

ISO 20022

Shareholders Identification Disclosure

Message Definition Report Part 2

Approved by the Securities SEG on the 27th of January 2020

This document provides details of the Message Definitions for Shareholders Identification Disclosure.

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1 Message Set Overview

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
seev.045.001.02 ShareholdersIdentificationDisclosureRequestV02	The ShareholdersIdentificationDisclosureRequest message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the first intermediaries in a custody chain or is sent by any intermediaries in a custody chain to the next intermediary down the chain of intermediaries (towards the investor side of the chain) in order to request the disclosure of shareholders identity and provides information on the receiving party to whom responses must be sent, on the financial instrument concerned and on the deadline to respond.
seev.046.001.01 ShareholdersIdentificationDisclosureRequestCancellationAdviceV01	The ShareholdersIdentificationDisclosureCancellationAdvice message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the first intermediaries in a custody chain or is sent by any intermediaries in a custody chain to the next intermediary down the chain of intermediaries (towards the investor side of the chain) in order to withdraw/cancel the disclosure request of shareholders identity previously sent.
seev.047.001.01 ShareholdersIdentificationDisclosureResponseV01	The ShareholdersIdentificationDisclosureResponse message is sent in response to a shareholder identification disclosure request message by any intermediaries to the recipient designated by the issuer (such as an issuer's agent) in the disclosure request message in order to provide the requested information on the identity of the shareholders and their accounts holdings serviced by the intermediary for the requested financial instrument.
seev.048.001.01 ShareholderIdentificationDisclosureResponseCancellationAdviceV01	The ShareholdersIdentificationDisclosureResponseCancellationAdvice message is sent by any intermediaries to the recipient designated by the issuer (such as an issuer's agent) in the disclosure request message in order to cancel the shareholder identification disclosure response whether previously sent in a single message or in a set of multipart/paginated response messages.

MessageDefinition	Definition
seev.049.001.01 ShareholderIdentificationDisclosureResponseStatusAdviceV01	<p>The ShareholdersIdentificationDisclosureResponseStatusAdvice message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the intermediary in a custody chain in response to a ShareholderIdentificationDisclosureResponse message or in response to a multipart response report (sent with pagination in multiple messages) in order to report about the acceptance status of the received ShareholderIdentificationDisclosureResponse message/report.</p> <p>Usage: when the shareholder identification disclosure response is sent in a multipart response report (with several messages), a single response status advice only may be sent for the acceptance status of the whole report.</p>

2 **seev.045.001.02** **ShareholdersIdentificationDisclosureRequest** **V02**

2.1 **MessageDefinition Functionality**

The ShareholdersIdentificationDisclosureRequest message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the first intermediaries in a custody chain or is sent by any intermediaries in a custody chain to the next intermediary down the chain of intermediaries (towards the investor side of the chain) in order to request the disclosure of shareholders identity and provides information on the receiving party to whom responses must be sent, on the financial instrument concerned and on the deadline to respond.

Outline

The ShareholdersIdentificationDisclosureRequestV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

A. IssuerDisclosureRequestIdentification

Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it.

B. DisclosureRequestType

Specifies the type of disclosure request.

C. ForwardRequestIndicator

Indicates whether the request is to be forwarded to and responded by the other intermediaries down the chain of intermediaries or not.

If not to be forwarded, the indicator may not be present.

D. ResponseThroughChainIndicator

Indicates whether the shareholder identification disclosure response is to be sent back down the chain of intermediaries or directly to the identified response recipient.

If "true" or "1", the response must be sent back through the chain of intermediaries.

If "false" or "0", the response must be sent directly to the identified response recipient and the indicator may not be present.

E. ShareholderRightsDirectiveIndicator

Indicates whether the request was initiated by the first intermediary in the custody chain in accordance with SRD II.

F. FinancialInstrumentIdentification

Identifies the financial instrument.

G. ShareholdersDisclosureRecordDate

Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

H. SharesQuantityThreshold

Minimum number of shares held by a shareholder above which the identification must be disclosed.

I. RequestShareHeldDate

Indicates whether the date from which the shares have been held must be communicated into the disclosure response and according to which method these dates have to be communicated.

J. DisclosureResponseRecipient

Issuer or third party nominated by the issuer to whom the disclosure response shall be transmitted by the intermediary.

K. IssuerDisclosureDeadline

Latest date/time set by the issuer or a third party appointed by the issuer at which a response to the request to disclose shareholder identity shall be provided by each intermediary to the recipient to whom the response must be sent.

L. DisclosureResponseDeadline

Latest date/time set by an intermediary at which a response to the request to disclose shareholder identity shall be provided when sending the response through the chain of intermediaries.

M. Issuer

Issuer of the financial instrument.

N. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ShrhldrslDscslrReq>	[1..1]			
	IssuerDisclosureRequestIdentification <IssrDscslrReqId>	[1..1]	Text		9
	DisclosureRequestType <DscslrReqTp>	[1..1]	CodeSet		9
	ForwardRequestIndicator <FwdReqInd>	[0..1]	Indicator		9
	ResponseThroughChainIndicator <RspnThrhChainInd>	[0..1]	Indicator		9
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		10
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	10
	ShareholdersDisclosureRecordDate <ShrhldrDscslrRcrdDt>	[1..1]	±		11
	SharesQuantityThreshold <ShrsQtyThrshld>	[0..1]	Quantity		11
	RequestShareHeldDate <ReqShrHeldDt>	[0..1]			11
{Or	DateCalculationMethod <DtClctnMtd>	[1..1]	CodeSet		12
Or}	DateCalculationDescription <DtClctnDesc>	[1..1]	Text		12
	DisclosureResponseRecipient <DscslrRspnRcpt>	[1..1]			12
	Identification <Id>	[1..1]			13
{Or	ProprietaryIdentification <PrtryId>	[1..1]	±		13
Or}	LEI <LEI>	[1..1]	IdentifierSet		14
	RecipientName <RcptNm>	[1..1]	Text		14
	ResponseRecipientAddress <RspnRcptAdr>	[1..1]		C8	14
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	15
	PostalAddress <PstlAdr>	[0..1]			16
	AddressType <AdrTp>	[0..1]	CodeSet		16
	AddressLine <AdrLine>	[0..5]	Text		16
	StreetName <StrtNm>	[0..1]	Text		17
	BuildingNumber <BldgNb>	[0..1]	Text		17
	PostBox <PstBx>	[0..1]	Text		17
	PostCode <PstCd>	[0..1]	Text		17
	TownName <TwnNm>	[0..1]	Text		17
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		17
	Country <Ctry>	[1..1]	CodeSet	C2	17

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		18
	URLAddress <URLAdr>	[0..1]	Text		18
	IssuerDisclosureDeadline <IssrDsclsrDdln>	[1..1]	±		18
	DisclosureResponseDeadline <DsclsrRspnDdln>	[0..1]	±		18
	Issuer <Issr>	[0..1]	±		19
	SupplementaryData <SplmtryData>	[0..*]	±	C9	19

2.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 PartyAddressRule

At least one message element in the list (AnyBic, PostalAddress, EmailAddress, URLAddress) must be present. More than one message element in the list may be present.

C9 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 IssuerDisclosureRequestIdentification <IssrDsclsrReqId>

Presence: [1..1]

Definition: Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it.

Datatype: "Max35Text" on page 142

2.4.2 DisclosureRequestType <DsclsrReqTp>

Presence: [1..1]

Definition: Specifies the type of disclosure request.

Datatype: "DisclosureRequestType1Code" on page 135

CodeName	Name	Definition
NEWM	New	New disclosure request.
REPL	Replacement	Disclosure request replacing a previously sent request.

2.4.3 ForwardRequestIndicator <FwdReqInd>

Presence: [0..1]

Definition: Indicates whether the request is to be forwarded to and responded by the other intermediaries down the chain of intermediaries or not.

If not to be forwarded, the indicator may not be present.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 140):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.4 ResponseThroughChainIndicator <RspnThrhChainInd>

Presence: [0..1]

Definition: Indicates whether the shareholder identification disclosure response is to be sent back down the chain of intermediaries or directly to the identified response recipient.

If "true" or "1", the response must be sent back through the chain of intermediaries.

If "false" or "0", the response must be sent directly to the identified response recipient and the indicator may not be present.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 140):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.5 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the request was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 140):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.6 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <OthrId>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

2.4.7 ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>

Presence: [1..1]

Definition: Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt> contains one of the following elements (see ["DateFormat46Choice"](#) on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

2.4.8 SharesQuantityThreshold <ShrsQtyThrshld>

Presence: [0..1]

Definition: Minimum number of shares held by a shareholder above which the identification must be disclosed.

Datatype: ["DecimalNumber"](#) on page 140

2.4.9 RequestShareHeldDate <ReqShrHeldDt>

Presence: [0..1]

Definition: Indicates whether the date from which the shares have been held must be communicated into the disclosure response and according to which method theses dates have to be communicated.

RequestShareHeldDate <ReqShrHeldDt> contains one of the following
RequestShareHeldDate1Choice elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateCalculationMethod <DtClctnMtd>	[1..1]	CodeSet		12
Or}	DateCalculationDescription <DtClctnDesc>	[1..1]	Text		12

2.4.9.1 DateCalculationMethod <DtClctnMtd>

Presence: [1..1]

Definition: Indicates what is the logical method to be used to determine and communicate from which date the shares have been held.

Datatype: "DateCalculationMethod1Code" on page 134

CodeName	Name	Definition
FIFO	Fifo	First in, first out.
LIFO	Lifo	Last in, first out.

2.4.9.2 DateCalculationDescription <DtClctnDesc>

Presence: [1..1]

Definition: Provides the description on how the date from which the shares have been held should be determined and communicated.

Datatype: "Max350Text" on page 142

2.4.10 DisclosureResponseRecipient <DsclsrRspnRcpt>

Presence: [1..1]

Definition: Issuer or third party nominated by the issuer to whom the disclosure response shall be transmitted by the intermediary.

DisclosureResponseRecipient <DsclsrRspnRcpt> contains the following **PartyIdentification214** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			13
{Or	ProprietaryIdentification <PrtryId>	[1..1]	±		13
Or}	LEI <LEI>	[1..1]	IdentifierSet		14
	RecipientName <RcptNm>	[1..1]	Text		14
	ResponseRecipientAddress <RspnRcptAdr>	[1..1]		C8	14
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	15
	PostalAddress <PstlAdr>	[0..1]			16
	AddressType <AdrTp>	[0..1]	CodeSet		16
	AddressLine <AdrLine>	[0..5]	Text		16
	StreetName <StrtNm>	[0..1]	Text		17
	BuildingNumber <BldgNb>	[0..1]	Text		17
	PostBox <PstBx>	[0..1]	Text		17
	PostCode <PstCd>	[0..1]	Text		17
	TownName <TwnNm>	[0..1]	Text		17
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		17
	Country <Ctry>	[1..1]	CodeSet	C2	17
	EmailAddress <EmailAdr>	[0..1]	Text		18
	URLAddress <URLAdr>	[0..1]	Text		18

2.4.10.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party to which the disclosure response must be sent.

Identification <Id> contains one of the following **PartyIdentification203Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProprietaryIdentification <PrtryId>	[1..1]	±		13
Or}	LEI <LEI>	[1..1]	IdentifierSet		14

2.4.10.1.1 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

2.4.10.1.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "[LEIIdentifier](#)" on page 140

2.4.10.2 RecipientName <RcptNm>

Presence: [1..1]

Definition: Name of the party to which the disclosure response must be sent.

Datatype: "[Max350Text](#)" on page 142

2.4.10.3 ResponseRecipientAddress <RspnRcptAdr>

Presence: [1..1]

Definition: Address of the party to which the disclosure response must be sent.

Impacted by: [C8 "PartyAddressRule"](#)

ResponseRecipientAddress <RspnRcptAdr> contains the following **PartyAddress1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C1	15
	PostalAddress <PstlAdr>	[0..1]			16
	AddressType <AdrTp>	[0..1]	CodeSet		16
	AddressLine <AdrLine>	[0..5]	Text		16
	StreetName <StrtNm>	[0..1]	Text		17
	BuildingNumber <BldgNb>	[0..1]	Text		17
	PostBox <PstBx>	[0..1]	Text		17
	PostCode <PstCd>	[0..1]	Text		17
	TownName <TwnNm>	[0..1]	Text		17
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		17
	Country <Ctry>	[1..1]	CodeSet	C2	17
	EmailAddress <EmailAdr>	[0..1]	Text		18
	URLAddress <URLAdr>	[0..1]	Text		18

Constraints

- **PartyAddressRule**

At least one message element in the list (AnyBic, PostalAddress, EmailAddress, URLAddress) must be present. More than one message element in the list may be present.

Following Must be True

/AnyBIC Must be present

Or /PostalAddress Must be present

Or /EmailAddress Must be present

Or /URLAddress Must be present

2.4.10.3.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

2.4.10.3.2 PostalAddress <PstIAdr>

Presence: [0..1]

Definition: Postal address of the party.

PostalAddress <PstIAdr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		16
	AddressLine <AdrLine>	[0..5]	Text		16
	StreetName <StrtNm>	[0..1]	Text		17
	BuildingNumber <BldgNb>	[0..1]	Text		17
	PostBox <PstBx>	[0..1]	Text		17
	PostCode <PstCd>	[0..1]	Text		17
	TownName <TwnNm>	[0..1]	Text		17
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		17
	Country <Ctry>	[1..1]	CodeSet	C2	17

2.4.10.3.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.10.3.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 143

2.4.10.3.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 143

2.4.10.3.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 141

2.4.10.3.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 141

2.4.10.3.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 141

2.4.10.3.2.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 142

2.4.10.3.2.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 142

2.4.10.3.2.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.10.3.3 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 142

2.4.10.3.4 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 141

2.4.11 IssuerDisclosureDeadline <IssrDsclsrDdln>

Presence: [1..1]

Definition: Latest date/time set by the issuer or a third party appointed by the issuer at which a response to the request to disclose shareholder identity shall be provided by each intermediary to the recipient to whom the response must be sent.

IssuerDisclosureDeadline <IssrDsclsrDdln> contains one of the following elements (see "DateFormat46Choice" on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

2.4.12 DisclosureResponseDeadline <DsclsrRspnDdln>

Presence: [0..1]

Definition: Latest date/time set by an intermediary at which a response to the request to disclose shareholder identity shall be provided when sending the response though the chain of intermediaries.

DisclosureResponseDeadline <DsclsrRspnDdln> contains one of the following elements (see "DateFormat46Choice" on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

2.4.13 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 127 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	128
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		128
Or	NameAndAddress <NmAndAdr>	[1..1]	±		128
Or}	LEI <LEI>	[1..1]	IdentifierSet		128

2.4.14 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C9 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3 seev.046.001.01 ShareholdersIdentificationDisclosureRequest CancellationAdviceV01

3.1 MessageDefinition Functionality

The ShareholdersIdentificationDisclosureCancellationAdvice message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the first intermediaries in a custody chain or is sent by any intermediaries in a custody chain to the next intermediary down the chain of intermediaries (towards the investor side of the chain) in order to withdraw/cancel the disclosure request of shareholders identity previously sent.

Outline

The ShareholdersIdentificationDisclosureRequestCancellationAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. IssuerDisclosureRequestReference

Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

B. CancellationReason

Provides the reason for cancelling the request for shareholders identification disclosure.

C. Issuer

Issuer of the financial instrument.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ShrhldrsldDsclsrReqCxlAdv>	[1..1]			
	IssuerDisclosureRequestReference <IssrDsclsrReqRef>	[1..1]			21
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		22
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	22
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		23
	CancellationReason <CxlRsn>	[0..1]	CodeSet		23
	Issuer <Issr>	[0..1]	±		24
	SupplementaryData <SplmtryData>	[0..*]	±	C8	24

3.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 IssuerDisclosureRequestReference <IssrDsclsrReqRef>

Presence: [1..1]

Definition: Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

IssuerDisclosureRequestReference <IssrDsclsrReqRef> contains the following **DisclosureRequestIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		22
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	22
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		23

3.4.1.1 IssuerDisclosureRequestIdentification <IssrDsclsrReqId>

Presence: [1..1]

Definition: Official and unique reference assigned to a shareholders identification disclosure request process by the issuer or third party nominated by him.

Datatype: "Max35Text" on page 142

3.4.1.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <OthrId>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

3.4.1.3 ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>

Presence: [1..1]

Definition: Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt> contains one of the following elements (see ["DateFormat46Choice"](#) on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

3.4.2 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Provides the reason for cancelling the request for shareholders identification disclosure.

Datatype: ["DisclosureRequestCancellationReason1Code"](#) on page 135

CodeName	Name	Definition
PROC	Processing	The cancellation of the disclosure request is due to a processing error.

CodeName	Name	Definition
WITH	Withdrawal	The cancellation of the disclosure request is due to the withdrawal of the request by the issuer.

3.4.3 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 127 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	128
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		128
Or	NameAndAddress <NmAndAdr>	[1..1]	±		128
Or}	LEI <LEI>	[1..1]	IdentifierSet		128

3.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C8 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.047.001.01**

ShareholdersIdentificationDisclosureResponseV01

4.1 **MessageDefinition Functionality**

The ShareholdersIdentificationDisclosureResponse message is sent in response to a shareholder identification disclosure request message by any intermediaries to the recipient designated by the issuer (such as an issuer's agent) in the disclosure request message in order to provide the requested information on the identity of the shareholders and their accounts holdings serviced by the intermediary for the requested financial instrument.

Outline

The ShareholdersIdentificationDisclosureResponseV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Pagination

Page number of the message and continuation indicator to indicate that the identification disclosure response, when split in several parts (messages), is to continue or that the message is the last page of the multi-part identification disclosure response.

B. IssuerDisclosureRequestReference

Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

C. DisclosureResponseIdentification

Unique identification of the disclosure response. The same disclosure response identification number is to be used when the response is split in multiple (paginated) messages.

D. RespondingIntermediary

Unique identification of the intermediary party responding to the shareholders identification disclosure request.

E. DisclosureInformation

Provide detailed shareholding disclosure information or indicate that disclosure information is not provided.

F. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ShrhldrsIdDsclsrRspn>	[1..1]			
	Pagination <Pgntn>	[0..1]	±		32
	IssuerDisclosureRequestReference <IssrDsclsrReqRef>	[1..1]			32
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		32
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	32
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		33
	DisclosureResponseIdentification <DsclsrRspnId>	[1..1]	Text		34
	RespondingIntermediary <RspndgIntrmy>	[1..1]			34
	NameAndAddress <NmAndAdr>	[1..1]			35
	Name <Nm>	[1..1]	Text		36
	Address <Adr>	[0..1]			36
	AddressType <AdrTp>	[0..1]	CodeSet		37
	AddressLine <AdrLine>	[0..5]	Text		37
	StreetName <StrtNm>	[0..1]	Text		37
	BuildingNumber <BldgNb>	[0..1]	Text		37
	PostBox <PstBx>	[0..1]	Text		37
	PostCode <PstCd>	[0..1]	Text		37
	TownName <TwnNm>	[0..1]	Text		38
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		38
	Country <Ctry>	[1..1]	CodeSet	C2	38
	Identification <Id>	[1..1]			38
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	38
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		39
Or}	LEI <LEI>	[1..1]	IdentifierSet		39
	ContactPerson <CtctPrsn>	[0..1]	±		39
	DisclosureInformation <DsclsrInf>	[1..1]			40
{Or	NoDisclosure <NoDsclsr>	[1..1]	CodeSet		45
Or}	SafekeepingAccountAndHoldings <SfkpgAcctAndHldgs>	[1..*]			45
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		50

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountServicer <AcctSvcr>	[1..1]			50
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	50
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		51
Or}	LEI <LEI>	[1..1]	IdentifierSet		51
	ShareholdingBalanceOnOwnAccount <ShrhldgBalOnOwnAcct>	[1..1]	±		51
	ShareholdingBalanceOnClientAccount <ShrhldgBalOnClntAcct>	[1..1]	±		51
	TotalShareholdingBalance <TtlShrhldgBal>	[1..1]	±		52
	AccountSubLevel <AcctSubLvl>	[0..1]			52
	NonDisclosedShareholdingQuantity <NonDsclDShrhldgQty>	[0..1]	±		57
	BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty>	[0..1]	±		57
	Disclosure <Dsclsr>	[0..*]			57
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		61
	AccountHolder <AcctHldr>	[1..1]			62
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <CIntId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <SrnM>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83
	ShareholdingBalance <ShrhldgBal>	[1..*]			83
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87
	ThirdParty <ThrdPty>	[0..*]			87
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnNm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the identification disclosure response, when split in several parts (messages), is to continue or that the message is the last page of the multi-part identification disclosure response.

Pagination <Pgntn> contains the following elements (see "Pagination1" on page 127 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		127
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		127

4.4.2 IssuerDisclosureRequestReference <IssrDsclsrReqRef>

Presence: [1..1]

Definition: Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

IssuerDisclosureRequestReference <IssrDsclsrReqRef> contains the following **DisclosureRequestIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		32
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	32
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		33

4.4.2.1 IssuerDisclosureRequestIdentification <IssrDsclsrReqId>

Presence: [1..1]

Definition: Official and unique reference assigned to a shareholders identification disclosure request process by the issuer or third party nominated by him.

Datatype: "Max35Text" on page 142

4.4.2.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <OthrlId>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

4.4.2.3 ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>

Presence: [1..1]

Definition: Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt> contains one of the following elements (see "DateFormat46Choice" on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

4.4.3 DisclosureResponseIdentification <DsclsrRspnId>

Presence: [1..1]

Definition: Unique identification of the disclosure response. The same disclosure response identification number is to be used when the response is split in multiple (paginated) messages.

Datatype: "Max35Text" on page 142

4.4.4 RespondingIntermediary <RspndgIntrmy>

Presence: [1..1]

Definition: Unique identification of the intermediary party responding to the shareholders identification disclosure request.

RespondingIntermediary <RspndgIntrmy> contains the following **PartyIdentification219** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			35
	Name <Nm>	[1..1]	Text		36
	Address <Adr>	[0..1]			36
	AddressType <AdrTp>	[0..1]	CodeSet		37
	AddressLine <AdrLine>	[0..5]	Text		37
	StreetName <StrtNm>	[0..1]	Text		37
	BuildingNumber <BldgNb>	[0..1]	Text		37
	PostBox <PstBx>	[0..1]	Text		37
	PostCode <PstCd>	[0..1]	Text		37
	TownName <TwnNm>	[0..1]	Text		38
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		38
	Country <Ctry>	[1..1]	CodeSet	C2	38
	Identification <Id>	[1..1]			38
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	38
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		39
Or}	LEI <LEI>	[1..1]	IdentifierSet		39
	ContactPerson <CtctPrsn>	[0..1]	±		39

4.4.4.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		36
	Address <Adr>	[0..1]			36
	AddressType <AdrTp>	[0..1]	CodeSet		37
	AddressLine <AdrLine>	[0..5]	Text		37
	StreetName <StrtNm>	[0..1]	Text		37
	BuildingNumber <BldgNb>	[0..1]	Text		37
	PostBox <PstBx>	[0..1]	Text		37
	PostCode <PstCd>	[0..1]	Text		37
	TownName <TwnNm>	[0..1]	Text		38
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		38
	Country <Ctry>	[1..1]	CodeSet	C2	38

4.4.4.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 142

4.4.4.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		37
	AddressLine <AdrLine>	[0..5]	Text		37
	StreetName <StrtNm>	[0..1]	Text		37
	BuildingNumber <BldgNb>	[0..1]	Text		37
	PostBox <PstBx>	[0..1]	Text		37
	PostCode <PstCd>	[0..1]	Text		37
	TownName <TwnNm>	[0..1]	Text		38
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		38
	Country <Ctry>	[1..1]	CodeSet	C2	38

4.4.4.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.4.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 143**4.4.4.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 143**4.4.4.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 141**4.4.4.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 141**4.4.4.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 141

4.4.4.1.2.7 TownName <TwNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 142**4.4.4.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 142**4.4.4.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 134**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.4.2 Identification <Id>*Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification195Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	38
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		39
Or}	LEI <LEI>	[1..1]	IdentifierSet		39

4.4.4.2.1 AnyBIC <AnyBIC>*Presence:* [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.4.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

4.4.4.2.3 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "[LEIIdentifier](#)" on page 140

4.4.4.3 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Identifies the contact person by its name, given name and address.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 129 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		129
	GivenName <GvnNm>	[0..1]	Text		129
	Name <Nm>	[1..1]	Text		129
	PhoneNumber <PhneNb>	[0..1]	Text		129
	MobileNumber <MobNb>	[0..1]	Text		130
	FaxNumber <FaxNb>	[0..1]	Text		130
	EmailAddress <EmailAdr>	[0..1]	Text		130

4.4.5 DisclosureInformation <DsclsrInf>

Presence: [1..1]

Definition: Provide detailed shareholding disclosure information or indicate that disclosure information is not provided.

DisclosureInformation <DsclsrInf> contains one of the following **Disclosure1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoDisclosure <NoDsclsr>	[1..1]	CodeSet		45
Or}	SafekeepingAccountAndHoldings <SfkpgAcctAndHldgs>	[1..*]			45
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		50
	AccountServicer <AcctSvcr>	[1..1]			50
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	50
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		51
Or}	LEI <LEI>	[1..1]	IdentifierSet		51
	ShareholdingBalanceOnOwnAccount <ShrhldgBalOnOwnAcct>	[1..1]	±		51
	ShareholdingBalanceOnClientAccount <ShrhldgBalOnClntAcct>	[1..1]	±		51
	TotalShareholdingBalance <TtlShrhldgBal>	[1..1]	±		52
	AccountSubLevel <AcctSubLvl>	[0..1]			52
	NonDisclosedShareholdingQuantity <NonDsclsdShrhldgQty>	[0..1]	±		57
	BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty>	[0..1]	±		57
	Disclosure <Dsclsr>	[0..*]			57
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		61
	AccountHolder <AcctHldr>	[1..1]			62
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClnId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <Srm>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83
	ShareholdingBalance <ShrhldgBal>	[1..*]			83
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThirdParty <ThrdPty>	[0..*]			87
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <CIntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnNm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.4.5.1 NoDisclosure <NoDsclsr>

Presence: [1..1]

Definition: Shareholding information is not disclosed.

Datatype: "NoReasonCode" on page 136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

4.4.5.2 SafekeepingAccountAndHoldings <SfkpgAcctAndHldgs>

Presence: [1..*]

Definition: Details of the account, account sub-levels and holdings.

SafekeepingAccountAndHoldings <SfkpgAcctAndHldgs> contains the following
SafekeepingAccount8 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[1..1]	Text		50
	AccountServicer <AcctSvcr>	[1..1]			50
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	50
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		51
Or}	LEI <LEI>	[1..1]	IdentifierSet		51
	ShareholdingBalanceOnOwnAccount <ShrhldgBalOnOwnAcct>	[1..1]	±		51
	ShareholdingBalanceOnClientAccount <ShrhldgBalOnClntAcct>	[1..1]	±		51
	TotalShareholdingBalance <TtlShrhldgBal>	[1..1]	±		52
	AccountSubLevel <AcctSubLvl>	[0..1]			52
	NonDisclosedShareholdingQuantity <NonDscldShrhldgQty>	[0..1]	±		57
	BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty>	[0..1]	±		57
	Disclosure <Dsclsr>	[0..*]			57
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		61
	AccountHolder <AcctHldr>	[1..1]			62
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClnId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <SrnNm>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83
	ShareholdingBalance <ShrhldgBal>	[1..*]			83
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87
	ThirdParty <ThrdPty>	[0..*]			87

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnNm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.4.5.2.1 SafekeepingAccount <SfkpgAcct>

Presence: [1..1]

Definition: Account where financial instruments are maintained. Account held by the responding intermediary with its account servicer.

Datatype: "Max35Text" on page 142

4.4.5.2.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following **PartyIdentification195Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	50
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		51
Or}	LEI <LEI>	[1..1]	IdentifierSet		51

4.4.5.2.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.5.2.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

4.4.5.2.2.3 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 140

4.4.5.2.3 ShareholdingBalanceOnOwnAccount <ShrhldgBalOnOwnAcct>

Presence: [1..1]

Definition: Number of shares held by the responding intermediary on its own account.

ShareholdingBalanceOnOwnAccount <ShrhldgBalOnOwnAcct> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.4 ShareholdingBalanceOnClientAccount <ShrhldgBalOnClntAcct>

Presence: [1..1]

Definition: Number of shares held by the responding intermediary on account of someone else.

ShareholdingBalanceOnClientAccount <ShrhldgBalOnClntAcct> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.5 TotalShareholdingBalance <TtlShrhldgBal>

Presence: [1..1]

Definition: Total number of shares held by the responding intermediary.

TotalShareholdingBalance <TtlShrhldgBal> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.6 AccountSubLevel <AcctSubLvl>

Presence: [0..1]

Definition: Shareholdings information at account sub level.

AccountSubLevel <AcctSubLvl> contains the following **AccountSublevel21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NonDisclosedShareholdingQuantity <NonDscldShrhldgQty>	[0..1]	±		57
	BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty>	[0..1]	±		57
	Disclosure <Dscls>	[0..*]			57
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		61
	AccountHolder <AcctHldr>	[1..1]			62
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClnlId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <Srn>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83
	ShareholdingBalance <ShrhldgBal>	[1..*]			83
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87
	ThirdParty <ThrdPty>	[0..*]			87
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnM>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.4.5.2.6.1 NonDisclosedShareholdingQuantity <NonDsclDShrhldgQty>

Presence: [0..1]

Definition: Quantity of shares for which shareholding details are not disclosed.

NonDisclosedShareholdingQuantity <NonDsclDShrhldgQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.6.2 BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty>

Presence: [0..1]

Definition: Quantity of shares for which shareholding details are not reported as the quantity of shares owned by the shareholder is under the threshold limit.

BelowThresholdShareholdingQuantity <BlwThrshldShrhldgQty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.6.3 Disclosure <Dsclsr>

Presence: [0..*]

Definition: Details of the account sub-levels and holdings.

Disclosure <Dsclsr> contains the following AccountSubLevel20 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingAccount <SfkpgAcct>	[0..1]	Text		61
	AccountHolder <AcctHldr>	[1..1]			62
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClnId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <Snm>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83
	ShareholdingBalance <ShrhldgBal>	[1..*]			83
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87
	ThirdParty <ThrdPty>	[0..*]			87
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <CIntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnM>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.4.5.2.6.3.1 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account where financial instruments are maintained. Account serviced by the responding intermediary for an account holder.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2 AccountHolder <AcctHldr>

Presence: [1..1]

Definition: Party that legally owns the account.

AccountHolder <AcctHldr> contains one of the following **PartyIdentification196Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			65
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClnId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NaturalPerson <NtrlPrsn>	[1..*]			73
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <Snm>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83

4.4.5.2.6.3.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification216** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			67
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69
	EmailAddress <EmailAdr>	[0..1]	Text		69
	Identification <Id>	[1..1]			69
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <ClntrId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70
	CountryOfIncorporation <CtryOfIncorprtn>	[0..1]	CodeSet	C2	71
	ActivityIndicator <ActvtyInd>	[0..1]	IdentifierSet		71
	InvestorType <InvstrTp>	[0..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72
	Ownership <Ownrsh>	[0..1]			72
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73

4.4.5.2.6.3.2.1.1 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following **NameAndAddress17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		67
	Address <Adr>	[0..1]			67
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69

4.4.5.2.6.3.2.1.1.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 142**4.4.5.2.6.3.2.1.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		68
	AddressLine <AdrLine>	[0..5]	Text		68
	StreetName <StrtNm>	[0..1]	Text		68
	BuildingNumber <BldgNb>	[0..1]	Text		68
	PostBox <PstBx>	[0..1]	Text		69
	PostCode <PstCd>	[0..1]	Text		69
	TownName <TwnNm>	[0..1]	Text		69
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		69
	Country <Ctry>	[1..1]	CodeSet	C2	69

4.4.5.2.6.3.2.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.6.3.2.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.2.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.2.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.2.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.2.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.2.1.1.2.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2.1.1.2.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.2.1.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 142

4.4.5.2.6.3.2.1.3 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		70
Or	LEI <LEI>	[1..1]	IdentifierSet		70
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	70
Or	ClientIdentification <CIntId>	[1..1]	Text		70
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		70

4.4.5.2.6.3.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 140

4.4.5.2.6.3.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.5.2.6.3.2.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 142

4.4.5.2.6.3.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

4.4.5.2.6.3.2.1.4 CountryOfIncorporation <CtryOfIncorprtn>

Presence: [0..1]

Definition: Country in which the company is incorporated or legally registered.

Impacted by: [C2 "Country"](#)

Datatype: "[CountryCode](#)" on page 134

Constraints

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.2.1.5 ActivityIndicator <ActvtyInd>

Presence: [0..1]

Definition: Economical activity of the investor.

Datatype: "[ISICIdentifier](#)" on page 139

4.4.5.2.6.3.2.1.6 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Type of investor.

InvestorType <InvstrTp> contains one of the following **InvestorType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		72

4.4.5.2.6.3.2.1.6.1 Code <Cd>

Presence: [1..1]

Definition: Investor type expressed as an ISO 20022 code.

Datatype: "[Eligibility1Code](#)" on page 135

CodeName	Name	Definition
ELIG	EligibleCounterparty	Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules.
RETL	RetailClient	Retail customers are the least sophisticated level of investor.
PROF	ProfessionalClient	Professional customers are, for example, investment firms, credit institutions, insurance companies.

4.4.5.2.6.3.2.1.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investor type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.2.1.7 Ownership <Ownrsh>

Presence: [0..1]

Definition: Provides information about the ownership on an asset.

Ownership <Ownrsh> contains the following **Ownership1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipType <OwnrshTp>	[0..1]			72
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		73
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		73

4.4.5.2.6.3.2.1.7.1 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Specifies the type of ownership.

OwnershipType <OwnrshTp> contains one of the following **OwnershipType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		73
Or}	Proprietary <Prtry>	[1..1]	±		73

4.4.5.2.6.3.2.1.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Owner type expressed as an ISO 20022 code.

Datatype: "AccountOwnershipType5Code" on page 134

CodeName	Name	Definition
USUF	Usufructuary	The person is ...
OWNR	Owner	A person has full ownership on the asset.
BOWN	BareOwner	A person has bare ownership on the asset.

4.4.5.2.6.3.2.1.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Owner type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.2.1.7.2 OwnershipPercentage <OwnrshPctg>

Presence: [0..1]

Definition: Percentage of ownership that a person has on an asset.

Datatype: "PercentageRate" on page 141

4.4.5.2.6.3.2.1.7.3 UsufructPercentage <UsfrctPctg>

Presence: [0..1]

Definition: Percentage of usufruct that a person has on an asset.

Datatype: "PercentageRate" on page 141

4.4.5.2.6.3.2.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification217** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			75
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <SrnM>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78
	EmailAddress <EmailAdr>	[0..1]	Text		78
	Identification <Id>	[1..1]			78
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79
	Nationality <Ntlty>	[0..1]	CodeSet	C2	79
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			80
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80
	InvestorType <InvstrTp>	[0..1]			81
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81
	Ownership <Ownrsh>	[0..1]			81
	OwnershipType <OwnrshTp>	[0..1]			82

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83

4.4.5.2.6.3.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		75
	FirstName <FrstNm>	[1..1]	Text		76
	Surname <Snm>	[1..1]	Text		76
	Address <Adr>	[0..1]			76
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78

4.4.5.2.6.3.2.2.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Prefix, as a title before a person's name.

Datatype: "NamePrefix2Code" on page 136

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.

CodeName	Name	Definition
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

4.4.5.2.6.3.2.2.1.2 FirstName <FrstNm>*Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 142**4.4.5.2.6.3.2.2.1.3 Surname <SrnNm>***Presence:* [1..1]*Definition:* Name (s) by which a natural person is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 142**4.4.5.2.6.3.2.2.1.4 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.**Address <Adr>** contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		76
	AddressLine <AdrLine>	[0..5]	Text		77
	StreetName <StrtNm>	[0..1]	Text		77
	BuildingNumber <BldgNb>	[0..1]	Text		77
	PostBox <PstBx>	[0..1]	Text		77
	PostCode <PstCd>	[0..1]	Text		77
	TownName <TwnNm>	[0..1]	Text		77
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		77
	Country <Ctry>	[1..1]	CodeSet	C2	78

4.4.5.2.6.3.2.2.1.4.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

CodeName	Name	Definition
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.6.3.2.2.1.4.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 143**4.4.5.2.6.3.2.2.1.4.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 143**4.4.5.2.6.3.2.2.1.4.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 141**4.4.5.2.6.3.2.2.1.4.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 141**4.4.5.2.6.3.2.2.1.4.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 141**4.4.5.2.6.3.2.2.1.4.7 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 142**4.4.5.2.6.3.2.2.1.4.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 142

4.4.5.2.6.3.2.2.1.4.9 Country <Ctry>*Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 134**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.2.2.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 142**4.4.5.2.6.3.2.2.3 Identification <Id>***Presence:* [1..1]*Definition:* Natural person identification details.**Identification <Id>** contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		78
	IdentificationType <IdTp>	[0..1]			78
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79

4.4.5.2.6.3.2.2.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Natural person local identification.*Datatype:* "Max35Text" on page 142**4.4.5.2.6.3.2.2.3.2 IdentificationType <IdTp>***Presence:* [0..1]*Definition:* Type of local identification for a natural person.**IdentificationType <IdTp>** contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		79
Or}	Proprietary <Prtry>	[1..1]	±		79

4.4.5.2.6.3.2.2.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "TypeOfIdentification4Code" on page 138

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.5.2.6.3.2.2.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of identification expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.2.2.4 Nationality <Ntlty>*Presence:* [0..1]*Definition:* Nationality of the person.*Impacted by:* [C2 "Country"](#)*Datatype:* "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.2.2.5 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date on which and place at which a person is born.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		80
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		80
	CityOfBirth <CityOfBirth>	[0..1]	Text		80
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C2	80

4.4.5.2.6.3.2.2.5.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 138

4.4.5.2.6.3.2.2.5.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2.2.5.3 CityOfBirth <CityOfBirth>

Presence: [0..1]

Definition: City where a person was born.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.2.2.5.4 CountryOfBirth <CtryOfBirth>

Presence: [0..1]

Definition: Country where a person was born.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.2.2.6 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Type of investor.

InvestorType <InvstrTp> contains one of the following **InvestorType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		81
Or}	Proprietary <Prtry>	[1..1]	±		81

4.4.5.2.6.3.2.2.6.1 Code <Cd>

Presence: [1..1]

Definition: Investor type expressed as an ISO 20022 code.

Datatype: "Eligibility1Code" on page 135

CodeName	Name	Definition
ELIG	EligibleCounterparty	Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules.
RETL	RetailClient	Retail customers are the least sophisticated level of investor.
PROF	ProfessionalClient	Professional customers are, for example, investment firms, credit institutions, insurance companies.

4.4.5.2.6.3.2.2.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investor type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.2.2.7 Ownership <Ownrsh>

Presence: [0..1]

Definition: Provides information about the ownership on an asset.

Ownership <Ownrsh> contains the following **Ownership1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipType <OwnrshTp>	[0..1]			82
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82
	OwnershipPercentage <OwnrshPctg>	[0..1]	Rate		83
	UsufructPercentage <UsfrctPctg>	[0..1]	Rate		83

4.4.5.2.6.3.2.2.7.1 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Specifies the type of ownership.

OwnershipType <OwnrshTp> contains one of the following **OwnershipType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		82
Or}	Proprietary <Prtry>	[1..1]	±		82

4.4.5.2.6.3.2.2.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Owner type expressed as an ISO 20022 code.

Datatype: "AccountOwnershipType5Code" on page 134

CodeName	Name	Definition
USUF	Usufructuary	The person is ...
OWNR	Owner	A person has full ownership on the asset.
BOWN	BareOwner	A person has bare ownership on the asset.

4.4.5.2.6.3.2.2.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Owner type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.2.2.7.2 OwnershipPercentage <OwnrshPctg>

Presence: [0..1]

Definition: Percentage of ownership that a person has on an asset.

Datatype: "[PercentageRate](#)" on page 141

4.4.5.2.6.3.2.2.7.3 UsufructPercentage <UsfrctPctg>

Presence: [0..1]

Definition: Percentage of usufruct that a person has on an asset.

Datatype: "[PercentageRate](#)" on page 141

4.4.5.2.6.3.3 ShareholdingBalance <ShrhldgBal>

Presence: [1..*]

Definition: Detailed shareholding balance information for an account.

ShareholdingBalance <ShrhldgBal> contains the following **ShareholdingBalance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShareholdingType <ShrhldgTp>	[1..1]	CodeSet		85
	Quantity <Qty>	[1..1]	±		86
	InitialDateOfShareholding <InitlDtOfShrhldg>	[0..1]			86
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87
	ThirdParty <ThrdPty>	[0..*]			87
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClnId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnM>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102
	SupplementaryData <SplmtryData>	[0..*]	±	C8	102

4.4.5.2.6.3.3.1 ShareholdingType <ShrhldgTp>

Presence: [1..1]

Definition: Identifies the category of shareholding.

Datatype: "ShareholdingType1Code" on page 137

CodeName	Name	Definition
BENE	BeneficialShareholding	Shares are held on behalf of the beneficial owner. Usage: this type should be used to report assets the intermediary holds on behalf of a final beneficial owner.
NOMI	NomineeShareholding	Shares are held on behalf of an intermediary. Usage: this type should be used to report assets the intermediary holds on behalf of another intermediary.

CodeName	Name	Definition
OOAC	OnOwnAccount	Shares are held by the responding intermediary for its own account. Usage: this type should only be used to report the intermediary's own assets if and when the intermediary commingles them with the one of their clients. Within jurisdictions where commingling is not allowed, this type should not be used.
UKWN	Unknown	Shareholding type is unknown. Usage: this type should be used when the intermediary's client hasn't been classified.

4.4.5.2.6.3.3.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Number of shares of this type of shareholding or with this date of shareholding held by the account owner.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

4.4.5.2.6.3.3.3 InitialDateOfShareholding <InitIDtOfShrhldg>*Presence:* [0..1]*Definition:* Date as from when the shares have been held by the shareholder on its account.**InitialDateOfShareholding <InitIDtOfShrhldg>** contains one of the following **DateFormat57Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		86
Or}	DateCode <DtCd>	[1..1]			87
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87

4.4.5.2.6.3.3.3.1 Date <Dt>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.*Datatype:* "ISODate" on page 138

4.4.5.2.6.3.3.3.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Date is expressed using a code.**DateCode <DtCd>** contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		87
Or}	Proprietary <Prtry>	[1..1]	±		87

4.4.5.2.6.3.3.3.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Standard code to indicate the date is unknown.*Datatype:* "DateType1Code" on page 135

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

4.4.5.2.6.3.3.3.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.3.4 ThirdParty <ThrdPty>*Presence:* [0..*]*Definition:* Third party who is authorised to take specific actions on behalf of the shareholder.

ThirdParty <ThrdPty> contains the following **PartyIdentification218** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Role <Role>	[1..1]			89
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89
	Identification <Id>	[1..1]			90
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <Snm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

4.4.5.2.6.3.3.4.1 Role <Role>

Presence: [1..1]

Definition: Role played by the third party.

Role <Role> contains one of the following **PartyRole6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		89
Or}	Proprietary <Prtry>	[1..1]	±		89

4.4.5.2.6.3.3.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "PartyRole2Code" on page 137

CodeName	Name	Definition
DIST	Distributor	Party that act as an wholesaler of funds.
LGRD	LegalGuardian	Party that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.
DECM	DecisionMaker	Party that is authorised to take investment decisions on behalf of the shareholder.

4.4.5.2.6.3.3.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.3.4.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the third party.

Identification <Id> contains one of the following **PartyIdentification205Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			92
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <CIntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96
Or}	NaturalPerson <NtrlPrsn>	[1..1]			97
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <Snm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

4.4.5.2.6.3.4.2.1 LegalPerson <LgIPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LgIPrsn> contains the following **PartyIdentification201** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[0..1]			93
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95
	Identification <Id>	[1..1]			95
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <ClntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96

4.4.5.2.6.3.3.4.2.1.1 NameAndAddress <NmAndAdr>*Presence:* [0..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		93
	Address <Adr>	[0..1]			93
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95

4.4.5.2.6.3.3.4.2.1.1.1 Name <Nm>*Presence:* [1..1]*Definition:* First name(s) by which a natural person is known.*Datatype:* "Max350Text" on page 142**4.4.5.2.6.3.3.4.2.1.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		94
	AddressLine <AdrLine>	[0..5]	Text		94
	StreetName <StrtNm>	[0..1]	Text		94
	BuildingNumber <BldgNb>	[0..1]	Text		94
	PostBox <PstBx>	[0..1]	Text		95
	PostCode <PstCd>	[0..1]	Text		95
	TownName <TwnNm>	[0..1]	Text		95
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		95
	Country <Ctry>	[1..1]	CodeSet	C2	95

4.4.5.2.6.3.3.4.2.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.6.3.3.4.2.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.3.4.2.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.3.4.2.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.1.1.2.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.1.1.2.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.3.4.2.1.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		96
Or	LEI <LEI>	[1..1]	IdentifierSet		96
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	96
Or	ClientIdentification <CIntId>	[1..1]	Text		96
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		96

4.4.5.2.6.3.3.4.2.1.2.1 NationalRegistrationNumber <NtlRegnNb>

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.1.2.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 140

4.4.5.2.6.3.3.4.2.1.2.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.5.2.6.3.3.4.2.1.2.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 142

4.4.5.2.6.3.3.4.2.1.2.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

4.4.5.2.6.3.3.4.2.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification202** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			97
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <SrnNm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100
	Identification <Id>	[1..1]			100
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

4.4.5.2.6.3.3.4.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		98
	Surname <Srnrm>	[1..1]	Text		98
	Address <Adr>	[0..1]			98
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100

4.4.5.2.6.3.3.4.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 142

4.4.5.2.6.3.3.4.2.2.1.2 **Surname <Srnrm>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 142

4.4.5.2.6.3.3.4.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		99
	AddressLine <AdrLine>	[0..5]	Text		99
	StreetName <StrtNm>	[0..1]	Text		99
	BuildingNumber <BldgNb>	[0..1]	Text		99
	PostBox <PstBx>	[0..1]	Text		100
	PostCode <PstCd>	[0..1]	Text		100
	TownName <TwnNm>	[0..1]	Text		100
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		100
	Country <Ctry>	[1..1]	CodeSet	C2	100

4.4.5.2.6.3.3.4.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.6.3.3.4.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.3.4.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 143

4.4.5.2.6.3.3.4.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 141

4.4.5.2.6.3.3.4.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.6.3.3.4.2.2.2 Identification <Id>

Presence: [1..1]

Definition: CONCAT

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		101
	IdentificationType <IdTp>	[0..1]			101
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

4.4.5.2.6.3.3.4.2.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 142

4.4.5.2.6.3.3.4.2.2.2.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		101
Or}	Proprietary <Prtry>	[1..1]	±		102

4.4.5.2.6.3.3.4.2.2.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 138

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.

CodeName	Name	Definition
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.5.2.6.3.3.4.2.2.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

4.4.5.2.6.3.3.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C8 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C8 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.048.001.01**

ShareholderIdentificationDisclosureResponseCancellationAdviceV01

5.1 **MessageDefinition Functionality**

The ShareholdersIdentificationDisclosureResponseCancellationAdvice message is sent by any intermediaries to the recipient designated by the issuer (such as an issuer's agent) in the disclosure request message in order to cancel the shareholder identification disclosure response whether previously sent in a single message or in a set of multipart/paginated response messages.

Outline

The ShareholderIdentificationDisclosureResponseCancellationAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. DisclosureResponseIdentification

Unique identification of the disclosure response for which a cancellation advice is sent.

The same disclosure response identification number is to be used when cancelling a response which is split in multiple messages.

B. IssuerDisclosureRequestReference

Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

C. RespondingIntermediary

Unique identification of the intermediary party responding to the shareholders identification disclosure request.

D. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ShrhldrldDsclsrRspnCxlAdv>	[1..1]			
	DisclosureResponseIdentification <DsclsrRspnId>	[1..1]	Text		106
	IssuerDisclosureRequestReference <IssrDsclsrReqRef>	[1..1]			106
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		106
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C2, C3, C4, C5, C6	106
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		107
	RespondingIntermediary <RspndgIntrmy>	[1..1]			108
	Name <Nm>	[1..1]	Text		108
	Identification <Id>	[1..1]			108
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	109
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		109
Or}	LEI <LEI>	[1..1]	IdentifierSet		109
	SupplementaryData <SplmtryData>	[0..*]	±	C7	109

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C3 DescriptionUsageRule

Description must be used alone as the last resort.

C4 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C6 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C7 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 DisclosureResponseIdentification <DsclsrRspnId>

Presence: [1..1]

Definition: Unique identification of the disclosure response for which a cancellation advice is sent.

The same disclosure response identification number is to be used when cancelling a response which is split in multiple messages.

Datatype: "Max35Text" on page 142

5.4.2 IssuerDisclosureRequestReference <IssrDsclsrReqRef>

Presence: [1..1]

Definition: Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

IssuerDisclosureRequestReference <IssrDsclsrReqRef> contains the following **DisclosureRequestIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		106
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C2, C3, C4, C5, C6	106
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		107

5.4.2.1 IssuerDisclosureRequestIdentification <IssrDsclsrReqId>

Presence: [1..1]

Definition: Official and unique reference assigned to a shareholders identification disclosure request process by the issuer or third party nominated by him.

Datatype: "Max35Text" on page 142

5.4.2.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C2 "DescriptionPresenceRule", C3 "DescriptionUsageRule", C4 "ISINGuideline", C5 "ISINPresenceRule", C6 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <OthrlId>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

5.4.2.3 ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>

Presence: [1..1]

Definition: Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt> contains one of the following elements (see "DateFormat46Choice" on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

5.4.3 RespondingIntermediary <RspndgIntrmy>

Presence: [1..1]

Definition: Unique identification of the intermediary party responding to the shareholders identification disclosure request.

RespondingIntermediary <RspndgIntrmy> contains the following **PartyIdentification215** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		108
	Identification <Id>	[1..1]			108
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	109
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		109
Or}	LEI <LEI>	[1..1]	IdentifierSet		109

5.4.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 142

5.4.3.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification195Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	109
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		109
Or}	LEI <LEI>	[1..1]	IdentifierSet		109

5.4.3.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.3.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

5.4.3.2.3 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 140

5.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C7 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.049.001.01**

ShareholderIdentificationDisclosureResponseStatusAdviceV01

6.1 **MessageDefinition Functionality**

The ShareholdersIdentificationDisclosureResponseStatusAdvice message is sent by an issuer or by a third party nominated by the issuer (such as an issuer's agent) to the intermediary in a custody chain in response to a ShareholderIdentificationDisclosureResponse message or in response to a multipart response report (sent with pagination in multiple messages) in order to report about the acceptance status of the received ShareholderIdentificationDisclosureResponse message/report.

Usage: when the shareholder identification disclosure response is sent in a multipart response report (with several messages), a single response status advice only may be sent for the acceptance status of the whole report.

Outline

The ShareholderIdentificationDisclosureResponseStatusAdviceV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. DisclosureResponseIdentification

Unique identification of the disclosure response for which the status advice is sent.

B. IssuerDisclosureRequestReference

Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

C. RespondingIntermediary

Unique identification of the intermediary party responding to the shareholders identification disclosure request.

D. ResponseReceptionStatus

Acceptance status of the shareholder disclosure Identification Response message/report.

E. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ShrhldrldDsclsrRspnStsAdv>	[1..1]			
	DisclosureResponseIdentification <DsclsrRspnId>	[1..1]	Text		113
	IssuerDisclosureRequestReference <IssrDsclsrReqRef>	[1..1]			113
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		114
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	114
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		115
	RespondingIntermediary <RspndgIntrmy>	[1..1]			115
	Name <Nm>	[1..1]	Text		116
	Identification <Id>	[1..1]			116
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	116
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		116
Or}	LEI <LEI>	[1..1]	IdentifierSet		117
	ResponseReceptionStatus <RspnRcptnSts>	[1..1]			117
{Or	Accepted <Acptd>	[1..1]			117
	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		118
Or}	Rejected <Rjctd>	[1..1]			118
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		118
Or}	Reason <Rsn>	[1..*]		C1	118
	ReasonCode <RsnCd>	[1..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		119
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		120
	SupplementaryData <SplmtryData>	[0..*]	±	C8	120

6.3 Constraints

C1 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 DisclosureResponseIdentification <DsclsrRspnId>

Presence: [1..1]

Definition: Unique identification of the disclosure response for which the status advice is sent.

Datatype: "Max35Text" on page 142

6.4.2 IssuerDisclosureRequestReference <IssrDsclsrReqRef>

Presence: [1..1]

Definition: Official and unique identification assigned to a shareholders identification disclosure request process by the issuer or third party nominated by it and for which a response is sent.

IssuerDisclosureRequestReference <IssrDsclsrReqRef> contains the following **DisclosureRequestIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerDisclosureRequestIdentification <IssrDsclsrReqId>	[1..1]	Text		114
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	114
	ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>	[1..1]	±		115

6.4.2.1 IssuerDisclosureRequestIdentification <IssrDsclsrReqId>

Presence: [1..1]

Definition: Official and unique reference assigned to a shareholders identification disclosure request process by the issuer or third party nominated by him.

Datatype: "Max35Text" on page 142

6.4.2.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identifies the financial instrument.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <OthrId>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or
  /Description Must be present
```

6.4.2.3 ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt>

Presence: [1..1]

Definition: Date set by the issuer on which shareholders identity is determined based on the settled positions struck in the books of the Issuer CSD or any other first intermediary at the close of business day.

ShareholdersDisclosureRecordDate <ShrhldrsDsclsrRcrdDt> contains one of the following elements (see ["DateFormat46Choice"](#) on page 121 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

6.4.3 RespondingIntermediary <RspndgIntrmy>

Presence: [1..1]

Definition: Unique identification of the intermediary party responding to the shareholders identification disclosure request.

RespondingIntermediary <RspndgIntrmy> contains the following **PartyIdentification215** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		116
	Identification <Id>	[1..1]			116
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	116
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		116
Or}	LEI <LEI>	[1..1]	IdentifierSet		117

6.4.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 142

6.4.3.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification195Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C2	116
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		116
Or}	LEI <LEI>	[1..1]	IdentifierSet		117

6.4.3.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C2 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 139

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

6.4.3.2.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

6.4.3.2.3 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 140

6.4.4 ResponseReceptionStatus <RspnRcptnSts>

Presence: [1..1]

Definition: Acceptance status of the shareholder disclosure Identification Response message/report.

ResponseReceptionStatus <RspnRcptnSts> contains one of the following **ResponseProcessingStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Accepted <Accptd>	[1..1]			117
	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		118
Or}	Rejected <Rjctd>	[1..1]			118
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		118
Or}	Reason <Rsn>	[1..*]		C1	118
	ReasonCode <RsnCd>	[1..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		119
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		120

6.4.4.1 Accepted <Accptd>

Presence: [1..1]

Definition: The received message or the multipart received report (sent with pagination in multiple messages) is accepted.

Accepted <Accptd> contains the following **AcceptedStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		118

6.4.4.1.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: The received message or the multipart received report (sent with pagination in multiple messages) is rejected.

Rejected <Rjctd> contains one of the following **RejectedStatus29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		118
Or}	Reason <Rsn>	[1..*]		C1	118
	ReasonCode <RsnCd>	[1..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		119
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		120

6.4.4.2.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 136

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

6.4.4.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the rejected status.

Impacted by: C1 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		119
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		120

Constraints

- **AdditionalReasonInformationRule**

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

6.4.4.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Specifies the reason why the instruction or instruction cancellation has been rejected.

ReasonCode <RsnCd> contains one of the following **RejectedReason30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		119

6.4.4.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason52Code" on page 137

CodeName	Name	Definition
IDRI	InvalidDisclosureRequestIdentification	Disclosure request identification is invalid or unknown.
IDRJ	InvalidDisclosureResponseIdentification	Disclosure response identification is invalid or unknown.
SVNO	ServiceNotOffered	Service for which the information was sent is not offered.

6.4.4.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

6.4.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "[Max350Text](#)" on page 142

6.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: [C8 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 Message Items Types

7.1 MessageComponents

7.1.1 Date Time

7.1.1.1 DateFormat46Choice

Definition: Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]			121
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

7.1.1.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		122
Or}	DateTime <DtTm>	[1..1]	DateTime		122

7.1.1.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Specifies the type of date.

DateCode <DtCd> contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		121
Or}	Proprietary <Prtry>	[1..1]	±		122

7.1.1.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: ["DateType1Code"](#) on page 135

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

7.1.1.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of date.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

7.1.1.2 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		122
Or}	DateTime <DtTm>	[1..1]	DateTime		122

7.1.1.2.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 138

7.1.1.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "[ISODateTime](#)" on page 139

7.1.2 Financial Instrument

7.1.2.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		123
	OtherIdentification <Othrlid>	[0..*]			124
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124
	Description <Desc>	[0..1]	Text		124

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

7.1.2.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 140

7.1.2.1.2 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		124
	Suffix <Sfx>	[0..1]	Text		124
	Type <Tp>	[1..1]	±		124

7.1.2.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 142

7.1.2.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 141

7.1.2.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	Text		125

7.1.2.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 141

7.1.3 Identification Information

7.1.3.1 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		125
Or}	Proprietary <Prtry>	[1..1]	Text		125

7.1.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 136

7.1.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 142

7.1.3.2 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		125
	Issuer <Issr>	[1..1]	Text		125
	SchemeName <SchmeNm>	[0..1]	Text		125

7.1.3.2.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 141

7.1.3.2.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 142

7.1.3.2.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 142

7.1.3.3 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

7.1.3.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 142

7.1.3.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 142

7.1.3.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 142

7.1.4 Miscellaneous

7.1.4.1 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		126
	Envelope <Envlp>	[1..1]	(External Schema)		127

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.1.4.1.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 142

7.1.4.1.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

7.1.4.2 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		127
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		127

7.1.4.2.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 142

7.1.4.2.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 140):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.1.5 Party Identification

7.1.5.1 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	128
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		128
Or	NameAndAddress <NmAndAdr>	[1..1]	±		128
Or}	LEI <LEI>	[1..1]	IdentifierSet		128

7.1.5.1.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C1 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 139**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.5.1.2 ProprietaryIdentification <PrtryId>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification36](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		126
	Issuer <Issr>	[1..1]	Text		126
	SchemeName <SchmeNm>	[0..1]	Text		126

7.1.5.1.3 NameAndAddress <NmAndAdr>*Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 130 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		130
	Address <Adr>	[0..1]	±		130

7.1.5.1.4 LEI <LEI>*Presence:* [1..1]*Definition:* Legal entity identification of the party.*Datatype:* "LEIIdentifier" on page 140

7.1.6 Person Identification

7.1.6.1 ContactIdentification2

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		129
	GivenName <GvnNm>	[0..1]	Text		129
	Name <Nm>	[1..1]	Text		129
	PhoneNumber <PhneNb>	[0..1]	Text		129
	MobileNumber <MobNb>	[0..1]	Text		130
	FaxNumber <FaxNb>	[0..1]	Text		130
	EmailAddress <EmailAdr>	[0..1]	Text		130

7.1.6.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 136

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

7.1.6.1.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 142

7.1.6.1.3 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 142

7.1.6.1.4 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 143

7.1.6.1.5 MobileNumber <MobNb>*Presence:* [0..1]*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 143**7.1.6.1.6 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 143**7.1.6.1.7 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 142**7.1.7 Postal Address****7.1.7.1 NameAndAddress5***Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		130
	Address <Adr>	[0..1]	±		130

7.1.7.1.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 142**7.1.7.1.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 131 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		131
	AddressLine <AdrLine>	[0..5]	Text		132
	StreetName <StrtNm>	[0..1]	Text		132
	BuildingNumber <BldgNb>	[0..1]	Text		132
	PostCode <PstCd>	[0..1]	Text		132
	TownName <TwnNm>	[0..1]	Text		132
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		132
	Country <Ctry>	[1..1]	CodeSet	C2	132

7.1.7.2 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		131
	AddressLine <AdrLine>	[0..5]	Text		132
	StreetName <StrtNm>	[0..1]	Text		132
	BuildingNumber <BldgNb>	[0..1]	Text		132
	PostCode <PstCd>	[0..1]	Text		132
	TownName <TwnNm>	[0..1]	Text		132
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		132
	Country <Ctry>	[1..1]	CodeSet	C2	132

7.1.7.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 134

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.

CodeName	Name	Definition
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.1.7.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 143

7.1.7.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 143

7.1.7.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 141

7.1.7.2.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 141

7.1.7.2.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 142

7.1.7.2.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 142

7.1.7.2.8 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 134

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.8 Securities Quantity

7.1.8.1 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		133
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		133

7.1.8.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 140

7.1.8.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 133

7.2 Message Datatypes

7.2.1 Amount

7.2.1.1 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

7.2.2 CodeSet

7.2.2.1 AccountOwnershipType5Code

Definition: Specifies the type of account ownership.

Type: CodeSet

CodeName	Name	Definition
USUF	Usufructuary	The person is ...
OWNR	Owner	A person has full ownership on the asset.
BOWN	BareOwner	A person has bare ownership on the asset.

7.2.2.2 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.2.2.3 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.2.2.4 DateCalculationMethod1Code

Definition: Indicates the calculation method to be used to determine on which dates the shares have been acquired.

Type: CodeSet

CodeName	Name	Definition
FIFO	Fifo	First in, first out.
LIFO	Lifo	Last in, first out.

7.2.2.5 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

7.2.2.6 DisclosureRequestCancellationReason1Code

Definition: Specifies the reason for the cancellation of the disclosure request.

Type: CodeSet

CodeName	Name	Definition
PROC	Processing	The cancellation of the disclosure request is due to a processing error.
WITH	Withdrawal	The cancellation of the disclosure request is due to the withdrawal of the request by the issuer.

7.2.2.7 DisclosureRequestType1Code

Definition: Type of disclosure request.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New disclosure request.
REPL	Replacement	Disclosure request replacing a previously sent request.

7.2.2.8 Eligibility1Code

Definition: Identifies the type of investor. The rules that apply to each type of client are different.

Type: CodeSet

CodeName	Name	Definition
ELIG	EligibleCounterparty	Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules.
RETL	RetailClient	Retail customers are the least sophisticated level of investor.

CodeName	Name	Definition
PROF	ProfessionalClient	Professional customers are, for example, investment firms, credit institutions, insurance companies.

7.2.2.9 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.10 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

7.2.2.11 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

7.2.2.12 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.2.2.13 PartyRole2Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

CodeName	Name	Definition
DIST	Distributor	Party that act as an wholesaler of funds.
LGRD	LegalGuardian	Party that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.
DECM	DecisionMaker	Party that is authorised to take investment decisions on behalf of the shareholder.

7.2.2.14 RejectionReason52Code

Definition: Specifies the reason why the identification disclosure response has a rejected status.

Type: CodeSet

CodeName	Name	Definition
IDRI	InvalidDisclosureRequestIdentification	Disclosure request identification is invalid or unknown.
IDRJ	InvalidDisclosureResponseIdentification	Disclosure response identification is invalid or unknown.
SVNO	ServiceNotOffered	Service for which the information was sent is not offered.

7.2.2.15 ShareholdingType1Code

Definition: Specifies the type of shareholding.

Type: CodeSet

CodeName	Name	Definition
BENE	BeneficialShareholding	Shares are held on behalf of the beneficial owner. Usage: this type should be used to report assets the intermediary holds on behalf of a final beneficial owner.
NOMI	NomineeShareholding	Shares are held on behalf of an intermediary. Usage: this type should be used to report assets the intermediary holds on behalf of another intermediary.
OOAC	OnOwnAccount	Shares are held by the responding intermediary for its own account.

CodeName	Name	Definition
		Usage: this type should only be used to report the intermediary's own assets if and when the intermediary commingles them with the one of their clients. Within jurisdictions where commingling is not allowed, this type should not be used.
UKWN	Unknown	Shareholding type is unknown. Usage: this type should be used when the intermediary's client hasn't been classified.

7.2.2.16 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.2.3 Date

7.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

7.2.4 DateTime

7.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

7.2.5 IdentifierSet

7.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.2.5.2 ISICIdentifier

Definition: Identifier of economic activities by ISIC (United Nations' International Standard Industrial Classification of all Economic Activities).

Type: IdentifierSet

Identification scheme: ISIC Identifier

Format

pattern [A-U]{1,1}[0-9]{0,4}

7.2.5.3 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

7.2.5.4 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

7.2.6 Indicator**7.2.6.1 YesNoIndicator**

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

7.2.7 Quantity**7.2.7.1 DecimalNumber**

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

7.2.8 Rate

7.2.8.1 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

7.2.9 Text

7.2.9.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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7.2.9.2 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

7.2.9.3 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

7.2.9.4 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

7.2.9.5 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

7.2.9.6 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

7.2.9.7 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

7.2.9.8 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

minLength	1
maxLength	50

7.2.9.9 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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7.2.9.10 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

7.2.9.11 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+ and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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