	Proprietary <Prtry>	[1..1]	±		1062
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1062

14.1.26.4.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.4.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the pending cancellation status.*Impacted by:* C1 "AccountServicerDeadlineMissedGuideline", C5 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **PendingCancellationStatusReason7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1061
{Or	Code <Cd>	[1..1]	CodeSet		1061
Or}	Proprietary <Prtry>	[1..1]	±		1062
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1062

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.4.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the cancellation request is pending.**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1061
Or}	Proprietary <Prtry>	[1..1]	±		1062

14.1.26.4.2.1.1 Code <Cd>*Presence:* [1..1]

Definition: Standard code to specify the reason why a cancellation request sent for the related instruction is pending.

Datatype: "PendingCancellationReason5Code" on page 1140

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.

CodeName	Name	Definition
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

14.1.26.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why a cancellation request sent for the related instruction is pending.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

14.1.26.5 RejectedStatus18Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		1062
Or}	Reason <Rsn>	[1..*]		C5	1063
	ReasonCode <RsnCd>	[1..1]			1063
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1064
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1064

14.1.26.5.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.5.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1063
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1064
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1064

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.5.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason14Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1063
Or}	Proprietary <Prtry>	[1..1]	±		1064

14.1.26.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason45Code" on page 1146

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction received after the account servicers specified deadline.
ULNK	InvalidReference	Instruction contains an invalid message reference, reference is unknown.

CodeName	Name	Definition
OTHR	Other	Other reason.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	The instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.

14.1.26.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.5.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

14.1.26.6 CancelledStatus11Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		1065
Or}	Reason <Rsn>	[1..*]		C4	1065
	ReasonCode <RsnCd>	[1..1]			1065
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1066
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1066

14.1.26.6.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.6.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the cancelled status.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **CancelledStatusReason12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1065
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1066
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1066

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.6.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the instruction or instruction cancellation has been cancelled.**ReasonCode <RsnCd>** contains one of the following **CancelledReason9Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1065
Or}	Proprietary <Prtry>	[1..1]	±		1066

14.1.26.6.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify the reason why the instruction is cancelled.*Datatype:* "CancelledStatusReason5Code" on page 1079

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

14.1.26.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.6.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

14.1.26.7 AcceptedStatus8Choice

Definition: Choice between a reason or no reason for the corporate action instruction processing accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1066
Or}	Reason <Rsn>	[1..*]		C4	1067
	ReasonCode <RsnCd>	[1..1]			1067
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1068

14.1.26.7.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.7.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the accepted status.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **AcceptedStatusReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1067
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1067
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1068

Constraints

- AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.7.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Specifies the reason why the instruction or instruction cancellation has been accepted.**ReasonCode <RsnCd>** contains one of the following **AcceptedReason10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1067
Or}	Proprietary <Prtry>	[1..1]	±		1067

14.1.26.7.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to specify additional information about the processed instruction.*Datatype:* "AcknowledgementReason7Code" on page 1073

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

14.1.26.7.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of additional information about the processed instruction.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.7.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: ["Max210Text"](#) on page 1158

14.1.26.8 CancelledStatus12Choice

Definition: Choice between a reason or no reason for the corporate action instruction or instruction cancellation cancelled/cancellation completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		1068
Or}	Reason <Rsn>	[1..*]		C3	1068
	ReasonCode <RsnCd>	[1..1]			1069
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

14.1.26.8.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: ["NoReasonCode"](#) on page 1136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.1.26.8.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the cancelled status.

Impacted by: [C3 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **CancelledStatusReason11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			1069
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1070

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.26.8.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been cancelled.

ReasonCode <RsnCd> contains one of the following **CancelledReason8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1069
Or}	Proprietary <Prtry>	[1..1]	±		1069

14.1.26.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction is cancelled.

Datatype: "CancelledStatusReason6Code" on page 1080

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

14.1.26.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification specifying the reason why the instruction is cancelled.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.8.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max210Text](#)" on page 1158

14.1.26.9 ProprietaryStatusAndReason6

Definition: Provides the proprietary status and reason of an instruction or an instruction cancellation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProprietaryStatus <PrtrySts>	[1..1]	±		1070
	ProprietaryReason <PrtryRsn>	[0..*]	±	C7	1070

14.1.26.9.1 ProprietaryStatus <PrtrySts>

Presence: [1..1]

Definition: Proprietary identification of the status related to an instruction.

ProprietaryStatus <PrtrySts> contains the following elements (see "[GenericIdentification30](#)" on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		825
	Issuer <Issr>	[1..1]	Text		825
	SchemeName <SchmeNm>	[0..1]	Text		825

14.1.26.9.2 ProprietaryReason <PrtryRsn>

Presence: [0..*]

Definition: Proprietary identification of the reason related to a proprietary status.

Impacted by: [C7 "AdditionalReasonInformationRule"](#)

ProprietaryReason <PrtryRsn> contains the following elements (see "ProprietaryReason4" on page 1059 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	±		1060
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		1060

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

14.1.27 System Identification

14.1.27.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1071
Or}	Proprietary <Prtry>	[1..1]	Text		1071

14.1.27.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "ExternalClearingSystemIdentification1Code" on page 1123

14.1.27.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "Max35Text" on page 1159

14.2 Message Datatypes

14.2.1 Amount

14.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1073

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1073

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

14.2.1.3 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

14.2.2 CodeSet

14.2.2.1 AcknowledgementReason7Code

Definition: Specifies additional information about the processed instruction.

Type: CodeSet

CodeName	Name	Definition
NSTP	NotStraightThroughProcessing	Instruction was not straight through processing and had to be processed manually.
OTHR	Other	Other. See Narrative.

14.2.2.2 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

14.2.2.3 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

14.2.2.4 AdditionalBusinessProcess5Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust.

CodeName	Name	Definition
		Applicable only in the frame of a partial defeasance corporate action event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

14.2.2.5 AdditionalBusinessProcess6Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

CodeName	Name	Definition
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.

14.2.2.6 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original

CodeName	Name	Definition
		maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.

14.2.2.7 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

14.2.2.8 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

14.2.2.9 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

14.2.2.10 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

14.2.2.11 BeneficiaryCertificationType4Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.

14.2.2.12 BeneficiaryCertificationType5Code

Definition: Specifies the type of certification required.

Type: CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

14.2.2.13 BidRangeType1Code

Definition: Types of acceptable bid range values.

Type: CodeSet

CodeName	Name	Definition
DIVI	Divisible	All values (including minimum and maximum prices) are divisible by the bid interval.
INCR	Incremental	No values within the bid range are divisible by the bid interval including the minimum and maximum prices. Each value between the minimum and maximum prices is incremented by the bid interval.
MULT	InMultiple	Minimum and maximum prices are not divisible by the bid interval. All successive values between the minimum and maximum prices are in multiples of the bid interval.

14.2.2.14 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- ValidationByTable

14.2.2.15 CancelledStatusReason5Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
OTHR	Other	Other. See Narrative.

14.2.2.16 CancelledStatusReason6Code

Definition: Specifies the underlying reason for the cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, for example, a market infrastructure such as a stock exchange.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Instruction has been cancelled by the agent due to an event deadline extension.
OTHR	Other	Other. See Narrative.

14.2.2.17 CertificationFormatType1Code

Definition: Specifies the certification format required, that is, physical or electronic format.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

14.2.2.18 ConsentType1Code

Definition: Specifies the type of consent announced.

Type: CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

14.2.2.19 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

14.2.2.20 CorporateActionChangeType1Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

14.2.2.21 CorporateActionChangeType2Code

Definition: Specifies the type of changes.

Type: CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

14.2.2.22 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

14.2.2.23 CorporateActionEventStage3Code

Definition: Specifies the stage of the CA event.

Type: CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental

CodeName	Name	Definition
		lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

14.2.2.24 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

CodeName	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

14.2.2.25 CorporateActionEventType30Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.

CodeName	Name	Definition
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from

CodeName	Name	Definition
		DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

CodeName	Name	Definition
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.

CodeName	Name	Definition
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.2.2.26 CorporateActionEventType31Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.

CodeName	Name	Definition
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be

CodeName	Name	Definition
		broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.

CodeName	Name	Definition
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is

CodeName	Name	Definition
		done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.

CodeName	Name	Definition
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have

CodeName	Name	Definition
		been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.2.2.27 CorporateActionEventType32Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of

CodeName	Name	Definition
		capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial

CodeName	Name	Definition
		obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the

CodeName	Name	Definition
		issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with

CodeName	Name	Definition
		different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to

CodeName	Name	Definition
		reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which

CodeName	Name	Definition
		usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.

14.2.2.28 CorporateActionEventType34Code

Definition: Specifies the corporate action event type.

Type: CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.

CodeName	Name	Definition
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting.

CodeName	Name	Definition
		For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

CodeName	Name	Definition
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.

CodeName	Name	Definition
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.

CodeName	Name	Definition
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.

CodeName	Name	Definition
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.

14.2.2.29 CorporateActionFrequencyType5Code

Definition: Automatic Reinvestment of Cash distributed by accumulating funds.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

14.2.2.30 CorporateActionInformationType1Code

Definition: Specifies the type of information event.

Type: CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

14.2.2.31 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

14.2.2.32 CorporateActionNarrative1Code

Definition: Specifies the narrative type of a corporate action.

Type: CodeSet

CodeName	Name	Definition
TAXE	TaxationConditions	Provides taxation conditions that cannot be included within the structured fields of this message.
REGI	RegistrationDetails	Provides information required for the registration.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
RFMC	ICSDRefusalOfMarkUpDownConfirmation	In the context of a corporate action, an International Central Securities Depository (ICSD) refuses a mark-up/ mark-down confirmation sent by the account servicer.
PAUT	ChasingOfPriorAuthorization	International Central Securities Depository (ICSD) chases the authorisation from the account servicer in order to process the exchange from global temporary to global permanent.
CTIN	ConfirmationOfHoldingTransfer	Confirmation of transfer of interests between International Central Securities Depositories (ICSDs) following a corporate actions.

14.2.2.33 CorporateActionNotificationType1Code

Definition: Type of notification advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

14.2.2.34 CorporateActionOption11Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.

CodeName	Name	Definition
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

14.2.2.35 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.

CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.

14.2.2.36 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.

CodeName	Name	Definition
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

14.2.2.37 CorporateActionOption16Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global

CodeName	Name	Definition
		certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

14.2.2.38 CorporateActionOption17Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.

CodeName	Name	Definition
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
TAXI	TaxInstruction	Tax instruction.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

14.2.2.39 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

14.2.2.40 CorporateActionReversalReason1Code

Definition: The reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

CodeName	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
IVAD	IncorrectValueDate	Value date is incorrect.
IREC	IncorrectRecordDate	Record date is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
UPAY	UnduePayment	Payment is not due.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
FNRC	FundsNotReceived	Funds have not been received.
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.

14.2.2.41 CorporateActionStatementReportingType1Code

Definition: Type of statement reporting on account holdings for corporate action events for example. reporting on multiple accounts or multiple events.

Type: CodeSet

CodeName	Name	Definition
MASE	MultipleAccounts	The reporting is for a single event and for multiple accounts.
SAME	MultipleEvents	The reporting is for multiple events for a single account.

14.2.2.42 CorporateActionStatementType2Code

Definition: Indicates whether the statement contains missing instructions only or all instructions.

Type: CodeSet

CodeName	Name	Definition
MISS	Missing	Statement contains missing instructions.
ALLL	All	Statement contains all instructions.
BALO	BalanceOnlyNotification	Balance information with no instruction details provided.
BALI	BalanceInformation	Balance information with instruction details.

14.2.2.43 CorporateActionTaxableIncomePerShareCalculated1Code

Definition: Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

Type: CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

14.2.2.44 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

14.2.2.45 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

14.2.2.46 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

14.2.2.47 DateType7Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.2.2.48 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

14.2.2.49 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

14.2.2.50 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

14.2.2.51 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

14.2.2.52 DistributionType3Code

Definition: Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

14.2.2.53 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

14.2.2.54 ElectionMovementType2Code

Definition: Specifies the effect on the holdings of electing a Corporate Action option.

Type: CodeSet

CodeName	Name	Definition
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.
SEQD	Sequestered	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.

14.2.2.55 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.

CodeName	Name	Definition
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

14.2.2.56 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

14.2.2.57 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

14.2.2.58 EventFrequency4Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
ADHO	Adhoc	Event takes place as necessary.
MNTH	Monthly	Event takes place every month or once a month.
DAIL	Daily	Event takes place every day.
INDA	IntraDay	Event takes place several times a day.
WEEK	Weekly	Event takes place once a week.

14.2.2.59 EventSequenceType1Code

Definition: Specifies whether the event is an interim or a final event in a series of successive events.

Type: CodeSet

CodeName	Name	Definition
FINL	Final	Final event in a series of successive events.

CodeName	Name	Definition
INTE	Interim	Interim event in a series of successive events.

14.2.2.60 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

14.2.2.61 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

14.2.2.62 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

14.2.2.63 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

14.2.2.64 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

14.2.2.65 FractionDispositionType10Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
EXPI	Expire	Let fractions expire worthless.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

14.2.2.66 FractionDispositionType11Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.

CodeName	Name	Definition
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

14.2.2.67 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

14.2.2.68 FractionDispositionType9Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

CodeName	Name	Definition
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.

CodeName	Name	Definition
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

14.2.2.69 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

14.2.2.70 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.

CodeName	Name	Definition
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.2.2.71 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is

CodeName	Name	Definition
		the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the

CodeName	Name	Definition
		last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is

CodeName	Name	Definition
		higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

14.2.2.72 IntermediateSecurityDistributionType4Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

CodeName	Name	Definition
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

14.2.2.73 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.

CodeName	Name	Definition
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Distribution of bonus rights.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.

14.2.2.74 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

14.2.2.75 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

CodeName	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

14.2.2.76 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

14.2.2.77 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

14.2.2.78 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

14.2.2.79 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

CodeName	Name	Definition
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

14.2.2.80 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

CodeName	Name	Definition
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

14.2.2.81 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

14.2.2.82 NewSecuritiesIssuanceType6Code

Definition: Specifies the type of securities proceeds; whether they are defeased or non-defeased.

Type: CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.

14.2.2.83 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.

14.2.2.84 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

14.2.2.85 OfferType3Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.

14.2.2.86 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

14.2.2.87 OptionFeatures11Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).

14.2.2.88 OptionFeatures12Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

CodeName	Name	Definition
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.

14.2.2.89 OptionFeatures6Code

Definition: Specifies the feature of an option.

Type: CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

14.2.2.90 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

CodeName	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

14.2.2.91 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

14.2.2.92 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

CodeName	Name	Definition
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

14.2.2.93 Payment2Code

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.

14.2.2.94 PendingCancellationReason5Code

Definition: Specifies the reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.

14.2.2.95 PendingReason19Code

Definition: Standard code to specify the reason why the instruction/event has a pending status.

Type: CodeSet

CodeName	Name	Definition
NSEC	NotDelivered	Financial instruments have not been delivered by the issuer.
NPAY	NoPayment	Payment has not been made by issuer.
OTHR	Other	Other. See Narrative.
AUTH	SentToTaxAuthorities	Tax reclaim has been sent to the tax authorities.
VLDA	ValidForTaxAuthorities	For tax reclaim, the event is pending, the tax reclaim is valid for the tax authorities.
MCER	MissingCertification	Awaiting receipt of adequate certification.

14.2.2.96 PendingReason20Code

Definition: Specifies the reason why the instruction has a pending status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
OTHR	Other	Other. See Narrative.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
MCER	MissingCertification	Awaiting receipt of adequate certification.
MONY	InsufficientMoney	Insufficient money in your account.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.
CERT	WrongCertificatesNumbers	Certificate number error.
DQCS	DisagreementOnCashAmount	Unrecognised or invalid instructed cash amount.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.

14.2.2.97 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.

CodeName	Name	Definition
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

14.2.2.98 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

14.2.2.99 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.2.2.100 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

14.2.2.101 PriceValueType9Code

Definition: Specifies a type of price value.

Type: CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.

14.2.2.102 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

14.2.2.103 ProtectInstructionStatus3Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.
COVR	FullyCovered	Protect instruction has been fully covered.
EXPI	Expired	Protect instruction has expired.

14.2.2.104 ProtectInstructionStatus4Code

Definition: Specifies the status of the protect transaction.

Type: CodeSet

CodeName	Name	Definition
OPEN	Open	Protect instruction which has not been fully covered. Will include partial covers.

14.2.2.105 ProtectTransactionType2Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.
COVP	CoverOnBehalfOfAnotherParticipantTransaction	Reorganisation is a cover on behalf of another participant transaction type.
COVR	CoverProtectTransaction	Reorganisation is a cover protect transaction type.

14.2.2.106 ProtectTransactionType3Code

Definition: Specifies the type of protect instruction.

Type: CodeSet

CodeName	Name	Definition
PROT	ProtectTransaction	Reorganisation is a protect transaction type.

14.2.2.107 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

14.2.2.108 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

14.2.2.109 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

14.2.2.110 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

14.2.2.111 RateType10Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.2.2.112 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

14.2.2.113 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.2.2.114 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

14.2.2.115 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

14.2.2.116 RejectionReason45Code

Definition: Specify the reason why the instruction cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction received after the account servicers specified deadline.
ULNK	InvalidReference	Instruction contains an invalid message reference, reference is unknown.
OTHR	Other	Other reason.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	The instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.

14.2.2.117 RejectionReason56Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.

CodeName	Name	Definition
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
ITAX	IncorrectTaxRate	Tax rate provided is incorrect. It falls outside the acceptable values for that investment country.
NTAX	InconsistentTaxRate	Tax rate is not consistent with the documentation in place.
MTAX	MissingTaxRate	Tax rate is missing.
ISOL	InvalidSolicitationDealerFeeUse	Invalid use of solicitation dealer fee indicator.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.

CodeName	Name	Definition
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.

14.2.2.118 RejectionReason57Code

Definition: Specifies the reason why the instruction request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
CERT	IncorrectCertification	Instruction is rejected since the provided certification is incorrect or incomplete.
INVA	InvalidForTaxAuthorities	For tax reclaim, the reclaim is invalid for the tax authorities.
OPTY	InvalidOptionType	Invalid option type.
ULNK	InvalidReference	Linked reference is unknown.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LATE	MarketDeadlineMissed	Received after market deadline.
NMTY	Mismatch	Mismatch between option number and option type.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
CANC	OptionCancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	OptionInactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
OPNM	OptionNumberRejection	Unrecognised option number.
OTHR	Other	Other. See Narrative.

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
REFT	RefusedByTaxAuthorities	Reclaim is refused by the tax authorities.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
EVNM	UnrecognisedIdentification	Unrecognised event number.
DQCS	AmountRejection	Unrecognised or invalid instructed cash amount.
DQCC	CurrencyRejection	Invalid instructed currency.
DQAM	InstructionTypeRejection	Invalid use of instructed amount (QCAS) or of instructed quantity (QINS) qualifiers.
IRDQ	InvalidUseRoundUpQuantity	Instruction is rejected due to invalid use of the additional round up quantity.
DQBV	InvalidBidValue	Bid price / basis points / percentage falls outside of bid range for the event (generally used in Dutch Auctions or Bid Tenders).
DQBI	InvalidBidIncrement	Bid price / basis points / percentage increments rejected due to invalid bid increment for the event (generally used in Dutch Auctions or Bid Tenders).
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
INIR	InstructionIrrevocable	Instruction is irrevocable.
SHAR	ShareholderNumberRejection	Unrecognised, missing or invalid shareholder number.
BSTR	BeforeInstructionPeriod	Instruction is submitted before instruction start date.
CTCT	MissingContact	Contact information is missing.
DUPL	DuplicateInstruction	Instruction is rejected due to duplicate business message identifier.
PROI	MissingProtectIdentification	Protect identification is required for cover protect instructions.
PROT	MissingProtectDate	Protect date is required for cover protect instructions.
PRON	NoProtectPrivilege	No protect privilege for this event.
TRTI	MissingTransactionType	Transaction type is missing or invalid for protect or cover protect instructions.

14.2.2.119 RenounceableStatus1Code

Definition: Specifies the renounceable status.

Type: CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

14.2.2.120 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

CodeName	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

14.2.2.121 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.2.2.122 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

14.2.2.123 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

14.2.2.124 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

CodeName	Name	Definition
SHOR	Short	Position is short, that is, the balance is negative.
LONG	Long	Position is long, that is, the balance is positive.

14.2.2.125 StatementUpdateType1Code

Definition: Specifies the nature of a statement update, for example, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

14.2.2.126 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

14.2.2.127 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- **ValidationByTable**

14.2.2.128 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

14.2.3 Date

14.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

14.2.4 DateTime

14.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

14.2.5 IdentifierSet

14.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

14.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

14.2.5.3 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Type: IdentifierSet

Identification scheme: ANNA; CFIdentifier

Format

pattern [A-Z]{6,6}

14.2.5.4 IBAN2007Identifier

Definition: An identifier used internationally by financial institutions to uniquely identify the account of a customer at a financial institution, as described in the latest edition of the international standard ISO 13616: 2007 - "Banking and related financial services - International Bank Account Number (IBAN)".

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

14.2.5.5 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

14.2.5.6 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

14.2.5.7 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

14.2.6 Indicator

14.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

14.2.7 Quantity

14.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

14.2.7.2 Max3Number

Definition: Number (max 999) of objects represented as an integer.

Type: Quantity

Format

totalDigits	3
fractionDigits	0

Constraints

- **NumberRule**

If Number is negative, then Sign must be present.

14.2.8 Rate

14.2.8.1 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

totalDigits	14
fractionDigits	13
baseValue	1.0

14.2.8.2 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	1.0

14.2.8.3 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

14.2.9 Text**14.2.9.1 Exact3NumericText**

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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14.2.9.2 Exact3UpperCaseAlphaNumericText

Definition: Specifies an upper case alphanumeric string with a length of three characters.

Type: Text

Format

pattern	[A-Z0-9]{3}
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14.2.9.3 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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14.2.9.4 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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14.2.9.5 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

14.2.9.6 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

14.2.9.7 Max15Text

Definition: Specifies a character string with a maximum length of 15 characters.

Type: Text

Format

minLength	1
maxLength	15

14.2.9.8 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

14.2.9.9 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

14.2.9.10 Max210Text

Definition: Specifies a character string with a maximum length of 210 characters.

Type: Text

Format

minLength	1
maxLength	210

14.2.9.11 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

14.2.9.12 Max25Text

Definition: Specifies a character string with a maximum length of 25 characters.

Type: Text

Format

minLength	1
maxLength	25

14.2.9.13 Max30Text

Definition: Specifies a character string with a maximum length of 30 characters.

Type: Text

Format

maxLength	30
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14.2.9.14 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.

Type: Text

Format

minLength	1
maxLength	34

14.2.9.15 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

14.2.9.16 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

14.2.9.17 Max3NumericText

Definition: Specifies a numeric string with a maximum length of 3 digits.

Type: Text

Format

pattern	[0-9]{1,3}
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14.2.9.18 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

14.2.9.19 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

14.2.9.20 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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14.2.9.21 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

14.2.9.22 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

minLength	1
maxLength	8000

14.2.9.23 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", "-" (up to 30 characters).

Type: Text

Format

pattern \+[0-9]{1,3}-[0-9()+-]{1,30}

14.2.10 Time

14.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time