

ISO 20022

General Meeting Maintenance 2020 - 2021

Message Definition Report Part 2

Approved by the Securities SEG on the 25th of January 2021

This document provides details of the Message Definitions for General Meeting Maintenance 2020 - 2021.

February 2021

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1 Message Set Overview

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.001.001.08 MeetingNotificationV08	The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.
seev.002.001.07 MeetingCancellationV07	The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.
seev.003.001.07 MeetingEntitlementNotificationV07	The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

MessageDefinition	Definition
seev.004.001.07 MeetingInstructionV07	The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.
seev.005.001.07 MeetingInstructionCancellationRequestV07	The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.
seev.006.001.07 MeetingInstructionStatusV07	The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message. The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.
seev.007.001.07 MeetingVoteExecutionConfirmationV07	The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.
seev.008.001.07 MeetingResultDisseminationV07	The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

2 **head.001.001.02 BusinessApplicationHeaderV02**

2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. CharSet

Contains the character set of the text-based elements used in the Business Message.

B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	11
	From <Fr>	[1..1]			11
{Or	OrganisationIdentification <OrgId>	[1..1]	±		11
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12
	To <To>	[1..1]			12
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		13
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		13
	BusinessService <BizSvc>	[0..1]	Text		13
	MarketPractice <MktPrctc>	[0..1]			13
	Registry <Regy>	[1..1]	Text		14
	Identification <Id>	[1..1]	Text		14
	CreationDate <CreDt>	[1..1]	DateTime		14
	BusinessProcessingDate <BizPrctgDt>	[0..1]	DateTime		14
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		14
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		15
	Priority <Prty>	[0..1]	CodeSet	C7	15
	Signature <Sgntr>	[0..1]	(External Schema)		16
	Related <Rltd>	[0..*]			16
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	17
	From <Fr>	[1..1]			17
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17
	To <To>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		19
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		19

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BusinessService <BizSvc>	[0..1]	Text		19
	CreationDate <CreDt>	[1..1]	DateTime		19
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		19
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		20
	Priority <Prty>	[0..1]	CodeSet	C7	20
	Signature <Sgntr>	[0..1]	(External Schema)		21

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

This constraint is defined at the MessageDefinition level.

C6 ValidationByTable

C7 ValidationByTable

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 369

Constraints

- **ValidationByTable**

2.4.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		11
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		12

2.4.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		290
	PostalAddress <PstlAdr>	[0..1]	±		290
	Identification <Id>	[0..1]	±		291
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	291
	ContactDetails <CtctDtls>	[0..1]	±		292

2.4.2.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		267
	BranchIdentification <BrnchId>	[0..1]	±		268

2.4.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		12
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		12

2.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		290
	PostalAddress <PstlAdr>	[0..1]	±		290
	Identification <Id>	[0..1]	±		291
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	291
	ContactDetails <CtctDtls>	[0..1]	±		292

2.4.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		267
	BranchIdentification <BrnchId>	[0..1]	±		268

2.4.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 377

2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 377

2.4.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 377

2.4.7 MarketPractice <MktPrctc>

Presence: [0..1]

Definition: Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

MarketPractice <MktPrctc> contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Registry <Regy>	[1..1]	Text		14
	Identification <Id>	[1..1]	Text		14

2.4.7.1 Registry <Regy>

Presence: [1..1]

Definition: Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

Datatype: "Max350Text" on page 377

2.4.7.2 Identification <Id>

Presence: [1..1]

Definition: Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

Datatype: "Max2048Text" on page 376

2.4.8 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Datatype: "ISODateTime" on page 372

2.4.9 BusinessProcessingDate <BizPrcgDt>

Presence: [0..1]

Definition: Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

Datatype: "ISODateTime" on page 372

2.4.10 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 354

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.11 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 374](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.12 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: [C7 "ValidationByTable"](#)

Datatype: ["BusinessMessagePriorityCode" on page 354](#)

Constraints

- **ValidationByTable**

2.4.13 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

2.4.14 Related <Rltd>

Presence: [0..*]

Definition: Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

Related <Rltd> contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CharacterSet <CharSet>	[0..1]	CodeSet	C6	17
	From <Fr>	[1..1]			17
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17
	To <To>	[1..1]			18
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		18
	BusinessMessageIdentifier <BizMsgIdr>	[1..1]	Text		19
	MessageDefinitionIdentifier <MsgDefIdr>	[1..1]	Text		19
	BusinessService <BizSvc>	[0..1]	Text		19
	CreationDate <CreDt>	[1..1]	DateTime		19
	CopyDuplicate <CpyDplct>	[0..1]	CodeSet		19
	PossibleDuplicate <PssblDplct>	[0..1]	Indicator		20
	Priority <Prty>	[0..1]	CodeSet	C7	20
	Signature <Sgntr>	[0..1]	(External Schema)		21

2.4.14.1 CharacterSet <CharSet>

Presence: [0..1]

Definition: Contains the character set of the text-based elements used in the Business Message.

Impacted by: C6 "ValidationByTable"

Datatype: "UnicodeChartsCode" on page 369

Constraints

- **ValidationByTable**

2.4.14.2 From <Fr>

Presence: [1..1]

Definition: The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

From <Fr> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		17
Or}	FinancialInstitutionIdentification <FIId>	[1..1]	±		17

2.4.14.2.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "PartyIdentification135" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		290
	PostalAddress <PstlAdr>	[0..1]	±		290
	Identification <Id>	[0..1]	±		291
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	291
	ContactDetails <CtctDtls>	[0..1]	±		292

2.4.14.2.2 FinancialInstitutionIdentification <FIId>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIld> contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		267
	BranchIdentification <BrnchId>	[0..1]	±		268

2.4.14.3 To <To>

Presence: [1..1]

Definition: The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

To <To> contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		18
Or}	FinancialInstitutionIdentification <FIld>	[1..1]	±		18

2.4.14.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Identification of a person or an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[PartyIdentification135](#)" on page 290 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		290
	PostalAddress <PstlAdr>	[0..1]	±		290
	Identification <Id>	[0..1]	±		291
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	291
	ContactDetails <CtctDtls>	[0..1]	±		292

2.4.14.3.2 FinancialInstitutionIdentification <FIld>

Presence: [1..1]

Definition: Identification of a financial institution.

FinancialInstitutionIdentification <FIId> contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 267 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		267
	BranchIdentification <BrnchId>	[0..1]	±		268

2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

Presence: [1..1]

Definition: Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

Datatype: "Max35Text" on page 377

2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

Presence: [1..1]

Definition: Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

Datatype: "Max35Text" on page 377

2.4.14.6 BusinessService <BizSvc>

Presence: [0..1]

Definition: Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

Datatype: "Max35Text" on page 377

2.4.14.7 CreationDate <CreDt>

Presence: [1..1]

Definition: Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

Datatype: "ISODatetime" on page 372

2.4.14.8 CopyDuplicate <CpyDplct>

Presence: [0..1]

Definition: Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

Datatype: "CopyDuplicate1Code" on page 354

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

2.4.14.9 PossibleDuplicate <PssbIDp1ct>

Presence: [0..1]

Definition: Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.14.10 Priority <Prty>

Presence: [0..1]

Definition: Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

Impacted by: C7 "ValidationByTable"

Datatype: "BusinessMessagePriorityCode" on page 354

Constraints

- **ValidationByTable**

2.4.14.11 Signature <Sgntr>

Presence: [0..1]

Definition: Contains the digital signature of the Business Entity authorised to sign this Business Message.

Type: (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

3 **seev.001.001.08 MeetingNotificationV08**

3.1 **MessageDefinition Functionality**

Scope

The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.

Usage

The MeetingNotification message is used to announce a meeting, for example, it provides information on the participation details and requirements for the meeting, the vote parameters and the resolutions. The MeetingNotification message may also be used to announce an update.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingNotificationV08 MessageDefinition is composed of 13 MessageBuildingBlocks:

A. NotificationGeneralInformation

General information about the event notification type and status.

B. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

C. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

D. Meeting

Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

E. MeetingDetails

Dates and details of the shareholders meeting.

F. Issuer

Institution that is the issuer of the security to which the meeting applies.

G. IssuerAgent

Agent of the issuer.

H. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

I. Resolution

Detailed information of a resolution proposed to the vote.

J. Vote

Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

K. PowerOfAttorneyRequirements

Information about the use of power of attorney.

L. AdditionalInformation

Additional narrative information about the meeting event.

M. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <MtgNtfctn></i>	[1..1]		C3, C9, C15, C16, C19	
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]			31
	NotificationType <NtfctnTp>	[1..1]	CodeSet		31
	NotificationStatus <NtfctnSts>	[1..1]			31
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		32
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		32
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		32
	NotificationUpdate <NtfctnUpd>	[0..1]			32
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		33
	ReconfirmInstructions <Rcnfrmlnstrs>	[0..1]	Indicator		33
	EventsLinkage <EvtsLkg>	[0..*]			33
	EventIdentification <EvtId>	[1..1]			33
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		34
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		34
	LinkageType <LkgTp>	[0..1]	CodeSet		34
	Meeting <Mtg>	[1..1]			34
	MeetingIdentification <MtgId>	[1..1]	Text		36
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		36
	Type <Tp>	[1..1]	CodeSet		36
	Classification <Clssfctn>	[0..1]	±		37
	AnnouncementDate <AnncmntDt>	[0..1]	±		37
	OneManOneVoteIndicator <OneManOneVoteInd>	[0..1]	Indicator		38
	Participation <Prtcptn>	[0..*]			38
	ParticipationMethod <PrtcptnMtd>	[1..1]	±		38
	IssuerDeadlineForVoting <IssrDdlNForVtng>	[1..1]	±		38
	Attendance <AttnDnc>	[0..1]			39
	AdmissionConditions <AdmssnConds>	[0..7]	±		39
	ConfirmationInformation <ConfInf>	[0..1]	Text		39

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmationDeadline <ConfDdln>	[0..1]	±		39
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		39
	AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>	[0..*]	Text		40
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			40
	AdditionalRight <AddtlRght>	[1..1]	±		40
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		41
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		41
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		41
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			41
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		42
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		42
	TotalNumberOfSecuritiesOutstanding <TtlNbOfScitiesOutsdng>	[0..1]	±		42
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		42
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		42
	ProxyChoice <PrxyChc>	[0..1]			43
{Or	Proxy <Prxy>	[1..1]			43
	RegistrationMethod <RegnMtd>	[0..1]	Text		44
	Deadline <Ddln>	[0..1]	±		44
	MarketDeadline <MktDdln>	[0..1]	±		44
	AuthorisedProxy <AuthrsdPrxy>	[0..10]			45
	ProxyType <PrxyTp>	[1..1]	CodeSet		45
	PersonDetails <PrsnDtls>	[0..1]			45
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmpIngPty>	[0..1]	±		47
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		48
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			48
	ContactPerson <CtctPrsn>	[0..1]	±		48

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EmployingParty <EmplngPty>	[0..1]	±		49
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		49
	ResultPublicationDate <RsItPblctnDt>	[0..1]	±		49
	SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>	[0..1]	DateTime		50
	EntitlementFixingDate <EntitlmntFxdDt>	[0..1]	±		50
	RegistrationSecuritiesDeadline <RegnSctiesDdln>	[0..1]	±		50
	RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>	[0..1]	±		50
	MeetingDetails <MtgDtls>	[1..5]		C6	51
	DateAndTime <DtAndTm>	[1..1]	±		51
	DateStatus <DtSts>	[0..1]	CodeSet		52
	QuorumRequired <QrmReqrd>	[0..1]	Indicator		52
	Location <Lctn>	[1..5]	±		52
	QuorumQuantity <QrmQty>	[0..1]			52
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		53
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		53
	URLAddress <URLAdr>	[0..1]	Text		53
	Issuer <Issr>	[1..1]	±		53
	IssuerAgent <IssrAgt>	[0..10]	±		53
	Security <Scty>	[1..200]			54
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C14	54
	Position <Pos>	[0..1000]		C10, C17	55
	AccountIdentification <AcctId>	[1..1]	Text		56
	AccountOwner <AcctOwnr>	[0..1]			56
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		57
	HoldingBalance <HldgBal>	[0..15]			58
	Balance <Bal>	[1..1]	±		59
	BalanceType <BalTp>	[1..1]	CodeSet		59
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		60
	Date <Dt>	[0..1]	Date		60

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RightsHolder <RghtsHldr>	[0..250]			61
{Or	LegalPerson <LglPrsn>	[1..1]	±		61
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		61
	Resolution <Rsln>	[0..1000]			62
	IssuerLabel <IssrLbl>	[1..1]	Text		63
	Description <Desc>	[0..*]			64
	Language <Lang>	[1..1]	CodeSet		64
	Title <Titl>	[0..1]	Text		64
	Description <Desc>	[0..1]	Text		64
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		64
	Type <Tp>	[0..1]	CodeSet		64
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		65
	VoteType <VoteTp>	[0..1]	CodeSet		65
	Status <Sts>	[1..1]	CodeSet		65
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		65
	RightToWithdrawIndicator <RghtToWdrwInd>	[0..1]	Indicator		65
	VoteInstructionType <VoteInstrTp>	[0..*]		C2	66
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		66
	AdditionalInformation <AddtlInf>	[0..1]	Text		66
	ManagementRecommendation <MgmtRcmmndtn>	[0..1]	CodeSet		67
	NotifyingPartyRecommendation <NtifngPtyRcmmndtn>	[0..1]	CodeSet		67
	Entitlement <Entitlmnt>	[0..1]			68
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		68
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		68
	VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>	[0..1]			68
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		69
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		69
	URLAddress <URLAdr>	[0..1]	Text		69
	Vote <Vote>	[0..1]			69
	SecuritiesQuantityRequiredToVote <ScitiesQtyReqrdToVote>	[0..1]	±		70
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		71

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SplitVoteAllowed <SplVoteAllwd>	[1..1]	Indicator		71
	VoteDeadline <VoteDdlIn>	[0..1]	±		71
	VoteMarketDeadline <VoteMktDdlIn>	[0..1]	±		71
	VoteMethods <VoteMthds>	[0..1]			72
	VoteThroughNetwork <VoteThrhNtwk>	[0..5]	IdentifierSet	C4	72
	VoteByMail <VoteByMail>	[0..5]	±		72
	ElectronicVote <ElctrcVote>	[0..5]	±		73
	VoteByTelephone <VoteByTel>	[0..5]	Text		73
	VotingBallotElectronicAddress <VtngBlItElctrcAdr>	[0..1]	±		73
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		74
	RevocabilityDeadline <RvcbltyDdlIn>	[0..1]	±		74
	RevocabilityMarketDeadline <RvcbltyMktDdlIn>	[0..1]	±		74
	BeneficialOwnerDisclosure <BnfclOwnrDsclsr>	[0..1]	Indicator		75
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]	±		75
	IncentivePremium <IncntivPrm>	[0..1]	±		75
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdlIn>	[0..1]	±		76
	VoteWithPremiumDeadline <VoteWthPrmDdlIn>	[0..1]	±		76
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdlIn>	[0..1]	±		76
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		77
	PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>	[0..1]	Indicator		77
	PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>	[0..1]		C13	77
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		78
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		78
	DocumentSubmissionDeadline <DocSubmissnDdlIn>	[0..1]	±		78
	AdditionalInformation <AddtlInf>	[0..1]			78
	Disclaimer <Dsclmr>	[0..*]			79
	Language <Lang>	[1..1]	CodeSet		79
	AdditionalInformation <AddtlInf>	[1..1]	Text		79
	SupplementaryData <SplmtryData>	[0..*]	±	C18	79

3.3 Constraints

C1 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2 **AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

C3 **AgentRole1Rule**

IssuerAgent/Role PRIN (PrincipalAgent) can only be present in a single occurrence of IssuerAgent.

This constraint is defined at the MessageDefinition level.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 **DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

C7 **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 **DescriptionUsageRule**

Description must be used alone as the last resort.

C9 **EntitlementDate1Guideline**

If both Meeting/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

This constraint is defined at the MessageDefinition level.

C10 **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C11 **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

C14 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C15 PreviousNotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present.

On Condition

/NotificationGeneralInformation/NotificationType is equal to value 'Replacement'

Following Must be True

/NotificationUpdate/PreviousNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C16 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

This constraint is defined at the MessageDefinition level.

C17 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C18 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C19 VoteInstructionType1Guideline

If all of the vote instruction types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

This constraint is defined at the MessageDefinition level.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type and status.

NotificationGeneralInformation <NtfctnGnlInf> contains the following **NotificationGeneralInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	CodeSet		31
	NotificationStatus <NtfctnSts>	[1..1]			31
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		32
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		32
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		32

3.4.1.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType3Code" on page 360

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

3.4.1.2 NotificationStatus <NtfctnSts>

Presence: [1..1]

Definition: Status of the details of the event.

NotificationStatus <NtfctnSts> contains the following **EventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		32
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		32

3.4.1.2.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Specifies whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 356

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

3.4.1.2.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Specifies the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 356

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

3.4.1.3 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.2 NotificationUpdate <NtfctnUpd>

Presence: [0..1]

Definition: Information specific to notification amendment as for instance the identification to a previous meeting notification.

NotificationUpdate <NtfctnUpd> contains the following **NotificationUpdate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		33
	ReconfirmInstructions <RcnfrmlInstrs>	[0..1]	Indicator		33

3.4.2.1 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [1..1]

Definition: Identification of a previously sent meeting notification message.

Datatype: "Max35Text" on page 377

3.4.2.2 ReconfirmInstructions <RcnfrmlInstrs>

Presence: [0..1]

Definition: Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

EventsLinkage <EvtsLkg> contains the following **MeetingEventReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			33
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtglId>	[1..1]	Text		34
Or}	LinkedMeetingIdentification <LkdMtglId>	[1..1]	Text		34
	LinkageType <LkgTp>	[0..1]	CodeSet		34

3.4.3.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked meeting event.

EventIdentification <EvtId> contains one of the following **MeetingEventReference1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		34
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		34

3.4.3.1.1 LinkedIssuerMeetingIdentification <LkdIssrMtgId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

Datatype: "Max35Text" on page 377

3.4.3.1.2 LinkedMeetingIdentification <LkdMtgId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related meeting event.

Datatype: "Max35Text" on page 377

3.4.3.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when the event is to be processed relative to the linked event.

Datatype: "ProcessingPosition3Code" on page 363

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

3.4.4 Meeting <Mtg>

Presence: [1..1]

Definition: Information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

Meeting <Mtg> contains the following **MeetingNotice6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtglId>	[1..1]	Text		36
	IssuerMeetingIdentification <IssrMtglId>	[0..1]	Text		36
	Type <Tp>	[1..1]	CodeSet		36
	Classification <Clssfctn>	[0..1]	±		37
	AnnouncementDate <AnncmntDt>	[0..1]	±		37
	OneManOneVoteIndicator <OneManOneVoteInd>	[0..1]	Indicator		38
	Participation <Prtcpn>	[0..*]			38
	ParticipationMethod <PrtcpnMtd>	[1..1]	±		38
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]	±		38
	Attendance <Attdnc>	[0..1]			39
	AdmissionConditions <AdmssnConds>	[0..7]	±		39
	ConfirmationInformation <ConfInf>	[0..1]	Text		39
	ConfirmationDeadline <ConfDdln>	[0..1]	±		39
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		39
	AdditionalDocumentationURLAddress <AddtlDcmntnURLAdr>	[0..*]	Text		40
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			40
	AdditionalRight <AddtlRght>	[1..1]	±		40
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		41
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		41
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		41
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			41
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		42
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		42
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>	[0..1]	±		42
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		42
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		42
	ProxyChoice <PrxyChc>	[0..1]			43
{Or	Proxy <Prxy>	[1..1]			43
	RegistrationMethod <RegnMtd>	[0..1]	Text		44

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Deadline <Ddln>	[0..1]	±		44
	MarketDeadline <MktDdln>	[0..1]	±		44
	AuthorisedProxy <AuthrsdPrxy>	[0..10]			45
	ProxyType <PrxyTp>	[1..1]	CodeSet		45
	PersonDetails <PrsnDtls>	[0..1]			45
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmplngPty>	[0..1]	±		47
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		48
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			48
	ContactPerson <CtctPrsn>	[0..1]	±		48
	EmployingParty <EmplngPty>	[0..1]	±		49
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		49
	ResultPublicationDate <RsltPblctnDt>	[0..1]	±		49
	SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>	[0..1]	DateTime		50
	EntitlementFixingDate <EntitlmtntFxdDt>	[0..1]	±		50
	RegistrationSecuritiesDeadline <RegnSctiesDdln>	[0..1]	±		50
	RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>	[0..1]	±		50

3.4.4.1 MeetingIdentification <Mtgid>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

Datatype: "Max35Text" on page 377

3.4.4.2 IssuerMeetingIdentification <IssrMtgid>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

3.4.4.3 Type <Tp>

Presence: [1..1]

Definition: Type of security holders meeting.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

3.4.4.4 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

3.4.4.5 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Official meeting announcement date.

AnnouncementDate <AnncmntDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		260
Or}	DateTime <DtTm>	[1..1]	DateTime		260

3.4.4.6 OneManOneVoteIndicator <OneManOneVoteInd>

Presence: [0..1]

Definition: Indicates whether the meeting vote is held under the "one-man-one-vote" principle, also known as "per capita vote" whereby the shareholder attending the meeting has one vote only, regardless of the holding positions.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.4.7 Participation <Prtcptn>

Presence: [0..*]

Definition: Method of voting participation to the general meeting and related voting deadline per method of participation.

Participation <Prtcptn> contains the following **ParticipationMethod1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcptnMtd>	[1..1]	±		38
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]	±		38

3.4.4.7.1 ParticipationMethod <PrtcptnMtd>

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcptnMtd> contains one of the following elements (see "ParticipationMethod1Choice" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		285

3.4.4.7.2 IssuerDeadlineForVoting <IssrDdlnForVtng>

Presence: [1..1]

Definition: Deadline for voting on agenda resolutions at the general meeting.

IssuerDeadlineForVoting <IssrDdlnForVtng> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.8 Attendance <AttnDnc>

Presence: [0..1]

Definition: Information and conditions for physical attendance at the general meeting.

Attendance <AttnDnc> contains the following **Attendance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdmissionConditions <AdmssnConds>	[0..7]	±		39
	ConfirmationInformation <ConfInf>	[0..1]	Text		39
	ConfirmationDeadline <ConfDdln>	[0..1]	±		39
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]	±		39

3.4.4.8.1 AdmissionConditions <AdmssnConds>

Presence: [0..7]

Definition: Conditions for physical admittance to the general meeting.

AdmissionConditions <AdmssnConds> contains the following elements (see "AttendanceAdmissionConditions2" on page 279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		279
	AdditionalInformation <AddtlInf>	[0..1]	Text		280

3.4.4.8.2 ConfirmationInformation <ConfInf>

Presence: [0..1]

Definition: Specifies how to order the attendance card or to give notice of attendance.

Datatype: "Max350Text" on page 377

3.4.4.8.3 ConfirmationDeadline <ConfDdln>

Presence: [0..1]

Definition: Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

ConfirmationDeadline <ConfDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.8.4 ConfirmationMarketDeadline <ConfMktDdln>

Presence: [0..1]

Definition: Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

ConfirmationMarketDeadline <ConfMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.9 AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>

Presence: [0..*]

Definition: Address to use over the www (HTTP) service where additional information on the meeting may be found.

Datatype: "Max2048Text" on page 376

3.4.4.10 AdditionalProcedureDetails <AddtlPrcdrDtls>

Presence: [0..5]

Definition: Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

AdditionalProcedureDetails <AddtlPrcdrDtls> contains the following **AdditionalRights3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalRight <AddtlRght>	[1..1]	±		40
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		41
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]	±		41
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]	±		41
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			41
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		42
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		42

3.4.4.10.1 AdditionalRight <AddtlRght>

Presence: [1..1]

Definition: Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

AdditionalRight <AddtlRght> contains one of the following elements (see "AdditionalRightCode1Choice" on page 274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		274
Or}	Proprietary <Prtry>	[1..1]	±		275

3.4.4.10.2 AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

Datatype: "Max2048Text" on page 376

3.4.4.10.3 AdditionalRightDeadline <AddtlRghtDdln>

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

AdditionalRightDeadline <AddtlRghtDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.10.4 AdditionalRightMarketDeadline <AddtlRghtMktDdln>

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.

AdditionalRightMarketDeadline <AddtlRghtMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.10.5 AdditionalRightThreshold <AddtlRghtThrshld>

Presence: [0..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

AdditionalRightThreshold <AddtlRghtThrshld> contains one of the following **AdditionalRightThreshold1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		42
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		42

3.4.4.10.5.1 AdditionalRightThreshold <AddtlRghtThrshld>

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.

Datatype: "Max35Text" on page 377

3.4.4.10.5.2 AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>

Presence: [1..1]

Definition: Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.

Datatype: "PercentageRate" on page 374

3.4.4.11 TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as an amount and a currency.

TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

3.4.4.12 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 374

3.4.4.13 ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>

Presence: [0..1]

Definition: Address where the information on the proxy should be sent.

ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

3.4.4.14 ProxyChoice <PrxyChc>

Presence: [0..1]

Definition: Specifies the proxy or whether a proxy is not allowed.

ProxyChoice <PrxyChc> contains one of the following **Proxy4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Proxy <Prxy>	[1..1]			43
	RegistrationMethod <RegnMtd>	[0..1]	Text		44
	Deadline <Ddln>	[0..1]	±		44
	MarketDeadline <MktDdln>	[0..1]	±		44
	AuthorisedProxy <AuthrsdPrxy>	[0..10]			45
	ProxyType <PrxyTp>	[1..1]	CodeSet		45
	PersonDetails <PrsnDtls>	[0..1]			45
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmplngPty>	[0..1]	±		47
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		48

3.4.4.14.1 Proxy <Prxy>

Presence: [1..1]

Definition: Proxy assignment and registration information.

Proxy <Prxy> contains the following **ProxyAppointmentInformation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationMethod <RegnMtd>	[0..1]	Text		44
	Deadline <Ddln>	[0..1]	±		44
	MarketDeadline <MktDdln>	[0..1]	±		44
	AuthorisedProxy <AuthrsdPrxy>	[0..10]			45
	ProxyType <PrxyTp>	[1..1]	CodeSet		45
	PersonDetails <PrsnDtls>	[0..1]			45
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmplngPty>	[0..1]	±		47

3.4.4.14.1.1 RegistrationMethod <RegnMtd>

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: "Max350Text" on page 377

3.4.4.14.1.2 Deadline <Ddln>

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the intermediary.

Deadline <Ddln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.14.1.3 MarketDeadline <MktDdln>

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the issuer.

MarketDeadline <MktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.14.1.4 AuthorisedProxy <AuthrsdPrxy>*Presence:* [0..10]*Definition:* Proxy person that is authorised by the issuer.**AuthorisedProxy <AuthrsdPrxy>** contains the following **Proxy9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		45
	PersonDetails <PrsnDtls>	[0..1]			45
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmplngPty>	[0..1]	±		47

3.4.4.14.1.4.1 ProxyType <PrxyTp>*Presence:* [1..1]*Definition:* Type of proxy.*Datatype:* "ProxyType2Code" on page 363

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

3.4.4.14.1.4.2 PersonDetails <PrsnDtls>*Presence:* [0..1]*Definition:* Person, other than the chairman of the meeting, assigned by the security holder as the proxy.**PersonDetails <PrsnDtls>** contains the following **IndividualPerson43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			45
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46
	EmployingParty <EmplngPty>	[0..1]	±		47

3.4.4.14.1.4.2.1 PreassignedProxy <PrssgndPrxy>*Presence:* [0..1]*Definition:* Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		46
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		46

3.4.4.14.1.4.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification221](#)" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

3.4.4.14.1.4.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

3.4.4.14.1.4.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

3.4.4.14.2 ProxyNotAllowed <PrxyNotAllwd>

Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "ProxyNotAllowed1Code" on page 363

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

3.4.4.15 ContactPersonDetails <CtctPrsnDtls>

Presence: [0..12]

Definition: Contact person at the party organising the meeting, at the issuer or at an intermediary.

ContactPersonDetails <CtctPrsnDtls> contains the following **MeetingContactPerson3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[0..1]	±		48
	EmployingParty <EmplngPty>	[0..1]	±		49
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		49

3.4.4.15.1 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification1](#)" on page 321 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		322
	NamePrefix <NmPrfx>	[0..1]	CodeSet		322
	GivenName <GvnNm>	[0..1]	Text		322
	Role <Role>	[0..1]	Text		322
	PhoneNumber <PhneNb>	[0..1]	Text		322
	FaxNumber <FaxNb>	[0..1]	Text		323
	EmailAddress <EmailAdr>	[0..1]	Text		323

3.4.4.15.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

3.4.4.15.3 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Datatype: "[MICIdentifier](#)" on page 374

3.4.4.16 ResultPublicationDate <RsItPblctnDt>

Presence: [0..1]

Definition: Date on which the company publishes the results of its meeting.

ResultPublicationDate <RsltPblctnDt> contains one of the following elements (see "DateFormat3Choice" on page 257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateCode <DtCd>	[1..1]	CodeSet		258

3.4.4.17 SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>

Presence: [0..1]

Definition: Date by which the blocking period for the securities should end.

Datatype: "ISODatetime" on page 372

3.4.4.18 EntitlementFixingDate <EntitlmntFxdDt>

Presence: [0..1]

Definition: Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

EntitlementFixingDate <EntitlmntFxdDt> contains the following elements (see "DateFormat1" on page 258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		259
	DateMode <DtMd>	[0..1]	CodeSet		259

3.4.4.19 RegistrationSecuritiesDeadline <RegnSctiesDdln>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is specified by an intermediary.

RegistrationSecuritiesDeadline <RegnSctiesDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.4.20 RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is set by the issuer.

RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.5 MeetingDetails <MtgDtls>

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

Impacted by: C6 "DateStatus1Rule"

MeetingDetails <MtgDtls> contains the following **Meeting6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndTime <DtAndTm>	[1..1]	±		51
	DateStatus <DtSts>	[0..1]	CodeSet		52
	QuorumRequired <QrmReqrd>	[0..1]	Indicator		52
	Location <Lctn>	[1..5]	±		52
	QuorumQuantity <QrmQty>	[0..1]			52
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		53
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		53
	URLAddress <URLAdr>	[0..1]	Text		53

Constraints

- **DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknown), then DateStatus must not be present.

On Condition

/DateAndTime/DateCode is equal to value 'Unknown'

Following Must be True

/DateStatus Must be absent

3.4.5.1 DateAndTime <DtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

DateAndTime <DtAndTm> contains one of the following elements (see ["DateFormat58Choice"](#) on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.5.2 DateStatus <DtSts>

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: ["MeetingDateStatus2Code"](#) on page 358

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

3.4.5.3 QuorumRequired <QrmReqrd>

Presence: [0..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.4 Location <Lctn>

Presence: [1..5]

Definition: Location at which the meeting will take place.

Location <Lctn> contains one of the following elements (see ["LocationFormat1Choice"](#) on page 278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		278
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		279

3.4.5.5 QuorumQuantity <QrmQty>

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

QuorumQuantity <QrmQty> contains one of the following **QuorumQuantity1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		53
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		53

3.4.5.5.1 QuorumQuantity <QrmQty>

Presence: [1..1]

Definition: Minimum quantity of securities required to hold a meeting.

Datatype: "Max35Text" on page 377

3.4.5.5.2 QuorumQuantityPercentage <QrmQtyPctg>

Presence: [1..1]

Definition: Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

Datatype: "PercentageRate" on page 374

3.4.5.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 376

3.4.6 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains the following elements (see "IssuerInformation3" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		284
	URLAddress <URLAdr>	[0..1]	Text		284

3.4.7 IssuerAgent <IssrAgt>

Presence: [0..10]

Definition: Agent of the issuer.

IssuerAgent <IssrAgt> contains the following elements (see "IssuerAgent3" on page 309 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		309
	Role <Role>	[0..1]	CodeSet		310

3.4.8 Security <Scty>

Presence: [1..200]

Definition: Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

Security <Scty> contains the following **SecurityPosition12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C14	54
	Position <Pos>	[0..1000]		C10, C17	55
	AccountIdentification <AcctId>	[1..1]	Text		56
	AccountOwner <AcctOwnr>	[0..1]			56
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		57
	HoldingBalance <HldgBal>	[0..15]			58
	Balance <Bal>	[1..1]	±		59
	BalanceType <BalTp>	[1..1]	CodeSet		59
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		60
	Date <Dt>	[0..1]	Date		60
	RightsHolder <RghtsHldr>	[0..250]			61
{Or	LegalPerson <LglPrsn>	[1..1]	±		61
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		61

3.4.8.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C14 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrlId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.8.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C10 "HoldingBalanceTypeRule", C17 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		56
	AccountOwner <AcctOwnr>	[0..1]			56
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		57
	HoldingBalance <HldgBal>	[0..15]			58
	Balance <Bal>	[1..1]	±		59
	BalanceType <BalTp>	[1..1]	CodeSet		59
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		60
	Date <Dt>	[0..1]	Date		60
	RightsHolder <RghtsHldr>	[0..250]			61
{Or	LegalPerson <LglPrsn>	[1..1]	±		61
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		61

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

3.4.8.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 377

3.4.8.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		57
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		57

3.4.8.2.2.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

3.4.8.2.2.2 NaturalPerson <NtrlPrsn>*Presence:* [1..*]*Definition:* Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

3.4.8.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		59
	BalanceType <BalTp>	[1..1]	CodeSet		59
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		60
	Date <Dt>	[0..1]	Date		60

3.4.8.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

3.4.8.2.3.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

3.4.8.2.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

3.4.8.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 372

3.4.8.2.4 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		61
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		61

3.4.8.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

3.4.8.2.4.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

3.4.9 Resolution <Rsltn>

Presence: [0..1000]

Definition: Detailed information of a resolution proposed to the vote.

Resolution <Rsltn> contains the following **Resolution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		63
	Description <Desc>	[0..*]			64
	Language <Lang>	[1..1]	CodeSet		64
	Title <Titl>	[0..1]	Text		64
	Description <Desc>	[0..1]	Text		64
	ListingGroupResolutionLabel <ListgGrpRsltnLbl>	[0..1]	Text		64
	Type <Tp>	[0..1]	CodeSet		64
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		65
	VoteType <VoteTp>	[0..1]	CodeSet		65
	Status <Sts>	[1..1]	CodeSet		65
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		65
	RightToWithdrawIndicator <RghtToWdrwInd>	[0..1]	Indicator		65
	VoteInstructionType <VoteInstrTp>	[0..*]		C2	66
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		66
	AdditionalInformation <AddtlInf>	[0..1]	Text		66
	ManagementRecommendation <MgmtRcmmdtn>	[0..1]	CodeSet		67
	NotifyingPartyRecommendation <NtifngPtyRcmmdtn>	[0..1]	CodeSet		67
	Entitlement <Entitlmnt>	[0..1]			68
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		68
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		68
	VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>	[0..1]			68
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		69
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		69
	URLAddress <URLAdr>	[0..1]	Text		69

3.4.9.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 377

3.4.9.2 Description <Desc>

Presence: [0..*]

Definition: Language, title and textual description of an agenda resolution.

Description <Desc> contains the following **ItemDescription1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		64
	Title <Titl>	[0..1]	Text		64
	Description <Desc>	[0..1]	Text		64

3.4.9.2.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide a description of the item and specified in the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 358

3.4.9.2.2 Title <Titl>

Presence: [0..1]

Definition: Abbreviated description of the item.

Datatype: "Max350Text" on page 377

3.4.9.2.3 Description <Desc>

Presence: [0..1]

Definition: Free textual description of the item in the specified language.

Datatype: "Max1025Text" on page 375

3.4.9.3 ListingGroupResolutionLabel <ListGrpRsItNLbl>

Presence: [0..1]

Definition: Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.

Datatype: "Max35Text" on page 377

3.4.9.4 Type <Tp>

Presence: [0..1]

Definition: Type of resolution.

Datatype: "ResolutionType2Code" on page 366

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.

CodeName	Name	Definition
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

3.4.9.5 ForInformationOnly <ForInfOnly>

Presence: [1..1]

Definition: Indicates whether the resolution is listed for information or for voting.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 374](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.9.6 VoteType <VoteTp>

Presence: [0..1]

Definition: Impact of vote results on an agenda resolution.

Datatype: ["VoteType1Code" on page 371](#)

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

3.4.9.7 Status <Sts>

Presence: [1..1]

Definition: Specifies whether the resolution is active or withdrawn.

Datatype: ["ResolutionStatus1Code" on page 365](#)

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

3.4.9.8 SubmittedBySecurityHolder <SubmittBySctyHldr>

Presence: [0..1]

Definition: Indicates whether the resolution has been submitted by the security holder.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 374](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.9.9 RightToWithdrawIndicator <RghtToWdrwInd>

Presence: [0..1]

Definition: Indicates whether a client who has not voted in favour of the resolutions which is finally approved, has the right to withdraw from a related corporate action event.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.9.10 VoteInstructionType <VoteInstrTp>

Presence: [0..*]

Definition: Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

Impacted by: [C2 "AdditionalInformationRule"](#)

VoteInstructionType <VoteInstrTp> contains the following **VoteInstructionType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]	±		66
	AdditionalInformation <AddtlInf>	[0..1]	Text		66

Constraints

- **AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True

/VoteInstructionTypeCode/Proprietary/Identification Must be present

3.4.9.10.1 VoteInstructionTypeCode <VoteInstrTpCd>

Presence: [1..1]

Definition: Vote option allowed at the resolution level.

VoteInstructionTypeCode <VoteInstrTpCd> contains one of the following elements (see ["VoteInstructionType1Choice"](#) on page 281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

3.4.9.10.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the vote instruction type code when expressed as a proprietary code.

Datatype: ["Max350Text"](#) on page 377

3.4.9.11 ManagementRecommendation <MgmtRcmndtn>*Presence:* [0..1]*Definition:* Specifies how the management of the issuing company wishes the security holders to vote.*Datatype:* "VoteInstruction5Code" on page 369

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

3.4.9.12 NotifyingPartyRecommendation <NtifngPtyRcmndtn>*Presence:* [0..1]*Definition:* Specifies how the notifying party recommends that the security holders vote.*Datatype:* "VoteInstruction5Code" on page 369

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a

CodeName	Name	Definition
		strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

3.4.9.13 Entitlement <Entitlmnt>

Presence: [0..1]

Definition: Number of votes assigned per resolution to one security.

Entitlement <Entitlmnt> contains one of the following **Entitlement1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		68
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		68

3.4.9.13.1 EntitlementRatio <EntitlmntRatio>

Presence: [1..1]

Definition: Number of votes assigned to one security.

Datatype: "DecimalNumber" on page 374

3.4.9.13.2 EntitlementDescription <EntitlmntDesc>

Presence: [1..1]

Definition: Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

Datatype: "Max35Text" on page 377

3.4.9.14 VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>

Presence: [0..1]

Definition: Voting rights threshold required in percentage or in quantity to have the resolution approved.

VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl> contains one of the following **NumberOrPercentage1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		69
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		69

3.4.9.14.1 ThresholdPercentage <ThrshldPctg>

Presence: [1..1]

Definition: Threshold provided as a percentage rate.

Datatype: "PercentageRate" on page 374

3.4.9.14.2 ThresholdNumber <ThrshldNb>

Presence: [1..1]

Definition: Threshold provided as a decimal number.

Datatype: "Number" on page 374

3.4.9.15 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

Datatype: "Max2048Text" on page 376

3.4.10 Vote <Vote>

Presence: [0..1]

Definition: Conditions for voting, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

Vote <Vote> contains the following **VoteParameters6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>	[0..1]	±		70
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		71
	SplitVoteAllowed <SpltVoteAllwd>	[1..1]	Indicator		71
	VoteDeadline <VoteDdln>	[0..1]	±		71
	VoteMarketDeadline <VoteMktDdln>	[0..1]	±		71
	VoteMethods <VoteMthds>	[0..1]			72
	VoteThroughNetwork <VoteThrhNtwk>	[0..5]	IdentifierSet	C4	72
	VoteByMail <VoteByMail>	[0..5]	±		72
	ElectronicVote <ElctrncVote>	[0..5]	±		73
	VoteByTelephone <VoteByTel>	[0..5]	Text		73
	VotingBallotElectronicAddress <VtngBlItElctrncAdr>	[0..1]	±		73
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		74
	RevocabilityDeadline <RvcbltyDdln>	[0..1]	±		74
	RevocabilityMarketDeadline <RvcbltyMktDdln>	[0..1]	±		74
	BeneficialOwnerDisclosure <BnfclOwnrDsclsr>	[0..1]	Indicator		75
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]	±		75
	IncentivePremium <IncntivPrm>	[0..1]	±		75
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>	[0..1]	±		76
	VoteWithPremiumDeadline <VoteWthPrmDdln>	[0..1]	±		76
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>	[0..1]	±		76
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		77
	PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>	[0..1]	Indicator		77

3.4.10.1 SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>

Presence: [0..1]

Definition: Number of holdings required for a vote.

SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

3.4.10.2 PartialVoteAllowed <PrtlVoteAllwd>

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.3 SplitVoteAllowed <SplitVoteAllwd>

Presence: [1..1]

Definition: Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.4 VoteDeadline <VoteDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary.

VoteDeadline <VoteDdln> contains one of the following elements (see ["DateFormat58Choice"](#) on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.5 VoteMarketDeadline <VoteMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer.

VoteMarketDeadline <VoteMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.6 VoteMethods <VoteMthds>

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

VoteMethods <VoteMthds> contains the following **VoteMethods3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteThroughNetwork <VoteThrghNtwk>	[0..5]	IdentifierSet	C4	72
	VoteByMail <VoteByMail>	[0..5]	±		72
	ElectronicVote <ElctrncVote>	[0..5]	±		73
	VoteByTelephone <VoteByTel>	[0..5]	Text		73

3.4.10.6.1 VoteThroughNetwork <VoteThrghNtwk>

Presence: [0..5]

Definition: Network address through which a voting party can cast its vote via a structured message.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 372

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.10.6.2 VoteByMail <VoteByMail>

Presence: [0..5]

Definition: Address where the voting ballot can be sent.

VoteByMail <VoteByMail> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

3.4.10.6.3 ElectronicVote <ElctrncVote>

Presence: [0..5]

Definition: Electronic address, e-mail or web site, where a security holder can vote.

ElectronicVote <ElctrncVote> contains the following elements (see "CommunicationAddress11" on page 280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		281
	URLAddress <URLAdr>	[0..1]	Text		281

3.4.10.6.4 VoteByTelephone <VoteByTel>

Presence: [0..5]

Definition: Telephone number providing access to an automated voting system.

Datatype: "Max35Text" on page 377

3.4.10.7 VotingBallotElectronicAddress <VtngBlltElctrncAdr>

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

VotingBallotElectronicAddress <VtngBlltElctrncAdr> contains the following elements (see "CommunicationAddress11" on page 280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		281
	URLAddress <URLAdr>	[0..1]	Text		281

3.4.10.8 VotingBallotRequestAddress <VtngBlltReqAdr>

Presence: [0..1]

Definition: Postal address where the voting ballot can be requested.

VotingBallotRequestAddress <VtngBlltReqAdr> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

3.4.10.9 RevocabilityDeadline <RvcbltyDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

RevocabilityDeadline <RvcbltyDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.10 RevocabilityMarketDeadline <RvcbltyMktDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

RevocabilityMarketDeadline <RvcbltyMktDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.11 BeneficialOwnerDisclosure <BnfclOwnrDsclsr>

Presence: [0..1]

Definition: Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.10.12 EarlyIncentivePremium <EarlyIncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

EarlyIncentivePremium <EarlyIncntivPrm> contains the following elements (see "IncentivePremium5" on page 276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		276
	Amount <Amt>	[1..1]	±		276
	Type <Tp>	[1..1]			276
{Or	PerSecurity <PerScty>	[1..1]	Quantity		277
Or	PerVote <PerVote>	[1..*]			277
	VoteInstructionType <VoteInstrTp>	[1..1]	±		277
	VoteQuantity <VoteQty>	[1..1]	Quantity		277
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		277
	PaymentDate <PmtDt>	[0..1]	±		278

3.4.10.13 IncentivePremium <IncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting.

IncentivePremium <IncntivPrm> contains the following elements (see "[IncentivePremium5](#)" on page 276 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		276
	Amount <Amt>	[1..1]	±		276
	Type <Tp>	[1..1]			276
{Or	PerSecurity <PerScty>	[1..1]	Quantity		277
Or	PerVote <PerVote>	[1..*]			277
	VoteInstructionType <VoteInstrTp>	[1..1]	±		277
	VoteQuantity <VoteQty>	[1..1]	Quantity		277
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		277
	PaymentDate <PmtDt>	[0..1]	±		278

3.4.10.14 EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln> contains one of the following elements (see "[DateFormat58Choice](#)" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.15 VoteWithPremiumDeadline <VoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

VoteWithPremiumDeadline <VoteWthPrmDdln> contains one of the following elements (see "[DateFormat58Choice](#)" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.16 VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

VoteWithPremiumMarketDeadline <VoteWthPrmMktDdlIn> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.10.17 AdditionalVotingRequirements <AddtlVtngRqrmnts>

Presence: [0..1]

Definition: Additional information on specific requirements for allowing a person to vote.

Datatype: "Max350Text" on page 377

3.4.10.18 PreviousInstructionInvalidityIndicator <PrvsInstrInvlDtyInd>

Presence: [0..1]

Definition: Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.11 PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>

Presence: [0..1]

Definition: Information about the use of power of attorney.

Impacted by: C13 "OtherDocumentation1Guideline"

PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts> contains the following **PowerOfAttorneyRequirements4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		78
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		78
	DocumentSubmissionDeadline <DocSubmissnDdlIn>	[0..1]	±		78

Constraints

- **OtherDocumentation1Guideline**

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

3.4.11.1 LegalRequirement <LglRqrmnt>

Presence: [0..4]

Definition: Authority that must validate the power of attorney.

Datatype: "PowerOfAttorneyLegalisation1Code" on page 362

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

3.4.11.2 OtherDocumentation <OthrDcmnttn>

Presence: [0..1]

Definition: Documents needed to obtain a valid power of attorney.

Datatype: "Max350Text" on page 377

3.4.11.3 DocumentSubmissionDeadline <DocSubmissnDdln>

Presence: [0..1]

Definition: Date by which the requested documents must be provided.

DocumentSubmissionDeadline <DocSubmissnDdln> contains one of the following elements (see "DateFormat58Choice" on page 259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

3.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional narrative information about the meeting event.

AdditionalInformation <AddtlInf> contains the following **CorporateEventNarrative3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Disclaimer <Dsclmr>	[0..*]			79
	Language <Lang>	[1..1]	CodeSet		79
	AdditionalInformation <AddtlInf>	[1..1]	Text		79

3.4.12.1 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

Disclaimer <Dsclmr> contains the following **LanguageSpecifiedNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Language <Lang>	[1..1]	CodeSet		79
	AdditionalInformation <AddtlInf>	[1..1]	Text		79

3.4.12.1.1 Language <Lang>

Presence: [1..1]

Definition: Language used to provide additional information and using the ISO 639-1 language code standard.

Datatype: "ISO2ALanguageCode" on page 358

3.4.12.1.2 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Provides additional textual information in the specified language.

Datatype: "Max8000Text" on page 378

3.4.13 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C18 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 seev.002.001.07 MeetingCancellationV07

4.1 MessageDefinition Functionality

Scope

The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.

Usage

The MeetingCancellation message is used to advise that the meeting has been cancelled by the account servicer or withdrawn by the issuer.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingCancellationV07 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

B. Security

Identification of the security for which the meeting was organised.

C. Reason

Justification for the cancellation.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgCxl>	[1..1]		C2	
	MeetingReference <MtgRef>	[1..1]			84
	MeetingIdentification <MtgId>	[1..1]	Text		84
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		84
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		84
	Type <Tp>	[1..1]	CodeSet		84
	Classification <Clssfctn>	[0..1]	±		85
	Location <Lctn>	[0..5]	±		85
	Issuer <Issr>	[0..1]	±		86
	Security <Scty>	[1..200]			86
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C7, C8, C9	87
	Position <Pos>	[0..1000]		C6, C10	88
	AccountIdentification <AcctId>	[1..1]	Text		89
	AccountOwner <AcctOwnr>	[0..1]			89
{Or	LegalPerson <LglPrsn>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		90
	HoldingBalance <HldgBal>	[0..15]			91
	Balance <Bal>	[1..1]	±		92
	BalanceType <BalTp>	[1..1]	CodeSet		92
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		93
	Date <Dt>	[0..1]	Date		93
	RightsHolder <RghtsHldr>	[0..250]			94
{Or	LegalPerson <LglPrsn>	[1..1]	±		94
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		94
	Reason <Rsn>	[1..1]			95
	CancellationReasonCode <CxlRsnCd>	[0..1]			96
{Or	Code <Cd>	[1..1]	CodeSet		96
Or}	Proprietary <Prtry>	[1..1]	±		96
	CancellationReason <CxlRsn>	[0..1]	Text		97

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C11	97

4.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Cancellation1Guideline

It is recommended that a meeting is cancelled with the use of MeetingReference/MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

This constraint is defined at the MessageDefinition level.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		84
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		84
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		84
	Type <Tp>	[1..1]	CodeSet		84
	Classification <Clssfctn>	[0..1]	±		85
	Location <Lctn>	[0..5]	±		85
	Issuer <Issr>	[0..1]	±		86

4.4.1.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 377

4.4.1.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

4.4.1.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 372

4.4.1.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

4.4.1.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "[MeetingTypeClassification2Choice](#)" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

4.4.1.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

4.4.1.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

4.4.2 Security <Scty>

Presence: [1..200]

Definition: Identification of the security for which the meeting was organised.

Security <Scty> contains the following **SecurityPosition12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C7, C8, C9	87
	Position <Pos>	[0..1000]		C6, C10	88
	AccountIdentification <AcctId>	[1..1]	Text		89
	AccountOwner <AcctOwnr>	[0..1]			89
{Or	LegalPerson <LglPrsn>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		90
	HoldingBalance <HldgBal>	[0..15]			91
	Balance <Bal>	[1..1]	±		92
	BalanceType <BalTp>	[1..1]	CodeSet		92
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		93
	Date <Dt>	[0..1]	Date		93
	RightsHolder <RghtsHldr>	[0..250]			94
{Or	LegalPerson <LglPrsn>	[1..1]	±		94
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		94

4.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

4.4.2.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C6 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		89
	AccountOwner <AcctOwnr>	[0..1]			89
{Or	LegalPerson <LglPrsn>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		90
	HoldingBalance <HldgBal>	[0..15]			91
	Balance <Bal>	[1..1]	±		92
	BalanceType <BalTp>	[1..1]	CodeSet		92
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		93
	Date <Dt>	[0..1]	Date		93
	RightsHolder <RghtsHldr>	[0..250]			94
{Or	LegalPerson <LglPrsn>	[1..1]	±		94
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		94

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

4.4.2.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 377

4.4.2.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		90

4.4.2.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

4.4.2.2.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

4.4.2.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		92
	BalanceType <BalTp>	[1..1]	CodeSet		92
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		93
	Date <Dt>	[0..1]	Date		93

4.4.2.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

4.4.2.2.3.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

4.4.2.2.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

4.4.2.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 372

4.4.2.2.4 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		94
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		94

4.4.2.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

4.4.2.2.4.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

4.4.3 Reason <Rsn>

Presence: [1..1]

Definition: Justification for the cancellation.

Reason <Rsn> contains the following **MeetingCancellationReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[0..1]			96
{Or	Code <Cd>	[1..1]	CodeSet		96
Or}	Proprietary <Prtry>	[1..1]	±		96
	CancellationReason <CxIRsn>	[0..1]	Text		97

4.4.3.1 CancellationReasonCode <CxIRsnCd>

Presence: [0..1]

Definition: Reason for cancelling a meeting.

CancellationReasonCode <CxIRsnCd> contains one of the following **MeetingCancellationReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		96
Or}	Proprietary <Prtry>	[1..1]	±		96

4.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in coded form.

Datatype: "MeetingCancellationReason2Code" on page 358

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

4.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies the reason for cancelling a meeting in free text form.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 271 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		272
	SchemeName <SchmeNm>	[0..1]	Text		272
	Issuer <Issr>	[1..1]	Text		272

4.4.3.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Provides more information on the reason for cancelling a meeting in free format form.

Datatype: "Max140Text" on page 376

4.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.003.001.07 MeetingEntitlementNotificationV07**

5.1 **MessageDefinition Functionality**

Scope

The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

Usage

This message is sent to advise the quantity of securities held by an account owner. The balance is specified for the securities for which the meeting is taking place. The message is also used to amend a previously sent MeetingEntitlementNotification.

The MeetingEntitlementNotification message may be sent either before receiving a voting instruction to confirm the entitlement; or after having received a voting instruction to confirm details of the person attending the meeting.

The message may also be used in place of an attendance card or to confirm entitlements in the case of bearer shares.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingEntitlementNotificationV07 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. NotificationType
Type of notification.
- B. PreviousEntitlementNotificationIdentification
Identification of the original meeting notification entitlement message which is amended.
- C. MeetingReference
Set of elements to allow the unambiguous identification of a meeting.
- D. Issuer
Institution that is the issuer of the security to which the meeting applies.
- E. Security
Security for which the meeting is organised, the account and the positions of the security holder.
- F. Eligibility
Date determining eligibility.
- G. MeetingAttendee
Person physically attending the meeting as a natural or legal person.
- H. Proxy

Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgEntitlmntNtfctn>	[1..1]		C9	
	NotificationType <NtfctnTp>	[1..1]	CodeSet		102
	PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>	[0..1]	Text		103
	MeetingReference <MtgRef>	[1..1]			103
	MeetingIdentification <MtgId>	[1..1]	Text		103
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		103
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		103
	Type <Tp>	[1..1]	CodeSet		103
	Classification <Clssfctn>	[0..1]	±		104
	Location <Lctn>	[0..5]	±		104
	Issuer <Issr>	[0..1]	±		105
	Issuer <Issr>	[1..1]	±		105
	Security <Scty>	[1..200]			106
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	107
	Position <Pos>	[0..1000]		C5, C10	108
	AccountIdentification <AcctId>	[1..1]	Text		109
	AccountOwner <AcctOwnr>	[0..1]			109
	Identification <Id>	[1..1]			109
{Or	LegalPerson <LglPrsn>	[1..1]	±		109
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		110
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			111
{Or	BirthDate <BirthDt>	[1..1]	Date		112
Or}	RegistrationIdentification <RegnId>	[1..1]			112
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112
	HoldingBalance <HldgBal>	[0..15]			112
	Balance <Bal>	[1..1]	±		113
	BalanceType <BalTp>	[1..1]	CodeSet		113

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		114
	Date <Dt>	[0..1]	Date		114
	RightsHolder <RghtsHldr>	[0..250]			115
	Identification <Id>	[1..1]			115
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		116
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			117
{Or	BirthDate <BirthDt>	[1..1]	Date		118
Or}	RegistrationIdentification <RegnId>	[1..1]			118
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118
	Eligibility <Elgblty>	[1..1]			118
	EntitlementFixingDate <EntitlmntFxdt>	[1..1]	Date		119
	MeetingAttendee <MtgAttndee>	[0..1]			119
{Or	LegalPerson <LglPrsn>	[1..1]	±		119
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		120
	Proxy <Prxy>	[0..1]			120
{Or	LegalPerson <LglPrsn>	[1..1]	±		121
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		121
	SupplementaryData <SplmtryData>	[0..*]	±	C11	122

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C9 PreviousEntitlementNotificationIdentificationRule

If NotificationType is REPL (Replacement), then PreviousEntitlementNotificationIdentification must be present.

On Condition

/NotificationType is equal to value 'Replacement'

Following Must be True

/PreviousEntitlementNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType2Code" on page 360

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

5.4.2 PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>

Presence: [0..1]

Definition: Identification of the original meeting notification entitlement message which is amended.

Datatype: "Max35Text" on page 377

5.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		103
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		103
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		103
	Type <Tp>	[1..1]	CodeSet		103
	Classification <Clssfctn>	[0..1]	±		104
	Location <Lctn>	[0..5]	±		104
	Issuer <Issr>	[0..1]	±		105

5.4.3.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 377

5.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

5.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 372

5.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

5.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

5.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

5.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

5.4.4 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

5.4.5 Security <Scty>

Presence: [1..200]

Definition: Security for which the meeting is organised, the account and the positions of the security holder.

Security <Scty> contains the following **SecurityPosition13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	107
	Position <Pos>	[0..1000]		C5, C10	108
	AccountIdentification <AcctId>	[1..1]	Text		109
	AccountOwner <AcctOwnr>	[0..1]			109
	Identification <Id>	[1..1]			109
{Or	LegalPerson <LglPrsn>	[1..1]	±		109
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		110
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			111
{Or	BirthDate <BirthDt>	[1..1]	Date		112
Or}	RegistrationIdentification <RegnId>	[1..1]			112
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112
	HoldingBalance <HldgBal>	[0..15]			112
	Balance <Bal>	[1..1]	±		113
	BalanceType <BalTp>	[1..1]	CodeSet		113
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		114
	Date <Dt>	[0..1]	Date		114
	RightsHolder <RghtsHldr>	[0..250]			115
	Identification <Id>	[1..1]			115
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		116
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			117
{Or	BirthDate <BirthDt>	[1..1]	Date		118
Or}	RegistrationIdentification <RegnId>	[1..1]			118
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118

5.4.5.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

5.4.5.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C5 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		109
	AccountOwner <AcctOwnr>	[0..1]			109
	Identification <Id>	[1..1]			109
{Or	LegalPerson <LglPrsn>	[1..1]	±		109
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		110
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			111
{Or	BirthDate <BirthDt>	[1..1]	Date		112
Or}	RegistrationIdentification <RegnId>	[1..1]			112
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112
	HoldingBalance <HldgBal>	[0..15]			112
	Balance <Bal>	[1..1]	±		113
	BalanceType <BalTp>	[1..1]	CodeSet		113
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		114
	Date <Dt>	[0..1]	Date		114
	RightsHolder <RghtsHldr>	[0..250]			115
	Identification <Id>	[1..1]			115
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		116
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			117
{Or	BirthDate <BirthDt>	[1..1]	Date		118
Or}	RegistrationIdentification <RegnId>	[1..1]			118
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

5.4.5.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 377

5.4.5.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains the following **PartyIdentification241** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			109
{Or	LegalPerson <LglPrsn>	[1..1]	±		109
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		110
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			111
{Or	BirthDate <BirthDt>	[1..1]	Date		112
Or}	RegistrationIdentification <RegnId>	[1..1]			112
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112

5.4.5.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		109
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		110

5.4.5.2.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

5.4.5.2.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

5.4.5.2.2.2 AdditionalIdentificationInformation <AddtlIdInf>

Presence: [0..1]

Definition: Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		112
Or}	RegistrationIdentification <RegnId>	[1..1]			112
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112

5.4.5.2.2.2.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date of birth of an individual person.

Datatype: "ISODate" on page 372

5.4.5.2.2.2.2 RegistrationIdentification <RegnId>

Presence: [1..1]

Definition: Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following **OrganisationIdentification5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		112
	RegisterName <RegrNm>	[0..1]	Text		112

5.4.5.2.2.2.2.1 RegistrationNumber <RegnNb>

Presence: [1..1]

Definition: Name of the register of legal entities.

Datatype: "Max35Text" on page 377

5.4.5.2.2.2.2.2 RegisterName <RegrNm>

Presence: [0..1]

Definition: Name of the register managed by a registration authority.

Datatype: "Max35Text" on page 377

5.4.5.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		113
	BalanceType <BalTp>	[1..1]	CodeSet		113
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		114
	Date <Dt>	[0..1]	Date		114

5.4.5.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

5.4.5.2.3.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

5.4.5.2.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

5.4.5.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 372

5.4.5.2.4 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains the following **PartyIdentification239** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			115
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		116
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			117
{Or	BirthDate <BirthDt>	[1..1]	Date		118
Or}	RegistrationIdentification <RegnId>	[1..1]			118
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118

5.4.5.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		115
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		116

5.4.5.2.4.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

5.4.5.2.4.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

5.4.5.2.4.2 AdditionalIdentificationInformation <AddtlIdInf>

Presence: [0..1]

Definition: Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtlIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		118
Or}	RegistrationIdentification <RegnId>	[1..1]			118
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118

5.4.5.2.4.2.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date of birth of an individual person.

Datatype: "ISODate" on page 372

5.4.5.2.4.2.2 RegistrationIdentification <RegnId>

Presence: [1..1]

Definition: Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following **OrganisationIdentification5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		118
	RegisterName <RegrNm>	[0..1]	Text		118

5.4.5.2.4.2.2.1 RegistrationNumber <RegnNb>

Presence: [1..1]

Definition: Name of the register of legal entities.

Datatype: "Max35Text" on page 377

5.4.5.2.4.2.2.2 RegisterName <RegrNm>

Presence: [0..1]

Definition: Name of the register managed by a registration authority.

Datatype: "Max35Text" on page 377

5.4.6 Eligibility <Elgblty>

Presence: [1..1]

Definition: Date determining eligibility.

Eligibility <Elgblty> contains the following **EligibilityDates1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitlementFixingDate <EntitlmntFxdDt>	[1..1]	Date		119

5.4.6.1 EntitlementFixingDate <EntitlmntFxdt>

Presence: [1..1]

Definition: Date at which the positions are struck to note which parties will receive the entitlement, e.g. record date, book close date.

Datatype: "ISODate" on page 372

5.4.7 MeetingAttendee <MtgAttndee>

Presence: [0..1]

Definition: Person physically attending the meeting as a natural or legal person.

MeetingAttendee <MtgAttndee> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		119
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		120

5.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

5.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "[PartyIdentification238](#)" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

5.4.8 Proxy <Prxy>

Presence: [0..1]

Definition: Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

Proxy <Prxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		121
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		121

5.4.8.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification221](#)" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

5.4.8.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

5.4.9 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.004.001.07** **MeetingInstructionV07**

6.1 **MessageDefinition Functionality**

Scope

The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.

Usage

The MeetingInstruction message is used to vote, to require attendance to a meeting, to request registration of securities and assign a proxy. One instruction or multiple instructions can be carried within the same message.

Once the message is sent, it cannot be modified. It must be cancelled by a MeetingInstructionCancellationRequest. Only after receipt of a confirmed cancelled status via the

MeetingInstructionStatus message, a new MeetingInstruction message can be sent.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionV07 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

B. FinancialInstrumentIdentification

Security for which the meeting is organised.

C. OtherDocumentIdentification

Identification of other messages/documents as well as the messages/documents number.

D. Instruction

The position of the instructing party and the action that it wants to take.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstr>	[1..1]			
	MeetingReference <MtgRef>	[1..1]			129
	MeetingIdentification <MtgId>	[1..1]	Text		130
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		130
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		130
	Type <Tp>	[1..1]	CodeSet		130
	Classification <Clssfctn>	[0..1]	±		130
	Location <Lctn>	[0..5]	±		131
	Issuer <Issr>	[0..1]	±		131
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C7, C8, C11	131
	OtherDocumentIdentification <OthrDocId>	[0..*]	±		133
	Instruction <Instr>	[1..*]		C9	133
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		136
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		136
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		137
	AccountDetails <AcctDtls>	[1..1]		C2, C13	137
	AccountIdentification <AcctId>	[1..1]	Text		139
	AccountOwner <AcctOwnr>	[0..1]			139
{Or	LegalPerson <LglPrsn>	[1..1]	±		139
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		140
	SubAccountIdentification <SubAcctId>	[0..1]	Text		140
	InstructedBalance <InstdBal>	[1..15]			141
	Balance <Bal>	[1..1]	±		141
	BalanceType <BalTp>	[0..1]	CodeSet		141
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		142
	RightsHolder <RghtsHldr>	[0..250]			143
{Or	LegalPerson <LglPrsn>	[1..1]	±		143
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		143
	PledgeDetails <PldgDtls>	[0..1]			144

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledger <Pldgr>	[1..1]			145
{Or	LegalPerson <LglPrsn>	[1..1]	±		145
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		146
	ThirdParty <ThrdPty>	[0..1]			147
	Role <Role>	[1..1]	CodeSet		148
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		148
	PledgeType <PldgTp>	[1..1]	±		148
	ReturnSecuritiesIndicator <RtrScitiesInd>	[0..1]	Indicator		149
	Proxy <Prxy>	[0..1]		C12	149
	ProxyType <PrxyTp>	[1..1]	CodeSet		150
	PersonDetails <PrsnDtls>	[0..1]			150
	PreassignedProxy <PrssgndPrxy>	[0..1]			150
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		151
	EmployingParty <EmplngPty>	[0..1]	±		152
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C10	153
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		153
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		153
	OtherAddress <OthrAdr>	[0..1]	±		154
	VoteDetails <VoteDtls>	[0..1]			154
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>	[1..1]			156
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]		C6	157
{Or	VoteInstruction <VoteInstr>	[1..1000]			158
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Wthhld>	[0..1]	±		159
	WithManagement <WthMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			162
	IssuerLabel <IssrLbl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		164
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			164
{Or	VoteIndication <VoteIndctn>	[1..1]	±		165
Or}	Shareholder <Shrhldr>	[1..1]	±		165
	MeetingAttendee <MtgAtndee>	[0..*]			165
	Identification <Id>	[0..1]			166
{Or	LegalPerson <LglPrsn>	[1..1]	±		166
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		166
	ParticipationMethod <PrtcpnMtd>	[0..1]	CodeSet		167
	EmployingParty <EmplngPty>	[0..1]	±		168
	AttendanceCardDetails <AtndncCardDtls>	[1..1]		C10	168
	AttendanceCardLabelling <AtndncCardLbllg>	[0..1]	Text		168
	DeliveryMethod <DivryMtd>	[1..1]	CodeSet		168
	OtherAddress <OthrAdr>	[0..1]	±		169
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			169
	ParticipationMethod <PrtcpnMtd>	[0..1]	±		169
	SecuritiesRegistration <SciesRegn>	[0..1]	Indicator		170

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C16	170

6.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BalanceQall1Rule

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 InFavourListingGroup1Rule

If two or more occurrences of the element VoteInstruction/ListingGroupResolutionLabel have the exact same textual value, then only a single occurrence of the VoteInstruction sequence amongst these occurrences may have the element "For" (vote in favour) being present.

C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 MeetingAttendeeRule

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

C10 OtherAddressCode1Rule

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

C11 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C12 ProxyAppointment1Rule

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

C13 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C14 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

C15 StructuredOrUnstructured1Guideline

It is recommended that Structured be used.

C16 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C17 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		130
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		130
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		130
	Type <Tp>	[1..1]	CodeSet		130
	Classification <Clssfctn>	[0..1]	±		130
	Location <Lctn>	[0..5]	±		131
	Issuer <Issr>	[0..1]	±		131

6.4.1.1 MeetingIdentification <Mtgid>*Presence:* [1..1]*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.*Datatype:* "Max35Text" on page 377**6.4.1.2 IssuerMeetingIdentification <IssrMtgid>***Presence:* [0..1]*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.*Datatype:* "Max35Text" on page 377**6.4.1.3 MeetingDateAndTime <MtgDtAndTm>***Presence:* [1..1]*Definition:* Date and time at which the meeting will take place.*Datatype:* "ISODateTime" on page 372**6.4.1.4 Type <Tp>***Presence:* [1..1]*Definition:* Type of meeting for which instructions are sent.*Datatype:* "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

6.4.1.5 Classification <Clssfctn>*Presence:* [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

6.4.1.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

6.4.1.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

6.4.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C11 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrlId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

6.4.3 OtherDocumentIdentification <OthrDocId>

Presence: [0..*]

Definition: Identification of other messages/documents as well as the messages/documents number.

OtherDocumentIdentification <OthrDocId> contains the following elements (see "DocumentIdentification32" on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			261
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		261
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		261
	DocumentNumber <DocNb>	[0..1]	±	C14	261
	LinkageType <LkgTp>	[0..1]	±	C17	261

6.4.4 Instruction <Instr>

Presence: [1..*]

Definition: The position of the instructing party and the action that it wants to take.

Impacted by: C9 "MeetingAttendeeRule"

Instruction <Instr> contains the following Instruction5 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		136
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		136
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		137
	AccountDetails <AcctDtls>	[1..1]		C2, C13	137
	AccountIdentification <AcctId>	[1..1]	Text		139
	AccountOwner <AcctOwnr>	[0..1]			139
{Or	LegalPerson <LglPrsn>	[1..1]	±		139
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		140
	SubAccountIdentification <SubAcctId>	[0..1]	Text		140
	InstructedBalance <InstdBal>	[1..15]			141
	Balance <Bal>	[1..1]	±		141
	BalanceType <BalTp>	[0..1]	CodeSet		141
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		142
	RightsHolder <RghtsHldr>	[0..250]			143
{Or	LegalPerson <LglPrsn>	[1..1]	±		143
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		143
	PledgeDetails <PldgDtls>	[0..1]			144
	Pledger <Pldgr>	[1..1]			145
{Or	LegalPerson <LglPrsn>	[1..1]	±		145
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		146
	ThirdParty <ThrdPty>	[0..1]			147
	Role <Role>	[1..1]	CodeSet		148
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		148
	PledgeType <PldgTp>	[1..1]	±		148
	ReturnSecuritiesIndicator <RtrScitiesInd>	[0..1]	Indicator		149
	Proxy <Prxy>	[0..1]		C12	149
	ProxyType <PrxyTp>	[1..1]	CodeSet		150
	PersonDetails <PrsnDtls>	[0..1]			150
	PreassignedProxy <PrssgndPrxy>	[0..1]			150
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		151

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmployingParty <EmplngPty>	[0..1]	±		152
	AttendanceCardDetails <AttndncCardDtls>	[1..1]		C10	153
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		153
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		153
	OtherAddress <OthrAdr>	[0..1]	±		154
	VoteDetails <VoteDtls>	[0..1]			154
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[1..1]			156
{Or	VotePerAgendaResolution <VotePerAgndRsltn>	[1..1]		C6	157
{Or	VoteInstruction <VoteInstr>	[1..1000]			158
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRsltnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Withld>	[0..1]	±		159
	WithManagement <WithMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			162
	IssuerLabel <IssrLbl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		164
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			164
{Or	VoteIndication <VoteIndctn>	[1..1]	±		165
Or}	Shareholder <Shrhldr>	[1..1]	±		165
	MeetingAttendee <MtgAttndee>	[0..*]			165
	Identification <Id>	[0..1]			166
{Or	LegalPerson <LglPrsn>	[1..1]	±		166
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		166
	ParticipationMethod <PrtcpnMtd>	[0..1]	CodeSet		167
	EmployingParty <EmplngPty>	[0..1]	±		168
	AttendanceCardDetails <AttndncCardDtls>	[1..1]		C10	168
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		168
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		168
	OtherAddress <OthrAdr>	[0..1]	±		169
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			169
	ParticipationMethod <PrtcpnMtd>	[0..1]	±		169
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		170

Constraints

- **MeetingAttendeeRule**

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ ParticipationMethod/Code must be PHYS (InPerson).

On Condition

/MeetingAttendee[*] is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value 'InPerson'

6.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction.

Datatype: "Max35Text" on page 377

6.4.4.2 RequestedExecutionDate <ReqdExctnDt>

Presence: [0..1]

Definition: Date at which the instruction must be executed.

Datatype: "ISODateTime" on page 372

6.4.4.3 VoteExecutionConfirmation <VoteExctnConf>

Presence: [1..1]

Definition: Indicates that a vote execution confirmation is requested.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.4.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Identification of the securities account.

Impacted by: C2 "BalanceQall1Rule", C13 "RightsHolderMultiplicity1Guideline"

AccountDetails <AcctDtls> contains the following **SafekeepingAccount12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		139
	AccountOwner <AcctOwnr>	[0..1]			139
{Or	LegalPerson <LglPrsn>	[1..1]	±		139
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		140
	SubAccountIdentification <SubAcctId>	[0..1]	Text		140
	InstructedBalance <InstdBal>	[1..15]			141
	Balance <Bal>	[1..1]	±		141
	BalanceType <BalTp>	[0..1]	CodeSet		141
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		142
	RightsHolder <RghtsHldr>	[0..250]			143
{Or	LegalPerson <LglPrsn>	[1..1]	±		143
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		143
	PledgeDetails <PldgDtls>	[0..1]			144
	Pledger <Pldgr>	[1..1]			145
{Or	LegalPerson <LglPrsn>	[1..1]	±		145
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		146
	ThirdParty <ThrdPty>	[0..1]			147
	Role <Role>	[1..1]	CodeSet		148
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		148
	PledgeType <PldgTp>	[1..1]	±		148
	ReturnSecuritiesIndicator <RtrSctiesInd>	[0..1]	Indicator		149

Constraints

- **BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[*]/Balance/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

6.4.4.4.1 AccountIdentification <AcctId>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of the securities account between the account owner and the account servicer.*Datatype:* "Max35Text" on page 377**6.4.4.4.2 AccountOwner <AcctOwnr>***Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		139
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		140

6.4.4.4.2.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

6.4.4.4.2.2 NaturalPerson <NtrlPrsn>*Presence:* [1..*]*Definition:* Private person.**NaturalPerson <NtrlPrsn>** contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <Snm>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

6.4.4.4.3 SubAccountIdentification <SubAcctId>*Presence:* [0..1]*Definition:* Identification of a subaccount within the safekeeping account.*Datatype:* "Max35Text" on page 377

6.4.4.4.4 InstructedBalance <InstdBal>*Presence:* [1..15]*Definition:* Quantity of securities in the sub-balance.**InstructedBalance <InstdBal>** contains the following **HoldingBalance10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		141
	BalanceType <BalTp>	[0..1]	CodeSet		141
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		142

6.4.4.4.4.1 Balance <Bal>*Presence:* [1..1]*Definition:* Total quantity of financial instrument for the referenced holding.**Balance <Bal>** contains one of the following elements (see "[UnitOrFaceAmountOrCode2Choice](#)" on page 257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		257
Or	FaceAmount <FaceAmt>	[1..1]	Amount		257
Or}	Code <Cd>	[1..1]	CodeSet		257

6.4.4.4.4.2 BalanceType <BalTp>*Presence:* [0..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).

CodeName	Name	Definition
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

6.4.4.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification </Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification </Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

6.4.4.4.5 RightsHolder <RghtsHldr>*Presence:* [0..250]*Definition:* Owner of the voting rights.**RightsHolder <RghtsHldr>** contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		143
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		143

6.4.4.4.5.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.**LegalPerson <LglPrsn>** contains the following elements (see "[PartyIdentification224](#)" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

6.4.4.4.5.2 NaturalPerson <NtrlPrsn>*Presence:* [1..*]*Definition:* Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

6.4.4.4.6 PledgeDetails <PldgDtls>

Presence: [0..1]

Definition: Detailed information about the pledge.

PledgeDetails <PldgDtls> contains the following **PledgeInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledger <Pldgr>	[1..1]			145
{Or	LegalPerson <LglPrsn>	[1..1]	±		145
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		146
	ThirdParty <ThrdPty>	[0..1]			147
	Role <Role>	[1..1]	CodeSet		148
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		148
	PledgeType <PldgTp>	[1..1]	±		148
	ReturnSecuritiesIndicator <RtrSctiesInd>	[0..1]	Indicator		149

6.4.4.4.6.1 Pledger <Pldgr>

Presence: [1..1]

Definition: Entity that provide assets to a counterparty as a guarantee.

Pledger <Pldgr> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		145
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		146

6.4.4.4.6.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

6.4.4.4.6.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

6.4.4.4.6.2 ThirdParty <ThrdPty>

Presence: [0..1]

Definition: Third party, usually a bank, involved in the pledge.

ThirdParty <ThrdPty> contains the following **ThirdPartyIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Role <Role>	[1..1]	CodeSet		148
	LegalPersonIdentification <LglPrsnId>	[0..1]	±		148

6.4.4.4.6.2.1 Role <Role>*Presence:* [1..1]*Definition:* Role played by the third party.*Datatype:* "PartyRole3Code" on page 361

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

6.4.4.4.6.2.2 LegalPersonIdentification <LglPrsnId>*Presence:* [0..1]*Definition:* Identification of the third party legal entity.

LegalPersonIdentification <LglPrsnId> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

6.4.4.4.6.3 PledgeType <PldgTp>*Presence:* [1..1]*Definition:* Identifies the type of pledge.

PledgeType <PldgTp> contains the following elements (see "[GenericIdentification36](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		274
	SchemeName <SchmeNm>	[0..1]	Text		274

6.4.4.4.6.4 ReturnSecuritiesIndicator <RtrSctiesInd>

Presence: [0..1]

Definition: Indicates whether the pledger is entitled to vote, otherwise the right to vote is with the right holder.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.4.5 Proxy <Prxy>

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

Impacted by: [C12 "ProxyAppointment1Rule"](#)

Proxy <Prxy> contains the following **Proxy10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		150
	PersonDetails <PrsnDtls>	[0..1]			150
	PreassignedProxy <PrssgndPrxy>	[0..1]			150
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		151
	EmployingParty <EmplngPty>	[0..1]	±		152
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C10	153
	AttendanceCardLabelling <AttdncCardLblg>	[0..1]	Text		153
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		153
	OtherAddress <OthrAdr>	[0..1]	±		154

Constraints

- **ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition
 /ProxyType is equal to value 'Discretionary'
 Or /ProxyType is equal to value 'SecurityHolder'
 Following Must be True
 /PersonDetails Must be present

6.4.4.5.1 ProxyType <PrxyTp>

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType2Code" on page 363

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

6.4.4.5.2 PersonDetails <PrsnDtls>

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

PersonDetails <PrsnDtls> contains the following **IndividualPerson42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			150
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		151
	EmployingParty <EmplngPty>	[0..1]	±		152
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C10	153
	AttendanceCardLabelling <AttdncCardLblg>	[0..1]	Text		153
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		153
	OtherAddress <OthrAdr>	[0..1]	±		154

6.4.4.5.2.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		151
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		151

6.4.4.5.2.1.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

6.4.4.5.2.1.2 NaturalPerson <NtrlPrsn>*Presence:* [1..1]*Definition:* Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

6.4.4.5.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

6.4.4.5.2.3 AttendanceCardDetails <AtndncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: C10 "OtherAddressCode1Rule"

AttendanceCardDetails <AtndncCardDtls> contains the following **AttendanceCard3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AtndncCardLblg>	[0..1]	Text		153
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		153
	OtherAddress <OthrAdr>	[0..1]	±		154

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

6.4.4.5.2.3.1 AttendanceCardLabelling <AtndncCardLblg>

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 375

6.4.4.5.2.3.2 DeliveryMethod <DlvryMtd>

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace3Code" on page 355

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.

CodeName	Name	Definition
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

6.4.4.5.2.3.3 OtherAddress <OthrAdr>

Presence: [0..1]

Definition: Name and address of the party.

OtherAddress <OthrAdr> contains the following elements (see "[NameAndAddress9](#)" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		335
	Address <Adr>	[0..1]	±	C15	335

6.4.4.6 VoteDetails <VoteDtls>

Presence: [0..1]

Definition: Detailed voting instructions.

VoteDetails <VoteDtls> contains the following **VoteDetails5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[1..1]			156
{Or	VotePerAgendaResolution <VotePerAgndRsltn>	[1..1]		C6	157
{Or	VoteInstruction <VoteInstr>	[1..1000]			158
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRsltnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Withld>	[0..1]	±		159
	WithManagement <WithMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			162
	IssuerLabel <IssrLbl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRsltns>	[1..1]	±		164
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsltn>	[0..1]			164
{Or	VoteIndication <VoteIndctn>	[1..1]	±		165
Or}	Shareholder <Shrhldr>	[1..1]	±		165

6.4.4.6.1 VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>*Presence:* [1..1]*Definition:* Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.**VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>** contains one of the following **Vote15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]		C6	157
{Or	VoteInstruction <VoteInstr>	[1..1000]			158
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Withld>	[0..1]	±		159
	WithManagement <WithMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			162
	IssuerLabel <IssrLbl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]	±		164

6.4.4.6.1.1 VotePerAgendaResolution <VotePerAgndRsIttn>*Presence:* [1..1]*Definition:* Vote instruction is provided individually for each agenda resolution.*Impacted by:* C6 "InFavourListingGroup1Rule"**VotePerAgendaResolution <VotePerAgndRsIttn>** contains one of the following **Vote16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteInstruction <VoteInstr>	[1..1000]			158
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRsIttnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Withld>	[0..1]	±		159
	WithManagement <WithMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			162
	IssuerLabel <IssrLbl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164

Constraints

- **InFavourListingGroup1Rule**

If two or more occurrences of the element `VoteInstruction/ListingGroupResolutionLabel` have the exact same textual value, then only a single occurrence of the `VoteInstruction` sequence amongst these occurrences may have the element "For" (vote in favour) being present.

6.4.4.6.1.1.1 VoteInstruction <VoteInstr>

Presence: [1..1000]

Definition: Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

VoteInstruction <VoteInstr> contains the following **Vote14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		158
	ListingGroupResolutionLabel <ListgGrpRslnLbl>	[0..1]	Text		159
	For <For>	[0..1]	±		159
	Against <Agnst>	[0..1]	±		159
	Abstain <Abstn>	[0..1]	±		159
	Withhold <Wthhld>	[0..1]	±		159
	WithManagement <WthMgmt>	[0..1]	±		160
	AgainstManagement <AgnstMgmt>	[0..1]	±		160
	Discretionary <Dscrtnry>	[0..1]	±		160
	OneYear <OneYr>	[0..1]	±		160
	TwoYears <TwoYrs>	[0..1]	±		161
	ThreeYears <ThreeYrs>	[0..1]	±		161
	NoAction <NoActn>	[0..1]	±		161
	Blank <Blnk>	[0..1]	±		161
	Proprietary <Prtry>	[0..4]			162
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162

6.4.4.6.1.1.1.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 377

6.4.4.6.1.1.1.2 ListingGroupResolutionLabel <ListgGrpRsItlnLbl>*Presence:* [0..1]*Definition:* Identifies under the same label a group of agenda resolutions for which a vote in favour can be assigned to only one resolution of that group.*Datatype:* "Max35Text" on page 377**6.4.4.6.1.1.1.3 For <For>***Presence:* [0..1]*Definition:* Number of votes in favour of one resolution.**For <For>** contains one of the following elements (see "QuantityOrCode1Choice" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.4 Against <Agnst>*Presence:* [0..1]*Definition:* Number of votes against one resolution.**Against <Agnst>** contains one of the following elements (see "QuantityOrCode1Choice" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.5 Abstain <Abstn>*Presence:* [0..1]*Definition:* Number of abstention votes for one resolution.**Abstain <Abstn>** contains one of the following elements (see "QuantityOrCode1Choice" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.6 Withhold <Wthld>*Presence:* [0..1]*Definition:* Number of votes withheld for one resolution.

Withhold <WthHld> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.7 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.8 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.9 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.10 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.11 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.12 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.13 NoAction <NoActn>

Presence: [0..1]

Definition: Do not vote.

NoAction <NoActn> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.14 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.1.15 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		162
	Quantity <Qty>	[1..1]	±		162

6.4.4.6.1.1.1.15.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

6.4.4.6.1.1.1.15.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following elements (see "[QuantityOrCode1Choice](#)" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

6.4.4.6.1.1.2 GlobalVoteInstruction <GblVoteInstr>

Presence: [1..1000]

Definition: Instruction specifying a vote instruction per resolution for the entire entitlement.

GlobalVoteInstruction <GblVoteInstr> contains the following **Vote15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		163
	VoteOption <VoteOptn>	[1..1]			163
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164

6.4.4.6.1.1.2.1 IssuerLabel <IssrLabl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 377

6.4.4.6.1.1.2.2 VoteOption <VoteOptn>

Presence: [1..1]

Definition: Vote instructed.

VoteOption <VoteOptn> contains one of the following **VoteInstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		163
Or}	Proprietary <Prtry>	[1..1]	±		164

6.4.4.6.1.1.2.2.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction7Code" on page 370

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.

CodeName	Name	Definition
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

6.4.4.6.1.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

6.4.4.6.1.2 VoteForAllAgendaResolutions <VoteForAllAgndRslns>

Presence: [1..1]

Definition: One single vote instruction is provided to cover all agenda resolutions.

VoteForAllAgendaResolutions <VoteForAllAgndRslns> contains one of the following elements (see "[VoteInstructionType1Choice](#)" on page 281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

6.4.4.6.2 VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>

Presence: [0..1]

Definition: Vote instructions for the resolutions that may arise at the meeting but were not previously provided in the agenda.

VoteInstructionForMeetingResolution <VoteInstrForMtgRsln> contains one of the following **VoteInstructionForMeetingResolution3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteIndication <VoteIndctn>	[1..1]	±		165
Or}	Shareholder <Shrhldr>	[1..1]	±		165

6.4.4.6.2.1 VoteIndication <VoteIndctn>*Presence:* [1..1]*Definition:* Vote recommendation for resolutions added during the meeting.**VoteIndication <VoteIndctn>** contains one of the following elements (see "VoteInstructionType1Choice" on page 281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

6.4.4.6.2.2 Shareholder <Shrhldr>*Presence:* [1..1]*Definition:* Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.**Shareholder <Shrhldr>** contains the following elements (see "NameAndAddress9" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		335
	Address <Adr>	[0..1]	±	C15	335

6.4.4.7 MeetingAttendee <MtgAttndee>*Presence:* [0..*]*Definition:* Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.**MeetingAttendee <MtgAttndee>** contains the following **IndividualPerson41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			166
{Or	LegalPerson <LglPrsn>	[1..1]	±		166
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		166
	ParticipationMethod <PrtcpnMtd>	[0..1]	CodeSet		167
	EmployingParty <EmplngPty>	[0..1]	±		168
	AttendanceCardDetails <AttndncCardDtls>	[1..1]		C10	168
	AttendanceCardLabelling <AttndncCardLblg>	[0..1]	Text		168
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		168
	OtherAddress <OthrAdr>	[0..1]	±		169

6.4.4.7.1 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identification of the proxy.**Identification <Id>** contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		166
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		166

6.4.4.7.1.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.**LegalPerson <LglPrsn>** contains the following elements (see "[PartyIdentification221](#)" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

6.4.4.7.1.2 NaturalPerson <NtrlPrsn>*Presence:* [1..1]*Definition:* Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

6.4.4.7.2 ParticipationMethod <PrtcptnMtd>

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

Datatype: "VotingParticipationMethod2Code" on page 371

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

6.4.4.7.3 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

6.4.4.7.4 AttendanceCardDetails <AttndncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: C10 "OtherAddressCode1Rule"

AttendanceCardDetails <AttndncCardDtls> contains the following **AttendanceCard3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		168
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		168
	OtherAddress <OthrAdr>	[0..1]	±		169

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

6.4.4.7.4.1 AttendanceCardLabelling <AttndncCardLbllg>

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 375

6.4.4.7.4.2 DeliveryMethod <DlvryMtd>

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace3Code" on page 355

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

6.4.4.7.4.3 OtherAddress <OthrAdr>

Presence: [0..1]

Definition: Name and address of the party.

OtherAddress <OthrAdr> contains the following elements (see "[NameAndAddress9](#)" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		335
	Address <Adr>	[0..1]	±	C15	335

6.4.4.8 SpecificInstructionRequest <SpfcInstrReq>

Presence: [0..1]

Definition: Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

SpecificInstructionRequest <SpfcInstrReq> contains the following **SpecificInstructionRequest3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcptnMtd>	[0..1]	±		169
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		170

6.4.4.8.1 ParticipationMethod <PrtcptnMtd>

Presence: [0..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcptnMtd> contains one of the following elements (see "[ParticipationMethod1Choice](#)" on page 284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		285

6.4.4.8.2 SecuritiesRegistration <SctiesRegn>

Presence: [0..1]

Definition: Indicates a request to register the securities for the meeting.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

6.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C16 ["SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1"](#) on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 seev.005.001.07

MeetingInstructionCancellationRequestV07

7.1 MessageDefinition Functionality

Scope

The MeetingInstructionCancellationRequest message is sent by the same party that sent the

MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.

Usage

This message must be answered by a MeetingInstructionStatus message.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionCancellationRequestV07 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

B. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrCxlReq>	[1..1]		C3	
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		174
	MeetingReference <MtgRef>	[0..1]			174
	MeetingIdentification <MtgId>	[1..1]	Text		174
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		174
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		174
	Type <Tp>	[1..1]	CodeSet		174
	Classification <Clsfctn>	[0..1]	±		175
	Location <Lctn>	[0..5]	±		175
	Issuer <Issr>	[0..1]	±		176
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C5, C6, C7, C8, C9	176
	ToBeCancelledInstruction <ToBeCancInstr>	[0..*]			177
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		178
	InstructedPosition <InstdPos>	[0..1]		C2, C10	178
	AccountIdentification <AcctId>	[1..1]	Text		179
	AccountOwner <AcctOwnr>	[0..1]			179
{Or	LegalPerson <LglPrsn>	[1..1]	±		180
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		180
	SubAccountIdentification <SubAcctId>	[0..1]	Text		181
	InstructedBalance <InstdBal>	[1..15]			181
	Balance <Bal>	[1..1]	±		182
	BalanceType <BalTp>	[0..1]	CodeSet		182
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		183
	RightsHolder <RghtsHldr>	[0..250]			183
{Or	LegalPerson <LglPrsn>	[1..1]	±		184
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		184
	SupplementaryData <SplmtryData>	[0..*]	±	C11	185

7.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BalanceQall1Rule

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C3 Cancellation3Guideline

It is recommended that the request to cancel a meeting instruction with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

This constraint is defined at the MessageDefinition level.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C6 DescriptionUsageRule

Description must be used alone as the last resort.

C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

Datatype: "Max35Text" on page 377

7.4.2 MeetingReference <MtgRef>

Presence: [0..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		174
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		174
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		174
	Type <Tp>	[1..1]	CodeSet		174
	Classification <Clssfctn>	[0..1]	±		175
	Location <Lctn>	[0..5]	±		175
	Issuer <Issr>	[0..1]	±		176

7.4.2.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 377

7.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

7.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 372

7.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

7.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

7.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

7.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

7.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Security for which the meeting is organised.

Impacted by: C5 "DescriptionPresenceRule", C6 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrlId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

7.4.4 ToBeCancelledInstruction <ToBeCancelInstr>

Presence: [0..*]

Definition: Specifies one or more instructions for which the cancellation is requested.

ToBeCancelledInstruction <ToBeCancInstr> contains the following **CancellInstruction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		178
	InstructedPosition <InstdPos>	[0..1]		C2, C10	178
	AccountIdentification <AcctId>	[1..1]	Text		179
	AccountOwner <AcctOwnr>	[0..1]			179
{Or	LegalPerson <LglPrsn>	[1..1]	±		180
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		180
	SubAccountIdentification <SubAcctId>	[0..1]	Text		181
	InstructedBalance <InstdBal>	[1..15]			181
	Balance <Bal>	[1..1]	±		182
	BalanceType <BalTp>	[0..1]	CodeSet		182
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		183
	RightsHolder <RghtsHldr>	[0..250]			183
{Or	LegalPerson <LglPrsn>	[1..1]	±		184
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		184

7.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction previously sent.

Datatype: "Max35Text" on page 377

7.4.4.2 InstructedPosition <InstdPos>

Presence: [0..1]

Definition: Account and instructed positions to which the meeting instruction cancellation request applies.

Impacted by: C2 "BalanceQall1Rule", C10 "RightsHolderMultiplicity1Guideline"

InstructedPosition <InstdPos> contains the following **SafekeepingAccount10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		179
	AccountOwner <AcctOwnr>	[0..1]			179
{Or	LegalPerson <LglPrsn>	[1..1]	±		180
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		180
	SubAccountIdentification <SubAcctId>	[0..1]	Text		181
	InstructedBalance <InstdBal>	[1..15]			181
	Balance <Bal>	[1..1]	±		182
	BalanceType <BalTp>	[0..1]	CodeSet		182
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		183
	RightsHolder <RghtsHldr>	[0..250]			183
{Or	LegalPerson <LglPrsn>	[1..1]	±		184
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		184

Constraints

- BalanceQall1Rule**

If SubAccountIdentification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[*]/Balance/Code Must be absent

- RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

7.4.4.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 377

7.4.4.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		180
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		180

7.4.4.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification221](#)" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

7.4.4.2.2.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

7.4.4.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "Max35Text" on page 377

7.4.4.2.4 InstructedBalance <InstdBal>

Presence: [1..15]

Definition: Quantity of securities in the sub-balance.

InstructedBalance <InstdBal> contains the following **HoldingBalance10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		182
	BalanceType <BalTp>	[0..1]	CodeSet		182
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		183

7.4.4.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[UnitOrFaceAmountOrCode2Choice](#)" on page 257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		257
Or	FaceAmount <FaceAmt>	[1..1]	Amount		257
Or}	Code <Cd>	[1..1]	CodeSet		257

7.4.4.2.4.2 BalanceType <BalTp>

Presence: [0..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

7.4.4.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

7.4.4.2.5 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RgtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		184
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		184

7.4.4.2.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "[PartyIdentification224](#)" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

7.4.4.2.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

7.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8 **seev.006.001.07** **MeetingInstructionStatusV07**

8.1 **MessageDefinition Functionality**

Scope

The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.

The message may also be sent by the issuer or the intermediary to confirm that a vote has been cast.

Usage

The MeetingInstructionStatus message is used for four purposes.

First, it is used to provide a global processing or rejection status of a MeetingInstruction message.

Second, it provides the status on the processing of a MeetingInstructionCancellationRequest message, for example, whether the request message is rejected or accepted.

Third, it is used to provide a detailed processing or rejection status of one or more instructions within the MeetingInstruction message, for example, for each instruction in the MeetingInstruction message the processing or rejection status is individually reported by using the SingleInstructionIdentification element. This identification allows the receiver of the status message to link the status confirmation to its original instruction.

Fourth, it is used to confirm that the related vote instruction has been confirmed as cast by the issuer or its agent.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingInstructionStatusV07 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionType
Type of instruction.
- B. MeetingReference
Set of elements to allow the unambiguous identification of a meeting.
- C. FinancialInstrumentIdentification
Security for which the meeting is organised.
- D. InstructionTypeStatus
Type of instruction status.
- E. ConfirmingParty
Party that confirms the receipt of the vote cast.
- F. VoteCastingParty

Party that cast the voting ballot.

G. RightsHolder

Owner of the voting rights.

H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrSts>	[1..1]		C14, C15	
	InstructionType <InstrTp>	[1..1]			192
{Or	InstructionIdentification <InstrId>	[1..1]	Text		192
Or}	InstructionCancellationIdentification <InstrCxlId>	[1..1]	Text		192
	MeetingReference <MtgRef>	[1..1]			192
	MeetingIdentification <MtgId>	[1..1]	Text		193
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		193
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		193
	Type <Tp>	[1..1]	CodeSet		193
	Classification <Clssfctn>	[0..1]	±		194
	Location <Lctn>	[0..5]	±		194
	Issuer <Issr>	[0..1]	±		194
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C9, C10, C11, C12, C13	195
	InstructionTypeStatus <InstrTpSts>	[1..1]			196
{Or	InstructionStatus <InstrSts>	[1..*]		C16	197
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		198
	AccountIdentification <AcctId>	[0..1]	Text		198
	SubAccountIdentification <SubAcctId>	[0..1]	Text		198
	InstructionStatus <InstrSts>	[1..1]			198
{Or	ProcessingStatus <PrcgSts>	[1..1]		C7	199
	Status <Sts>	[1..1]	CodeSet		199
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		200
	AdditionalInformation <AddtlInf>	[0..1]	Text		200
Or	Rejected <Rjctd>	[1..1]	±		200
Or}	Pending <Pdg>	[1..1]	±		200
Or}	CancellationStatus <CxlSts>	[1..1]			201
{Or	GlobalCancellationStatus <GblCxlSts>	[1..1]			201
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		202

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Rejected <Rjctd>	[1..1]	±		202
Or}	PendingCancellation <PdgCxl>	[1..1]	±		202
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C17	203
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		203
	AccountIdentification <AcctId>	[0..1]	Text		204
	SubAccountIdentification <SubAcctId>	[0..1]	Text		204
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			204
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		204
Or	Rejected <Rjctd>	[1..1]	±		204
Or}	PendingCancellation <PdgCxl>	[1..1]	±		205
	ConfirmingParty <CnfrmgPty>	[1..1]			205
{Or	LegalPerson <LglPrsn>	[1..1]	±		205
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		206
	VoteCastingParty <VoteCstgPty>	[1..1]			207
{Or	LegalPerson <LglPrsn>	[1..1]	±		207
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		208
	RightsHolder <RghtsHldr>	[0..250]			209
{Or	LegalPerson <LglPrsn>	[1..1]	±		209
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		210
	SupplementaryData <SplmtryData>	[0..*]	±	C18	211

8.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C7 AttendanceCardNumber1Rule

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C10 DescriptionUsageRule

Description must be used alone as the last resort.

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C14 Status1Rule

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present.

On Condition

/InstructionType/InstructionIdentification is present

Following Must be True

/InstructionTypeStatus/InstructionStatus[*] Must be present

This constraint is defined at the MessageDefinition level.

C15 Status2Rule

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present.

On Condition

/InstructionType/InstructionCancellationIdentification is present

Following Must be True
/InstructionTypeStatus/CancellationStatus Must be present

This constraint is defined at the MessageDefinition level.

C16 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C17 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C18 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 InstructionType <InstrTp>

Presence: [1..1]

Definition: Type of instruction.

InstructionType <InstrTp> contains one of the following **InstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionIdentification <InstrId>	[1..1]	Text		192
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		192

8.4.1.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

Datatype: "Max35Text" on page 377

8.4.1.2 InstructionCancellationIdentification <InstrCxId>

Presence: [1..1]

Definition: Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

Datatype: "Max35Text" on page 377

8.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		193
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		193
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		193
	Type <Tp>	[1..1]	CodeSet		193
	Classification <Clssfctn>	[0..1]	±		194
	Location <Lctn>	[0..5]	±		194
	Issuer <Issr>	[0..1]	±		194

8.4.2.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 377

8.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

8.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 372

8.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least

CodeName	Name	Definition
		once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

8.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

8.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

8.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

8.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C9 "DescriptionPresenceRule", C10 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C13 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.4 InstructionTypeStatus <InstrTpSts>

Presence: [1..1]

Definition: Type of instruction status.

InstructionTypeStatus <InstrTpSts> contains one of the following **InstructionTypeStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionStatus <InstrSts>	[1..*]		C16	197
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		198
	AccountIdentification <AcctId>	[0..1]	Text		198
	SubAccountIdentification <SubAcctId>	[0..1]	Text		198
	InstructionStatus <InstrSts>	[1..1]			198
{Or	ProcessingStatus <PrcgSts>	[1..1]		C7	199
	Status <Sts>	[1..1]	CodeSet		199
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		200
	AdditionalInformation <AddtlInf>	[0..1]	Text		200
Or	Rejected <Rjctd>	[1..1]	±		200
Or}	Pending <Pdg>	[1..1]	±		200
Or}	CancellationStatus <CxlSts>	[1..1]			201
{Or	GlobalCancellationStatus <GblCxlSts>	[1..1]			201
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		202
Or	Rejected <Rjctd>	[1..1]	±		202
Or}	PendingCancellation <PdgCxl>	[1..1]	±		202
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C17	203
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		203
	AccountIdentification <AcctId>	[0..1]	Text		204
	SubAccountIdentification <SubAcctId>	[0..1]	Text		204
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			204
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		204
Or	Rejected <Rjctd>	[1..1]	±		204
Or}	PendingCancellation <PdgCxl>	[1..1]	±		205

8.4.4.1 InstructionStatus <InstrSts>

Presence: [1..*]

Definition: Status of each individual meeting instruction(s) included in the instruction message identified in InstructionType/InstructionIdentification.

Impacted by: C16 "SubAccount1Rule"

InstructionStatus <InstrSts> contains the following **DetailedInstructionStatus14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		198
	AccountIdentification <AcctId>	[0..1]	Text		198
	SubAccountIdentification <SubAcctId>	[0..1]	Text		198
	InstructionStatus <InstrSts>	[1..1]			198
{Or	ProcessingStatus <PrpgSts>	[1..1]		C7	199
	Status <Sts>	[1..1]	CodeSet		199
	AttendanceCardNumber <AtndncCardNb>	[0..1]	Text		200
	AdditionalInformation <AddtlInf>	[0..1]	Text		200
Or	Rejected <Rjctd>	[1..1]	±		200
Or}	Pending <Pdg>	[1..1]	±		200

Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

8.4.4.1.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message element InstructionIdentification, for which the status is provided.

Datatype: "Max35Text" on page 377

8.4.4.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 377

8.4.4.1.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 377

8.4.4.1.4 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of the individual meeting instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionStatus10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]		C7	199
	Status <Sts>	[1..1]	CodeSet		199
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		200
	AdditionalInformation <AddtlInf>	[0..1]	Text		200
Or	Rejected <Rjctd>	[1..1]	±		200
Or}	Pending <Pdg>	[1..1]	±		200

8.4.4.1.4.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction.

Impacted by: C7 "AttendanceCardNumber1Rule"

ProcessingStatus <PrcgSts> contains the following **InstructionProcessingStatus5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		199
	AttendanceCardNumber <AttdncCardNb>	[0..1]	Text		200
	AdditionalInformation <AddtlInf>	[0..1]	Text		200

Constraints

- **AttendanceCardNumber1Rule**

If AttendanceCardNumber is present, then Status must be ATTC (AttendanceConfirmed).

On Condition

/AttendanceCardNumber is present

Following Must be True

/Status Must be equal to value 'AttendanceConfirmed'

8.4.4.1.4.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "Status9Code" on page 368

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.

CodeName	Name	Definition
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.

8.4.4.1.4.1.2 AttendanceCardNumber <AttdncCardNb>

Presence: [0..1]

Definition: Number of the admission ticket that has been granted by the custodian or the issuer.

Datatype: "Max35Text" on page 377

8.4.4.1.4.1.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 377

8.4.4.1.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction and on the reason for the rejection.

Rejected <Rjctd> contains one of the following elements (see "RejectedStatus36Choice" on page 343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		343
Or}	Reason <Rsn>	[1..*]		C2	343
	ReasonCode <RsnCd>	[1..1]			344
{Or	Code <Cd>	[1..1]	CodeSet		344
Or}	Proprietary <Prtry>	[1..1]	±		345
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		345

8.4.4.1.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Status advising that the instruction is pending and advising on the reason for the pending status.

Pending <Pdg> contains one of the following elements (see "PendingStatus55Choice" on page 340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		341
Or}	Reason <Rsn>	[1..*]		C3	341
	ReasonCode <RsnCd>	[1..1]			341
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		342
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343

8.4.4.2 CancellationStatus <CxlSts>

Presence: [1..1]

Definition: Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/ InstructionCancellationIdentification.

CancellationStatus <CxlSts> contains one of the following **CancellationStatus27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxlSts>	[1..1]			201
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		202
Or	Rejected <Rjctd>	[1..1]	±		202
Or}	PendingCancellation <PdgCxl>	[1..1]	±		202
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C17	203
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		203
	AccountIdentification <AcctId>	[0..1]	Text		204
	SubAccountIdentification <SubAcctId>	[0..1]	Text		204
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			204
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		204
Or	Rejected <Rjctd>	[1..1]	±		204
Or}	PendingCancellation <PdgCxl>	[1..1]	±		205

8.4.4.2.1 GlobalCancellationStatus <GblCxlSts>

Presence: [1..1]

Definition: Status applicable globally to the meeting instruction cancellation request message.

GlobalCancellationStatus <GblCxlSts> contains one of the following **CancellationStatus26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		202
Or	Rejected <Rjctd>	[1..1]	±		202
Or}	PendingCancellation <PdgCxl>	[1..1]	±		202

8.4.4.2.1.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

ProcessingStatus <PrcgSts> contains the following elements (see "[CancellationProcessingStatus2](#)" on page 350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[0..1]	Text		350

8.4.4.2.1.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus31Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		348
Or}	Reason <Rsn>	[1..*]		C4	348
	ReasonCode <RsnCd>	[1..1]			349
{Or	Code <Cd>	[1..1]	CodeSet		349
Or}	Proprietary <Prtry>	[1..1]	±		350
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

8.4.4.2.1.3 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

PendingCancellation <PdgCxl> contains one of the following elements (see "PendingCancellationStatus10Choice" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		346
Or}	Reason <Rsn>	[1..*]		C1, C5	346
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		347
Or}	Proprietary <Prtry>	[1..1]	±		347
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		348

8.4.4.2.2 DetailedCancellationStatus <DtldCxlSts>

Presence: [1..*]

Definition: Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

Impacted by: C17 "SubAccount1Rule"

DetailedCancellationStatus <DtldCxlSts> contains the following **DetailedInstructionCancellationStatus14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		203
	AccountIdentification <AcctId>	[0..1]	Text		204
	SubAccountIdentification <SubAcctId>	[0..1]	Text		204
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			204
{Or	ProcessingStatus <PrpgSts>	[1..1]	±		204
Or	Rejected <Rjctd>	[1..1]	±		204
Or}	PendingCancellation <PdgCxl>	[1..1]	±		205

Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

8.4.4.2.2.1 SingleInstructionCancellationIdentification <SnglInstrCxlId>

Presence: [1..1]

Definition: Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in `InstructionType/`
`InstructionCancellationIdentification`, for which the status is provided.

Datatype: `"Max35Text"` on page 377

8.4.4.2.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: `"Max35Text"` on page 377

8.4.4.2.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: `"Max35Text"` on page 377

8.4.4.2.2.4 InstructionCancellationStatus <InstrCxlSts>

Presence: [1..1]

Definition: Status of an individual meeting instruction cancellation request.

InstructionCancellationStatus <InstrCxlSts> contains one of the following **CancellationStatus26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]	±		204
Or	Rejected <Rjctd>	[1..1]	±		204
Or}	PendingCancellation <PdgCxl>	[1..1]	±		205

8.4.4.2.2.4.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

ProcessingStatus <PrcgSts> contains the following elements (see ["CancellationProcessingStatus2"](#) on page 350 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[0..1]	Text		350

8.4.4.2.2.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

Rejected <Rjctd> contains one of the following elements (see "[RejectedStatus31Choice](#)" on page 348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		348
Or}	Reason <Rsn>	[1..*]		C4	348
	ReasonCode <RsnCd>	[1..1]			349
{Or	Code <Cd>	[1..1]	CodeSet		349
Or}	Proprietary <Prtry>	[1..1]	±		350
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

8.4.4.2.2.4.3 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

PendingCancellation <PdgCxl> contains one of the following elements (see "[PendingCancellationStatus10Choice](#)" on page 346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		346
Or}	Reason <Rsn>	[1..*]		C1, C5	346
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		347
Or}	Proprietary <Prtry>	[1..1]	±		347
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		348

8.4.5 ConfirmingParty <CnfrmgPty>

Presence: [1..1]

Definition: Party that confirms the receipt of the vote cast.

ConfirmingParty <CnfrmgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		205
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		206

8.4.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

8.4.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification222" on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			311
	FirstName <FrstNm>	[1..1]	Text		312
	Surname <Srm>	[1..1]	Text		312
	Address <Adr>	[0..1]			312
	AddressType <AdrTp>	[0..1]	CodeSet		313
	AddressLine <AdrLine>	[0..5]	Text		313
	StreetName <StrtNm>	[0..1]	Text		313
	BuildingNumber <BldgNb>	[0..1]	Text		313
	PostBox <PstBx>	[0..1]	Text		314
	PostCode <PstCd>	[0..1]	Text		314
	TownName <TwnNm>	[0..1]	Text		314
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		314
	Country <Ctry>	[1..1]	CodeSet	C3	314
	EmailAddress <EmailAdr>	[0..1]	Text		314
	Identification <Id>	[1..1]	±		314

8.4.6 VoteCastingParty <VoteCstgPty>

Presence: [1..1]

Definition: Party that cast the voting ballot.

VoteCastingParty <VoteCstgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		207
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		208

8.4.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

8.4.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification222" on page 310 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			311
	FirstName <FrstNm>	[1..1]	Text		312
	Surname <Srm>	[1..1]	Text		312
	Address <Adr>	[0..1]			312
	AddressType <AdrTp>	[0..1]	CodeSet		313
	AddressLine <AdrLine>	[0..5]	Text		313
	StreetName <StrtNm>	[0..1]	Text		313
	BuildingNumber <BldgNb>	[0..1]	Text		313
	PostBox <PstBx>	[0..1]	Text		314
	PostCode <PstCd>	[0..1]	Text		314
	TownName <TwnNm>	[0..1]	Text		314
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		314
	Country <Ctry>	[1..1]	CodeSet	C3	314
	EmailAddress <EmailAdr>	[0..1]	Text		314
	Identification <Id>	[1..1]	±		314

8.4.7 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		209
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		210

8.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

8.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <Srn>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

8.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C18 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.007.001.07**

MeetingVoteExecutionConfirmationV07

9.1 **MessageDefinition Functionality**

Scope

The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.

Usage

This message is sent after the shareholders meeting has taken place. The Sender of this message confirms the execution of the vote at the meeting.

This messages is sent if the Sender of the MeetingInstruction message has requested such a confirmation or if market practice or regulation stipulates the need for a full audit trail.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingVoteExecutionConfirmationV07 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the vote execution confirmation is provided.

B. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. VoteInstructions

Specifies how a party has voted for each agenda item.

E. VoteInstructionsConfirmationURLAddress

Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

F. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgVoteExctnConf>	[1..1]			
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		216
	MeetingReference <MtgRef>	[1..1]			216
	MeetingIdentification <MtgId>	[1..1]	Text		217
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		217
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		217
	Type <Tp>	[1..1]	CodeSet		217
	Classification <Clssfctn>	[0..1]	±		217
	Location <Lctn>	[0..5]	±		218
	Issuer <Issr>	[0..1]	±		218
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	218
	VoteInstructions <VoteInstrs>	[0..*]		C8, C9	220
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		222
	AccountIdentification <AcctId>	[0..1]	Text		222
	AccountOwner <AcctOwnr>	[0..1]			222
{Or	LegalPerson <LglPrsn>	[1..1]	±		222
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		223
	SubAccountIdentification <SubAcctId>	[0..1]	Text		224
	RightsHolder <RghtsHldr>	[0..250]			224
{Or	LegalPerson <LglPrsn>	[1..1]	±		225
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		225
	Proxy <Prxy>	[0..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]	±		227
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		227
	StandingInstruction <StglInstr>	[0..1]	Indicator		228
	ModalityOfCounting <ModltyOfCntg>	[1..1]	±		229
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		229
	VotePerResolution <VotePerRsln>	[0..1000]			229
	IssuerLabel <IssrLab>	[1..1]	Text		230

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	For <For>	[0..1]	±		230
	Against <Agnt>	[0..1]	±		230
	Abstain <Abstn>	[0..1]	±		231
	Withhold <Withld>	[0..1]	±		231
	WithManagement <WithMgmt>	[0..1]	±		231
	AgainstManagement <AgntMgmt>	[0..1]	±		231
	Discretionary <Dscrtnry>	[0..1]	±		232
	OneYear <OneYr>	[0..1]	±		232
	TwoYears <TwoYrs>	[0..1]	±		232
	ThreeYears <ThreeYrs>	[0..1]	±		232
	NoAction <NoActn>	[0..1]	±		233
	Blank <Blnk>	[0..1]	±		233
	Proprietary <Prtry>	[0..4]	±		233
	Withdrawn <Wdrwn>	[0..1]	Indicator		233
	VoteInstructionsConfirmationURLAddress <VoteInstrsConfURLAdr>	[0..1]	Text		234
	SupplementaryData <SplmtryData>	[0..*]	±	C10	234

9.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C9 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the vote execution confirmation is provided.

Datatype: "Max35Text" on page 377

9.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		217
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		217
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		217
	Type <Tp>	[1..1]	CodeSet		217
	Classification <Clssfctn>	[0..1]	±		217
	Location <Lctn>	[0..5]	±		218
	Issuer <Issr>	[0..1]	±		218

9.4.2.1 MeetingIdentification <Mtgid>*Presence:* [1..1]*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.*Datatype:* "Max35Text" on page 377**9.4.2.2 IssuerMeetingIdentification <IssrMtgid>***Presence:* [0..1]*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.*Datatype:* "Max35Text" on page 377**9.4.2.3 MeetingDateAndTime <MtgDtAndTm>***Presence:* [1..1]*Definition:* Date and time at which the meeting will take place.*Datatype:* "ISODateTime" on page 372**9.4.2.4 Type <Tp>***Presence:* [1..1]*Definition:* Type of meeting for which instructions are sent.*Datatype:* "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

9.4.2.5 Classification <Clssfctn>*Presence:* [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

9.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

9.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

9.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

9.4.4 **VoteInstructions <VoteInstrs>**

Presence: [0..*]

Definition: Specifies how a party has voted for each agenda item.

Impacted by: C8 "RightsHolderMultiplicity1Guideline", C9 "SubAccount1Rule"

VoteInstructions <VoteInstrs> contains the following **DetailedInstructionStatus15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		222
	AccountIdentification <AcctId>	[0..1]	Text		222
	AccountOwner <AcctOwnr>	[0..1]			222
{Or	LegalPerson <LglPrsn>	[1..1]	±		222
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		223
	SubAccountIdentification <SubAcctId>	[0..1]	Text		224
	RightsHolder <RghtsHldr>	[0..250]			224
{Or	LegalPerson <LglPrsn>	[1..1]	±		225
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		225
	Proxy <Prxy>	[0..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]	±		227
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		227
	StandingInstruction <StglInstr>	[0..1]	Indicator		228
	ModalityOfCounting <ModltyOfCntg>	[1..1]	±		229
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		229
	VotePerResolution <VotePerRsln>	[0..1000]			229
	IssuerLabel <IssrLbl>	[1..1]	Text		230
	For <For>	[0..1]	±		230
	Against <Agnst>	[0..1]	±		230
	Abstain <Abstn>	[0..1]	±		231
	Withhold <Withhld>	[0..1]	±		231
	WithManagement <WithMgmt>	[0..1]	±		231
	AgainstManagement <AgnstMgmt>	[0..1]	±		231
	Discretionary <Dscrtnry>	[0..1]	±		232
	OneYear <OneYr>	[0..1]	±		232
	TwoYears <TwoYrs>	[0..1]	±		232
	ThreeYears <ThreeYrs>	[0..1]	±		232
	NoAction <NoActn>	[0..1]	±		233
	Blank <Blnk>	[0..1]	±		233
	Proprietary <Prtry>	[0..4]	±		233
	Withdrawn <Wdrwn>	[0..1]	Indicator		233

Constraints

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

9.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

Datatype: "Max35Text" on page 377

9.4.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the safekeeping account.

Datatype: "Max35Text" on page 377

9.4.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		222
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		223

9.4.4.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

9.4.4.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

9.4.4.4 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 377

9.4.4.5 RightsHolder <RightsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RgtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		225
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		225

9.4.4.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

9.4.4.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

9.4.4.6 Proxy <Prxy>

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

Proxy <Prxy> contains one of the following **PartyIdentification232Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		227
Or}	NaturalPerson <NtrlPrsn>	[1..1]	±		227

9.4.4.6.1 LegalPerson <LglPrsn>*Presence:* [1..1]*Definition:* Legal entity.**LegalPerson <LglPrsn>** contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

9.4.4.6.2 NaturalPerson <NtrlPrsn>*Presence:* [1..1]*Definition:* Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

9.4.4.7 StandingInstruction <StgInstr>

Presence: [0..1]

Definition: Indicates whether standing instructions have been applied or not.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

9.4.4.8 ModalityOfCounting <ModltyOfCntg>*Presence:* [1..1]*Definition:* Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.**ModalityOfCounting <ModltyOfCntg>** contains one of the following elements (see "[ModalityOfCounting1Choice](#)" on page 280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280

9.4.4.9 VoteReceiptDateTime <VoteRctDtTm>*Presence:* [0..1]*Definition:* Date or date and time at which the votes that have been recorded and counted were received.**VoteReceiptDateTime <VoteRctDtTm>** contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateTime <DtTm>	[1..1]	DateTime		258

9.4.4.10 VotePerResolution <VotePerRsln>*Presence:* [0..1000]*Definition:* Details of the vote.

VotePerResolution <VotePerRsIttn> contains the following **Vote13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		230
	For <For>	[0..1]	±		230
	Against <Agnst>	[0..1]	±		230
	Abstain <Abstn>	[0..1]	±		231
	Withhold <Wthhld>	[0..1]	±		231
	WithManagement <WthMgmt>	[0..1]	±		231
	AgainstManagement <AgnstMgmt>	[0..1]	±		231
	Discretionary <Dscrtnry>	[0..1]	±		232
	OneYear <OneYr>	[0..1]	±		232
	TwoYears <TwoYrs>	[0..1]	±		232
	ThreeYears <ThreeYrs>	[0..1]	±		232
	NoAction <NoActn>	[0..1]	±		233
	Blank <Blnk>	[0..1]	±		233
	Proprietary <Prtry>	[0..4]	±		233
	Withdrawn <Wdrwn>	[0..1]	Indicator		233

9.4.4.10.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 377

9.4.4.10.2 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.3 Against <Agnst>

Presence: [0..1]

Definition: Number of votes against one resolution.

Against <Agnst> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.4 Abstain <Abstn>

Presence: [0..1]

Definition: Number of votes expressed as abstain for one resolution.

Abstain <Abstn> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.5 Withhold <Wthhld>

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

Withhold <Wthhld> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.6 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.7 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.8 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.9 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.10 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.11 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.12 NoAction <NoActn>

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

NoAction <NoActn> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.13 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

9.4.4.10.14 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "ProprietaryVote2" on page 275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		275
	Quantity <Qty>	[1..1]	±		275

9.4.4.10.15 Withdrawn <Wdrwn>

Presence: [0..1]

Definition: Indicates the resolution was withdrawn at the meeting.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

9.4.5 **VoteInstructionsConfirmationURLAddress** **<VoteInstrsConfURLAdr>**

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.

Datatype: "Max2048Text" on page 376

9.4.6 **SupplementaryData <SplmtryData>**

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C10 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on [page 287](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 **seev.008.001.07**

MeetingResultDisseminationV07

10.1 **MessageDefinition Functionality**

Scope

The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

Usage

The MeetingResultDissemination message is used to provide the vote results per resolution. It may also provide information on the level of participation.

This message is also used to notify an update or amendment to a previously sent MeetingResultDissemination message.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingResultDisseminationV07 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

B. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

C. MeetingReference

Set of elements to allow the unambiguous identification of a meeting.

D. Security

Securities for which the meeting is organised.

E. VoteResult

Results per resolution.

F. Participation

Information about the participation to the voting process.

G. AdditionalInformation

Information on where additional information can be received.

H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgRsItDssmntn>	[1..1]		C9	
	MeetingResultsDisseminationType <MtgRsItsDssmntnTp>	[1..1]	CodeSet		238
	PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>	[0..1]	Text		239
	MeetingReference <MtgRef>	[1..1]			239
	MeetingIdentification <MtgId>	[1..1]	Text		239
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		239
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		239
	Type <Tp>	[1..1]	CodeSet		239
	Classification <Clssfctn>	[0..1]	±		240
	Location <Lctn>	[0..5]	±		240
	Issuer <Issr>	[0..1]	±		241
	Security <Scty>	[1..200]			241
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	242
	Position <Pos>	[0..1000]		C5, C10	243
	AccountIdentification <AcctId>	[1..1]	Text		244
	AccountOwner <AcctOwnr>	[0..1]			244
{Or	LegalPerson <LglPrsn>	[1..1]	±		244
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		245
	HoldingBalance <HldgBal>	[0..15]			246
	Balance <Bal>	[1..1]	±		247
	BalanceType <BalTp>	[1..1]	CodeSet		247
	SafekeepingPlace <SfkgPlc>	[0..1]	±		248
	Date <Dt>	[0..1]	Date		248
	RightsHolder <RghtsHldr>	[0..250]			249
{Or	LegalPerson <LglPrsn>	[1..1]	±		249
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		249
	VoteResult <VoteRsIt>	[1..1000]			250
	IssuerLabel <IssrLabl>	[1..1]	Text		251

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ResolutionStatus <RsltnSts>	[1..1]	CodeSet		251
	For <For>	[0..1]	±		251
	Against <Agnst>	[0..1]	±		252
	Abstain <Abstn>	[0..1]	±		252
	Withhold <Wthhld>	[0..1]	±		252
	WithManagement <WthMgmt>	[0..1]	±		252
	AgainstManagement <AgnstMgmt>	[0..1]	±		253
	Discretionary <Dscrtnry>	[0..1]	±		253
	OneYear <OneYr>	[0..1]	±		253
	TwoYears <TwoYrs>	[0..1]	±		253
	ThreeYears <ThreeYrs>	[0..1]	±		254
	NoAction <NoActn>	[0..1]	±		254
	Blank <Blnk>	[0..1]	±		254
	Proprietary <Prtry>	[0..4]	±		254
	Participation <Prtpn>	[0..1]			255
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		255
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		255
	TotalNumberOfSecuritiesOutstanding <TtlNbOfScitiesOutsdng>	[0..1]	±		255
	CalculationDate <ClctnDt>	[0..1]	Date		256
	AdditionalInformation <AddtlInf>	[0..1]	±		256
	SupplementaryData <SplmtryData>	[0..*]	±	C11	256

10.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C9 PreviousMeetingResultsDisseminationIdentificationRule

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

On Condition

/MeetingResultsDisseminationType is equal to value 'Replacement'

Following Must be True

/PreviousMeetingResultsDisseminationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 MeetingResultsDisseminationType <MtgRsItsDssmntnTp>

Presence: [1..1]

Definition: Type of dissemination report, that is, whether the report is new or a replacement.

Datatype: "NotificationType2Code" on page 360

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

10.4.2 PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>

Presence: [0..1]

Definition: Identification of the previously sent meeting result dissemination message.

Datatype: "Max35Text" on page 377

10.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements to allow the unambiguous identification of a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		239
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		239
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		239
	Type <Tp>	[1..1]	CodeSet		239
	Classification <Clssfctn>	[0..1]	±		240
	Location <Lctn>	[0..5]	±		240
	Issuer <Issr>	[0..1]	±		241

10.4.3.1 MeetingIdentification <MtgId>

Presence: [1..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 377

10.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 377

10.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 372

10.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 358

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

10.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following elements (see "MeetingTypeClassification2Choice" on page 285 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

10.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

10.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

10.4.4 Security <Scty>

Presence: [1..200]

Definition: Securities for which the meeting is organised.

Security <Scty> contains the following **SecurityPosition12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	242
	Position <Pos>	[0..1000]		C5, C10	243
	AccountIdentification <AcctId>	[1..1]	Text		244
	AccountOwner <AcctOwnr>	[0..1]			244
{Or	LegalPerson <LglPrsn>	[1..1]	±		244
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		245
	HoldingBalance <HldgBal>	[0..15]			246
	Balance <Bal>	[1..1]	±		247
	BalanceType <BalTp>	[1..1]	CodeSet		247
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		248
	Date <Dt>	[0..1]	Date		248
	RightsHolder <RghtsHldr>	[0..250]			249
{Or	LegalPerson <LglPrsn>	[1..1]	±		249
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		249

10.4.4.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

10.4.4.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C5 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		244
	AccountOwner <AcctOwnr>	[0..1]			244
{Or	LegalPerson <LglPrsn>	[1..1]	±		244
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		245
	HoldingBalance <HldgBal>	[0..15]			246
	Balance <Bal>	[1..1]	±		247
	BalanceType <BalTp>	[1..1]	CodeSet		247
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		248
	Date <Dt>	[0..1]	Date		248
	RightsHolder <RghtsHldr>	[0..250]			249
{Or	LegalPerson <LglPrsn>	[1..1]	±		249
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		249

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

10.4.4.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 377

10.4.4.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification231Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		244
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		245

10.4.4.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification221" on page 315 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

10.4.4.2.2.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification238" on page 298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

10.4.4.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		247
	BalanceType <BalTp>	[1..1]	CodeSet		247
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		248
	Date <Dt>	[0..1]	Date		248

10.4.4.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.4.2.3.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "[SecuritiesEntryType2Code](#)" on page 367

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.

CodeName	Name	Definition
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

10.4.4.2.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 337 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

10.4.4.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 372

10.4.4.2.4 RightsHolder <RghtsHldr>

Presence: [0..250]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification233Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]	±		249
Or}	NaturalPerson <NtrlPrsn>	[1..*]	±		249

10.4.4.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following elements (see "PartyIdentification224" on page 305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

10.4.4.2.4.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following elements (see "PartyIdentification240" on page 292 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

10.4.5 VoteResult <VoteRslt>

Presence: [1..1000]

Definition: Results per resolution.

VoteResult <VoteRslt> contains the following **Vote16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		251
	ResolutionStatus <RslnSts>	[1..1]	CodeSet		251
	For <For>	[0..1]	±		251
	Against <Agnst>	[0..1]	±		252
	Abstain <Abstn>	[0..1]	±		252
	Withhold <Wthhld>	[0..1]	±		252
	WithManagement <WthMgmt>	[0..1]	±		252
	AgainstManagement <AgnstMgmt>	[0..1]	±		253
	Discretionary <Dscrtnry>	[0..1]	±		253
	OneYear <OneYr>	[0..1]	±		253
	TwoYears <TwoYrs>	[0..1]	±		253
	ThreeYears <ThreeYrs>	[0..1]	±		254
	NoAction <NoActn>	[0..1]	±		254
	Blank <Blnk>	[0..1]	±		254
	Proprietary <Prtry>	[0..4]	±		254

10.4.5.1 IssuerLabel <IssrLabl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 377

10.4.5.2 ResolutionStatus <RslnSts>

Presence: [1..1]

Definition: Acceptance status of the resolution.

Datatype: "ResolutionStatus2Code" on page 366

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

10.4.5.3 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.4 Against <Agnst>

Presence: [0..1]

Definition: Number of votes against one resolution.

Against <Agnst> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.5 Abstain <Abstn>

Presence: [0..1]

Definition: Number of abstention votes.

Abstain <Abstn> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.6 Withhold <Wthhld>

Presence: [0..1]

Definition: Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

Withhold <Wthhld> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.7 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.8 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.9 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which the decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.10 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.11 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.12 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.13 NoAction <NoActn>

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

NoAction <NoActn> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.14 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.5.15 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "ProprietaryVote2" on page 275 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		275
	Quantity <Qty>	[1..1]	±		275

10.4.6 Participation <Prtcpn>

Presence: [0..1]

Definition: Information about the participation to the voting process.

Participation <Prtcpn> contains the following **Participation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		255
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		255
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>	[0..1]	±		255
	CalculationDate <ClctnDt>	[0..1]	Date		256

10.4.6.1 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 374

10.4.6.2 PercentageOfVotingRights <PctgOfVtngRghts>

Presence: [0..1]

Definition: Percentage of rights participating to the vote versus total voting rights.

Datatype: "PercentageRate" on page 374

10.4.6.3 TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as a number of units or a face amount.

TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

10.4.6.4 CalculationDate <ClctnDt>

Presence: [0..1]

Definition: Date of calculation of the total number of outstanding securities.

Datatype: "ISODate" on page 372

10.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Information on where additional information can be received.

AdditionalInformation <AddtlInf> contains the following elements (see "[CommunicationAddress11](#)" on page 280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		281
	URLAddress <URLAdr>	[0..1]	Text		281

10.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 287 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11 Message Items Types

11.1 MessageComponents

11.1.1 Amount

11.1.1.1 UnitOrFaceAmountOrCode2Choice

Definition: Choice between a unit in decimal number, a face amount in currency and amount or a unit expressed as a code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		257
Or	FaceAmount <FaceAmt>	[1..1]	Amount		257
Or}	Code <Cd>	[1..1]	CodeSet		257

11.1.1.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 374

11.1.1.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 352

11.1.1.1.3 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 363

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.1.2 Cancellation Right

11.1.2.1 DateFormat3Choice

Definition: Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateCode <DtCd>	[1..1]	CodeSet		258

11.1.2.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 372

11.1.2.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Indicates that date is unknown.

Datatype: "DateType1Code" on page 355

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.1.3 Date Time

11.1.3.1 DateAndDateTime1Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateTime <DtTm>	[1..1]	DateTime		258

11.1.3.1.1 Date <Dt>

Presence: [1..1]

Definition: Numeric representation of the day of the month and year.

Datatype: "ISODate" on page 372

11.1.3.1.2 DateTime <DtTm>

Presence: [1..1]

Definition: Numeric representation of time of the day and the day of the month and year.

Datatype: "ISODatetime" on page 372

11.1.3.2 DateFormat1

Definition: Format to express a date and a date mode.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		259
	DateMode <DtMd>	[0..1]	CodeSet		259

11.1.3.2.1 Date <Dt>

Presence: [1..1]

Definition: Date at which the event occurs.

Date <Dt> contains one of the following elements (see ["DateFormat3Choice"](#) on page 257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateCode <DtCd>	[1..1]	CodeSet		258

11.1.3.2.2 DateMode <DtMd>

Presence: [0..1]

Definition: Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".

Datatype: ["DateMode1Code"](#) on page 355

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

11.1.3.3 DateFormat58Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		259
Or}	DateCode <DtCd>	[1..1]	CodeSet		260

11.1.3.3.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 260 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		260
Or}	DateTime <DtTm>	[1..1]	DateTime		260

11.1.3.3.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Type of date.*Datatype:* "DateType1Code" on page 355

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.1.3.4 DateAndDateTime2Choice*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		260
Or}	DateTime <DtTm>	[1..1]	DateTime		260

11.1.3.4.1 Date <Dt>*Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 372**11.1.3.4.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODateTime" on page 372**11.1.4 Document****11.1.4.1 DocumentIdentification32***Definition:* Identification of a document as well as the document number and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			261
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		261
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		261
	DocumentNumber <DocNb>	[0..1]	±	C14	261
	LinkageType <LkgTp>	[0..1]	±	C17	261

11.1.4.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique identifier of the document (message) assigned either by the account servicer or the account owner.**Identification <Id>** contains one of the following **DocumentIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountServicerDocumentIdentification <AcctSvcrDocId>	[1..1]	Text		261
Or}	AccountOwnerDocumentIdentification <AcctOwnrDocId>	[1..1]	Text		261

11.1.4.1.1.1 AccountServicerDocumentIdentification <AcctSvcrDocId>*Presence:* [1..1]*Definition:* Identification of the document assigned by the account servicer.*Datatype:* "Max35Text" on page 377**11.1.4.1.1.2 AccountOwnerDocumentIdentification <AcctOwnrDocId>***Presence:* [1..1]*Definition:* Identification of the document assigned by the account owner.*Datatype:* "Max35Text" on page 377**11.1.4.1.2 DocumentNumber <DocNb>***Presence:* [0..1]*Definition:* Identification of the type of document.*Impacted by:* C14 "ShortLongNumberRule"**DocumentNumber <DocNb>** contains one of the following elements (see "DocumentNumber5Choice" on page 262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		262
Or	LongNumber <LngNb>	[1..1]	Text		262
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		262

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

11.1.4.1.3 LinkageType <LkgTp>*Presence:* [0..1]*Definition:* Specifies when this document is to be processed relative to another referred document.*Impacted by:* C17 "WithLinkageRule"

LinkageType <LkgTp> contains one of the following elements (see "ProcessingPosition7Choice" on page 286 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		287
Or}	Proprietary <Prtry>	[1..1]	±		287

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

11.1.4.2 DocumentNumber5Choice

Definition: Choice between a short document number, a long document number or a proprietary document number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ShortNumber <ShrtNb>	[1..1]	Text		262
Or	LongNumber <LngNb>	[1..1]	Text		262
Or}	ProprietaryNumber <PrtryNb>	[1..1]	±		262

Constraints

- **ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

11.1.4.2.1 ShortNumber <ShrtNb>

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: "Exact3NumericText" on page 375

11.1.4.2.2 LongNumber <LngNb>

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 375

11.1.4.2.3 ProprietaryNumber <PrtryNb>

Presence: [1..1]

Definition: Proprietary document identification.

ProprietaryNumber <PrtryNb> contains the following elements (see "[GenericIdentification36](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		274
	SchemeName <SchmeNm>	[0..1]	Text		274

11.1.5 Financial Institution Identification

11.1.5.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		263
	MemberIdentification <Mmbld>	[1..1]	Text		263

11.1.5.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "[ClearingSystemIdentification2Choice](#)" on page 351 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	Text		351

11.1.5.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "[Max35Text](#)" on page 377

11.1.5.2 FinancialInstitutionIdentification18

Definition: Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	264
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		264
	LEI <LEI>	[0..1]	IdentifierSet		264
	Name <Nm>	[0..1]	Text		264
	PostalAddress <PstlAdr>	[0..1]	±		265
	Other <Othr>	[0..1]	±		265

11.1.5.2.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 373

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

11.1.5.2.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "ClearingSystemMemberIdentification2" on page 263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		263
	MemberIdentification <Mmbld>	[1..1]	Text		263

11.1.5.2.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "LEIIdentifier" on page 373

11.1.5.2.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 376

11.1.5.2.5 PostalAddress <PstlAdr>*Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress24" on page 329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331
	Department <Dept>	[0..1]	Text		331
	SubDepartment <SubDept>	[0..1]	Text		331
	StreetName <StrtNm>	[0..1]	Text		331
	BuildingNumber <BldgNb>	[0..1]	Text		331
	BuildingName <BldgNm>	[0..1]	Text		332
	Floor <Flr>	[0..1]	Text		332
	PostBox <PstBx>	[0..1]	Text		332
	Room <Room>	[0..1]	Text		332
	PostCode <PstCd>	[0..1]	Text		332
	TownName <TwnNm>	[0..1]	Text		332
	TownLocationName <TwnLctnNm>	[0..1]	Text		332
	DistrictName <DstrctNm>	[0..1]	Text		332
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		333
	Country <Ctry>	[0..1]	CodeSet	C3	333
	AddressLine <AdrLine>	[0..7]	Text		333

11.1.5.2.6 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericFinancialIdentification1](#)" on page 268 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		268
	SchemeName <SchmeNm>	[0..1]			269
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	Text		269
	Issuer <Issr>	[0..1]	Text		269

11.1.5.3 BranchData3

Definition: Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		266
	LEI <LEI>	[0..1]	IdentifierSet		266
	Name <Nm>	[0..1]	Text		266
	PostalAddress <PstlAdr>	[0..1]	±		266

11.1.5.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "[Max35Text](#)" on page 377

11.1.5.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 373

11.1.5.3.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 376

11.1.5.3.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "PostalAddress24" on page 329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331
	Department <Dept>	[0..1]	Text		331
	SubDepartment <SubDept>	[0..1]	Text		331
	StreetName <StrtNm>	[0..1]	Text		331
	BuildingNumber <BldgNb>	[0..1]	Text		331
	BuildingName <BldgNm>	[0..1]	Text		332
	Floor <Flr>	[0..1]	Text		332
	PostBox <PstBx>	[0..1]	Text		332
	Room <Room>	[0..1]	Text		332
	PostCode <PstCd>	[0..1]	Text		332
	TownName <TwnNm>	[0..1]	Text		332
	TownLocationName <TwnLctnNm>	[0..1]	Text		332
	DistrictName <DstrctNm>	[0..1]	Text		332
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		333
	Country <Ctry>	[0..1]	CodeSet	C3	333
	AddressLine <AdrLine>	[0..7]	Text		333

11.1.5.4 BranchAndFinancialInstitutionIdentification6

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		267
	BranchIdentification <BrnchId>	[0..1]	±		268

11.1.5.4.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following elements (see "FinancialInstitutionIdentification18" on page 263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	264
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		264
	LEI <LEI>	[0..1]	IdentifierSet		264
	Name <Nm>	[0..1]	Text		264
	PostalAddress <PstlAdr>	[0..1]	±		265
	Other <Othr>	[0..1]	±		265

11.1.5.4.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following elements (see "BranchData3" on page 266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		266
	LEI <LEI>	[0..1]	IdentifierSet		266
	Name <Nm>	[0..1]	Text		266
	PostalAddress <PstlAdr>	[0..1]	±		266

11.1.5.5 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		268
	SchemeName <SchmeNm>	[0..1]			269
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	Text		269
	Issuer <Issr>	[0..1]	Text		269

11.1.5.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 377

11.1.5.5.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		269
Or}	Proprietary <Prtry>	[1..1]	Text		269

11.1.5.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 356

11.1.5.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 377

11.1.5.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 377

11.1.6 Financial Instrument

11.1.6.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		270
	OtherIdentification <OthrId>	[0..*]			270
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271
	Description <Desc>	[0..1]	Text		271

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or
    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

11.1.6.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 373

11.1.6.1.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		271
	Suffix <Sfx>	[0..1]	Text		271
	Type <Tp>	[1..1]	±		271

11.1.6.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 377

11.1.6.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 376

11.1.6.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		274
Or}	Proprietary <Prtry>	[1..1]	Text		274

11.1.6.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 376

11.1.7 Identification Information

11.1.7.1 GenericIdentification13

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		272
	SchemeName <SchmeNm>	[0..1]	Text		272
	Issuer <Issr>	[1..1]	Text		272

11.1.7.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 377

11.1.7.1.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 377

11.1.7.1.3 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 377

11.1.7.2 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		272
	Identification <Id>	[0..1]	Text		272

11.1.7.2.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.7.2.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 377

11.1.7.3 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.7.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 375

11.1.7.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 377

11.1.7.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 377

11.1.7.4 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		274
	SchemeName <SchmeNm>	[0..1]	Text		274

11.1.7.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 377

11.1.7.4.2 Issuer <lssr>*Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 377**11.1.7.4.3 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 377**11.1.7.5 IdentificationSource3Choice***Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		274
Or}	Proprietary <Prtry>	[1..1]	Text		274

11.1.7.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 357**11.1.7.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 377**11.1.8 Miscellaneous****11.1.8.1 AdditionalRightCode1Choice***Definition:* Choice of additional right code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		274
Or}	Proprietary <Prtry>	[1..1]	±		275

11.1.8.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identifies the additional right code.*Datatype:* "AdditionalRight1Code" on page 352

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

11.1.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: This code can be used in case another reason is required.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 271 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		272
	SchemeName <SchmeNm>	[0..1]	Text		272
	Issuer <Issr>	[1..1]	Text		272

11.1.8.2 ProprietaryVote2

Definition: Proprietary vote type and quantity voted.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		275
	Quantity <Qty>	[1..1]	±		275

11.1.8.2.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.2.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

11.1.8.3 IncentivePremium5

Definition: Cash premium made available if the securities holder consents or participates to an event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		276
	Amount <Amt>	[1..1]	±		276
	Type <Tp>	[1..1]			276
{Or	PerSecurity <PerScty>	[1..1]	Quantity		277
Or	PerVote <PerVote>	[1..*]			277
	VoteInstructionType <VoteInstrTp>	[1..1]	±		277
	VoteQuantity <VoteQty>	[1..1]	Quantity		277
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		277
	PaymentDate <PmtDt>	[0..1]	±		278

11.1.8.3.1 Description <Desc>

Presence: [0..1]

Definition: Description of the premium.

Datatype: "[Max350Text](#)" on page 377

11.1.8.3.2 Amount <Amt>

Presence: [1..1]

Definition: Cash premium paid per security, per vote or per attendee.

Amount <Amt> contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		336
Or}	Amount <Amt>	[1..1]	Amount	C1	337

11.1.8.3.3 Type <Tp>

Presence: [1..1]

Definition: Specifies which voting related criteria gives rights to an incentive premium.

Type <Tp> contains one of the following **IncentivePremiumType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		277
Or	PerVote <PerVote>	[1..*]			277
	VoteInstructionType <VoteInstrTp>	[1..1]	±		277
	VoteQuantity <VoteQty>	[1..1]	Quantity		277
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		277

11.1.8.3.3.1 PerSecurity <PerScty>

Presence: [1..1]

Definition: Number of securities giving right to a premium.

Datatype: "Number" on page 374

11.1.8.3.3.2 PerVote <PerVote>

Presence: [1..*]

Definition: Quantity of votes per type of vote giving right to a premium.

PerVote <PerVote> contains the following **VoteTypeAndQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionType <VoteInstrTp>	[1..1]	±		277
	VoteQuantity <VoteQty>	[1..1]	Quantity		277

11.1.8.3.3.2.1 VoteInstructionType <VoteInstrTp>

Presence: [1..1]

Definition: Types of vote instruction allowed for resolutions to be voted on in general meeting.

VoteInstructionType <VoteInstrTp> contains one of the following elements (see "VoteInstructionType1Choice" on page 281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

11.1.8.3.3.2.2 VoteQuantity <VoteQty>

Presence: [1..1]

Definition: Quantity of vote expressed for the specified type of vote instruction.

Datatype: "Number" on page 374

11.1.8.3.3.3 PerAttendee <PerAttndee>

Presence: [1..1]

Definition: Indicates that the premium is given per attendee.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 374):

- *Meaning When True:* Yes
- *Meaning When False:* No

11.1.8.3.4 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time for the payment of the premium.

PaymentDate <PmtDt> contains one of the following elements (see "DateFormat3Choice" on page 257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		258
Or}	DateCode <DtCd>	[1..1]	CodeSet		258

11.1.8.4 LocationFormat1Choice

Definition: Choice between the formats to indicate the location of the meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		278
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		279

11.1.8.4.1 Address <Adr>

Presence: [1..1]

Definition: Specifies physical address of the meeting.

Address <Adr> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

11.1.8.4.2 LocationCode <LctnCd>*Presence:* [1..1]*Definition:* Indicates that the location is unknown.*Datatype:* "PlaceType1Code" on page 362

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

11.1.8.5 AttendanceAdmissionConditions2*Definition:* Physical attendance admission conditions for a meeting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		279
	AdditionalInformation <AddtlInf>	[0..1]	Text		280

11.1.8.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Condition for physical admittance to the general meeting.*Datatype:* "AttendanceAdmissionConditions2Code" on page 353

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

11.1.8.5.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information on the conditions for physical admittance to the general meeting.*Datatype:* "Max350Text" on page 377**11.1.8.6 ModalityOfCounting1Choice***Definition:* Modality of counting as a predefined or proprietary code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		280
Or}	Proprietary <Prtry>	[1..1]	±		280

11.1.8.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Modality of counting expressed as a code.*Datatype:* "ModalityOfCounting1Code" on page 359

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

11.1.8.6.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Modality of counting expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.7 CommunicationAddress11*Definition:* Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		281
	URLAddress <URLAdr>	[0..1]	Text		281

11.1.8.7.1 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 376

11.1.8.7.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 376

11.1.8.8 VoteInstructionType1Choice

Definition: Choice between a predefined code value or a proprietary code value for a vote instruction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

11.1.8.8.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 369

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.

CodeName	Name	Definition
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.1.8.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.9 NaturalPersonIdentification1

Definition: Identification number and identification type of a natural person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		282
	IdentificationType <IdTp>	[0..1]			283
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.8.9.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "[Max35Text](#)" on page 377

11.1.8.9.2 IdentificationType <IdTp>*Presence:* [0..1]*Definition:* Type of local identification for a natural person.**IdentificationType <IdTp>** contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.8.9.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "TypeOfIdentification4Code" on page 368

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

11.1.8.9.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.10 IssuerInformation3

Definition: Identification of an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		284
	URLAddress <URLAdr>	[0..1]	Text		284

11.1.8.10.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

Identification <Id> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

11.1.8.10.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "[Max2048Text](#)" on page 376

11.1.8.11 ParticipationMethod1Choice

Definition: Choice between a predefined code value or a proprietary code value for a general meeting voting participation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		285

11.1.8.11.1 Code <Cd>*Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a code.*Datatype:* "VotingParticipationMethod1Code" on page 371

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.

11.1.8.11.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.12 MeetingTypeClassification2Choice*Definition:* Choice of meeting type classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		285
Or}	Proprietary <Prtry>	[1..1]	±		286

11.1.8.12.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 359

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

11.1.8.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 271 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		272
	SchemeName <SchmeNm>	[0..1]	Text		272
	Issuer <Issr>	[1..1]	Text		272

11.1.8.13 ProcessingPosition7Choice

Definition: Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		287
Or}	Proprietary <Prtry>	[1..1]	±		287

Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

11.1.8.13.1 Code <Cd>

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 363

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

11.1.8.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.8.14 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		288
	Envelope <Envlp>	[1..1]	(External Schema)		288

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

11.1.8.14.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 377

11.1.8.14.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

11.1.9 Organisation Identification**11.1.9.1 OrganisationIdentification29**

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	288
	LEI <LEI>	[0..1]	IdentifierSet		289
	Other <Othr>	[0..*]			289
	Identification <Id>	[1..1]	Text		289
	SchemeName <SchmeNm>	[0..1]			289
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	Text		290
	Issuer <Issr>	[0..1]	Text		290

11.1.9.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 372

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.9.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 373

11.1.9.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		289
	SchemeName <SchmeNm>	[0..1]			289
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	Text		290
	Issuer <Issr>	[0..1]	Text		290

11.1.9.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 377

11.1.9.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	Text		290

11.1.9.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 357

11.1.9.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 377

11.1.9.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 377

11.1.10 Party Identification

11.1.10.1 PartyIdentification135

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		290
	PostalAddress <PstlAdr>	[0..1]	±		290
	Identification <Id>	[0..1]	±		291
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	291
	ContactDetails <CtctDtls>	[0..1]	±		292

11.1.10.1.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 376

11.1.10.1.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstIAdr> contains the following elements (see "[PostalAddress24](#)" on page 329 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331
	Department <Dept>	[0..1]	Text		331
	SubDepartment <SubDept>	[0..1]	Text		331
	StreetName <StrtNm>	[0..1]	Text		331
	BuildingNumber <BldgNb>	[0..1]	Text		331
	BuildingName <BldgNm>	[0..1]	Text		332
	Floor <Flr>	[0..1]	Text		332
	PostBox <PstBx>	[0..1]	Text		332
	Room <Room>	[0..1]	Text		332
	PostCode <PstCd>	[0..1]	Text		332
	TownName <TwnNm>	[0..1]	Text		332
	TownLocationName <TwnLctnNm>	[0..1]	Text		332
	DistrictName <DstrctNm>	[0..1]	Text		332
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		333
	Country <Ctry>	[0..1]	CodeSet	C3	333
	AddressLine <AdrLine>	[0..7]	Text		333

11.1.10.1.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a party.

Identification <Id> contains one of the following elements (see "[Party38Choice](#)" on page 318 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		319
Or}	PrivateIdentification <PrvtId>	[1..1]	±		319

11.1.10.1.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.1.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact4" on page 323 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		323
	Name <Nm>	[0..1]	Text		324
	PhoneNumber <PhneNb>	[0..1]	Text		324
	MobileNumber <MobNb>	[0..1]	Text		324
	FaxNumber <FaxNb>	[0..1]	Text		324
	EmailAddress <EmailAdr>	[0..1]	Text		324
	EmailPurpose <EmailPurp>	[0..1]	Text		324
	JobTitle <JobTitl>	[0..1]	Text		324
	Responsibility <Rspnsblty>	[0..1]	Text		325
	Department <Dept>	[0..1]	Text		325
	Other <Othr>	[0..*]			325
	ChannelType <ChanlTp>	[1..1]	Text		325
	Identification <Id>	[0..1]	Text		325
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		325

11.1.10.2 PartyIdentification240

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			293
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnM>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296
	EmailAddress <EmailAdr>	[0..1]	Text		296
	Identification <Id>	[0..1]	±		297
	Nationality <Ntlty>	[0..1]	CodeSet	C3	297
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			297
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

11.1.10.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		294
	FirstName <FrstNm>	[1..1]	Text		294
	Surname <SrnNm>	[1..1]	Text		294
	Address <Adr>	[0..1]			295
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296

11.1.10.2.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 360

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.1.10.2.1.2 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 377

11.1.10.2.1.3 Surname <SrnNm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 377

11.1.10.2.1.4 Address <Adr>*Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		295
	AddressLine <AdrLine>	[0..5]	Text		295
	StreetName <StrtNm>	[0..1]	Text		295
	BuildingNumber <BldgNb>	[0..1]	Text		296
	PostBox <PstBx>	[0..1]	Text		296
	PostCode <PstCd>	[0..1]	Text		296
	TownName <TwnNm>	[0..1]	Text		296
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		296
	Country <Ctry>	[1..1]	CodeSet	C3	296

11.1.10.2.1.4.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.2.1.4.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 378**11.1.10.2.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.

Datatype: "Max70Text" on page 378

11.1.10.2.1.4.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 376

11.1.10.2.1.4.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 376

11.1.10.2.1.4.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.10.2.1.4.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.10.2.1.4.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 377

11.1.10.2.1.4.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 376

11.1.10.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		282
	IdentificationType <IdTp>	[0..1]			283
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.10.2.4 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the person.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.2.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		297
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		298
	CityOfBirth <CityOfBirth>	[0..1]	Text		298
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	298

11.1.10.2.5.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 372

11.1.10.2.5.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 377

11.1.10.2.5.3 CityOfBirth <CityOfBirth>

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 377

11.1.10.2.5.4 CountryOfBirth <CtryOfBirth>

Presence: [0..1]

Definition: Country where a person was born.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.3 PartyIdentification238

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			299
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <SrnM>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302
	EmailAddress <EmailAdr>	[0..1]	Text		302
	Identification <Id>	[1..1]	±		303
	Nationality <Ntlty>	[0..1]	CodeSet	C3	303
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			303
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

11.1.10.3.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		300
	FirstName <FrstNm>	[1..1]	Text		300
	Surname <Srnrm>	[1..1]	Text		300
	Address <Adr>	[0..1]			301
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302

11.1.10.3.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 360

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.1.10.3.1.2 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 377

11.1.10.3.1.3 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 377

11.1.10.3.1.4 Address <Adr>*Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		301
	AddressLine <AdrLine>	[0..5]	Text		301
	StreetName <StrtNm>	[0..1]	Text		301
	BuildingNumber <BldgNb>	[0..1]	Text		302
	PostBox <PstBx>	[0..1]	Text		302
	PostCode <PstCd>	[0..1]	Text		302
	TownName <TwnNm>	[0..1]	Text		302
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		302
	Country <Ctry>	[1..1]	CodeSet	C3	302

11.1.10.3.1.4.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.3.1.4.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 378**11.1.10.3.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.

Datatype: "Max70Text" on page 378

11.1.10.3.1.4.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 376

11.1.10.3.1.4.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 376

11.1.10.3.1.4.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.10.3.1.4.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.10.3.1.4.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 377

11.1.10.3.1.4.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.3.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 376

11.1.10.3.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		282
	IdentificationType <IdTp>	[0..1]			283
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.10.3.4 Nationality <Ntlty>

Presence: [0..1]

Definition: Nationality of the person.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.3.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date on which and place at which a born person is born.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		303
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		304
	CityOfBirth <CityOfBirth>	[0..1]	Text		304
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C3	304

11.1.10.3.5.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 372

11.1.10.3.5.2 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where a person was born.*Datatype:* "Max35Text" on page 377**11.1.10.3.5.3 CityOfBirth <CityOfBirth>***Presence:* [0..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 377**11.1.10.3.5.4 CountryOfBirth <CtryOfBirth>***Presence:* [0..1]*Definition:* Country where a person was born.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 355**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.4 PartyIdentification198Choice*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		304
Or	LEI <LEI>	[1..1]	IdentifierSet		304
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	305
Or	ClientIdentification <ClnId>	[1..1]	Text		305
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		305

11.1.10.4.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.*Datatype:* "Max35Text" on page 377**11.1.10.4.2 LEI <LEI>***Presence:* [1..1]*Definition:* Legal entity identifier of the party.*Datatype:* "LEIIdentifier" on page 373

11.1.10.4.3 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 372**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.10.4.4 ClientIdentification <CIntld>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of the client's counterparty.*Datatype:* "Max50Text" on page 377**11.1.10.4.5 ProprietaryIdentification <PrtryId>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		274
	SchemeName <SchmeNm>	[0..1]	Text		274

11.1.10.5 PartyIdentification224*Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			306
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308
	EmailAddress <EmailAdr>	[0..1]	Text		309
	Identification <Id>	[0..1]	±		309

11.1.10.5.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		307
	Address <Adr>	[0..1]			307
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308

11.1.10.5.1.1 Name <Nm>*Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 377**11.1.10.5.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		307
	AddressLine <AdrLine>	[0..5]	Text		307
	StreetName <StrtNm>	[0..1]	Text		308
	BuildingNumber <BldgNb>	[0..1]	Text		308
	PostBox <PstBx>	[0..1]	Text		308
	PostCode <PstCd>	[0..1]	Text		308
	TownName <TwnNm>	[0..1]	Text		308
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		308
	Country <Ctry>	[1..1]	CodeSet	C3	308

11.1.10.5.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.5.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 378

11.1.10.5.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 378

11.1.10.5.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 376

11.1.10.5.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 376

11.1.10.5.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.10.5.1.2.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.10.5.1.2.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 377

11.1.10.5.1.2.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.5.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 376

11.1.10.5.3 Identification <Id>

Presence: [0..1]

Definition: Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification198Choice" on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		304
Or	LEI <LEI>	[1..1]	IdentifierSet		304
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	305
Or	ClientIdentification <CintId>	[1..1]	Text		305
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		305

11.1.10.6 IssuerAgent3

Definition: Identification of the issuer and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		309
	Role <Role>	[0..1]	CodeSet		310

11.1.10.6.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the issuer agent.

Identification <Id> contains one of the following elements (see "PartyIdentification129Choice" on page 320 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

11.1.10.6.2 Role <Role>

Presence: [0..1]

Definition: Role of the issuer agent.

Datatype: "AgentRole1Code" on page 353

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

11.1.10.7 PartyIdentification222

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			311
	FirstName <FrstNm>	[1..1]	Text		312
	Surname <SrnM>	[1..1]	Text		312
	Address <Adr>	[0..1]			312
	AddressType <AdrTp>	[0..1]	CodeSet		313
	AddressLine <AdrLine>	[0..5]	Text		313
	StreetName <StrtNm>	[0..1]	Text		313
	BuildingNumber <BldgNb>	[0..1]	Text		313
	PostBox <PstBx>	[0..1]	Text		314
	PostCode <PstCd>	[0..1]	Text		314
	TownName <TwnNm>	[0..1]	Text		314
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		314
	Country <Ctry>	[1..1]	CodeSet	C3	314
	EmailAddress <EmailAdr>	[0..1]	Text		314
	Identification <Id>	[1..1]	±		314

11.1.10.7.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		312
	Surname <Srnrm>	[1..1]	Text		312
	Address <Adr>	[0..1]			312
	AddressType <AdrTp>	[0..1]	CodeSet		313
	AddressLine <AdrLine>	[0..5]	Text		313
	StreetName <StrtNm>	[0..1]	Text		313
	BuildingNumber <BldgNb>	[0..1]	Text		313
	PostBox <PstBx>	[0..1]	Text		314
	PostCode <PstCd>	[0..1]	Text		314
	TownName <TwnNm>	[0..1]	Text		314
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		314
	Country <Ctry>	[1..1]	CodeSet	C3	314

11.1.10.7.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 377

11.1.10.7.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 377

11.1.10.7.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		313
	AddressLine <AdrLine>	[0..5]	Text		313
	StreetName <StrtNm>	[0..1]	Text		313
	BuildingNumber <BldgNb>	[0..1]	Text		313
	PostBox <PstBx>	[0..1]	Text		314
	PostCode <PstCd>	[0..1]	Text		314
	TownName <TwnNm>	[0..1]	Text		314
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		314
	Country <Ctry>	[1..1]	CodeSet	C3	314

11.1.10.7.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.7.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 378

11.1.10.7.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 378

11.1.10.7.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 376

11.1.10.7.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 376

11.1.10.7.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.10.7.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.10.7.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 377

11.1.10.7.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.7.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 376

11.1.10.7.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following elements (see "NaturalPersonIdentification1" on page 282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		282
	IdentificationType <IdTp>	[0..1]			283
{Or	Code <Cd>	[1..1]	CodeSet		283
Or}	Proprietary <Prtry>	[1..1]	±		283

11.1.10.8 PartyIdentification221

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			315
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318
	EmailAddress <EmailAdr>	[0..1]	Text		318
	Identification <Id>	[1..1]	±		318

11.1.10.8.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		316
	Address <Adr>	[0..1]			316
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318

11.1.10.8.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 377

11.1.10.8.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		317
	AddressLine <AdrLine>	[0..5]	Text		317
	StreetName <StrtNm>	[0..1]	Text		317
	BuildingNumber <BldgNb>	[0..1]	Text		317
	PostBox <PstBx>	[0..1]	Text		317
	PostCode <PstCd>	[0..1]	Text		317
	TownName <TwnNm>	[0..1]	Text		318
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		318
	Country <Ctry>	[1..1]	CodeSet	C3	318

11.1.10.8.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.10.8.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 378**11.1.10.8.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 378**11.1.10.8.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 376**11.1.10.8.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 376**11.1.10.8.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 376

11.1.10.8.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 377**11.1.10.8.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 377**11.1.10.8.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 355**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.10.8.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 376**11.1.10.8.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification198Choice" on page 304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		304
Or	LEI <LEI>	[1..1]	IdentifierSet		304
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	305
Or	ClientIdentification <ClnId>	[1..1]	Text		305
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		305

11.1.10.9 Party38Choice*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		319
Or}	Privateldentification <PrvtId>	[1..1]	±		319

11.1.10.9.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following elements (see "[OrganisationIdentification29](#)" on page 288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	288
	LEI <LEI>	[0..1]	IdentifierSet		289
	Other <Othr>	[0..*]			289
	Identification <Id>	[1..1]	Text		289
	SchemeName <SchmeNm>	[0..1]			289
{Or	Code <Cd>	[1..1]	CodeSet		289
Or}	Proprietary <Prtry>	[1..1]	Text		290
	Issuer </ssr>	[0..1]	Text		290

11.1.10.9.2 Privateldentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following elements (see "[PersonIdentification13](#)" on page 326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			326
	BirthDate <BirthDt>	[1..1]	Date		326
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		327
	CityOfBirth <CityOfBirth>	[1..1]	Text		327
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	327
	Other <Othr>	[0..*]			327
	Identification <Id>	[1..1]	Text		327
	SchemeName <SchmeNm>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	Text		328
	Issuer <Issr>	[0..1]	Text		328

11.1.10.10 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	320
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		321
Or	NameAndAddress <NmAndAdr>	[1..1]	±		321
Or}	LEI <LEI>	[1..1]	IdentifierSet		321

11.1.10.10.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 372

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.10.10.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		274
	SchemeName <SchmeNm>	[0..1]	Text		274

11.1.10.10.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 335 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		336
	Address <Adr>	[0..1]	±		336

11.1.10.10.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 373

11.1.11 Person Identification**11.1.11.1 ContactIdentification1**

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		322
	NamePrefix <NmPrfx>	[0..1]	CodeSet		322
	GivenName <GvnNm>	[0..1]	Text		322
	Role <Role>	[0..1]	Text		322
	PhoneNumber <PhneNb>	[0..1]	Text		322
	FaxNumber <FaxNb>	[0..1]	Text		323
	EmailAddress <EmailAdr>	[0..1]	Text		323

11.1.11.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 377

11.1.11.1.2 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 359

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

11.1.11.1.3 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 377

11.1.11.1.4 Role <Role>

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: "Max35Text" on page 377

11.1.11.1.5 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 378

11.1.11.1.6 FaxNumber <FaxNb>*Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 378**11.1.11.1.7 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 376**11.1.11.2 Contact4***Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		323
	Name <Nm>	[0..1]	Text		324
	PhoneNumber <PhneNb>	[0..1]	Text		324
	MobileNumber <MobNb>	[0..1]	Text		324
	FaxNumber <FaxNb>	[0..1]	Text		324
	EmailAddress <EmailAdr>	[0..1]	Text		324
	EmailPurpose <EmailPurp>	[0..1]	Text		324
	JobTitle <JobTitl>	[0..1]	Text		324
	Responsibility <Rspnsblty>	[0..1]	Text		325
	Department <Dept>	[0..1]	Text		325
	Other <Othr>	[0..*]			325
	ChannelType <ChanlTp>	[1..1]	Text		325
	Identification <Id>	[0..1]	Text		325
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		325

11.1.11.2.1 NamePrefix <NmPrfx>*Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix2Code" on page 360

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.

CodeName	Name	Definition
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.1.11.2.2 Name <Nm>*Presence:* [0..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max140Text" on page 376**11.1.11.2.3 PhoneNumber <PhneNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 378**11.1.11.2.4 MobileNumber <MobNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 378**11.1.11.2.5 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 378**11.1.11.2.6 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max2048Text" on page 376**11.1.11.2.7 EmailPurpose <EmailPurp>***Presence:* [0..1]*Definition:* Purpose for which an email address may be used.*Datatype:* "Max35Text" on page 377**11.1.11.2.8 JobTitle <JobTitl>***Presence:* [0..1]*Definition:* Title of the function.*Datatype:* "Max35Text" on page 377

11.1.11.2.9 Responsibility <Rspnsblty>*Presence:* [0..1]*Definition:* Role of a person in an organisation.*Datatype:* "Max35Text" on page 377**11.1.11.2.10 Department <Dept>***Presence:* [0..1]*Definition:* Identification of a division of a large organisation or building.*Datatype:* "Max70Text" on page 378**11.1.11.2.11 Other <Othr>***Presence:* [0..*]*Definition:* Contact details in another form.**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanTp>	[1..1]	Text		325
	Identification <Id>	[0..1]	Text		325

11.1.11.2.11.1 ChannelType <ChanTp>*Presence:* [1..1]*Definition:* Method used to contact the financial institution's contact for the specific tax region.*Datatype:* "Max4Text" on page 377**11.1.11.2.11.2 Identification <Id>***Presence:* [0..1]*Definition:* Communication value such as phone number or email address.*Datatype:* "Max128Text" on page 376**11.1.11.2.12 PreferredMethod <PrefrdMtd>***Presence:* [0..1]*Definition:* Preferred method used to reach the contact.*Datatype:* "PreferredContactMethod1Code" on page 362

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.

CodeName	Name	Definition
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

11.1.11.3 PersonIdentification13

Definition: Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			326
	BirthDate <BirthDt>	[1..1]	Date		326
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		327
	CityOfBirth <CityOfBirth>	[1..1]	Text		327
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	327
	Other <Othr>	[0..*]			327
	Identification <Id>	[1..1]	Text		327
	SchemeName <SchmeNm>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	Text		328
	Issuer </Issr>	[0..1]	Text		328

11.1.11.3.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		326
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		327
	CityOfBirth <CityOfBirth>	[1..1]	Text		327
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	327

11.1.11.3.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 372

11.1.11.3.1.2 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where a person was born.*Datatype:* "Max35Text" on page 377**11.1.11.3.1.3 CityOfBirth <CityOfBirth>***Presence:* [1..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 377**11.1.11.3.1.4 CountryOfBirth <CtryOfBirth>***Presence:* [1..1]*Definition:* Country where a person was born.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 355**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.11.3.2 Other <Othr>*Presence:* [0..*]*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		327
	SchemeName <SchmeNm>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	Text		328
	Issuer <Issr>	[0..1]	Text		328

11.1.11.3.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of a person.*Datatype:* "Max35Text" on page 377**11.1.11.3.2.2 SchemeName <SchmeNm>***Presence:* [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		328
Or}	Proprietary <Prtry>	[1..1]	Text		328

11.1.11.3.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 357

11.1.11.3.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 377

11.1.11.3.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 377

11.1.12 Postal Address

11.1.12.1 LongPostalAddress2Choice

Definition: Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		328
Or}	Structured <Strd>	[1..1]	±		329

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

11.1.12.1.1 Unstructured <Ustrd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max350Text" on page 377

11.1.12.1.2 Structured <Strd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

Structured <Strd> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

11.1.12.2 PostalAddress24

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			330
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331
	Department <Dept>	[0..1]	Text		331
	SubDepartment <SubDept>	[0..1]	Text		331
	StreetName <StrtNm>	[0..1]	Text		331
	BuildingNumber <BldgNb>	[0..1]	Text		331
	BuildingName <BldgNm>	[0..1]	Text		332
	Floor <Flr>	[0..1]	Text		332
	PostBox <PstBx>	[0..1]	Text		332
	Room <Room>	[0..1]	Text		332
	PostCode <PstCd>	[0..1]	Text		332
	TownName <TwnNm>	[0..1]	Text		332
	TownLocationName <TwnLctnNm>	[0..1]	Text		332
	DistrictName <DstrctNm>	[0..1]	Text		332
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		333
	Country <Ctry>	[0..1]	CodeSet	C3	333
	AddressLine <AdrLine>	[0..7]	Text		333

11.1.12.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		330
Or}	Proprietary <Prtry>	[1..1]	±		331

11.1.12.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.

CodeName	Name	Definition
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.12.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.12.2.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 378

11.1.12.2.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 378

11.1.12.2.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "[Max70Text](#)" on page 378

11.1.12.2.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "[Max16Text](#)" on page 376

11.1.12.2.6 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 377

11.1.12.2.7 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 378

11.1.12.2.8 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 376

11.1.12.2.9 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 378

11.1.12.2.10 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.12.2.11 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.12.2.12 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max35Text" on page 377

11.1.12.2.13 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max35Text" on page 377

11.1.12.2.14 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country such as state, region, county.*Datatype:* "Max35Text" on page 377**11.1.12.2.15 Country <Ctry>***Presence:* [0..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 355**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.12.2.16 AddressLine <AdrLine>*Presence:* [0..7]*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.*Datatype:* "Max70Text" on page 378**11.1.12.3 PostalAddress1***Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

11.1.12.3.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 353

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.1.12.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 378

11.1.12.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 378

11.1.12.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 376

11.1.12.3.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 376

11.1.12.3.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 377

11.1.12.3.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 377

11.1.12.3.8 Country <Ctry>*Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 355**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.12.4 NameAndAddress9*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		335
	Address <Adr>	[0..1]	±	C15	335

11.1.12.4.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 377**11.1.12.4.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of a party.*Impacted by:* C15 "StructuredOrUnstructured1Guideline"

Address <Adr> contains one of the following elements (see "LongPostalAddress2Choice" on page 328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		328
Or}	Structured <Strd>	[1..1]	±		329

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

11.1.12.5 NameAndAddress5*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		336
	Address <Adr>	[0..1]	±		336

11.1.12.5.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 377

11.1.12.5.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 333 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		333
	AddressLine <AdrLine>	[0..5]	Text		334
	StreetName <StrtNm>	[0..1]	Text		334
	BuildingNumber <BldgNb>	[0..1]	Text		334
	PostCode <PstCd>	[0..1]	Text		334
	TownName <TwnNm>	[0..1]	Text		334
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		334
	Country <Ctry>	[1..1]	CodeSet	C3	335

11.1.13 Price

11.1.13.1 PriceRateOrAmount3Choice

Definition: Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		336
Or}	Amount <Amt>	[1..1]	Amount	C1	337

11.1.13.1.1 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, that is percentage.

Datatype: "PercentageRate" on page 374

11.1.13.1.2 Amount <Amt>*Presence:* [1..1]*Definition:* Price expressed as a currency and value.*Impacted by:* C1 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 351**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.1.14 Safekeeping Place**11.1.14.1 SafekeepingPlaceFormat28Choice***Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338
Or	Country <Ctry>	[1..1]	CodeSet	C3	338
Or	TypeAndIdentification <TpAndId>	[1..1]			338
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339
Or}	Proprietary <Prtry>	[1..1]	±		339

11.1.14.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		337
	Identification <Id>	[0..1]	Text		338

11.1.14.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 366

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

11.1.14.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 377

11.1.14.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 355

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.1.14.1.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		338
	Identification <Id>	[1..1]	IdentifierSet	C1	339

11.1.14.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 366

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

11.1.14.1.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 372

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.1.14.1.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification78" on page 272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		272
	Identification <Id>	[0..1]	Text		272

11.1.15 Securities Quantity

11.1.15.1 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

11.1.15.1.1 Unit <Unit>*Presence:* [1..1]*Definition:* Quantity expressed as a number, for example, a number of shares.*Datatype:* "DecimalNumber" on page 374**11.1.15.1.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.*Datatype:* "ImpliedCurrencyAndAmount" on page 352**11.1.15.2 QuantityOrCode1Choice***Definition:* Choice between a quantity or a code value.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		340
Or}	Code <Cd>	[1..1]	CodeSet		340

11.1.15.2.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		340
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		340

11.1.15.2.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 363

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.1.16 Status**11.1.16.1 PendingStatus55Choice***Definition:* Choice between a reason and no reason for the general meeting instruction processing pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		341
Or}	Reason <Rsn>	[1..*]		C3	341
	ReasonCode <RsnCd>	[1..1]			341
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		342
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343

11.1.16.1.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 360

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.1.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is pending.

Impacted by: C3 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			341
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		342
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		343

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.1.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following **PendingReason51Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		342
Or}	Proprietary <Prtry>	[1..1]	±		342

11.1.16.1.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason16Code" on page 361

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

11.1.16.1.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.16.1.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "[Max350Text](#)" on page 377

11.1.16.2 RejectedStatus36Choice

Definition: Choice between a reason and no reason for the general meeting instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		343
Or}	Reason <Rsn>	[1..*]		C2	343
	ReasonCode <RsnCd>	[1..1]			344
{Or	Code <Cd>	[1..1]	CodeSet		344
Or}	Proprietary <Prtry>	[1..1]	±		345
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		345

11.1.16.2.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 360

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: [C2 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **RejectedStatusReason34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			344
{Or	Code <Cd>	[1..1]	CodeSet		344
Or}	Proprietary <Prtry>	[1..1]	±		345
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		345

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		344
Or}	Proprietary <Prtry>	[1..1]	±		345

11.1.16.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason58Code" on page 364

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.

CodeName	Name	Definition
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.

11.1.16.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.16.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max350Text](#)" on page 377

11.1.16.3 PendingCancellationStatus10Choice

Definition: Choice between a reason or no reason for the corporate action instruction cancellation pending cancellation status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		346
Or}	Reason <Rsn>	[1..*]		C1, C5	346
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		347
Or}	Proprietary <Prtry>	[1..1]	±		347
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		348

11.1.16.3.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 360

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

Impacted by: C1 "AccountServicerDeadlineMissedGuideline", C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			347
{Or	Code <Cd>	[1..1]	CodeSet		347
Or}	Proprietary <Prtry>	[1..1]	±		347
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		348

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		347
Or}	Proprietary <Prtry>	[1..1]	±		347

11.1.16.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingCancellationReason6Code" on page 361

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

11.1.16.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.16.3.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the pending status.*Datatype:* "Max350Text" on page 377**11.1.16.4 RejectedStatus31Choice***Definition:* Choice between a reason or no reason for the corporate action instruction processing rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		348
Or}	Reason <Rsn>	[1..*]		C4	348
	ReasonCode <RsnCd>	[1..1]			349
{Or	Code <Cd>	[1..1]	CodeSet		349
Or}	Proprietary <Prtry>	[1..1]	±		350
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

11.1.16.4.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 360

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.1.16.4.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.*Impacted by:* C4 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			349
{Or	Code <Cd>	[1..1]	CodeSet		349
Or}	Proprietary <Prtry>	[1..1]	±		350
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		350

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

11.1.16.4.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		349
Or}	Proprietary <Prtry>	[1..1]	±		350

11.1.16.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason51Code" on page 364

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

11.1.16.4.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the reason why the instruction/cancellation request has a rejected status.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		273
	Issuer <Issr>	[1..1]	Text		273
	SchemeName <SchmeNm>	[0..1]	Text		273

11.1.16.4.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the rejection status.*Datatype:* "[Max350Text](#)" on page 377**11.1.16.5 CancellationProcessingStatus2***Definition:* Status advising on the processing of the cancellation request.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		350
	AdditionalInformation <AddtlInf>	[0..1]	Text		350

11.1.16.5.1 Status <Sts>*Presence:* [1..1]*Definition:* Status code.*Datatype:* "[CancellationStatus6Code](#)" on page 354

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

11.1.16.5.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the status.

Datatype: "Max350Text" on page 377

11.1.17 System Identification

11.1.17.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		351
Or}	Proprietary <Prtry>	[1..1]	Text		351

11.1.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "ExternalClearingSystemIdentification1Code" on page 356

11.1.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "Max35Text" on page 377

11.2 Message Datatypes

11.2.1 Amount

11.2.1.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 352

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.2.1.2 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

11.2.2 CodeSet**11.2.2.1 ActiveOrHistoricCurrencyCode**

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

11.2.2.2 AdditionalRight1Code

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: CodeSet

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.

CodeName	Name	Definition
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

11.2.2.3 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

11.2.2.4 AgentRole1Code

Definition: Specifies the role of the Issuer agent.

Type: CodeSet

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

11.2.2.5 AttendanceAdmissionConditions2Code

Definition: Specifies the conditions for physical admittance to a general meeting.

Type: CodeSet

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MASL	AllowedIfRegisteredInStockLedger	Attendee must be a shareholder registered in company stock ledger book.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of

CodeName	Name	Definition
		representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MADS	DelegateAllowedIfRegisteredInStockLedger	Attendee must be a delegate of the shareholder registered in the company stock ledger book.
MANP	NotPossible	Meeting attendance is not possible.

11.2.2.6 BusinessMessagePriorityCode

Definition: Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

Type: CodeSet

Constraints

- **ValidationByTable**

11.2.2.7 CancellationStatus6Code

Definition: Specifies the cancellation status.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

11.2.2.8 CopyDuplicate1Code

Definition: Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

Type: CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message

CodeName	Name	Definition
		is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

11.2.2.9 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

11.2.2.10 DateMode1Code

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

11.2.2.11 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

11.2.2.12 DeliveryPlace3Code

Definition: Specifies the place of delivery.

Type: CodeSet

CodeName	Name	Definition
EMAL	Email	Card needs to be sent via e-mail.
EMPL	Employer	Card needs to be mailed to employer's address.
INDI	Individual	Card needs to be mailed to the individual specified in PersonDetail.
ENTR	MeetingEntrance	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to another specified address.

11.2.2.13 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

11.2.2.14 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

11.2.2.15 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

11.2.2.16 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.17 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.18 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

11.2.2.19 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
-----------	---

maxLength 4

11.2.2.20 ISO2ALanguageCode

Definition: Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

Type: CodeSet

Format

pattern [a-z]{2,2}

11.2.2.21 MeetingCancellationReason2Code

Definition: Specifies the reason for cancelling a meeting.

Type: CodeSet

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

11.2.2.22 MeetingDateStatus2Code

Definition: Specifies a status for a meeting date.

Type: CodeSet

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

11.2.2.23 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and

CodeName	Name	Definition
		directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

11.2.2.24 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

11.2.2.25 ModalityOfCounting1Code

Definition: Specifies the modality through which the votes that have been recorded and counted.

Type: CodeSet

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

11.2.2.26 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

11.2.2.27 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

11.2.2.28 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

11.2.2.29 NotificationType2Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

11.2.2.30 NotificationType3Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.

CodeName	Name	Definition
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

11.2.2.31 PartyRole3Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

CodeName	Name	Definition
GATR	Guarantor	Legal entity, other than the issuer, who gives a guarantee. The guarantor becomes liable in case of default.

11.2.2.32 PendingCancellationReason6Code

Definition: Specifies a reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

11.2.2.33 PendingReason16Code

Definition: Specifies a reason why the instruction is pending.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.

CodeName	Name	Definition
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

11.2.2.34 PlaceType1Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

11.2.2.35 PowerOfAttorneyLegalisation1Code

Definition: Indicates the legal constraint on the Power of Attorney.

Type: CodeSet

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

11.2.2.36 PreferredContactMethod1Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.

CodeName	Name	Definition
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

11.2.2.37 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

11.2.2.38 ProxyNotAllowed1Code

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

11.2.2.39 ProxyType2Code

Definition: Specifies the type of proxy person.

Type: CodeSet

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

11.2.2.40 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

11.2.2.41 RejectionReason51Code

Definition: Specifies a reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

11.2.2.42 RejectionReason58Code

Definition: Specifies a reason why the instruction has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.

CodeName	Name	Definition
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.
LIST	InvalidVoteInFavourInGroupListing	Instruction is invalid due to multiple resolutions instructed as vote "For" and belonging to the same listing group resolution label number.
NOSL	NotRegisteredInInStockLedger	Invalid instruction as the shareholder or its delegate is not registered in the company stock ledger book.

11.2.2.43 ResolutionStatus1Code

Definition: Specifies whether a resolution in an agenda is still active or has been deleted.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

11.2.2.44 ResolutionStatus2Code

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

11.2.2.45 ResolutionType2Code

Definition: Specifies the type of resolution.

Type: CodeSet

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

11.2.2.46 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

11.2.2.47 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

CodeName	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

11.2.2.48 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

11.2.2.49 Status9Code

Definition: Specifies the status of an instruction.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Instruction has been accepted and is validated for further processing.
ATTC	AttendanceConfirmed	Attendance is confirmed at the meeting.
CAND	Cancelled	Instruction has been cancelled.
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
FRWD	Forwarded	Accepted and sent along the chain.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer, equivalent to the confirmation of receipt of votes cast electronically.
REGM	RegisteredToParticipateAtMeeting	Rights holder has been registered to participate to the meeting.
STIN	StandingInstruction	Standing instruction is applied.

11.2.2.50 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

11.2.2.51 UnicodeChartsCode

Definition: codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

Type: CodeSet

Constraints

- **ValidationByTable**

11.2.2.52 VoteInstruction5Code

Definition: Specifies a type of voting instruction that can be part of a recommendation to vote.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

11.2.2.53 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.

CodeName	Name	Definition
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.2.2.54 VoteInstruction7Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.

CodeName	Name	Definition
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

11.2.2.55 VoteType1Code

Definition: Specifies the impact of a vote on an agenda resolution in general meetings.

Type: CodeSet

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

11.2.2.56 VotingParticipationMethod1Code

Definition: Specifies a method for participating to the voting of agenda resolutions in a general meeting.

Type: CodeSet

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.

11.2.2.57 VotingParticipationMethod2Code

Definition: Specifies the different methods allowed for participating to the vote of agenda resolutions in a general meeting.

Type: CodeSet

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.

11.2.3 Date

11.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

11.2.4 DateTime

11.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

11.2.5 IdentifierSet

11.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

11.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

11.2.5.3 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

11.2.5.4 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

11.2.5.5 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

11.2.6 Indicator

11.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

11.2.7 Quantity

11.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits 18

fractionDigits 17

11.2.7.2 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits 18

fractionDigits 0

11.2.8 Rate

11.2.8.1 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

11.2.9 Text

11.2.9.1 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern	[0-9]{3}
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11.2.9.2 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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11.2.9.3 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern	[a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}
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11.2.9.4 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

minLength	1
maxLength	1025

11.2.9.5 Max105Text

Definition: Specifies a character string with a maximum length of 105 characters.

Type: Text

Format

minLength	1
maxLength	105

11.2.9.6 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

11.2.9.7 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

11.2.9.8 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

11.2.9.9 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

11.2.9.10 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

11.2.9.11 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

11.2.9.12 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

11.2.9.13 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

11.2.9.14 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

11.2.9.15 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

minLength	1
maxLength	50

11.2.9.16 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

11.2.9.17 Max8000Text

Definition: Specifies a character string with a maximum length of 8000 characters.

Type: Text

Format

minLength	1
maxLength	8000

11.2.9.18 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+-]{1,30}
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