

ISO 20022

Investment Funds

Message Definition Report Part 2

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This document provides details of the Message Definitions for Investment Funds.

1 February 2019

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1 Message Set Overview

Introduction

This document contains a set of messages designed for the investment funds industry to support investment fund account and security account management; subscription, redemption and switch order and confirmation; order status reporting, fund cash forecast reporting, price reporting, fund reference data, statements of holdings and transactions; portfolio transfer, asset transfer and confirmation and transfer status reporting.

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
acmt.001.001.07 AccountOpeningInstructionV07	The AccountOpeningInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian or securities depository, to instruct the opening of an account or the opening of an account and the establishment of an investment plan.
acmt.002.001.07 AccountDetailsConfirmationV07	The AccountDetailsConfirmation message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner, for example, an investor to confirm the opening of an account, execution of an AccountModificationInstruction or to return information requested in a GetAccountDetails message.
acmt.003.001.07 AccountModificationInstructionV07	The AccountModificationInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to modify, that is, create, update or delete specific details of an existing account.
acmt.005.001.05 RequestForAccountManagementStatusReportV05	The RequestForAccountManagementStatusReport message is sent by an account owner, for example, an investor or its designated agent, to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to request the status of an AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction.
acmt.006.001.06 AccountManagementStatusReportV06	The AccountManagementStatusReport message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner or its designated agent, for example, an investor to report on the receipt or the processing status of a previously received account management message.
camt.040.001.04 FundEstimatedCashForecastReportV04	A report provider, such as a transfer agent, sends the FundEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

MessageDefinition	Definition
	The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.
camt.041.001.04 FundConfirmedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.042.001.04 FundDetailedEstimatedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundDetailedEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.043.001.04 FundDetailedConfirmedCashForecastReportV04	<p>A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.</p> <p>The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.</p>
camt.044.001.03 FundConfirmedCashForecastReportCancellationV03	A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReportCancellation message to the report user, such as an investment manager or pricing agent, to cancel a previously sent FundConfirmedCashForecastReport message.
camt.045.001.03 FundDetailedConfirmedCashForecastReportCancellationV03	A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReportCancellation messages to the report user, such as an investment manager, fund accountant or any other interested party, to cancel a previously sent FundDetailedConfirmedCashForecastReport.
reda.001.001.04 PriceReportV04	A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReport message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to provide the net asset value and price information for financial instruments on specific trade dates and, optionally, to quote price variation information.
reda.002.001.04 PriceReportCancellationV04	A report provider, for example, a transfer agent, fund accountant or market data provider, sends the

MessageDefinition	Definition
	PriceReportCancellation message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to cancel previously sent prices.
reda.004.001.03 FundReferenceDataReportV03	The FundReferenceDataReport message is sent by a report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to the report recipient, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party, to provide the key reference data for financial instruments to facilitate trading. The message may also include reporting data concerning product governance, such as target market data, and a breakdown of the costs and fees.
reda.005.001.03 InvestmentFundReportRequestV03	<p>The InvestmentFundReportRequest message is sent by a report user, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party to the report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to request a report.</p> <p>The Investment Fund Report Request message can be used to request one or many fund reference data report messages.</p>
semt.001.001.03 SecuritiesMessageRejectionV03	<p>An account servicer, for example, a registrar, transfer agent or custodian bank, sends the SecuritiesMessageRejection message to the account owner, for example, an investor or its authorised agent, to reject a previously received message on which action cannot be taken.</p> <p>The message may also be sent by an executing party, for example, transfer agent to the instructing party, for example, investment manager or its authorised representative to reject a previously received message on which action cannot be taken.</p>
semt.002.001.02 CustodyStatementOfHoldingsV02	<p>An account servicer, for example, a transfer agent sends the CustodyStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.</p> <p>The message provides, at a moment in time, the quantity and identification of the financial instruments that the account servicer holds for the account owner. The message can also include availability and the location of holdings to facilitate trading and minimise settlement issues.</p> <p>The message reports all information per financial instrument, ie, when a financial instrument is held at multiple places of safekeeping, the total holdings for all locations can be provided.</p>
semt.003.001.02 AccountingStatementOfHoldingsV02	An account servicer, for example, a transfer agent sends the AccountingStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.

MessageDefinition	Definition
	<p>The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.</p> <p>The message can be sent either audited or un-audited and may be provided on a trade date or settlement date basis.</p>
semt.004.001.02 CustodyStatementOfHoldingsCancellationV02	An account servicer, for example, a transfer agent, sends the CustodyStatementOfHoldingsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent CustodyStatementOfHoldings message.
semt.005.001.02 AccountingStatementOfHoldingsCancellationV02	An account servicer, for example, a transfer agent, sends the AccountingStatementOfHoldingsCancellation message to the account owner, for example, a fund manager or an account owner's designated agent, to notify the cancellation of a previously sent AccountingStatementOfHoldings message.
semt.006.001.03 StatementOfInvestmentFundTransactionsV03	An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactions message to the account owner, for example, an investment manager or its authorised representative to provide detailed transactions (increases and decreases) of holdings which occurred during a specified period of time.
semt.007.001.03 StatementOfInvestmentFundTransactionsCancellationV03	An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactionsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent StatementOfInvestmentFundTransactions message.
sese.001.001.08 TransferOutInstructionV08	<p>The TransferOutInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the delivery of a financial instrument, free of payment, on a given date from a specified party.</p> <p>This message may also be used to instruct the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.</p>
sese.002.001.08 TransferOutCancellationRequestV08	The TransferOutCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer out instruction.
sese.003.001.08 TransferOutConfirmationV08	
sese.004.001.08 ReversalOfTransferOutConfirmationV08	The ReversalOfTransferOutConfirmation message is sent by the executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer out confirmation.
sese.005.001.08	

MessageDefinition	Definition
TransferInInstructionV08	
sese.006.001.08 TransferInCancellationRequestV08	The TransferInCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer in instruction.
sese.007.001.08 TransferInConfirmationV08	<p>The TransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the receipt of a financial instrument, free of payment, on a given date, from a specified party.</p> <p>This message may also be used to confirm the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.</p> <p>This message may also be used as an advice, that is, the message is used to provide account information.</p>
sese.008.001.08 ReversalOfTransferInConfirmationV08	The ReversalOfTransferInConfirmation message is sent by the executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer in confirmation.
sese.009.001.06 RequestForTransferStatusReportV06	The RequestForTransferStatusReport is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent to request the status of a previously instructed transfer.
sese.010.001.06 TransferCancellationStatusReportV06	The TransferCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received transfer cancellation instruction.
sese.011.001.07 TransferInstructionStatusReportV07	<p>The TransferInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction.</p> <p>It may also be used to report the status of an event related to the asset being transferred or provide additional information.</p>
sese.012.001.09 PortfolioTransferInstructionV09	The PortfolioTransferInstruction message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to instruct the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).

MessageDefinition	Definition
sese.013.001.09 PortfolioTransferConfirmationV09	The PortfolioTransferConfirmation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party for example, a (new) plan manager (transferee), to confirm the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).
sese.014.001.09 PortfolioTransferCancellationRequestV09	The PortfolioTransferCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent PortfolioTransferInstruction message.
sese.018.001.07 AccountHoldingInformationV07	The AccountHoldingInformation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party, for example, a (new) plan manager (transferee), to provide information about financial instruments held on behalf of a client.
sese.019.001.06 AccountHoldingInformationRequestV06	The AccountHoldingInformationRequest message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to request information about financial instruments held on behalf of the client.
setr.001.001.04 RedemptionBulkOrderV04	The RedemptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a redemption from a financial instrument for two or more accounts.
setr.002.001.04 RedemptionBulkOrderCancellationRequestV04	The RedemptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionBulkOrder.
setr.003.001.04 RedemptionBulkOrderConfirmationV04	The RedemptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionBulkOrder message.
setr.004.001.04 RedemptionOrderV04	The RedemptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the redemption of one or more financial instruments for one investment fund account.
setr.005.001.04 RedemptionOrderCancellationRequestV04	The RedemptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionOrder.
setr.006.001.04 RedemptionOrderConfirmationV04	The RedemptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to

MessageDefinition	Definition
	the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionOrder message.
setr.007.001.04 SubscriptionBulkOrderV04	The SubscriptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a subscription to a financial instrument for two or more accounts.
setr.008.001.04 SubscriptionBulkOrderCancellationRequestV04	The SubscriptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionBulkOrder.
setr.009.001.04 SubscriptionBulkOrderConfirmationV04	The SubscriptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionBulkOrder instruction.
setr.010.001.04 SubscriptionOrderV04	The SubscriptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the subscription of one or more financial instruments for one investment fund account.
setr.011.001.04 SubscriptionOrderCancellationRequestV04	The SubscriptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionOrder.
setr.012.001.04 SubscriptionOrderConfirmationV04	The SubscriptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionOrder instruction.
setr.013.001.04 SwitchOrderV04	The SwitchOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a switch transaction from a financial instrument or multiple financial instruments to a different specified financial instrument or instruments for a specified amount/quantity.
setr.014.001.04 SwitchOrderCancellationRequestV04	The SwitchOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SwitchOrder instruction.
setr.015.001.04 SwitchOrderConfirmationV04	The SwitchOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details

MessageDefinition	Definition
	of the execution of a previously received SwitchOrder instruction.
setr.016.001.04 OrderInstructionStatusReportV04	The OrderInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order from the time the executing party receives the order until the time the order is executed.
setr.017.001.04 OrderCancellationStatusReportV04	The OrderCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order cancellation request that was previously received.
setr.018.001.04 RequestForOrderStatusReportV04	The RequestForOrderStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the status of one or more order instructions or order cancellation requests.
setr.047.001.02 SubscriptionOrderConfirmationCancellationInstructionV02	The SubscriptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionOrderConfirmation.
setr.049.001.02 SubscriptionBulkOrderConfirmationCancellationInstructionV02	The SubscriptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionBulkOrderConfirmation.
setr.051.001.02 RedemptionOrderConfirmationCancellationInstructionV02	The RedemptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionOrderConfirmation.
setr.053.001.02 RedemptionBulkOrderConfirmationCancellationInstructionV02	The RedemptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionBulkOrderConfirmation.
setr.055.001.02 SwitchOrderConfirmationCancellationInstructionV02	The SwitchOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SwitchOrderConfirmation.
setr.057.001.02 OrderConfirmationStatusReportV02	The OrderConfirmationStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to report

MessageDefinition	Definition
	the status of an order confirmation or an order confirmation amendment.
setr.058.001.02 RequestForOrderConfirmationStatusReportV02	The RequestForOrderConfirmationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to request the status of one or more order confirmations.

2 **acmt.001.001.07 AccountOpeningInstructionV07**

2.1 **MessageDefinition Functionality**

Scope

The AccountOpeningInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian or securities depository, to instruct the opening of an account or the opening of an account and the establishment of an investment plan.

Usage

The AccountOpeningInstruction is used to open an account directly or indirectly with the account servicer or an intermediary.

In some markets, for example, Australia, and for some products in the United Kingdom, a first order (also known as a deposit instruction) is placed at the same time as the account opening. To cater for this scenario, an order message can be linked (via references in the message) to the AccountOpeningInstruction message when needed.

Execution of the AccountOpeningInstruction is confirmed via an AccountDetailsConfirmation message.

Outline

The AccountOpeningInstructionV07 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. OrderReference

Identifies a related order or settlement transaction.

C. PreviousReference

Reference to a linked message that was previously sent.

D. InstructionDetails

Information about the opening instruction.

E. InvestmentAccount

Detailed information about the account to be opened.

F. AccountParties

Information related to parties that are related to the account, for example, primary account owner.

G. Intermediaries

Intermediary or other party related to the management of the account.

H. Placement

Referral information.

I. NewIssueAllocation

Eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

J. SavingsInvestmentPlan

Plan that allows individuals to set aside a fixed amount of money at specified intervals, usually for a special purpose, for example, retirement.

K. WithdrawalInvestmentPlan

Plan through which holdings are depleted through regular withdrawals at specified intervals.

L. CashSettlement

Cash settlement standing instruction associated to transactions on the account.

M. ServiceLevelAgreement

Identifies documents to be provided for the account opening.

N. AdditionalInformation

Additional information such as remarks or notes that must be conveyed about the account management activity and or any limitations and restrictions.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <AcctOpngInstr>	[1..1]		C14, C23	
	MessageIdentification <MsgId>	[1..1]	±		26
	OrderReference <OrdRef>	[0..1]	±		27
	PreviousReference <PrvsRef>	[0..1]	±		27
	InstructionDetails <InstrDtls>	[1..1]			27
	OpeningType <OpngTp>	[1..1]	±		28
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		28
	ClientReference <ClntRef>	[0..1]	Text		28
	CounterpartyReference <CtrPtyRef>	[0..1]	±		28
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		29
	InvestmentAccount <InvstmtAcct>	[1..1]		C13, C22, C9	29
	Identification <Id>	[0..1]	Text		31
	Name <Nm>	[0..1]	Text		31
	Designation <Dsgnt>	[0..1]	Text		31
	Type <Tp>	[0..1]	±		32
	OwnershipType <OwnrshTp>	[1..1]	±		32
	TaxExemption <TaxXmptn>	[0..1]	±		32
	StatementFrequency <StmtFrqcy>	[0..1]	±		32
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C1	33
	Language <Lang>	[0..1]	CodeSet	C24	33
	IncomePreference <IncmPref>	[0..1]	CodeSet		33
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		34
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		34
	TaxReporting <TaxRptg>	[0..*]	±		34
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		35
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		35
	RequiredSignatoriesNumber <ReqrSgntriesNb>	[0..1]	Quantity		35
	FundFamilyName <FndFmlyNm>	[0..1]	Text		35
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C12	36

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingDetails <RndgDtls>	[0..1]	±		38
	AccountServicer <AcctSvcr>	[0..1]	±		38
	BlockedStatus <BlckdSts>	[0..1]	±		38
	AccountUsageType <AcctUsgTp>	[0..1]	±		39
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		39
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		39
	TransactionChannelType <TxChanlTp>	[0..1]	±		40
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		40
	Pledging <Pldgg>	[0..1]	CodeSet		40
	Collateral <Coll>	[0..1]	CodeSet		40
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		41
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		41
	AccountingStatus <AcctgSts>	[0..1]	±		41
	OpeningDate <OpngDt>	[0..1]	±		42
	ClosingDate <ClsgDt>	[0..1]	±		42
	NegativeIndicator <NegInd>	[0..1]	Indicator		42
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		42
	Liability <Lbly>	[0..1]	±		43
	InvestorProfile <InvstrPrfl>	[0..*]	±		43
	FiscalYear <Fsclyr>	[0..1]	±		44
	AccountParties <AcctPties>	[1..1]			45
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			45
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		46
Or	Trustee <Trstee>	[1..5]	±		50
Or	Nominee <Nmnee>	[1..1]	±		54
Or}	JointOwner <JntOwnr>	[1..5]	±		58
	SecondaryOwner <ScndryOwnr>	[0..*]	±		62
	Beneficiary <Bnfcry>	[0..*]	±		66
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		70
	LegalGuardian <LglGuardn>	[0..*]	±		74
	CustodianForMinor <CtdnForMnr>	[0..1]	±		78

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		82
	Administrator <Admstr>	[0..*]	±		86
	OtherParty <OthrPty>	[0..*]	±		90
	Granter <Grntr>	[0..5]	±		90
	Settlor <Sttlr>	[0..5]	±		94
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		98
	Protector <Prctr>	[0..*]	±		102
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		106
	Intermediaries <Intrmies>	[0..10]	±		108
	Placement <Plcmnt>	[0..1]	±	C21	109
	NewIssueAllocation <NewIsseAllcn>	[0..1]	±		109
	SavingsInvestmentPlan <SvgsInvstmtPlan>	[0..50]	±	C3	110
	WithdrawalInvestmentPlan <WdrwlInvstmtPlan>	[0..10]	±	C3	111
	CashSettlement <CshSttlm>	[0..8]	±		112
	ServiceLevelAgreement <SvcLvlAgrmt>	[0..30]	±		113
	AdditionalInformation <AddtlInf>	[0..*]	±		113
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		114
	Extension <Xtnsn>	[0..*]	±	C8	114

2.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C8 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C9 FinancialInstrumentDetailsGuideline

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C10 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C11 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C12 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C13 IncomePreferenceReinvestment1Rule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C14 InvestmentAccountIdentificationRule

If InstructionDetails/OpeningType/Code is SUPA (SupplementaryAccountOpening), then InvestmentAccount/Identification must be present and must contain the identification of the main account to which the supplementary account is related.

This constraint is defined at the MessageDefinition level.

C15 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C16 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C17 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C18 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C19 PartialHoldingUnits2Rule

If BlockHolding is PPTH (PartialHolding) then PartialHoldingUnits is mandatory.

C20 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C21 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C22 ReinvestmentPercentage1Rule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C23 UnitRule

If SavingsInvestmentPlan is present, then SavingsInvestmentPlan/Quantity/Unit is not allowed.

On Condition

/SavingsInvestmentPlan[*] is present

Following Must be True

/SavingsInvestmentPlan[*]/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C24 ValidationByTable

Must be a valid terrestrial language.

C25 ValidationByTable

Must be a valid nationality.

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

2.4.2 OrderReference <OrdRef>

Presence: [0..1]

Definition: Identifies a related order or settlement transaction.

OrderReference <OrdRef> contains the following elements (see "[InvestmentFundOrder4](#)" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1808
	MasterReference <MstrRef>	[0..1]	Text		1808

2.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference6](#)" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

2.4.4 InstructionDetails <InstrDtls>

Presence: [1..1]

Definition: Information about the opening instruction.

InstructionDetails <InstrDtls> contains the following **InvestmentAccountOpening3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningType <OpngTp>	[1..1]	±		28
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		28
	ClientReference <ClntRef>	[0..1]	Text		28
	CounterpartyReference <CtrPtyRef>	[0..1]	±		28
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		29

2.4.4.1 OpeningType <OpngTp>

Presence: [1..1]

Definition: Specifies if the account opening instruction is about a newly created account or a supplementary account.

OpeningType <OpngTp> contains one of the following elements (see "[AccountOpeningType1Choice](#)" on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233

2.4.4.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening request at application level.

Datatype: "[Max35Text](#)" on page 2764

2.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous identification of a transaction, for example, a transfer, as assigned by the investor or account owner.

Datatype: "[Max35Text](#)" on page 2764

2.4.4.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see ["AdditionalReference6"](#) on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

2.4.4.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see ["Account23"](#) on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

2.4.5 InvestmentAccount <InvstmtAcct>

Presence: [1..1]

Definition: Detailed information about the account to be opened.

Impacted by: [C13 "IncomePreferenceReinvestment1Rule"](#), [C22 "ReinvestmentPercentage1Rule"](#), [C9 "FinancialInstrumentDetailsGuideline"](#)

InvestmentAccount <InvstmtAcct> contains the following **InvestmentAccount61** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		31
	Name <Nm>	[0..1]	Text		31
	Designation <Dsgnt>	[0..1]	Text		31
	Type <Tp>	[0..1]	±		32
	OwnershipType <OwnrshTp>	[1..1]	±		32
	TaxExemption <TaxXmptn>	[0..1]	±		32
	StatementFrequency <StmntFrqcy>	[0..1]	±		32
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C1	33
	Language <Lang>	[0..1]	CodeSet	C24	33
	IncomePreference <IncmPref>	[0..1]	CodeSet		33
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		34
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		34
	TaxReporting <TaxRptg>	[0..*]	±		34
	LetterIntentDetails <LttrInttDtls>	[0..1]	±		35
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		35
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		35
	FundFamilyName <FndFmlyNm>	[0..1]	Text		35
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C12	36
	RoundingDetails <RndgDtls>	[0..1]	±		38
	AccountServicer <AcctSvcr>	[0..1]	±		38
	BlockedStatus <BlckdSts>	[0..1]	±		38
	AccountUsageType <AcctUsgTp>	[0..1]	±		39
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		39
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		39
	TransactionChannelType <TxChanlTp>	[0..1]	±		40
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		40
	Pledging <Pldgg>	[0..1]	CodeSet		40
	Collateral <Coll>	[0..1]	CodeSet		40
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		41
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		41

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		41
	OpeningDate <OpngDt>	[0..1]	±		42
	ClosingDate <ClsGdt>	[0..1]	±		42
	NegativeIndicator <NegInd>	[0..1]	Indicator		42
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		42
	Liability <Lbilty>	[0..1]	±		43
	InvestorProfile <InvstrPrfl>	[0..*]	±		43
	FiscalYear <Fsclyr>	[0..1]	±		44

Constraints

- **FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition
 /IncomePreference is equal to value 'Cash'
 Following Must be True
 /ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

2.4.5.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

2.4.5.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

2.4.5.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

2.4.5.4 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "AccountType2Choice" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1243
Or}	Proprietary <Prtry>	[1..1]	±		1244

2.4.5.5 OwnershipType <OwnrshTp>

Presence: [1..1]

Definition: Ownership status of the account, for example, joint owners.

OwnershipType <OwnrshTp> contains one of the following elements (see "OwnershipType2Choice" on page 1715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1717

2.4.5.6 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account.

TaxExemption <TaxXmptn> contains one of the following elements (see "TaxExemptionReason2Choice" on page 2532 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

2.4.5.7 StatementFrequency <StmntFrqcy>

Presence: [0..1]

Definition: Frequency at which a statement is issued.

StatementFrequency <StmntFrqcy> contains one of the following elements (see "StatementFrequencyReason2Choice" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1630
Or}	Proprietary <Prtry>	[1..1]	±		1631

2.4.5.8 ReferenceCurrency <RefCcy>

Presence: [0..1]

Definition: Currency chosen for reporting purposes by the account owner in agreement with the account servicer.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

2.4.5.9 Language <Lang>

Presence: [0..1]

Definition: Language for all communication concerning the account.

Impacted by: C24 "ValidationByTable"

Datatype: "LanguageCode" on page 2695

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

2.4.5.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

2.4.5.11 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see "Reinvestment3" on page 1600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1600
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C2	1602
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1602

2.4.5.12 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2736

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

2.4.5.13 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see ["TaxReporting2" on page 2580](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

2.4.5.14 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see ["LetterIntent1" on page 2008](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		2009
	Amount <Amt>	[0..1]	Amount	C2	2009
	StartDate <StartDt>	[0..1]	Date		2009
	EndDate <EndDt>	[0..1]	Date		2009

2.4.5.15 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: ["Max35Text" on page 2764](#)

2.4.5.16 RequiredSignatoriesNumber <ReqrdSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: ["Number" on page 2761](#)

2.4.5.17 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: ["Max350Text" on page 2764](#)

2.4.5.18 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C12 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

2.4.5.19 RoundingDetails <RndgDtls>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtls> contains the following elements (see "[RoundingParameters1](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1261
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1261

2.4.5.20 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

2.4.5.21 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "BlockedStatusReason2Choice" on page 2524 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2525
Or}	Reason <Rsn>	[1..*]			2525
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

2.4.5.22 AccountUsageType <AcctUsgTp>

Presence: [0..1]

Definition: Type of usage of the account.

AccountUsageType <AcctUsgTp> contains one of the following elements (see "AccountUsageType2Choice" on page 1714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1715

2.4.5.23 ForeignStatusCertification <FrgnStsCertfctn>

Presence: [0..1]

Definition: Specifies if documentary evidence has been provided for the foreign resident.

Datatype: "Provided1Code" on page 2711

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

2.4.5.24 AccountSignatureDateTime <AcctSgntrDtTm>

Presence: [0..1]

Definition: Date the investor or account owner signs the open account form.

AccountSignatureDateTime <AcctSgntrDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

2.4.5.25 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

2.4.5.26 InvestmentAccountCategory <InvstmtAcctCtgy>

Presence: [0..1]

Definition: Category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see "InvestmentAccountCategory1Choice" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1655
Or}	Proprietary <Prtry>	[1..1]	±		1655

2.4.5.27 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2667

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

2.4.5.28 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "Collateral1Code" on page 2657

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

2.4.5.29 ThirdPartyRights <ThrdPtyRights>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRights> contains the following elements (see "[ThirdPartyRights1](#)" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1782
	DateTime <DtTm>	[0..1]	DateTime		1782
	Holder <Hldr>	[0..1]	±		1782
	LegalEntityIdentifier <LglnNtyldr>	[0..1]	IdentifierSet		1783
	Amount <Amt>	[0..1]	Amount	C2, C10	1783
	Description <Desc>	[0..1]	Text		1783

2.4.5.30 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>

Presence: [0..1]

Definition: Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.

PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl> contains one of the following elements (see "[LevelOfControl1Choice](#)" on page 1963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1963
Or}	Proprietary <Prtry>	[1..1]	±		1963

2.4.5.31 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "[AccountingStatus1Choice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

2.4.5.32 OpeningDate <OpngDt>

Presence: [0..1]

Definition: Legal opening date for the account.

OpeningDate <OpngDt> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

2.4.5.33 ClosingDate <ClsgDt>

Presence: [0..1]

Definition: Legal closing date for the account.

ClosingDate <ClsgDt> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

2.4.5.34 NegativeIndicator <NegInd>

Presence: [0..1]

Definition: Indicates whether the account can hold a negative position in a security.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.5.35 ProcessingOrder <PrcgOdr>

Presence: [0..1]

Definition: Order in which securities are moved from the account.

Datatype: ["PositionEffect3Code"](#) on page 2708

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

2.4.5.36 Liability <Lbity>

Presence: [0..1]

Definition: Specifies whether the investor assumes responsibility for the liability.

Liability <Lbity> contains one of the following elements (see "[Liability1Choice](#)" on page 1962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1962
Or}	Proprietary <Prtry>	[1..1]	±		1963

2.4.5.37 InvestorProfile <InvstrPrfl>

Presence: [0..*]

Definition: Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile1" on page 1954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1955
{Or	Code <Cd>	[1..1]	CodeSet		1956
Or}	Proprietary <Prtry>	[1..1]	±		1956
	Status <Sts>	[0..1]			1957
{Or	Code <Cd>	[1..1]	CodeSet		1957
Or}	Proprietary <Prtry>	[1..1]	±		1957
	Treasury <Trsr>	[0..1]			1958
	Date <Dt>	[1..1]	Date		1958
	TraderType <TradrTp>	[1..1]			1958
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959
	Rate <Rate>	[1..1]	Rate		1959
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1959
	Date <Dt>	[0..1]	Date		1959
	SettlementFrequency <SttlmFrqcy>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960
	ConsolidationType <CnsldtnTp>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961
	MarketMaker <MktMakr>	[0..1]			1961
	ContractPeriod <CtrctPrd>	[0..1]	±		1961
	Compliance <Cmplc>	[0..1]	Indicator		1962
	MaximumSpread <MaxSprd>	[0..1]	Rate		1962
	Discount <Dscnt>	[0..1]	Rate		1962

2.4.5.38 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1414
Or}	EndDate <EndDt>	[1..1]	Date		1414

2.4.6 AccountParties <AcctPties>

Presence: [1..1]

Definition: Information related to parties that are related to the account, for example, primary account owner.

AccountParties <AcctPties> contains the following **AccountParties15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			45
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		46
Or	Trustee <Trstee>	[1..5]	±		50
Or	Nominee <Nmnee>	[1..1]	±		54
Or}	JointOwner <JntOwnr>	[1..5]	±		58
	SecondaryOwner <ScndryOwnr>	[0..*]	±		62
	Beneficiary <Bnfcry>	[0..*]	±		66
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		70
	LegalGuardian <LglGuardn>	[0..*]	±		74
	CustodianForMinor <CtdnForMnr>	[0..1]	±		78
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		82
	Administrator <Admstr>	[0..*]	±		86
	OtherParty <OthrPty>	[0..*]	±		90
	Granter <Grntr>	[0..5]	±		90
	Settlor <Sttlr>	[0..5]	±		94
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		98
	Protector <Prtctr>	[0..*]	±		102
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		106

2.4.6.1 PrincipalAccountParty <PrncplAcctPty>

Presence: [1..1]

Definition: Main party associated with the account.

PrincipalAccountParty <PrncplAcctPty> contains one of the following **AccountParties10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		46
Or	Trustee <Trstee>	[1..5]	±		50
Or	Nominee <Nmnee>	[1..1]	±		54
Or}	JointOwner <JntOwnr>	[1..5]	±		58

2.4.6.1.1 PrimaryOwner <PmryOwnr>

Presence: [1..1]

Definition: Single owner of the account or, when the ownership is split among several owners, the primary owner is the one giving its address and account details for the registration.

PrimaryOwner <PmryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clnltd>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.1.2 Trustee <Trstee>

Presence: [1..5]

Definition: Legal owners of the property. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clnltd>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.1.3 Nominee <Nmnee>

Presence: [1..1]

Definition: Entity named by the beneficial owner to act on its behalf, often to facilitate dealing, or to conceal the identity of the beneficiary.

Nominee <Nmnee> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.1.4 JointOwner <JntOwnr>

Presence: [1..5]

Definition: Co-owner of the investment account when the ownership is assigned to more than one party.

JointOwner <JntOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClnId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.2 SecondaryOwner <ScndryOwnr>

Presence: [0..*]

Definition: Entity that is not the primary owner when the ownership of the investment account is split among several owners.

SecondaryOwner <ScndryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.3 Beneficiary <Bnfcry>

Presence: [0..*]

Definition: Ultimate party that is entitled to either receive the benefits of the ownership of a financial instrument, or to be paid/credited as a result of a transfer.

Beneficiary <Bnfcry> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clnltd>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.4 PowerOfAttorney <PwrOfAttny>

Presence: [0..*]

Definition: Entity that was given the authority by another entity to act on its behalf.

PowerOfAttorney <PwrOfAttny> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntrId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.5 LegalGuardian <LglGuardn>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.

LegalGuardian <LglGuardn> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntrId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.6 CustodianForMinor <CtdnForMnr>

Presence: [0..1]

Definition: Entity that holds shares/units on behalf of a legal minor. Although the account is registered under the name of the minor, the custodian retains control of the account.

CustodianForMinor <CtdnForMnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.7 SuccessorOnDeath <SucssrOnDth>

Presence: [0..5]

Definition: Deceased's estate, or successor, to whom the respective percentage of ownership will be transferred upon the death of one of the owners.

SuccessorOnDeath <SucssrOnDth> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntrId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.8 Administrator <Admstr>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person or organisation that has gone bankrupt.

Administrator <Admstr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.9 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty11](#)" on page 2082 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		2082
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2082

2.4.6.10 Granter <Grntr>

Presence: [0..5]

Definition: Granter role in the hedge funds industry.

Granter <Grntr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.11 Settlor <Sttlr>

Presence: [0..5]

Definition: Entity that creates a trust or contributes assets to the trust.

Settlor <Sttlr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.12 SeniorManagingOfficial <SnrMggOffcl>

Presence: [0..*]

Definition: Party that makes, or participates in the making of, decisions that affect the whole, or a substantial part, of the business of a customer of a reporting entity or that has the capacity to affect significantly the financial standing of a customer of a reporting entity. Typically, this is a controlling person of a corporate (ownership type CORP).

SeniorManagingOfficial <SnrMggOffcl> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClnId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.13 Protector <Prtctr>

Presence: [0..*]

Definition: Person appointed under the trust instrument to direct or restrain the trustees in relation to their administration of the trust. Typically, this is a controlling person of a trust (ownership type TRUS) or other non-individual organisation (ownership type ONIS).

Protector <Prtctr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

2.4.6.14 RegisteredShareholderName <RegdShrhldrNm>

Presence: [0..1]

Definition: Party that registers its name with the issuer and the name used for the registration.

RegisteredShareholderName <RegdShrhldrNm> contains one of the following elements (see "RegisteredShareholderName1Choice" on page 2091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2093
	NamePrefix <NmPrfx>	[0..1]			2094
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095
	GivenName <GvnNm>	[0..1]	Text		2095
	MiddleName <MddlNm>	[0..1]	Text		2095
	Name <Nm>	[1..1]	Text		2095
	PostalAddress <PstlAdr>	[1..5]		C23	2096
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MlngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdlnBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099
Or}	Organisation <Org>	[1..1]			2100
	Name <Nm>	[1..1]	Text		2101

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortName <ShrtNm>	[0..1]	Text		2101
	PostalAddress <PstlAdr>	[1..5]		C23	2101
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MIngInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdlnBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

2.4.7 Intermediaries <Intrmies>

Presence: [0..10]

Definition: Intermediary or other party related to the management of the account.

Intermediaries <Intrmies> contains the following elements (see "Intermediary36" on page 2108 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2109
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2109
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2110
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2110
	Account <Acct>	[0..1]			2110
	Identification <Id>	[0..1]	Text		2110
	AccountServicer <AcctSvcr>	[1..1]	±		2110
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2111
	Role <Role>	[0..1]	±		2111
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2111
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2112
	NameAndAddress <NmAndAdr>	[0..1]	±		2112

2.4.8 Placement <Plcmnt>

Presence: [0..1]

Definition: Referral information.

Impacted by: C21 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent2" on page 1953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1954
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1954

Constraints

- ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

2.4.9 NewIssueAllocation <NewIsseAllcn>

Presence: [0..1]

Definition: Eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

NewIssueAllocation <NewIssueAllocation> contains the following elements (see ["NewIssueAllocation2"](#) on page 1952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1953
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1953
	DeMinimus <DeMnms>	[0..1]	±		1953

2.4.10 SavingsInvestmentPlan <SvgsInvstmtPlan>

Presence: [0..50]

Definition: Plan that allows individuals to set aside a fixed amount of money at specified intervals, usually for a special purpose, for example, retirement.

Impacted by: [C3 "AmountRule"](#)

SavingsInvestmentPlan <SvgsInvstmtPlan> contains the following elements (see "InvestmentPlan14" on page 1925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1925
	StartDate <StartDt>	[0..1]	Date		1926
	EndDate <EndDt>	[0..1]	Date		1926
	Quantity <Qty>	[1..1]	±		1926
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1926
	IncomePreference <IncmPref>	[0..1]	CodeSet		1926
	InitialAmount <InitlAmt>	[0..1]	±		1927
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1927
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1927
	SecurityDetails <SctyDtls>	[1..50]			1927
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930
	CashSettlement <CshSttlm>	[0..8]	±		1930
	ContractReference <CtrctRef>	[0..1]	Text		1930
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1931
	ProductIdentification <PdctId>	[0..1]	Text		1931
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1931
	InsuranceCover <InsrncCover>	[0..1]	±		1931
	PlanStatus <PlanSts>	[0..1]	±		1931
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1932

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

2.4.11 WithdrawalInvestmentPlan <WdrwlInvstmtPlan>

Presence: [0..10]

Definition: Plan through which holdings are depleted through regular withdrawals at specified intervals.

Impacted by: C3 "AmountRule"

WithdrawalInvestmentPlan <WdrwlInvstmtPlan> contains the following elements (see "InvestmentPlan14" on page 1925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1925
	StartDate <StartDt>	[0..1]	Date		1926
	EndDate <EndDt>	[0..1]	Date		1926
	Quantity <Qty>	[1..1]	±		1926
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1926
	IncomePreference <IncmPref>	[0..1]	CodeSet		1926
	InitialAmount <InitlAmt>	[0..1]	±		1927
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1927
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1927
	SecurityDetails <SctyDtls>	[1..50]			1927
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930
	CashSettlement <CshSttlm>	[0..8]	±		1930
	ContractReference <CtrctRef>	[0..1]	Text		1930
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1931
	ProductIdentification <PdctId>	[0..1]	Text		1931
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1931
	InsuranceCover <InsrncCover>	[0..1]	±		1931
	PlanStatus <PlanSts>	[0..1]	±		1931
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1932

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

2.4.12 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to transactions on the account.

CashSettlement <CshSttlm> contains the following elements (see "CashSettlement1" on page 2444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]	±		2444
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2445

2.4.13 ServiceLevelAgreement <SvcLvlAgrmt>

Presence: [0..30]

Definition: Identifies documents to be provided for the account opening.

ServiceLevelAgreement <SvcLvlAgrmt> contains the following elements (see "DocumentToSend3" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2345
	Recipient <Rcpt>	[1..1]	±		2345
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2345
{Or	Code <Cd>	[1..1]	CodeSet		2345
Or}	Proprietary <Prtry>	[1..1]	±		2346

2.4.14 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the account management activity and or any limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation12"](#) on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

2.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

2.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C8 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

3 **acmt.002.001.07** **AccountDetailsConfirmationV07**

3.1 **MessageDefinition Functionality**

Scope

The AccountDetailsConfirmation message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner, for example, an investor to confirm the opening of an account, execution of an AccountModificationInstruction or to return information requested in a GetAccountDetails message.

Usage

The AccountDetailsConfirmation message is used to confirm the opening of an account, modification of an account or the provision of information requested in a previously sent GetAccountDetails message. The message contains detailed information relevant to the opened account.

When the AccountDetailsConfirmation is used to confirm execution of an AccountModificationInstruction message, it may:

- contain the modified subsets of account details that were specified in the AccountModificationInstruction, and/or
- provide the status of the account.

When the AccountModificationInstruction message is used to instruct the closure of an account, the AccountDetailsConfirmation message is used to confirm the account has been closed.

When the AccountDetailsConfirmation is used to reply to a GetAccountDetails message, it returns the selected subsets of account details that were specified in the GetAccountDetails message.

Outline

The AccountDetailsConfirmationV07 MessageDefinition is composed of 16 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. OrderReference
Identifies a related order or settlement transaction.
- C. RelatedReference
Reference to a linked message that was previously received.
- D. ConfirmationDetails
Information about the request or instruction which triggered this confirmation.
- E. InvestmentAccount
Confirmation of the information related to the investment account.
- F. AccountParties

Confirmation of information related to parties that are related to the account, for example, primary account owner.

G. Intermediaries

Confirmation of an intermediary or other party related to the management of the account.

H. Placement

Confirmation of referral information.

I. NewIssueAllocation

Confirmation of eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

J. SavingsInvestmentPlan

Confirmation of the information related to a savings plan that is related to the account.

K. WithdrawalInvestmentPlan

Confirmation of the information related to a withdrawal plan that is related to the account.

L. CashSettlement

Confirmation of a cash settlement standing instruction associated to transactions on the account.

M. ServiceLevelAgreement

Identifies documents to be provided for the account opening.

N. AdditionalInformation

Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <AcctDtlsConf>	[1..1]		C22, C32	
	MessageIdentification <MsgId>	[1..1]	±		123
	OrderReference <OrdRef>	[0..1]	±		123
	RelatedReference <RltdRef>	[0..1]	±		124
	ConfirmationDetails <ConfDtls>	[1..1]			124
	ConfirmationType <ConfTp>	[1..1]	±		124
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		125
	ClientReference <ClntRef>	[0..1]	Text		125
	CounterpartyReference <CtrPtyRef>	[0..1]	±		125
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		125
	InvestmentAccount <InvstmtAcct>	[0..1]		C21, C30, C17	126
	Identification <Id>	[1..1]	Text		128
	AccountStatus <AcctSts>	[0..1]	±	C6, C7, C8, C9, C10, C11, C14, C15, C31	128
	BlockedStatus <BlckdSts>	[0..1]	±		132
	StatusDate <StsDt>	[0..1]	±		133
	Name <Nm>	[0..1]	Text		133
	Designation <Dsgnt>	[0..1]	Text		133
	Type <Tp>	[0..1]	±		134
	OwnershipType <OwnrshTp>	[0..1]	±		134
	TaxExemption <TaxXmptn>	[0..1]	±		134
	StatementFrequency <StmtFrqcy>	[0..1]	±		134
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C1	135
	Language <Lang>	[0..1]	CodeSet	C33	135
	IncomePreference <IncmPref>	[0..1]	CodeSet		135
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		135

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		136
	TaxReporting <TaxRptg>	[0..*]	±		136
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		137
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		137
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		137
	FundFamilyName <FndFmlyNm>	[0..1]	Text		137
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	138
	RoundingDetails <RndgDtls>	[0..1]	±		140
	AccountServicer <AcctSvcr>	[0..1]	±		140
	AccountUsageType <AcctUsqTp>	[0..1]	±		140
	ForeignStatusCertification <FrqnStsCertfctn>	[0..1]	CodeSet		141
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		141
	TransactionChannelType <TxChanlTp>	[0..1]	±		141
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		141
	Pledging <Pldg>	[0..1]	CodeSet		142
	Collateral <Coll>	[0..1]	CodeSet		142
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		142
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		143
	AccountingStatus <AcctgSts>	[0..1]	±		143
	OpeningDate <OpngDt>	[0..1]	±		143
	ClosingDate <ClsgDt>	[0..1]	±		143
	NegativeIndicator <NegInd>	[0..1]	Indicator		144
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		144
	Liability <Lbly>	[0..1]	±		144
	InvestorProfile <InvstrPrfl>	[0..*]	±		144
	FiscalYear <Fsclyr>	[0..1]	±		145
	AccountParties <AcctPties>	[0..1]			146
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			146
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		147
Or	Trustee <Trstee>	[1..5]	±		151
Or	Nominee <Nmnee>	[1..1]	±		155

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	JointOwner <JntOwnr>	[1..5]	±		159
	SecondaryOwner <ScndryOwnr>	[0..*]	±		163
	Beneficiary <Bnfcry>	[0..*]	±		167
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		171
	LegalGuardian <LglGuardn>	[0..*]	±		175
	CustodianForMinor <CtdnForMnr>	[0..1]	±		179
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		183
	Administrator <Admstr>	[0..*]	±		187
	OtherParty <OthrPty>	[0..*]	±		191
	Granter <Grntr>	[0..5]	±		191
	Settlor <Sttlr>	[0..5]	±		195
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		199
	Protector <Prctr>	[0..*]	±		203
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		207
	Intermediaries <Intrmies>	[0..10]	±		209
	Placement <Plcmnt>	[0..1]	±	C29	210
	NewIssueAllocation <NewIsseAllcn>	[0..1]	±		210
	SavingsInvestmentPlan <SvgsInvstmtPlan>	[0..50]	±	C3	211
	WithdrawalInvestmentPlan <WdrwlInvstmtPlan>	[0..10]	±	C3	212
	CashSettlement <CshSttlm>	[0..8]	±		213
	ServiceLevelAgreement <SvcLvAgrmt>	[0..30]	±		214
	AdditionalInformation <AddtlInf>	[0..*]	±		214
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		215
	Extension <Xtnsn>	[0..*]	±	C16	215

3.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C6 ClosedStatus1Rule

If Closed is present then Enabled is not allowed.

C7 ClosedStatus2Rule

If Closed is present then Disabled is not allowed.

C8 ClosedStatus3Rule

If Closed is present then Pending is not allowed.

C9 ClosedStatus4Rule

If Closed is present then PendingOpening is not allowed.

C10 ClosedStatus5Rule

If Closed is present then Proforma is not allowed.

C11 ClosedStatus6Rule

If Closed is present then ClosurePending is not allowed.

C12 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C13 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C14 EnabledDisabledStatus1Rule

If Enabled is present, then Disabled is not allowed.

C15 EnabledDisabledStatus2Rule

If Disabled is present, then Enabled is not allowed.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 FinancialInstrumentDetailsGuideline

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C18 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C19 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C20 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C21 IncomePreferenceReinvestment1Rule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C22 InvestmentAccountRule

If ConfirmationDetails/ConfirmationType/Code is ACCO (AccountOpening), then InvestmentAccount must be present.

This constraint is defined at the MessageDefinition level.

C23 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C24 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C25 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C26 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C27 PartialHoldingUnits2Rule

If BlockHolding is PPTH (PartialHolding) then PartialHoldingUnits is mandatory.

C28 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C29 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C30 ReinvestmentPercentage1Rule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C31 StatusElementRule

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

C32 UnitRule

If SavingsInvestmentPlan is present, then SavingsInvestmentPlan/Quantity/Unit is not allowed.

On Condition

/SavingsInvestmentPlan[*] is present

Following Must be True

/SavingsInvestmentPlan[*]/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C33 ValidationByTable

Must be a valid terrestrial language.

C34 ValidationByTable

Must be a valid nationality.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

3.4.2 OrderReference <OrdRef>

Presence: [0..1]

Definition: Identifies a related order or settlement transaction.

OrderReference <OrdRef> contains the following elements (see "InvestmentFundOrder4" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1808
	MasterReference <MstrRef>	[0..1]	Text		1808

3.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference6" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

3.4.4 ConfirmationDetails <ConfDtls>

Presence: [1..1]

Definition: Information about the request or instruction which triggered this confirmation.

ConfirmationDetails <ConfDtls> contains the following **AccountManagementConfirmation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ConfirmationType <ConfTp>	[1..1]	±		124
	AccountApplicationIdentification <AcctAppId>	[0..1]	Text		125
	ClientReference <ClntRef>	[0..1]	Text		125
	CounterpartyReference <CtrPtyRef>	[0..1]	±		125
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		125

3.4.4.1 ConfirmationType <ConfTp>

Presence: [1..1]

Definition: Specifies the confirmation type.

ConfirmationType <ConfTp> contains one of the following elements (see ["ConfirmationType1Choice"](#) on page 1232 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1232
Or}	Proprietary <Prtry>	[1..1]	±		1232

3.4.4.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or modification instruction at application level.

Datatype: ["Max35Text"](#) on page 2764

3.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous identification of a transaction, for example, a transfer, as assigned by the investor or account owner.

Datatype: ["Max35Text"](#) on page 2764

3.4.4.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see ["AdditionalReference6"](#) on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

3.4.4.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see ["Account23"](#) on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

3.4.5 InvestmentAccount <InvstmtAcct>

Presence: [0..1]

Definition: Confirmation of the information related to the investment account.

Impacted by: C21 "IncomePreferenceReinvestment1Rule", C30 "ReinvestmentPercentage1Rule", C17 "FinancialInstrumentDetailsGuideline"

InvestmentAccount <InvstmtAcct> contains the following **InvestmentAccount62** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		128
	AccountStatus <AcctSts>	[0..1]	±	C6, C7, C8, C9, C10, C11, C14, C15, C31	128
	BlockedStatus <BlckdSts>	[0..1]	±		132
	StatusDate <StsDt>	[0..1]	±		133
	Name <Nm>	[0..1]	Text		133
	Designation <Dsgnt>	[0..1]	Text		133
	Type <Tp>	[0..1]	±		134
	OwnershipType <OwnrshTp>	[0..1]	±		134
	TaxExemption <TaxXmptn>	[0..1]	±		134
	StatementFrequency <StmtFrqcy>	[0..1]	±		134
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C1	135
	Language <Lang>	[0..1]	CodeSet	C33	135
	IncomePreference <IncmPref>	[0..1]	CodeSet		135
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		135
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		136
	TaxReporting <TaxRptg>	[0..*]	±		136
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		137
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		137
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		137
	FundFamilyName <FndFmlyNm>	[0..1]	Text		137
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±	C20	138
	RoundingDetails <RndgDtls>	[0..1]	±		140
	AccountServicer <AcctSvcr>	[0..1]	±		140
	AccountUsageType <AcctUsgTp>	[0..1]	±		140
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		141
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		141
	TransactionChannelType <TxChanITp>	[0..1]	±		141
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		141

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		142
	Collateral <Coll>	[0..1]	CodeSet		142
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		142
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>	[0..1]	±		143
	AccountingStatus <AcctgSts>	[0..1]	±		143
	OpeningDate <OpngDt>	[0..1]	±		143
	ClosingDate <ClsGdt>	[0..1]	±		143
	NegativeIndicator <NegInd>	[0..1]	Indicator		144
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		144
	Liability <Lbly>	[0..1]	±		144
	InvestorProfile <InvstrPrfl>	[0..*]	±		144
	FiscalYear <Fsclyr>	[0..1]	±		145

Constraints

- **FinancialInstrumentDetailsGuideline**

FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestment1Rule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

On Condition

/IncomePreference is equal to value 'Cash'

Following Must be True

/ReinvestmentDetails[*] Must be absent

- **ReinvestmentPercentage1Rule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

3.4.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

3.4.5.2 AccountStatus <AcctSts>

Presence: [0..1]

Definition: Status of the account.

Impacted by: C6 "ClosedStatus1Rule", C7 "ClosedStatus2Rule", C8 "ClosedStatus3Rule", C9 "ClosedStatus4Rule", C10 "ClosedStatus5Rule", C11 "ClosedStatus6Rule", C14 "EnabledDisabledStatus1Rule", C15 "EnabledDisabledStatus2Rule", C31 "StatusElementRule"

AccountStatus <AcctSts> contains the following elements (see "AccountStatus2" on page 2496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]			2499
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2500
Or}	Reason <Rsn>	[1..*]			2500
	Code <Cd>	[1..1]			2500
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500
	AdditionalInformation <AddtlInf>	[0..1]	Text		2501
	Disabled <Dsbl>	[0..1]			2501
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2501
Or}	Reason <Rsn>	[1..*]			2501
	Code <Cd>	[1..1]			2502
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502
	AdditionalInformation <AddtlInf>	[0..1]	Text		2503
	Pending <Pdg>	[0..1]	±		2503
	PendingOpening <PdgOpng>	[0..1]			2503
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2503
Or}	Reason <Rsn>	[1..*]			2504
	Code <Cd>	[1..1]			2504
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505
	AdditionalInformation <AddtlInf>	[0..1]	Text		2505
	Proforma <Profrm>	[0..1]			2505
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2505
Or}	Reason <Rsn>	[1..*]			2506
	Code <Cd>	[1..1]			2506
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506
	AdditionalInformation <AddtlInf>	[0..1]	Text		2507
	Closed <Clsd>	[0..1]			2507

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2507
Or}	Reason <Rsn>	[1..*]			2507
	Code <Cd>	[1..1]			2508
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508
	AdditionalInformation <AddtlInf>	[0..1]	Text		2509
	ClosurePending <ClsrPdg>	[0..1]			2509
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2509
Or}	Reason <Rsn>	[1..*]			2509
	Code <Cd>	[1..1]			2510
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510
	AdditionalInformation <AddtlInf>	[0..1]	Text		2510
	Other <Othr>	[0..*]			2510
	Status <Sts>	[1..1]	±		2511
	Reason <Rsn>	[0..1]	±		2511

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /PendingOpening Must be absent

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /Proforma Must be absent

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

On Condition
 /Closed is absent
 Following Must be True
 /ClosurePending Must be absent

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

On Condition
 /Enabled is present
 Following Must be True
 /Disabled Must be absent

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

On Condition
 /Disabled is present
 Following Must be True
 /Enabled Must be absent

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

3.4.5.3 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "BlockedStatusReason2Choice" on page 2524 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2525
Or}	Reason <Rsn>	[1..*]			2525
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

3.4.5.4 StatusDate <StsDt>

Presence: [0..1]

Definition: Date the status is assigned.

StatusDate <StsDt> contains one of the following elements (see "DateAndDateTime1Choice" on page 1412 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

3.4.5.5 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

3.4.5.6 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

3.4.5.7 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "[AccountType2Choice](#)" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1243
Or}	Proprietary <Prtry>	[1..1]	±		1244

3.4.5.8 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Ownership status of the account, for example, joint owners.

OwnershipType <OwnrshTp> contains one of the following elements (see "[OwnershipType2Choice](#)" on page 1715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1717

3.4.5.9 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2532 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

3.4.5.10 StatementFrequency <StmtFrqcy>

Presence: [0..1]

Definition: Frequency at which a statement is issued.

StatementFrequency <StmtFrqcy> contains one of the following elements (see "[StatementFrequencyReason2Choice](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1630
Or}	Proprietary <Prtry>	[1..1]	±		1631

3.4.5.11 ReferenceCurrency <RefCcy>

Presence: [0..1]

Definition: Currency chosen for reporting purposes by the account owner in agreement with the account servicer.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

3.4.5.12 Language <Lang>

Presence: [0..1]

Definition: Language for all communication concerning the account.

Impacted by: C33 "ValidationByTable"

Datatype: "LanguageCode" on page 2695

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

3.4.5.13 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

3.4.5.14 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see "Reinvestment3" on page 1600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1600
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C2	1602
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1602

3.4.5.15 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2736

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

3.4.5.16 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see ["TaxReporting2" on page 2580](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

3.4.5.17 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see ["LetterIntent1" on page 2008](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		2009
	Amount <Amt>	[0..1]	Amount	C2	2009
	StartDate <StartDt>	[0..1]	Date		2009
	EndDate <EndDt>	[0..1]	Date		2009

3.4.5.18 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: ["Max35Text" on page 2764](#)

3.4.5.19 RequiredSignatoriesNumber <ReqrdSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: ["Number" on page 2761](#)

3.4.5.20 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: ["Max350Text" on page 2764](#)

3.4.5.21 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

3.4.5.22 RoundingDetails <RndgDtls>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtls> contains the following elements (see "[RoundingParameters1](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1261
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1261

3.4.5.23 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

3.4.5.24 AccountUsageType <AcctUsgTp>

Presence: [0..1]

Definition: Specifies the type of usage of the account.

AccountUsageType <AcctUsgTp> contains one of the following elements (see "AccountUsageType2Choice" on page 1714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1715

3.4.5.25 ForeignStatusCertification <FrgnStsCertfctn>

Presence: [0..1]

Definition: Specifies if documentary evidence has been provided for the foreign resident.

Datatype: "Provided1Code" on page 2711

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

3.4.5.26 AccountSignatureDateTime <AcctSgntrDtTm>

Presence: [0..1]

Definition: Date the investor or account owner signs the open account form.

AccountSignatureDateTime <AcctSgntrDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

3.4.5.27 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

3.4.5.28 InvestmentAccountCategory <InvstmtAcctCtgy>

Presence: [0..1]

Definition: Specifies the category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see "InvestmentAccountCategory1Choice" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1655
Or}	Proprietary <Prtry>	[1..1]	±		1655

3.4.5.29 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2667

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

3.4.5.30 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "Collateral1Code" on page 2657

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

3.4.5.31 ThirdPartyRights <ThrdPtyRights>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRights> contains the following elements (see "ThirdPartyRights1" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1782
	DateTime <DtTm>	[0..1]	DateTime		1782
	Holder <Hldr>	[0..1]	±		1782
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1783
	Amount <Amt>	[0..1]	Amount	C2, C10	1783
	Description <Desc>	[0..1]	Text		1783

3.4.5.32 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>*Presence:* [0..1]*Definition:* Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.**PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>** contains one of the following elements (see "[LevelOfControl1Choice](#)" on page 1963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1963
Or}	Proprietary <Prtry>	[1..1]	±		1963

3.4.5.33 AccountingStatus <AcctgSts>*Presence:* [0..1]*Definition:* Specifies if the account is regarded as domestic or non-domestic for reporting purposes.**AccountingStatus <AcctgSts>** contains one of the following elements (see "[AccountingStatus1Choice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

3.4.5.34 OpeningDate <OpngDt>*Presence:* [0..1]*Definition:* Legal opening date for the account.**OpeningDate <OpngDt>** contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

3.4.5.35 ClosingDate <ClsgDt>*Presence:* [0..1]*Definition:* Legal closing date for the account.

ClosingDate <ClsgDt> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

3.4.5.36 NegativeIndicator <NegInd>

Presence: [0..1]

Definition: Indicates whether the account can hold a negative position in a security.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

3.4.5.37 ProcessingOrder <PrcgOrdr>

Presence: [0..1]

Definition: Order in which securities are moved from the account.

Datatype: ["PositionEffect3Code"](#) on page 2708

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

3.4.5.38 Liability <Lbly>

Presence: [0..1]

Definition: Specifies whether the investor assumes responsibility for the liability.

Liability <Lbly> contains one of the following elements (see ["Liability1Choice"](#) on page 1962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1962
Or}	Proprietary <Prtry>	[1..1]	±		1963

3.4.5.39 InvestorProfile <InvstrPrfl>

Presence: [0..*]

Definition: Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile1" on page 1954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1955
{Or	Code <Cd>	[1..1]	CodeSet		1956
Or}	Proprietary <Prtry>	[1..1]	±		1956
	Status <Sts>	[0..1]			1957
{Or	Code <Cd>	[1..1]	CodeSet		1957
Or}	Proprietary <Prtry>	[1..1]	±		1957
	Treasury <Trsr>	[0..1]			1958
	Date <Dt>	[1..1]	Date		1958
	TraderType <TradrTp>	[1..1]			1958
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959
	Rate <Rate>	[1..1]	Rate		1959
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1959
	Date <Dt>	[0..1]	Date		1959
	SettlementFrequency <SttlmFrqcy>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960
	ConsolidationType <CnsldtnTp>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961
	MarketMaker <MktMakr>	[0..1]			1961
	ContractPeriod <CtrctPrd>	[0..1]	±		1961
	Compliance <Cmplc>	[0..1]	Indicator		1962
	MaximumSpread <MaxSprd>	[0..1]	Rate		1962
	Discount <Dscnt>	[0..1]	Rate		1962

3.4.5.40 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1414
Or}	EndDate <EndDt>	[1..1]	Date		1414

3.4.6 AccountParties <AcctPties>

Presence: [0..1]

Definition: Confirmation of information related to parties that are related to the account, for example, primary account owner.

AccountParties <AcctPties> contains the following **AccountParties15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PrincipalAccountParty <PrncplAcctPty>	[1..1]			146
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		147
Or	Trustee <Trstee>	[1..5]	±		151
Or	Nominee <Nmnee>	[1..1]	±		155
Or}	JointOwner <JntOwnr>	[1..5]	±		159
	SecondaryOwner <ScndryOwnr>	[0..*]	±		163
	Beneficiary <Bnfcry>	[0..*]	±		167
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		171
	LegalGuardian <LglGuardn>	[0..*]	±		175
	CustodianForMinor <CtdnForMnr>	[0..1]	±		179
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		183
	Administrator <Admstr>	[0..*]	±		187
	OtherParty <OthrPty>	[0..*]	±		191
	Granter <Grntr>	[0..5]	±		191
	Settlor <Sttlr>	[0..5]	±		195
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		199
	Protector <Prtctr>	[0..*]	±		203
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		207

3.4.6.1 PrincipalAccountParty <PrncplAcctPty>

Presence: [1..1]

Definition: Main party associated with the account.

PrincipalAccountParty <PrncplAcctPty> contains one of the following **AccountParties10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		147
Or	Trustee <Trstee>	[1..5]	±		151
Or	Nominee <Nmnee>	[1..1]	±		155
Or}	JointOwner <JntOwnr>	[1..5]	±		159

3.4.6.1.1 PrimaryOwner <PmryOwnr>

Presence: [1..1]

Definition: Single owner of the account or, when the ownership is split among several owners, the primary owner is the one giving its address and account details for the registration.

PrimaryOwner <PmryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.1.2 Trustee <Trstee>

Presence: [1..5]

Definition: Legal owners of the property. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.1.3 Nominee <Nmnee>

Presence: [1..1]

Definition: Entity named by the beneficial owner to act on its behalf, often to facilitate dealing, or to conceal the identity of the beneficiary.

Nominee <Nmnee> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.1.4 JointOwner <JntOwnr>

Presence: [1..5]

Definition: Co-owner of the investment account when the ownership is assigned to more than one party.

JointOwner <JntOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.2 SecondaryOwner <ScndryOwnr>

Presence: [0..*]

Definition: Entity that is not the primary owner when the ownership of the investment account is split among several owners.

SecondaryOwner <ScndryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.3 Beneficiary <Bnfcry>

Presence: [0..*]

Definition: Ultimate party that is entitled to either receive the benefits of the ownership of a financial instrument, or to be paid/credited as a result of a transfer.

Beneficiary <Bnfcry> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.4 PowerOfAttorney <PwrOfAttny>

Presence: [0..*]

Definition: Entity that was given the authority by another entity to act on its behalf.

PowerOfAttorney <PwrOfAttny> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.5 LegalGuardian <LglGuardn>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.

LegalGuardian <LglGuardn> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.6 CustodianForMinor <CtdnForMnr>

Presence: [0..1]

Definition: Entity that holds shares/units on behalf of a legal minor. Although the account is registered under the name of the minor, the custodian retains control of the account.

CustodianForMinor <CtdnForMnr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.7 SuccessorOnDeath <SucssrOnDth>

Presence: [0..5]

Definition: Deceased's estate, or successor, to whom the respective percentage of ownership will be transferred upon the death of one of the owners.

SuccessorOnDeath <SucssrOnDth> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <OthrlId>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.8 Administrator <Admstr>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person or organisation that has gone bankrupt.

Administrator <Admstr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.9 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty11](#)" on page 2082 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		2082
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2082

3.4.6.10 Granter <Grntr>

Presence: [0..5]

Definition: Granter role in the hedge funds industry.

Granter <Grntr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.11 Settlor <Sttlr>

Presence: [0..5]

Definition: Entity that creates a trust or contributes assets to the trust.

Settlor <Sttlr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.12 SeniorManagingOfficial <SnrMggOffcl>

Presence: [0..*]

Definition: Party that makes, or participates in the making of, decisions that affect the whole, or a substantial part, of the business of a customer of a reporting entity or that has the capacity to affect significantly the financial standing of a customer of a reporting entity. Typically, this is a controlling person of a corporate (ownership type CORP).

SeniorManagingOfficial <SnrMggOffcl> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <ClntId>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.13 Protector <Prtctr>

Presence: [0..*]

Definition: Person appointed under the trust instrument to direct or restrain the trustees in relation to their administration of the trust. Typically, this is a controlling person of a trust (ownership type TRUS) or other non-individual organisation (ownership type ONIS).

Protector <Prctcr> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clntld>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

3.4.6.14 RegisteredShareholderName <RegdShrhldrNm>

Presence: [0..1]

Definition: Party that registers its name with the issuer and the name used for the registration.

RegisteredShareholderName <RegdShrhldrNm> contains one of the following elements (see "RegisteredShareholderName1Choice" on page 2091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2093
	NamePrefix <NmPrfx>	[0..1]			2094
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095
	GivenName <GvnNm>	[0..1]	Text		2095
	MiddleName <MddlNm>	[0..1]	Text		2095
	Name <Nm>	[1..1]	Text		2095
	PostalAddress <PstlAdr>	[1..5]		C23	2096
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MlngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdlnBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099
Or}	Organisation <Org>	[1..1]			2100
	Name <Nm>	[1..1]	Text		2101

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortName <ShrtNm>	[0..1]	Text		2101
	PostalAddress <PstlAdr>	[1..5]		C23	2101
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MIngInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdlnBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

3.4.7 Intermediaries <Intrmies>

Presence: [0..10]

Definition: Confirmation of an intermediary or other party related to the management of the account.

Intermediaries <Intrmies> contains the following elements (see "Intermediary36" on page 2108 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2109
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2109
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2110
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2110
	Account <Acct>	[0..1]			2110
	Identification <Id>	[0..1]	Text		2110
	AccountServicer <AcctSvcr>	[1..1]	±		2110
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2111
	Role <Role>	[0..1]	±		2111
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2111
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2112
	NameAndAddress <NmAndAdr>	[0..1]	±		2112

3.4.8 Placement <Plcmnt>

Presence: [0..1]

Definition: Confirmation of referral information.

Impacted by: C29 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent2" on page 1953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1954
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1954

Constraints

- ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

3.4.9 NewIssueAllocation <NewIsseAllcn>

Presence: [0..1]

Definition: Confirmation of eligibility conditions applicable when there is an allocation of new issues for hedge fund account opening.

NewIssueAllocation <NewIsseAllcn> contains the following elements (see "[NewIssueAllocation2](#)" on page 1952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1953
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1953
	DeMinimus <DeMnms>	[0..1]	±		1953

3.4.10 SavingsInvestmentPlan <SvgsInvstmtPlan>

Presence: [0..50]

Definition: Confirmation of the information related to a savings plan that is related to the account.

Impacted by: [C3 "AmountRule"](#)

SavingsInvestmentPlan <SvgsInvstmtPlan> contains the following elements (see "InvestmentPlan14" on page 1925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1925
	StartDate <StartDt>	[0..1]	Date		1926
	EndDate <EndDt>	[0..1]	Date		1926
	Quantity <Qty>	[1..1]	±		1926
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1926
	IncomePreference <IncmPref>	[0..1]	CodeSet		1926
	InitialAmount <InitlAmt>	[0..1]	±		1927
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1927
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1927
	SecurityDetails <SctyDtls>	[1..50]			1927
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930
	CashSettlement <CshSttlm>	[0..8]	±		1930
	ContractReference <CtrctRef>	[0..1]	Text		1930
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1931
	ProductIdentification <PdctId>	[0..1]	Text		1931
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1931
	InsuranceCover <InsrncCover>	[0..1]	±		1931
	PlanStatus <PlanSts>	[0..1]	±		1931
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1932

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

3.4.11 WithdrawalInvestmentPlan <WdrwlInvstmtPlan>

Presence: [0..10]

Definition: Confirmation of the information related to a withdrawal plan that is related to the account.

Impacted by: C3 "AmountRule"

WithdrawalInvestmentPlan <WdrwlInvstmtPlan> contains the following elements (see "InvestmentPlan14" on page 1925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1925
	StartDate <StartDt>	[0..1]	Date		1926
	EndDate <EndDt>	[0..1]	Date		1926
	Quantity <Qty>	[1..1]	±		1926
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1926
	IncomePreference <IncmPref>	[0..1]	CodeSet		1926
	InitialAmount <InitlAmt>	[0..1]	±		1927
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1927
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1927
	SecurityDetails <SctyDtls>	[1..50]			1927
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930
	CashSettlement <CshSttlm>	[0..8]	±		1930
	ContractReference <CtrctRef>	[0..1]	Text		1930
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1931
	ProductIdentification <PdctId>	[0..1]	Text		1931
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1931
	InsuranceCover <InsrncCover>	[0..1]	±		1931
	PlanStatus <PlanSts>	[0..1]	±		1931
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1932

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

3.4.12 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Confirmation of a cash settlement standing instruction associated to transactions on the account.

CashSettlement <CshSttlm> contains the following elements (see "CashSettlement1" on page 2444 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]	±		2444
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2445

3.4.13 ServiceLevelAgreement <SvcLvlAgrmt>

Presence: [0..30]

Definition: Identifies documents to be provided for the account opening.

ServiceLevelAgreement <SvcLvlAgrmt> contains the following elements (see "DocumentToSend3" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2345
	Recipient <Rcpt>	[1..1]	±		2345
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2345
{Or	Code <Cd>	[1..1]	CodeSet		2345
Or}	Proprietary <Prtry>	[1..1]	±		2346

3.4.14 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation12](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

3.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

3.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C16 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

4 **acmt.003.001.07** **AccountModificationInstructionV07**

4.1 **MessageDefinition Functionality**

Scope

The AccountModificationInstruction message is sent by an account owner, for example, an investor or its designated agent to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to modify, that is, create, update or delete specific details of an existing account.

Usage

The AccountModificationInstruction message is used to modify the details of an existing account.

The AccountModificationInstruction message can be used to:

- maintain/update any of the existing account details, for example, to update the address of the beneficiary or modify the preference to income from distribution to capitalisation, or,
- add/create specific details to the existing account when these details were not yet recorded at the time of account creation, for example, to add a second address or to establish new cash settlement standing instructions, or,
- delete specific account details, for example, delete cash standing instructions, or,
- instruct a change to the status of the account, for example, instruct the closure of the account.

The usage of this message may be subject to service level agreement (SLA) between the counterparties.

Execution of the AccountModificationInstruction is confirmed via an AccountDetailsConfirmation message.

Outline

The AccountModificationInstructionV07 MessageDefinition is composed of 16 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. InstructionDetails

Information about the modification instruction.

D. InvestmentAccountSelection

Identifies the account for which the information is modified.

E. ModifiedInvestmentAccount

Information related to general characteristics of the account to be inserted, updated or deleted.

F. ModifiedAccountParties

Information related to an account party to be inserted, updated or deleted.

G. ModifiedIntermediaries

Information related to intermediaries to be inserted, updated or deleted.

H. ModifiedPlacement

Information related to referral information to be inserted, updated or deleted.

I. ModifiedIssueAllocation

Eligibility conditions related to allocation of new issues to be inserted, updated or deleted.

J. ModifiedSavingsInvestmentPlan

Information related to a savings plan to be either inserted, updated or deleted.

K. ModifiedWithdrawalInvestmentPlan

Information related to a withdrawal plan to be either inserted, updated or deleted.

L. ModifiedCashSettlement

Cash settlement standing instruction to be either inserted or deleted.

M. ModifiedServiceLevelAgreement

Information related to documents to be added, deleted or updated.

.

N. ModifiedAdditionalInformation

Information related to additional information to be added, deleted or updated.

O. MarketPracticeVersion

Identifies the market practice to which the message conforms.

P. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctModInstr>	[1..1]		C29	
	MessageIdentification <MsgId>	[1..1]	±		225
	PreviousReference <PrvsRef>	[0..1]	±		225
	InstructionDetails <InstrDtls>	[0..1]		C18	225
	ModificationReason <ModRsn>	[0..1]	Text		226
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		226
	ClientReference <ClntRef>	[0..1]	Text		226
	CounterpartyReference <CtrPtyRef>	[0..1]	±		226
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		227
	InvestmentAccountSelection <InvstmtAcctSelctn>	[1..1]			227
{Or	AccountIdentification <AcctId>	[1..1]	Text		227
Or}	OtherAccountSelectionData <OthrAcctSelctnData>	[1..1]	±	C1	227
	ModifiedInvestmentAccount <ModfdInvstmtAcct>	[0..1]		C2, C17, C28, C12	230
	AccountStatusUpdateInstruction <AcctStsUpdInstr>	[0..1]	±		232
	Name <Nm>	[0..1]	Text		233
	Designation <Dsgnt>	[0..1]	Text		233
	Type <Tp>	[0..1]	±		233
	OwnershipType <OwnrshTp>	[0..1]	±		234
	TaxExemption <TaxXmptn>	[0..1]	±		234
	StatementFrequency <StmtFrqcy>	[0..1]	±		234
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C3	234
	Language <Lang>	[0..1]	CodeSet	C30	235
	IncomePreference <IncmPref>	[0..1]	CodeSet		235
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		235
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		235
	TaxReporting <TaxRptg>	[0..*]	±		236
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		236
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		237
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		237

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FundFamilyName <FndFmlyNm>	[0..1]	Text		237
	ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>	[0..*]	±		237
	RoundingDetails <RndgDtls>	[0..1]	±		237
	AccountServicer <AcctSvcr>	[0..1]	±		238
	BlockedStatus <BlckdSts>	[0..1]	±		238
	AccountUsageType <AcctUsgrTp>	[0..1]	±		239
	ForeignStatusCertification <FrgrStsCertfctn>	[0..1]	CodeSet		239
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		239
	TransactionChannelType <TxChanlTp>	[0..1]	±		239
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		240
	Pledging <Pldgg>	[0..1]	CodeSet		240
	Collateral <Coll>	[0..1]	CodeSet		240
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		240
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		241
	AccountingStatus <AcctgSts>	[0..1]	±		241
	OpeningDate <OpngDt>	[0..1]	±		241
	ClosingDate <ClsgDt>	[0..1]	±		242
	NegativeIndicator <NegInd>	[0..1]	Indicator		242
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		242
	Liability <Lbilty>	[0..1]	±		242
	ModifiedInvestorProfile <ModfdInvstrPrfl>	[0..*]			243
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		243
	InvestorProfile <InvstrPrfl>	[1..1]	±		243
	FiscalYear <Fsclyr>	[0..1]	±		244
	ModifiedAccountParties <ModfdAcctPties>	[0..10]		C5	245
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		246
	PrincipalAccountParty <PrncplAcctPty>	[0..1]			247
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		247
Or	Trustee <Trstee>	[1..5]	±		251
Or	Nominee <Nmnee>	[1..1]	±		255
Or}	JointOwner <JntOwnr>	[1..5]	±		259

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SecondaryOwner <ScndryOwnr>	[0..*]	±		263
	Beneficiary <Bnfcry>	[0..*]	±		267
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		271
	LegalGuardian <LglGuardn>	[0..*]	±		275
	CustodianForMinor <CtdnForMnr>	[0..1]	±		279
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		283
	Administrator <Admstr>	[0..*]	±		287
	OtherParty <OthrPty>	[0..*]	±		291
	Granter <Grntr>	[0..5]	±		291
	Settlor <Sttlr>	[0..5]	±		295
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		299
	Protector <Prtctr>	[0..*]	±		303
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		307
	ModifiedIntermediaries <ModfdIntrmies>	[0..10]	±		309
	ModifiedPlacement <ModfdPlcmnt>	[0..1]	±		310
	ModifiedIssueAllocation <ModfdIsseAllcn>	[0..1]	±		310
	ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan>	[0..50]	±		310
	ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan>	[0..10]	±		310
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		311
	ModifiedServiceLevelAgreement <ModfdSvcLvAgrmt>	[0..30]	±		311
	ModifiedAdditionalInformation <ModfdAddtlInf>	[0..*]	±		311
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		312
	Extension <Xtnsn>	[0..*]	±	C11	312

4.3 Constraints

C1 AccountDataElementRule

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

C2 AccountElementRule

One of the elements (AccountStatusUpdateInstruction, Name, Designation, Type, OwnershipType, TaxExemption, StatementFrequency, ReferenceCurrency, Language, IncomePreference, ReinvestmentDetails, TaxWithholdingMethod, TaxReporting, LetterIntentDetails, AccumulationRightReference, RequiredSignatoriesNumber, FundFamilyName, ModifiedFinancialInstrumentDetails, RoundingDetails, Account Servicer,

BlockedStatus, AccountUsageType, ForeignStatusCertification, AccountSignatureDateTime, TransactionChannelType, InvestmentAccountCategory, Pledging, Collateral, ThirdPartyRights, PowerOfAttorneyLevelOfControl, AccountingStatus, OpeningDate, ClosingDate, NegativeIndicator, ProcessingOrder, Liability, ModifiedInvestorProfile, FiscalYear) must be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AddressRule

If ModificationScopeIndication is INSE (InsertNewDataSet), then one of the following must be present:

PrincipalAccountParty/.Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

CustodianForMinor/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

SecondaryOwner/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Beneficiary/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

PowerOfAttorney/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

LegalGuardian/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

SuccessorOnDeath/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Administrator/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

OtherParty/OtherPartyDetails/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Granter/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Settlor/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SeniorManagingOfficial/.ModifiedPostalAddress/ModificationScopeIndication/INSE
(InsertNewDataSet)

Protector/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet).

C6 AmountRule

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentDetailsGuideline

ModifiedFinancialInstrumentDetails/FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

C13 HoldingCertificateNumberRule

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

C14 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C15 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 IncomePreferenceReinvestmentRule

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

C18 InstructionDetailsElementRule

One of the elements (ModificationReason, AccountApplicationIdentification, ClientReference, CounterpartyReference, ExistingAccountIdentification) must be present.

C19 MailingIndicatorRule

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

C20 OrganisationElementRule

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

C21 OrganisationIdentificationRule

Either Identification or Legal Entity Identifier must be present. Both Identification and Legal Entity Identifier may be present.

C22 OwnershipBeneficiaryRateRule

Either Rate or Fraction must be present. Both may be present.

C23 PartialHoldingUnits1Rule

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

C24 PartialHoldingUnits2Rule

If BlockHolding is PPTH (PartialHolding) then PartialHoldingUnits is mandatory.

C25 PartialHoldingUnits3Rule

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

C26 ReasonElementRule

Either Code or AdditionalInformation must be present. Both may be present.

C27 ReferredPlacementAgentRule

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

C28 ReinvestmentPercentageRule

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

C29 UnitRule

If ModifiedSavingsInvestmentPlan is present, then ModifiedSavingsInvestmentPlan/InvestmentPlan/Quantity/Unit is not allowed.

On Condition

/ModifiedSavingsInvestmentPlan[*] is present

Following Must be True

/ModifiedSavingsInvestmentPlan[*]/InvestmentPlan/Quantity/Unit Must be absent

This constraint is defined at the MessageDefinition level.

C30 ValidationByTable

Must be a valid terrestrial language.

C31 ValidationByTable

Must be a valid nationality.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

4.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference6](#)" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

4.4.3 InstructionDetails <InstrDtls>

Presence: [0..1]

Definition: Information about the modification instruction.

Impacted by: [C18 "InstructionDetailsElementRule"](#)

InstructionDetails <InstrDtls> contains the following **InvestmentAccountModification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationReason <ModRsn>	[0..1]	Text		226
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		226
	ClientReference <ClntRef>	[0..1]	Text		226
	CounterpartyReference <CtrPtyRef>	[0..1]	±		226
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		227

Constraints

- **InstructionDetailsElementRule**

One of the elements (ModificationReason, AccountApplicationIdentification, ClientReference, CounterpartyReference, ExistingAccountIdentification) must be present.

4.4.3.1 ModificationReason <ModRsn>

Presence: [0..1]

Definition: Reason for the modification to the investment account information.

Datatype: "Max350Text" on page 2764

4.4.3.2 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account modification request.

Datatype: "Max35Text" on page 2764

4.4.3.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of a transfer.

Datatype: "Max35Text" on page 2764

4.4.3.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transaction, for example, a transfer, as allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference6" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

4.4.3.5 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see "Account23" on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

4.4.4 InvestmentAccountSelection <InvstmtAcctSelctn>

Presence: [1..1]

Definition: Identifies the account for which the information is modified.

InvestmentAccountSelection <InvstmtAcctSelctn> contains one of the following **AccountSelection2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AccountIdentification <AcctId>	[1..1]	Text		227
Or}	OtherAccountSelectionData <OthrAcctSelctnData>	[1..1]	±	C1	227

4.4.4.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

4.4.4.2 OtherAccountSelectionData <OthrAcctSelctnData>

Presence: [1..1]

Definition: Various investment account information used to select a specific account.

Impacted by: C1 "AccountDataElementRule"

OtherAccountSelectionData <OthrAcctSelctnData> contains the following elements (see "InvestmentAccount64" on page 1720 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1723
	Designation <Dsgnt>	[0..1]	Text		1723
	FundType <FndTp>	[0..1]	Text		1723
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1723
	SecurityDetails <SctyDtls>	[0..1]		C15	1724
	Identification <Id>	[1..1]			1725
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1725
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1726
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1726
Or	RIC <RIC>	[1..1]	IdentifierSet		1726
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1726
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1726
Or	CTA <CTA>	[1..1]	IdentifierSet		1726
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1726
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1727
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1727
Or	Valoren <Vlm>	[1..1]	IdentifierSet		1727
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1727
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1727
Or	Common <Cmon>	[1..1]	IdentifierSet		1727
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1727
	Identification <Id>	[1..1]	Text		1728
	IdentificationSource <IdSrc>	[1..1]	±		1728
	Name <Nm>	[0..1]	Text		1728
	ShortName <ShrtNm>	[0..1]	Text		1728
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1728
	ClassType <ClsTp>	[0..1]	Text		1728
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1728
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1729
	ProductGroup <PdctGrp>	[0..1]	Text		1729

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwner <AcctOwnr>	[0..1]			1729
{Or	IndividualOwnerIdentification <IndvOwnrld>	[1..1]			1731
{Or	IdentificationNumber <IdNb>	[1..1]	±		1731
Or}	PersonName <PrsnNm>	[1..1]			1732
	GivenName <GvnNm>	[0..1]	Text		1732
	MiddleName <MddlNm>	[0..1]	Text		1732
	Name <Nm>	[1..1]	Text		1732
	Gender <Gndr>	[0..1]	CodeSet		1732
	BirthDate <BirthDt>	[0..1]	Date		1732
Or}	OrganisationOwnerIdentification <OrgOwnrld>	[1..1]		C21	1733
	Identification <Id>	[0..1]			1735
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1736
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1737
Or	NameAndAddress <NmAndAdr>	[1..1]			1737
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MlngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlInBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <SuiteId>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistrictName <DstrctNm>	[0..1]	Text		1742
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1742
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1743
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1743
	Intermediary <Intrmy>	[0..10]	±		1743
	AccountServicer <AcctSvcr>	[0..1]	±		1743

Constraints

- **AccountDataElementRule**

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

4.4.5 ModifiedInvestmentAccount <ModfdInvstmtAcct>

Presence: [0..1]

Definition: Information related to general characteristics of the account to be inserted, updated or deleted.

Impacted by: C2 "AccountElementRule", C17 "IncomePreferenceReinvestmentRule", C28 "ReinvestmentPercentageRule", C12 "FinancialInstrumentDetailsGuideline"

ModifiedInvestmentAccount <ModfdInvstmtAcct> contains the following **InvestmentAccount63** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountStatusUpdateInstruction <AcctStsUpdInstr>	[0..1]	±		232
	Name <Nm>	[0..1]	Text		233
	Designation <Dsgnt>	[0..1]	Text		233
	Type <Tp>	[0..1]	±		233
	OwnershipType <OwnrshTp>	[0..1]	±		234
	TaxExemption <TaxXmptn>	[0..1]	±		234
	StatementFrequency <StmntFrqcy>	[0..1]	±		234
	ReferenceCurrency <RefCcy>	[0..1]	CodeSet	C3	234
	Language <Lang>	[0..1]	CodeSet	C30	235
	IncomePreference <IncmPref>	[0..1]	CodeSet		235
	ReinvestmentDetails <RinvstmtDtls>	[0..*]	±		235
	TaxWithholdingMethod <TaxWhldgMtd>	[0..1]	CodeSet		235
	TaxReporting <TaxRptg>	[0..*]	±		236
	LetterIntentDetails <LtrInttDtls>	[0..1]	±		236
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		237
	RequiredSignatoriesNumber <ReqrdSgntriesNb>	[0..1]	Quantity		237
	FundFamilyName <FndFmlyNm>	[0..1]	Text		237
	ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>	[0..*]	±		237
	RoundingDetails <RndgDtls>	[0..1]	±		237
	AccountServicer <AcctSvcr>	[0..1]	±		238
	BlockedStatus <BlckdSts>	[0..1]	±		238
	AccountUsageType <AcctUsgTp>	[0..1]	±		239
	ForeignStatusCertification <FrgnStsCertfctn>	[0..1]	CodeSet		239
	AccountSignatureDateTime <AcctSgntrDtTm>	[0..1]	±		239
	TransactionChannelType <TxChanlTp>	[0..1]	±		239
	InvestmentAccountCategory <InvstmtAcctCtgy>	[0..1]	±		240
	Pledging <Pldgg>	[0..1]	CodeSet		240
	Collateral <Coll>	[0..1]	CodeSet		240
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PowerOfAttorneyLevelOfControl <PwrOfAttnyLvOfCtrl>	[0..1]	±		241
	AccountingStatus <AcctgSts>	[0..1]	±		241
	OpeningDate <OpngDt>	[0..1]	±		241
	ClosingDate <ClsGdt>	[0..1]	±		242
	NegativeIndicator <NegInd>	[0..1]	Indicator		242
	ProcessingOrder <PrcgOrdr>	[0..1]	CodeSet		242
	Liability <Lbly>	[0..1]	±		242
	ModifiedInvestorProfile <ModfdInvstrPrfl>	[0..*]			243
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		243
	InvestorProfile <InvstrPrfl>	[1..1]	±		243
	FiscalYear <Fsclyr>	[0..1]	±		244

Constraints

- **AccountElementRule**

One of the elements (AccountStatusUpdateInstruction, Name, Designation, Type, OwnershipType, TaxExemption, StatementFrequency, ReferenceCurrency, Language, IncomePreference, ReinvestmentDetails, TaxWithholdingMethod, TaxReporting, LetterIntentDetails, AccumulationRightReference, RequiredSignatoriesNumber, FundFamilyName, ModifiedFinancialInstrumentDetails, RoundingDetails, Account Servicer, BlockedStatus, AccountUsageType, ForeignStatusCertification, AccountSignatureDateTime, TransactionChannelType, InvestmentAccountCategory, Pledging, Collateral, ThirdPartyRights, PowerOfAttorneyLevelOfControl, AccountingStatus, OpeningDate, ClosingDate, NegativeIndicator, ProcessingOrder, Liability, ModifiedInvestorProfile, FiscalYear) must be present.

- **FinancialInstrumentDetailsGuideline**

ModifiedFinancialInstrumentDetails/FinancialInstrumentDetails may be present if a single investment fund is associated to the investment account. If several investment funds are associated to the investment account, the FundFamilyName only should be specified.

- **IncomePreferenceReinvestmentRule**

If IncomePreference is CASH (Cash), then ReinvestmentDetails is not allowed.

```
On Condition
  /IncomePreference is equal to value 'Cash'
Following Must be True
  /ReinvestmentDetails[*] Must be absent
```

- **ReinvestmentPercentageRule**

Sum of all occurrences of ReinvestmentDetails/ReinvestmentPercentage must not be greater than 100.

4.4.5.1 AccountStatusUpdateInstruction <AcctStsUpdInstr>

Presence: [0..1]

Definition: Change of account status is instructed.

AccountStatusUpdateInstruction <AcctStsUpdInstr> contains the following elements (see "AccountStatusUpdateInstruction1" on page 2493 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateInstruction <UpdInstr>	[1..1]			2494
{Or	Code <Cd>	[1..1]	CodeSet		2494
Or}	Proprietary <Prtry>	[1..1]	±		2494
	UpdateInstructionReason <UpdInstrRsn>	[0..1]			2494
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2495
Or}	Reason <Rsn>	[1..*]		C26	2495
	Code <Cd>	[0..1]			2495
{Or	Code <Cd>	[1..1]	CodeSet		2496
Or}	Proprietary <Prtry>	[1..1]	±		2496
	AdditionalInformation <AddtlInf>	[0..1]	Text		2496

4.4.5.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

4.4.5.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2764

4.4.5.4 Type <Tp>

Presence: [0..1]

Definition: Type of account.

Type <Tp> contains one of the following elements (see "AccountType2Choice" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1243
Or}	Proprietary <Prtry>	[1..1]	±		1244

4.4.5.5 OwnershipType <OwnrshTp>

Presence: [0..1]

Definition: Ownership status of the account, for example, joint owners.

OwnershipType <OwnrshTp> contains one of the following elements (see "[OwnershipType2Choice](#)" on page 1715 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1717

4.4.5.6 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2532 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

4.4.5.7 StatementFrequency <StmtFrqcy>

Presence: [0..1]

Definition: Frequency at which a statement is issued.

StatementFrequency <StmtFrqcy> contains one of the following elements (see "[StatementFrequencyReason2Choice](#)" on page 1630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1630
Or}	Proprietary <Prtry>	[1..1]	±		1631

4.4.5.8 ReferenceCurrency <RefCcy>

Presence: [0..1]

Definition: Currency chosen for reporting purposes by the account owner in agreement with the account servicer.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

4.4.5.9 Language <Lang>

Presence: [0..1]

Definition: Language for all communication concerning the account.

Impacted by: C30 "ValidationByTable"

Datatype: "LanguageCode" on page 2695

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

4.4.5.10 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

4.4.5.11 ReinvestmentDetails <RinvstmtDtls>

Presence: [0..*]

Definition: Specifies, for income on the fund or security that is to be reinvested, parameters for the reinvestment. If the reinvestment percentage is less than one hundred percent, the remaining percentage will be invested according to the investor's or account owner's subsequent instructions.

ReinvestmentDetails <RinvstmtDtls> contains the following elements (see "Reinvestment3" on page 1600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmtDtls>	[1..1]	±	C20	1600
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C2	1602
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1602

4.4.5.12 TaxWithholdingMethod <TaxWhldgMtd>

Presence: [0..1]

Definition: Method by which the tax (withholding tax) is to be processed, that is, either withheld at source or tax information is reported to tax authorities or tax information is reported due to the provision of a tax certificate.

Datatype: "TaxWithholdingMethod3Code" on page 2736

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

4.4.5.13 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting2" on page 2580 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

4.4.5.14 LetterIntentDetails <LtrInttDtls>

Presence: [0..1]

Definition: Details of the letter of intent.

LetterIntentDetails <LtrInttDtls> contains the following elements (see "LetterIntent1" on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		2009
	Amount <Amt>	[0..1]	Amount	C2	2009
	StartDate <StartDt>	[0..1]	Date		2009
	EndDate <EndDt>	[0..1]	Date		2009

4.4.5.15 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation rights program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "Max35Text" on page 2764

4.4.5.16 RequiredSignatoriesNumber <ReqrdSgntriesNb>

Presence: [0..1]

Definition: Number of account owners or related parties required to authorise transactions on the account.

Datatype: "Number" on page 2761

4.4.5.17 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2764

4.4.5.18 ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls>

Presence: [0..*]

Definition: Detailed information about the financial instrument associated to the account that is to be modified or deleted.

ModifiedFinancialInstrumentDetails <ModfdFinInstrmDtls> contains the following elements (see "ModificationScope36" on page 1578 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1578
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1579

4.4.5.19 RoundingDetails <RndgDtls>

Presence: [0..1]

Definition: Parameters to be applied on deal amount for orders when the amount is a fractional number.

RoundingDetails <RndgDtls> contains the following elements (see "[RoundingParameters1](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1261
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1261

4.4.5.20 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

4.4.5.21 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "[BlockedStatusReason2Choice](#)" on page 2524 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2525
Or}	Reason <Rsn>	[1..*]			2525
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

4.4.5.22 AccountUsageType <AcctUsgTp>

Presence: [0..1]

Definition: Specifies the type of usage of the account.

AccountUsageType <AcctUsgTp> contains one of the following elements (see "[AccountUsageType2Choice](#)" on page 1714 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1715

4.4.5.23 ForeignStatusCertification <FrgnStsCertfctn>

Presence: [0..1]

Definition: Specifies if documentary evidence has been provided for the foreign resident.

Datatype: "[Provided1Code](#)" on page 2711

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

4.4.5.24 AccountSignatureDateTime <AcctSgntrDtTm>

Presence: [0..1]

Definition: Date the investor or account owner signs the open account form.

AccountSignatureDateTime <AcctSgntrDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

4.4.5.25 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

4.4.5.26 InvestmentAccountCategory <InvstmtAcctCtgy>

Presence: [0..1]

Definition: Specifies the category of the account.

InvestmentAccountCategory <InvstmtAcctCtgy> contains one of the following elements (see "InvestmentAccountCategory1Choice" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1655
Or}	Proprietary <Prtry>	[1..1]	±		1655

4.4.5.27 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2667

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

4.4.5.28 Collateral <Coll>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are used as collateral.

Datatype: "Collateral1Code" on page 2657

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

4.4.5.29 ThirdPartyRights <ThrdPtyRghts>

Presence: [0..1]

Definition: Details of third party rights.

ThirdPartyRights <ThrdPtyRghts> contains the following elements (see "[ThirdPartyRights1](#)" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1782
	DateTime <DtTm>	[0..1]	DateTime		1782
	Holder <Hldr>	[0..1]	±		1782
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1783
	Amount <Amt>	[0..1]	Amount	C2, C10	1783
	Description <Desc>	[0..1]	Text		1783

4.4.5.30 PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl>

Presence: [0..1]

Definition: Functionality permitted to a third party in the actions that may be taken on an account on behalf of the investor.

PowerOfAttorneyLevelOfControl <PwrOfAttnyLvIOfCtrl> contains one of the following elements (see "[LevelOfControl1Choice](#)" on page 1963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1963
Or}	Proprietary <Prtry>	[1..1]	±		1963

4.4.5.31 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "[AccountingStatus1Choice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

4.4.5.32 OpeningDate <OpngDt>

Presence: [0..1]

Definition: Legal opening date for the account.

OpeningDate <OpngDt> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

4.4.5.33 ClosingDate <ClsgDt>

Presence: [0..1]

Definition: Legal closing date for the account.

ClosingDate <ClsgDt> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

4.4.5.34 NegativeIndicator <NegInd>

Presence: [0..1]

Definition: Indicates whether the account can hold a negative position in a security.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

4.4.5.35 ProcessingOrder <PrcgOrdr>

Presence: [0..1]

Definition: Order in which securities are moved from the account.

Datatype: ["PositionEffect3Code"](#) on page 2708

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

4.4.5.36 Liability <Lbly>

Presence: [0..1]

Definition: Specifies whether the investor assumes responsibility for the liability.

Liability <Lbly> contains one of the following elements (see "[Liability1Choice](#)" on page 1962 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1962
Or}	Proprietary <Prtry>	[1..1]	±		1963

4.4.5.37 ModifiedInvestorProfile <ModfdInvstrPrfl>

Presence: [0..*]

Definition: Information related to the investor profile to be inserted, updated or deleted.

ModifiedInvestorProfile <ModfdInvstrPrfl> contains the following **ModificationScope32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		243
	InvestorProfile <InvstrPrfl>	[1..1]	±		243

4.4.5.37.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "[DataModification1Code](#)" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

4.4.5.37.2 InvestorProfile <InvstrPrfl>

Presence: [1..1]

Definition: Information used to determine fees and types of operations that can be carried out on the account.

InvestorProfile <InvstrPrfl> contains the following elements (see "InvestorProfile1" on page 1954 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1955
{Or	Code <Cd>	[1..1]	CodeSet		1956
Or}	Proprietary <Prtry>	[1..1]	±		1956
	Status <Sts>	[0..1]			1957
{Or	Code <Cd>	[1..1]	CodeSet		1957
Or}	Proprietary <Prtry>	[1..1]	±		1957
	Treasury <Trsr>	[0..1]			1958
	Date <Dt>	[1..1]	Date		1958
	TraderType <TradrTp>	[1..1]			1958
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959
	Rate <Rate>	[1..1]	Rate		1959
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1959
	Date <Dt>	[0..1]	Date		1959
	SettlementFrequency <SttlmFrqcy>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960
	ConsolidationType <CnsltdnTp>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961
	MarketMaker <MktMakr>	[0..1]			1961
	ContractPeriod <CtrctPrd>	[0..1]	±		1961
	Compliance <Cmplc>	[0..1]	Indicator		1962
	MaximumSpread <MaxSprd>	[0..1]	Rate		1962
	Discount <Dscnt>	[0..1]	Rate		1962

4.4.5.38 FiscalYear <FscIYr>

Presence: [0..1]

Definition: Fiscal year, when not the same as the calendar year.

FiscalYear <FscIYr> contains one of the following elements (see "FiscalYear1Choice" on page 1414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1414
Or}	EndDate <EndDt>	[1..1]	Date		1414

4.4.6 ModifiedAccountParties <ModfdAcctPties>

Presence: [0..10]

Definition: Information related to an account party to be inserted, updated or deleted.

Impacted by: C5 "AddressRule"

ModifiedAccountParties <ModfdAcctPties> contains the following **AccountParties16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		246
	PrincipalAccountParty <PrncplAcctPty>	[0..1]			247
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		247
Or	Trustee <Trstee>	[1..5]	±		251
Or	Nominee <Nmnee>	[1..1]	±		255
Or}	JointOwner <JntOwnr>	[1..5]	±		259
	SecondaryOwner <ScndryOwnr>	[0..*]	±		263
	Beneficiary <Bnfcry>	[0..*]	±		267
	PowerOfAttorney <PwrOfAttny>	[0..*]	±		271
	LegalGuardian <LglGuardn>	[0..*]	±		275
	CustodianForMinor <CtdnForMnr>	[0..1]	±		279
	SuccessorOnDeath <SucssrOnDth>	[0..5]	±		283
	Administrator <Admstr>	[0..*]	±		287
	OtherParty <OthrPty>	[0..*]	±		291
	Granter <Grntr>	[0..5]	±		291
	Settlor <Sttlr>	[0..5]	±		295
	SeniorManagingOfficial <SnrMggOffcl>	[0..*]	±		299
	Protector <Prctr>	[0..*]	±		303
	RegisteredShareholderName <RegdShrhldrNm>	[0..1]	±		307

Constraints

- **AddressRule**

If ModificationScopeIndication is INSE (InsertNewDataSet), then one of the following must be present:

PrincipalAccountParty/.Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

CustodianForMinor/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SecondaryOwner/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Beneficiary/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

PowerOfAttorney/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

LegalGuardian/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SuccessorOnDeath/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Administrator/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

OtherParty/OtherPartyDetails/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Granter/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Settlor/Party/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

SeniorManagingOfficial/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet)

Protector/.ModifiedPostalAddress/ModificationScopeIndication/INSE (InsertNewDataSet).

4.4.6.1 ModificationScopeIndication <ModScplndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

4.4.6.2 PrincipalAccountParty <PrncplAcctPty>

Presence: [0..1]

Definition: Main party associated with the account.

PrincipalAccountParty <PrncplAcctPty> contains one of the following **AccountParties11Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PrimaryOwner <PmryOwnr>	[1..1]	±		247
Or	Trustee <Trstee>	[1..5]	±		251
Or	Nominee <Nmnee>	[1..1]	±		255
Or}	JointOwner <JntOwnr>	[1..5]	±		259

4.4.6.2.1 PrimaryOwner <PmryOwnr>

Presence: [1..1]

Definition: Single owner of the investment account or, when the ownership is split among several owners, the primary owner is the one giving its address and account details for the registration.

PrimaryOwner <PmryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <CIntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.2.2 Trustee <Trstee>

Presence: [1..5]

Definition: Legal owners of the property. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <CIntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.2.3 Nominee <Nmnee>

Presence: [1..1]

Definition: Entity named by the beneficial owner to act on its behalf, often to facilitate dealing, or to conceal the identity of the beneficiary.

Nominee <Nmnee> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <CIntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.2.4 JointOwner <JntOwnr>

Presence: [1..5]

Definition: Co-owner of the investment account when the ownership is assigned to more than one party.

JointOwner <JntOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.3 SecondaryOwner <ScndryOwnr>

Presence: [0..*]

Definition: Entity that is not the primary owner when the ownership of the investment account is split among several owners.

SecondaryOwner <ScndryOwnr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <CIntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.4 Beneficiary <Bnfcry>

Presence: [0..*]

Definition: Ultimate party that is entitled to either receive the benefits of the ownership of a financial instrument, or to be paid/credited as a result of a transfer.

Beneficiary <Bnfcry> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <ClntrId>	[0..1]	Text		1695
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.5 PowerOfAttorney <PwrOfAttny>

Presence: [0..*]

Definition: Entity that was given the authority by another entity to act on its behalf.

PowerOfAttorney <PwrOfAttny> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <ClntrId>	[0..1]	Text		1695
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.6 LegalGuardian <LglGuardn>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person judged to be incapacitated.

LegalGuardian <LglGuardn> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.7 CustodianForMinor <CtdnForMnr>

Presence: [0..1]

Definition: Entity that holds shares/units on behalf of a legal minor. Although the account is registered under the name of the minor, the custodian retains control of the account.

CustodianForMinor <CtdnForMnr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.8 SuccessorOnDeath <SucssrOnDth>

Presence: [0..5]

Definition: Deceased's estate, or successor, to whom the respective percentage of ownership will be transferred upon the death of one of the owners.

SuccessorOnDeath <SucssrOnDth> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.9 Administrator <Admstr>

Presence: [0..*]

Definition: Entity that has been appointed by a legal authority to act on behalf of a person or organisation that has gone bankrupt.

Administrator <Admstr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.10 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "[ExtendedParty12](#)" on page 2078 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		2078
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2078

4.4.6.11 Granter <Grntr>

Presence: [0..5]

Definition: Granter role in the hedge funds industry.

Granter <Grntr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.12 Settlor <Sttlr>

Presence: [0..5]

Definition: Entity that creates a trust or contributes assets to the trust.

Settlor <Sttlr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <ClntrId>	[0..1]	Text		1695
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqrd>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.13 SeniorManagingOfficial <SnrMggOffcl>

Presence: [0..*]

Definition: Party that makes, or participates in the making of, decisions that affect the whole, or a substantial part, of the business of a customer of a reporting entity or that has the capacity to affect significantly the financial standing of a customer of a reporting entity. Typically, this is a controlling person of a corporate (ownership type CORP).

SeniorManagingOfficial <SnrMggOffcl> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.14 Protector <Prtctr>

Presence: [0..*]

Definition: Person appointed under the trust instrument to direct or restrain the trustees in relation to their administration of the trust. Typically, this is a controlling person of a trust (ownership type TRUS) or other non-individual organisation (ownership type ONIS).

Protector <Prctcr> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clnltd>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <OthrlId>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

4.4.6.15 RegisteredShareholderName <RegdShrhldrNm>

Presence: [0..1]

Definition: Party for which shares are to be registered.

RegisteredShareholderName <RegdShrhldrNm> contains one of the following elements (see "RegisteredShareholderName1Choice" on page 2091 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2093
	NamePrefix <NmPrfx>	[0..1]			2094
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095
	GivenName <GvnNm>	[0..1]	Text		2095
	MiddleName <MddlNm>	[0..1]	Text		2095
	Name <Nm>	[1..1]	Text		2095
	PostalAddress <PstlAdr>	[1..5]		C23	2096
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MIngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdlnBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099
Or}	Organisation <Org>	[1..1]			2100
	Name <Nm>	[1..1]	Text		2101

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ShortName <ShrtNm>	[0..1]	Text		2101
	PostalAddress <PstlAdr>	[1..5]		C23	2101
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MIngInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdlnBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

4.4.7 ModifiedIntermediaries <ModfdIntrmies>

Presence: [0..10]

Definition: Information related to intermediaries to be inserted, updated or deleted.

ModifiedIntermediaries <ModfdIntrmies> contains the following elements (see "ModificationScope38" on page 2075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2076
	Intermediary <Intrmy>	[1..1]	±		2076

4.4.8 ModifiedPlacement <ModfdPlcmnt>

Presence: [0..1]

Definition: Information related to referral information to be inserted, updated or deleted.

ModifiedPlacement <ModfdPlcmnt> contains the following elements (see "ModificationScope33" on page 1945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1945
	Placement <Plcmnt>	[1..1]	±	C29	1946

4.4.9 ModifiedIssueAllocation <ModfdlsseAllcn>

Presence: [0..1]

Definition: Eligibility conditions related to allocation of new issues to be inserted, updated or deleted.

ModifiedIssueAllocation <ModfdlsseAllcn> contains the following elements (see "ModificationScope21" on page 1945 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1945
	IssueAllocation <lsseAllcn>	[1..1]	±		1945

4.4.10 ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan>

Presence: [0..50]

Definition: Information related to a savings plan to be either inserted, updated or deleted.

ModifiedSavingsInvestmentPlan <ModfdSvgsInvstmtPlan> contains the following elements (see "ModificationScope37" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1893
	InvestmentPlan <InvstmtPlan>	[1..1]	±	C6	1894

4.4.11 ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan>

Presence: [0..10]

Definition: Information related to a withdrawal plan to be either inserted, updated or deleted.

ModifiedWithdrawalInvestmentPlan <ModfdWdrwlInvstmtPlan> contains the following elements (see "[ModificationScope37](#)" on page 1893 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1893
	InvestmentPlan <InvstmtPlan>	[1..1]	±	C6	1894

4.4.12 ModifiedCashSettlement <ModfdCshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction to be either inserted or deleted.

ModifiedCashSettlement <ModfdCshSttlm> contains the following elements (see "[CashSettlement2](#)" on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1229
	CashAccountDetails <CshAcctDtls>	[0..*]	±		1229
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		1230

4.4.13 ModifiedServiceLevelAgreement <ModfdSvcLvIAgrmt>

Presence: [0..30]

Definition: Information related to documents to be added, deleted or updated.

ModifiedServiceLevelAgreement <ModfdSvcLvIAgrmt> contains the following elements (see "[ModificationScope31](#)" on page 2346 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2346
	ServiceLevelAgreement <SvcLvIAgrmt>	[1..1]	±		2346

4.4.14 ModifiedAdditionalInformation <ModfdAddtlInf>

Presence: [0..*]

Definition: Information related to additional information to be added, deleted or updated.

ModifiedAdditionalInformation <ModfdAddtlInf> contains the following elements (see "ModificationScope35" on page 1676 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1676
	AdditionalInformation <AddtlInf>	[1..*]	±		1676

4.4.15 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

4.4.16 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

5 acmt.005.001.05 RequestForAccountManagementStatusReportV05

5.1 MessageDefinition Functionality

Scope

The RequestForAccountManagementStatusReport message is sent by an account owner, for example, an investor or its designated agent, to the account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to request the status of an AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction.

Usage

The RequestForAccountManagementStatusReport message is used to request the processing status of a previously sent AccountOpeningInstruction, GetAccountDetails or an AccountModificationInstruction message for which an AccountDetailsConfirmation message has not yet been received.

Outline

The RequestForAccountManagementStatusReportV05 MessageDefinition is composed of 2 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. RequestDetails

Identifies the account for which the status of the account management instruction is requested.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <ReqForAcctMgmtStsRpt></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		314
	RequestDetails <ReqDtls>	[1..1]		C3	314
	LinkedReference <LkdRef>	[0..1]	±	C4, C5	315
	StatusRequestType <StsReqTp>	[1..1]	CodeSet		315
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		316
	ExistingAccountIdentification <ExstgAcctId>	[0..1]	±		316
	InvestmentAccount <InvstmtAcct>	[0..1]	±		316

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 LinkedReferenceOrAccountApplicationIdentificationRule

Either LinkedReference or AccountApplicationIdentification must be present.

C4 OtherReferenceGuideline

OtherReference should be used for an account opening or an account modification instruction sent in a proprietary way or for system references.

If OtherReference is present, OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

C5 PreviousReferenceGuideline

If PreviousReference/MessageName is present, it must start with acmt.001 or acmt.003 and PreviousReference/Reference must contain the business reference of a message.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on [page 1649](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

5.4.2 RequestDetails <ReqDtls>

Presence: [1..1]

Definition: Identifies the account for which the status of the account management instruction is requested.

Impacted by: [C3 "LinkedReferenceOrAccountApplicationIdentificationRule"](#)

RequestDetails <ReqDtls> contains the following **AccountManagementMessageReference4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LinkedReference <LkdRef>	[0..1]	±	C4, C5	315
	StatusRequestType <StsReqTp>	[1..1]	CodeSet		315
	AccountApplicationIdentification <AcctAppIld>	[0..1]	Text		316
	ExistingAccountIdentification <ExstgAcctId>	[0..1]	±		316
	InvestmentAccount <InvstmtAcct>	[0..1]	±		316

Constraints

- **LinkedReferenceOrAccountApplicationIdentificationRule**

Either LinkedReference or AccountApplicationIdentification must be present.

5.4.2.1 LinkedReference <LkdRef>

Presence: [0..1]

Definition: Reference to a linked message.

Impacted by: C4 "OtherReferenceGuideline", C5 "PreviousReferenceGuideline"

LinkedReference <LkdRef> contains one of the following elements (see "LinkedMessage4Choice" on page 1946 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1946
Or}	OtherReference <OthrRef>	[1..1]	±		1947

Constraints

- **OtherReferenceGuideline**

OtherReference should be used for an account opening or an account modification instruction sent in a proprietary way or for system references.

If OtherReference is present, OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

- **PreviousReferenceGuideline**

If PreviousReference/MessageName is present, it must start with acmt.001 or acmt.003 and PreviousReference/Reference must contain the business reference of a message.

5.4.2.2 StatusRequestType <StsReqTp>

Presence: [1..1]

Definition: Type of account management instruction for which the status is requested or a request to know the status of the account.

Datatype: "AccountManagementType3Code" on page 2645

CodeName	Name	Definition
ACCM	AccountModification	Refers to an account modification instruction message.
ACCO	AccountOpening	Refers to an account opening instruction message.
GACC	GetAccountDetails	Refers to a get account details message.
ACST	AccountStatus	Refers to the account status.

5.4.2.3 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or account modification instruction at application level.

Datatype: "Max35Text" on page 2764

5.4.2.4 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..1]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see "Account23" on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

5.4.2.5 InvestmentAccount <InvstmtAcct>

Presence: [0..1]

Definition: Account information for which the status of an account management instruction is requested.

InvestmentAccount <InvstmtAcct> contains the following elements (see "InvestmentAccount53" on page 1777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1778
	AccountName <AcctNm>	[0..1]	Text		1778
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1778
	OwnerIdentification <Ownrld>	[0..1]			1779
{Or	IndividualOwnerIdentification <IndvOwnrld>	[1..1]			1779
{Or	IdentificationNumber <IdNb>	[1..1]	±		1779
Or}	PersonName <PrsnNm>	[1..1]			1780
	GivenName <GvnNm>	[0..1]	Text		1780
	MiddleName <MddlNm>	[0..1]	Text		1780
	Name <Nm>	[1..1]	Text		1780
	Gender <Gndr>	[0..1]	CodeSet		1780
	BirthDate <BirthDt>	[0..1]	Date		1781
Or}	OrganisationOwnerIdentification <OrgOwnrld>	[1..1]			1781
	Identification <Id>	[0..1]	±		1781
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1781
	AccountServicer <AcctSvcr>	[0..1]	±		1781

6 **acmt.006.001.06**

AccountManagementStatusReportV06

6.1 **MessageDefinition Functionality**

Scope

The AccountManagementStatusReport message is sent by an account servicer, for example, a registrar, transfer agent, custodian bank or securities depository to the account owner or its designated agent, for example, an investor to report on the receipt or the processing status of a previously received account management message.

Usage

The AccountManagementStatusReport message is used to provide the status of a previously received AccountOpeningInstruction, an AccountModificationInstruction or a GetAccountDetails message. It may also be used to report the status of the account.

The AccountManagementStatusReport message is also used to reject an AccountOpeningInstruction, AccountModificationInstruction or GetAccountDetails message when the message is not compliant with the agreed SLA or when the account cannot be uniquely identified.

Outline

The AccountManagementStatusReportV06 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RelatedReference

Reference to a linked message that was previously received.

C. StatusReport

Status report details of the account management instruction that was previously received.

D. MarketPracticeVersion

Identifies the market practice to which the message conforms.

E. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <AcctMgmtStsRpt></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		320
	RelatedReference <RltdRef>	[1..2]	±		320
	StatusReport <StsRpt>	[1..1]			321
	Status <Sts>	[1..1]	±		321
	StatusReason <StsRsn>	[0..*]	±		321
	AccountApplicationIdentification <AcctApplId>	[0..1]	Text		322
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		322
	AccountIdentification <AcctId>	[0..1]	Text		322
	AccountStatus <AcctSts>	[0..1]	±	C2, C3, C4, C5, C6, C7, C9, C10, C12	322
	BlockedStatus <BlckdSts>	[0..1]	±		325
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		326
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		326
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		326
	Extension <Xtnsn>	[0..*]	±	C11	327

6.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 ClosedStatus1Rule

If Closed is present then Enabled is not allowed.

C3 ClosedStatus2Rule

If Closed is present then Disabled is not allowed.

C4 ClosedStatus3Rule

If Closed is present then Pending is not allowed.

C5 ClosedStatus4Rule

If Closed is present then PendingOpening is not allowed.

C6 ClosedStatus5Rule

If Closed is present then Proforma is not allowed.

C7 ClosedStatus6Rule

If Closed is present then ClosurePending is not allowed.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 EnabledDisabledStatus1Rule

If Enabled is present, then Disabled is not allowed.

C10 EnabledDisabledStatus2Rule

If Disabled is present, then Enabled is not allowed.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 StatusElementRule

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

6.4.2 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference6](#)" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

6.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status report details of the account management instruction that was previously received.

StatusReport <StsRpt> contains the following **AccountManagementStatusAndReason5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		321
	StatusReason <StsRsn>	[0..*]	±		321
	AccountApplicationIdentification <AcctAppIld>	[0..1]	Text		322
	ExistingAccountIdentification <ExstgAcctId>	[0..*]	±		322
	AccountIdentification <AcctId>	[0..1]	Text		322
	AccountStatus <AcctSts>	[0..1]	±	C2, C3, C4, C5, C6, C7, C9, C10, C12	322
	BlockedStatus <BlckdSts>	[0..1]	±		325
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		326
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		326

6.4.3.1 Status <Sts>

Presence: [1..1]

Definition: Status of the account management instruction that was previously received.

Status <Sts> contains one of the following elements (see "[Status25Choice](#)" on page 2462 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2462
Or}	Rejected <Rjctd>	[1..10]	±		2462

6.4.3.2 StatusReason <StsRsn>

Presence: [0..*]

Definition: Reason for the status of the account management instruction.

StatusReason <StsRsn> contains one of the following elements (see ["AcceptedStatusReason1Choice"](#) on page 2491 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2491
Or}	Proprietary <Prtry>	[1..1]	±		2492

6.4.3.3 AccountApplicationIdentification <AcctApplId>

Presence: [0..1]

Definition: Unique and unambiguous identifier of the account opening or modification instruction at application level.

Datatype: ["Max35Text"](#) on page 2764

6.4.3.4 ExistingAccountIdentification <ExstgAcctId>

Presence: [0..*]

Definition: Account to which the account opening is related.

ExistingAccountIdentification <ExstgAcctId> contains the following elements (see ["Account23"](#) on page 1233 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

6.4.3.5 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: ["Max35Text"](#) on page 2764

6.4.3.6 AccountStatus <AcctSts>

Presence: [0..1]

Definition: Status of the account.

Impacted by: [C2 "ClosedStatus1Rule"](#), [C3 "ClosedStatus2Rule"](#), [C4 "ClosedStatus3Rule"](#), [C5 "ClosedStatus4Rule"](#), [C6 "ClosedStatus5Rule"](#), [C7 "ClosedStatus6Rule"](#), [C9 "EnabledDisabledStatus1Rule"](#), [C10 "EnabledDisabledStatus2Rule"](#), [C12 "StatusElementRule"](#)

AccountStatus <AcctSts> contains the following elements (see "AccountStatus2" on page 2496 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]			2499
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2500
Or}	Reason <Rsn>	[1..*]			2500
	Code <Cd>	[1..1]			2500
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500
	AdditionalInformation <AddtlInf>	[0..1]	Text		2501
	Disabled <Dsbl>	[0..1]			2501
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2501
Or}	Reason <Rsn>	[1..*]			2501
	Code <Cd>	[1..1]			2502
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502
	AdditionalInformation <AddtlInf>	[0..1]	Text		2503
	Pending <Pdg>	[0..1]	±		2503
	PendingOpening <PdgOpng>	[0..1]			2503
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2503
Or}	Reason <Rsn>	[1..*]			2504
	Code <Cd>	[1..1]			2504
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505
	AdditionalInformation <AddtlInf>	[0..1]	Text		2505
	Proforma <Profrm>	[0..1]			2505
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2505
Or}	Reason <Rsn>	[1..*]			2506
	Code <Cd>	[1..1]			2506
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506
	AdditionalInformation <AddtlInf>	[0..1]	Text		2507
	Closed <Clsd>	[0..1]			2507

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2507
Or}	Reason <Rsn>	[1..*]			2507
	Code <Cd>	[1..1]			2508
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508
	AdditionalInformation <AddtlInf>	[0..1]	Text		2509
	ClosurePending <ClsrPdg>	[0..1]			2509
{Or	NoSpecifiedReason <NoSpcdfRsn>	[1..1]	CodeSet		2509
Or}	Reason <Rsn>	[1..*]			2509
	Code <Cd>	[1..1]			2510
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510
	AdditionalInformation <AddtlInf>	[0..1]	Text		2510
	Other <Othr>	[0..*]			2510
	Status <Sts>	[1..1]	±		2511
	Reason <Rsn>	[0..1]	±		2511

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /PendingOpening Must be absent

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /Proforma Must be absent

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

On Condition
 /Closed is absent
 Following Must be True
 /ClosurePending Must be absent

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

On Condition
 /Enabled is present
 Following Must be True
 /Disabled Must be absent

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

On Condition
 /Disabled is present
 Following Must be True
 /Enabled Must be absent

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

6.4.3.7 BlockedStatus <BlckdSts>

Presence: [0..1]

Definition: Specifies the account is blocked and other factors for the blocked account.

BlockedStatus <BlckdSts> contains one of the following elements (see "BlockedStatusReason2Choice" on page 2524 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2525
Or}	Reason <Rsn>	[1..*]			2525
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

6.4.3.8 FATCARreportingDate <FATCARptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2749

6.4.3.9 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2749

6.4.4 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

6.4.5 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

7 **camt.040.001.04**

FundEstimatedCashForecastReportV04

7.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundEstimatedCashForecastReport is used to report estimated cash movements, that is, it is sent prior to the cut-off time and/or the price valuation of the fund.

The message contains incoming and outgoing cash flows that are estimated, that is, the price has not been applied. If the price is definitive, then the FundConfirmedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund (FundOrSubFundDetails sequence is used),
- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or more EstimatedFundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more EstimatedFundCashForecastDetails sequences are used).
- to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes (two or more FundOrSubFundDetails sequences and two or more EstimatedFundCashForecastDetails sequences and used); however, it should be noted that, in this usage, there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and the use of this kind of combination should be bilaterally agreed.

This message allows the report provider to report estimated cash movements in or out of a fund, but does not allow the Sender to categorise these movements, for example by country, or to give details of the underlying orders, commission or charges. If the report provider wishes to give detailed information related to estimated cash movements, then the FundDetailedEstimatedCashForecastReport message must be used.

Outline

The FundEstimatedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. FundOrSubFundDetails
Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.
- G. EstimatedFundCashForecastDetails
Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.
- H. ConsolidatedNetCashForecast
Estimated net cash as a result of the cash-in and cash-out flows.
- I. Extension
Additional information that can not be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndEstmtdCshFcstRpt>	[1..1]		C7, C16, C17, C18, C19	
	MessageIdentification <MsgId>	[1..1]	±		333
	PoolReference <PoolRef>	[0..1]	±		333
	PreviousReference <PrvsRef>	[0..*]	±		334
	RelatedReference <RltdRef>	[0..*]	±		334
	MessagePagination <MsgPgntn>	[1..1]	±		334
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	334
	EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>	[0..*]		C10	336
	Identification <Id>	[1..1]	Text		337
	TradeDateTime <TradDtTm>	[1..1]	±		338
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		338
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	338
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C2, C9	339
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C2, C9	339
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		339
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		340
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		340
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C2	340
	CurrencyStatus <CcySts>	[0..1]	±		340
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		341
	Price <Pric>	[0..1]	±		341
	ForeignExchangeRate <FXRate>	[0..1]	±		341
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClsTtlNAV>	[0..1]	Rate		342
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]	±	C3	342
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]	±	C4	342
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C5	343
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		344

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Extension <Xtnsn>	[0..*]	±	C11	344

7.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or EstimatedFundCashForecastDetails must be present. Both FundOrSubFundDetails and EstimatedFundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /EstimatedFundCashForecastDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlowIndicator is "true" or "1" (Yes), then either EstimatedCashInForecastDetails/ExceptionalCashFlowIndicator or EstimatedCashOutForecastDetails/ExceptionalCashFlowIndicator must be present. Both may be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more EstimatedFundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C17 PreviousTradeDateRule

If any occurrence of EstimatedFundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousDateTime is not allowed.

On Condition

/EstimatedFundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/PreviousDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C18 TradeDate1Rule

If any occurrence of EstimatedFundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

On Condition

/EstimatedFundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C19 TradeDate2Rule

If EstimatedFundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

On Condition

/EstimatedFundCashForecastDetails[*] is absent

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be present

This constraint is defined at the MessageDefinition level.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

7.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

7.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

7.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

7.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

7.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C13 "FundIdentification1Rule"](#), [C14 "FundIdentification2Rule"](#), [C15 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund1" on page 1791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1793
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1793
	Identification <Id>	[0..1]			1793
	Identification <Id>	[1..1]	Text		1794
	Type <Tp>	[1..1]			1794
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1794
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1794
	Currency <Ccy>	[0..1]	CodeSet	C3	1794
	TradeDateTime <TradDtTm>	[0..1]	±		1795
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1795
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1795
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1795
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1796
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1796
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1796
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]			1796
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1797
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]			1797
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1798
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]			1798
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1798
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1798
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1799
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1799

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
    /Identification is absent
Following Must be True
    /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
    /Name is absent
Following Must be True
    /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
    /LegalEntityIdentifier is absent
Following Must be True
    /Identification Must be present
Or    /Name Must be present
```

7.4.7 EstimatedFundCashForecastDetails

<EstmtdFndCshFcstDtls>

Presence: [0..*]

Definition: Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls> contains the following
EstimatedFundCashForecast6 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		337
	TradeDateTime <TradDtTm>	[1..1]	±		338
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		338
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	338
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C2, C9	339
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C2, C9	339
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		339
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		340
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		340
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C2	340
	CurrencyStatus <CcySts>	[0..1]	±		340
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		341
	Price <Pric>	[0..1]	±		341
	ForeignExchangeRate <FXRate>	[0..1]	±		341
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>	[0..1]	Rate		342
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]	±	C3	342
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]	±	C4	342
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C5	343

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlowIndicator is "true" or "1" (Yes), then either EstimatedCashInForecastDetails/ExceptionalCashFlowIndicator or EstimatedCashOutForecastDetails/ExceptionalCashFlowIndicator must be present. Both may be present.

7.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

7.4.7.2 TradeDateTime <TradDtTm>*Presence:* [1..1]*Definition:* Date and, if required, the time, at which the price will be applied.**TradeDateTime <TradDtTm>** contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

7.4.7.3 PreviousTradeDateTime <PrvsTradDtTm>*Presence:* [0..1]*Definition:* Previous date and time at which the price was applied.**PreviousTradeDateTime <PrvsTradDtTm>** contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

7.4.7.4 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Investment fund class to which the cash flow is related.*Impacted by:* [C12 "FinancialInstrumentIdentificationGuideline"](#)**FinancialInstrumentDetails <FinInstrmDtls>** contains the following elements (see "[FinancialInstrument9](#)" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1606
	ClassType <Clsstyp>	[0..1]	Text		1607
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

7.4.7.5 EstimatedTotalNAV <EstmtdTtlNAV>

Presence: [0..*]

Definition: Estimated total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C2 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.7.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C2 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.4.7.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of investment fund class units that have been issued.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

7.4.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

7.4.7.9 EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "PercentageRate" on page 2762

7.4.7.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.4.7.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "CurrencyDesignation1" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

7.4.7.12 ExceptionalNetCashFlowIndicator <XcptnNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the estimated net cash flow is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.4.7.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the previous trade date.

Price <Pric> contains the following elements (see "UnitPrice19" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

7.4.7.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "ForeignExchangeTerms19" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

7.4.7.15 EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClsstlNAV>

Presence: [0..1]

Definition: Estimated net cash flow expressed as a percentage of the previous total NAV for the share class.

Datatype: "PercentageRate" on page 2762

7.4.7.16 EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>

Presence: [0..*]

Definition: Estimated cash movements into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C3 "AdditionalBalanceRule"

EstimatedCashInForecastDetails <EstmtdCshInFcstDtls> contains the following elements (see "CashInForecast6" on page 1314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1314
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1315

Constraints

- AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

7.4.7.17 EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>

Presence: [0..*]

Definition: Estimated cash movements out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C4 "AdditionalBalanceRule"

EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls> contains the following elements (see "CashOutForecast6" on page 1345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1346
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1346
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1347
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1347
	AdditionalBalance <AddtlBal>	[0..1]	±		1347

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

7.4.7.18 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

Impacted by: C5 "AdditionalBalanceRule"

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

7.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

7.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

8 **camt.041.001.04**

FundConfirmedCashForecastReportV04

8.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundConfirmedCashForecastReport is used to report definitive cash movements, that is it is sent after the cut-off time and/or the price valuation of the fund.

This message contains incoming and outgoing cash flows that are confirmed, that is, the price has been applied. If the price is not yet definitive, then the FundEstimatedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund (a FundOrSubFundDetails sequence is used),
- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (FundOrSubFundDetails sequence and one or more FundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more FundCashForecastDetails sequences are used).
- to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences are used); however, it should be noted that, in this usage, there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and the use of this kind of combination should be bilaterally agreed.

This message allows the report provider to report cash movements in or out of a fund, but does not allow the Sender to categorise these movements, for example by country, or to give details of the underlying orders, commission or charges.

If the report provider wishes to give detailed information related to cash movements, then the FundDetailedConfirmedCashForecastReport message must be used.

Outline

The FundConfirmedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Identifies the message.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. FundCashForecastDetails

Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

H. ConsolidatedNetCashForecast

Estimated net cash as a result of the cash-in and cash-out flows.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <FndConfdCshFcstRpt></i>	[1..1]		C7, C16, C17, C18, C19	
	MessageIdentification <MsgId>	[1..1]	±		350
	PoolReference <PoolRef>	[0..1]	±		350
	PreviousReference <PrvsRef>	[0..*]	±		351
	RelatedReference <RltdRef>	[0..*]	±		351
	MessagePagination <MsgPgntn>	[1..1]	±		351
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	351
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]		C10	353
	Identification <Id>	[1..1]	Text		354
	TradeDateTime <TradDtTm>	[1..1]	±		354
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		355
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	355
	TotalNAV <TtlNAV>	[0..*]	Amount	C2, C9	356
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C2, C9	356
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		356
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		357
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		357
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C2	357
	CurrencyStatus <CcySts>	[0..1]	±		357
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		358
	Price <Pric>	[0..1]	±		358
	ForeignExchangeRate <FXRate>	[0..1]	±		358
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		359
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	359
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	359
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C5	360
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		361

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Extension <Xtnsn>	[0..*]	±	C11	361

8.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /FundCashForecastDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

This constraint is defined at the MessageDefinition level.

C17 PreviousTradeDateRule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C18 TradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

On Condition

/FundCashForecastDetails[*] is present

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be absent

This constraint is defined at the MessageDefinition level.

C19 TradeDate2Rule

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

On Condition

/FundCashForecastDetails[*] is absent

Following Must be True

/FundOrSubFundDetails[*]/TradeDateTime Must be present

This constraint is defined at the MessageDefinition level.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

8.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

8.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

8.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

8.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

8.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C13 "FundIdentification1Rule"](#), [C14 "FundIdentification2Rule"](#), [C15 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund2" on page 1783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1785
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1785
	Identification <Id>	[0..1]			1785
	Identification <Id>	[1..1]	Text		1785
	Type <Tp>	[1..1]			1786
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1786
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1786
	Currency <Ccy>	[0..1]	CodeSet	C3	1786
	TradeDateTime <TradDtTm>	[0..1]	±		1786
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1787
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1787
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1787
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1788
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1788
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1788
	CashInForecastDetails <CshInFcstDtls>	[0..*]			1788
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1789
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]			1789
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1790
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1790
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1790
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1791
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1791

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present

```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent

```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present

```

8.4.7 FundCashForecastDetails <FndCshFcstDtls>

Presence: [0..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		354
	TradeDateTime <TradDtTm>	[1..1]	±		354
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		355
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	355
	TotalNAV <TtlNAV>	[0..*]	Amount	C2, C9	356
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C2, C9	356
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		356
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		357
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		357
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C2	357
	CurrencyStatus <CcySts>	[0..1]	±		357
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		358
	Price <Pric>	[0..1]	±		358
	ForeignExchangeRate <FXRate>	[0..1]	±		358
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		359
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	359
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	359
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C5	360

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

8.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

8.4.7.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

8.4.7.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

8.4.7.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which a cash flow is related.

Impacted by: C12 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1606
	ClassType <ClsTp>	[0..1]	Text		1607
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

8.4.7.5 TotalNAV <TtlNAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C2 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.7.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C2 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

8.4.7.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

8.4.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

8.4.7.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "[PercentageRate](#)" on page 2762

8.4.7.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

8.4.7.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "CurrencyDesignation1" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

8.4.7.12 ExceptionalNetCashFlowIndicator <XcptnNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

8.4.7.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "UnitPrice19" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

8.4.7.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "ForeignExchangeTerms19" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

8.4.7.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttlnav>*Presence:* [0..1]*Definition:* Net cash flow expressed as a percentage of the total NAV for the share class.*Datatype:* "PercentageRate" on page 2762**8.4.7.16 CashInForecastDetails <CshInFcstDtls>***Presence:* [0..*]*Definition:* Cash movements into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.*Impacted by:* C3 "AdditionalBalanceRule"**CashInForecastDetails <CshInFcstDtls>** contains the following elements (see "CashInForecast6" on page 1314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1314
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1315

Constraints

- AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

8.4.7.17 CashOutForecastDetails <CshOutFcstDtls>*Presence:* [0..*]*Definition:* Cash movements out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.*Impacted by:* C4 "AdditionalBalanceRule"

CashOutForecastDetails <CshOutFcstDtls> contains the following elements (see "CashOutForecast6" on page 1345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1346
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1346
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1347
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1347
	AdditionalBalance <AddtlBal>	[0..1]	±		1347

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

8.4.7.18 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

Impacted by: C5 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

8.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

8.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

9 **camt.042.001.04**

FundDetailedEstimatedCashForecastReportV04

9.1 **MessageDefinition Functionality**

Scope

A report provider, such as a transfer agent, sends the FundDetailedEstimatedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the estimated cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundDetailedEstimatedCashForecastReport is used to provide estimated cash movements, that is, it is sent prior to the cut-off time and/or the price valuation of the fund. The message contains incoming and outgoing cash flows that are estimated, that is, the price has not been applied. If the price is definitive, then the FundDetailedConfirmedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or more EstimatedFundCashForecastDetails sequences are used),
- to provide cash in and cash out amounts for one or more share classes (one or more EstimatedFundCashForecastDetails sequences are used).

If the report is to provide estimated cash in and cash out for a fund/sub fund only and not for one or more share classes, then the FundEstimatedCashForecastReport message must be used.

The FundDetailedEstimatedCashForecastReport message is used to report cash movements in or out of a fund, organised by party, such as fund management company, country, currency or by some other criteria defined by the report provider. If the report is used to give the cash-in and cash-out for a party, then additional criteria, such as currency and country, can be specified.

In addition, the underlying transaction type for the cash-in or cash-out movement can be specified, as well as information about the cash movement's underlying orders, such as commission and charges.

Outline

The FundDetailedEstimatedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. EstimatedFundCashForecastDetails

Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

H. ConsolidatedNetCashForecast

Estimated net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

I. Extension

Additional information that can not be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtlEstmtdCshFcstRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		367
	PoolReference <PoolRef>	[0..1]	±		367
	PreviousReference <PrvsRef>	[0..*]	±		367
	RelatedReference <RltdRef>	[0..*]	±		367
	MessagePagination <MsgPgntn>	[1..1]	±		368
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	368
	EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>	[1..*]		C11	370
	Identification <Id>	[1..1]	Text		371
	TradeDateTime <TradDtTm>	[1..1]	±		371
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		371
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	372
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C4, C10	372
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	373
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		373
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		373
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		374
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	374
	CurrencyStatus <CcySts>	[0..1]	±		374
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		374
	Price <Pric>	[0..1]	±		374
	ForeignExchangeRate <FXRate>	[0..1]	±		375
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClsTtlNAV>	[0..1]	Rate		375
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		375
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		376
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		377
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	378
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	379

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		380
	Extension <Xtnsn>	[0..*]	±	C12	380

9.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

9.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

9.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

9.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

9.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

9.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C14 "FundIdentification1Rule"](#), [C15 "FundIdentification2Rule"](#), [C16 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund3" on page 1804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1805
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1805
	Identification <Id>	[0..1]			1805
	Identification <Id>	[1..1]	Text		1805
	Type <Tp>	[1..1]			1805
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1805
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1806
	Currency <Ccy>	[0..1]	CodeSet	C3	1806
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1806
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1807
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1807
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1807
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1807

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
  Or    /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
  Or    /LegalEntityIdentifier Must be absent
```

• FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
```

/Identification Must be present
Or /Name Must be present

9.4.7 EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the estimated cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

EstimatedFundCashForecastDetails <EstmtdFndCshFcstDtls> contains the following
EstimatedFundCashForecast5 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		371
	TradeDateTime <TradDtTm>	[1..1]	±		371
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		371
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	372
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..*]	Amount	C4, C10	372
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	373
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		373
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		373
	EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>	[0..1]	Rate		374
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	374
	CurrencyStatus <CcySts>	[0..1]	±		374
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		374
	Price <Pric>	[0..1]	±		374
	ForeignExchangeRate <FXRate>	[0..1]	±		375
	EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>	[0..1]	Rate		375
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		375
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		376
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		377
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	378
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]	±	C7	379

Constraints

- **ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

9.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

9.4.7.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

9.4.7.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

9.4.7.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1606
	ClassType <ClsTp>	[0..1]	Text		1607
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

9.4.7.5 EstimatedTotalNAV <EstmtdTtINAV>

Presence: [0..*]

Definition: Estimated total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.7.6 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

9.4.7.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of investment fund class units that have been issued.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

9.4.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

9.4.7.9 EstimatedTotalNAVChangeRate <EstmtdTtlNAVChngRate>*Presence:* [0..1]*Definition:* Rate of change of the net asset value.*Datatype:* "PercentageRate" on page 2762**9.4.7.10 InvestmentCurrency <InvstmtCcy>***Presence:* [0..*]*Definition:* Currency of the investment fund class.*Impacted by:* C4 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2648**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

9.4.7.11 CurrencyStatus <CcySts>*Presence:* [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "CurrencyDesignation1" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddttlInf>	[0..1]	Text		1943

9.4.7.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>*Presence:* [1..1]*Definition:* Indicates whether the estimated net cash flow is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

9.4.7.13 Price <Pric>*Presence:* [0..1]*Definition:* Price per unit of the previous trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

9.4.7.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

9.4.7.15 EstimatedPercentageOfShareClassTotalNAV <EstmtdPctgOfShrClssTtlNAV>

Presence: [0..1]

Definition: Estimated net cash flow expressed as a percentage of the previous total NAV for the share class.

Datatype: "[PercentageRate](#)" on page 2762

9.4.7.16 BreakdownByParty <BrkdwnByPty>

Presence: [0..*]

Definition: Estimated cash flow by party.

BreakdownByParty <BrkdwnByPty> contains the following elements (see "BreakdownByParty3" on page 1998 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1999
	AccountIdentification <AcctId>	[0..1]	±		2000
	OwnerIdentification <OwnrId>	[0..1]	±		2000
	AccountServicer <AcctSvcr>	[0..1]	±		2000
	AdditionalParameters <AddtlParams>	[0..1]	±		2001
	CashInForecast <CshInFcst>	[0..*]		C5	2001
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2002
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2002
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2002
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2002
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		2003
	AdditionalBalance <AddtlBal>	[0..1]	±		2003
	CashOutForecast <CshOutFcst>	[0..*]		C6	2003
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2004
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2004
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2005
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2005
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		2005
	AdditionalBalance <AddtlBal>	[0..1]	±		2006
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	2006

9.4.7.17 BreakdownByCountry <BrkdwnByCtry>

Presence: [0..*]

Definition: Estimated cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1992
	CashInForecast <CshInFcst>	[0..*]		C5	1992
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1993
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1993
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1994
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1994
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1994
	AdditionalBalance <AddtlBal>	[0..1]	±		1994
	CashOutForecast <CshOutFcst>	[0..*]		C6	1995
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1996
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1996
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1996
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1996
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1996
	AdditionalBalance <AddtlBal>	[0..1]	±		1997
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1997

9.4.7.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Estimated cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1985
	CashOutForecast <CshOutFcst>	[0..*]		C6	1985
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1986
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1986
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1987
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1987
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1987
	AdditionalBalance <AddtlBal>	[0..1]	±		1987
	CashInForecast <CshInFcst>	[0..*]		C5	1988
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1989
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1989
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1989
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1989
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1989
	AdditionalBalance <AddtlBal>	[0..1]	±		1990
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1990

9.4.7.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Estimated cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1976
	AccountIdentification <AcctId>	[0..1]	±		1977
	OwnerIdentification <OwnrId>	[0..1]	±		1977
	AccountServicer <AcctSvcr>	[0..1]	±		1978
	Country <Ctry>	[0..1]	CodeSet	C11	1978
	Currency <Ccy>	[0..1]	CodeSet	C3	1978
	UserDefined <UsrDfnd>	[0..1]	±		1978
	CashInForecast <CshInFcst>	[0..*]		C5	1979
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1979
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1979
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1980
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1980
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1980
	AdditionalBalance <AddtlBal>	[0..1]	±		1981
	CashOutForecast <CshOutFcst>	[0..*]		C6	1981
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1982
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1982
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1983
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1983
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1983
	AdditionalBalance <AddtlBal>	[0..1]	±		1983
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1984

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

9.4.7.20 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>

Presence: [0..*]

Definition: Estimated net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

9.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

9.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

10 camt.043.001.04 FundDetailedConfirmedCashForecastReport V04

10.1 MessageDefinition Functionality

Scope

A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReport message to the report user, such as an investment manager or pricing agent, to report the confirmed cash incomings and outgoings, sorted by country, institution name or other criteria defined by the user of one or more share classes of an investment fund on one or more trade dates.

The cash movements may result from, for example, redemption, subscription, switch transactions or reinvestment of dividends.

Usage

The FundDetailedConfirmedCashForecastReport is used to provide definitive cash movements, that is, it is sent after the cut-off time and/or the price valuation of the fund.

If the price is not yet definitive, then the FundDetailedEstimatedCashForecastReport message must be used.

The message structure allows for the following uses:

- to provide cash in and cash out amounts for a fund/sub fund and one or more share classes (a FundOrSubFundDetails sequence and one or FundCashForecastDetails sequences are used),

- to provide cash in and cash out amounts for one or more share classes (one or more FundCashForecastDetails sequences are used).

If the report is to provide cash in and cash out for a fund/sub fund only and not for one or more share classes, then the FundConfirmedCashForecastReport message must be used.

The FundDetailedConfirmedCashForecastReport message is used to report cash movements in or out of a fund, organised by party, such as fund management company, country, currency or by some other criteria defined by the report provider. If the report is used to given the cash-in and cash-out for a party, then additional criteria, such as currency and country, can be specified.

In addition, the underlying transaction type for the cash-in or cash-out movement can be specified, as well as information about the cash movement's underlying orders, such as commission and charges.

Outline

The FundDetailedConfirmedCashForecastReportV04 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. FundOrSubFundDetails

Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

G. FundCashForecastDetails

Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

H. ConsolidatedNetCashForecast

Net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtlDConfdCshFcstRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		387
	PoolReference <PoolRef>	[0..1]	±		387
	PreviousReference <PrvsRef>	[0..*]	±		387
	RelatedReference <RltdRef>	[0..*]	±		387
	MessagePagination <MsgPgntn>	[1..1]	±		388
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	388
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	390
	Identification <Id>	[1..1]	Text		391
	TradeDateTime <TradDtTm>	[1..1]	±		391
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		391
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	391
	TotalNAV <TtlNAV>	[0..*]	Amount	C4, C10	392
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	392
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		393
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		393
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		393
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	393
	CurrencyStatus <CcySts>	[0..1]	±		394
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		394
	Price <Pric>	[0..1]	±		394
	ForeignExchangeRate <FXRate>	[0..1]	±		395
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		395
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		395
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		396
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		397
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	398
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	399

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		400
	Extension <Xtnsn>	[0..*]	±	C12	400

10.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

10.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

10.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

10.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

10.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

10.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

10.4.6 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: [C14 "FundIdentification1Rule"](#), [C15 "FundIdentification2Rule"](#), [C16 "FundIdentification3Rule"](#)

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund4" on page 1799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1801
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1801
	Identification <Id>	[0..1]			1801
	Identification <Id>	[1..1]	Text		1801
	Type <Tp>	[1..1]			1801
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1801
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1802
	Currency <Ccy>	[0..1]	CodeSet	C3	1802
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1802
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1803
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1803
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1803
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1803

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or
  /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or
  /LegalEntityIdentifier Must be absent
```

• FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or
  /Name Must be present
```

10.4.7 FundCashForecastDetails <FndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		391
	TradeDateTime <TradDtTm>	[1..1]	±		391
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		391
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	391
	TotalNAV <TtlNAV>	[0..*]	Amount	C4, C10	392
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C4, C10	392
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		393
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		393
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		393
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C4	393
	CurrencyStatus <CcySts>	[0..1]	±		394
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		394
	Price <Pric>	[0..1]	±		394
	ForeignExchangeRate <FXRate>	[0..1]	±		395
	PercentageOfShareClassTotalNAV <PctgOfShrClssTtlNAV>	[0..1]	Rate		395
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		395
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		396
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		397
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	398
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	399

Constraints

- **ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

10.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

10.4.7.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

10.4.7.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

10.4.7.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C3	1606
	ClassType <ClsTp>	[0..1]	Text		1607
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

10.4.7.5 TotalNAV <TtlnAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4.7.6 PreviousTotalNAV <PrvsTtlnAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

10.4.7.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

10.4.7.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

10.4.7.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "PercentageRate" on page 2762

10.4.7.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

10.4.7.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "CurrencyDesignation1" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

10.4.7.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

10.4.7.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "UnitPrice19" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

10.4.7.14 ForeignExchangeRate <FXRate>*Presence:* [0..1]*Definition:* Foreign exchange rate.**ForeignExchangeRate <FXRate>** contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

10.4.7.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttINAV>*Presence:* [0..1]*Definition:* Net cash flow expressed as a percentage of the total NAV for the share class.*Datatype:* "[PercentageRate](#)" on page 2762**10.4.7.16 BreakdownByParty <BrkdwnByPty>***Presence:* [0..*]*Definition:* Cash flow by party.

BreakdownByParty <BrkdwByPty> contains the following elements (see "BreakdownByParty3" on page 1998 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1999
	AccountIdentification <AcctId>	[0..1]	±		2000
	OwnerIdentification <OwnrId>	[0..1]	±		2000
	AccountServicer <AcctSvcr>	[0..1]	±		2000
	AdditionalParameters <AddtlParams>	[0..1]	±		2001
	CashInForecast <CshInFcst>	[0..*]		C5	2001
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2002
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2002
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2002
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2002
	CashInBreakdownDetails <CshInBrkdwDtls>	[0..*]	±		2003
	AdditionalBalance <AddtlBal>	[0..1]	±		2003
	CashOutForecast <CshOutFcst>	[0..*]		C6	2003
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2004
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2004
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2005
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2005
	CashOutBreakdownDetails <CshOutBrkdwDtls>	[0..*]	±		2005
	AdditionalBalance <AddtlBal>	[0..1]	±		2006
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	2006

10.4.7.17 BreakdownByCountry <BrkdwByCtry>

Presence: [0..*]

Definition: Cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1992
	CashInForecast <CshInFcst>	[0..*]		C5	1992
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1993
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1993
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1994
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1994
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1994
	AdditionalBalance <AddtlBal>	[0..1]	±		1994
	CashOutForecast <CshOutFcst>	[0..*]		C6	1995
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1996
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1996
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1996
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1996
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1996
	AdditionalBalance <AddtlBal>	[0..1]	±		1997
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1997

10.4.7.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1985
	CashOutForecast <CshOutFcst>	[0..*]		C6	1985
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1986
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1986
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1987
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1987
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1987
	AdditionalBalance <AddtlBal>	[0..1]	±		1987
	CashInForecast <CshInFcst>	[0..*]		C5	1988
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1989
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1989
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1989
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1989
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1989
	AdditionalBalance <AddtlBal>	[0..1]	±		1990
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1990

10.4.7.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1976
	AccountIdentification <AcctId>	[0..1]	±		1977
	OwnerIdentification <OwnrId>	[0..1]	±		1977
	AccountServicer <AcctSvcr>	[0..1]	±		1978
	Country <Ctry>	[0..1]	CodeSet	C11	1978
	Currency <Ccy>	[0..1]	CodeSet	C3	1978
	UserDefined <UsrDfnd>	[0..1]	±		1978
	CashInForecast <CshInFcst>	[0..*]		C5	1979
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1979
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1979
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1980
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1980
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1980
	AdditionalBalance <AddtlBal>	[0..1]	±		1981
	CashOutForecast <CshOutFcst>	[0..*]		C6	1981
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1982
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1982
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1983
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1983
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1983
	AdditionalBalance <AddtlBal>	[0..1]	±		1983
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1984

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

10.4.7.20 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

10.4.8 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Net cash as a result of the cash-in and cash-out flows specified in the fund cash forecast details.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

10.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

11 camt.044.001.03 FundConfirmedCashForecastReportCancellationV03

11.1 MessageDefinition Functionality

Scope

A report provider, such as a transfer agent, sends the FundConfirmedCashForecastReportCancellation message to the report user, such as an investment manager or pricing agent, to cancel a previously sent FundConfirmedCashForecastReport message.

Usage

The FundConfirmedCashForecastReportCancellation message is used to cancel an entire FundConfirmedCashForecastReport message that was previously sent by the report provider. This message must contain reference to the of the message being cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The FundConfirmedCashForecastReportCancellationV03 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. CashForecastReportToBeCancelled
The FundDetailedConfirmedCashForecastReport to be cancelled.

11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndConfdCshFcstRptCxl>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		405
	PoolReference <PoolRef>	[0..1]	±		405
	PreviousReference <PrvsRef>	[0..1]	±		405
	RelatedReference <RltdRef>	[0..*]	±		406
	MessagePagination <MsgPgntn>	[1..1]	±		406
	CashForecastReportToBeCancelled <CshFcstRptToBeCanc>	[0..1]	±	C7, C16, C17, C18, C19	406

11.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C4 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C7 ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 ExceptionalCashFlowIndicatorRule

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C14 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

C16 FundOrSubFundAndShareClassGuideline

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

C17 PreviousTradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

C18 TradeDate1Rule

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

C19 TradeDate2Rule

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

11.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

11.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

11.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

11.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

11.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

11.4.6 CashForecastReportToBeCancelled <CshFcstRptToBeCanc>

Presence: [0..1]

Definition: The FundDetailedConfirmedCashForecastReport to be cancelled.

Impacted by: C7 "ConsolidatedNetCashRule", C16 "FundOrSubFundAndShareClassGuideline", C17 "PreviousTradeDate1Rule", C18 "TradeDate1Rule", C19 "TradeDate2Rule"

CashForecastReportToBeCancelled <CshFcstRptToBeCanc> contains the following elements (see "FundConfirmedCashForecastReport3" on page 1330 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	1332
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]		C10	1334
	Identification <Id>	[1..1]	Text		1335
	TradeDateTime <TradDtTm>	[1..1]	±		1335
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1336
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1336
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1337
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1337
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1337
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1338
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1338
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1338
	CurrencyStatus <CcySts>	[0..1]	±		1338
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1339
	Price <Pric>	[0..1]	±		1339
	ForeignExchangeRate <FXRate>	[0..1]	±		1339
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1339
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1340
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1340
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1341
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1342
	Extension <Xtnsn>	[0..*]	±	C16	1342

Constraints

- **ConsolidatedNetCashRule**

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

```

    /FundOrSubFundDetails[*] Must be present
Or    /FundCashForecastDetails[*] Must be present

```

- **FundOrSubFundAndShareClassGuideline**

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

- **PreviousTradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

```

On Condition
    /FundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent

```

- **TradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

```

On Condition
    /FundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be absent

```

- **TradeDate2Rule**

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

```

On Condition
    /FundCashForecastDetails[*] is absent
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be present

```

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12.1 MessageDefinition Functionality

Scope

A report provider, such as a transfer agent, sends the FundDetailedConfirmedCashForecastReportCancellation messages to the report user, such as an investment manager, fund accountant or any other interested party, to cancel a previously sent FundDetailedConfirmedCashForecastReport.

Usage

The FundDetailedConfirmedCashForecastReportCancellation message is used to cancel an entire FundDetailedConfirmedCashForecastReport message that was previously sent. This message must contain the reference of the message to be cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The FundDetailedConfirmedCashForecastReportCancellationV03 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Identifies the message.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MessagePagination
Pagination of the message.
- F. CashForecastReportToBeCancelled
The FundDetailedConfirmedCashForecastReport to be cancelled.

12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndDtldConfdCshFcstRptCxl>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		412
	PoolReference <PoolRef>	[0..1]	±		412
	PreviousReference <PrvsRef>	[0..1]	±		412
	RelatedReference <RltdRef>	[0..*]	±		413
	MessagePagination <MsgPgntn>	[1..1]	±		413
	CashForecastReportToBeCancelled <CshFcstRptToBeCanc>	[0..1]	±		413

12.3 Constraints

C1 AccountOrOwner1Rule

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

C2 AccountOrOwner2Rule

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C6 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C7 AdditionalBalanceRule

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

C8 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExceptionalCashFlowIndicatorRule

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C14 FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

C15 FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present.
Identification, Name and Legal Entity Identifier may all be present.

C16 FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present.
Identification, Name and Legal Entity Identifier may all be present.

C17 UserDefinedRule

At least one message element in the list (Party, Country, Currency, UserDefined) must be present. More than one element in the list (Party, Country, Currency, UserDefined) may be present.

12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

12.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Identifies the message.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

12.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

12.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

12.4.4 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

12.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

12.4.6 CashForecastReportToBeCancelled <CshFcstRptToBeCanc>

Presence: [0..1]

Definition: The FundDetailedConfirmedCashForecastReport to be cancelled.

CashForecastReportToBeCancelled <CshFcstRptToBeCanc> contains the following elements (see "FundDetailedConfirmedCashForecastReport3" on page 1316 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	1317
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	1319
	Identification <Id>	[1..1]	Text		1320
	TradeDateTime <TradDtTm>	[1..1]	±		1320
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1320
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1320
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1321
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1321
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1322
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1322
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1322
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1322
	CurrencyStatus <CcySts>	[0..1]	±		1323
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1323
	Price <Pric>	[0..1]	±		1323
	ForeignExchangeRate <FXRate>	[0..1]	±		1323
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1324
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1324
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1325
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1326
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1327
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1328
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1329
	Extension <Xtnsn>	[0..*]	±	C16	1329

13 **reda.001.001.04**

PriceReportV04

13.1 **MessageDefinition Functionality**

SCOPE

A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReport message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to provide the net asset value and price information for financial instruments on specific trade dates and, optionally, to quote price variation information.

USAGE

The PriceReport message is sent by the report provider to the report recipient to:

- report prices for one or several different financial instruments for one or several different trade dates,
- report statistical information about the valuation of a financial instrument,
- inform another party that the quotation of a financial instrument is suspended,
- report prices that are used for other purposes than the execution of investment funds orders.

.

Outline

The PriceReportV04 MessageDefinition is composed of 10 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MessagePagination

Pagination of the message.

F. PriceReportIdentification

Unique and unambiguous identifier for the price report, as assigned by the reporting party.

G. Function

Function of the price report, that is, whether the price report is a new price report or a replacement of some kind.

H. CancellationIdentification

Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

I. PriceValuationDetails

Information related to the price valuation of a financial instrument.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PricRpt>	[1..1]		C16	
	MessageIdentification <MsgId>	[1..1]	±		419
	PoolReference <PoolRef>	[0..1]	±		420
	PreviousReference <PrvsRef>	[0..*]	±		420
	RelatedReference <RltdRef>	[0..1]	±		420
	MessagePagination <MsgPgntn>	[1..1]	±		421
	PriceReportIdentification <PricRptId>	[1..1]	Text		421
	Function <Fctn>	[1..1]	CodeSet		421
	CancellationIdentification <CxId>	[0..1]	Text		422
	PriceValuationDetails <PricValtnDtls>	[1..*]		C18	422
	Identification <Id>	[0..1]	Text		423
	ValuationDateTime <ValtnDtTm>	[0..1]	±		423
	NAVDateTime <NAVDtTm>	[1..1]	±		423
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	423
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		424
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C10	424
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		425
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		425
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		425
	ValuationType <ValtnTp>	[1..1]	CodeSet		425
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		426
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		426
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		427
	PriceDetails <PricDtls>	[0..*]	±	C8	427
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C11, C12, C13	429
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	431
	Extension <Xtnsn>	[0..*]	±	C14	432

13.3 Constraints

C1 AccumulationPeriodRule

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C5 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C6 AmountSignRule

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 CalculationBasisRule

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyPredefinedPeriodsRule

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

C12 CurrencyPriceChangeRule

Currency in PriceChange/Amount must be the same as Currency.

C13 CurrencyUserDefinedPeriodsRule

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C16 NewPriceReportRule

If Function is "NEWP" then CancellationIdentification must not be present.

On Condition
 /Function is equal to value 'NewPriceReport'
 Following Must be True
 /CancellationIdentification Must be absent

This constraint is defined at the MessageDefinition level.

C17 OtherCodeRule

If Structured is OTHR, then AdditionalInformation is mandatory.

C18 PriceDetailsRule

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

13.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

13.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

13.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference3](#)" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

13.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

13.4.5 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

13.4.6 PriceReportIdentification <PricRptId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the price report, as assigned by the reporting party.

Datatype: ["Max35Text"](#) on page 2764

13.4.7 Function <Fctn>

Presence: [1..1]

Definition: Function of the price report, that is, whether the price report is a new price report or a replacement of some kind.

Datatype: ["PriceReportFunction1Code"](#) on page 2709

CodeName	Name	Definition
REPL	CompleteReplacementPriceReport	The price report conveys the correction of the complete set of prices previously sent for the same price report identification.
NEWP	NewPriceReport	The price report is 'new', that is the report is not being used as a correction of previously sent prices.
PART	PartialCorrectionPriceReport	The price report conveys the correction of some of the prices previously sent for the same price report identification.

13.4.8 CancellationIdentification <CxIId>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

Datatype: "Max35Text" on page 2764

13.4.9 PriceValuationDetails <PricValtnDtIs>

Presence: [1..*]

Definition: Information related to the price valuation of a financial instrument.

Impacted by: C18 "PriceDetailsRule"

PriceValuationDetails <PricValtnDtIs> contains the following **PriceValuation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		423
	ValuationDateTime <ValtnDtTm>	[0..1]	±		423
	NAVDateTime <NAVDtTm>	[1..1]	±		423
	FinancialInstrumentDetails <FinInstrmDtIs>	[1..1]	±	C15	423
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		424
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C10	424
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		425
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		425
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		425
	ValuationType <ValtnTp>	[1..1]	CodeSet		425
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		426
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		426
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		427
	PriceDetails <PricDtIs>	[0..*]	±	C8	427
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C11, C12, C13	429
	PerformanceDetails <PrfrmncDtIs>	[0..1]	±	C1	431

Constraints

- **PriceDetailsRule**

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

13.4.9.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a price valuation within a price report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

13.4.9.2 ValuationDateTime <ValtnDtTm>

Presence: [0..1]

Definition: Date and time of the price valuation for the investment fund/fund class.

ValuationDateTime <ValtnDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

13.4.9.3 NAVDateTime <NAVDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus. The NAV date is also known as the trade date. The NAV date becomes the trade date in an order.

NAVDateTime <NAVDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

13.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class for which the net asset value is calculated.

Impacted by: C15 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument8" on page 1581 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1581
	Name <Nm>	[0..1]	Text		1582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1582
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1582
	ClassType <ClsTp>	[0..1]	Text		1583
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1583
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1583
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1583

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

13.4.9.5 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Issuer of the fund.

FundManagementCompany <FndMgmtCpny> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

13.4.9.6 TotalNAV <TtlNAV>

Presence: [0..*]

Definition: Value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C10 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

13.4.9.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

13.4.9.8 NextValuationDateTime <NxtValtnDtTm>

Presence: [0..1]

Definition: Date and time of the next price valuation for the investment fund/fund class.

NextValuationDateTime <NxtValtnDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

13.4.9.9 PreviousValuationDateTime <PrvsValtnDtTm>

Presence: [0..1]

Definition: Date and time of the previous price valuation for the investment fund/fund class.

PreviousValuationDateTime <PrvsValtnDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

13.4.9.10 ValuationType <ValtnTp>

Presence: [1..1]

Definition: Specifies how the valuation is done, based on the schedule stated in the prospectus.

Datatype: "[ValuationTiming1Code](#)" on page 2749

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

13.4.9.11 ValuationFrequency <ValtnFrqcy>

Presence: [0..1]

Definition: Frequency of the valuation.

Datatype: "EventFrequency1Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

13.4.9.12 OfficialValuationIndicator <OffclValtnInd>

Presence: [1..1]

Definition: Indicates whether the valuation is an official valuation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.9.13 SuspendedIndicator <SspdInd>

Presence: [1..1]

Definition: Indicates whether the valuation of the investment fund class is suspended.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

13.4.9.14 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Amount of money for which goods or services are offered, sold, or bought.

Impacted by: [C8 "CalculationBasisRule"](#)

PriceDetails <PricDtls> contains the following elements (see "UnitPrice15" on page 2307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2309
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2311
	PriceMethod <PricMtd>	[0..1]	CodeSet		2311
	ValueInInvestmentCurrency <ValInInvstmtCcy>	[1..*]	±		2311
	ValueInAlternativeCurrency <ValInAltrntvCcy>	[0..*]	±		2311
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2311
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2312
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2312
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2312
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2312
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2312
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2313
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2313
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2313
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2313
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2314
	ChargeDetails <ChrgDtls>	[0..*]			2314
{Or	Type <Tp>	[1..1]	CodeSet		2314
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2315
{Or	Amount <Amt>	[1..1]	Amount	C2	2315
Or}	Rate <Rate>	[1..1]	Rate		2316
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2316
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2316
	TaxLiabilityDetails <TaxLblyDtls>	[0..*]		C4	2316
{Or	Type <Tp>	[1..1]	CodeSet		2317
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2318
	Amount <Amt>	[0..7]	Amount	C3	2318
	Rate <Rate>	[0..1]	Rate		2318
	Country <Ctry>	[1..1]	CodeSet	C11	2318
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2319
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2319
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2320
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2320
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2320
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2320
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2321
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2321
{Or	Type <Tp>	[1..1]	CodeSet		2321
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2322
	Amount <Amt>	[0..7]	Amount	C3	2322
	Rate <Rate>	[0..1]	Rate		2323
	Country <Ctry>	[1..1]	CodeSet	C11	2323
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2323
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2323
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2324
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2324
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2324
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2324
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2325
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2325

Constraints

- CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

13.4.9.15 ValuationStatistics <ValtnSttstcs>

Presence: [0..*]

Definition: Information related to the price variations of an investment fund class.

Impacted by: C11 "CurrencyPredefinedPeriodsRule", C12 "CurrencyPriceChangeRule", C13 "CurrencyUserDefinedPeriodsRule"

ValuationStatistics <ValtnSttstcs> contains the following elements (see "ValuationStatistics3" on page 2011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	2013
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	2013
	Structured <Strd>	[1..1]	CodeSet		2014
	AdditionalInformation <AddtlInf>	[0..1]	Text		2015
	PriceChange <PricChng>	[1..1]		C5, C6	2015
	Amount <Amt>	[0..1]	Amount	C3	2016
	AmountSign <AmtSgn>	[0..1]	Indicator		2016
	Rate <Rate>	[0..1]	Rate		2016
	Yield <Yld>	[0..1]	Rate		2016
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			2016
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			2017
	Amount <Amt>	[1..1]	Amount	C3	2017
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			2018
	Amount <Amt>	[1..1]	Amount	C3	2018
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	2018
	Amount <Amt>	[0..1]	Amount	C3	2019
	AmountSign <AmtSgn>	[0..1]	Indicator		2019
	Rate <Rate>	[0..1]	Rate		2019
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	2019
	Amount <Amt>	[0..1]	Amount	C3	2020
	AmountSign <AmtSgn>	[0..1]	Indicator		2020
	Rate <Rate>	[0..1]	Rate		2020
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	2020
	Amount <Amt>	[0..1]	Amount	C3	2021
	AmountSign <AmtSgn>	[0..1]	Indicator		2021
	Rate <Rate>	[0..1]	Rate		2021
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			2022
	Period <Prd>	[1..1]	±		2022
	HighestPriceValue <HghstPricVal>	[0..1]			2022
	Amount <Amt>	[1..1]	Amount	C3	2022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LowestPriceValue <LwstPricVal>	[0..1]			2023
	Amount <Amt>	[1..1]	Amount	C3	2023
	PriceChange <PricChng>	[0..1]		C5, C6	2023
	Amount <Amt>	[0..1]	Amount	C3	2024
	AmountSign <AmtSgn>	[0..1]	Indicator		2024
	Rate <Rate>	[0..1]	Rate		2024
	Yield <Yld>	[0..1]	Rate		2024

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

13.4.9.16 PerformanceDetails <PrfrmncDtls>

Presence: [0..1]

Definition: Factors that give indications about the performance of a fund.

Impacted by: C1 "AccumulationPeriodRule"

PerformanceDetails <PrfrmncDtls> contains the following elements (see "[PerformanceFactors1](#)" on [page 1944](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1944
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1944
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1944
	NormalPerformance <NrmIPrfrmnc>	[0..1]	Quantity		1944

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

13.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

14 **reda.002.001.04**

PriceReportCancellationV04

14.1 **MessageDefinition Functionality**

SCOPE

A report provider, for example, a transfer agent, fund accountant or market data provider, sends the PriceReportCancellation message to the report recipient, for example, a fund management company, transfer agent, market data provider, regulator or any other interested party to cancel previously sent prices.

USAGE

The PriceReportCancellation message is used to either:

- cancel an entire PriceReport that was previously sent (by quoting the business reference of the original price report in the PriceReportIdentification element), or,
- cancel one or more individual prices from a previously sent price report (by using the PriceDetailsToBeCancelled sequence).

Technically, it is possible to cancel all the prices individually by using the PriceDetailsToBeCancelled sequence, but this is not recommended.

The cancellation should not contain the cancellation of prices for more than one NAV date.

Outline

The PriceReportCancellationV04 MessageDefinition is composed of 11 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies a message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MessagePagination
Pagination of the message.
- E. PriceReportIdentification
Unique and unambiguous identifier for the price report, as assigned by the reporting party.
- F. CancellationIdentification
Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.
- G. CancellationReason

Reason for the cancellation.

H. ExpectedPriceCorrectionDate

Date or date and time the price will be corrected.

I. CompletePriceCancellation

Indicates whether or not all the prices of the referenced price report are cancelled.

J. CancelledPriceValuationDetails

Details of prices to be cancelled.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PricRptCxl>	[1..1]		C9, C10	
	MessageIdentification <MsgId>	[1..1]	±		436
	PoolReference <PoolRef>	[0..1]	±		437
	PreviousReference <PrvsRef>	[0..1]	±		437
	MessagePagination <MsgPgntn>	[1..1]	±		437
	PriceReportIdentification <PricRptId>	[1..1]	Text		437
	CancellationIdentification <CxlId>	[1..1]	Text		438
	CancellationReason <CxlRsn>	[0..1]	Text		438
	ExpectedPriceCorrectionDate <XpctdPricCrctnDt>	[0..1]	±		438
	CompletePriceCancellation <CmpltPricCxl>	[1..1]	Indicator		438
	CancelledPriceValuationDetails <CancPricValtnDtls>	[0..*]	±		438
	Extension <Xtnsn>	[0..*]	±	C16	439

14.3 Constraints

C1 AccumulationPeriodRule

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C5 AmountAndOrRateRule

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

C6 AmountSignRule

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 CalculationBasisRule

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

C9 CompletePriceCancellation1Rule

If CompletePriceCancellation is "false" or "0" (No), then one or more instances of CancelledPriceValuationDetails must be present.

On Condition

/CompletePriceCancellation is equal to value 'False'

Following Must be True

/CancelledPriceValuationDetails[*] Must be present

This constraint is defined at the MessageDefinition level.

C10 CompletePriceCancellation2Rule

If CompletePriceCancellation is "true" or "1" (Yes), then CancelledPriceValuationDetails must not be present.

On Condition

/CompletePriceCancellation is equal to value 'True'

Following Must be True

/CancelledPriceValuationDetails[*] Must be absent

This constraint is defined at the MessageDefinition level.

C11 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 CurrencyPredefinedPeriodsRule

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

C14 CurrencyPriceChangeRule

Currency in PriceChange/Amount must be the same as Currency.

C15 CurrencyUserDefinedPeriodsRule

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C18 OtherCodeRule

If Structured is OTHR, then AdditionalInformation is mandatory.

C19 PriceDetailsRule

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails / DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

14.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see ["MessageIdentification1"](#) on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

14.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

14.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference3"](#) on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

14.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

14.4.5 PriceReportIdentification <PricRptId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the price report, as assigned by the reporting party.

Datatype: ["Max35Text"](#) on page 2764

14.4.6 CancellationIdentification <CxIId>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the cancellation of the previous price report, as assigned by the reporting party.

Datatype: "Max35Text" on page 2764

14.4.7 CancellationReason <CxIRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max350Text" on page 2764

14.4.8 ExpectedPriceCorrectionDate <XpctdPricCrrctnDt>

Presence: [0..1]

Definition: Date or date and time the price will be corrected.

ExpectedPriceCorrectionDate <XpctdPricCrrctnDt> contains one of the following elements (see "DateAndDateTime1Choice" on page 1412 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

14.4.9 CompletePriceCancellation <CmpltPricCxl>

Presence: [1..1]

Definition: Indicates whether or not all the prices of the referenced price report are cancelled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

14.4.10 CancelledPriceValuationDetails <CancPricValtnDtls>

Presence: [0..*]

Definition: Details of prices to be cancelled.

CancelledPriceValuationDetails <CancPricValtnDtls> contains the following elements (see "PriceReport3" on page 2286 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValuationDetails <PricValtnDtls>	[1..*]		C19	2287
	Identification <Id>	[0..1]	Text		2288
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2288
	NAVDateTime <NAVDTm>	[1..1]	±		2289
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2289
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2290
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2290
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2290
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2291
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2291
	ValuationType <ValtnTp>	[1..1]	CodeSet		2291
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2291
	OfficialValuationIndicator <OfcclValtnInd>	[1..1]	Indicator		2292
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		2292
	PriceDetails <PricDtls>	[0..*]	±	C8	2292
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2294
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2296

14.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

15 **reda.004.001.03**

FundReferenceDataReportV03

15.1 **MessageDefinition Functionality**

Scope

The FundReferenceDataReport message is sent by a report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to the report recipient, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party, to provide the key reference data for financial instruments to facilitate trading. The message may also include reporting data concerning product governance, such as target market data, and a breakdown of the costs and fees.

Usage

A FundReferenceDataReport message should be prepared for each class of unit/share (for which an individual ISIN should have been allocated), in respect of its "home" market. Each time the fund data changes, the 'product provider' must provide the data to a product user, for example, the distributors, by sending a new funds reference data report. A single message may contain more than one report. When the FundReferenceDataReport message is sent to provide updated reference data, the message overwrites the previously sent data.

The FundReferenceDataReport message may be used in various models or environments:

- in a standalone environment, for example, initiated by the Report Provider (fund promoter, fund manager and / or reference data vendors) sent on a regular frequency, or when changes are needed.
- in a request / response environment, with the InvestmentFundReportRequest, for example, initiated by report users (data vendors, professional investors, regulators or investment fund distributors) in enabling the user to control the flow and updates of information.
- in a reference data vendor environment, for example, market infrastructure and reference data providers may collate and store all fund reference data information centrally for access via database or regular distribution information. A reference data vendor may assume the role of both report provider and report user.

The FundReferenceDataReport message may be used to provide data concerning product governance, such as target market data, and a breakdown of the costs and fees in the context of MiFID II, with respect to the European MiFID Template (EMT) versions 1 and 2.

Outline

The FundReferenceDataReportV03 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. FundReferenceDataReportIdentification

Unique and unambiguous identifier for the fund reference data report, as assigned by the reporting party.

E. Report

Fund reference data.

15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <FndRefDataRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		446
	PreviousReference <PrvsRef>	[0..*]	±		446
	RelatedReference <RltdRef>	[0..1]	±		447
	FundReferenceDataReportIdentification <FndRefDataRptId>	[0..1]	Text		447
	Report <Rpt>	[1..*]		C3, C4	447
	Identification <Id>	[0..1]	Text		449
	Version <Vrsn>	[0..1]	±		449
	GeneralReferenceDate <GnlRefDt>	[1..1]	Date		449
	SecurityIdentification <SctyId>	[1..1]	±	C16	449
	FundParties <FndPties>	[0..1]	±		450
	MainFundOrderDesk <MainFndOrdrDsk>	[0..1]	±		451
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		451
	FundDetails <FndDtls>	[0..1]	±	C12	452
	ValuationDealingCharacteristics <ValtnDealgChrtcs>	[0..1]	±		454
	InvestmentRestrictions <InvstmtRstrctns>	[0..1]	±		454
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	455
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C8	457
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	458
	PlanCharacteristics <PlanChrtcs>	[0..*]	±	C24	459
	PaymentInstrument <PmtInstrm>	[0..*]	±		460
	CashSettlementDetails <CshSttlmDtls>	[0..*]	±		461
	LocalMarketAnnex <LclMktAnx>	[0..*]	±		461
	TargetMarket <TrgtMkt>	[0..1]	±		461
	DistributionStrategy <DstrbtnStrtgy>	[0..1]	±		463
	CostsAndCharges <CostsAndChrgs>	[0..2]	±	C13, C19, C22, C23	463
	Extension <Xtnsn>	[0..*]	±	C20	465

15.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C3 **CostsAndCharges1Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Ante costs and charges.

C4 **CostsAndCharges2Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Post costs and charges

C5 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C8 **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C9 **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

C10 **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 **DescriptionUsageRule**

Description must be used alone as the last resort.

C12 EMTFundDetailsGuideline

In the European MiFID Template (EMT) v1, the elements DistributionPolicy and PerformanceFee are not supported.

C13 EMTGeneralGuideline

In the European MiFID Template (EMT) v1, the elements ExAnteReferenceDate, ExPostReferenceDate,

IndividualCostOrCharge/CostType/Code/GEAR, IndividualCostOrCharge/CostType/Code/PERF, IndividualCostOrCharge/CostType/Code/PERS, IndividualCostOrCharge/CostType/Code/NETO and IndividualCostOrCharge/CostType/Code/REFV are not supported.

C14 EMTLegalStructureGuideline

In European MiFID Template (EMT) v1, Code/NUMM, UCMM and EXTC are not supported.

C15 EMTMinimumHoldingPeriodGuideline

In the European MiFID Template (EMT) v1, the TimeFrame/Code:

SHOR is 'Less than three years.'

MEDM is 'Less than five years.'

C16 EMTSecurityIdentificationGuideline

In the European MiFID Template (EMT v1), the elements Issuer/EmailAddress, Issuer/LEI and IssuerProductGovernanceProcess are not supported.

C17 ExAnteOrExPost1Guideline

If ExAnteOrExPost contains ANTE then CostType must be an ex ante cost or charge.

C18 ExAnteOrExPost2Guideline

If ExAnteOrExPost contains POST then CostType must be an ex post cost or charge.

C19 ExAnteReferenceDateGuideline

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

C20 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C21 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C22 IndividualCostAndCharge1Guideline

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

C23 IndividualCostAndCharge2Guideline

If ExPostReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Post costs

C24 InvestmentPlanRule

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

C25 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C26 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C27 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

C28 NonWorkingDayAdjustmentRule

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

C29 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C30 SpecificInvestmentNeedGuideline

In the European MiFID Template (EMT) v2, Code/YSPE is not supported.

C31 TradeMinusRule

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

C32 TradePlusRule

If TradePlus is present then NonWorkingDayAdjustment must also be present.

15.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

15.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

15.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

15.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

15.4.4 FundReferenceDataReportIdentification <FndRefDataRptId>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund reference data report, as assigned by the reporting party.

Datatype: "Max35Text" on page 2764

15.4.5 Report <Rpt>

Presence: [1..*]

Definition: Fund reference data.

Impacted by: C3 "CostsAndCharges1Guideline", C4 "CostsAndCharges2Guideline"

Report <Rpt> contains the following FundReferenceDataReport1 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		449
	Version <Vrsn>	[0..1]	±		449
	GeneralReferenceDate <GnlRefDt>	[1..1]	Date		449
	SecurityIdentification <Sctyld>	[1..1]	±	C16	449
	FundParties <FndPties>	[0..1]	±		450
	MainFundOrderDesk <MainFndOrdDsk>	[0..1]	±		451
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		451
	FundDetails <FndDtls>	[0..1]	±	C12	452
	ValuationDealingCharacteristics <ValtnDealgChrtcs>	[0..1]	±		454
	InvestmentRestrictions <InvstmtRstrctns>	[0..1]	±		454
	SubscriptionProcessingCharacteristics <SbcptPrgChrtcs>	[0..1]	±	C7	455
	RedemptionProcessingCharacteristics <RedPrgChrtcs>	[0..1]	±	C8	457
	SwitchProcessingCharacteristics <SwchPrgChrtcs>	[0..1]	±	C9	458
	PlanCharacteristics <PlanChrtcs>	[0..*]	±	C24	459
	PaymentInstrument <PmtInstrm>	[0..*]	±		460
	CashSettlementDetails <CshSttlmDtls>	[0..*]	±		461
	LocalMarketAnnex <LclMktAnx>	[0..*]	±		461
	TargetMarket <TrgtMkt>	[0..1]	±		461
	DistributionStrategy <DstrbtnStrtgy>	[0..1]	±		463
	CostsAndCharges <CostsAndChrgs>	[0..2]	±	C13, C19, C22, C23	463
	Extension <Xtnsn>	[0..*]	±	C20	465

Constraints

- **CostsAndCharges1Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Ante costs and charges.

- **CostsAndCharges2Guideline**

One repetition of CostsAndCharges may be present for the specification of Ex Post costs and charges

15.4.5.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a report within a fund reference data report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

15.4.5.2 Version <Vrsn>

Presence: [0..1]

Definition: Version Number. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00001 and is the version of the template for which the EMT data is provided. In EMT v1, this element is not supported.

Version <Vrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

15.4.5.3 GeneralReferenceDate <GnlRefDt>

Presence: [1..1]

Definition: Date to which the data refers. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00050. In EMT v1, this is known as the Reporting Date.

Datatype: "ISODate" on page 2749

15.4.5.4 SecurityIdentification <SctyId>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C16 "EMTSecurityIdentificationGuideline"

SecurityIdentification <Sctyld> contains the following elements (see "SecurityIdentification36" on page 1569 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C10, C11, C25, C26, C29	1570
	Name <Nm>	[1..1]	Text		1571
	ShortName <ShrtNm>	[0..1]	Text		1572
	ClassType <ClsTp>	[0..1]	Text		1572
	UmbrellaName <UmbrllNm>	[0..1]	Text		1572
	NewUmbrella <NewUmbrll>	[0..1]	Indicator		1572
	ClassificationType <ClsfctnTp>	[0..1]	±		1572
	BaseCurrency <BaseCcy>	[0..1]	CodeSet	C2	1572
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C11	1573
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C11	1573
	LegalStructure <LglStr>	[0..1]	±	C14	1573
	Issuer <Issr>	[0..1]	±		1574
	IssuerProductGovernanceProcess <IssrPdctGovncPrc>	[0..1]	±		1574
	ProductCategory <PdctCtgy>	[0..1]	Text		1574
	QuotationType <QtnTp>	[0..1]	±		1575
	LeveragedOrContingentLiability <LvrgdOrCntgntLbly>	[0..1]	Indicator		1575
	AdditionalInformation <AddtlInf>	[0..*]	±		1575

Constraints

- **EMTSecurityIdentificationGuideline**

In the European MiFID Template (EMT v1), the elements Issuer/EmailAddress, Issuer/LEI and IssuerProductGovernanceProcess are not supported.

15.4.5.5 FundParties <FndPties>

Presence: [0..1]

Definition: Parties related to the investment fund.

FundParties <FndPties> contains the following elements (see ["FundParties1"](#) on page 2060 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Guarantor <Guarnt>	[0..1]	±		2060
	Auditor <Audtr>	[0..1]	±		2061
	Trustee <Trstee>	[0..1]	±		2061
	OtherParty <OthrPty>	[0..*]	±		2062

15.4.5.6 MainFundOrderDesk <MainFndOrdrDsk>

Presence: [0..1]

Definition: Principal entity appointed by the fund, to which orders should be submitted. Usually located in the country of domicile.

MainFundOrderDesk <MainFndOrdrDsk> contains the following elements (see ["OrderDesk1"](#) on page 2056 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2056
	ClosureDates <ClsrDts>	[0..*]	Date		2057
	AdditionalInformation <AddtlInf>	[0..*]	±		2057

15.4.5.7 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Company that is responsible for the management and operation of the fund, for example, determines the investment strategy, appoints the service providers, and makes major decisions for the fund. It is usually responsible for the distribution and marketing of the fund. For self-managed funds, this will often be a separate promoter or sponsor of the fund.

FundManagementCompany <FndMgmtCpny> contains the following elements (see ["ContactAttributes5"](#) on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

15.4.5.8 FundDetails <FndDtls>

Presence: [0..1]

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Impacted by: C12 "EMTFundDetailsGuideline"

FundDetails <FndDtls> contains the following elements (see "FinancialInstrument66" on page 1562 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalBearerSecurities <PhysBrScties>	[0..1]	Indicator		1564
	DematerialisedBearerSecurities <DmtrlSdBrScties>	[0..1]	Indicator		1564
	PhysicalRegisteredSecurities <PhysRegdScties>	[0..1]	Indicator		1564
	DematerialisedRegisteredSecurities <DmtrlSdRegdScties>	[0..1]	Indicator		1564
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1564
	DividendPolicy <DvddPlcy>	[0..1]	CodeSet		1565
	DividendFrequency <DvddFrqcy>	[0..1]	CodeSet		1565
	ReinvestmentFrequency <RinvstmtFrqcy>	[0..1]	CodeSet		1565
	FrontEndLoad <FrntEndLd>	[0..1]	Indicator		1566
	BackEndLoad <BckEndLd>	[0..1]	Indicator		1566
	SwitchFee <SwitchFee>	[0..1]	Indicator		1566
	EUSavingsDirective <EUSvgsDrctv>	[0..1]	CodeSet		1567
	LaunchDate <LnchDt>	[0..1]	Date		1567
	FundEndDate <FndEndDt>	[0..1]	Date		1567
	TerminationDate <TermntnDt>	[0..1]	Date		1567
	InitialOfferEndDate <InitlOfferEndDt>	[0..1]	Date		1567
	SuspensionStartDate <SspnsnStartDt>	[0..1]	Date		1567
	SuspensionEndDate <SspnsnEndDt>	[0..1]	Date		1567
	MaturityDate <MtrtyDt>	[0..1]	Date		1568
	ClosedEndFund <ClsdEndFnd>	[0..1]	Indicator		1568
	Equalisation <Equlstn>	[0..1]	Indicator		1568
	TaxEfficientProductEligible <TaxEffcntPdctElgbl>	[0..1]	Indicator		1568
	Authorised <Authrsd>	[0..1]	Indicator		1568
	RDRCompliant <RDRCmplnt>	[0..1]	Indicator		1568
	ManagementFeeSource <MgmtFeeSrc>	[0..1]	CodeSet		1569
	PerformanceFee <PrfrmncFee>	[0..1]	Indicator		1569
	AdditionalInformation <AddtlInf>	[0..*]	±		1569

Constraints

- **EMTFundDetailsGuideline**

In the European MiFID Template (EMT) v1, the elements DistributionPolicy and PerformanceFee are not supported.

15.4.5.9 ValuationDealingCharacteristics <ValtnDealgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to the instrument, that is, not to the market.

ValuationDealingCharacteristics <ValtnDealgChrtcs> contains the following elements (see "ValuationDealingProcessingCharacteristics3" on page 2284 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2284
	ValuationFrequencyDescription <ValtnFrqcyDesc>	[0..1]	Text		2285
	ValuationTime <ValtnTm>	[0..1]	Time		2285
	DecimalisationUnits <DcmlstnUnits>	[0..1]	Quantity		2285
	DecimalisationPrice <DcmlstnPric>	[0..1]	Quantity		2285
	DualFundIndicator <DualFndInd>	[0..1]	Indicator		2285
	PriceMethod <PricMtd>	[0..1]	CodeSet		2285
	PriceCurrency <PricCcy>	[0..*]	CodeSet	C2	2286
	AdditionalInformation <AddtlInf>	[0..*]	±		2286

15.4.5.10 InvestmentRestrictions <InvstmtRstrctns>

Presence: [0..1]

Definition: Investment restrictions linked to the trading of the investment fund or an alternative/hedge fund.

InvestmentRestrictions <InvstmtRstrctns> contains the following elements (see "InvestmentRestrictions3" on page 1883 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>	[0..1]	Amount	C2, C10	1883
	MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>	[0..1]	Quantity		1884
	MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>	[0..1]	Amount	C2, C10	1884
	MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>	[0..1]	Quantity		1884
	MaximumRedemptionAmount <MaxRedAmt>	[0..1]	Amount	C2, C10	1884
	MaximumRedemptionUnits <MaxRedUnits>	[0..1]	Quantity		1885
	MinimumRedemptionPercentage <MinRedPctg>	[0..1]	Quantity		1885
	OtherRedemptionRestrictions <OthrRedRstrctns>	[0..1]	Text		1885
	MinimumSwitchSubscriptionAmount <MinSwchSbcptAmt>	[0..1]	Amount	C2, C10	1885
	MinimumSwitchSubscriptionUnits <MinSwchSbcptUnits>	[0..1]	Quantity		1886
	MaximumSwitchRedemptionAmount <MaxSwchRedAmt>	[0..1]	Amount	C2, C10	1886
	MaximumSwitchRedemptionUnits <MaxSwchRedUnits>	[0..1]	Quantity		1886
	OtherSwitchRestrictions <OthrSwchRstrctns>	[0..1]	Text		1886
	MinimumHoldingAmount <MinHldgAmt>	[0..1]	Amount	C2, C10	1886
	MinimumHoldingUnits <MinHldgUnits>	[0..1]	Quantity		1887
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	Text		1887
	HoldingTransferable <HldgTrfbl>	[0..1]	CodeSet		1887
	AdditionalInformation <AddtlInf>	[0..*]	±		1887

15.4.5.11 SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a subscription to the investment fund or alternative/hedge fund.

Impacted by: C7 "DealingCutOffTimeFrameRule"

SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics4" on page 1875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1877
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1877
	ApplicationForm <ApplForm>	[1..1]	Indicator		1877
	SignatureType <SgntrTp>	[1..1]	CodeSet		1877
	SubsequentInvestmentApplication <SbsqntlInvstmtAppl>	[0..1]			1878
	ApplicationForm <ApplForm>	[1..1]	Indicator		1878
	SignatureType <SgntrTp>	[1..1]	CodeSet		1878
	AmountIndicator <AmtInd>	[0..1]	Indicator		1878
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1879
	Rounding <Rndg>	[0..1]	CodeSet		1879
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1879
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1879
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1880
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1880
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1880
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1881
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1881
	LimitedPeriod <LtdPrd>	[0..1]	Text		1882
	SettlementCycle <SttlmCycl>	[0..1]			1882
{Or	TradePlus <TPlus>	[1..1]	Quantity		1882
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1882
	AdditionalInformation <AddtlInf>	[0..*]	±		1882

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.12 RedemptionProcessingCharacteristics <RedPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a redemption to the investment fund or alternative/hedge fund.

Impacted by: C8 "DealingCutOffTimeFrameRule"

RedemptionProcessingCharacteristics <RedPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics5" on page 1868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1869
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1870
	ApplicationForm <ApplForm>	[1..1]	Indicator		1870
	SignatureType <SgntrTp>	[1..1]	CodeSet		1870
	AmountIndicator <AmtInd>	[0..1]	Indicator		1871
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1871
	Rounding <Rndg>	[0..1]	CodeSet		1871
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1871
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1871
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1872
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1872
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1872
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1873
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1873
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1873
	LimitedPeriod <LtdPrd>	[0..1]	Text		1874
	SettlementCycle <SttlmCycl>	[0..1]			1874
{Or	TradePlus <TPlus>	[1..1]	Quantity		1874
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1875
	AdditionalInformation <AddtlInf>	[0..*]	±		1875

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.13 SwitchProcessingCharacteristics <SwchPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a switch of the investment fund or alternative/hedge fund.

Impacted by: C9 "DealingCutOffTimeFrameRule"

SwitchProcessingCharacteristics <SwchPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics6" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealGcCyAccptd>	[0..*]	CodeSet	C2	1863
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1864
	ApplicationForm <ApplForm>	[1..1]	Indicator		1864
	SignatureType <SgntrTp>	[1..1]	CodeSet		1864
	AmountIndicator <AmtInd>	[0..1]	Indicator		1865
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1865
	Rounding <Rndg>	[0..1]	CodeSet		1865
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1865
	DealingFrequency <DealGFrqcy>	[0..1]	CodeSet		1865
	DealingFrequencyDescription <DealGFrqcyDesc>	[0..1]	Text		1866
	DealingCutOffTime <DealGCutOffTm>	[0..1]	Time		1866
	DealingCutOffTimeFrame <DealGCutOffTmFrame>	[0..1]	±	C27, C31	1866
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1867
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1867
	LimitedPeriod <LtdPrd>	[0..1]	Text		1868
	SettlementCycle <SttlmCycl>	[0..1]			1868
{Or	TradePlus <TPlus>	[1..1]	Quantity		1868
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1868
	AdditionalInformation <AddtlInf>	[0..*]	±		1868

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

15.4.5.14 PlanCharacteristics <PlanChrtcs>

Presence: [0..*]

Definition: Characteristics of the investment plan.

Impacted by: C24 "InvestmentPlanRule"

PlanCharacteristics <PlanChrtcs> contains the following elements (see "InvestmentPlanCharacteristics1" on page 1859 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlanType <PlanTp>	[1..1]			1860
{Or	Code <Cd>	[1..1]	CodeSet		1860
Or}	Proprietary <Prtry>	[1..1]	±		1860
	Frequency <Frqcy>	[0..1]	±		1861
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1861
	Quantity <Qty>	[0..1]	±		1861
	PlanContinuation <PlanConttn>	[0..1]	Indicator		1861
	AdditionalSubscription <AddtlSbcpt>	[0..1]	Indicator		1862
	AdditionalSubscriptionFunction <AddtlSbcptFctr>	[0..1]	Indicator		1862
	AdditionalInformation <AddtlInf>	[0..*]	±		1862

Constraints

- **InvestmentPlanRule**

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

15.4.5.15 PaymentInstrument <PmtInstrm>

Presence: [0..*]

Definition: Specifies, for a specific type of transaction, how amounts are to be paid in or paid out.

PaymentInstrument <PmtInstrm> contains the following elements (see "PaymentInstrument16" on page 2184 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdrTp>	[1..1]			2184
{Or	Code <Cd>	[1..1]	CodeSet		2184
Or}	Proprietary <Prtry>	[1..1]	±		2185
	InstrumentType <InstrmTp>	[1..1]			2185
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186
	AdditionalInformation <AddtlInf>	[0..*]	±		2186

15.4.5.16 CashSettlementDetails <CshSttlmDtls>*Presence:* [0..*]*Definition:* Account to be used for cash settlement.**CashSettlementDetails <CshSttlmDtls>** contains the following elements (see "[CashAccount202](#)" on page 1246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C2	1246
	PrimaryAccount <PmryAcct>	[0..1]			1246
	AccountIdentification <AcctId>	[1..1]	±		1247
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1247
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1247
	SecondaryAccount <ScndryAcct>	[0..1]			1247
	AccountIdentification <AcctId>	[1..1]	±		1248
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1248
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1248

15.4.5.17 LocalMarketAnnex <LclMktAnx>*Presence:* [0..*]*Definition:* Processing characteristics specific to a local fund order desk.**LocalMarketAnnex <LclMktAnx>** contains the following elements (see "[LocalMarketAnnex3](#)" on page 1853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..*]	CodeSet	C11	1854
	LocalOrderDesk <LclOrdrDsk>	[1..1]	±		1854
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	1854
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C8	1856
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	1857
	CashSettlementDetails <CshSttlmDtls>	[0..*]	±		1858
	AdditionalInformation <AddtlInf>	[0..*]	±		1859

15.4.5.18 TargetMarket <TrgtMkt>*Presence:* [0..1]*Definition:* Target market criteria.

TargetMarket <TrgtMkt> contains the following elements (see "TargetMarket1" on page 1837 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]			1839
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		1839
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		1839
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		1840
	Other <Othr>	[0..*]	±		1840
	KnowledgeAndOrExperience <KnwldgAndOrExprnc>	[0..1]			1840
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		1841
	InformedInvestor <InfrmdlInvstr>	[0..1]	CodeSet		1841
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		1842
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		1842
	Other <Othr>	[0..*]	±		1842
	AbilityToBearLosses <AbiltyToBearLosses>	[0..1]			1843
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		1844
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		1844
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		1844
	Other <Othr>	[0..*]	±		1844
	RiskTolerance <RskTlrnce>	[0..1]			1845
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		1845
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		1846
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWithTheLwstRskTlrnceDE>	[0..1]	CodeSet		1846
	Other <Othr>	[0..*]	±		1846
	ClientObjectivesAndNeeds <CintObjctvsAndNeeds>	[0..1]			1846
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		1847
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		1847

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		1848
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		1848
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		1848
	ReturnProfileOther <RtrPrflOthr>	[0..1]	CodeSet		1848
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		1849
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±	C15	1849
	MayBeTerminatedEarly <MayBeTermntdEarly>	[0..1]	CodeSet		1849
	SpecificInvestmentNeed <SpfcfclnvstmtNeed>	[0..1]	±	C30	1850
	Other <Othr>	[0..*]	±		1850
	Other <Othr>	[0..*]	±		1850

15.4.5.19 DistributionStrategy <DstrbtnStrtgy>

Presence: [0..1]

Definition: Distribution strategy criteria.

DistributionStrategy <DstrbtnStrtgy> contains the following elements (see "[DistributionStrategy1](#)" on page 1851 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutionOnly <ExctnOnly>	[0..1]	±		1851
	ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvcs>	[0..1]	±		1851
	InvestmentAdvice <InvstmtAdvc>	[0..1]	±		1852
	PortfolioManagement <PrflMgmt>	[0..1]	±		1852
	Other <Othr>	[0..1]	±		1852

15.4.5.20 CostsAndCharges <CostsAndChrgs>

Presence: [0..2]

Definition: Costs and charges associated with the distribution of selling of the financial instrument. These may be one-off or recurring. These may be ex ante (intended) or post ante (actual).

Impacted by: [C13 "EMTGeneralGuideline"](#), [C19 "ExAnteReferenceDateGuideline"](#), [C22 "IndividualCostAndCharge1Guideline"](#), [C23 "IndividualCostAndCharge2Guideline"](#)

CostsAndCharges <CostsAndChrgs> contains the following elements (see "[CostsAndCharges1](#)" on page 1354 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExAnteReferenceDate <ExAnteRefDt>	[0..1]	Date		1355
	ExPostReferenceDate <ExPstRefDt>	[0..1]	Date		1356
	IndividualCostOrCharge <IndvCostOrChrg>	[1..*]		C17, C18	1356
	CostType <CostTp>	[1..1]			1356
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1362
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1362
	Amount <Amt>	[0..1]	Amount	C2	1362
	Rate <Rate>	[0..1]	Rate		1363
	CalculationPeriod <ClctnPrd>	[0..1]			1363
	StartDate <StartDt>	[1..1]	Date		1363
	EndDate <EndDt>	[1..1]	Date		1363
	AdditionalInformation <AddtlInf>	[0..1]	±		1363
	AdditionalInformation <AddtlInf>	[0..1]	±		1364

Constraints

- **EMTGeneralGuideline**

In the European MiFID Template (EMT) v1, the elements ExAnteReferenceDate, ExPostReferenceDate,

IndividualCostOrCharge/CostType/Code/GEAR, IndividualCostOrCharge/CostType/Code/PERF, IndividualCostOrCharge/CostType/Code/PERS, IndividualCostOrCharge/CostType/Code/NETO and IndividualCostOrCharge/CostType/Code/REFV are not supported.

- **ExAnteReferenceDateGuideline**

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

- **IndividualCostAndCharge1Guideline**

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

- **IndividualCostAndCharge2Guideline**

If ExPostReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Post costs

15.4.5.21 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C20 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

16 **reda.005.001.03**

InvestmentFundReportRequestV03

16.1 **MessageDefinition Functionality**

Scope

The InvestmentFundReportRequest message is sent by a report user, for example, a professional investor, investment fund distributor, market data provider, regulator or other interested party to the report provider, for example, a fund promoter, fund management company, transfer agent, or market data provider to request a report.

The Investment Fund Report Request message can be used to request one or many fund reference data report messages.

Usage

If the InvestmentFundReportRequest message is used to request a fund reference data report then the request can specify the financial instrument for which the report is requested. Other appropriate parameters can also be included. It is also possible to indicate that the request is an open request, that is, there is no specific criteria for the report requested. For example, a request for a fund reference data report that is specified as "no criteria" means that the request is a request for a reference data report messages for all funds.

Outline

The InvestmentFundReportRequestV03 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. ReportRequest

Parameters for which the report is requested.

16.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <InvstmtFndRptReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		468
	PreviousReference <PrvsRef>	[0..1]	±		468
	RelatedReference <RltdRef>	[0..1]	±		468
	ReportRequest <RptReq>	[1..*]		C8	469
{Or	NoCriteria <NoCrit>	[1..1]	CodeSet		469
Or}	Parameters <Params>	[1..1]			470
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		470
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		470
	DateFrom <DtFr>	[0..1]	Date		471
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	471
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	471

16.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 ReportParameterRule1

If Parameters is present, at least one element in the list (Parameters/
FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom)
must be present. More than one element in the list (Parameters/FundManagementCompany,
Parameters/FinancialInstrumentDetails, Parameters/DateFrom) may be present.

16.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

16.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

16.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

16.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

16.4.4 ReportRequest <RptReq>

Presence: [1..*]

Definition: Parameters for which the report is requested.

Impacted by: C8 "ReportParameterRule1"

ReportRequest <RptReq> contains one of the following **FundParameters4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoCriteria <NoCrit>	[1..1]	CodeSet		469
Or}	Parameters <Params>	[1..1]			470
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		470
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		470
	DateFrom <DtFr>	[0..1]	Date		471
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	471
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	471

Constraints

- **ReportParameterRule1**

If Parameters is present, at least one element in the list (Parameters/FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom) must be present. More than one element in the list (Parameters/FundManagementCompany, Parameters/FinancialInstrumentDetails, Parameters/DateFrom) may be present.

On Condition

/Parameters is present

Following Must be True

/Parameters/FinancialInstrumentDetails[*] Must be present

Or /Parameters/FundManagementCompany[*] Must be present

Or /Parameters/DateFrom Must be present

16.4.4.1 NoCriteria <NoCrit>

Presence: [1..1]

Definition: Specifies that there is no criteria for the report. The request is a request for all reports, rather than reports attributed to a specific fund manager, date or financial instrument.

Datatype: "NoCriteria1Code" on page 2699

CodeName	Name	Definition
NOCR	NoCriteria	No criteria.

16.4.4.2 Parameters <Params>

Presence: [1..1]

Definition: Report parameters.

Parameters <Params> contains the following **FundParameters5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[0..*]	±		470
	FundManagementCompany <FndMgmtCpny>	[0..*]	±		470
	DateFrom <DtFr>	[0..1]	Date		471
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C2	471
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C2	471

16.4.4.2.1 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..*]

Definition: Financial instrument for which the report is requested.

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument71" on page 1576 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C10, C11, C25, C26, C29	1576
	ShortName <ShrtNm>	[0..1]	Text		1577
	Name <Nm>	[0..1]	Text		1577
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1577
	ClassType <ClsTp>	[0..1]	Text		1577
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1578
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1578
	ProductGroup <PdctGrp>	[0..1]	Text		1578

16.4.4.2.2 FundManagementCompany <FndMgmtCpny>

Presence: [0..*]

Definition: Fund management company for which the report is requested.

FundManagementCompany <FndMgmtCpny> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

16.4.4.2.3 DateFrom <DtFr>

Presence: [0..1]

Definition: Specifies the date on or after which the information required will have been last updated. Only the most recent versions of the data is required.

Datatype: "ISODate" on page 2749

16.4.4.2.4 CountryOfDomicile <CtryOfDmcl>

Presence: [0..1]

Definition: Country where the fund has legal domicile.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

16.4.4.2.5 RegisteredDistributionCountry <RegdDstrbtnCtry>

Presence: [0..*]

Definition: Country where the fund is registered for distribution.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17 **semt.001.001.03**

SecuritiesMessageRejectionV03

17.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a registrar, transfer agent or custodian bank, sends the SecuritiesMessageRejection message to the account owner, for example, an investor or its authorised agent, to reject a previously received message on which action cannot be taken.

The message may also be sent by an executing party, for example, transfer agent to the instructing party, for example, investment manager or its authorised representative to reject a previously received message on which action cannot be taken.

Usage

The SecuritiesMessageRejection message is used for the following reasons:

- the executing party does not recognise the linked reference, so the executing party cannot process the message
- the instructing party should not have sent the message.

Reasons that a receiver does not expect a message include no SLA in place between the Sender and the Receiver.

The SecuritiesMessageRejection message must not be used to reject an instruction message that cannot be processed for business reasons, for example, if information is missing in an instruction message or because securities are not available for settlement.

Outline

The SecuritiesMessageRejectionV03 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. RelatedReference

Reference to a linked message that was previously received.

C. Reason

Reason to reject the message.

17.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SctiesMsgRjctn>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		473
	RelatedReference <RltdRef>	[1..1]	±		473
	Reason <Rsn>	[1..1]			474
	Reason <Rsn>	[1..1]	CodeSet		474
	AdditionalInformation <AddtlInf>	[0..1]	Text		474
	LinkedMessage <LkdMsg>	[0..1]	±		474

17.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

17.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

17.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

17.4.2 RelatedReference <RltdRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

17.4.3 Reason <Rsn>

Presence: [1..1]

Definition: Reason to reject the message.

Reason <Rsn> contains the following **RejectionReason23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]	CodeSet		474
	AdditionalInformation <AddtlInf>	[0..1]	Text		474
	LinkedMessage <LkdMsg>	[0..1]	±		474

17.4.3.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason to reject the message.

Datatype: "MessageRejectedReason1Code" on page 2697

CodeName	Name	Definition
REFE	InvalidOrUnrecognisedReference	Request contains an invalid or unrecognised business reference.
NALO	NotAllowedRequest	Instructing party is not allowed to ask for a status for that specific order.

17.4.3.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejection reason.

Datatype: "Max140Text" on page 2763

17.4.3.3 LinkedMessage <LkdMsg>

Presence: [0..1]

Definition: Identification of the invalid or unrecognised reference.

LinkedMessage <LkdMsg> contains one of the following elements (see "[LinkedMessage1Choice](#)" on page 1942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1942
Or	OtherReference <OthrRef>	[1..1]	±		1942
Or}	RelatedReference <RltdRef>	[1..1]	±		1942

18 **semt.002.001.02**

CustodyStatementOfHoldingsV02

18.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the CustodyStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.

The message provides, at a moment in time, the quantity and identification of the financial instruments that the account servicer holds for the account owner. The message can also include availability and the location of holdings to facilitate trading and minimise settlement issues.

The message reports all information per financial instrument, ie, when a financial instrument is held at multiple places of safekeeping, the total holdings for all locations can be provided.

Usage

The CustodyStatementOfHoldings message is used to provide detailed quantity and availability information for financial instrument holdings of a portfolio. The message should be sent at a frequency agreed bi-laterally between the account servicer and the account owner.

This message can be also be used to report where the financial instruments are safe-kept, physically or notionally. If a security is held in more than one safekeeping place, this can also be indicated.

This message can reflect all outstanding holding information or may only contain changes since the previously sent statement.

The CustodyStatementOfHoldings message can only be used to list the holdings of a single (master) account. However, it is possible to break down these holdings into one or several sub-accounts. Therefore, this message can be used to either specify holdings at

- the main account level, or,
- the sub-account level.

Outline

The CustodyStatementOfHoldingsV02 MessageDefinition is composed of 10 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementGeneralDetails

General information related to the custody statement of holdings.

F. AccountDetails

The safekeeping or investment account.

G. BalanceForAccount

Net position of a segregated holding, in a single security, within the overall position held in a securities account.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. TotalValues

Value of total holdings reported.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

18.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CtdyStmntOfHldgsV02>	[1..1]		C9	
	MessageIdentification <MsgId>	[1..1]	±		480
	PreviousReference <PrvsRef>	[0..*]	±		480
	RelatedReference <RltdRef>	[0..*]	±		481
	MessagePagination <MsgPgntn>	[1..1]	±		481
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			481
	Reference <Ref>	[1..1]	Text		482
	StatementDateTime <StmntDtTm>	[1..1]	±		482
	CreationDateTime <CreDtTm>	[0..1]	±		482
	Frequency <Frqcy>	[1..1]	±		483
	UpdateType <UpdTp>	[1..1]	±		483
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		483
	StatementBasis <StmntBsis>	[1..1]	±		483
	ReportNumber <RptNb>	[0..1]	Text		483
	AccountDetails <AcctDtls>	[1..1]			484
	Identification <Id>	[1..1]	±		484
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		484
	Name <Nm>	[0..1]	Text		485
	Designation <Dsgnt>	[0..1]	Text		485
	IntermediaryInformation <IntrmyInf>	[0..10]	±		485
	AccountOwner <AcctOwnr>	[0..1]	±		485
	AccountServicer <AcctSvcr>	[0..1]	±		485
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	486
	SubAccountDetails <SubAcctDtls>	[0..*]	±		488
	TotalValues <TtlVals>	[0..1]	±		488
	Extension <Xtnsn>	[0..*]	±	C13	488

18.3 Constraints

C1 **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C6 **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C7 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C9 **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

This constraint is defined at the MessageDefinition level.

C10 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C15 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C16 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C17 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

18.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

18.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

18.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

18.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

18.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "[Pagination](#)" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

18.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the custody statement of holdings.

StatementGeneralDetails <StmtGnlDtls> contains the following **Statement7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		482
	StatementDateTime <StmtDtTm>	[1..1]	±		482
	CreationDateTime <CreDtTm>	[0..1]	±		482
	Frequency <Frqcy>	[1..1]	±		483
	UpdateType <UpdTp>	[1..1]	±		483
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		483
	StatementBasis <StmtBsis>	[1..1]	±		483
	ReportNumber <RptNb>	[0..1]	Text		483

18.4.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2764

18.4.5.2 StatementDateTime <StmtDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmtDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

18.4.5.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

18.4.5.4 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[FrequencyCodeAndDSSCode1Choice](#)" on page 2331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2331
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2331

18.4.5.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[StatementUpdateTypeCodeAndDSSCodeChoice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2448
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2448

18.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

18.4.5.7 StatementBasis <StmntBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2447
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2447

18.4.5.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 2765

18.4.6 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: The safekeeping or investment account.

AccountDetails <AcctDtls> contains the following **SafekeepingAccount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		484
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		484
	Name <Nm>	[0..1]	Text		485
	Designation <Dsgnt>	[0..1]	Text		485
	IntermediaryInformation <IntrmyInf>	[0..10]	±		485
	AccountOwner <AcctOwnr>	[0..1]	±		485
	AccountServicer <AcctSvcr>	[0..1]	±		485

18.4.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

18.4.6.2 FungibleIndicator <Fngblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

18.4.6.3 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2764**18.4.6.4 Designation <Dsgnt>***Presence:* [0..1]*Definition:* Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.*Datatype:* "Max35Text" on page 2764**18.4.6.5 IntermediaryInformation <IntrmyInf>***Presence:* [0..10]*Definition:* Party that provides services relating to financial products to investors, eg, advice on products and placement of orders for the investment fund.**IntermediaryInformation <IntrmyInf>** contains the following elements (see "Intermediary11" on page 2049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2050
	Account <Acct>	[0..1]	±		2050
{Or	Role <Role>	[0..1]	CodeSet		2050
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		2051

18.4.6.6 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

18.4.6.7 AccountServicer <AcctSvcr>*Presence:* [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see ["PartyIdentification2Choice"](#) on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

18.4.7 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C8 "BalanceAtSafekeepingPlaceRule", C5 "AggregateQuantityGuideline"

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1290
	AvailableQuantity <AvlblQty>	[0..1]	±		1290
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1290
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1291
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1291
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1292
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1292
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1292
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1293
	PriceDetails <PricDtls>	[0..*]	±	C15	1293
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1294
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1294
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1295
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1295
	AggregateQuantity <AggtQty>	[1..1]	±		1296
	AvailableQuantity <AvlblQty>	[0..1]	±		1297
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1297
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1297
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1297
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1298
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1299
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1299
	PriceDetails <PricDtls>	[0..*]	±	C15	1299
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1300
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1301

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

18.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification5](#)" on page 1251 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1252
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1252
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1252
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1252

18.4.9 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1281
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmt>	[1..1]	Amount	C2, C10	1281

18.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C13 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

19 **semt.003.001.02**

AccountingStatementOfHoldingsV02

19.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the AccountingStatementOfHoldings message to the account owner, for example, a fund manager or an account owner's designated agent to provide detailed holdings of the portfolio at a specified moment in time.

The message provides, at a moment in time, valuations of the portfolio together with details of each financial instrument holding.

The message can be sent either audited or un-audited and may be provided on a trade date or settlement date basis.

Usage

The AccountingStatementOfHoldings message is used to provide valuation detail for each financial instrument held in a portfolio. The message should be sent at a frequency agreed bi-laterally between the account servicer and the account owner.

This message can only be used to list the holdings of a single (master) account. However, it is possible to break down these holdings into one or several sub-accounts. Therefore, the message can be used to either specify holdings at

- the main account level, or,
- the sub-account level.

This message can be used to report where the financial instruments are safe-kept, physically or notionally. If a security is held in more than one safekeeping place, this can also be indicated.

The AccountingStatementOfHoldings message should not be used for trading purposes.

Outline

The AccountingStatementOfHoldingsV02 MessageDefinition is composed of 10 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies a message from a business application standpoint.
- B. PreviousReference
Reference to a linked message that was previously sent.
- C. RelatedReference
Reference to a linked message that was previously received.
- D. MessagePagination
Pagination of the message.

E. StatementGeneralDetails

General information related to the accounting statement of holdings.

F. AccountDetails

The safekeeping or investment account.

G. BalanceForAccount

Net position of a segregated holding, in a single security, within the overall position held in a securities account.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. TotalValues

Value of total holdings reported.

J. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

19.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctgStmntOfHldgsV02>	[1..1]		C7	
	MessageIdentification <MsgId>	[1..1]	±		494
	PreviousReference <PrvsRef>	[0..*]	±		494
	RelatedReference <RltdRef>	[0..*]	±		495
	MessagePagination <MsgPgntn>	[1..1]	±		495
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			495
	Reference <Ref>	[1..1]	Text		496
	StatementDateTime <StmntDtTm>	[1..1]	±		496
	CreationDateTime <CreDtTm>	[0..1]	±		496
	Frequency <Frqcy>	[1..1]	±		496
	UpdateType <UpdTp>	[1..1]	±		496
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		497
	StatementBasis <StmntBsis>	[1..1]	±		497
	ReportNumber <RptNb>	[0..1]	Text		497
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		497
	AccountDetails <AcctDtls>	[1..1]			497
	Identification <Id>	[1..1]	±		498
	FungibleIndicator <FngblInd>	[1..1]	Indicator		498
	Name <Nm>	[0..1]	Text		498
	Designation <Dsgnt>	[0..1]	Text		499
	IntermediaryInformation <IntrmyInf>	[0..10]	±		499
	AccountOwner <AcctOwnr>	[0..1]	±		499
	AccountServicer <AcctSvcr>	[0..1]	±		499
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	500
	SubAccountDetails <SubAcctDtls>	[0..*]	±		501
	TotalValues <TtlVals>	[0..1]	±		501
	Extension <Xtnsn>	[0..*]	±	C11	501

19.3 Constraints

C1 **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C7 **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

This constraint is defined at the MessageDefinition level.

C8 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C14 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C15 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

19.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

19.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

19.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

19.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference2" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

19.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see "Pagination" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

19.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the accounting statement of holdings.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		496
	StatementDateTime <StmntDtTm>	[1..1]	±		496
	CreationDateTime <CreDtTm>	[0..1]	±		496
	Frequency <Frqcy>	[1..1]	±		496
	UpdateType <UpdTp>	[1..1]	±		496
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		497
	StatementBasis <StmntBsis>	[1..1]	±		497
	ReportNumber <RptNb>	[0..1]	Text		497
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		497

19.4.5.1 Reference <Ref>*Presence:* [1..1]*Definition:* Reference of the statement.*Datatype:* "Max35Text" on page 2764**19.4.5.2 StatementDateTime <StmtDtTm>***Presence:* [1..1]*Definition:* Date of the statement.**StatementDateTime <StmtDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

19.4.5.3 CreationDateTime <CreDtTm>*Presence:* [0..1]*Definition:* Preparation date of the statement.**CreationDateTime <CreDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

19.4.5.4 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.**Frequency <Frqcy>** contains one of the following elements (see "FrequencyCodeAndDSSCode1Choice" on page 2331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2331
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2331

19.4.5.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "StatementUpdateTypeCodeAndDSSCodeChoice" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2448
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2448

19.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

19.4.5.7 StatementBasis <StmntBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "StatementBasisCodeAndDSSCodeChoice" on page 2447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2447
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2447

19.4.5.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 2765

19.4.5.9 AuditedIndicator <AudtdInd>

Presence: [1..1]

Definition: Indicates whether the statement is audited.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

19.4.6 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: The safekeeping or investment account.

AccountDetails <AcctDtls> contains the following **SafekeepingAccount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		498
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		498
	Name <Nm>	[0..1]	Text		498
	Designation <Dsgnt>	[0..1]	Text		499
	IntermediaryInformation <IntrmyInf>	[0..10]	±		499
	AccountOwner <AcctOwnr>	[0..1]	±		499
	AccountServicer <AcctSvcr>	[0..1]	±		499

19.4.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

19.4.6.2 FungibleIndicator <Fngblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

19.4.6.3 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

19.4.6.4 Designation <Dsgnt>*Presence:* [0..1]*Definition:* Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.*Datatype:* "Max35Text" on page 2764**19.4.6.5 IntermediaryInformation <IntrmyInf>***Presence:* [0..10]*Definition:* Party that provides services relating to financial products to investors, eg, advice on products and placement of orders for the investment fund.**IntermediaryInformation <IntrmyInf>** contains the following elements (see "Intermediary11" on page 2049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2050
	Account <Acct>	[0..1]	±		2050
{Or	Role <Role>	[0..1]	CodeSet		2050
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		2051

19.4.6.6 AccountOwner <AcctOwnr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**AccountOwner <AcctOwnr>** contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

19.4.6.7 AccountServicer <AcctSvcr>*Presence:* [0..1]*Definition:* Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see ["PartyIdentification2Choice"](#) on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

19.4.7 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: C1 "AccruedInterestAmountSignRule", C6 "BalanceAtSafekeepingPlaceRule"

BalanceForAccount <BalForAcct> contains the following elements (see ["AggregateBalanceInformation3"](#) on page 1282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1283
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1283
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1283
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1283
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1284
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1284
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1284
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1285
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1285
	PriceDetails <PricDtls>	[1..*]	±	C15	1286
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1287
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1287
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1287
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1288

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

19.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification3](#)" on page 1249 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1249
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1250
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1250
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1250

19.4.9 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1281
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1281

19.4.10 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C11 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

20 **semt.004.001.02**

CustodyStatementOfHoldingsCancellationV02

20.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent, sends the CustodyStatementOfHoldingsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent CustodyStatementOfHoldings message.

Usage

The CustodyStatementOfHoldingsCancellation message is used to cancel a previously sent CustodyStatementOfHoldings message. This message must contain the reference of the message to be cancelled.

This message may also contain details of the message to be cancelled, but this is not recommended.

Outline

The CustodyStatementOfHoldingsCancellationV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

E. StatementToBeCancelled

The Custody Statement of Holdings message to cancel.

20.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CtdyStmntOfHldgsCxIV02>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		505
	PreviousReference <PrvsRef>	[0..1]	±		506
	RelatedReference <RltdRef>	[0..1]	±		506
	MessagePagination <MsgPgntn>	[1..1]	±		506
	StatementToBeCancelled <StmntToBeCanc>	[0..1]	±	C9	507

20.3 Constraints

C1 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C6 AggregateQuantityGuideline

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

C7 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C8 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C9 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

C10 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C15 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C16 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C17 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

20.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

20.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

20.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

20.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

20.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

MessagePagination <MsgPgntn> contains the following elements (see "[Pagination](#)" on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

20.4.5 StatementToBeCancelled <StmtToBeCanc>

Presence: [0..1]

Definition: The Custody Statement of Holdings message to cancel.

Impacted by: C9 "BalanceForAccountOrSubAccountDetailsRule"

StatementToBeCancelled <StmtToBeCanc> contains the following elements (see "CustodyStatementOfHoldings2" on page 1272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmtGnDtls>	[0..1]			1273
	Reference <Ref>	[1..1]	Text		1274
	StatementDateTime <StmtDtTm>	[1..1]	±		1274
	CreationDateTime <CreDtTm>	[0..1]	±		1274
	Frequency <Frqcy>	[1..1]	±		1274
	UpdateType <UpdTp>	[1..1]	±		1275
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1275
	StatementBasis <StmtBsis>	[1..1]	±		1275
	ReportNumber <RptNb>	[0..1]	Text		1275
	AccountDetails <AcctDtls>	[0..1]			1276
	Identification <Id>	[1..1]	±		1276
	FungibleIndicator <FngblInd>	[1..1]	Indicator		1276
	Name <Nm>	[0..1]	Text		1276
	Designation <Dsgnt>	[0..1]	Text		1277
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1277
	AccountOwner <AcctOwnr>	[0..1]	±		1277
	AccountServicer <AcctSvcr>	[0..1]	±		1277
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	1278
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1280
	TotalValues <TtlVals>	[0..1]	±		1280
	Extension <Xtnsn>	[0..*]	±	C16	1280

Constraints

- BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

21 **semt.005.001.02**

AccountingStatementOfHoldingsCancellationV02

21.1 MessageDefinition Functionality

Scope

An account servicer, for example, a transfer agent, sends the AccountingStatementOfHoldingsCancellation message to the account owner, for example, a fund manager or an account owner's designated agent, to notify the cancellation of a previously sent AccountingStatementOfHoldings message.

Usage

The AccountingStatementOfHoldingsCancellation message is used to cancel a previously sent AccountingStatementOfHoldings message. This message must contain the reference of the message to be cancelled.

This message may also contain all the details of the message to be cancelled, but this is not recommended.

Outline

The AccountingStatementOfHoldingsCancellationV02 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementToBeCancelled

The Accounting Statement of Holdings message to cancel.

21.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctgStmntOfHldgsCxlV02>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		510
	PreviousReference <PrvsRef>	[0..1]	±		511
	RelatedReference <RltdRef>	[0..1]	±		511
	MessagePagination <MsgPgntn>	[1..1]	±		511
	StatementToBeCancelled <StmntToBeCanc>	[0..1]	±	C7	511

21.3 Constraints

C1 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C2 AccruedInterestAmountSignRule

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BalanceAtSafekeepingPlaceRule

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

C7 BalanceForAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FinancialInstrumentIdentificationGuideline

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

C13 Party1Rule

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

C14 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C15 ValueRule

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

21.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

21.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

21.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference2"](#) on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

21.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

21.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

21.4.5 StatementToBeCancelled <StmntToBeCanc>

Presence: [0..1]

Definition: The Accounting Statement of Holdings message to cancel.

Impacted by: [C7 "BalanceForAccountOrSubAccountDetailsRule"](#)

StatementToBeCancelled <StmtToBeCanc> contains the following elements (see "AccountingStatementOfHoldings2" on page 1264 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmtGnlDtls>	[0..1]			1265
	Reference <Ref>	[1..1]	Text		1266
	StatementDateTime <StmtDtTm>	[1..1]	±		1266
	CreationDateTime <CreDtTm>	[0..1]	±		1266
	Frequency <Frqcy>	[1..1]	±		1267
	UpdateType <UpdTp>	[1..1]	±		1267
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1267
	StatementBasis <StmtBsis>	[1..1]	±		1267
	ReportNumber <RptNb>	[0..1]	Text		1267
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		1268
	AccountDetails <AcctDtls>	[0..1]			1268
	Identification <Id>	[1..1]	±		1268
	FungibleIndicator <FngblInd>	[1..1]	Indicator		1268
	Name <Nm>	[0..1]	Text		1269
	Designation <Dsgnt>	[0..1]	Text		1269
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1269
	AccountOwner <AcctOwnr>	[0..1]	±		1269
	AccountServicer <AcctSvcr>	[0..1]	±		1270
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	1270
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1271
	TotalValues <TtlVals>	[0..1]	±		1272
	Extension <Xtnsn>	[0..*]	±	C16	1272

Constraints

- BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

22 **semt.006.001.03**

StatementOfInvestmentFundTransactionsV03

22.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactions message to the account owner, for example, an investment manager or its authorised representative to provide detailed transactions (increases and decreases) of holdings which occurred during a specified period of time.

Usage

The StatementOfInvestmentFundTransactions message is used to list the holdings transactions of a single (master) account or several sub-accounts.

This message should be used at a frequency agreed bi-laterally between the account servicer and the account owner.

This message must not be used in place of confirmation messages.

Outline

The StatementOfInvestmentFundTransactionsV03 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementGeneralDetails

General information related to the investment fund statement of transactions.

F. InvestmentAccountDetails

Information related to an investment account.

G. TransactionOnAccount

Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

H. SubAccountDetails

The sub-account of the safekeeping or investment account.

I. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

22.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <StmntOfInvstmtFndTx>	[1..1]		C7	
	MessageIdentification <MsgId>	[1..1]	±		519
	PreviousReference <PrvsRef>	[0..*]	±		519
	RelatedReference <RltdRef>	[0..*]	±		519
	MessagePagination <MsgPgntn>	[1..1]	±		520
	StatementGeneralDetails <StmntGnlDtls>	[1..1]			520
	Reference <Ref>	[1..1]	Text		520
	StatementPeriod <StmntPrd>	[1..1]	±		521
	CreationDateTime <CreDtTm>	[0..1]	±		521
	Frequency <Frqcy>	[0..1]	CodeSet		521
	UpdateType <UpdTp>	[1..1]	CodeSet		522
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		522
	ReportNumber <RptNb>	[0..1]	Text		522
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]			522
	Identification <Id>	[1..1]	±		523
	Name <Nm>	[0..1]	Text		523
	Designation <Dsgnt>	[0..1]	Text		523
	IntermediaryInformation <IntrmyInf>	[0..10]			523
	Identification <Id>	[1..1]	±		524
	Account <Acct>	[0..1]	±		524
	Role <Role>	[0..1]	±		524
	AccountServicer <AcctSvcr>	[0..1]	±		524
	TransactionOnAccount <TxOnAcct>	[0..*]			525
	Identification <Id>	[1..1]	±		527
	Name <Nm>	[0..1]	Text		527
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		528
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		528
	ClassType <ClsTp>	[0..1]	Text		528
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		528
	TransactionDetails <TxDtls>	[1..*]		C9, C10	528

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			529
{Or	TransactionType <TxTp>	[1..1]	±		530
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		530
	BookingStatus <BookgSts>	[0..1]	CodeSet		530
	MasterReference <MstrRef>	[0..1]	Text		530
	OrderReference <OrdrRef>	[0..1]	Text		531
	ClientReference <ClntRef>	[0..1]	Text		531
	DealReference <DealRef>	[0..1]	Text		531
	LegIdentification <LegId>	[0..1]	Text		531
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		531
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		531
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		531
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		531
	UnitsQuantity <UnitsQty>	[1..1]	±		532
	CreditDebit <CdtDbt>	[1..1]	CodeSet		532
	Reversal <Rvsl>	[0..1]	CodeSet		532
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	532
	SettlementDate <SttlmDt>	[0..1]	Date		533
	TradeDateTime <TradDtTm>	[1..1]	±		533
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		533
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		533
	PriceDetails <PricDtls>	[0..1]	±		534
	BalanceByPage <BalByPg>	[0..1]			534
	OpeningBalance <OpngBal>	[0..1]			534
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		534
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		535
	ClosingBalance <ClsgBal>	[0..1]			535
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		535
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		535
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	536
	Identification <Id>	[1..1]	±		538

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		538
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			539
	Identification <Id>	[1..1]	±		541
	Name <Nm>	[0..1]	Text		541
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		542
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		542
	ClassType <ClssTp>	[0..1]	Text		542
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		542
	TransactionDetails <TxDtls>	[1..*]		C9, C10	542
	EventType <EvtTp>	[1..1]			543
{Or	TransactionType <TxTp>	[1..1]	±		544
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		544
	BookingStatus <BookgSts>	[0..1]	CodeSet		544
	MasterReference <MstrRef>	[0..1]	Text		544
	OrderReference <OrdRef>	[0..1]	Text		545
	ClientReference <ClntRef>	[0..1]	Text		545
	DealReference <DealRef>	[0..1]	Text		545
	LegIdentification <LegId>	[0..1]	Text		545
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		545
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		545
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		545
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		545
	UnitsQuantity <UnitsQty>	[1..1]	±		546
	CreditDebit <CdtDbt>	[1..1]	CodeSet		546
	Reversal <Rvsl>	[0..1]	CodeSet		546
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	546
	SettlementDate <SttlmDt>	[0..1]	Date		547
	TradeDateTime <TradDtTm>	[1..1]	±		547
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		547
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		547
	PriceDetails <PricDtls>	[0..1]	±		548

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	BalanceByPage <BalByPg>	[0..1]			548
	OpeningBalance <OpngBal>	[0..1]			548
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		548
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		549
	ClosingBalance <ClsgBal>	[0..1]			549
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		549
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		549
	Extension <Xtnsn>	[0..*]	±	C5	550

22.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C5 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C6 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C7 TransactionOnAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

This constraint is defined at the MessageDefinition level.

C8 TransactionOnSubAccountRule

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

C9 TransactionTypeLegExecutionIdentificationRule

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

C10 TransactionTypeLegIdentificationRule

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

22.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

22.4.2 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

22.4.3 RelatedReference <RltdRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

22.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

22.4.5 StatementGeneralDetails <StmntGnlDtls>

Presence: [1..1]

Definition: General information related to the investment fund statement of transactions.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		520
	StatementPeriod <StmntPrd>	[1..1]	±		521
	CreationDateTime <CreDtTm>	[0..1]	±		521
	Frequency <Frqcy>	[0..1]	CodeSet		521
	UpdateType <UpdTp>	[1..1]	CodeSet		522
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		522
	ReportNumber <RptNb>	[0..1]	Text		522

22.4.5.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: ["Max35Text"](#) on page 2764

22.4.5.2 StatementPeriod <StmtPrd>*Presence:* [1..1]*Definition:* Period on which the statement is reporting.**StatementPeriod <StmtPrd>** contains the following elements (see ["DatePeriodDetails"](#) on page 1412 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1412
	ToDate <ToDt>	[1..1]	Date		1412

22.4.5.3 CreationDateTime <CreDtTm>*Presence:* [0..1]*Definition:* Creation date of the statement.**CreationDateTime <CreDtTm>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

22.4.5.4 Frequency <Frqcy>*Presence:* [0..1]*Definition:* Frequency of the statement.*Datatype:* ["EventFrequency1Code"](#) on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.

CodeName	Name	Definition
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

22.4.5.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Specifies if the statement is complete or only contains changes.

Datatype: "StatementUpdateTypeCode" on page 2725

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

22.4.5.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.5.7 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "Max5NumericText" on page 2765

22.4.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Information related to an investment account.

InvestmentAccountDetails <InvstmtAcctDtls> contains the following **InvestmentAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		523
	Name <Nm>	[0..1]	Text		523
	Designation <Dsgnt>	[0..1]	Text		523
	IntermediaryInformation <IntrmyInf>	[0..10]			523
	Identification <Id>	[1..1]	±		524
	Account <Acct>	[0..1]	±		524
	Role <Role>	[0..1]	±		524
	AccountServicer <AcctSvcr>	[0..1]	±		524

22.4.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see ["AccountIdentification1"](#) on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

22.4.6.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2764

22.4.6.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: ["Max35Text"](#) on page 2764

22.4.6.4 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following **Intermediary27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		524
	Account <Acct>	[0..1]	±		524
	Role <Role>	[0..1]	±		524

22.4.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

22.4.6.4.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "[Account7](#)" on page 1244 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1244
	AccountServicer <AcctSvcr>	[0..1]	±		1244

22.4.6.4.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "[Role4Choice](#)" on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

22.4.6.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

22.4.7 TransactionOnAccount <TxOnAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnAccount <TxOnAcct> contains the following **InvestmentFundTransactionsByFund3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		527
	Name <Nm>	[0..1]	Text		527
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		528
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		528
	ClassType <ClsTp>	[0..1]	Text		528
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		528
	TransactionDetails <TxDtls>	[1..*]		C9, C10	528
	EventType <EvtTp>	[1..1]			529
{Or	TransactionType <TxTp>	[1..1]	±		530
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		530
	BookingStatus <BookgSts>	[0..1]	CodeSet		530
	MasterReference <MstrRef>	[0..1]	Text		530
	OrderReference <OrdrRef>	[0..1]	Text		531
	ClientReference <ClntRef>	[0..1]	Text		531
	DealReference <DealRef>	[0..1]	Text		531
	LegIdentification <LegId>	[0..1]	Text		531
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		531
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		531
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		531
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		531
	UnitsQuantity <UnitsQty>	[1..1]	±		532
	CreditDebit <CdtDbt>	[1..1]	CodeSet		532
	Reversal <Rvs/>	[0..1]	CodeSet		532
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	532
	SettlementDate <SttlmDt>	[0..1]	Date		533
	TradeDateTime <TradDtTm>	[1..1]	±		533
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		533
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		533
	PriceDetails <PricDtls>	[0..1]	±		534
	BalanceByPage <BalByPg>	[0..1]			534

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			534
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		534
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		535
	ClosingBalance <ClsgBal>	[0..1]			535
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		535
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		535

22.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

22.4.7.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2764

22.4.7.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**22.4.7.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

22.4.7.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**22.4.7.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

22.4.7.7 TransactionDetails <TxDtIs>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			529
{Or	TransactionType <TxTp>	[1..1]	±		530
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		530
	BookingStatus <BookgSts>	[0..1]	CodeSet		530
	MasterReference <MstrRef>	[0..1]	Text		530
	OrderReference <OrdrRef>	[0..1]	Text		531
	ClientReference <ClntRef>	[0..1]	Text		531
	DealReference <DealRef>	[0..1]	Text		531
	LegIdentification <LegId>	[0..1]	Text		531
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		531
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		531
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		531
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		531
	UnitsQuantity <UnitsQty>	[1..1]	±		532
	CreditDebit <CdtDbt>	[1..1]	CodeSet		532
	Reversal <Rvsl>	[0..1]	CodeSet		532
	SettlementAmount <StlmAmt>	[0..1]	Amount	C1, C4	532
	SettlementDate <StlmDt>	[0..1]	Date		533
	TradeDateTime <TradDtTm>	[1..1]	±		533
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		533
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		533
	PriceDetails <PricDtIs>	[0..1]	±		534

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4.7.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		530
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		530

22.4.7.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see "[TransactionType2Choice](#)" on page 2583 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2583
Or}	Proprietary <Prtry>	[1..1]	±		2584

22.4.7.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see "[CorporateAction1Choice](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2586
Or}	Proprietary <Prtry>	[1..1]	±		2588

22.4.7.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: "[TransactionStatus1Code](#)" on page 2738

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

22.4.7.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

22.4.7.7.4 OrderReference <OrdrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

22.4.7.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

22.4.7.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2764

22.4.7.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2764

22.4.7.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

22.4.7.7.9 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

22.4.7.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.11 RegisteredTransactionIndicator <RegdTxDInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.7.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2663

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

22.4.7.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2718

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

22.4.7.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: C1 ["ActiveCurrency"](#), C4 ["CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

22.4.7.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2749

22.4.7.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

22.4.7.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.7.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2302
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304
	Value <Val>	[1..1]	±		2304
	PriceMethod <PricMtd>	[0..1]	CodeSet		2304

22.4.7.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			534
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		534
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		535
	ClosingBalance <ClsgBal>	[0..1]			535
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		535
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		535

22.4.7.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		534
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		535

22.4.7.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.7.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.7.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		535
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		535

22.4.7.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.7.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.8 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

Impacted by: C8 "TransactionOnSubAccountRule"

SubAccountDetails <SubAcctDtls> contains the following **SubAccountIdentification36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		538
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		538
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			539
	Identification <Id>	[1..1]	±		541
	Name <Nm>	[0..1]	Text		541
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		542
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		542
	ClassType <ClassTp>	[0..1]	Text		542
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		542
	TransactionDetails <TxDtls>	[1..*]		C9, C10	542
	EventType <EvtTp>	[1..1]			543
{Or	TransactionType <TxTp>	[1..1]	±		544
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		544
	BookingStatus <BookgSts>	[0..1]	CodeSet		544
	MasterReference <MstrRef>	[0..1]	Text		544
	OrderReference <OrdRef>	[0..1]	Text		545
	ClientReference <ClntRef>	[0..1]	Text		545
	DealReference <DealRef>	[0..1]	Text		545
	LegIdentification <LegId>	[0..1]	Text		545
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		545
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		545
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		545
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		545
	UnitsQuantity <UnitsQty>	[1..1]	±		546
	CreditDebit <CdtDbt>	[1..1]	CodeSet		546
	Reversal <Rvsl>	[0..1]	CodeSet		546
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	546
	SettlementDate <SttlmDt>	[0..1]	Date		547
	TradeDateTime <TradDtTm>	[1..1]	±		547
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		547
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		547

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		548
	BalanceByPage <BalByPg>	[0..1]			548
	OpeningBalance <OpngBal>	[0..1]			548
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		548
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		549
	ClosingBalance <ClsgBal>	[0..1]			549
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		549
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		549

Constraints

- **TransactionOnSubAccountRule**

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

22.4.8.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see ["AccountIdentificationFormatChoice"](#) on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

22.4.8.2 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3 TransactionOnSubAccount <TxOnSubAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnSubAccount <TxOnSubAcct> contains the following
InvestmentFundTransactionsByFund3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		541
	Name <Nm>	[0..1]	Text		541
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		542
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		542
	ClassType <ClsTp>	[0..1]	Text		542
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		542
	TransactionDetails <TxDtIs>	[1..*]		C9, C10	542
	EventType <EvtTp>	[1..1]			543
{Or	TransactionType <TxTp>	[1..1]	±		544
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		544
	BookingStatus <BookgSts>	[0..1]	CodeSet		544
	MasterReference <MstrRef>	[0..1]	Text		544
	OrderReference <OrdrRef>	[0..1]	Text		545
	ClientReference <ClntRef>	[0..1]	Text		545
	DealReference <DealRef>	[0..1]	Text		545
	LegIdentification <LegId>	[0..1]	Text		545
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		545
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		545
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		545
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		545
	UnitsQuantity <UnitsQty>	[1..1]	±		546
	CreditDebit <CdtDbt>	[1..1]	CodeSet		546
	Reversal <Rvs/>	[0..1]	CodeSet		546
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	546
	SettlementDate <SttlmDt>	[0..1]	Date		547
	TradeDateTime <TradDtTm>	[1..1]	±		547
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		547
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		547
	PriceDetails <PricDtIs>	[0..1]	±		548
	BalanceByPage <BalByPg>	[0..1]			548

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			548
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		548
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		549
	ClosingBalance <ClsgBal>	[0..1]			549
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		549
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		549

22.4.8.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification3Choice" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

22.4.8.3.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2764

22.4.8.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**22.4.8.3.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

22.4.8.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**22.4.8.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

22.4.8.3.7 TransactionDetails <TxDtIs>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			543
{Or	TransactionType <TxTp>	[1..1]	±		544
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		544
	BookingStatus <BookgSts>	[0..1]	CodeSet		544
	MasterReference <MstrRef>	[0..1]	Text		544
	OrderReference <OrdrRef>	[0..1]	Text		545
	ClientReference <ClntRef>	[0..1]	Text		545
	DealReference <DealRef>	[0..1]	Text		545
	LegIdentification <LegId>	[0..1]	Text		545
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		545
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		545
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		545
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		545
	UnitsQuantity <UnitsQty>	[1..1]	±		546
	CreditDebit <CdtDbt>	[1..1]	CodeSet		546
	Reversal <Rvsl>	[0..1]	CodeSet		546
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	546
	SettlementDate <StlmlDt>	[0..1]	Date		547
	TradeDateTime <TradDtTm>	[1..1]	±		547
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		547
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		547
	PriceDetails <PricDtIs>	[0..1]	±		548

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

22.4.8.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		544
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		544

22.4.8.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see "[TransactionType2Choice](#)" on page 2583 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2583
Or}	Proprietary <Prtry>	[1..1]	±		2584

22.4.8.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see "[CorporateAction1Choice](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2586
Or}	Proprietary <Prtry>	[1..1]	±		2588

22.4.8.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: "[TransactionStatus1Code](#)" on page 2738

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

22.4.8.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.4 OrderReference <OrdRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

22.4.8.3.7.9 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

22.4.8.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.11 RegisteredTransactionIndicator <RegdTxDInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.8.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2663

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

22.4.8.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2718

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

22.4.8.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

22.4.8.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2749

22.4.8.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

22.4.8.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

22.4.8.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2302
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304
	Value <Val>	[1..1]	±		2304
	PriceMethod <PricMtd>	[0..1]	CodeSet		2304

22.4.8.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			548
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		548
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		549
	ClosingBalance <ClsgBal>	[0..1]			549
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		549
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		549

22.4.8.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		548
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		549

22.4.8.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.8.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.8.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		549
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		549

22.4.8.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.8.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

22.4.9 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

23 **semt.007.001.03**

StatementOfInvestmentFundTransactionsCancellationV03

23.1 **MessageDefinition Functionality**

Scope

An account servicer, for example, a transfer agent sends the StatementOfInvestmentFundTransactionsCancellation message to the account owner, for example, an investment manager or its authorised representative to cancel a previously sent StatementOfInvestmentFundTransactions message.

Usage

The StatementOfInvestmentFundTransactionsCancellation message is used to cancel a previously sent StatementOfInvestmentFundTransactions message. This message must contain the reference of the message to be cancelled.

This message may also contain all the details of the message to be cancelled, but this is not recommended.

Outline

The StatementOfInvestmentFundTransactionsCancellationV03 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies a message from a business application standpoint.

B. PreviousReference

Reference to a linked message that was previously sent.

C. RelatedReference

Reference to a linked message that was previously received.

D. MessagePagination

Pagination of the message.

E. StatementToBeCancelled

The Statement of Investment Fund Transactions message to cancel.

23.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <StmntOfInvstmtFndTxscxl></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		556
	PreviousReference <PrvsRef>	[0..1]	±		556
	RelatedReference <RltdRef>	[0..1]	±		556
	MessagePagination <MsgPgntn>	[1..1]	±		557
	StatementToBeCancelled <StmntToBeCanc>	[0..1]		C7	557
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			561
	Reference <Ref>	[1..1]	Text		561
	StatementPeriod <StmntPrd>	[1..1]	±		561
	CreationDateTime <CreDtTm>	[0..1]	±		562
	Frequency <Frqcy>	[0..1]	CodeSet		562
	UpdateType <UpdTp>	[1..1]	CodeSet		563
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		563
	ReportNumber <RptNb>	[0..1]	Text		563
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			563
	Identification <Id>	[1..1]	±		563
	Name <Nm>	[0..1]	Text		564
	Designation <Dsgnt>	[0..1]	Text		564
	IntermediaryInformation <IntrmyInf>	[0..10]			564
	Identification <Id>	[1..1]	±		564
	Account <Acct>	[0..1]	±		565
	Role <Role>	[0..1]	±		565
	AccountServicer <AcctSvcr>	[0..1]	±		565
	TransactionOnAccount <TxOnAcct>	[0..*]			566
	Identification <Id>	[1..1]	±		568
	Name <Nm>	[0..1]	Text		568
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		569
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		569
	ClassType <ClsTp>	[0..1]	Text		569
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		569

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionDetails <TxDtls>	[1..*]		C9, C10	569
	EventType <EvtTp>	[1..1]			570
{Or	TransactionType <TxTp>	[1..1]	±		571
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		571
	BookingStatus <BookgSts>	[0..1]	CodeSet		571
	MasterReference <MstrRef>	[0..1]	Text		571
	OrderReference <OrdRef>	[0..1]	Text		572
	ClientReference <ClntRef>	[0..1]	Text		572
	DealReference <DealRef>	[0..1]	Text		572
	LegIdentification <LegId>	[0..1]	Text		572
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		572
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		572
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		572
	RegisteredTransactionIndicator <RegdTxDInd>	[1..1]	Indicator		572
	UnitsQuantity <UnitsQty>	[1..1]	±		573
	CreditDebit <CdtDbt>	[1..1]	CodeSet		573
	Reversal <Rvsl>	[0..1]	CodeSet		573
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	573
	SettlementDate <SttlmDt>	[0..1]	Date		574
	TradeDateTime <TradDtTm>	[1..1]	±		574
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		574
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		574
	PriceDetails <PricDtls>	[0..1]	±		575
	BalanceByPage <BalByPg>	[0..1]			575
	OpeningBalance <OpngBal>	[0..1]			575
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		575
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		576
	ClosingBalance <ClsgBal>	[0..1]			576
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		576
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		576
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	577

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		579
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		579
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			579
	Identification <Id>	[1..1]	±		582
	Name <Nm>	[0..1]	Text		582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		583
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		583
	ClassType <Clsstyp>	[0..1]	Text		583
	DistributionPolicy <Dstrbtply>	[0..1]	CodeSet		583
	TransactionDetails <TxDtls>	[1..*]		C9, C10	583
	EventType <EvtTp>	[1..1]			584
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585
	BookingStatus <Bookgsts>	[0..1]	CodeSet		585
	MasterReference <MstrRef>	[0..1]	Text		585
	OrderReference <OrdRef>	[0..1]	Text		586
	ClientReference <ClntRef>	[0..1]	Text		586
	DealReference <DealRef>	[0..1]	Text		586
	LegIdentification <LegId>	[0..1]	Text		586
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		586
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		586
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		586
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		586
	UnitsQuantity <UnitsQty>	[1..1]	±		587
	CreditDebit <CdtDbt>	[1..1]	CodeSet		587
	Reversal <Rvsl>	[0..1]	CodeSet		587
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	587
	SettlementDate <SttlmDt>	[0..1]	Date		588
	TradeDateTime <TradDtTm>	[1..1]	±		588
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		588
	PartiallyExecutedIndicator <PrtylExctdInd>	[1..1]	Indicator		588

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		589
	BalanceByPage <BalByPg>	[0..1]			589
	OpeningBalance <OpngBal>	[0..1]			589
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590
	ClosingBalance <ClsgBal>	[0..1]			590
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590
	Extension <Xtnsn>	[0..*]	±	C5	591

23.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C5 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C6 StreetNameAndOrPostOfficeBoxRule

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

C7 TransactionOnAccountOrSubAccountDetailsRule

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails must

be present. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

C8 TransactionOnSubAccountRule

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

C9 TransactionTypeLegExecutionIdentificationRule

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

C10 TransactionTypeLegIdentificationRule

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

23.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies a message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

23.4.2 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference2](#)" on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

23.4.3 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference2"](#) on page 1631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

23.4.4 MessagePagination <MsgPgntn>

Presence: [1..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

23.4.5 StatementToBeCancelled <StmntToBeCanc>

Presence: [0..1]

Definition: The Statement of Investment Fund Transactions message to cancel.

Impacted by: [C7 "TransactionOnAccountOrSubAccountDetailsRule"](#)

StatementToBeCancelled <StmntToBeCanc> contains the following
StatementOfInvestmentFundTransactions3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			561
	Reference <Ref>	[1..1]	Text		561
	StatementPeriod <StmntPrd>	[1..1]	±		561
	CreationDateTime <CreDtTm>	[0..1]	±		562
	Frequency <Frqcy>	[0..1]	CodeSet		562
	UpdateType <UpdTp>	[1..1]	CodeSet		563
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		563
	ReportNumber <RptNb>	[0..1]	Text		563
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]			563
	Identification <Id>	[1..1]	±		563
	Name <Nm>	[0..1]	Text		564
	Designation <Dsgnt>	[0..1]	Text		564
	IntermediaryInformation <IntrmyInf>	[0..10]			564
	Identification <Id>	[1..1]	±		564
	Account <Acct>	[0..1]	±		565
	Role <Role>	[0..1]	±		565
	AccountServicer <AcctSvcr>	[0..1]	±		565
	TransactionOnAccount <TxOnAcct>	[0..*]			566
	Identification <Id>	[1..1]	±		568
	Name <Nm>	[0..1]	Text		568
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		569
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		569
	ClassType <ClssTp>	[0..1]	Text		569
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		569
	TransactionDetails <TxDtls>	[1..*]		C9, C10	569
	EventType <EvtTp>	[1..1]			570
{Or	TransactionType <TxTp>	[1..1]	±		571
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		571
	BookingStatus <BookgSts>	[0..1]	CodeSet		571
	MasterReference <MstrRef>	[0..1]	Text		571

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[0..1]	Text		572
	ClientReference <ClntRef>	[0..1]	Text		572
	DealReference <DealRef>	[0..1]	Text		572
	LegIdentification <LegId>	[0..1]	Text		572
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		572
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		572
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		572
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		572
	UnitsQuantity <UnitsQty>	[1..1]	±		573
	CreditDebit <CdtDbt>	[1..1]	CodeSet		573
	Reversal <Rvsl>	[0..1]	CodeSet		573
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	573
	SettlementDate <SttlmDt>	[0..1]	Date		574
	TradeDateTime <TradDtTm>	[1..1]	±		574
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		574
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		574
	PriceDetails <PricDtls>	[0..1]	±		575
	BalanceByPage <BalByPg>	[0..1]			575
	OpeningBalance <OpngBal>	[0..1]			575
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		575
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		576
	ClosingBalance <ClsgBal>	[0..1]			576
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		576
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		576
	SubAccountDetails <SubAcctDtls>	[0..*]		C8	577
	Identification <Id>	[1..1]	±		579
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		579
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			579
	Identification <Id>	[1..1]	±		582
	Name <Nm>	[0..1]	Text		582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		583

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		583
	ClassType <ClssTp>	[0..1]	Text		583
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		583
	TransactionDetails <TxDtls>	[1..*]		C9, C10	583
	EventType <EvtTp>	[1..1]			584
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585
	BookingStatus <BookgSts>	[0..1]	CodeSet		585
	MasterReference <MstrRef>	[0..1]	Text		585
	OrderReference <OrdrRef>	[0..1]	Text		586
	ClientReference <ClntRef>	[0..1]	Text		586
	DealReference <DealRef>	[0..1]	Text		586
	LegIdentification <LegId>	[0..1]	Text		586
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		586
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		586
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		586
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		586
	UnitsQuantity <UnitsQty>	[1..1]	±		587
	CreditDebit <CdtDbt>	[1..1]	CodeSet		587
	Reversal <Rvsl>	[0..1]	CodeSet		587
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	587
	SettlementDate <SttlmDt>	[0..1]	Date		588
	TradeDateTime <TradDtTm>	[1..1]	±		588
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		588
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		588
	PriceDetails <PricDtls>	[0..1]	±		589
	BalanceByPage <BalByPg>	[0..1]			589
	OpeningBalance <OpngBal>	[0..1]			589
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590
	ClosingBalance <ClsgBal>	[0..1]			590

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590
	Extension <Xtnsn>	[0..*]	±	C5	591

Constraints

- **TransactionOnAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either one or more occurrences of TransactionOnAccount or one or more occurrences of SubAccountDetails must be present. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then TransactionOnAccount and SubAccountDetails are not allowed.

23.4.5.1 StatementGeneralDetails <StmtGnlDtls>

Presence: [0..1]

Definition: General information related to the investment fund statement of transactions that is being cancelled.

StatementGeneralDetails <StmtGnlDtls> contains the following **Statement8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		561
	StatementPeriod <StmtPrd>	[1..1]	±		561
	CreationDateTime <CreDtTm>	[0..1]	±		562
	Frequency <Frqcy>	[0..1]	CodeSet		562
	UpdateType <UpdTp>	[1..1]	CodeSet		563
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		563
	ReportNumber <RptNb>	[0..1]	Text		563

23.4.5.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2764

23.4.5.1.2 StatementPeriod <StmtPrd>

Presence: [1..1]

Definition: Period on which the statement is reporting.

StatementPeriod <StmtPrd> contains the following elements (see ["DatePeriodDetails"](#) on page 1412 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1412
	ToDate <ToDt>	[1..1]	Date		1412

23.4.5.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Creation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

23.4.5.1.4 Frequency <Frqcy>

Presence: [0..1]

Definition: Frequency of the statement.

Datatype: ["EventFrequency1Code"](#) on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

23.4.5.1.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Specifies if the statement is complete or only contains changes.*Datatype:* "StatementUpdateTypeCode" on page 2725

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

23.4.5.1.6 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity reported in the statement.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.1.7 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.*Datatype:* "Max5NumericText" on page 2765**23.4.5.2 InvestmentAccountDetails <InvstmtAcctDtls>***Presence:* [0..1]*Definition:* Information related to an investment account of the statement that is being cancelled.**InvestmentAccountDetails <InvstmtAcctDtls>** contains the following **InvestmentAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		563
	Name <Nm>	[0..1]	Text		564
	Designation <Dsgnt>	[0..1]	Text		564
	IntermediaryInformation <IntrmyInf>	[0..10]			564
	Identification <Id>	[1..1]	±		564
	Account <Acct>	[0..1]	±		565
	Role <Role>	[0..1]	±		565
	AccountServicer <AcctSvcr>	[0..1]	±		565

23.4.5.2.1 Identification <Id>*Presence:* [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "AccountIdentification1" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

23.4.5.2.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

23.4.5.2.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

23.4.5.2.4 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following **Intermediary27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		564
	Account <Acct>	[0..1]	±		565
	Role <Role>	[0..1]	±		565

23.4.5.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

23.4.5.2.4.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "Account7" on page 1244 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1244
	AccountServicer <AcctSvcr>	[0..1]	±		1244

23.4.5.2.4.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "Role4Choice" on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

23.4.5.2.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

23.4.5.3 TransactionOnAccount <TxOnAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnAccount <TxOnAcct> contains the following **InvestmentFundTransactionsByFund3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		568
	Name <Nm>	[0..1]	Text		568
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		569
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		569
	ClassType <ClsTp>	[0..1]	Text		569
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		569
	TransactionDetails <TxDtIs>	[1..*]		C9, C10	569
	EventType <EvtTp>	[1..1]			570
{Or	TransactionType <TxTp>	[1..1]	±		571
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		571
	BookingStatus <BookgSts>	[0..1]	CodeSet		571
	MasterReference <MstrRef>	[0..1]	Text		571
	OrderReference <OrdrRef>	[0..1]	Text		572
	ClientReference <ClntrRef>	[0..1]	Text		572
	DealReference <DealRef>	[0..1]	Text		572
	LegIdentification <LegId>	[0..1]	Text		572
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		572
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		572
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		572
	RegisteredTransactionIndicator <RegdTxDInd>	[1..1]	Indicator		572
	UnitsQuantity <UnitsQty>	[1..1]	±		573
	CreditDebit <CdtDbt>	[1..1]	CodeSet		573
	Reversal <Rvs/ >	[0..1]	CodeSet		573
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	573
	SettlementDate <SttlmDt>	[0..1]	Date		574
	TradeDateTime <TradDtTm>	[1..1]	±		574
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		574
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		574
	PriceDetails <PricDtIs>	[0..1]	±		575
	BalanceByPage <BalByPg>	[0..1]			575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			575
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		575
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		576
	ClosingBalance <ClsgBal>	[0..1]			576
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		576
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		576

23.4.5.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "SecurityIdentification3Choice" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

23.4.5.3.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2764

23.4.5.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**23.4.5.3.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

23.4.5.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**23.4.5.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

23.4.5.3.7 TransactionDetails <TxDtls>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			570
{Or	TransactionType <TxTp>	[1..1]	±		571
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		571
	BookingStatus <BookgSts>	[0..1]	CodeSet		571
	MasterReference <MstrRef>	[0..1]	Text		571
	OrderReference <OrdrRef>	[0..1]	Text		572
	ClientReference <ClntRef>	[0..1]	Text		572
	DealReference <DealRef>	[0..1]	Text		572
	LegIdentification <LegId>	[0..1]	Text		572
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		572
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		572
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		572
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		572
	UnitsQuantity <UnitsQty>	[1..1]	±		573
	CreditDebit <CdtDbt>	[1..1]	CodeSet		573
	Reversal <Rvsl>	[0..1]	CodeSet		573
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	573
	SettlementDate <StlmlDt>	[0..1]	Date		574
	TradeDateTime <TradDtTm>	[1..1]	±		574
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		574
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		574
	PriceDetails <PricDtIs>	[0..1]	±		575

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4.5.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		571
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		571

23.4.5.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see "[TransactionType2Choice](#)" on page 2583 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2583
Or}	Proprietary <Prtry>	[1..1]	±		2584

23.4.5.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see "[CorporateAction1Choice](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2586
Or}	Proprietary <Prtry>	[1..1]	±		2588

23.4.5.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: "[TransactionStatus1Code](#)" on page 2738

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

23.4.5.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.4 OrderReference <OrdrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

23.4.5.3.7.9 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

23.4.5.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.11 RegisteredTransactionIndicator <RegdTxInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2663

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

23.4.5.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2718

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

23.4.5.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

23.4.5.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2749

23.4.5.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

23.4.5.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2302
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304
	Value <Val>	[1..1]	±		2304
	PriceMethod <PricMtd>	[0..1]	CodeSet		2304

23.4.5.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			575
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		575
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		576
	ClosingBalance <ClsgBal>	[0..1]			576
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		576
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		576

23.4.5.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		575
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		576

23.4.5.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		576
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		576

23.4.5.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: Sub-account of the safekeeping or investment account.

Impacted by: C8 "TransactionOnSubAccountRule"

SubAccountDetails <SubAcctDtls> contains the following **SubAccountIdentification36** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		579
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		579
	TransactionOnSubAccount <TxOnSubAcct>	[0..*]			579
	Identification <Id>	[1..1]	±		582
	Name <Nm>	[0..1]	Text		582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		583
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		583
	ClassType <ClassTp>	[0..1]	Text		583
	DistributionPolicy <Dstrbtpcy>	[0..1]	CodeSet		583
	TransactionDetails <TxDtls>	[1..*]		C9, C10	583
	EventType <EvtTp>	[1..1]			584
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585
	BookingStatus <BookgSts>	[0..1]	CodeSet		585
	MasterReference <MstrRef>	[0..1]	Text		585
	OrderReference <OrdrRef>	[0..1]	Text		586
	ClientReference <ClntRef>	[0..1]	Text		586
	DealReference <DealRef>	[0..1]	Text		586
	LegIdentification <LegId>	[0..1]	Text		586
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		586
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		586
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		586
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		586
	UnitsQuantity <UnitsQty>	[1..1]	±		587
	CreditDebit <CdtDbt>	[1..1]	CodeSet		587
	Reversal <Rvsl>	[0..1]	CodeSet		587
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	587
	SettlementDate <SttlmDt>	[0..1]	Date		588
	TradeDateTime <TradDtTm>	[1..1]	±		588
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		588
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		588

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceDetails <PricDtls>	[0..1]	±		589
	BalanceByPage <BalByPg>	[0..1]			589
	OpeningBalance <OpngBal>	[0..1]			589
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590
	ClosingBalance <ClsgBal>	[0..1]			590
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590

Constraints

- **TransactionOnSubAccountRule**

If ActivityIndicator is "true" or "1" (Yes), then TransactionOnSubAccount must be present. If ActivityIndicator is "false" or "0" (No), then TransactionOnSubAccount is not allowed.

23.4.5.4.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpIId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

23.4.5.4.2 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3 TransactionOnSubAccount <TxOnSubAcct>

Presence: [0..*]

Definition: Creation/cancellation of investment units on the books of the fund or its designated agent, as a result of executing an investment fund order.

TransactionOnSubAccount <TxOnSubAcct> contains the following
InvestmentFundTransactionsByFund3 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		582
	Name <Nm>	[0..1]	Text		582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		583
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		583
	ClassType <ClsTp>	[0..1]	Text		583
	DistributionPolicy <DstrbtpPlcy>	[0..1]	CodeSet		583
	TransactionDetails <TxDtls>	[1..*]		C9, C10	583
	EventType <EvtTp>	[1..1]			584
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585
	BookingStatus <BookgSts>	[0..1]	CodeSet		585
	MasterReference <MstrRef>	[0..1]	Text		585
	OrderReference <OrdrRef>	[0..1]	Text		586
	ClientReference <ClntRef>	[0..1]	Text		586
	DealReference <DealRef>	[0..1]	Text		586
	LegIdentification <LegId>	[0..1]	Text		586
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		586
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		586
	SettledTransactionIndicator <SttldTxInd>	[1..1]	Indicator		586
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		586
	UnitsQuantity <UnitsQty>	[1..1]	±		587
	CreditDebit <CdtDbt>	[1..1]	CodeSet		587
	Reversal <Rvs/>	[0..1]	CodeSet		587
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C1, C4	587
	SettlementDate <SttlmDt>	[0..1]	Date		588
	TradeDateTime <TradDtTm>	[1..1]	±		588
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		588
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		588
	PriceDetails <PricDtls>	[0..1]	±		589
	BalanceByPage <BalByPg>	[0..1]			589

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			589
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590
	ClosingBalance <ClsgBal>	[0..1]			590
{Or	FinalClosingBalance <FnClsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590

23.4.5.4.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a security by an ISIN.**Identification <Id>** contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

23.4.5.4.3.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764

23.4.5.4.3.3 SupplementaryIdentification <SplmtryId>*Presence:* [0..1]*Definition:* Additional information about a financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**23.4.5.4.3.4 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

23.4.5.4.3.5 ClassType <ClsTp>*Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**23.4.5.4.3.6 DistributionPolicy <DstrbtnPlcy>***Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

23.4.5.4.3.7 TransactionDetails <TxDtls>*Presence:* [1..*]*Definition:* Process of buying, selling, switching or transferring fund units.*Impacted by:* C9 "TransactionTypeLegExecutionIdentificationRule", C10 "TransactionTypeLegIdentificationRule"

TransactionDetails <TxDtIs> contains the following **InvestmentFundTransaction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[1..1]			584
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585
	BookingStatus <BookgSts>	[0..1]	CodeSet		585
	MasterReference <MstrRef>	[0..1]	Text		585
	OrderReference <OrdrRef>	[0..1]	Text		586
	ClientReference <ClntRef>	[0..1]	Text		586
	DealReference <DealRef>	[0..1]	Text		586
	LegIdentification <LegId>	[0..1]	Text		586
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		586
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		586
	SettledTransactionIndicator <StldTxInd>	[1..1]	Indicator		586
	RegisteredTransactionIndicator <RegdTxInd>	[1..1]	Indicator		586
	UnitsQuantity <UnitsQty>	[1..1]	±		587
	CreditDebit <CdtDbt>	[1..1]	CodeSet		587
	Reversal <Rvsl>	[0..1]	CodeSet		587
	SettlementAmount <StlmlAmt>	[0..1]	Amount	C1, C4	587
	SettlementDate <StlmlDt>	[0..1]	Date		588
	TradeDateTime <TradDtTm>	[1..1]	±		588
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		588
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		588
	PriceDetails <PricDtIs>	[0..1]	±		589

Constraints

- **TransactionTypeLegExecutionIdentificationRule**

If TransactionType is SWII or SWIO, then LegExecutionIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegExecutionIdentification is not allowed.

- **TransactionTypeLegIdentificationRule**

If TransactionType is SWII or SWIO, then LegIdentification is optional. If TransactionType is neither SWII nor SWIO, then LegIdentification is not allowed.

23.4.5.4.3.7.1 EventType <EvtTp>

Presence: [1..1]

Definition: Underlying transaction or corporate action.

EventType <EvtTp> contains one of the following **TransactionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TransactionType <TxTp>	[1..1]	±		585
Or}	CorporateActionType <CorpActnTp>	[1..1]	±		585

23.4.5.4.3.7.1.1 TransactionType <TxTp>

Presence: [1..1]

Definition: Type of investment fund transaction.

TransactionType <TxTp> contains one of the following elements (see "[TransactionType2Choice](#)" on page 2583 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2583
Or}	Proprietary <Prtry>	[1..1]	±		2584

23.4.5.4.3.7.1.2 CorporateActionType <CorpActnTp>

Presence: [1..1]

Definition: Type of corporate action event.

CorporateActionType <CorpActnTp> contains one of the following elements (see "[CorporateAction1Choice](#)" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2586
Or}	Proprietary <Prtry>	[1..1]	±		2588

23.4.5.4.3.7.2 BookingStatus <BookgSts>

Presence: [0..1]

Definition: Status of an investment fund transaction.

Datatype: "[TransactionStatus1Code](#)" on page 2738

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

23.4.5.4.3.7.3 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.4 OrderReference <OrdrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.6 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order execution, as assigned by a confirming party.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.7 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a leg within a switch.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.8 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for an instance of a leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

23.4.5.4.3.7.9 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

23.4.5.4.3.7.10 SettledTransactionIndicator <SttldTxInd>

Presence: [1..1]

Definition: Indicates whether the cash payment with respect to the executed order is settled.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.11 RegisteredTransactionIndicator <RegdTxInd>

Presence: [1..1]

Definition: Indicates whether the executed order has a registered status on the books of the transfer agent.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.12 UnitsQuantity <UnitsQty>

Presence: [1..1]

Definition: Number of investment funds units.

UnitsQuantity <UnitsQty> contains the following elements (see ["FinancialInstrumentQuantity1"](#) on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.4.3.7.13 CreditDebit <CdtDbt>

Presence: [1..1]

Definition: Direction of the transaction being reported, is, securities are received (credited) or delivered (debited).

Datatype: ["CreditDebitCode"](#) on page 2663

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

23.4.5.4.3.7.14 Reversal <Rvsl>

Presence: [0..1]

Definition: Transaction being reported is a reversal of previously reported transaction.

Datatype: ["ReversalCode"](#) on page 2718

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

23.4.5.4.3.7.15 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Amount of money to be moved between the debtor and creditor, before deduction of charges, expressed in the currency as ordered by the initiating party.

Impacted by: [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

23.4.5.4.3.7.16 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date on which the debtor expects the amount of money to be available to the creditor.

Datatype: "ISODate" on page 2749

23.4.5.4.3.7.17 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

23.4.5.4.3.7.18 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.19 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

23.4.5.4.3.7.20 PriceDetails <PricDtls>*Presence:* [0..1]*Definition:* Price at which the order was executed.**PriceDetails <PricDtls>** contains the following elements (see "UnitPrice20" on page 2302 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2302
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304
	Value <Val>	[1..1]	±		2304
	PriceMethod <PricMtd>	[0..1]	CodeSet		2304

23.4.5.4.3.8 BalanceByPage <BalByPg>*Presence:* [0..1]*Definition:* Balance of the financial instrument for this specific statement page.**BalanceByPage <BalByPg>** contains the following **PaginationBalance2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OpeningBalance <OpngBal>	[0..1]			589
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590
	ClosingBalance <ClsgBal>	[0..1]			590
{Or	FinalClosingBalance <FnlClsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590

23.4.5.4.3.8.1 OpeningBalance <OpngBal>*Presence:* [0..1]*Definition:* Opening balance of the financial instrument in the statement or of the intermediary opening balance of the page of the statement.**OpeningBalance <OpngBal>** contains one of the following **OpeningBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FirstOpeningBalance <FrstOpngBal>	[1..1]	±		589
Or}	IntermediaryOpeningBalance <IntrmyOpngBal>	[1..1]	±		590

23.4.5.4.3.8.1.1 FirstOpeningBalance <FrstOpngBal>*Presence:* [1..1]*Definition:* Opening balance of the financial instrument in the statement.

FirstOpeningBalance <FrstOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.4.3.8.1.2 IntermediaryOpeningBalance <IntrmyOpngBal>

Presence: [1..1]

Definition: Opening balance of this page only. It must be the intermediary closing balance of the previous page (part of the same statement).

IntermediaryOpeningBalance <IntrmyOpngBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.4.3.8.2 ClosingBalance <ClsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement or of the intermediary closing balance of the page of the statement.

ClosingBalance <ClsgBal> contains one of the following **ClosingBalance3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FinalClosingBalance <FnIclsgBal>	[0..1]	±		590
Or}	IntermediaryClosingBalance <IntrmyClsgBal>	[0..1]	±		590

23.4.5.4.3.8.2.1 FinalClosingBalance <FnIclsgBal>

Presence: [0..1]

Definition: Closing balance of the financial instrument in the statement.

FinalClosingBalance <FnIclsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.4.3.8.2.2 IntermediaryClosingBalance <IntrmyClsgBal>

Presence: [0..1]

Definition: Closing Balance of this page only. Must be the intermediary opening balance of the next page (part of the same statement).

IntermediaryClosingBalance <IntrmyClsgBal> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

23.4.5.5 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

24 **sese.001.001.08** **TransferOutInstructionV08**

24.1 **MessageDefinition Functionality**

Scope

The TransferOutInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the delivery of a financial instrument, free of payment, on a given date from a specified party.

This message may also be used to instruct the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.

Usage

The TransferOutInstruction message is used to instruct the withdrawal of a financial instrument from one account and deliver it to either another account or to a third party.

Outline

The TransferOutInstructionV08 MessageDefinition is composed of 11 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MasterReference
Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.
- F. TransferDetails
General information related to the transfer of the financial instrument.
- G. AccountDetails
Information related to the account from which the financial instrument is to be withdrawn.
- H. SettlementDetails
Information related to the receiving side of the transfer.
- I. MarketPracticeVersion
Identifies the market practice to which the message conforms.
- J. CopyDetails

Information provided when the message is a copy of a previous message.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

24.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrfOutInstr>	[1..1]		C24	
	MessageIdentification <MsgId>	[1..1]	±		595
	PoolReference <PoolRef>	[0..1]	±		596
	PreviousReference <PrvsRef>	[0..1]	±		596
	RelatedReference <RltdRef>	[0..1]	±		596
	MasterReference <MstrRef>	[0..1]	Text		597
	TransferDetails <TrfDtls>	[1..*]	±	C25	597
	AccountDetails <AcctDtls>	[1..1]	±		598
	SettlementDetails <SttlmDtls>	[0..1]	±	C19, C20, C22	599
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		600
	CopyDetails <CpyDtls>	[0..1]	±		601
	Extension <Xtnsn>	[0..*]	±	C14	601

24.3 Constraints

C1 AccountIdentificationGuideline

It is recommended that AccountIdentification be used to identify an account.

C2 AccountIdentificationRule

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianDetailsRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

C11 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C16 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C17 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 PhysicalTransferDetailsRule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

C20 ReceiversCustodianDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

C21 ReceiversCustodianDetailsRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

C22 ReceiversIntermediary1DetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediary1Details is not allowed.

C23 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

C24 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C25 TotalUnitsNumberRule

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C26 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

24.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

24.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

24.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

24.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

24.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

24.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: ["Max35Text"](#) on page 2764

24.4.6 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: [C25 "TotalUnitsNumberRule"](#)

TransferDetails <TrfDtls> contains the following elements (see "Transfer34" on page 2612 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2613
	ClientReference <CIntRef>	[0..1]	±		2613
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2614
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2614
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2614
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2615
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2615
	TransferReason <TrfRsn>	[0..1]	±		2615
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2615
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2616
	Quantity <Qty>	[1..1]	±		2617
	UnitsDetails <UnitsDtls>	[0..*]	±		2617
	Rounding <Rndg>	[0..1]	CodeSet		2618
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2619
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2619
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2619
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2619
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2619
	DeliveringAgentDetails <DlvrgAgtDtls>	[0..1]	±		2620
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2620

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

24.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account from which the financial instrument is to be withdrawn.

AccountDetails <AcctDtls> contains the following elements (see "InvestmentAccount66" on page 1668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..*]	±		1668
	AccountIdentification <AcctId>	[1..1]	Text		1669
	AccountName <AcctNm>	[0..1]	Text		1669
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1669
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1669
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1670
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1670
	IncomePreference <IncmPref>	[0..1]	CodeSet		1670
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1670
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1671
	AccountServicer <AcctSvcr>	[0..1]	±		1671
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1671
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C10, C11	1672

24.4.8 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the receiving side of the transfer.

Impacted by: C19 "PhysicalTransferDetailsRule", C20 "ReceiversCustodianDetailsRule", C22 "ReceiversIntermediary1DetailsRule"

SettlementDetails <SttlmDtls> contains the following elements (see "ReceiveInformation19" on page 2424 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2425
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2425
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2425
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2426
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2426
	StampDuty <StmpDty>	[0..1]	CodeSet		2426
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2427
	Fees <Fees>	[0..*]		C18	2427
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2427
	IndividualFee <IndvFee>	[0..*]	±		2428
	IndividualTax <IndvTax>	[0..*]	±		2428
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2429
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C22, C23	2430
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2432
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2432
	ClientReference <ClntRef>	[0..1]	±		2432

Constraints

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

- **ReceiversCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

- **ReceiversIntermediary1DetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediary1Details is not allowed.

24.4.9 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

24.4.10 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

24.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

25 **sese.002.001.08**

TransferOutCancellationRequestV08

25.1 **MessageDefinition Functionality**

Scope

The TransferOutCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer out instruction.

Usage

The TransferOutCancellationRequest message is used to request the cancellation of one or more transfer out instructions.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more transfer out instructions, the transfer reference of the transfer, as specified in the original TransferOutInstruction message, is specified in the transfer reference element.

The message identification of the original TransferOutInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a TransferOutCancellationRequest is made using an TransferCancellationStatusReport message.

Outline

The TransferOutCancellationRequestV08 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferReferences

Reference of the transfer to be cancelled.

G. **MarketPracticeVersion**

Identifies the market practice to which the message conforms.

H. **CopyDetails**

Information provided when the message is a copy of a previous message.

25.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrfOutCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		604
	PoolReference <PoolRef>	[0..1]	±		604
	PreviousReference <PrvsRef>	[0..1]	±		604
	RelatedReference <RltdRef>	[0..1]	±		604
	MasterReference <MstrRef>	[0..1]	Text		605
	TransferReferences <TrfRefs>	[1..*]			605
	TransferReference <TrfRef>	[1..1]	Text		605
	ClientReference <CIntRef>	[0..1]	±		605
	CounterpartyReference <CtrPtyRef>	[0..1]	±		606
	CancellationReference <CxlRef>	[0..1]	Text		606
	CancellationReason <CxlRsn>	[0..1]	Text		606
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		606
	CopyDetails <CpyDtls>	[0..1]	±		607

25.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

25.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

25.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

25.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

25.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

25.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

25.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

25.4.6 TransferReferences <TrfRefs>

Presence: [1..*]

Definition: Reference of the transfer to be cancelled.

TransferReferences <TrfRefs> contains the following **TransferReference11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		605
	ClientReference <CIntRef>	[0..1]	±		605
	CounterpartyReference <CtrPtyRef>	[0..1]	±		606
	CancellationReference <CxlRef>	[0..1]	Text		606
	CancellationReason <CxlRsn>	[0..1]	Text		606

25.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the cancellation request is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

25.4.6.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

25.4.6.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

25.4.6.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

25.4.6.5 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max35Text" on page 2764

25.4.7 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

25.4.8 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

26 **sese.003.001.08** **TransferOutConfirmationV08**

26.1 **MessageDefinition Functionality**

The TransferOutConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the delivery of a financial instrument, free of payment, on a given date, to a specified party.

This message may also be used to confirm the delivery of a financial instrument, free of payment, to another of the instructing parties own accounts or to a third party.

Usage

The TransferOutConfirmation message is used to confirm the withdrawal of a financial instrument from the owner's account and its delivery to another own account, or to a third party, has taken place.

The reference of the transfer confirmation is identified in TransferConfirmationReference. The reference of the original transfer instruction is specified in TransferReference. The message identification of the TransferOutInstruction message in which the transfer instruction was conveyed may also be quoted in RelatedReference but this is not recommended.

Outline

The TransferOutConfirmationV08 MessageDefinition is composed of 11 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. MasterReference
Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.
- F. TransferDetails
General information related to the transfer of the financial instrument.
- G. AccountDetails
Information related to the account from which the financial instrument was withdrawn.
- H. SettlementDetails
Information related to the receiving side of the transfer.
- I. MarketPracticeVersion

Identifies the market practice to which the message conforms.

J. CopyDetails

Information provided when the message is a copy of a previous message.

K. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

26.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrfOutConf>	[1..1]		C14, C25	
	MessageIdentification <MsgId>	[1..1]	±		612
	PoolReference <PoolRef>	[0..1]	±		612
	PreviousReference <PrvsRef>	[0..1]	±		612
	RelatedReference <RltdRef>	[0..1]	±		613
	MasterReference <MstrRef>	[0..1]	Text		613
	TransferDetails <TrfDtls>	[1..*]	±	C26	613
	AccountDetails <AcctDtls>	[1..1]	±		615
	SettlementDetails <SttlmDtls>	[0..1]	±	C20, C21, C24	615
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		616
	CopyDetails <CpyDtls>	[0..1]	±		617
	Extension <Xtnsn>	[0..*]	±	C15	617

26.3 Constraints

C1 AccountIdentificationGuideline

It is recommended that AccountIdentification be used to identify an account.

C2 AccountIdentificationRule

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianDetailsRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

C11 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 EffectiveSettlementDateRule

Either TransferDetails/EffectiveSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/EffectiveSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C19 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C20 PhysicalTransferDetailsRule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

C21 ReceiversCustodianDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

C22 ReceiversCustodianDetailsRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

C23 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

C24 ReceiversIntermediaryDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediaryDetails is not allowed.

C25 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C26 TotalUnitsNumberRule

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C27 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

26.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

26.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

26.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

26.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

26.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

26.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

26.4.6 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C26 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer35" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2603
	TransferReference <TrfRef>	[1..1]	Text		2603
	ClientReference <ClntRef>	[0..1]	±		2603
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2603
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2604
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2604
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2604
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2605
	TradeDate <TradDt>	[0..1]	±		2605
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2605
	TransferReason <TrfRsn>	[0..1]	±		2605
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2605
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2606
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2608
	TransferRate <TrfRate>	[0..1]	Rate		2608
	UnitsDetails <UnitsDtls>	[0..*]	±		2608
	Rounding <Rndg>	[0..1]	CodeSet		2609
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2610
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2610
	AverageDate <AvrgDt>	[0..1]	Date		2610
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2610
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2610
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2611
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2611
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2611
	DeliveringAgentDetails <DlvrAgtDtls>	[0..1]	±		2611
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2612

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

26.4.7 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account from which the financial instrument was withdrawn.

AccountDetails <AcctDtls> contains the following elements (see "[InvestmentAccount66](#)" on page 1668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1668
	AccountIdentification <AcctId>	[1..1]	Text		1669
	AccountName <AcctNm>	[0..1]	Text		1669
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1669
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1669
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1670
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1670
	IncomePreference <IncmPref>	[0..1]	CodeSet		1670
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1670
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1671
	AccountServicer <AcctSvcr>	[0..1]	±		1671
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1671
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C10, C11	1672

26.4.8 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the receiving side of the transfer.

Impacted by: [C20 "PhysicalTransferDetailsRule"](#), [C21 "ReceiversCustodianDetailsRule"](#), [C24 "ReceiversIntermediaryDetailsRule"](#)

SettlementDetails <SttlmDtls> contains the following elements (see "ReceiveInformation18" on page 2415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2416
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2416
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2416
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2417
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2417
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2417
	StampDuty <StmpDty>	[0..1]	CodeSet		2418
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2418
	Fees <Fees>	[0..*]		C18	2418
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2419
	IndividualFee <IndvFee>	[0..*]	±		2419
	IndividualTax <IndvTax>	[0..*]	±		2419
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2420
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C22, C23	2421
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2423
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2423
	ClientReference <ClntRef>	[0..1]	±		2423

Constraints

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

- **ReceiversCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

- **ReceiversIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediaryDetails is not allowed.

26.4.9 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

26.4.10 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

26.4.11 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

27 **sese.004.001.08**

ReversalOfTransferOutConfirmationV08

27.1 **MessageDefinition Functionality**

Scope

The ReversalOfTransferOutConfirmation message is sent by the executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer out confirmation.

Usage

The ReversalOfTransferOutConfirmation message is used to reverse a previously sent transfer out confirmation.

To request the reversal of a transfer out confirmation, the transfer reference of the transfer out instruction, as specified in the original TransferOutInstruction message, is specified in the transfer reference element. The executing party's reference for the execution of the transfer may also be specified in the TransferConfirmationReference element.

The message identification of the original TransferOutConfirmation message may also be quoted in PreviousReference but this is not recommended.

Outline

The ReversalOfTransferOutConfirmationV08 MessageDefinition is composed of 7 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. RelatedReference
Reference to a linked message that was previously received.
- E. ReversalReferences
Reference of the transfer confirmation to be reversed.
- F. MarketPracticeVersion
Identifies the market practice to which the message conforms.
- G. CopyDetails
Information provided when the message is a copy of a previous message.

27.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RvslOfTrfOutConf>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		619
	PoolReference <PoolRef>	[0..1]	±		620
	PreviousReference <PrvsRef>	[0..1]	±		620
	RelatedReference <RltdRef>	[0..1]	±		620
	ReversalReferences <RvslRefs>	[1..*]			621
	TransferReference <TrfRef>	[1..1]	Text		621
	ClientReference <ClntRef>	[0..1]	±		621
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		622
	CounterpartyReference <CtrPtyRef>	[0..1]	±		622
	CancellationReference <CxlRef>	[0..1]	Text		622
	ReversalReason <RvslRsn>	[0..1]	Text		622
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		622
	CopyDetails <CpyDtls>	[0..1]	±		623

27.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

27.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

27.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

27.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

27.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

27.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

27.4.5 ReversalReferences <RvslRefs>

Presence: [1..*]

Definition: Reference of the transfer confirmation to be reversed.

ReversalReferences <RvslRefs> contains the following **TransferReference12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		621
	ClientReference <ClntRef>	[0..1]	±		621
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		622
	CounterpartyReference <CtrPtyRef>	[0..1]	±		622
	CancellationReference <CxlRef>	[0..1]	Text		622
	ReversalReason <RvslRsn>	[0..1]	Text		622

27.4.5.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the confirmation reversal is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

27.4.5.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

27.4.5.3 TransferConfirmationReference <TrfConfRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the transfer execution for which the confirmation reversal is sent, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

27.4.5.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

27.4.5.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the reversal as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

27.4.5.6 ReversalReason <RvslRsn>

Presence: [0..1]

Definition: Reason for the reversal of confirmation.

Datatype: "Max35Text" on page 2764

27.4.6 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

27.4.7 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

28 **sese.005.001.08** **TransferInstructionV08**

28.1 **MessageDefinition Functionality**

The TransferInstruction message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the receipt of a financial instrument, free of payment, on a given date from a specified party.

This message may also be used to instruct the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.

Usage

The TransferInstruction message is used to instruct the receipt of a financial instrument from another account, either owned by the instructing party or by a third party.

Outline

The TransferInstructionV08 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. Function

Function of the transfer in, that is, whether the transfer in message is used as an instruction or an advice and request for information. The absence of Function indicates the message is an instruction.

F. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

G. TransferDetails

General information related to the transfer of the financial instrument.

H. AccountDetails

Information related to the account into which the financial instrument is to be received.

I. SettlementDetails

Information related to the delivering side of the transfer.

J. MarketPracticeVersion

Identifies the market practice to which the message conforms.

K. CopyDetails

Information provided when the message is a copy of a previous message.

L. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

28.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrflnInstr>	[1..1]		C24	
	MessageIdentification <Msgld>	[1..1]	±		628
	PoolReference <PoolRef>	[0..1]	±		628
	PreviousReference <PrvsRef>	[0..1]	±		628
	RelatedReference <RltdRef>	[0..1]	±		628
	Function <Fctn>	[0..1]	CodeSet		629
	MasterReference <MstrRef>	[0..1]	Text		629
	TransferDetails <TrfDtls>	[1..*]	±	C25	629
	AccountDetails <AcctDtls>	[1..1]	±		630
	SettlementDetails <SttlmDtls>	[0..1]	±	C10, C13, C21	631
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		632
	CopyDetails <CpyDtls>	[0..1]	±		633
	Extension <Xtnsn>	[0..*]	±	C16	633

28.3 Constraints

C1 AccountIdentificationGuideline

It is recommended that AccountIdentification be used to identify an account.

C2 AccountIdentificationRule

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

C11 DeliverersCustodianDetailsRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

C12 DeliverersIntermediary1Details

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

C13 DeliverersIntermediaryDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 DiscountElementRule

Amount Or Rate Or Basis must be present.

C16 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C17 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C20 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C21 PhysicalTransferDetailsRule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

C22 ReceiversCustodianDetailsRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

C23 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

C24 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C25 TotalUnitsNumberRule

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C26 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

28.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

28.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

28.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

28.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

28.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

28.4.5 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer in, that is, whether the transfer in message is used as an instruction or an advice and request for information. The absence of Function indicates the message is an instruction.

Datatype: "TransferInFunction1Code" on page 2739

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

28.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

28.4.7 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C25 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer34" on page 2612 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2613
	ClientReference <ClntRef>	[0..1]	±		2613
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2614
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2614
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2614
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2615
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2615
	TransferReason <TrfRsn>	[0..1]	±		2615
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2615
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2616
	Quantity <Qty>	[1..1]	±		2617
	UnitsDetails <UnitsDtls>	[0..*]	±		2617
	Rounding <Rndg>	[0..1]	CodeSet		2618
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2619
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2619
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2619
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2619
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2619
	DeliveringAgentDetails <DlvrgAgtDtls>	[0..1]	±		2620
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2620

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

28.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account into which the financial instrument is to be received.

AccountDetails <AcctDtls> contains the following elements (see "InvestmentAccount67" on page 1662 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..*]	±		1662
	AccountIdentification <AcctId>	[1..1]	Text		1663
	AccountName <AcctNm>	[0..1]	Text		1663
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1663
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1663
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1664
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1664
	IncomePreference <IncmPref>	[0..1]	CodeSet		1664
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1664
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1665
	AccountServicer <AcctSvcr>	[0..1]	±		1665
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1665
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C23, C24	1666

28.4.9 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the delivering side of the transfer.

Impacted by: C10 "DeliverersCustodianDetailsRule", C13 "DeliverersIntermediaryDetailsRule", C21 "PhysicalTransferDetailsRule"

SettlementDetails <SttlmDtls> contains the following elements (see "DeliverInformation19" on page 2406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2407
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2407
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2407
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2408
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2408
	StampDuty <StmpDty>	[0..1]	CodeSet		2408
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2408
	Fees <Fees>	[0..*]		C18	2409
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2409
	IndividualFee <IndvFee>	[0..*]	±		2409
	IndividualTax <IndvTax>	[0..*]	±		2410
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2411
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C11, C12	2412
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2414
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2414
	ClientReference <ClntRef>	[0..1]	±		2414

Constraints

- **DeliverersCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

- **DeliverersIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

28.4.10 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

28.4.11 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see ["CopyInformation4"](#) on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

28.4.12 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

29 **sese.006.001.08**

TransferInCancellationRequestV08

29.1 **MessageDefinition Functionality**

Scope

The TransferInCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent transfer in instruction.

Usage

The TransferInCancellationRequest message is used to request the cancellation of one or more transfer in instructions.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more transfer in instructions, the transfer reference of the transfer, as specified in the original TransferInInstruction message, is specified in the transfer reference element.

The message identification of the original TransferOutInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a TransferInCancellationRequest is made using an TransferCancellationStatusReport message.

Outline

The TransferInCancellationRequestV08 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. Function

Function of the transfer-in, that is, whether the message is used as a request to cancel a previously sent instruction or as a cancellation of a previously sent advice and request for information. The absence of Function indicates the message is a request to cancel a previously sent instruction.

F. References

Reference of the transfer to be cancelled.

G. **MarketPracticeVersion**

Identifies the market practice to which the message conforms.

H. **CopyDetails**

Information provided when the message is a copy of a previous message.

29.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <TrflnCxlReq></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		636
	PoolReference <PoolRef>	[0..1]	±		636
	PreviousReference <PrvsRef>	[0..1]	±		636
	RelatedReference <RltdRef>	[0..1]	±		636
	Function <Fctn>	[0..1]	CodeSet		637
	References <Refs>	[1..*]			637
	TransferReference <TrfRef>	[1..1]	Text		637
	ClientReference <CIntRef>	[0..1]	±		638
	CounterpartyReference <CtrPtyRef>	[0..1]	±		638
	CancellationReference <CxlRef>	[0..1]	Text		638
	CancellationReason <CxlRsn>	[0..1]	Text		638
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		638
	CopyDetails <CpyDtls>	[0..1]	±		639

29.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

29.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

29.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

29.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

29.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

29.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

29.4.5 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer-in, that is, whether the message is used as a request to cancel a previously sent instruction or as a cancellation of a previously sent advice and request for information. The absence of Function indicates the message is a request to cancel a previously sent instruction.

Datatype: "TransferInFunction1Code" on page 2739

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

29.4.6 References <Refs>

Presence: [1..*]

Definition: Reference of the transfer to be cancelled.

References <Refs> contains the following **TransferReference11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		637
	ClientReference <ClntRef>	[0..1]	±		638
	CounterpartyReference <CtrPtyRef>	[0..1]	±		638
	CancellationReference <CxlRef>	[0..1]	Text		638
	CancellationReason <CxlRsn>	[0..1]	Text		638

29.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction for which the cancellation request is sent, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

29.4.6.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <CIntRef>** contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

29.4.6.3 CounterpartyReference <CtrPtyRef>*Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

29.4.6.4 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the transfer cancellation, as assigned by the instructing party.*Datatype:* "[Max35Text](#)" on page 2764**29.4.6.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation.*Datatype:* "[Max35Text](#)" on page 2764**29.4.7 MarketPracticeVersion <MktPrctcVrsn>***Presence:* [0..1]*Definition:* Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

29.4.8 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

30 **sese.007.001.08** **TransferInConfirmationV08**

30.1 **MessageDefinition Functionality**

Scope

The TransferInConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the receipt of a financial instrument, free of payment, on a given date, from a specified party.

This message may also be used to confirm the receipt of a financial instrument, free of payment, from another of the instructing parties own accounts or from a third party.

This message may also be used as an advice, that is, the message is used to provide account information.

Usage

The TransferInConfirmation message is used to confirm receipt of a financial instrument, either from another account owned by the instructing party or from a third party. The reference of the transfer confirmation is identified in TransferConfirmationReference.

The reference of the original transfer instruction is specified in TransferReference. The message identification of the TransferInInstruction message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

Outline

The TransferInConfirmationV08 MessageDefinition is composed of 12 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. Function

Function of the transfer in, that is, whether the transfer in message is used as a confirmation or as an advice. The absence of Function indicates the message is a confirmation.

F. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

G. TransferDetails

General information related to the transfer of the financial instrument.

H. AccountDetails

Information related to the account into which the financial instrument was received.

I. SettlementDetails

Information related to the delivering side of the transfer.

J. MarketPracticeVersion

Identifies the market practice to which the message conforms.

K. CopyDetails

Information provided when the message is a copy of a previous message.

L. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

30.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrflnConf>	[1..1]		C16, C25	
	MessageIdentification <MsgId>	[1..1]	±		644
	PoolReference <PoolRef>	[0..1]	±		644
	PreviousReference <PrvsRef>	[0..1]	±		644
	RelatedReference <RltdRef>	[0..1]	±		645
	Function <Fctn>	[0..1]	CodeSet		645
	MasterReference <MstrRef>	[0..1]	Text		645
	TransferDetails <TrfDtls>	[1..*]	±	C26	645
	AccountDetails <AcctDtls>	[1..1]	±		647
	SettlementDetails <SttlmDtls>	[0..1]	±	C10, C13, C22	647
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		648
	CopyDetails <CpyDtls>	[0..1]	±		649
	Extension <Xtnsn>	[0..*]	±	C17	649

30.3 Constraints

C1 AccountIdentificationGuideline

It is recommended that AccountIdentification be used to identify an account.

C2 AccountIdentificationRule

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

C11 DeliverersCustodianDetailsRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

C12 DeliverersIntermediary1Details

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

C13 DeliverersIntermediaryDetailsRule

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 DiscountElementRule

Amount Or Rate Or Basis must be present.

C16 EffectiveSettlementDateRule

Either TransferDetails/EffectiveSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/Effective Settlement Date may be present, but not both.

This constraint is defined at the MessageDefinition level.

C17 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C18 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C19 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C20 NameAndAddress1Rule

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

C21 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C22 PhysicalTransferDetailsRule

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

C23 ReceiversCustodianDetailsRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

C24 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

C25 RequestedSettlementDateRule

Either TransferDetails/RequestedSettlementDate may be present in one or more occurrences of TransferDetails or SettlementDetails/RequestedSettlementDate may be present, but not both.

This constraint is defined at the MessageDefinition level.

C26 TotalUnitsNumberRule

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

C27 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

30.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

30.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

30.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

30.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

30.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

30.4.5 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer in, that is, whether the transfer in message is used as a confirmation or as or an advice. The absence of Function indicates the message is a confirmation.

Datatype: "TransferInFunction2Code" on page 2739

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

30.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

30.4.7 TransferDetails <TrfDtls>

Presence: [1..*]

Definition: General information related to the transfer of the financial instrument.

Impacted by: C26 "TotalUnitsNumberRule"

TransferDetails <TrfDtls> contains the following elements (see "Transfer35" on page 2601 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2603
	TransferReference <TrfRef>	[1..1]	Text		2603
	ClientReference <ClntRef>	[0..1]	±		2603
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2603
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2604
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2604
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2604
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2605
	TradeDate <TradDt>	[0..1]	±		2605
	TransferOrderDateForm <TrfOrdrDtForm>	[0..1]	Date		2605
	TransferReason <TrfRsn>	[0..1]	±		2605
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2605
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2606
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2608
	TransferRate <TrfRate>	[0..1]	Rate		2608
	UnitsDetails <UnitsDtls>	[0..*]	±		2608
	Rounding <Rndg>	[0..1]	CodeSet		2609
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2610
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2610
	AverageDate <AvrgDt>	[0..1]	Date		2610
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2610
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2610
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2611
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2611
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2611
	DeliveringAgentDetails <DlvrAgtDtls>	[0..1]	±		2611
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2612

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

30.4.8 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Information related to the account into which the financial instrument was received.

AccountDetails <AcctDtls> contains the following elements (see "[InvestmentAccount67](#)" on page 1662 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1662
	AccountIdentification <AcctId>	[1..1]	Text		1663
	AccountName <AcctNm>	[0..1]	Text		1663
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1663
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1663
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1664
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1664
	IncomePreference <IncmPref>	[0..1]	CodeSet		1664
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1664
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1665
	AccountServicer <AcctSvcr>	[0..1]	±		1665
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1665
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C23, C24	1666

30.4.9 SettlementDetails <SttlmDtls>

Presence: [0..1]

Definition: Information related to the delivering side of the transfer.

Impacted by: [C10 "DeliverersCustodianDetailsRule"](#), [C13 "DeliverersIntermediaryDetailsRule"](#), [C22 "PhysicalTransferDetailsRule"](#)

SettlementDetails <SttlmDtls> contains the following elements (see "DeliverInformation18" on page 2396 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2397
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2398
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2398
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2398
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2398
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2399
	StampDuty <StmpDty>	[0..1]	CodeSet		2399
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2399
	Fees <Fees>	[0..*]		C18	2400
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2400
	IndividualFee <IndvFee>	[0..*]	±		2400
	IndividualTax <IndvTax>	[0..*]	±		2401
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2402
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C11, C12	2403
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2405
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2405
	ClientReference <ClntRef>	[0..1]	±		2405

Constraints

- **DeliverersCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

- **DeliverersIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

30.4.10 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

30.4.11 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

30.4.12 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C17 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

31 **sese.008.001.08**

ReversalOfTransferInConfirmationV08

31.1 **MessageDefinition Functionality**

Scope

The ReversalOfTransferInConfirmation message is sent by the executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent transfer in confirmation.

Usage

The ReversalOfTransferInConfirmation message is used to reverse a previously sent transfer in confirmation.

To request the reversal of a transfer in confirmation, the transfer reference of the transfer in instruction, as specified in the original TransferInInstruction message, is specified in the transfer reference element. The executing party's reference for the execution of the transfer may also be specified in the TransferConfirmationReference element.

The message identification of the original TransferInConfirmation message may also be quoted in PreviousReference but this is not recommended.

Outline

The ReversalOfTransferInConfirmationV08 MessageDefinition is composed of 9 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. Function

Function of the transfer-in, that is, whether the message is used as a reversal of a previously sent confirmation or as a reversal of a previously sent advice. The absence of Function indicates the message is a reversal of a previously sent confirmation.

G. Reference

Reference of the transfer confirmation to be reversed.

H. MarketPracticeVersion

Identifies the market practice to which the message conforms.

I. CopyDetails

Information provided when the message is a copy of a previous message.

31.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RvslOfTrfInConf>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		652
	PoolReference <PoolRef>	[0..1]	±		652
	PreviousReference <PrvsRef>	[0..1]	±		652
	RelatedReference <RltdRef>	[0..1]	±		652
	MasterReference <MstrRef>	[0..1]	Text		653
	Function <Fctn>	[0..1]	CodeSet		653
	Reference <Ref>	[1..1]			653
	TransferReference <TrfRef>	[1..1]	Text		654
	ClientReference <ClntRef>	[0..1]	±		654
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		654
	CounterpartyReference <CtrPtyRef>	[0..1]	±		654
	CancellationReference <CxlRef>	[0..1]	Text		654
	ReversalReason <RvslRsn>	[0..1]	Text		655
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		655
	CopyDetails <CpyDtls>	[0..1]	±		655

31.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

31.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

31.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

31.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

31.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

31.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

31.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

31.4.6 Function <Fctn>

Presence: [0..1]

Definition: Function of the transfer-in, that is, whether the message is used as a reversal of a previously sent confirmation or as a reversal of a previously sent advice. The absence of Function indicates the message is a reversal of a previously sent confirmation.

Datatype: "TransferInFunction2Code" on page 2739

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

31.4.7 Reference <Ref>

Presence: [1..1]

Definition: Reference of the transfer confirmation to be reversed.

Reference <Ref> contains the following **TransferReference12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		654
	ClientReference <ClntRef>	[0..1]	±		654
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		654
	CounterpartyReference <CtrPtyRef>	[0..1]	±		654
	CancellationReference <CxlRef>	[0..1]	Text		654
	ReversalReason <RvslRsn>	[0..1]	Text		655

31.4.7.1 TransferReference <TrfRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the transfer instruction for which the confirmation reversal is sent, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**31.4.7.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.**ClientReference <ClntRef>** contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

31.4.7.3 TransferConfirmationReference <TrfConfRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the transfer execution for which the confirmation reversal is sent, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**31.4.7.4 CounterpartyReference <CtrPtyRef>***Presence:* [0..1]*Definition:* Unambiguous identification of the transfer allocated by the counterparty.**CounterpartyReference <CtrPtyRef>** contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

31.4.7.5 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the reversal as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764

31.4.7.6 ReversalReason <RvslRsn>*Presence:* [0..1]*Definition:* Reason for the reversal of confirmation.*Datatype:* "Max35Text" on page 2764**31.4.8 MarketPracticeVersion <MktPrctcVrsn>***Presence:* [0..1]*Definition:* Identifies the market practice to which the message conforms.**MarketPracticeVersion <MktPrctcVrsn>** contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

31.4.9 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

32 sese.009.001.06

RequestForTransferStatusReportV06

32.1 MessageDefinition Functionality

Scope

The RequestForTransferStatusReport is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent to request the status of a previously instructed transfer.

Usage

The RequestForTransferStatusReport is used to request either:

- the status of one or several transfer instructions or,
- the status of one or several transfer cancellation instructions.

Outline

The RequestForTransferStatusReportV06 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the transfer for which the status is requested.

.

C. MarketPracticeVersion

Identifies the market practice to which the message conforms.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

32.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForTrfStsRpt>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		658
	RequestDetails <ReqDtls>	[1..*]		C6, C7	658
	Reference <Ref>	[0..1]	±		659
	MasterReference <MstrRef>	[0..1]	Text		659
	TransferReference <TrfRef>	[1..1]	Text		659
	ClientReference <ClntRef>	[0..1]	±		659
	CancellationReference <CxlRef>	[0..1]	Text		659
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C1, C2	660
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		660
	Extension <Xtnsn>	[0..*]	±	C5	661

32.3 Constraints

C1 AccountIdentificationGuideline

It is recommended that AccountIdentification be used to identify an account.

C2 AccountIdentificationRule

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C6 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If

Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

C7 PreviousReferenceRule

If Reference/PreviousReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.012 or sese.014.

32.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

32.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

32.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the transfer for which the status is requested.

.

Impacted by: [C6 "OtherReferenceRule"](#), [C7 "PreviousReferenceRule"](#)

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	±		659
	MasterReference <MstrRef>	[0..1]	Text		659
	TransferReference <TrfRef>	[1..1]	Text		659
	ClientReference <CIntRef>	[0..1]	±		659
	CancellationReference <CxlRef>	[0..1]	Text		659
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C1, C2	660

Constraints

- **OtherReferenceRule**

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If

Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

- **PreviousReferenceRule**

If Reference/PreviousReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.012 or sese.014.

32.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously sent.

Reference <Ref> contains one of the following elements (see "[References63Choice](#)" on page 1634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1634
Or}	OtherReference <OthrRef>	[1..1]	±		1635

32.4.2.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "[Max35Text](#)" on page 2764

32.4.2.3 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "[Max35Text](#)" on page 2764

32.4.2.4 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

32.4.2.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

32.4.2.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Investment account information of the transfer message for which the status is requested.

Impacted by: C1 "AccountIdentificationGuideline", C2 "AccountIdentificationRule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount68" on page 1658 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1659
	AccountIdentification <AcctId>	[1..1]	Text		1659
	AccountName <AcctNm>	[0..1]	Text		1659
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1659
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1659
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1660
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1660
	IncomePreference <IncmPref>	[0..1]	CodeSet		1660
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1661
	SafekeepingPlace <StkpgPlc>	[0..1]	±		1661
	AccountServicer <AcctSvcr>	[0..1]	±		1661
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1661

Constraints

- **AccountIdentificationGuideline**

It is recommended that AccountIdentification be used to identify an account.

- **AccountIdentificationRule**

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

32.4.3 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

32.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

33 **sese.010.001.06**

TransferCancellationStatusReportV06

33.1 **MessageDefinition Functionality**

Scope

The TransferCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received transfer cancellation instruction.

Usage

The TransferCancellationStatusReport message is used to report on the status of a transfer in or transfer out cancellation request.

The reference of the transfer instruction for which the cancellation status is reported is identified in TransferReference. The message identification of the transfer cancellation request message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

The message identification of the transfer instruction request message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

One of the following statuses can be reported:

- the transfer cancellation is accepted, or,
- the transfer cancellation has been sent to the next party, or,
- the transfer cancellation is complete and the reason for the status,
- the transfer cancellation pending and the reason for the status,
- the transfer cancellation is rejected and the reason for the status.

Outline

The TransferCancellationStatusReportV06 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. CounterpartyReference

Unambiguous identification of the transfer allocated by the counterparty.

C. Reference

Reference to the message or communication that was previously received.

D. StatusReport

Status of the transfer cancellation instruction.

E. MarketPracticeVersion

Identifies the market practice to which the message conforms.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

33.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <TrfCxlStsRpt>	[1..1]		C4, C5	
	MessageIdentification <MsgId>	[1..1]	±		664
	CounterpartyReference <CtrPtyRef>	[0..1]	±		664
	Reference <Ref>	[0..1]	±		664
	StatusReport <StsRpt>	[1..1]			665
	MasterReference <MstrRef>	[0..1]	Text		665
	TransferReference <TrfRef>	[1..1]	Text		665
	ClientReference <ClntRef>	[0..1]	±		665
	CancellationReference <CxlRef>	[0..1]	Text		666
	Status <Sts>	[1..1]	±		666
	StatusInitiator <StsInitr>	[0..1]	±		666
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		667
	Extension <Xtnsn>	[0..*]	±	C3	667

33.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/

OtherReference is present, References/OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

This constraint is defined at the MessageDefinition level.

C5 RelatedReferenceRule

If Reference/RelatedReference/MessageName is present, it must start with sese.002, sese.006, sese.009 or sese.014.

This constraint is defined at the MessageDefinition level.

33.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

33.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

33.4.2 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

33.4.3 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "References61Choice" on page 1636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1636
Or}	OtherReference <OthrRef>	[1..2]	±		1636

33.4.4 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the transfer cancellation instruction.

StatusReport <StsRpt> contains the following **CancellationStatusAndReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		665
	TransferReference <TrfRef>	[1..1]	Text		665
	ClientReference <ClntRef>	[0..1]	±		665
	CancellationReference <CxlRef>	[0..1]	Text		666
	Status <Sts>	[1..1]	±		666
	StatusInitiator <StsIntr>	[0..1]	±		666

33.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

33.4.4.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

33.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference7](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1646
	ReferenceIssuer <RefIssr>	[0..1]			1646
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1646
Or	LegalEntityIdentifier <LglNttyldr>	[1..1]	IdentifierSet		1647
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1647
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		1647
	MessageName <MsgNm>	[0..1]	Text		1647

33.4.4.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.

Datatype: "[Max35Text](#)" on page 2764

33.4.4.5 Status <Sts>

Presence: [1..1]

Definition: Status of the transfer cancellation.

Status <Sts> contains one of the following elements (see "[Status21Choice](#)" on page 2485 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	±		2485
Or	Rejected <Rjctd>	[1..1]	±		2485
Or	Complete <Cmpl>	[1..1]	±		2485
Or}	Pending <Pdg>	[1..1]	±		2486

33.4.4.6 StatusInitiator <StsIntr>

Presence: [0..1]

Definition: Party that initiates the status.

StatusInitiator <StsIntr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

33.4.5 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

33.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

34 sese.011.001.07

TransferInstructionStatusReportV07

34.1 MessageDefinition Functionality

Scope

The TransferInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction.

It may also be used to report the status of an event related to the asset being transferred or provide additional information.

Usage

The TransferInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or one of its authorised representatives to provide the status of a previously received request for holdings information, portfolio transfer or transfer instruction. It may also be used to report the status of an event related to the asset being transferred or provide additional information.

The message identification of the transfer instruction message in which the transfer instruction was conveyed may also be quoted in RelatedReference.

One of the following statuses can be reported:

- an accepted status, or,
- an already executed status, or,
- a sent to next party status, or,
- a matched status, or,
- a settled status, or,
- a delayed status, or,
- a pending settlement status and the reason for the status, or,

- an unmatched status and the reason for the status, or,
- an in-repair status and the reason for the status, or,
- a rejected status and the reason for the status, or,
- a failed settlement status and the reason for the status, or,
- a cancelled status and the reason for the status, or,
- a cancelled status and the reason for the status, or,
- a cancellation pending status and the reason for the status.

Outline

The TransferInstructionStatusReportV07 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. CounterpartyReference

Unambiguous identification of the transfer allocated by the counterparty.

C. Reference

Reference to the message or communication that was previously received.

D. StatusReport

Status of the transfer instruction.

E. MarketPracticeVersion

Identifies the market practice to which the message conforms.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

34.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <TrfInstrStsRpt>	[1..1]		C14, C15	
	MessageIdentification <MsgId>	[1..1]	±		672
	CounterpartyReference <CtrPtyRef>	[0..1]	±		672
	Reference <Ref>	[0..1]	±		673
	StatusReport <StsRpt>	[1..1]			673
	MasterReference <MstrRef>	[0..1]	Text		674
	TransferReference <TrfRef>	[1..1]	Text		674
	ClientReference <ClntRef>	[0..1]	±		674
	CancellationReference <CxlRef>	[0..1]	Text		675
	TransferEventType <TrfEvtTp>	[0..*]	±		675
	TransferStatus <TrfSts>	[1..1]	±		675
	TradeDate <TradDt>	[0..1]	Date		676
	SettlementDate <SttlmDt>	[0..1]	Date		676
	SendOutDate <SndOutDt>	[0..1]	Date		676
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		676
	AveragePrice <AvrgPric>	[0..1]	Amount	C2	677
	UnitsDetails <UnitsDtls>	[0..*]	±		677
	TotalTransferValue <TtlTrfVal>	[0..1]	Amount	C1	679
	PaymentDetails <PmtDtls>	[0..*]	±		679
	BenefitCrystallisationEvent <BnftCrstllstnEvt>	[0..*]	±		682
	DrawdownTranche <DrwdwnTrch>	[0..*]	±	C5	682
	StatusInitiator <StsInitr>	[0..1]	±		684
	StatusIssuer <StsIssr>	[0..1]	±		684
	StatusRecipient <StsRcpt>	[0..1]	±		684
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		684
	Extension <Xtnsn>	[0..*]	±	C11	685

34.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C4 **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C5 **BeneficiaryDetailsRule**

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

C6 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C7 **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 **DiscountElementRule**

Amount Or Rate Or Basis must be present.

C11 **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C13 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C14 OtherReferenceRule

Reference/OtherReference should be used to reference a transfer instruction or a transfer cancellation request 'message' sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C15 RelatedReferenceRule

If Reference/RelatedReference/MessageName is present, it must start with sese.001, sese.002, sese.005, sese.006, sese.009, sese.012 or sese.014.

This constraint is defined at the MessageDefinition level.

C16 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

34.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

34.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

34.4.2 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

34.4.3 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "References64Choice" on page 1632 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1632
Or}	OtherReference <OthrRef>	[1..2]	±		1632

34.4.4 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the transfer instruction.

StatusReport <StsRpt> contains the following **TransferStatusAndReason6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		674
	TransferReference <TrfRef>	[1..1]	Text		674
	ClientReference <ClntRef>	[0..1]	±		674
	CancellationReference <CxlRef>	[0..1]	Text		675
	TransferEventType <TrfEvtTp>	[0..*]	±		675
	TransferStatus <TrfSts>	[1..1]	±		675
	TradeDate <TradDt>	[0..1]	Date		676
	SettlementDate <SttlmDt>	[0..1]	Date		676
	SendOutDate <SndOutDt>	[0..1]	Date		676
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	Quantity		676
	AveragePrice <AvrgPric>	[0..1]	Amount	C2	677
	UnitsDetails <UnitsDtls>	[0..*]	±		677
	TotalTransferValue <TtlTrfVal>	[0..1]	Amount	C1	679
	PaymentDetails <PmtDtls>	[0..*]	±		679
	BenefitCrystallisationEvent <BnftCrstllstnEvt>	[0..*]	±		682
	DrawdownTranche <DrwdwnTrch>	[0..*]	±	C5	682
	StatusInitiator <StsInitr>	[0..1]	±		684
	StatusIssuer <StsIssr>	[0..1]	±		684
	StatusRecipient <StsRcpt>	[0..1]	±		684

34.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

34.4.4.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identification of the transfer, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

34.4.4.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

34.4.4.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

34.4.4.5 TransferEventType <TrfEvtTp>

Presence: [0..*]

Definition: Type of event for which the message is sent.

TransferEventType <TrfEvtTp> contains one of the following elements (see "TransferStatusType1Choice" on page 2621 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2621
Or}	Proprietary <Prtry>	[1..1]	±		2622

34.4.4.6 TransferStatus <TrfSts>

Presence: [1..1]

Definition: Status of the transfer instruction.

TransferStatus <TrfSts> contains one of the following elements (see ["TransferStatus3Choice"](#) on page 2622 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]			2623
	Status <Sts>	[1..1]	CodeSet		2623
	Reason <Rsn>	[0..1]	Text		2623
Or	PendingSettlement <PdgSttlm>	[1..1]	±		2623
Or	Unmatched <Umtchd>	[1..1]	±		2624
Or	InRepair <InRpr>	[1..1]	±		2624
Or	Rejected <Rjctd>	[1..10]	±		2624
Or	FailedSettlement <FaildSttlm>	[1..1]	±		2625
Or	Cancelled <Canc>	[1..1]	±		2625
Or	Reversed <Rvsd>	[1..1]	±		2625
Or}	CancellationPending <CxlPdg>	[1..1]	±		2626

34.4.4.7 TradeDate <TradDt>

Presence: [0..1]

Definition: Date on which the transfer was executed.

In some scenarios, this is the date and time to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: ["ISODate"](#) on page 2749

34.4.4.8 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date at which the securities are to be exchanged or were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: ["ISODate"](#) on page 2749

34.4.4.9 SendOutDate <SndOutDt>

Presence: [0..1]

Definition: Date on which the document, for example, the application form, was sent.

Datatype: ["ISODate"](#) on page 2749

34.4.4.10 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Number of units to be transferred.

Datatype: ["DecimalNumber"](#) on page 2761

34.4.4.11 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account. The average price may also be known as the average acquisition price.

Impacted by: C2 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

34.4.4.12 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units to be transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1809
	OrderDate <OrdDt>	[0..1]	Date		1810
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1810
	CertificateNumber <CertNb>	[0..*]	Text		1810
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1810
	Reference <Ref>	[0..1]	Text		1810
	PriceDetails <PricDtls>	[0..1]			1810
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813
	TransactionOverhead <TxOvrhd>	[0..1]		C24, C35	1813
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816
	OtherAmount <OthrAmt>	[0..*]			1817
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

34.4.4.13 TotalTransferValue <TtlTrfVal>

Presence: [0..1]

Definition: Total transfer value.

Impacted by: C1 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

34.4.4.14 PaymentDetails <PmtDtls>

Presence: [0..*]

Definition: Details of a payment that will result from or resulting from the liquation of an asset and transfer of cash or for the transfer of cash that was not invested.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument15" on page 2133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2136
	Amount <Amt>	[1..1]	Amount	C2	2136
	PaymentDate <PmtDt>	[0..1]	Date		2136
	CashSettlementDetails <CshSttlmDtls>	[0..1]			2136
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2139
	Debtor <Dbtr>	[0..1]	±		2141
	DebtorAccount <DbtrAcct>	[0..1]			2142
	Name <Nm>	[0..1]	Text		2142
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2142
	Other <Othr>	[0..1]	±		2142
	DebtorAgent <DbtrAgt>	[0..1]			2143
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2143
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2143
	NameAndAddress <NmAndAdr>	[0..1]	±		2144
	LEI <LEI>	[0..1]	IdentifierSet		2144
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2145
	BranchIdentification <BrnchId>	[0..1]	±		2145
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2145
	Name <Nm>	[0..1]	Text		2145
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2145
	Other <Othr>	[0..1]	±		2146
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2146
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2146
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2147
	NameAndAddress <NmAndAdr>	[0..1]	±		2148
	LEI <LEI>	[0..1]	IdentifierSet		2148
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2148
	BranchIdentification <BrnchId>	[0..1]	±		2148
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2148
	Name <Nm>	[0..1]	Text		2149

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2149
	Other <Othr>	[0..1]	±		2149
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2150
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2150
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2150
	NameAndAddress <NmAndAdr>	[0..1]	±		2151
	LEI <LEI>	[0..1]	IdentifierSet		2151
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2152
	BranchIdentification <BrnchId>	[0..1]	±		2152
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2152
	Name <Nm>	[0..1]	Text		2152
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2152
	Other <Othr>	[0..1]	±		2153
	CreditorAgent <CdtrAgt>	[1..1]			2153
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2153
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2154
	NameAndAddress <NmAndAdr>	[0..1]	±		2154
	LEI <LEI>	[0..1]	IdentifierSet		2155
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2155
	BranchIdentification <BrnchId>	[0..1]	±		2155
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2155
	Name <Nm>	[0..1]	Text		2156
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2156
	Other <Othr>	[0..1]	±		2156
	Creditor <Cdtr>	[0..1]	±		2156
	CreditorAccount <CdtrAcct>	[1..1]			2157
	Name <Nm>	[0..1]	Text		2157
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2157
	Other <Othr>	[0..1]	±		2157
Or}	ChequeDetails <ChqDtls>	[1..1]			2158
	Number <Nb>	[0..1]	Text		2158

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		2158
	DraweeIdentification <Drweeld>	[0..1]			2159
	Party <Pty>	[1..1]			2159
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <Prtryld>	[1..1]	Text		2161
	LEI <LEI>	[0..1]	IdentifierSet		2161
	DrawerIdentification <Drwrlld>	[0..1]	±		2162

34.4.4.15 BenefitCrystallisationEvent <BnftCrstllstnEvt>

Presence: [0..*]

Definition: Information about the realisation of benefits taken from a pension.

BenefitCrystallisationEvent <BnftCrstllstnEvt> contains the following elements (see "BenefitCrystallisationEvent1" on page 1834 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[0..1]			1835
{Or	Code <Cd>	[1..1]	CodeSet		1835
Or}	Proprietary <Prtry>	[1..1]	±		1835
	EventNumber <EvtNb>	[0..1]	Text		1836
	EventDate <EvtDt>	[0..1]	Date		1836
	CrystallisationAmount <CrstllstnAmt>	[0..1]	Amount	C2	1836
	PercentageOfAllowance <PctgOfAllwnc>	[0..1]	Rate		1836
	AdditionalInformation <AddtlInf>	[0..*]	±		1836

34.4.4.16 DrawdownTranche <DrwdwnTrch>

Presence: [0..*]

Definition: Details of a drawdown tranche.

Impacted by: C5 "BeneficiaryDetailsRule"

DrawdownTranche <DrwdwnTrch> contains the following elements (see "Drawdown1" on page 1825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheType <TrchTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1827
	ApplicableRules <AplblRules>	[0..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	PercentageOfTotalTransferValue <PctgOfTtlTrfVal>	[0..1]	Rate		1828
	TotalAmountNetDrawdown <TtlAmtNetDrwdwn>	[0..1]	Amount	C2	1828
	AdditionalFundsDesignated <AddtlFndsDsgntd>	[0..1]	Indicator		1829
	DrawdownAllowanceCheck <DrwdwnAllwncChck>	[0..1]			1829
	BCEIndicator <BCEInd>	[0..1]	Indicator		1829
	CheckInformation <ChckInf>	[0..1]	Text		1829
	PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>	[0..1]	Amount	C2	1829
	PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>	[0..1]	Date		1830
	MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>	[0..1]	Indicator		1830
	LifetimeAllowance <LftmAllwnc>	[0..1]	Rate		1830
	RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>	[0..1]	Indicator		1830
	BeneficiaryDetails <BnfcryDtls>	[0..1]	±		1830
	CappedLimits <CapdLmts>	[0..1]			1831
	StartDate <StartDt>	[0..1]	Date		1831
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1831
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1832
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1832
	AdditionalInformation <AddtlInf>	[0..*]	±		1832
	FlexibleDrawdownTriggeredDate <FlxblDrwdwnTrggrdDt>	[0..1]	Date		1832
	AdditionalInformation <AddtlInf>	[0..*]	±		1833

Constraints

- **BeneficiaryDetailsRule**

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

34.4.4.17 StatusInitiator <StsIntr>

Presence: [0..1]

Definition: Party that initiates the status.

StatusInitiator <StsIntr> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

34.4.4.18 StatusIssuer <StsIssr>

Presence: [0..1]

Definition: Party that issues the status message.

StatusIssuer <StsIssr> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

34.4.4.19 StatusRecipient <StsRcpt>

Presence: [0..1]

Definition: Party that receives the status message.

StatusRecipient <StsRcpt> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

34.4.5 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

34.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

35 sese.012.001.09 PortfolioTransferInstructionV09

35.1 MessageDefinition Functionality

Scope

The PortfolioTransferInstruction message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to instruct the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).

Usage

The PortfolioTransferInstruction message is used to instruct one or more portfolio transfers for one client. Each portfolio transfer is for delivery to the same account. The account may be owned by one or more individual investors or one or more corporate investors.

If the instructing party does not have enough information to instruct the portfolio transfer, then it must first send an AccountHoldingInformationRequest message to the executing party in order to receive an AccountHoldingInformation message.

Outline

The PortfolioTransferInstructionV09 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

F. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

G. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

H. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

I. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

J. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

K. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

L. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

M. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

N. IntermediaryInformation

Identification of a related party or intermediary.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

35.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PrftlTrfInstr>	[1..1]		C13, C22, C23	
	MessageReference <MsgRef>	[1..1]	±		692
	PoolReference <PoolRef>	[0..1]	±		692
	PreviousReference <PrvsRef>	[0..1]	±		693
	RelatedReference <RltdRef>	[0..1]	±		693
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C32	693
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C32	694
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C32	695
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		695
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		696
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		696
	TransferorAccount <TrfrAcct>	[1..1]	±		697
	NomineeAccount <NmneeAcct>	[0..1]	±		697
	Transferee <Trfee>	[1..1]	±		698
	IntermediaryInformation <IntrmyInf>	[0..*]	±		698
	ProductTransfer <PdctTrf>	[1..*]		C29	699
	MasterReference <MstrRef>	[0..1]	Text		699
	TransferIdentification <TrfId>	[1..1]	Text		699
	TransferConfirmationIdentification <TrfConfld>	[0..1]	Text		699
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		700
	Portfolio <Prftl>	[0..1]	±		700
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		700
	CashAll <CshAll>	[0..1]	CodeSet		700
	ResidualCash <RsdnCsh>	[0..1]	CodeSet		701
	PaymentDetails <PmtDtls>	[0..1]	±		701
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8, C11, C12	703
	AdditionalInformation <AddtlInf>	[0..*]	±		706
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		706

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Extension <Xtnsn>	[0..*]	±	C19	706

35.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AdditionalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C6 **AssetQuantity1Guideline**

If Instrument/Security is present and Quantity is present, then Quantity/Unit or Quantity/Percentage must be present.

C7 **AssetQuantity2Guideline**

If Instrument/CashAsset is present and Quantity is present, then Quantity/CashAmount must be present.

C8 **AssetQuantity3Guideline**

If Instrument/OtherAsset is present and Quantity is present, then Quantity/OtherAsset must be present.

C9 **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C11 Conversion1Rule

If TransferType/Code/CONV is present, then Conversion must be present.

C12 Conversion2Rule

If TransferType/Code/CONV is not present, then Conversion is not allowed.

C13 CorporateRule

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C14 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C15 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C16 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C17 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C18 DiscountElementRule

Amount Or Rate Or Basis must be present.

C19 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C20 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C21 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C22 InvestorRule

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C23 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C24 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C25 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C26 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C27 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C28 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C29 PaymentDetailsRule

Either PaymentDetails or one or more occurrences of FinancialInstrumentAssetForTransfer/ PaymentDetails may be present, but not both.

C30 SECUGuideline

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

C31 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C32 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C33 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C34 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C35 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

35.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

35.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

35.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	ReferenceIssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

35.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

35.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

35.4.5 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C32 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

35.4.6 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C32 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

35.4.7 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C32 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScISctyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

35.4.8 PrimaryCorporateInvestor <PmryCorpInvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

35.4.9 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

35.4.10 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

35.4.11 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "InvestmentAccount69" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

35.4.12 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

35.4.13 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

35.4.14 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary43](#)" on page 2062 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2062
	Account <Acct>	[0..1]			2063
	Identification <Id>	[0..1]	Text		2063
	AccountServicer <AcctSvcr>	[1..1]	±		2063
	Role <Role>	[0..1]	±		2063
	ContactPerson <CtctPrsn>	[0..1]	±		2064

35.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

Impacted by: C29 "PaymentDetailsRule"

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		699
	TransferIdentification <Trfld>	[1..1]	Text		699
	TransferConfirmationIdentification <TrfConfld>	[0..1]	Text		699
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		700
	Portfolio <Prtf>	[0..1]	±		700
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		700
	CashAll <CshAll>	[0..1]	CodeSet		700
	ResidualCash <RsdnCsh>	[0..1]	CodeSet		701
	PaymentDetails <PmtDtls>	[0..1]	±		701
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8, C11, C12	703
	AdditionalInformation <AddtlInf>	[0..*]	±		706

Constraints

- **PaymentDetailsRule**

Either PaymentDetails or one or more occurrences of FinancialInstrumentAssetForTransfer/ PaymentDetails may be present, but not both.

35.4.15.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

35.4.15.2 TransferIdentification <Trfld>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2764

35.4.15.3 TransferConfirmationIdentification <TrfConfld>

Presence: [0..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2764

35.4.15.4 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer of the portfolio as a whole.

Datatype: "ISODate" on page 2749

35.4.15.5 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prftl> contains one of the following elements (see "FundPortfolio3Choice" on page 1552 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1553
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		1553
Or}	Pension <Pnsn>	[1..1]			1554
	Identification <Id>	[0..1]	±		1554
	Type <Tp>	[0..1]	±		1554
	TransferScope <TrfScp>	[0..1]	±		1555
	TaxReference <TaxRef>	[0..*]	±		1555
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1555
	AdditionalInformation <AddtlInf>	[0..*]	±		1555

35.4.15.6 AllOtherCash <AllOthrCsh>

Presence: [0..1]

Definition: Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.

Datatype: "AllOtherCash1Code" on page 2648

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should not be liquidated and transferred as cash.

35.4.15.7 CashAll <CshAll>

Presence: [0..1]

Definition: Specifies whether all assets in the portfolio should be liquidated and transferred as cash.

Datatype: "CashAll1Code" on page 2653

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	All assets in a portfolio should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	All assets in a portfolio should not be liquidated and transferred as cash.

35.4.15.8 ResidualCash <RsdICsh>

Presence: [0..1]

Definition: Specifies what must be done with cash in the account that is awaiting investment.

Datatype: "ResidualCash1Code" on page 2718

CodeName	Name	Definition
NRCT	NotResidualCashTransfer	Residual Cash not to be transferred.
RCTR	ResidualCashTransfer	Residual cash to be transferred.

35.4.15.9 PaymentDetails <PmtDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2162 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2164
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2164
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

35.4.15.10 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: C6 "AssetQuantity1Guideline", C7 "AssetQuantity2Guideline", C8 "AssetQuantity3Guideline", C11 "Conversion1Rule", C12 "Conversion2Rule"

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following elements (see "FinancialInstrument69" on page 1494 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1497
	Instrument <Instrm>	[1..1]			1497
{Or	Security <Scty>	[1..1]		C11	1499
	Identification <Id>	[1..1]			1500
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1500
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1501
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1501
Or	RIC <RIC>	[1..1]	IdentifierSet		1501
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1501
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1501
Or	CTA <CTA>	[1..1]	IdentifierSet		1501
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1501
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1502
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1502
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1502
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1502
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1502
Or	Common <Cmon>	[1..1]	IdentifierSet		1502
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1502
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503
	Name <Nm>	[0..1]	Text		1503
	ShortName <ShrtNm>	[0..1]	Text		1503
Or	CashAsset <CshAsst>	[1..1]			1503
	CashAssetType <CshAsstTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1504
Or}	Proprietary <Prtry>	[1..1]	±		1504
	AdditionalInformation <AddtlInf>	[0..1]	±		1504
Or}	OtherAsset <OthrAsst>	[1..1]			1504
	OtherAssetType <OthrAsstTp>	[1..1]			1505

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1505
Or}	Proprietary <Prtry>	[1..1]	±		1505
	Identification <Id>	[1..1]	Text		1506
	Name <Nm>	[0..1]	Text		1506
	OtherIdentification <OthrId>	[0..5]	Text		1506
	AdditionalInformation <AddtlInf>	[0..*]	±		1506
	Quantity <Qty>	[0..1]	±		1506
	TransferType <TrfTp>	[1..1]	±	C30	1507
	Conversion <Conv>	[0..1]	±		1507
	UnitsDetails <UnitsDtls>	[0..*]	±		1508
	ClientReference <ClntRef>	[0..1]	±		1509
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1510
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1510
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C3, C12	1510
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	1511
	TotalBookValue <TtlBookVal>	[0..1]	±		1511
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1511
	Transferor <Trfr>	[0..1]	±		1512
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		1512
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		1512
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		1512
	PaymentDetails <PmtDtls>	[0..1]	±		1513
	CrystallisationDetails <CrstlStnDtls>	[0..1]	±		1515
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1516
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1516
	AdditionalInformation <AddtlInf>	[0..*]	±		1517

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present and Quantity is present, then Quantity/Unit or Quantity/Percentage must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present and Quantity is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present and Quantity is present, then Quantity/OtherAsset must be present.

- **Conversion1Rule**

If TransferType/Code/CONV is present, then Conversion must be present.

- **Conversion2Rule**

If TransferType/Code/CONV is not present, then Conversion is not allowed.

35.4.15.11 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

35.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

35.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C19 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

36 sese.013.001.09 PortfolioTransferConfirmationV09

36.1 MessageDefinition Functionality

Scope

The PortfolioTransferConfirmation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party for example, a (new) plan manager (transferee), to confirm the transfer of financial instruments from the client's account at the old plan manager (transferor) to the clients account at the new plan manager (transferee).

Usage

The PortfolioTransferConfirmation message is used to confirm one or more portfolio transfers for one client.

The reference of each portfolio transfer confirmation is identified in TransferConfirmationIdentification. The reference of the original portfolio transfer as assigned by the instructing party is specified in TransferInstructionReference. The message identification of the PortfolioTransferInstruction message in which the portfolio transfers were conveyed may also be quoted in RelatedReference but this is not recommended.

Outline

The PortfolioTransferConfirmationV09 MessageDefinition is composed of 18 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

F. RegisteredHolder

Official registered holder information.

G. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

J. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. IntermediaryInformation

Identification of a related party or intermediary.

P. ProductTransfer

Information about the portfolio and assets.

Q. MarketPracticeVersion

Identifies the market practice to which the message conforms.

R. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

36.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <PrtlTrfConf>	[1..1]		C15, C25, C26	
	MessageReference <MsgRef>	[1..1]	±		715
	PoolReference <PoolRef>	[0..1]	±		715
	PreviousReference <PrvsRef>	[0..1]	±		716
	RelatedReference <RltdRef>	[0..1]	±		716
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C38	716
	RegisteredHolder <RegdHldr>	[0..1]	±	C38	717
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C38	718
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C38	718
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		719
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		719
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		720
	TransferorAccount <TrfrAcct>	[1..1]	±		720
	NomineeAccount <NmneeAcct>	[0..1]	±		721
	Transferee <Trfee>	[1..1]	±		721
	IntermediaryInformation <IntrmyInf>	[0..*]	±		721
	ProductTransfer <PdctTrf>	[1..*]		C32	722
	MasterReference <MstrRef>	[0..1]	Text		723
	TransferInstructionReference <TrfInstrRef>	[1..1]	Text		723
	TransferConfirmationIdentification <TrfConfId>	[1..1]	Text		723
	ActualTransferDate <ActlTrfDt>	[0..1]	Date		723
	Portfolio <Prtl>	[0..1]	±		723
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		724
	CashAll <CshAll>	[0..1]	CodeSet		724
	ResidualCash <RsdlCsh>	[0..1]	CodeSet		724
	TaxDate <TaxDt>	[0..1]	Date		724
	PaymentDetails <PmtDtls>	[0..1]	±		724
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8, C13,	726

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
				C14, C21	
	AdditionalInformation <AddtlInf>	[0..*]	±		729
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		729
	Extension <Xtnsn>	[0..*]	±	C22	729

36.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C6 AssetQuantity1Guideline

If Instrument/Security is present, then Quantity/Unit or Quantity/Percentage must be present.

C7 AssetQuantity2Guideline

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

C8 AssetQuantity3Guideline

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

C9 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C10 BlockTransferRetirementAgeProtectionRule

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

C11 BlockTransferTaxFreeCashProtectionRule

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

C12 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C13 Conversion1Rule

If TransferType/Code/CONV is present, then Conversion must be present.

C14 Conversion2Rule

If TransferType/Code/CONV is not present, then Conversion is not allowed.

C15 CorporateRule

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C16 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C17 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C18 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C19 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C20 DiscountElementRule

Amount Or Rate Or Basis must be present.

C21 EffectiveDatesGuideline

When the portfolio transfer confirmation is used to confirm the intent to transfer, effective transfer date and effective settlement date are not applicable.

C22 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C23 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C24 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C25 InvestorRule

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C26 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C27 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C28 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C29 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C30 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C31 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C32 PaymentDetailsRule

Either PaymentDetails or one or more occurrences of FinancialInstrumentAssetForTransfer/ PaymentDetails may be present, but not both.

C33 PensionOrderTypeGuideline

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

C34 RetirementAgeRule

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

C35 RingFencedDrawdownAssetsRule

If DrawdownStatus/Code/NONE is present, then RingFencedDrawdownAssets is not allowed.

If DrawdownStatus/Code/PART or FULL is present, then RingFencedDrawdownAssets must be present.

C36 SECUGuideline

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

C37 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C38 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C39 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C40 TaxFreeCashAmountRule

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

C41 TaxFreeCashAndValueRule

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

C42 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C43 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C44 TransferScopeTrancheldentification1Rule

If TransferScope/Code/SDDT is present, then DrawdownTrancheldentification may be present.

C45 TransferScopeTrancheldentification2Rule

If TransferScope/Code/SDDT is not present, then DrawdownTrancheldentification is not allowed.

36.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

36.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

36.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	ReferenceIssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

36.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on [page 1633](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

36.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference10](#)" on [page 1633](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

36.4.5 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: [C38 "SocialSecurityNumberGuideline"](#)

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <SciSctyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.6 RegisteredHolder <RegdHldr>

Presence: [0..1]

Definition: Official registered holder information.

Impacted by: C38 "SocialSecurityNumberGuideline"

RegisteredHolder <RegdHldr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <SciSctyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: [C38 "SocialSecurityNumberGuideline"](#)

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see ["IndividualPerson8"](#) on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScISctyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: [C38 "SocialSecurityNumberGuideline"](#)

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

36.4.9 PrimaryCorporateInvestor <PmryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

36.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

36.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

36.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "InvestmentAccount69" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

36.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "InvestmentAccount69" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

36.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

36.4.15 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary43" on page 2062 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2062
	Account <Acct>	[0..1]			2063
	Identification <Id>	[0..1]	Text		2063
	AccountServicer <AcctSvcr>	[1..1]	±		2063
	Role <Role>	[0..1]	±		2063
	ContactPerson <CtctPrsn>	[0..1]	±		2064

36.4.16 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

Impacted by: C32 "PaymentDetailsRule"

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		723
	TransferInstructionReference <TrfInstrRef>	[1..1]	Text		723
	TransferConfirmationIdentification <TrfConfId>	[1..1]	Text		723
	ActualTransferDate <ActlTrfDt>	[0..1]	Date		723
	Portfolio <Prtf>	[0..1]	±		723
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		724
	CashAll <CshAll>	[0..1]	CodeSet		724
	ResidualCash <RsdlCsh>	[0..1]	CodeSet		724
	TaxDate <TaxDt>	[0..1]	Date		724
	PaymentDetails <PmtDtls>	[0..1]	±		724
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8, C13, C14, C21	726
	AdditionalInformation <AddtlInf>	[0..*]	±		729

Constraints

- **PaymentDetailsRule**

Either PaymentDetails or one or more occurrences of FinancialInstrumentAssetForTransfer/ PaymentDetails may be present, but not both.

36.4.16.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

36.4.16.2 TransferInstructionReference <TrfInstrRef>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2764

36.4.16.3 TransferConfirmationIdentification <TrfConflId>

Presence: [1..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2764

36.4.16.4 ActualTransferDate <ActlTrfDt>

Presence: [0..1]

Definition: Date the portfolio transfer instruction was executed.

Datatype: "ISODate" on page 2749

36.4.16.5 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prftl> contains one of the following elements (see "FundPortfolio2Choice" on page 1556 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1556
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		1557
Or}	Pension <Pnsn>	[1..1]	±	C9, C10, C27, C28, C29, C33, C34, C37, C38	1557

36.4.16.6 AllOtherCash <AllOthrCsh>*Presence:* [0..1]*Definition:* Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.*Datatype:* "AllOtherCash1Code" on page 2648

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should not be liquidated and transferred as cash.

36.4.16.7 CashAll <CshAll>*Presence:* [0..1]*Definition:* Specifies whether all assets in the portfolio should be liquidated and transferred as cash.*Datatype:* "CashAll1Code" on page 2653

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	All assets in a portfolio should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	All assets in a portfolio should not be liquidated and transferred as cash.

36.4.16.8 ResidualCash <RsdICsh>*Presence:* [0..1]*Definition:* Specifies what must be done with cash in the account that is awaiting investment.*Datatype:* "ResidualCash1Code" on page 2718

CodeName	Name	Definition
NRCT	NotResidualCashTransfer	Residual Cash not to be transferred.
RCTR	ResidualCashTransfer	Residual cash to be transferred.

36.4.16.9 TaxDate <TaxDt>*Presence:* [0..1]*Definition:* Tax date applicable to all the assets.*Datatype:* "ISODate" on page 2749**36.4.16.10 PaymentDetails <PmtDtIs>***Presence:* [0..1]*Definition:* Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2162 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2164
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2164
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

36.4.16.11 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: C6 "AssetQuantity1Guideline", C7 "AssetQuantity2Guideline", C8 "AssetQuantity3Guideline", C13 "Conversion1Rule", C14 "Conversion2Rule", C21 "EffectiveDatesGuideline"

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following elements (see "FinancialInstrument70" on page 1470 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1473
	Instrument <Instrm>	[1..1]			1473
{Or	Security <Scty>	[1..1]		C11	1475
	Identification <Id>	[1..1]			1476
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1476
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1477
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1477
Or	RIC <RIC>	[1..1]	IdentifierSet		1477
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1477
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1477
Or	CTA <CTA>	[1..1]	IdentifierSet		1477
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1477
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1478
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1478
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1478
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1478
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1478
Or	Common <Cmon>	[1..1]	IdentifierSet		1478
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1478
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479
	Name <Nm>	[0..1]	Text		1479
	ShortName <ShrtNm>	[0..1]	Text		1479
Or	CashAsset <CshAsst>	[1..1]			1479
	CashAssetType <CshAsstTp>	[1..1]			1479
{Or	Code <Cd>	[1..1]	CodeSet		1480
Or}	Proprietary <Prtry>	[1..1]	±		1480
	AdditionalInformation <AddtlInf>	[0..1]	±		1480
Or}	OtherAsset <OthrAsst>	[1..1]			1480
	OtherAssetType <OthrAsstTp>	[1..1]			1481

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1481
Or}	Proprietary <Prtry>	[1..1]	±		1481
	Identification <Id>	[1..1]	Text		1482
	Name <Nm>	[0..1]	Text		1482
	OtherIdentification <OthrId>	[0..5]	Text		1482
	AdditionalInformation <AddtlInf>	[0..*]	±		1482
	Quantity <Qty>	[1..1]	±		1482
	TransferType <TrfTp>	[1..1]	±	C30	1483
	Conversion <Conv>	[0..1]	±		1483
	UnitsDetails <UnitsDtls>	[0..*]	±		1484
	ClientReference <ClntRef>	[0..1]	±		1485
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1486
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1486
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C10	1486
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	1487
	TotalBookValue <TtlBookVal>	[0..1]	±		1487
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	1487
	LatestValuation <LatstValtn>	[0..1]	±		1488
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1488
	Transferor <Trfr>	[0..1]	±		1488
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		1489
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		1489
	EffectiveTransferDate <FctvTrfDt>	[0..1]	±		1489
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		1489
	PaymentDetails <PmtDtls>	[0..1]	±		1490
	CrystallisationDetails <CrstlStnDtls>	[0..*]	±		1492
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1493
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1493
	AdditionalInformation <AddtlInf>	[0..*]	±		1494

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/Percentage must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

- **Conversion1Rule**

If TransferType/Code/CONV is present, then Conversion must be present.

- **Conversion2Rule**

If TransferType/Code/CONV is not present, then Conversion is not allowed.

- **EffectiveDatesGuideline**

When the portfolio transfer confirmation is used to confirm the intent to transfer, effective transfer date and effective settlement date are not applicable.

36.4.16.12 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

36.4.17 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

36.4.18 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C22 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

37 sese.014.001.09 PortfolioTransferCancellationRequestV09

37.1 MessageDefinition Functionality

Scope

The PortfolioTransferCancellationRequest message is sent by, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent PortfolioTransferInstruction message.

Usage

The PortfolioTransferCancellationRequest message is used to request the cancellation of a portfolio transfer instruction.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of a portfolio transfer instruction, the transfer reference of the portfolio transfer, as specified in the original PortfolioTransferInstruction message, is specified in the transfer reference element.

The message identification of the original PortfolioTransferInstruction message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a PortfolioTransferCancellationRequest is made using an TransferCancellationStatusReport message.

Outline

The PortfolioTransferCancellationRequestV09 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MasterReference

Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

F. TransferReferences

Reference of the portfolio transfer instruction to be cancelled.

G. MarketPracticeVersion

Identifies the market practice to which the message conforms.

37.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <PrtlTrfCxlReq>	[1..1]			
	MessageReference <MsgRef>	[1..1]	±		733
	PoolReference <PoolRef>	[0..1]	±		733
	PreviousReference <PrvsRef>	[0..1]	±		733
	RelatedReference <RltdRef>	[0..1]	±		733
	MasterReference <MstrRef>	[0..1]	Text		734
	TransferReferences <TrfRefs>	[1..1]			734
	TransferReference <TrfRef>	[1..1]	Text		734
	ClientReference <ClntRef>	[0..1]	±		734
	CounterpartyReference <CtrPtyRef>	[0..1]	±		735
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		735
	CancellationReference <CxlRef>	[0..1]	Text		735
	CancellationReason <CxlRsn>	[0..1]	Text		735
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		735

37.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

37.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

37.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

37.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	ReferenceIssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

37.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference10](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

37.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

37.4.5 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

37.4.6 TransferReferences <TrfRefs>

Presence: [1..1]

Definition: Reference of the portfolio transfer instruction to be cancelled.

TransferReferences <TrfRefs> contains the following **TransferReference14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		734
	ClientReference <ClntRef>	[0..1]	±		734
	CounterpartyReference <CtrPtyRef>	[0..1]	±		735
	TransferConfirmationReference <TrfConfRef>	[0..1]	Text		735
	CancellationReference <CxlRef>	[0..1]	Text		735
	CancellationReason <CxlRsn>	[0..1]	Text		735

37.4.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the portfolio transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

37.4.6.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the portfolio transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

37.4.6.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the portfolio transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

37.4.6.4 TransferConfirmationReference <TrfConfRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the portfolio transfer execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

37.4.6.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the portfolio transfer cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

37.4.6.6 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

Datatype: "Max35Text" on page 2764

37.4.7 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see
"MarketPracticeVersion1" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

38 **sese.018.001.07** **AccountHoldingInformationV07**

38.1 **MessageDefinition Functionality**

Scope

The AccountHoldingInformation message is sent by an executing party, for example, a (old) plan manager (transferor), to the instructing party, for example, a (new) plan manager (transferee), to provide information about financial instruments held on behalf of a client.

Usage

The AccountHoldingInformation message is used to provide information the individual assets held in a client's account. The assets may be part of a tax efficient product, a pension of general investment product.

Outline

The AccountHoldingInformationV07 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BusinessFlowDirectionType

Business flow direction (assets to be delivered or received).

F. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

G. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

J. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that can not be captured in the structured fields and/or any other specific block.

38.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctHldgInf>	[1..1]		C12, C20, C21	
	MessageReference <MsgRef>	[1..1]	±		743
	PoolReference <PoolRef>	[0..1]	±		743
	PreviousReference <PrvsRef>	[0..1]	±		744
	RelatedReference <RltdRef>	[0..1]	±		744
	BusinessFlowDirectionType <BizFlowDrctnTp>	[0..1]	CodeSet		744
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C31	745
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C31	745
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C31	746
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		747
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		747
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		748
	TransferorAccount <TrfrAcct>	[1..1]	±		748
	NomineeAccount <NmneeAcct>	[0..1]	±		749
	Transferee <Trfee>	[1..1]	±		749
	ProductTransfer <PdctTrf>	[1..*]			749
	MasterReference <MstrRef>	[0..1]	Text		750
	TransferIdentification <TrfId>	[1..1]	Text		750
	TransferConfirmationIdentification <TrfConfld>	[0..1]	Text		750
	Portfolio <Prfl>	[0..1]	±		750
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		751
	CashAll <CshAll>	[0..1]	CodeSet		751
	ResidualCash <RsdlCsh>	[0..1]	CodeSet		751
	TaxDate <TaxDt>	[0..1]	Date		752
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8	752
	AdditionalInformation <AddtlInf>	[0..*]	±		754
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		755
	Extension <Xtnsn>	[0..*]	±	C18	755

38.3 Constraints

C1 **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 **AdditionalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C6 **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/PercentageRate must be present.

C7 **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

C8 **AssetQuantity3Guideline**

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

C9 **BlockTransferRetirementAgeProtectionRule**

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

C10 **BlockTransferTaxFreeCashProtectionRule**

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

C11 **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C12 **CorporateRule**

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C13 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C14 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C15 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C16 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C17 DiscountElementRule

Amount Or Rate Or Basis must be present.

C18 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C19 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C20 InvestorRule

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C21 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C22 NonStandardSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C23 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C24 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C25 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C26 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C27 PensionOrderTypeGuideline

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

C28 RetirementAgeRule

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

C29 RingFencedDrawdownAssetsRule

If DrawdownStatus/Code/NONE is present, then RingFencedDrawdownAssets is not allowed.

If DrawdownStatus/Code/PART or FULL is present, then RingFencedDrawdownAssets must be present.

C30 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C31 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C32 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

C33 TaxFreeCashAmountRule

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

C34 TaxFreeCashAndValueRule

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

C35 TotalAmountYearToDateRule

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

C36 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C37 TransferScopeTrancheldidentification1Rule

If TransferScope/Code/SDDT is present, then DrawdownTrancheldidentification may be present.

C38 TransferScopeTrancheldidentification2Rule

If TransferScope/Code/SDDT is not present, then DrawdownTrancheldidentification is not allowed.

38.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

38.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

38.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference11" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	ReferenceIssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

38.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

38.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

38.4.5 BusinessFlowDirectionType <BizFlowDrctnTp>

Presence: [0..1]

Definition: Business flow direction (assets to be delivered or received).

Datatype: "BusinessFlowDirectionType1Code" on page 2650

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

38.4.6 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C31 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScItyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

38.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C31 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

38.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C31 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

38.4.9 PrimaryCorporateInvestor <PmryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "[Organisation36](#)" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

38.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

38.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

38.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

38.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "[InvestmentAccount69](#)" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

38.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "[PartyIdentification132](#)" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

38.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		750
	TransferIdentification <Trfld>	[1..1]	Text		750
	TransferConfirmationIdentification <TrfConfld>	[0..1]	Text		750
	Portfolio <Prftl>	[0..1]	±		750
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		751
	CashAll <CshAll>	[0..1]	CodeSet		751
	ResidualCash <RsdICsh>	[0..1]	CodeSet		751
	TaxDate <TaxDt>	[0..1]	Date		752
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±	C6, C7, C8	752
	AdditionalInformation <AddtlInf>	[0..*]	±		754

38.4.15.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.

Datatype: "Max35Text" on page 2764

38.4.15.2 TransferIdentification <Trfld>

Presence: [1..1]

Definition: Identification assigned to the transfer of assets.

Datatype: "Max35Text" on page 2764

38.4.15.3 TransferConfirmationIdentification <TrfConfld>

Presence: [0..1]

Definition: Identification of the confirmation assigned by the transferor to the transfer.

Datatype: "Max35Text" on page 2764

38.4.15.4 Portfolio <Prftl>

Presence: [0..1]

Definition: Choice of tax efficient product, general investment or pension.

Portfolio <Prtf> contains one of the following elements (see "FundPortfolio2Choice" on page 1556 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1556
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		1557
Or}	Pension <Pnsn>	[1..1]	±	C9, C10, C27, C28, C29, C33, C34, C37, C38	1557

38.4.15.5 AllOtherCash <AllOthrCsh>

Presence: [0..1]

Definition: Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.

Datatype: "AllOtherCash1Code" on page 2648

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should not be liquidated and transferred as cash.

38.4.15.6 CashAll <CshAll>

Presence: [0..1]

Definition: Specifies whether all assets in the portfolio should be liquidated and transferred as cash.

Datatype: "CashAll1Code" on page 2653

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	All assets in a portfolio should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	All assets in a portfolio should not be liquidated and transferred as cash.

38.4.15.7 ResidualCash <RsdICsh>

Presence: [0..1]

Definition: Specifies what must be done with cash in the account that is awaiting investment.

Datatype: "ResidualCash1Code" on page 2718

CodeName	Name	Definition
NRCT	NotResidualCashTransfer	Residual Cash not to be transferred.

CodeName	Name	Definition
RCTR	ResidualCashTransfer	Residual cash to be transferred.

38.4.15.8 TaxDate <TaxDt>

Presence: [0..1]

Definition: Tax date applicable to all the assets.

Datatype: "ISODate" on page 2749

38.4.15.9 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

Impacted by: C6 "AssetQuantity1Guideline", C7 "AssetQuantity2Guideline", C8 "AssetQuantity3Guideline"

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following elements (see "FinancialInstrument68" on page 1517 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1519
	Instrument <Instrm>	[1..1]			1520
{Or	Security <Scty>	[1..1]		C11	1522
	Identification <Id>	[1..1]			1523
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1523
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1524
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1524
Or	RIC <RIC>	[1..1]	IdentifierSet		1524
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1524
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1524
Or	CTA <CTA>	[1..1]	IdentifierSet		1524
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1524
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1525
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1525
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1525
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1525
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1525
Or	Common <Cmon>	[1..1]	IdentifierSet		1525
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1525
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526
	Name <Nm>	[0..1]	Text		1526
	ShortName <ShrtNm>	[0..1]	Text		1526
Or	CashAsset <CshAsst>	[1..1]			1526
	CashAssetType <CshAsstTp>	[1..1]			1526
{Or	Code <Cd>	[1..1]	CodeSet		1527
Or}	Proprietary <Prtry>	[1..1]	±		1527
	AdditionalInformation <AddtlInf>	[0..1]	±		1527
Or}	OtherAsset <OthrAsst>	[1..1]			1527
	OtherAssetType <OthrAsstTp>	[1..1]			1528

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1528
Or}	Proprietary <Prtry>	[1..1]	±		1528
	Identification <Id>	[1..1]	Text		1529
	Name <Nm>	[0..1]	Text		1529
	OtherIdentification <OthrId>	[0..5]	Text		1529
	AdditionalInformation <AddtlInf>	[0..*]	±		1529
	Quantity <Qty>	[1..1]	±		1529
	UnitsDetails <UnitsDtls>	[0..*]	±		1530
	ClientReference <ClntRef>	[0..1]	±		1531
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1532
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1532
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C3, C12	1532
	TotalBookValue <TtlBookVal>	[0..1]	±		1533
	LatestValuation <LatstValtn>	[0..1]	±		1533
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1533
	Transferor <Trfr>	[0..1]	±		1534
	CrystallisationDetails <CrstlStnDtls>	[0..*]	±		1534
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1535
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1535
	AdditionalInformation <AddtlInf>	[0..*]	±		1535

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/PercentageRate must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

38.4.15.10 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

38.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see "[MarketPracticeVersion1](#)" on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

38.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Impacted by: C18 "[ExtensionRule](#)"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

39 sese.019.001.06 AccountHoldingInformationRequestV06

39.1 MessageDefinition Functionality

Scope

The AccountHoldingInformationRequest message is sent by an instructing party, for example, a (new) plan manager (transferee), to the executing party, for example, a (old) plan manager (transferor), on behalf of the initiating party, for example, an investor (client), to request information about financial instruments held on behalf of the client.

Usage

The AccountHoldingInformationRequest message is used to request information about assets held on behalf of the client for which it intends to instruct a portfolio transfer at a later time.

Outline

The AccountHoldingInformationRequestV06 MessageDefinition is composed of 17 MessageBuildingBlocks:

A. MessageReference

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BusinessFlowDirectionType

Business flow direction (assets to be delivered or received).

F. PrimaryIndividualInvestor

Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

G. SecondaryIndividualInvestor

Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

H. OtherIndividualInvestor

Information identifying the other individual investors, for example, name, address, social security number and date of birth.

I. PrimaryCorporateInvestor

Information identifying the primary corporate investor, for example, name and address.

J. SecondaryCorporateInvestor

Information identifying the secondary corporate investor, for example, name and address.

K. OtherCorporateInvestor

Information identifying the other corporate investors, for example, name and address.

L. TransferorAccount

Identification of the account owned by the investor at the old plan manager (account servicer).

M. NomineeAccount

Account held in the name of a party that is not the name of the beneficial owner of the shares.

N. Transferee

Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

O. ProductTransfer

Information about the portfolio and assets.

P. MarketPracticeVersion

Identifies the market practice to which the message conforms.

Q. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

39.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AcctHldgInfReq>	[1..1]		C6, C12, C13	
	MessageReference <MsgRef>	[1..1]	±		761
	PoolReference <PoolRef>	[0..1]	±		761
	PreviousReference <PrvsRef>	[0..1]	±		761
	RelatedReference <RltdRef>	[0..1]	±		762
	BusinessFlowDirectionType <BizFlowDrctnTp>	[0..1]	CodeSet		762
	PrimaryIndividualInvestor <PmryIndvInvstr>	[0..1]	±	C19	762
	SecondaryIndividualInvestor <ScndryIndvInvstr>	[0..1]	±	C19	763
	OtherIndividualInvestor <OthrIndvInvstr>	[0..*]	±	C19	764
	PrimaryCorporateInvestor <PmryCorplInvstr>	[0..1]	±		764
	SecondaryCorporateInvestor <ScndryCorplInvstr>	[0..1]	±		765
	OtherCorporateInvestor <OthrCorplInvstr>	[0..*]	±		765
	TransferorAccount <TrfrAcct>	[1..1]	±		766
	NomineeAccount <NmneeAcct>	[0..1]	±		766
	Transferee <Trfee>	[1..1]	±		767
	ProductTransfer <PdctTrf>	[1..*]			767
	MasterReference <MstrRef>	[0..1]	Text		768
	TransferIdentification <Trfld>	[1..1]	Text		768
	Portfolio <Prttl>	[0..1]	±		768
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		768
	CashAll <CshAll>	[0..1]	CodeSet		769
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±		769
	AdditionalInformation <AddtlInf>	[0..*]	±		771
	MarketPracticeVersion <MktPrctcVrsn>	[0..1]	±		771
	Extension <Xtnsn>	[0..*]	±	C10	772

39.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BookTransferGuideline

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C6 CorporateRule

If PrimaryCorporateInvestor is present, then SecondaryCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then SecondaryCorporateInvestor is not allowed.

If PrimaryCorporateInvestor is present, then OtherCorporateInvestor may be present. If PrimaryCorporateInvestor is not present, then OtherCorporateInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C10 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C11 IdentificationGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 InvestorRule

If PrimaryIndividualInvestor is present, then SecondaryIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then SecondaryIndividualInvestor is not allowed.

If PrimaryIndividualInvestor is present, then OtherIndividualInvestor may be present. If PrimaryIndividualInvestor is not present, then OtherIndividualInvestor is not allowed.

This constraint is defined at the MessageDefinition level.

C13 NomineeAccountServicerRule

NomineeAccount/Servicer must be present when and only when different from TransferorAccount/Servicer.

This constraint is defined at the MessageDefinition level.

C14 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C15 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C16 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C17 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C18 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C19 SocialSecurityNumberGuideline

The UK Insurance Number must be indicated without spaces.

C20 SpecificPreviousYearsGuideline

SpecificPreviousYears must not contain the current year.

39.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

39.4.1 MessageReference <MsgRef>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageReference <MsgRef> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

39.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference11](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	ReferenceIssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

39.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

39.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

39.4.5 BusinessFlowDirectionType <BizFlowDrctnTp>

Presence: [0..1]

Definition: Business flow direction (assets to be delivered or received).

Datatype: "BusinessFlowDirectionType1Code" on page 2650

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

39.4.6 PrimaryIndividualInvestor <PmryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the primary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C19 "SocialSecurityNumberGuideline"

PrimaryIndividualInvestor <PmryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.7 SecondaryIndividualInvestor <ScndryIndvInvstr>

Presence: [0..1]

Definition: Information identifying the secondary individual investor, for example, name, address, social security number and date of birth.

Impacted by: C19 "SocialSecurityNumberGuideline"

SecondaryIndividualInvestor <ScndryIndvInvstr> contains the following elements (see "IndividualPerson8" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.8 OtherIndividualInvestor <OthrIndvInvstr>

Presence: [0..*]

Definition: Information identifying the other individual investors, for example, name, address, social security number and date of birth.

Impacted by: C19 "SocialSecurityNumberGuideline"

OtherIndividualInvestor <OthrIndvInvstr> contains the following elements (see "[IndividualPerson8](#)" on page 2269 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <ScIScTyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

39.4.9 PrimaryCorporateInvestor <PmryCorpInvstr>

Presence: [0..1]

Definition: Information identifying the primary corporate investor, for example, name and address.

PrimaryCorporateInvestor <PmryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

39.4.10 SecondaryCorporateInvestor <ScndryCorplnvstr>

Presence: [0..1]

Definition: Information identifying the secondary corporate investor, for example, name and address.

SecondaryCorporateInvestor <ScndryCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

39.4.11 OtherCorporateInvestor <OthrCorplnvstr>

Presence: [0..*]

Definition: Information identifying the other corporate investors, for example, name and address.

OtherCorporateInvestor <OthrCorplnvstr> contains the following elements (see "Organisation36" on page 2046 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

39.4.12 TransferorAccount <TrfrAcct>

Presence: [1..1]

Definition: Identification of the account owned by the investor at the old plan manager (account servicer).

TransferorAccount <TrfrAcct> contains the following elements (see "InvestmentAccount69" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

39.4.13 NomineeAccount <NmneeAcct>

Presence: [0..1]

Definition: Account held in the name of a party that is not the name of the beneficial owner of the shares.

NomineeAccount <NmneeAcct> contains the following elements (see "InvestmentAccount69" on page 1245 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

39.4.14 Transferee <Trfee>

Presence: [1..1]

Definition: Identification of the institution to which the financial instrument is to be transferred. This may also be known as the new plan manager.

Transferee <Trfee> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

39.4.15 ProductTransfer <PdctTrf>

Presence: [1..*]

Definition: Information about the portfolio and assets.

ProductTransfer <PdctTrf> contains the following **PortfolioTransfer1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		768
	TransferIdentification <TrfId>	[1..1]	Text		768
	Portfolio <Prtl>	[0..1]	±		768
	AllOtherCash <AllOthrCsh>	[0..1]	CodeSet		768
	CashAll <CshAll>	[0..1]	CodeSet		769
	FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>	[0..*]	±		769
	AdditionalInformation <AddtlInf>	[0..*]	±		771

39.4.15.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for a group of individual transfers as assigned by the instructing party. This identifier links the individual transfers together.*Datatype:* "Max35Text" on page 2764**39.4.15.2 TransferIdentification <Trfld>***Presence:* [1..1]*Definition:* Identification assigned to the transfer of assets.*Datatype:* "Max35Text" on page 2764**39.4.15.3 Portfolio <Prftl>***Presence:* [0..1]*Definition:* Choice of tax efficient product, general investment or pension.**Portfolio <Prftl>** contains one of the following elements (see "FundPortfolio1Choice" on page 1559 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1559
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		1560
Or}	Pension <Pnsn>	[1..1]			1560
	Identification <Id>	[0..1]	±		1561
	Type <Tp>	[0..1]	±		1561
	TransferScope <TrfScp>	[0..1]	±		1561
	TaxReference <TaxRef>	[0..*]	±		1561
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1562
	AdditionalInformation <AddtlInf>	[0..*]	±		1562

39.4.15.4 AllOtherCash <AllOthrCsh>*Presence:* [0..1]*Definition:* Specifies whether all remaining assets in the portfolio not listed for transfer should be liquidated and transferred as cash.*Datatype:* "AllOtherCash1Code" on page 2648

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should not be liquidated and transferred as cash.

39.4.15.5 CashAll <CshAll>

Presence: [0..1]

Definition: Specifies whether all assets in the portfolio should be liquidated and transferred as cash.

Datatype: "CashAll1Code" on page 2653

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	All assets in a portfolio should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	All assets in a portfolio should not be liquidated and transferred as cash.

39.4.15.6 FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf>

Presence: [0..*]

Definition: Asset to be transferred.

FinancialInstrumentAssetForTransfer <FinInstrmAsstForTrf> contains the following elements (see "FinancialInstrument67" on page 1536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1538
	Instrument <Instrm>	[1..1]			1538
{Or	Security <Scty>	[1..1]		C11	1540
	Identification <Id>	[1..1]			1541
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1541
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1542
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1542
Or	RIC <RIC>	[1..1]	IdentifierSet		1542
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1542
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1542
Or	CTA <CTA>	[1..1]	IdentifierSet		1542
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1542
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1543
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1543
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1543
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1543
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1543
Or	Common <Cmon>	[1..1]	IdentifierSet		1543
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1543
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544
	Name <Nm>	[0..1]	Text		1544
	ShortName <ShrtNm>	[0..1]	Text		1544
Or	CashAsset <CshAsst>	[1..1]			1544
	CashAssetType <CshAsstTp>	[1..1]			1544
{Or	Code <Cd>	[1..1]	CodeSet		1545
Or}	Proprietary <Prtry>	[1..1]	±		1545
	AdditionalInformation <AddtlInf>	[0..1]	±		1545
Or}	OtherAsset <OthrAsst>	[1..1]			1545
	OtherAssetType <OthrAsstTp>	[1..1]			1546

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1546
Or}	Proprietary <Prtry>	[1..1]	±		1546
	Identification <Id>	[1..1]	Text		1547
	Name <Nm>	[0..1]	Text		1547
	OtherIdentification <OthrId>	[0..5]	Text		1547
	AdditionalInformation <AddtlInf>	[0..*]	±		1547
	ClientReference <ClntRef>	[0..1]	±		1547
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1548
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1548
	Transferor <Trfr>	[0..1]	±		1548
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]			1549
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1549
	TradeTransactionCondition <TradTxCond>	[0..*]	±		1550
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		1550
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		1550
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	1551
	AdditionalInformation <AddtlInf>	[0..*]	±		1552

39.4.15.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the product transfer.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

39.4.16 MarketPracticeVersion <MktPrctcVrsn>

Presence: [0..1]

Definition: Identifies the market practice to which the message conforms.

MarketPracticeVersion <MktPrctcVrsn> contains the following elements (see ["MarketPracticeVersion1"](#) on page 2007 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

39.4.17 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C10 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see ["Extension1"](#) on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

40 **setr.001.001.04** **RedemptionBulkOrderV04**

40.1 **MessageDefinition Functionality**

Scope

The RedemptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a redemption from a financial instrument for two or more accounts.

Usage

The RedemptionBulkOrder message is used to bulk several individual orders into one bulk order. The individual orders come from different instructing parties, that is, account owners, but are for the same financial instrument. The RedemptionBulkOrder can result in one single bulk cash settlement or several individual cash settlements.

This message will be typically used by a party collecting orders, that is, a concentrator, bulking these individual orders into one bulk order before sending it to an executing party.

For a single redemption order, the RedemptionOrder message, not the RedemptionBulkOrder message, must be used.

If there are redemption orders for different financial instruments but for the same account, then the RedemptionOrder must be used.

Outline

The RedemptionBulkOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. BulkOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

40.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedBlkOrd>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		778
	PoolReference <PoolRef>	[0..1]	±		778
	PreviousReference <PrvsRef>	[0..*]	±		779
	BulkOrderDetails <BlkOrdDtls>	[1..1]		C7, C27, C29	779
	MasterReference <MstrRef>	[0..1]	Text		781
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		781
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		782
	ExpiryDateTime <XpryDtTm>	[0..1]	±		782
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		782
	CancellationRight <CxlRght>	[0..1]	±		782
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	783
	IndividualOrderDetails <IndvOrdDtls>	[1..*]		C21, C23, C24	785
	OrderReference <OrdRef>	[1..1]	Text		787
	ClientReference <ClntRef>	[0..1]	Text		787
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	787
	OrderType <OrdTp>	[0..10]	±		788
	BeneficiaryDetails <BnfcrDtls>	[0..*]	±	C22	788
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		789
	Rounding <Rndg>	[0..1]	CodeSet		789
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		790
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		790
	ForeignExchangeDetails <FXDtls>	[0..1]	±		790
	IncomePreference <IncmPref>	[0..1]	CodeSet		791
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		791
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	792
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		792

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		794
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		794
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		794
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		795
	StaffClientBreakdown <StffCintBrkdown>	[0..4]	±		796
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		796
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		796
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	796
	Equalisation <Equlstn>	[0..1]	±		797
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		797
	TransactionChannelType <TxChanlTp>	[0..1]	±		797
	SignatureType <SgntrTp>	[0..1]	±		798
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	798
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	798
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	799
	TotalSettlementAmount <TtSttlmAmt>	[0..1]	Amount	C3, C10	799
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		799
	CopyDetails <CpyDtls>	[0..1]	±		801
	Extension <Xtnsn>	[0..*]	±	C14	801

40.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkOrderDetails/IndividualOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

40.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

40.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

40.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

40.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

40.4.4 BulkOrderDetails <BlkOrdDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetailsRule"](#), [C27 "RequestedSettlementCurrencyRule"](#), [C29 "SettlementCurrencyRule"](#)

BulkOrderDetails <BlkOrdrDtls> contains the following **RedemptionBulkOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		781
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		781
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		782
	ExpiryDateTime <XpryDtTm>	[0..1]	±		782
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		782
	CancellationRight <CxlRght>	[0..1]	±		782
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	783
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C21, C23, C24	785
	OrderReference <OrdrRef>	[1..1]	Text		787
	ClientReference <ClntRef>	[0..1]	Text		787
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	787
	OrderType <OrdrTp>	[0..10]	±		788
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	788
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		789
	Rounding <Rndg>	[0..1]	CodeSet		789
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		790
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		790
	ForeignExchangeDetails <FXDtls>	[0..1]	±		790
	IncomePreference <IncmPref>	[0..1]	CodeSet		791
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		791
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	792
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		792
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		794
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		794
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		794
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		795
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		796
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		796

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		796
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	796
	Equalisation <Equlstr>	[0..1]	±		797
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		797
	TransactionChannelType <TxChanITp>	[0..1]	±		797
	SignatureType <SgntrTp>	[0..1]	±		798
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	798
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	798
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	799
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	799
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		799

Constraints

- **BulkCashSettlementDetailsRule**

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

40.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

40.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

40.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

40.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

40.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

40.4.4.6 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

40.4.4.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: [C18 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

40.4.4.8 IndividualOrderDetails <IndvOrdrDtls>

Presence: [1..*]

Definition: Instruction from an investor to sell investment fund units back to the fund.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **RedemptionOrder15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		787
	ClientReference <ClntRef>	[0..1]	Text		787
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	787
	OrderType <OrdrTp>	[0..10]	±		788
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	788
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		789
	Rounding <Rndg>	[0..1]	CodeSet		789
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		790
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		790
	ForeignExchangeDetails <FXDtls>	[0..1]	±		790
	IncomePreference <IncmPref>	[0..1]	CodeSet		791
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		791
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	792
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		792
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		794
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		794
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		794
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		795
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		796
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		796
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		796
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	796
	Equalisation <Equlstn>	[0..1]	±		797
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		797
	TransactionChannelType <TxChanlTp>	[0..1]	±		797
	SignatureType <SgntrTp>	[0..1]	±		798
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C32	798

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

40.4.4.8.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

40.4.4.8.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

40.4.4.8.3 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

40.4.4.8.4 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

40.4.4.8.5 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C22 "PersonElementRule"

BeneficiaryDetails <BnfcryDtIs> contains the following elements (see "IndividualPerson32" on page 2266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer </Issr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

40.4.4.8.6 AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>

Presence: [1..1]

Definition: Amount of money or the number of units or percentage to be redeemed for the redemption order.

AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg> contains one of the following elements (see "FinancialInstrumentQuantity28Choice" on page 2328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		2329
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C12	2329
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C12	2329
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		2330

40.4.4.8.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

40.4.4.8.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

40.4.4.8.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

40.4.4.8.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

40.4.4.8.11 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

40.4.4.8.12 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

40.4.4.8.13 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "[UKTaxGroupUnit1Code](#)" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

40.4.4.8.14 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C16 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "FeeAndTax1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

40.4.4.8.15 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

40.4.4.8.16 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

40.4.4.8.17 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

40.4.4.8.18 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrlid>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

40.4.4.8.19 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

40.4.4.8.20 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

40.4.4.8.21 FinancialAdvice <FinAdv>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

40.4.4.8.22 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

40.4.4.8.23 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

40.4.4.8.24 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

40.4.4.8.25 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

40.4.4.8.26 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanTp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

40.4.4.8.27 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

40.4.4.8.28 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C32 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtls> contains the following elements (see "OrderWaiver1" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

40.4.4.9 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

40.4.4.10 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

40.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

40.4.4.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <PyeeId>	[1..1]	±		2233
	DraweeIdentification <DrweeId>	[0..1]	±		2233
	DrawerIdentification <DrwrId>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <PyeeId>	[1..1]	±		2234
	DraweeIdentification <DrweeId>	[0..1]	±		2234
	DrawerIdentification <DrwrId>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

40.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

40.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

41 setr.002.001.04 RedemptionBulkOrderCancellationRequestV04

41.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionBulkOrder.

Usage

The RedemptionBulkOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original RedemptionBulkOrder message is specified in the order reference element. The message identification of the RedemptionBulkOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a RedemptionBulkOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The RedemptionBulkOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MasterReference
Reference assigned to a set of orders or trades in order to link them together.
- E. OrderReferences
Identification of the individual order to be cancelled.
- F. CopyDetails

Information provided when the message is a copy of a previous message.

41.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RedBlkOrdxCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		803
	PoolReference <PoolRef>	[0..1]	±		804
	PreviousReference <PrvsRef>	[0..1]	±		804
	MasterReference <MstrRef>	[0..1]	Text		804
	OrderReferences <OrdRefs>	[1..*]			804
	OrderReference <OrdRef>	[1..1]	Text		805
	ClientReference <ClntRef>	[0..1]	Text		805
	CancellationReference <CxlRef>	[0..1]	Text		805
	CancellationReason <CxlRsn>	[0..1]	±		805
	CopyDetails <CpyDtls>	[0..1]	±		805

41.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

41.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

41.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

41.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

41.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

41.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "[Max35Text](#)" on page 2764

41.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		805
	ClientReference <ClntRef>	[0..1]	Text		805
	CancellationReference <CxlRef>	[0..1]	Text		805
	CancellationReason <CxlRsn>	[0..1]	±		805

41.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

41.4.5.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

41.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

41.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

41.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

42 setr.003.001.04 RedemptionBulkOrderConfirmationV04

42.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionBulkOrder message.

Usage

The RedemptionBulkOrderConfirmation message is used to confirm the execution of all individual orders included in a previously sent RedemptionBulkOrder message.

There is usually one bulk confirmation message for one bulk order message.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the RedemptionBulkOrder message in which the individual order was conveyed may also be quoted in RelatedReference, but this is not recommended.

A RedemptionBulkOrder must in all cases be responded to by a RedemptionBulkOrderConfirmation and in no circumstances by a RedemptionOrderConfirmation.

If the executing party needs to confirm a RedemptionOrder instruction, then the RedemptionOrderConfirmation must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The RedemptionBulkOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BulkExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

42.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedBlkOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		814
	PoolReference <PoolRef>	[0..1]	±		814
	PreviousReference <PrvsRef>	[0..*]	±		814
	RelatedReference <RltdRef>	[0..1]	±		815
	BulkExecutionDetails <BlkExctnDtls>	[1..1]		C8, C29, C31	815
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		818
	MasterReference <MstrRef>	[0..1]	Text		818
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		818
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		818
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		819
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		819
	CancellationRight <CxlRght>	[0..1]	±		819
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	819
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	821
	OrderReference <OrdrRef>	[1..1]	Text		824
	ClientReference <ClntRef>	[0..1]	Text		824
	DealReference <DealRef>	[1..1]	Text		824
	OrderType <OrdrTp>	[0..10]	±		824
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	824
	UnitsNumber <UnitsNb>	[1..1]	Quantity		825
	Rounding <Rndg>	[0..1]	CodeSet		825
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	825
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	826
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		826
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	827
	TradeDateTime <TradDtTm>	[1..1]	±		827
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	827

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		828
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		828
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		828
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		829
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		829
	BestExecution <BestExctn>	[0..1]	CodeSet		830
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		830
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		830
	ForeignExchangeDetails <FXDtls>	[0..*]	±		830
	IncomePreference <IncmPref>	[0..1]	CodeSet		831
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		831
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	832
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	832
	StaffClientBreakdown <StffClntrBrkwn>	[0..4]	±		834
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		834
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		836
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		836
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		836
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		837
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		838
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		838
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	838
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		838
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		839
	LateReport <LateRpt>	[0..1]	CodeSet		839
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	839
	Equalisation <Equlstr>	[0..1]	±		840
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		840
	TransactionChannelType <TxChanlTp>	[0..1]	±		840
	SignatureType <SgntrTp>	[0..1]	±		840
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C34	841

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		841
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	842
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	843
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	843
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		843
	CopyDetails <CpyDtls>	[0..1]	±		845
	Extension <Xtnsn>	[0..*]	±	C15	845

42.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkExecutionDetails/IndividualExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of BulkExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C34 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

42.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

42.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

42.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

42.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

42.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

42.4.5 BulkExecutionDetails <BlkExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C29 "RequestedSettlementCurrencyRule", C31 "SettlementCurrencyRule"

BulkExecutionDetails <BlkExctnDtls> contains the following **RedemptionBulkExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		818
	MasterReference <MstrRef>	[0..1]	Text		818
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		818
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		818
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		819
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		819
	CancellationRight <CxlRght>	[0..1]	±		819
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	819
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	821
	OrderReference <OrdrRef>	[1..1]	Text		824
	ClientReference <ClntRef>	[0..1]	Text		824
	DealReference <DealRef>	[1..1]	Text		824
	OrderType <OrdrTp>	[0..10]	±		824
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	824
	UnitsNumber <UnitsNb>	[1..1]	Quantity		825
	Rounding <Rndg>	[0..1]	CodeSet		825
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	825
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	826
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		826
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	827
	TradeDateTime <TradDtTm>	[1..1]	±		827
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	827
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		828
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		828
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		828
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		829
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		829
	BestExecution <BestExctn>	[0..1]	CodeSet		830
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		830

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		830
	ForeignExchangeDetails <FXDtls>	[0..*]	±		830
	IncomePreference <IncmPref>	[0..1]	CodeSet		831
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		831
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	832
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	832
	StaffClientBreakdown <StffCIntBrkdwn>	[0..4]	±		834
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		834
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		836
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		836
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		836
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		837
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		838
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		838
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	838
	FinancialAdvice <FinAdvce>	[0..1]	CodeSet		838
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		839
	LateReport <LateRpt>	[0..1]	CodeSet		839
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	839
	Equalisation <Equlstr>	[0..1]	±		840
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		840
	TransactionChannelType <TxChanITp>	[0..1]	±		840
	SignatureType <SgntrTp>	[0..1]	±		840
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C34	841
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		841
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	842
	RequestedNAVCurrency <ReqdNAVCCcy>	[0..1]	CodeSet	C4	843
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	843
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		843

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

42.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

42.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

42.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

42.4.5.4 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

42.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2749

42.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

42.4.5.7 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

42.4.5.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

42.4.5.9 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a redemption order.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **RedemptionExecution16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		824
	ClientReference <ClntRef>	[0..1]	Text		824
	DealReference <DealRef>	[1..1]	Text		824
	OrderType <OrdrTp>	[0..10]	±		824
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	824
	UnitsNumber <UnitsNb>	[1..1]	Quantity		825
	Rounding <Rndg>	[0..1]	CodeSet		825
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	825
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	826
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		826
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	827
	TradeDateTime <TradDtTm>	[1..1]	±		827
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	827
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		828
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		828
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		828
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		829
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		829
	BestExecution <BestExctn>	[0..1]	CodeSet		830
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		830
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		830
	ForeignExchangeDetails <FXDtls>	[0..*]	±		830
	IncomePreference <IncmPref>	[0..1]	CodeSet		831
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		831
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	832
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	832
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		834
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		834
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		836
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		836

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		836
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		837
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		838
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		838
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	838
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		838
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		839
	LateReport <LateRpt>	[0..1]	CodeSet		839
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	839
	Equalisation <Equlstn>	[0..1]	±		840
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		840
	TransactionChannelType <TxChanlTp>	[0..1]	±		840
	SignatureType <SgntrTp>	[0..1]	±		840
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C34	841
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		841

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

42.4.5.9.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**42.4.5.9.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**42.4.5.9.3 DealReference <DealRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**42.4.5.9.4 OrderType <OrdTp>***Presence:* [0..10]*Definition:* Category of the investment fund order.**OrderType <OrdTp>** contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

42.4.5.9.5 BeneficiaryDetails <BnfcryDtls>*Presence:* [0..*]*Definition:* Additional information about the investor.*Impacted by:* C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtIs> contains the following elements (see "IndividualPerson32" on page 2266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer </Issr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

42.4.5.9.6 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment funds units redeemed.

Datatype: "DecimalNumber" on page 2761

42.4.5.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

42.4.5.9.8 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

42.4.5.9.9 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

42.4.5.9.10 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2762

42.4.5.9.11 GrossAmount <GrssAmt>*Presence:* [0..1]*Definition:* Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

42.4.5.9.12 TradeDateTime <TradDtTm>*Presence:* [1..1]*Definition:* Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

42.4.5.9.13 SettlementAmount <SttlmAmt>*Presence:* [1..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.*Impacted by:* C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

42.4.5.9.14 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

42.4.5.9.15 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

42.4.5.9.16 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

42.4.5.9.17 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

42.4.5.9.18 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

42.4.5.9.19 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2650

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

42.4.5.9.20 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

42.4.5.9.21 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "ProfitAndLoss2Choice" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

42.4.5.9.22 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

42.4.5.9.23 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

42.4.5.9.24 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "[UKTaxGroupUnit1Code](#)" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

42.4.5.9.25 TransactionOverhead <TxOvrhd>*Presence:* [0..1]*Definition:* Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.*Impacted by:* C18 "NonStandardsSLAReferenceRule", C33 "TransactionOverheadElementRule"**TransactionOverhead <TxOvrhd>** contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

42.4.5.9.26 InformativeTaxDetails <InftvTaxDtls>*Presence:* [0..1]*Definition:* Additional information about tax that does not have an impact on the transaction overhead.*Impacted by:* C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

42.4.5.9.27 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

42.4.5.9.28 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

42.4.5.9.29 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

42.4.5.9.30 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

42.4.5.9.31 CashSettlementDetails <CshSttImDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <PyeeId>	[1..1]	±		2233
	DraweeIdentification <DrweeId>	[0..1]	±		2233
	DrawerIdentification <DrwrId>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <PyeeId>	[1..1]	±		2234
	DraweeIdentification <DrweeId>	[0..1]	±		2234
	DrawerIdentification <DrwrId>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

42.4.5.9.32 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

42.4.5.9.33 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2762

42.4.5.9.34 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2762

42.4.5.9.35 PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>

Presence: [0..1]

Definition: Amount retained by the fund and paid out later at a time decided by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

42.4.5.9.36 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

42.4.5.9.37 NegotiatedTrade <NgtdTrad>*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

42.4.5.9.38 LateReport <LateRpt>*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2695

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

42.4.5.9.39 RelatedPartyDetails <RltdPtyDtls>*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"**RelatedPartyDetails <RltdPtyDtls>** contains the following elements (see "Intermediary39" on page 2086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

42.4.5.9.40 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

42.4.5.9.41 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

42.4.5.9.42 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

42.4.5.9.43 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

42.4.5.9.44 OrderWaiverDetails <OrdrWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C34 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "OrderWaiver1" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

42.4.5.9.45 GatingOrHoldBackDetails <GtgOrHldBckDtls>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation2" on page 1914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1915
	Amount <Amt>	[0..1]	Amount	C2, C10	1916
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1916
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1916
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1917
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1917
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1917
Or	RIC <RIC>	[1..1]	IdentifierSet		1918
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1918
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1918
Or	CTA <CTA>	[1..1]	IdentifierSet		1918
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1918
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1918
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1918
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1919
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1919
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1919
Or	Common <Cmon>	[1..1]	IdentifierSet		1919
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1919
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1920
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1920
	FinalConfirmation <FnIConf>	[0..1]	Indicator		1920

42.4.5.10 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

42.4.5.11 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

42.4.5.12 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

42.4.5.13 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrlid>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <Acctld>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

42.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

42.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

43 **setr.004.001.04** **RedemptionOrderV04**

43.1 **MessageDefinition Functionality**

Scope

The RedemptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the redemption of one or more financial instruments for one investment fund account.

Usage

The RedemptionOrder message is used to instruct single redemption orders, that is, a message containing one order for one financial instrument and related to one investment account. The RedemptionOrder message may also be used for multiple orders, that is, a message containing several orders related to the same investment account for different financial instruments.

For a single redemption order, the RedemptionOrder message, not the RedemptionBulkOrder message, must be used.

If there are redemption orders for the same financial instrument but for different accounts that are to be communicated in a single message, then the RedemptionBulkOrder message must be used.

Outline

The RedemptionOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MultipleOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

43.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrdr>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		851
	PoolReference <PoolRef>	[0..1]	±		851
	PreviousReference <PrvsRef>	[0..*]	±		852
	MultipleOrderDetails <MltplOrdrDtls>	[1..1]		C7, C21, C29, C32	852
	MasterReference <MstrRef>	[0..1]	Text		854
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		854
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		855
	ExpiryDateTime <XpryDtTm>	[0..1]	±		855
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		855
	CancellationRight <CxlRght>	[0..1]	±		855
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	856
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	856
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	857
	OrderReference <OrdrRef>	[1..1]	Text		859
	ClientReference <ClntRef>	[0..1]	Text		859
	OrderType <OrdrTp>	[0..10]	±		859
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	860
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		862
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		862
	Rounding <Rndg>	[0..1]	CodeSet		862
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	863
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		863
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		863
	ForeignExchangeDetails <FXDtls>	[0..1]	±		863
	IncomePreference <IncmPref>	[0..1]	CodeSet		864
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		864
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	865

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAndCustodyDetails <SttImAndCtdyDtIs>	[0..1]	±		865
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		867
	PhysicalDeliveryDetails <PhysDlvryDtIs>	[0..1]	±		867
	RequestedSettlementCurrency <ReqdSttImCcy>	[0..1]	CodeSet	C3	867
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	867
	CashSettlementDetails <CshSttImDtIs>	[0..1]	±		868
	NonStandardSettlementInformation <NonStdSttImInf>	[0..1]	Text		869
	StaffClientBreakdown <StffCIntBrkdw>	[0..4]	±		870
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		870
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		870
	RelatedPartyDetails <RltdPtyDtIs>	[0..10]	±	C19	870
	Equalisation <Equlstn>	[0..1]	±		871
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		871
	TransactionChannelType <TxChanITp>	[0..1]	±		871
	SignatureType <SgntrTp>	[0..1]	±		872
	OrderWaiverDetails <OrdWvrDtIs>	[0..1]	±	C33	872
	BulkCashSettlementDetails <BlkCshSttImDtIs>	[0..1]	±		872
	TotalSettlementAmount <TtlSttImAmt>	[0..1]	Amount	C3, C10	873
	CopyDetails <CpyDtIs>	[0..1]	±		874
	Extension <Xtnsn>	[0..*]	±	C14	874

43.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 TotalSettlementCurrencyRule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C33 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

43.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

43.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

43.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

43.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

43.4.4 MultipleOrderDetails <MltplOrdrDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: C7 "BulkCashSettlementDetailsRule", C21 "OrderOriginatorEligibility3Rule", C29 "SettlementCurrencyRule", C32 "TotalSettlementCurrencyRule"

MultipleOrderDetails <MltplOrdrDtls> contains the following **RedemptionMultipleOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		854
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		854
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		855
	ExpiryDateTime <XpryDtTm>	[0..1]	±		855
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		855
	CancellationRight <CxlRght>	[0..1]	±		855
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	856
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	856
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	857
	OrderReference <OrdrRef>	[1..1]	Text		859
	ClientReference <ClntRef>	[0..1]	Text		859
	OrderType <OrdrTp>	[0..10]	±		859
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	860
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		862
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		862
	Rounding <Rndg>	[0..1]	CodeSet		862
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	863
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		863
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		863
	ForeignExchangeDetails <FXDtls>	[0..1]	±		863
	IncomePreference <IncmPref>	[0..1]	CodeSet		864
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		864
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	865
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		865
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		867
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		867
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	867
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	867
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		868

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		869
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		870
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		870
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		870
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	870
	Equalisation <Equlstn>	[0..1]	±		871
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		871
	TransactionChannelType <TxChanITp>	[0..1]	±		871
	SignatureType <SgntrTp>	[0..1]	±		872
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C33	872
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		872
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	873

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

- **TotalSettlementCurrencyRule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

43.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

43.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

43.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

43.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

43.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

43.4.4.6 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

43.4.4.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: [C20 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "[InvestmentAccount58](#)" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

43.4.4.8 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: [C22 "PersonElementRule"](#)

BeneficiaryDetails <BnfcryDtIs> contains the following elements (see "IndividualPerson32" on page 2266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer </Issr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

43.4.4.9 IndividualOrderDetails <IndvOrdrDtIs>

Presence: [1..*]

Definition: Instruction from an investor to sell investment fund units back to the fund.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule", C27 "RequestedSettlementCurrencyRule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **RedemptionOrder14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		859
	ClientReference <ClntRef>	[0..1]	Text		859
	OrderType <OrdrTp>	[0..10]	±		859
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	860
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		862
	AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>	[1..1]	±		862
	Rounding <Rndg>	[0..1]	CodeSet		862
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	863
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		863
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		863
	ForeignExchangeDetails <FXDtls>	[0..1]	±		863
	IncomePreference <IncmPref>	[0..1]	CodeSet		864
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		864
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	865
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		865
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		867
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		867
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	867
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	867
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		868
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		869
	StaffClientBreakdown <StffClntBrkwn>	[0..4]	±		870
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		870
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		870
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	870
	Equalisation <Equlstn>	[0..1]	±		871
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		871
	TransactionChannelType <TxChanlTp>	[0..1]	±		871
	SignatureType <SgntrTp>	[0..1]	±		872
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C33	872

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

On Condition

/RequestedSettlementCurrency is present

And /SettlementAmount is present

Following Must be True

/RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

43.4.4.9.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

43.4.4.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

43.4.4.9.3 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdTp> contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

43.4.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: C18 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

43.4.4.9.5 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

43.4.4.9.6 AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg>

Presence: [1..1]

Definition: Amount of money or the number of units or percentage to be redeemed for the redemption order.

AmountOrUnitsOrPercentage <AmtOrUnitsOrPctg> contains one of the following elements (see "[FinancialInstrumentQuantity28Choice](#)" on page 2328 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		2329
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C12	2329
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C12	2329
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		2330

43.4.4.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "[RoundingDirection2Code](#)" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

43.4.4.9.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.4.9.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

43.4.4.9.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

43.4.4.9.11 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

43.4.4.9.12 IncomePreference <IncmPref>*Presence:* [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

43.4.4.9.13 Group1Or2Units <Grp1Or2Units>*Presence:* [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.

CodeName	Name	Definition
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

43.4.4.9.14 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C16 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "FeeAndTax1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

43.4.4.9.15 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

43.4.4.9.16 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

43.4.4.9.17 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

43.4.4.9.18 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

43.4.4.9.19 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

43.4.4.9.20 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <PyeeId>	[1..1]	±		2233
	DraweeIdentification <DrweeId>	[0..1]	±		2233
	DrawerIdentification <DrwrId>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <PyeeId>	[1..1]	±		2234
	DraweeIdentification <DrweeId>	[0..1]	±		2234
	DrawerIdentification <DrwrId>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

43.4.4.9.21 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

43.4.4.9.22 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

43.4.4.9.23 FinancialAdvice <FinAdv>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

43.4.4.9.24 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

43.4.4.9.25 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

43.4.4.9.26 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

43.4.4.9.27 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

43.4.4.9.28 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

43.4.4.9.29 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

43.4.4.9.30 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C33 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

43.4.4.10 BulkCashSettlementDetails <BlkCshSttlmDtIs>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrlid>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

43.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

43.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

43.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

44 setr.005.001.04 RedemptionOrderCancellationRequestV04

44.1 MessageDefinition Functionality

Scope

The RedemptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent RedemptionOrder.

Usage

The RedemptionOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original RedemptionOrder message in the order reference element. The message identification of the RedemptionOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a RedemptionOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The RedemptionOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MasterReference
Reference assigned to a set of orders or trades in order to link them together.
- E. OrderReferences
Identification of the individual order to be cancelled.
- F. CopyDetails
Information provided when the message is a copy of a previous message.

44.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrdrCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		876
	PoolReference <PoolRef>	[0..1]	±		877
	PreviousReference <PrvsRef>	[0..1]	±		877
	MasterReference <MstrRef>	[0..1]	Text		877
	OrderReferences <OrdrRefs>	[1..*]			877
	OrderReference <OrdrRef>	[1..1]	Text		878
	ClientReference <ClntRef>	[0..1]	Text		878
	CancellationReference <CxlRef>	[0..1]	Text		878
	CancellationReason <CxlRsn>	[0..1]	±		878
	CopyDetails <CpyDtls>	[0..1]	±		878

44.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

44.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

44.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

44.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

44.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

44.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

44.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		878
	ClientReference <ClntRef>	[0..1]	Text		878
	CancellationReference <CxlRef>	[0..1]	Text		878
	CancellationReason <CxlRsn>	[0..1]	±		878

44.4.5.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**44.4.5.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**44.4.5.3 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**44.4.5.4 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason32Choice" on page 1947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

44.4.6 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

45 setr.006.001.04 RedemptionOrderConfirmationV04

45.1 MessageDefinition Functionality

Scope

The RedemptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of execution for a previously received RedemptionOrder message.

Usage

The RedemptionOrderConfirmation message is used to confirm the execution of one or more individual orders.

A RedemptionOrder message containing more than one individual order may be responded to by more than one RedemptionOrderConfirmation message, as the valuation cycle of the financial instruments in each individual order may be different. When a RedemptionOrderConfirmation message contains fewer confirmations than originally instructed in the original RedemptionOrder message, there is no specification indication in the confirmation for this. Reconciliation must be based on the references.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the RedemptionOrder message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

A RedemptionOrder must in all cases be responded to by a RedemptionOrderConfirmation message and in no circumstances by a RedemptionBulkOrderConfirmation message.

If the executing party needs to confirm one or more redemption orders for the same financial instrument then a RedemptionBulkOrderConfirmation message must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The RedemptionOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MultipleExecutionDetails

General information related to the execution of investment fund orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

45.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <RedOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		886
	PoolReference <PoolRef>	[0..1]	±		886
	PreviousReference <PrvsRef>	[0..*]	±		886
	RelatedReference <RltdRef>	[0..1]	±		887
	MultipleExecutionDetails <MltplExctnDtls>	[1..1]		C8, C21, C31, C33	887
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		890
	MasterReference <MstrRef>	[0..1]	Text		890
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		890
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		891
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		891
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		891
	CancellationRight <CxlRght>	[0..1]	±		891
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	891
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	892
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	893
	OrderReference <OrdrRef>	[1..1]	Text		896
	ClientReference <ClntRef>	[0..1]	Text		896
	DealReference <DealRef>	[1..1]	Text		896
	OrderType <OrdrTp>	[0..10]	±		896
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	896
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		898
	UnitsNumber <UnitsNb>	[1..1]	Quantity		898
	Rounding <Rndg>	[0..1]	CodeSet		898
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	898
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	899
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		899

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TradeDateTime <TradDtTm>	[1..1]	±		899
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		900
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		900
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	901
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		901
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		901
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		902
	BestExecution <BestExctn>	[0..1]	CodeSet		902
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		902
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		902
	ForeignExchangeDetails <FXDtls>	[0..*]	±		903
	IncomePreference <IncmPref>	[0..1]	CodeSet		903
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		904
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	904
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	905
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		907
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		909
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		909
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	909
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	909
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		910
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		911
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		912
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		912
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		912
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	912
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		913
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		913
	LateReport <LateRpt>	[0..1]	CodeSet		913
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	913
	Equalisation <Equlstn>	[0..1]	±		914

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CustomerConductClassification <CstmrCndctClsfctn>	[0..1]	±		914
	TransactionChannelType <TxChanlTp>	[0..1]	±		914
	SignatureType <SgntrTp>	[0..1]	±		915
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C35	915
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		915
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	916
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		917
	CopyDetails <CpyDtls>	[0..1]	±		919
	Extension <Xtnsn>	[0..*]	±	C15	919

45.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of MultipleExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TotalSettlementCurrency Rule

If TotalSettlementAmount is present, then Currency in IndividualExecutionDetails/SettlementAmount, must be the same in all occurrences of IndividualExecutionDetails.

C34 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C35 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

45.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

45.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

45.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

45.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

45.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

45.4.5 MultipleExecutionDetails <MltplExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of investment fund orders.

Impacted by: [C8 "BulkCashSettlementDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#), [C31 "SettlementCurrencyRule"](#), [C33 "TotalSettlementCurrency Rule"](#)

MultipleExecutionDetails <MltplExctnDtls> contains the following **RedemptionMultipleExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		890
	MasterReference <MstrRef>	[0..1]	Text		890
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		890
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		891
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		891
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		891
	CancellationRight <CxlRght>	[0..1]	±		891
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	891
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	892
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	893
	OrderReference <OrdrRef>	[1..1]	Text		896
	ClientReference <ClntRef>	[0..1]	Text		896
	DealReference <DealRef>	[1..1]	Text		896
	OrderType <OrdrTp>	[0..10]	±		896
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	896
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		898
	UnitsNumber <UnitsNb>	[1..1]	Quantity		898
	Rounding <Rndg>	[0..1]	CodeSet		898
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	898
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	899
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		899
	TradeDateTime <TradDtTm>	[1..1]	±		899
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		900
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		900
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	901
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		901
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		901
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		902
	BestExecution <BestExctn>	[0..1]	CodeSet		902

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		902
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		902
	ForeignExchangeDetails <FXDtls>	[0..*]	±		903
	IncomePreference <IncmPref>	[0..1]	CodeSet		903
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		904
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	904
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	905
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		907
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		909
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		909
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	909
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	909
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		910
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		911
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		912
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		912
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		912
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	912
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		913
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		913
	LateReport <LateRpt>	[0..1]	CodeSet		913
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	913
	Equalisation <Equlstn>	[0..1]	±		914
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		914
	TransactionChannelType <TxChanITp>	[0..1]	±		914
	SignatureType <SgnrTp>	[0..1]	±		915
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C35	915
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		915
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	916
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		917

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

- **TotalSettlementCurrency Rule**

If TotalSettlementAmount is present, then Currency in IndividualExecutionDetails/SettlementAmount, must be the same in all occurrences of IndividualExecutionDetails.

45.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

45.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "[Max35Text](#)" on page 2764

45.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "[PlaceOfTradeIdentification1Choice](#)" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

45.4.5.4 OrderDateTime <OrdrDtTm>*Presence:* [0..1]*Definition:* Date and time at which the order was placed by the investor or its agent.*Datatype:* "ISODateTime" on page 2749**45.4.5.5 ReceivedDateTime <RcvdDtTm>***Presence:* [0..1]*Definition:* Date and time the order was received by the executing party, for example, the transfer agent.*Datatype:* "ISODateTime" on page 2749**45.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>***Presence:* [0..1]*Definition:* Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749**45.4.5.7 CancellationRight <CxIRght>***Presence:* [0..1]*Definition:* Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "CancellationRight1Choice" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

45.4.5.8 InvestmentAccountDetails <InvstmtAcctDtls>*Presence:* [1..1]*Definition:* Account impacted by the investment fund order execution.*Impacted by:* C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

45.4.5.9 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson32" on page 2266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer <Issr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

45.4.5.10 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a redemption order.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule", C29 "RequestedSettlementCurrencyRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **RedemptionExecution15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		896
	ClientReference <ClntRef>	[0..1]	Text		896
	DealReference <DealRef>	[1..1]	Text		896
	OrderType <OrdrTp>	[0..10]	±		896
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	896
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		898
	UnitsNumber <UnitsNb>	[1..1]	Quantity		898
	Rounding <Rndg>	[0..1]	CodeSet		898
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	898
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	899
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		899
	TradeDateTime <TradDtTm>	[1..1]	±		899
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		900
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		900
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	901
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		901
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		901
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		902
	BestExecution <BestExctn>	[0..1]	CodeSet		902
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		902
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		902
	ForeignExchangeDetails <FXDtls>	[0..*]	±		903
	IncomePreference <IncmPref>	[0..1]	CodeSet		903
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		904
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	904
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	905
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		907
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		909
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		909
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	909

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C4	909
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		910
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		911
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		912
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		912
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		912
	PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>	[0..1]	Amount	C3, C10	912
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		913
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		913
	LateReport <LateRpt>	[0..1]	CodeSet		913
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	913
	Equalisation <Equlstn>	[0..1]	±		914
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		914
	TransactionChannelType <TxChanITp>	[0..1]	±		914
	SignatureType <SgntrTp>	[0..1]	±		915
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C35	915
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		915

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

```
On Condition
    /RequestedSettlementCurrency is present
And    /SettlementAmount is present
Following Must be True
    /RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency
```

45.4.5.10.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

45.4.5.10.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

45.4.5.10.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

45.4.5.10.4 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

45.4.5.10.5 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

45.4.5.10.6 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

45.4.5.10.7 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment funds units redeemed.

Datatype: "[DecimalNumber](#)" on page 2761

45.4.5.10.8 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "[RoundingDirection2Code](#)" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

45.4.5.10.9 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

45.4.5.10.10 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

45.4.5.10.11 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2762

45.4.5.10.12 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

45.4.5.10.13 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "[UnitPrice22](#)" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

45.4.5.10.14 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

45.4.5.10.15 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

45.4.5.10.16 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

45.4.5.10.17 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

45.4.5.10.18 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

45.4.5.10.19 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2650

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

45.4.5.10.20 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

45.4.5.10.21 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "ProfitAndLoss2Choice" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

45.4.5.10.22 ForeignExchangeDetails <FXDtIs>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "ForeignExchangeTerms33" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

45.4.5.10.23 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

45.4.5.10.24 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

45.4.5.10.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C34 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

45.4.5.10.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

45.4.5.10.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

45.4.5.10.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

45.4.5.10.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

45.4.5.10.30 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

45.4.5.10.31 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

45.4.5.10.32 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <PyeeId>	[1..1]	±		2233
	DraweeIdentification <DrweeId>	[0..1]	±		2233
	DrawerIdentification <DrwrId>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <PyeeId>	[1..1]	±		2234
	DraweeIdentification <DrweeId>	[0..1]	±		2234
	DrawerIdentification <DrwrId>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

45.4.5.10.33 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

45.4.5.10.34 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2762

45.4.5.10.35 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2762

45.4.5.10.36 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

45.4.5.10.37 PartialRedemptionWithholdingAmount <PrtlRedWhldgAmt>

Presence: [0..1]

Definition: Amount retained by the fund and paid out later at a time decided by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

45.4.5.10.38 FinancialAdvice <FinAdv<*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

45.4.5.10.39 NegotiatedTrade <NgtdTrad<*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

45.4.5.10.40 LateReport <LateRpt<*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2695

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

45.4.5.10.41 RelatedPartyDetails <RltdPtyDtls<*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary39" on page 2086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

45.4.5.10.42 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see "Equalisation1" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

45.4.5.10.43 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

45.4.5.10.44 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

45.4.5.10.45 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

45.4.5.10.46 OrderWaiverDetails <OrdrWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C35 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtIs> contains the following elements (see "OrderWaiver1" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

45.4.5.10.47 GatingOrHoldBackDetails <GtgOrHldBckDtIs>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation2" on page 1914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1915
	Amount <Amt>	[0..1]	Amount	C2, C10	1916
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1916
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1916
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1917
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1917
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1917
Or	RIC <RIC>	[1..1]	IdentifierSet		1918
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1918
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1918
Or	CTA <CTA>	[1..1]	IdentifierSet		1918
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1918
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1918
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1918
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1919
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1919
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1919
Or	Common <Cmon>	[1..1]	IdentifierSet		1919
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1919
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1920
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1920
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1920

45.4.5.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

45.4.5.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction72" on page 2226 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrlid>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <Acctld>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

45.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

45.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C15 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

46 **setr.007.001.04** **SubscriptionBulkOrderV04**

46.1 **MessageDefinition Functionality**

Scope

The SubscriptionBulkOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a subscription to a financial instrument for two or more accounts.

Usage

The SubscriptionBulkOrder message is used to bulk several individual orders into one bulk order. The individual orders come from different instructing parties, that is, account owners, but are for the same financial instrument. This message will typically be used by a party collecting orders and bulking these individual orders into one bulk order before sending it to another party.

For a single subscription order, the SubscriptionOrder message, not the SubscriptionBulkOrder message, must be used.

Outline

The SubscriptionBulkOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. BulkOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

46.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrd>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		925
	PoolReference <PoolRef>	[0..1]	±		925
	PreviousReference <PrvsRef>	[0..*]	±		926
	BulkOrderDetails <BlkOrdDtls>	[1..1]		C7, C27, C29	926
	MasterReference <MstrRef>	[0..1]	Text		928
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		928
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		929
	ExpiryDateTime <XpryDtTm>	[0..1]	±		929
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		929
	CancellationRight <CxlRght>	[0..1]	±		929
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	930
	IndividualOrderDetails <IndvOrdDtls>	[1..*]		C21, C23, C24	932
	OrderReference <OrdRef>	[1..1]	Text		934
	ClientReference <ClntRef>	[0..1]	Text		934
	OrderType <OrdTp>	[0..10]	±		934
	AmountOrUnits <AmtOrUnits>	[1..1]			935
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		935
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	935
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	936
	Rounding <Rndg>	[0..1]	CodeSet		936
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	936
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		937
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		937
	IncomePreference <IncmPref>	[0..1]	CodeSet		937
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	937
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	938
	ForeignExchangeDetails <FXDtls>	[0..1]	±		939

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[0..1]	Text		939
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		940
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	940
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		940
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		942
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		942
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		942
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		944
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		944
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		944
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		945
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	945
	Equalisation <Equlstn>	[0..1]	±		945
	SourceOfCash <SrcOfCsh>	[0..*]	±		946
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		946
	TransactionChannelType <TxChanlTp>	[0..1]	±		946
	SignatureType <SgntrTp>	[0..1]	±		946
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	947
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	947
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	947
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	948
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		948
	CopyDetails <CpyDtls>	[0..1]	±		950
	Extension <Xtnsn>	[0..*]	±	C14	950

46.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkOrderDetails/IndividualOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetails3Rule

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrency2Rule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

46.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

46.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

46.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

46.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

46.4.4 BulkOrderDetails <BlkOrdDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetails3Rule"](#), [C27 "RequestedSettlementCurrencyRule"](#), [C29 "SettlementCurrency2Rule"](#)

BulkOrderDetails <BlkOrdrDtls> contains the following **SubscriptionBulkOrder5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		928
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		928
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		929
	ExpiryDateTime <XpryDtTm>	[0..1]	±		929
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		929
	CancellationRight <CxlRght>	[0..1]	±		929
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	930
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C21, C23, C24	932
	OrderReference <OrdrRef>	[1..1]	Text		934
	ClientReference <ClntRef>	[0..1]	Text		934
	OrderType <OrdrTp>	[0..10]	±		934
	AmountOrUnits <AmtOrUnits>	[1..1]			935
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		935
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	935
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	936
	Rounding <Rndg>	[0..1]	CodeSet		936
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	936
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		937
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		937
	IncomePreference <IncmPref>	[0..1]	CodeSet		937
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	937
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	938
	ForeignExchangeDetails <FXDtls>	[0..1]	±		939
	LetterIntentReference <LtrInttRef>	[0..1]	Text		939
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		940
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	940
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		940
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		942
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		942
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		942

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		944
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		944
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		944
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		945
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	945
	Equalisation <Equlstn>	[0..1]	±		945
	SourceOfCash <SrcOfCsh>	[0..*]	±		946
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		946
	TransactionChannelType <TxChanlTp>	[0..1]	±		946
	SignatureType <SgntrTp>	[0..1]	±		946
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	947
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	947
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	947
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	948
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		948

Constraints

- **BulkCashSettlementDetails3Rule**

Either IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualOrderDetails/SettlementAmount, if present.

- **SettlementCurrency2Rule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

46.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

46.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

46.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

46.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

46.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

46.4.4.6 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

46.4.4.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: [C18 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

46.4.4.8 IndividualOrderDetails <IndvOrdrDtls>

Presence: [1..*]

Definition: Order to invest the investor's principal in an investment fund.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **SubscriptionOrder15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		934
	ClientReference <ClntRef>	[0..1]	Text		934
	OrderType <OrdrTp>	[0..10]	±		934
	AmountOrUnits <AmtOrUnits>	[1..1]			935
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		935
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	935
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	936
	Rounding <Rndg>	[0..1]	CodeSet		936
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	936
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		937
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		937
	IncomePreference <IncmPref>	[0..1]	CodeSet		937
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	937
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	938
	ForeignExchangeDetails <FXDtls>	[0..1]	±		939
	LetterIntentReference <LtrInttRef>	[0..1]	Text		939
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		940
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	940
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		940
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		942
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		942
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		942
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		944
	StaffClientBreakdown <StffClntBrkdwn>	[0..4]	±		944
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		944
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		945
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	945
	Equalisation <Equlstn>	[0..1]	±		945
	SourceOfCash <SrcOfCsh>	[0..*]	±		946
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		946
	TransactionChannelType <TxChanlTp>	[0..1]	±		946

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SignatureType <SgntrTp>	[0..1]	±		946
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	947

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

46.4.4.8.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

46.4.4.8.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

46.4.4.8.3 OrderType <OrdTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "[FundOrderType4Choice](#)" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

46.4.4.8.4 AmountOrUnits <AmtOrUnits>

Presence: [1..1]

Definition: Amount of money or the number of units for the subscription order.

AmountOrUnits <AmtOrUnits> contains one of the following **FinancialInstrumentQuantity27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		935
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	935
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	936

46.4.4.8.4.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "[DecimalNumber](#)" on page 2761

46.4.4.8.4.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C4 "[ActiveOrHistoricCurrency](#)", C9 "[CurrencyAmount](#)"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.4.8.4.3 NetAmount <NetAmt>*Presence:* [1..1]*Definition:* Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.4.8.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

46.4.4.8.6 SettlementAmount <SttlmAmt>*Presence:* [0..1]*Definition:* Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.*Impacted by:* C3 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.4.8.7 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

46.4.4.8.8 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

46.4.4.8.9 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

46.4.4.8.10 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

46.4.4.8.11 BeneficiaryDetails <BnfcrDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C22 "PersonElementRule"

BeneficiaryDetails <BnfcrDtls> contains the following elements (see "IndividualPerson31" on page 2261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2262
	BirthDate <BirthDt>	[0..1]	Date		2262
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2262
	Country <Ctry>	[1..1]	CodeSet	C11	2263
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2263
	BeneficiaryCertificationCompletion <BnfcrCertfctnCmpltn>	[0..1]	CodeSet		2263
	OtherIdentification <OthrId>	[0..*]			2263
	Identification <Id>	[1..1]	Text		2264
	IdentificationType <IdTp>	[1..1]			2264
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265
	Issuer </Issr>	[0..1]	Text		2265

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

46.4.4.8.12 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

46.4.4.8.13 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

46.4.4.8.14 AccumulationRightReference <AcmltnRghtRef>*Presence:* [0..1]*Definition:* Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.*Datatype:* "Max35Text" on page 2764**46.4.4.8.15 TransactionOverhead <TxOvrhd>***Presence:* [0..1]*Definition:* Fees (charges/commission) and tax to be applied to the net amount.*Impacted by:* C16 "FeeTaxElementRule"**TransactionOverhead <TxOvrhd>** contains the following elements (see "FeeAndTax1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

46.4.4.8.16 SettlementAndCustodyDetails <SttlmAndCtdyDtls>*Presence:* [0..1]*Definition:* Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

46.4.4.8.17 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

46.4.4.8.18 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

46.4.4.8.19 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <DrwrlId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

46.4.4.8.20 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

46.4.4.8.21 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

46.4.4.8.22 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.

CodeName	Name	Definition
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

46.4.4.8.23 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

46.4.4.8.24 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

46.4.4.8.25 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

46.4.4.8.26 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "[SourceOfCash1Choice](#)" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2186
Or}	Proprietary <Prtry>	[1..1]	±		2187

46.4.4.8.27 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

46.4.4.8.28 TransactionChannelType <TxChanITp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

46.4.4.8.29 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

46.4.4.8.30 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: [C32 "WaiverElementRule"](#)

OrderWaiverDetails <OrdWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

46.4.4.9 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

46.4.4.10 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

46.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

46.4.4.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <PyeeId>	[1..1]	±		2225
	DraweeIdentification <DrweeId>	[0..1]	±		2225
	DrawerIdentification <DrwrlId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

46.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

46.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

47 setr.008.001.04 SubscriptionBulkOrderCancellationRequestV04

47.1 MessageDefinition Functionality

Scope

The SubscriptionBulkOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionBulkOrder.

Usage

The SubscriptionBulkOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original SubscriptionBulkOrder message is specified in the order reference element. The message identification of the SubscriptionBulkOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a SubscriptionBulkOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SubscriptionBulkOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

47.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <SbcptBlkOrdxCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		952
	PoolReference <PoolRef>	[0..1]	±		953
	PreviousReference <PrvsRef>	[0..1]	±		953
	MasterReference <MstrRef>	[0..1]	Text		953
	OrderReferences <OrdRefs>	[1..*]			953
	OrderReference <OrdRef>	[1..1]	Text		954
	ClientReference <CIntRef>	[0..1]	Text		954
	CancellationReference <CxlRef>	[0..1]	Text		954
	CancellationReason <CxlRsn>	[0..1]	±		954
	CopyDetails <CpyDtls>	[0..1]	±		954

47.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

47.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

47.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

47.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

47.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

47.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "[Max35Text](#)" on page 2764

47.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		954
	ClientReference <CIntRef>	[0..1]	Text		954
	CancellationReference <CxlRef>	[0..1]	Text		954
	CancellationReason <CxlRsn>	[0..1]	±		954

47.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

47.4.5.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

47.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

47.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

47.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnIRcvr>	[0..1]	IdentifierSet	C7	1964

48 **setr.009.001.04**

SubscriptionBulkOrderConfirmationV04

48.1 **MessageDefinition Functionality**

Scope

The SubscriptionBulkOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionBulkOrder instruction.

Usage

The SubscriptionBulkOrderConfirmation message is used to confirm the execution of all individual orders.

There is usually one bulk confirmation message for one bulk order message.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the SubscriptionBulkOrder message in which the individual order was conveyed may also be quoted in RelatedReference.

A SubscriptionBulkOrder must in all cases be responded to by a SubscriptionBulkOrderConfirmation and in no circumstances by a SubscriptionOrderConfirmation.

If the executing party needs to confirm a SubscriptionOrder instruction, then the SubscriptionOrderConfirmation must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SubscriptionBulkOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. BulkExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

48.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrdrConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		963
	PoolReference <PoolRef>	[0..1]	±		963
	PreviousReference <PrvsRef>	[0..*]	±		963
	RelatedReference <RltdRef>	[0..1]	±		964
	BulkExecutionDetails <BlkExctnDtls>	[1..1]		C8, C29, C31	964
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		967
	MasterReference <MstrRef>	[0..1]	Text		967
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		967
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		967
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		968
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		968
	CancellationRight <CxlRght>	[0..1]	±		968
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	968
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	970
	OrderReference <OrdrRef>	[1..1]	Text		973
	ClientReference <ClntRef>	[0..1]	Text		973
	DealReference <DealRef>	[1..1]	Text		973
	OrderType <OrdrTp>	[0..10]	±		973
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	973
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	974
	UnitsNumber <UnitsNb>	[1..1]	Quantity		975
	Rounding <Rndg>	[0..1]	CodeSet		975
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	975
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	975
	TradeDateTime <TradDtTm>	[1..1]	±		976
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		976
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		977

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	977
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		978
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		978
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		978
	BestExecution <BestExctn>	[0..1]	CodeSet		978
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		979
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		979
	ForeignExchangeDetails <FXDtls>	[0..*]	±		979
	IncomePreference <IncmPref>	[0..1]	CodeSet		980
	LetterIntentReference <LtrIntntRef>	[0..1]	Text		980
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		980
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	980
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	981
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		983
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		985
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		985
	StaffClientBreakdown <StffClntrBrkdw>	[0..4]	±		985
	Refund <Rfnd>	[0..1]	Amount	C3, C10	985
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	986
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		986
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		988
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		988
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		988
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		988
	LateReport <LateRpt>	[0..1]	CodeSet		989
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		989
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	989
	Equalisation <Equlstn>	[0..1]	±		990
	SourceOfCash <SrcOfCsh>	[0..*]	±		990
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		990
	TransactionChannelType <TxChanITp>	[0..1]	±		990

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SignatureType <SgntrTp>	[0..1]	±		991
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C34	991
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	991
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	992
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	992
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		992
	CopyDetails <CpyDtls>	[0..1]	±		994
	Extension <Xtnsn>	[0..*]	±	C15	994

48.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in BulkExecutionDetails/IndividualExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of BulkExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C34 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

48.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

48.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

48.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

48.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

48.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

48.4.5 BulkExecutionDetails <BlkExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C29 "RequestedSettlementCurrencyRule", C31 "SettlementCurrencyRule"

BulkExecutionDetails <BlkExctnDtls> contains the following **SubscriptionBulkExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		967
	MasterReference <MstrRef>	[0..1]	Text		967
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		967
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		967
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		968
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		968
	CancellationRight <CxlRght>	[0..1]	±		968
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	968
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C21, C24, C25, C26	970
	OrderReference <OrdrRef>	[1..1]	Text		973
	ClientReference <ClntRef>	[0..1]	Text		973
	DealReference <DealRef>	[1..1]	Text		973
	OrderType <OrdrTp>	[0..10]	±		973
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	973
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	974
	UnitsNumber <UnitsNb>	[1..1]	Quantity		975
	Rounding <Rndg>	[0..1]	CodeSet		975
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	975
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	975
	TradeDateTime <TradDtTm>	[1..1]	±		976
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		976
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		977
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	977
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		978
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		978
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		978
	BestExecution <BestExctn>	[0..1]	CodeSet		978
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		979
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		979

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeDetails <FXDtls>	[0..*]	±		979
	IncomePreference <IncmPref>	[0..1]	CodeSet		980
	LetterIntentReference <LtrInttRef>	[0..1]	Text		980
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		980
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	980
	InformativeTaxDetails <InfvtvTaxDtls>	[0..1]	±	C32	981
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		983
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		985
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		985
	StaffClientBreakdown <StffCIntBrkdwn>	[0..4]	±		985
	Refund <Rfnd>	[0..1]	Amount	C3, C10	985
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	986
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		986
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		988
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		988
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		988
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		988
	LateReport <LateRpt>	[0..1]	CodeSet		989
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		989
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	989
	Equalisation <Equlstn>	[0..1]	±		990
	SourceOfCash <SrcOfCsh>	[0..*]	±		990
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		990
	TransactionChannelType <TxChanlTp>	[0..1]	±		990
	SignatureType <SgntrTp>	[0..1]	±		991
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C34	991
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	991
	RequestedNAVCurrency <ReqdNAVCCy>	[0..1]	CodeSet	C4	992
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	992
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		992

Constraints

- **BulkCashSettlementDetailsRule**

Either IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in all occurrences of IndividualExecutionDetails/SettlementAmount.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

48.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

48.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

48.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

48.4.5.4 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

48.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2749

48.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

48.4.5.7 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

48.4.5.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

48.4.5.9 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a subscription order.

Impacted by: C21 "OrderOriginatorEligibility3Rule", C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **SubscriptionExecution12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		973
	ClientReference <ClntRef>	[0..1]	Text		973
	DealReference <DealRef>	[1..1]	Text		973
	OrderType <OrdrTp>	[0..10]	±		973
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	973
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	974
	UnitsNumber <UnitsNb>	[1..1]	Quantity		975
	Rounding <Rndg>	[0..1]	CodeSet		975
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	975
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	975
	TradeDateTime <TradDtTm>	[1..1]	±		976
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		976
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		977
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	977
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		978
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		978
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		978
	BestExecution <BestExctn>	[0..1]	CodeSet		978
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		979
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		979
	ForeignExchangeDetails <FXDtls>	[0..*]	±		979
	IncomePreference <IncmPref>	[0..1]	CodeSet		980
	LetterIntentReference <LtrlInttRef>	[0..1]	Text		980
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		980
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	980
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	981
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		983
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		985
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		985
	StaffClientBreakdown <StffClntBrkdw>	[0..4]	±		985

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Refund <Rfnd>	[0..1]	Amount	C3, C10	985
	SubscriptionInterest <SbcptlIntrst>	[0..1]	Amount	C3, C10	986
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		986
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		988
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		988
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		988
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		988
	LateReport <LateRpt>	[0..1]	CodeSet		989
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		989
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	989
	Equalisation <Equlstn>	[0..1]	±		990
	SourceOfCash <SrcOfCsh>	[0..*]	±		990
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		990
	TransactionChannelType <TxChanlTp>	[0..1]	±		990
	SignatureType <SgntrTp>	[0..1]	±		991
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C34	991

Constraints

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **PriceDifferenceReasonRule**

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

48.4.5.9.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**48.4.5.9.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**48.4.5.9.3 DealReference <DealRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**48.4.5.9.4 OrderType <OrdTp>***Presence:* [0..10]*Definition:* Category of the investment fund order.**OrderType <OrdTp>** contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

48.4.5.9.5 InvestmentAccountDetails <InvstmtAcctDtls>*Presence:* [1..1]*Definition:* Account impacted by the investment fund order execution.*Impacted by:* C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

48.4.5.9.6 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: C23 "PersonElementRule"

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson31" on page 2261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2262
	BirthDate <BirthDt>	[0..1]	Date		2262
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2262
	Country <Ctry>	[1..1]	CodeSet	C11	2263
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2263
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2263
	OtherIdentification <OthrId>	[0..*]			2263
	Identification <Id>	[1..1]	Text		2264
	IdentificationType <IdTp>	[1..1]			2264
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265
	Issuer </Issr>	[0..1]	Text		2265

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

48.4.5.9.7 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units subscribed.

Datatype: "DecimalNumber" on page 2761

48.4.5.9.8 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

48.4.5.9.9 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.9.10 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.9.11 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

48.4.5.9.12 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

48.4.5.9.13 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

48.4.5.9.14 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.9.15 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

48.4.5.9.16 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

48.4.5.9.17 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

48.4.5.9.18 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2650

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

48.4.5.9.19 CumDividendIndicator <CumDvddInd>*Presence:* [1..1]*Definition:* Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

48.4.5.9.20 InterimProfitAmount <IntrmPrftAmt>*Presence:* [0..1]*Definition:* Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.**InterimProfitAmount <IntrmPrftAmt>** contains one of the following elements (see "ProfitAndLoss2Choice" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

48.4.5.9.21 ForeignExchangeDetails <FXDtls>*Presence:* [0..*]*Definition:* Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

48.4.5.9.22 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

48.4.5.9.23 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

48.4.5.9.24 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

48.4.5.9.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C33 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

48.4.5.9.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

48.4.5.9.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

48.4.5.9.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

48.4.5.9.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

48.4.5.9.30 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see ["InvestmentFundsOrderBreakdown2"](#) on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

48.4.5.9.31 Refund <Rfnd>

Presence: [0..1]

Definition: Return of cash that has been overpaid for a subscription.

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.9.32 SubscriptionInterest <SbcptIntrst>

Presence: [0..1]

Definition: Interest received when a subscription amount is paid in advance and then invested by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.9.33 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <PyeeId>	[1..1]	±		2224
	DraweeIdentification <DrweeId>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <PyeeId>	[1..1]	±		2225
	DraweeIdentification <DrweeId>	[0..1]	±		2225
	DrawerIdentification <Drwrlid>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

48.4.5.9.34 NonStandardSettlementInformation <NonStdSttlmInf>*Presence:* [0..1]*Definition:* Additional specific settlement information for non-regulated traded funds.*Datatype:* "Max350Text" on page 2764**48.4.5.9.35 PartialSettlementOfUnits <PrtlSttlmOfUnits>***Presence:* [0..1]*Definition:* Percentage of units partially settled.*Datatype:* "PercentageRate" on page 2762**48.4.5.9.36 FinancialAdvice <FinAdv>***Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

48.4.5.9.37 NegotiatedTrade <NgtdTrad>*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

48.4.5.9.38 LateReport <LateRpt>*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2695

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

48.4.5.9.39 PartialSettlementOfCash <PrtlSttlmOfCsh>*Presence:* [0..1]*Definition:* Percentage of cash partially settled.*Datatype:* "PercentageRate" on page 2762**48.4.5.9.40 RelatedPartyDetails <RltdPtyDtls>***Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"**RelatedPartyDetails <RltdPtyDtls>** contains the following elements (see "Intermediary39" on page 2086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification </Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

48.4.5.9.41 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive / performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

48.4.5.9.42 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "[SourceOfCash1Choice](#)" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2186
Or}	Proprietary <Prtry>	[1..1]	±		2187

48.4.5.9.43 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

48.4.5.9.44 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

48.4.5.9.45 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

48.4.5.9.46 OrderWaiverDetails <OrdWvrDtIs>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C34 "[WaiverElementRule](#)"

OrderWaiverDetails <OrdWvrDtIs> contains the following elements (see "[OrderWaiver1](#)" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

48.4.5.10 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C3 "[ActiveCurrency](#)"

Datatype: "[ActiveCurrencyCode](#)" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

48.4.5.11 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C4 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

48.4.5.12 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

48.4.5.13 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <PyeeId>	[1..1]	±		2224
	DraweeIdentification <DrweeId>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <PyeeId>	[1..1]	±		2225
	DraweeIdentification <DrweeId>	[0..1]	±		2225
	DrawerIdentification <DrwrlId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

48.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

48.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C15 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

49 **setr.010.001.04** **SubscriptionOrderV04**

49.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct the subscription of one or more financial instruments for one investment fund account.

Usage

The SubscriptionOrder message is used to instruct single subscription orders, that is, a message containing one order for one financial instrument for one investment account. The SubscriptionOrder message may also be used for multiple orders, that is, a message containing several orders for the same investment account for different financial instruments.

For a single subscription order, the SubscriptionOrder message, not the SubscriptionBulkOrder message, must be used.

If there are subscription orders for the same financial instrument but for different accounts that are to be communicated in a single message, then the SubscriptionBulkOrder message must be used.

Outline

The SubscriptionOrderV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MultipleOrderDetails

General information related to the orders.

E. CopyDetails

Information provided when the message is a copy of a previous message.

F. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

49.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdr>	[1..1]		C2	
	MessageIdentification <MsgId>	[1..1]	±		1000
	PoolReference <PoolRef>	[0..1]	±		1001
	PreviousReference <PrvsRef>	[0..*]	±		1001
	MultipleOrderDetails <MltplOrdrDtls>	[1..1]		C7, C21, C29, C32	1001
	MasterReference <MstrRef>	[0..1]	Text		1003
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1004
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1004
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1004
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1004
	CancellationRight <CxlRght>	[0..1]	±		1004
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1005
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	1005
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	1006
	OrderReference <OrdrRef>	[1..1]	Text		1008
	ClientReference <ClntRef>	[0..1]	Text		1008
	OrderType <OrdrTp>	[0..10]	±		1008
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	1009
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1011
	AmountOrUnits <AmtOrUnits>	[1..1]			1011
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1011
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	1011
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	1012
	Rounding <Rndg>	[0..1]	CodeSet		1012
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	1012
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1013
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1013
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1013

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	IncomePreference <IncmPref>	[0..1]	CodeSet		1014
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1014
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1014
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	1015
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1015
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1017
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1017
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1017
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1017
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1018
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1020
	StaffClientBreakdown <StffClntBrkwn>	[0..4]	±		1020
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1020
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1021
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1021
	Equalisation <Equlstn>	[0..1]	±		1021
	SourceOfCash <SrcOfCsh>	[0..*]	±		1022
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1022
	TransactionChannelType <TxChanlTp>	[0..1]	±		1022
	SignatureType <SgntrTp>	[0..1]	±		1022
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C33	1023
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1023
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1025
	CopyDetails <CpyDtls>	[0..1]	±		1025
	Extension <Xtnsn>	[0..*]	±	C14	1026

49.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleOrderDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C8 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C12 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C13 DiscountElementRule

Amount Or Rate Or Basis must be present.

C14 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C15 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C16 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C17 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C18 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility or InvestmentAccountDetails/OrderOriginatorEligibility may be present, but not both.

C22 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C26 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C27 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 TotalSettlementCurrency Rule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C33 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

49.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

49.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

49.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

49.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

49.4.4 MultipleOrderDetails <MltplOrdrDtls>

Presence: [1..1]

Definition: General information related to the orders.

Impacted by: [C7 "BulkCashSettlementDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#), [C29 "SettlementCurrencyRule"](#), [C32 "TotalSettlementCurrency Rule"](#)

MultipleOrderDetails <MltplOrdrDtls> contains the following **SubscriptionMultipleOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1003
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1004
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1004
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1004
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1004
	CancellationRight <CxlRght>	[0..1]	±		1004
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1005
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C22	1005
	IndividualOrderDetails <IndvOrdrDtls>	[1..*]		C23, C24, C27	1006
	OrderReference <OrdrRef>	[1..1]	Text		1008
	ClientReference <ClntRef>	[0..1]	Text		1008
	OrderType <OrdrTp>	[0..10]	±		1008
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	1009
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1011
	AmountOrUnits <AmtOrUnits>	[1..1]			1011
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1011
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	1011
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	1012
	Rounding <Rndg>	[0..1]	CodeSet		1012
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	1012
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1013
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1013
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1013
	IncomePreference <IncmPref>	[0..1]	CodeSet		1014
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1014
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1014
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	1015
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1015
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1017
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1017

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1017
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1017
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1018
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1020
	StaffClientBreakdown <StffCIntBrkdwn>	[0..4]	±		1020
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1020
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1021
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1021
	Equalisation <Equlstn>	[0..1]	±		1021
	SourceOfCash <SrcOfCsh>	[0..*]	±		1022
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1022
	TransactionChannelType <TxChanITp>	[0..1]	±		1022
	SignatureType <SgntrTp>	[0..1]	±		1022
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C33	1023
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1023
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1025

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualOrderDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either one or more occurrences of IndividualOrderDetails/RelatedPartyDetails/OrderOriginatorEligibility or InvestmentAccountDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

- **TotalSettlementCurrency Rule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

49.4.4.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

49.4.4.2 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

49.4.4.3 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

49.4.4.4 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

49.4.4.5 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed. The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

49.4.4.6 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see ["CancellationRight1Choice"](#) on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

49.4.4.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order.

Impacted by: [C20 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see ["InvestmentAccount58"](#) on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

49.4.4.8 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: [C22 "PersonElementRule"](#)

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "IndividualPerson31" on page 2261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2262
	BirthDate <BirthDt>	[0..1]	Date		2262
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2262
	Country <Ctry>	[1..1]	CodeSet	C11	2263
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2263
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2263
	OtherIdentification <OthrlId>	[0..*]			2263
	Identification <Id>	[1..1]	Text		2264
	IdentificationType <IdTp>	[1..1]			2264
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265
	Issuer <Issr>	[0..1]	Text		2265

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

49.4.4.9 IndividualOrderDetails <IndvOrdDtls>

Presence: [1..*]

Definition: Order to invest the investor's principal in an investment fund.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule", C27 "RequestedSettlementCurrencyRule"

IndividualOrderDetails <IndvOrdrDtls> contains the following **SubscriptionOrder14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1008
	ClientReference <ClntRef>	[0..1]	Text		1008
	OrderType <OrdrTp>	[0..10]	±		1008
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C18	1009
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1011
	AmountOrUnits <AmtOrUnits>	[1..1]			1011
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1011
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	1011
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	1012
	Rounding <Rndg>	[0..1]	CodeSet		1012
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C3, C10	1012
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1013
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1013
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1013
	IncomePreference <IncmPref>	[0..1]	CodeSet		1014
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1014
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1014
	TransactionOverhead <TxOvrhd>	[0..1]	±	C16	1015
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1015
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1017
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1017
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1017
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1017
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1018
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1020
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		1020
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1020
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1021
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1021
	Equalisation <Equlstn>	[0..1]	±		1021
	SourceOfCash <SrcOfCsh>	[0..*]	±		1022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1022
	TransactionChannelType <TxChanlTp>	[0..1]	±		1022
	SignatureType <SgntrTp>	[0..1]	±		1022
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C33	1023

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

On Condition

/RequestedSettlementCurrency is present

And /SettlementAmount is present

Following Must be True

/RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency

49.4.4.9.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

49.4.4.9.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

49.4.4.9.3 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "[FundOrderType4Choice](#)" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

49.4.4.9.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the order.

Impacted by: [C18 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

49.4.4.9.5 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

49.4.4.9.6 AmountOrUnits <AmtOrUnits>

Presence: [1..1]

Definition: Amount of money or the number of units for the subscription order.

AmountOrUnits <AmtOrUnits> contains one of the following **FinancialInstrumentQuantity27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1011
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C4, C9	1011
Or}	NetAmount <NetAmt>	[1..1]	Amount	C4, C9	1012

49.4.4.9.6.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "[DecimalNumber](#)" on page 2761

49.4.4.9.6.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C4 "[ActiveOrHistoricCurrency](#)", C9 "[CurrencyAmount](#)"

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.4.9.6.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C4 "ActiveOrHistoricCurrency", C9 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.4.9.7 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

49.4.4.9.8 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.4.9.9 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

49.4.4.9.10 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

49.4.4.9.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

49.4.4.9.12 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

49.4.4.9.13 LetterIntentReference <LtrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

49.4.4.9.14 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

49.4.4.9.15 TransactionOverhead <TxOvrhd>*Presence:* [0..1]*Definition:* Fees (charges/commission) and tax to be applied to the net amount.*Impacted by:* C16 "FeeTaxElementRule"**TransactionOverhead <TxOvrhd>** contains the following elements (see "FeeAndTax1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

49.4.4.9.16 SettlementAndCustodyDetails <SttlmAndCtdyDtls>*Presence:* [0..1]*Definition:* Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

49.4.4.9.17 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

49.4.4.9.18 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

49.4.4.9.19 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

49.4.4.9.20 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

49.4.4.9.21 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <DrwrlId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

49.4.4.9.22 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

49.4.4.9.23 StaffClientBreakdown <StffCIntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffCIntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

49.4.4.9.24 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.

CodeName	Name	Definition
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

49.4.4.9.25 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

49.4.4.9.26 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

49.4.4.9.27 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see "[Equalisation1](#)" on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

49.4.4.9.28 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see "[SourceOfCash1Choice](#)" on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2186
Or}	Proprietary <Prtry>	[1..1]	±		2187

49.4.4.9.29 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

49.4.4.9.30 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

49.4.4.9.31 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

49.4.4.9.32 OrderWaiverDetails <OrdrWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C33 "[WaiverElementRule](#)"

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

49.4.4.10 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <Drwrlid>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

49.4.4.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

49.4.5 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

49.4.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C14 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

50 **setr.011.001.04**

SubscriptionOrderCancellationRequestV04

50.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SubscriptionOrder.

Usage

The SubscriptionOrderCancellationRequest message is used to request the cancellation of one or more individual orders.

There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of one or more individual orders, the order reference of each individual order listed in the original SubscriptionOrder message is specified in the order reference element. The message identification of the SubscriptionOrder message which contains the individual orders to be cancelled may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation.

The rejection or acceptance of a SubscriptionOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SubscriptionOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

E. OrderReferences

Identification of the individual order to be cancelled.

F. CopyDetails

Information provided when the message is a copy of a previous message.

50.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdxCxlReq>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1028
	PoolReference <PoolRef>	[0..1]	±		1029
	PreviousReference <PrvsRef>	[0..1]	±		1029
	MasterReference <MstrRef>	[0..1]	Text		1029
	OrderReferences <OrdRefs>	[1..*]			1029
	OrderReference <OrdRef>	[1..1]	Text		1030
	ClientReference <ClntRef>	[0..1]	Text		1030
	CancellationReference <CxlRef>	[0..1]	Text		1030
	CancellationReason <CxlRsn>	[0..1]	±		1030
	CopyDetails <CpyDtls>	[0..1]	±		1030

50.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

50.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

50.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

50.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see ["AdditionalReference9"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

50.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

50.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

50.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1030
	ClientReference <CIntRef>	[0..1]	Text		1030
	CancellationReference <CxlRef>	[0..1]	Text		1030
	CancellationReason <CxlRsn>	[0..1]	±		1030

50.4.5.1 OrderReference <OrdRef>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**50.4.5.2 ClientReference <ClntRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**50.4.5.3 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**50.4.5.4 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason32Choice" on page 1947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

50.4.6 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

51 **setr.012.001.04**

SubscriptionOrderConfirmationV04

51.1 **MessageDefinition Functionality**

Scope

The SubscriptionOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a SubscriptionOrder instruction.

Usage

The SubscriptionOrderConfirmation message is used to confirm the execution of one or more individual orders.

A SubscriptionOrder message containing more than one individual order may be responded to by more than one SubscriptionOrderConfirmation message, as the valuation cycle of the financial instruments in each individual order may be different. When a SubscriptionOrderConfirmation message contains fewer confirmations than originally instructed in the original SubscriptionOrder message, there is no specification indication in the confirmation for this. Reconciliation must be based on the references.

Each individual order confirmation specified is identified in DealReference. The reference of the original individual order is specified in OrderReference. The message identification of the SubscriptionOrder message in which the individual orders was conveyed may also be quoted in RelatedReference but this is not recommended.

A SubscriptionOrder must in all cases be responded to by a SubscriptionOrderConfirmation message and in no circumstances by a SubscriptionBulkOrderConfirmation message.

If the executing party needs to confirm one or more subscription orders for the same financial instrument, then a SubscriptionBulkOrderConfirmation message must be used.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SubscriptionOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. MultipleExecutionDetails

General information related to the execution of the orders.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

51.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptOrdConf>	[1..1]		C2, C22	
	MessageIdentification <MsgId>	[1..1]	±		1038
	PoolReference <PoolRef>	[0..1]	±		1038
	PreviousReference <PrvsRef>	[0..*]	±		1038
	RelatedReference <RltdRef>	[0..1]	±		1039
	MultipleExecutionDetails <MltplExctnDtls>	[1..1]		C8, C21, C31, C33	1039
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1042
	MasterReference <MstrRef>	[0..1]	Text		1042
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1042
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		1043
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1043
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1043
	CancellationRight <CxlRght>	[0..1]	±		1043
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1043
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	1044
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	1045
	OrderReference <OrdRef>	[1..1]	Text		1048
	ClientReference <ClntRef>	[0..1]	Text		1048
	DealReference <DealRef>	[1..1]	Text		1048
	OrderType <OrdTp>	[0..10]	±		1048
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1048
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1050
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1050
	Rounding <Rndg>	[0..1]	CodeSet		1050
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1050
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1051
	TradeDateTime <TradDtTm>	[1..1]	±		1051

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1051
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1052
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1052
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1053
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1053
	PartiallyExecutedIndicator <PrtllyExctdInd>	[1..1]	Indicator		1053
	BestExecution <BestExctn>	[0..1]	CodeSet		1053
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1054
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1054
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1054
	IncomePreference <IncmPref>	[0..1]	CodeSet		1055
	LetterIntentReference <LtrIntntRef>	[0..1]	Text		1055
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1055
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	1055
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1056
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1058
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1060
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1060
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1060
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1060
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1061
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1061
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1062
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1064
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1064
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1064
	StaffClientBreakdown <StffClntBrkdown>	[0..4]	±		1064
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1065
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1065
	LateReport <LateRpt>	[0..1]	CodeSet		1065
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1065

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Equalisation <Equlstn>	[0..1]	±		1066
	SourceOfCash <SrcOfCsh>	[0..*]	±		1066
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1066
	TransactionChannelType <TxChanITp>	[0..1]	±		1067
	SignatureType <SgntrTp>	[0..1]	±		1067
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C35	1067
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1068
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1068
	CopyDetails <CpyDtls>	[0..1]	±		1070
	Extension <Xtnsn>	[0..*]	±	C15	1070

51.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 AccountIdentificationRule

If this message is linked to an account opening request and the account identification is not yet known then the value of the AccountIdentification element in MultipleExecutionDetails/InvestmentAccountDetails must be UNKNOWN.

This constraint is defined at the MessageDefinition level.

C3 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C4 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C5 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C6 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C7 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C8 BulkCashSettlementDetailsRule

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

C9 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C10 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C11 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C12 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C13 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C14 DiscountElementRule

Amount Or Rate Or Basis must be present.

C15 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

C22 OrderReferenceRule

If this message is not solicited by the receiver, then the value of the OrderReference element in every occurrence of MultipleExecutionDetails/IndividualExecutionDetails must be UNSOLICITED.

This constraint is defined at the MessageDefinition level.

C23 PersonElementRule

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 RequestedSettlementCurrencyRule

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

C30 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C31 SettlementCurrencyRule

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

C32 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C33 TotalSettlementCurrency Rule

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

C34 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C35 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

51.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

51.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

51.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

51.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

51.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

51.4.5 MultipleExecutionDetails <MltplExctnDtls>

Presence: [1..1]

Definition: General information related to the execution of the orders.

Impacted by: C8 "BulkCashSettlementDetailsRule", C21 "OrderOriginatorEligibility3Rule", C31 "SettlementCurrencyRule", C33 "TotalSettlementCurrency Rule"

MultipleExecutionDetails <MltplExctnDtls> contains the following **SubscriptionMultipleExecution5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1042
	MasterReference <MstrRef>	[0..1]	Text		1042
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1042
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1043
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1043
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1043
	CancellationRight <CxlRght>	[0..1]	±		1043
	InvestmentAccountDetails <InvstmtAcctDtls>	[1..1]	±	C20	1043
	BeneficiaryDetails <BnfcryDtls>	[0..*]	±	C23	1044
	IndividualExecutionDetails <IndvExctnDtls>	[1..*]		C24, C25, C26, C29	1045
	OrderReference <OrdrRef>	[1..1]	Text		1048
	ClientReference <ClntRef>	[0..1]	Text		1048
	DealReference <DealRef>	[1..1]	Text		1048
	OrderType <OrdrTp>	[0..10]	±		1048
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1048
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1050
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1050
	Rounding <Rndg>	[0..1]	CodeSet		1050
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1050
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1051
	TradeDateTime <TradDtTm>	[1..1]	±		1051
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1051
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1052
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1052
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1053
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1053
	PartiallyExecutedIndicator <PrtlyExctdInd>	[1..1]	Indicator		1053
	BestExecution <BestExctn>	[0..1]	CodeSet		1053
	CumDividendIndicator <CumDvddlInd>	[1..1]	Indicator		1054

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1054
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1054
	IncomePreference <IncmPref>	[0..1]	CodeSet		1055
	LetterIntentReference <LtrInttRef>	[0..1]	Text		1055
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1055
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	1055
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1056
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1058
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1060
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1060
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1060
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1060
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1061
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1061
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1062
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1064
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1064
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1064
	StaffClientBreakdown <StffClntrBrkdown>	[0..4]	±		1064
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1065
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1065
	LateReport <LateRpt>	[0..1]	CodeSet		1065
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1065
	Equalisation <Equlstn>	[0..1]	±		1066
	SourceOfCash <SrcOfCsh>	[0..*]	±		1066
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1066
	TransactionChannelType <TxChanlTp>	[0..1]	±		1067
	SignatureType <SgntrTp>	[0..1]	±		1067
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C35	1067
	TotalSettlementAmount <TtlSttlmAmt>	[0..1]	Amount	C3, C10	1068

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BulkCashSettlementDetails <BlkCshSttlmDtls>	[0..1]	±		1068

Constraints

- **BulkCashSettlementDetailsRule**

Either one or more occurrences of IndividualExecutionDetails/CashSettlementDetails or BulkCashSettlementDetails may be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or one or more occurrences of IndividualExecutionDetails/RelatedPartyDetails/OrderOriginatorEligibility may be present, but not both.

- **SettlementCurrencyRule**

If BulkCashSettlementDetails is present, then Currency in IndividualExecutionDetails/SettlementAmount must be the same in all occurrences of IndividualExecutionDetails.

- **TotalSettlementCurrency Rule**

If TotalSettlementAmount is present, then Currency in IndividualOrderDetails/SettlementAmount, if present, must be the same in all occurrences of IndividualOrderDetails.

51.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

51.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: ["Max35Text"](#) on page 2764

51.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

51.4.5.4 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

51.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2749

51.4.5.6 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

51.4.5.7 CancellationRight <CxlRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxlRght> contains one of the following elements (see "CancellationRight1Choice" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

51.4.5.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [1..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: [C20 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see ["InvestmentAccount58"](#) on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

51.4.5.9 BeneficiaryDetails <BnfcryDtls>

Presence: [0..*]

Definition: Additional information about the investor.

Impacted by: [C23 "PersonElementRule"](#)

BeneficiaryDetails <BnfcryDtls> contains the following elements (see ["IndividualPerson32"](#) on page 2266 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdttlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdttlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer </ssr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

51.4.5.10 IndividualExecutionDetails <IndvExctnDtls>

Presence: [1..*]

Definition: Execution of a subscription order.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule", C26 "PriceDifferenceReasonRule", C29 "RequestedSettlementCurrencyRule"

IndividualExecutionDetails <IndvExctnDtls> contains the following **SubscriptionExecution13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1048
	ClientReference <ClntRef>	[0..1]	Text		1048
	DealReference <DealRef>	[1..1]	Text		1048
	OrderType <OrdrTp>	[0..10]	±		1048
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1048
	SubAccountForHolding <SubAcctForHldg>	[0..1]	±		1050
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1050
	Rounding <Rndg>	[0..1]	CodeSet		1050
	NetAmount <NetAmt>	[0..1]	Amount	C3, C10	1050
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C10	1051
	TradeDateTime <TradDtTm>	[1..1]	±		1051
	DealingPriceDetails <DealgPricDtls>	[1..1]	±		1051
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1052
	SettlementAmount <SttlmAmt>	[1..1]	Amount	C3, C10	1052
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1053
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1053
	PartiallyExecutedIndicator <PrtyExctdInd>	[1..1]	Indicator		1053
	BestExecution <BestExctn>	[0..1]	CodeSet		1053
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1054
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1054
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1054
	IncomePreference <IncmPref>	[0..1]	CodeSet		1055
	LetterIntentReference <LtrlInttRef>	[0..1]	Text		1055
	AccumulationRightReference <AcmltnRghtRef>	[0..1]	Text		1055
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C34	1055
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C32	1056
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1058
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1060
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1060
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C3	1060

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C4	1060
	Refund <Rfnd>	[0..1]	Amount	C3, C10	1061
	SubscriptionInterest <SbcptIntrst>	[0..1]	Amount	C3, C10	1061
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1062
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1064
	PartialSettlementOfUnits <PrtlSttlmOfUnits>	[0..1]	Rate		1064
	PartialSettlementOfCash <PrtlSttlmOfCsh>	[0..1]	Rate		1064
	StaffClientBreakdown <StffCintBrkwn>	[0..4]	±		1064
	FinancialAdvice <FinAdv>	[0..1]	CodeSet		1065
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1065
	LateReport <LateRpt>	[0..1]	CodeSet		1065
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1065
	Equalisation <Equlstn>	[0..1]	±		1066
	SourceOfCash <SrcOfCsh>	[0..*]	±		1066
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1066
	TransactionChannelType <TxChanlTp>	[0..1]	±		1067
	SignatureType <SgntrTp>	[0..1]	±		1067
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C35	1067

Constraints

• PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

• PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

• PriceDifferenceReasonRule

Any occurrence of InformativePriceDetails/PriceDifferenceReason may not be present.

- **RequestedSettlementCurrencyRule**

If RequestedSettlementCurrency is present, it must be the same Currency as in SettlementAmount, if present.

```
On Condition
    /RequestedSettlementCurrency is present
And    /SettlementAmount is present
Following Must be True
    /RequestedSettlementCurrency Must be equal to /SettlementAmount/
attribute::Currency
```

51.4.5.10.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

51.4.5.10.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

51.4.5.10.3 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

51.4.5.10.4 OrderType <OrdrTp>

Presence: [0..10]

Definition: Category of the investment fund order.

OrderType <OrdrTp> contains one of the following elements (see "FundOrderType4Choice" on page 1923 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

51.4.5.10.5 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the investment fund order execution is related.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

51.4.5.10.6 SubAccountForHolding <SubAcctForHldg>

Presence: [0..1]

Definition: Subdivision of the account used to segregate specific holdings.

SubAccountForHolding <SubAcctForHldg> contains the following elements (see "[SubAccount6](#)" on page 1677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

51.4.5.10.7 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units subscribed.

Datatype: "[DecimalNumber](#)" on page 2761

51.4.5.10.8 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: "[RoundingDirection2Code](#)" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

51.4.5.10.9 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.10.10 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.10.11 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

51.4.5.10.12 DealingPriceDetails <DealgPricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

DealingPriceDetails <DealgPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

51.4.5.10.13 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

51.4.5.10.14 SettlementAmount <SttlmAmt>

Presence: [1..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.10.15 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

51.4.5.10.16 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

51.4.5.10.17 PartiallyExecutedIndicator <PrtlyExctdInd>

Presence: [1..1]

Definition: Indicates whether the order has been partially executed, that is, the confirmed quantity does not match the ordered quantity for a given financial instrument.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

51.4.5.10.18 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2650

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

51.4.5.10.19 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

51.4.5.10.20 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "[ProfitAndLoss2Choice](#)" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

51.4.5.10.21 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

51.4.5.10.22 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

51.4.5.10.23 LetterIntentReference <LttrInttRef>

Presence: [0..1]

Definition: Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer. A letter of intent program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

51.4.5.10.24 AccumulationRightReference <AcmltnRghtRef>

Presence: [0..1]

Definition: Reference of an accumulation right program, in which sales commissions are based on a customer's present purchases of shares and the aggregate quantity previously purchased by the customer. An accumulation rights program is mainly used in the US market.

Datatype: "[Max35Text](#)" on page 2764

51.4.5.10.25 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C34 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

51.4.5.10.26 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Information about tax that does not have an impact on the transaction overhead.

Impacted by: C32 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

51.4.5.10.27 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

51.4.5.10.28 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

51.4.5.10.29 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

51.4.5.10.30 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C3 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode"](#) on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

51.4.5.10.31 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C4 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

51.4.5.10.32 Refund <Rfnd>

Presence: [0..1]

Definition: Return of cash that has been overpaid for a subscription.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.10.33 SubscriptionInterest <SbcptIntrst>

Presence: [0..1]

Definition: Interest received when a subscription amount is paid in advance and then invested by the fund.

Impacted by: C3 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.10.34 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <Drwrlid>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <Acctld>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

51.4.5.10.35 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

51.4.5.10.36 PartialSettlementOfUnits <PrtlSttlmOfUnits>

Presence: [0..1]

Definition: Percentage of units partially settled.

Datatype: "PercentageRate" on page 2762

51.4.5.10.37 PartialSettlementOfCash <PrtlSttlmOfCsh>

Presence: [0..1]

Definition: Percentage of cash partially settled.

Datatype: "PercentageRate" on page 2762

51.4.5.10.38 StaffClientBreakdown <StffClntBrkdwn>

Presence: [0..4]

Definition: Breakdown of the net amount per type of order.

StaffClientBreakdown <StffClntBrkdwn> contains the following elements (see "InvestmentFundsOrderBreakdown2" on page 1922 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

51.4.5.10.39 FinancialAdvice <FinAdv<*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

51.4.5.10.40 NegotiatedTrade <NgtdTrad<*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

51.4.5.10.41 LateReport <LateRpt<*Presence:* [0..1]*Definition:* Specifies whether the order execution confirmation is late.*Datatype:* "LateReport1Code" on page 2695

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

51.4.5.10.42 RelatedPartyDetails <RltdPtyDtls<*Presence:* [0..10]*Definition:* Party related to the transaction.*Impacted by:* C19 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtIs> contains the following elements (see ["Intermediary39"](#) on page 2086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

51.4.5.10.43 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

51.4.5.10.44 SourceOfCash <SrcOfCsh>

Presence: [0..*]

Definition: Source of cash used for the settlement of the subscription.

SourceOfCash <SrcOfCsh> contains one of the following elements (see ["SourceOfCash1Choice"](#) on page 2186 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2186
Or}	Proprietary <Prtry>	[1..1]	±		2187

51.4.5.10.45 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClsfctn> contains one of the following elements (see "[CustomerConductClassification1Choice](#)" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

51.4.5.10.46 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see "[TransactionChannelType1Choice](#)" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

51.4.5.10.47 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "[SignatureType1Choice](#)" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

51.4.5.10.48 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: [C35 "WaiverElementRule"](#)

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

51.4.5.11 TotalSettlementAmount <TtlSttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the multiple order.

Impacted by: [C3 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

51.4.5.12 BulkCashSettlementDetails <BlkCshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

BulkCashSettlementDetails <BlkCshSttlmDtls> contains the following elements (see "PaymentTransaction70" on page 2213 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <DrwrlId>	[0..1]	±		2224
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <DrwrlId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

51.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

51.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C15 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

52 setr.013.001.04 SwitchOrderV04

52.1 MessageDefinition Functionality

Scope

The SwitchOrder message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to instruct a switch transaction from a financial instrument or multiple financial instruments to a different specified financial instrument or instruments for a specified amount/quantity.

Usage

The SwitchOrder message is used to either:

- instruct one switch transaction comprising one or more redemption legs and one or more subscription legs, that is, a single switch transaction that can be a one to one, many to one, many to many or one to many switch transaction, or,
- instruct one or many switch transactions each comprising one redemption leg and one subscription leg, that is, multiple simple switch transactions.

The SwitchOrder message may be used to either:

- instruct a switch transaction for one investment account, or,
- instruct a switch transaction for separate accounts at the redemption and subscription leg levels.

The message caters for a switch from one financial instrument to another financial instrument (within the same fund family), many to one, many to many and one to many.

The message caters for switch transactions that result in an additional cash payment from the investor or a transaction that results in a net payment to the investor. Not all institutions or funds permit this type of switch and acceptance is therefore not automatic.

There is no limitation on the number of switch legs in a switch message. The number allowed is defined by the fund prospectus or by the service level agreement (SLA) in place between the two parties. However, if the SwitchOrderDetails sequence is present more than once, then the RedemptionLegDetails and SubscriptionLegDetails sequences may only be present once.

If SwitchOrderDetails\InvestmentAccount is used, then the InvestmentAccountDetails sequences in SubscriptionLegDetails and RedemptionLegDetails are not allowed. This functionality is to be used by institutions that set up two accounts per investor, rather than one investment account.

There is no switch driver type in the message to indicate whether the switch is buy or sell driven. A driver is not needed since it is possible to indicate the total subscription amount or the total redemption amount. Only one of these two amounts should be used.

The subscription quantity can be expressed in one of the following ways:

- Amount: the monetary value (either GROSS or NET) of the financial instrument to be subscribed to, for example, the subscription of EUR 1,000 of financial instrument ISIN LU1234567890 or

- Unit: the number of units of the financial instrument to be subscribed to, for example, the subscription of 10 units of financial instrument ISIN LU1234567890 or

- Percentage of the total redemption amount: when the switch transaction is redemption driven, it is the part of the redemption amount that must be switched to a specific financial instrument, for example, the subscription quantity of financial instrument ISIN LU1234567890 represents 50% of the redemption amount of the financial instrument ISIN LU4444444444.

The redemption quantity can be expressed in one of the following ways:

- Amount: the monetary value (either GROSS or NET) of the financial instrument to be redeemed, for example, the redemption of EUR 1,000 of financial instrument ISIN LU1234567890, or

- Unit: the number of units of financial instrument to be redeemed, for example, the redemption of 10 units of financial instrument ISIN LU1234567890, or

- Rate: the part of the portfolio to be redeemed, for example, the redemption of 10% of the holdings in financial instrument ISIN LU1234567890, or

- Percentage of the total subscription amount: when the switch transaction is subscription driven, it is the part of the subscription amount that must be the result of the redemption of a specific financial instrument, for example, the redemption quantity in financial instrument ABC represents 50% of the subscription amount of the financial instrument ISIN LU4444444444.

Outline

The SwitchOrderV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. MessagePagination

Pagination of the message.

E. SwitchOrderDetails

Information related to the switch order.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

52.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrd>	[1..1]		C19	
	MessageIdentification <MsgId>	[1..1]	±		1078
	PoolReference <PoolRef>	[0..1]	±		1078
	PreviousReference <PrvsRef>	[0..*]	±		1078
	MessagePagination <MsgPgntn>	[0..1]	±		1079
	SwitchOrderDetails <SwchOrdRtDtls>	[1..*]		C15, C18, C22	1079
	MasterReference <MstrRef>	[0..1]	Text		1082
	OrderDateTime <OrdRdtTm>	[0..1]	DateTime		1082
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1082
	OrderReference <OrdRRef>	[1..1]	Text		1083
	ClientReference <ClntRef>	[0..1]	Text		1083
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1083
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C20	1084
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1084
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C7	1084
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1085
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1085
	AdditionalAmount <AddtlAmt>	[0..1]	±		1085
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1085
	CancellationRight <CxlRght>	[0..1]	±		1086
	RedemptionLegDetails <RedLegDtls>	[1..*]		C23, C25	1086
	LegIdentification <LegId>	[0..1]	Text		1088
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1088
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1090
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1090
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1090
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1090
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1091

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1091
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1091
	IncomePreference <IncmPref>	[0..1]	CodeSet		1092
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1092
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1092
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1093
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1093
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1094
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1096
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1096
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1096
	Equalisation <Equlstn>	[0..1]	±		1096
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C24, C26	1097
	LegIdentification <LegId>	[0..1]	Text		1098
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1098
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1100
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1100
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1100
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1100
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1101
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1101
	IncomePreference <IncmPref>	[0..1]	CodeSet		1102
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1102
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1102
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1103
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1103
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1105
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1105
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1105
	Equalisation <Equlstn>	[0..1]	±		1105
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1106

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1109
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1110
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1110
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1110
	TransactionChannelType <TxChanlTp>	[0..1]	±		1110
	SignatureType <SgntrTp>	[0..1]	±		1111
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C32	1111
	CopyDetails <CpyDtls>	[0..1]	±		1111
	Extension <Xtnsn>	[0..*]	±	C12	1112

52.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C6 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C7 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present.
If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C10 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C11 DiscountElementRule

Amount Or Rate Or Basis must be present.

C12 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C13 FeeElementRule

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAResource, RecipientIdentification) must be present.

C14 FeeTaxElementRule

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C15 FinancialInstrumentQuantity1Rule

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is not present, then RedemptionLegDetails/FinancialInstrumentQuantityChoice is mandatory in each occurrence of RedemptionLegDetails.

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is present, then it must be present in each occurrence of SubscriptionLegDetails.

C16 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C17 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C18 InvestmentAccountDetailsRule

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

C19 MultipleSwitchOrderRule

If SwitchOrderDetails is present more than once, then SwitchOrderDetails/RedemptionLegDetails and SwitchOrderDetails/SubscriptionLegDetails must only be present once.

This constraint is defined at the MessageDefinition level.

C20 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C21 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C22 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C27 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C28 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C29 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C30 StandardFeeAmountCalculation

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

C31 StandardFeeRateCalculation

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

C32 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

52.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

52.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

52.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

52.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

52.4.4 MessagePagination <MsgPgntn>

Presence: [0..1]

Definition: Pagination of the message.

MessagePagination <MsgPgntn> contains the following elements (see ["Pagination"](#) on page 2024 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

52.4.5 SwitchOrderDetails <SwchOrdDtls>

Presence: [1..*]

Definition: Information related to the switch order.

Impacted by: [C15 "FinancialInstrumentQuantity1Rule"](#), [C18 "InvestmentAccountDetailsRule"](#), [C22 "OrderOriginatorEligibility3Rule"](#)

SwitchOrderDetails <SwchOrdDtls> contains the following **SwitchOrder7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1082
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		1082
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1082
	OrderReference <OrdRef>	[1..1]	Text		1083
	ClientReference <ClntRef>	[0..1]	Text		1083
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1083
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C20	1084
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1084
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C7	1084
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1085
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1085
	AdditionalAmount <AddtlAmt>	[0..1]	±		1085
	ExpiryDateTime <XpryDtTm>	[0..1]	±		1085
	CancellationRight <CxlRght>	[0..1]	±		1086
	RedemptionLegDetails <RedLegDtls>	[1..*]		C23, C25	1086
	LegIdentification <LegId>	[0..1]	Text		1088
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1088
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1090
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1090
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1090
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1090
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1091
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1091
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1091
	IncomePreference <IncmPref>	[0..1]	CodeSet		1092
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1092
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1092
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1093
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1093

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1094
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1096
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1096
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1096
	Equalisation <Equlstrn>	[0..1]	±		1096
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C24, C26	1097
	LegIdentification <LegId>	[0..1]	Text		1098
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1098
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1100
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1100
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1100
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1100
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1101
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1101
	IncomePreference <IncmPref>	[0..1]	CodeSet		1102
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1102
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1102
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1103
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1103
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1105
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1105
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1105
	Equalisation <Equlstrn>	[0..1]	±		1105
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1106
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1109
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1110
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1110
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1110
	TransactionChannelType <TxChanITp>	[0..1]	±		1110

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SignatureType <SgntrTp>	[0..1]	±		1111
	OrderWaiverDetails <OrdWvrDtls>	[0..1]	±	C32	1111

Constraints

- **FinancialInstrumentQuantity1Rule**

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is not present, then RedemptionLegDetails/FinancialInstrumentQuantityChoice is mandatory in each occurrence of RedemptionLegDetails.

If SubscriptionLegDetails/FinancialInstrumentQuantityChoice is present, then it must be present in each occurrence of SubscriptionLegDetails.

- **InvestmentAccountDetailsRule**

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

52.4.5.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

52.4.5.2 OrderDateTime <OrdDtTm>

Presence: [0..1]

Definition: Date and time the order is placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

52.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

52.4.5.4 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

52.4.5.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

52.4.5.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order.

Impacted by: C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

52.4.5.7 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: C20 "OrderOriginatorEligibility1Rule"

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see "Intermediary40" on page 2089 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

52.4.5.8 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "ISODate" on page 2749

52.4.5.9 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C7 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.10 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

52.4.5.11 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

52.4.5.12 AdditionalAmount <AddtlAmt>

Presence: [0..1]

Definition: Choice between additional cash in or resulting cash out.

AdditionalAmount <AddtlAmt> contains one of the following elements (see "AdditionalAmount1Choice" on page 1907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1907
Or}	ResultingCashOut <RsltCshOut>	[1..1]	Amount	C3, C12	1908

52.4.5.13 ExpiryDateTime <XpryDtTm>

Presence: [0..1]

Definition: Date on which the order expires.

ExpiryDateTime <XpryDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

52.4.5.14 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see ["CancellationRight1Choice"](#) on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

52.4.5.15 RedemptionLegDetails <RedLegDtls>

Presence: [1..*]

Definition: Part of the investment fund switch order that is a redemption.

Impacted by: [C23 "PhysicalDeliveryDetails1Rule"](#), [C25 "PhysicalDeliveryDetails2Rule"](#)

RedemptionLegDetails <RedLegDtls> contains the following **SwitchRedemptionLegOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1088
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1088
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1090
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1090
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1090
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1090
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1091
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1091
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1091
	IncomePreference <IncmPref>	[0..1]	CodeSet		1092
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1092
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1092
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1093
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1093
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1094
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1096
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1096
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1096
	Equalisation <Equlstn>	[0..1]	±		1096

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition

/PhysicalDeliveryIndicator is equal to value 'true'

Or /PhysicalDeliveryIndicator is equal to value '1'

Following Must be True

/PhysicalDeliveryDetails Must be present

52.4.5.15.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2764

52.4.5.15.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the redemption leg of the order.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

52.4.5.15.3 FinancialInstrumentQuantityChoice <FinInstrmQtyChc>

Presence: [0..1]

Definition: Amount of money, number of units or percentage for the subscription leg of the switch order.

FinancialInstrumentQuantityChoice <FinInstrmQtyChc> contains one of the following **FinancialInstrumentQuantity29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1090
Or	PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>	[1..1]	Rate		1090
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1090
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1091
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		1091

52.4.5.15.3.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units redeemed.

Datatype: "DecimalNumber" on page 2761

52.4.5.15.3.2 PercentageOfTotalSubscriptionAmount <PctgOfTtlSbcptAmt>

Presence: [1..1]

Definition: Percentage of the total switch amount (buy-driven) to be invested in a particular investment fund or investment fund class.

Datatype: "PercentageRate" on page 2762

52.4.5.15.3.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.15.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.15.3.5 HoldingsRedemptionRate <HldgsRedRate>

Presence: [1..1]

Definition: Portion of the investor's holdings to be redeemed.

Datatype: "PercentageRate" on page 2762

52.4.5.15.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order.

Impacted by: C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

52.4.5.15.5 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

52.4.5.15.6 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

52.4.5.15.7 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

52.4.5.15.8 RequestedNAVCurrency <ReqdNAVCCy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

52.4.5.15.9 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the gross amount.

Impacted by: C14 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "FeeAndTax1" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

52.4.5.15.10 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrAgDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

52.4.5.15.11 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.15.12 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

52.4.5.15.13 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2764

52.4.5.15.14 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's retained subscription amount that is returned by the fund in order to reimburse preliminary incentive/performance fees.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

52.4.5.16 SubscriptionLegDetails <SbcptLegDtls>

Presence: [1..*]

Definition: Part of the investment fund switch order that is a subscription.

Impacted by: C24 "PhysicalDeliveryDetails1Rule", C26 "PhysicalDeliveryDetails2Rule"

SubscriptionLegDetails <SbcptLegDtls> contains the following **SwitchSubscriptionLegOrder6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1098
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	1098
	FinancialInstrumentQuantityChoice <FinInstrmQtyChc>	[0..1]			1100
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1100
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1100
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1100
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1101
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C21	1101
	IncomePreference <IncmPref>	[0..1]	CodeSet		1102
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1102
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1102
	TransactionOverhead <TxOvrhd>	[0..1]	±	C14	1103
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1103
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1105
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1105
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1105
	Equalisation <Equlstrn>	[0..1]	±		1105

Constraints

- PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True

/PhysicalDeliveryDetails Must be absent

- PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

```
On Condition
  /PhysicalDeliveryIndicator is equal to value 'true'
Or
  /PhysicalDeliveryIndicator is equal to value '1'
Following Must be True
  /PhysicalDeliveryDetails Must be present
```

52.4.5.16.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2764

52.4.5.16.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class related to the subscription leg of the order.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

52.4.5.16.3 FinancialInstrumentQuantityChoice <FinInstrmQtyChc>

Presence: [0..1]

Definition: Amount of money, number of units or percentage for the redemption leg of the switch order.

FinancialInstrumentQuantityChoice <FinInstrmQtyChc> contains one of the following **FinancialInstrumentQuantity26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		1100
Or	PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>	[1..1]	Rate		1100
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C8	1100
Or}	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C8	1101

52.4.5.16.3.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units to be subscribed.

Datatype: "DecimalNumber" on page 2761

52.4.5.16.3.2 PercentageOfTotalRedemptionAmount <PctgOfTtlRedAmt>

Presence: [1..1]

Definition: Percentage of the total redemption amount used for the subscription in an investment fund or investment fund class.

Datatype: "PercentageRate" on page 2762

52.4.5.16.3.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.16.3.4 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C8 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

52.4.5.16.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order.

Impacted by: C21 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

52.4.5.16.5 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

52.4.5.16.6 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

52.4.5.16.7 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

52.4.5.16.8 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and tax to be applied to the net amount.

Impacted by: C14 "FeeTaxElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "[FeeAndTax1](#)" on page 1352 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

52.4.5.16.9 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

52.4.5.16.10 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

52.4.5.16.11 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["NameAndAddress4"](#) on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

52.4.5.16.12 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2764

52.4.5.16.13 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

52.4.5.17 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction71" on page 2187 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2190
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2193
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2195
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2197
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2201
Or	ChequeDetails <ChqDtls>	[1..1]			2201
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <PyeeId>	[1..1]	±		2202

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DraweeIdentification <Drweeld>	[0..1]	±		2202
	DrawerIdentification <Drwrlid>	[0..1]	±		2202
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2202
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <Pyeeld>	[1..1]	±		2203
	DraweeIdentification <Drweeld>	[0..1]	±		2203
	DrawerIdentification <Drwrlid>	[0..1]	±		2203
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2204
	AccountIdentification <Acctld>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2204
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2205
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209
Or	ChequeDetails <ChqDtls>	[1..1]			2209
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <Pyeeld>	[1..1]	±		2210
	DraweeIdentification <Drweeld>	[0..1]	±		2210
	DrawerIdentification <Drwrlid>	[0..1]	±		2210
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2211

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2211
	PayeeIdentification <PyeeId>	[1..1]	±		2211
	DraweeIdentification <DrweeId>	[0..1]	±		2211
	DrawerIdentification <DrwrId>	[0..1]	±		2212
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2212
	AccountIdentification <AcctId>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

52.4.5.18 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Information needed to process a currency exchange or conversion.

Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtls> contains the following elements (see "[ForeignExchangeTerms32](#)" on page 1626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

52.4.5.19 FinancialAdvice <FinAdv>*Presence:* [0..1]*Definition:* Specifies if advice has been received from an independent financial advisor.*Datatype:* "FinancialAdvice1Code" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

52.4.5.20 NegotiatedTrade <NgtdTrad>*Presence:* [0..1]*Definition:* Specifies whether the trade is negotiated.*Datatype:* "NegotiatedTrade1Code" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

52.4.5.21 CustomerConductClassification <CstmrCndctClssfctn>*Presence:* [0..1]*Definition:* Assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

52.4.5.22 TransactionChannelType <TxChanlTp>*Presence:* [0..1]*Definition:* Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanITp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

52.4.5.23 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

52.4.5.24 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C32 "WaiverElementRule"

OrderWaiverDetails <OrdWvrDtls> contains the following elements (see "OrderWaiver1" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

52.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

52.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C12 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

53 **setr.014.001.04**

SwitchOrderCancellationRequestV04

53.1 **MessageDefinition Functionality**

Scope

The SwitchOrderCancellationRequest message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the cancellation of a previously sent SwitchOrder instruction.

Usage

The SwitchOrderCancellationRequest is used to cancel the entire previously sent SwitchOrder instruction/s and all the individual legs that it contains. There is no amendment, but a cancellation and re-instruct policy.

To request the cancellation of a switch order, the order reference of the original switch order is quoted in the order reference element. The message identification of the SwitchOrder message may also be quoted in PreviousReference but this is not recommended.

The deadline and acceptance of a cancellation request is subject to a service level agreement (SLA). This cancellation message is a cancellation request. There is no automatic acceptance of the cancellation request.

The rejection or acceptance of a SwitchOrderCancellationRequest is made using an OrderCancellationStatusReport message.

Outline

The SwitchOrderCancellationRequestV04 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MessageIdentification
Reference that uniquely identifies the message from a business application standpoint.
- B. PoolReference
Collective reference identifying a set of messages.
- C. PreviousReference
Reference to a linked message that was previously sent.
- D. MasterReference
Reference assigned to a set of orders or trades in order to link them together.
- E. OrderReferences
Identification of the individual order to be cancelled.
- F. CopyDetails
Information provided when the message is a copy of a previous message.

53.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrdxCxlReq>	[1..1]		C3	
	MessageIdentification <MsgId>	[1..1]	±		1114
	PoolReference <PoolRef>	[0..1]	±		1115
	PreviousReference <PrvsRef>	[0..1]	±		1115
	MasterReference <MstrRef>	[0..1]	Text		1115
	OrderReferences <OrdrRefs>	[1..*]			1115
	OrderReference <OrdrRef>	[1..1]	Text		1116
	ClientReference <ClntRef>	[0..1]	Text		1116
	CancellationReference <CxlRef>	[0..1]	Text		1116
	CancellationReason <CxlRsn>	[0..1]	±		1116
	CopyDetails <CpyDtls>	[0..1]	±		1116

53.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 SwitchRule

The cancellation of a switch order must be a full cancellation. Partial cancellations are not allowed, for example, the cancellation of a given leg.

This constraint is defined at the MessageDefinition level.

53.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

53.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

53.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

53.4.3 PreviousReference <PrvsRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

53.4.4 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "[Max35Text](#)" on page 2764

53.4.5 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1116
	ClientReference <CIntRef>	[0..1]	Text		1116
	CancellationReference <CxlRef>	[0..1]	Text		1116
	CancellationReason <CxlRsn>	[0..1]	±		1116

53.4.5.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

53.4.5.2 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

53.4.5.3 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

53.4.5.4 CancellationReason <CxlRsn>

Presence: [0..1]

Definition: Reason for the cancellation.

CancellationReason <CxlRsn> contains one of the following elements (see "CancellationReason32Choice" on page 1947 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

53.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

54 setr.015.001.04 SwitchOrderConfirmationV04

54.1 MessageDefinition Functionality

Scope

The SwitchOrderConfirmation message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to confirm the details of the execution of a previously received SwitchOrder instruction.

Usage

The SwitchOrderConfirmation message is used to confirm that all the legs of the previously instructed switch order have been executed. The reference of the switch order confirmation is identified in DealReference.

The reference of the original switch order is specified in OrderReference. The message identification of the SwitchOrder message in which the switch order was conveyed may also be quoted in RelatedReference but this is not recommended.

When the message is used to convey a confirmation amendment/s, the AmendmentIndicator must be present with the value 'true' or '1'. When this is the case, the message must only contain a confirmation amendment/s and not contain both a confirmation amendment/s and a 'new' confirmation/s.

Outline

The SwitchOrderConfirmationV04 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. SwitchExecutionDetails

Information related to a switch execution.

F. CopyDetails

Information provided when the message is a copy of a previous message.

G. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

54.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SwchOrdConf>	[1..1]		C17	
	MessageIdentification <MsgId>	[1..1]	±		1124
	PoolReference <PoolRef>	[0..1]	±		1124
	PreviousReference <PrvsRef>	[0..*]	±		1125
	RelatedReference <RltdRef>	[0..1]	±		1125
	SwitchExecutionDetails <SwchExctnDtls>	[1..*]		C16, C21	1125
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1128
	MasterReference <MstrRef>	[0..1]	Text		1128
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1129
	OrderDateTime <OrdDtTm>	[0..1]	DateTime		1129
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1129
	DealReference <DealRef>	[1..1]	Text		1129
	OrderReference <OrdRef>	[1..1]	Text		1129
	ClientReference <ClntRef>	[0..1]	Text		1129
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1130
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1130
	CancellationRight <CxlRght>	[0..1]	±		1131
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1131
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C8	1131
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1131
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1132
	AdditionalAmount <AddtlAmt>	[0..1]	±		1132
	BestExecution <BestExctn>	[0..1]	CodeSet		1132
	RedemptionLegDetails <RedLegDtls>	[1..*]		C22, C24	1132
	LegIdentification <LegId>	[0..1]	Text		1134
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1134
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1134
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1136
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1136

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1136
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1136
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1137
	TradeDateTime <TradDtTm>	[1..1]	±		1137
	PriceDetails <PricDtls>	[1..1]	±		1138
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1138
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1139
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1139
	IncomePreference <IncmPref>	[0..1]	CodeSet		1139
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1140
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1140
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1140
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1141
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstr>	[0..1]	±		1145
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1146
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C23, C25	1146
	LegIdentification <LegId>	[0..1]	Text		1148
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1148
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1148
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1150
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1150
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1150
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1151
	TradeDateTime <TradDtTm>	[1..1]	±		1151
	PriceDetails <PricDtls>	[1..1]	±		1151
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1152

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1152
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1153
	IncomePreference <IncmPref>	[0..1]	CodeSet		1153
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1153
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1153
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1154
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1154
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1156
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1158
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1158
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1158
	Equalisation <Equlstn>	[0..1]	±		1158
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1159
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1162
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1163
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1163
	LateReport <LateRpt>	[0..1]	CodeSet		1163
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1164
	TransactionChannelType <TxChanlTp>	[0..1]	±		1164
	SignatureType <SgnrTp>	[0..1]	±		1164
	OrderWaiverDetails <OrdWrDtls>	[0..1]	±	C31	1164
	CopyDetails <CpyDtls>	[0..1]	±		1165
	Extension <Xtnsn>	[0..*]	±	C13	1165

54.3 Constraints

C1 AccountElementRule

Either AccountIdentification or Type must be present. Both may be present.

C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 AppliedAmountRule

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

C6 BICFI

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DeliverersCustodianRule

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

C11 DeliverersIntermediary1DetailsRule

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

C12 DiscountElementRule

Amount Or Rate Or Basis must be present.

C13 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C14 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C15 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 InvestmentAccountDetailsRule

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

C17 MultipleSwitchExecutionRule

If SwitchExecutionDetails is present more than once, then SwitchExecutionDetails/RedemptionLegDetails and SwitchExecutionDetails/SubscriptionLegDetails must only be present once.

This constraint is defined at the MessageDefinition level.

C18 NonStandardsSLAReferenceRule

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

C19 OrderOriginatorEligibility1Rule

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

C20 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C21 OrderOriginatorEligibility3Rule

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

C22 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C23 PhysicalDeliveryDetails1Rule

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

C24 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C25 PhysicalDeliveryDetails2Rule

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

C26 ReceiversCustodianRule

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present.
If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

C27 ReceiversIntermediary1DetailsRule

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

C28 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C29 TaxElementRule

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

C30 TransactionOverheadElementRule

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

C31 WaiverElementRule

Either OrderWaiverReason or InformationValue must be present. Both may be present.

54.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

54.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

54.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

54.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

54.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

54.4.5 SwitchExecutionDetails <SwchExctnDtls>

Presence: [1..*]

Definition: Information related to a switch execution.

Impacted by: [C16 "InvestmentAccountDetailsRule"](#), [C21 "OrderOriginatorEligibility3Rule"](#)

SwitchExecutionDetails <SwchExctnDtls> contains the following **SwitchExecution7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmendmentIndicator <AmdmntInd>	[0..1]	Indicator		1128
	MasterReference <MstrRef>	[0..1]	Text		1128
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		1129
	OrderDateTime <OrdrDtTm>	[0..1]	DateTime		1129
	ReceivedDateTime <RcvdDtTm>	[0..1]	DateTime		1129
	DealReference <DealRef>	[1..1]	Text		1129
	OrderReference <OrdrRef>	[1..1]	Text		1129
	ClientReference <ClntRef>	[0..1]	Text		1129
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1130
	RelatedPartyDetails <RltdPtyDtls>	[0..10]	±	C19	1130
	CancellationRight <CxlRght>	[0..1]	±		1131
	RequestedFutureTradeDate <ReqdFutrTradDt>	[0..1]	Date		1131
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C8	1131
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1131
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1132
	AdditionalAmount <AddtlAmt>	[0..1]	±		1132
	BestExecution <BestExctn>	[0..1]	CodeSet		1132
	RedemptionLegDetails <RedLegDtls>	[1..*]		C22, C24	1132
	LegIdentification <LegId>	[0..1]	Text		1134
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1134
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1134
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1136
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1136
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1136
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1136
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1137
	TradeDateTime <TradDtTm>	[1..1]	±		1137
	PriceDetails <PricDtls>	[1..1]	±		1138
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1138
	CumDividendIndicator <CumDvddlnd>	[1..1]	Indicator		1139

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1139
	IncomePreference <IncmPref>	[0..1]	CodeSet		1139
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1140
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1140
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1140
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1141
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstn>	[0..1]	±		1145
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1146
	SubscriptionLegDetails <SbcptLegDtls>	[1..*]		C23, C25	1146
	LegIdentification <LegId>	[0..1]	Text		1148
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1148
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1148
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1150
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1150
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1150
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1151
	TradeDateTime <TradDtTm>	[1..1]	±		1151
	PriceDetails <PricDtls>	[1..1]	±		1151
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1152
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1152
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1153
	IncomePreference <IncmPref>	[0..1]	CodeSet		1153
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1153
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1153
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1154

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1154
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1156
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1158
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1158
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1158
	Equalisation <Equlstn>	[0..1]	±		1158
	CashSettlementDetails <CshSttlmDtls>	[0..1]	±		1159
	ForeignExchangeDetails <FXDtls>	[0..*]	±		1162
	FinancialAdvice <FinAdv<	[0..1]	CodeSet		1163
	NegotiatedTrade <NgtdTrad>	[0..1]	CodeSet		1163
	LateReport <LateRpt>	[0..1]	CodeSet		1163
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1164
	TransactionChannelType <TxChanlTp>	[0..1]	±		1164
	SignatureType <SgntrTp>	[0..1]	±		1164
	OrderWaiverDetails <OrdrWvrDtls>	[0..1]	±	C31	1164

Constraints

- **InvestmentAccountDetailsRule**

Either InvestmentAccountDetails or all occurrences of SubscriptionLegDetails/InvestmentAccountDetails and RedemptionLegDetails/InvestmentAccountDetails must be present, but not both.

- **OrderOriginatorEligibility3Rule**

Either InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of RedemptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility or a single occurrence of SubscriptionLegDetails/InvestmentAccountDetails/OrderOriginatorEligibility should be only present.

54.4.5.1 AmendmentIndicator <AmdmntInd>

Presence: [0..1]

Definition: Indicates whether the confirmation is an amendment of a previous confirmation.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

54.4.5.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

54.4.5.3 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which the advised trade transaction was executed.

PlaceOfTrade <PlcOfTrad> contains one of the following elements (see "PlaceOfTradeIdentification1Choice" on page 1932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

54.4.5.4 OrderDateTime <OrdrDtTm>

Presence: [0..1]

Definition: Date and time at which the order was placed by the investor or its agent.

Datatype: "ISODateTime" on page 2749

54.4.5.5 ReceivedDateTime <RcvdDtTm>

Presence: [0..1]

Definition: Date and time the order was received by the executing party, for example, the transfer agent.

Datatype: "ISODateTime" on page 2749

54.4.5.6 DealReference <DealRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

54.4.5.7 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

54.4.5.8 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

54.4.5.9 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: [C20 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see ["InvestmentAccount58"](#) on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

54.4.5.10 RelatedPartyDetails <RltdPtyDtls>

Presence: [0..10]

Definition: Party related to the transaction.

Impacted by: [C19 "OrderOriginatorEligibility1Rule"](#)

RelatedPartyDetails <RltdPtyDtls> contains the following elements (see ["Intermediary39"](#) on page 2086 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

54.4.5.11 CancellationRight <CxIRght>

Presence: [0..1]

Definition: Cancellation right of the investor with respect to the investment fund order.

CancellationRight <CxIRght> contains one of the following elements (see "[CancellationRight1Choice](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

54.4.5.12 RequestedFutureTradeDate <ReqdFutrTradDt>

Presence: [0..1]

Definition: Future date at which the investor requests the order to be executed.

The specification of a requested future trade date is not allowed in some markets. The date must be a date in the future.

Datatype: "[ISODate](#)" on page 2749

54.4.5.13 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: [C2 "ActiveCurrency"](#), [C8 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

54.4.5.14 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

54.4.5.15 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

54.4.5.16 AdditionalAmount <AddtlAmt>

Presence: [0..1]

Definition: Choice between additional cash in or resulting cash out.

AdditionalAmount <AddtlAmt> contains one of the following elements (see "AdditionalAmount1Choice" on page 1907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1907
Or}	ResultingCashOut <RsltCshOut>	[1..1]	Amount	C3, C12	1908

54.4.5.17 BestExecution <BestExctn>

Presence: [0..1]

Definition: Specifies that the execution was subject to best execution rules as defined by MiFID.

Datatype: "BestExecution1Code" on page 2650

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

54.4.5.18 RedemptionLegDetails <RedLegDtls>

Presence: [1..*]

Definition: Redemption leg of a switch order execution.

Impacted by: C22 "PhysicalDeliveryDetails1Rule", C24 "PhysicalDeliveryDetails2Rule"

RedemptionLegDetails <RedLegDtls> contains the following **SwitchRedemptionLegExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1134
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1134
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1134
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1136
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1136
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1136
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1136
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1137
	TradeDateTime <TradDtTm>	[1..1]	±		1137
	PriceDetails <PricDtls>	[1..1]	±		1138
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1138
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1139
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1139
	IncomePreference <IncmPref>	[0..1]	CodeSet		1139
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1140
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1140
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1140
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1141
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1141
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1143
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1145
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1145
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1145
	Equalisation <Equlstn>	[0..1]	±		1145
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		1146

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True
/PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition
/PhysicalDeliveryIndicator is equal to value 'true'
Or /PhysicalDeliveryIndicator is equal to value '1'
Following Must be True
/PhysicalDeliveryDetails Must be present

54.4.5.18.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2764

54.4.5.18.2 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for the instance of the leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

54.4.5.18.3 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the redemption leg of the investment fund order execution is related.

Impacted by: C15 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

54.4.5.18.4 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment funds units redeemed.

Datatype: "DecimalNumber" on page 2761

54.4.5.18.5 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings redeemed.

Datatype: "PercentageRate" on page 2762

54.4.5.18.6 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money paid to the investor when redeeming fund units.

Net amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

54.4.5.18.7 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

54.4.5.18.8 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

54.4.5.18.9 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

54.4.5.18.10 PriceDetails <PricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

PriceDetails <PricDtls> contains the following elements (see "[UnitPrice22](#)" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

54.4.5.18.11 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

54.4.5.18.12 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

54.4.5.18.13 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "ProfitAndLoss2Choice" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

54.4.5.18.14 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference1Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

54.4.5.18.15 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

54.4.5.18.16 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

54.4.5.18.17 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

54.4.5.18.18 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C30 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

54.4.5.18.19 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C29 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

54.4.5.18.20 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters11" on page 2433 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

54.4.5.18.21 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

54.4.5.18.22 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

54.4.5.18.23 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2764

54.4.5.18.24 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that was held by the fund in order to pay incentive/performance fees at the end of the fiscal year, and is returned due to the redemption.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

54.4.5.18.25 GatingOrHoldBackDetails <GtgOrHldBckDtls>*Presence:* [0..1]*Definition:* Information about gating and hold back of redemption proceeds.**GatingOrHoldBackDetails <GtgOrHldBckDtls>** contains the following elements (see "HoldBackInformation2" on page 1914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1915
	Amount <Amt>	[0..1]	Amount	C2, C10	1916
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1916
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1916
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1917
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1917
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1917
Or	RIC <RIC>	[1..1]	IdentifierSet		1918
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1918
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1918
Or	CTA <CTA>	[1..1]	IdentifierSet		1918
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1918
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1918
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1918
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1919
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1919
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1919
Or	Common <Cmon>	[1..1]	IdentifierSet		1919
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1919
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1920
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1920
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1920

54.4.5.19 SubscriptionLegDetails <SbcptLegDtls>*Presence:* [1..*]*Definition:* Subscription leg of a switch order execution.

Impacted by: C23 "PhysicalDeliveryDetails1Rule", C25 "PhysicalDeliveryDetails2Rule"

SubscriptionLegDetails <SbcptLegDtls> contains the following **SwitchSubscriptionLegExecution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[0..1]	Text		1148
	LegExecutionIdentification <LegExctnId>	[0..1]	Text		1148
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C15	1148
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1150
	NetAmount <NetAmt>	[0..1]	Amount	C2, C8	1150
	GrossAmount <GrssAmt>	[0..1]	Amount	C2, C8	1150
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1151
	TradeDateTime <TradDtTm>	[1..1]	±		1151
	PriceDetails <PricDtls>	[1..1]	±		1151
	InformativePriceDetails <InftvPricDtls>	[0..2]	±		1152
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		1152
	InterimProfitAmount <IntrmPrftAmt>	[0..1]	±		1153
	IncomePreference <IncmPref>	[0..1]	CodeSet		1153
	RequestedSettlementCurrency <ReqdSttlmCcy>	[0..1]	CodeSet	C2	1153
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1153
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C30	1154
	InformativeTaxDetails <InftvTaxDtls>	[0..1]	±	C29	1154
	SettlementAndCustodyDetails <SttlmAndCtdyDtls>	[0..1]	±		1156
	PhysicalDeliveryIndicator <PhysDlvryInd>	[1..1]	Indicator		1158
	PhysicalDeliveryDetails <PhysDlvryDtls>	[0..1]	±		1158
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		1158
	Equalisation <Equlstrn>	[0..1]	±		1158

Constraints

- **PhysicalDeliveryDetails1Rule**

If PhysicalDeliveryIndicator is "false" or "0" (No), then PhysicalDeliveryDetails is not allowed.

On Condition

/PhysicalDeliveryIndicator is equal to value 'false'

Or /PhysicalDeliveryIndicator is equal to value '0'

Following Must be True
 /PhysicalDeliveryDetails Must be absent

- **PhysicalDeliveryDetails2Rule**

If PhysicalDeliveryIndicator is "true" or "1" (Yes), then PhysicalDeliveryDetails must be present.

On Condition
 /PhysicalDeliveryIndicator is equal to value 'true'
 Or /PhysicalDeliveryIndicator is equal to value '1'
 Following Must be True
 /PhysicalDeliveryDetails Must be present

54.4.5.19.1 LegIdentification <LegId>

Presence: [0..1]

Definition: Unique technical identifier for the instance of the leg within a switch.

Datatype: "Max35Text" on page 2764

54.4.5.19.2 LegExecutionIdentification <LegExctnId>

Presence: [0..1]

Definition: Unique identifier for the instance of the leg execution within a switch confirmation.

Datatype: "Max35Text" on page 2764

54.4.5.19.3 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the subscription leg of the investment fund order execution is related.

Impacted by: C15 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

54.4.5.19.4 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units subscribed.

Datatype: "DecimalNumber" on page 2761

54.4.5.19.5 NetAmount <NetAmt>

Presence: [0..1]

Definition: Amount of money invested in the fund.

Net Amount = Quantity * Price.

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

54.4.5.19.6 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: Amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

Impacted by: C2 "ActiveCurrency", C8 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

54.4.5.19.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account impacted by the investment fund order execution.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

54.4.5.19.8 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

54.4.5.19.9 PriceDetails <PricDtls>

Presence: [1..1]

Definition: Price at which the order was executed.

PriceDetails <PricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

54.4.5.19.10 InformativePriceDetails <InftvPricDtls>

Presence: [0..2]

Definition: Other quoted price than the one at which the order was executed.

InformativePriceDetails <InftvPricDtls> contains the following elements (see "UnitPrice22" on page 2299 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

54.4.5.19.11 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, that is, cum-dividend, in the executed price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

54.4.5.19.12 InterimProfitAmount <IntrmPrftAmt>

Presence: [0..1]

Definition: Part of the price deemed as accrued income or profit rather than capital. The interim profit amount is used for tax purposes.

InterimProfitAmount <IntrmPrftAmt> contains one of the following elements (see "[ProfitAndLoss2Choice](#)" on page 2298 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

54.4.5.19.13 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "[IncomePreference1Code](#)" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

54.4.5.19.14 RequestedSettlementCurrency <ReqdSttlmCcy>

Presence: [0..1]

Definition: Currency requested for settlement of cash proceeds.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyCode](#)" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

54.4.5.19.15 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

54.4.5.19.16 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees (charges/commission) and taxes that are taken into consideration for the transaction, so that the total difference between the net amount and gross amount is known, without taking into account equalisation.

Impacted by: C18 "NonStandardsSLAReferenceRule", C30 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

54.4.5.19.17 InformativeTaxDetails <InftvTaxDtls>

Presence: [0..1]

Definition: Additional information about tax that does not have an impact on the transaction overhead.

Impacted by: C29 "TaxElementRule"

InformativeTaxDetails <InftvTaxDtls> contains the following elements (see "InformativeTax1" on page 2554 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <Rcptld>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

54.4.5.19.18 SettlementAndCustodyDetails <SttlmAndCtdyDtls>

Presence: [0..1]

Definition: Parameters used to execute the settlement of an investment fund order.

SettlementAndCustodyDetails <SttlmAndCtdyDtls> contains the following elements (see "FundSettlementParameters12" on page 2385 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliveringAgentDetails <DlvrAgDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

54.4.5.19.19 PhysicalDeliveryIndicator <PhysDlvryInd>

Presence: [1..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

54.4.5.19.20 PhysicalDeliveryDetails <PhysDlvryDtls>

Presence: [0..1]

Definition: Information related to the physical delivery of the securities.

PhysicalDeliveryDetails <PhysDlvryDtls> contains the following elements (see ["DeliveryParameters3"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

54.4.5.19.21 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: ["Max350Text"](#) on page 2764

54.4.5.19.22 Equalisation <Equlstn>

Presence: [0..1]

Definition: Part of an investor's subscription amount that is held by the fund in order to pay incentive/performance fees at the end of the fiscal year.

Equalisation <Equlstn> contains the following elements (see ["Equalisation1"](#) on page 1906 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

54.4.5.20 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "PaymentTransaction71" on page 2187 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2190
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2193
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2195
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2197
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2201
Or	ChequeDetails <ChqDtls>	[1..1]			2201
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <PyeeId>	[1..1]	±		2202

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DraweeIdentification <Drweeld>	[0..1]	±		2202
	DrawerIdentification <Drwrlid>	[0..1]	±		2202
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2202
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <Pyeeld>	[1..1]	±		2203
	DraweeIdentification <Drweeld>	[0..1]	±		2203
	DrawerIdentification <Drwrlid>	[0..1]	±		2203
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2204
	AccountIdentification <Acctld>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2204
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2205
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209
Or	ChequeDetails <ChqDtls>	[1..1]			2209
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <Pyeeld>	[1..1]	±		2210
	DraweeIdentification <Drweeld>	[0..1]	±		2210
	DrawerIdentification <Drwrlid>	[0..1]	±		2210
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2211

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2211
	PayeeIdentification <PyeeId>	[1..1]	±		2211
	DraweeIdentification <DrweeId>	[0..1]	±		2211
	DrawerIdentification <DrwrId>	[0..1]	±		2212
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2212
	AccountIdentification <AcctId>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

54.4.5.21 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

54.4.5.22 FinancialAdvice <FinAdvc>

Presence: [0..1]

Definition: Specifies if advice has been received from an independent financial advisor.

Datatype: "[FinancialAdvice1Code](#)" on page 2673

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

54.4.5.23 NegotiatedTrade <NgtdTrad>

Presence: [0..1]

Definition: Specifies whether the trade is negotiated.

Datatype: "[NegotiatedTrade1Code](#)" on page 2698

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

54.4.5.24 LateReport <LateRpt>

Presence: [0..1]

Definition: Specifies whether the order execution confirmation is late.

Datatype: "[LateReport1Code](#)" on page 2695

CodeName	Name	Definition
LAT1	Late	The confirmation is late.
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

54.4.5.25 CustomerConductClassification <CstmrCndctClssfctn>

Presence: [0..1]

Definition: Assessment of the customer's behaviour at the time of the account opening application.

CustomerConductClassification <CstmrCndctClssfctn> contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

54.4.5.26 TransactionChannelType <TxChanlTp>

Presence: [0..1]

Definition: Means by which the investor or account owner submits the open account form.

TransactionChannelType <TxChanlTp> contains one of the following elements (see "TransactionChannelType1Choice" on page 2010 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

54.4.5.27 SignatureType <SgntrTp>

Presence: [0..1]

Definition: Type of signature.

SignatureType <SgntrTp> contains one of the following elements (see "SignatureType1Choice" on page 1948 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

54.4.5.28 OrderWaiverDetails <OrdWvrDtls>

Presence: [0..1]

Definition: Information about a non-standard order.

Impacted by: C31 "WaiverElementRule"

OrderWaiverDetails <OrdrWvrDtls> contains the following elements (see "[OrderWaiver1](#)" on page 1905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdrWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

54.4.6 CopyDetails <CpyDtls>

Presence: [0..1]

Definition: Information provided when the message is a copy of a previous message.

CopyDetails <CpyDtls> contains the following elements (see "[CopyInformation4](#)" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

54.4.7 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C13 "ExtensionRule"](#)

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

55 **setr.016.001.04**

OrderInstructionStatusReportV04

55.1 MessageDefinition Functionality

Scope

The OrderInstructionStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order from the time the executing party receives the order until the time the order is executed.

Usage

The OrderInstructionStatusReport message is used to report on the status of a subscription, redemption or a switch order.

The OrderInstructionStatusReport message may be used to give the status of:

- one order message by using OrderDetailsReport or,
- one or more individual order instructions by using IndividualOrderDetailsReport, or
- one or more switch orders by using SwitchOrderDetailsReport.

If the OrderInstructionStatusReport message is used to report the status of an individual order, then IndividualOrderDetailsReport is used and the order reference of the individual order is quoted in OrderReference. The message identification of the message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderInstructionStatusReport message is used to report the status of a switch order, then SwitchOrderDetailsReport is used and the order reference of the switch order is quoted in OrderReference. The message identification of the message in which the switch order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderInstructionStatusReport message is used to report the status of an entire order message, for example, the SubscriptionBulkOrder, or a SubscriptionOrder containing several orders, then OrderDetailsReport is used and the message identification of the order message is quoted in RelatedReference. All the orders within the message must have the same status.

One of the following statuses can be reported:

- an accepted status, or,
- an already executed status, or,
- a sent to next party status, or,
- a received status, or,
- a settled status, or,
- a communication problem with next party status, or,

- a confirmation amendment status, or,
- a done for the day status, or,
- a partially done status, or,
- an open status, or,
- a cancelled status, or
- a conditionally accepted status, or,
- a rejected status, or,
- a suspended status, or,
- a partially settled status, or,
- an in-repair status (only for an individual or switch order).

For a switch order, the OrderInstructionStatusReport message provides the status of the whole switch order, that is, it is not possible to accept one leg and to reject the other leg: the entire switch order has to be rejected. In order to identify the legs within the switch that are causing the problem, the leg is identified in either the RedemptionLegIdentification or SubscriptionLegIdentification elements.

When the OrderInstructionStatusReport is used to give the status of an individual or a switch order, the following can be specified:

- repaired conditions (for a switch, this is at the level of a leg),
- information related to the order, for example, settlement amount, number of units, expected trade date.

Outline

The OrderInstructionStatusReportV04 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. StatusReport

Status of the order.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

55.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdrInstrStsRpt>	[1..1]		C14, C17	
	MessageIdentification <MsgId>	[1..1]	±		1170
	Reference <Ref>	[0..1]	±		1170
	StatusReport <StsRpt>	[1..1]	±		1170
	Extension <Xtnsn>	[0..*]	±	C11	1172

55.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditionalInformationRule

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C5 CancelledElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C6 CommercialAgreementRule

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C10 DateElementRule

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

C11 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C12 FeeElementRule

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

C13 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C14 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.001, setr.004, setr.007, setr.010, setr.013, setr.019 or setr.018 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C15 OrderDataElementRule

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

C16 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C17 OtherReferenceGuideline

Reference/OtherReference should be used to reference an order instruction sent in a proprietary way or used for a system reference. If Reference/OtherReference is present, Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C18 RejectedElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C19 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

C20 SwitchOrderDataElementRule

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

55.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

55.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

55.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "[References61Choice](#)" on page 1636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1636
Or}	OtherReference <OthrRef>	[1..2]	±		1636

55.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the order.

StatusReport <StsRpt> contains one of the following elements (see "Status24Choice" on page 2463 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrderDetailsReport <OrdrDtlsRpt>	[1..1]	±		2465
Or	IndividualOrderDetailsReport <IndvOrdrDtlsRpt>	[1..*]			2466
	MasterReference <MstrRef>	[0..1]	Text		2466
	OrderReference <OrdrRef>	[1..1]	Text		2466
	ClientReference <CIntRef>	[0..1]	Text		2467
	DealReference <DealRef>	[0..1]	Text		2467
	CancellationReference <CxlRef>	[0..1]	Text		2467
	OrderStatus <OrdrSts>	[1..1]			2467
{Or	Status <Sts>	[1..1]	CodeSet		2467
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2468
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2469
Or	Rejected <Rjctd>	[1..10]	±	C18	2469
Or	Suspended <Sspd>	[1..1]	±		2470
Or	InRepair <InRpr>	[1..1]	±		2470
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2470
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2471
	StatusInitiator <StsIntr>	[0..1]	±		2472
	OrderData <OrdrData>	[0..1]	±	C15	2472
	NewDetails <NewDtls>	[0..1]	±	C10	2473
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		2473
Or}	SwitchOrderDetailsReport <SwchOrdrDtlsRpt>	[1..*]			2474
	MasterReference <MstrRef>	[0..1]	Text		2475
	OrderReference <OrdrRef>	[1..1]	Text		2475
	ClientReference <CIntRef>	[0..1]	Text		2476
	DealReference <DealRef>	[0..1]	Text		2476
	CancellationReference <CxlRef>	[0..1]	Text		2476
	OrderStatus <OrdrSts>	[1..1]			2476
{Or	Status <Sts>	[1..1]	CodeSet		2476
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2477
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2478

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Rejected <Rjctd>	[1..10]	±	C18	2478
Or	Suspended <Sspd>	[1..1]	±		2478
Or	InRepair <InRpr>	[1..1]	±		2479
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2479
	LegInformation <LegInf>	[0..*]			2480
	LegIdentification <LegId>	[1..1]			2480
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2480
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2480
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2480
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2480
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2481
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2482
	StatusInitiator <StsInitr>	[0..1]	±		2484
	OrderData <OrdData>	[0..1]	±	C20	2484
	NewDetails <NewDtls>	[0..1]	±		2484

55.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C11 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

56 **setr.017.001.04**

OrderCancellationStatusReportV04

56.1 MessageDefinition Functionality

Scope

The OrderCancellationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to report the status of an order cancellation request that was previously received.

Usage

The OrderCancellationStatusReport message is used to provide the status of:

- one or more individual order cancellation requests by using IndividualCancellationStatusReport, or,
- an order cancellation request message by using CancellationStatusReport.

If the OrderCancellationStatusReport message is used to report the status of an individual order cancellation request, then the repetitive IndividualCancellationStatusReport sequence is used and the order reference of the individual order is quoted in OrderReference. The message identification of the message in which the individual order was conveyed may also be quoted in RelatedReference but this is not recommended.

If the OrderCancellationStatusReport message is used to report the status of an entire order cancellation request message, for example, the SubscriptionBulkOrderCancellationRequest, or a SubscriptionOrderCancellationRequest containing several orders, then the CancellationStatusReport sequence is used. The message identification of the order cancellation request message may also be quoted in RelatedReference but this is not recommended. All the order cancellation requests within the message must have the same status.

One of the following statuses can be reported:

- the order cancellation is pending, or,
- the order cancellation request is rejected, or,
- the order is cancelled.

When the cancellation is rejected, the reason for the rejection must be specified.

Outline

The OrderCancellationStatusReportV04 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. StatusReport

Status of the order cancellation.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

56.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdxCxlStsRpt>	[1..1]		C5, C7	
	MessageIdentification <MsgId>	[1..1]	±		1175
	Reference <Ref>	[0..1]	±		1175
	StatusReport <StsRpt>	[1..1]	±		1175
	Extension <Xtnsn>	[0..*]	±	C3	1176

56.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.002, setr.005, setr.008, setr.011, setr.014 or setr.018 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C6 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C7 OtherReferenceGuideline

OtherReference should be used to reference an order cancellation 'message' sent in a proprietary way or used for a system reference. If OtherReference is present, OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C8 ReasonElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

56.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

56.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

56.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "[References61Choice](#)" on page 1636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1636
Or}	OtherReference <OthrRef>	[1..2]	±		1636

56.4.3 StatusReport <StsRpt>

Presence: [1..1]

Definition: Status of the order cancellation.

StatusReport <StsRpt> contains one of the following elements (see "Status26Choice" on page 2457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationStatusReport <Cx/StsRpt>	[1..1]			2457
	MasterReference <MstrRef>	[0..1]	Text		2457
	CancellationStatus <Cx/Sts>	[1..1]	±		2458
	StatusInitiator <StsInitr>	[0..1]	±		2458
Or}	IndividualCancellationStatusReport <IndvCx/StsRpt>	[1..*]			2458
	MasterReference <MstrRef>	[0..1]	Text		2458
	OrderReference <OrdRef>	[1..1]	Text		2459
	ClientReference <CIntRef>	[0..1]	Text		2459
	CancellationReference <CxIRef>	[0..1]	Text		2459
	CancellationStatus <Cx/Sts>	[1..1]	±		2459
	StatusInitiator <StsInitr>	[0..1]	±		2459
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2459
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2460

56.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

57 **setr.018.001.04**

RequestForOrderStatusReportV04

57.1 MessageDefinition Functionality

Scope

The RequestForOrderStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to request the status of one or more order instructions or order cancellation requests.

Usage

The RequestForOrderStatusReport message is used to request the status of:

- one or several individual order instructions, or,
- one or several order messages, or
- one or several individual order cancellation requests, or,
- one or several order cancellation request messages.

The response to a RequestForOrderStatusReport message is the OrderInstructionStatusReport message or OrderCancellationStatusReport message.

If the RequestForOrderStatusReport message is used to request the status of several individual order instructions or one or more order instruction messages, then the instructing party may receive several OrderInstructionStatusReport messages from the executing party.

If the RequestForOrderStatusReport message is used to request the status of several individual order cancellation requests or one or more order cancellation messages, then the instructing party may receive several OrderCancellationStatusReport messages from the executing party.

When the RequestForOrderStatusReport is used to request the status of one or more individual orders or order cancellations, each individual order is identified with its order reference. The investment account and/or financial instrument related to the order can also be identified. The message identification of the message in which the individual order was conveyed may also be quoted in PreviousReference.

When the RequestForOrderStatusReport is used to request the status of an order message or an order cancellations request message, then the message identification of the order or cancellation message is identified in PreviousReference.

The RequestForOrderStatusReport message may not be used to request the status of an investment account, a transfer or the status of a financial instrument.

Outline

The RequestForOrderStatusReportV04 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the order(s) for which the status is requested.

C. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

57.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForOrdrStsRpt>	[1..1]		C6, C7	
	MessageIdentification <MsgId>	[1..1]	±		1179
	RequestDetails <ReqDtls>	[1..*]		C8	1179
	Reference <Ref>	[0..1]	±		1180
	RelatedReference <RltdRef>	[0..1]	±		1180
	OrderReference <OrdrRef>	[0..*]			1181
	MasterReference <MstrRef>	[0..1]	Text		1181
	OrderReference <OrdrRef>	[1..1]	Text		1181
	ClientReference <ClnRef>	[0..1]	Text		1181
	CancellationReference <CxlRef>	[0..1]	Text		1182
	DealReference <DealRef>	[0..1]	Text		1182
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1182
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1182
	Extension <Xtnsn>	[0..*]	±	C3	1184

57.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C6 OtherReferenceGuideline

RequestDetails/Reference/OtherReference should be used to reference an order instruction or cancellation 'message' sent in a proprietary way or used for a system reference. If RequestDetails/Reference/OtherReference is present, RequestDetails/Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C7 PreviousReferenceGuideline

If RequestDetails/Reference/PreviousReference/MessageName is present, it must contain 502 or must start with setr.001, setr.002, setr.004, setr.005, setr.007, setr.008, setr.010, setr.011, setr.013 or setr.014 and RequestDetails/Reference/PreviousReference/Reference must contain the reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C8 ReferenceElementRule

One of the elements (Reference, RelatedReference, OrderReference) must be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

57.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

57.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

57.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the order(s) for which the status is requested.

Impacted by: C8 "ReferenceElementRule"

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	±		1180
	RelatedReference <RltdRef>	[0..1]	±		1180
	OrderReference <OrdrRef>	[0..*]			1181
	MasterReference <MstrRef>	[0..1]	Text		1181
	OrderReference <OrdrRef>	[1..1]	Text		1181
	ClientReference <ClntRef>	[0..1]	Text		1181
	CancellationReference <CxlRef>	[0..1]	Text		1182
	DealReference <DealRef>	[0..1]	Text		1182
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1182
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1182

Constraints

- **ReferenceElementRule**

One of the elements (Reference, RelatedReference, OrderReference) must be present.

57.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

Reference <Ref> contains one of the following elements (see "References62Choice" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1635
Or}	OtherReference <OthrRef>	[1..2]	±		1635

57.4.2.2 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

57.4.2.3 OrderReference <OrdRef>

Presence: [0..*]

Definition: Unique and unambiguous identifiers of one or more individual order instructions or individual order cancellation requests.

OrderReference <OrdRef> contains the following **InvestmentFundOrder8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1181
	OrderReference <OrdRef>	[1..1]	Text		1181
	ClientReference <ClntRef>	[0..1]	Text		1181
	CancellationReference <CxlRef>	[0..1]	Text		1182
	DealReference <DealRef>	[0..1]	Text		1182
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1182
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1182

57.4.2.3.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

57.4.2.3.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

57.4.2.3.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: ["Max35Text"](#) on page 2764

57.4.2.3.4 CancellationReference <CxlRef>*Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**57.4.2.3.5 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**57.4.2.3.6 InvestmentAccountDetails <InvstmtAcctDtls>***Presence:* [0..1]*Definition:* Account information of the individual order instruction or individual order cancellation request for which the status is requested.*Impacted by:* C5 "OrderOriginatorEligibility2Rule"**InvestmentAccountDetails <InvstmtAcctDtls>** contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

57.4.2.3.7 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [0..1]*Definition:* Financial instrument information of the individual order or individual order cancellation request for which the status is requested.*Impacted by:* C4 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

57.4.3 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

58 setr.047.001.02 SubscriptionOrderConfirmationCancellationInstructionV02

58.1 MessageDefinition Functionality

Scope

The SubscriptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SubscriptionOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SubscriptionOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the subscription order confirmation cancellation is to be followed by an amendment. An amendment of a subscription order confirmation is carried out by sending a SubscriptionOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SubscriptionOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

58.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <SbcptOrdrConfCxlInstr></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1186
	PoolReference <PoolRef>	[0..1]	±		1187
	PreviousReference <PrvsRef>	[0..*]	±		1187
	RelatedReference <RltdRef>	[0..1]	±		1187
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1188
	MasterReference <MstrRef>	[0..1]	Text		1188
	OrderReferences <OrdrRefs>	[1..*]			1188
	OrderReference <OrdrRef>	[1..1]	Text		1188
	ClientReference <ClntRef>	[0..1]	Text		1189
	DealReference <DealRef>	[0..1]	Text		1189
	CancellationReference <CxlRef>	[0..1]	Text		1189
	CancellationReason <CxlRsn>	[0..1]	±		1189
	CopyDetails <CpyDtls>	[0..1]	±		1189

58.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

58.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

58.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

58.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

58.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

58.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

58.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

58.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

58.4.7 OrderReferences <OrdrRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1188
	ClientReference <ClntRef>	[0..1]	Text		1189
	DealReference <DealRef>	[0..1]	Text		1189
	CancellationReference <CxlRef>	[0..1]	Text		1189
	CancellationReason <CxlRsn>	[0..1]	±		1189

58.4.7.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

58.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**58.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**58.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**58.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

58.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

59 setr.049.001.02 SubscriptionBulkOrderConfirmationCancellationInstructionV02

59.1 MessageDefinition Functionality

Scope

The SubscriptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SubscriptionBulkOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SubscriptionBulkOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SubscriptionBulkOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the subscription bulk order confirmation cancellation is to be followed by an amendment. An amendment of a subscription bulk order confirmation is carried out by sending a SubscriptionBulkOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SubscriptionBulkOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Message is a copy.

59.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <SbcptBlkOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1191
	PoolReference <PoolRef>	[0..1]	±		1192
	PreviousReference <PrvsRef>	[0..*]	±		1192
	RelatedReference <RltdRef>	[0..1]	±		1192
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1193
	MasterReference <MstrRef>	[0..1]	Text		1193
	OrderReferences <OrdRefs>	[1..*]			1193
	OrderReference <OrdRef>	[1..1]	Text		1193
	ClientReference <ClntRef>	[0..1]	Text		1194
	DealReference <DealRef>	[0..1]	Text		1194
	CancellationReference <CxlRef>	[0..1]	Text		1194
	CancellationReason <CxlRsn>	[0..1]	±		1194
	CopyDetails <CpyDtls>	[0..1]	±		1194

59.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

59.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

59.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

59.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

59.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

59.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

59.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

59.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

59.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1193
	ClientReference <ClntRef>	[0..1]	Text		1194
	DealReference <DealRef>	[0..1]	Text		1194
	CancellationReference <CxIRef>	[0..1]	Text		1194
	CancellationReason <CxIRsn>	[0..1]	±		1194

59.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

59.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**59.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**59.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**59.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

59.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Message is a copy.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

60 setr.051.001.02 RedemptionOrderConfirmationCancellationInstructionV02

60.1 MessageDefinition Functionality

Scope

The RedemptionOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original RedemptionOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the RedemptionOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the redemption order confirmation cancellation is to be followed by an amendment. An amendment of a redemption order confirmation is carried out by sending a RedemptionOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The RedemptionOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

60.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <RedOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1196
	PoolReference <PoolRef>	[0..1]	±		1197
	PreviousReference <PrvsRef>	[0..*]	±		1197
	RelatedReference <RltdRef>	[0..1]	±		1197
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1198
	MasterReference <MstrRef>	[0..1]	Text		1198
	OrderReferences <OrdRefs>	[1..*]			1198
	OrderReference <OrdRef>	[1..1]	Text		1198
	ClientReference <ClntRef>	[0..1]	Text		1199
	DealReference <DealRef>	[0..1]	Text		1199
	CancellationReference <CxlRef>	[0..1]	Text		1199
	CancellationReason <CxlRsn>	[0..1]	±		1199
	CopyDetails <CpyDtls>	[0..1]	±		1199

60.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

60.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

60.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

60.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

60.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

60.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

60.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

60.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

60.4.7 OrderReferences <OrdrRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdrRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdrRef>	[1..1]	Text		1198
	ClientReference <ClntRef>	[0..1]	Text		1199
	DealReference <DealRef>	[0..1]	Text		1199
	CancellationReference <CxlRef>	[0..1]	Text		1199
	CancellationReason <CxlRsn>	[0..1]	±		1199

60.4.7.1 OrderReference <OrdrRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

60.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**60.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**60.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**60.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

60.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

61 setr.053.001.02 RedemptionBulkOrderConfirmationCancellationInstructionV02

61.1 MessageDefinition Functionality

Scope

The RedemptionBulkOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent RedemptionBulkOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original RedemptionBulkOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the RedemptionBulkOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the redemption order confirmation cancellation is to be followed by an amendment. An amendment of a redemption order confirmation is carried out by sending a RedemptionBulkOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The RedemptionBulkOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

61.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <RedBlkOrdRConfCxlInstr></i>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1201
	PoolReference <PoolRef>	[0..1]	±		1202
	PreviousReference <PrvsRef>	[0..*]	±		1202
	RelatedReference <RltdRef>	[0..1]	±		1202
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1203
	MasterReference <MstrRef>	[0..1]	Text		1203
	OrderReferences <OrdRRefs>	[1..*]			1203
	OrderReference <OrdRRef>	[1..1]	Text		1203
	ClientReference <ClntRef>	[0..1]	Text		1204
	DealReference <DealRef>	[0..1]	Text		1204
	CancellationReference <CxlRef>	[0..1]	Text		1204
	CancellationReason <CxlRsn>	[0..1]	±		1204
	CopyDetails <CpyDtls>	[0..1]	±		1204

61.3 Constraints**C1 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

61.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

61.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

61.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "AdditionalReference9" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

61.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

61.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

61.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

61.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

61.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1203
	ClientReference <ClntRef>	[0..1]	Text		1204
	DealReference <DealRef>	[0..1]	Text		1204
	CancellationReference <CxlRef>	[0..1]	Text		1204
	CancellationReason <CxlRsn>	[0..1]	±		1204

61.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

61.4.7.2 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**61.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**61.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**61.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

61.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

62 setr.055.001.02 SwitchOrderConfirmationCancellationInstructionV02

62.1 MessageDefinition Functionality

Scope

The SwitchOrderConfirmationCancellationInstruction message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to cancel a previously sent SwitchOrderConfirmation.

Usage

To request the cancellation of one or more individual order executions, the order reference and deal reference of each individual order execution in the original SwitchOrderConfirmation are specified in the order reference and deal reference elements respectively. The message identification of the SwitchOrderConfirmation message in which the individual order execution was conveyed may also be quoted in PreviousReference but this is not recommended. The AmendmentIndicator is used to specify whether the switch order confirmation cancellation is to be followed by an amendment. An amendment of a switch order confirmation is carried out by sending a SwitchOrderConfirmation message in which the AmendmentIndicator contains the value 'true'.

Outline

The SwitchOrderConfirmationCancellationInstructionV02 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. PoolReference

Collective reference identifying a set of messages.

C. PreviousReference

Reference to a linked message that was previously sent.

D. RelatedReference

Reference to a linked message that was previously received.

E. AmendmentIndicator

Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

F. MasterReference

Reference assigned to a set of orders or trades in order to link them together.

G. OrderReferences

Identification of the individual order confirmation to be cancelled.

H. CopyDetails

Information provided when the message is a copy of a previous message.

62.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <SwchOrdConfCxlInstr>	[1..1]			
	MessageIdentification <MsgId>	[1..1]	±		1206
	PoolReference <PoolRef>	[0..1]	±		1207
	PreviousReference <PrvsRef>	[0..*]	±		1207
	RelatedReference <RltdRef>	[0..1]	±		1207
	AmendmentIndicator <AmdmntInd>	[1..1]	Indicator		1208
	MasterReference <MstrRef>	[0..1]	Text		1208
	OrderReferences <OrdrRefs>	[1..*]			1208
	OrderReference <OrdrRef>	[1..1]	Text		1208
	ClientReference <ClntRef>	[0..1]	Text		1209
	DealReference <DealRef>	[0..1]	Text		1209
	CancellationReference <CxlRef>	[0..1]	Text		1209
	CancellationReason <CxlRsn>	[0..1]	±		1209
	CopyDetails <CpyDtls>	[0..1]	±		1209

62.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

62.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

62.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

62.4.2 PoolReference <PoolRef>

Presence: [0..1]

Definition: Collective reference identifying a set of messages.

PoolReference <PoolRef> contains the following elements (see "[AdditionalReference9](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

62.4.3 PreviousReference <PrvsRef>

Presence: [0..*]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

62.4.4 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see ["AdditionalReference8"](#) on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

62.4.5 AmendmentIndicator <AmdmntInd>

Presence: [1..1]

Definition: Indicates whether a confirmation amendment message will follow the confirmation cancellation instruction or not.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

62.4.6 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text"](#) on page 2764

62.4.7 OrderReferences <OrdRefs>

Presence: [1..*]

Definition: Identification of the individual order confirmation to be cancelled.

OrderReferences <OrdRefs> contains the following **InvestmentFundOrder11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[1..1]	Text		1208
	ClientReference <ClntRef>	[0..1]	Text		1209
	DealReference <DealRef>	[0..1]	Text		1209
	CancellationReference <CxIRef>	[0..1]	Text		1209
	CancellationReason <CxIRsn>	[0..1]	±		1209

62.4.7.1 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text"](#) on page 2764

62.4.7.2 ClientReference <CIntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**62.4.7.3 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**62.4.7.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order confirmation cancellation, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**62.4.7.5 CancellationReason <CxlRsn>***Presence:* [0..1]*Definition:* Reason for the cancellation of the confirmation.**CancellationReason <CxlRsn>** contains one of the following elements (see "CancellationReason31Choice" on page 2486 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

62.4.8 CopyDetails <CpyDtls>*Presence:* [0..1]*Definition:* Information provided when the message is a copy of a previous message.**CopyDetails <CpyDtls>** contains the following elements (see "CopyInformation4" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

63 setr.057.001.02 OrderConfirmationStatusReportV02

63.1 MessageDefinition Functionality

Scope

The OrderConfirmationStatusReport message is sent by an instructing party, for example, an investment manager or its authorised representative, to the executing party, for example, a transfer agent, to report the status of an order confirmation or an order confirmation amendment.

Usage

The OrderConfirmationStatusReport message is used to report on the status of one or more individual:

- subscription confirmations,
- subscription confirmation amendments,
- redemption confirmations,
- redemption confirmation amendments,
- switch order confirmations,
- switch order confirmation amendments.

One of the following statuses can be reported:

- confirmation rejected, or,
- amendment rejected, or,
- sent to next party, or,
- communication problem with next party, or,
- confirmation accepted, or,
- confirmation received.

It is likely that the OrderConfirmationStatusReport is only sent by the order instructing party to the order executing party to reject an order confirmation or to reject an order confirmation amendment, although if an intermediary party is used, the statuses sent to next party and communication problem with next party are also likely be used. The statuses confirmation accepted and confirmation received would only be used in the event the order executing party sends a RequestForOrderConfirmationStatusReport message and one of the other statuses does not apply.

If the status being reported is either confirmation rejected or amendment rejected, then a reason for the rejection must be given.

The individual order confirmation or confirmation amendment for which the status is given is identified with its order reference. The message identification of the message in which the individual order

confirmation or confirmation amendment was conveyed may also be quoted in RelatedReference, but this is not recommended.

Outline

The OrderConfirmationStatusReportV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. Reference

Reference to the message or communication that was previously received.

C. IndividualOrderConfirmationDetailsReport

Status report details of an individual order confirmation.

D. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

63.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <OrdrConfStsRpt>	[1..1]		C5, C7	
	MessageIdentification <MsgId>	[1..1]	±		1212
	Reference <Ref>	[0..1]	±		1213
	IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt>	[1..*]			1213
	MasterReference <MstrRef>	[0..1]	Text		1214
	OrderReference <OrdrRef>	[1..1]	Text		1214
	Confirmation <Conf>	[1..1]			1214
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1214
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1214
Or}	Status <Sts>	[1..1]	CodeSet		1215
	ClientReference <CIntRef>	[0..1]	Text		1215
	DealReference <DealRef>	[0..1]	Text		1215
	StatusInitiator <StsInitr>	[0..1]	±		1216
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C6	1216
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1216
	Extension <Xtnsn>	[0..*]	±	C3	1218

63.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 MessageNameAndReferenceGuideline

If Reference/RelatedReference/MessageName or Reference/OtherReference/MessageName is present, it must contain 502 or must start with setr.047, setr.049, setr.051, setr.053, setr.055, setr.058 and Reference/RelatedReference/Reference must contain the message reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C6 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C7 OtherReferenceGuideline

OtherReference should be used to reference an order confirmation cancellation 'message' sent in a proprietary way or used for a system reference. If OtherReference is present, OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C8 ReasonElementRule

Either Reason or AdditionalInformation must be present. Both may be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

63.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

63.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "MessageIdentification1" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

63.4.2 Reference <Ref>

Presence: [0..1]

Definition: Reference to the message or communication that was previously received.

Reference <Ref> contains one of the following elements (see "References61Choice" on page 1636 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1636
Or}	OtherReference <OthrRef>	[1..2]	±		1636

63.4.3 IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt>

Presence: [1..*]

Definition: Status report details of an individual order confirmation.

IndividualOrderConfirmationDetailsReport <IndvOrdrConfDtlsRpt> contains the following **IndividualOrderConfirmationStatusAndReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1214
	OrderReference <OrdrRef>	[1..1]	Text		1214
	Confirmation <Conf>	[1..1]			1214
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1214
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1214
Or}	Status <Sts>	[1..1]	CodeSet		1215
	ClientReference <ClntRef>	[0..1]	Text		1215
	DealReference <DealRef>	[0..1]	Text		1215
	StatusInitiator <StsIntr>	[0..1]	±		1216
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C6	1216
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1216

63.4.3.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2764**63.4.3.2 OrderReference <OrdRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**63.4.3.3 Confirmation <Conf>***Presence:* [1..1]*Definition:* Status of the order confirmation cancellation.**Confirmation <Conf>** contains one of the following **ConfirmationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ConfirmationRejected <ConfRjctd>	[1..10]	±	C8	1214
Or	AmendmentRejected <AmdmntRjctd>	[1..10]	±	C8	1214
Or}	Status <Sts>	[1..1]	CodeSet		1215

63.4.3.3.1 ConfirmationRejected <ConfRjctd>*Presence:* [1..10]*Definition:* Status of the order confirmation is rejected.*Impacted by:* C8 "ReasonElementRule"**ConfirmationRejected <ConfRjctd>** contains the following elements (see "ConfirmationRejectedStatus2" on page 2488 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2488
{Or	Code <Cd>	[1..1]	CodeSet		2488
Or}	Proprietary <Prtry>	[1..1]	±		2491
	AdditionalInformation <AddtlInf>	[0..1]	Text		2491

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

63.4.3.3.2 AmendmentRejected <AmdmntRjctd>*Presence:* [1..10]

Definition: Status of the order confirmation amendment is rejected.

Impacted by: C8 "ReasonElementRule"

AmendmentRejected <AmdmntRjctd> contains the following elements (see "ConfirmationRejectedStatus2" on page 2488 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2488
{Or	Code <Cd>	[1..1]	CodeSet		2488
Or}	Proprietary <Prtry>	[1..1]	±		2491
	AdditionalInformation <AddtlInf>	[0..1]	Text		2491

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

63.4.3.3.3 Status <Sts>

Presence: [1..1]

Definition: Status of the order confirmation is accepted or received or sent to next party or there is a communication problem with next party. There is no reason attached.

Datatype: "OrderConfirmationStatus1Code" on page 2699

CodeName	Name	Definition
STNP	SentToNextParty	Order confirmation or order confirmation cancellation instruction or order confirmation amendment has been sent to the next party, eg, the next intermediary.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
COAC	ConfirmationAccepted	Order confirmation or order confirmation amendment is accepted.
CREC	ConfirmationReceived	Order confirmation or order confirmation amendment is received, ie, technical validation of the message is ok, and the message is now at the receiving side.

63.4.3.4 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of an order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

63.4.3.5 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

63.4.3.6 StatusInitiator <StsIntr>

Presence: [0..1]

Definition: Party that initiates the status of the order confirmation.

StatusInitiator <StsIntr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

63.4.3.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order confirmation for which the status is given.

Impacted by: [C6 "OrderOriginatorEligibility2Rule"](#)

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "[InvestmentAccount58](#)" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

63.4.3.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order confirmation for which the status is given.

Impacted by: [C4 "IdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

63.4.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

64 setr.058.001.02 RequestForOrderConfirmationStatusReportV 02

64.1 MessageDefinition Functionality

Scope

The RequestForOrderConfirmationStatusReport message is sent by an executing party, for example, a transfer agent, to the instructing party, for example, an investment manager or its authorised representative, to request the status of one or more order confirmations.

Usage

The RequestForOrderConfirmationStatusReport message is used to request the status of either:

- one or several individual order confirmations, or,
- one or several order confirmation messages.

The response to a RequestForOrderConfirmationStatusReport message is the OrderConfirmationStatusReport message.

When the RequestForOrderConfirmationStatusReport message is used to request the status of several individual order confirmations or one or more order confirmation messages, the executing party may receive several OrderConfirmationStatusReport messages from the instructing party.

When the RequestForOrderConfirmationStatusReport is used to request the status of one or more individual order confirmations, each individual order confirmation is identified with its order reference. The message identification of the message in which the individual order confirmation was conveyed may also be quoted in PreviousReference.

When the RequestForOrderConfirmationStatusReport is used to request the status of an order confirmation message, then the message identification of the order confirmation message is identified in PreviousReference.

Outline

The RequestForOrderConfirmationStatusReportV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. MessageIdentification

Reference that uniquely identifies the message from a business application standpoint.

B. RequestDetails

Information to identify the order confirmation for which the status is requested.

C. Extension

Additional information that cannot be captured in the structured elements and/or any other specific block.

64.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <ReqForOrdRConfStsRpt>	[1..1]		C6, C7	
	MessageIdentification <MsgId>	[1..1]	±		1221
	RequestDetails <ReqDtls>	[1..*]		C8	1221
	Reference <Ref>	[0..1]	±		1222
	RelatedReference <RltdRef>	[0..1]	±		1222
	OrderReference <OrdRRef>	[0..*]			1223
	MasterReference <MstrRef>	[0..1]	Text		1223
	OrderReference <OrdRRef>	[1..1]	Text		1223
	ClientReference <ClntRef>	[0..1]	Text		1223
	CancellationReference <CxlRef>	[0..1]	Text		1223
	DealReference <DealRef>	[0..1]	Text		1223
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1224
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1224
	Extension <Xtnsn>	[0..*]	±	C3	1226

64.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 ExtensionRule

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

C4 IdentificationGuideline

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C5 OrderOriginatorEligibility2Rule

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

C6 OtherReferenceGuideline

RequestDetails/Reference/OtherReference should be used to reference an order instruction or cancellation 'message' sent in a proprietary way or used for a system reference. If RequestDetails/Reference/OtherReference is present, RequestDetails/Reference/OtherReference/MessageName must contain 'fax' or 'phone' or 'email', etc.

This constraint is defined at the MessageDefinition level.

C7 PreviousReferenceGuideline

If RequestDetails/Reference/PreviousReference/MessageName is present, it must contain 502 or must start with setr.047, setr.049, setr.051, setr.053, or setr.055 and RequestDetails/Reference/PreviousReference/Reference must contain the reference of a message in this list.

This constraint is defined at the MessageDefinition level.

C8 ReferenceElementRule

One of the elements (Reference, RelatedReference, OrderReference) must be present.

C9 SeriesElementRule

Either SeriesDate or SeriesName must be present. Both may be present.

64.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

64.4.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Reference that uniquely identifies the message from a business application standpoint.

MessageIdentification <MsgId> contains the following elements (see "[MessageIdentification1](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

64.4.2 RequestDetails <ReqDtls>

Presence: [1..*]

Definition: Information to identify the order confirmation for which the status is requested.

Impacted by: [C8 "ReferenceElementRule"](#)

RequestDetails <ReqDtls> contains the following **MessageAndBusinessReference10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	±		1222
	RelatedReference <RltdRef>	[0..1]	±		1222
	OrderReference <OrdrRef>	[0..*]			1223
	MasterReference <MstrRef>	[0..1]	Text		1223
	OrderReference <OrdrRef>	[1..1]	Text		1223
	ClientReference <ClntRef>	[0..1]	Text		1223
	CancellationReference <CxlRef>	[0..1]	Text		1223
	DealReference <DealRef>	[0..1]	Text		1223
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1224
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1224

Constraints

- **ReferenceElementRule**

One of the elements (Reference, RelatedReference, OrderReference) must be present.

64.4.2.1 Reference <Ref>

Presence: [0..1]

Definition: Reference to a linked message that was previously sent.

Reference <Ref> contains one of the following elements (see "[References62Choice](#)" on page 1635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1635
Or}	OtherReference <OthrRef>	[1..2]	±		1635

64.4.2.2 RelatedReference <RltdRef>

Presence: [0..1]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

64.4.2.3 OrderReference <OrdrRef>*Presence:* [0..*]*Definition:* Unique and unambiguous identifiers of one or more individual order instructions or individual order cancellation requests.**OrderReference <OrdrRef>** contains the following **InvestmentFundOrder8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		1223
	OrderReference <OrdrRef>	[1..1]	Text		1223
	ClientReference <CintRef>	[0..1]	Text		1223
	CancellationReference <CxlRef>	[0..1]	Text		1223
	DealReference <DealRef>	[0..1]	Text		1223
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C5	1224
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C4	1224

64.4.2.3.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2764**64.4.2.3.2 OrderReference <OrdrRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**64.4.2.3.3 ClientReference <CintRef>***Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**64.4.2.3.4 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**64.4.2.3.5 DealReference <DealRef>***Presence:* [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

64.4.2.3.6 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order instruction or individual order cancellation request for which the status is requested.

Impacted by: C5 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

64.4.2.3.7 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order or individual order cancellation request for which the status is requested.

Impacted by: C4 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

64.4.3 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C3 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65 Message Items Types

65.1 MessageComponents

65.1.1 Account

65.1.1.1 SecuritiesAccount19

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1227
	Type <Tp>	[0..1]	±		1227
	Name <Nm>	[0..1]	Text		1227

65.1.1.1.1 Identification <Id>

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.1.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.1.1.3 Name <Nm>

Presence: [0..1]

Definition: Description of the account.

Datatype: "Max70Text" on page 2765

65.1.1.2 GenericAccountIdentification1

Definition: Information related to a generic account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max34Text" on page 2764

65.1.1.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **AccountSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228

65.1.1.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalAccountIdentification1Code" on page 2671

65.1.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 2764

65.1.1.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2764

65.1.1.3 CashSettlement2

Definition: Cash settlement parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1229
	CashAccountDetails <CshAcctDtls>	[0..*]	±		1229
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		1230

65.1.1.3.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification2Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.1.3.2 CashAccountDetails <CshAcctDtls>

Presence: [0..*]

Definition: Account to credit or debit. When this is an account to debit, this is for the payment of a subscription to an investment fund, a savings plan payment, the purchase of securities or the payment of charges. When this is an account to credit, this is for the payment of an amount as a result of a redemption of investment fund units, the sale of securities, interest and dividend payments. A single account may be specified for all cash movements on the account or cash accounts may be specified for specific types of transactions on the account.

CashAccountDetails <CshAcctDtls> contains the following elements (see "CashAccount33" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	1235
	Identification <Id>	[1..1]	±		1236
	AccountOwner <AcctOwnr>	[0..1]	±		1236
	AccountServicer <AcctSvcr>	[0..1]			1236
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1237
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1237
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1237
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1238
	Identification <Id>	[1..1]	Text		1238
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		1239
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		1239
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		1239
	CreditDebit <CdtDbt>	[0..1]	CodeSet		1240
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			1240
{Or	Code <Cd>	[1..1]	CodeSet		1240
Or}	Proprietary <Prtry>	[1..1]	±		1241
	CashAccountPurpose <CshAcctPurp>	[0..1]			1241
{Or	Code <Cd>	[1..1]	CodeSet		1241
Or}	Proprietary <Prtry>	[1..1]	±		1242
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			1242
{Or	Code <Cd>	[1..1]	CodeSet		1242
Or}	Proprietary <Prtry>	[1..1]	±		1242
	DividendPercentage <DvddPctg>	[0..1]	Rate		1243

65.1.1.3.3 OtherCashSettlementDetails <OthrCshSttlmDtls>

Presence: [0..*]

Definition: Method of payment other than a cash account.

OtherCashSettlementDetails <OthrCshSttlmDtls> contains the following elements (see "PaymentInstrument13" on page 2120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2122
	DividendPercentage <DvddPctg>	[0..1]	Rate		2122
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2122
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2123
	Type <Tp>	[1..1]	CodeSet		2123
	Number <Nb>	[1..1]	Text		2124
	HolderName <HldrNm>	[1..1]	Text		2124
	StartDate <StartDt>	[0..1]	YearMonth		2124
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2124
	CardIssuerName <CardIssrNm>	[0..1]	Text		2124
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2124
	SecurityCode <SctyCd>	[0..1]	Text		2125
	SequenceNumber <SeqNb>	[0..1]	Text		2125
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2125
Or	Cheque <Chq>	[1..1]	Indicator		2126
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2126
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2127
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2127
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2128
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2128
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2128
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2129
	Type <Tp>	[1..1]	CodeSet		2129
	Number <Nb>	[1..1]	Text		2130
	HolderName <HldrNm>	[1..1]	Text		2130
	StartDate <StartDt>	[0..1]	YearMonth		2130
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2130
	CardIssuerName <CardIssrNm>	[0..1]	Text		2130

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2130
	SecurityCode <SctyCd>	[0..1]	Text		2131
	SequenceNumber <SeqNb>	[0..1]	Text		2131
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	Cheque <Chq>	[1..1]	Indicator		2132
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2132
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2133
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2133
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2133

65.1.1.4 ConfirmationType1Choice

Definition: Choice of formats for a confirmation type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1232
Or}	Proprietary <Prtry>	[1..1]	±		1232

65.1.1.4.1 Code <Cd>

Presence: [1..1]

Definition: Confirmation type expressed as a code.

Datatype: "AccountManagementType2Code" on page 2645

CodeName	Name	Definition
ACCO	AccountOpening	Refers to an account opening instruction message.
ACCM	AccountModification	Refers to an account modification instruction message.
GACC	GetAccountDetails	Refers to a get account details message.

65.1.1.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Confirmation type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.1.5 AccountOpeningType1Choice

Definition: Choice of formats for the account opening type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1233
Or}	Proprietary <Prtry>	[1..1]	±		1233

65.1.1.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of account opening instruction expressed as a code.

Datatype: "AccountOpeningType1Code" on page 2645

CodeName	Name	Definition
NEWA	NewAccountOpening	Account request is to open a new account.
SUPA	SupplementaryAccountOpening	Account request is to open a supplementary account, that is, based on an existing account.

65.1.1.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account opening instruction expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.1.6 Account23

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1234
	RelatedAccountDetails <RltdAcctDtls>	[0..1]	±		1234

65.1.1.6.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the account.

Datatype: "Max35Text" on page 2764

65.1.1.6.2 RelatedAccountDetails <RltdAcctDtls>

Presence: [0..1]

Definition: Information about the account to which the existing account is to be linked.

RelatedAccountDetails <RltdAcctDtls> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.1.7 CashAccount33

Definition: Account to or from which a cash entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	1235
	Identification <Id>	[1..1]	±		1236
	AccountOwner <AcctOwnr>	[0..1]	±		1236
	AccountServicer <AcctSvcr>	[0..1]			1236
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1237
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1237
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1237
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1238
	Identification <Id>	[1..1]	Text		1238
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		1239
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		1239
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		1239
	CreditDebit <CdtDbt>	[0..1]	CodeSet		1240
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			1240
{Or	Code <Cd>	[1..1]	CodeSet		1240
Or}	Proprietary <Prtry>	[1..1]	±		1241
	CashAccountPurpose <CshAcctPurp>	[0..1]			1241
{Or	Code <Cd>	[1..1]	CodeSet		1241
Or}	Proprietary <Prtry>	[1..1]	±		1242
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			1242
{Or	Code <Cd>	[1..1]	CodeSet		1242
Or}	Proprietary <Prtry>	[1..1]	±		1242
	DividendPercentage <DvddPctg>	[0..1]	Rate		1243

65.1.1.7.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.1.7.2 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.1.7.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.1.7.4 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following **FinancialInstitutionIdentification7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1237
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1237
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1237
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1238
	Identification <Id>	[1..1]	Text		1238

65.1.1.7.4.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5" on page 2278](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.1.7.4.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: [C7 "BICFI"](#)

Datatype: ["BICFIIdentifier" on page 2751](#)

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

65.1.1.7.4.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentificationChoice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1407
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1407
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1407
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1408
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1408
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1408
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1408
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1408
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1408
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1408
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1409
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1409
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1409
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1409
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1409
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1409
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1409
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1410
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1410

65.1.1.7.4.4 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following **SimpleIdentificationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1238

65.1.1.7.4.4.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.1.7.5 AccountServicerBranch <AcctSvcrBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

AccountServicerBranch <AcctSvcrBrnch> contains the following elements (see "BranchData" on page 1431 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.1.7.6 AccountOwnerOtherIdentification <AcctOwnrOthrld>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

AccountOwnerOtherIdentification <AcctOwnrOthrld> contains the following elements (see "GenericIdentification82" on page 1640 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1640
	Type <Tp>	[1..1]			1640
{Or	Code <Cd>	[1..1]	CodeSet		1640
Or}	Proprietary <Prtry>	[1..1]	±		1641
	Issuer <Issr>	[0..1]	Text		1642
	IssueDate <IsseDt>	[0..1]	Date		1642
	ExpiryDate <XpryDt>	[0..1]	Date		1642
	State <Stat>	[0..1]	Text		1642
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1642

65.1.1.7.7 InvestmentAccountType <InvstmtAcctTp>

Presence: [0..1]

Definition: Type of account.

InvestmentAccountType <InvstmtAcctTp> contains one of the following elements (see "AccountType2Choice" on page 1243 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1243
Or}	Proprietary <Prtry>	[1..1]	±		1244

65.1.1.7.8 CreditDebit <CdtDbt>

Presence: [0..1]

Definition: Specifies if the account is for credits or debits.

Datatype: "CreditDebit3Code" on page 2663

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

65.1.1.7.9 SettlementInstructionReason <SttlmInstrRsn>

Presence: [0..1]

Definition: Type of transaction for which the cash account is specified.

SettlementInstructionReason <SttlmInstrRsn> contains one of the following **SettlementInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1240
Or}	Proprietary <Prtry>	[1..1]	±		1241

65.1.1.7.9.1 Code <Cd>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a code.

Datatype: "SettlementInstructionReason1Code" on page 2723

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.

CodeName	Name	Definition
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.
CORP	CorporateAction	Settlement of corporate actions.

65.1.1.7.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement instruction reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.1.7.10 CashAccountPurpose <CshAcctPurp>

Presence: [0..1]

Definition: Purpose of the cash account.

CashAccountPurpose <CshAcctPurp> contains one of the following **CashAccountType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1241
Or}	Proprietary <Prtry>	[1..1]	±		1242

65.1.1.7.10.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash account expressed as a code.

Datatype: "[CashAccountType5Code](#)" on page 2653

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.

CodeName	Name	Definition
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

65.1.1.7.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.1.7.11 CashAccountDesignation <CshAcctDsgnt>

Presence: [0..1]

Definition: Specifies whether the account is the primary or secondary account if there are two accounts for the same purpose.

CashAccountDesignation <CshAcctDsgnt> contains one of the following **AccountDesignation1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1242
Or}	Proprietary <Prtry>	[1..1]	±		1242

65.1.1.7.11.1 Code <Cd>

Presence: [1..1]

Definition: Account designation expressed as a code.

Datatype: "[Rank1Code](#)" on page 2711

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

65.1.1.7.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Account designation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.1.7.12 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "[PercentageBoundedRate](#)" on page 2762

65.1.1.8 AccountType2Choice

Definition: Choice of formats for the specification of the type of account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1243
Or}	Proprietary <Prtry>	[1..1]	±		1244

65.1.1.8.1 Code <Cd>

Presence: [1..1]

Definition: Type of account expressed as a code.

Datatype: "[FundCashAccount4Code](#)" on page 2674

CodeName	Name	Definition
HEDG	HedgeFund	Hedge fund account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.
CSDO	CSDOmnibusAccount	Account operated by a CSD in cross-CSD settlement context.
TOFF	TechnicalOffsetAccount	Account operated by a CSD in a direct holding market context.
ICSA	InterCSDAccount	Account operated by a CSD for settlement of securities legs for instructions involving internal CSDs.
CSDM	CSDMirrorAccount	Account operated by a CSD for mirroring positions.
CSDP	CSDParticipantAccount	Account owned by a CSD participant.

CodeName	Name	Definition
PPEN	PrivatePensionScheme	Private pension account.
CPEN	WorkplacePensionSchema	Workplace or company pension account.

65.1.1.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.2 Account Identification

65.1.2.1 Account7

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1244
	AccountServicer <AcctSvcr>	[0..1]	±		1244

65.1.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.2.1.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing an account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.2.2 InvestmentAccount69

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1245
	Designation <Dsgnt>	[0..1]	Text		1245
	AccountName <AcctNm>	[0..1]	Text		1245
	Servicer <Svcr>	[0..1]	±		1245

65.1.2.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.2.2.2 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.2.2.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.2.2.4 Servicer <Svcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

Servicer <Svcr> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.2.3 CashAccount202

Definition: Account to or from which a cash entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C2	1246
	PrimaryAccount <PmryAcct>	[0..1]			1246
	AccountIdentification <AcctId>	[1..1]	±		1247
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1247
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1247
	SecondaryAccount <ScndryAcct>	[0..1]			1247
	AccountIdentification <AcctId>	[1..1]	±		1248
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1248
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1248

65.1.2.3.1 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the settlement.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.2.3.2 PrimaryAccount <PmryAcct>

Presence: [0..1]

Definition: Cash account for settlement.

PrimaryAccount <PmryAcct> contains the following **CashAccount203** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	±		1247
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1247
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1247

65.1.2.3.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.2.3.2.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: C4 "AnyBIC"

Datatype: ["AnyBICDec2014Identifier"](#) on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.2.3.2.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2764

65.1.2.3.3 SecondaryAccount <ScndryAcct>

Presence: [0..1]

Definition: Sub-division of a master or omnibus cash account.

SecondaryAccount <ScndryAcct> contains the following **CashAccount203** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	±		1248
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1248
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1248

65.1.2.3.3.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.2.3.3.2 Servicer <Svcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is, manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Impacted by: C4 "AnyBIC"

Datatype: "[AnyBICDec2014Identifier](#)" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.2.3.3.3 AccountTypeDescription <AcctTpDesc>

Presence: [0..1]

Definition: Additional means of identification of the account, as designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.2.4 Account24

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1249
	Designation <Dsgnt>	[0..1]	Text		1249
	Servicer <Svcr>	[0..1]	±		1249

65.1.2.4.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.2.4.2 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.2.4.3 Servicer <Svcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

Servicer <Svcr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.2.5 SubAccountIdentification3

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1249
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1250
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1250
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1250

65.1.2.5.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

65.1.2.5.2 FungibleIndicator <Fngblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.2.5.3 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.2.5.4 BalanceForSubAccount <BalForSubAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: [C1 "AccruedInterestAmountSignRule"](#), [C6 "BalanceAtSafekeepingPlaceRule"](#)

BalanceForSubAccount <BalForSubAcct> contains the following elements (see "AggregateBalanceInformation3" on page 1282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1283
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1283
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1283
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1283
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1284
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1284
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1284
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1285
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1285
	PriceDetails <PricDtls>	[1..*]	±	C15	1286
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1287
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1287
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1287
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1288

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.2.6 SubAccountIdentification5

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1252
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1252
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1252
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1252

65.1.2.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

65.1.2.6.2 FungibleIndicator <Engblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.2.6.3 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.2.6.4 BalanceForSubAccount <BalForSubAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: [C1 "AccruedInterestAmountSignRule"](#), [C8 "BalanceAtSafekeepingPlaceRule"](#), [C5 "AggregateQuantityGuideline"](#)

BalanceForSubAccount <BalForSubAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1290
	AvailableQuantity <AvlblQty>	[0..1]	±		1290
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1290
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1291
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1291
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1292
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1292
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1292
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1293
	PriceDetails <PricDtls>	[0..*]	±	C15	1293
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1294
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1294
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1295
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1295
	AggregateQuantity <AggtQty>	[1..1]	±		1296
	AvailableQuantity <AvlblQty>	[0..1]	±		1297
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1297
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1297
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1297
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1298
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1299
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1299
	PriceDetails <PricDtls>	[0..*]	±	C15	1299
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1300
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1301

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.2.7 AccountIdentificationAndName5

Definition: Identification of the account expressed with a name and an account number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.2.7.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 1257 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1257
Or}	Other <Othr>	[1..1]	±		1257

65.1.2.7.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.2.8 SubAccount5

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.2.8.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.2.8.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.2.8.3 Characteristic <Chrtc>

Presence: [0..1]

Definition: Specifies additional properties of the account.

Datatype: "Max35Text" on page 2764

65.1.2.9 AccountIdentificationFormatChoice

Definition: Choice between formats for account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpIld>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

65.1.2.9.1 SimpleIdentification <SmpIld>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

SimpleIdentification <SmpId> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.2.9.2 IdentificationAndPurpose <IdAndPurp>

Presence: [1..1]

Definition: Identification of the account expressed with an account number and a code.

IdentificationAndPurpose <IdAndPurp> contains the following **AccountIdentificationAndPurpose** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256

65.1.2.9.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.2.9.2.2 Purpose <Purp>

Presence: [1..1]

Definition: Specifies the purpose of the account.

Datatype: "[SecuritiesAccountPurposeType1Code](#)" on page 2720

CodeName	Name	Definition
MARG	Margin	Account is used when financing is done by the broker.
SHOR	ShortSale	Account is used for short sale orders.
ABRD	Abroad	Account is located in a financial institution outside the country where the transaction is taking place.
CEND	Centralised	Account and all its related positions are held in one location.
DVPA	CashDVP	Account is used for settlement upon delivery, or a collection on a delivery basis.

CodeName	Name	Definition
PHYS	Physical	Account is used for physical domestic safekeeping.

65.1.2.9.3 IdentificationAsDSS <IdAsDSS>

Presence: [1..1]

Definition: Identification of the account expressed with a data source scheme, a code used within the data source scheme and the account identification.

IdentificationAsDSS <IdAsDSS> contains the following elements (see "[AccountIdentification3](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1258
	Issuer <Issr>	[1..1]	Text		1258
	Information <Inf>	[1..1]	Text		1258

65.1.2.10 AccountIdentification4Choice

Definition: Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C16	1257
Or}	Other <Othr>	[1..1]	±		1257

65.1.2.10.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.2.10.2 Other <Othr>

Presence: [1..1]

Definition: Unique identification of an account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.2.11 AccountIdentification3

Definition: Identification of the account expressed with a data source scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1258
	Issuer <Issr>	[1..1]	Text		1258
	Information <Inf>	[1..1]	Text		1258

65.1.2.11.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.2.11.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the information.

Datatype: "[Max8Text](#)" on page 2765

65.1.2.11.3 Information <Inf>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Exact4AlphaNumericText](#)" on page 2762

65.1.2.12 AccountIdentification1

Definition: Unique identifier of an account, as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.2.12.1 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Proprietary <Prtry> contains the following elements (see "[SimpleIdentificationInformation](#)" on page 1649 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649

65.1.3 Address

65.1.3.1 CommunicationAddress6

Definition: Communication device number or electronic address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.3.1.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of communication address.

AddressType <AdrTp> contains one of the following **AddressType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260

65.1.3.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type expressed as a code.*Datatype:* "AddressType1Code" on page 2648

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

65.1.3.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.3.1.2 Email <Email>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 2763**65.1.3.1.3 Phone <Phne>***Presence:* [0..1]*Definition:* Collection of information that identifies a phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2765**65.1.3.1.4 Mobile <Mob>***Presence:* [0..1]*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2765**65.1.3.1.5 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.*Datatype:* "PhoneNumber" on page 2765

65.1.3.1.6 TelexAddress <TlxAdr>*Presence:* [0..1]*Definition:* Address for a telex machine.*Datatype:* "Max35Text" on page 2764**65.1.3.1.7 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.*Datatype:* "Max256Text" on page 2763**65.1.4 Amount****65.1.4.1 RoundingParameters1***Definition:* Parameters applied to a fractional number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RoundingModulus <RndgMdlus>	[0..1]	Quantity		1261
	RoundingDirection <RndgDrctn>	[1..1]	CodeSet		1261

65.1.4.1.1 RoundingModulus <RndgMdlus>*Presence:* [0..1]*Definition:* Float value specifying the value to which rounding is required, eg, 10 means round to a multiple of 10 units/shares, 0.5 means round to a multiple of 0.5 units/shares.*Datatype:* "DecimalNumber" on page 2761**65.1.4.1.2 RoundingDirection <RndgDrctn>***Presence:* [1..1]*Definition:* Rounding direction applied to fractional numbers, eg, round up.*Datatype:* "RoundingDirection1Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

65.1.4.2 DateAndAmount2*Definition:* Date and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.4.2.1 Date <Dt>

Presence: [0..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.4.2.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.4.3 InitialAmount1Choice

Definition: Choice of an initial amount or number of pre-paid instalments.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InitialNumberOfInstalments <InitlNbOfInstlmts>	[1..1]	Quantity		1262
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1262

65.1.4.3.1 InitialNumberOfInstalments <InitlNbOfInstlmts>

Presence: [1..1]

Definition: Number of pre-paid instalment periods at the time the investment plan is created.

Datatype: "Number" on page 2761

65.1.4.3.2 Amount <Amt>

Presence: [1..1]

Definition: Amount to be paid or redeemed at the time the investment plan is created.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5 Balance

65.1.5.1 AdditionalBalanceInformation2

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.5.1.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1303
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1303
Or}	QuantityAndAvailability <QtyAndAvlbt>	[1..1]			1303
	Quantity <Qty>	[1..1]	±		1304
	AvailabilityIndicator <AvlbtInd>	[1..1]	Indicator		1304

65.1.5.1.2 SubBalanceType <SubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesBalanceType2Code" on page 2722

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.

65.1.5.1.3 ExtendedSubBalanceType <XtndedSubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "Extended350Code" on page 2762

65.1.5.2 AccountingStatementOfHoldings2

Definition: Information about an accounting statement of holdings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			1265
	Reference <Ref>	[1..1]	Text		1266
	StatementDateTime <StmntDtTm>	[1..1]	±		1266
	CreationDateTime <CreDtTm>	[0..1]	±		1266
	Frequency <Frqcy>	[1..1]	±		1267
	UpdateType <UpdTp>	[1..1]	±		1267
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1267
	StatementBasis <StmntBsis>	[1..1]	±		1267
	ReportNumber <RptNb>	[0..1]	Text		1267
	AuditedIndicator <AudtdInd>	[1..1]	Indicator		1268
	AccountDetails <AcctDtls>	[0..1]			1268
	Identification <Id>	[1..1]	±		1268
	FungibleIndicator <FngblInd>	[1..1]	Indicator		1268
	Name <Nm>	[0..1]	Text		1269
	Designation <Dsgnt>	[0..1]	Text		1269
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1269
	AccountOwner <AcctOwnr>	[0..1]	±		1269
	AccountServicer <AcctSvcr>	[0..1]	±		1270
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C6	1270
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1271
	TotalValues <TtlVals>	[0..1]	±		1272
	Extension <Xtnsn>	[0..*]	±	C16	1272

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

65.1.5.2.1 StatementGeneralDetails <StmntGnlDtls>

Presence: [0..1]

Definition: General information related to the custody statement of holdings that is being cancelled.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1266
	StatementDateTime <StmntDtTm>	[1..1]	±		1266
	CreationDateTime <CreDtTm>	[0..1]	±		1266
	Frequency <Frqcy>	[1..1]	±		1267
	UpdateType <UpdTp>	[1..1]	±		1267
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1267
	StatementBasis <StmntBsis>	[1..1]	±		1267
	ReportNumber <RptNb>	[0..1]	Text		1267
	AuditedIndicator <AudtdlInd>	[1..1]	Indicator		1268

65.1.5.2.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2764

65.1.5.2.1.2 StatementDateTime <StmntDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmntDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.5.2.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.5.2.1.4 Frequency <Frqcy>*Presence:* [1..1]*Definition:* Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[FrequencyCodeAndDSSCode1Choice](#)" on page 2331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2331
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2331

65.1.5.2.1.5 UpdateType <UpdTp>*Presence:* [1..1]*Definition:* Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[StatementUpdateTypeCodeAndDSSCodeChoice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2448
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2448

65.1.5.2.1.6 ActivityIndicator <ActvtyInd>*Presence:* [1..1]*Definition:* Indicates whether there is activity or information update reported in the statement.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.2.1.7 StatementBasis <StmntBsis>*Presence:* [1..1]*Definition:* Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2447
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2447

65.1.5.2.1.8 ReportNumber <RptNb>*Presence:* [0..1]*Definition:* Sequential number of the statement.

Datatype: "Max5NumericText" on page 2765

65.1.5.2.1.9 AuditedIndicator <AudtdInd>

Presence: [1..1]

Definition: Indicates whether the statement is audited.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.2.2 AccountDetails <AcctDtls>

Presence: [0..1]

Definition: The safekeeping or investment account of the statement that is being cancelled.

AccountDetails <AcctDtls> contains the following **SafekeepingAccount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1268
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1268
	Name <Nm>	[0..1]	Text		1269
	Designation <Dsgnt>	[0..1]	Text		1269
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1269
	AccountOwner <AcctOwnr>	[0..1]	±		1269
	AccountServicer <AcctSvcr>	[0..1]	±		1270

65.1.5.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "AccountIdentificationFormatChoice" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmpIld>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

65.1.5.2.2.2 FungibleIndicator <Fngblnd>

Presence: [1..1]

Definition: Indicates whether the securities in the account are fungible, ie, interchangeable.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.2.2.3 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2764

65.1.5.2.2.4 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: ["Max35Text"](#) on page 2764

65.1.5.2.2.5 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, eg, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following elements (see ["Intermediary11"](#) on page 2049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2050
	Account <Acct>	[0..1]	±		2050
{Or	Role <Role>	[0..1]	CodeSet		2050
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		2051

65.1.5.2.2.6 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.5.2.2.7 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.5.2.3 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: [C1 "AccruedInterestAmountSignRule"](#), [C6 "BalanceAtSafekeepingPlaceRule"](#)

BalanceForAccount <BalForAcct> contains the following elements (see ["AggregateBalanceInformation3"](#) on page 1282 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1283
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1283
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1283
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1283
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1284
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1284
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1284
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1285
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1285
	PriceDetails <PricDtls>	[1..*]	±	C15	1286
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1287
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1287
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1287
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1288

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.5.2.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: The sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see ["SubAccountIdentification3"](#) on page 1249 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1249
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1250
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1250
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C6	1250

65.1.5.2.5 TotalValues <TtlVals>*Presence:* [0..1]*Definition:* Value of total holdings reported.**TotalValues <TtlVals>** contains the following elements (see ["TotalValueInPageAndStatement"](#) on page 1281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1281
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1281

65.1.5.2.6 Extension <Xtnsn>*Presence:* [0..*]*Definition:* Additional information that cannot be captured in the structured elements and/or any other specific block.*Impacted by:* C16 "ExtensionRule"**Extension <Xtnsn>** contains the following elements (see ["Extension1"](#) on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65.1.5.3 CustodyStatementOfHoldings2*Definition:* Information about a custody statement of holdings.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StatementGeneralDetails <StmntGnlDtls>	[0..1]			1273
	Reference <Ref>	[1..1]	Text		1274
	StatementDateTime <StmntDtTm>	[1..1]	±		1274
	CreationDateTime <CreDtTm>	[0..1]	±		1274
	Frequency <Frqcy>	[1..1]	±		1274
	UpdateType <UpdTp>	[1..1]	±		1275
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1275
	StatementBasis <StmntBsis>	[1..1]	±		1275
	ReportNumber <RptNb>	[0..1]	Text		1275
	AccountDetails <AcctDtls>	[0..1]			1276
	Identification <Id>	[1..1]	±		1276
	FungibleIndicator <FngblInd>	[1..1]	Indicator		1276
	Name <Nm>	[0..1]	Text		1276
	Designation <Dsgnt>	[0..1]	Text		1277
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1277
	AccountOwner <AcctOwnr>	[0..1]	±		1277
	AccountServicer <AcctSvcr>	[0..1]	±		1277
	BalanceForAccount <BalForAcct>	[0..*]	±	C1, C8, C5	1278
	SubAccountDetails <SubAcctDtls>	[0..*]	±		1280
	TotalValues <TtlVals>	[0..1]	±		1280
	Extension <Xtnsn>	[0..*]	±	C16	1280

Constraints

- **BalanceForAccountOrSubAccountDetailsRule**

If StatementGeneralDetails/ActivityIndicator is "true" or "1" (Yes), then either BalanceForAccount or SubAccountDetails is mandatory. If StatementGeneralDetails/ActivityIndicator is "false" or "0" (No), then BalanceForAccount and SubAccountDetails may be present.

65.1.5.3.1 StatementGeneralDetails <StmntGnlDtls>

Presence: [0..1]

Definition: General information related to the custody statement of holdings that is being cancelled.

StatementGeneralDetails <StmntGnlDtls> contains the following **Statement7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1274
	StatementDateTime <StmntDtTm>	[1..1]	±		1274
	CreationDateTime <CreDtTm>	[0..1]	±		1274
	Frequency <Frqcy>	[1..1]	±		1274
	UpdateType <UpdTp>	[1..1]	±		1275
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1275
	StatementBasis <StmntBsis>	[1..1]	±		1275
	ReportNumber <RptNb>	[0..1]	Text		1275

65.1.5.3.1.1 Reference <Ref>

Presence: [1..1]

Definition: Reference of the statement.

Datatype: "Max35Text" on page 2764

65.1.5.3.1.2 StatementDateTime <StmntDtTm>

Presence: [1..1]

Definition: Date of the statement.

StatementDateTime <StmntDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.5.3.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Preparation date of the statement.

CreationDateTime <CreDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.5.3.1.4 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the statement.

Frequency <Frqcy> contains one of the following elements (see "[FrequencyCodeAndDSSCode1Choice](#)" on page 2331 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2331
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2331

65.1.5.3.1.5 UpdateType <UpdTp>

Presence: [1..1]

Definition: Indicates whether the report is complete or contains changes only.

UpdateType <UpdTp> contains one of the following elements (see "[StatementUpdateTypeCodeAndDSSCodeChoice](#)" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmntUpdTpAsCd>	[1..1]	CodeSet		2448
Or}	StatementUpdateTypeAsDSS <StmntUpdTpAsDSS>	[1..1]	±		2448

65.1.5.3.1.6 ActivityIndicator <ActvtyInd>

Presence: [1..1]

Definition: Indicates whether there is activity or information update reported in the statement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.3.1.7 StatementBasis <StmntBsis>

Presence: [1..1]

Definition: Type of balance on which the statement is prepared.

StatementBasis <StmntBsis> contains one of the following elements (see "[StatementBasisCodeAndDSSCodeChoice](#)" on page 2447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmntBsisAsCd>	[1..1]	CodeSet		2447
Or}	StatementBasisAsDSS <StmntBsisAsDSS>	[1..1]	±		2447

65.1.5.3.1.8 ReportNumber <RptNb>

Presence: [0..1]

Definition: Sequential number of the statement.

Datatype: "[Max5NumericText](#)" on page 2765

65.1.5.3.2 AccountDetails <AcctDtls>*Presence:* [0..1]*Definition:* Safekeeping or investment account of the statement that is being cancelled.**AccountDetails <AcctDtls>** contains the following **SafekeepingAccount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1276
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1276
	Name <Nm>	[0..1]	Text		1276
	Designation <Dsgnt>	[0..1]	Text		1277
	IntermediaryInformation <IntrmyInf>	[0..10]	±		1277
	AccountOwner <AcctOwnr>	[0..1]	±		1277
	AccountServicer <AcctSvcr>	[0..1]	±		1277

65.1.5.3.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.**Identification <Id>** contains one of the following elements (see "[AccountIdentificationFormatChoice](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	SimpleIdentification <SmplId>	[1..1]	±		1255
Or	IdentificationAndPurpose <IdAndPurp>	[1..1]			1256
	Identification <Id>	[1..1]	±		1256
	Purpose <Purp>	[1..1]	CodeSet		1256
Or}	IdentificationAsDSS <IdAsDSS>	[1..1]	±		1257

65.1.5.3.2.2 FungibleIndicator <Fngblnd>*Presence:* [1..1]*Definition:* Indicates whether the securities in the account are fungible, ie, interchangeable.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.3.2.3 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.5.3.2.4 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.5.3.2.5 IntermediaryInformation <IntrmyInf>

Presence: [0..10]

Definition: Party that provides services relating to financial products to investors, eg, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary11" on page 2049 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2050
	Account <Acct>	[0..1]	±		2050
{Or	Role <Role>	[0..1]	CodeSet		2050
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		2051

65.1.5.3.2.6 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.5.3.2.7 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.5.3.3 BalanceForAccount <BalForAcct>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

Impacted by: [C1 "AccruedInterestAmountSignRule"](#), [C8 "BalanceAtSafekeepingPlaceRule"](#), [C5 "AggregateQuantityGuideline"](#)

BalanceForAccount <BalForAcct> contains the following elements (see "AggregateBalanceInformation4" on page 1288 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1290
	AvailableQuantity <AvlblQty>	[0..1]	±		1290
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1290
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1291
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1291
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1292
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1292
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1292
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1293
	PriceDetails <PricDtls>	[0..*]	±	C15	1293
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1294
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1294
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1295
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1295
	AggregateQuantity <AggtQty>	[1..1]	±		1296
	AvailableQuantity <AvlblQty>	[0..1]	±		1297
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1297
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1297
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1297
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1298
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1299
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1299
	PriceDetails <PricDtls>	[0..*]	±	C15	1299
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1300
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1301

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.5.3.4 SubAccountDetails <SubAcctDtls>

Presence: [0..*]

Definition: Sub-account of the safekeeping or investment account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccountIdentification5](#)" on page 1251 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1252
	FungibleIndicator <Fngblnd>	[1..1]	Indicator		1252
	ActivityIndicator <ActvtyInd>	[1..1]	Indicator		1252
	BalanceForSubAccount <BalForSubAcct>	[0..*]	±	C1, C8, C5	1252

65.1.5.3.5 TotalValues <TtlVals>

Presence: [0..1]

Definition: Value of total holdings reported.

TotalValues <TtlVals> contains the following elements (see "[TotalValueInPageAndStatement](#)" on page 1281 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1281
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmnt>	[1..1]	Amount	C2, C10	1281

65.1.5.3.6 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65.1.5.4 TotalValueInPageAndStatement

Definition: Value of total holdings reported.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalHoldingsValueOfPage <TtlHldgsValOfPg>	[0..1]	Amount	C2, C10	1281
	TotalHoldingsValueOfStatement <TtlHldgsValOfStmt>	[1..1]	Amount	C2, C10	1281

65.1.5.4.1 TotalHoldingsValueOfPage <TtlHldgsValOfPg>

Presence: [0..1]

Definition: Total value of positions reported in this message.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.4.2 TotalHoldingsValueOfStatement <TtlHldgsValOfStmt>

Presence: [1..1]

Definition: Total value of positions reported in this statement (a statement may comprise one or more messages).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.5 AggregateBalanceInformation3

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account. A securities balance is calculated from the sum of securities' receipts minus the sum of securities' deliveries.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1283
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1283
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1283
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1283
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1284
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1284
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1284
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1285
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1285
	PriceDetails <PricDtls>	[1..*]	±	C15	1286
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1287
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1287
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1287
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]	±	C2	1288

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.5.5.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.5.2 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "[Number](#)" on page 2761

65.1.5.5.3 HoldingValue <HldgVal>

Presence: [1..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.5.4 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.5.5 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.5.6 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.5.5.7 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.5.8 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see ["SafekeepingPlaceFormatChoice"](#) on page 2332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2332
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrrtv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2333
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2333

65.1.5.5.9 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Security held on the account for which the balance is calculated.

Impacted by: [C12 "FinancialInstrumentIdentificationGuideline"](#)

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument13" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1437
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1437
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.5.5.10 PriceDetails <PricDtls>

Presence: [1..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2280
	ValueType <ValTp>	[0..1]	CodeSet		2281
{Or	Type <Tp>	[1..1]	CodeSet		2281
Or}	ExtendedType <XtnededTp>	[1..1]	Text		2282
	SourceOfPrice <SrcOfPric>	[0..1]			2282
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283
	QuotationDate <QtnDt>	[0..1]	±		2283
	Yielded <Yldd>	[0..1]	Indicator		2283

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

65.1.5.5.11 ForeignExchangeDetails <FXDtIs>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms6](#)" on page 1622 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1622
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1623
	ExchangeRate <XchgRate>	[1..1]	Rate		1623
	QuotationDate <QtnDt>	[0..1]	DateTime		1623
	QuotingInstitution <QtglInstn>	[0..1]	±		1623

65.1.5.5.12 BalanceBreakdownDetails <BalBrkdwnDtIs>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwnDtIs> contains the following elements (see "[SubBalanceInformation2](#)" on page 1304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1305
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1306
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtIs>	[0..*]	±		1307

65.1.5.5.13 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtIs>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.5.5.14 BalanceAtSafekeepingPlace <BalAtSfkpgPlc>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Impacted by: C2 "AccruedInterestAmountSignRule"

BalanceAtSafekeepingPlace <BalAtSfkpgPlc> contains the following elements (see "AggregateBalancePerSafekeepingPlace4" on page 1308 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateBalance <AggtBal>	[1..1]	±		1308
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1309
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1309
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1309
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1310
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1310
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1310
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1311
	PriceDetails <PricDtls>	[1..*]	±	C15	1311
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1312
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1312
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1313

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

65.1.5.6 AggregateBalanceInformation4

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account. A securities balance is calculated from the sum of securities' receipts minus the sum of securities' deliveries.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1290
	AvailableQuantity <AvlblQty>	[0..1]	±		1290
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1290
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1291
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1291
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1291
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1292
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1292
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1292
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C12	1293
	PriceDetails <PricDtls>	[0..*]	±	C15	1293
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1294
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1294
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1295
	BalanceAtSafekeepingPlace <BalAtSfkpgPlc>	[0..*]		C2, C6	1295
	AggregateQuantity <AggtQty>	[1..1]	±		1296
	AvailableQuantity <AvlblQty>	[0..1]	±		1297
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1297
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1297
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1297
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1298
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1299
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1299
	PriceDetails <PricDtls>	[0..*]	±	C15	1299
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1300
	BalanceBreakdownDetails <BalBrkdownDtls>	[0..*]	±		1300
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1301

Constraints

- **AccruedInterestAmountSignRule**

if AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

- **BalanceAtSafekeepingPlaceRule**

If SafekeepingPlace is present, then BalanceAtSafekeepingPlace is not allowed.

65.1.5.6.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.2 AvailableQuantity <AvlblQty>

Presence: [0..1]

Definition: Total quantity of financial instrument for the referenced holding that is available.

AvailableQuantity <AvlblQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.3 NotAvailableQuantity <NotAvlblQty>

Presence: [0..1]

Definition: Total quantity of financial instrument for the referenced holding that is not available.

NotAvailableQuantity <NotAvlblQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.4 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2761

65.1.5.6.5 HoldingValue <HldgVal>

Presence: [0..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.6 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.7 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.8 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.5.6.9 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2332
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrrtv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2333
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2333

65.1.5.6.11 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Security held on the account for which the balance is calculated.

Impacted by: C12 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument13" on page 1436 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1437
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1437
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.5.6.12 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2280
	ValueType <ValTp>	[0..1]	CodeSet		2281
{Or	Type <Tp>	[1..1]	CodeSet		2281
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2282
	SourceOfPrice <SrcOfPric>	[0..1]			2282
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283
	QuotationDate <QtnDt>	[0..1]	±		2283
	Yielded <Yldd>	[0..1]	Indicator		2283

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

65.1.5.6.13 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1622 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1622
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1623
	ExchangeRate <XchgRate>	[1..1]	Rate		1623
	QuotationDate <QtnDt>	[0..1]	DateTime		1623
	QuotingInstitution <QtgInstn>	[0..1]	±		1623

65.1.5.6.14 BalanceBreakdownDetails <BalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwDtls> contains the following elements (see "SubBalanceInformation2" on page 1304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1305
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1306
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>	[0..*]	±		1307

65.1.5.6.15 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.5.6.16 BalanceAtSafekeepingPlace <BalAtSfkpgPlc>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Impacted by: C2 "AccruedInterestAmountSignRule", C6 "AggregateQuantityGuideline"

BalanceAtSafekeepingPlace <BalAtSfkpgPlc> contains the following **AggregateBalancePerSafekeepingPlace3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateQuantity <AggtQty>	[1..1]	±		1296
	AvailableQuantity <AvlblQty>	[0..1]	±		1297
	NotAvailableQuantity <NotAvlblQty>	[0..1]	±		1297
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1297
	HoldingValue <HldgVal>	[0..*]	Amount	C3, C12	1297
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1298
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1298
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1299
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1299
	PriceDetails <PricDtls>	[0..*]	±	C15	1299
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1300
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1300
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1301

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

- **AggregateQuantityGuideline**

The AggregateQuantity should be the sum of AvailableQuantity and NotAvailableQuantity if AvailableQuantity and NotAvailableQuantity are both present.

65.1.5.6.16.1 AggregateQuantity <AggtQty>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateQuantity <AggtQty> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.16.2 AvailableQuantity <AvlblQty>*Presence:* [0..1]*Definition:* Total quantity of financial instrument for the referenced holding that is available.**AvailableQuantity <AvlblQty>** contains one of the following elements (see ["BalanceQuantity1Choice"](#) on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.16.3 NotAvailableQuantity <NotAvlblQty>*Presence:* [0..1]*Definition:* Total quantity of financial instrument for the referenced holding that is not available.**NotAvailableQuantity <NotAvlblQty>** contains one of the following elements (see ["BalanceQuantity1Choice"](#) on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.6.16.4 DaysAccrued <DaysAcrd>*Presence:* [0..1]*Definition:* Specifies the number of days used for calculating the accrued interest amount.*Datatype:* ["Number"](#) on page 2761**65.1.5.6.16.5 HoldingValue <HldgVal>***Presence:* [0..*]*Definition:* Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)*Datatype:* ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2643**Constraints**

- ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.16.6 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.16.7 AccruedInterestAmount <AcrdIntrstAmt>

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.16.8 AccruedInterestAmountSign <AcrdIntrstAmtSgn>

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.5.6.16.9 BookValue <BookVal>

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.6.16.10 SafekeepingPlace <SfkpgPlc>

Presence: [1..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2332
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrrtv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2333
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2333

65.1.5.6.16.11 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2280
	ValueType <ValTp>	[0..1]	CodeSet		2281
{Or	Type <Tp>	[1..1]	CodeSet		2281
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2282
	SourceOfPrice <SrcOfPric>	[0..1]			2282
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283
	QuotationDate <QtnDt>	[0..1]	±		2283
	Yielded <Yldd>	[0..1]	Indicator		2283

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

65.1.5.6.16.12 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1622 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1622
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1623
	ExchangeRate <XchgRate>	[1..1]	Rate		1623
	QuotationDate <QtnDt>	[0..1]	DateTime		1623
	QuotingInstitution <QtgInstn>	[0..1]	±		1623

65.1.5.6.16.13 BalanceBreakdownDetails <BalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkdwnDtls> contains the following elements (see "SubBalanceInformation2" on page 1304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1305
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1306
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1307

65.1.5.6.16.14 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.5.7 FundBalance1

Definition: Balances of units and cash derived from investment fund orders.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.5.7.1 TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>

Presence: [0..1]

Definition: Total number of units from orders placed in units.

TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.5.7.2 TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>*Presence:* [0..1]*Definition:* Number of units derived from orders placed in cash.

TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.5.7.3 TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>*Presence:* [0..1]*Definition:* Total amount of cash derived from orders placed as units.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)*Datatype:* "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.7.4 TotalCashFromCashOrders <TtlCshFrCshOrdrs>*Presence:* [0..1]*Definition:* Total amount of cash from orders placed in cash.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)*Datatype:* "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.8 SubBalanceQuantity1Choice

Definition: Choice between formats for the balance information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1303
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1303
Or}	QuantityAndAvailability <QtyAndAvlbt>	[1..1]			1303
	Quantity <Qty>	[1..1]	±		1304
	AvailabilityIndicator <AvlbtInd>	[1..1]	Indicator		1304

65.1.5.8.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantityChoice](#)" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2344
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2344

65.1.5.8.2 QuantityAsDSS <QtyAsDSS>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

QuantityAsDSS <QtyAsDSS> contains the following elements (see "[GenericIdentification6](#)" on page 1651 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651
	Balance <Bal>	[1..1]	Quantity		1651

65.1.5.8.3 QuantityAndAvailability <QtyAndAvlbt>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance and whether the balance is available.

QuantityAndAvailability <QtyAndAvlbtY> contains the following **QuantityAndAvailability** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
	AvailabilityIndicator <AvlbtYInd>	[1..1]	Indicator		1304

65.1.5.8.3.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantityChoice](#)" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2344
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2344

65.1.5.8.3.2 AvailabilityIndicator <AvlbtYInd>

Presence: [1..1]

Definition: Indicates whether the quantity of securities on the sub-balance is available.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.5.9 SubBalanceInformation2

Definition: Net position of a segregated holding of a single security within the overall position held in the securities account, eg, sub-balance per status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1305
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1306
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdownDtls>	[0..*]	±		1307

65.1.5.9.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities in the sub-balance.

Quantity <Qty> contains one of the following elements (see "[SubBalanceQuantity1Choice](#)" on page 1303 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1303
Or	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1303
Or}	QuantityAndAvailability <QtyAndAvlbt>	[1..1]			1303
	Quantity <Qty>	[1..1]	±		1304
	AvailabilityIndicator <AvlbtInd>	[1..1]	Indicator		1304

65.1.5.9.2 SubBalanceType <SubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason for the sub-balance.

Datatype: "[SecuritiesBalanceType1Code](#)" on page 2720

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only

CodeName	Name	Definition
		applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxi, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.

65.1.5.9.3 ExtendedSubBalanceType <XtndedSubBalTp>

Presence: [1..1]

Warning Either SubBalanceType or ExtendedSubBalanceType must be present, but not both.

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "Extended350Code" on page 2762

65.1.5.9.4 AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.5.10 BalanceQuantity1Choice

Definition: Choice between formats for the balance information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantityChoice" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2344
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2344

65.1.5.10.2 QuantityAsDSS <QtyAsDSS>

Presence: [1..1]

Definition: Total quantity of financial instruments of the balance.

QuantityAsDSS <QtyAsDSS> contains the following elements (see "[GenericIdentification6](#)" on page 1651 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651
	Balance <Bal>	[1..1]	Quantity		1651

65.1.5.11 AggregateBalancePerSafekeepingPlace4

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account at a specified place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AggregateBalance <AggtBal>	[1..1]	±		1308
	DaysAccrued <DaysAcrd>	[0..1]	Quantity		1309
	HoldingValue <HldgVal>	[1..*]	Amount	C3, C12	1309
	PreviousHoldingValue <PrvsHldgVal>	[0..1]	Amount	C3, C12	1309
	AccruedInterestAmount <AcrdIntrstAmt>	[0..1]	Amount	C3, C12	1310
	AccruedInterestAmountSign <AcrdIntrstAmtSgn>	[0..1]	Indicator		1310
	BookValue <BookVal>	[0..1]	Amount	C3, C12	1310
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		1311
	PriceDetails <PricDtls>	[1..*]	±	C15	1311
	ForeignExchangeDetails <FXDtls>	[0..1]	±		1312
	BalanceBreakdownDetails <BalBrkdwnDtls>	[0..*]	±		1312
	AdditionalBalanceBreakdownDetails <AddtlBalBrkdwnDtls>	[0..*]	±		1313

Constraints

- **AccruedInterestAmountSignRule**

If AccruedInterestAmount is present, then AccruedInterestAmountSign must be present. If AccruedInterestAmount is not present, then AccruedInterestAmountSign may not be present.

65.1.5.11.1 AggregateBalance <AggtBal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

AggregateBalance <AggtBal> contains one of the following elements (see "[BalanceQuantity1Choice](#)" on page 1307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		1307
Or}	QuantityAsDSS <QtyAsDSS>	[1..1]	±		1307

65.1.5.11.2 DaysAccrued <DaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "[Number](#)" on page 2761

65.1.5.11.3 HoldingValue <HldgVal>

Presence: [1..*]

Definition: Total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.11.4 PreviousHoldingValue <PrvsHldgVal>

Presence: [0..1]

Definition: Previous total value of a balance of the securities account for a specific financial instrument, expressed in one or more currencies.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.11.5 **AccruedInterestAmount <AcrdIntrstAmt>**

Presence: [0..1]

Definition: Interest amount that has accrued in between coupon payment periods.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.11.6 **AccruedInterestAmountSign <AcrdIntrstAmtSgn>**

Presence: [0..1]

Definition: Indicates whether the accrued interest is a positive or negative amount.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.5.11.7 **BookValue <BookVal>**

Presence: [0..1]

Definition: Value of a security, as booked in an account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.5.11.8 SafekeepingPlace <SfkpgPlc>

Presence: [1..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormatChoice" on page 2332 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2332
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrrtv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2333
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2333

65.1.5.11.9 PriceDetails <PricDtls>

Presence: [1..*]

Definition: Price of the financial instrument in one or more currencies.

Impacted by: C15 "ValueRule"

PriceDetails <PricDtls> contains the following elements (see "PriceInformation2" on page 2280 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2280
	ValueType <ValTp>	[0..1]	CodeSet		2281
{Or	Type <Tp>	[1..1]	CodeSet		2281
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2282
	SourceOfPrice <SrcOfPric>	[0..1]			2282
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283
	QuotationDate <QtnDt>	[0..1]	±		2283
	Yielded <Yldd>	[0..1]	Indicator		2283

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

65.1.5.11.10 ForeignExchangeDetails <FXDtls>

Presence: [0..1]

Definition: Currency exchange related to a securities order.

ForeignExchangeDetails <FXDtls> contains the following elements (see "ForeignExchangeTerms6" on page 1622 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1622
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1623
	ExchangeRate <XchgRate>	[1..1]	Rate		1623
	QuotationDate <QtnDt>	[0..1]	DateTime		1623
	QuotingInstitution <QtgInstn>	[0..1]	±		1623

65.1.5.11.11 BalanceBreakdownDetails <BalBrkdwDtls>

Presence: [0..*]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, eg, sub-balance per status.

BalanceBreakdownDetails <BalBrkDwnDtls> contains the following elements (see "SubBalanceInformation2" on page 1304 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1304
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1305
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1306
	AdditionalBalanceBreakdownDetails <AddtlBalBrkDwnDtls>	[0..*]	±		1307

65.1.5.11.12 AdditionalBalanceBreakdownDetails <AddtlBalBrkDwnDtls>

Presence: [0..*]

Definition: Net position of a segregated holding, in a single security, within the overall position held in a securities account.

AdditionalBalanceBreakdownDetails <AddtlBalBrkDwnDtls> contains the following elements (see "AdditionalBalanceInformation2" on page 1263 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1263
{Or	SubBalanceType <SubBalTp>	[1..1]	CodeSet		1263
Or}	ExtendedSubBalanceType <XtndedSubBalTp>	[1..1]	Text		1264

65.1.6 Cancellation Right

65.1.6.1 DateFormat1Choice

Definition: Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1313
Or	Code <Cd>	[1..1]	CodeSet		1313
Or}	DateTime <DtTm>	[1..1]	DateTime		1314

65.1.6.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 2749

65.1.6.1.2 Code <Cd>

Presence: [1..1]

Definition: Date expressed as a code.

Datatype: "SettlementDate1Code" on page 2722

CodeName	Name	Definition
ASAP	AsSoonAsPossible	Transfer is to be effected as soon as possible.
ENDC	AtEndOfContract	Transfer is to be effected at the end of the contract.
WHIF	WhenAndIfIssued	Settlement takes place when the financial instrument is issued by the issuer.

65.1.6.1.3 DateTime <DtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date and time.

Datatype: "ISODatetime" on page 2749

65.1.7 Cash

65.1.7.1 CashInForecast6

Definition: Cash movements into a fund as a result of investment funds transactions, eg, subscriptions or switch-in.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1314
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1315

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.1.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.7.1.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.1.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.1.4 ExceptionalCashFlowIndicator <XcptnCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.7.1.5 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.7.2 FundDetailedConfirmedCashForecastReport3

Definition: Information about a cash forecast report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..1]	±	C14, C15, C16	1317
	FundCashForecastDetails <FndCshFcstDtls>	[1..*]		C11	1319
	Identification <Id>	[1..1]	Text		1320
	TradeDateTime <TradDtTm>	[1..1]	±		1320
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1320
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1320
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1321
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1321
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1322
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1322
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1322
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1322
	CurrencyStatus <CcySts>	[0..1]	±		1323
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1323
	Price <Pric>	[0..1]	±		1323
	ForeignExchangeRate <FXRate>	[0..1]	±		1323
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1324
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1324
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1325
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1326
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1327
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1328
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1329
	Extension <Xtnsn>	[0..*]	±	C16	1329

65.1.7.2.1 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..1]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: C14 "FundIdentification1Rule", C15 "FundIdentification2Rule", C16 "FundIdentification3Rule"

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund4" on page 1799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1801
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1801
	Identification <Id>	[0..1]			1801
	Identification <Id>	[1..1]	Text		1801
	Type <Tp>	[1..1]			1801
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1801
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1802
	Currency <Ccy>	[0..1]	CodeSet	C3	1802
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1802
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1803
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1803
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1803
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1803

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

• FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present
```

65.1.7.2.2 FundCashForecastDetails <FndCshFcstDtls>

Presence: [1..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of transactions in shares in an investment fund, for example, subscriptions, redemptions or switches. The information provided is sorted by pre-defined criteria such as country, institution, currency or user defined criteria.

Impacted by: C11 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1320
	TradeDateTime <TradDtTm>	[1..1]	±		1320
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1320
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1320
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1321
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1321
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1322
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1322
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1322
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1322
	CurrencyStatus <CcySts>	[0..1]	±		1323
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1323
	Price <Pric>	[0..1]	±		1323
	ForeignExchangeRate <FXRate>	[0..1]	±		1323
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1324
	BreakdownByParty <BrkdwnByPty>	[0..*]	±		1324
	BreakdownByCountry <BrkdwnByCtry>	[0..*]	±		1325
	BreakdownByCurrency <BrkdwnByCcy>	[0..*]	±		1326
	BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>	[0..*]	±	C17	1327
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1328

Constraints

- ExceptionalCashFlowIndicatorRule**

If ExceptionalNetCashFlowIndicator is "true" or "1" (Yes), then at least one or more of the following must be present:

BreakdownByParty/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByParty/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCountry/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByCurrency/CashOutForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashInForecast/ExceptionalCashFlowIndicator, or

BreakdownByUserDefinedParameter/CashOutForecast/ExceptionalCashFlowIndicator.

65.1.7.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

65.1.7.2.2.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.7.2.2.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.7.2.2.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which the cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVVCcy>	[0..1]	CodeSet	C3	1606
	ClassType <ClsTp>	[0..1]	Text		1607
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.7.2.2.5 TotalNAV <TtlnAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.2.2.6 PreviousTotalNAV <PrvsTtlnAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.2.2.7 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.2.2.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.2.2.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "PercentageRate" on page 2762

65.1.7.2.2.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.7.2.2.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

65.1.7.2.2.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.7.2.2.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

65.1.7.2.2.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

65.1.7.2.2.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttlnAV>

Presence: [0..1]

Definition: Net cash flow expressed as a percentage of the total NAV for the share class.

Datatype: "[PercentageRate](#)" on page 2762

65.1.7.2.2.16 BreakdownByParty <BrkdwnByPty>

Presence: [0..*]

Definition: Cash flow by party.

BreakdownByParty <BrkdwnByPty> contains the following elements (see "BreakdownByParty3" on page 1998 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1999
	AccountIdentification <AcctId>	[0..1]	±		2000
	OwnerIdentification <OwnrId>	[0..1]	±		2000
	AccountServicer <AcctSvcr>	[0..1]	±		2000
	AdditionalParameters <AddtlParams>	[0..1]	±		2001
	CashInForecast <CshInFcst>	[0..*]		C5	2001
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2002
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2002
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2002
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2002
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		2003
	AdditionalBalance <AddtlBal>	[0..1]	±		2003
	CashOutForecast <CshOutFcst>	[0..*]		C6	2003
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2004
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2004
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2005
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2005
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		2005
	AdditionalBalance <AddtlBal>	[0..1]	±		2006
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	2006

65.1.7.2.2.17 BreakdownByCountry <BrkdwnByCtry>

Presence: [0..*]

Definition: Cash flow by country.

BreakdownByCountry <BrkdwnByCtry> contains the following elements (see "BreakdownByCountry2" on page 1991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1992
	CashInForecast <CshInFcst>	[0..*]		C5	1992
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1993
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1993
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1994
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1994
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1994
	AdditionalBalance <AddtlBal>	[0..1]	±		1994
	CashOutForecast <CshOutFcst>	[0..*]		C6	1995
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1996
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1996
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1996
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1996
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1996
	AdditionalBalance <AddtlBal>	[0..1]	±		1997
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1997

65.1.7.2.2.18 BreakdownByCurrency <BrkdwnByCcy>

Presence: [0..*]

Definition: Cash flow by currency.

BreakdownByCurrency <BrkdwnByCcy> contains the following elements (see "BreakdownByCurrency2" on page 1984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1985
	CashOutForecast <CshOutFcst>	[0..*]		C6	1985
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1986
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1986
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1987
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1987
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1987
	AdditionalBalance <AddtlBal>	[0..1]	±		1987
	CashInForecast <CshInFcst>	[0..*]		C5	1988
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1989
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1989
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1989
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1989
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1989
	AdditionalBalance <AddtlBal>	[0..1]	±		1990
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1990

65.1.7.2.2.19 BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam>

Presence: [0..*]

Definition: Cash flow by a user defined parameter/s.

Impacted by: C17 "UserDefinedRule"

BreakdownByUserDefinedParameter <BrkdwnByUsrDfndParam> contains the following elements (see "BreakdownByUserDefinedParameter3" on page 1975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1976
	AccountIdentification <AcctId>	[0..1]	±		1977
	OwnerIdentification <OwnrId>	[0..1]	±		1977
	AccountServicer <AcctSvcr>	[0..1]	±		1978
	Country <Ctry>	[0..1]	CodeSet	C11	1978
	Currency <Ccy>	[0..1]	CodeSet	C3	1978
	UserDefined <UsrDfnd>	[0..1]	±		1978
	CashInForecast <CshInFcst>	[0..*]		C5	1979
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1979
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1979
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1980
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1980
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1980
	AdditionalBalance <AddtlBal>	[0..1]	±		1981
	CashOutForecast <CshOutFcst>	[0..*]		C6	1981
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1982
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1982
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1983
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1983
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1983
	AdditionalBalance <AddtlBal>	[0..1]	±		1983
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1984

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.

More than one element in the list (Party, Country, Currency, UserDefined) may be present.

65.1.7.2.2.20 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash movements per financial instrument.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.2.3 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

65.1.7.2.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "[Extension1](#)" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65.1.7.3 FundConfirmedCashForecastReport3

Definition: Information about a cash forecast report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FundOrSubFundDetails <FndOrSubFndDtls>	[0..*]	±	C13, C14, C15	1332
	FundCashForecastDetails <FndCshFcstDtls>	[0..*]		C10	1334
	Identification <Id>	[1..1]	Text		1335
	TradeDateTime <TradDtTm>	[1..1]	±		1335
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1336
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1336
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1337
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1337
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1337
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1338
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1338
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1338
	CurrencyStatus <CcySts>	[0..1]	±		1338
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1339
	Price <Pric>	[0..1]	±		1339
	ForeignExchangeRate <FXRate>	[0..1]	±		1339
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1339
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1340
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1340
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1341
	ConsolidatedNetCashForecast <CnsltdNetCshFcst>	[0..1]	±		1342
	Extension <Xtnsn>	[0..*]	±	C16	1342

Constraints

• ConsolidatedNetCashRule

If ConsolidatedNetCashForecast is present, then at least one occurrence of either FundOrSubFundDetails or FundCashForecastDetails must be present. Both FundOrSubFundDetails and FundCashForecastDetails may be present.

On Condition

/ConsolidatedNetCashForecast is present

Following Must be True

/FundOrSubFundDetails[*] Must be present

Or /FundCashForecastDetails[*] Must be present

- **FundOrSubFundAndShareClassGuideline**

When using the message to provide cash in and cash out amounts for more than one fund/sub fund, and more than one share classes, (two or more FundOrSubFundDetails sequences and two or more FundCashForecastDetails sequences and used), in this usage there is no way to determine which share class belongs to which fund/sub fund from the message content itself, which may not be desirable and this type of usage should be bilaterally agreed.

- **PreviousTradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/PreviousTradeDateTime is not allowed.

```
On Condition
    /FundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/PreviousTradeDateTime Must be absent
```

- **TradeDate1Rule**

If any occurrence of FundCashForecastDetails is present, then any occurrence of FundOrSubFundDetails/TradeDateTime is not allowed.

```
On Condition
    /FundCashForecastDetails[*] is present
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be absent
```

- **TradeDate2Rule**

If FundCashForecastDetails is not present, then at least one occurrence of FundOrSubFundDetails/TradeDateTime must be present.

```
On Condition
    /FundCashForecastDetails[*] is absent
Following Must be True
    /FundOrSubFundDetails[*]/TradeDateTime Must be present
```

65.1.7.3.1 FundOrSubFundDetails <FndOrSubFndDtls>

Presence: [0..*]

Definition: Information about the fund/sub fund when the report either specifies cash flow for the fund/sub fund or for a share class of the fund/sub fund.

Impacted by: C13 "FundIdentification1Rule", C14 "FundIdentification2Rule", C15 "FundIdentification3Rule"

FundOrSubFundDetails <FndOrSubFndDtls> contains the following elements (see "Fund2" on page 1783 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1785
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1785
	Identification <Id>	[0..1]			1785
	Identification <Id>	[1..1]	Text		1785
	Type <Tp>	[1..1]			1786
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1786
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1786
	Currency <Ccy>	[0..1]	CodeSet	C3	1786
	TradeDateTime <TradDtTm>	[0..1]	±		1786
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1787
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1787
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1787
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1788
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1788
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1788
	CashInForecastDetails <CshInFcstDtls>	[0..*]			1788
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1789
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]			1789
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1790
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1790
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1790
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1791
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1791

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present

```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent

```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present

```

65.1.7.3.2 FundCashForecastDetails <FndCshFcstDtls>

Presence: [0..*]

Definition: Information related to the cash-in and cash-out flows for a specific trade date as a result of investment fund transactions, for example, subscriptions, redemptions or switches to/from a specified investment fund.

Impacted by: C10 "ExceptionalCashFlowIndicatorRule"

FundCashForecastDetails <FndCshFcstDtls> contains the following **FundCashForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1335
	TradeDateTime <TradDtTm>	[1..1]	±		1335
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1336
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C13	1336
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	1337
	PreviousTotalNAV <PrvsTtlNAV>	[0..*]	Amount	C3, C12	1337
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1337
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1338
	TotalNAVChangeRate <TtlNAVChngRate>	[0..1]	Rate		1338
	InvestmentCurrency <InvstmtCcy>	[0..*]	CodeSet	C3	1338
	CurrencyStatus <CcySts>	[0..1]	±		1338
	ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>	[1..1]	Indicator		1339
	Price <Pric>	[0..1]	±		1339
	ForeignExchangeRate <FXRate>	[0..1]	±		1339
	PercentageOfShareClassTotalNAV <PctgOfShrClsTtlNAV>	[0..1]	Rate		1339
	CashInForecastDetails <CshInFcstDtls>	[0..*]	±	C3	1340
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]	±	C4	1340
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]	±	C7	1341

Constraints

- **ExceptionalCashFlowIndicatorRule**

If Exceptional NetCashFlow Indicator is "true" or "1" (Yes), then CashInForecastDetails/ ExceptionalCashFlowIndicator and CashOutForecastDetails/ ExceptionalCashFlowIndicator must be present.

65.1.7.3.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique technical identifier for an instance of a fund cash forecast within a fund cash forecast report as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

65.1.7.3.2.2 TradeDateTime <TradDtTm>

Presence: [1..1]

Definition: Date and, if required, the time, at which the price has been applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.7.3.2.3 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.7.3.2.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class to which a cash flow is related.

Impacted by: C13 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument9" on page 1604 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1606
	ClassType <ClssTp>	[0..1]	Text		1607
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.7.3.2.5 TotalNAV <TtINAV>

Presence: [0..*]

Definition: Total value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.3.2.6 PreviousTotalNAV <PrvsTtINAV>

Presence: [0..*]

Definition: Previous value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.3.2.7 TotalUnitsNumber <TtIUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.3.2.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of investment fund class units that have been issued.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.3.2.9 TotalNAVChangeRate <TtlNAVChngRate>

Presence: [0..1]

Definition: Rate of change of the net asset value.

Datatype: "PercentageRate" on page 2762

65.1.7.3.2.10 InvestmentCurrency <InvstmtCcy>

Presence: [0..*]

Definition: Currency of the investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.7.3.2.11 CurrencyStatus <CcySts>

Presence: [0..1]

Definition: Information about the designation of the share class currency, that is, whether it is for onshore or offshore purposes and other information that may be required. This is typically only required for CNY funds.

CurrencyStatus <CcySts> contains the following elements (see "[CurrencyDesignation1](#)" on page 1943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

65.1.7.3.2.12 ExceptionalNetCashFlowIndicator <XcptnlNetCshFlowInd>

Presence: [1..1]

Definition: Indicates whether the net cash flow is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.7.3.2.13 Price <Pric>

Presence: [0..1]

Definition: Price per unit of the trade date.

Price <Pric> contains the following elements (see "[UnitPrice19](#)" on page 2305 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

65.1.7.3.2.14 ForeignExchangeRate <FXRate>

Presence: [0..1]

Definition: Foreign exchange rate.

ForeignExchangeRate <FXRate> contains the following elements (see "[ForeignExchangeTerms19](#)" on page 1628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

65.1.7.3.2.15 PercentageOfShareClassTotalNAV <PctgOfShrClssttNAV>

Presence: [0..1]

Definition: Net cash flow expressed as a percentage of the total NAV for the share class.

Datatype: "PercentageRate" on page 2762

65.1.7.3.2.16 CashInForecastDetails <CshInFcstDtls>

Presence: [0..*]

Definition: Cash movements into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C3 "AdditionalBalanceRule"

CashInForecastDetails <CshInFcstDtls> contains the following elements (see "CashInForecast6" on page 1314 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1314
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1315
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1315
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1315
	AdditionalBalance <AddtlBal>	[0..1]	±		1315

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.3.2.17 CashOutForecastDetails <CshOutFcstDtls>

Presence: [0..*]

Definition: Cash movements out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C4 "AdditionalBalanceRule"

CashOutForecastDetails <CshOutFcstDtls> contains the following elements (see "CashOutForecast6" on page 1345 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1346
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1346
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1347
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1347
	AdditionalBalance <AddtlBal>	[0..1]	±		1347

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.3.2.18 NetCashForecastDetails <NetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecastDetails <NetCshFcstDtls> contains the following elements (see "NetCashForecast4" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.3.3 ConsolidatedNetCashForecast <CnsltdNetCshFcst>

Presence: [0..1]

Definition: Estimated net cash as a result of the cash-in and cash-out flows.

ConsolidatedNetCashForecast <CnsltdNetCshFcst> contains the following elements (see "NetCashForecast3" on page 1342 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

65.1.7.3.4 Extension <Xtnsn>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C16 "ExtensionRule"

Extension <Xtnsn> contains the following elements (see "Extension1" on page 1940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65.1.7.4 NetCashForecast3

Definition: Net cash movement to a fund as a result of investment funds transactions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1343
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1343
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1343

65.1.7.4.1 NetAmount <NetAmt>*Presence:* [0..1]*Definition:* Net amount of the cash flow, expressed as an amount of money.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.4.2 NetUnitsNumber <NetUnitsNb>*Presence:* [0..1]*Definition:* Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.4.3 FlowDirection <FlowDrctn>*Presence:* [1..1]*Definition:* Specifies the direction of the cash flow from the perspective of the fund.*Datatype:* "FlowDirectionType1Code" on page 2673

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

65.1.7.5 NetCashForecast4*Definition:* Net cash movement to a fund as a result of investment funds transactions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.5.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.7.5.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Net amount of the cash flow, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.5.3 NetUnitsNumber <NetUnitsNb>*Presence:* [0..1]*Definition:* Net amount, expressed as a number of units.**NetUnitsNumber <NetUnitsNb>** contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.5.4 FlowDirection <FlowDrctn>*Presence:* [1..1]*Definition:* Specifies the direction of the cash flow from the perspective of the fund.*Datatype:* "[FlowDirectionType1Code](#)" on page 2673

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

65.1.7.5.5 AdditionalBalance <AddtlBal>*Presence:* [0..1]*Definition:* Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "[FundBalance1](#)" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.7.6 CashOutForecast6*Definition:* Cash movements out of a fund as a result of investment funds transactions, eg, redemptions or switch-out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1346
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1346
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1347
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1347
	AdditionalBalance <AddtlBal>	[0..1]	±		1347

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.7.6.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.7.6.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.7.6.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow out, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.7.6.4 ExceptionalCashFlowIndicator <XcptnCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the cash flow out is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.7.6.5 AdditionalBalance <AddtlBal>*Presence:* [0..1]*Definition:* Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.8 Charge**65.1.8.1 ChargePaymentMethod1Choice***Definition:* Choice of formats for the specification of the charge payment method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1348
Or}	Proprietary <Prtry>	[1..1]	±		1348

65.1.8.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Charge payment method expressed as a code.*Datatype:* "ChargePaymentMethod1Code" on page 2655

CodeName	Name	Definition
CASH	Cash	Payment is with cash.
UNIT	Unit	Units are redeemed to cover payment.

65.1.8.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Charge payment method expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.2 TotalFeesAndTaxes40*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.8.2.1 TotalOverheadApplied <TtlOvrhdApId>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.2.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.2.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.2.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.8.2.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C6 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee2" on page 1381 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1382
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1383
	Basis <Bsis>	[0..1]			1383
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or}	Proprietary <Prtry>	[1..1]	±		1384
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1384
	StandardRate <StdRate>	[0..1]	Rate		1385
	DiscountDetails <DscntDtls>	[0..1]		C14	1385
	Amount <Amt>	[0..1]	Amount	C2, C10	1385
	Rate <Rate>	[0..1]	Rate		1386
	Basis <Bsis>	[0..1]			1386
{Or	Code <Cd>	[1..1]	CodeSet		1386
Or}	Proprietary <Prtry>	[1..1]	±		1387
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1387
	AppliedRate <ApldRate>	[0..1]	Rate		1387
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1387
	RecipientIdentification <RcptId>	[0..1]	±		1387
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1388

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

65.1.8.2.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax31" on page 2566 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2567
{Or	Code <Cd>	[1..1]	CodeSet		2567
Or}	Proprietary <Prtry>	[1..1]	±		2569
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2569
	AppliedRate <ApldRate>	[0..1]	Rate		2569
	Country <Ctry>	[0..1]	CodeSet	C11	2569
	RecipientIdentification <RcptId>	[0..1]	±		2570
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2570
	Basis <Bsis>	[0..1]			2570
{Or	Code <Cd>	[1..1]	CodeSet		2570
Or}	Proprietary <Prtry>	[1..1]	±		2571
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2571

65.1.8.3 FeeAndTax1

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1352
	IndividualFee <IndvFee>	[0..*]	±	C15, C30, C31	1352
	IndividualTax <IndvTax>	[0..*]	±		1354

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.8.3.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.8.3.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C15 "FeeElementRule", C30 "StandardFeeAmountCalculation", C31 "StandardFeeRateCalculation"

IndividualFee <IndvFee> contains the following elements (see "Fee1" on page 1388 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1389
{Or	Code <Cd>	[1..1]	CodeSet		1390
Or}	Proprietary <Prtry>	[1..1]	±		1391
	Basis <Bsis>	[0..1]			1391
{Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	Proprietary <Prtry>	[1..1]	±		1392
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1392
	StandardRate <StdRate>	[0..1]	Rate		1392
	DiscountDetails <DscntDtls>	[0..1]		C14	1392
	Amount <Amt>	[0..1]	Amount	C2, C10	1393
	Rate <Rate>	[0..1]	Rate		1394
	Basis <Bsis>	[0..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1394
Or}	Proprietary <Prtry>	[1..1]	±		1394
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1395
	RequestedRate <ReqdRate>	[0..1]	Rate		1395
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1395
	RecipientIdentification <RcptId>	[0..1]	±		1395

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

65.1.8.3.3 IndividualTax <IndvTax>*Presence:* [0..*]*Definition:* Individual tax amount.**IndividualTax <IndvTax>** contains the following elements (see "Tax30" on page 2571 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2572
{Or	Code <Cd>	[1..1]	CodeSet		2572
Or}	Proprietary <Prtry>	[1..1]	±		2574
	Tax <Tax>	[0..1]			2574
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2574
Or}	Rate <Rate>	[1..1]	Rate		2575
	Country <Ctry>	[0..1]	CodeSet	C11	2575
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2575
	ExemptionReason <XmptnRsn>	[0..1]			2575
{Or	Code <Cd>	[1..1]	CodeSet		2575
Or}	Proprietary <Prtry>	[1..1]	±		2578
	RecipientIdentification <RcptId>	[0..1]	±		2579
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2579
	Basis <Bsis>	[1..1]			2579
{Or	Code <Cd>	[1..1]	CodeSet		2579
Or}	Proprietary <Prtry>	[1..1]	±		2580

65.1.8.4 CostsAndCharges¹*Definition:* Costs and charges associated with the distribution or selling of a financial instrument. These may be one-off or recurring charges. These may be intended (ex ante) or actual (ex post).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExAnteReferenceDate <ExAnteRefDt>	[0..1]	Date		1355
	ExPostReferenceDate <ExPstRefDt>	[0..1]	Date		1356
	IndividualCostOrCharge <IndvCostOrChrg>	[1..*]		C17, C18	1356
	CostType <CostTp>	[1..1]			1356
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1362
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1362
	Amount <Amt>	[0..1]	Amount	C2	1362
	Rate <Rate>	[0..1]	Rate		1363
	CalculationPeriod <ClctnPrd>	[0..1]			1363
	StartDate <StartDt>	[1..1]	Date		1363
	EndDate <EndDt>	[1..1]	Date		1363
	AdditionalInformation <AddtlInf>	[0..1]	±		1363
	AdditionalInformation <AddtlInf>	[0..1]	±		1364

Constraints

- **EMTGeneralGuideline**

In the European MiFID Template (EMT) v1, the elements ExAnteReferenceDate, ExPostReferenceDate,

IndividualCostOrCharge/CostType/Code/GEAR, IndividualCostOrCharge/CostType/Code/PERF, IndividualCostOrCharge/CostType/Code/PERS, IndividualCostOrCharge/CostType/Code/NETO and IndividualCostOrCharge/CostType/Code/REFV are not supported.

- **ExAnteReferenceDateGuideline**

The ex-ante reference date should not be confused with the reporting date as specified in the European MiFID Template (EMT) reference 00050.

- **IndividualCostAndCharge1Guideline**

If ExAnteReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Ante costs

- **IndividualCostAndCharge2Guideline**

If ExPostReferenceDate is present, then all repetitions of IndividualCostOrCharge must specify Ex Post costs

65.1.8.4.1 ExAnteReferenceDate <ExAnteRefDt>

Presence: [0..1]

Definition: Reference date applicable to all ex ante cost and charge disclosures. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07160.

Datatype: "ISODate" on page 2749

65.1.8.4.2 ExPostReferenceDate <ExPstRefDt>

Presence: [0..1]

Definition: Reference date applicable to all ex post cost and charge disclosures. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08120.

Datatype: "ISODate" on page 2749

65.1.8.4.3 IndividualCostOrCharge <IndvCostOrChrg>

Presence: [1..*]

Definition: Individual cost or charge associated with the distribution of selling of the financial instrument. This may be one-off or recurring. This may be ex ante (intended) or post ante (actual).

Impacted by: C17 "ExAnteOrExPost1Guideline", C18 "ExAnteOrExPost2Guideline"

IndividualCostOrCharge <IndvCostOrChrg> contains the following **IndividualCostOrCharge1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CostType <CostTp>	[1..1]			1356
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1362
	ExAnteOrExPost <ExAnteOrExPst>	[1..1]	CodeSet		1362
	Amount <Amt>	[0..1]	Amount	C2	1362
	Rate <Rate>	[0..1]	Rate		1363
	CalculationPeriod <ClctnPrd>	[0..1]			1363
	StartDate <StartDt>	[1..1]	Date		1363
	EndDate <EndDt>	[1..1]	Date		1363
	AdditionalInformation <AddtlInf>	[0..1]	±		1363

Constraints

- **ExAnteOrExPost1Guideline**

If ExAnteOrExPost contains ANTE then CostType must be an ex ante cost or charge.

- **ExAnteOrExPost2Guideline**

If ExAnteOrExPost contains POST then CostType must be an ex post cost or charge.

65.1.8.4.3.1 CostType <CostTp>

Presence: [1..1]

Definition: Type of cost or charge.

CostType <CostTp> contains one of the following **ChargeType7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1357
Or}	Proprietary <Prtry>	[1..1]	±		1362

65.1.8.4.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Cost or charge expressed as a code.

Datatype: "InvestmentFundMiFIDFee1Code" on page 2682

CodeName	Name	Definition
FEND	GrossOneOffMaximumEntryCostNonAcquired	Gross one-off maximum entry cost not acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is a percentage of the amount to be invested. This fee is paid by the investor to the fund or fund management company. This is indicative and should be adapted by the distributor according to the agreement with the asset manager. In the European MiFID Template (EMT) v1, this is known as 'one-off entry cost' and exchange traded funds are excluded.
DIST	DistributionFee	Distribution fee. This fee is included in the total on going costs and is not included in the management fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07120 and 08060 and this a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).
GEAR	GearingCost	Financing costs related to borrowing for the purposes of gearing expressed as a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07135 and 08075.
FES3	GrossOneOffEntryCostStructured	Gross one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08010 and may be specified as an actual amount or a percentage of the reference value, EMT reference 08110, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of

CodeName	Name	Definition
		the product price by distributors that is out of control of the manufacturer.
FES2	GrossOneOffMaximumEntryCostStructured	<p>Gross maximum one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is the 'ask price' or 'fair value'. It may be specified as an actual amount or a percentage of the reference value, EMT reference 07150, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that are out of control of the manufacturer.</p> <p>In EMT v1, this is known as 'one-off entry cost' and should only incorporate manufacturer costs, that is the cost of the financial instrument, and not a distribution fee taken upfront.</p>
GOCF	GrossOngoingCosts	Gross on-going costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is a percentage of the NAV or the reference value, EMT reference 07150, of the financial product, expressed in annualised terms (rate of cost deduction to be applied). This includes management fees and distribution fees and is expressed as a percentage of the NAV of the financial instrument per annum.
GOCS	GrossOngoingCostsStructured	Gross on-going costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is expressed as a percentage of the NAV or currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150.
INCF	IncidentalCosts	<p>Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) v2 reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110.</p> <p>In EMT v1, this is not supported.</p>

CodeName	Name	Definition
INCS	IncidentalCostsStructured	Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110. Includes performance fees and other costs.
MANF	ManagementFee	Management fee paid to an investment manager for services. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is a percentage of the NAV or reference value (EMT reference 07150 and 08110) of the financial product expressed in annualised terms (rate of cost deduction to be applied). These fees are included in total ongoing costs and are fees used by distributors to calculate retrocession fees.
MANS	ManagementFeeStructured	Management fee for a structured. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150.
NETO	NetOneOffEntryCostStructured	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025 and 08015 and is minus the upfront distribution fee. The cost reported is a net disclosure and therefore the upfront distributor fee is equal to the difference between the one-off entry cost (EMT reference 07020 and 08010) and the net one-off entry (EMT reference 07025 and 08010). In EMT v1, this is not supported.
OOES	OneOffExitCostPriorStructured	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150.
BENS	OneOffExitCostStructured	One off exit cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08020 and

CodeName	Name	Definition
		when expressed as a percentage, this is a percentage of the reference value, EMT reference 08110.
ENAC	OneOffMaximumEntryCostAcquired	Maximum cost of the one-off entry fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07040 and is the subscription fees acquired to the fund. It is not included in the one off entry cost 07020. This maximum one-off entry cost acquired to the fund is specified as a percentage of the amount to be invested.
ENFX	OneOffMaximumEntryCostFixedAmountItaly	Maximum one-off entry cost fixed amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07030 and is a flat fee defined by the fund or fund management company. This is indicative and should be adapted by the distributor according to the relationship between the fund and the distribution. This cost is not taken into account in the gross one-off maximum entry cost (FEND), EMT reference 07020.
BEND	OneOffMaximumExitCost	Maximum cost of the one-off exit fee not acquired to the fund that could happen at a certain time during the life of the product. This is a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07050.
EXAC	OneOffMaximumExitCostAcquired	Maximum cost of the one-off exit fee acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07070. It is not included in the one off maximum exit cost EMT reference 07050. This is paid by the investor to the fund or fund management company. This is a percentage of the NAV.
ENBX	OneOffMaximumExitCostFixedAmountItaly	Maximum one-off exit cost, a maximum fixed amount per redemption. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07060 and is flat fee defined by the fund or fund management company. It is indicative and should be adapted distributor according to the relationship between the fund and the distribution. This cost is not taken into account in the one-off maximum exit cost EMT reference 07050.
PENO	OneOffTypicalExitCost	One-off typical exit current exit cost linked to the recommended holding period (RHP) or time to maturity. When used in reference to MiFID, this is in the scope of the European MiFID Template

CodeName	Name	Definition
		<p>(EMT) reference 07080 and are the 'normal' fees if the client holds the product until maturity or during the RHP.</p> <p>Example: a product with a 5 year maturity has exit costs of:</p> <ul style="list-style-type: none"> - 4% after 1 and 2 years - 3% after 3 years - 1% after 4 years - 0 at maturity <p>Then maximum exit cost: 4%.</p> <p>Typical cost : 0</p> <p>Typical cost : 0</p>
OTES	OneOffTypicalExitCostsStructured	One-off typical exit cost linked to the recommended holding period (RHP) for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07080 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150.
OCAS	OngoingCostsAccumulatedStructured	Sum of daily recurring product costs accumulated during the year until the ex post reference date. This is typically specified as an actual amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08040.
PERF	PerformanceFee	<p>Performance fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07145 and 08085 and is a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied). This fee is included in the total incidental costs.</p> <p>In EMT v1, this is not supported.</p>
PERS	PerformanceFeeStructured	Performance fee for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07145 and 08085 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110. In EMT v1, this is not supported.

CodeName	Name	Definition
REFV	ReferenceValue	Reference value. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07150 and 08110 and is the percentage of the NAV of the financial product expressed in annualised terms (rate of cost deduction to be applied).
TRSF	TransactionCost	Cost associated with the transaction. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07130 and 08070 and this is a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).

65.1.8.4.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cost or charge expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.4.3.2 ExAnteOrExPost <ExAnteOrExPst>

Presence: [1..1]

Definition: Specifies if the cost or charge is ex ante (intended) or post ante (actual).

Datatype: "[IntendedOrActual2Code](#)" on page 2680

CodeName	Name	Definition
ANTE	ExAnte	Ex ante (intended).
POST	ExPost	Ex post (actual).

65.1.8.4.3.3 Amount <Amt>

Presence: [0..1]

Definition: Amount of the cost or charge.

Impacted by: [C2](#) "ActiveCurrency"

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.8.4.3.4 Rate <Rate>

Presence: [0..1]

Definition: Rate of the cost or charge.

Datatype: "PercentageRate" on page 2762

65.1.8.4.3.5 CalculationPeriod <ClctnPrd>

Presence: [0..1]

Definition: Start date and end date of the calculation period for the ex post cost or charge. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08090 and 08100.

CalculationPeriod <ClctnPrd> contains the following **Period15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	Date		1363
	EndDate <EndDt>	[1..1]	Date		1363

65.1.8.4.3.5.1 StartDate <StartDt>

Presence: [1..1]

Definition: Date on which the range starts.

Datatype: "ISODate" on page 2749

65.1.8.4.3.5.2 EndDate <EndDt>

Presence: [1..1]

Definition: Date on which the range ends.

Datatype: "ISODate" on page 2749

65.1.8.4.3.6 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the individual cost or charge.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.8.4.4 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about costs and charges.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.8.5 Fee5*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1365
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	±		1366
	Basis <Bsis>	[0..1]			1366
{Or	Code <Cd>	[1..1]	CodeSet		1367
Or}	Proprietary <Prtry>	[1..1]	±		1367
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1367
	StandardRate <StdRate>	[0..1]	Rate		1368
	DiscountDetails <DscntDtls>	[0..1]		C14	1368
	Amount <Amt>	[0..1]	Amount	C2, C10	1368
	Rate <Rate>	[0..1]	Rate		1369
	Basis <Bsis>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1370
	AppliedRate <ApldRate>	[0..1]	Rate		1370
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1370
	RecipientIdentification <RcptId>	[0..1]	±		1370
	InformativeIndicator <InfvInd>	[1..1]	Indicator		1371

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

65.1.8.5.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	±		1366

65.1.8.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2680

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.

CodeName	Name	Definition
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.1.8.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.5.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1367
Or}	Proprietary <Prtry>	[1..1]	±		1367

65.1.8.5.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a code.*Datatype:* "TaxationBasis5Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.8.5.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.5.3 StandardAmount <StdAmt>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.5.4 StandardRate <StdRate>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.*Datatype:* "PercentageRate" on page 2762**65.1.8.5.5 DiscountDetails <DscntDtls>***Presence:* [0..1]*Definition:* Discount or waiver applied to the fee (charge/commission).*Impacted by:* C14 "DiscountElementRule"**DiscountDetails <DscntDtls>** contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1368
	Rate <Rate>	[0..1]	Rate		1369
	Basis <Bsis>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

65.1.8.5.5.1 Amount <Amt>*Presence:* [0..1]*Definition:* Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.5.5.2 Rate <Rate>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2762

65.1.8.5.5.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate, for example, cash.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370

65.1.8.5.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2749

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

65.1.8.5.5.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.5.6 AppliedAmount <ApIdAmt>*Presence:* [0..1]*Definition:* Fee (charge/commission) amount applied to the transaction.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.5.7 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Final rate used to calculate the fee (charge/commission) amount.*Datatype:* ["PercentageRate"](#) on page 2762**65.1.8.5.8 NonStandardSLAReference <NonStdSLARef>***Presence:* [0..1]*Definition:* Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).*Datatype:* ["Max35Text"](#) on page 2764**65.1.8.5.9 RecipientIdentification <RcptId>***Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.8.5.10 InformativeIndicator <InftvInd>

Presence: [1..1]

Definition: Indicates the information is provided for information purposes only. When the value is 'false' or '0' the amount provided is taken into consideration in the transaction overhead. When the value is 'true' or '1' the amount provided is not taken into consideration in the transaction overhead.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.8.6 Fee4

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1371
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372
	Basis <Bsis>	[0..1]			1372
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373
	Amount <Amt>	[1..1]	Amount	C2, C10	1373
	DiscountDetails <DscntDtls>	[0..1]		C15	1374
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1376
	RecipientIdentification <RcptId>	[0..1]	±		1376

65.1.8.6.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee.

Type <Tp> contains one of the following **ChargeType6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372

65.1.8.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of fee expressed as a code.

Datatype: "InvestmentFundFee2Code" on page 2681

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Fees, drawdown, or other reduction from or in addition to the deal price.

65.1.8.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of fee expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.6.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee.

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373

65.1.8.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a code.

Datatype: "TaxationBasis5Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.8.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.6.3 Amount <Amt>

Presence: [1..1]

Definition: Fee amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.6.4 DiscountDetails <DscntDtls>

Presence: [0..1]

Definition: Discount or waiver applied to the fee.

Impacted by: C15 "DiscountElementRule"

DiscountDetails <DscntDtls> contains the following **ChargeOrCommissionDiscount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

65.1.8.6.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of discount or waiver.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.6.4.2 Rate <Rate>

Presence: [0..1]

Definition: Rate of discount or waiver.

Datatype: "PercentageRate" on page 2762

65.1.8.6.4.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375

65.1.8.6.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2749

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

65.1.8.6.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.6.5 ChargeBearer <ChrgBr>*Presence:* [0..1]*Definition:* Party that bears the fee.*Datatype:* "ChargeBearer1Code" on page 2654

CodeName	Name	Definition
OUR	BorneByDebtor	All transaction charges are to be borne by the debtor.
BEN	BorneByCreditor	All transaction charges are to be borne by the creditor.
SHA	Shared	Under the credit transfer scenario, transaction charges on the sender's side are to be borne by the debtor; transaction charges on the receiver's side are to be borne by the creditor.

65.1.8.6.6 RecipientIdentification <RcptId>*Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from the fee.**RecipientIdentification <RcptId>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.8.7 Fee3*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1377
{Or	Code <Cd>	[1..1]	CodeSet		1377
Or}	Proprietary <Prtry>	[1..1]	±		1379
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1379
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1379
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1379
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1380
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1380
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1380
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1381
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1381

Constraints

- **CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition

/CommercialAgreementReference is present

Following Must be True

/NewCommercialAgreementReferenceIndicator Must be present

- **FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

65.1.8.7.1 Type <Tp>

Presence: [0..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1377
Or}	Proprietary <Prtry>	[1..1]	±		1379

65.1.8.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2680

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.1.8.7.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Fee (charge/commission) expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.7.2 RepairedStandardAmount <RprdStdAmt>*Presence:* [0..1]*Definition:* Modified value of the standard fee (charge/commission) amount applied on the order (the standard fee (charge/commission) amount in the original individual order that has been repaired so that the order can be accepted).*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.7.3 RepairedStandardRate <RprdStdRate>*Presence:* [0..1]*Definition:* Modified value of the standard fee (charge/commission) rate applied on the order (the standard fee (charge/commission) rate in the original individual order that has been repaired so that the order can be accepted).*Datatype:* ["PercentageRate"](#) on page 2762**65.1.8.7.4 RepairedDiscountAmount <RprdDscntAmt>***Presence:* [0..1]*Definition:* Modified value of the discount amount applied on the order (the discount amount in the original individual order that has been repaired so that the order can be accepted).*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.7.5 RepairedDiscountRate <RprdDscntRate>

Presence: [0..1]

Definition: Modified value of the discount rate applied on the order (the discount rate in the original individual order that has been repaired so that the order can be accepted).

Datatype: "PercentageRate" on page 2762

65.1.8.7.6 RepairedRequestedAmount <RprdReqdAmt>

Presence: [0..1]

Definition: Modified value of the requested fee (charge/commission) amount applied on the order (the requested fee (charge/commission) amount in the original individual order that has been repaired so that the order can be accepted).

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.7.7 RepairedRequestedRate <RprdReqdRate>

Presence: [0..1]

Definition: Modified value of the requested fee (charge/commission) rate applied on the order (the requested fee (charge/commission) rate in the original individual order that has been repaired so that the order can be accepted).

Datatype: "PercentageRate" on page 2762

65.1.8.7.8 CommercialAgreementReference <ComrcIAgrmtRef>*Presence:* [0..1]*Definition:* Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.*Datatype:* "Max35Text" on page 2764**65.1.8.7.9 NewCommercialAgreementReferenceIndicator <NewComrcIAgrmtRefInd>***Presence:* [0..1]*Definition:* Indicates if the CommercialAgreementReference is a new reference or not.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.8.8 Fee2*Definition:* Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1382
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1383
	Basis <Bsis>	[0..1]			1383
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or}	Proprietary <Prtry>	[1..1]	±		1384
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1384
	StandardRate <StdRate>	[0..1]	Rate		1385
	DiscountDetails <DscntDtls>	[0..1]		C14	1385
	Amount <Amt>	[0..1]	Amount	C2, C10	1385
	Rate <Rate>	[0..1]	Rate		1386
	Basis <Bsis>	[0..1]			1386
{Or	Code <Cd>	[1..1]	CodeSet		1386
Or}	Proprietary <Prtry>	[1..1]	±		1387
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1387
	AppliedRate <ApldRate>	[0..1]	Rate		1387
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1387
	RecipientIdentification <RcptId>	[0..1]	±		1387
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1388

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

65.1.8.8.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1382
Or}	Proprietary <Prtry>	[1..1]	±		1383

65.1.8.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2680

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.

CodeName	Name	Definition
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.1.8.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.8.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1384
Or}	Proprietary <Prtry>	[1..1]	±		1384

65.1.8.8.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a code.*Datatype:* "TaxationBasis5Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.8.8.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Fee (charge/commission) basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.8.3 StandardAmount <StdAmt>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.8.4 StandardRate <StdRate>*Presence:* [0..1]*Definition:* Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.*Datatype:* "PercentageRate" on page 2762**65.1.8.8.5 DiscountDetails <DscntDtls>***Presence:* [0..1]*Definition:* Discount or waiver applied to the fee (charge/commission).*Impacted by:* C14 "DiscountElementRule"**DiscountDetails <DscntDtls>** contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1385
	Rate <Rate>	[0..1]	Rate		1386
	Basis <Bsis>	[0..1]			1386
{Or	Code <Cd>	[1..1]	CodeSet		1386
Or}	Proprietary <Prtry>	[1..1]	±		1387

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

65.1.8.8.5.1 Amount <Amt>*Presence:* [0..1]*Definition:* Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.8.5.2 Rate <Rate>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2762

65.1.8.8.5.3 Basis <Bsis>

Presence: [0..1]

Definition: Form of the discount or rebate, for example, cash.

Basis <Bsis> contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1386
Or}	Proprietary <Prtry>	[1..1]	±		1387

65.1.8.8.5.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of discount or waiving expressed as a code.

Datatype: "WaivingInstruction1Code" on page 2749

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

65.1.8.8.5.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.8.6 AppliedAmount <ApIdAmt>*Presence:* [0..1]*Definition:* Fee (charge/commission) amount applied to the transaction.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.8.7 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Final rate used to calculate the fee (charge/commission) amount.*Datatype:* ["PercentageRate"](#) on page 2762**65.1.8.8.8 NonStandardSLAReference <NonStdSLARef>***Presence:* [0..1]*Definition:* Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).*Datatype:* ["Max35Text"](#) on page 2764**65.1.8.8.9 RecipientIdentification <RcptId>***Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.8.8.10 InformativeIndicator <InftvInd>

Presence: [1..1]

Definition: Indicates the information is provided for information purposes only. When the value is 'false' or '0' the amount provided is taken into consideration in the transaction overhead. When the value is 'true' or '1' the amount provided is not taken into consideration in the transaction overhead.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.8.9 Fee1

Definition: Amount of money associated with a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1389
{Or	Code <Cd>	[1..1]	CodeSet		1390
Or}	Proprietary <Prtry>	[1..1]	±		1391
	Basis <Bsis>	[0..1]			1391
{Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	Proprietary <Prtry>	[1..1]	±		1392
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1392
	StandardRate <StdRate>	[0..1]	Rate		1392
	DiscountDetails <DscntDtls>	[0..1]		C14	1392
	Amount <Amt>	[0..1]	Amount	C2, C10	1393
	Rate <Rate>	[0..1]	Rate		1394
	Basis <Bsis>	[0..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1394
Or}	Proprietary <Prtry>	[1..1]	±		1394
	RequestedAmount <ReqdAmt>	[0..1]	Amount	C2, C10	1395
	RequestedRate <ReqdRate>	[0..1]	Rate		1395
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1395
	RecipientIdentification <RcptId>	[0..1]	±		1395

Constraints

- **FeeElementRule**

One of the elements (Basis, StandardAmount, StandardRate, DiscountDetails, RequestedAmount, RequestedRate, NonStandardSLAReference, RecipientIdentification) must be present.

- **StandardFeeAmountCalculation**

If StandardAmount, RequestedAmount and DiscountDetails/Amount are all present, then StandardAmount minus DiscountDetails/Amount must equal RequestedAmount.

- **StandardFeeRateCalculation**

If StandardRate, RequestedRate and DiscountDetails/Rate are all present, then StandardRate minus DiscountDetails/Rate must equal RequestedRate.

65.1.8.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of fee (charge/commission).

Type <Tp> contains one of the following **ChargeType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1390
Or}	Proprietary <Prtry>	[1..1]	±		1391

65.1.8.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a code.

Datatype: "InvestmentFundFee1Code" on page 2680

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.

CodeName	Name	Definition
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.1.8.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.9.2 Basis <Bsis>

Presence: [0..1]

Definition: Method used to calculate the fee (charge/commission).

Basis <Bsis> contains one of the following **ChargeBasis2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1391
Or}	Proprietary <Prtry>	[1..1]	±		1392

65.1.8.9.2.1 Code <Cd>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a code.

Datatype: "[TaxationBasis5Code](#)" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.

CodeName	Name	Definition
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.8.9.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Fee (charge/commission) basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.9.3 StandardAmount <StdAmt>

Presence: [0..1]

Definition: Standard fee (charge/commission) amount as specified in the fund prospectus or agreed for the account.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.9.4 StandardRate <StdRate>

Presence: [0..1]

Definition: Standard fee (charge/commission) rate used to calculate the amount of the charge or fee, as specified in the fund prospectus or agreed for the account.

Datatype: "[PercentageRate](#)" on page 2762

65.1.8.9.5 DiscountDetails <DscntDtls>

Presence: [0..1]

Definition: Discount or waiver applied to the fee (charge/commission).

Impacted by: C14 "DiscountElementRule"

DiscountDetails <DscntDtls> contains the following **ChargeOrCommissionDiscount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C2, C10	1393
	Rate <Rate>	[0..1]	Rate		1394
	Basis <Bsis>	[0..1]			1394
{Or	Code <Cd>	[1..1]	CodeSet		1394
Or}	Proprietary <Prtry>	[1..1]	±		1394

Constraints

- **DiscountElementRule**

Amount Or Rate Or Basis must be present.

Following Must be True

/Amount Must be present

Or /Rate Must be present

Or /Basis Must be present

65.1.8.9.5.1 Amount <Amt>

Presence: [0..1]

Definition: Difference between the standard fee (charge/commission) amount and the applied fee (charge/commission) amount.

EXAMPLE:

Standard charge is EUR 100

Discount is EUR 30

Applied charge is EUR 70.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.9.5.2 Rate <Rate>*Presence:* [0..1]*Definition:* Difference between the standard fee (charge/commission) rate and the applied rate of the fee (charge/commission).

EXAMPLE:

Standard rate is 5%

Discount rate is 3%

Applied rate is 2%.

Datatype: "PercentageRate" on page 2762**65.1.8.9.5.3 Basis <Bsis>***Presence:* [0..1]*Definition:* Form of the discount or rebate, for example, cash.**Basis <Bsis>** contains one of the following **WaivingInstruction2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1394
Or}	Proprietary <Prtry>	[1..1]	±		1394

65.1.8.9.5.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a code.*Datatype:* "WaivingInstruction1Code" on page 2749

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

65.1.8.9.5.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of discount or waiving expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.9.6 RequestedAmount <ReqdAmt>*Presence:* [0..1]*Definition:* Requested fee (charge/commission) amount as agreed for the account.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.8.9.7 RequestedRate <ReqdRate>*Presence:* [0..1]*Definition:* Requested rate used to calculate the amount of the fee (charge/commission), as agreed for the account.*Datatype:* "PercentageRate" on page 2762**65.1.8.9.8 NonStandardSLAReference <NonStdSLARef>***Presence:* [0..1]*Definition:* Reference to a sales agreement that overrides normal processing or the Service Level Agreement (SLA), such as a fee (charge/commission).*Datatype:* "Max35Text" on page 2764**65.1.8.9.9 RecipientIdentification <RcptId>***Presence:* [0..1]*Definition:* Party entitled to the amount of money resulting from a fee (charge/commission).

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.8.10 Charge26*Definition:* Choice of formats for the type of charge.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397
	ChargeApplied <ChrgApld>	[1..1]			1397
{Or	Amount <Amt>	[1..1]	Amount	C2	1397
Or}	Rate <Rate>	[1..1]	Rate		1397

65.1.8.10.1 Type <Tp>

Presence: [1..1]

Definition: Type of charge.

Type <Tp> contains one of the following **ChargeType4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397

65.1.8.10.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of charge expressed as a code.

Datatype: "ChargeType12Code" on page 2655

CodeName	Name	Definition
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
DISC	Discount	Charge that has been reduced from the standard initial charge levied by a fund, eg, during a launch period or as negotiated by a funds supermarket / discount broker.
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, eg, Securities and Exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Charges, drawdown, or other reduction from or in addition to the deal price.

CodeName	Name	Definition
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.

65.1.8.10.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of charge expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.8.10.2 ChargeApplied <ChrgApId>

Presence: [1..1]

Definition: Charge amount or charge rate applied.

ChargeApplied <ChrgApId> contains one of the following **AmountOrRate3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1397
Or}	Rate <Rate>	[1..1]	Rate		1397

65.1.8.10.2.1 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.8.10.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the amount.

Datatype: "[PercentageRate](#)" on page 2762

65.1.9 Cheque

65.1.9.1 Cheque4

Definition: Set of characteristics related to a cheque instruction, such as cheque type or cheque number.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.9.1.1 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.10 Clearing System Member Identification

65.1.10.1 ClearingSystemMemberIdentification2Choice

Definition: Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1399
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1399
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1400
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1400
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1400
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1400
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1400
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1400
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1400
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1401
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1401
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1401
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1401
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1401
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1401
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1401
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1402
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1402
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1402
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1402
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1402
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1402
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1403

65.1.10.1.1 CHIPSUniversalIdentification <USCHU>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2753

65.1.10.1.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2757

65.1.10.1.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2755

65.1.10.1.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2760

65.1.10.1.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2753

65.1.10.1.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2759

65.1.10.1.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2754

65.1.10.1.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2757

65.1.10.1.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2758

65.1.10.1.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2756

65.1.10.1.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2751

65.1.10.1.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2752

65.1.10.1.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2759

65.1.10.1.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2754

65.1.10.1.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2759

65.1.10.1.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2759

65.1.10.1.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2755

65.1.10.1.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2754

65.1.10.1.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2758

65.1.10.1.20 IndianFinancialSystemCode <INIFSC>

Presence: [1..1]

Definition: Indian Financial System Code - identifies Indian financial institutions on the Indian local clearing system.

Datatype: "IndianFinancialSystemCodeIdentifier" on page 2755

65.1.10.1.21 HellenicBankIdentificationCode <GRHEBIC>

Presence: [1..1]

Definition: Hellenic Bank Identification Code - identifies Hellenic financial institutions on the Hellenic national clearing system.

Datatype: "HellenicBankIdentificationCodeIdentifier" on page 2754

65.1.10.1.22 PolishNationalClearingCode <PLKNR>

Presence: [1..1]

Definition: Polish National Clearing Code - identifies Polish financial institutions on the Polish national clearing system.

Datatype: "PolishNationalClearingCodeIdentifier" on page 2757

65.1.10.1.23 OtherClearingCodeIdentification <OthrClrCld>*Presence:* [1..1]*Definition:* Identification Code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "Max35Text" on page 2764**65.1.10.2 ClearingSystemMemberIdentification4Choice***Definition:* Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.10.2.1 CHIPSUniversalIdentification <USCHU>*Presence:* [1..1]*Definition:* (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2753

65.1.10.2.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2757

65.1.10.2.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2755

65.1.10.2.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2760

65.1.10.2.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2753

65.1.10.2.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2759

65.1.10.2.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2754

65.1.10.2.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2757

65.1.10.2.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2758

65.1.10.2.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2756

65.1.10.2.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2751

65.1.10.2.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2752

65.1.10.2.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2759

65.1.10.2.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2754

65.1.10.2.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2759

65.1.10.2.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2759

65.1.10.2.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2755

65.1.10.2.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2754

65.1.10.2.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2758

65.1.10.3 ClearingSystemMemberIdentificationChoice

Definition: Choice of identifiers for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1407
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1407
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1407
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1408
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1408
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1408
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1408
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1408
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1408
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1408
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1409
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1409
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1409
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1409
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1409
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1409
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1409
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1410
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1410

65.1.10.3.1 CHIPSUniversalIdentification <USCHU>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID) - identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Datatype: "CHIPSUniversalIdentifier" on page 2753

65.1.10.3.2 NewZealandNCCIdentification <NZNCC>

Presence: [1..1]

Definition: New Zealand Bank/Branch Code - identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Datatype: "NewZealandNCCIdentifier" on page 2757

65.1.10.3.3 IrishNSCIdentification <IENSC>

Presence: [1..1]

Definition: Irish National Sorting Code - identifies Irish financial institutions on the Irish national clearing system. The code is assigned by the Irish Payments Services Organisation (IPSO).

Datatype: "IrishNSCIdentifier" on page 2755

65.1.10.3.4 UKDomesticSortCode <GBSC>

Presence: [1..1]

Definition: United Kingdom (UK) Sort Code - identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Datatype: "UKDomesticSortCodeIdentifier" on page 2760

65.1.10.3.5 CHIPSParticipantIdentification <USCH>

Presence: [1..1]

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID) - identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Datatype: "CHIPSParticipantIdentifier" on page 2753

65.1.10.3.6 SwissBCIdentification <CHBC>

Presence: [1..1]

Definition: Swiss Bank Code - identifies Swiss institutions on the Swiss national clearing system.

Datatype: "SwissBCIdentifier" on page 2759

65.1.10.3.7 FedwireRoutingNumberIdentification <USFW>

Presence: [1..1]

Definition: Fedwire Routing Number - identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Datatype: "FedwireRoutingNumberIdentifier" on page 2754

65.1.10.3.8 PortugueseNCCIdentification <PTNCC>

Presence: [1..1]

Definition: Portuguese National Clearing Code - identifies Portuguese financial institutions on the Portuguese national clearing system.

Datatype: "PortugueseNCCIdentifier" on page 2757

65.1.10.3.9 RussianCentralBankIdentificationCode <RUCB>

Presence: [1..1]

Definition: Russian Central Bank Identification Code - identifies Russian financial institutions on the Russian national clearing system.

Datatype: "RussianCentralBankIdentificationCodeIdentifier" on page 2758

65.1.10.3.10 ItalianDomesticIdentificationCode <ITNCC>

Presence: [1..1]

Definition: Italian Domestic Identification Code - identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Datatype: "ItalianDomesticIdentifier" on page 2756

65.1.10.3.11 AustrianBankleitzahlIdentification <ATBLZ>

Presence: [1..1]

Definition: Austrian Bankleitzahl - identifies Austrian financial institutions on the Austrian national clearing system.

Datatype: "AustrianBankleitzahlIdentifier" on page 2751

65.1.10.3.12 CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>

Presence: [1..1]

Definition: Canadian Payments Association Routing Number - identifies Canadian financial institutions on the Canadian national clearing system.

Datatype: "CanadianPaymentsARNIdentifier" on page 2752

65.1.10.3.13 SwissSICIdentification <CHSIC>

Presence: [1..1]

Definition: Swiss Interbank Clearing (SIC) Code - identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Datatype: "SwissSICIdentifier" on page 2759

65.1.10.3.14 GermanBankleitzahlIdentification <DEBLZ>

Presence: [1..1]

Definition: German Bankleitzahl - identifies German financial institutions on the German national clearing systems.

Datatype: "GermanBankleitzahlIdentifier" on page 2754

65.1.10.3.15 SpanishDomesticInterbankingIdentification <ESNCC>

Presence: [1..1]

Definition: Spanish Domestic Interbanking Code - identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Datatype: "SpanishDomesticInterbankingIdentifier" on page 2759

65.1.10.3.16 SouthAfricanNCCIdentification <ZANCC>

Presence: [1..1]

Definition: South African National Clearing Code (NCC) - identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Datatype: "SouthAfricanNCCIdentifier" on page 2759

65.1.10.3.17 HongKongBankCode <HKNCC>

Presence: [1..1]

Definition: Hong Kong Bank Code - identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Datatype: "HongKongBankIdentifier" on page 2755

65.1.10.3.18 AustralianExtensiveBranchNetworkIdentification <AUBSBx>

Presence: [1..1]

Definition: Extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "ExtensiveBranchNetworkIdentifier" on page 2754

65.1.10.3.19 AustralianSmallNetworkIdentification <AUBSBs>

Presence: [1..1]

Definition: Small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Datatype: "SmallNetworkIdentifier" on page 2758

65.1.11 Commission

65.1.11.1 Commission21

Definition: Amount of money due to a party as compensation for a service.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1410
{Or	Code <Cd>	[1..1]	CodeSet		1411
Or}	Proprietary <Prtry>	[1..1]	±		1411
	CommissionApplied <ComssnApld>	[1..1]			1411
{Or	Amount <Amt>	[1..1]	Amount	C2	1411
Or}	Rate <Rate>	[1..1]	Rate		1412

65.1.11.1.1 CommissionType <ComssnTp>

Presence: [1..1]

Definition: Type of commission.

CommissionType <ComssnTp> contains one of the following **CommissionType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1411
Or}	Proprietary <Prtry>	[1..1]	±		1411

65.1.11.1.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Commission type expressed as a code.*Datatype:* "CommissionType6Code" on page 2657

CodeName	Name	Definition
FEND	FrontEndLoad	Commission for subscribing to an investment, paid at the time of the subscription.
BEND	BackEndLoad	Commission for redeeming an investment, when an investor redeems an investment fund within a certain period of time.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.

65.1.11.1.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Commission type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.11.1.2 CommissionApplied <ComssnApId>*Presence:* [1..1]*Definition:* Commission amount or commission rate applied.**CommissionApplied <ComssnApId>** contains one of the following **AmountOrRate3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2	1411
Or}	Rate <Rate>	[1..1]	Rate		1412

65.1.11.1.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of money.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.11.1.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the amount.

Datatype: "PercentageRate" on page 2762

65.1.12 Date Period**65.1.12.1 DatePeriodDetails**

Definition: Range of time defined by a start date and an end date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1412
	ToDate <ToDt>	[1..1]	Date		1412

65.1.12.1.1 FromDate <FrDt>

Presence: [1..1]

Definition: Start date of the range.

Datatype: "ISODate" on page 2749

65.1.12.1.2 ToDate <ToDt>

Presence: [1..1]

Definition: End date of the range.

Datatype: "ISODate" on page 2749

65.1.13 Date Time**65.1.13.1 DateAndDateTime1Choice**

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.13.1.1 Date <Dt>*Presence:* [1..1]*Definition:* Numeric representation of the day of the month and year.*Datatype:* "ISODate" on page 2749**65.1.13.1.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Numeric representation of time of the day and the day of the month and year.*Datatype:* "ISODatetime" on page 2749**65.1.13.2 DateAndDateTime2Choice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.13.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 2749**65.1.13.2.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODatetime" on page 2749**65.1.13.3 DateFormat42Choice***Definition:* Choice of formats for a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1413
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1414

65.1.13.3.1 YearMonth <YrMnth>*Presence:* [1..1]*Definition:* Year and month.*Datatype:* "ISOYearMonth" on page 2766

65.1.13.3.2 YearMonthDay <YrMnthDay>*Presence:* [1..1]*Definition:* Year, month and day.*Datatype:* "ISODate" on page 2749**65.1.13.4 FiscalYear1Choice***Definition:* Choice of start date and end date for the fiscal year.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StartDate <StartDt>	[1..1]	Date		1414
Or}	EndDate <EndDt>	[1..1]	Date		1414

65.1.13.4.1 StartDate <StartDt>*Presence:* [1..1]*Definition:* Start date of the fiscal year.*Datatype:* "ISODate" on page 2749**65.1.13.4.2 EndDate <EndDt>***Presence:* [1..1]*Definition:* End date of the fiscal year.*Datatype:* "ISODate" on page 2749**65.1.13.5 DateTimePeriodDetails***Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1414
	ToDateTime <ToDtTm>	[1..1]	DateTime		1414

65.1.13.5.1 FromDateTime <FrDtTm>*Presence:* [1..1]*Definition:* Date and time at which the range starts.*Datatype:* "ISODateTime" on page 2749**65.1.13.5.2 ToDateTime <ToDtTm>***Presence:* [1..1]*Definition:* Date and time at which the range ends.*Datatype:* "ISODateTime" on page 2749

65.1.13.6 DateAndDateTimeChoice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.13.6.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 2749](#)

65.1.13.6.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: ["ISODateTime" on page 2749](#)

65.1.14 Date Time Period

65.1.14.1 DateOrDateTimePeriodChoice

Definition: Choice between a date or a date and time format for a period.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1415
Or}	DateTime <DtTm>	[1..1]	±		1415

65.1.14.1.1 Date <Dt>

Presence: [1..1]

Definition: Period expressed with dates.

Date <Dt> contains the following elements (see ["DatePeriodDetails" on page 1412](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1412
	ToDate <ToDt>	[1..1]	Date		1412

65.1.14.1.2 DateTime <DtTm>

Presence: [1..1]

Definition: Period expressed a dates and times.

DateTime <DtTm> contains the following elements (see ["DateTimePeriodDetails"](#) on page 1414 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1414
	ToDateTime <ToDtTm>	[1..1]	DateTime		1414

65.1.14.2 TimeHorizon1Choice

Definition: Choice of formats for a time horizon.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NumberOfYears <NbOfYrs>	[1..1]	Quantity		1416
Or}	TimeFrame <TmFrame>	[1..1]			1416
{Or	Code <Cd>	[1..1]	CodeSet		1416
Or}	Proprietary <Prtry>	[1..1]	±		1417

Constraints

- **EMTMinimumHoldingPeriodGuideline**

In the European MiFID Template (EMT) v1, the TimeFrame/Code:

SHOR is 'Less than three years.'

MEDM is 'Less than five years.'

65.1.14.2.1 NumberOfYears <NbOfYrs>

Presence: [1..1]

Definition: Minimum recommended holding (RHP) period.

Datatype: ["DecimalNumber"](#) on page 2761

65.1.14.2.2 TimeFrame <TmFrame>

Presence: [1..1]

Definition: Time frame as a qualitative value.

TimeFrame <TmFrame> contains one of the following **TimeFrame6Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1416
Or}	Proprietary <Prtry>	[1..1]	±		1417

65.1.14.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Time frame expressed as a code.

Datatype: "TimeFrame1Code" on page 2737

CodeName	Name	Definition
LONG	LongTerm	Greater than five years.
MEDM	MediumTerm	Greater than or equal to three years.
NEUT	Neutral	Time frame is neutral.
SHOR	ShortTerm	Greater than or equal to one year.
VSHT	VeryShortTerm	Less than one year.
HOLD	Hold	Hold to maturity.

65.1.14.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Time frame expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.14.3 TimeFrame4

Definition: Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1418
	TradeMinus <TMns>	[0..1]	Quantity		1418
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1418
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1418

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True
/NonWorkingDayAdjustment Must be present

65.1.14.3.1 OtherTimeFrameDescription <OthrTmFrameDesc>

Presence: [0..1]

Definition: Description of the timeframe.

Datatype: "Max350Text" on page 2764

65.1.14.3.2 TradeMinus <TMns>

Presence: [0..1]

Definition: Number of days before the trade date (T) used for the standard timeframe for the dealing cut-off.

Datatype: "Number" on page 2761

65.1.14.3.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>

Presence: [0..1]

Definition: Convention used for adjusting a date when it is not a business day.

Datatype: "BusinessDayConvention1Code" on page 2650

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

65.1.14.3.4 ReferToOrderDesk <RefrToOrdrDsk>

Presence: [0..1]

Definition: For the dealing cut-off time, the order desk must be contacted.

Datatype: "ReferToFundOrderDesk1Code" on page 2712

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

65.1.14.4 TimeFrame5

Definition: Time frame elements that define a period as number of days before or after a activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1419
	TradePlus <TPlus>	[0..1]	Quantity		1419
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1419
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1419

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradePlus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

65.1.14.4.1 OtherTimeFrameDescription <OthrTmFrameDesc>

Presence: [0..1]

Definition: Description of the timeframe.

Datatype: "Max350Text" on page 2764

65.1.14.4.2 TradePlus <TPlus>

Presence: [0..1]

Definition: Number of days after the trade date (T) used for the standard timeframe for the issue of a deal confirmation.

Datatype: "Number" on page 2761

65.1.14.4.3 NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>

Presence: [0..1]

Definition: Convention used for adjusting a date when it is not a business day.

Datatype: "BusinessDayConvention1Code" on page 2650

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

65.1.14.4.4 ReferToOrderDesk <RefrToOrdrDsk>

Presence: [0..1]

Definition: For the time of the issuance of the deal confirmation, the order desk must be contacted.

Datatype: "ReferToFundOrderDesk1Code" on page 2712

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

65.1.14.5 DateTimePeriodDetails1

Definition: Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1420
	ToDateTime <ToDtTm>	[0..1]	DateTime		1420

65.1.14.5.1 FromDateTime <FrDtTm>

Presence: [1..1]

Definition: Date and time at which the range starts.

Datatype: "ISODateTime" on page 2749

65.1.14.5.2 ToDateTime <ToDtTm>

Presence: [0..1]

Definition: Date and time at which the range ends.

Datatype: "ISODateTime" on page 2749

65.1.15 De Minimus

65.1.15.1 DeMinimus1Choice

Definition: De minimus applicability conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DeMinimusApplicable <DeMnmsAplbl>	[1..1]	±		1420
Or}	DeMinimusNotApplicable <DeMnmsNotAplbl>	[1..1]	±		1420

65.1.15.1.1 DeMinimusApplicable <DeMnmsAplbl>

Presence: [1..1]

Definition: Conditions applicable when the investor is covered by the "de minimis" exemption.

DeMinimusApplicable <DeMnmsAplbl> contains the following elements (see "DeMinimusApplicable1" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewIssuePermission <NewIssePrmssn>	[1..1]	Indicator		1965
	Percentage <Pctg>	[0..1]	Rate		1965

65.1.15.1.2 DeMinimusNotApplicable <DeMnmsNotAplbl>

Presence: [1..1]

Definition: Conditions applicable when the investor is not covered by the "de minimis" exemption.

DeMinimusNotApplicable <DeMnmsNotApbl> contains the following elements (see "DeMinimusNotApplicable1" on page 1964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RestrictedPersonReason <RstrctdPrsnRsn>	[1..1]	Text		1964

65.1.16 Direct Debit

65.1.16.1 DirectDebitMandate5

Definition: Direct debit mandate parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1421
	Debtor <Dbtr>	[0..1]	±		1422
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1422
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1422
	Creditor <Cdtr>	[0..1]	±		1422
	DebtorAgent <DbtrAgt>	[1..1]			1423
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1423
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1423
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1424
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1424
	Identification <Id>	[1..1]	Text		1425
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1425
	CreditorAgent <CdtrAgt>	[0..1]			1425
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1425
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1426
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1426
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1427
	Identification <Id>	[1..1]	Text		1427
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1428
	RegistrationIdentification <RegnId>	[0..1]	Text		1428
	MandateIdentification <MndtId>	[0..1]	Text		1428

65.1.16.1.1 DebtorAccount <DbtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification </d>	[1..1]	±		1254
	Name </Nm>	[0..1]	Text		1254

65.1.16.1.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes the cash to the creditor/final party. The debtor is also the debit account owner.

Debtor <Dbtr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.16.1.3 DebtorTaxIdentificationNumber <DbtrTaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "[Max35Text](#)" on page 2764

65.1.16.1.4 DebtorNationalRegistrationNumber <DbtrNtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "[Max35Text](#)" on page 2764

65.1.16.1.5 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains one of the following elements (see ["PartyIdentification70Choice"](#) on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.16.1.6 DebtorAgent <DbtrAgt>

Presence: [1..1]

Definition: Financial institution that receives the direct debit instruction from the creditor or other authorised party.

DebtorAgent <DbtrAgt> contains one of the following **FinancialInstitutionIdentification7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1423
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1423
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1424
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1424
	Identification <Id>	[1..1]	Text		1425

65.1.16.1.6.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.16.1.6.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C7 "BICFI"

Datatype: ["BICFIIdentifier"](#) on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

65.1.16.1.6.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentificationChoice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1407
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1407
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1407
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1408
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1408
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1408
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1408
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1408
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1408
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1408
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1409
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1409
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1409
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1409
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1409
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1409
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1409
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1410
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1410

65.1.16.1.6.4 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following **SimpleIdentificationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1425

65.1.16.1.6.4.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.16.1.7 DebtorAgentBranch <DbtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

DebtorAgentBranch <DbtrAgtBrnch> contains the following elements (see "[BranchData](#)" on page 1431 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.16.1.8 CreditorAgent <CdtrAgt>

Presence: [0..1]

Definition: Financial institution that receives the payment transaction on behalf of the creditor, or other nominated party, and credits the account.

CreditorAgent <CdtrAgt> contains one of the following **FinancialInstitutionIdentification7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1425
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1426
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1426
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1427
	Identification <Id>	[1..1]	Text		1427

65.1.16.1.8.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5" on page 2278](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.16.1.8.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: [C7 "BICFI"](#)

Datatype: ["BICFIIdentifier" on page 2751](#)

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

65.1.16.1.8.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentificationChoice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1407
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1407
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1407
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1408
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1408
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1408
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1408
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1408
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1408
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1408
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1409
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1409
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1409
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1409
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1409
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1409
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1409
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1410
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1410

65.1.16.1.8.4 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following **SimpleIdentificationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1427

65.1.16.1.8.4.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.16.1.9 CreditorAgentBranch <CdtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

CreditorAgentBranch <CdtrAgtBrnch> contains the following elements (see "BranchData" on page 1431 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.16.1.10 RegistrationIdentification <RegnId>

Presence: [0..1]

Definition: Reference assigned to a creditor by its financial institution, or relevant authority, authorising the creditor to take part in a direct debit scheme.

Datatype: "Max35Text" on page 2764

65.1.16.1.11 MandateIdentification <MndtId>

Presence: [0..1]

Definition: Reference of the direct debit mandate that has been agreed upon by the debtor and creditor.

Datatype: "Max35Text" on page 2764

65.1.16.2 DirectDebitMandate6

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1429
	Debtor <Dbtr>	[0..1]	±		1429
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1429
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1430
	Creditor <Cdtr>	[0..1]	±		1430
	DebtorAgent <DbtrAgt>	[1..1]	±		1430
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1430
	CreditorAgent <CdtrAgt>	[0..1]	±		1431
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1431
	RegistrationIdentification <RegnId>	[0..1]	Text		1431
	MandatIdentification <MndtId>	[0..1]	Text		1431

65.1.16.2.1 DebtorAccount <DbtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.16.2.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes the cash to the creditor/final party. The debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.16.2.3 DebtorTaxIdentificationNumber <DbtrTaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: ["Max35Text" on page 2764](#)

65.1.16.2.4 DebtorNationalRegistrationNumber <DbtrNtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: ["Max35Text" on page 2764](#)

65.1.16.2.5 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see ["PartyIdentification113" on page 2088](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.16.2.6 DebtorAgent <DbtrAgt>

Presence: [1..1]

Definition: Financial institution that receives the direct debit instruction from the creditor or other authorised party.

DebtorAgent <DbtrAgt> contains the following elements (see ["FinancialInstitutionIdentification10" on page 1434](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.16.2.7 DebtorAgentBranch <DbtrAgtBrnch>

Presence: [0..1]

Definition: Information identifying a specific branch of a financial institution.

Usage: this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

DebtorAgentBranch <DbtrAgtBrnch> contains the following elements (see ["BranchData" on page 1431](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.16.2.8 CreditorAgent <CdtrAgt>*Presence:* [0..1]*Definition:* Financial institution that receives the payment transaction on behalf of the creditor, or other nominated party, and credits the account.**CreditorAgent <CdtrAgt>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.16.2.9 CreditorAgentBranch <CdtrAgtBrnch>*Presence:* [0..1]*Definition:* Information identifying a specific branch of a financial institution.*Usage:* this component should be used in case the identification information in the financial institution component does not provide identification up to branch level.**CreditorAgentBranch <CdtrAgtBrnch>** contains the following elements (see "[BranchData](#)" on page 1431 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.16.2.10 RegistrationIdentification <RegnId>*Presence:* [0..1]*Definition:* Reference assigned to a creditor by its financial institution, or relevant authority, authorising the creditor to take part in a direct debit scheme.*Datatype:* "[Max35Text](#)" on page 2764**65.1.16.2.11 MandateIdentification <MndtId>***Presence:* [0..1]*Definition:* Reference of the direct debit mandate that has been agreed upon by the debtor and creditor.*Datatype:* "[Max35Text](#)" on page 2764**65.1.17 Financial Institution Identification****65.1.17.1 BranchData***Definition:* Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1432
	Name <Nm>	[0..1]	Text		1432
	PostalAddress <PstlAdr>	[0..1]	±		1432

65.1.17.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "Max35Text" on page 2764

65.1.17.1.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2764

65.1.17.1.3 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress1" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.17.2 FinancialInstitutionIdentification8Choice

Definition: Choice between formats for the identification of the financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1433
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1433
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		1434

65.1.17.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Identifies the name and (long) postal address of a financial institution.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.17.2.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C7 "BICFI"

Datatype: "[BICFIIdentifier](#)" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

65.1.17.2.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentificationChoice" on page 1406 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1407
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1407
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1407
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1408
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1408
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1408
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1408
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1408
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1408
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1408
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1409
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1409
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1409
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1409
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1409
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1409
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1409
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1410
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1410

65.1.17.2.4 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.17.3 FinancialInstitutionIdentification10

Definition: Identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.17.3.1 Party <Pty>*Presence:* [1..1]*Definition:* Unique identification of the party.**Party <Pty>** contains one of the following elements (see ["FinancialInstitutionIdentification8Choice"](#) on page 1432 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1433
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1433
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		1434

65.1.17.3.2 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for the party.*Datatype:* ["LEIIdentifier"](#) on page 2756**65.1.17.4 BranchData2***Definition:* Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.17.4.1 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identification of a branch of a financial institution.*Datatype:* ["Max35Text"](#) on page 2764**65.1.17.4.2 Name <Nm>***Presence:* [0..1]*Definition:* Name by which an agent is known and which is usually used to identify that agent.*Datatype:* ["Max140Text"](#) on page 2763**65.1.17.4.3 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress6" on page 2274 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2275
	Department <Dept>	[0..1]	Text		2275
	SubDepartment <SubDept>	[0..1]	Text		2275
	StreetName <StrtNm>	[0..1]	Text		2275
	BuildingNumber <BldgNb>	[0..1]	Text		2276
	PostCode <PstCd>	[0..1]	Text		2276
	TownName <TwnNm>	[0..1]	Text		2276
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2276
	Country <Ctry>	[0..1]	CodeSet	C11	2276
	AddressLine <AdrLine>	[0..7]	Text		2276

65.1.18 Financial Instrument

65.1.18.1 FinancialInstrument13

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1436
	Name <Nm>	[0..1]	Text		1437
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1437
	ClassType <ClsTp>	[0..1]	Text		1437
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1437
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1438

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.18.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryld>	[1..1]	±		2342

65.1.18.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2764

65.1.18.1.3 SupplementaryIdentification <Splmtryld>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.1.4 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.1.5 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, ie, ownership, of the security, eg, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.1.6 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, ie, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.2 Crystallisation1

Definition: Number of crystallised units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <Trchld>	[1..1]	Text		1438
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1438
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1438
	AdditionalInformation <AddtlInf>	[0..*]	±		1439

65.1.18.2.1 TrancheIdentification <Trchld>

Presence: [1..1]

Definition: Identification of the tranche.

Datatype: "Max35Text" on page 2764

65.1.18.2.2 CrystallisedUnitsNumber <CrstllsdUnitsNb>

Presence: [0..1]

Definition: Number of units crystallised.

Datatype: "DecimalNumber" on page 2761

65.1.18.2.3 UncrystallisedUnitsNumber <UcrstllsdUnitsNb>

Presence: [0..1]

Definition: Number of units uncrystallised.

Datatype: "DecimalNumber" on page 2761

65.1.18.2.4 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the crystallisation.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.3 MoneyPurchaseAnnualAllowance1

Definition: Information about a Money Purchase Annual Allowance (MPAA).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Triggered <Trggrd>	[1..1]	Indicator		1439
	TriggeredDate <TrggrdDt>	[0..1]	Date		1439

65.1.18.3.1 Triggered <Trggrd>

Presence: [1..1]

Definition: Indicates whether the annual allowance has been triggered.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.3.2 TriggeredDate <TrggrdDt>

Presence: [0..1]

Definition: Date the annual allowance is taken.

Datatype: "ISODate" on page 2749

65.1.18.4 PensionOrder1

Definition: Order attached to a pension.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1440
{Or	Code <Cd>	[1..1]	CodeSet		1440
Or}	Proprietary <Prtry>	[1..1]	±		1440
	AdditionalInformation <AddtlInf>	[0..*]	±		1441

65.1.18.4.1 Type <Tp>

Presence: [1..1]

Definition: Order attached to the pension policy, plan or scheme.

Type <Tp> contains one of the following **PensionOrderType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1440
Or}	Proprietary <Prtry>	[1..1]	±		1440

65.1.18.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of order attached to the pension policy, plan or scheme expressed as a code.

Datatype: "PensionOrderType1Code" on page 2706

CodeName	Name	Definition
EARM	Earmark	Pension policy, plan or scheme has been earmarked (set aside.)
SHAR	Sharing	Sharing order for the pension policy, plan or scheme has been issued by a court.

65.1.18.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of order attached to the pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.4.2 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the pension order.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.5 LumpSumType1Choice*Definition:* Choice of formats for a type of lump sum.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1441
Or}	Proprietary <Prtry>	[1..1]	±		1441

65.1.18.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of lump sum expressed as a code.*Datatype:* "[LumpSumType1Code](#)" on page 2697

CodeName	Name	Definition
DEAB	DeathBenefit	Lump sum is a death benefit lump sum.
PCLS	PensionCommencement	Lump sum is a pension commencement lump sum.
UFPL	Uncrystallised	Lump sum is an uncrystallised fund pension lump sum.

65.1.18.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of lump sum expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.6 DrawdownStatus1Choice

Definition: Choice of formats for a drawdown status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1442
Or}	Proprietary <Prtry>	[1..1]	±		1442

65.1.18.6.1 Code <Cd>

Presence: [1..1]

Definition: Status expressed as a code.

Datatype: "DrawdownStatus1Code" on page 2667

CodeName	Name	Definition
FULL	Full	Pension is fully in drawdown.
NONE	None	Pension is not in drawdown.
PART	Partial	Pension is in partial drawdown.

65.1.18.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.7 InnovativeFinance1

Definition: Information about an innovative finance product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1442
	Information <Inf>	[1..1]	Text		1443

65.1.18.7.1 Type <Tp>

Presence: [1..1]

Definition: Type of innovative finance product.

Type <Tp> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.7.2 Information <Inf>

Presence: [1..1]

Definition: Details of an innovative finance product.

Datatype: "Max350Text" on page 2764

65.1.18.8 BonusWithdrawal1

Definition: Information about a bonus paid out or a withdrawal.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TypeOfAmount <TpOfAmt>	[1..1]			1443
{Or	Code <Cd>	[1..1]	CodeSet		1444
Or}	Proprietary <Prtry>	[1..1]	±		1444
	Amount <Amt>	[0..1]	Amount	C3	1444
	Reason <Rsn>	[0..1]			1444
{Or	Code <Cd>	[1..1]	CodeSet		1445
Or}	Proprietary <Prtry>	[1..1]	±		1445
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C3	1445
	Outstanding <Outsdng>	[0..1]	Indicator		1445
	AdditionalInformation <AddtlInf>	[0..*]	±		1446

65.1.18.8.1 TypeOfAmount <TpOfAmt>

Presence: [1..1]

Definition: Specifies whether the amount is the result of a bonus paid, a withdrawal or other kind of amount.

TypeOfAmount <TpOfAmt> contains one of the following **TypeOfAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1444
Or}	Proprietary <Prtry>	[1..1]	±		1444

65.1.18.8.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "TaxWrapperAmountType1Code" on page 2737

CodeName	Name	Definition
BONU	Bonus	Type of amount is a bonus, for example, a government bonus.
WTHD	Withdrawal	Type of amount is a withdrawal, for example, a withdrawal for a first time residential purchase.

65.1.18.8.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.8.2 Amount <Amt>*Presence:* [0..1]

Definition: Amount of the bonus paid or the claimed amount. For example, a claimed amount for a lifetime ISA (LISA) or a government bonus paid out.

Impacted by: C3 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642**Constraints**

- ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.8.3 Reason <Rsn>*Presence:* [0..1]*Definition:* Reason for the bonus amount paid to or an amount withdrawn from the investment product.

Reason <Rsn> contains one of the following **WithdrawalReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1445
Or}	Proprietary <Prtry>	[1..1]	±		1445

65.1.18.8.3.1 Code <Cd>

Presence: [1..1]

Definition: Withdrawal reason expressed as a code.

Datatype: "PortfolioWithdrawalReason1Code" on page 2708

CodeName	Name	Definition
FTRS	FirstTimeResidentialProperty	Withdrawal is for a first time residential property.

65.1.18.8.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Withdrawal reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.8.4 UnclaimedAmount <UclmdAmt>

Presence: [0..1]

Definition: Amount of an unclaimed bonus or an unclaimed withdrawal.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.8.5 Outstanding <Outsdng>

Presence: [0..1]

Definition: Indicates whether there is an outstanding bonus or withdrawal amount.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.8.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the monies paid out or withdrawn.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation15"](#) on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.9 PensionSchemeType1Choice

Definition: Choice of formats for a type of pension scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1446
Or}	Proprietary <Prtry>	[1..1]	±		1447

65.1.18.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a code.

Datatype: ["PensionSchemeType1Code"](#) on page 2706

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePension	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
SIPG	GroupInvestedPension	Pension plan is a group-invested pension plan.
GPPS	GroupPersonalPensionScheme	Pension is a group personal pension scheme.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.

CodeName	Name	Definition
OCDL	OccupationalDirectContribution	Pension plan is an occupational direct contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPension	Pension is a self-invested pension plan.
SSAS	SmallSelfAdministeredSchema	Pension is a small self-administered pension schema.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. (Known as an Individual Pension Schema (IPS) in some markets.)
EPKA	PersonalPensionAccount	Pension is a personal pension account. (Known as Employee Pension Kapital (EPK) in some markets.)
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. (Known as an industrial and trade supplementary pension (ITP) in some markets.)
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. (Known as an Obligatory Occupation Pension (OTP) in some markets.)

65.1.18.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.10 PensionPolicy1

Definition: Identification of a pension policy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		1448
	SubIdentifier <SubIdr>	[0..1]	Text		1448
	AdditionalInformation <AddtlInf>	[0..1]	±		1448

65.1.18.10.1 Identifier <Idr>

Presence: [1..1]

Definition: Reference number of the pension policy, plan or scheme.

Datatype: "Max35Text" on page 2764

65.1.18.10.2 SubIdentifier <SubIdr>

Presence: [0..1]

Definition: Sub-identification of the pension policy, plan or scheme, such as a member reference.

Datatype: "Max35Text" on page 2764

65.1.18.10.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the identification of the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.11 PreviousYear4

Definition: Information about investment plans issued during previous years.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			1449
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1449
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1449
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1449

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

65.1.18.11.1 PreviousYears <PrvsYrs>

Presence: [1..1]

Definition: Investment plans issued during previous years.

PreviousYears <PrvsYrs> contains one of the following **PreviousYear1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1449
Or}	SpecificPreviousYears <SpcfcPrvsYrs>	[1..*]	Year		1449

65.1.18.11.1.1 AllPreviousYears <AllPrvsYrs>

Presence: [1..1]

Definition: Selection of all investment plans.

Datatype: "PreviousAll" on page 2765

65.1.18.11.1.2 SpecificPreviousYears <SpcfcPrvsYrs>

Presence: [1..*]

Definition: Selection of investment plans issued during previous years.

Datatype: "ISOYear" on page 2766

65.1.18.11.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether the product contains a cash asset for transfer from previous years.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.12 TaxEfficientProductType1Choice

Definition: Choice of formats for a type of tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1449
Or}	Proprietary <Prtry>	[1..1]	±		1450

65.1.18.12.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax efficient product expressed as a code.

Datatype: "TaxEfficientProductType1Code" on page 2727

CodeName	Name	Definition
CASH	Cash	Cash individual savings account. In the UK, this is known as a cash ISA.
CCTF	CashChildTrustFund	Cash child trust fund individual savings account. In the UK, this is known as a CTF ISA.
FISA	Flexible	Flexible individual savings account. In the UK, this is known as a FISA.
HISA	HelpToBuy	Help to buy individual savings account. In the UK, this is known as a HISA.
INNF	InnovativeFinance	Innovative finance individual savings account. In the UK, this is known as an innovative ISA.
JCSH	JuniorCash	Junior cash individual savings account. In the UK, this is known as a junior cash ISA.
JISA	JuniorStocksAndSharesISA	Junior stocks and shares individual savings account. In the UK, this is known as an JISA.
LISA	Lifetime	Lifetime individual savings account. In the UK, this is known as a LISA.
SCTF	StakeholderChildTrustFund	Stakeholder child trust fund individual savings account. In the UK, this is known as a stakeholder CTF ISA.
EQUI	StocksAndShares	Stocks and shares individual savings account. In the UK, this is known as a stocks and shares ISA.
GISK	GeneralInvestmentSavingsAccount	General investment savings account. (Known as Investeringssparkonto (ISK) in some markets.)
GASK	GeneralShareSavingsAccount	General shares or equity savings account. (Known as ASK in some markets.)

65.1.18.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax efficient product expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.13 Pension2

Definition: Attributes of a pension.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1452
	Type <Tp>	[1..1]	±		1452
	TransferScope <TrfScp>	[0..1]	±		1453
	TaxReference <TaxRef>	[0..*]	±		1453
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1453
	DrawdownStatus <DrwdwnSts>	[0..1]	±		1453
	EstimatedValue <EstmtdVal>	[0..1]	±		1454
	BlockTransfer <BlckTrf>	[0..1]	Indicator		1454
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		1454
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		1454
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		1454
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		1455
	RetirementAge <RtrmntAge>	[0..1]	Quantity		1455
	Sharing <Shrg>	[0..1]	Indicator		1455
	LumpSumType <LumpSumTp>	[0..*]	±		1455
	PensionOrder <PnsnOrd>	[0..*]	±		1455
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		1456
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		1456
	SafeguardBenefit <SfgrdBnft>	[0..1]	Indicator		1456
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		1456
	AdditionalInformation <AddtlInf>	[0..*]	±		1457

Constraints

- **BlockTransferRetirementAgeProtectionRule**

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

- **BlockTransferTaxFreeCashProtectionRule**

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

- **PensionOrderTypeGuideline**

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

- **RetirementAgeRule**

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

- **RingFencedDrawdownAssetsRule**

If DrawdownStatus/Code/NONE is present, then RingFencedDrawdownAssets is not allowed.

If DrawdownStatus/Code/PART or FULL is present, then RingFencedDrawdownAssets must be present.

- **TaxFreeCashAmountRule**

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

- **TaxFreeCashAndValueRule**

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

- **TransferScopeTranchelIdentification1Rule**

If TransferScope/Code/SDDT is present, then DrawdownTranchelIdentification may be present.

- **TransferScopeTranchelIdentification2Rule**

If TransferScope/Code/SDDT is not present, then DrawdownTranchelIdentification is not allowed.

65.1.18.13.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following elements (see "[PensionPolicy1](#)" on page 1447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		1448
	SubIdentifier <SubIdr>	[0..1]	Text		1448
	AdditionalInformation <AddtlInf>	[0..1]	±		1448

65.1.18.13.2 Type <Tp>

Presence: [1..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following elements (see "[PensionSchemeType1Choice](#)" on page 1446 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1446
Or}	Proprietary <Prtry>	[1..1]	±		1447

65.1.18.13.3 TransferScope <TrfScp>*Presence:* [0..1]*Definition:* Scope of the pension policy, plan or scheme transfer.**TransferScope <TrfScp>** contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 2588 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2589
Or}	Proprietary <Prtry>	[1..1]	±		2589

65.1.18.13.4 TaxReference <TaxRef>*Presence:* [0..*]*Definition:* Tax reference issued to the pension policy, plan or scheme by a central organisation.**TaxReference <TaxRef>** contains the following elements (see "[TaxReference1](#)" on page 2538 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2538
{Or	Code <Cd>	[1..1]	CodeSet		2538
Or}	Proprietary <Prtry>	[1..1]	±		2539
	Reference <Ref>	[1..1]	Text		2539
	HolderType <HldrTp>	[0..1]			2539
{Or	Code <Cd>	[1..1]	CodeSet		2539
Or}	Proprietary <Prtry>	[1..1]	±		2540

65.1.18.13.5 DrawdownTrancheIdentification <DrwdwnTrchld>*Presence:* [0..1]*Definition:* Reference of the drawdown.*Datatype:* "[Max35Text](#)" on page 2764**65.1.18.13.6 DrawdownStatus <DrwdwnSts>***Presence:* [0..1]*Definition:* Drawdown status of the pension.**DrawdownStatus <DrwdwnSts>** contains one of the following elements (see "[DrawdownStatus1Choice](#)" on page 1442 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1442
Or}	Proprietary <Prtry>	[1..1]	±		1442

65.1.18.13.7 EstimatedValue <EstmtdVal>*Presence:* [0..1]*Definition:* Estimated value of the pension policy, plan or scheme.**EstimatedValue <EstmtdVal>** contains the following elements (see ["DateAndAmount2"](#) on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.13.8 BlockTransfer <BlckTrf>*Presence:* [0..1]*Definition:* Indicates whether the transfer forms part of a block transfer.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.9 TaxFreeCashProtection <TaxFreeCshPrtcn>*Presence:* [0..1]*Definition:* Indicates whether the tax-free cash is protected when the pension policy, plan or scheme is transferred.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.10 TaxFreeCashAmount <TaxFreeCshAmt>*Presence:* [0..1]*Definition:* Amount of cash that is tax free.**TaxFreeCashAmount <TaxFreeCshAmt>** contains the following elements (see ["DateAndAmount2"](#) on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.13.11 ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>*Presence:* [0..1]*Definition:* Value of the pension policy, plan or scheme.

ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlyOrPlanOrSchme> contains the following elements (see ["DateAndAmount2"](#) on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.13.12 RetirementAgeProtection <RtrmntAgePrctn>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has retirement age protection.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.13 RetirementAge <RtrmntAge>

Presence: [0..1]

Definition: Age at which the pension policy, plan or scheme holder retires.

Datatype: ["DecimalNumber"](#) on page 2761

65.1.18.13.14 Sharing <Shrg>

Presence: [0..1]

Definition: Indicates whether the pension benefits are shared.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.15 LumpSumType <LumpSumTp>

Presence: [0..*]

Definition: Type of lump sum paid to a member of the pension policy, plan or scheme.

LumpSumType <LumpSumTp> contains one of the following elements (see ["LumpSumType1Choice"](#) on page 1441 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1441
Or}	Proprietary <Prtry>	[1..1]	±		1441

65.1.18.13.16 PensionOrder <PnsnOrdr>

Presence: [0..*]

Definition: Order attached to the pension policy, plan or scheme.

PensionOrder <PnsnOrdr> contains the following elements (see "[PensionOrder1](#)" on page 1439 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1440
{Or	Code <Cd>	[1..1]	CodeSet		1440
Or}	Proprietary <Prtry>	[1..1]	±		1440
	AdditionalInformation <AddtlInf>	[0..*]	±		1441

65.1.18.13.17 RingFencedDrawdownAssets <RingFnCdDrwdwnAssts>

Presence: [0..1]

Definition: Indicates whether assets held within the pension are ring-fenced into specific tranches.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.18 MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>

Presence: [0..1]

Definition: Money Purchase Annual Allowance (MPAA) details.

MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc> contains the following elements (see "[MoneyPurchaseAnnualAllowance1](#)" on page 1439 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Triggered <Trggrd>	[1..1]	Indicator		1439
	TriggeredDate <TrggrdDt>	[0..1]	Date		1439

65.1.18.13.19 SafeguardBenefit <SfgrdBnft>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme is covered by a safeguard benefit.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.20 LifetimeAllowanceProtection <LftmAllwncPrtcn>

Presence: [0..1]

Definition: Indicates whether the pension policy, plan or scheme has lifetime allowance protection.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.13.21 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the pension policy, plan or scheme.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.14 GeneralInvestment1*Definition:* Information about a general investment.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1457
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C2	1457
	EstimatedValue <EstmtdVal>	[0..1]	±		1458
	AdditionalInformation <AddtlInf>	[0..*]	±		1458

65.1.18.14.1 Type <Tp>*Presence:* [0..1]*Definition:* Type of investment.**Type <Tp>** contains one of the following elements (see "[GeneralInvestmentAccountType1Choice](#)" on page 1657 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1657
Or}	Proprietary <Prtry>	[1..1]	±		1658

65.1.18.14.2 CurrentInvestmentAmount <CurlInvstmtAmt>*Presence:* [0..1]*Definition:* Amount of money invested.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.14.3 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the assets.

EstimatedValue <EstmtdVal> contains the following elements (see "[DateAndAmount2](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.14.4 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the portfolio.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.15 TaxEfficientProduct3

Definition: Characteristics of a tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1458
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1459
	PreviousYears <PrvsYrs>	[0..1]	±	C33	1459
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]	±	C34	1459
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

65.1.18.15.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "TaxEfficientProductType1Choice" on page 1449 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1449
Or}	Proprietary <Prtry>	[1..1]	±		1450

65.1.18.15.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether the product contains a cash asset for transfer.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.15.3 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: C33 "SpecificPreviousYearsGuideline"

PreviousYears <PrvsYrs> contains the following elements (see "PreviousYear4" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			1449
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1449
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1449
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1449

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

65.1.18.15.4 CurrentYearSubscriptionDetails <CurYrSbcptDtls>

Presence: [0..1]

Definition: Amounts already subscribed for the current year.

Impacted by: C34 "TotalAmountYearToDateRule"

CurrentYearSubscriptionDetails <CurYrSbcptDtls> contains the following elements (see "SubscriptionInformation2" on page 1818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1819
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1819
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1819
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1820

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

65.1.18.15.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the tax efficient product.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.16 TaxEfficientProduct2

Definition: Characteristics of a tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1461
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1461
	PreviousYears <PrvsYrs>	[0..1]	±	C33	1461
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		1462
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]	±	C34	1462
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]	±		1462
	InvestorTaxReference <InvstrTaxRef>	[0..1]	±		1463
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		1463
	InnovativeFinance <InnvtfFinc>	[0..*]	±		1464
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C2	1464
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C2	1464
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C2	1464
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C2	1465
	EstimatedValue <EstmtdVal>	[0..1]	±		1465
	AdditionalInformation <AddtlInf>	[0..*]	±		1465

65.1.18.16.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "TaxEfficientProductType1Choice" on page 1449 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1449
Or}	Proprietary <Prtry>	[1..1]	±		1450

65.1.18.16.2 CashComponentIndicator <CshCmpntInd>

Presence: [0..1]

Definition: Indicates whether, for the current year, the product contains a cash asset for transfer.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.16.3 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: C33 "SpecificPreviousYearsGuideline"

PreviousYears <PrvsYrs> contains the following elements (see "PreviousYear4" on page 1448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousYears <PrvsYrs>	[1..1]			1449
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1449
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1449
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1449

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

65.1.18.16.4 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [0..1]

Definition: Date the investment plan started.

Datatype: "ISODate" on page 2749

65.1.18.16.5 CurrentYearSubscriptionDetails <CurYrSbcptDtls>

Presence: [0..1]

Definition: Amounts already subscribed for the current year.

Impacted by: C34 "TotalAmountYearToDateRule"

CurrentYearSubscriptionDetails <CurYrSbcptDtls> contains the following elements (see "SubscriptionInformation2" on page 1818 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1819
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1819
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1819
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1820

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

65.1.18.16.6 BonusOrWithdrawal <BnsOrWdrwl>

Presence: [0..*]

Definition: Bonus paid out or withdrawn.

BonusOrWithdrawal <BnsOrWdrwl> contains the following elements (see "[BonusWithdrawal1](#)" on page 1443 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TypeOfAmount <TpOfAmt>	[1..1]			1443
{Or	Code <Cd>	[1..1]	CodeSet		1444
Or}	Proprietary <Prtry>	[1..1]	±		1444
	Amount <Amt>	[0..1]	Amount	C3	1444
	Reason <Rsn>	[0..1]			1444
{Or	Code <Cd>	[1..1]	CodeSet		1445
Or}	Proprietary <Prtry>	[1..1]	±		1445
	UnclaimedAmount <UclmdAmt>	[0..1]	Amount	C3	1445
	Outstanding <Outsdng>	[0..1]	Indicator		1445
	AdditionalInformation <AddtlInf>	[0..*]	±		1446

65.1.18.16.7 InvestorTaxReference <InvstrTaxRef>

Presence: [0..1]

Definition: Identification of the investor as assigned by a tax authority.

InvestorTaxReference <InvstrTaxRef> contains the following elements (see "[TaxReference2](#)" on page 2537 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			2537
{Or	Code <Cd>	[1..1]	CodeSet		2537
Or}	Proprietary <Prtry>	[1..1]	±		2537
	Reference <Ref>	[1..1]	Text		2538

65.1.18.16.8 InvestmentsToFollowValue <InvstmtsToFlwVal>

Presence: [0..*]

Definition: Value of the investments to follow.

InvestmentsToFollowValue <InvstmtsToFlwVal> contains the following elements (see "[DateAndAmount2](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.16.9 InnovativeFinance <InnvtfFinc>*Presence:* [0..*]*Definition:* Information about an innovative finance product.**InnovativeFinance <InnvtfFinc>** contains the following elements (see "[InnovativeFinance1](#)" on page 1442 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1442
	Information <Inf>	[1..1]	Text		1443

65.1.18.16.10 LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>*Presence:* [0..1]*Definition:* Lowest investment amount in the current year, used to calculate a tax deduction amount.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.16.11 TaxCalculationBase <TaxClctnBase>*Presence:* [0..1]*Definition:* Amount of money from which the tax deduction is calculated.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.16.12 UnusedTaxDeduction <UusdTxDdctn>*Presence:* [0..1]*Definition:* Unused tax deduction amount.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.16.13 CurrentInvestmentAmount <CurInvstmtAmt>

Presence: [0..1]

Definition: Amount of money invested.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.16.14 EstimatedValue <EstmtdVal>

Presence: [0..1]

Definition: Estimated value of the assets of the tax efficient product to be transferred.

EstimatedValue <EstmtdVal> contains the following elements (see "DateAndAmount2" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.16.15 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the tax efficient product.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.17 TaxEfficientProduct1

Definition: Characteristics of a tax efficient product.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1466
	PreviousYears <PrvsYrs>	[0..1]	±	C20	1466
	CurrentYear <CurYr>	[0..1]	Indicator		1466
	AdditionalInformation <AddtlInf>	[0..*]	±		1467

65.1.18.17.1 TaxEfficientProductType <TaxEffcntPdctTp>

Presence: [1..1]

Definition: Type of tax efficient product, for example, an individual savings account (ISA) in the UK.

TaxEfficientProductType <TaxEffcntPdctTp> contains one of the following elements (see "[TaxEfficientProductType1Choice](#)" on page 1449 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1449
Or}	Proprietary <Prtry>	[1..1]	±		1450

65.1.18.17.2 PreviousYears <PrvsYrs>

Presence: [0..1]

Definition: Investment plans issued during previous years.

Impacted by: C20 "[SpecificPreviousYearsGuideline](#)"

PreviousYears <PrvsYrs> contains one of the following elements (see "[PreviousYear2Choice](#)" on page 1941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1941
Or}	SpecificPreviousYears <SpdcPrvsYrs>	[1..*]	Year		1942

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

65.1.18.17.3 CurrentYear <CurYr>

Presence: [0..1]

Definition: Indicates that the product was issued during the current fiscal year.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.17.4 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the tax efficient product.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.18 QuotationType1Choice*Definition:* Choice of formats for a type of quotation

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1467
Or}	Proprietary <Prtry>	[1..1]	±		1467

65.1.18.18.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of quotation expressed as a code.*Datatype:* "[QuotationType1Code](#)" on page 2711

CodeName	Name	Definition
ACTU	ActualAmount	Quotation expressed as a currency and amount.
PRCT	Percentage	Quotation is expressed as percentage.

65.1.18.18.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of quotation expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.18.19 GovernanceProcess1Choice*Definition:* Choice of formats for a type of governance process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1468
Or}	Proprietary <Prtry>	[1..1]	±		1468

65.1.18.19.1 Code <Cd>

Presence: [1..1]

Definition: Type of governance process expressed as a code.

Datatype: "GovernanceProcessType1Code" on page 2677

CodeName	Name	Definition
BMIF	ComparableMiFID	Product governance procedure is comparable to MiFID II.
NINF	NoInformation	No information is requested from the issuer.
CMIF	NotMiFID	Product governance procedure is not in accordance with MiFID II.
AMIF	PursuantMiFID	Product governance procedure is pursuant to MiFID II.

65.1.18.19.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of governance process expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.18.20 LegalStructure1Choice

Definition: Choice of formats for the legal structure of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1469
Or}	Proprietary <Prtry>	[1..1]	±		1469

Constraints

- **EMTLegalStructureGuideline**

In European MiFID Template (EMT) v1, Code/NUMM, UCMM and EXTC are not supported.

65.1.18.20.1 Code <Cd>

Presence: [1..1]

Definition: Legal structure of the financial instrument expressed as a code.

Datatype: "LegalStructureFinancialInstrument1Code" on page 2696

CodeName	Name	Definition
NUCI	NonUCITS	Legal structure is a not an Undertaking for Collective Investment in Transferable Securities (UCITS).
SFUN	StructuredFund	Legal structure is a structured fund.
SSEC	StructuredSecurity	Legal structure is a structured security.
UCIT	UCITS	Legal structure is an Undertaking for Collective Investment in Transferable Securities (UCITS).
EXTC	ExchangeTradedCommodity	Legal structure is an exchange traded commodity.
UCMM	UCITSMoneyMarketFund	Legal structure is an Undertaking for Collective Investment in Transferable Securities (UCITS) money market fund.
NUMM	NonUCITSMoneyMarketFund	Legal structure is a money market fund that is not an Undertaking for Collective Investment in Transferable Securities (UCITS).

65.1.18.20.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Legal structure of the financial instrument expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.18.21 AdditionalInformation15

Definition: Additional information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.21.1 InformationType <InfTp>

Presence: [1..1]

Definition: Type of additional information.

InformationType <InfTp> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.21.2 InformationValue <InfVal>

Presence: [1..1]

Definition: Value of the additional information.

Datatype: "[Max350Text](#)" on page 2764

65.1.18.22 FinancialInstrument70

Definition: Identification of a security or other asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1473
	Instrument <Instrm>	[1..1]			1473
{Or	Security <Scty>	[1..1]		C11	1475
	Identification <Id>	[1..1]			1476
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1476
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1477
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1477
Or	RIC <RIC>	[1..1]	IdentifierSet		1477
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1477
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1477
Or	CTA <CTA>	[1..1]	IdentifierSet		1477
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1477
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1478
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1478
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1478
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1478
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1478
Or	Common <Cmon>	[1..1]	IdentifierSet		1478
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1478
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479
	Name <Nm>	[0..1]	Text		1479
	ShortName <ShrtNm>	[0..1]	Text		1479
Or	CashAsset <CshAsst>	[1..1]			1479
	CashAssetType <CshAsstTp>	[1..1]			1479
{Or	Code <Cd>	[1..1]	CodeSet		1480
Or}	Proprietary <Prtry>	[1..1]	±		1480
	AdditionalInformation <AddtlInf>	[0..1]	±		1480
Or}	OtherAsset <OthrAsst>	[1..1]			1480
	OtherAssetType <OthrAsstTp>	[1..1]			1481
{Or	Code <Cd>	[1..1]	CodeSet		1481

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1481
	Identification <Id>	[1..1]	Text		1482
	Name <Nm>	[0..1]	Text		1482
	OtherIdentification <OthrId>	[0..5]	Text		1482
	AdditionalInformation <AddtlInf>	[0..*]	±		1482
	Quantity <Qty>	[1..1]	±		1482
	TransferType <TrfTp>	[1..1]	±	C30	1483
	Conversion <Convs>	[0..1]	±		1483
	UnitsDetails <UnitsDtls>	[0..*]	±		1484
	ClientReference <ClntRef>	[0..1]	±		1485
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1486
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1486
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C2, C10	1486
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	1487
	TotalBookValue <TtlBookVal>	[0..1]	±		1487
	OriginalCost <OrgnlCost>	[0..1]	Amount	C2	1487
	LatestValuation <LatstValtn>	[0..1]	±		1488
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1488
	Transferor <Trfr>	[0..1]	±		1488
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		1489
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		1489
	EffectiveTransferDate <FctvTrfDt>	[0..1]	±		1489
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		1489
	PaymentDetails <PmtDtls>	[0..1]	±		1490
	CrystallisationDetails <CrstllstnDtls>	[0..*]	±		1492
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1493
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1493
	AdditionalInformation <AddtlInf>	[0..*]	±		1494

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/Percentage must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

- **Conversion1Rule**

If TransferType/Code/CONV is present, then Conversion must be present.

- **Conversion2Rule**

If TransferType/Code/CONV is not present, then Conversion is not allowed.

- **EffectiveDatesGuideline**

When the portfolio transfer confirmation is used to confirm the intent to transfer, effective transfer date and effective settlement date are not applicable.

65.1.18.22.1 Lineldentification <Lineld>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2764

65.1.18.22.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]		C11	1475
	Identification <Id>	[1..1]			1476
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1476
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1477
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1477
Or	RIC <RIC>	[1..1]	IdentifierSet		1477
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1477
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1477
Or	CTA <CTA>	[1..1]	IdentifierSet		1477
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1477
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1478
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1478
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1478
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1478
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1478
Or	Common <Cmon>	[1..1]	IdentifierSet		1478
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1478
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479
	Name <Nm>	[0..1]	Text		1479
	ShortName <ShrtNm>	[0..1]	Text		1479
Or	CashAsset <CshAsst>	[1..1]			1479
	CashAssetType <CshAsstTp>	[1..1]			1479
{Or	Code <Cd>	[1..1]	CodeSet		1480
Or}	Proprietary <Prtry>	[1..1]	±		1480
	AdditionalInformation <AddtlInf>	[0..1]	±		1480
Or}	OtherAsset <OthrAsst>	[1..1]			1480
	OtherAssetType <OthrAsstTp>	[1..1]			1481
{Or	Code <Cd>	[1..1]	CodeSet		1481
Or}	Proprietary <Prtry>	[1..1]	±		1481
	Identification <Id>	[1..1]	Text		1482

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1482
	OtherIdentification <OthrId>	[0..5]	Text		1482
	AdditionalInformation <AddtlInf>	[0..*]	±		1482

65.1.18.22.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C11 "IdentificationGuideline"

Security <Scty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1476
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1476
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1477
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1477
Or	RIC <RIC>	[1..1]	IdentifierSet		1477
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1477
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1477
Or	CTA <CTA>	[1..1]	IdentifierSet		1477
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1477
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1478
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1478
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1478
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1478
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1478
Or	Common <Cmon>	[1..1]	IdentifierSet		1478
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1478
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479
	Name <Nm>	[0..1]	Text		1479
	ShortName <ShrtNm>	[0..1]	Text		1479

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.22.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1476
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1477
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1477
Or	RIC <RIC>	[1..1]	IdentifierSet		1477
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1477
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1477
Or	CTA <CTA>	[1..1]	IdentifierSet		1477
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1477
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1478
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1478
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1478
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1478
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1478
Or	Common <Cmon>	[1..1]	IdentifierSet		1478
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1478
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479

65.1.18.22.2.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.22.2.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.22.2.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.22.2.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.22.2.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.22.2.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.22.2.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.22.2.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.22.2.1.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.18.22.2.1.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.18.22.2.1.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.18.22.2.1.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.18.22.2.1.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.18.22.2.1.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.18.22.2.1.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1479
	IdentificationSource <IdSrc>	[1..1]	±		1479

65.1.18.22.2.1.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.18.22.2.1.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.22.2.1.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.18.22.2.1.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.22.2.2 CashAsset <CshAsst>***Presence:* [1..1]*Definition:* Identification of the cash asset.**CashAsset <CshAsst>** contains the following **CashAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1479
{Or	Code <Cd>	[1..1]	CodeSet		1480
Or}	Proprietary <Prtry>	[1..1]	±		1480
	AdditionalInformation <AddtlInf>	[0..1]	±		1480

65.1.18.22.2.2.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1480
Or}	Proprietary <Prtry>	[1..1]	±		1480

65.1.18.22.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash expressed as a code.

Datatype: "CashAssetType1Code" on page 2654

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

65.1.18.22.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.22.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cash asset.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.22.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following **OtherAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1481
{Or	Code <Cd>	[1..1]	CodeSet		1481
Or}	Proprietary <Prtry>	[1..1]	±		1481
	Identification <Id>	[1..1]	Text		1482
	Name <Nm>	[0..1]	Text		1482
	OtherIdentification <OthrId>	[0..5]	Text		1482
	AdditionalInformation <AddtlInf>	[0..*]	±		1482

65.1.18.22.2.3.1 OtherAssetType <OthrAsstTp>

Presence: [1..1]

Definition: Type of asset.

OtherAssetType <OthrAsstTp> contains one of the following **OtherAsset1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1481
Or}	Proprietary <Prtry>	[1..1]	±		1481

65.1.18.22.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Other asset type specified as a code.

Datatype: "OtherAsset1Code" on page 2702

CodeName	Name	Definition
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.

65.1.18.22.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Other asset type specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.22.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Technical identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.22.2.3.3 Name <Nm>

Presence: [0..1]

Definition: Name of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.22.2.3.4 OtherIdentification <OthrId>

Presence: [0..5]

Definition: Addition identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.22.2.3.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the other asset.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.22.3 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of asset to be transferred.

Quantity <Qty> contains one of the following elements (see "[Quantity44Choice](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2327
Or	PercentageRate <PctgRate>	[1..1]	Rate		2328
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2328
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		2328
Or	CashAmount <CshAmt>	[1..1]	Amount	C2	2328
Or}	OtherAsset <OthrAsst>	[1..1]	Text		2328

65.1.18.22.4 TransferType <TrfTp>

Presence: [1..1]

Definition: Specifies how the financial instrument is transferred.

Impacted by: [C30 "SECUGuideline"](#)

TransferType <TrfTp> contains one of the following elements (see "[TransferType1Choice](#)" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2601
Or}	Proprietary <Prtry>	[1..1]	±		2601

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

65.1.18.22.5 Conversion <Convs>

Presence: [0..1]

Definition: Security is to be converted into another security before transfer.

Conversion <Convs> contains the following elements (see "Conversion1" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C11	2595
	Identification <Id>	[1..1]			2596
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2597
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2597
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2597
Or	RIC <RIC>	[1..1]	IdentifierSet		2598
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2598
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2598
Or	CTA <CTA>	[1..1]	IdentifierSet		2598
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2598
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2598
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2598
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		2599
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2599
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2599
Or	Common <Cmon>	[1..1]	IdentifierSet		2599
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			2599
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599
	Name <Nm>	[0..1]	Text		2600
	ShortName <ShrtNm>	[0..1]	Text		2600
	AdditionalInformation <AddtlInf>	[0..*]	±		2600

65.1.18.22.6 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1809
	OrderDate <OrdDt>	[0..1]	Date		1810
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1810
	CertificateNumber <CertNb>	[0..*]	Text		1810
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1810
	Reference <Ref>	[0..1]	Text		1810
	PriceDetails <PricDtls>	[0..1]			1810
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813
	TransactionOverhead <TxOvrhd>	[0..1]		C24, C35	1813
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816
	OtherAmount <OthrAmt>	[0..*]			1817
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

65.1.18.22.7 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.22.8 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.22.9 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2651

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.1.18.22.10 AverageAcquisitionPrice <AvrgAcqstnPric>

Presence: [0..1]

Definition: Average cost per share of the security, including all fees.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.18.22.11 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.22.12 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see "DateAndAmount2" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.22.13 OriginalCost <OrgnlCost>

Presence: [0..1]

Definition: Original amount paid for the asset at the point of purchase. May also be known as the book cost.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.22.14 LatestValuation <LatstValtn>

Presence: [0..1]

Definition: Date and market value of the asset.

LatestValuation <LatstValtn> contains the following elements (see "[DateAndAmount2](#)" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.22.15 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "[Account28](#)" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <Acctld>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.22.16 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <AcctId>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.22.17 RequestedTradeDate <ReqdTradDt>

Presence: [0..1]

Definition: Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.18.22.18 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date on which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.18.22.19 EffectiveTransferDate <FctvTrfDt>

Presence: [0..1]

Definition: Date and time at which the transfer was executed.

EffectiveTransferDate <FctvTrfDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.18.22.20 EffectiveSettlementDate <FctvSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.18.22.21 PaymentDetails <PmtDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2162 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2164
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2164
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

65.1.18.22.22 CrystallisationDetails <CrstllstnDtls>

Presence: [0..*]

Definition: Specifies the number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation1" on page 1438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1438
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1438
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1438
	AdditionalInformation <AddtlInf>	[0..*]	±		1439

65.1.18.22.23 TaxValuationPoint <TaxValtnPt>

Presence: [0..1]

Definition: Date or tax quarter used for the calculation of tax on the asset.

TaxValuationPoint <TaxValtnPt> contains the following elements (see "Tax36" on page 2536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			2536
{Or	Date <Dt>	[1..1]	Date		2536
Or}	Period <Prd>	[1..1]	Text		2536
	AdditionalInformation <AddtlInf>	[0..*]	±		2536

65.1.18.22.24 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters14" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2590
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2590
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2590
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2591
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2591
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2592

65.1.18.22.25 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.23 FinancialInstrument69

Definition: Identification of a security or other asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LineIdentification <LineId>	[0..1]	Text		1497
	Instrument <Instrm>	[1..1]			1497
{Or	Security <Scty>	[1..1]		C11	1499
	Identification <Id>	[1..1]			1500
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1500
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1501
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1501
Or	RIC <RIC>	[1..1]	IdentifierSet		1501
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1501
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1501
Or	CTA <CTA>	[1..1]	IdentifierSet		1501
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1501
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1502
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1502
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1502
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1502
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1502
Or	Common <Cmon>	[1..1]	IdentifierSet		1502
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1502
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503
	Name <Nm>	[0..1]	Text		1503
	ShortName <ShrtNm>	[0..1]	Text		1503
Or	CashAsset <CshAsst>	[1..1]			1503
	CashAssetType <CshAsstTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1504
Or}	Proprietary <Prtry>	[1..1]	±		1504
	AdditionalInformation <AddtlInf>	[0..1]	±		1504
Or}	OtherAsset <OthrAsst>	[1..1]			1504
	OtherAssetType <OthrAsstTp>	[1..1]			1505
{Or	Code <Cd>	[1..1]	CodeSet		1505

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1505
	Identification <Id>	[1..1]	Text		1506
	Name <Nm>	[0..1]	Text		1506
	OtherIdentification <OthrId>	[0..5]	Text		1506
	AdditionalInformation <AddtlInf>	[0..*]	±		1506
	Quantity <Qty>	[0..1]	±		1506
	TransferType <TrfTp>	[1..1]	±	C30	1507
	Conversion <Convs>	[0..1]	±		1507
	UnitsDetails <UnitsDtls>	[0..*]	±		1508
	ClientReference <ClntRef>	[0..1]	±		1509
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1510
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1510
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C3, C12	1510
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	1511
	TotalBookValue <TtlBookVal>	[0..1]	±		1511
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1511
	Transferor <Trfr>	[0..1]	±		1512
	RequestedTransferDate <ReqdTrfDt>	[0..1]	Date		1512
	RequestedTradeDate <ReqdTradDt>	[0..1]	Date		1512
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		1512
	PaymentDetails <PmtDtls>	[0..1]	±		1513
	CrystallisationDetails <CrstlStnDtls>	[0..1]	±		1515
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1516
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1516
	AdditionalInformation <AddtlInf>	[0..*]	±		1517

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present and Quantity is present, then Quantity/Unit or Quantity/Percentage must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present and Quantity is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present and Quantity is present, then Quantity/OtherAsset must be present.

- **Conversion1Rule**

If TransferType/Code/CONV is present, then Conversion must be present.

- **Conversion2Rule**

If TransferType/Code/CONV is not present, then Conversion is not allowed.

65.1.18.23.1 Lineldentification <Lineld>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2764

65.1.18.23.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]		C11	1499
	Identification <Id>	[1..1]			1500
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1500
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1501
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1501
Or	RIC <RIC>	[1..1]	IdentifierSet		1501
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1501
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1501
Or	CTA <CTA>	[1..1]	IdentifierSet		1501
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1501
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1502
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1502
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1502
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1502
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1502
Or	Common <Cmon>	[1..1]	IdentifierSet		1502
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1502
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503
	Name <Nm>	[0..1]	Text		1503
	ShortName <ShrtNm>	[0..1]	Text		1503
Or	CashAsset <CshAsst>	[1..1]			1503
	CashAssetType <CshAsstTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1504
Or}	Proprietary <Prtry>	[1..1]	±		1504
	AdditionalInformation <AddtlInf>	[0..1]	±		1504
Or}	OtherAsset <OthrAsst>	[1..1]			1504
	OtherAssetType <OthrAsstTp>	[1..1]			1505
{Or	Code <Cd>	[1..1]	CodeSet		1505
Or}	Proprietary <Prtry>	[1..1]	±		1505
	Identification <Id>	[1..1]	Text		1506

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1506
	OtherIdentification <OthrId>	[0..5]	Text		1506
	AdditionalInformation <AddtlInf>	[0..*]	±		1506

65.1.18.23.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C11 "IdentificationGuideline"

Security <Scty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1500
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1500
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1501
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1501
Or	RIC <RIC>	[1..1]	IdentifierSet		1501
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1501
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1501
Or	CTA <CTA>	[1..1]	IdentifierSet		1501
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1501
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1502
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1502
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1502
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1502
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1502
Or	Common <Cmon>	[1..1]	IdentifierSet		1502
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1502
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503
	Name <Nm>	[0..1]	Text		1503
	ShortName <ShrtNm>	[0..1]	Text		1503

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.23.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1500
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1501
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1501
Or	RIC <RIC>	[1..1]	IdentifierSet		1501
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1501
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1501
Or	CTA <CTA>	[1..1]	IdentifierSet		1501
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1501
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1502
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1502
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1502
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1502
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1502
Or	Common <Cmon>	[1..1]	IdentifierSet		1502
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1502
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503

65.1.18.23.2.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.23.2.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.23.2.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.23.2.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.23.2.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.23.2.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.23.2.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.23.2.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.23.2.1.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.18.23.2.1.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.18.23.2.1.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.18.23.2.1.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.18.23.2.1.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.18.23.2.1.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.18.23.2.1.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1503
	IdentificationSource <IdSrc>	[1..1]	±		1503

65.1.18.23.2.1.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.18.23.2.1.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.23.2.1.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.18.23.2.1.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.23.2.2 CashAsset <CshAsst>***Presence:* [1..1]*Definition:* Identification of the cash asset.**CashAsset <CshAsst>** contains the following **CashAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1503
{Or	Code <Cd>	[1..1]	CodeSet		1504
Or}	Proprietary <Prtry>	[1..1]	±		1504
	AdditionalInformation <AddtlInf>	[0..1]	±		1504

65.1.18.23.2.2.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1504
Or}	Proprietary <Prtry>	[1..1]	±		1504

65.1.18.23.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash expressed as a code.

Datatype: "CashAssetType1Code" on page 2654

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

65.1.18.23.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.23.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cash asset.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.23.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following **OtherAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1505
{Or	Code <Cd>	[1..1]	CodeSet		1505
Or}	Proprietary <Prtry>	[1..1]	±		1505
	Identification <Id>	[1..1]	Text		1506
	Name <Nm>	[0..1]	Text		1506
	OtherIdentification <OthrId>	[0..5]	Text		1506
	AdditionalInformation <AddtlInf>	[0..*]	±		1506

65.1.18.23.2.3.1 OtherAssetType <OthrAsstTp>

Presence: [1..1]

Definition: Type of asset.

OtherAssetType <OthrAsstTp> contains one of the following **OtherAsset1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1505
Or}	Proprietary <Prtry>	[1..1]	±		1505

65.1.18.23.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Other asset type specified as a code.

Datatype: "OtherAsset1Code" on page 2702

CodeName	Name	Definition
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.

65.1.18.23.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Other asset type specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.23.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Technical identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.23.2.3.3 Name <Nm>

Presence: [0..1]

Definition: Name of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.23.2.3.4 OtherIdentification <OthrId>

Presence: [0..5]

Definition: Addition identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.23.2.3.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the other asset.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.23.3 Quantity <Qty>

Presence: [0..1]

Definition: Quantity of asset to be transferred.

Quantity <Qty> contains one of the following elements (see "[Quantity44Choice](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2327
Or	PercentageRate <PctgRate>	[1..1]	Rate		2328
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2328
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		2328
Or	CashAmount <CshAmt>	[1..1]	Amount	C2	2328
Or}	OtherAsset <OthrAsst>	[1..1]	Text		2328

65.1.18.23.4 TransferType <TrfTp>

Presence: [1..1]

Definition: Specifies how the financial instrument is transferred.

Impacted by: [C30 "SECUGuideline"](#)

TransferType <TrfTp> contains one of the following elements (see "[TransferType1Choice](#)" on page 2600 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2601
Or}	Proprietary <Prtry>	[1..1]	±		2601

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

65.1.18.23.5 Conversion <Convs>

Presence: [0..1]

Definition: Security is to be converted into another security before transfer.

Conversion <Convs> contains the following elements (see "Conversion1" on page 2594 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C11	2595
	Identification <Id>	[1..1]			2596
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2597
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2597
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2597
Or	RIC <RIC>	[1..1]	IdentifierSet		2598
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2598
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2598
Or	CTA <CTA>	[1..1]	IdentifierSet		2598
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2598
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2598
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2598
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		2599
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2599
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2599
Or	Common <Cmon>	[1..1]	IdentifierSet		2599
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			2599
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599
	Name <Nm>	[0..1]	Text		2600
	ShortName <ShrtNm>	[0..1]	Text		2600
	AdditionalInformation <AddtlInf>	[0..*]	±		2600

65.1.18.23.6 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1809
	OrderDate <OrdrDt>	[0..1]	Date		1810
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1810
	CertificateNumber <CertNb>	[0..*]	Text		1810
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1810
	Reference <Ref>	[0..1]	Text		1810
	PriceDetails <PricDtls>	[0..1]			1810
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813
	TransactionOverhead <TxOvrhd>	[0..1]		C24, C35	1813
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816
	OtherAmount <OthrAmt>	[0..*]			1817
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

65.1.18.23.7 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.23.8 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.23.9 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2651

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.1.18.23.10 AverageAcquisitionPrice <AvrgAcqstnPric>

Presence: [0..1]

Definition: Average cost per share of the security, including all fees.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.18.23.11 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.23.12 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see "DateAndAmount2" on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.23.13 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <Acctld>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.23.14 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <Acctld>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.23.15 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer.

Datatype: "ISODate" on page 2749

65.1.18.23.16 RequestedTradeDate <ReqdTradDt>

Presence: [0..1]

Definition: Date to be used as the trade date when securities are settled through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.18.23.17 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.18.23.18 PaymentDetails <PmtDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

PaymentDetails <PmtDtls> contains the following elements (see "PaymentInstrument14" on page 2162 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2164
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2164
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

65.1.18.23.19 CrystallisationDetails <CrstllstnDtls>

Presence: [0..1]

Definition: Specifies the number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation1" on page 1438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <TrchId>	[1..1]	Text		1438
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1438
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1438
	AdditionalInformation <AddtlInf>	[0..*]	±		1439

65.1.18.23.20 TaxValuationPoint <TaxValtnPt>

Presence: [0..1]

Definition: Date or tax quarter used for the calculation of tax on the asset.

TaxValuationPoint <TaxValtnPt> contains the following elements (see "Tax36" on page 2536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			2536
{Or	Date <Dt>	[1..1]	Date		2536
Or}	Period <Prd>	[1..1]	Text		2536
	AdditionalInformation <AddtlInf>	[0..*]	±		2536

65.1.18.23.21 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "FundSettlementParameters14" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2590
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2590
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2590
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2591
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2591
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2592

65.1.18.23.22 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.24 FinancialInstrument68

Definition: Identification of a security or other asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Lineldentification <Lineld>	[0..1]	Text		1519
	Instrument <Instrm>	[1..1]			1520
{Or	Security <Scty>	[1..1]		C11	1522
	Identification <Id>	[1..1]			1523
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1523
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1524
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1524
Or	RIC <RIC>	[1..1]	IdentifierSet		1524
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1524
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1524
Or	CTA <CTA>	[1..1]	IdentifierSet		1524
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1524
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1525
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1525
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1525
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1525
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1525
Or	Common <Cmon>	[1..1]	IdentifierSet		1525
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1525
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526
	Name <Nm>	[0..1]	Text		1526
	ShortName <ShrtNm>	[0..1]	Text		1526
Or	CashAsset <CshAsst>	[1..1]			1526
	CashAssetType <CshAsstTp>	[1..1]			1526
{Or	Code <Cd>	[1..1]	CodeSet		1527
Or}	Proprietary <Prtry>	[1..1]	±		1527
	AdditionalInformation <AddtlInf>	[0..1]	±		1527
Or}	OtherAsset <OthrAsst>	[1..1]			1527
	OtherAssetType <OthrAsstTp>	[1..1]			1528
{Or	Code <Cd>	[1..1]	CodeSet		1528

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1528
	Identification <Id>	[1..1]	Text		1529
	Name <Nm>	[0..1]	Text		1529
	OtherIdentification <OthrId>	[0..5]	Text		1529
	AdditionalInformation <AddtlInf>	[0..*]	±		1529
	Quantity <Qty>	[1..1]	±		1529
	UnitsDetails <UnitsDtls>	[0..*]	±		1530
	ClientReference <ClntRef>	[0..1]	±		1531
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1532
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		1532
	AverageAcquisitionPrice <AvrgAcqstnPric>	[0..1]	Amount	C3, C12	1532
	TotalBookValue <TtlBookVal>	[0..1]	±		1533
	LatestValuation <LatstValtn>	[0..1]	±		1533
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1533
	Transferor <Trfr>	[0..1]	±		1534
	CrystallisationDetails <CrstlStnDtls>	[0..*]	±		1534
	TaxValuationPoint <TaxValtnPt>	[0..1]	±		1535
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±		1535
	AdditionalInformation <AddtlInf>	[0..*]	±		1535

Constraints

- **AssetQuantity1Guideline**

If Instrument/Security is present, then Quantity/Unit or Quantity/PercentageRate must be present.

- **AssetQuantity2Guideline**

If Instrument/CashAsset is present, then Quantity/CashAmount must be present.

- **AssetQuantity3Guideline**

If Instrument/OtherAsset is present, then Quantity/OtherAsset must be present.

65.1.18.24.1 LineIdentification <LineId>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2764

65.1.18.24.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]		C11	1522
	Identification <Id>	[1..1]			1523
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1523
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1524
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1524
Or	RIC <RIC>	[1..1]	IdentifierSet		1524
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1524
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1524
Or	CTA <CTA>	[1..1]	IdentifierSet		1524
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1524
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1525
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1525
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1525
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1525
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1525
Or	Common <Cmon>	[1..1]	IdentifierSet		1525
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1525
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526
	Name <Nm>	[0..1]	Text		1526
	ShortName <ShrtNm>	[0..1]	Text		1526
Or	CashAsset <CshAsst>	[1..1]			1526
	CashAssetType <CshAsstTp>	[1..1]			1526
{Or	Code <Cd>	[1..1]	CodeSet		1527
Or}	Proprietary <Prtry>	[1..1]	±		1527
	AdditionalInformation <AddtlInf>	[0..1]	±		1527
Or}	OtherAsset <OthrAsst>	[1..1]			1527
	OtherAssetType <OthrAsstTp>	[1..1]			1528
{Or	Code <Cd>	[1..1]	CodeSet		1528
Or}	Proprietary <Prtry>	[1..1]	±		1528
	Identification <Id>	[1..1]	Text		1529

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1529
	OtherIdentification <OthrId>	[0..5]	Text		1529
	AdditionalInformation <AddtlInf>	[0..*]	±		1529

65.1.18.24.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C11 "IdentificationGuideline"

Security <Scty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1523
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1523
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1524
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1524
Or	RIC <RIC>	[1..1]	IdentifierSet		1524
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1524
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1524
Or	CTA <CTA>	[1..1]	IdentifierSet		1524
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1524
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1525
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1525
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1525
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1525
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1525
Or	Common <Cmon>	[1..1]	IdentifierSet		1525
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1525
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526
	Name <Nm>	[0..1]	Text		1526
	ShortName <ShrtNm>	[0..1]	Text		1526

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.24.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1523
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1524
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1524
Or	RIC <RIC>	[1..1]	IdentifierSet		1524
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1524
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1524
Or	CTA <CTA>	[1..1]	IdentifierSet		1524
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1524
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1525
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1525
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1525
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1525
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1525
Or	Common <Cmon>	[1..1]	IdentifierSet		1525
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1525
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526

65.1.18.24.2.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.24.2.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.24.2.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.24.2.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.24.2.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.24.2.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.24.2.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.24.2.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.24.2.1.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.18.24.2.1.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.18.24.2.1.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.18.24.2.1.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.18.24.2.1.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.18.24.2.1.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.18.24.2.1.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1526
	IdentificationSource <IdSrc>	[1..1]	±		1526

65.1.18.24.2.1.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.18.24.2.1.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.24.2.1.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.18.24.2.1.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.24.2.2 CashAsset <CshAsst>***Presence:* [1..1]*Definition:* Identification of the cash asset.**CashAsset <CshAsst>** contains the following **CashAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1526
{Or	Code <Cd>	[1..1]	CodeSet		1527
Or}	Proprietary <Prtry>	[1..1]	±		1527
	AdditionalInformation <AddtlInf>	[0..1]	±		1527

65.1.18.24.2.2.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1527
Or}	Proprietary <Prtry>	[1..1]	±		1527

65.1.18.24.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash expressed as a code.

Datatype: "CashAssetType1Code" on page 2654

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

65.1.18.24.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.24.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cash asset.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.24.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following **OtherAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1528
{Or	Code <Cd>	[1..1]	CodeSet		1528
Or}	Proprietary <Prtry>	[1..1]	±		1528
	Identification <Id>	[1..1]	Text		1529
	Name <Nm>	[0..1]	Text		1529
	OtherIdentification <OthrId>	[0..5]	Text		1529
	AdditionalInformation <AddtlInf>	[0..*]	±		1529

65.1.18.24.2.3.1 OtherAssetType <OthrAsstTp>

Presence: [1..1]

Definition: Type of asset.

OtherAssetType <OthrAsstTp> contains one of the following **OtherAsset1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1528
Or}	Proprietary <Prtry>	[1..1]	±		1528

65.1.18.24.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Other asset type specified as a code.

Datatype: "OtherAsset1Code" on page 2702

CodeName	Name	Definition
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.

65.1.18.24.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Other asset type specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.24.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Technical identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.24.2.3.3 Name <Nm>

Presence: [0..1]

Definition: Name of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.24.2.3.4 OtherIdentification <OthrId>

Presence: [0..5]

Definition: Addition identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.24.2.3.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the other asset.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.24.3 Quantity <Qty>

Presence: [1..1]

Definition: Specifies the quantity of assets to be transferred in units or in a percentage rate.

Quantity <Qty> contains one of the following elements (see "[Quantity44Choice](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2327
Or	PercentageRate <PctgRate>	[1..1]	Rate		2328
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2328
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		2328
Or	CashAmount <CshAmt>	[1..1]	Amount	C2	2328
Or}	OtherAsset <OthrAsst>	[1..1]	Text		2328

65.1.18.24.4 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit11" on page 1808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1809
	OrderDate <OrdDt>	[0..1]	Date		1810
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1810
	CertificateNumber <CertNb>	[0..*]	Text		1810
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1810
	Reference <Ref>	[0..1]	Text		1810
	PriceDetails <PricDtls>	[0..1]			1810
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813
	TransactionOverhead <TxOvrhd>	[0..1]		C24, C35	1813
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816
	OtherAmount <OthrAmt>	[0..*]			1817
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

65.1.18.24.5 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.24.6 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.24.7 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Business process in which the actors are involved. This will facilitate the right business process to be triggered, according to the market business model, which may require matching instructions (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2651

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.1.18.24.8 AverageAcquisitionPrice <AvrgAcqstnPric>

Presence: [0..1]

Definition: Average cost per share of the security, including all fees.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.18.24.9 TotalBookValue <TtlBookVal>

Presence: [0..1]

Definition: Net asset on the balance sheet, the total portfolio value minus or plus the unrealised gain or loss.

TotalBookValue <TtlBookVal> contains the following elements (see ["DateAndAmount2"](#) on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.24.10 LatestValuation <LatstValtn>

Presence: [0..1]

Definition: Date and market value of the asset.

LatestValuation <LatstValtn> contains the following elements (see ["DateAndAmount2"](#) on page 1261 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1262
	Amount <Amt>	[1..1]	Amount	C3, C12	1262

65.1.18.24.11 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <Acctld>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.24.12 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <Acctld>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.24.13 CrystallisationDetails <CrstllstnDtls>

Presence: [0..*]

Definition: Specifies the number of units that have been received (crystallised) or not yet received (uncrystallised) from the fund. This is typically relevant to a pension fund.

CrystallisationDetails <CrstllstnDtls> contains the following elements (see "Crystallisation1" on page 1438 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheIdentification <Trchld>	[1..1]	Text		1438
	CrystallisedUnitsNumber <CrstllsdUnitsNb>	[0..1]	Quantity		1438
	UncrystallisedUnitsNumber <UcrstllsdUnitsNb>	[0..1]	Quantity		1438
	AdditionalInformation <AddtlInf>	[0..*]	±		1439

65.1.18.24.14 TaxValuationPoint <TaxValtnPt>*Presence:* [0..1]*Definition:* Date or tax quarter used for the calculation of tax on the asset.**TaxValuationPoint <TaxValtnPt>** contains the following elements (see "Tax36" on page 2536 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			2536
{Or	Date <Dt>	[1..1]	Date		2536
Or}	Period <Prd>	[1..1]	Text		2536
	AdditionalInformation <AddtlInf>	[0..*]	±		2536

65.1.18.24.15 SettlementPartiesDetails <SttlmPtiesDtls>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of a transaction.**SettlementPartiesDetails <SttlmPtiesDtls>** contains the following elements (see "FundSettlementParameters14" on page 2589 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2590
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2590
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2590
	SecuritiesSettlementSystemIdentification <ScitiesSttlmSysId>	[0..1]	Text		2591
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2591
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2592

65.1.18.24.16 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

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Definition: Identification of a security or other asset.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Lineldentification <Lineld>	[0..1]	Text		1538
	Instrument <Instrm>	[1..1]			1538
{Or	Security <Scty>	[1..1]		C11	1540
	Identification <Id>	[1..1]			1541
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1541
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1542
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1542
Or	RIC <RIC>	[1..1]	IdentifierSet		1542
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1542
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1542
Or	CTA <CTA>	[1..1]	IdentifierSet		1542
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1542
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1543
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1543
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1543
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1543
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1543
Or	Common <Cmon>	[1..1]	IdentifierSet		1543
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1543
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544
	Name <Nm>	[0..1]	Text		1544
	ShortName <ShrtNm>	[0..1]	Text		1544
Or	CashAsset <CshAsst>	[1..1]			1544
	CashAssetType <CshAsstTp>	[1..1]			1544
{Or	Code <Cd>	[1..1]	CodeSet		1545
Or}	Proprietary <Prtry>	[1..1]	±		1545
	AdditionalInformation <AddtlInf>	[0..1]	±		1545
Or}	OtherAsset <OthrAsst>	[1..1]			1545
	OtherAssetType <OthrAsstTp>	[1..1]			1546
{Or	Code <Cd>	[1..1]	CodeSet		1546

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1546
	Identification <Id>	[1..1]	Text		1547
	Name <Nm>	[0..1]	Text		1547
	OtherIdentification <OthrId>	[0..5]	Text		1547
	AdditionalInformation <AddtlInf>	[0..*]	±		1547
	ClientReference <ClntRef>	[0..1]	±		1547
	CounterpartyReference <CtrPtyRef>	[0..1]	±		1548
	TransfereeAccount <TrfeeAcct>	[0..1]	±		1548
	Transferor <Trfr>	[0..1]	±		1548
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]			1549
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1549
	TradeTransactionCondition <TradTxCond>	[0..*]	±		1550
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		1550
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		1550
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	1551
	AdditionalInformation <AddtlInf>	[0..*]	±		1552

65.1.18.25.1 LinelIdentification <LinelId>

Presence: [0..1]

Definition: Identification of the line of holding in the portfolio.

Datatype: "Max35Text" on page 2764

65.1.18.25.2 Instrument <Instrm>

Presence: [1..1]

Definition: Identification of the asset.

Instrument <Instrm> contains one of the following **FinancialInstrument1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Security <Scty>	[1..1]		C11	1540
	Identification <Id>	[1..1]			1541
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1541
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1542
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1542
Or	RIC <RIC>	[1..1]	IdentifierSet		1542
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1542
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1542
Or	CTA <CTA>	[1..1]	IdentifierSet		1542
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1542
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1543
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1543
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1543
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1543
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1543
Or	Common <Cmon>	[1..1]	IdentifierSet		1543
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1543
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544
	Name <Nm>	[0..1]	Text		1544
	ShortName <ShrtNm>	[0..1]	Text		1544
Or	CashAsset <CshAsst>	[1..1]			1544
	CashAssetType <CshAsstTp>	[1..1]			1544
{Or	Code <Cd>	[1..1]	CodeSet		1545
Or}	Proprietary <Prtry>	[1..1]	±		1545
	AdditionalInformation <AddtlInf>	[0..1]	±		1545
Or}	OtherAsset <OthrAsst>	[1..1]			1545
	OtherAssetType <OthrAsstTp>	[1..1]			1546
{Or	Code <Cd>	[1..1]	CodeSet		1546
Or}	Proprietary <Prtry>	[1..1]	±		1546
	Identification <Id>	[1..1]	Text		1547

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1547
	OtherIdentification <OthrId>	[0..5]	Text		1547
	AdditionalInformation <AddtlInf>	[0..*]	±		1547

65.1.18.25.2.1 Security <Scty>

Presence: [1..1]

Definition: Identification of the security.

Impacted by: C11 "IdentificationGuideline"

Security <Scty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1541
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1541
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1542
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1542
Or	RIC <RIC>	[1..1]	IdentifierSet		1542
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1542
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1542
Or	CTA <CTA>	[1..1]	IdentifierSet		1542
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1542
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1543
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1543
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1543
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1543
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1543
Or	Common <Cmon>	[1..1]	IdentifierSet		1543
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1543
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544
	Name <Nm>	[0..1]	Text		1544
	ShortName <ShrtNm>	[0..1]	Text		1544

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.25.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1541
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1542
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1542
Or	RIC <RIC>	[1..1]	IdentifierSet		1542
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1542
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1542
Or	CTA <CTA>	[1..1]	IdentifierSet		1542
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1542
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1543
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1543
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1543
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1543
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1543
Or	Common <Cmon>	[1..1]	IdentifierSet		1543
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1543
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544

65.1.18.25.2.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.25.2.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.25.2.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.25.2.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.25.2.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.25.2.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.25.2.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.25.2.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.25.2.1.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.18.25.2.1.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.18.25.2.1.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.18.25.2.1.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.18.25.2.1.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.18.25.2.1.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.18.25.2.1.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1544
	IdentificationSource <IdSrc>	[1..1]	±		1544

65.1.18.25.2.1.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.18.25.2.1.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.25.2.1.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.18.25.2.1.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.25.2.2 CashAsset <CshAsst>***Presence:* [1..1]*Definition:* Identification of the cash asset.**CashAsset <CshAsst>** contains the following **CashAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAssetType <CshAsstTp>	[1..1]			1544
{Or	Code <Cd>	[1..1]	CodeSet		1545
Or}	Proprietary <Prtry>	[1..1]	±		1545
	AdditionalInformation <AddtlInf>	[0..1]	±		1545

65.1.18.25.2.2.1 CashAssetType <CshAsstTp>*Presence:* [1..1]*Definition:* Type of cash asset.

CashAssetType <CshAsstTp> contains one of the following **CashAssetType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1545
Or}	Proprietary <Prtry>	[1..1]	±		1545

65.1.18.25.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of cash expressed as a code.

Datatype: "CashAssetType1Code" on page 2654

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

65.1.18.25.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.25.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the cash asset.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.25.2.3 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Identification of another kind of asset in the holding that is not a security or cash.

OtherAsset <OthrAsst> contains the following **OtherAsset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherAssetType <OthrAsstTp>	[1..1]			1546
{Or	Code <Cd>	[1..1]	CodeSet		1546
Or}	Proprietary <Prtry>	[1..1]	±		1546
	Identification <Id>	[1..1]	Text		1547
	Name <Nm>	[0..1]	Text		1547
	OtherIdentification <OthrId>	[0..5]	Text		1547
	AdditionalInformation <AddtlInf>	[0..*]	±		1547

65.1.18.25.2.3.1 OtherAssetType <OthrAsstTp>

Presence: [1..1]

Definition: Type of asset.

OtherAssetType <OthrAsstTp> contains one of the following **OtherAsset1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1546
Or}	Proprietary <Prtry>	[1..1]	±		1546

65.1.18.25.2.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Other asset type specified as a code.

Datatype: "OtherAsset1Code" on page 2702

CodeName	Name	Definition
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.

65.1.18.25.2.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Other asset type specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.18.25.2.3.2 Identification <Id>

Presence: [1..1]

Definition: Technical identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.25.2.3.3 Name <Nm>

Presence: [0..1]

Definition: Name of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.25.2.3.4 OtherIdentification <OthrId>

Presence: [0..5]

Definition: Addition identification of the asset.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.25.2.3.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the other asset.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.25.3 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference10](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.25.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "[AdditionalReference10](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.18.25.5 TransfereeAccount <TrfeeAcct>

Presence: [0..1]

Definition: Account held in the name of the party that is not the name of the beneficial owner of the shares.

(Party and account into which the transferee receives the financial instrument from the transferor.)

TransfereeAccount <TrfeeAcct> contains the following elements (see "[Account28](#)" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <AcctId>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.25.6 Transferor <Trfr>

Presence: [0..1]

Definition: Party and account from which the transferor delivers the financial instrument to the transferee.

Transferor <Trfr> contains the following elements (see "Account28" on page 1655 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <Ownrld>	[0..1]	±		1656
	AccountIdentification <AcctId>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.18.25.7 SettlementPartiesDetails <SttlmPtiesDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

SettlementPartiesDetails <SttlmPtiesDtls> contains the following **FundSettlementParameters13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1549
	TradeTransactionCondition <TradTxCond>	[0..*]	±		1550
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		1550
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		1550
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	1551

65.1.18.25.7.1 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2334
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2334
	Identification <Id>	[0..1]	Text		2335
Or	Country <Ctry>	[1..1]	CodeSet	C11	2335
Or	TypeAndIdentification <TpAndId>	[1..1]			2335
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2335
	Identification <Id>	[1..1]	IdentifierSet	C4	2336
Or}	Proprietary <Prtry>	[1..1]	±		2336

65.1.18.25.7.2 TradeTransactionCondition <TradTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2584
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.18.25.7.3 SettlementTransactionCondition <SttlmTxCond>

Presence: [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2585
Or}	Proprietary <Prtry>	[1..1]	±		2585

65.1.18.25.7.4 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>

Presence: [0..1]

Definition: Identification of a specific system or set of rules and/or processes to be applied at the settlement place.

Datatype: "Max35Text" on page 2764

65.1.18.25.7.5 ReceivingSideDetails <RcvgSdDtls>

Presence: [0..1]

Definition: Chain of receiving settlement parties.

Impacted by: C5 "BookTransferGuideline", C9 "DepositoryGuideline", C14 "Party2PresenceRule", C15 "Party3PresenceRule", C16 "Party4PresenceRule", C17 "Party5PresenceRule", C18 "SettlementChainGuideline"

ReceivingSideDetails <RcvgSdDtls> contains the following elements (see "SettlementParties74" on page 2355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2357
	Party1 <Pty1>	[0..1]	±		2357
	Party2 <Pty2>	[0..1]	±		2358
	Party3 <Pty3>	[0..1]	±		2358
	Party4 <Pty4>	[0..1]	±		2359
	Party5 <Pty5>	[0..1]	±		2359

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

On Condition
/Party2 is present

Following Must be True
 /Party1 Must be present

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition
 /Party4 is present
 Following Must be True
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition
 /Party5 is present
 Following Must be True
 /Party4 Must be present

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

65.1.18.25.8 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the financial instrument.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.26 FundPortfolio3Choice

Definition: Choice of different types of portfolio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1553
Or	GeneralInvestment <GnlInvstmt>	[1..1]	±		1553
Or}	Pension <Pnsn>	[1..1]			1554
	Identification <Id>	[0..1]	±		1554
	Type <Tp>	[0..1]	±		1554
	TransferScope <TrfScp>	[0..1]	±		1555
	TaxReference <TaxRef>	[0..*]	±		1555
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1555
	AdditionalInformation <AddtlInf>	[0..*]	±		1555

65.1.18.26.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following elements (see "TaxEfficientProduct3" on page 1458 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1458
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1459
	PreviousYears <PrvsYrs>	[0..1]	±	C33	1459
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]	±	C34	1459
	AdditionalInformation <AddtlInf>	[0..*]	±		1460

65.1.18.26.2 GeneralInvestment <GnlInvstmt>

Presence: [1..1]

Definition: Portfolio is a general investment.

GeneralInvestment <GnlInvstmt> contains the following elements (see "GeneralInvestment1" on page 1457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1457
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C2	1457
	EstimatedValue <EstmtdVal>	[0..1]	±		1458
	AdditionalInformation <AddtlInf>	[0..*]	±		1458

65.1.18.26.3 Pension <Pnsn>

Presence: [1..1]

Definition: Portfolio is a pension policy, plan or scheme.

Pension <Pnsn> contains the following **Pension1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1554
	Type <Tp>	[0..1]	±		1554
	TransferScope <TrfScp>	[0..1]	±		1555
	TaxReference <TaxRef>	[0..*]	±		1555
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1555
	AdditionalInformation <AddtlInf>	[0..*]	±		1555

65.1.18.26.3.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the pension policy, plan or scheme.

Identification <Id> contains the following elements (see "PensionPolicy1" on page 1447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		1448
	SubIdentifier <SubIdr>	[0..1]	Text		1448
	AdditionalInformation <AddtlInf>	[0..1]	±		1448

65.1.18.26.3.2 Type <Tp>

Presence: [0..1]

Definition: Type of pension policy, plan or scheme.

Type <Tp> contains one of the following elements (see "[PensionSchemeType1Choice](#)" on page 1446 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1446
Or}	Proprietary <Prtry>	[1..1]	±		1447

65.1.18.26.3.3 TransferScope <TrfScp>

Presence: [0..1]

Definition: Scope of the pension policy, plan or scheme transfer.

TransferScope <TrfScp> contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 2588 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2589
Or}	Proprietary <Prtry>	[1..1]	±		2589

65.1.18.26.3.4 TaxReference <TaxRef>

Presence: [0..*]

Definition: Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "[TaxReference1](#)" on page 2538 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2538
{Or	Code <Cd>	[1..1]	CodeSet		2538
Or}	Proprietary <Prtry>	[1..1]	±		2539
	Reference <Ref>	[1..1]	Text		2539
	HolderType <HldrTp>	[0..1]			2539
{Or	Code <Cd>	[1..1]	CodeSet		2539
Or}	Proprietary <Prtry>	[1..1]	±		2540

65.1.18.26.3.5 DrawdownTrancheIdentification <DrwdwnTrchId>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.26.3.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.27 FundPortfolio2Choice

Definition: Choice of different types of portfolio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1556
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		1557
Or}	Pension <Pnsn>	[1..1]	±	C9, C10, C27, C28, C29, C33, C34, C37, C38	1557

65.1.18.27.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following elements (see "TaxEfficientProduct2" on page 1460 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1461
	CashComponentIndicator <CshCmpntInd>	[0..1]	Indicator		1461
	PreviousYears <PrvsYrs>	[0..1]	±	C33	1461
	DateOfFirstSubscription <DtOfFrstSbcpt>	[0..1]	Date		1462
	CurrentYearSubscriptionDetails <CurYrSbcptDtls>	[0..1]	±	C34	1462
	BonusOrWithdrawal <BnsOrWdrwl>	[0..*]	±		1462
	InvestorTaxReference <InvstrTaxRef>	[0..1]	±		1463
	InvestmentsToFollowValue <InvstmtsToFlwVal>	[0..*]	±		1463
	InnovativeFinance <InnvtfFinc>	[0..*]	±		1464
	LowestInvestedAmountCurrentYear <LwstInvstdAmtCurYr>	[0..1]	Amount	C2	1464
	TaxCalculationBase <TaxClctnBase>	[0..1]	Amount	C2	1464
	UnusedTaxDeduction <UusdTaxDdctn>	[0..1]	Amount	C2	1464
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C2	1465
	EstimatedValue <EstmtdVal>	[0..1]	±		1465
	AdditionalInformation <AddtlInf>	[0..*]	±		1465

65.1.18.27.2 GeneralInvestment <GnlInvstmt>

Presence: [1..1]

Definition: Portfolio is a general investment.

GeneralInvestment <GnlInvstmt> contains the following elements (see "GeneralInvestment1" on page 1457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1457
	CurrentInvestmentAmount <CurInvstmtAmt>	[0..1]	Amount	C2	1457
	EstimatedValue <EstmtdVal>	[0..1]	±		1458
	AdditionalInformation <AddtlInf>	[0..*]	±		1458

65.1.18.27.3 Pension <Pnsn>

Presence: [1..1]

Definition: Portfolio is a pension policy, plan or scheme.

Impacted by: C9 "BlockTransferRetirementAgeProtectionRule", C10 "BlockTransferTaxFreeCashProtectionRule", C27 "PensionOrderTypeGuideline", C28

"RetirementAgeRule", C29 "RingFencedDrawdownAssetsRule", C33 "TaxFreeCashAmountRule", C34 "TaxFreeCashAndValueRule", C37 "TransferScopeTrancheldidentification1Rule", C38 "TransferScopeTrancheldidentification2Rule"

Pension <Pnsn> contains the following elements (see "Pension2" on page 1451 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1452
	Type <Tp>	[1..1]	±		1452
	TransferScope <TrfScp>	[0..1]	±		1453
	TaxReference <TaxRef>	[0..*]	±		1453
	DrawdownTrancheldidentification <DrwdwnTrchld>	[0..1]	Text		1453
	DrawdownStatus <DrwdwnSts>	[0..1]	±		1453
	EstimatedValue <EstmtdVal>	[0..1]	±		1454
	BlockTransfer <BlnkTrf>	[0..1]	Indicator		1454
	TaxFreeCashProtection <TaxFreeCshPrctn>	[0..1]	Indicator		1454
	TaxFreeCashAmount <TaxFreeCshAmt>	[0..1]	±		1454
	ValueOfPensionPolicyOrPlanOrScheme <ValOfPnsnPlcyOrPlanOrSchme>	[0..1]	±		1454
	RetirementAgeProtection <RtrmntAgePrctn>	[0..1]	Indicator		1455
	RetirementAge <RtrmntAge>	[0..1]	Quantity		1455
	Sharing <Shrg>	[0..1]	Indicator		1455
	LumpSumType <LumpSumTp>	[0..*]	±		1455
	PensionOrder <PnsnOrd>	[0..*]	±		1455
	RingFencedDrawdownAssets <RingFncdDrwdwnAssts>	[0..1]	Indicator		1456
	MoneyPurchaseAnnualAllowance <MnyPurchsAnlAllwnc>	[0..1]	±		1456
	SafeguardBenefit <SfgdrBnft>	[0..1]	Indicator		1456
	LifetimeAllowanceProtection <LftmAllwncPrctn>	[0..1]	Indicator		1456
	AdditionalInformation <AddtlInf>	[0..*]	±		1457

Constraints

- **BlockTransferRetirementAgeProtectionRule**

If BlockTransfer is "false" or "0" (No), then RetirementAgeProtection is not allowed.

- **BlockTransferTaxFreeCashProtectionRule**

If BlockTransfer is "false" or "0" (No), then TaxFreeCashProtection is not allowed.

- **PensionOrderTypeGuideline**

A pension policy, plan or scheme cannot have both an earmark and a sharing order.

If PensionOrder/Type/Code/SHAR is present, then PensionOrder/Type/Code/EARM is not allowed.

If PensionOrder/Type/Code/EARM is present, then PensionOrder/Type/Code/SHAR is not allowed.

- **RetirementAgeRule**

If RetirementAgeProtection is "false" or "0" (No), then RetirementAge is not allowed.

- **RingFencedDrawdownAssetsRule**

If DrawdownStatus/Code/NONE is present, then RingFencedDrawdownAssets is not allowed.

If DrawdownStatus/Code/PART or FULL is present, then RingFencedDrawdownAssets must be present.

- **TaxFreeCashAmountRule**

If TaxFreeCashProtection is "false" or "0" (No), then TaxFreeCashAmount is not allowed.

- **TaxFreeCashAndValueRule**

If TaxFreeCashProtection is "true" or "1" (Yes), then TaxFreeCashAmount and ValueOfPensionPolicyOrPlanOrScheme must be present.

- **TransferScopeTrancheIdentification1Rule**

If TransferScope/Code/SDDT is present, then DrawdownTrancheIdentification may be present.

- **TransferScopeTrancheIdentification2Rule**

If TransferScope/Code/SDDT is not present, then DrawdownTrancheIdentification is not allowed.

65.1.18.28 FundPortfolio1Choice

Definition: Choice of different types of portfolio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TaxEfficientProduct <TaxEffcntPdct>	[1..1]	±		1559
Or	GeneralInvestment <Gnllnvstmt>	[1..1]	±		1560
Or}	Pension <Pnsn>	[1..1]			1560
	Identification <Id>	[0..1]	±		1561
	Type <Tp>	[0..1]	±		1561
	TransferScope <TrfScp>	[0..1]	±		1561
	TaxReference <TaxRef>	[0..*]	±		1561
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1562
	AdditionalInformation <AddttlInf>	[0..*]	±		1562

65.1.18.28.1 TaxEfficientProduct <TaxEffcntPdct>

Presence: [1..1]

Definition: Portfolio is a government scheme to encourage investment in securities based unit and investment trusts, offering certain tax benefits. These are not investments in their own right but are tax

exempt 'wrappers' in which equities, bonds and funds can be held to shelter them from various types of tax.

In the UK, a typical tax wrapper product is known as an 'ISA' (Individual Savings Account).

TaxEfficientProduct <TaxEffcntPdct> contains the following elements (see "[TaxEfficientProduct1](#)" on page 1465 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxEfficientProductType <TaxEffcntPdctTp>	[1..1]	±		1466
	PreviousYears <PrvsYrs>	[0..1]	±	C20	1466
	CurrentYear <CurYr>	[0..1]	Indicator		1466
	AdditionalInformation <AddtlInf>	[0..*]	±		1467

65.1.18.28.2 GeneralInvestment <GnlInvstmt>

Presence: [1..1]

Definition: Portfolio is a general investment.

GeneralInvestment <GnlInvstmt> contains the following elements (see "[GeneralInvestment1](#)" on page 1457 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]	±		1457
	CurrentInvestmentAmount <CurlInvstmtAmt>	[0..1]	Amount	C2	1457
	EstimatedValue <EstmtdVal>	[0..1]	±		1458
	AdditionalInformation <AddtlInf>	[0..*]	±		1458

65.1.18.28.3 Pension <Pnsn>

Presence: [1..1]

Definition: Portfolio is a pension policy, plan or scheme.

Pension <Pnsn> contains the following **Pension1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1561
	Type <Tp>	[0..1]	±		1561
	TransferScope <TrfScp>	[0..1]	±		1561
	TaxReference <TaxRef>	[0..*]	±		1561
	DrawdownTrancheIdentification <DrwdwnTrchld>	[0..1]	Text		1562
	AdditionalInformation <AddtlInf>	[0..*]	±		1562

65.1.18.28.3.1 Identification <Id>*Presence:* [0..1]*Definition:* Identification of the pension policy, plan or scheme.**Identification <Id>** contains the following elements (see "[PensionPolicy1](#)" on page 1447 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identifier <Idr>	[1..1]	Text		1448
	SubIdentifier <SubIdr>	[0..1]	Text		1448
	AdditionalInformation <AddtlInf>	[0..1]	±		1448

65.1.18.28.3.2 Type <Tp>*Presence:* [0..1]*Definition:* Type of pension policy, plan or scheme.**Type <Tp>** contains one of the following elements (see "[PensionSchemeType1Choice](#)" on page 1446 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1446
Or}	Proprietary <Prtry>	[1..1]	±		1447

65.1.18.28.3.3 TransferScope <TrfScp>*Presence:* [0..1]*Definition:* Scope of the pension policy, plan or scheme transfer.**TransferScope <TrfScp>** contains one of the following elements (see "[PensionTransferScope1Choice](#)" on page 2588 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2589
Or}	Proprietary <Prtry>	[1..1]	±		2589

65.1.18.28.3.4 TaxReference <TaxRef>*Presence:* [0..*]*Definition:* Tax reference issued to the pension policy, plan or scheme by a central organisation.

TaxReference <TaxRef> contains the following elements (see "TaxReference1" on page 2538 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2538
{Or	Code <Cd>	[1..1]	CodeSet		2538
Or}	Proprietary <Prtry>	[1..1]	±		2539
	Reference <Ref>	[1..1]	Text		2539
	HolderType <HldrTp>	[0..1]			2539
{Or	Code <Cd>	[1..1]	CodeSet		2539
Or}	Proprietary <Prtry>	[1..1]	±		2540

65.1.18.28.3.5 DrawdownTrancheIdentification <DrwdwnTrchld>

Presence: [0..1]

Definition: Reference of the drawdown.

Datatype: "Max35Text" on page 2764

65.1.18.28.3.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the pension policy, plan or scheme.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.29 FinancialInstrument66

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PhysicalBearerSecurities <PhysBrScties>	[0..1]	Indicator		1564
	DematerialisedBearerSecurities <DmtrlSdBrScties>	[0..1]	Indicator		1564
	PhysicalRegisteredSecurities <PhysRegdScties>	[0..1]	Indicator		1564
	DematerialisedRegisteredSecurities <DmtrlSdRegdScties>	[0..1]	Indicator		1564
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1564
	DividendPolicy <DvddPlcy>	[0..1]	CodeSet		1565
	DividendFrequency <DvddFrqcy>	[0..1]	CodeSet		1565
	ReinvestmentFrequency <RinvstmtFrqcy>	[0..1]	CodeSet		1565
	FrontEndLoad <FrntEndLd>	[0..1]	Indicator		1566
	BackEndLoad <BckEndLd>	[0..1]	Indicator		1566
	SwitchFee <SwchFee>	[0..1]	Indicator		1566
	EUSavingsDirective <EUSvgsDrctv>	[0..1]	CodeSet		1567
	LaunchDate <LnchDt>	[0..1]	Date		1567
	FundEndDate <FndEndDt>	[0..1]	Date		1567
	TerminationDate <TermntnDt>	[0..1]	Date		1567
	InitialOfferEndDate <InitlOfferEndDt>	[0..1]	Date		1567
	SuspensionStartDate <SspnsnStartDt>	[0..1]	Date		1567
	SuspensionEndDate <SspnsnEndDt>	[0..1]	Date		1567
	MaturityDate <MtrtyDt>	[0..1]	Date		1568
	ClosedEndFund <ClsdEndFnd>	[0..1]	Indicator		1568
	Equalisation <Equlstn>	[0..1]	Indicator		1568
	TaxEfficientProductEligible <TaxEffcntPdctElgbl>	[0..1]	Indicator		1568
	Authorised <Authrsd>	[0..1]	Indicator		1568
	RDRCompliant <RDRCmplnt>	[0..1]	Indicator		1568
	ManagementFeeSource <MgmtFeeSrc>	[0..1]	CodeSet		1569
	PerformanceFee <PrfrmncFee>	[0..1]	Indicator		1569
	AdditionalInformation <AddtlInf>	[0..*]	±		1569

Constraints

- **EMTFundDetailsGuideline**

In the European MiFID Template (EMT) v1, the elements DistributionPolicy and PerformanceFee are not supported.

65.1.18.29.1 PhysicalBearerSecurities <PhysBrScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold bearer units/shares in this class in certified form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.2 DematerialisedBearerSecurities <DmtrlSdBrScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold bearer units/shares in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.3 PhysicalRegisteredSecurities <PhysRegdScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold registered units/shares in this class in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.4 DematerialisedRegisteredSecurities <DmtrlSdRegdScties>

Presence: [0..1]

Definition: Indicates whether it is possible to hold registered units/shares in this class in paperless form.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.5 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to the class type, that is, if income is paid out or retained in the fund.

Datatype: ["DistributionPolicy1Code" on page 2666](#)

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.29.6 DividendPolicy <DvddPlcy>

Presence: [0..1]

Definition: Dividend policy of the fund, for example, cash, units.

Datatype: "DividendPolicy1Code" on page 2666

CodeName	Name	Definition
CASH	Cash	Dividend is paid in cash.
UNIT	Units	Dividend is paid in units.
BOTH	Both	Dividend is paid in both Cash and Units.

65.1.18.29.7 DividendFrequency <DvddFrqcy>

Presence: [0..1]

Definition: Frequency with which the income is allocated to investors.

Datatype: "EventFrequency5Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.18.29.8 ReinvestmentFrequency <RinvstmtFrqcy>

Presence: [0..1]

Definition: Frequency with which the reinvestment takes place, this is the same or less than the dividend frequency.

Datatype: "EventFrequency5Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.18.29.9 FrontEndLoad <FrntEndLd>

Presence: [0..1]

Definition: Indicates whether a front end charge on subscription orders for this class can be applied.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.10 BackEndLoad <BckEndLd>

Presence: [0..1]

Definition: Indicates whether an exit charge (for example, CDSC) on redemption orders for this class can be applied.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.11 SwitchFee <SwchFee>

Presence: [0..1]

Definition: Indicates whether a separate fee for switching between sub-funds of the same umbrella can be applied.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.12 EUSavingsDirective <EUSvgsDrctv>

Presence: [0..1]

Definition: Indicates whether the investment fund class is subject to the European Union Saving Directive.

Datatype: "EUSavingsDirective1Code" on page 2668

CodeName	Name	Definition
EUSI	EUSavingsInScope	Investment Fund is in the scope of the directive.
EUSO	EUSavingsOutScope	Investment Fund is out of the scope of the directive.
VARI	EUSavingsVariable	Investment Fund may vary for inclusion in the scope of the directive.

65.1.18.29.13 LaunchDate <LnchDt>

Presence: [0..1]

Definition: Date on which the fund is open for investment.

Datatype: "ISODate" on page 2749

65.1.18.29.14 FundEndDate <FndEndDt>

Presence: [0..1]

Definition: Date on which the fund is closed to investment.

Datatype: "ISODate" on page 2749

65.1.18.29.15 TerminationDate <TermntnDt>

Presence: [0..1]

Definition: Date the fund closes.

Datatype: "ISODate" on page 2749

65.1.18.29.16 InitialOfferEndDate <InitlOfferEndDt>

Presence: [0..1]

Definition: Date on which the discount period of the newly launched investment fund ends.

Datatype: "ISODate" on page 2749

65.1.18.29.17 SuspensionStartDate <SspnsnStartDt>

Presence: [0..1]

Definition: Date on which valuation of the investment fund class is suspended.

Datatype: "ISODate" on page 2749

65.1.18.29.18 SuspensionEndDate <SspnsnEndDt>

Presence: [0..1]

Definition: Date on which valuation of the investment fund class is unsuspended.

Datatype: "ISODate" on page 2749

65.1.18.29.19 MaturityDate <MtrtyDt>

Presence: [0..1]

Definition: Maturity date of the product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00065. In EMT v1, the element Maturity Date is reference 05090.

Datatype: "ISODate" on page 2749

65.1.18.29.20 ClosedEndFund <ClsdEndFnd>

Presence: [0..1]

Definition: Indicates whether the fund is close-ended.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.21 Equalisation <Equlstn>

Presence: [0..1]

Definition: Indicates whether equalisation is applied.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.22 TaxEfficientProductEligible <TaxEffcntPdctElgbl>

Presence: [0..1]

Definition: Indicates whether the fund is eligible for a tax efficient product.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.23 Authorised <Authrsd>

Presence: [0..1]

Definition: Indicates whether the fund is authorised by the regulatory compliance authority.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.24 RDRCompliant <RDRCmplnt>

Presence: [0..1]

Definition: Indicates whether the fund is compliant with the Retail Distribution Review (RDR).

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.25 ManagementFeeSource <MgmtFeeSrc>

Presence: [0..1]

Definition: Specifies from where the management fee is taken.

Datatype: "AnnualChargePaymentType1Code" on page 2649

CodeName	Name	Definition
CAPL	Capital	Annual charge is deducted from the fund capital.
INCO	Income	Annual charge is deducted from the fund income.

65.1.18.29.26 PerformanceFee <PrfrmncFee>

Presence: [0..1]

Definition: Indicates whether there is a performance fee feature. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00045.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.29.27 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the fund.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.30 SecurityIdentification36

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C10, C11, C25, C26, C29	1570
	Name <Nm>	[1..1]	Text		1571
	ShortName <ShrtNm>	[0..1]	Text		1572
	ClassType <ClsTp>	[0..1]	Text		1572
	UmbrellaName <UmbrllNm>	[0..1]	Text		1572
	NewUmbrella <NewUmbrll>	[0..1]	Indicator		1572
	ClassificationType <ClssfctnTp>	[0..1]	±		1572
	BaseCurrency <BaseCcy>	[0..1]	CodeSet	C2	1572
	CountryOfDomicile <CtryOfDmcl>	[0..1]	CodeSet	C11	1573
	RegisteredDistributionCountry <RegdDstrbtnCtry>	[0..*]	CodeSet	C11	1573
	LegalStructure <LglStr>	[0..1]	±	C14	1573
	Issuer <Issr>	[0..1]	±		1574
	IssuerProductGovernanceProcess <IssrPdctGovncPrc>	[0..1]	±		1574
	ProductCategory <PdctCtgy>	[0..1]	Text		1574
	QuotationType <QtnTp>	[0..1]	±		1575
	LeveragedOrContingentLiability <LvrgdOrCntgntLbilty>	[0..1]	Indicator		1575
	AdditionalInformation <AddtlInf>	[0..*]	±		1575

Constraints

- **EMTSecurityIdentificationGuideline**

In the European MiFID Template (EMT v1), the elements Issuer/EmailAddress, Issuer/LEI and IssuerProductGovernanceProcess are not supported.

65.1.18.30.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security, typically by an ISIN.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C25 "ISINGuideline", C26 "ISINPresenceRule", C29 "OtherIdentificationPresenceRule"

Identification <Id> contains the following elements (see "[SecurityIdentification19](#)" on page 1602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1603
	OtherIdentification <Othrid>	[0..*]			1604
	Identification <Id>	[1..1]	Text		1604
	Suffix <Sfx>	[0..1]	Text		1604
	Type <Tp>	[1..1]	±		1604
	Description <Desc>	[0..1]	Text		1604

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

65.1.18.30.2 Name <Nm>

Presence: [1..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2764

65.1.18.30.3 ShortName <ShrtNm>*Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.30.4 ClassType <ClsTp>***Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**65.1.18.30.5 UmbrellaName <UmbrlNm>***Presence:* [0..1]*Definition:* Name of the umbrella fund in which the financial instrument is contained.*Datatype:* "Max35Text" on page 2764**65.1.18.30.6 NewUmbrella <NewUmbrl>***Presence:* [0..1]*Definition:* Indicates whether the financial instrument is part of a new umbrella.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.30.7 ClassificationType <ClssfctnTp>*Presence:* [0..1]*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.**ClassificationType <ClssfctnTp>** contains one of the following elements (see "SecurityClassificationType2Choice" on page 1941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CFI <CFI>	[1..1]	IdentifierSet		1941
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1941

65.1.18.30.8 BaseCurrency <BaseCcy>*Presence:* [0..1]*Definition:* Currency of the investment fund class.*Impacted by:* C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.30.9 CountryOfDomicile <CtryOfDmcl>

Presence: [0..1]

Definition: Country where the fund has legal domicile.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.18.30.10 RegisteredDistributionCountry <RegdDstrbtnCtry>

Presence: [0..*]

Definition: Country where the fund is registered for distribution.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.18.30.11 LegalStructure <LglStr>

Presence: [0..1]

Definition: Legal structure of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00060.

Impacted by: C14 "EMTLegalStructureGuideline"

LegalStructure <LglStr> contains one of the following elements (see "LegalStructure1Choice" on page 1468 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1469
Or}	Proprietary <Prtry>	[1..1]	±		1469

Constraints

- **EMTLegalStructureGuideline**

In European MiFID Template (EMT) v1, Code/NUMM, UCMM and EXTC are not supported.

65.1.18.30.12 Issuer <Issr>

Presence: [0..1]

Definition: Issuer of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00070.

Issuer <Issr> contains the following elements (see "[ContactAttributes5](#)" on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.18.30.13 IssuerProductGovernanceProcess <IssrPdctGovncPrc>

Presence: [0..1]

Definition: Governance procedure that must be followed. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00075.

IssuerProductGovernanceProcess <IssrPdctGovncPrc> contains one of the following elements (see "[GovernanceProcess1Choice](#)" on page 1467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1468
Or}	Proprietary <Prtry>	[1..1]	±		1468

65.1.18.30.14 ProductCategory <PdctCtgy>

Presence: [0..1]

Definition: Designation of the product category or nature, for example, Pacific Equity, Equity Fund, Money Market Fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00090. If the product is a structured security product, the European Structured Investment Products Association (EUSIPA) code should be used as defined in the scope of European MiFID Template (EMT) reference 00095.

In EMT v1, this is 'Designation of the respective product category or nature'.

In EMT v2, this is 'Designation of the respective product category or nature for Germany'.

If the financial instrument is distributed in the German market, then the German classification of financial instruments code should be used.

Datatype: "Max140Text" on page 2763

65.1.18.30.15 QuotationType <QtnTp>

Presence: [0..1]

Definition: When the financial instrument is a structured security, specifies if the ex-ante and ex-post costs and charges are specified as an absolute figure, that is, a currency and amount, or as a percentage rate, related to the specific reference value.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT v2) reference 00096 or the European MiFID Template (EMT v1) reference 07010.

QuotationType <QtnTp> contains one of the following elements (see "[QuotationType1Choice](#)" on page 1467 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1467
Or}	Proprietary <Prtry>	[1..1]	±		1467

65.1.18.30.16 LeveragedOrContingentLiability <LvrgdOrCntgntLbilty>

Presence: [0..1]

Definition: Indicates whether the financial instrument is leveraged or has contingent liability. This enables reporting on the depreciation of leveraged financial instruments or contingent liability transactions in accordance with Art. 62 of the MiFID II's Delegated Regulation as defined in the scope of European MiFID Template (EMT) reference 00100.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.30.17 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the security.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.18.31 FinancialInstrument71

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±	C10, C11, C25, C26, C29	1576
	ShortName <ShrtNm>	[0..1]	Text		1577
	Name <Nm>	[0..1]	Text		1577
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1577
	ClassType <ClsTp>	[0..1]	Text		1577
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1578
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1578
	ProductGroup <PdctGrp>	[0..1]	Text		1578

65.1.18.31.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security, typically by an ISIN.

Impacted by: C10 "DescriptionPresenceRule", C11 "DescriptionUsageRule", C25 "ISINGuideline", C26 "ISINPresenceRule", C29 "OtherIdentificationPresenceRule"

Identification <Id> contains the following elements (see "SecurityIdentification19" on page 1602 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1603
	OtherIdentification <OthrId>	[0..*]			1604
	Identification <Id>	[1..1]	Text		1604
	Suffix <Sfx>	[0..1]	Text		1604
	Type <Tp>	[1..1]	±		1604
	Description <Desc>	[0..1]	Text		1604

Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

```

    /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present

```

65.1.18.31.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2764

65.1.18.31.3 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2764

65.1.18.31.4 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2764

65.1.18.31.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example,, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2764

65.1.18.31.6 SecuritiesForm <SctiesForm>*Presence:* [0..1]*Definition:* Specifies the form, that is, ownership, of the security.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.31.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]*Definition:* Income policy relating to a class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.31.8 ProductGroup <PdctGrp>*Presence:* [0..1]*Definition:* Company specific description of a group of funds.*Datatype:* "Max140Text" on page 2763**65.1.18.32 ModificationScope36***Definition:* Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScpIndctn>	[1..1]	CodeSet		1578
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1579

65.1.18.32.1 ModificationScopeIndication <ModScpIndctn>*Presence:* [1..1]*Definition:* Type of modification to be applied.*Datatype:* "DataModification2Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.

CodeName	Name	Definition
DELT	DeleteDataSet	Delete an existing set of data.

65.1.18.32.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Detailed information about the investment fund or security associated to the account.

Impacted by: C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.33 FinancialInstrument8

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1581
	Name <Nm>	[0..1]	Text		1582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1582
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1582
	ClassType <ClsTp>	[0..1]	Text		1583
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1583
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1583
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1583

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.18.33.1 Identification <Id>

Presence: [1..10]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

65.1.18.33.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2764

65.1.18.33.3 SupplementaryIdentification <SplmtryId>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.33.4 DenominationCurrency <DnmtnCcy>

Presence: [0..1]

Definition: Currency in which a security is issued or redenominated.

Impacted by: C3 "[ActiveOrHistoricCurrency](#)"

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.33.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2764

65.1.18.33.6 SecuritiesForm <SciesForm>

Presence: [0..1]

Definition: Form, ie, ownership, of the security, eg, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.33.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, ie, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.33.8 DualFundIndicator <DualFndInd>

Presence: [1..1]

Definition: Indicates whether the fund has two prices.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.18.34 FinancialInstrument57

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <Clsstyp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.34.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security by an ISIN.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588

65.1.18.34.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.34.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.34.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.34.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.34.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.34.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.34.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.34.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.34.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.18.34.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.18.34.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.18.34.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.18.34.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.18.34.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.18.34.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588

65.1.18.34.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.18.34.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.34.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.18.34.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.18.34.4 SupplementaryIdentification <SplmtryId>***Presence:* [0..1]*Definition:* Additional information about the financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**65.1.18.34.5 ClassType <ClsTp>***Presence:* [0..1]*Definition:* Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**65.1.18.34.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.34.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.34.8 ProductGroup <PdctGrp>

Presence: [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2763

65.1.18.34.9 SeriesIdentification <SrsId>

Presence: [0..1]

Definition: Choice of formats for the identification of a series.

Impacted by: C30 "SeriesElementRule"

SeriesIdentification <SrsId> contains the following **Series1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **SeriesElementRule**

Either SeriesDate or SeriesName must be present. Both may be present.

65.1.18.34.9.1 SeriesDate <SrsDt>

Presence: [0..1]

Definition: Issue date of the fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription order, if known.

SeriesDate <SrsDt> contains one of the following elements (see "DateFormat42Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	YearMonth <YrMnth>	[1..1]	YearMonth		1413
Or}	YearMonthDay <YrMnthDay>	[1..1]	Date		1414

65.1.18.34.9.2 SeriesName <SrsNm>

Presence: [0..1]

Definition: Name of the fund series. It is typically applicable to a redemption order, subscription order confirmation or redemption order confirmation, but may be specified in the subscription, if known.

Datatype: "Max35Text" on page 2764

65.1.18.35 FinancialInstrument56

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598
	Pledging <Pldg>	[0..1]	CodeSet		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.35.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security by an ISIN.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595

65.1.18.35.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.18.35.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.18.35.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.18.35.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.18.35.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.18.35.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.18.35.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.18.35.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.18.35.1.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.18.35.1.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2753

65.1.18.35.1.11 Valoren <Vlrm>

Presence: [1..1]

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Datatype: "ValorenIdentifier" on page 2760

65.1.18.35.1.12 Sicovam <SCVM>

Presence: [1..1]

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.

Datatype: "SicovamIdentifier" on page 2758

65.1.18.35.1.13 Belgian <Belgn>

Presence: [1..1]

Definition: Identifier for Belgian securities.

Datatype: "BelgianIdentifier" on page 2751

65.1.18.35.1.14 Common <Cmon>

Presence: [1..1]

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Datatype: "EuroclearClearstreamIdentifier" on page 2754

65.1.18.35.1.15 OtherProprietaryIdentification <OthrPrtryld>

Presence: [1..1]

Definition: Proprietary identification of the security assigned by an institution or organisation.

OtherProprietaryIdentification <OthrPrtryld> contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595

65.1.18.35.1.15.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max35Text" on page 2764

65.1.18.35.1.15.2 IdentificationSource <IdSrc>

Presence: [1..1]

Definition: Source of the identification, that is, domestic (national) or proprietary.

IdentificationSource <IdSrc> contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.35.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2764

65.1.18.35.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2764

65.1.18.35.4 SupplementaryIdentification <Splmtryld>

Presence: [0..1]

Definition: Additional information about the financial instrument to help identify the instrument.

Datatype: "Max35Text" on page 2764

65.1.18.35.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2764

65.1.18.35.6 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form of ownership, that is registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.35.7 DistributionPolicy <DstrbtnPlcy>*Presence:* [0..1]*Definition:* Income policy relating to the class type, that is, if income is paid out or retained in the fund.*Datatype:* "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.35.8 ProductGroup <PdctGrp>*Presence:* [0..1]*Definition:* Company specific description of a group of funds.*Datatype:* "Max140Text" on page 2763**65.1.18.35.9 BlockedHoldingDetails <BlckdHldgDtls>***Presence:* [0..1]*Definition:* When an account at fund or security level is blocked, this specifies details on how the holding is blocked.*Impacted by:* C18 "HoldingCertificateNumberRule", C26 "PartialHoldingUnits1Rule", C27 "PartialHoldingUnits2Rule", C28 "PartialHoldingUnits3Rule"**BlockedHoldingDetails <BlckdHldgDtls>** contains the following **BlockedHoldingDetails2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Constraints

- HoldingCertificateNumberRule**

If BlockHolding is CERT (Certificate) then HoldingCertificateNumber is mandatory.

On Condition

/BlockedHolding is equal to value 'Certificate'

Following Must be True

/HoldingCertificateNumber Must be present

- PartialHoldingUnits1Rule**

If BlockHolding is NPRH (NonPartialHolding) then PartialHoldingUnits must not be present.

On Condition

/BlockedHolding is equal to value 'NonPartialHolding'

Following Must be True

/PartialHoldingUnits Must be absent

- **PartialHoldingUnits2Rule**

If BlockHolding is PRTH (PartialHolding) then PartialHoldingUnits is mandatory.

```
On Condition
  /BlockedHolding is equal to value 'PartialHolding'
Following Must be True
  /PartialHoldingUnits Must be present
```

- **PartialHoldingUnits3Rule**

If BlockHolding is CERT (Certificate) then PartialHoldingUnits must not be present.

```
On Condition
  /BlockedHolding is equal to value 'Certificate'
Following Must be True
  /PartialHoldingUnits Must be absent
```

65.1.18.35.9.1 BlockedHolding <BlckdHldg>

Presence: [1..1]

Definition: Specifies how the blocked account holding is defined.

Datatype: "Holding1Code" on page 2678

CodeName	Name	Definition
CERT	Certificate	Certificate.
NPRH	NonPartialHolding	Non partial holding.
PRTH	PartialHolding	Partial holding.

65.1.18.35.9.2 PartialHoldingUnits <PrtlHldgUnits>

Presence: [0..1]

Definition: When an account is blocked at the level of fund or security, partially, this is the number of units blocked.

Datatype: "DecimalNumber" on page 2761

65.1.18.35.9.3 HoldingCertificateNumber <HldgCertNb>

Presence: [0..1]

Definition: When an account is blocked at the level of fund or security, this specifies the certificate number of the blocked units.

Datatype: "Max35Text" on page 2764

65.1.18.35.10 Pledging <Pldgg>

Presence: [0..1]

Definition: Specifies whether the holdings in the account are eligible for pledging.

Datatype: "Eligible1Code" on page 2667

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

65.1.18.35.11 Collateral <Coll>*Presence:* [0..1]*Definition:* Specifies whether the holdings in the account are used as collateral.*Datatype:* "Collateral1Code" on page 2657

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

65.1.18.35.12 ThirdPartyRights <ThrdPtyRghts>*Presence:* [0..1]*Definition:* Details of third party rights.

ThirdPartyRights <ThrdPtyRghts> contains the following elements (see "ThirdPartyRights1" on page 1782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1782
	DateTime <DtTm>	[0..1]	DateTime		1782
	Holder <Hldr>	[0..1]	±		1782
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1783
	Amount <Amt>	[0..1]	Amount	C2, C10	1783
	Description <Desc>	[0..1]	Text		1783

65.1.18.35.13 FundOwnership <FndOwnrsh>*Presence:* [0..1]*Definition:* Specifies if all the shares are owned exclusively by the fund company.*Datatype:* "FundOwnership1Code" on page 2676

CodeName	Name	Definition
YALL	AllOwned	All shares owned.
NALL	NotAllOwned	Not all shares owned.

65.1.18.35.14 FundIntention <FndIntntn>*Presence:* [0..1]*Definition:* Specifies if the fund is intended for qualified investors.*Datatype:* "FundIntention1Code" on page 2675

CodeName	Name	Definition
YQUA	Qualified	Qualified.
NQUA	NotQualified	Not qualified.

65.1.18.35.15 OperationalStatus <OprlSts>*Presence:* [0..1]*Definition:* Operational status of the fund.*Datatype:* "OperationalStatus1Code" on page 2699

CodeName	Name	Definition
ENAB	Enabled	Enabled.
SPEC	SpecialCircumstances	Special circumstances.

65.1.18.36 Reinvestment3*Definition:* Reinvestment information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C20	1600
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C2	1602
	ReinvestmentPercentage <RinvstmtPctg>	[1..1]	Rate		1602

65.1.18.36.1 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Investment fund for the reinvestment.*Impacted by:* C20 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.18.36.2 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.18.36.3 ReinvestmentPercentage <RinvstmtPctg>

Presence: [1..1]

Definition: Percentage of the reinvestment.

Datatype: "PercentageRate" on page 2762

65.1.18.37 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		1603
	OtherIdentification <Othrid>	[0..*]			1604
	Identification <Id>	[1..1]	Text		1604
	Suffix <Sfx>	[0..1]	Text		1604
	Type <Tp>	[1..1]	±		1604
	Description <Desc>	[0..1]	Text		1604

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

65.1.18.37.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: ["ISINOct2015Identifier" on page 2756](#)

65.1.18.37.2 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <Othrid> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1604
	Suffix <Sfx>	[0..1]	Text		1604
	Type <Tp>	[1..1]	±		1604

65.1.18.37.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["Max35Text" on page 2764](#)

65.1.18.37.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: ["Max16Text" on page 2763](#)

65.1.18.37.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see ["IdentificationSource3Choice" on page 1650](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.18.37.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: ["Max140Text" on page 2763](#)

65.1.18.38 FinancialInstrument9

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, eg, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1605
	Name <Nm>	[0..1]	Text		1606
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1606
	RequestedNAVCurrency <ReqdNAVCcy>	[0..1]	CodeSet	C3	1606
	ClassType <ClsTp>	[0..1]	Text		1607
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1607
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1607
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1607

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.18.38.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following elements (see "[SecurityIdentification3Choice](#)" on page 2340 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryld>	[1..1]	±		2342

65.1.18.38.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "[Max350Text](#)" on page 2764

65.1.18.38.3 SupplementaryIdentification <Splmtryld>

Presence: [0..1]

Definition: Additional information about a financial instrument to help identify the instrument.

Datatype: "[Max35Text](#)" on page 2764

65.1.18.38.4 RequestedNAVCurrency <ReqdNAVCcy>

Presence: [0..1]

Definition: Currency to be used for pricing the fund. This currency must be among the set of currencies in which the price may be expressed, as stated in the prospectus.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.18.38.5 ClassType <ClsTp>

Presence: [0..1]

Definition: Features of units offered by a fund. For example, a unit may have a specific load structure, eg, front end or back end, an income policy, eg, pay out or accumulate, or a trailer policy, eg, with or without. Fund classes are typically denoted by a single character, eg, 'Class A', 'Class 2'.

Datatype: "Max35Text" on page 2764

65.1.18.38.6 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, ie, ownership, of the security, eg, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.18.38.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, ie, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.18.38.8 DualFundIndicator <DualFndInd>

Presence: [1..1]

Definition: Indicates whether the fund has two prices.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.19 Financial Instrument Identification

65.1.19.1 FinancialInstrument63

Definition: Security that is a sub-set of an investment fund, and is governed by the same investment fund policy, for example, dividend option or valuation currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1609
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1609
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1609
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1610
Or	RIC <RIC>	[1..1]	IdentifierSet		1610
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1610
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1610
Or	CTA <CTA>	[1..1]	IdentifierSet		1610
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1610
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1610
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1611
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1611
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1611
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1611
Or	Common <Cmon>	[1..1]	IdentifierSet		1611
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1611
	Identification <Id>	[1..1]	Text		1612
	IdentificationSource <IdSrc>	[1..1]	±		1612
	Name <Nm>	[0..1]	Text		1612
	ShortName <ShrtNm>	[0..1]	Text		1612
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1612
	ClassType <ClsTp>	[0..1]	Text		1612
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1612
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1613

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.19.1.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security by an ISIN.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1609
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1609
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1610
Or	RIC <RIC>	[1..1]	IdentifierSet		1610
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1610
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1610
Or	CTA <CTA>	[1..1]	IdentifierSet		1610
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1610
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1610
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1611
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1611
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1611
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1611
Or	Common <Cmon>	[1..1]	IdentifierSet		1611
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1611
	Identification <Id>	[1..1]	Text		1612
	IdentificationSource <IdSrc>	[1..1]	±		1612

65.1.19.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.19.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.19.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.19.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.19.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.19.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.19.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.19.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.19.1.1.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.19.1.1.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2753

65.1.19.1.1.11 Valoren <Vlrn>

Presence: [1..1]

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Datatype: "ValorenIdentifier" on page 2760

65.1.19.1.1.12 Sicovam <SCVM>

Presence: [1..1]

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.

Datatype: "SicovamIdentifier" on page 2758

65.1.19.1.1.13 Belgian <Belgn>

Presence: [1..1]

Definition: Identifier for Belgian securities.

Datatype: "BelgianIdentifier" on page 2751

65.1.19.1.1.14 Common <Cmon>

Presence: [1..1]

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Datatype: "EuroclearClearstreamIdentifier" on page 2754

65.1.19.1.1.15 OtherProprietaryIdentification <OthrPrtryId>

Presence: [1..1]

Definition: Proprietary identification of the security assigned by an institution or organisation.

OtherProprietaryIdentification <OthrPrtryId> contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1612
	IdentificationSource <IdSrc>	[1..1]	±		1612

65.1.19.1.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.19.1.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.19.1.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.19.1.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.19.1.4 SupplementaryIdentification <SplmtryId>***Presence:* [0..1]*Definition:* Additional information about the financial instrument.*Datatype:* "Max35Text" on page 2764**65.1.19.1.5 ClassType <ClsTp>***Presence:* [0..1]*Definition:* Features of units offered by a fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**65.1.19.1.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.19.1.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to a class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.20 Financial Instrument Quantity

65.1.20.1 UnitsOrAmount1Choice

Definition: Choice between an amount or number of units.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1613
Or}	Unit <Unit>	[1..1]	Quantity		1614

65.1.20.1.1 Amount <Amt>

Presence: [1..1]

Definition: Currency and amount of the periodical payments.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.20.1.2 Unit <Unit>

Presence: [1..1]

Definition: Number of units to be subscribed or withdrawn.

Datatype: "DecimalNumber" on page 2761

65.1.20.2 Unit8

Definition: Information about units to transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1615
	OrderDate <OrdrDt>	[0..1]	Date		1615
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1616
	CertificateNumber <CertNb>	[0..*]	Text		1616
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1616
	Reference <Ref>	[0..1]	Text		1616
	PriceDetails <PricDtls>	[0..1]			1616
	Type <Tp>	[1..1]	±		1617
	Value <Val>	[1..1]	±		1617
	PriceMethod <PricMtd>	[0..1]	CodeSet		1617
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1618
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1618
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1618
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1619
{Or	Code <Cd>	[1..1]	CodeSet		1619
Or}	Proprietary <Prtry>	[1..1]	±		1619
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	1619
	OtherAmount <OthrAmt>	[0..*]			1620
	Type <Tp>	[1..1]			1620
{Or	Code <Cd>	[1..1]	CodeSet		1621
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1621
	Amount <Amt>	[1..1]	Amount	C2, C10	1621

65.1.20.2.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Quantity of units.

Datatype: "DecimalNumber" on page 2761

65.1.20.2.2 OrderDate <OrdrDt>

Presence: [0..1]

Definition: Date the investor or its agent placed the original order.

Datatype: "ISODate" on page 2749

65.1.20.2.3 AcquisitionDate <AcqstnDt>*Presence:* [0..1]*Definition:* Date the investor purchased the financial instrument.*Datatype:* "ISODate" on page 2749**65.1.20.2.4 CertificateNumber <CertNb>***Presence:* [0..*]*Definition:* Certificate representing the security that is delivered.*Datatype:* "Max35Text" on page 2764**65.1.20.2.5 Group1Or2Units <Grp1Or2Units>***Presence:* [0..1]*Definition:* Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.*Datatype:* "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

65.1.20.2.6 Reference <Ref>*Presence:* [0..1]*Definition:* Reference to the units number. This may be the order reference of the original acquisition, the identification of a lot, a client reference, a sub-position reference or other related reference.*Datatype:* "Max35Text" on page 2764**65.1.20.2.7 PriceDetails <PricDtls>***Presence:* [0..1]*Definition:* Information related to the price. This may specify the price of original order confirmation.

PriceDetails <PricDtls> contains the following **UnitPrice23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1617
	Value <Val>	[1..1]	±		1617
	PriceMethod <PricMtd>	[0..1]	CodeSet		1617
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1618
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1618
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1618
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1619
{Or	Code <Cd>	[1..1]	CodeSet		1619
Or}	Proprietary <Prtry>	[1..1]	±		1619

65.1.20.2.7.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Type <Tp> contains one of the following elements (see ["TypeOfPrice46Choice"](#) on page 2297 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2297
Or}	Proprietary <Prtry>	[1..1]	±		2298

65.1.20.2.7.2 Value <Val>

Presence: [1..1]

Definition: Value of the price.

Value <Val> contains the following elements (see ["PriceValue1"](#) on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.20.2.7.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: ["PriceMethod1Code"](#) on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor,

CodeName	Name	Definition
		therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.20.2.7.4 AccruedInterestNAV <AcrdIntrstNAV>

Presence: [0..1]

Definition: Interest that has accumulated between the most recent payment of interest and the sale of the financial instrument.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.20.2.7.5 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2761

65.1.20.2.7.6 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.20.2.7.7 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following **TaxableIncomePerShareCalculated2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1619
Or}	Proprietary <Prtry>	[1..1]	±		1619

65.1.20.2.7.7.1 Code <Cd>

Presence: [1..1]

Definition: Taxable income per share (TIS) calculated expressed as a code.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2726

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

65.1.20.2.7.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Taxable income per share calculated (TIS) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.20.2.8 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees and taxes. This may specify the fees and taxes related to the original order confirmation.

Impacted by: C18 "NonStandardsSLAResponseRule", C33 "TransactionOverheadElementRule"

TransactionOverhead <TxOvrhd> contains the following elements (see "TotalFeesAndTaxes40" on page 1348 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1349
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1349
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1349
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1350
	IndividualFee <IndvFee>	[0..*]	±	C6	1350
	IndividualTax <IndvTax>	[0..*]	±		1351

Constraints

- **NonStandardsSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.20.2.9 OtherAmount <OthrAmt>

Presence: [0..*]

Definition: Amounts not covered in price, fee or tax.

OtherAmount <OthrAmt> contains the following **OtherAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1620
{Or	Code <Cd>	[1..1]	CodeSet		1621
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1621
	Amount <Amt>	[1..1]	Amount	C2, C10	1621

65.1.20.2.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of amount.

Type <Tp> contains one of the following **OtherAmountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1621
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1621

65.1.20.2.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "OtherAmountType1Code" on page 2702

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

65.1.20.2.9.1.2 ProprietaryCode <PrtryCd>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**ProprietaryCode <PrtryCd>** contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.20.2.9.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.20.3 Quantity42Choice

Definition: Total quantity of securities to be transferred, expressed in a number of units or a percentage rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		1622
Or}	TransferRate <TrfRate>	[1..1]	Rate		1622

65.1.20.3.1 TotalUnitsNumber <TtlUnitsNb>

Presence: [1..1]

Definition: Total quantity of securities to be transferred.

Datatype: "DecimalNumber" on page 2761

65.1.20.3.2 TransferRate <TrfRate>

Presence: [1..1]

Definition: Quantity of securities to be transferred as a percentage of the holding.

Datatype: "PercentageRate" on page 2762

65.1.21 Foreign Exchange

65.1.21.1 ForeignExchangeTerms6

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C3	1622
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C3	1623
	ExchangeRate <XchgRate>	[1..1]	Rate		1623
	QuotationDate <QtnDt>	[0..1]	DateTime		1623
	QuotingInstitution <QtgInstn>	[0..1]	±		1623

65.1.21.1.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.21.1.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.21.1.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: The value of one currency expressed in relation to another currency. ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 2761

65.1.21.1.4 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODateTime" on page 2749

65.1.21.1.5 QuotingInstitution <QtglInstn>

Presence: [0..1]

Definition: Party that proposes a foreign exchange rate.

QuotingInstitution <QtglInstn> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.21.2 ForeignExchangeTerms33

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

65.1.21.2.1 ToAmount <ToAmt>

Presence: [0..1]

Definition: Cash amount resulting from a foreign exchange trade.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.21.2.2 FromAmount <FrAmt>

Presence: [0..1]

Definition: Cash amount for which a foreign exchange is required.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.21.2.3 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.2.4 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.2.5 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects that amount of the quoted currency that can be purchased with one unit of the unit currency, as follows:

$1 \times \text{CUR1} = \text{nnn} \times \text{CUR2}$,

where:

CUR1 is the unit currency

CUR2 is the quoted currency

nnn is the exchange rate.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Datatype: "BaseOneRate" on page 2761

65.1.21.2.6 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODatetime" on page 2749

65.1.21.2.7 QuotingInstitution <QtgInstn>

Presence: [0..1]

Definition: Party that proposes the foreign exchange rate.

QuotingInstitution <QtgInstn> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.21.3 ForeignExchangeTerms32

Definition: Information needed to process a currency exchange or conversion.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1626
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1627
	ExchangeRate <XchgRate>	[1..1]	Rate		1627
	QuotationDate <QtnDt>	[0..1]	DateTime		1627
	QuotingInstitution <QtgInstn>	[0..1]	±		1627

65.1.21.3.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.3.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.3.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects that amount of the quoted currency that can be purchased with one unit of the unit currency, as follows:

$1 \times \text{CUR1} = \text{nnn} \times \text{CUR2}$,

where:

CUR1 is the unit currency

CUR2 is the quoted currency

nnn is the exchange rate.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Datatype: "BaseOneRate" on page 2761

65.1.21.3.4 QuotationDate <QtnDt>

Presence: [0..1]

Definition: Date and time at which an exchange rate is quoted.

Datatype: "ISODateTime" on page 2749

65.1.21.3.5 QuotingInstitution <QtglInstn>

Presence: [0..1]

Definition: Party that proposes a foreign exchange rate.

QuotingInstitution <QtglInstn> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.21.4 ForeignExchangeTerms19

Definition: Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1628
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1628
	ExchangeRate <XchgRate>	[1..1]	Rate		1629

65.1.21.4.1 UnitCurrency <UnitCcy>

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.4.2 QuotedCurrency <QtdCcy>

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.21.4.3 ExchangeRate <XchgRate>

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: "BaseOneRate" on page 2761

65.1.22 Frequency

65.1.22.1 Frequency20Choice

Definition: Choice of formats for the frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1629
Or}	Proprietary <Prtry>	[1..1]	±		1630

65.1.22.1.1 Code <Cd>

Presence: [1..1]

Definition: Frequency expressed as a code.

Datatype: "EventFrequency8Code" on page 2670

CodeName	Name	Definition
ADHO	Adhoc	Event takes place as necessary.
YEAR	Annual	Event takes place every year or once a year.
DAIL	Daily	Event takes place every day.
FOMN	EveryFourMonths	Event takes place every four months or three times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TYEA	EveryTwoYears	Event takes place every two years.
INDA	IntraDay	Event takes place several times a day.
MNTH	Monthly	Event takes place every month or once a month.
ONDE	OnDemand	Event takes place on demand.
OVNG	Overnight	Event takes place overnight.
QUTR	Quarterly	Event takes place every three months or four times a year.

CodeName	Name	Definition
SEMI	SemiAnnual	Event takes place every six months or two times a year.
TWMN	TwiceAMonth	Event takes place two times a month.
WEEK	Weekly	Event takes place once a week.

65.1.22.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.22.2 StatementFrequencyReason2Choice

Definition: Choice of formats for the specification of the statement frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1630
Or}	Proprietary <Prtry>	[1..1]	±		1631

65.1.22.2.1 Code <Cd>

Presence: [1..1]

Definition: Statement frequency expressed as a code.

Datatype: "[EventFrequency9Code](#)" on page 2670

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.

CodeName	Name	Definition
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.
NONE	None	Event does not take place.

65.1.22.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Statement frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.23 Identification Information

65.1.23.1 AdditionalReference2

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1631
	ReferenceIssuer <RefIssr>	[0..1]	±		1631
	MessageName <MsgNm>	[0..1]	Text		1632

65.1.23.1.1 Reference <Ref>

Presence: [1..1]

Definition: Business reference of a message assigned by the party issuing the message. This reference must be unique amongst all messages of the same name sent by the same party.

Datatype: "[Max35Text](#)" on page 2764

65.1.23.1.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see "PartyIdentification1Choice" on page 2025 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2025
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2026
Or}	NameAndAddress <NmAndAdr>	[1..1]			2026
	Name <Nm>	[1..1]	Text		2026
	Address <Adr>	[0..1]	±		2026

65.1.23.1.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of a message.

Datatype: "Max35Text" on page 2764

65.1.23.2 References64Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1632
Or}	OtherReference <OthrRef>	[1..2]	±		1632

65.1.23.2.1 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference10" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	ReferenceIssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.23.2.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see "[AdditionalReference10](#)" on page 1633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	Referencelssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.23.3 AdditionalReference11

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1633
	Referencelssuer <RefIssr>	[0..1]	±		1633
	MessageName <MsgNm>	[0..1]	Text		1633

65.1.23.3.1 Reference <Ref>

Presence: [1..1]

Definition: Reference identifying a set of messages.

Datatype: "[Max35Text](#)" on page 2764

65.1.23.3.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

Referencelssuer <RefIssr> contains the following elements (see "[PartyIdentification139](#)" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.23.3.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "[Max35Text](#)" on page 2764

65.1.23.4 AdditionalReference10

Definition: Reference and reference issuer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1634
	Referencelssuer <RefIssr>	[0..1]	±		1634
	MessageName <MsgNm>	[0..1]	Text		1634

65.1.23.4.1 Reference <Ref>

Presence: [1..1]

Definition: Reference issued by a party to identify an instruction, transaction or a message.

Datatype: "Max35Text" on page 2764

65.1.23.4.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

Referencelssuer <RefIssr> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.23.4.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2764

65.1.23.5 References63Choice

Definition: Choice between references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1634
Or}	OtherReference <OthrRef>	[1..1]	±		1635

65.1.23.5.1 PreviousReference <PrvsRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.5.2 OtherReference <OthrRef>

Presence: [1..1]

Definition: Reference to a linked message sent in a proprietary way or the reference of a system.

OtherReference <OthrRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.6 References62Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..2]	±		1635
Or}	OtherReference <OthrRef>	[1..2]	±		1635

65.1.23.6.1 PreviousReference <PrvsRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.6.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.7 References61Choice

Definition: Choice of references used to reference a previous transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RelatedReference <RltdRef>	[1..2]	±		1636
Or}	OtherReference <OthrRef>	[1..2]	±		1636

65.1.23.7.1 RelatedReference <RltdRef>

Presence: [1..2]

Definition: Reference to a linked message that was previously received.

RelatedReference <RltdRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.7.2 OtherReference <OthrRef>

Presence: [1..2]

Definition: Reference to a linked proprietary message or reference of a system that was previously received.

OtherReference <OthrRef> contains the following elements (see "[AdditionalReference8](#)" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.8 AdditionalReference8

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.23.8.1 Reference <Ref>

Presence: [1..1]

Definition: Reference issued by a party to identify an instruction, transaction or a message.

Datatype: "Max35Text" on page 2764

65.1.23.8.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.23.8.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2764

65.1.23.9 AdditionalReference9

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1638
	ReferenceIssuer <RefIssr>	[0..1]	±		1638
	MessageName <MsgNm>	[0..1]	Text		1638

65.1.23.9.1 Reference <Ref>*Presence:* [1..1]*Definition:* Reference identifying a set of messages.*Datatype:* "Max35Text" on page 2764**65.1.23.9.2 ReferenceIssuer <RefIssr>***Presence:* [0..1]*Definition:* Issuer of the reference.**ReferenceIssuer <RefIssr>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.23.9.3 MessageName <MsgNm>*Presence:* [0..1]*Definition:* Name of the message.*Datatype:* "Max35Text" on page 2764**65.1.23.10 GenericIdentification78***Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1638
	Identification <Id>	[0..1]	Text		1638

65.1.23.10.1 Type <Tp>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.23.10.2 Identification <Id>*Presence:* [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.23.11 IdentificationType42Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1639
Or}	Proprietary <Prtry>	[1..1]	±		1639

65.1.23.11.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 2744

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

65.1.23.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.23.12 GenericIdentification82

Definition: Information related to the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1640
	Type <Tp>	[1..1]			1640
{Or	Code <Cd>	[1..1]	CodeSet		1640
Or}	Proprietary <Prtry>	[1..1]	±		1641
	Issuer <Issr>	[0..1]	Text		1642
	IssueDate <IsseDt>	[0..1]	Date		1642
	ExpiryDate <XpryDt>	[0..1]	Date		1642
	State <Stat>	[0..1]	Text		1642
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1642

65.1.23.12.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity.

Datatype: "Max35Text" on page 2764

65.1.23.12.2 Type <Tp>

Presence: [1..1]

Definition: Type of identification.

Type <Tp> contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1640
Or}	Proprietary <Prtry>	[1..1]	±		1641

65.1.23.12.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "PartyIdentificationType7Code" on page 2702

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.

CodeName	Name	Definition
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

65.1.23.12.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.23.12.3 Issuer <Issr>*Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 2764**65.1.23.12.4 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the identification was issued.*Datatype:* "ISODate" on page 2749**65.1.23.12.5 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date at which the identification expires.*Datatype:* "ISODate" on page 2749**65.1.23.12.6 State <Stat>***Presence:* [0..1]*Definition:* Name of the state, county or country sub-division that issued the identification document.*Datatype:* "Max70Text" on page 2765**65.1.23.12.7 IssuerCountry <IssrCtry>***Presence:* [0..1]*Definition:* Country that issued the identification document.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.23.13 GenericIdentification81*Definition:* Information related to the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1643
	IdentificationType <IdTp>	[1..1]			1643
{Or	Code <Cd>	[1..1]	CodeSet		1643
Or}	Proprietary <Prtry>	[1..1]	±		1644

65.1.23.13.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a party, such as a tax or social security identifier.*Datatype:* "Max35Text" on page 2764**65.1.23.13.2 IdentificationType <IdTp>***Presence:* [1..1]*Definition:* Type of identification.**IdentificationType <IdTp>** contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1643
Or}	Proprietary <Prtry>	[1..1]	±		1644

65.1.23.13.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "PartyIdentificationType7Code" on page 2702

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.

CodeName	Name	Definition
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

65.1.23.13.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.23.14 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.23.14.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Exact4AlphaNumericText](#)" on page 2762

65.1.23.14.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2764

65.1.23.14.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 2764

65.1.23.15 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.23.15.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 2764

65.1.23.15.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2764

65.1.23.15.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 2764

65.1.23.16 AdditionalReference7

Definition: Reference to a related message or transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1646
	Referencelssuer <RefIssr>	[0..1]			1646
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1646
Or	LegalEntityIdentifier <LglNttyldr>	[1..1]	IdentifierSet		1647
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1647
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		1647
	MessageName <MsgNm>	[0..1]	Text		1647

65.1.23.16.1 Reference <Ref>

Presence: [1..1]

Definition: Reference issued by a party to identify an instruction, transaction or a message.

Datatype: "Max35Text" on page 2764

65.1.23.16.2 Referencelssuer <RefIssr>

Presence: [0..1]

Definition: Party that issued the reference.

Referencelssuer <RefIssr> contains one of the following **PartyIdentification97Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1646
Or	LegalEntityIdentifier <LglNttyldr>	[1..1]	IdentifierSet		1647
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1647
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		1647

65.1.23.16.2.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.23.16.2.2 LegalEntityIdentifier <LgInttyldr>

Presence: [1..1]

Definition: Identification of the party with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.23.16.2.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.23.16.2.4 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.23.16.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2764

65.1.23.17 AdditionalReference6

Definition: Reference to a related message or transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

65.1.23.17.1 Reference <Ref>

Presence: [1..1]

Definition: Message identification of a message. This reference was assigned by the party issuing the message.

Datatype: "Max35Text" on page 2764

65.1.23.17.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see "PartyIdentification90Choice" on page 2113 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2113
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2113

65.1.23.17.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of the message.

Datatype: "Max35Text" on page 2764

65.1.23.18 GenericIdentification47

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.23.18.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 2762

65.1.23.18.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 2764

65.1.23.18.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max4AlphaNumericText" on page 2764

65.1.23.19 SimpleIdentificationInformation

Definition: Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649

65.1.23.19.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

Datatype: "Max35Text" on page 2764

65.1.23.20 MessageIdentification1

Definition: Identifies a message by a unique identifier and the date and time when the message was created by the sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1649
	CreationDateTime <CreDtTm>	[1..1]	DateTime		1649

65.1.23.20.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the message.

Datatype: "Max35Text" on page 2764

65.1.23.20.2 CreationDateTime <CreDtTm>

Presence: [1..1]

Definition: Date of creation of the message.

Datatype: "ISODateTime" on page 2749

65.1.23.21 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.23.21.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 2671

65.1.23.21.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.23.22 IdentificationSource1Choice

Definition: Choice of proprietary or domestic identification scheme that uniquely identifies a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.23.22.1 Domestic <Dmst>

Presence: [1..1]

Definition: Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.23.22.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2764

65.1.23.23 GenericIdentification7

Definition: Information expressed in a proprietary manner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651

65.1.23.23.1 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max8Text" on page 2765

65.1.23.23.2 Information <Inf>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 2764

65.1.23.24 GenericIdentification6

Definition: Balance expressed with a data source scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651
	Balance <Bal>	[1..1]	Quantity		1651

65.1.23.24.1 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max8Text" on page 2765

65.1.23.24.2 Information <Inf>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 2762

65.1.23.24.3 Balance <Bal>

Presence: [1..1]

Definition: Value of the balance.

Datatype: "Number" on page 2761

65.1.23.25 GenericIdentification5

Definition: Information expressed in a proprietary manner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1652
	Information <Inf>	[1..1]	Text		1652
	Narrative <Nrrtv>	[0..1]	Text		1652

65.1.23.25.1 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max8Text" on page 2765

65.1.23.25.2 Information <Inf>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 2762

65.1.23.25.3 Narrative <Nrrtv>

Presence: [0..1]

Definition: Additional information.

Datatype: "Max35Text" on page 2764

65.1.23.26 GenericIdentification3

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1652
	Issuer <Issr>	[0..1]	Text		1652

65.1.23.26.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.23.26.2 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2764

65.1.23.27 GenericIdentification27

Definition: Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[1..1]	Text		1653

65.1.23.27.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max4AlphaNumericText" on page 2764

65.1.23.27.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max4AlphaNumericText" on page 2764

65.1.23.27.3 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 2764

65.1.23.28 GenericIdentification1

Definition: Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.23.28.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max35Text" on page 2764

65.1.23.28.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: "Max35Text" on page 2764

65.1.23.28.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 2764

65.1.23.29 AdditionalReference3

Definition: References a related message or provides another reference, such as a pool reference, linking a set of messages. The party which issued the related reference may be the Sender of the referenced message or a party other than the Sender.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

65.1.23.29.1 Reference <Ref>

Presence: [1..1]

Definition: Business reference of a message assigned by the party issuing the message. This reference must be unique amongst all messages of the same name sent by the same party.

Datatype: "Max35Text" on page 2764

65.1.23.29.2 ReferenceIssuer <RefIssr>

Presence: [0..1]

Definition: Issuer of the reference.

ReferenceIssuer <RefIssr> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.23.29.3 MessageName <MsgNm>

Presence: [0..1]

Definition: Name of a message.

Datatype: "Max35Text" on page 2764

65.1.24 Investment Account

65.1.24.1 InvestmentAccountCategory1Choice

Definition: Choice of formats for the specification of the category of investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1655
Or}	Proprietary <Prtry>	[1..1]	±		1655

65.1.24.1.1 Code <Cd>

Presence: [1..1]

Definition: Category of investment account expressed as a code.

Datatype: "InvestmentAccountCategory1Code" on page 2680

CodeName	Name	Definition
MAND	MandateAccount	Account is fully managed by the financial institution, that is, an investor gives a 'mandate' to the financial institution to execute a mutually agreed investment strategy on its behalf.
RETA	RetailAccount	Account is a standard account managed by the investor. The investor issues instructions for the account to the financial institution.

65.1.24.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Category of investment account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.2 Account28

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..1]	±		1656
	AccountIdentification <AcctId>	[0..1]	Text		1656
	Designation <Dsgnt>	[0..1]	Text		1656
	AccountName <AcctNm>	[0..1]	Text		1656
	Servicer <Svcr>	[0..1]	±		1657
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1657

65.1.24.2.1 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains the following elements (see "[PartyIdentification132](#)" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.24.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.2.3 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.2.4 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.24.2.5 Servicer <Svcr>

Presence: [0..1]

Definition: Institution that maintains the records where the account is held.

Servicer <Svcr> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.24.2.6 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.24.3 GeneralInvestmentAccountType1Choice

Definition: Choice of formats for a type of investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1657
Or}	Proprietary <Prtry>	[1..1]	±		1658

65.1.24.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of investment account expressed as a code.

Datatype: "GeneralInvestmentAccountType1Code" on page 2677

CodeName	Name	Definition
EQUI	ShareSavingsAccount	General shares or equity savings account.

65.1.24.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of investment account expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.24.4 InvestmentAccount68

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1659
	AccountIdentification <AcctId>	[1..1]	Text		1659
	AccountName <AcctNm>	[0..1]	Text		1659
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1659
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1659
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1660
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1660
	IncomePreference <IncmPref>	[0..1]	CodeSet		1660
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1661
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1661
	AccountServicer <AcctSvcr>	[0..1]	±		1661
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1661

Constraints

- **AccountIdentificationGuideline**

It is recommended that AccountIdentification be used to identify an account.

- **AccountIdentificationRule**

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

65.1.24.4.1 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.4.2 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: ["Max35Text"](#) on page 2764

65.1.24.4.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: ["Max35Text"](#) on page 2764

65.1.24.4.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: ["Max35Text"](#) on page 2764

65.1.24.4.5 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary42" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2072
	Account <Acct>	[0..1]			2072
	Identification <Id>	[0..1]	Text		2072
	AccountServicer <AcctSvcr>	[1..1]	±		2072
	Role <Role>	[0..1]	±		2072

65.1.24.4.6 SecuritiesForm <SctiesForm>

Presence: [0..1]

Definition: Form, that is, ownership, of the security, that is, registered or bearer.

Datatype: "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.24.4.7 DematerialisedIndicator <DmtrlsdInd>

Presence: [0..1]

Definition: Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.4.8 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.24.4.9 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2649

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.1.24.4.10 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Place requested as the place of safekeeping.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification </Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification </Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.24.4.11 AccountServicer <AcctSvcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**AccountServicer <AcctSvcr>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.4.12 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]

Definition: Sub-accounts that are grouped in a master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.24.5 InvestmentAccount67

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1662
	AccountIdentification <AcctId>	[1..1]	Text		1663
	AccountName <AcctNm>	[0..1]	Text		1663
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1663
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1663
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1664
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1664
	IncomePreference <IncmPref>	[0..1]	CodeSet		1664
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1664
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1665
	AccountServicer <AcctSvcr>	[0..1]	±		1665
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1665
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C23, C24	1666

65.1.24.5.1 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <Ownrld> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.5.2 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.5.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.5.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.5.5 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Party that provides services relating to financial products to investors, for example, advice on products and placement of orders for the investment fund.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary41](#)" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.24.5.6 SecuritiesForm <SctiesForm>*Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.24.5.7 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]*Definition:* Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.5.8 IncomePreference <IncmPref>*Presence:* [0..1]*Definition:* Dividend option chosen by the account owner based on the options offered in the prospectus.*Datatype:* "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.24.5.9 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2649

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.1.24.5.10 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Place requested as the place of safekeeping.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.24.5.11 AccountServicer <AcctSvcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**AccountServicer <AcctSvcr>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.5.12 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]*Definition:* Sub-account of the master or omnibus account.**SubAccountDetails <SubAcctDtls>** contains the following elements (see "SubAccount5" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.24.5.13 SettlementPartiesDetails <SttImPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

Impacted by: C23 "ReceiversCustodianDetailsRule", C24 "ReceiversIntermediary1DetailsRule"

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "ReceivingPartiesAndAccount18" on page 2360 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2362
	PartyIdentification <PtyId>	[1..1]	±		2362
	AccountIdentification <AcctId>	[0..1]	Text		2362
	ProcessingReference <PrcgRef>	[0..1]	Text		2362
	ProcessingDate <PrcgDt>	[0..1]	±		2363
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2363
	PartyIdentification <PtyId>	[1..1]	±		2363
	AccountIdentification <AcctId>	[0..1]	Text		2363
	ProcessingReference <PrcgRef>	[0..1]	Text		2364
	ProcessingDate <PrcgDt>	[0..1]	±		2364
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2364
	PartyIdentification <PtyId>	[1..1]	±		2364
	AccountIdentification <AcctId>	[0..1]	Text		2364
	ProcessingReference <PrcgRef>	[0..1]	Text		2365
	ProcessingDate <PrcgDt>	[0..1]	±		2365
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2365
	PartyIdentification <PtyId>	[1..1]	±		2365
	AccountIdentification <AcctId>	[0..1]	Text		2366
	ProcessingReference <PrcgRef>	[0..1]	Text		2366
	ProcessingDate <PrcgDt>	[0..1]	±		2366
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2366
	ContactPerson <CtctPrsn>	[0..1]	±		2366
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2367
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2367
	PartyIdentification <PtyId>	[1..1]	±		2367
	ProcessingReference <PrcgRef>	[0..1]	Text		2368
	ProcessingDate <PrcgDt>	[0..1]	±		2368
	ContactPerson <CtctPrsn>	[0..1]	±		2368

Constraints

- **ReceiversCustodianDetailsRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

On Condition

/ReceiversIntermediary1Details is present

Following Must be True

/ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

On Condition

/ReceiversIntermediary2Details is present

Following Must be True

/ReceiversIntermediary1Details Must be present

65.1.24.6 InvestmentAccount66

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1668
	AccountIdentification <AcctId>	[1..1]	Text		1669
	AccountName <AcctNm>	[0..1]	Text		1669
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1669
	IntermediaryInformation <IntrmyInf>	[0..*]	±		1669
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1670
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1670
	IncomePreference <IncmPref>	[0..1]	CodeSet		1670
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		1670
	SafekeepingPlace <StfkpgPlc>	[0..1]	±		1671
	AccountServicer <AcctSvcr>	[0..1]	±		1671
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1671
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C10, C11	1672

65.1.24.6.1 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.6.2 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.6.3 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.6.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.6.5 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary41](#)" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.24.6.6 SecuritiesForm <SctiesForm>*Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.24.6.7 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]*Definition:* Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.6.8 IncomePreference <IncmPref>*Presence:* [0..1]*Definition:* Dividend option chosen by the account owner based on the options offered in the prospectus.*Datatype:* "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.24.6.9 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2649

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.1.24.6.10 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]*Definition:* Place requested as the place of safekeeping.**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "[SafekeepingPlaceFormat8Choice](#)" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.24.6.11 AccountServicer <AcctSvcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**AccountServicer <AcctSvcr>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.6.12 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]*Definition:* Sub-account of the master or omnibus account.**SubAccountDetails <SubAcctDtls>** contains the following elements (see "[SubAccount5](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.24.6.13 SettlementPartiesDetails <SttlmPtiesDtls>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of the transaction.*Impacted by:* C10 "DeliverersCustodianDetailsRule", C11 "DeliverersIntermediary1DetailsRule"**SettlementPartiesDetails <SttlmPtiesDtls>** contains the following elements (see "DeliveringPartiesAndAccount18" on page 2377 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2379
	PartyIdentification <PtyId>	[1..1]	±		2379
	AccountIdentification <AcctId>	[0..1]	Text		2379
	ProcessingReference <PrcgRef>	[0..1]	Text		2379
	ProcessingDate <PrcgDt>	[0..1]	±		2380
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2380
	PartyIdentification <PtyId>	[1..1]	±		2380
	AccountIdentification <AcctId>	[0..1]	Text		2380
	ProcessingReference <PrcgRef>	[0..1]	Text		2380
	ProcessingDate <PrcgDt>	[0..1]	±		2381
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2381
	PartyIdentification <PtyId>	[1..1]	±		2381
	AccountIdentification <AcctId>	[0..1]	Text		2381
	ProcessingReference <PrcgRef>	[0..1]	Text		2382
	ProcessingDate <PrcgDt>	[0..1]	±		2382
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2382
	PartyIdentification <PtyId>	[1..1]	±		2382
	AccountIdentification <AcctId>	[0..1]	Text		2382
	ProcessingReference <PrcgRef>	[0..1]	Text		2383
	ProcessingDate <PrcgDt>	[0..1]	±		2383
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2383
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2383
	PartyIdentification <PtyId>	[1..1]	±		2383
	ProcessingReference <PrcgRef>	[0..1]	Text		2384
	ProcessingDate <PrcgDt>	[0..1]	±		2384
	ContactPerson <CtctPrsn>	[0..1]	±		2384

Constraints

- **DeliverersCustodianDetailsRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

On Condition

/DeliverersIntermediary1Details is present

Following Must be True

/DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

On Condition

/DeliverersIntermediary2Details is present

Following Must be True

/DeliverersIntermediary1Details Must be present

65.1.24.7 InvestmentAccount65

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1674
	AccountIdentification <AcctId>	[0..1]	Text		1674
	AccountName <AcctNm>	[0..1]	Text		1674
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1674
	SecuritiesForm <ScetiesForm>	[0..1]	CodeSet		1674
	DematerialisedIndicator <DmtrlSdlnd>	[0..1]	Indicator		1675
	IncomePreference <IncmPref>	[0..1]	CodeSet		1675
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		1675
	AccountServicer <AcctSvcr>	[0..1]	±		1675
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1675

Constraints

- **AccountIdentificationGuideline**

It is recommended that AccountIdentification be used to identify an account.

- **AccountIdentificationRule**

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

65.1.24.7.1 OwnerIdentification <OwnrId>*Presence:* [0..*]*Definition:* Party that legally owns the account.**OwnerIdentification <OwnrId>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.7.2 AccountIdentification <AcctId>*Presence:* [0..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "[Max35Text](#)" on page 2764**65.1.24.7.3 AccountName <AcctNm>***Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "[Max35Text](#)" on page 2764**65.1.24.7.4 AccountDesignation <AcctDsgnt>***Presence:* [0..1]*Definition:* Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.*Datatype:* "[Max35Text](#)" on page 2764**65.1.24.7.5 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, that is, registered or bearer.*Datatype:* "[FormOfSecurity1Code](#)" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.24.7.6 DematerialisedIndicator <DmtrlsdInd>*Presence:* [0..1]*Definition:* Indicates whether a security exists only as an electronic record, that is, there is no physical document representing the security.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.7.7 IncomePreference <IncmPref>*Presence:* [0..1]*Definition:* Dividend option chosen by the account owner based on the options offered in the prospectus.*Datatype:* "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.24.7.8 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>*Presence:* [0..1]*Definition:* Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.*Datatype:* "BeneficiaryCertificationCompletion1Code" on page 2649

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.1.24.7.9 AccountServicer <AcctSvcr>*Presence:* [0..1]*Definition:* Institution that maintains the records where the account is held.**AccountServicer <AcctSvcr>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.7.10 SubAccountDetails <SubAcctDtls>*Presence:* [0..1]

Definition: Sub-accounts that are grouped in a master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.24.8 ModificationScope35

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1676
	AdditionalInformation <AddtlInf>	[1..*]	±		1676

65.1.24.8.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.24.8.2 AdditionalInformation <AddtlInf>

Presence: [1..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation12](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

65.1.24.9 SubAccount6

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

65.1.24.9.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.9.2 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.9.3 Characteristic <Chrtc>

Presence: [0..1]

Definition: Additional properties of the account.

Datatype: "Max35Text" on page 2764

65.1.24.9.4 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.24.10 CustomerConductClassification1Choice

Definition: Choice of formats for the specification of the customer conduct classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

65.1.24.10.1 Code <Cd>

Presence: [1..1]

Definition: Conduct type expressed as a code.

Datatype: "ConductClassification1Code" on page 2658

CodeName	Name	Definition
NSTA	NonStandard	Non standard or unusual.
RCLT	Reluctant	Reluctant.
STAN	Standard	Standard.

65.1.24.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Conduct type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11 InvestmentAccountOwnershipInformation15

Definition: Characteristics of the ownership of an investment account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <Clntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqrd>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

65.1.24.11.1 Party <Pty>

Presence: [1..1]

Definition: Information about the organisation or individual person.

Party <Pty> contains one of the following **Party33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684

65.1.24.11.1.1 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Impacted by: C20 "OrganisationElementRule"

Organisation <Org> contains the following elements (see "Organisation29" on page 2036 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2038
	ShortName <ShrtNm>	[0..1]	Text		2038
	Identification <Id>	[0..1]			2038
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2038
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2039
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2039
	Purpose <Purp>	[0..1]	Text		2039
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2039
	RegistrationDate <RegnDt>	[0..1]	Date		2039
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2040
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		2040
	PostalAddress <PstlAdr>	[1..1]		C23	2041
	AddressType <AdrTp>	[0..1]			2042
{Or	Code <Cd>	[1..1]	CodeSet		2042
Or}	Proprietary <Prtry>	[1..1]	±		2042
	MailingIndicator <MnglInd>	[0..1]	Indicator		2042
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2043
	CareOf <CareOf>	[0..1]	Text		2043
	AddressLine <AdrLine>	[0..5]	Text		2043
	StreetName <StrtNm>	[0..1]	Text		2043
	BuildingNumber <BldgNb>	[0..1]	Text		2043
	BuildingName <BldgNm>	[0..1]	Text		2043
	PostBox <PstBx>	[0..1]	Text		2043
	SideInBuilding <SdlnBldg>	[0..1]	Text		2044
	Floor <Flr>	[0..1]	Text		2044
	SuiteIdentification <SuiteId>	[0..1]	Text		2044
	PostCode <PstCd>	[0..1]	Text		2044
	DistrictName <DstrctNm>	[0..1]	Text		2044
	Village <Vllg>	[0..1]	Text		2044
	TownName <TwnNm>	[0..1]	Text		2044
	State <Stat>	[0..1]	Text		2044

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	2044
	TypeOfOrganisation <TpOfOrg>	[0..1]			2045
{Or	Code <Cd>	[1..1]	CodeSet		2045
Or}	Proprietary <Prtry>	[1..1]	±		2045
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		2046

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

65.1.24.11.1.2 IndividualPerson <IndvPrsn>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following elements (see "IndividualPerson33" on page 2237 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2239
{Or	Code <Cd>	[1..1]	CodeSet		2239
Or}	Proprietary <Prtry>	[1..1]	±		2240
	GivenName <GvnNm>	[0..1]	Text		2240
	MiddleName <MddlNm>	[0..1]	Text		2240
	Name <Nm>	[1..1]	Text		2240
	NameSuffix <NmSfx>	[0..1]	Text		2240
	Gender <Gndr>	[0..1]	CodeSet		2241
	BirthDate <BirthDt>	[0..1]	Date		2241
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2241
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2241
	CityOfBirth <CityOfBirth>	[0..1]	Text		2241
	Profession <Prfssn>	[0..1]	Text		2241
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2241
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		2242
	PostalAddress <PstlAdr>	[1..1]		C23	2243
	AddressType <AdrTp>	[0..1]			2244
{Or	Code <Cd>	[1..1]	CodeSet		2244
Or}	Proprietary <Prtry>	[1..1]	±		2244
	MailingIndicator <MlngInd>	[0..1]	Indicator		2244
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2245
	CareOf <CareOf>	[0..1]	Text		2245
	AddressLine <AdrLine>	[0..5]	Text		2245
	StreetName <StrtNm>	[0..1]	Text		2245
	BuildingNumber <BldgNb>	[0..1]	Text		2245
	BuildingName <BldgNm>	[0..1]	Text		2245
	PostBox <PstBx>	[0..1]	Text		2245
	SideInBuilding <SdlnBldg>	[0..1]	Text		2246
	Floor <Flr>	[0..1]	Text		2246
	SuiteIdentification <SuiteId>	[0..1]	Text		2246

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		2246
	DistrictName <DstrctNm>	[0..1]	Text		2246
	Village <Vllg>	[0..1]	Text		2246
	TownName <TwnNm>	[0..1]	Text		2246
	State <Stat>	[0..1]	Text		2246
	Country <Ctry>	[1..1]	CodeSet	C11	2246
	ModifiedCitizenship <ModfdCtzsh>	[0..3]			2247
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		2247
	Citizenship <Ctzsh>	[1..1]			2247
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2247
	MinorIndicator <MnrInd>	[1..1]	Indicator		2248
	EmployingCompany <EmplngCpny>	[0..1]	Text		2248
	BusinessFunction <BizFctn>	[0..1]	Text		2248
	PoliticallyExposedPersonType <PltclyXpsdPrsnTp>	[0..1]	±		2248
	DeathDate <DthDt>	[0..1]	Date		2248
	CivilStatus <CvlSts>	[0..1]			2249
{Or	Code <Cd>	[1..1]	CodeSet		2249
Or}	Proprietary <Prtry>	[1..1]	±		2249
	EducationLevel <EdctnLvl>	[0..1]	Text		2249
	FamilyInformation <FmlyInf>	[0..1]			2250
	NameOfFather <NmOfFthr>	[0..1]	Text		2250
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2250
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2250

65.1.24.11.2 MoneyLaunderingCheck <MnyLndrgChck>

Presence: [0..1]

Definition: Status of an identity check to prevent money laundering. This includes the counter-terrorism check.

MoneyLaunderingCheck <MnyLndrgChck> contains one of the following elements (see "MoneyLaunderingCheck1Choice" on page 2118 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2118
Or}	Proprietary <Prtry>	[1..1]	±		2119

65.1.24.11.3 ModifiedInvestorProfileValidation <ModfdInvstrPrfIVldtn>*Presence:* [0..*]*Definition:* Information related to the party profile to be inserted or deleted.**ModifiedInvestorProfileValidation <ModfdInvstrPrfIVldtn>** contains the following **ModificationScope27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrfIVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

65.1.24.11.3.1 ModificationScopeIndication <ModScplIndctn>*Presence:* [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification2Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.24.11.3.2 InvestorProfileValidation <InvstrPrfIVldtn>

Presence: [1..1]

Definition: Detailed information about the party profile information.

InvestorProfileValidation <InvstrPrfIVldtn> contains the following **PartyProfileInformation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

65.1.24.11.3.2.1 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Indicates whether the certificate type has been obtained and verified.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.11.3.2.2 ValidatingParty <VldtngPty>*Presence:* [0..1]*Definition:* Identification of the person who validated the document.*Datatype:* "Max140Text" on page 2763**65.1.24.11.3.2.3 CheckingParty <ChckngPty>***Presence:* [0..1]*Definition:* Identification of the person who checked the document.*Datatype:* "Max140Text" on page 2763**65.1.24.11.3.2.4 ResponsibleParty <RspnsblPty>***Presence:* [0..1]*Definition:* Identification of the person who is responsible for the document.*Datatype:* "Max140Text" on page 2763**65.1.24.11.3.2.5 CertificateType <CertTp>***Presence:* [0..1]*Definition:* Type of certificate.**CertificateType <CertTp>** contains one of the following **CertificationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691

65.1.24.11.3.2.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Certificate type expressed as a code.*Datatype:* "CertificateType2Code" on page 2654

CodeName	Name	Definition
AMLC	AntiMoneyLaundering	Anti-money laundering certification form.
DVLC	DrivingLicense	Government issued form of picture identification - driving license.
DFOR	DueFormationAndOrganisation	Certification of due formation and organisation.
GOST	GoodStanding	Certificate of good standing.
IDEN	IdentityCard	Government issued form of picture identification - identity card.
INCU	Incumbency	Certification of incumbency.
LREF	LetterOfReference	Letter of reference.
PASS	Passport	Government issued form of picture identification - passport.

CodeName	Name	Definition
PRAD	ProofOfAddress	Proof of the address, such as a utility bill.
PKIC	PublicKeyInfrastructureCertificate	Public key infrastructure certificate.

65.1.24.11.3.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Certificate type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.3.2.6 CheckingDate <ChckngDt>

Presence: [0..1]

Definition: Date at which the certification check has been performed.

Datatype: "[ISODate](#)" on page 2749

65.1.24.11.3.2.7 CheckingFrequency <ChckngFrqcy>

Presence: [0..1]

Definition: Specifies how frequently the check is performed.

Datatype: "[EventFrequency1Code](#)" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.

CodeName	Name	Definition
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

65.1.24.11.3.2.8 NextRevisionDate <NxtRvsnDt>*Presence:* [0..1]*Definition:* Specifies the date at which the next certification check will be performed.*Datatype:* "ISODate" on page 2749**65.1.24.11.3.2.9 SalaryRange <SlryRg>***Presence:* [0..1]*Definition:* Limits between which a person's salary is estimated.*Datatype:* "Max35Text" on page 2764**65.1.24.11.3.2.10 SourceOfWealth <SrcOfWlth>***Presence:* [0..1]*Definition:* Indicates the main source of revenue.*Datatype:* "Max140Text" on page 2763**65.1.24.11.3.2.11 CustomerConductClassification <CstmrCndctClssfctn>***Presence:* [0..1]*Definition:* Specifies an assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

65.1.24.11.3.2.12 RiskLevel <RskLvl>*Presence:* [0..1]*Definition:* Specifies the customer's money laundering risk.**RiskLevel <RskLvl>** contains one of the following **RiskLevel2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693

65.1.24.11.3.2.12.1 Code <Cd>*Presence:* [1..1]

Definition: Risk level expressed as code.

Datatype: "RiskLevel1Code" on page 2718

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

65.1.24.11.3.2.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Risk level expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.3.2.13 KnowYourCustomerCheckType <KnowYourCstmrChckTp>

Presence: [0..1]

Definition: Specifies the type of due diligence checks carried out on the investor or account owner. For definitions of ordinary, simple and enhanced know your customer checks, local market regulations should be consulted.

KnowYourCustomerCheckType <KnowYourCstmrChckTp> contains one of the following **KYCCheckType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694

65.1.24.11.3.2.13.1 Code <Cd>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a code.

Datatype: "KnowYourCustomerCheckType1Code" on page 2695

CodeName	Name	Definition
ENHA	Enhanced	Enhanced check, typically carried out on accounts that are considered high risk.
ORDN	Ordinary	Ordinary check, typically carried out on standard accounts.

CodeName	Name	Definition
SIMP	Simple	Simple check, typically carried out on accounts that have a low risk of anti-money laundering, such as an account owned by a financial institution.

65.1.24.11.3.2.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.3.2.14 KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>

Presence: [0..1]

Definition: Specifies whether a customer has been checked in a Know Your Customer (KYC) database.

KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck> contains the following **DataBaseCheck1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

65.1.24.11.3.2.14.1 DatabaseCheck <DBChck>

Presence: [1..1]

Definition: Indicates whether the individual or organisation is listed in an on-line global Know Your Customer (KYC) database.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.11.3.2.14.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the database.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.11.4 OwnershipBeneficiaryRate <OwnrshBnfcryRate>

Presence: [0..1]

Definition: Percentage of ownership or of beneficial ownership of the shares/units in the account. All subsequent subscriptions or purchases and or redemptions or sells will be allocated using the same percentage.

Impacted by: C25 "OwnershipBeneficiaryRateRule"

OwnershipBeneficiaryRate <OwnrshBnfcryRate> contains the following **OwnershipBeneficiaryRate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695

Constraints

- **OwnershipBeneficiaryRateRule**

Either Rate or Fraction must be present. Both may be present.

65.1.24.11.4.1 Rate <Rate>

Presence: [0..1]

Definition: Ownership or beneficial ownership expressed as a percentage.

Datatype: "PercentageRate" on page 2762

65.1.24.11.4.2 Fraction <Frctn>

Presence: [0..1]

Definition: Ownership or beneficial ownership expressed as a fraction or another form.

Datatype: "Max35Text" on page 2764

65.1.24.11.5 ClientIdentification <CIntld>

Presence: [0..1]

Definition: Unique identification, as assigned by an organisation, to unambiguously identify a party.

Datatype: "Max35Text" on page 2764

65.1.24.11.6 FiscalExemption <FscIXmptn>

Presence: [0..1]

Definition: Indicates whether an owner of the account may benefit from a fiscal exemption or amnesty, for example, when declaring overseas investments.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.11.7 SignatoryRightIndicator <SgntryRghtInd>

Presence: [0..1]

Definition: Indicates whether the signature of the account owner is required to authorise transactions on the account.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.11.8 MiFIDClassification <MiFIDClssfctn>

Presence: [0..1]

Definition: Details about the MiFID classification of the account owner.

MiFIDClassification <MiFIDClssfctn> contains the following elements (see ["MiFIDClassification1"](#) on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		2008
	Narrative <Nrrtv>	[0..1]	Text		2008

65.1.24.11.9 Notification <Ntfctn>

Presence: [0..*]

Definition: Type of information that must be provided to the account holder.

Notification <Ntfctn> contains the following **Notification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqrd>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

65.1.24.11.9.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: ["Max35Text"](#) on page 2764

65.1.24.11.9.2 Required <Reqrd>

Presence: [1..1]

Definition: Indicates whether the notification is required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.11.9.3 DistributionType <DstrbtnTp>*Presence:* [0..1]*Definition:* Specifies how the notification is sent.

DistributionType <DstrbtnTp> contains one of the following **InformationDistribution1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697

65.1.24.11.9.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.*Datatype:* "InformationDistribution2Code" on page 2679

CodeName	Name	Definition
ELEC	Electronic	Electronic distribution.
NONE	NoDistribution	No distribution.
PAPR	Paper	Paper distribution.

65.1.24.11.9.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.10 FATCAFormType <FATCAFormTp>*Presence:* [0..*]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form submitted by the investor or account owner.

FATCAFormType <FATCAFormTp> contains one of the following elements (see "FATCAForm1Choice" on page 1965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1965
Or}	Proprietary <Prtry>	[1..1]	±		1966

65.1.24.11.11 FATCAStatus <FATCASts>

Presence: [0..*]

Definition: Foreign Account Tax Compliance Act (FATCA) status of the investor or account owner.

FATCAStatus <FATCASts> contains the following **FATCAStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

65.1.24.11.11.1 Type <Tp>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status.

Type <Tp> contains one of the following **FATCAStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699

65.1.24.11.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status expressed as a code.

Datatype: "FATCAStatus1Code" on page 2672

CodeName	Name	Definition
F101	FATCA101	Account holder type is an owner-documented financial institution with specified US owner(s).
F102	FATCA102	Account holder type is a passive non-financial entity with substantial US owner(s).

CodeName	Name	Definition
F103	FATCA103	Account holder type is a non-participating financial institution.
F104	FATCA104	Account holder type is a specified US person.
F105	FATCA105	Account holder type is a direct reporting non-financial foreign entity (NFFE).
F201	FATCA201	Account pool reporting type is a recalcitrant account holder with US indicia.
F202	FATCA202	Account pool reporting type is a recalcitrant account holder without US indicia.
F203	FATCA203	Account pool reporting type is a dormant account.
F204	FATCA204	Account pool reporting type is a non-participating foreign financial institution.
F205	FATCA205	Account pool reporting type is a recalcitrant account holder that is a US person.
F206	FATCA206	Account pool reporting type is recalcitrant account holder that is a passive non-financial foreign entity (NFFE).

65.1.24.11.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.11.2 Source <Src>

Presence: [0..1]

Definition: Source of the Foreign Account Tax Compliance Act (FATCA) status.

Source <Src> contains one of the following **FATCASource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700

65.1.24.11.11.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Source of the Foreign Account Tax Compliance Act (FATCA) status expressed as a code.*Datatype:* "FATCASourceStatus1Code" on page 2672

CodeName	Name	Definition
CALC	Calculated	Source of the Foreign Account Tax Compliance Act (FATCA) status calculated.
DECL	Declared	Source of the Foreign Account Tax Compliance Act (FATCA) status is as declared by the investor.

65.1.24.11.11.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Source of Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.12 FATCAReportingDate <FATCARptgDt>*Presence:* [0..1]*Definition:* Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.*Datatype:* "ISODate" on page 2749**65.1.24.11.13 CRSFormType <CRSFormTp>***Presence:* [0..*]*Definition:* Type of Common Reporting Standard (CRS) form submitted by the investor or account owner.**CRSFormType <CRSFormTp>** contains one of the following **CRSForm1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701

65.1.24.11.13.1 Code <Cd>*Presence:* [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a code.

Datatype: "CRSFormType1Code" on page 2663

CodeName	Name	Definition
CER4	CertificateIdentificationGovernment	Certificate of Identification issued by a government.
CER3	CertificateOfResidence	Certificate of residence.
CER5	OfficialDocumentGovernmentForEntity	Official documentation issued by a government for the entity.
CER6	OtherDocumentOrReport	Financial statement, third party credit report, bankruptcy filing or a securities regulator report.
CER8	PublicInformationEntity	Publicly available information for the entity.
CER1	SelfCertificationSeparateDocument	Self certification as a separate document.
CER2	SelfCertificationWithAccountOpeningContract	Self certification as a document included into contract of the account opening.
CER7	Standardised	Standardised industry coding system for the entity.

65.1.24.11.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.14 CRSStatus <CRSSts>

Presence: [0..*]

Definition: Common Reporting Standard (CRS) status of the investor or account owner.

CRSStatus <CRSSts> contains the following **CRSStatus4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704

65.1.24.11.14.1 Type <Tp>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status.

Type <Tp> contains one of the following **CRSStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703

65.1.24.11.14.1.1 Code <Cd>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status expressed as a code.

Datatype: "CRSStatus1Code" on page 2664

CodeName	Name	Definition
C101	CRS101	Account holder type is a passive non-financial entity with one or more controlling persons that are foreign persons.
C102	CRS102	Account holder type is a foreign person.
C103	CRS103	Account holder type is a passive foreign non-financial entity without controlling persons that are foreign persons.
C104	CRS104	Account holder type is a financial institution such as a depositary, a custodial institution or a specified insurance company.
C105	CRS105	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution without one or more controlling persons that are foreign persons.

CodeName	Name	Definition
C106	CRS106	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution with one or more controlling persons that are foreign persons.
C107	CRS107	Account holder type is a financial institution such as another investment entity.
C108	CRS108	Account holder type is an active non-financial entity such as a corporation for which the stock is regularly traded on an established securities market.
C109	CRS109	Account holder type is an active non-financial entity such as a corporation which is a related entity of a corporation for which the stock is regularly traded on an established securities market.
C110	CRS110	Account holder type is an active non-financial entity such as a government entity.
C111	CRS111	Account holder type is an active non-financial entity such as a central bank.
C112	CRS112	Account holder type is an active non-financial entity such as an international organisation.
C113	CRS113	Account holder type is not a foreign person.
C114	CRS114	Account holder type is a financial institution such as an investment entity of a participating jurisdiction and managed by another financial institution.

65.1.24.11.14.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.14.2 Source <Src>

Presence: [0..1]

Definition: Source of the Common Reporting Standard (CRS) status.

Source <Src> contains one of the following **CRSSource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1704
Or}	Proprietary <Prtry>	[1..1]	±		1704

65.1.24.11.14.2.1 Code <Cd>

Presence: [1..1]

Definition: Source of the Common Reporting Standard (CRS) status expressed as a code.

Datatype: "CRSSourceStatus1Code" on page 2664

CodeName	Name	Definition
CALC	Calculated	Source of the Common Reporting Standard (CRS) status is calculated.
DECL	Declared	Source of the Common Reporting Standard (CRS) status is as declared by the investor.

65.1.24.11.14.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Source of Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.14.3 ExceptionalReportingCountry <XcptnIRptgCtry>

Presence: [0..1]

Definition: Reporting country for the CRS status when there is an exception at the country level.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.24.11.15 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2749

65.1.24.11.16 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <Othrid> contains the following elements (see "[GenericIdentification82](#)" on page 1640 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1640
	Type <Tp>	[1..1]			1640
{Or	Code <Cd>	[1..1]	CodeSet		1640
Or}	Proprietary <Prtry>	[1..1]	±		1641
	Issuer <Issr>	[0..1]	Text		1642
	IssueDate <IssDt>	[0..1]	Date		1642
	ExpiryDate <XpryDt>	[0..1]	Date		1642
	State <Stat>	[0..1]	Text		1642
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1642

65.1.24.11.17 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account party.

TaxExemption <TaxXmptn> contains one of the following elements (see "[TaxExemptionReason2Choice](#)" on page 2532 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

65.1.24.11.18 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting2" on page 2580 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

65.1.24.11.19 Language <Lang>

Presence: [0..1]

Definition: Language in which the organisation or person communicates.

Impacted by: C33 "ValidationByTable"

Datatype: "LanguageCode" on page 2695

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

65.1.24.11.20 MailType <MailTp>

Presence: [0..1]

Definition: Method used for postal mailing.

MailType <MailTp> contains one of the following **MailType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707

65.1.24.11.20.1 Code <Cd>

Presence: [1..1]

Definition: Mail type expressed as a code.

Datatype: "MailType1Code" on page 2697

CodeName	Name	Definition
AIRM	AirMail	Air mail.
ORDM	OrdinaryMail	Ordinary mail.
REGM	RegisteredMail	Registered Mail.

65.1.24.11.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Mail type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.21 CountryAndResidentialStatus <CtryAndResdtlSts>*Presence:* [0..1]*Definition:* Country and residential status of the organisation or individual person.**CountryAndResidentialStatus <CtryAndResdtlSts>** contains the following **CountryAndResidentialStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707

65.1.24.11.21.1 Country <Ctry>*Presence:* [1..1]*Definition:* Country to which the residential status is applicable.*Impacted by:* [C11 "Country"](#)*Datatype:* ["CountryCode"](#) on page 2662**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.24.11.21.2 ResidentialStatus <ResdtlSts>*Presence:* [1..1]*Definition:* Residential status of the organisation or individual, for example, non-permanent resident.*Datatype:* ["ResidentialStatus1Code"](#) on page 2718

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

65.1.24.11.22 MonetaryWealth <MntryWith>

Presence: [0..1]

Definition: Annual wealth of the individual person or share capital value of the legal entity and date on which the annual wealth of the individual person was registered or declared or the date the stock value of the organisation was registered.

MonetaryWealth <MntryWith> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708

65.1.24.11.22.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.11.22.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.11.23 EquityValue <EqtyVal>

Presence: [0..1]

Definition: Amount of total assets minus liabilities of the individual person or the amount of the difference between assets and liabilities plus rights over obligations (net equity) of the organisation and the date on which the equity value was registered.

EquityValue <EqtyVal> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709

65.1.24.11.23.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.11.23.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.11.24 WorkingCapital <WorkgCptl>

Presence: [0..1]

Definition: Resource or value owned or used by a third-party company and the date on which the working capital amount was registered.

WorkingCapital <WorkgCptl> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710

65.1.24.11.24.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.11.24.2 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of money.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.11.25 CompanyLink <CpnyLk>*Presence:* [0..1]*Definition:* Account owner's connection with the trading party or broker.

CompanyLink <CpnyLk> contains one of the following **CompanyLink1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711

65.1.24.11.25.1 Code <Cd>*Presence:* [1..1]*Definition:* Company link expressed as a code.*Datatype:* "CompanyLink1Code" on page 2657

CodeName	Name	Definition
AGEN	Agent	Autonomous agent accredited by the broker.
BROK	Broker	Employee, operator or agent of the broker.
PART	BrokeragePartner	Brokerage partner or shareholder, individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.
MEMB	MemberBroker	Member broker of a financial conglomerate (person is a board member, director, officer and employee)

CodeName	Name	Definition
		of a member company of the conglomerate).
PCOM	ParentCompany	Parent company of the broker.
RELA	Relative	Spouse or partner and child of an employee, operator or agent of the broker; agent of the broker; brokerage partners or shareholders; individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.

65.1.24.11.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Company link expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.11.26 ElectronicMailingServiceReference <ElctrncMlngSvcRef>

Presence: [0..1]

Definition: Reference to be specified when a letter (for example, an order confirmation) is sent by an automated mailing system.

Datatype: "[Max350Text](#)" on page 2764

65.1.24.11.27 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.24.11.28 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.24.11.29 AdditionalRegulatoryInformation <AddtlRgltryInf>

Presence: [0..1]

Definition: Additional regulatory information about the investor or account owner that is required in some markets to support anti-money laundering laws.

AdditionalRegulatoryInformation <AddtlRgltryInf> contains the following **RegulatoryInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713

65.1.24.11.29.1 Sector <Sctr>

Presence: [0..1]

Definition: Sector of economic activity, for example, SAE in the Italian market.

Datatype: "Max35Text" on page 2764

65.1.24.11.29.2 Branch <Brnch>

Presence: [0..1]

Definition: Branch of economic activity, for example, RAE in the Italian market.

Datatype: "Max35Text" on page 2764

65.1.24.11.29.3 Group <Grp>

Presence: [0..1]

Definition: Group of economic activity, for example, a code issued by a regulator.

Datatype: "Max35Text" on page 2764

65.1.24.11.29.4 Other <Othr>

Presence: [0..1]

Definition: Other regulatory information.

Datatype: "Max35Text" on page 2764

65.1.24.11.30 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account party is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "AccountingStatus1Choice" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

65.1.24.11.31 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation12](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

65.1.24.11.32 ControllingParty <CtrlgPty>

Presence: [0..1]

Definition: Party is the controlling person.

(For an Entity that is a legal person, the term "Controlling Persons" means the natural person(s) who exercises control over the Entity. "Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.).

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.12 AccountUsageType2Choice

Definition: Choice of formats for the specification of the type of account usage.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1715

65.1.24.12.1 Code <Cd>

Presence: [1..1]

Definition: Type of account usage expressed as a code.

Datatype: "AccountUsageType2Code" on page 2647

CodeName	Name	Definition
INVE	Investor	Investor.
ISSP	IssuingParticipant	Issuing participant.
SETP	SettlementParticipant	Settlement participant.
TRDP	TradingParticipant	Trading participant.

65.1.24.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of account usage expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.13 OwnershipType2Choice

Definition: Choice of formats for the specification of the ownership type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1715
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.24.13.1 Code <Cd>

Presence: [1..1]

Definition: Ownership type expressed as a code.

Datatype: "AccountOwnershipType4Code" on page 2645

CodeName	Name	Definition
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
ENTR	Entrepreneurial	Entrepreneurial account.
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
EURE	EUResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as an individual person, nor as a UCITS (Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.

CodeName	Name	Definition
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.

65.1.24.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Ownership type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.14 InvestmentAccountType1Choice

Definition: Choice of formats for the specification of the investment account type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1717
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.24.14.1 Code <Cd>

Presence: [1..1]

Definition: Investment account type expressed as a code.

Datatype: "[FundCashAccount2Code](#)" on page 2674

CodeName	Name	Definition
CASH	CashAccount	Cash account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.

65.1.24.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investment account type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.15 InvestmentAccount58

Definition: Account between an investor(s) and a fund manager or a fund. The account can contain holdings in any investment fund or investment fund class managed (or distributed) by the fund manager, within the same fund family.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

65.1.24.15.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.15.2 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.15.3 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to be reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.24.15.4 OwnerIdentification <OwnrId>

Presence: [0..*]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.15.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.24.15.6 OrderOriginatorEligibility <OrdOrgtrElgblty>

Presence: [0..1]

Definition: Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Datatype: "OrderOriginatorEligibility1Code" on page 2700

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.

CodeName	Name	Definition
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

65.1.24.15.7 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Sub-account of the master or omnibus account.

SubAccountDetails <SubAcctDtls> contains the following elements (see "[SubAccount6](#)" on [page 1677](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1677
	Name <Nm>	[0..1]	Text		1677
	Characteristic <Chrtc>	[0..1]	Text		1677
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1678

65.1.24.16 InvestmentAccount64

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1723
	Designation <Dsgnt>	[0..1]	Text		1723
	FundType <FndTp>	[0..1]	Text		1723
	FundFamilyName <FndFmlyNm>	[0..1]	Text		1723
	SecurityDetails <SctyDtls>	[0..1]		C15	1724
	Identification <Id>	[1..1]			1725
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1725
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1726
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1726
Or	RIC <RIC>	[1..1]	IdentifierSet		1726
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1726
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1726
Or	CTA <CTA>	[1..1]	IdentifierSet		1726
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1726
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1727
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1727
Or	Valoren <Vlm>	[1..1]	IdentifierSet		1727
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1727
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1727
Or	Common <Cmon>	[1..1]	IdentifierSet		1727
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1727
	Identification <Id>	[1..1]	Text		1728
	IdentificationSource <IdSrc>	[1..1]	±		1728
	Name <Nm>	[0..1]	Text		1728
	ShortName <ShrtNm>	[0..1]	Text		1728
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1728
	ClassType <ClsTp>	[0..1]	Text		1728
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1728
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1729
	ProductGroup <PdctGrp>	[0..1]	Text		1729
	AccountOwner <AcctOwnr>	[0..1]			1729

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualOwnerIdentification <IndvOwnrld>	[1..1]			1731
{Or	IdentificationNumber <IdNb>	[1..1]	±		1731
Or}	PersonName <PrsnNm>	[1..1]			1732
	GivenName <GvnNm>	[0..1]	Text		1732
	MiddleName <MddlNm>	[0..1]	Text		1732
	Name <Nm>	[1..1]	Text		1732
	Gender <Gndr>	[0..1]	CodeSet		1732
	BirthDate <BirthDt>	[0..1]	Date		1732
Or}	OrganisationOwnerIdentification <OrgOwnrld>	[1..1]		C21	1733
	Identification <Id>	[0..1]			1735
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1736
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		1737
Or	NameAndAddress <NmAndAdr>	[1..1]			1737
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MlngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlnBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <Suiteld>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1742
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1743
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1743
	Intermediary <Intrmy>	[0..10]	±		1743
	AccountServicer <AcctSvcr>	[0..1]	±		1743

Constraints

- **AccountDataElementRule**

One of the elements (Name, Designation, FundType, FundFamilyName, SecurityDetails, AccountOwner, Intermediary, Account Servicer) must be present.

65.1.24.16.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.24.16.2 Designation <Dsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.24.16.3 FundType <FndTp>

Presence: [0..1]

Definition: Legal form of the fund, for example, UCITS, SICAV, OEIC, Unit Trust, and FCP.

Datatype: "Max35Text" on page 2764

65.1.24.16.4 FundFamilyName <FndFmlyNm>

Presence: [0..1]

Definition: Name of the investment fund family.

Datatype: "Max350Text" on page 2764

65.1.24.16.5 SecurityDetails <SctyDtls>*Presence:* [0..1]*Definition:* Detailed information about the investment fund associated to the account.*Impacted by:* C15 "IdentificationGuideline"**SecurityDetails <SctyDtls>** contains the following **FinancialInstrument55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1725
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1725
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1726
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1726
Or	RIC <RIC>	[1..1]	IdentifierSet		1726
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1726
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1726
Or	CTA <CTA>	[1..1]	IdentifierSet		1726
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1726
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1727
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1727
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1727
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1727
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1727
Or	Common <Cmon>	[1..1]	IdentifierSet		1727
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1727
	Identification <Id>	[1..1]	Text		1728
	IdentificationSource <IdSrc>	[1..1]	±		1728
	Name <Nm>	[0..1]	Text		1728
	ShortName <ShrtNm>	[0..1]	Text		1728
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1728
	ClassType <ClsTp>	[0..1]	Text		1728
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1728
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1729
	ProductGroup <PdctGrp>	[0..1]	Text		1729

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.24.16.5.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the security by an ISIN.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1725
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1726
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1726
Or	RIC <RIC>	[1..1]	IdentifierSet		1726
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1726
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1726
Or	CTA <CTA>	[1..1]	IdentifierSet		1726
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1726
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1727
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1727
Or	Valoren <Vlrm>	[1..1]	IdentifierSet		1727
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1727
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1727
Or	Common <Cmon>	[1..1]	IdentifierSet		1727
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1727
	Identification <Id>	[1..1]	Text		1728
	IdentificationSource <IdSrc>	[1..1]	±		1728

65.1.24.16.5.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.24.16.5.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.24.16.5.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.24.16.5.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.24.16.5.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.24.16.5.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.24.16.5.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.24.16.5.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.24.16.5.1.9 Wertpapier <Wrtppr>*Presence:* [1..1]*Definition:* Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.*Datatype:* "WertpapierIdentifier" on page 2760**65.1.24.16.5.1.10 Dutch <Dtch>***Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.24.16.5.1.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.24.16.5.1.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.24.16.5.1.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.24.16.5.1.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.24.16.5.1.15 OtherProprietaryIdentification <OthrPrtryId>***Presence:* [1..1]*Definition:* Proprietary identification of the security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryId>** contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1728
	IdentificationSource <IdSrc>	[1..1]	±		1728

65.1.24.16.5.1.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of a security.*Datatype:* "Max35Text" on page 2764**65.1.24.16.5.1.15.2 IdentificationSource <IdSrc>***Presence:* [1..1]*Definition:* Source of the identification, that is, domestic (national) or proprietary.**IdentificationSource <IdSrc>** contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.24.16.5.2 Name <Nm>*Presence:* [0..1]*Definition:* Name of the financial instrument in free format text.*Datatype:* "Max350Text" on page 2764**65.1.24.16.5.3 ShortName <ShrtNm>***Presence:* [0..1]*Definition:* Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.*Datatype:* "Max35Text" on page 2764**65.1.24.16.5.4 SupplementaryIdentification <SplmtryId>***Presence:* [0..1]*Definition:* Additional information about the financial instrument to help identify the instrument.*Datatype:* "Max35Text" on page 2764**65.1.24.16.5.5 ClassType <ClsTp>***Presence:* [0..1]*Definition:* Features of units offered by the fund. For example, a unit may have a specific load structure, for example, front end or back end, an income policy, for example, pay out or accumulate, or a trailer policy, for example, with or without. Fund classes are typically denoted by a single character, for example, 'Class A', 'Class 2'.*Datatype:* "Max35Text" on page 2764**65.1.24.16.5.6 SecuritiesForm <SctiesForm>***Presence:* [0..1]*Definition:* Form, that is, ownership, of the security, for example, registered or bearer.*Datatype:* "FormOfSecurity1Code" on page 2673

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.1.24.16.5.7 DistributionPolicy <DstrbtnPlcy>

Presence: [0..1]

Definition: Income policy relating to the class type, that is, if income is paid out or retained in the fund.

Datatype: "DistributionPolicy1Code" on page 2666

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.1.24.16.5.8 ProductGroup <PdctGrp>

Presence: [0..1]

Definition: Company specific description of a group of funds.

Datatype: "Max140Text" on page 2763

65.1.24.16.6 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Owner of the account.

AccountOwner <AcctOwnr> contains one of the following **AccountOwner2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			1731
{Or	IdentificationNumber <IdNb>	[1..1]	±		1731
Or}	PersonName <PrsnNm>	[1..1]			1732
	GivenName <GvnNm>	[0..1]	Text		1732
	MiddleName <MddlNm>	[0..1]	Text		1732
	Name <Nm>	[1..1]	Text		1732
	Gender <Gndr>	[0..1]	CodeSet		1732
	BirthDate <BirthDt>	[0..1]	Date		1732
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]		C21	1733
	Identification <Id>	[0..1]			1735
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1736
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1737
Or	NameAndAddress <NmAndAdr>	[1..1]			1737
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MlngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlnBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <SuiteId>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1742
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1743
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1743

65.1.24.16.6.1 IndividualOwnerIdentification <IndvOwnrld>

Presence: [1..1]

Definition: Identification of the individual person that legally owns the account.

IndividualOwnerIdentification <IndvOwnrld> contains one of the following **IndividualPersonIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IdentificationNumber <IdNb>	[1..1]	±		1731
Or}	PersonName <PrsnNm>	[1..1]			1732
	GivenName <GvnNm>	[0..1]	Text		1732
	MiddleName <MddlNm>	[0..1]	Text		1732
	Name <Nm>	[1..1]	Text		1732
	Gender <Gndr>	[0..1]	CodeSet		1732
	BirthDate <BirthDt>	[0..1]	Date		1732

65.1.24.16.6.1.1 IdentificationNumber <IdNb>

Presence: [1..1]

Definition: Identification of the person that owns the account.

IdentificationNumber <IdNb> contains the following elements (see "[GenericIdentification81](#)" on page 1642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1643
	IdentificationType <IdTp>	[1..1]			1643
{Or	Code <Cd>	[1..1]	CodeSet		1643
Or}	Proprietary <Prtry>	[1..1]	±		1644

65.1.24.16.6.1.2 PersonName <PrsnNm>*Presence:* [1..1]*Definition:* Name of the person that owns the account.**PersonName <PrsnNm>** contains the following **IndividualPerson35** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		1732
	MiddleName <MddlNm>	[0..1]	Text		1732
	Name <Nm>	[1..1]	Text		1732
	Gender <Gndr>	[0..1]	CodeSet		1732
	BirthDate <BirthDt>	[0..1]	Date		1732

65.1.24.16.6.1.2.1 GivenName <GvnNm>*Presence:* [0..1]*Definition:* First name of the person.*Datatype:* "Max35Text" on page 2764**65.1.24.16.6.1.2.2 MiddleName <MddlNm>***Presence:* [0..1]*Definition:* Second name of the person.*Datatype:* "Max35Text" on page 2764**65.1.24.16.6.1.2.3 Name <Nm>***Presence:* [1..1]*Definition:* Name by which the party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 2764**65.1.24.16.6.1.2.4 Gender <Gndr>***Presence:* [0..1]*Definition:* Gender of the person.*Datatype:* "Gender1Code" on page 2677

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

65.1.24.16.6.1.2.5 BirthDate <BirthDt>*Presence:* [0..1]*Definition:* Date on which the person is born.*Datatype:* "ISODate" on page 2749

65.1.24.16.6.2 OrganisationOwnerIdentification <OrgOwnrId>

Presence: [1..1]

Definition: Identification of the organisation that legally owns the account.

Impacted by: C21 "OrganisationIdentificationRule"

OrganisationOwnerIdentification <OrgOwnrld> contains the following **PartyIdentification96** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			1735
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1736
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1737
Or	NameAndAddress <NmAndAdr>	[1..1]			1737
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MnglInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlnBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <Suiteld>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1742
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1743
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1743

Constraints

- **OrganisationIdentificationRule**

Either Identification or Legal Entity Identifier must be present. Both Identification and Legal Entity Identifier may be present.

Following Must be True

 /Identification Must be present

Or /LegalEntityIdentifier Must be present

65.1.24.16.6.2.1 Identification <Id>

Presence: [0..1]

Definition: Identification of the organisation.

Identification <Id> contains one of the following **PartyIdentification96Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1736
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		1737
Or	NameAndAddress <NmAndAdr>	[1..1]			1737
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MIngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlnBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <SuiteId>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742
Or	TaxIdentificationNumber <TaxIdNb>	[1..1]	Text		1742
Or}	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		1743

65.1.24.16.6.2.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.24.16.6.2.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.24.16.6.2.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **NameAndAddress15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		1738
	PostalAddress <PstlAdr>	[0..1]		C23	1738
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MlngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdlnBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <SuiteId>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742

65.1.24.16.6.2.1.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.24.16.6.2.1.3.2 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Postal address of the party.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1739
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740
	MailingIndicator <MIngInd>	[0..1]	Indicator		1740
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		1740
	CareOf <CareOf>	[0..1]	Text		1741
	AddressLine <AdrLine>	[0..5]	Text		1741
	StreetName <StrtNm>	[0..1]	Text		1741
	BuildingNumber <BldgNb>	[0..1]	Text		1741
	BuildingName <BldgNm>	[0..1]	Text		1741
	PostBox <PstBx>	[0..1]	Text		1741
	SideInBuilding <SdInBldg>	[0..1]	Text		1741
	Floor <Flr>	[0..1]	Text		1741
	SuiteIdentification <SuiteId>	[0..1]	Text		1741
	PostCode <PstCd>	[0..1]	Text		1742
	DistrictName <DstrctNm>	[0..1]	Text		1742
	Village <Vllg>	[0..1]	Text		1742
	TownName <TwnNm>	[0..1]	Text		1742
	State <Stat>	[0..1]	Text		1742
	Country <Ctry>	[1..1]	CodeSet	C11	1742

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.24.16.6.2.1.3.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1740
Or}	Proprietary <Prtry>	[1..1]	±		1740

65.1.24.16.6.2.1.3.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.24.16.6.2.1.3.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.16.6.2.1.3.2.2 MailingIndicator <MingInd>*Presence:* [0..1]*Definition:* Indicates whether mail should be sent to the address.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.16.6.2.1.3.2.3 RegistrationAddressIndicator <RegnAdrInd>*Presence:* [0..1]*Definition:* Indicates whether the address is the official address of the party.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.16.6.2.1.3.2.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: "Max16Text" on page 2763

65.1.24.16.6.2.1.3.2.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.1.3.2.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: "Max10Text" on page 2763

65.1.24.16.6.2.1.3.2.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.1.3.2.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.24.16.6.2.1.3.2.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.24.16.6.2.1.3.2.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.1.3.2.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.1.3.2.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.24.16.6.2.1.3.2.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.24.16.6.2.1.4 TaxIdentificationNumber <TaxIdNb>

Presence: [1..1]

Definition: Number assigned by a tax authority to an entity.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.1.5 NationalRegistrationNumber <NtlRegnNb>

Presence: [1..1]

Definition: Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 2764

65.1.24.16.6.2.2 LegalEntityIdentifier <LglNttyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.24.16.7 Intermediary <Intrmy>

Presence: [0..10]

Definition: Intermediary or other party related to the management of the account.

Intermediary <Intrmy> contains the following elements (see "Intermediary33" on page 2076 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2077
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2077
	Account <Acct>	[0..1]			2077
	Identification <Id>	[0..1]	Text		2077
	AccountServicer <AcctSvcr>	[1..1]	±		2078

65.1.24.16.8 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.24.17 InvestmentAccountOwnershipInformation14

Definition: Characteristics of the ownership of a securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <CIntld>	[0..1]	Text		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FiscalExemption <FscIxmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

65.1.24.17.1 Party <Pty>

Presence: [1..1]

Definition: Information about the organisation or individual person.

Party <Pty> contains one of the following **Party32Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749

65.1.24.17.1.1 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Impacted by: C24 "OrganisationElementRule"

Organisation <Org> contains the following elements (see "Organisation30" on page 2027 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2029
	ShortName <ShrtNm>	[0..1]	Text		2029
	Identification <Id>	[0..1]			2029
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2029
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2030
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2030
	Purpose <Purp>	[0..1]	Text		2030
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2030
	RegistrationDate <RegnDt>	[0..1]	Date		2030
	PostalAddress <PstlAdr>	[0..10]		C23	2031
	AddressType <AdrTp>	[0..1]			2032
{Or	Code <Cd>	[1..1]	CodeSet		2032
Or}	Proprietary <Prtry>	[1..1]	±		2032
	MailingIndicator <MlngInd>	[0..1]	Indicator		2032
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2033
	CareOf <CareOf>	[0..1]	Text		2033
	AddressLine <AdrLine>	[0..5]	Text		2033
	StreetName <StrtNm>	[0..1]	Text		2033
	BuildingNumber <BldgNb>	[0..1]	Text		2033
	BuildingName <BldgNm>	[0..1]	Text		2033
	PostBox <PstBx>	[0..1]	Text		2033
	SideInBuilding <SdlnBldg>	[0..1]	Text		2034
	Floor <Flr>	[0..1]	Text		2034
	SuiteIdentification <SuiteId>	[0..1]	Text		2034
	PostCode <PstCd>	[0..1]	Text		2034
	DistrictName <DstrctNm>	[0..1]	Text		2034
	Village <Vllg>	[0..1]	Text		2034
	TownName <TwnNm>	[0..1]	Text		2034
	State <Stat>	[0..1]	Text		2034
	Country <Ctry>	[1..1]	CodeSet	C11	2034
	TypeOfOrganisation <TpOfOrg>	[0..1]			2035

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2035
Or}	Proprietary <Prtry>	[1..1]	±		2035
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		2036

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

65.1.24.17.1.2 IndividualPerson <IndvPrsn>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following elements (see "IndividualPerson34" on page 2250 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2252
{Or	Code <Cd>	[1..1]	CodeSet		2252
Or}	Proprietary <Prtry>	[1..1]	±		2253
	GivenName <GvnNm>	[0..1]	Text		2253
	MiddleName <MddlNm>	[0..1]	Text		2253
	Name <Nm>	[1..1]	Text		2253
	NameSuffix <NmSfx>	[0..1]	Text		2253
	Gender <Gndr>	[0..1]	CodeSet		2253
	BirthDate <BirthDt>	[0..1]	Date		2254
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2254
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2254
	CityOfBirth <CityOfBirth>	[0..1]	Text		2254
	Profession <Prfssn>	[0..1]	Text		2254
	PostalAddress <PstlAdr>	[1..10]		C23	2254
	AddressType <AdrTp>	[0..1]			2255
{Or	Code <Cd>	[1..1]	CodeSet		2256
Or}	Proprietary <Prtry>	[1..1]	±		2256
	MailingIndicator <Mnglnd>	[0..1]	Indicator		2256
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2256
	CareOf <CareOf>	[0..1]	Text		2257
	AddressLine <AdrLine>	[0..5]	Text		2257
	StreetName <StrtNm>	[0..1]	Text		2257
	BuildingNumber <BldgNb>	[0..1]	Text		2257
	BuildingName <BldgNm>	[0..1]	Text		2257
	PostBox <PstBx>	[0..1]	Text		2257
	SideInBuilding <SdlnBldg>	[0..1]	Text		2257
	Floor <Flr>	[0..1]	Text		2257
	SuiteIdentification <SuiteId>	[0..1]	Text		2257
	PostCode <PstCd>	[0..1]	Text		2258
	DistrictName <DstrctNm>	[0..1]	Text		2258

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Village <Vllg>	[0..1]	Text		2258
	TownName <TwnNm>	[0..1]	Text		2258
	State <Stat>	[0..1]	Text		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	Citizenship <Ctznsh>	[0..3]			2258
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2259
	MinorIndicator <MnrInd>	[1..1]	Indicator		2259
	EmployingCompany <EmplngCpny>	[0..1]	Text		2259
	BusinessFunction <BizFctn>	[0..1]	Text		2259
	PoliticallyExposedPersonType <PltclyXpsdPrsnTp>	[0..1]	±		2259
	DeathDate <DthDt>	[0..1]	Date		2260
	CivilStatus <CvlSts>	[0..1]			2260
{Or	Code <Cd>	[1..1]	CodeSet		2260
Or}	Proprietary <Prtry>	[1..1]	±		2260
	EducationLevel <EdctnLvl>	[0..1]	Text		2261
	FamilyInformation <FmlyInf>	[0..1]			2261
	NameOfFather <NmOfFthr>	[0..1]	Text		2261
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2261
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2261

65.1.24.17.2 MoneyLaunderingCheck <MnyLndrgChck>

Presence: [0..1]

Definition: Status of an identity check to prevent money laundering. This includes the counter-terrorism check.

MoneyLaunderingCheck <MnyLndrgChck> contains one of the following elements (see "[MoneyLaunderingCheck1Choice](#)" on page 2118 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2118
Or}	Proprietary <Prtry>	[1..1]	±		2119

65.1.24.17.3 InvestorProfileValidation <InvstrPrflVldtn>

Presence: [0..*]

Definition: Information to support Know Your Customer (KYC) processes.

InvestorProfileValidation <InvstrPrfIVldtn> contains the following **PartyProfileInformation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757

65.1.24.17.3.1 CertificationIndicator <CertfctnInd>

Presence: [0..1]

Definition: Indicates whether the certificate type has been obtained and verified.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.17.3.2 ValidatingParty <VldtngPty>*Presence:* [0..1]*Definition:* Identification of the person who validated the document.*Datatype:* "Max140Text" on page 2763**65.1.24.17.3.3 CheckingParty <ChckngPty>***Presence:* [0..1]*Definition:* Identification of the person who checked the document.*Datatype:* "Max140Text" on page 2763**65.1.24.17.3.4 ResponsibleParty <RspnsblPty>***Presence:* [0..1]*Definition:* Identification of the person who is responsible for the document.*Datatype:* "Max140Text" on page 2763**65.1.24.17.3.5 CertificateType <CertTp>***Presence:* [0..1]*Definition:* Type of certificate.**CertificateType <CertTp>** contains one of the following **CertificationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754

65.1.24.17.3.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Certificate type expressed as a code.*Datatype:* "CertificateType2Code" on page 2654

CodeName	Name	Definition
AMLC	AntiMoneyLaundering	Anti-money laundering certification form.
DVLC	DrivingLicense	Government issued form of picture identification - driving license.
DFOR	DueFormationAndOrganisation	Certification of due formation and organisation.
GOST	GoodStanding	Certificate of good standing.
IDEN	IdentityCard	Government issued form of picture identification - identity card.
INCU	Incumbency	Certification of incumbency.
LREF	LetterOfReference	Letter of reference.
PASS	Passport	Government issued form of picture identification - passport.

CodeName	Name	Definition
PRAD	ProofOfAddress	Proof of the address, such as a utility bill.
PKIC	PublicKeyInfrastructureCertificate	Public key infrastructure certificate.

65.1.24.17.3.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Certificate type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.3.6 CheckingDate <ChckngDt>

Presence: [0..1]

Definition: Date at which the certification check has been performed.

Datatype: "[ISODate](#)" on page 2749

65.1.24.17.3.7 CheckingFrequency <ChckngFrqcy>

Presence: [0..1]

Definition: Specifies how frequently the check is performed.

Datatype: "[EventFrequency1Code](#)" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.

CodeName	Name	Definition
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

65.1.24.17.3.8 NextRevisionDate <NxtRvsnDt>*Presence:* [0..1]*Definition:* Specifies the date at which the next certification check will be performed.*Datatype:* "ISODate" on page 2749**65.1.24.17.3.9 SalaryRange <SlryRg>***Presence:* [0..1]*Definition:* Limits between which a person's salary is estimated.*Datatype:* "Max35Text" on page 2764**65.1.24.17.3.10 SourceOfWealth <SrcOfWith>***Presence:* [0..1]*Definition:* Indicates the main source of revenue.*Datatype:* "Max140Text" on page 2763**65.1.24.17.3.11 CustomerConductClassification <CstmrCndctClssfctn>***Presence:* [0..1]*Definition:* Specifies an assessment of the customer's behaviour at the time of the account opening application.**CustomerConductClassification <CstmrCndctClssfctn>** contains one of the following elements (see "CustomerConductClassification1Choice" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1678
Or}	Proprietary <Prtry>	[1..1]	±		1678

65.1.24.17.3.12 RiskLevel <RskLvl>*Presence:* [0..1]*Definition:* Specifies the customer's money laundering risk.**RiskLevel <RskLvl>** contains one of the following **RiskLevel2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756

65.1.24.17.3.12.1 Code <Cd>*Presence:* [1..1]

Definition: Risk level expressed as code.

Datatype: "RiskLevel1Code" on page 2718

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

65.1.24.17.3.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Risk level expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.3.13 KnowYourCustomerCheckType <KnowYourCstmrChckTp>

Presence: [0..1]

Definition: Specifies the type of due diligence checks carried out on the investor or account owner. For definitions of ordinary, simple and enhanced know your customer checks, local market regulations should be consulted.

KnowYourCustomerCheckType <KnowYourCstmrChckTp> contains one of the following **KYCCheckType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757

65.1.24.17.3.13.1 Code <Cd>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a code.

Datatype: "KnowYourCustomerCheckType1Code" on page 2695

CodeName	Name	Definition
ENHA	Enhanced	Enhanced check, typically carried out on accounts that are considered high risk.
ORDN	Ordinary	Ordinary check, typically carried out on standard accounts.

CodeName	Name	Definition
SIMP	Simple	Simple check, typically carried out on accounts that have a low risk of anti-money laundering, such as an account owned by a financial institution.

65.1.24.17.3.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Know Your Customer (KYC) check type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.3.14 KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>

Presence: [0..1]

Definition: Specifies whether a customer has been checked in a Know Your Customer (KYC) database.

KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck> contains the following **DataBaseCheck1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757

65.1.24.17.3.14.1 DatabaseCheck <DBChck>

Presence: [1..1]

Definition: Indicates whether the individual or organisation is listed in an on-line global Know Your Customer (KYC) database.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.17.3.14.2 Identification <Id>

Presence: [1..1]

Definition: Identification of the database.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.17.4 OwnershipBeneficiaryRate <OwnrshBnfcryRate>

Presence: [0..1]

Definition: Percentage of ownership or of beneficial ownership of the shares/units in the account. All subsequent subscriptions or purchases and or redemptions or sells will be allocated using the same percentage.

Impacted by: C25 "OwnershipBeneficiaryRateRule"

OwnershipBeneficiaryRate <OwnrshBnfcryRate> contains the following **OwnershipBeneficiaryRate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Rate <Rate>	[0..1]	Rate		1758
	Fraction <Frctn>	[0..1]	Text		1758

Constraints

- **OwnershipBeneficiaryRateRule**

Either Rate or Fraction must be present. Both may be present.

65.1.24.17.4.1 Rate <Rate>

Presence: [0..1]

Definition: Ownership or beneficial ownership expressed as a percentage.

Datatype: "PercentageRate" on page 2762

65.1.24.17.4.2 Fraction <Frctn>

Presence: [0..1]

Definition: Ownership or beneficial ownership expressed as a fraction or another form.

Datatype: "Max35Text" on page 2764

65.1.24.17.5 ClientIdentification <CIntld>

Presence: [0..1]

Definition: Unique identification, as assigned by an organisation, to unambiguously identify a party.

Datatype: "Max35Text" on page 2764

65.1.24.17.6 FiscalExemption <FscIXmptn>

Presence: [0..1]

Definition: Indicates whether an owner of the account may benefit from a fiscal exemption or amnesty, for example, when declaring overseas investments.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.17.7 SignatoryRightIndicator <SgntryRghtInd>

Presence: [0..1]

Definition: Indicates whether the signature of the account owner is required to authorise transactions on the account.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.17.8 MiFIDClassification <MiFIDClssfctn>

Presence: [0..1]

Definition: Details about the MiFID classification of the account owner.

MiFIDClassification <MiFIDClssfctn> contains the following elements (see ["MiFIDClassification1"](#) on page 2008 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		2008
	Narrative <Nrtrv>	[0..1]	Text		2008

65.1.24.17.9 Notification <Ntfctn>

Presence: [0..*]

Definition: Type of information that must be provided to the account holder.

Notification <Ntfctn> contains the following **Notification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760

65.1.24.17.9.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: ["Max35Text"](#) on page 2764

65.1.24.17.9.2 Required <Reqrd>

Presence: [1..1]

Definition: Indicates whether the notification is required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.17.9.3 DistributionType <DstrbtnTp>*Presence:* [0..1]*Definition:* Specifies how the notification is sent.

DistributionType <DstrbtnTp> contains one of the following **InformationDistribution1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760

65.1.24.17.9.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.*Datatype:* "InformationDistribution2Code" on page 2679

CodeName	Name	Definition
ELEC	Electronic	Electronic distribution.
NONE	NoDistribution	No distribution.
PAPR	Paper	Paper distribution.

65.1.24.17.9.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Information distribution expressed as a code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.10 FATCAFormType <FATCAFormTp>*Presence:* [0..*]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form submitted by the investor or account owner.

FATCAFormType <FATCAFormTp> contains one of the following elements (see "FATCAForm1Choice" on page 1965 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1965
Or}	Proprietary <Prtry>	[1..1]	±		1966

65.1.24.17.11 FATCAStatus <FATCASts>

Presence: [0..*]

Definition: Foreign Account Tax Compliance Act (FATCA) status of the investor or account owner.

FATCAStatus <FATCASts> contains the following **FATCAStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763

65.1.24.17.11.1 Type <Tp>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status.

Type <Tp> contains one of the following **FATCAStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762

65.1.24.17.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status expressed as a code.

Datatype: "FATCAStatus1Code" on page 2672

CodeName	Name	Definition
F101	FATCA101	Account holder type is an owner-documented financial institution with specified US owner(s).
F102	FATCA102	Account holder type is a passive non-financial entity with substantial US owner(s).

CodeName	Name	Definition
F103	FATCA103	Account holder type is a non-participating financial institution.
F104	FATCA104	Account holder type is a specified US person.
F105	FATCA105	Account holder type is a direct reporting non-financial foreign entity (NFFE).
F201	FATCA201	Account pool reporting type is a recalcitrant account holder with US indicia.
F202	FATCA202	Account pool reporting type is a recalcitrant account holder without US indicia.
F203	FATCA203	Account pool reporting type is a dormant account.
F204	FATCA204	Account pool reporting type is a non-participating foreign financial institution.
F205	FATCA205	Account pool reporting type is a recalcitrant account holder that is a US person.
F206	FATCA206	Account pool reporting type is recalcitrant account holder that is a passive non-financial foreign entity (NFFE).

65.1.24.17.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.11.2 Source <Src>

Presence: [0..1]

Definition: Source of the Foreign Account Tax Compliance Act (FATCA) status.

Source <Src> contains one of the following **FATCASource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763

65.1.24.17.11.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Source of the Foreign Account Tax Compliance Act (FATCA) status expressed as a code.*Datatype:* "FATCASourceStatus1Code" on page 2672

CodeName	Name	Definition
CALC	Calculated	Source of the Foreign Account Tax Compliance Act (FATCA) status calculated.
DECL	Declared	Source of the Foreign Account Tax Compliance Act (FATCA) status is as declared by the investor.

65.1.24.17.11.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Source of Foreign Account Tax Compliance Act (FATCA) status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.12 FATCAReportingDate <FATCARptgDt>*Presence:* [0..1]*Definition:* Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.*Datatype:* "ISODate" on page 2749**65.1.24.17.13 CRSFormType <CRSFormTp>***Presence:* [0..*]*Definition:* Type of Common Reporting Standard (CRS) form submitted by the investor or account owner.**CRSFormType <CRSFormTp>** contains one of the following **CRSForm1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764

65.1.24.17.13.1 Code <Cd>*Presence:* [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a code.

Datatype: "CRSFormType1Code" on page 2663

CodeName	Name	Definition
CER4	CertificateIdentificationGovernment	Certificate of Identification issued by a government.
CER3	CertificateOfResidence	Certificate of residence.
CER5	OfficialDocumentGovernmentForEntity	Official documentation issued by a government for the entity.
CER6	OtherDocumentOrReport	Financial statement, third party credit report, bankruptcy filing or a securities regulator report.
CER8	PublicInformationEntity	Publicly available information for the entity.
CER1	SelfCertificationSeparateDocument	Self certification as a separate document.
CER2	SelfCertificationWithAccountOpeningContract	Self certification as a document included into contract of the account opening.
CER7	Standardised	Standardised industry coding system for the entity.

65.1.24.17.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.14 CRSStatus <CRSSts>

Presence: [0..*]

Definition: Common Reporting Standard (CRS) status of the investor or account owner.

CRSStatus <CRSSts> contains the following **CRSStatus4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

65.1.24.17.14.1 Type <Tp>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status.

Type <Tp> contains one of the following **CRSStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766

65.1.24.17.14.1.1 Code <Cd>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status expressed as a code.

Datatype: "CRSStatus1Code" on page 2664

CodeName	Name	Definition
C101	CRS101	Account holder type is a passive non-financial entity with one or more controlling persons that are foreign persons.
C102	CRS102	Account holder type is a foreign person.
C103	CRS103	Account holder type is a passive foreign non-financial entity without controlling persons that are foreign persons.
C104	CRS104	Account holder type is a financial institution such as a depositary, a custodial institution or a specified insurance company.
C105	CRS105	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution without one or more controlling persons that are foreign persons.

CodeName	Name	Definition
C106	CRS106	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution with one or more controlling persons that are foreign persons.
C107	CRS107	Account holder type is a financial institution such as another investment entity.
C108	CRS108	Account holder type is an active non-financial entity such as a corporation for which the stock is regularly traded on an established securities market.
C109	CRS109	Account holder type is an active non-financial entity such as a corporation which is a related entity of a corporation for which the stock is regularly traded on an established securities market.
C110	CRS110	Account holder type is an active non-financial entity such as a government entity.
C111	CRS111	Account holder type is an active non-financial entity such as a central bank.
C112	CRS112	Account holder type is an active non-financial entity such as an international organisation.
C113	CRS113	Account holder type is not a foreign person.
C114	CRS114	Account holder type is a financial institution such as an investment entity of a participating jurisdiction and managed by another financial institution.

65.1.24.17.14.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.14.2 Source <Src>

Presence: [0..1]

Definition: Source of the Common Reporting Standard (CRS) status.

Source <Src> contains one of the following **CRSSource1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767

65.1.24.17.14.2.1 Code <Cd>

Presence: [1..1]

Definition: Source of the Common Reporting Standard (CRS) status expressed as a code.

Datatype: "CRSSourceStatus1Code" on page 2664

CodeName	Name	Definition
CALC	Calculated	Source of the Common Reporting Standard (CRS) status is calculated.
DECL	Declared	Source of the Common Reporting Standard (CRS) status is as declared by the investor.

65.1.24.17.14.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Source of Common Reporting Standard (CRS) status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.14.3 ExceptionalReportingCountry <XcptnlRptgCtry>

Presence: [0..1]

Definition: Reporting country for the CRS status when there is an exception at the country level.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.24.17.15 CRSReportingDate <CRSRptgDt>

Presence: [0..1]

Definition: Date provided by the account owner to inform the account servicer of the date on which the holdings must be reported before the account is subsequently closed.

Datatype: "ISODate" on page 2749

65.1.24.17.16 OtherIdentification <Othrid>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, tax identification number. This may be an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <Othrid> contains the following elements (see "GenericIdentification82" on page 1640 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1640
	Type <Tp>	[1..1]			1640
{Or	Code <Cd>	[1..1]	CodeSet		1640
Or}	Proprietary <Prtry>	[1..1]	±		1641
	Issuer <Issr>	[0..1]	Text		1642
	IssueDate <IssDt>	[0..1]	Date		1642
	ExpiryDate <XpryDt>	[0..1]	Date		1642
	State <Stat>	[0..1]	Text		1642
	IssuerCountry <IssrCtry>	[0..1]	CodeSet	C11	1642

65.1.24.17.17 TaxExemption <TaxXmptn>

Presence: [0..1]

Definition: Tax advantage specific to the account party.

TaxExemption <TaxXmptn> contains one of the following elements (see "TaxExemptionReason2Choice" on page 2532 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

65.1.24.17.18 TaxReporting <TaxRptg>

Presence: [0..*]

Definition: Details for the reporting of tax, for example, the country of taxation.

TaxReporting <TaxRptg> contains the following elements (see "TaxReporting2" on page 2580 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

65.1.24.17.19 Language <Lang>

Presence: [0..1]

Definition: Language in which the organisation or person communicates.

Impacted by: C33 "ValidationByTable"

Datatype: "LanguageCode" on page 2695

Constraints

- **ValidationByTable**

Must be a valid terrestrial language.

65.1.24.17.20 MailType <MailTp>

Presence: [0..1]

Definition: Method used for postal mailing.

MailType <MailTp> contains one of the following **MailType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770

65.1.24.17.20.1 Code <Cd>

Presence: [1..1]

Definition: Mail type expressed as a code.

Datatype: "MailType1Code" on page 2697

CodeName	Name	Definition
AIRM	AirMail	Air mail.
ORDM	OrdinaryMail	Ordinary mail.
REGM	RegisteredMail	Registered Mail.

65.1.24.17.20.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Mail type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.21 CountryAndResidentialStatus <CtryAndResdtlSts>*Presence:* [0..1]*Definition:* Country and residential status of the organisation or individual person.**CountryAndResidentialStatus <CtryAndResdtlSts>** contains the following **CountryAndResidentialStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770

65.1.24.17.21.1 Country <Ctry>*Presence:* [1..1]*Definition:* Country to which the residential status is applicable.*Impacted by:* [C11 "Country"](#)*Datatype:* ["CountryCode"](#) on page 2662**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.24.17.21.2 ResidentialStatus <ResdtlSts>*Presence:* [1..1]*Definition:* Residential status of the organisation or individual, for example, non-permanent resident.*Datatype:* ["ResidentialStatus1Code"](#) on page 2718

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

65.1.24.17.22 MonetaryWealth <MntryWith>

Presence: [0..1]

Definition: Annual wealth of the individual person or share capital value of the legal entity and date on which the annual wealth of the individual person was registered or declared or the date the stock value of the organisation was registered.

MonetaryWealth <MntryWith> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771

65.1.24.17.22.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.17.22.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.17.23 EquityValue <EqtyVal>

Presence: [0..1]

Definition: Amount of total assets minus liabilities of the individual person or the amount of the difference between assets and liabilities plus rights over obligations (net equity) of the organisation and the date on which the equity value was registered.

EquityValue <EqtyVal> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772

65.1.24.17.23.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.17.23.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of money.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.17.24 WorkingCapital <WorkgCptl>

Presence: [0..1]

Definition: Resource or value owned or used by a third-party company and the date on which the working capital amount was registered.

WorkingCapital <WorkgCptl> contains the following **DateAndAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773

65.1.24.17.24.1 Date <Dt>

Presence: [1..1]

Definition: Date on which the amount is declared or registered.

Datatype: "ISODate" on page 2749

65.1.24.17.24.2 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of money.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.17.25 CompanyLink <CpnyLk>*Presence:* [0..1]*Definition:* Account owner's connection with the trading party or broker.

CompanyLink <CpnyLk> contains one of the following **CompanyLink1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774

65.1.24.17.25.1 Code <Cd>*Presence:* [1..1]*Definition:* Company link expressed as a code.*Datatype:* "CompanyLink1Code" on page 2657

CodeName	Name	Definition
AGEN	Agent	Autonomous agent accredited by the broker.
BROK	Broker	Employee, operator or agent of the broker.
PART	BrokeragePartner	Brokerage partner or shareholder, individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.
MEMB	MemberBroker	Member broker of a financial conglomerate (person is a board member, director, officer and employee)

CodeName	Name	Definition
		of a member company of the conglomerate).
PCOM	ParentCompany	Parent company of the broker.
RELA	Relative	Spouse or partner and child of an employee, operator or agent of the broker; agent of the broker; brokerage partners or shareholders; individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.

65.1.24.17.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Company link expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.24.17.26 ElectronicMailingServiceReference <ElctrncMlngSvcRef>

Presence: [0..1]

Definition: Reference to be specified when a letter (for example, an order confirmation) is sent by an automated mailing system.

Datatype: "[Max350Text](#)" on page 2764

65.1.24.17.27 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.24.17.28 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.24.17.29 AdditionalRegulatoryInformation <AddtlRgltryInf>

Presence: [0..1]

Definition: Additional regulatory information about the investor or account owner that is required in some markets to support anti-money laundering laws.

AdditionalRegulatoryInformation <AddtlRgltryInf> contains the following **RegulatoryInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

65.1.24.17.29.1 Sector <Sctr>

Presence: [0..1]

Definition: Sector of economic activity, for example, SAE in the Italian market.

Datatype: "Max35Text" on page 2764

65.1.24.17.29.2 Branch <Brnch>

Presence: [0..1]

Definition: Branch of economic activity, for example, RAE in the Italian market.

Datatype: "Max35Text" on page 2764

65.1.24.17.29.3 Group <Grp>

Presence: [0..1]

Definition: Group of economic activity, for example, a code issued by a regulator.

Datatype: "Max35Text" on page 2764

65.1.24.17.29.4 Other <Othr>

Presence: [0..1]

Definition: Other regulatory information.

Datatype: "Max35Text" on page 2764

65.1.24.17.30 AccountingStatus <AcctgSts>

Presence: [0..1]

Definition: Specifies if the account party is regarded as domestic or non-domestic for reporting purposes.

AccountingStatus <AcctgSts> contains one of the following elements (see "AccountingStatus1Choice" on page 2448 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

65.1.24.17.31 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information such as remarks or notes that must be conveyed about the party and or limitations and restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditiononallInformation12](#)" on page 1949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

65.1.24.17.32 ControllingParty <CtrlgPty>

Presence: [0..1]

Definition: Party is the controlling person.

(For an Entity that is a legal person, the term "Controlling Persons" means the natural person(s) who exercises control over the Entity. "Control" over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest in the Entity. A "control ownership interest" depends on the ownership structure of the legal person and is usually identified on the basis of a threshold applying a risk-based approach (e.g. any person(s) owning more than a certain percentage of the legal person, such as 25%). Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is identified as exercising control of the Entity, the Controlling Person(s) of the Entity will be the natural person(s) who holds the position of senior managing official.).

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.24.18 InvestmentAccount53

Definition: Information about a securities account and its characteristics.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1778
	AccountName <AcctNm>	[0..1]	Text		1778
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1778
	OwnerIdentification <OwnrId>	[0..1]			1779
{Or	IndividualOwnerIdentification <IndvOwnrId>	[1..1]			1779
{Or	IdentificationNumber <IdNb>	[1..1]	±		1779
Or}	PersonName <PrsnNm>	[1..1]			1780
	GivenName <GvnNm>	[0..1]	Text		1780
	MiddleName <MddlNm>	[0..1]	Text		1780
	Name <Nm>	[1..1]	Text		1780
	Gender <Gndr>	[0..1]	CodeSet		1780
	BirthDate <BirthDt>	[0..1]	Date		1781
Or}	OrganisationOwnerIdentification <OrgOwnrId>	[1..1]			1781
	Identification <Id>	[0..1]	±		1781
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1781
	AccountServicer <AcctSvcr>	[0..1]	±		1781

65.1.24.18.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.24.18.2 AccountName <AcctNm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.24.18.3 AccountDesignation <AcctDsgnt>

Presence: [0..1]

Definition: Supplementary registration information applying to a specific block of units for dealing and reporting purposes. The supplementary registration information may be used when all the units are registered, for example, to a funds supermarket, but holdings for each investor have to reconciled individually.

Datatype: "Max35Text" on page 2764

65.1.24.18.4 OwnerIdentification <Ownrld>*Presence:* [0..1]*Definition:* Identification of the owner of the account.**OwnerIdentification <Ownrld>** contains one of the following **OwnerIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualOwnerIdentification <IndvOwnrld>	[1..1]			1779
{Or	IdentificationNumber <IdNb>	[1..1]	±		1779
Or}	PersonName <PrsnNm>	[1..1]			1780
	GivenName <GvnNm>	[0..1]	Text		1780
	MiddleName <MddlNm>	[0..1]	Text		1780
	Name <Nm>	[1..1]	Text		1780
	Gender <Gndr>	[0..1]	CodeSet		1780
	BirthDate <BirthDt>	[0..1]	Date		1781
Or}	OrganisationOwnerIdentification <OrgOwnrld>	[1..1]			1781
	Identification <Id>	[0..1]	±		1781
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1781

65.1.24.18.4.1 IndividualOwnerIdentification <IndvOwnrld>*Presence:* [1..1]*Definition:* Identification of the individual person.**IndividualOwnerIdentification <IndvOwnrld>** contains one of the following **IndividualPersonIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IdentificationNumber <IdNb>	[1..1]	±		1779
Or}	PersonName <PrsnNm>	[1..1]			1780
	GivenName <GvnNm>	[0..1]	Text		1780
	MiddleName <MddlNm>	[0..1]	Text		1780
	Name <Nm>	[1..1]	Text		1780
	Gender <Gndr>	[0..1]	CodeSet		1780
	BirthDate <BirthDt>	[0..1]	Date		1781

65.1.24.18.4.1.1 IdentificationNumber <IdNb>*Presence:* [1..1]*Definition:* Identification of a party, such as a tax or social security identifier.

IdentificationNumber <IdNb> contains the following elements (see "[GenericIdentification81](#)" on page 1642 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1643
	IdentificationType <IdTp>	[1..1]			1643
{Or	Code <Cd>	[1..1]	CodeSet		1643
Or}	Proprietary <Prtry>	[1..1]	±		1644

65.1.24.18.4.1.2 PersonName <PrsnNm>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

PersonName <PrsnNm> contains the following **IndividualPerson30** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	GivenName <GvnNm>	[0..1]	Text		1780
	MiddleName <MddINm>	[0..1]	Text		1780
	Name <Nm>	[1..1]	Text		1780
	Gender <Gndr>	[0..1]	CodeSet		1780
	BirthDate <BirthDt>	[0..1]	Date		1781

65.1.24.18.4.1.2.1 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.18.4.1.2.2 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "[Max35Text](#)" on page 2764

65.1.24.18.4.1.2.3 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "[Max350Text](#)" on page 2764

65.1.24.18.4.1.2.4 Gender <Gndr>

Presence: [0..1]

Definition: Gender of the person.

Datatype: "GenderCode" on page 2677

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

65.1.24.18.4.1.2.5 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2749

65.1.24.18.4.2 OrganisationOwnerIdentification <OrgOwnrld>

Presence: [1..1]

Definition: Identification of an organisation.

OrganisationOwnerIdentification <OrgOwnrld> contains the following **PartyIdentification95** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		1781
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1781

65.1.24.18.4.2.1 Identification <Id>

Presence: [0..1]

Definition: Identifier for an organisation that is allocated by an institution.

Identification <Id> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.24.18.4.2.2 LegalEntityIdentifier <LglNttyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.24.18.5 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.24.19 ThirdPartyRights1

Definition: Information about third party rights.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		1782
	DateTime <DtTm>	[0..1]	DateTime		1782
	Holder <Hldr>	[0..1]	±		1782
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1783
	Amount <Amt>	[0..1]	Amount	C2, C10	1783
	Description <Desc>	[0..1]	Text		1783

65.1.24.19.1 Type <Tp>

Presence: [1..1]

Definition: Type of third party right.

Datatype: "Max35Text" on page 2764

65.1.24.19.2 DateTime <DtTm>

Presence: [0..1]

Definition: Timestamp for the third party right.

Datatype: "ISODateTime" on page 2749

65.1.24.19.3 Holder <Hldr>

Presence: [0..1]

Definition: Party that holds the third party right.

Holder <Hldr> contains one of the following elements (see ["PartyIdentification70Choice"](#) on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.24.19.4 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the holder with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: ["LEIIdentifier"](#) on page 2756

65.1.24.19.5 Amount <Amt>

Presence: [0..1]

Definition: Amount of the third party right.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.24.19.6 Description <Desc>

Presence: [0..1]

Definition: Description of the third party right.

Datatype: ["Max350Text"](#) on page 2764

65.1.25 InvestmentFundCashForecast

65.1.25.1 Fund2

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1785
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1785
	Identification <Id>	[0..1]			1785
	Identification <Id>	[1..1]	Text		1785
	Type <Tp>	[1..1]			1786
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1786
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1786
	Currency <Ccy>	[0..1]	CodeSet	C3	1786
	TradeDateTime <TradDtTm>	[0..1]	±		1786
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1787
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1787
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1787
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1788
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1788
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1788
	CashInForecastDetails <CshInFcstDtls>	[0..*]			1788
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1789
	CashOutForecastDetails <CshOutFcstDtls>	[0..*]			1789
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1790
	NetCashForecastDetails <NetCshFcstDtls>	[0..*]			1790
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1790
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1790
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1791
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1791

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
 /Identification is absent
 Following Must be True

/Name Must be present
Or /LegalEntityIdentifier Must be present

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
/Name is absent
Following Must be True
/Identification Must be present
Or /LegalEntityIdentifier Must be absent

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

On Condition
/LegalEntityIdentifier is absent
Following Must be True
/Identification Must be present
Or /Name Must be present

65.1.25.1.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2764

65.1.25.1.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.25.1.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1785
	Type <Tp>	[1..1]			1786
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1786
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1786

65.1.25.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2764

65.1.25.1.3.2 Type <Tp>

Presence: [1..1]

Definition: Identification source.

Type <Tp> contains one of the following **IdentificationSource5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1786
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1786

65.1.25.1.3.2.1 DomesticIdentificationSource <DmstldSrc>

Presence: [1..1]

Definition: Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.25.1.3.2.2 ProprietaryIdentificationSource <PrtryldSrc>

Presence: [1..1]

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2764

65.1.25.1.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.25.1.5 TradeDateTime <TradDtTm>

Presence: [0..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.25.1.6 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which the price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.25.1.7 TotalNAV <TtlNAV>

Presence: [0..1]

Definition: Total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.1.8 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..1]

Definition: Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: ["ActiveOrHistoricCurrencyAndAmount"](#) on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.1.9 TotalUnitsNumber <TtlUnitsNb>

Presence: [0..1]

Definition: Total number of units of the fund/sub fund.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.1.10 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.1.11 PercentageOfFundTotalNAV <PctgOfFndTtlNAV>

Presence: [0..1]

Definition: Consolidated net cash flow expressed as a percentage of the total NAV for the fund/sub fund.

Datatype: "[PercentageRate](#)" on page 2762

65.1.25.1.12 CashInForecastDetails <CshInFcstDtls>

Presence: [0..*]

Definition: Cash movement into the fund/sub fund.

CashInForecastDetails <CshInFcstDtls> contains the following **CashInOutForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1789

65.1.25.1.12.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.25.1.12.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the cash flow.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.1.13 CashOutForecastDetails <CshOutFcstDtls>

Presence: [0..*]

Definition: Cash movement out of the fund/sub fund.

CashOutForecastDetails <CshOutFcstDtls> contains the following **CashInOutForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1789
	Amount <Amt>	[1..1]	Amount	C3, C12	1790

65.1.25.1.13.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.25.1.13.2 Amount <Amt>*Presence:* [1..1]*Definition:* Amount of the cash flow.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.1.14 NetCashForecastDetails <NetCshFcstDtls>*Presence:* [0..*]*Definition:* Net cash as a result of the cash-in and cash-out flows.**NetCashForecastDetails <NetCshFcstDtls>** contains the following **NetCashForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1790
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1790
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1791
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1791

65.1.25.1.14.1 CashSettlementDate <CshSttlmDt>*Presence:* [0..1]*Definition:* Date on which cash is available.*Datatype:* "ISODate" on page 2749**65.1.25.1.14.2 NetAmount <NetAmt>***Presence:* [0..1]*Definition:* Net amount of the cash flow, expressed as an amount of money.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.1.14.3 NetUnitsNumber <NetUnitsNb>

Presence: [0..1]

Definition: Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.1.14.4 FlowDirection <FlowDrctn>

Presence: [1..1]

Definition: Specifies the direction of the cash flow from the perspective of the fund.

Datatype: "[FlowDirectionType1Code](#)" on page 2673

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

65.1.25.2 Fund1

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1793
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1793
	Identification <Id>	[0..1]			1793
	Identification <Id>	[1..1]	Text		1794
	Type <Tp>	[1..1]			1794
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1794
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1794
	Currency <Ccy>	[0..1]	CodeSet	C3	1794
	TradeDateTime <TradDtTm>	[0..1]	±		1795
	PreviousTradeDateTime <PrvsTradDtTm>	[0..1]	±		1795
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1795
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1795
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1796
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1796
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1796
	EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>	[0..*]			1796
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1797
	EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtls>	[0..*]			1797
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1798
	EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>	[0..*]			1798
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1798
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1798
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1799
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1799

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present

```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent

```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```

On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or    /Name Must be present

```

65.1.25.2.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2764

65.1.25.2.2 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.25.2.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1794
	Type <Tp>	[1..1]			1794
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1794
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1794

65.1.25.2.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of the fund/sub fund.*Datatype:* "Max35Text" on page 2764**65.1.25.2.3.2 Type <Tp>***Presence:* [1..1]*Definition:* Identification source.**Type <Tp>** contains one of the following **IdentificationSource5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1794
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1794

65.1.25.2.3.2.1 DomesticIdentificationSource <DmstldSrc>*Presence:* [1..1]*Definition:* Country of the proprietary identification scheme.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.25.2.3.2.2 ProprietaryIdentificationSource <PrtryldSrc>*Presence:* [1..1]*Definition:* Entity that issues the proprietary identification.*Datatype:* "Max35Text" on page 2764**65.1.25.2.4 Currency <Ccy>***Presence:* [0..1]*Definition:* Currency of the fund/sub fund.*Impacted by:* C3 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyCode" on page 2648**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.25.2.5 TradeDateTime <TradDtTm>

Presence: [0..1]

Definition: Date and, if required, the time, at which the price will be applied.

TradeDateTime <TradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.25.2.6 PreviousTradeDateTime <PrvsTradDtTm>

Presence: [0..1]

Definition: Previous date and time at which a price was applied.

PreviousTradeDateTime <PrvsTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.25.2.7 EstimatedTotalNAV <EstmtdTtlNAV>

Presence: [0..1]

Definition: Estimated total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#), [C12 "CurrencyAmount"](#)

Datatype: "[ActiveOrHistoricCurrencyAndAmount](#)" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.2.8 PreviousTotalNAV <PrvsTtlNAV>

Presence: [0..1]

Definition: Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.2.9 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>

Presence: [0..1]

Definition: Estimated total number of units of the fund/sub fund.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.2.10 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>

Presence: [0..1]

Definition: Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.2.11 EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>

Presence: [0..1]

Definition: Estimated consolidated net cash flow expressed as a percentage of the previous total NAV for the fund/sub fund.

Datatype: "PercentageRate" on page 2762

65.1.25.2.12 EstimatedCashInForecastDetails <EstmtdCshInFcstDtls>

Presence: [0..*]

Definition: Estimated cash movement into the fund/sub fund.

EstimatedCashInForecastDetails <EstmtdCshInFcstDtIs> contains the following **CashInOutForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1797

65.1.25.2.12.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.25.2.12.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the cash flow.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.2.13 EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtIs>

Presence: [0..*]

Definition: Estimated cash movement out of the fund/sub fund.

EstimatedCashOutForecastDetails <EstmtdCshOutFcstDtIs> contains the following **CashInOutForecast7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1797
	Amount <Amt>	[1..1]	Amount	C3, C12	1798

65.1.25.2.13.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.25.2.13.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the cash flow.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.2.14 EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows.

EstimatedNetCashForecastDetails <EstmtdNetCshFcstDtls> contains the following **NetCashForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[0..1]	Date		1798
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1798
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1799
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1799

65.1.25.2.14.1 CashSettlementDate <CshSttlmDt>

Presence: [0..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.25.2.14.2 NetAmount <NetAmt>

Presence: [0..1]

Definition: Net amount of the cash flow, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.2.14.3 NetUnitsNumber <NetUnitsNb>

Presence: [0..1]

Definition: Net amount, expressed as a number of units.

NetUnitsNumber <NetUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.2.14.4 FlowDirection <FlowDrctn>

Presence: [1..1]

Definition: Specifies the direction of the cash flow from the perspective of the fund.

Datatype: "[FlowDirectionType1Code](#)" on page 2673

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

65.1.25.3 Fund4

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1801
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		1801
	Identification <Id>	[0..1]			1801
	Identification </Id>	[1..1]	Text		1801
	Type <Tp>	[1..1]			1801
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1801
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1802
	Currency <Ccy>	[0..1]	CodeSet	C3	1802
	TotalNAV <TtlNAV>	[0..1]	Amount	C3, C12	1802
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1803
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		1803
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1803
	PercentageOfFundTotalNAV <PctgOfFndTtlNAV>	[0..1]	Rate		1803

Constraints

• FundIdentification1Rule

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or
  /LegalEntityIdentifier Must be present
```

• FundIdentification2Rule

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or
  /LegalEntityIdentifier Must be present
```

• FundIdentification3Rule

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
  /Identification Must be present
Or
  /Name Must be present
```

65.1.25.3.1 Name <Nm>*Presence:* [0..1]*Definition:* Name of the fund/sub fund.*Datatype:* "Max350Text" on page 2764**65.1.25.3.2 LegalEntityIdentifier <LglnTtyldr>***Presence:* [0..1]*Definition:* Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".*Datatype:* "LEIIdentifier" on page 2756**65.1.25.3.3 Identification <Id>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.**Identification <Id>** contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1801
	Type <Tp>	[1..1]			1801
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1801
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1802

65.1.25.3.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of the fund/sub fund.*Datatype:* "Max35Text" on page 2764**65.1.25.3.3.2 Type <Tp>***Presence:* [1..1]*Definition:* Identification source.**Type <Tp>** contains one of the following **IdentificationSource5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1801
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1802

65.1.25.3.3.2.1 DomesticIdentificationSource <DmstldSrc>*Presence:* [1..1]*Definition:* Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.25.3.3.2 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2764

65.1.25.3.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.25.3.5 TotalNAV <TtlnAV>

Presence: [0..1]

Definition: Total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.3.6 PreviousTotalNAV <PrvsTtlNAV>*Presence:* [0..1]*Definition:* Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.3.7 TotalUnitsNumber <TtlUnitsNb>*Presence:* [0..1]*Definition:* Total number of units of the fund/sub fund.

TotalUnitsNumber <TtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.3.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>*Presence:* [0..1]*Definition:* Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.3.9 PercentageOfFundTotalNAV <PctgOfFndTtlNAV>*Presence:* [0..1]

Definition: Consolidated net cash flow expressed as a percentage of the total NAV for the fund/sub fund.

Datatype: "PercentageRate" on page 2762

65.1.25.4 Fund3

Definition: Information about an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		1805
	LegalEntityIdentifier <LglnNtyIdr>	[0..1]	IdentifierSet		1805
	Identification <Id>	[0..1]			1805
	Identification <Id>	[1..1]	Text		1805
	Type <Tp>	[1..1]			1805
{Or	DomesticIdentificationSource <DmstdSrc>	[1..1]	CodeSet	C11	1805
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1806
	Currency <Ccy>	[0..1]	CodeSet	C3	1806
	EstimatedTotalNAV <EstmtdTtlNAV>	[0..1]	Amount	C3, C12	1806
	PreviousTotalNAV <PrvsTtlNAV>	[0..1]	Amount	C3, C12	1807
	EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>	[0..1]	±		1807
	PreviousTotalUnitsNumber <PrvsTtlUnitsNb>	[0..1]	±		1807
	EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>	[0..1]	Rate		1807

Constraints

- **FundIdentification1Rule**

If Identification is not present, then Name or LegalEntityIdentifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Identification is absent
Following Must be True
  /Name Must be present
Or    /LegalEntityIdentifier Must be present
```

- **FundIdentification2Rule**

If Name is not present, then Identification or Legal Entity Identifier must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /Name is absent
Following Must be True
  /Identification Must be present
Or    /LegalEntityIdentifier Must be absent
```

- **FundIdentification3Rule**

If Legal Entity Identifier is not present, then Identification or Name must be present. Identification, Name and Legal Entity Identifier may all be present.

```
On Condition
  /LegalEntityIdentifier is absent
Following Must be True
```


/Identification Must be present
Or /Name Must be present

65.1.25.4.1 Name <Nm>

Presence: [0..1]

Definition: Name of the fund/sub fund.

Datatype: "Max350Text" on page 2764

65.1.25.4.2 LegalEntityIdentifier <LglNttyldr>

Presence: [0..1]

Definition: Identification of the fund/sub fund with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "LEIIdentifier" on page 2756

65.1.25.4.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the fund/sub fund, assigned under a formal or proprietary identification scheme.

Identification <Id> contains the following **OtherIdentification4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1805
	Type <Tp>	[1..1]			1805
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1805
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1806

65.1.25.4.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the fund/sub fund.

Datatype: "Max35Text" on page 2764

65.1.25.4.3.2 Type <Tp>

Presence: [1..1]

Definition: Identification source.

Type <Tp> contains one of the following **IdentificationSource5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	1805
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1806

65.1.25.4.3.2.1 DomesticIdentificationSource <DmstldSrc>

Presence: [1..1]

Definition: Country of the proprietary identification scheme.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.25.4.3.2 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2764

65.1.25.4.4 Currency <Ccy>

Presence: [0..1]

Definition: Currency of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.25.4.5 EstimatedTotalNAV <EstmtdTtINAV>

Presence: [0..1]

Definition: Estimated total value of all the holdings, less the fund's liabilities, of the fund/sub fund.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.4.6 PreviousTotalNAV <PrvsTtlNAV>*Presence:* [0..1]*Definition:* Previous total value of all the holdings, less the fund's liabilities, of the fund/sub fund.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.25.4.7 EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb>*Presence:* [0..1]*Definition:* Estimated total number of units of the fund/sub fund.

EstimatedTotalUnitsNumber <EstmtdTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.4.8 PreviousTotalUnitsNumber <PrvsTtlUnitsNb>*Presence:* [0..1]*Definition:* Previous total number of units of the fund/sub fund.

PreviousTotalUnitsNumber <PrvsTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.25.4.9 EstimatedPercentageOfFundTotalNAV <EstmtdPctgOfFndTtlNAV>*Presence:* [0..1]

Definition: Estimated consolidated net cash flow expressed as a percentage of the previous total NAV for the fund/sub fund.

Datatype: "PercentageRate" on page 2762

65.1.26 InvestmentFundOrder

65.1.26.1 InvestmentFundOrder4

Definition: Identifies an order linked to an account opening.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderReference <OrdRef>	[0..1]	Text		1808
	MasterReference <MstrRef>	[0..1]	Text		1808

65.1.26.1.1 OrderReference <OrdRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for an order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

65.1.26.1.2 MasterReference <MstrRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a group of individual orders, as assigned by the instructing party. This identifier links the individual orders together.

Datatype: "Max35Text" on page 2764

65.1.26.2 Unit11

Definition: Information about units to transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1809
	OrderDate <OrdDt>	[0..1]	Date		1810
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1810
	CertificateNumber <CertNb>	[0..*]	Text		1810
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1810
	Reference <Ref>	[0..1]	Text		1810
	PriceDetails <PricDtls>	[0..1]			1810
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813
	TransactionOverhead <TxOvrhd>	[0..1]		C24, C35	1813
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816
	OtherAmount <OthrAmt>	[0..*]			1817
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

65.1.26.2.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Quantity of units.

Datatype: "DecimalNumber" on page 2761

65.1.26.2.2 OrderDate <OrdrDt>

Presence: [0..1]

Definition: Date the investor or its agent placed the original order.

Datatype: "ISODate" on page 2749

65.1.26.2.3 AcquisitionDate <AcqstnDt>

Presence: [0..1]

Definition: Date the investor purchased the financial instrument.

Datatype: "ISODate" on page 2749

65.1.26.2.4 CertificateNumber <CertNb>

Presence: [0..*]

Definition: Certificate representing the security that is delivered.

Datatype: "Max35Text" on page 2764

65.1.26.2.5 Group1Or2Units <Grp1Or2Units>

Presence: [0..1]

Definition: Tax group to which the purchased investment fund units belong. The investor indicates to the intermediary operating pooled nominees, which type of unit is to be sold.

Datatype: "UKTaxGroupUnit1Code" on page 2748

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

65.1.26.2.6 Reference <Ref>

Presence: [0..1]

Definition: Reference to the units number. This may be the order reference of the original acquisition, the identification of a lot, a client reference, a sub-position reference or other related reference.

Datatype: "Max35Text" on page 2764

65.1.26.2.7 PriceDetails <PricDtls>

Presence: [0..1]

Definition: Information related to the price. This may specify the price of original order confirmation.

PriceDetails <PricDtls> contains the following **UnitPrice23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1811
	Value <Val>	[1..1]	±		1811
	PriceMethod <PricMtd>	[0..1]	CodeSet		1811
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1812
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1812
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1812
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1813
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

65.1.26.2.7.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Type <Tp> contains one of the following elements (see ["TypeOfPrice46Choice"](#) on page 2297 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2297
Or}	Proprietary <Prtry>	[1..1]	±		2298

65.1.26.2.7.2 Value <Val>

Presence: [1..1]

Definition: Value of the price.

Value <Val> contains the following elements (see ["PriceValue1"](#) on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.26.2.7.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: ["PriceMethod1Code"](#) on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor,

CodeName	Name	Definition
		therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.26.2.7.4 AccruedInterestNAV <AcrdIntrstNAV>

Presence: [0..1]

Definition: Interest that has accumulated between the most recent payment of interest and the sale of the financial instrument.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.2.7.5 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2761

65.1.26.2.7.6 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.2.7.7 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following **TaxableIncomePerShareCalculated2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1813
Or}	Proprietary <Prtry>	[1..1]	±		1813

65.1.26.2.7.7.1 Code <Cd>

Presence: [1..1]

Definition: Taxable income per share (TIS) calculated expressed as a code.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2726

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

65.1.26.2.7.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Taxable income per share calculated (TIS) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.2.8 TransactionOverhead <TxOvrhd>

Presence: [0..1]

Definition: Fees and taxes. This may specify the fees and taxes related to the original order confirmation.

Impacted by: [C24 "NonStandardSLAResponseRule"](#), [C35 "TransactionOverheadElementRule"](#)

TransactionOverhead <TxOvrhd> contains the following **TotalFeesAndTaxes41** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalOverheadApplied <TtlOvrhdApld>	[0..1]	Amount	C2, C10	1814
	TotalFees <TtlFees>	[0..1]	Amount	C2, C10	1814
	TotalTaxes <TtlTaxes>	[0..1]	Amount	C2, C10	1815
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1815
	IndividualFee <IndvFee>	[0..*]	±	C5	1815
	IndividualTax <IndvTax>	[0..*]	±		1816

Constraints

- **NonStandardSLAReferenceRule**

For each value of IndividualFee/Type, NonStandardSLAReference may only be present once.

- **TransactionOverheadElementRule**

One of the elements (TotalOverheadApplied, TotalFees, TotalTaxes, CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.26.2.8.1 TotalOverheadApplied <TtlOvrhdApld>

Presence: [0..1]

Definition: Total amount of overhead applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.2.8.2 TotalFees <TtlFees>

Presence: [0..1]

Definition: Total amount of fees (charge/commissions) applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.2.8.3 TotalTaxes <TtlTaxes>

Presence: [0..1]

Definition: Total amount of taxes applied to the transaction that impacts the settlement amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.2.8.4 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.26.2.8.5 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

Impacted by: C5 "AppliedAmountRule"

IndividualFee <IndvFee> contains the following elements (see "Fee5" on page 1364 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1365
{Or	Code <Cd>	[1..1]	CodeSet		1365
Or}	Proprietary <Prtry>	[1..1]	±		1366
	Basis <Bsis>	[0..1]			1366
{Or	Code <Cd>	[1..1]	CodeSet		1367
Or}	Proprietary <Prtry>	[1..1]	±		1367
	StandardAmount <StdAmt>	[0..1]	Amount	C2, C10	1367
	StandardRate <StdRate>	[0..1]	Rate		1368
	DiscountDetails <DscntDtls>	[0..1]		C14	1368
	Amount <Amt>	[0..1]	Amount	C2, C10	1368
	Rate <Rate>	[0..1]	Rate		1369
	Basis <Bsis>	[0..1]			1369
{Or	Code <Cd>	[1..1]	CodeSet		1369
Or}	Proprietary <Prtry>	[1..1]	±		1370
	AppliedAmount <ApldAmt>	[0..1]	Amount	C2, C10	1370
	AppliedRate <ApldRate>	[0..1]	Rate		1370
	NonStandardSLAReference <NonStdSLARef>	[0..1]	Text		1370
	RecipientIdentification <RcptId>	[0..1]	±		1370
	InformativeIndicator <InftvInd>	[1..1]	Indicator		1371

Constraints

- **AppliedAmountRule**

If InformativeIndicator is "false" or "0" (No), then AppliedAmount must be present.

On Condition

/InformativeIndicator is equal to value 'false'

Or /InformativeIndicator is equal to value '0'

Following Must be True

/AppliedAmount Must be present

65.1.26.2.8.6 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Individual tax.

IndividualTax <IndvTax> contains the following elements (see "Tax35" on page 2540 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2540
{Or	Code <Cd>	[1..1]	CodeSet		2541
Or}	Proprietary <Prtry>	[1..1]	±		2542
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2542
	AppliedRate <ApldRate>	[0..1]	Rate		2543
	Country <Ctry>	[0..1]	CodeSet	C11	2543
	RecipientIdentification <RcptId>	[0..1]	±		2543
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2543
	Basis <Bsis>	[0..1]			2544
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2544

65.1.26.2.9 OtherAmount <OthrAmt>

Presence: [0..*]

Definition: Amount not covered in the price, fee or tax.

OtherAmount <OthrAmt> contains the following **OtherAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1817
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818
	Amount <Amt>	[1..1]	Amount	C2, C10	1818

65.1.26.2.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of amount.

Type <Tp> contains one of the following **OtherAmountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1818
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1818

65.1.26.2.9.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of amount expressed as a code.*Datatype:* "OtherAmountType1Code" on page 2702

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

65.1.26.2.9.1.2 ProprietaryCode <PrtryCd>*Presence:* [1..1]*Definition:* Type of amount expressed as a proprietary code.**ProprietaryCode <PrtryCd>** contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.26.2.9.2 Amount <Amt>*Presence:* [1..1]*Definition:* Currency and amount.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.3 SubscriptionInformation2*Definition:* Information about cumulative subscriptions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOfFirstSubscription <DtOfFrstSbcpt>	[1..1]	Date		1819
	EquityComponent <EqtyCmpnt>	[0..1]	Amount	C2	1819
	CashComponent <CshCmpnt>	[0..1]	Amount	C2	1819
	TotalAmountYearToDate <TtlAmtYrToDt>	[1..1]	Amount	C2	1820

Constraints

- **TotalAmountYearToDateRule**

TotalAmountYearToDate must be equal to the sum of EquityComponent and CashComponent if present.

65.1.26.3.1 DateOfFirstSubscription <DtOfFrstSbcpt>

Presence: [1..1]

Definition: Date of the first subscription in the current year.

Datatype: "ISODate" on page 2749

65.1.26.3.2 EquityComponent <EqtyCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into equities (not including dividends).

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.3.3 CashComponent <CshCmpnt>

Presence: [0..1]

Definition: Amount subscribed in the current tax year into cash.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.3.4 TotalAmountYearToDate <TtlAmtYrToDt>

Presence: [1..1]

Definition: Total amount subscribed in the current tax year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.4 OtherDistributionStrategy1

Definition: Other distribution strategy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistributionStrategyType <DstrbtnStrtgyTp>	[0..1]	Text		1820
	Target <Trgt>	[0..1]	±		1820
	AdditionalInformation <AddtlInf>	[0..1]	±		1820

65.1.26.4.1 DistributionStrategyType <DstrbtnStrtgyTp>

Presence: [0..1]

Definition: Type of distribution strategy.

Datatype: "Max35Text" on page 2764

65.1.26.4.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of return profile.

Target <Trgt> contains one of the following elements (see "DistributionStrategy1Choice" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.4.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor's risk tolerance.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.5 DistributionStrategy1Choice

Definition: Choice of formats for the specification of a distribution strategy.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.5.1 Code <Cd>

Presence: [1..1]

Definition: Distribution strategy expressed as a code.

Datatype: "InvestorType3Code" on page 2695

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.
NEI1	NeitherProfessionalOrRetail	Investor is neither professional or retail.
BOT2	BothProfessionalAndRetail	Investor is both professional and retail.

65.1.26.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Distribution strategy expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.6 OtherInvestmentNeed1

Definition: Other investment need.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>	[0..1]	Text		1822
	Target <Trgt>	[0..1]			1822
{Or	Code <Cd>	[1..1]	CodeSet		1822
Or}	Proprietary <Prtry>	[1..1]	±		1822
	AdditionalInformation <AddtlInf>	[0..1]	±		1823

65.1.26.6.1 ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>

Presence: [0..1]

Definition: Type of return profile.

Datatype: "Max35Text" on page 2764

65.1.26.6.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of return profile.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1822
Or}	Proprietary <Prtry>	[1..1]	±		1822

65.1.26.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.6.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the Investor's investment requirements.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.7 InvestmentNeed1Choice

Definition: Choice of formats for an investment need.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1824

Constraints

- **SpecificInvestmentNeedGuideline**

In the European MiFID Template (EMT) v2, Code/YSPE is not supported.

65.1.26.7.1 Code <Cd>

Presence: [1..1]

Definition: Investment need expressed as a code.

Datatype: "[InvestmentNeed1Code](#)" on page 2693

CodeName	Name	Definition
ESGO	EnvironmentalSocialCorporateGovernance	Investment need is for environmental social corporate governance.
ETHI	EthicalInvestment	Investment need is for ethical investment.
GRIN	GreenInvestment	Investment need is for green investment.
ISLB	IslamicBanking	Investment need is for Islamic banking.

CodeName	Name	Definition
NSPE	None	No specific need.
OTHR	Other	Other specific need.
YSPE	SpecificNeed	Specific investment need.

65.1.26.7.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Investment need expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.8 MainFundOrderDeskLocation1

Definition: U-003-2009 Addition and Modification of choice component. S-009-2009 They are not valid business options in the redemption processing context. S-015-2009 Add new data elements to indicate time zone.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1824
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1824
	Sign <Sgn>	[1..1]	Indicator		1825
	NumberOfHours <NbOfHrs>	[1..1]	Time		1825

65.1.26.8.1 Country <Ctry>

Presence: [1..1]

Definition: Country in which it is authorised to commercialise the fund.

Impacted by: [C11 "Country"](#)

Datatype: ["CountryCode"](#) on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.26.8.2 TimeZoneOffSet <TmZoneOffSet>

Presence: [1..1]

Definition: Offset of the reporting time before or after 00: 00 hour UTC.

TimeZoneOffset <TmZoneOffset> contains the following **UTCOffset1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Sign <Sgn>	[1..1]	Indicator		1825
	NumberOfHours <NbOfHrs>	[1..1]	Time		1825

65.1.26.8.2.1 Sign <Sgn>

Presence: [1..1]

Definition: Indicates whether the offset is before or after 00: 00 hour UTC.

Datatype: One of the following values must be used (see ["PlusOrMinusIndicator"](#) on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.26.8.2.2 NumberOfHours <NbOfHrs>

Presence: [1..1]

Definition: Offset of the reporting time, in hours, before or after 00: 00 hour UTC.

Datatype: ["ISOTime"](#) on page 2766

65.1.26.9 Drawdown1

Definition: Details of a drawdown tranche.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TrancheType <TrchTp>	[1..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1827
	ApplicableRules <AplblRules>	[0..1]			1827
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828
	PercentageOfTotalTransferValue <PctgOfTtlTrfVal>	[0..1]	Rate		1828
	TotalAmountNetDrawdown <TtlAmtNetDrwdwn>	[0..1]	Amount	C2	1828
	AdditionalFundsDesignated <AddtlFndsDsgntd>	[0..1]	Indicator		1829
	DrawdownAllowanceCheck <DrwdwnAllwncChck>	[0..1]			1829
	BCEIndicator <BCEInd>	[0..1]	Indicator		1829
	CheckInformation <ChckInf>	[0..1]	Text		1829
	PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>	[0..1]	Amount	C2	1829
	PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>	[0..1]	Date		1830
	MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>	[0..1]	Indicator		1830
	LifetimeAllowance <LftmAllwnc>	[0..1]	Rate		1830
	RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>	[0..1]	Indicator		1830
	BeneficiaryDetails <BnfcryDtls>	[0..1]	±		1830
	CappedLimits <CapdLmts>	[0..1]			1831
	StartDate <StartDt>	[0..1]	Date		1831
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1831
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1832
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1832
	AdditionalInformation <AddtlInf>	[0..*]	±		1832
	FlexibleDrawdownTriggeredDate <FlxblDrwdwnTrggrdDt>	[0..1]	Date		1832
	AdditionalInformation <AddtlInf>	[0..*]	±		1833

Constraints

- **BeneficiaryDetailsRule**

If RecipientOfDrawdownIndicator is "false" or "0" (No), then BeneficiaryDetails is not allowed.

65.1.26.9.1 TrancheType <TrchTp>

Presence: [1..1]

Definition: Type of drawdown tranche.

TrancheType <TrchTp> contains one of the following **DrawdownType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1827
Or}	Proprietary <Prtry>	[1..1]	±		1827

65.1.26.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of drawdown expressed as a code.

Datatype: "DrawdownType1Code" on page 2667

CodeName	Name	Definition
CAPP	CappedIncomeDrawdown	Drawdown type is capped.
FLEX	FlexibleAccessDrawdown	Drawdown type is flexi-access.

65.1.26.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of drawdown expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.26.9.2 ApplicableRules <AplblRules>

Presence: [0..1]

Definition: Specifies the rules that are applicable to the drawdown. For example, in the UK market, the pre-A-day rule that was introduced on 6 April 2006.)

ApplicableRules <ApblRules> contains one of the following **ApplicableRules1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1828
Or}	Proprietary <Prtry>	[1..1]	±		1828

65.1.26.9.2.1 Code <Cd>

Presence: [1..1]

Definition: Applicable rules expressed as a code.

Datatype: "ApplicableRules1Code" on page 2649

CodeName	Name	Definition
NPPE	NotPreADay	Pre A Day rules do not apply.
YPPE	PreADay	Pre A Day rules applies.

65.1.26.9.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Applicable rules expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.26.9.3 PercentageOfTotalTransferValue <PctgOfTtlTrfVal>

Presence: [0..1]

Definition: Percentage of the total transfer value covered by the drawdown.

Datatype: "PercentageRate" on page 2762

65.1.26.9.4 TotalAmountNetDrawdown <TtlAmtNetDrwdwn>

Presence: [0..1]

Definition: Amount that was originally designated for drawdown.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.9.5 AdditionalFundsDesignated <AddtlFndsDsgntd>

Presence: [0..1]

Definition: Indicates whether any additional funds have been designated since the original arrangement.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.9.6 DrawdownAllowanceCheck <DrwdwnAllwncChck>

Presence: [0..1]

Definition: Drawdown allowance check. For pensions that have a lifetime allowance, a check is made of the maximum value of benefits that may be taken from the pension without incurring a special tax. (This check or 'event' is known as the benefit crystallisation event in the UK market.)

DrawdownAllowanceCheck <DrwdwnAllwncChck> contains the following **DrawdownAllowanceCheck1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BCEIndicator <BCEInd>	[0..1]	Indicator		1829
	CheckInformation <ChckInf>	[0..1]	Text		1829

65.1.26.9.6.1 BCEIndicator <BCEInd>

Presence: [0..1]

Definition: Indicates the Benefit Crystallised Event (BCE).

If the Benefit Crystallised Event (BCE) is other than 1 and 6 then the BCEIndicator must contain the value 'true'.

If the Benefit Crystallised Event (BCE) is 1 or 6 then the BCEIndicator must contain the value false'.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.9.6.2 CheckInformation <ChckInf>

Presence: [0..1]

Definition: Species information about the drawdown allowance check.

Datatype: "[Max350Text](#)" on page 2764

65.1.26.9.7 PensionCommencementLumpSumRemaining <PnsnCmcmntLumpSumRmng>

Presence: [0..1]

Definition: Amount of the pension commencement lump sum (PCLS) remaining.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.9.8 PensionCommencementLumpSumDate <PnsnCmcmntLumpSumDt>

Presence: [0..1]

Definition: Date by which the pension commencement lump sum (PCLS) must be used.

Datatype: "ISODate" on page 2749

65.1.26.9.9 MultiplePensionCommencementLumpSums <MltplPnsnCmcmntLumpSums>

Presence: [0..1]

Definition: Indicates there have been multiple pension commencement lump sum (PCLS) payments.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.9.10 LifetimeAllowance <LftmAllwnc>

Presence: [0..1]

Definition: Percentage of the lifetime allowance (LTA) used.

Datatype: "PercentageRate" on page 2762

65.1.26.9.11 RecipientOfDrawdownIndicator <RcptOfDrwdwnInd>

Presence: [0..1]

Definition: Indicates a person other than the original pension holder is the receiver of the drawdown payment.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.9.12 BeneficiaryDetails <BnfcryDtls>

Presence: [0..1]

Definition: Information about the recipient of the drawdown, when not the original pension holder.

BeneficiaryDetails <BnfcryDtls> contains the following elements (see "BeneficiaryDrawdown1" on page 1833 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficiaryType <BnfcryTp>	[0..1]			1833
{Or	Code <Cd>	[1..1]	CodeSet		1833
Or}	Proprietary <Prtry>	[1..1]	±		1834
	DeathUnderLimit <DthUdrLmt>	[0..1]	Indicator		1834
	AdditionalInformation <AddtlInf>	[0..*]	±		1834

65.1.26.9.13 CappedLimits <CapdLmts>

Presence: [0..1]

Definition: Limits of the capped drawdown.

CappedLimits <CapdLmts> contains the following **Capped1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[0..1]	Date		1831
	IncomeLimitCurrentPeriod <IncmLmtCurPrd>	[0..1]	Amount	C2	1831
	IncomeCurrentPeriod <IncmCurPrd>	[0..1]	Amount	C2	1832
	IncomeLimitNextPeriod <IncmLmtNxtPrd>	[0..1]	Amount	C2	1832
	AdditionalInformation <AddtlInf>	[0..*]	±		1832

65.1.26.9.13.1 StartDate <StartDt>

Presence: [0..1]

Definition: Start date of current reference period

Datatype: "ISODate" on page 2749

65.1.26.9.13.2 IncomeLimitCurrentPeriod <IncmLmtCurPrd>

Presence: [0..1]

Definition: Income limit for the current period.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.9.13.3 IncomeCurrentPeriod <IncmCurPrd>

Presence: [0..1]

Definition: Income taken in the current income year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.9.13.4 IncomeLimitNextPeriod <IncmLmtNxtPrd>

Presence: [0..1]

Definition: Income limit for the next income year.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.9.13.5 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the cap.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.9.14 FlexibleDrawdownTriggeredDate <FlxblDrwdownTrggrdDt>

Presence: [0..1]

Definition: Date on which the drawdown was triggered when the drawdown type is flexible.

Datatype: "ISODate" on page 2749

65.1.26.9.15 AdditionalInformation <AddtlInf>*Presence:* [0..*]*Definition:* Additional information about the drawdown.**AdditionalInformation <AddtlInf>** contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.10 BeneficiaryDrawdown1*Definition:* Information about the beneficiary of a drawdown.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BeneficiaryType <BnfcryTp>	[0..1]			1833
{Or	Code <Cd>	[1..1]	CodeSet		1833
Or}	Proprietary <Prtry>	[1..1]	±		1834
	DeathUnderLimit <DthUdrLmt>	[0..1]	Indicator		1834
	AdditionalInformation <AddtlInf>	[0..*]	±		1834

65.1.26.10.1 BeneficiaryType <BnfcryTp>*Presence:* [0..1]*Definition:* Type of beneficiary.**BeneficiaryType <BnfcryTp>** contains one of the following **BeneficiaryType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1833
Or}	Proprietary <Prtry>	[1..1]	±		1834

65.1.26.10.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of beneficiary expressed as a code.*Datatype:* "BeneficiaryType1Code" on page 2649

CodeName	Name	Definition
DEPE	Dependent	Beneficiary is a dependent.
NOMI	Nominee	Beneficiary is a nominee.
SUCC	Successor	Beneficiary is a successor.

65.1.26.10.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of beneficiary expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.26.10.2 DeathUnderLimit <DthUdrLmt>

Presence: [0..1]

Definition: Indicates whether the original pension holder was under the age limit when deceased. Typically, in the UK this limit is seventy-five.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.10.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the recipient of the drawdown.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.11 BenefitCrystallisationEvent1

Definition: Information about the realisation of benefits taken from a pension.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventType <EvtTp>	[0..1]			1835
{Or	Code <Cd>	[1..1]	CodeSet		1835
Or}	Proprietary <Prtry>	[1..1]	±		1835
	EventNumber <EvtNb>	[0..1]	Text		1836
	EventDate <EvtDt>	[0..1]	Date		1836
	CrystallisationAmount <CrstllstnAmt>	[0..1]	Amount	C2	1836
	PercentageOfAllowance <PctgOfAllwnc>	[0..1]	Rate		1836
	AdditionalInformation <AddtlInf>	[0..*]	±		1836

65.1.26.11.1 EventType <EvtTp>

Presence: [0..1]

Definition: Type of crystallisation event.

EventType <EvtTp> contains one of the following **DrawdownEventType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1835
Or}	Proprietary <Prtry>	[1..1]	±		1835

65.1.26.11.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of event expressed as a code.

Datatype: "DrawdownEventType1Code" on page 2667

CodeName	Name	Definition
DRAW	Drawdown	Event is a drawdown.
UFPL	UncrystallisedFundsPensionLumpSum	Event is an uncrystallised funds pension lump sum (UFPLS).

65.1.26.11.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of event expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.26.11.2 EventNumber <EvtNb>

Presence: [0..1]

Definition: Number of the crystallisation event.

Datatype: "[Max35Text](#)" on page 2764

65.1.26.11.3 EventDate <EvtDt>

Presence: [0..1]

Definition: Date on which the crystallisation event was triggered.

Datatype: "[ISODate](#)" on page 2749

65.1.26.11.4 CrystallisationAmount <CrstlStnAmt>

Presence: [0..1]

Definition: Amount of the crystallisation event.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: "[ActiveCurrencyAnd13DecimalAmount](#)" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.11.5 PercentageOfAllowance <PctgOfAllwnc>

Presence: [0..1]

Definition: Percentage of allowance used for the benefit crystallisation event.

Datatype: "[PercentageRate](#)" on page 2762

65.1.26.11.6 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the benefit crystallisation event.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.12 TargetMarket1

Definition: Target market criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]			1839
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		1839
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		1839
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		1840
	Other <Othr>	[0..*]	±		1840
	KnowledgeAndOrExperience <KnwldgAndOrExprnc>	[0..1]			1840
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		1841
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		1841
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		1842
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		1842
	Other <Othr>	[0..*]	±		1842
	AbilityToBearLosses <AbiltyToBearLosses>	[0..1]			1843
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		1844
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		1844
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		1844
	Other <Othr>	[0..*]	±		1844
	RiskTolerance <RskTlrnce>	[0..1]			1845
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		1845
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		1846
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		1846
	Other <Othr>	[0..*]	±		1846
	ClientObjectivesAndNeeds <CIntObjectvsAndNeeds>	[0..1]			1846
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		1847
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		1847
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		1848
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		1848

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		1848
	ReturnProfileOther <RtrPrflOthr>	[0..1]	CodeSet		1848
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		1849
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±	C15	1849
	MayBeTerminatedEarly <MayBeTermntdEarly>	[0..1]	CodeSet		1849
	SpecificInvestmentNeed <SpfcfInvstmtNeed>	[0..1]	±	C30	1850
	Other <Othr>	[0..*]	±		1850
	Other <Othr>	[0..*]	±		1850

65.1.26.12.1 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Investor for which the financial instrument is targeted.

InvestorType <InvstrTp> contains the following **InvestorType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorTypeRetail <InvstrTpRtl>	[0..1]	CodeSet		1839
	InvestorTypeProfessional <InvstrTpPrfssnl>	[0..1]	±		1839
	InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>	[0..1]	CodeSet		1840
	Other <Othr>	[0..*]	±		1840

65.1.26.12.1.1 InvestorTypeRetail <InvstrTpRtl>

Presence: [0..1]

Definition: Specifies whether the product is aimed at the retail investor. If neutral, the manufacturer estimates that there is neither a negative nor a positive target market. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01010.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.1.2 InvestorTypeProfessional <InvstrTpPrfssnl>

Presence: [0..1]

Definition: Specifies how the product is aimed at the professional investor. If neutral, the manufacturer estimates that there is neither a negative nor a positive target market. Professional per se or elective professional should only be used if the distinction is done in the prospectus. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01020.

InvestorTypeProfessional <InvstrTpPrfssnl> contains one of the following elements (see "[TargetMarket4Choice](#)" on page 1939 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1939
Or}	Other <Othr>	[1..1]	CodeSet		1940

65.1.26.12.1.3 InvestorTypeEligibleCounterparty <InvstrTpElgblCtrPty>

Presence: [0..1]

Definition: Specifies whether the product is aimed at the eligible counterparty. If neutral, the manufacturer estimates that there is neither a negative nor a positive target market. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 01030.

Datatype: "[TargetMarket1Code](#)" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.1.4 Other <Othr>

Presence: [0..*]

Definition: Specifies another investor type.

Other <Othr> contains the following elements (see "[OtherTargetMarketInvestor1](#)" on page 1937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]	Text		1938
	Target <Trgt>	[0..1]			1938
{Or	Type <Tp>	[1..1]	CodeSet		1938
Or	Other <Othr>	[1..1]	CodeSet		1938
Or}	Proprietary <Prtry>	[1..1]	±		1939
	AdditionalInformation <AddtlInf>	[0..1]	±		1939

65.1.26.12.2 KnowledgeAndOrExperience <KnwldgAndOrExprnc>

Presence: [0..1]

Definition: Knowledge and/or experience of the investor.

KnowledgeAndOrExperience <KwldgAndOrExprnc> contains the following **InvestorKnowledge1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BasicInvestor <BsicInvstr>	[0..1]	CodeSet		1841
	InformedInvestor <InfrmdInvstr>	[0..1]	CodeSet		1841
	AdvancedInvestor <AdvncdInvstr>	[0..1]	CodeSet		1842
	ExpertInvestorGermany <ExprtInvstrDE>	[0..1]	CodeSet		1842
	Other <Othr>	[0..*]	±		1842

65.1.26.12.2.1 BasicInvestor <BsicInvstr>

Presence: [0..1]

Definition: Specifies whether the investor is a basic investor. A basic investor has one, or more, of the following characteristics:

- a basic knowledge of relevant financial instruments (a basic investor can make an informed investment decision based on the regulated and authorised offering documentation or with the help of basic information provided at the point of sale),
- no financial industry experience, that is, suited to a first time investor.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02010.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.2.2 InformedInvestor <InfrmdInvstr>

Presence: [0..1]

Definition: Specifies whether the investor is an informed investor. An informed investor has one, or more, of the following characteristics:

- average knowledge of relevant financial products (an informed investor can make an informed investment decision based on the regulated and authorised offering documentation, together with knowledge and understanding of the specific factors/risks highlighted within them only),
- some financial industry experience.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02020.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.2.3 AdvancedInvestor <AdvncdInvstr>

Presence: [0..1]

Definition: Specifies whether the investor is an advanced investor. An advanced investor has one, or more, of the following characteristics:

- good knowledge of relevant financial products and transactions, financial industry experience or accompanied by professional investment advice or included in a - discretionary portfolio service.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02030.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.2.4 ExpertInvestorGermany <ExprrtInvstrDE>

Presence: [0..1]

Definition: Specifies whether the investor is an expert investor. An expert investor has expert knowledge of and/or experience with highly specialised financial products. (Specific to Germany.)

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 02040.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.2.5 Other <Othr>

Presence: [0..*]

Definition: Type of investor knowledge and experience for which the financial instrument is targeted.

Other <Othr> contains the following elements (see "OtherTargetMarketInvestorKnowledge1" on page 1936 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorKnowledgeType <InvstrKnwldgTp>	[0..1]	Text		1936
	Target <Trgt>	[0..1]			1936
{Or	Code <Cd>	[1..1]	CodeSet		1937
Or}	Proprietary <Prtry>	[1..1]	±		1937
	AdditionalInformation <AddtlInf>	[0..1]	±		1937

65.1.26.12.3 AbilityToBearLosses <AbiltyToBearLosses>

Presence: [0..1]

Definition: Investor's ability to bear losses.

AbilityToBearLosses <AbiltyToBearLosses> contains the following **LossBearing1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoCapitalLoss <NoCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLoss <LtdCptlLoss>	[0..1]	CodeSet		1843
	LimitedCapitalLossLevel <LtdCptlLossLvl>	[0..1]	Rate		1844
	NoCapitalGuarantee <NoCptlGrnt>	[0..1]	CodeSet		1844
	LossBeyondCapital <LossByndCptl>	[0..1]	CodeSet		1844
	Other <Othr>	[0..*]	±		1844

65.1.26.12.3.1 NoCapitalLoss <NoCptlLoss>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who cannot bear loss of capital. Minor losses especially due to costs are possible. For a negative target (no), the product should not be sold to investors that cannot bear losses. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03010. In EMT v2, this is known as Compatible With Clients Who Can Not Bear Capital Loss.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.3.2 LimitedCapitalLoss <LtdCptlLoss>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who is seeking to preserve capital or who can bear losses limited to a level specified by a structured security or structure fund product.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03020. In EMT v2, this is known as Compatible With Clients Who Can Bear Limited Capital Loss.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.3.3 LimitedCapitalLossLevel <LtdCptlLossLvl>

Presence: [0..1]

Definition: Specifies the percentage of loss that can be carried by the investor. This is typically used for structured products or structured funds. This is only specified when a clear partial capital guarantee is provided on the primary market and the product is held until maturity. The level of potential losses is the one that could be calculated according to the offering documentation. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03030.

Datatype: "PercentageRate" on page 2762

65.1.26.12.3.4 NoCapitalGuarantee <NoCptlGrnt>

Presence: [0..1]

Definition: Specifies the product is compatible with a client who does not need capital guarantee nor protection. One hundred percent of the capital is at risk. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03040. In EMT v2, this is known as Compatible With Clients Who Do Not Need Capital Guarantee.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.3.5 LossBeyondCapital <LossByndCptl>

Presence: [0..1]

Definition: Specifies the product is compatible with a client who can bear loss beyond the capital. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 03050. In EMT v2, this is known as Compatible With Clients Who Can Bear Loss Beyond Capital.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.3.6 Other <Othr>

Presence: [0..*]

Definition: Specifies another type of loss bearing.

Other <Othr> contains the following elements (see "OtherTargetMarketLossBearing1" on page 1934 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AbilityToBearLossesType <AbiltyToBearLossesTp>	[0..1]	Text		1935
	Target <Trgt>	[0..1]			1935
{Or	Code <Cd>	[1..1]	CodeSet		1935
Or}	Proprietary <Prtry>	[1..1]	±		1935
	AdditionalInformation <AddtlInf>	[0..1]	±		1936

65.1.26.12.4 RiskTolerance <RskTlrnce>

Presence: [0..1]

Definition: Investor's tolerance to risk.

RiskTolerance <RskTlrnce> contains the following **RiskTolerance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>	[0..1]	Quantity		1845
	RiskToleranceInternal <RskTlrnceIntl>	[0..1]	CodeSet		1845
	RiskToleranceForNonPRIIPSAAndNonUCITSSpain <RskTlrnceForNonPRIIPSAAndNonUCITSES>	[0..1]	Quantity		1846
	NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>	[0..1]	CodeSet		1846
	Other <Othr>	[0..*]	±		1846

65.1.26.12.4.1 RiskTolerancePRIIPSMethodology <RskTlrncePRIIPSMthdlgy>

Presence: [0..1]

Definition: Specifies the Summary Risk Indicator (SRI). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04010.

Datatype: "Max1Number" on page 2761

65.1.26.12.4.2 RiskToleranceUCITSMethodology <RskTlrnceUCITSMthdlgy>

Presence: [0..1]

Definition: Specifies the Synthetic Risk and Reward Indicator (SRRI). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04020.

Datatype: "Max1Number" on page 2761

65.1.26.12.4.3 RiskToleranceInternal <RskTlrnceIntl>

Presence: [0..1]

Definition: Specifies the risk tolerance for non-PRIPs and non-UCITS instructions. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04030.

Datatype: "RiskLevel1Code" on page 2718

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

65.1.26.12.4.4 RiskToleranceForNonPRIIPsAndNonUCITSSpain <RskTlrnceForNonPRIIPsAndNonUCITSES>

Presence: [0..1]

Definition: Specifies the Summary Risk Indicator (SRI) for a Spanish product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04040.

Datatype: "Max1Number" on page 2761

65.1.26.12.4.5 NotForInvestorsWithTheLowestRiskToleranceGermany <NotForInvstrsWthTheLwstRskTlrnceDE>

Presence: [0..1]

Definition: Specifies the risk tolerance for a German product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 04050.

Datatype: "TargetMarket2Code" on page 2726

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

65.1.26.12.4.6 Other <Othr>

Presence: [0..*]

Definition: Specifies another type of risk tolerance.

Other <Othr> contains the following elements (see "OtherTargetMarketRiskTolerance1" on page 1933 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskToleranceType <RskTlrnceTp>	[0..1]	Text		1933
	Target <Trgt>	[0..1]			1933
{Or	Code <Cd>	[1..1]	CodeSet		1934
Or}	Proprietary <Prtry>	[1..1]	±		1934
	AdditionalInformation <AddtlInf>	[0..1]	±		1934

65.1.26.12.5 ClientObjectivesAndNeeds <ClntObjctvsAndNeeds>

Presence: [0..1]

Definition: Investor's investment requirements.

ClientObjectivesAndNeeds <ClntObjctvsAndNeeds> contains the following **InvestorRequirements1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReturnProfilePreservation <RtrPrflPrsrvtn>	[0..1]	CodeSet		1847
	ReturnProfileGrowth <RtrPrflGrwth>	[0..1]	CodeSet		1847
	ReturnProfileIncome <RtrPrflIncm>	[0..1]	CodeSet		1848
	ReturnProfileHedging <RtrPrflHdgg>	[0..1]	CodeSet		1848
	OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>	[0..1]	CodeSet		1848
	ReturnProfileOther <RtrPrflOthr>	[0..1]	CodeSet		1848
	ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>	[0..1]	CodeSet		1849
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	±	C15	1849
	MayBeTerminatedEarly <MayBeTermntdEarly>	[0..1]	CodeSet		1849
	SpecificInvestmentNeed <SpfcfclnvstmtNeed>	[0..1]	±	C30	1850
	Other <Othr>	[0..*]	±		1850

65.1.26.12.5.1 ReturnProfilePreservation <RtrPrflPrsrvtn>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client who is looking for the preservation of capital. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05010. In EMT v2, this is known as Return Profile Client Looking for Preservation.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.2 ReturnProfileGrowth <RtrPrflGrwth>

Presence: [0..1]

Definition: Specifies whether the product is compatible with a client looking for capitalisation growth.

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05020. In EMT v2, this is known as Return Profile Client Looking for Capitalized Growth.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.3 ReturnProfileIncome <RtrPrflIncm>*Presence:* [0..1]

Definition: Specifies whether the product is compatible with a client who is looking for income. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05030. In EMT v2, this is known as Return Profile Client Looking for Income.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.4 ReturnProfileHedging <RtrPrflHdgg>*Presence:* [0..1]

Definition: Level of compatibility of the investor risk profile with the hedging profile of a structured security product.

In EMT v2, this element is not supported for funds. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05040. In EMT v2, this element is not supported for funds.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.5 OptionOrLeveragedReturnProfile <OptnOrLvrgdRtrPrfl>*Presence:* [0..1]

Definition: Level of compatibility of the investor risk profile with the option or leveraged return profile of a structured security product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05050. In EMT v2, this element is not supported for funds.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.6 ReturnProfileOther <RtrPrflOthr>*Presence:* [0..1]

Definition: Level of compatibility of the investor risk profile with 'another return' profile of the product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05060.

Datatype: "TargetMarket2Code" on page 2726

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

65.1.26.12.5.7 ReturnProfilePensionSchemeGermany <RtrPrflPnsnSchmeDE>

Presence: [0..1]

Definition: Level of compatibility of the investor risk profile with the Return Profile Pension Scheme Germany profile of the product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05070.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.8 MinimumHoldingPeriod <MinHldgPrd>

Presence: [0..1]

Definition: Minimum recommended holding period (RHP).

When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05080. In EMT v1, this is known as Time Horizon.

Impacted by: C15 "EMTMinimumHoldingPeriodGuideline"

MinimumHoldingPeriod <MinHldgPrd> contains one of the following elements (see "TimeHorizon1Choice" on page 1416 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NumberOfYears <NbOfYrs>	[1..1]	Quantity		1416
Or}	TimeFrame <TmFrame>	[1..1]			1416
{Or	Code <Cd>	[1..1]	CodeSet		1416
Or}	Proprietary <Prtry>	[1..1]	±		1417

Constraints

- **EMTMinimumHoldingPeriodGuideline**

In the European MiFID Template (EMT) v1, the TimeFrame/Code:

SHOR is 'Less than three years.'

MEDM is 'Less than five years.'

65.1.26.12.5.9 MayBeTerminatedEarly <MayBeTermntdEarly>

Presence: [0..1]

Definition: Specifies whether the product may be terminated early. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05100.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.26.12.5.10 SpecificInvestmentNeed <SpcfclnvstmtNeed>

Presence: [0..1]

Definition: Specifies specific indicative investment needs. Should be discussed and agreed between the asset manager and bank. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 05110.

Impacted by: C30 "SpecificInvestmentNeedGuideline "

SpecificInvestmentNeed <SpcfclnvstmtNeed> contains one of the following elements (see "InvestmentNeed1Choice" on page 1823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1823
Or}	Proprietary <Prtry>	[1..1]	±		1824

Constraints

- **SpecificInvestmentNeedGuideline**

In the European MiFID Template (EMT) v2, Code/YSPE is not supported.

65.1.26.12.5.11 Other <Othr>

Presence: [0..*]

Definition: Other investment need.

Other <Othr> contains the following elements (see "OtherInvestmentNeed1" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClientObjectivesAndNeedsType <ClntObjctvsAndNeedsTp>	[0..1]	Text		1822
	Target <Trgt>	[0..1]			1822
{Or	Code <Cd>	[1..1]	CodeSet		1822
Or}	Proprietary <Prtry>	[1..1]	±		1822
	AdditionalInformation <AddtlInf>	[0..1]	±		1823

65.1.26.12.6 Other <Othr>

Presence: [0..*]

Definition: Other target market parameter.

Other <Othr> contains the following elements (see "OtherTargetMarket1" on page 1853 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetMarketType <TrgtMktTp>	[1..1]	Text		1853
	AdditionalInformation <AddtlInf>	[0..1]	±		1853

65.1.26.13 DistributionStrategy1

Definition: Distribution strategy criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExecutionOnly <ExctnOnly>	[0..1]	±		1851
	ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvcs>	[0..1]	±		1851
	InvestmentAdvice <InvstmtAdvc>	[0..1]	±		1852
	PortfolioManagement <PrtlMgmt>	[0..1]	±		1852
	Other <Othr>	[0..1]	±		1852

65.1.26.13.1 ExecutionOnly <ExctnOnly>

Presence: [0..1]

Definition: Specifies to whom the product can be sold on an execution-only basis. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06010.

ExecutionOnly <ExctnOnly> contains one of the following elements (see "DistributionStrategy1Choice" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.13.2 ExecutionWithAppropriatenessTestOrNonAdvisedServices <ExctnWthApprprtnssTstOrNonAdvsdSvcs>

Presence: [0..1]

Definition: Specifies to whom the product can be sold with an appropriateness test or to a non-advised service. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06020.

ExecutionWithAppropriatenessTestOrNonAdvisedServices

<ExctnWthApprprtnssTstOrNonAdvsdSvcs> contains one of the following elements (see "DistributionStrategy1Choice" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.13.3 InvestmentAdvice <InvstmtAdvvc>

Presence: [0..1]

Definition: Specifies to whom the product can be sold if investment advice has been provided. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06030.

InvestmentAdvice <InvstmtAdvvc> contains one of the following elements (see "DistributionStrategy1Choice" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.13.4 PortfolioManagement <PrtfIMgmt>

Presence: [0..1]

Definition: Specifies to whom the product can be sold if portfolio management has been provided. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 06040.

PortfolioManagement <PrtfIMgmt> contains one of the following elements (see "DistributionStrategy1Choice" on page 1821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1821
Or}	Proprietary <Prtry>	[1..1]	±		1821

65.1.26.13.5 Other <Othr>

Presence: [0..1]

Definition: Other distribution strategy.

Other <Othr> contains the following elements (see "[OtherDistributionStrategy1](#)" on page 1820 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistributionStrategyType <DstrbtnStrtgyTp>	[0..1]	Text		1820
	Target <Trgt>	[0..1]	±		1820
	AdditionalInformation <AddtlInf>	[0..1]	±		1820

65.1.26.14 OtherTargetMarket1

Definition: Other target market parameter.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetMarketType <TrgtMktTp>	[1..1]	Text		1853
	AdditionalInformation <AddtlInf>	[0..1]	±		1853

65.1.26.14.1 TargetMarketType <TrgtMktTp>

Presence: [1..1]

Definition: Type of target market parameter.

Datatype: "[Max350Text](#)" on page 2764

65.1.26.14.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.15 LocalMarketAnnex3

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..*]	CodeSet	C11	1854
	LocalOrderDesk <LclOrdrDsk>	[1..1]	±		1854
	SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>	[0..1]	±	C7	1854
	RedemptionProcessingCharacteristics <RedPrcgChrtcs>	[0..1]	±	C8	1856
	SwitchProcessingCharacteristics <SwchPrcgChrtcs>	[0..1]	±	C9	1857
	CashSettlementDetails <CshSttlmDtls>	[0..*]	±		1858
	AdditionalInformation <AddtlInf>	[0..*]	±		1859

65.1.26.15.1 Country <Ctry>

Presence: [1..*]

Definition: Country of the local fund order desk.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.26.15.2 LocalOrderDesk <LclOrdrDsk>

Presence: [1..1]

Definition: Local entity appointed by the fund, to which orders should be submitted.

LocalOrderDesk <LclOrdrDsk> contains the following elements (see "OrderDesk1" on page 2056 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2056
	ClosureDates <ClsrDts>	[0..*]	Date		2057
	AdditionalInformation <AddtlInf>	[0..*]	±		2057

65.1.26.15.3 SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a subscription to the investment fund or alternative/hedge fund.

Impacted by: C7 "DealingCutOffTimeFrameRule"

SubscriptionProcessingCharacteristics <SbcptPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics4" on page 1875 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1877
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1877
	ApplicationForm <ApplForm>	[1..1]	Indicator		1877
	SignatureType <SgntrTp>	[1..1]	CodeSet		1877
	SubsequentInvestmentApplication <SbsqntInvstmtAppl>	[0..1]			1878
	ApplicationForm <ApplForm>	[1..1]	Indicator		1878
	SignatureType <SgntrTp>	[1..1]	CodeSet		1878
	AmountIndicator <AmtInd>	[0..1]	Indicator		1878
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1879
	Rounding <Rndg>	[0..1]	CodeSet		1879
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1879
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1879
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1880
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1880
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1880
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1881
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1881
	LimitedPeriod <LtdPrd>	[0..1]	Text		1882
	SettlementCycle <SttlmCycl>	[0..1]			1882
{Or	TradePlus <TPlus>	[1..1]	Quantity		1882
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1882
	AdditionalInformation <AddtlInf>	[0..*]	±		1882

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.15.4 RedemptionProcessingCharacteristics <RedPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a redemption to the investment fund or alternative/hedge fund.

Impacted by: C8 "DealingCutOffTimeFrameRule"

RedemptionProcessingCharacteristics <RedPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics5" on page 1868 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1869
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1870
	ApplicationForm <ApplForm>	[1..1]	Indicator		1870
	SignatureType <SgntrTp>	[1..1]	CodeSet		1870
	AmountIndicator <AmtInd>	[0..1]	Indicator		1871
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1871
	Rounding <Rndg>	[0..1]	CodeSet		1871
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1871
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1871
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1872
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1872
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1872
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1873
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1873
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1873
	LimitedPeriod <LtdPrd>	[0..1]	Text		1874
	SettlementCycle <SttlmCycl>	[0..1]			1874
{Or	TradePlus <TPlus>	[1..1]	Quantity		1874
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1875
	AdditionalInformation <AddtlInf>	[0..*]	±		1875

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.15.5 SwitchProcessingCharacteristics <SwchPrcgChrtcs>

Presence: [0..1]

Definition: Processing characteristics linked to a switch of the investment fund or alternative/hedge fund.

Impacted by: C9 "DealingCutOffTimeFrameRule"

SwitchProcessingCharacteristics <SwchPrcgChrtcs> contains the following elements (see "ProcessingCharacteristics6" on page 1862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealGcCyAccptd>	[0..*]	CodeSet	C2	1863
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1864
	ApplicationForm <ApplForm>	[1..1]	Indicator		1864
	SignatureType <SgntrTp>	[1..1]	CodeSet		1864
	AmountIndicator <AmtInd>	[0..1]	Indicator		1865
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1865
	Rounding <Rndg>	[0..1]	CodeSet		1865
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1865
	DealingFrequency <DealGFrqcy>	[0..1]	CodeSet		1865
	DealingFrequencyDescription <DealGFrqcyDesc>	[0..1]	Text		1866
	DealingCutOffTime <DealGCutOffTm>	[0..1]	Time		1866
	DealingCutOffTimeFrame <DealGCutOffTmFrame>	[0..1]	±	C27, C31	1866
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1867
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1867
	LimitedPeriod <LtdPrd>	[0..1]	Text		1868
	SettlementCycle <SttlmCycl>	[0..1]			1868
{Or	TradePlus <TPlus>	[1..1]	Quantity		1868
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1868
	AdditionalInformation <AddtlInf>	[0..*]	±		1868

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.15.6 CashSettlementDetails <CshSttlmDtls>

Presence: [0..*]

Definition: Account to be used for cash settlement.

CashSettlementDetails <CshSttlmDtls> contains the following elements (see "[CashAccount202](#)" on page 1246 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[0..1]	CodeSet	C2	1246
	PrimaryAccount <PmryAcct>	[0..1]			1246
	AccountIdentification <AcctId>	[1..1]	±		1247
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1247
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1247
	SecondaryAccount <ScndryAcct>	[0..1]			1247
	AccountIdentification <AcctId>	[1..1]	±		1248
	Servicer <Svcr>	[0..1]	IdentifierSet	C4	1248
	AccountTypeDescription <AcctTpDesc>	[0..1]	Text		1248

65.1.26.15.7 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the fund order desk.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.16 InvestmentPlanCharacteristics1

Definition: Characteristics of an investment plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlanType <PlanTp>	[1..1]			1860
{Or	Code <Cd>	[1..1]	CodeSet		1860
Or}	Proprietary <Prtry>	[1..1]	±		1860
	Frequency <Frqcy>	[0..1]	±		1861
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1861
	Quantity <Qty>	[0..1]	±		1861
	PlanContinuation <PlanConttn>	[0..1]	Indicator		1861
	AdditionalSubscription <AddtlSbcpt>	[0..1]	Indicator		1862
	AdditionalSubscriptionFunction <AddtlSbcptFctr>	[0..1]	Indicator		1862
	AdditionalInformation <AddtlInf>	[0..*]	±		1862

Constraints

- **InvestmentPlanRule**

If PlanType/Code is not INVP the AdditionalSubscription and AdditionalSubscriptionFunction must not be present.

65.1.26.16.1 PlanType <PlanTp>

Presence: [1..1]

Definition: Type of investment plan.

PlanType <PlanTp> contains one of the following **InvestmentFundPlanType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1860
Or}	Proprietary <Prtry>	[1..1]	±		1860

65.1.26.16.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of investment fund plan expressed as a code.

Datatype: "InvestmentFundPlanType1Code" on page 2687

CodeName	Name	Definition
INVP	SavingsInvestmentPlan	Savings investment plan.
SWIP	SwitchPlan	Switch plan.
WTHP	WithdrawalPlan	Withdrawal plan.

65.1.26.16.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of investment fund plan expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.26.16.2 Frequency <Frqcy>

Presence: [0..1]

Definition: Frequency of the investment plan.

Frequency <Frqcy> contains one of the following elements (see "[Frequency20Choice](#)" on page 1629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1629
Or}	Proprietary <Prtry>	[1..1]	±		1630

65.1.26.16.3 TotalNumberOfInstalments <TtlNbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: "[Number](#)" on page 2761

65.1.26.16.4 Quantity <Qty>

Presence: [0..1]

Definition: Minimum amount of the periodical payments. (If there is no maximum, then '0' must be specified for the Amount or Units.)

Quantity <Qty> contains one of the following elements (see "[UnitsOrAmount1Choice](#)" on page 1613 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1613
Or}	Unit <Unit>	[1..1]	Quantity		1614

65.1.26.16.5 PlanContinuation <PlanConttn>

Presence: [0..1]

Definition: Indicates whether it is possible to continue the savings plan after the end date.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.16.6 AdditionalSubscription <AddtlSbcpt>

Presence: [0..1]

Definition: Indicates whether it is possible to subscribe to additional instalments over and above that permitted by the savings plan frequency.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.16.7 AdditionalSubscriptionFunction <AddtlSbcptFctn>

Presence: [0..1]

Definition: Indicates whether any additional instalments will reduce the period of the life of the savings investment plan.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.16.8 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the investment plan.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.17 ProcessingCharacteristics6

Definition: Processing characteristics linked to a switch order for an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1863
	SwitchAuthorisation <SwchAuthstn>	[0..1]			1864
	ApplicationForm <ApplForm>	[1..1]	Indicator		1864
	SignatureType <SgnrTp>	[1..1]	CodeSet		1864
	AmountIndicator <AmtInd>	[0..1]	Indicator		1865
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1865
	Rounding <Rndg>	[0..1]	CodeSet		1865
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1865
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1865
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1866
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1866
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1866
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1867
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1867
	LimitedPeriod <LtdPrd>	[0..1]	Text		1868
	SettlementCycle <SttlmCycl>	[0..1]			1868
{Or	TradePlus <TPlus>	[1..1]	Quantity		1868
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1868
	AdditionalInformation <AddtlInf>	[0..*]	±		1868

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.17.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a switch is accepted.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.17.2 SwitchAuthorisation <SwchAuthstn>

Presence: [0..1]

Definition: Specifies whether an application form is required for a switch.

SwitchAuthorisation <SwchAuthstn> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1864
	SignatureType <SgntrTp>	[1..1]	CodeSet		1864

65.1.26.17.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.17.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: "SignatureType1Code" on page 2723

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

65.1.26.17.3 AmountIndicator <AmtInd>*Presence:* [0..1]*Definition:* Indicates whether a switch can be instructed by amount.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.17.4 UnitsIndicator <UnitsInd>*Presence:* [0..1]*Definition:* Indicates whether a switch can be instructed as a number of units.*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.17.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* ["RoundingDirection2Code"](#) on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.1.26.17.6 MainFundOrderDeskLocation <MainFndOrdrDskLctn>*Presence:* [0..1]*Definition:* Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see ["MainFundOrderDeskLocation1"](#) on page 1824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1824
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1824
	Sign <Sgn>	[1..1]	Indicator		1825
	NumberOfHours <NbOfHrs>	[1..1]	Time		1825

65.1.26.17.7 DealingFrequency <DealgFrqcy>*Presence:* [0..1]*Definition:* Frequency at which the switches are done.*Datatype:* ["EventFrequency5Code"](#) on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.26.17.8 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the switch is done.

Datatype: "Max350Text" on page 2764

65.1.26.17.9 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to switch can be given.

Datatype: "ISOTime" on page 2766

65.1.26.17.10 DealingCutOffTimeFrame <DealgCutOffTmFrame>

Presence: [0..1]

Definition: Dealing cut-off timeframe.

Impacted by: C27 "NonWorkingDayAdjustmentRule", C31 "TradeMinusRule"

DealingCutOffTimeFrame <DealgCutOffTmFrame> contains the following elements (see "TimeFrame4" on page 1417 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1418
	TradeMinus <TMns>	[0..1]	Quantity		1418
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1418
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1418

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

65.1.26.17.11 DealConfirmationTime <DealConfTm>

Presence: [0..1]

Definition: Time at which the deal confirmation is issued.

Datatype: "ISOTime" on page 2766

65.1.26.17.12 DealConfirmationTimeFrame <DealConfTmFrame>

Presence: [0..1]

Definition: Time frame within which the deal confirmation is issued.

Impacted by: C28 "NonWorkingDayAdjustmentRule", C32 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame5" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1419
	TradePlus <TPlus>	[0..1]	Quantity		1419
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1419
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1419

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradePlus is present

Following Must be True
/NonWorkingDayAdjustment Must be present

65.1.26.17.13 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be switched.

Datatype: "Max350Text" on page 2764

65.1.26.17.14 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1868
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1868

65.1.26.17.14.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2761

65.1.26.17.14.2 RenunciationPlus <RPlus>

Presence: [1..1]

Definition: Number of days after the renunciation of title documents are received used to define the standard settlement timeframe.

Datatype: "Number" on page 2761

65.1.26.17.15 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the switch processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.18 ProcessingCharacteristics5

Definition: Processing characteristics linked to a redemption from an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1869
	RedemptionAuthorisation <RedAuthstn>	[0..1]			1870
	ApplicationForm <ApplForm>	[1..1]	Indicator		1870
	SignatureType <SgntrTp>	[1..1]	CodeSet		1870
	AmountIndicator <AmtInd>	[0..1]	Indicator		1871
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1871
	Rounding <Rndg>	[0..1]	CodeSet		1871
	PercentageIndicator <PctgInd>	[0..1]	Indicator		1871
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1871
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1872
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1872
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1872
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1873
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1873
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1873
	LimitedPeriod <LtdPrd>	[0..1]	Text		1874
	SettlementCycle <SttlmCycl>	[0..1]			1874
{Or	TradePlus <TPlus>	[1..1]	Quantity		1874
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1875
	AdditionalInformation <AddtlInf>	[0..*]	±		1875

Constraints

• DealingCutOffTimeFrameRule

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present

Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.18.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a redemption is accepted.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.18.2 RedemptionAuthorisation <RedAuthstn>

Presence: [0..1]

Definition: Specifies whether an application form is required for a redemption.

RedemptionAuthorisation <RedAuthstn> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1870
	SignatureType <SgntrTp>	[1..1]	CodeSet		1870

65.1.26.18.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.18.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: "SignatureType1Code" on page 2723

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

65.1.26.18.3 AmountIndicator <AmtInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed by amount.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.18.4 UnitsIndicator <UnitsInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a number of units.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.18.5 Rounding <Rndg>*Presence:* [0..1]*Definition:* Indicates the rounding direction applied to nearest unit.*Datatype:* ["RoundingDirection2Code" on page 2719](#)

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.1.26.18.6 PercentageIndicator <PctgInd>*Presence:* [0..1]*Definition:* Indicates whether a redemption can be instructed as a percentage of holding.*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.18.7 MainFundOrderDeskLocation <MainFndOrdrDskLctn>*Presence:* [0..1]*Definition:* Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see "MainFundOrderDeskLocation1" on page 1824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1824
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1824
	Sign <Sgn>	[1..1]	Indicator		1825
	NumberOfHours <NbOfHrs>	[1..1]	Time		1825

65.1.26.18.8 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the redemptions are done.

Datatype: "EventFrequency5Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.26.18.9 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the redemption is done.

Datatype: "Max350Text" on page 2764

65.1.26.18.10 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to redeem can be given.

Datatype: "ISOTime" on page 2766

65.1.26.18.11 DealingCutOffTimeFrame <DealgCutOffTmFrame>*Presence:* [0..1]*Definition:* Dealing cut-off timeframe.*Impacted by:* C27 "NonWorkingDayAdjustmentRule", C31 "TradeMinusRule"**DealingCutOffTimeFrame <DealgCutOffTmFrame>** contains the following elements (see "TimeFrame4" on page 1417 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1418
	TradeMinus <TMns>	[0..1]	Quantity		1418
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1418
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1418

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradeMinus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

65.1.26.18.12 DealConfirmationTime <DealConfTm>*Presence:* [0..1]*Definition:* Time at which the deal confirmation is issued.*Datatype:* "ISOTime" on page 2766**65.1.26.18.13 DealConfirmationTimeFrame <DealConfTmFrame>***Presence:* [0..1]*Definition:* Time frame within which the deal confirmation is issued.*Impacted by:* C28 "NonWorkingDayAdjustmentRule", C32 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame5" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1419
	TradePlus <TPlus>	[0..1]	Quantity		1419
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1419
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1419

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition

/NonWorkingDayAdjustment is present

Following Must be True

/TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition

/TradePlus is present

Following Must be True

/NonWorkingDayAdjustment Must be present

65.1.26.18.14 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be redeemed.

Datatype: "Max350Text" on page 2764

65.1.26.18.15 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1874
Or}	RenunciationPlus <RPlus>	[1..1]	Quantity		1875

65.1.26.18.15.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2761

65.1.26.18.15.2 RenunciationPlus <RPlus>

Presence: [1..1]

Definition: Number of days after the renunciation of title documents are received used to define the standard settlement timeframe.

Datatype: "Number" on page 2761

65.1.26.18.16 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the redemption processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.19 ProcessingCharacteristics4

Definition: Processing characteristics linked to a subscription to an investment fund or alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DealingCurrencyAccepted <DealgCcyAccptd>	[0..*]	CodeSet	C2	1877
	InitialInvestmentApplication <InitlInvstmtAppl>	[0..1]			1877
	ApplicationForm <ApplForm>	[1..1]	Indicator		1877
	SignatureType <SgntrTp>	[1..1]	CodeSet		1877
	SubsequentInvestmentApplication <SbsqntlInvstmtAppl>	[0..1]			1878
	ApplicationForm <ApplForm>	[1..1]	Indicator		1878
	SignatureType <SgntrTp>	[1..1]	CodeSet		1878
	AmountIndicator <AmtInd>	[0..1]	Indicator		1878
	UnitsIndicator <UnitsInd>	[0..1]	Indicator		1879
	Rounding <Rndg>	[0..1]	CodeSet		1879
	MainFundOrderDeskLocation <MainFndOrdrDskLctn>	[0..1]	±		1879
	DealingFrequency <DealgFrqcy>	[0..1]	CodeSet		1879
	DealingFrequencyDescription <DealgFrqcyDesc>	[0..1]	Text		1880
	DealingCutOffTime <DealgCutOffTm>	[0..1]	Time		1880
	DealingCutOffTimeFrame <DealgCutOffTmFrame>	[0..1]	±	C27, C31	1880
	DealConfirmationTime <DealConfTm>	[0..1]	Time		1881
	DealConfirmationTimeFrame <DealConfTmFrame>	[0..1]	±	C28, C32	1881
	LimitedPeriod <LtdPrd>	[0..1]	Text		1882
	SettlementCycle <SttlmCycl>	[0..1]			1882
{Or	TradePlus <TPlus>	[1..1]	Quantity		1882
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1882
	AdditionalInformation <AddtlInf>	[0..*]	±		1882

Constraints

- **DealingCutOffTimeFrameRule**

If DealingCutOffTimeFrame is present, then DealingCutOffTimeFrame/OtherTimeFrameDescription or DealingCutOffTimeFrame/ReferToOrderDesk or DealingCutOffTimeFrame/TradeMinus must be present.

On Condition

/DealingCutOffTimeFrame is present

Following Must be True

/DealingCutOffTimeFrame/OtherTimeFrameDescription Must be present

Or /DealingCutOffTimeFrame/TradeMinus Must be present
 Or /DealingCutOffTimeFrame/ReferToOrderDesk Must be present

65.1.26.19.1 DealingCurrencyAccepted <DealgCcyAccptd>

Presence: [0..*]

Definition: Currency in which a subscription is accepted.

Impacted by: [C2 "ActiveCurrency"](#)

Datatype: ["ActiveCurrencyCode" on page 2647](#)

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.19.2 InitialInvestmentApplication <InitlInvstmtAppl>

Presence: [0..1]

Definition: Specifies whether an application form is required for the initial investment.

InitialInvestmentApplication <InitlInvstmtAppl> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1877
	SignatureType <SgntrTp>	[1..1]	CodeSet		1877

65.1.26.19.2.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.19.2.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: ["SignatureType1Code" on page 2723](#)

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public

CodeName	Name	Definition
		key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

65.1.26.19.3 SubsequentInvestmentApplication <SbsqntInvstmtAppl>

Presence: [0..1]

Definition: Specifies whether an application form is required for the subsequent investments.

SubsequentInvestmentApplication <SbsqntInvstmtAppl> contains the following **Forms1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ApplicationForm <ApplForm>	[1..1]	Indicator		1878
	SignatureType <SgntrTp>	[1..1]	CodeSet		1878

65.1.26.19.3.1 ApplicationForm <ApplForm>

Presence: [1..1]

Definition: Indicates whether a physical application form is required.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.19.3.2 SignatureType <SgntrTp>

Presence: [1..1]

Definition: Type of signature.

Datatype: ["SignatureType1Code"](#) on page 2723

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

65.1.26.19.4 AmountIndicator <AmtInd>

Presence: [0..1]

Definition: Indicates whether a subscription can be instructed by amount.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.19.5 UnitsIndicator <UnitsInd>

Presence: [0..1]

Definition: Indicates whether a subscription can be instructed as a number of units.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.19.6 Rounding <Rndg>

Presence: [0..1]

Definition: Indicates the rounding direction applied to nearest unit.

Datatype: ["RoundingDirection2Code" on page 2719](#)

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.1.26.19.7 MainFundOrderDeskLocation <MainFndOrdrDskLctn>

Presence: [0..1]

Definition: Location of the main fund order desk.

MainFundOrderDeskLocation <MainFndOrdrDskLctn> contains the following elements (see ["MainFundOrderDeskLocation1" on page 1824](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1824
	TimeZoneOffSet <TmZoneOffSet>	[1..1]			1824
	Sign <Sgn>	[1..1]	Indicator		1825
	NumberOfHours <NbOfHrs>	[1..1]	Time		1825

65.1.26.19.8 DealingFrequency <DealgFrqcy>

Presence: [0..1]

Definition: Frequency at which the subscriptions are done.

Datatype: ["EventFrequency5Code" on page 2669](#)

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.26.19.9 DealingFrequencyDescription <DealgFrqcyDesc>

Presence: [0..1]

Definition: Description of frequency at which the subscription is done.

Datatype: "Max350Text" on page 2764

65.1.26.19.10 DealingCutOffTime <DealgCutOffTm>

Presence: [0..1]

Definition: Latest time at which an order to subscribe can be given.

Datatype: "ISOTime" on page 2766

65.1.26.19.11 DealingCutOffTimeFrame <DealgCutOffTmFrame>

Presence: [0..1]

Definition: Dealing cut-off timeframe.

Impacted by: C27 "NonWorkingDayAdjustmentRule", C31 "TradeMinusRule"

DealingCutOffTimeFrame <DealgCutOffTmFrame> contains the following elements (see "TimeFrame4" on page 1417 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1418
	TradeMinus <TMns>	[0..1]	Quantity		1418
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1418
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1418

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradeMinus Must be present

- **TradeMinusRule**

If TradeMinus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradeMinus is present
 Following Must be True
 /NonWorkingDayAdjustment Must be present

65.1.26.19.12 DealConfirmationTime <DealConfTm>

Presence: [0..1]

Definition: Time at which the deal confirmation is issued.

Datatype: "ISOTime" on page 2766

65.1.26.19.13 DealConfirmationTimeFrame <DealConfTmFrame>

Presence: [0..1]

Definition: Time frame within which the deal confirmation is issued.

Impacted by: C28 "NonWorkingDayAdjustmentRule", C32 "TradePlusRule"

DealConfirmationTimeFrame <DealConfTmFrame> contains the following elements (see "TimeFrame5" on page 1418 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OtherTimeFrameDescription <OthrTmFrameDesc>	[0..1]	Text		1419
	TradePlus <TPlus>	[0..1]	Quantity		1419
	NonWorkingDayAdjustment <NonWorkgDayAdjstmnt>	[0..1]	CodeSet		1419
	ReferToOrderDesk <RefrToOrdrDsk>	[0..1]	CodeSet		1419

Constraints

- **NonWorkingDayAdjustmentRule**

If NonWorkingDayAdjustment is present then TradeMinus must also be present.

On Condition
 /NonWorkingDayAdjustment is present
 Following Must be True
 /TradePlus Must be present

- **TradePlusRule**

If TradePlus is present then NonWorkingDayAdjustment must also be present.

On Condition
 /TradePlus is present

Following Must be True
/NonWorkingDayAdjustment Must be present

65.1.26.19.14 LimitedPeriod <LtdPrd>

Presence: [0..1]

Definition: Specific period, for example, for some guaranteed funds, during which the units/shares may be subscribed.

Datatype: "Max350Text" on page 2764

65.1.26.19.15 SettlementCycle <SttlmCycl>

Presence: [0..1]

Definition: Settlement timing.

SettlementCycle <SttlmCycl> contains one of the following **TimeFrame7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TradePlus <TPlus>	[1..1]	Quantity		1882
Or}	Prepayment <Prepmt>	[1..1]	Indicator		1882

65.1.26.19.15.1 TradePlus <TPlus>

Presence: [1..1]

Definition: Number of days after the trade date (T) for the standard settlement timeframe.

Datatype: "Number" on page 2761

65.1.26.19.15.2 Prepayment <Prepmt>

Presence: [1..1]

Definition: Indicates whether pre-payment is necessary.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.19.16 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the subscription processing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.20 InvestmentRestrictions3

Definition: Investment restrictions linked to the trading of an investment fund, or an alternative/hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>	[0..1]	Amount	C2, C10	1883
	MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>	[0..1]	Quantity		1884
	MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>	[0..1]	Amount	C2, C10	1884
	MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>	[0..1]	Quantity		1884
	MaximumRedemptionAmount <MaxRedAmt>	[0..1]	Amount	C2, C10	1884
	MaximumRedemptionUnits <MaxRedUnits>	[0..1]	Quantity		1885
	MinimumRedemptionPercentage <MinRedPctg>	[0..1]	Quantity		1885
	OtherRedemptionRestrictions <OthrRedRstrctns>	[0..1]	Text		1885
	MinimumSwitchSubscriptionAmount <MinSwtchSbcptAmt>	[0..1]	Amount	C2, C10	1885
	MinimumSwitchSubscriptionUnits <MinSwtchSbcptUnits>	[0..1]	Quantity		1886
	MaximumSwitchRedemptionAmount <MaxSwtchRedAmt>	[0..1]	Amount	C2, C10	1886
	MaximumSwitchRedemptionUnits <MaxSwtchRedUnits>	[0..1]	Quantity		1886
	OtherSwitchRestrictions <OthrSwtchRstrctns>	[0..1]	Text		1886
	MinimumHoldingAmount <MinHldgAmt>	[0..1]	Amount	C2, C10	1886
	MinimumHoldingUnits <MinHldgUnits>	[0..1]	Quantity		1887
	MinimumHoldingPeriod <MinHldgPrd>	[0..1]	Text		1887
	HoldingTransferable <HldgTrfbl>	[0..1]	CodeSet		1887
	AdditionalInformation <AddtlInf>	[0..*]	±		1887

65.1.26.20.1 MinimumInitialSubscriptionAmount <MinInitlSbcptAmt>

Presence: [0..1]

Definition: Minimum initial quantity of securities, expressed as an amount, that must be purchased at subscription.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.2 MinimumInitialSubscriptionUnits <MinInitlSbcptUnits>

Presence: [0..1]

Definition: Minimum initial number of units/shares that must be purchased.

Datatype: "Number" on page 2761

65.1.26.20.3 MinimumSubsequentSubscriptionAmount <MinSbsqntSbcptAmt>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as an amount, that must be purchased.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.4 MinimumSubsequentSubscriptionUnits <MinSbsqntSbcptUnits>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as number of units/shares that must be purchased.

Datatype: "Number" on page 2761

65.1.26.20.5 MaximumRedemptionAmount <MaxRedAmt>

Presence: [0..1]

Definition: Maximum quantity of securities, expressed as an amount, that can be sold.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.6 MaximumRedemptionUnits <MaxRedUnits>

Presence: [0..1]

Definition: Maximum number of shares/units that may be redeemed on a single dealing day.

Datatype: "DecimalNumber" on page 2761

65.1.26.20.7 MinimumRedemptionPercentage <MinRedPctg>

Presence: [0..1]

Definition: Minimum percentage of holding that may be redeemed.

Datatype: "DecimalNumber" on page 2761

65.1.26.20.8 OtherRedemptionRestrictions <OthrRedRstrctns>

Presence: [0..1]

Definition: Specifies any other restrictions that may limit an investor's ability to redeem.

Datatype: "Max350Text" on page 2764

65.1.26.20.9 MinimumSwitchSubscriptionAmount <MinSwchSbcptAmt>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as an amount, that must be purchased.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.10 MinimumSwitchSubscriptionUnits <MinSwtchSbcptUnits>

Presence: [0..1]

Definition: Minimum quantity of securities, expressed as number of units/shares that must be purchased.

Datatype: "DecimalNumber" on page 2761

65.1.26.20.11 MaximumSwitchRedemptionAmount <MaxSwtchRedAmt>

Presence: [0..1]

Definition: Maximum quantity of securities, expressed as an amount, that can be sold.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.12 MaximumSwitchRedemptionUnits <MaxSwtchRedUnits>

Presence: [0..1]

Definition: Maximum number of shares/units that may be redeemed on a single dealing day.

Datatype: "DecimalNumber" on page 2761

65.1.26.20.13 OtherSwitchRestrictions <OthrSwtchRstrctns>

Presence: [0..1]

Definition: Specifies any other restrictions that may limit an investor's ability to switch.

Datatype: "Max350Text" on page 2764

65.1.26.20.14 MinimumHoldingAmount <MinHldgAmt>

Presence: [0..1]

Definition: Minimum value of units that must be maintained to avoid automatic redemption.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.20.15 MinimumHoldingUnits <MinHldgUnits>

Presence: [0..1]

Definition: Minimum number of units that must be maintained to avoid automatic redemption.

Datatype: "DecimalNumber" on page 2761

65.1.26.20.16 MinimumHoldingPeriod <MinHldgPrd>

Presence: [0..1]

Definition: Description of the period, that may be a number of days or weeks or another way of describing the period, during which the units/shares must be held following their issue before redemption will be permitted.

Datatype: "Max70Text" on page 2765

65.1.26.20.17 HoldingTransferable <HldgTrfbl>

Presence: [0..1]

Definition: Specifies how registered investors are able to transfer some or all of their holdings to third parties.

Datatype: "HoldingTransferable1Code" on page 2678

CodeName	Name	Definition
TRAL	TransferAllowed	Transfer is allowed.
TRNA	TransferNotAllowed	Transfer is not allowed.
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

65.1.26.20.18 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the investment restrictions.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.26.21 HoldBackInformation3

Definition: Information about hold back and gating.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1889
	Amount <Amt>	[0..1]	Amount	C2, C10	1889
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1889
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1889
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1890
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1890
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1890
Or	RIC <RIC>	[1..1]	IdentifierSet		1891
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1891
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1891
Or	CTA <CTA>	[1..1]	IdentifierSet		1891
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1891
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1891
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1891
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1892
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1892
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1892
Or	Common <Cmon>	[1..1]	IdentifierSet		1892
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1892
	Identification <Id>	[1..1]	Text		1892
	IdentificationSource <IdSrc>	[1..1]	±		1892
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1893
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1893

65.1.26.21.1 Type <Tp>*Presence:* [1..1]*Definition:* Type of gating or a hold back.*Datatype:* "GateHoldBack1Code" on page 2676

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

65.1.26.21.2 Amount <Amt>*Presence:* [0..1]*Definition:* Value of the redemption amount subject to gating or a hold back.*Impacted by:* C2 "ActiveCurrency", C10 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.21.3 ExpectedReleaseDate <XpctdRlsDt>*Presence:* [0..1]*Definition:* Date on which the gated amount or hold back amount is expected to be released.*Datatype:* "ISODate" on page 2749**65.1.26.21.4 FinancialInstrumentIdentification <FinInstrmId>***Presence:* [0..1]*Definition:* New identification of the security.

FinancialInstrumentIdentification <FinInstrmId> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1890
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1890
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1890
Or	RIC <RIC>	[1..1]	IdentifierSet		1891
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1891
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1891
Or	CTA <CTA>	[1..1]	IdentifierSet		1891
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1891
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1891
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1891
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1892
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1892
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1892
Or	Common <Cmon>	[1..1]	IdentifierSet		1892
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1892
	Identification <Id>	[1..1]	Text		1892
	IdentificationSource <IdSrc>	[1..1]	±		1892

65.1.26.21.4.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.26.21.4.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.26.21.4.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.26.21.4.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.26.21.4.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.26.21.4.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.26.21.4.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.26.21.4.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.26.21.4.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.26.21.4.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2753

65.1.26.21.4.11 Valoren <Vlrm>

Presence: [1..1]

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Datatype: "ValorenIdentifier" on page 2760

65.1.26.21.4.12 Sicovam <SCVM>

Presence: [1..1]

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.

Datatype: "SicovamIdentifier" on page 2758

65.1.26.21.4.13 Belgian <Belgn>

Presence: [1..1]

Definition: Identifier for Belgian securities.

Datatype: "BelgianIdentifier" on page 2751

65.1.26.21.4.14 Common <Cmon>

Presence: [1..1]

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Datatype: "EuroclearClearstreamIdentifier" on page 2754

65.1.26.21.4.15 OtherProprietaryIdentification <OthrPrtryId>

Presence: [1..1]

Definition: Proprietary identification of the security assigned by an institution or organisation.

OtherProprietaryIdentification <OthrPrtryId> contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1892
	IdentificationSource <IdSrc>	[1..1]	±		1892

65.1.26.21.4.15.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max35Text" on page 2764

65.1.26.21.4.15.2 IdentificationSource <IdSrc>

Presence: [1..1]

Definition: Source of the identification, that is, domestic (national) or proprietary.

IdentificationSource <IdSrc> contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.26.21.5 FinancialInstrumentName <FinInstrmNm>

Presence: [0..1]

Definition: New name of the security.

Datatype: "Max350Text" on page 2764

65.1.26.21.6 RedemptionCompletion <RedCmpltn>

Presence: [0..1]

Definition: Specifies whether or not additional redemption order instructions are required in order for the redemption to be completed.

Datatype: "RedemptionCompletion1Code" on page 2711

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

65.1.26.22 ModificationScope37

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1893
	InvestmentPlan <InvstmtPlan>	[1..1]	±	C6	1894

65.1.26.22.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Type of modification to be applied.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.

CodeName	Name	Definition
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.26.22.2 InvestmentPlan <InvstmtPlan>

Presence: [1..1]

Definition: Plan that allows investors to schedule periodical investments or divestments, according to pre-defined criteria.

Impacted by: C6 "AmountRule"

InvestmentPlan <InvstmtPlan> contains the following elements (see "InvestmentPlan15" on page 1897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1898
	StartDate <StartDt>	[0..1]	Date		1899
	EndDate <EndDt>	[0..1]	Date		1899
	Quantity <Qty>	[1..1]	±		1899
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1899
	IncomePreference <IncmPref>	[0..1]	CodeSet		1899
	InitialAmount <InitlAmt>	[0..1]	±		1900
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1900
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1900
	SecurityDetails <SctyDtls>	[1..50]			1900
	Quantity <Qty>	[1..1]	±		1901
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1901
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1903
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1903
	ContractReference <CtrctRef>	[0..1]	Text		1904
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1904
	ProductIdentification <PdctId>	[0..1]	Text		1904
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1904
	InsuranceCover <InsrncCover>	[0..1]	±		1904
	PlanStatus <PlanSts>	[0..1]	±		1904
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1905

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

65.1.26.23 FundOrderData6

Definition: Extract of trade data for an investment fund switch order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1895
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1895
	AdditionalAmount <AddtlAmt>	[0..1]	±		1896
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1896
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1896

Constraints

- **SwitchOrderDataElementRule**

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

65.1.26.23.1 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.23.2 SettlementMethod <SttlmMtd>

Presence: [0..1]

Definition: Method by which the transaction is settled.

Datatype: "DeliveryReceiptType2Code" on page 2666

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

65.1.26.23.3 AdditionalAmount <AddtlAmt>

Presence: [0..1]

Definition: Choice between additional cash in or resulting cash out.

AdditionalAmount <AddtlAmt> contains one of the following elements (see "AdditionalAmount1Choice" on page 1907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1907
Or}	ResultingCashOut <RsltCshOut>	[1..1]	Amount	C3, C12	1908

65.1.26.23.4 UnitCurrency <UnitCcy>

Presence: [0..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.23.5 QuotedCurrency <QtdCcy>

Presence: [0..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{UnitCcy} = \text{XchgRate} \times \text{QtdCcy}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.24 ExpectedExecutionDetails2

Definition: Expected trade date and expected settlement date of the order execution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1897
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1897

65.1.26.24.1 ExpectedTradeDateTime <XpctdTradDtTm>

Presence: [0..1]

Definition: Expected date or expected date and time at which a price will be applied according to the terms of the prospectus.

ExpectedTradeDateTime <XpctdTradDtTm> contains one of the following elements (see "[DateAndDateTimeChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.26.24.2 ExpectedCashSettlementDate <XpctdCshSttlmDt>

Presence: [0..1]

Definition: Expected date at which the financial instruments will be exchanged against cash.

Datatype: "[ISODate](#)" on page 2749

65.1.26.25 InvestmentPlan15

Definition: Plan that allows investors to schedule periodical investments or divestments, according to pre-defined criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1898
	StartDate <StartDt>	[0..1]	Date		1899
	EndDate <EndDt>	[0..1]	Date		1899
	Quantity <Qty>	[1..1]	±		1899
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1899
	IncomePreference <IncmPref>	[0..1]	CodeSet		1899
	InitialAmount <InitlAmt>	[0..1]	±		1900
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1900
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1900
	SecurityDetails <SctyDtls>	[1..50]			1900
	Quantity <Qty>	[1..1]	±		1901
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1901
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1903
	ModifiedCashSettlement <ModfdCshSttlm>	[0..8]	±		1903
	ContractReference <CtrctRef>	[0..1]	Text		1904
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1904
	ProductIdentification <PdctId>	[0..1]	Text		1904
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1904
	InsuranceCover <InsrncCover>	[0..1]	±		1904
	PlanStatus <PlanSts>	[0..1]	±		1904
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1905

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

65.1.26.25.1 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the investment or divestment.

Frequency <Frqcy> contains one of the following elements (see "Frequency20Choice" on page 1629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1629
Or}	Proprietary <Prtry>	[1..1]	±		1630

65.1.26.25.2 StartDate <StartDt>

Presence: [0..1]

Definition: Date the investment plan starts.

Datatype: "ISODate" on page 2749

65.1.26.25.3 EndDate <EndDt>

Presence: [0..1]

Definition: Date the investment plan stops.

Datatype: "ISODate" on page 2749

65.1.26.25.4 Quantity <Qty>

Presence: [1..1]

Definition: Amount of the periodical payments.

Quantity <Qty> contains one of the following elements (see "UnitsOrAmount1Choice" on page 1613 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1613
Or}	Unit <Unit>	[1..1]	Quantity		1614

65.1.26.25.5 GrossAmountIndicator <GrssAmtInd>

Presence: [0..1]

Definition: Indicates whether an ordered amount is a gross amount (including transaction overhead). If it is not a gross amount, the ordered amount is a net amount (amount to be invested or redeemed from the fund to which other elements will be added).

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.25.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.26.25.7 InitialAmount <InitlAmt>

Presence: [0..1]

Definition: Initial amount or number of initial instalments.

InitialAmount <InitlAmt> contains one of the following elements (see "[InitialAmount1Choice](#)" on page 1262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or}	InitialNumberOfInstalments <InitlNbOfInstlmts>	[1..1]	Quantity		1262
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1262

65.1.26.25.8 TotalNumberOfInstalments <TtlNbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: "[Number](#)" on page 2761

65.1.26.25.9 RoundingDirection <RndgDrctn>

Presence: [0..1]

Definition: Indicates the rounding direction when an amount is to be spread over several funds.

Datatype: "[RoundingDirection1Code](#)" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

65.1.26.25.10 SecurityDetails <SctyDtls>

Presence: [1..50]

Definition: Security that an investment plan invests in, or from which the investment plan divests.

SecurityDetails <SctyDtls> contains the following **Repartition5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1901
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1901
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1903

65.1.26.25.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Amount, units or percentage of financial instrument invested or withdrawn.

Quantity <Qty> contains one of the following elements (see "[UnitsOrAmountOrPercentage1Choice](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2343
Or	Unit <Unit>	[1..1]	Quantity		2343
Or}	Percentage <Pctg>	[1..1]	Rate		2344

65.1.26.25.10.2 FinancialInstrument <FinInstrm>

Presence: [1..1]

Definition: Detailed information about the security or investment fund.

Impacted by: [C20 "IdentificationGuideline"](#)

FinancialInstrument <FinInstrm> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.26.25.10.3 CurrencyOfPlan <CcyOfPlan>

Presence: [0..1]

Definition: When a fund has multiple currencies within same ISIN, this indicates the currency of the savings or withdrawal plan.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.26.25.11 ModifiedCashSettlement <ModfdCshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to the investment plan and to be either inserted or deleted.

ModifiedCashSettlement <ModfdCshSttlm> contains the following elements (see ["CashSettlement2"](#) on page 1228 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1229
	CashAccountDetails <CshAcctDtls>	[0..*]	±		1229
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		1230

65.1.26.25.12 ContractReference <CtrctRef>*Presence:* [0..1]*Definition:* Reference of the underlying investment contract. In some markets, such as Italy, this might be required to segregate holdings between the same investment account.*Datatype:* "Max35Text" on page 2764**65.1.26.25.13 RelatedContractReference <RltdCtrctRef>***Presence:* [0..1]*Definition:* Reference of the previous contract to which this savings or withdrawal plan is related.*Datatype:* "Max35Text" on page 2764**65.1.26.25.14 ProductIdentification <PdctId>***Presence:* [0..1]*Definition:* Identification of the product as designated by the fund manager. In some markets, such as Italy, the financial product or service related to a savings plan or withdrawal plan are identified by a product identification or number.*Datatype:* "Max35Text" on page 2764**65.1.26.25.15 SLAChargeAndCommissionReference <SLAChrgAndComssnRef>***Presence:* [0..1]*Definition:* Reference of the underlying service level agreement (SLA) governing fees.*Datatype:* "Max35Text" on page 2764**65.1.26.25.16 InsuranceCover <InsrncCover>***Presence:* [0..1]*Definition:* Specifies the type of insurance contract to which the savings investment plan is linked.**InsuranceCover <InsrncCover>** contains one of the following elements (see "[InsuranceType2Choice](#)" on page 1952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1952
Or}	Proprietary <Prtry>	[1..1]	±		1952

65.1.26.25.17 PlanStatus <PlanSts>*Presence:* [0..1]*Definition:* Status of the savings or withdrawal investment plan.

PlanStatus <PlanSts> contains one of the following elements (see "PlanStatus2Choice" on page 2528 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2529
Or}	Proprietary <Prtry>	[1..1]	±		2529

65.1.26.25.18 InstalmentManagerRole <InstlmtMgrRole>

Presence: [0..1]

Definition: Role or function of the instalment manager.

InstalmentManagerRole <InstlmtMgrRole> contains one of the following elements (see "PartyRole4Choice" on page 2107 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2107
Or}	Proprietary <Prtry>	[1..1]	±		2108

65.1.26.26 OrderWaiver1

Definition: Information about how an order is to be processed.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderWaiverReason <OrdWvrRsn>	[0..*]			1905
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906
	InformationValue <InfVal>	[0..1]	Text		1906

Constraints

- **WaiverElementRule**

Either OrderWaiverReason or InformationValue must be present. Both may be present.

65.1.26.26.1 OrderWaiverReason <OrdWvrRsn>

Presence: [0..*]

Definition: Reason why the order has to be handled differently, probably in a manual fashion, because, for example, the investment manager has agreed a waiver to the terms.

OrderWaiverReason <OrdWvrRsn> contains one of the following **OrderWaiverReason3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1906
Or}	Proprietary <Prtry>	[1..1]	±		1906

65.1.26.26.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the waiver expressed as a code.*Datatype:* "OrderWaiverReason1Code" on page 2701

CodeName	Name	Definition
LATE	LateTradeDealing	Dealing of the trade is outside the normal limit.
FEND	FrontEndLoadCharge	Front end load charge differs from PPM.
BMIN	BelowMinimumInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
CUTO	CutOffDate	Cut off date has been passed.
COMW	CommissionWaiver	Standard commission is waived.

65.1.26.26.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Reason for the waiver expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.26.2 InformationValue <InfVal>*Presence:* [0..1]*Definition:* Additional information about the order waiver.*Datatype:* "Max350Text" on page 2764**65.1.26.27 Equalisation1***Definition:* Part of an investor's subscription amount that is held by the fund in order to pay incentive / performance fees at the end of the fiscal year.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1906
	Rate <Rate>	[0..1]	Rate		1907

65.1.26.27.1 Amount <Amt>*Presence:* [0..1]*Definition:* Amount of money resulting from the calculation of the equalisation.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.27.2 Rate <Rate>

Presence: [0..1]

Definition: Rate used for calculation of the equalisation.

Datatype: "PercentageRate" on page 2762

65.1.26.28 AdditionalAmount1Choice

Definition: Choice between additional cash in or resulting cash out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalCashIn <AddtlCshIn>	[1..1]	Amount	C3, C12	1907
Or}	ResultingCashOut <RsltgtCshOut>	[1..1]	Amount	C3, C12	1908

65.1.26.28.1 AdditionalCashIn <AddtlCshIn>

Presence: [1..1]

Definition: Additional amount of money paid by the investor in addition to the switch redemption amount.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.28.2 ResultingCashOut <RsltgCshOut>

Presence: [1..1]

Definition: Amount of money that results from a switch-out, that is not reinvested in another investment fund, and is repaid to the investor.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.29 ExpectedExecutionDetails4

Definition: Expected trade date and expected settlement date of the order execution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1908
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1909

Constraints

- **DateElementRule**

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

65.1.26.29.1 ExpectedTradeDateTime <XpctdTradDtTm>

Presence: [0..1]

Definition: Expected date or expected date and time at which a price will be applied according to the terms of the prospectus.

ExpectedTradeDateTime <XpctdTradDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.26.29.2 ExpectedCashSettlementDate <XpctdCshSttlmDt>*Presence:* [0..1]*Definition:* Date of a payment, for example, a prepayment date.*Datatype:* "ISODate" on page 2749**65.1.26.30 FundOrderData5***Definition:* Extract of trade data for an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1909
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	1910
	UnitsNumber <UnitsNb>	[0..1]	Quantity		1912
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1912
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C12	1912
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1913
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1913
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1913
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1914

Constraints

- **OrderDataElementRule**

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

65.1.26.30.1 InvestmentAccountDetails <InvstmtAcctDtls>*Presence:* [0..1]*Definition:* Account information of the individual order instruction for which the status is given.*Impacted by:* C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

65.1.26.30.2 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order instruction for which the status is given.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.26.30.3 UnitsNumber <UnitsNb>

Presence: [0..1]

Definition: Number of investment fund units subscribed or redeemed.

Datatype: "DecimalNumber" on page 2761

65.1.26.30.4 NetAmount <NetAmt>

Presence: [0..1]

Definition: When the status message is used for a subscription, this is the amount of money to be invested in the fund.

Net Amount = Quantity * Price.

When the status message is used for a redemption, this is the amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.30.5 GrossAmount <GrssAmt>

Presence: [0..1]

Definition: When the status message is used for a subscription, this is the amount of money to be paid by the investor when subscribing to fund units.

Gross amount = (Quantity * Price) + (Fees + Taxes).

When the status message is used for a redemption, this is the amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.30.6 HoldingsRedemptionRate <HldgsRedRate>

Presence: [0..1]

Definition: Portion of the investor's holdings, in a specific investment fund/fund class, that is redeemed.

Datatype: "PercentageRate" on page 2762

65.1.26.30.7 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument in the individual order.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.30.8 UnitCurrency <UnitCcy>

Presence: [0..1]

Definition: Currency from which the quoted currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.30.9 QuotedCurrency <QtdCcy>

Presence: [0..1]

Definition: Currency into which the unit currency is converted in an exchange rate calculation.

$1 \times \text{<UnitCcy>} = \text{<XchgRate>} \times \text{<QtdCcy>}$.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.26.31 HoldBackInformation2

Definition: Information about hold back and gating.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1915
	Amount <Amt>	[0..1]	Amount	C2, C10	1916
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1916
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1916
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1917
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1917
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1917
Or	RIC <RIC>	[1..1]	IdentifierSet		1918
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1918
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1918
Or	CTA <CTA>	[1..1]	IdentifierSet		1918
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1918
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1918
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1918
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1919
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1919
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1919
Or	Common <Cmon>	[1..1]	IdentifierSet		1919
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1919
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1920
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1920
	FinalConfirmation <FnlConf>	[0..1]	Indicator		1920

65.1.26.31.1 Type <Tp>

Presence: [1..1]

Definition: Type of gating or a hold back.

Datatype: "GateHoldBack1Code" on page 2676

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

65.1.26.31.2 Amount <Amt>

Presence: [0..1]

Definition: Value of the redemption amount subject to gating or a hold back.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.31.3 ExpectedReleaseDate <XpctdRlsDt>

Presence: [0..1]

Definition: Date on which the gated amount or hold back amount is expected to be released.

Datatype: "ISODate" on page 2749

65.1.26.31.4 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: New identification of the security.

FinancialInstrumentIdentification <FinInstrmId> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1917
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1917
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1917
Or	RIC <RIC>	[1..1]	IdentifierSet		1918
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1918
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1918
Or	CTA <CTA>	[1..1]	IdentifierSet		1918
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1918
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1918
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1918
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		1919
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1919
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1919
Or	Common <Cmon>	[1..1]	IdentifierSet		1919
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1919
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919

65.1.26.31.4.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.26.31.4.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.26.31.4.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.26.31.4.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.26.31.4.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.26.31.4.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.26.31.4.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.26.31.4.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.26.31.4.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.26.31.4.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2753

65.1.26.31.4.11 Valoren <Vlrm>

Presence: [1..1]

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Datatype: "ValorenIdentifier" on page 2760

65.1.26.31.4.12 Sicovam <SCVM>

Presence: [1..1]

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.

Datatype: "SicovamIdentifier" on page 2758

65.1.26.31.4.13 Belgian <Belgn>

Presence: [1..1]

Definition: Identifier for Belgian securities.

Datatype: "BelgianIdentifier" on page 2751

65.1.26.31.4.14 Common <Cmon>

Presence: [1..1]

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Datatype: "EuroclearClearstreamIdentifier" on page 2754

65.1.26.31.4.15 OtherProprietaryIdentification <OthrPrtryld>

Presence: [1..1]

Definition: Proprietary identification of the security assigned by an institution or organisation.

OtherProprietaryIdentification <OthrPrtryld> contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1919
	IdentificationSource <IdSrc>	[1..1]	±		1919

65.1.26.31.4.15.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max35Text" on page 2764

65.1.26.31.4.15.2 IdentificationSource <IdSrc>

Presence: [1..1]

Definition: Source of the identification, that is, domestic (national) or proprietary.

IdentificationSource <IdSrc> contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.26.31.5 FinancialInstrumentName <FinInstrmNm>

Presence: [0..1]

Definition: New name of the security.

Datatype: "Max350Text" on page 2764

65.1.26.31.6 RedemptionCompletion <RedCmpltn>

Presence: [0..1]

Definition: Specifies whether or not additional redemption order instructions are required in order for the redemption to be completed.

Datatype: "RedemptionCompletion1Code" on page 2711

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

65.1.26.31.7 FinalConfirmation <FnlConf>

Presence: [0..1]

Definition: Indicates whether or not this is the final redemption confirmation in the execution of a gated redemption.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.32 InvestmentFundRole2Choice

Definition: Choice of formats for a Role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1921
Or}	Proprietary <Prtry>	[1..1]	±		1922

65.1.26.32.1 Code <Cd>*Presence:* [1..1]*Definition:* Role expressed as a code.*Datatype:* "InvestmentFundRole2Code" on page 2687

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.

CodeName	Name	Definition
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.1.26.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.33 InvestmentFundsOrderBreakdown2

Definition: An investor's instruction to either subscribe or redeem an amount of money or its equivalent, for example, other assets, into or out of an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderBreakdownType <OrdrBrkdwnTp>	[1..1]			1922
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923
	Amount <Amt>	[1..1]	Amount	C2, C10	1923

65.1.26.33.1 OrderBreakdownType <OrdrBrkdwnTp>

Presence: [1..1]

Definition: Type of order breakdown.

OrderBreakdownType <OrdrBrkdwnTp> contains one of the following **OrderBreakdownType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1922
Or}	Proprietary <Prtry>	[1..1]	±		1923

65.1.26.33.1.1 Code <Cd>

Presence: [1..1]

Definition: Order breakdown type expressed as a code.

Datatype: "[FundOrderType5Code](#)" on page 2675

CodeName	Name	Definition
NSPN	NormalStaffPortion	That part of an investment fund order attributed to a normal staff portion.
NCPN	NormalClientPortion	That part of an investment fund order attributed to a normal client portion.
SWSP	StaffWithSavingsPlan	That part of an investment fund order attributed to a staff member with a savings plan.
CWSP	ClientWithSavingsPlan	That part of an investment fund order attributed to a client with a savings plan.

65.1.26.33.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Order breakdown type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.33.2 Amount <Amt>

Presence: [1..1]

Definition: Portion of the net amount that is attributed to an order type.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.26.34 FundOrderType4Choice

Definition: Choice of formats for the order type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1924
Or}	Proprietary <Prtry>	[1..1]	±		1924

65.1.26.34.1 Code <Cd>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a code.

Datatype: "FundOrderType8Code" on page 2675

CodeName	Name	Definition
BEDB	BedAndBreakfast	Investment fund order is part of a bed and breakfast transaction.
INVP	InvestmentPlan	Investment fund order is related to an investment plan (only applicable to a subscription).
PREA	PreAdvice	Investment fund order is executed with specific pre-advice conditions.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
RGSU	RegularSavingsPlanUpdate	Investment fund order is paying extra money into to an existing plan (only applicable to a subscription).
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
STAF	StaffOrder	Investment fund order is a staff order.
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

65.1.26.34.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.26.35 InvestmentPlan14

Definition: Plan that allows investors to schedule periodical investments or divestments, according to pre-defined criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Frequency <Frqcy>	[1..1]	±		1925
	StartDate <StartDt>	[0..1]	Date		1926
	EndDate <EndDt>	[0..1]	Date		1926
	Quantity <Qty>	[1..1]	±		1926
	GrossAmountIndicator <GrssAmtInd>	[0..1]	Indicator		1926
	IncomePreference <IncmPref>	[0..1]	CodeSet		1926
	InitialAmount <InitlAmt>	[0..1]	±		1927
	TotalNumberOfInstalments <TtlNbOfInstlmts>	[0..1]	Quantity		1927
	RoundingDirection <RndgDrctn>	[0..1]	CodeSet		1927
	SecurityDetails <SctyDtls>	[1..50]			1927
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930
	CashSettlement <CshSttlm>	[0..8]	±		1930
	ContractReference <CtrctRef>	[0..1]	Text		1930
	RelatedContractReference <RltdCtrctRef>	[0..1]	Text		1931
	ProductIdentification <PdctId>	[0..1]	Text		1931
	SLAChargeAndCommissionReference <SLAChrgAndComssnRef>	[0..1]	Text		1931
	InsuranceCover <InsrncCover>	[0..1]	±		1931
	PlanStatus <PlanSts>	[0..1]	±		1931
	InstalmentManagerRole <InstlmtMgrRole>	[0..1]	±		1932

Constraints

- **AmountRule**

If Quantity/Amount is not present, then GrossAmountIndicator is not allowed.

On Condition

/Quantity/Amount is absent

Following Must be True

/GrossAmountIndicator Must be absent

65.1.26.35.1 Frequency <Frqcy>

Presence: [1..1]

Definition: Frequency of the investment or divestment.

Frequency <Frqcy> contains one of the following elements (see "Frequency20Choice" on page 1629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1629
Or}	Proprietary <Prtry>	[1..1]	±		1630

65.1.26.35.2 StartDate <StartDt>

Presence: [0..1]

Definition: Date the investment plan starts.

Datatype: "ISODate" on page 2749

65.1.26.35.3 EndDate <EndDt>

Presence: [0..1]

Definition: Date the investment plan stops.

Datatype: "ISODate" on page 2749

65.1.26.35.4 Quantity <Qty>

Presence: [1..1]

Definition: Amount of the periodical payments.

Quantity <Qty> contains one of the following elements (see "UnitsOrAmount1Choice" on page 1613 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	1613
Or}	Unit <Unit>	[1..1]	Quantity		1614

65.1.26.35.5 GrossAmountIndicator <GrssAmtInd>

Presence: [0..1]

Definition: Indicates whether an ordered amount is a gross amount (including transaction overhead). If it is not a gross amount, the ordered amount is a net amount (amount to be invested or redeemed from the fund to which other elements will be added).

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.26.35.6 IncomePreference <IncmPref>

Presence: [0..1]

Definition: Dividend option chosen by the account owner based on the options offered in the prospectus.

Datatype: "IncomePreference2Code" on page 2679

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.1.26.35.7 InitialAmount <InitIAmt>

Presence: [0..1]

Definition: Initial amount or number of initial instalments.

InitialAmount <InitIAmt> contains one of the following elements (see "InitialAmount1Choice" on page 1262 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InitialNumberOfInstalments <InitINbOfInstlmts>	[1..1]	Quantity		1262
Or}	Amount <Amt>	[1..1]	Amount	C2, C10	1262

65.1.26.35.8 TotalNumberOfInstalments <TtINbOfInstlmts>

Presence: [0..1]

Definition: Total number of times the amount must be invested at the predefined frequency as of the start date of the investment plan.

Datatype: "Number" on page 2761

65.1.26.35.9 RoundingDirection <RndgDrctn>

Presence: [0..1]

Definition: Indicates the rounding direction when an amount is to be spread over several funds.

Datatype: "RoundingDirection1Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

65.1.26.35.10 SecurityDetails <SctyDtls>

Presence: [1..50]

Definition: Security that an investment plan invests in, or from which the investment plan divests.

SecurityDetails <SctyDtls> contains the following **Repartition5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		1928
	FinancialInstrument <FinInstrm>	[1..1]	±	C20	1928
	CurrencyOfPlan <CcyOfPlan>	[0..1]	CodeSet	C3	1930

65.1.26.35.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Amount, units or percentage of financial instrument invested or withdrawn.

Quantity <Qty> contains one of the following elements (see "[UnitsOrAmountOrPercentage1Choice](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2343
Or	Unit <Unit>	[1..1]	Quantity		2343
Or}	Percentage <Pctg>	[1..1]	Rate		2344

65.1.26.35.10.2 FinancialInstrument <FinInstrm>

Presence: [1..1]

Definition: Detailed information about the security or investment fund.

Impacted by: [C20 "IdentificationGuideline"](#)

FinancialInstrument <FinInstrm> contains the following elements (see "FinancialInstrument56" on page 1590 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1592
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1593
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1593
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1593
Or	RIC <RIC>	[1..1]	IdentifierSet		1594
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1594
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1594
Or	CTA <CTA>	[1..1]	IdentifierSet		1594
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1594
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1594
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1594
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1595
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1595
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1595
Or	Common <Cmon>	[1..1]	IdentifierSet		1595
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1595
	Identification <Id>	[1..1]	Text		1595
	IdentificationSource <IdSrc>	[1..1]	±		1595
	Name <Nm>	[0..1]	Text		1596
	ShortName <ShrtNm>	[0..1]	Text		1596
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1596
	ClassType <ClsTp>	[0..1]	Text		1596
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1596
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1597
	ProductGroup <PdctGrp>	[0..1]	Text		1597
	BlockedHoldingDetails <BlckdHldgDtls>	[0..1]		C18, C26, C27, C28	1597
	BlockedHolding <BlckdHldg>	[1..1]	CodeSet		1598
	PartialHoldingUnits <PrtlHldgUnits>	[0..1]	Quantity		1598
	HoldingCertificateNumber <HldgCertNb>	[0..1]	Text		1598

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Pledging <Pldgg>	[0..1]	CodeSet		1598
	Collateral <Coll>	[0..1]	CodeSet		1599
	ThirdPartyRights <ThrdPtyRghts>	[0..1]	±		1599
	FundOwnership <FndOwnrsh>	[0..1]	CodeSet		1599
	FundIntention <FndIntntn>	[0..1]	CodeSet		1599
	OperationalStatus <OprlSts>	[0..1]	CodeSet		1600

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.26.35.10.3 CurrencyOfPlan <CcyOfPlan>

Presence: [0..1]

Definition: When a fund has multiple currencies within same ISIN, this indicates the currency of the savings or withdrawal plan.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode" on page 2648](#)

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.26.35.11 CashSettlement <CshSttlm>

Presence: [0..8]

Definition: Cash settlement standing instruction associated to the investment plan.

CashSettlement <CshSttlm> contains the following elements (see ["CashSettlement1" on page 2444](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtls>	[0..*]	±		2444
	OtherCashSettlementDetails <OthrCshSttlmDtls>	[0..*]	±		2445

65.1.26.35.12 ContractReference <CtrctRef>

Presence: [0..1]

Definition: Reference of the underlying investment contract. In some markets, such as Italy, this might be required to segregate holdings between the same investment account.

Datatype: "Max35Text" on page 2764

65.1.26.35.13 RelatedContractReference <RltdCtrctRef>

Presence: [0..1]

Definition: Reference of the previous contract to which this savings or withdrawal plan is related.

Datatype: "Max35Text" on page 2764

65.1.26.35.14 ProductIdentification <PdctId>

Presence: [0..1]

Definition: Identification of the product as designated by the fund manager. In some markets, such as Italy, the financial product or service related to a savings plan or withdrawal plan are identified by a product identification or number.

Datatype: "Max35Text" on page 2764

65.1.26.35.15 SLAChargeAndCommissionReference <SLAChrgAndComssnRef>

Presence: [0..1]

Definition: Reference of the underlying service level agreement (SLA) governing fees.

Datatype: "Max35Text" on page 2764

65.1.26.35.16 InsuranceCover <InsrncCover>

Presence: [0..1]

Definition: Specifies the type of insurance contract to which the savings investment plan is linked.

InsuranceCover <InsrncCover> contains one of the following elements (see "InsuranceType2Choice" on page 1952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1952
Or}	Proprietary <Prtry>	[1..1]	±		1952

65.1.26.35.17 PlanStatus <PlanSts>

Presence: [0..1]

Definition: Status of the savings or withdrawal investment plan.

PlanStatus <PlanSts> contains one of the following elements (see "PlanStatus2Choice" on page 2528 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2529
Or}	Proprietary <Prtry>	[1..1]	±		2529

65.1.26.35.18 InstalmentManagerRole <InstlmtMgrRole>*Presence:* [0..1]*Definition:* Role or function of the instalment manager.

InstalmentManagerRole <InstlmtMgrRole> contains one of the following elements (see "PartyRole4Choice" on page 2107 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2107
Or}	Proprietary <Prtry>	[1..1]	±		2108

65.1.27 Market**65.1.27.1 PlaceOfTradeIdentification1Choice**

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Country <Ctry>	[1..1]	CodeSet	C11	1932
Or	Exchange <Xchg>	[1..1]	IdentifierSet		1932
Or	Party <Pty>	[1..1]	IdentifierSet	C7	1932
Or}	OverTheCounter <OverTheCntr>	[1..1]	Text		1933

65.1.27.1.1 Country <Ctry>*Presence:* [1..1]*Definition:* Country in which the transaction is executed.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.27.1.2 Exchange <Xchg>*Presence:* [1..1]*Definition:* Exchange at which the transaction is executed.*Datatype:* "MICIdentifier" on page 2757**65.1.27.1.3 Party <Pty>***Presence:* [1..1]

Definition: Party's location at which the transaction is executed.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.27.1.4 OverTheCounter <OverTheCntr>

Presence: [1..1]

Definition: Place at which the Over-the-Counter (OTC) transaction is executed.

Datatype: "Max35Text" on page 2764

65.1.27.2 OtherTargetMarketRiskTolerance1

Definition: Risk tolerance target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RiskToleranceType <RskTlrnceTp>	[0..1]	Text		1933
	Target <Trgt>	[0..1]			1933
{Or	Code <Cd>	[1..1]	CodeSet		1934
Or}	Proprietary <Prtry>	[1..1]	±		1934
	AdditionalInformation <AddtlInf>	[0..1]	±		1934

65.1.27.2.1 RiskToleranceType <RskTlrnceTp>

Presence: [0..1]

Definition: Type of risk tolerance.

Datatype: "Max35Text" on page 2764

65.1.27.2.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of risk tolerance.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1934
Or}	Proprietary <Prtry>	[1..1]	±		1934

65.1.27.2.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Specifies whether the product is aimed at the target expressed as a code.*Datatype:* "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.27.2.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Specifies whether the product is aimed at the target expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.27.2.3 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the target market and the investor's risk tolerance.**AdditionalInformation <AddtlInf>** contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.27.3 OtherTargetMarketLossBearing1*Definition:* Loss bearing target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AbilityToBearLossesType <AbltyToBearLossesTp>	[0..1]	Text		1935
	Target <Trgt>	[0..1]			1935
{Or	Code <Cd>	[1..1]	CodeSet		1935
Or}	Proprietary <Prtry>	[1..1]	±		1935
	AdditionalInformation <AddtlInf>	[0..1]	±		1936

65.1.27.3.1 AbilityToBearLossesType <AbltyToBearLossesTp>

Presence: [0..1]

Definition: Type of loss bearing.

Datatype: "Max35Text" on page 2764

65.1.27.3.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of loss bearing.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1935
Or}	Proprietary <Prtry>	[1..1]	±		1935

65.1.27.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.27.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.27.3.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor's loss bearing.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.27.4 OtherTargetMarketInvestorKnowledge1

Definition: Investor knowledge and experience target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorKnowledgeType <InvstrKnwldgTp>	[0..1]	Text		1936
	Target <Trgt>	[0..1]			1936
{Or	Code <Cd>	[1..1]	CodeSet		1937
Or}	Proprietary <Prtry>	[1..1]	±		1937
	AdditionalInformation <AddtlInf>	[0..1]	±		1937

65.1.27.4.1 InvestorKnowledgeType <InvstrKnwldgTp>

Presence: [0..1]

Definition: Type of investor knowledge and experience.

Datatype: "[Max35Text](#)" on page 2764

65.1.27.4.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of knowledge or experience of the investor.

Target <Trgt> contains one of the following **TargetMarket1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1937
Or}	Proprietary <Prtry>	[1..1]	±		1937

65.1.27.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a code.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.27.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.27.4.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor knowledge and experience.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.27.5 OtherTargetMarketInvestor1

Definition: Investor type target market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestorType <InvstrTp>	[0..1]	Text		1938
	Target <Trgt>	[0..1]			1938
{Or	Type <Tp>	[1..1]	CodeSet		1938
Or	Other <Othr>	[1..1]	CodeSet		1938
Or}	Proprietary <Prtry>	[1..1]	±		1939
	AdditionalInformation <AddtlInf>	[0..1]	±		1939

65.1.27.5.1 InvestorType <InvstrTp>

Presence: [0..1]

Definition: Type of investor.

Datatype: "Max35Text" on page 2764

65.1.27.5.2 Target <Trgt>

Presence: [0..1]

Definition: Choice of formats for the specification of whether the product is aimed at the type of investor.

Target <Trgt> contains one of the following **TargetMarket3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1938
Or	Other <Othr>	[1..1]	CodeSet		1938
Or}	Proprietary <Prtry>	[1..1]	±		1939

65.1.27.5.2.1 Type <Tp>

Presence: [1..1]

Definition: Specifies how a financial product is in scope of the target market parameter.

Datatype: "InvestorType2Code" on page 2694

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
EPRO	ElectiveProfessional	Investor is an elective professional.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.

65.1.27.5.2.2 Other <Othr>

Presence: [1..1]

Definition: Specifies whether a financial product is in scope of the target market parameter.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.27.5.2.3 Proprietary <Prtry>

Presence: [1..1]

Definition: Specifies whether the product is aimed at the target expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.27.5.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the target market and the investor type.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.27.6 TargetMarket4Choice

Definition: Choice of formats for the specification of how a financial product is in scope of a target market parameter.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		1939
Or}	Other <Othr>	[1..1]	CodeSet		1940

65.1.27.6.1 Type <Tp>

Presence: [1..1]

Definition: Specifies how the financial product is in scope of the target market parameter.

Datatype: "[InvestorType2Code](#)" on page 2694

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
EPRO	ElectiveProfessional	Investor is an elective professional.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.

65.1.27.6.2 Other <Othr>

Presence: [1..1]

Definition: Specifies whether the financial product is in scope of the target market parameter.

Datatype: "TargetMarket1Code" on page 2726

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.1.28 Miscellaneous

65.1.28.1 Extension1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[1..1]	Text		1940
	Text <Txt>	[1..1]	Text		1940

Constraints

- **ExtensionRule**

This component may not be used without the explicit approval of the Registration Authority and without strict usage rules published by the Registration Authority.

65.1.28.1.1 PlaceAndName <PlcAndNm>

Presence: [1..1]

Definition: Name qualifying the information provided in the Text field, and place where this information should be inserted.

Datatype: "Max350Text" on page 2764

65.1.28.1.2 Text <Txt>

Presence: [1..1]

Definition: Text of the extension.

Datatype: "Max350Text" on page 2764

65.1.28.2 SecurityClassificationType2Choice

Definition: Choice between a CFI code and an other type of identification for the classification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CFI <CFI>	[1..1]	IdentifierSet		1941
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		1941

65.1.28.2.1 CFI <CFI>

Presence: [1..1]

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification.

Datatype: "CFIOct2015Identifier" on page 2752

65.1.28.2.2 AlternateClassification <AltrnClssfctn>

Presence: [1..1]

Definition: Other type of classification of the financial instrument.

AlternateClassification <AltrnClssfctn> contains the following elements (see "[GenericIdentification3](#)" on page 1652 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1652
	Issuer <Issr>	[0..1]	Text		1652

65.1.28.3 PreviousYear2Choice

Definition: Choice between selected investment plans issued during previous years or all investment plans.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AllPreviousYears <AllPrvsYrs>	[1..1]	Text		1941
Or}	SpecificPreviousYears <SpfcPrvsYrs>	[1..*]	Year		1942

Constraints

- **SpecificPreviousYearsGuideline**

SpecificPreviousYears must not contain the current year.

65.1.28.3.1 AllPreviousYears <AllPrvsYrs>

Presence: [1..1]

Definition: Selection of all investment plans.

Datatype: "PreviousAll" on page 2765

65.1.28.3.2 SpecificPreviousYears <SpfcPrvsYrs>*Presence:* [1..*]*Definition:* Selection of investment plans issued during previous years.*Datatype:* "ISOYear" on page 2766**65.1.28.4 LinkedMessage1Choice***Definition:* Reference to a message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1942
Or	OtherReference <OthrRef>	[1..1]	±		1942
Or}	RelatedReference <RltdRef>	[1..1]	±		1942

65.1.28.4.1 PreviousReference <PrvsRef>*Presence:* [1..1]*Definition:* Linked previous reference.**PreviousReference <PrvsRef>** contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

65.1.28.4.2 OtherReference <OthrRef>*Presence:* [1..1]*Definition:* Linked other reference.**OtherReference <OthrRef>** contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

65.1.28.4.3 RelatedReference <RltdRef>*Presence:* [1..1]*Definition:* Linked related reference.

RelatedReference <RltdRef> contains the following elements (see "AdditionalReference3" on page 1654 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1654
	ReferenceIssuer <RefIssr>	[0..1]	±		1654
	MessageName <MsgNm>	[0..1]	Text		1654

65.1.28.5 CurrencyDesignation1

Definition: Information about the designation of a currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CurrencyDesignation <CcyDsgnt>	[0..1]	CodeSet		1943
	Location <Lctn>	[0..1]	CodeSet	C11	1943
	AdditionalInformation <AddtlInf>	[0..1]	Text		1943

65.1.28.5.1 CurrencyDesignation <CcyDsgnt>

Presence: [0..1]

Definition: Specifies whether the currency is settled offshore or onshore.

Datatype: "CurrencyDesignation1Code" on page 2665

CodeName	Name	Definition
ONSH	Onshore	Onshore.
OFFS	Offshore	Offshore.

65.1.28.5.2 Location <Lctn>

Presence: [0..1]

Definition: Offshore location of the currency.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.28.5.3 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the off-shore currency.

Datatype: "Max350Text" on page 2764

65.1.28.6 PerformanceFactors1

Definition: Performance factors of the investment fund / fund class.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1944
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1944
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1944
	NormalPerformance <NrmlPrfrmnc>	[0..1]	Quantity		1944

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

65.1.28.6.1 CorporateActionFactor <CorpActnFctr>

Presence: [0..1]

Definition: Value of the NAV before all corporate events of the valuation date, divided by the value of the NAV after the corporate event.

Datatype: "DecimalNumber" on page 2761

65.1.28.6.2 CumulativeCorporateActionFactor <CmltvCorpActnFctr>

Presence: [0..1]

Definition: Value of the NAV before a corporate event, divided by the value of the NAV after the corporate event, accumulated for a number of corporate events over the defined period of time.

Datatype: "DecimalNumber" on page 2761

65.1.28.6.3 AccumulationPeriod <AcmltnPrd>

Presence: [0..1]

Definition: Period of time for the calculation of the cumulative corporate action factor.

AccumulationPeriod <AcmltnPrd> contains the following elements (see "DatePeriodDetails" on page 1412 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDate <FrDt>	[1..1]	Date		1412
	ToDate <ToDt>	[1..1]	Date		1412

65.1.28.6.4 NormalPerformance <NrmlPrfrmnc>

Presence: [0..1]

Definition: Normal performance value of the NAV.

Datatype: "DecimalNumber" on page 2761

65.1.28.7 ModificationScope21

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1945
	IssueAllocation <IsseAllcn>	[1..1]	±		1945

65.1.28.7.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.28.7.2 IssueAllocation <IsseAllcn>

Presence: [1..1]

Definition: Information about the investment account ownership with respect to new issue allocation for a hedge fund.

IssueAllocation <IsseAllcn> contains the following elements (see "NewIssueAllocation2" on page 1952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1953
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1953
	DeMinimus <DeMnms>	[0..1]	±		1953

65.1.28.8 ModificationScope33

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		1945
	Placement <Plcmnt>	[1..1]	±	C29	1946

65.1.28.8.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.28.8.2 Placement <Plcmnt>

Presence: [1..1]

Definition: Referral information.

Impacted by: C29 "ReferredPlacementAgentRule"

Placement <Plcmnt> contains the following elements (see "ReferredAgent2" on page 1953 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1954
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1954

Constraints

- **ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

65.1.28.9 LinkedMessage4Choice

Definition: Reference to a message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PreviousReference <PrvsRef>	[1..1]	±		1946
Or}	OtherReference <OthrRef>	[1..1]	±		1947

Constraints

- **OtherReferenceGuideline**

OtherReference should be used for an account opening or an account modification instruction sent in a proprietary way or for system references.

If OtherReference is present, OtherReference/MessageName must contain, for example, 'fax' or 'phone' or 'email'.

- **PreviousReferenceGuideline**

If PreviousReference/MessageName is present, it must start with acmt.001 or acmt.003 and PreviousReference/Reference must contain the business reference of a message.

65.1.28.9.1 PreviousReference <PrvsRef>

Presence: [1..1]

Definition: Reference to a linked message that was previously sent.

PreviousReference <PrvsRef> contains the following elements (see "AdditionalReference6" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

65.1.28.9.2 OtherReference <OthrRef>

Presence: [1..1]

Definition: Reference to a linked message sent in a proprietary way or reference of a system.

OtherReference <OthrRef> contains the following elements (see "AdditionalReference6" on page 1647 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1648
	ReferenceIssuer <RefIssr>	[0..1]	±		1648
	MessageName <MsgNm>	[0..1]	Text		1648

65.1.28.10 CancellationReason32Choice

Definition: Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	Text		1947
Or}	Proprietary <Prtry>	[1..1]	±		1947

65.1.28.10.1 Code <Cd>

Presence: [1..1]

Definition: Cancellation reason expressed as a code.

Datatype: "Max35Text" on page 2764

65.1.28.10.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancellation reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.28.11 SignatureType1Choice

Definition: Choice of formats for the signature type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1948
Or}	Proprietary <Prtry>	[1..1]	±		1948

65.1.28.11.1 Code <Cd>

Presence: [1..1]

Definition: Signature type expressed as a code.

Datatype: "SignatureType2Code" on page 2724

CodeName	Name	Definition
DIGI	Digital	Technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	Copy of a physical or original signature in an electronic format.
NONE	None	No signature.
ORIG	Original	Written signature. May also be known as a physical or wet signature.

65.1.28.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Signature type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.12 CancellationRight1Choice

Definition: Choice of formats for the cancellation right.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1949
Or}	Proprietary <Prtry>	[1..1]	±		1949

65.1.28.12.1 Code <Cd>

Presence: [1..1]

Definition: Cancellation right expressed as a code.

Datatype: "CancellationRight1Code" on page 2651

CodeName	Name	Definition
VALI	Entitled	Investor is entitled to a "cooling off" period with cancellation rights under compliance rules.
NOXO	ExecutionOnly	Investor is not entitled to cancellation rights under compliance rules, as the investor is an execution's only client.
NOWA	WaiverAgreement	Investor is not entitled to cancellation rights under compliance rules as the investor has agreed to waive those rights.
NOIN	Institutional	Investor is not entitled to cancellation rights under compliance rules as the investor is a financial institution.

65.1.28.12.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancellation right expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.13 AdditiononallInformation12

Definition: Restrictions, remarks or notes that may be applied to an account or investment plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Limitation <Lmttn>	[0..1]	Text		1950
	AdditionalInformation <AddtlInf>	[0..1]	Text		1950
	AccountValidation <AcctVldtn>	[0..1]	Text		1950
	Type <Tp>	[0..1]	Text		1950
	Regulator <Rgltr>	[0..1]	±		1950
	Status <Sts>	[0..1]			1951
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951
	Period <Prd>	[0..1]	±		1951

65.1.28.13.1 Limitation <Lmttn>

Presence: [0..1]

Definition: Restrictions and/or limitations on the account or party.

Datatype: "Max350Text" on page 2764

65.1.28.13.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information such as remarks or notes that must be conveyed about the account management activity or party.

Datatype: "Max350Text" on page 2764

65.1.28.13.3 AccountValidation <AcctVldtn>

Presence: [0..1]

Definition: Information or instructions for the by-passing of validations in the account registration process.

Datatype: "Max350Text" on page 2764

65.1.28.13.4 Type <Tp>

Presence: [0..1]

Definition: Type or identification of the remark, note, limitation or restriction.

Datatype: "Max35Text" on page 2764

65.1.28.13.5 Regulator <Rgltr>

Presence: [0..1]

Definition: Regulator that may have to be informed about the remark, note, limitation or restriction.

Regulator <Rgltr> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.28.13.6 Status <Sts>

Presence: [0..1]

Definition: Status of the remark, note, limitation or restriction.

Status <Sts> contains one of the following **RestrictionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1951
Or}	Proprietary <Prtry>	[1..1]	±		1951

65.1.28.13.6.1 Code <Cd>

Presence: [1..1]

Definition: Status of the restriction expressed as a code.

Datatype: "[RestrictionStatus1Code](#)" on page 2718

CodeName	Name	Definition
ACTV	Active	Status of the restriction is active.
INAC	Inactive	Status of the restriction is inactive.

65.1.28.13.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the restriction expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.13.7 Period <Prd>

Presence: [0..1]

Definition: Period of the restriction.

Period <Prd> contains the following elements (see "[DateTimePeriodDetails1](#)" on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1420
	ToDateTime <ToDtTm>	[0..1]	DateTime		1420

65.1.28.14 InsuranceType2Choice

Definition: Choice of formats for the specification of the type of insurance.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1952
Or}	Proprietary <Prtry>	[1..1]	±		1952

65.1.28.14.1 Code <Cd>

Presence: [1..1]

Definition: Type of insurance contract expressed as a code.

Datatype: "[Insurance1Code](#)" on page 2679

CodeName	Name	Definition
LIFE	LifeInsurance	Life insurance.
PDIS	PermanentDisabilityInsurance	Permanent disability insurance.

65.1.28.14.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of insurance contract expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.15 NewIssueAllocation2

Definition: Information about the investment account ownership with respect to new issue allocation for a hedge fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Restricted <Rstrctd>	[1..1]	Indicator		1953
	ExemptPersonReason <XmptPrsnRsn>	[0..1]	Text		1953
	DeMinimus <DeMnms>	[0..1]	±		1953

65.1.28.15.1 Restricted <Rstrctd>

Presence: [1..1]

Definition: Indicates whether the investor is eligible to participate in the profits and losses from a new issue.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.15.2 ExemptPersonReason <XmptPrsnRsn>

Presence: [0..1]

Definition: Reason for exemption.

Datatype: ["Max350Text"](#) on page 2764

65.1.28.15.3 DeMinimus <DeMnms>

Presence: [0..1]

Definition: Conditions applicable when the investor is covered by the "de minimis" exemption.

DeMinimus <DeMnms> contains one of the following elements (see ["DeMinimus1Choice"](#) on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DeMinimusApplicable <DeMnmsAplbl>	[1..1]	±		1420
Or}	DeMinimusNotApplicable <DeMnmsNotAplbl>	[1..1]	±		1420

65.1.28.16 ReferredAgent2

Definition: Provides the placement agent identification for a hedge fund if the investor was referred by one.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Referred <Rfrd>	[1..1]	CodeSet		1954
	ReferredPlacementAgent <RfrdPlcmntAgt>	[0..1]	±		1954

Constraints

- **ReferredPlacementAgentRule**

ReferredPlacementAgent may only be present if Referred is REFR (Referred).

65.1.28.16.1 Referred <Rfrd>

Presence: [1..1]

Definition: Indicates if the investor was referred by a placement agent.

Datatype: "Referred1Code" on page 2711

CodeName	Name	Definition
REFR	Referred	The investor was referred to the fund by a placement agent.
NRFR	NotReferred	The investor was not referred to the fund by a placement agent.
UKNW	NotKnown	It is not known whether the investor was referred to the fund by a placement agent.

65.1.28.16.2 ReferredPlacementAgent <RfrdPlcmntAgt>

Presence: [0..1]

Definition: Placement agent that referred the investor.

ReferredPlacementAgent <RfrdPlcmntAgt> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.28.17 InvestorProfile1

Definition: Information about actions that may be taken on an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1955
{Or	Code <Cd>	[1..1]	CodeSet		1956
Or}	Proprietary <Prtry>	[1..1]	±		1956
	Status <Sts>	[0..1]			1957
{Or	Code <Cd>	[1..1]	CodeSet		1957
Or}	Proprietary <Prtry>	[1..1]	±		1957
	Treasury <Trsr>	[0..1]			1958
	Date <Dt>	[1..1]	Date		1958
	TraderType <TradrTp>	[1..1]			1958
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959
	Rate <Rate>	[1..1]	Rate		1959
	HighFrequencyTrading <HghFrqcyTradg>	[0..1]			1959
	Date <Dt>	[0..1]	Date		1959
	SettlementFrequency <SttlmFrqcy>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960
	ConsolidationType <CnsldtnTp>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961
	MarketMaker <MktMakr>	[0..1]			1961
	ContractPeriod <CtrctPrd>	[0..1]	±		1961
	Compliance <Cmplc>	[0..1]	Indicator		1962
	MaximumSpread <MaxSprd>	[0..1]	Rate		1962
	Discount <Dscnt>	[0..1]	Rate		1962

65.1.28.17.1 Type <Tp>

Presence: [0..1]

Definition: Type of profile.

Type <Tp> contains one of the following **ProfileType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1956
Or}	Proprietary <Prtry>	[1..1]	±		1956

65.1.28.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of profile expressed as a code.

Datatype: "ProfileType1Code" on page 2710

CodeName	Name	Definition
HEDG	Hedge	Investor shares that uses defensive operations (hedging), thus avoiding the risk of large swings.
HFTR	HighFrequencyTrader	Investors operating through algorithms and send orders automatically according to the strategies defined by their managers. Through this access model, the participants of these servers are hosted primarily within the premises of Brazilian Market and significantly reduce the time of submission of offers to trading systems.
MAKE	MarketMaker	Agent liquidity, liquidity facilitator, promoter of business, specialist, market maker and liquidity provider are some designations given to those who propose to provide liquidity and minimum reference price for assets previously accredited, factors highlighted in the analysis of efficiency in capital markets.
TREA	Treasury	Trading system of federal securities in the retail market directly to the investor. The operation can be performed directly over the Internet.

65.1.28.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of profile expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.17.2 Status <Sts>*Presence:* [0..1]*Definition:* Status of the profile.**Status <Sts>** contains one of the following **InvestorProfileStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1957
Or}	Proprietary <Prtry>	[1..1]	±		1957

65.1.28.17.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Investor profile status expressed as a code.*Datatype:* "InvestorProfileStatus1Code" on page 2694

CodeName	Name	Definition
DISA	Disabled	Status of the investor profile is disabled.
DISG	Disabling	Status of the investor profile is in the process of being disabled.
ENAB	Enabled	Status of the investor profile is enabled.
ENBG	Enabling	Status of the investor profile is in the process of being enabled.
ADMI	InAdmission	Status of the investor profile is in admission.
ANLY	InAnalysis	Status of the investor profile is in analysis.
NAPP	NotApproved	Status of the investor profile is not approved.
PSUS	PartiallySuspended	Status of the investor profile is partially suspended.
PEND	PendingApproval	Status of the investor profile is pending approval.
SUPS	Suspended	Status of the investor profile is suspended.

65.1.28.17.2.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Investor profile status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.17.3 Treasury <Trsr>

Presence: [0..1]

Definition: Information about the profile for treasury trading.

Treasury <Trsr> contains the following **TreasuryProfile1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	Date		1958
	TraderType <TradrTp>	[1..1]			1958
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959
	Rate <Rate>	[1..1]	Rate		1959

65.1.28.17.3.1 Date <Dt>

Presence: [1..1]

Definition: Execution date of treasury bond trade.

Datatype: "[ISODate](#)" on page 2749

65.1.28.17.3.2 TraderType <TradrTp>

Presence: [1..1]

Definition: Type of party that performs trading operations, for example, investor or custodian.

TraderType <TradrTp> contains one of the following **PartyRole5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1958
Or}	Proprietary <Prtry>	[1..1]	±		1959

65.1.28.17.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "[PartyRole1Code](#)" on page 2703

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

65.1.28.17.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.17.3.3 Rate <Rate>

Presence: [1..1]

Definition: Tax rate.

Datatype: "[PercentageRate](#)" on page 2762

65.1.28.17.4 HighFrequencyTrading <HghFrqcyTradg>

Presence: [0..1]

Definition: Information about the profile for high frequency trading.

HighFrequencyTrading <HghFrqcyTradg> contains the following **HighFrequencyTradingProfile1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		1959
	SettlementFrequency <SttlmFrqcy>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960
	ConsolidationType <CnsltdnTp>	[0..1]			1960
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961

65.1.28.17.4.1 Date <Dt>

Presence: [0..1]

Definition: Date on which the investor starts high frequency trading.

Datatype: "ISODate" on page 2749

65.1.28.17.4.2 SettlementFrequency <SttlmFrqcy>

Presence: [0..1]

Definition: Frequency of settlement.

SettlementFrequency <SttlmFrqcy> contains one of the following **SettlementFrequency1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1960
Or}	Proprietary <Prtry>	[1..1]	±		1960

65.1.28.17.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Settlement frequency expressed as a code.

Datatype: "EventFrequency10Code" on page 2668

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.

65.1.28.17.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement frequency expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.17.4.3 ConsolidationType <CnsltdnTp>

Presence: [0..1]

Definition: Specifies whether consolidation is done generally or at the level of segregated account.

ConsolidationType <CnsltdnTp> contains one of the following **ConsolidationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1961
Or}	Proprietary <Prtry>	[1..1]	±		1961

65.1.28.17.4.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Consolidation type expressed as a code.*Datatype:* "ConsolidationType1Code" on page 2660

CodeName	Name	Definition
GENL	General	Consolidation is general.
PART	Participation	Consolidation is at the level of the participant.

65.1.28.17.4.3.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Consolidation type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.17.5 MarketMaker <MktMakr>*Presence:* [0..1]*Definition:* Information about the profile for a market marker.

MarketMaker <MktMakr> contains the following **MarketMakerProfile1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContractPeriod <CtrctPrd>	[0..1]	±		1961
	Compliance <Cmplc>	[0..1]	Indicator		1962
	MaximumSpread <MaxSprd>	[0..1]	Rate		1962
	Discount <Dscnt>	[0..1]	Rate		1962

65.1.28.17.5.1 ContractPeriod <CtrctPrd>*Presence:* [0..1]*Definition:* Period of the contract.

ContractPeriod <CtrctPrd> contains the following elements (see ["DateTimePeriodDetails1"](#) on page 1420 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FromDateTime <FrDtTm>	[1..1]	DateTime		1420
	ToDateTime <ToDtTm>	[0..1]	DateTime		1420

65.1.28.17.5.2 Compliance <Cmplc>

Presence: [0..1]

Definition: Indicates whether the market maker is obligated to comply with the requirements of the contract it holds with the exchange or is exempt from these obligations.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.17.5.3 MaximumSpread <MaxSprd>

Presence: [0..1]

Definition: Percentage of the variation between the maximum accepted minimum and maximum value of an action.

Datatype: ["PercentageRate"](#) on page 2762

65.1.28.17.5.4 Discount <Dscnt>

Presence: [0..1]

Definition: Rate of discount.

Datatype: ["PercentageRate"](#) on page 2762

65.1.28.18 Liability1Choice

Definition: Choice of formats for liability.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1962
Or}	Proprietary <Prtry>	[1..1]	±		1963

65.1.28.18.1 Code <Cd>

Presence: [1..1]

Definition: Liability expressed as a code.

Datatype: ["Liability1Code"](#) on page 2696

CodeName	Name	Definition
INVE	Investor	Investor is responsible.
BROK	Broker	Broker is responsible.

65.1.28.18.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Liability expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.19 LevelOfControl1Choice*Definition:* Choice of formats for the level of control.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1963
Or}	Proprietary <Prtry>	[1..1]	±		1963

65.1.28.19.1 Code <Cd>*Presence:* [1..1]*Definition:* Level of control expressed as a code.*Datatype:* "[LevelOfControl1Code](#)" on page 2696

CodeName	Name	Definition
TRAN	Transactions	Transactions are permitted on the account.
VIEW	View	Account may be viewed only.

65.1.28.19.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Level of control expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.20 CopyInformation4

Definition: Information provided when the message is a copy of a previous message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CopyIndicator <CpyInd>	[1..1]	Indicator		1964
	OriginalReceiver <OrgnlRcvr>	[0..1]	IdentifierSet	C7	1964

65.1.28.20.1 CopyIndicator <CpyInd>

Presence: [1..1]

Definition: Indicates whether the message is a copy.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.20.2 OriginalReceiver <OrgnlRcvr>

Presence: [0..1]

Definition: Original receiver of the message, if this message is a copy.

Impacted by: [C7 "AnyBIC"](#)

Datatype: ["AnyBICIdentifier"](#) on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.28.21 DeMinimusNotApplicable1

Definition: Conditions applicable when the investor is not covered by the "de minimis" exemption.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RestrictedPersonReason <RstrctdPrsnRsn>	[1..1]	Text		1964

65.1.28.21.1 RestrictedPersonReason <RstrctdPrsnRsn>

Presence: [1..1]

Definition: Reason for the restricted person.

Datatype: ["Max350Text"](#) on page 2764

65.1.28.22 DeMinimusApplicable1

Definition: Conditions applicable when the investor is covered by the "de minimis" exemption.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NewIssuePermission <NewIssePrmssn>	[1..1]	Indicator		1965
	Percentage <Pctg>	[0..1]	Rate		1965

65.1.28.22.1 NewIssuePermission <NewIssePrmssn>

Presence: [1..1]

Definition: Indicates whether the investor permits its beneficial owners that are restricted persons, if any, to participate in profits and losses allocated to the investor that are attribute to new issue securities.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.22.2 Percentage <Pctg>

Presence: [0..1]

Definition: Percentage of the new issue profits and losses that it receives to beneficial owners that are restricted persons.

Datatype: "PercentageRate" on page 2762

65.1.28.23 FATCAForm1Choice

Definition: Choice of formats for the type of Foreign Account Tax Compliance Act (FATCA) form.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1965
Or}	Proprietary <Prtry>	[1..1]	±		1966

65.1.28.23.1 Code <Cd>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a code.

Datatype: "FATCAFormType1Code" on page 2672

CodeName	Name	Definition
CER5	CertificateForeignIntermediary	Certificate of foreign intermediary (also known as IRS form W-8IMY).
CER7	CertificateForeignPersonsClaim	Certificate of foreign person's claim that income is effectively connected with the conduct of a trade or business in the United States (also known as IRS form W-8BEN-E).
CER1	CertificateForeignStatusBeneficialOwner	Certificate of foreign status of beneficial owner (also known as IRS form W-8BEN).

CodeName	Name	Definition
CER2	CertificateLossNationality	Certificate of loss of nationality.
CER3	SelfCertification	Self certification.
CER4	SelfCertificationLossNationality	Self certificate of loss of nationality (also known as IRS form I-407).
CER6	TaxpayerIdentificationNumberRequest	Form is a request for taxpayer identification number and certification (also known as IRS form W9).

65.1.28.23.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of Foreign Account Tax Compliance Act (FATCA) form expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchemeNm>	[0..1]	Text		1649

65.1.28.24 FundCashOutBreakdown3

Definition: Breakdown of cash movements out of a fund as a result of investment funds transactions, eg, redemptions or switch-out.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1967
	UnitsNumber <UnitsNb>	[0..1]	±		1967
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1967
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1967
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1968
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969
	ChargeDetails <ChrgDtls>	[0..*]	±		1969
	CommissionDetails <ComssnDtls>	[0..*]	±		1969
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1970

65.1.28.24.1 Amount <Amt>*Presence:* [0..1]*Definition:* Amount of cash flow out, expressed as an amount of money.*Impacted by:* C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"*Datatype:* "ActiveOrHistoricCurrencyAndAmount" on page 2643**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.24.2 UnitsNumber <UnitsNb>*Presence:* [0..1]*Definition:* Amount of the cash flow out, expressed as a number of units.

UnitsNumber <UnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.24.3 NewAmountIndicator <NewAmtInd>*Presence:* [0..1]

Definition: Indicates whether the cash flow is an item that did not appear on the previously sent report, for example, because it was received close to cut-off time.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.24.4 InvestmentFundTransactionOutType <InvstmtFndTxOutTp>*Presence:* [1..1]

Definition: Type of transaction that resulted in the cash-out movement, for example, redemption, switch-out.

InvestmentFundTransactionOutType <InvstmtFndTxOutTp> contains one of the following **InvestmentFundTransactionOutType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968

65.1.28.24.4.1 Code <Cd>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "InvestmentFundTransactionOutType1Code" on page 2692

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROO	CrossOut	Transaction is a cross out.

65.1.28.24.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.24.5 OriginalOrderQuantityType <OrgnlOrdQtyTp>

Presence: [1..1]

Definition: Specifies how the original order was expressed that resulted in the cash-out movement, that is cash or units.

OriginalOrderQuantityType <OrgnlOrdQtyTp> contains one of the following **QuantityType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969

65.1.28.24.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Quantity type expressed as a code.*Datatype:* "OrderQuantityType2Code" on page 2700

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

65.1.28.24.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Quantity type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.24.6 ChargeDetails <ChrgDtls>*Presence:* [0..*]*Definition:* Charge for the placement of an order.**ChargeDetails <ChrgDtls>** contains the following elements (see "Charge26" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397
	ChargeApplied <ChrgApld>	[1..1]			1397
{Or	Amount <Amt>	[1..1]	Amount	C2	1397
Or}	Rate <Rate>	[1..1]	Rate		1397

65.1.28.24.7 CommissionDetails <ComssnDtls>*Presence:* [0..*]*Definition:* Information related to the commission applied to an order, for example, back-end or front-end commission.

CommissionDetails <ComssnDtls> contains the following elements (see "Commission21" on page 1410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1410
{Or	Code <Cd>	[1..1]	CodeSet		1411
Or}	Proprietary <Prtry>	[1..1]	±		1411
	CommissionApplied <ComssnApld>	[1..1]			1411
{Or	Amount <Amt>	[1..1]	Amount	C2	1411
Or}	Rate <Rate>	[1..1]	Rate		1412

65.1.28.24.8 SettlementCurrency <SttlmCcy>

Presence: [0..1]

Definition: Settlement currency for the transaction.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.28.25 FundCashInBreakdown3

Definition: Breakdown of cash movements into a fund as a result of investment funds transactions, eg, subscriptions or switch-in.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1971
	UnitsNumber <UnitsNb>	[0..1]	±		1971
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1972
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1972
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1973
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973
	ChargeDetails <ChrgDtls>	[0..*]	±		1974
	CommissionDetails <ComssnDtls>	[0..*]	±		1974
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1974

65.1.28.25.1 Amount <Amt>

Presence: [0..1]

Definition: Amount of cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.25.2 UnitsNumber <UnitsNb>

Presence: [0..1]

Definition: Amount of the cash flow in, expressed as a number of units.

UnitsNumber <UnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.25.3 NewAmountIndicator <NewAmtInd>

Presence: [0..1]

Definition: Indicates whether the cash flow is an item that did not appear on the previously sent report, for example, because it was received close to cut-off time.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.25.4 InvestmentFundTransactionInType <InvstmtFndTxInTp>

Presence: [1..1]

Definition: Type of transaction that resulted in the cash-in movement, for example, subscription, switch-in.

InvestmentFundTransactionInType <InvstmtFndTxInTp> contains one of the following **InvestmentFundTransactionInType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972

65.1.28.25.4.1 Code <Cd>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "[InvestmentFundTransactionInType1Code](#)" on page 2692

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROI	CrossIn	Transaction is a cross-in.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.

65.1.28.25.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.25.5 OriginalOrderQuantityType <OrgnlOrdQtyTp>

Presence: [1..1]

Definition: Specifies how the original order was expressed that resulted in the cash-in movement, that is cash or units.

OriginalOrderQuantityType <OrgnlOrdQtyTp> contains one of the following **QuantityType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973

65.1.28.25.5.1 Code <Cd>

Presence: [1..1]

Definition: Quantity type expressed as a code.

Datatype: "[OrderQuantityType2Code](#)" on page 2700

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

65.1.28.25.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Quantity type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.25.6 ChargeDetails <ChrgDtls>*Presence:* [0..*]*Definition:* Charge for the placement of an order.**ChargeDetails <ChrgDtls>** contains the following elements (see "[Charge26](#)" on page 1395 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1396
{Or	Code <Cd>	[1..1]	CodeSet		1396
Or}	Proprietary <Prtry>	[1..1]	±		1397
	ChargeApplied <ChrgApld>	[1..1]			1397
{Or	Amount <Amt>	[1..1]	Amount	C2	1397
Or}	Rate <Rate>	[1..1]	Rate		1397

65.1.28.25.7 CommissionDetails <ComssnDtls>*Presence:* [0..*]*Definition:* Information related to the commission applied to an order, for example, back-end or front-end commission.**CommissionDetails <ComssnDtls>** contains the following elements (see "[Commission21](#)" on page 1410 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommissionType <ComssnTp>	[1..1]			1410
{Or	Code <Cd>	[1..1]	CodeSet		1411
Or}	Proprietary <Prtry>	[1..1]	±		1411
	CommissionApplied <ComssnApld>	[1..1]			1411
{Or	Amount <Amt>	[1..1]	Amount	C2	1411
Or}	Rate <Rate>	[1..1]	Rate		1412

65.1.28.25.8 SettlementCurrency <SttlmCcy>*Presence:* [0..1]*Definition:* Settlement currency for the transaction.*Impacted by:* [C2 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyCode](#)" on page 2647**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.28.26 AdditionalParameters1

Definition: Sort criteria.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[0..1]	CodeSet	C11	1975
	Currency <Ccy>	[0..1]	CodeSet	C3	1975
	GeographicalArea <GeoArea>	[0..1]	Text		1975

65.1.28.26.1 Country <Ctry>

Presence: [0..1]

Definition: Specifies the country.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.28.26.2 Currency <Ccy>

Presence: [0..1]

Definition: Specifies the currency.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.26.3 GeographicalArea <GeoArea>

Presence: [0..1]

Definition: Specifies the geographical area, eg, Asia-Pacific, Europe, Middle-East.

Datatype: "Max35Text" on page 2764

65.1.28.27 BreakdownByUserDefinedParameter3

Definition: Specifies the cash-in and cash-out flows by a user defined parameter/s.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[0..1]		C1, C2	1976
	AccountIdentification <AcctId>	[0..1]	±		1977
	OwnerIdentification <OwnrId>	[0..1]	±		1977
	AccountServicer <AcctSvcr>	[0..1]	±		1978
	Country <Ctry>	[0..1]	CodeSet	C11	1978
	Currency <Ccy>	[0..1]	CodeSet	C3	1978
	UserDefined <UsrDfnd>	[0..1]	±		1978
	CashInForecast <CshInFcst>	[0..*]		C5	1979
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1979
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1979
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1980
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1980
	CashInBreakdownDetails <CshInBrkdwDtls>	[0..*]	±		1980
	AdditionalBalance <AddtlBal>	[0..1]	±		1981
	CashOutForecast <CshOutFcst>	[0..*]		C6	1981
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1982
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1982
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1983
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1983
	CashOutBreakdownDetails <CshOutBrkdwDtls>	[0..*]	±		1983
	AdditionalBalance <AddtlBal>	[0..1]	±		1983
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1984

Constraints

- **UserDefinedRule**

At least one message element in the list (Party, Country, Currency, UserDefined) must be present.
More than one element in the list (Party, Country, Currency, UserDefined) may be present.

65.1.28.27.1 Party <Pty>

Presence: [0..1]

Definition: Party for which the cash flow is being reported.

Impacted by: C1 "AccountOrOwner1Rule", C2 "AccountOrOwner2Rule"

Party <Pty> contains the following **InvestmentAccount42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	±		1977
	OwnerIdentification <OwnrId>	[0..1]	±		1977
	AccountServicer <AcctSvcr>	[0..1]	±		1978

Constraints

- **AccountOrOwner1Rule**

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

```
On Condition
  /AccountIdentification is absent
Following Must be True
  /OwnerIdentification Must be present
```

- **AccountOrOwner2Rule**

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

```
On Condition
  /OwnerIdentification is absent
Following Must be True
  /AccountIdentification Must be present
```

65.1.28.27.1.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.28.27.1.2 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.28.27.1.3 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.28.27.2 Country <Ctry>

Presence: [0..1]

Definition: Country for which the cash flow is being reported.

Impacted by: [C11 "Country"](#)

Datatype: ["CountryCode"](#) on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.28.27.3 Currency <Ccy>

Presence: [0..1]

Definition: Currency for which the cash flow is being reported.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: ["ActiveOrHistoricCurrencyCode"](#) on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.27.4 UserDefined <UsrDfnd>

Presence: [0..1]

Definition: Parameter for which the cash flow is being reported.

UserDefined <UsrDfnd> contains one of the following elements (see "DataFormat2Choice" on page 2330 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Structured <Strd>	[1..1]	±		2330
Or}	Unstructured <Ustrd>	[1..1]	Text		2330

65.1.28.27.5 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "AdditionalBalanceRule"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1979
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1979
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1980
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1980
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1980
	AdditionalBalance <AddtlBal>	[0..1]	±		1981

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.27.5.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.27.5.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.27.5.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.27.5.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.27.5.5 CashInBreakdownDetails <CshInBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkDwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1970 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1971
	UnitsNumber <UnitsNb>	[0..1]	±		1971
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1972
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1972
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1973
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973
	ChargeDetails <ChrgDtls>	[0..*]	±		1974
	CommissionDetails <ComssnDtls>	[0..*]	±		1974
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1974

65.1.28.27.5.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.27.6 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1982
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1982
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1983
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1983
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1983
	AdditionalBalance <AddtlBal>	[0..1]	±		1983

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.27.6.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.27.6.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.27.6.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow out, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.27.6.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow out is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.27.6.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash out amounts by transaction and order type.**CashOutBreakdownDetails <CshOutBrkdwnDtls>** contains the following elements (see "FundCashOutBreakdown3" on page 1966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1967
	UnitsNumber <UnitsNb>	[0..1]	±		1967
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1967
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1967
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1968
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969
	ChargeDetails <ChrgDtls>	[0..*]	±		1969
	CommissionDetails <ComssnDtls>	[0..*]	±		1969
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1970

65.1.28.27.6.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see ["FundBalance1"](#) on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.27.7 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the user defined parameter.

Impacted by: [C7 "AdditionalBalanceRule"](#)

NetCashForecast <NetCshFcst> contains the following elements (see ["NetCashForecast4"](#) on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.28 BreakdownByCurrency2

Definition: Specifies the cash-in and cash-out flows by currency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	1985
	CashOutForecast <CshOutFcst>	[0..*]		C6	1985
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1986
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1986
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1987
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1987
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1987
	AdditionalBalance <AddtlBal>	[0..1]	±		1987
	CashInForecast <CshInFcst>	[0..*]		C5	1988
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1989
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1989
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1989
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1989
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1989
	AdditionalBalance <AddtlBal>	[0..1]	±		1990
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1990

65.1.28.28.1 Currency <Ccy>

Presence: [1..1]

Definition: Currency for which the cash flow is being reported.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.28.2 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1986
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1986
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1987
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1987
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1987
	AdditionalBalance <AddtlBal>	[0..1]	±		1987

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.28.2.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.28.2.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.28.2.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow out, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.28.2.4 ExceptionalCashFlowIndicator <XcptnCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow out is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.28.2.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash out amounts by transaction and order type.**CashOutBreakdownDetails <CshOutBrkdwnDtls>** contains the following elements (see "FundCashOutBreakdown3" on page 1966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1967
	UnitsNumber <UnitsNb>	[0..1]	±		1967
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1967
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1967
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1968
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969
	ChargeDetails <ChrgDtls>	[0..*]	±		1969
	CommissionDetails <ComssnDtls>	[0..*]	±		1969
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1970

65.1.28.28.2.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.28.3 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "AdditionalBalanceRule"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1989
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1989
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1989
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1989
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1989
	AdditionalBalance <AddtlBal>	[0..1]	±		1990

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.28.3.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.28.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.28.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.28.3.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.28.3.5 CashInBreakdownDetails <CshInBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkdwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1970 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1971
	UnitsNumber <UnitsNb>	[0..1]	±		1971
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1972
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1972
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1973
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973
	ChargeDetails <ChrgDtls>	[0..*]	±		1974
	CommissionDetails <ComssnDtls>	[0..*]	±		1974
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1974

65.1.28.28.3.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.28.4 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the currency.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "**NetCashForecast4**" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.29 BreakdownByCountry2

Definition: Specifies the cash-in and cash-out flows by country.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	1992
	CashInForecast <CshInFcst>	[0..*]		C5	1992
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1993
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1993
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1994
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1994
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		1994
	AdditionalBalance <AddtlBal>	[0..1]	±		1994
	CashOutForecast <CshOutFcst>	[0..*]		C6	1995
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1996
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1996
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1996
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1996
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1996
	AdditionalBalance <AddtlBal>	[0..1]	±		1997
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	1997

65.1.28.29.1 Country <Ctry>

Presence: [1..1]

Definition: Country for which the cash flow is being reported.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.28.29.2 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "AdditionalBalanceRule"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1993
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1993
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1994
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1994
	CashInBreakdownDetails <CshInBrkdwnDtIs>	[0..*]	±		1994
	AdditionalBalance <AddtlBal>	[0..1]	±		1994

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.29.2.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.29.2.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.29.2.3 SubTotalUnitsNumber <SubTtlUnitsNb>*Presence:* [0..1]*Definition:* Sub-total amount of the cash flow in, expressed as a number of units.**SubTotalUnitsNumber <SubTtlUnitsNb>** contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.29.2.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>*Presence:* [0..1]*Definition:* Indicates whether the estimated cash flow in is exceptional.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.29.2.5 CashInBreakdownDetails <CshInBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash in amounts by transaction and order type.**CashInBreakdownDetails <CshInBrkdwnDtls>** contains the following elements (see "FundCashInBreakdown3" on page 1970 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1971
	UnitsNumber <UnitsNb>	[0..1]	±		1971
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1972
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1972
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1973
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973
	ChargeDetails <ChrgDtls>	[0..*]	±		1974
	CommissionDetails <ComssnDtls>	[0..*]	±		1974
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1974

65.1.28.29.2.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.29.3 CashOutForecast <CshOutFcst>

Presence: [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1996
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	1996
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		1996
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		1996
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		1996
	AdditionalBalance <AddtlBal>	[0..1]	±		1997

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.29.3.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.29.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.29.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.29.3.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow out is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.29.3.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash out amounts by transaction and order type.

CashOutBreakdownDetails <CshOutBrkdwDtls> contains the following elements (see "FundCashOutBreakdown3" on page 1966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1967
	UnitsNumber <UnitsNb>	[0..1]	±		1967
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1967
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1967
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1968
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969
	ChargeDetails <ChrgDtls>	[0..*]	±		1969
	CommissionDetails <ComssnDtls>	[0..*]	±		1969
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1970

65.1.28.29.3.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.29.4 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the country.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "[NetCashForecast4](#)" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.30 BreakdownByParty3

Definition: Specifies the cash-in and cash-out flows by party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]		C1, C2	1999
	AccountIdentification <AcctId>	[0..1]	±		2000
	OwnerIdentification <OwnrId>	[0..1]	±		2000
	AccountServicer <AcctSvcr>	[0..1]	±		2000
	AdditionalParameters <AddtlParams>	[0..1]	±		2001
	CashInForecast <CshInFcst>	[0..*]		C5	2001
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2002
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2002
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2002
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2002
	CashInBreakdownDetails <CshInBrkdownDtls>	[0..*]	±		2003
	AdditionalBalance <AddtlBal>	[0..1]	±		2003
	CashOutForecast <CshOutFcst>	[0..*]		C6	2003
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2004
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2004
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2005
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2005
	CashOutBreakdownDetails <CshOutBrkdownDtls>	[0..*]	±		2005
	AdditionalBalance <AddtlBal>	[0..1]	±		2006
	NetCashForecast <NetCshFcst>	[0..*]	±	C7	2006

65.1.28.30.1 Party <Pty>

Presence: [1..1]

Definition: Party, for example, fund management company, for which the cash flow is being reported.

Impacted by: C1 "AccountOrOwner1Rule", C2 "AccountOrOwner2Rule"

Party <Pty> contains the following **InvestmentAccount42** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	±		2000
	OwnerIdentification <OwnrId>	[0..1]	±		2000
	AccountServicer <AcctSvcr>	[0..1]	±		2000

Constraints

- **AccountOrOwner1Rule**

If AccountIdentification is not present, then OwnerIdentification must be present. Both AccountIdentification and OwnerIdentification may be present.

On Condition
 /AccountIdentification is absent
 Following Must be True
 /OwnerIdentification Must be present

- **AccountOrOwner2Rule**

If OwnerIdentification is not present, then AccountIdentification must be present. Both OwnerIdentification and AccountIdentification may be present.

On Condition
 /OwnerIdentification is absent
 Following Must be True
 /AccountIdentification Must be present

65.1.28.30.1.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

AccountIdentification <AcctId> contains the following elements (see "[AccountIdentification1](#)" on page 1258 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Proprietary <Prtry>	[1..1]	±		1259

65.1.28.30.1.2 OwnerIdentification <OwnrId>

Presence: [0..1]

Definition: Party that legally owns the account.

OwnerIdentification <OwnrId> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.28.30.1.3 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.28.30.2 AdditionalParameters <AddtlParams>

Presence: [0..1]

Definition: Additional parameter/s applied to the cash flow by party.

AdditionalParameters <AddtlParams> contains the following elements (see "[AdditionalParameters1](#)" on page 1975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[0..1]	CodeSet	C11	1975
	Currency <Ccy>	[0..1]	CodeSet	C3	1975
	GeographicalArea <GeoArea>	[0..1]	Text		1975

65.1.28.30.3 CashInForecast <CshInFcst>

Presence: [0..*]

Definition: Cash movement into the fund as a result of transactions in shares in an investment fund, for example, subscriptions or switch-ins.

Impacted by: C5 "[AdditionalBalanceRule](#)"

CashInForecast <CshInFcst> contains the following **CashInForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2002
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2002
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2002
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2002
	CashInBreakdownDetails <CshInBrkdwnDtls>	[0..*]	±		2003
	AdditionalBalance <AddtlBal>	[0..1]	±		2003

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition
 /AdditionalBalance is present
 Following Must be True
 /AdditionalBalance/TotalUnitsFromUnitOrders Must be present
 Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present
 Or /AdditionalBalance/TotalCashFromUnitOrders Must be present
 Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.30.3.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.30.3.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.30.3.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow in, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.30.3.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow in is exceptional.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.30.3.5 CashInBreakdownDetails <CshInBrkdwnDtls>*Presence:* [0..*]*Definition:* Breakdown of cash in amounts by transaction and order type.

CashInBreakdownDetails <CshInBrkdwnDtls> contains the following elements (see "FundCashInBreakdown3" on page 1970 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1971
	UnitsNumber <UnitsNb>	[0..1]	±		1971
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1972
	InvestmentFundTransactionInType <InvstmtFndTxInTp>	[1..1]			1972
{Or	Code <Cd>	[1..1]	CodeSet		1972
Or}	Proprietary <Prtry>	[1..1]	±		1972
	OriginalOrderQuantityType <OrgnlOrdQtyTp>	[1..1]			1973
{Or	Code <Cd>	[1..1]	CodeSet		1973
Or}	Proprietary <Prtry>	[1..1]	±		1973
	ChargeDetails <ChrgDtls>	[0..*]	±		1974
	CommissionDetails <ComssnDtls>	[0..*]	±		1974
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1974

65.1.28.30.3.6 AdditionalBalance <AddtlBal>*Presence:* [0..1]*Definition:* Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.30.4 CashOutForecast <CshOutFcst>*Presence:* [0..*]

Definition: Cash movement out of the fund as a result of transactions in shares in an investment fund, for example, redemptions or switch-outs.

Impacted by: C6 "AdditionalBalanceRule"

CashOutForecast <CshOutFcst> contains the following **CashOutForecast5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		2004
	SubTotalAmount <SubTtlAmt>	[0..1]	Amount	C3, C12	2004
	SubTotalUnitsNumber <SubTtlUnitsNb>	[0..1]	±		2005
	ExceptionalCashFlowIndicator <XcptnlCshFlowInd>	[0..1]	Indicator		2005
	CashOutBreakdownDetails <CshOutBrkdwnDtls>	[0..*]	±		2005
	AdditionalBalance <AddtlBal>	[0..1]	±		2006

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.30.4.1 CashSettlementDate <CshSttlmDt>

Presence: [1..1]

Definition: Date on which cash is available.

Datatype: "ISODate" on page 2749

65.1.28.30.4.2 SubTotalAmount <SubTtlAmt>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as an amount of money.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.28.30.4.3 SubTotalUnitsNumber <SubTtlUnitsNb>

Presence: [0..1]

Definition: Sub-total amount of the cash flow out, expressed as a number of units.

SubTotalUnitsNumber <SubTtlUnitsNb> contains the following elements (see "[FinancialInstrumentQuantity1](#)" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.28.30.4.4 ExceptionalCashFlowIndicator <XcptnlCshFlowInd>

Presence: [0..1]

Definition: Indicates whether the estimated cash flow out is exceptional.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.28.30.4.5 CashOutBreakdownDetails <CshOutBrkdwnDtls>

Presence: [0..*]

Definition: Breakdown of cash out amounts by transaction and order type.

CashOutBreakdownDetails <CshOutBrkdwnDtls> contains the following elements (see "FundCashOutBreakdown3" on page 1966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3, C12	1967
	UnitsNumber <UnitsNb>	[0..1]	±		1967
	NewAmountIndicator <NewAmtInd>	[0..1]	Indicator		1967
	InvestmentFundTransactionOutType <InvstmtFndTxOutTp>	[1..1]			1967
{Or	Code <Cd>	[1..1]	CodeSet		1968
Or}	Proprietary <Prtry>	[1..1]	±		1968
	OriginalOrderQuantityType <OrgnlOrdrQtyTp>	[1..1]			1968
{Or	Code <Cd>	[1..1]	CodeSet		1969
Or}	Proprietary <Prtry>	[1..1]	±		1969
	ChargeDetails <ChrgDtls>	[0..*]	±		1969
	CommissionDetails <ComssnDtls>	[0..*]	±		1969
	SettlementCurrency <SttlmCcy>	[0..1]	CodeSet	C2	1970

65.1.28.30.4.6 AdditionalBalance <AddtlBal>

Presence: [0..1]

Definition: Additional balances for cash amounts and number of units.

In an estimated report, the total cash derived from orders placed as a number of units is an estimated cash amount and the total number of units derived from orders placed as a cash amount is an estimated number of units.

AdditionalBalance <AddtlBal> contains the following elements (see "FundBalance1" on page 1301 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalUnitsFromUnitOrders <TtlUnitsFrUnitOrdrs>	[0..1]	±		1301
	TotalUnitsFromCashOrders <TtlUnitsFrCshOrdrs>	[0..1]	±		1302
	TotalCashFromUnitOrders <TtlCshFrUnitOrdrs>	[0..1]	Amount	C3, C12	1302
	TotalCashFromCashOrders <TtlCshFrCshOrdrs>	[0..1]	Amount	C3, C12	1302

65.1.28.30.5 NetCashForecast <NetCshFcst>

Presence: [0..*]

Definition: Net cash as a result of the cash-in and cash-out flows specified for the party.

Impacted by: C7 "AdditionalBalanceRule"

NetCashForecast <NetCshFcst> contains the following elements (see "[NetCashForecast4](#)" on page 1343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashSettlementDate <CshSttlmDt>	[1..1]	Date		1344
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1344
	NetUnitsNumber <NetUnitsNb>	[0..1]	±		1345
	FlowDirection <FlowDrctn>	[1..1]	CodeSet		1345
	AdditionalBalance <AddtlBal>	[0..1]	±		1345

Constraints

- **AdditionalBalanceRule**

If AdditionalBalance is present, then at least one or more elements in the list (AdditionalBalance/TotalUnitsFromUnitOrders, AdditionalBalance/TotalUnitsFromCashOrders, AdditionalBalance/TotalCashFromUnitOrders, AdditionalBalance/TotalCashFromCashOrders) must be present.

On Condition

/AdditionalBalance is present

Following Must be True

/AdditionalBalance/TotalUnitsFromUnitOrders Must be present

Or /AdditionalBalance/TotalUnitsFromCashOrders Must be present

Or /AdditionalBalance/TotalCashFromUnitOrders Must be present

Or /AdditionalBalance/TotalCashFromCashOrders Must be present

65.1.28.31 MarketPracticeVersion1

Definition: Identifies the implementation and version.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2007
	Date <Dt>	[0..1]	YearMonth		2007
	Number <Nb>	[0..1]	Text		2007

65.1.28.31.1 Name <Nm>

Presence: [1..1]

Definition: Market practice, for example, "UKTRANSFERS", "FINDELSLT".

Datatype: "[Max35Text](#)" on page 2764

65.1.28.31.2 Date <Dt>

Presence: [0..1]

Definition: Year and month, for example, 2013-06.

Datatype: "[ISOYearMonth](#)" on page 2766

65.1.28.31.3 Number <Nb>

Presence: [0..1]

Definition: Version of the market practice.

Datatype: "Max35Text" on page 2764

65.1.28.32 MiFIDClassification1

Definition: Details about the MIFID classification of the account owner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Classification <Clssfctn>	[1..1]	CodeSet		2008
	Narrative <Nrtrv>	[0..1]	Text		2008

65.1.28.32.1 Classification <Clssfctn>

Presence: [1..1]

Definition: MiFID classification of the account owner.

Datatype: "OrderOriginatorEligibility1Code" on page 2700

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

65.1.28.32.2 Narrative <Nrtrv>

Presence: [0..1]

Definition: Additional information about the source of classification.

Datatype: "Max350Text" on page 2764

65.1.28.33 LetterIntent1

Definition: Specifies information about the letter of intent.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LetterIntentReference <LtrInttRef>	[1..1]	Text		2009
	Amount <Amt>	[0..1]	Amount	C2	2009
	StartDate <StartDt>	[0..1]	Date		2009
	EndDate <EndDt>	[0..1]	Date		2009

65.1.28.33.1 LetterIntentReference <LtrInttRef>*Presence:* [1..1]*Definition:* Reference of a letter of intent program, in which sales commissions are reduced based on the aggregate of a customer's actual purchase and anticipated purchases, over a specific period of time, and as agreed by the customer.*Datatype:* "Max35Text" on page 2764**65.1.28.33.2 Amount <Amt>***Presence:* [0..1]*Definition:* Amount stated on the letter of intent.*Impacted by:* C2 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 2641**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.28.33.3 StartDate <StartDt>*Presence:* [0..1]*Definition:* Start date stated on the letter of intent.*Datatype:* "ISODate" on page 2749**65.1.28.33.4 EndDate <EndDt>***Presence:* [0..1]*Definition:* End date stated on the letter of intent.*Datatype:* "ISODate" on page 2749**65.1.28.34 PoliticalExposureType1Choice***Definition:* Choice of formats for the specification of the type of political exposure.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2009
Or}	Proprietary <Prtry>	[1..1]	±		2010

65.1.28.34.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of political exposure expressed as a code.*Datatype:* "PoliticalExposureType1Code" on page 2708

CodeName	Name	Definition
NPEX	NoPoliticalExposure	No political exposure.
PEXF	PoliticalExposureForeign	Political exposure type is foreign.
PEXD	PoliticalExposureDomestic	Political exposure type is domestic.

65.1.28.34.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of political exposure expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.35 TransactionChannelType1Choice

Definition: Choice of formats for the specification of the type of transaction Channel.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2010
Or}	Proprietary <Prtry>	[1..1]	±		2010

65.1.28.35.1 Code <Cd>

Presence: [1..1]

Definition: Type of transaction channel expressed as a code.

Datatype: "[TransactionChannel2Code](#)" on page 2738

CodeName	Name	Definition
FIAD	FinancialAdvisor	Financial advisor.
HOBA	HomeBanking	Home banking.
BRAN	OfficeOrBranch	Office or branch.

65.1.28.35.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of transaction channel expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.28.36 ValuationStatistics3

Definition: Statistical data related to the price change of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	2013
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	2013
	Structured <Strd>	[1..1]	CodeSet		2014
	AdditionalInformation <AddtlInf>	[0..1]	Text		2015
	PriceChange <PricChng>	[1..1]		C5, C6	2015
	Amount <Amt>	[0..1]	Amount	C3	2016
	AmountSign <AmtSgn>	[0..1]	Indicator		2016
	Rate <Rate>	[0..1]	Rate		2016
	Yield <Yld>	[0..1]	Rate		2016
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			2016
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			2017
	Amount <Amt>	[1..1]	Amount	C3	2017
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			2018
	Amount <Amt>	[1..1]	Amount	C3	2018
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	2018
	Amount <Amt>	[0..1]	Amount	C3	2019
	AmountSign <AmtSgn>	[0..1]	Indicator		2019
	Rate <Rate>	[0..1]	Rate		2019
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	2019
	Amount <Amt>	[0..1]	Amount	C3	2020
	AmountSign <AmtSgn>	[0..1]	Indicator		2020
	Rate <Rate>	[0..1]	Rate		2020
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	2020
	Amount <Amt>	[0..1]	Amount	C3	2021
	AmountSign <AmtSgn>	[0..1]	Indicator		2021
	Rate <Rate>	[0..1]	Rate		2021
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			2022
	Period <Prd>	[1..1]	±		2022
	HighestPriceValue <HghstPricVal>	[0..1]			2022
	Amount <Amt>	[1..1]	Amount	C3	2022
	LowestPriceValue <LwstPricVal>	[0..1]			2023

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	2023
	PriceChange <PricChng>	[0..1]		C5, C6	2023
	Amount <Amt>	[0..1]	Amount	C3	2024
	AmountSign <AmtSgn>	[0..1]	Indicator		2024
	Rate <Rate>	[0..1]	Rate		2024
	Yield <Yld>	[0..1]	Rate		2024

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

65.1.28.36.1 Currency <Ccy>

Presence: [1..1]

Definition: Currency of the valuation statistics.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.2 PriceTypeChangeBasis <PricTpChngBsis>

Presence: [1..1]

Definition: Type of price from which the change is calculated, eg, bid, offer, or single.

Impacted by: C18 "OtherCodeRule"

PriceTypeChangeBasis <PricTpChngBsis> contains the following **PriceType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Structured <Strd>	[1..1]	CodeSet		2014
	AdditionalInformation <AddtlInf>	[0..1]	Text		2015

Constraints

- **OtherCodeRule**

If Structured is OTHR, then AdditionalInformation is mandatory.

65.1.28.36.2.1 Structured <Strd>

Presence: [1..1]

Definition: Structured format.

Datatype: "TypeOfPrice6Code" on page 2746

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs

CodeName	Name	Definition
		redemptions, as an alternative to dilution levy.
OTHR	Other	Price is another type of price, which is not explicitly defined.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.

65.1.28.36.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the type of charge.

Datatype: "Max350Text" on page 2764

65.1.28.36.3 PriceChange <PricChng>

Presence: [1..1]

Definition: Change in price since the previous valuation date.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

PriceChange <PricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	2016
	AmountSign <AmtSgn>	[0..1]	Indicator		2016
	Rate <Rate>	[0..1]	Rate		2016

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

65.1.28.36.3.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.3.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.28.36.3.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2762

65.1.28.36.4 Yield <Yld>

Presence: [0..1]

Definition: Rate of income from the financial instrument, usually calculated as total dividends or coupon interest available to investors in the last year, divided by the current price.

Datatype: "PercentageRate" on page 2762

65.1.28.36.5 ByPredefinedTimePeriods <ByPrdfndTmPrds>

Presence: [0..1]

Definition: Information related to price variations, expressed using pre-defined periods.

ByPredefinedTimePeriods <ByPrdfndTmPrds> contains the following **StatisticsByPredefinedTimePeriods2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			2017
	Amount <Amt>	[1..1]	Amount	C3	2017
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			2018
	Amount <Amt>	[1..1]	Amount	C3	2018
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	2018
	Amount <Amt>	[0..1]	Amount	C3	2019
	AmountSign <AmtSgn>	[0..1]	Indicator		2019
	Rate <Rate>	[0..1]	Rate		2019
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	2019
	Amount <Amt>	[0..1]	Amount	C3	2020
	AmountSign <AmtSgn>	[0..1]	Indicator		2020
	Rate <Rate>	[0..1]	Rate		2020
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	2020
	Amount <Amt>	[0..1]	Amount	C3	2021
	AmountSign <AmtSgn>	[0..1]	Indicator		2021
	Rate <Rate>	[0..1]	Rate		2021

65.1.28.36.5.1 HighestPriceValue12Months <HghstPricVal12Mnths>

Presence: [0..1]

Definition: Highest price for the referenced period.

HighestPriceValue12Months <HghstPricVal12Mnths> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	2017

65.1.28.36.5.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.5.2 LowestPriceValue12Months <LwstPricVal12Mnths>

Presence: [0..1]

Definition: Lowest price for the referenced period.

LowestPriceValue12Months <LwstPricVal12Mnths> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	2018

65.1.28.36.5.2.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.5.3 OneYearPriceChange <OneYrPricChng>

Presence: [0..1]

Definition: Change in price over a one year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

OneYearPriceChange <OneYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	2019
	AmountSign <AmtSgn>	[0..1]	Indicator		2019
	Rate <Rate>	[0..1]	Rate		2019

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

65.1.28.36.5.3.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.5.3.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.28.36.5.3.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2762

65.1.28.36.5.4 ThreeYearPriceChange <ThreeYrPricChng>

Presence: [0..1]

Definition: Change in price over a three year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

ThreeYearPriceChange <ThreeYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	2020
	AmountSign <AmtSgn>	[0..1]	Indicator		2020
	Rate <Rate>	[0..1]	Rate		2020

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

65.1.28.36.5.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.5.4.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.28.36.5.4.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2762

65.1.28.36.5.5 FiveYearPriceChange <FiveYrPricChng>

Presence: [0..1]

Definition: Change in price over a five year period.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

FiveYearPriceChange <FiveYrPricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	2021
	AmountSign <AmtSgn>	[0..1]	Indicator		2021
	Rate <Rate>	[0..1]	Rate		2021

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

65.1.28.36.5.5.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.5.5.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.28.36.5.5.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2762

65.1.28.36.6 ByUserDefinedTimePeriod <ByUsrDfndTmPrd>*Presence:* [0..*]*Definition:* Information related to price variations, expressed using user-defined periods.

ByUserDefinedTimePeriod <ByUsrDfndTmPrd> contains the following
StatisticsByUserDefinedTimePeriod2 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Period <Prd>	[1..1]	±		2022
	HighestPriceValue <HghstPricVal>	[0..1]			2022
	Amount <Amt>	[1..1]	Amount	C3	2022
	LowestPriceValue <LwstPricVal>	[0..1]			2023
	Amount <Amt>	[1..1]	Amount	C3	2023
	PriceChange <PricChng>	[0..1]		C5, C6	2023
	Amount <Amt>	[0..1]	Amount	C3	2024
	AmountSign <AmtSgn>	[0..1]	Indicator		2024
	Rate <Rate>	[0..1]	Rate		2024
	Yield <Yld>	[0..1]	Rate		2024

65.1.28.36.6.1 Period <Prd>*Presence:* [1..1]*Definition:* Reference period for the valuation.

Period <Prd> contains one of the following elements (see "[DateOrDateTimePeriodChoice](#)" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		1415
Or}	DateTime <DtTm>	[1..1]	±		1415

65.1.28.36.6.2 HighestPriceValue <HghstPricVal>*Presence:* [0..1]*Definition:* Highest price for the referenced period.

HighestPriceValue <HghstPricVal> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	2022

65.1.28.36.6.2.1 Amount <Amt>*Presence:* [1..1]*Definition:* Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.6.3 LowestPriceValue <LwstPricVal>

Presence: [0..1]

Definition: Lowest price for the referenced period.

LowestPriceValue <LwstPricVal> contains the following **PriceValue5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C3	2023

65.1.28.36.6.3.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.6.4 PriceChange <PricChng>

Presence: [0..1]

Definition: Change in price since the previous valuation date.

Impacted by: C5 "AmountAndOrRateRule", C6 "AmountSignRule"

PriceChange <PricChng> contains the following **PriceValueChange1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[0..1]	Amount	C3	2024
	AmountSign <AmtSgn>	[0..1]	Indicator		2024
	Rate <Rate>	[0..1]	Rate		2024

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

- **AmountSignRule**

If Amount is present, then AmountSign is optional. If Amount is not present, then AmountSign is not allowed.

65.1.28.36.6.4.1 Amount <Amt>

Presence: [0..1]

Definition: Amount by which the price has changed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.28.36.6.4.2 AmountSign <AmtSgn>

Presence: [0..1]

Definition: Indicates a positive or negative amount change.

Datatype: One of the following values must be used (see "PlusOrMinusIndicator" on page 2760):

- *Meaning When True:* Plus
- *Meaning When False:* Minus

65.1.28.36.6.4.3 Rate <Rate>

Presence: [0..1]

Definition: Rate by which the price has changed.

Datatype: "PercentageRate" on page 2762

65.1.28.36.6.5 Yield <Yld>

Presence: [0..1]

Definition: Rate of income from the financial instrument, usually calculated as total dividends or coupon interest available to investors in the last year, divided by the current price.

Datatype: "PercentageRate" on page 2762

65.1.28.37 Pagination

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		2025
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		2025

65.1.28.37.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 2765

65.1.28.37.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.29 Organisation Identification

65.1.29.1 PartyIdentification1Choice

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2025
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2026
Or}	NameAndAddress <NmAndAdr>	[1..1]			2026
	Name <Nm>	[1..1]	Text		2026
	Address <Adr>	[0..1]	±		2026

65.1.29.1.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Unique and unambiguous identifier for an organisation that is allocated by an institution.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.29.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.29.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

NameAndAddress <NmAndAdr> contains the following **NameAndAddress2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2026
	Address <Adr>	[0..1]	±		2026

65.1.29.1.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "[Max35Text](#)" on page 2764

65.1.29.1.3.2 Address <Adr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Address <Adr> contains one of the following elements (see "[LongPostalAddress1Choice](#)" on page 2272 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		2272
Or}	Structured <Strd>	[1..1]	±	C14	2272

65.1.29.2 Organisation³⁰

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2029
	ShortName <ShrtNm>	[0..1]	Text		2029
	Identification <Id>	[0..1]			2029
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2029
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2030
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2030
	Purpose <Purp>	[0..1]	Text		2030
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2030
	RegistrationDate <RegnDt>	[0..1]	Date		2030
	PostalAddress <PstlAdr>	[0..10]		C23	2031
	AddressType <AdrTp>	[0..1]			2032
{Or	Code <Cd>	[1..1]	CodeSet		2032
Or}	Proprietary <Prtry>	[1..1]	±		2032
	MailingIndicator <MlngInd>	[0..1]	Indicator		2032
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2033
	CareOf <CareOf>	[0..1]	Text		2033
	AddressLine <AdrLine>	[0..5]	Text		2033
	StreetName <StrtNm>	[0..1]	Text		2033
	BuildingNumber <BldgNb>	[0..1]	Text		2033
	BuildingName <BldgNm>	[0..1]	Text		2033
	PostBox <PstBx>	[0..1]	Text		2033
	SideInBuilding <SdlnBldg>	[0..1]	Text		2034
	Floor <Flr>	[0..1]	Text		2034
	SuiteIdentification <SuiteId>	[0..1]	Text		2034
	PostCode <PstCd>	[0..1]	Text		2034
	DistrictName <DstrctNm>	[0..1]	Text		2034
	Village <Vllg>	[0..1]	Text		2034
	TownName <TwnNm>	[0..1]	Text		2034
	State <Stat>	[0..1]	Text		2034
	Country <Ctry>	[1..1]	CodeSet	C11	2034
	TypeOfOrganisation <TpOfOrg>	[0..1]			2035

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2035
Or}	Proprietary <Prtry>	[1..1]	±		2035
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		2036

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, PostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

65.1.29.2.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the organisation is known and which is usually used to identify that organisation.

Datatype: "Max350Text" on page 2764

65.1.29.2.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Name of the organisation in short form.

Datatype: "Max35Text" on page 2764

65.1.29.2.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the organisation.

Identification <Id> contains one of the following **PartyIdentification72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2029
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2030

65.1.29.2.3.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.29.2.3.2 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.29.2.4 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.29.2.5 Purpose <Purp>

Presence: [0..1]

Definition: Purpose of the organisation, for example, charity.

Datatype: "[Max35Text](#)" on page 2764

65.1.29.2.6 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.2.7 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which a given organisation was officially registered.

Datatype: "ISODate" on page 2749

65.1.29.2.8 PostalAddress <PstlAdr>

Presence: [0..10]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstlAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2032
{Or	Code <Cd>	[1..1]	CodeSet		2032
Or}	Proprietary <Prtry>	[1..1]	±		2032
	MailingIndicator <MIngInd>	[0..1]	Indicator		2032
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2033
	CareOf <CareOf>	[0..1]	Text		2033
	AddressLine <AdrLine>	[0..5]	Text		2033
	StreetName <StrtNm>	[0..1]	Text		2033
	BuildingNumber <BldgNb>	[0..1]	Text		2033
	BuildingName <BldgNm>	[0..1]	Text		2033
	PostBox <PstBx>	[0..1]	Text		2033
	SideInBuilding <SdlnBldg>	[0..1]	Text		2034
	Floor <Flr>	[0..1]	Text		2034
	SuiteIdentification <Suiteld>	[0..1]	Text		2034
	PostCode <PstCd>	[0..1]	Text		2034
	DistrictName <DstrctNm>	[0..1]	Text		2034
	Village <Vllg>	[0..1]	Text		2034
	TownName <TwnNm>	[0..1]	Text		2034
	State <Stat>	[0..1]	Text		2034
	Country <Ctry>	[1..1]	CodeSet	C11	2034

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.29.2.8.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2032
Or}	Proprietary <Prtry>	[1..1]	±		2032

65.1.29.2.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.29.2.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.29.2.8.2 MailingIndicator <MIngInd>

Presence: [0..1]

Definition: Indicates whether mail should be sent to the address.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.29.2.8.3 RegistrationAddressIndicator <RegnAdrInd>

Presence: [0..1]

Definition: Indicates whether the address is the official address of the party.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.29.2.8.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.2.8.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.2.8.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.2.8.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: ["Max16Text" on page 2763](#)

65.1.29.2.8.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: ["Max35Text" on page 2764](#)

65.1.29.2.8.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: ["Max10Text" on page 2763](#)

65.1.29.2.8.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.29.2.8.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.29.2.8.12 SuiteIdentification <Suiteld>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.29.2.8.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.29.2.8.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.29.2.8.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.29.2.8.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.29.2.8.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.29.2.8.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.2.9 TypeOfOrganisation <TpOfOrg>

Presence: [0..1]

Definition: Type of organisation.

TypeOfOrganisation <TpOfOrg> contains one of the following **OrganisationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2035
Or}	Proprietary <Prtry>	[1..1]	±		2035

65.1.29.2.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of organisation expressed as a code.

Datatype: "OrganisationType1Code" on page 2701

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

65.1.29.2.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of organisation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.29.2.10 PlaceOfListing <PlcOfListg>

Presence: [0..*]

Definition: Place of listing for shares in the organisation.

Datatype: "MICIdentifier" on page 2757

65.1.29.3 Organisation29

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2038
	ShortName <ShrtNm>	[0..1]	Text		2038
	Identification <Id>	[0..1]			2038
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2038
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2039
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2039
	Purpose <Purp>	[0..1]	Text		2039
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2039
	RegistrationDate <RegnDt>	[0..1]	Date		2039
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2040
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		2040
	PostalAddress <PstlAdr>	[1..1]		C23	2041
	AddressType <AdrTp>	[0..1]			2042
{Or	Code <Cd>	[1..1]	CodeSet		2042
Or}	Proprietary <Prtry>	[1..1]	±		2042
	MailingIndicator <Mlnglnd>	[0..1]	Indicator		2042
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2043
	CareOf <CareOf>	[0..1]	Text		2043
	AddressLine <AdrLine>	[0..5]	Text		2043
	StreetName <StrtNm>	[0..1]	Text		2043
	BuildingNumber <BldgNb>	[0..1]	Text		2043
	BuildingName <BldgNm>	[0..1]	Text		2043
	PostBox <PstBx>	[0..1]	Text		2043
	SideInBuilding <SdlnBldg>	[0..1]	Text		2044
	Floor <Flr>	[0..1]	Text		2044
	SuiteIdentification <SuiteId>	[0..1]	Text		2044
	PostCode <PstCd>	[0..1]	Text		2044
	DistrictName <DstrctNm>	[0..1]	Text		2044
	Village <Vllg>	[0..1]	Text		2044
	TownName <TwnNm>	[0..1]	Text		2044
	State <Stat>	[0..1]	Text		2044

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	2044
	TypeOfOrganisation <TpOfOrg>	[0..1]			2045
{Or	Code <Cd>	[1..1]	CodeSet		2045
Or}	Proprietary <Prtry>	[1..1]	±		2045
	PlaceOfListing <PlcOfListg>	[0..*]	IdentifierSet		2046

Constraints

- **OrganisationElementRule**

One of the elements (Name, ShortName, Identification, LegalEntityIdentifier, Purpose, RegistrationCountry, RegistrationDate, ModifiedPostalAddress, TypeOfOrganisation, PlaceOfListing) must be present.

65.1.29.3.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the organisation is known and which is usually used to identify that organisation.

Datatype: "Max350Text" on page 2764

65.1.29.3.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Name of the organisation in short form.

Datatype: "Max35Text" on page 2764

65.1.29.3.3 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the organisation.

Identification <Id> contains one of the following **PartyIdentification72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2038
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2039

65.1.29.3.3.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.29.3.3.2 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.29.3.4 LegalEntityIdentifier <LglnTtyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.29.3.5 Purpose <Purp>

Presence: [0..1]

Definition: Purpose of the organisation, for example, charity.

Datatype: "[Max35Text](#)" on page 2764

65.1.29.3.6 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.3.7 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which a given organisation was officially registered.

Datatype: "ISODate" on page 2749

65.1.29.3.8 ModifiedPostalAddress <ModfdPstlAdr>

Presence: [0..*]

Definition: Information related to an address to be inserted, updated or deleted.

ModifiedPostalAddress <ModfdPstlAdr> contains the following **ModificationScope34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2040
	PostalAddress <PstlAdr>	[1..1]		C23	2041
	AddressType <AdrTp>	[0..1]			2042
{Or	Code <Cd>	[1..1]	CodeSet		2042
Or}	Proprietary <Prtry>	[1..1]	±		2042
	MailingIndicator <MnglInd>	[0..1]	Indicator		2042
	RegistrationAddressIndicator <RegnAdrlInd>	[0..1]	Indicator		2043
	CareOf <CareOf>	[0..1]	Text		2043
	AddressLine <AdrLine>	[0..5]	Text		2043
	StreetName <StrtNm>	[0..1]	Text		2043
	BuildingNumber <BldgNb>	[0..1]	Text		2043
	BuildingName <BldgNm>	[0..1]	Text		2043
	PostBox <PstBx>	[0..1]	Text		2043
	SideInBuilding <SdlnBldg>	[0..1]	Text		2044
	Floor <Flr>	[0..1]	Text		2044
	SuiteIdentification <SuiteId>	[0..1]	Text		2044
	PostCode <PstCd>	[0..1]	Text		2044
	DistrictName <DstrctNm>	[0..1]	Text		2044
	Village <Vllg>	[0..1]	Text		2044
	TownName <TwnNm>	[0..1]	Text		2044
	State <Stat>	[0..1]	Text		2044
	Country <Ctry>	[1..1]	CodeSet	C11	2044

65.1.29.3.8.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.29.3.8.2 PostalAddress <PstlAdr>

Presence: [1..1]

Definition: Address of the organisation.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstlAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2042
{Or	Code <Cd>	[1..1]	CodeSet		2042
Or}	Proprietary <Prtry>	[1..1]	±		2042
	MailingIndicator <MlngInd>	[0..1]	Indicator		2042
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2043
	CareOf <CareOf>	[0..1]	Text		2043
	AddressLine <AdrLine>	[0..5]	Text		2043
	StreetName <StrtNm>	[0..1]	Text		2043
	BuildingNumber <BldgNb>	[0..1]	Text		2043
	BuildingName <BldgNm>	[0..1]	Text		2043
	PostBox <PstBx>	[0..1]	Text		2043
	SideInBuilding <SdlnBldg>	[0..1]	Text		2044
	Floor <Flr>	[0..1]	Text		2044
	SuiteIdentification <Suiteld>	[0..1]	Text		2044
	PostCode <PstCd>	[0..1]	Text		2044
	DistrictName <DstrctNm>	[0..1]	Text		2044
	Village <Vllg>	[0..1]	Text		2044
	TownName <TwnNm>	[0..1]	Text		2044
	State <Stat>	[0..1]	Text		2044
	Country <Ctry>	[1..1]	CodeSet	C11	2044

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.29.3.8.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2042
Or}	Proprietary <Prtry>	[1..1]	±		2042

65.1.29.3.8.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.29.3.8.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.29.3.8.2.2 MailingIndicator <MIngInd>

Presence: [0..1]

Definition: Indicates whether mail should be sent to the address.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.29.3.8.2.3 RegistrationAddressIndicator <RegnAdrInd>

Presence: [0..1]

Definition: Indicates whether the address is the official address of the party.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.29.3.8.2.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.3.8.2.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.3.8.2.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: ["Max70Text" on page 2765](#)

65.1.29.3.8.2.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: ["Max16Text" on page 2763](#)

65.1.29.3.8.2.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: ["Max35Text" on page 2764](#)

65.1.29.3.8.2.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: ["Max10Text" on page 2763](#)

65.1.29.3.8.2.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.29.3.8.2.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.29.3.8.2.12 SuiteIdentification <Suiteld>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.29.3.8.2.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.29.3.8.2.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.29.3.8.2.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.29.3.8.2.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.29.3.8.2.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.29.3.8.2.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.3.9 TypeOfOrganisation <TpOfOrg>

Presence: [0..1]

Definition: Type of organisation.

TypeOfOrganisation <TpOfOrg> contains one of the following **OrganisationType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2045
Or}	Proprietary <Prtry>	[1..1]	±		2045

65.1.29.3.9.1 Code <Cd>

Presence: [1..1]

Definition: Type of organisation expressed as a code.

Datatype: "OrganisationType1Code" on page 2701

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

65.1.29.3.9.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of organisation expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.29.3.10 PlaceOfListing <PlcOfListg>*Presence:* [0..*]*Definition:* Place of listing for shares in the organisation.*Datatype:* "MICIdentifier" on page 2757**65.1.29.4 Organisation36***Definition:* Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2046
	Identification <Id>	[0..1]	±		2046
	Purpose <Purp>	[0..1]	Text		2046
	TaxationCountry <TaxtnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationCountry <RegnCtry>	[0..1]	CodeSet	C11	2047
	RegistrationDate <RegnDt>	[0..1]	Date		2047
	TaxIdentificationNumber <TaxIdNb>	[0..1]	Text		2047
	NationalRegistrationNumber <NtlRegnNb>	[0..1]	Text		2047
	CorporateInvestorAddress <CorplnvstrAdr>	[1..1]	±		2047

65.1.29.4.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which the party is known and which is usually used to identify that party.*Datatype:* "Max140Text" on page 2763**65.1.29.4.2 Identification <Id>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the organisation.**Identification <Id>** contains the following elements (see "PartyIdentification140" on page 2069 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069

65.1.29.4.3 Purpose <Purp>*Presence:* [0..1]*Definition:* Purpose of the organisation, for example, charity.*Datatype:* "Max35Text" on page 2764

65.1.29.4.4 TaxationCountry <TaxtnCtry>

Presence: [0..1]

Definition: Country of taxation of the organisation.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.4.5 RegistrationCountry <RegnCtry>

Presence: [0..1]

Definition: Country in which the organisation is registered.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.29.4.6 RegistrationDate <RegnDt>

Presence: [0..1]

Definition: Date and time at which the organisation was officially registered.

Datatype: "ISODate" on page 2749

65.1.29.4.7 TaxIdentificationNumber <TaxIdNb>

Presence: [0..1]

Definition: Number assigned by a tax authority to the organisation.

Datatype: "Max35Text" on page 2764

65.1.29.4.8 NationalRegistrationNumber <NtlRegnNb>

Presence: [0..1]

Definition: Number assigned by a national registration authority to the organisation.

Datatype: "Max35Text" on page 2764

65.1.29.4.9 CorporateInvestorAddress <CorplnvstrAdr>

Presence: [1..1]

Definition: Postal address of the organisation.

CorporateInvestorAddress <CorplnvstrAdr> contains the following elements (see "[PostalAddress1](#)" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.29.5 PartyIdentification3

Definition: Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2048

65.1.29.5.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: [C7 "AnyBIC"](#)

Datatype: "[AnyBICIdentifier](#)" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.29.6 PartyIdentification2Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.29.6.1 BICOrBEI <BICOrBEI>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.29.6.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.29.6.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30 Party Identification**65.1.30.1 Intermediary11**

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2050
	Account <Acct>	[0..1]	±		2050
{Or	Role <Role>	[0..1]	CodeSet		2050
Or}	ExtendedRole <XtndedRole>	[0..1]	Text		2051

65.1.30.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.30.1.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following elements (see "[Account7](#)" on page 1244 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1244
	AccountServicer <AcctSvcr>	[0..1]	±		1244

65.1.30.1.3 Role <Role>

Presence: [0..1]

Warning Either Role or ExtendedRole may be present, but not both.

Definition: Function performed by the intermediary.

Datatype: "[InvestmentFundRole2Code](#)" on page 2687

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.

CodeName	Name	Definition
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.1.30.1.4 ExtendedRole <XtndedRole>

Presence: [0..1]

Warning Either Role or ExtendedRole may be present, but not both.

Definition: Function performed by the intermediary.

Datatype: "Extended350Code" on page 2762

65.1.30.2 PartyIdentificationAndAccount161

Definition: Party and account details.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.30.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following elements (see "PartyIdentification123Choice" on page 2064 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2064
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2065
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2065

65.1.30.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal Entity Identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.30.2.3 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for the party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 2090 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2091
	Country <Ctry>	[1..1]	CodeSet	C11	2091
	AlternateIdentification <AltrnId>	[1..1]	Text		2091

65.1.30.2.4 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Identification of the account owned by the party.

SafekeepingAccount <SfkpgAcct> contains the following elements (see "[SecuritiesAccount19](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1227
	Type <Tp>	[0..1]	±		1227
	Name <Nm>	[0..1]	Text		1227

65.1.30.2.5 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date/time at which the instruction was processed by the specified party.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.30.2.6 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: "[Max35Text](#)" on page 2764

65.1.30.2.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the party.

Impacted by: [C3 "AdditionalDetailsGuideline"](#)

AdditionalInformation <AddtlInf> contains the following elements (see "PartyTextInformation1" on page 2119 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		2119
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		2119
	RegistrationDetails <RegnDtls>	[0..1]	Text		2119

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

65.1.30.3 PartyIdentification132

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.30.3.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.3.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification2Choice" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1399
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1399
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1400
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1400
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1400
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1400
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1400
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1400
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1400
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1401
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1401
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1401
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1401
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1401
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1401
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1401
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1402
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1402
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1402
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1402
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1402
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1402
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1403

65.1.30.3.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.3.4 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.3.5 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.30.4 OrderDesk1

Definition: Identification of an order desk.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderDesk <OrdrDsk>	[0..1]	±		2056
	ClosureDates <ClsrDts>	[0..*]	Date		2057
	AdditionalInformation <AddtlInf>	[0..*]	±		2057

65.1.30.4.1 OrderDesk <OrdrDsk>

Presence: [0..1]

Definition: Contact information for the order desk.

OrderDesk <OrdrDsk> contains the following elements (see ["ContactAttributes5"](#) on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.4.2 ClosureDates <ClsrDts>

Presence: [0..*]

Definition: Days on which the order desk is closed.

Datatype: ["ISODate"](#) on page 2749

65.1.30.4.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the main order desk.

AdditionalInformation <AddtlInf> contains the following elements (see ["AdditionalInformation15"](#) on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.30.5 ExtendedParty13

Definition: Other type of party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyRole <PtyRole>	[1..1]	±		2057
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2058

65.1.30.5.1 PartyRole <PtyRole>

Presence: [1..1]

Definition: Role of the party.

PartyRole <PtyRole> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.30.5.2 OtherPartyDetails <OthrPtyDtls>

Presence: [1..1]

Definition: Identification and communication information about the party.

OtherPartyDetails <OthrPtyDtls> contains the following elements (see "[ContactAttributes5](#)" on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.6 ContactAttributes5

Definition: Communication device number or address used for communication.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.6.1 Name <Nm>*Presence:* [1..1]*Definition:* Name of the party.*Datatype:* "Max350Text" on page 2764**65.1.30.6.2 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Address of the party.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress1" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.30.6.3 PhoneNumber <PhneNb>*Presence:* [0..1]*Definition:* Phone number of the party.*Datatype:* "PhoneNumber" on page 2765**65.1.30.6.4 FaxNumber <FaxNb>***Presence:* [0..1]*Definition:* Fax number of the party.*Datatype:* "PhoneNumber" on page 2765**65.1.30.6.5 EmailAddress <EmailAdr>***Presence:* [0..1]*Definition:* Address for electronic mail (e-mail) for the party.*Datatype:* "Max256Text" on page 2763**65.1.30.6.6 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 2763

65.1.30.6.7 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.6.8 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.30.7 FundParties1

Definition: Parties related to an investment fund.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Guarantor <Guarnt>	[0..1]	±		2060
	Auditor <Audtr>	[0..1]	±		2061
	Trustee <Trstee>	[0..1]	±		2061
	OtherParty <OthrPty>	[0..*]	±		2062

65.1.30.7.1 Guarantor <Guarnt>

Presence: [0..1]

Definition: Guarantor of the financial instrument. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 00080.

Guarantor <Guarnt> contains the following elements (see "ContactAttributes5" on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.7.2 Auditor <Audtr>

Presence: [0..1]

Definition: Party that regularly monitors compliance with the legal regulations.

Auditor <Audtr> contains the following elements (see "ContactAttributes5" on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.7.3 Trustee <Trstee>

Presence: [0..1]

Definition: Legal owner of the financial instrument. However, the beneficiary has the equitable or beneficial ownership.

Trustee <Trstee> contains the following elements (see "ContactAttributes5" on page 2058 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2059
	PostalAddress <PstlAdr>	[0..1]	±		2059
	PhoneNumber <PhneNb>	[0..1]	Text		2059
	FaxNumber <FaxNb>	[0..1]	Text		2059
	EmailAddress <EmailAdr>	[0..1]	Text		2059
	URLAddress <URLAdr>	[0..1]	Text		2059
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2060
	LEI <LEI>	[0..1]	IdentifierSet		2060

65.1.30.7.4 OtherParty <OthrPty>

Presence: [0..*]

Definition: Other type of party.

OtherParty <OthrPty> contains the following elements (see "ExtendedParty13" on page 2057 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyRole <PtyRole>	[1..1]	±		2057
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2058

65.1.30.8 Intermediary43

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2062
	Account <Acct>	[0..1]			2063
	Identification <Id>	[0..1]	Text		2063
	AccountServicer <AcctSvcr>	[1..1]	±		2063
	Role <Role>	[0..1]	±		2063
	ContactPerson <CtctPrsn>	[0..1]	±		2064

65.1.30.8.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "[PartyIdentification139](#)" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.30.8.2 Account <Acct>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Account <Acct> contains the following **Account27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2063
	AccountServicer <AcctSvcr>	[1..1]	±		2063

65.1.30.8.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.30.8.2.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "[PartyIdentification139](#)" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.30.8.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see ["Role4Choice"](#) on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

65.1.30.8.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see ["ContactIdentification2"](#) on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.30.9 PartyIdentification123Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2064
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2065
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2065

65.1.30.9.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C4 "AnyBIC"](#)

Datatype: ["AnyBICDec2014Identifier"](#) on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.9.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party, using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.30.9.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.10 PartyIdentification141

Definition: Identification of an entity involved in an activity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2066
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2066
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2066
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2067
	LEI <LEI>	[0..1]	IdentifierSet		2067
	AlternateIdentification <AltrId>	[0..1]	±		2067
	ProcessingDate <PrcgDt>	[0..1]	±		2067
	ProcessingIdentification <PrcgId>	[0..1]	Text		2068
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2068

65.1.30.10.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

Identification <Id> contains one of the following **PartyIdentification122Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2066
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2066
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2067

65.1.30.10.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.10.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.10.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.30.10.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.30.10.3 AlternateIdentification <AltrId>

Presence: [0..1]

Definition: Alternate identification for the party.

AlternateIdentification <AltrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 2090 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2091
	Country <Ctry>	[1..1]	CodeSet	C11	2091
	AlternateIdentification <AltrId>	[1..1]	Text		2091

65.1.30.10.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date/time at which the instruction was processed by the specified party.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.30.10.5 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: ["Max35Text"](#) on page 2764

65.1.30.10.6 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the party.

Impacted by: [C3 "AdditonalDetailsGuideline"](#)

AdditionalInformation <AddtlInf> contains the following elements (see ["PartyTextInformation1"](#) on page 2119 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		2119
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		2119
	RegistrationDetails <RegnDtls>	[0..1]	Text		2119

Constraints

- AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

65.1.30.11 PartyIdentification126Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2068
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2069

65.1.30.11.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C4 "AnyBIC"](#)

Datatype: "AnyBICDec2014Identifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.11.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.12 PartyIdentification140

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2069
	LEI <LEI>	[0..1]	IdentifierSet		2069

65.1.30.12.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "PartyIdentification126Choice" on page 2068 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2068
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2069

65.1.30.12.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.30.13 PartyIdentification125Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2070
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2070
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2070

65.1.30.13.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.13.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.13.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.14 PartyIdentification139

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.30.14.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "PartyIdentification125Choice" on page 2070 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2070
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2070
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2070

65.1.30.14.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.30.15 Intermediary42

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2072
	Account <Acct>	[0..1]			2072
	Identification <Id>	[0..1]	Text		2072
	AccountServicer <AcctSvcr>	[1..1]	±		2072
	Role <Role>	[0..1]	±		2072

65.1.30.15.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identifier of the intermediary.**Identification <Id>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.15.2 Account <Acct>*Presence:* [0..1]*Definition:* Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.**Account <Acct>** contains the following **Account25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2072
	AccountServicer <AcctSvcr>	[1..1]	±		2072

65.1.30.15.2.1 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "[Max35Text](#)" on page 2764**65.1.30.15.2.2 AccountServicer <AcctSvcr>***Presence:* [1..1]*Definition:* Institution that maintains the records where the account is held.**AccountServicer <AcctSvcr>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.15.3 Role <Role>*Presence:* [0..1]*Definition:* Function performed by the intermediary.

Role <Role> contains one of the following elements (see "Role4Choice" on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

65.1.30.16 Intermediary41

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.30.16.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.16.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074

65.1.30.16.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.30.16.2.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution that maintains the records where the account is held.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.16.3 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "Role4Choice" on page 2114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

65.1.30.16.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "ContactIdentification2" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.30.17 PartyIdentificationAndAccount156

Definition: Identification of a settlement party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[0..1]	±		2075
	AccountIdentification <AcctId>	[0..1]	Text		2075
	PlaceOfSettlement <PlcOfSttlm>	[1..1]	±		2075

65.1.30.17.1 PartyIdentification <PtyId>

Presence: [0..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.17.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.30.17.3 PlaceOfSettlement <PlcOfSttlm>

Presence: [1..1]

Definition: Place where settlement of the securities takes place.

PlaceOfSettlement <PlcOfSttlm> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.18 ModificationScope38

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScpIndctn>	[1..1]	CodeSet		2076
	Intermediary <Intrmy>	[1..1]	±		2076

65.1.30.18.1 ModificationScopeIndication <ModScpIndctn>*Presence:* [1..1]*Definition:* Type of modification to be applied.*Datatype:* "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.30.18.2 Intermediary <Intrmy>*Presence:* [1..1]*Definition:* Intermediary or other party related to the management of the account.**Intermediary <Intrmy>** contains the following elements (see "Intermediary36" on page 2108 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2109
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2109
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2110
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2110
	Account <Acct>	[0..1]			2110
	Identification <Id>	[0..1]	Text		2110
	AccountServicer <AcctSvcr>	[1..1]	±		2110
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2111
	Role <Role>	[0..1]	±		2111
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2111
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2112
	NameAndAddress <NmAndAdr>	[0..1]	±		2112

65.1.30.19 Intermediary33*Definition:* Identification of a party and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2077
	LegalEntityIdentifier <LglnNttyldr>	[0..1]	IdentifierSet		2077
	Account <Acct>	[0..1]			2077
	Identification <Id>	[0..1]	Text		2077
	AccountServicer <AcctSvcr>	[1..1]	±		2078

65.1.30.19.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier for an organisation that is allocated by an institution.

Identification <Id> contains one of the following elements (see "[PartyIdentification70Choice](#)" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.30.19.2 LegalEntityIdentifier <LglnNttyldr>

Presence: [0..1]

Definition: Identification of the party with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.30.19.3 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2077
	AccountServicer <AcctSvcr>	[1..1]	±		2078

65.1.30.19.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.30.19.3.2 AccountServicer <AcctSvcr>*Presence:* [1..1]*Definition:* Institution servicing an account and assigning the account identifier to the account owner.**AccountServicer <AcctSvcr>** contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.30.20 ExtendedParty12*Definition:* Other type of party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		2078
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2078

65.1.30.20.1 ExtendedPartyRole <XtndedPtyRole>*Presence:* [1..1]*Definition:* Other type of party's role.*Datatype:* "Extended350Code" on page 2762**65.1.30.20.2 OtherPartyDetails <OthrPtyDtls>***Presence:* [1..1]*Definition:* Detailed ownership information about a party.

OtherPartyDetails <OthrPtyDtls> contains the following elements (see "InvestmentAccountOwnershipInformation15" on page 1678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1682
{Or	Organisation <Org>	[1..1]	±	C20	1682
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1684
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1686
	ModifiedInvestorProfileValidation <ModfdInvstrPrflVldtn>	[0..*]			1687
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		1687
	InvestorProfileValidation <InvstrPrflVldtn>	[1..1]			1688
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1689
	ValidatingParty <VldtngPty>	[0..1]	Text		1690
	CheckingParty <ChckngPty>	[0..1]	Text		1690
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1690
	CertificateType <CertTp>	[0..1]			1690
{Or	Code <Cd>	[1..1]	CodeSet		1690
Or}	Proprietary <Prtry>	[1..1]	±		1691
	CheckingDate <ChckngDt>	[0..1]	Date		1691
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1691
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1692
	SalaryRange <SlryRg>	[0..1]	Text		1692
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1692
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1692
	RiskLevel <RskLvl>	[0..1]			1692
{Or	Code <Cd>	[1..1]	CodeSet		1692
Or}	Proprietary <Prtry>	[1..1]	±		1693
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1693
{Or	Code <Cd>	[1..1]	CodeSet		1693
Or}	Proprietary <Prtry>	[1..1]	±		1694
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1694
	DatabaseCheck <DBChck>	[1..1]	Indicator		1694
	Identification <Id>	[1..1]	Text		1694

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1694
	Rate <Rate>	[0..1]	Rate		1695
	Fraction <Frctn>	[0..1]	Text		1695
	ClientIdentification <CIntld>	[0..1]	Text		1695
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1695
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1695
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1696
	Notification <Ntfctn>	[0..*]			1696
	NotificationType <NtfctnTp>	[1..1]	Text		1696
	Required <Reqr>	[1..1]	Indicator		1696
	DistributionType <DstrbtnTp>	[0..1]			1697
{Or	Code <Cd>	[1..1]	CodeSet		1697
Or}	Proprietary <Prtry>	[1..1]	±		1697
	FATCAFormType <FATCAFormTp>	[0..*]	±		1697
	FATCAStatus <FATCASTs>	[0..*]			1698
	Type <Tp>	[1..1]			1698
{Or	Code <Cd>	[1..1]	CodeSet		1698
Or}	Proprietary <Prtry>	[1..1]	±		1699
	Source <Src>	[0..1]			1699
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1700
	FATCARReportingDate <FATCARptgDt>	[0..1]	Date		1700
	CRSFormType <CRSFormTp>	[0..*]			1700
{Or	Code <Cd>	[1..1]	CodeSet		1700
Or}	Proprietary <Prtry>	[1..1]	±		1701
	CRSStatus <CRSSSts>	[0..*]			1701
	Type <Tp>	[1..1]			1702
{Or	Code <Cd>	[1..1]	CodeSet		1702
Or}	Proprietary <Prtry>	[1..1]	±		1703
	Source <Src>	[0..1]			1703
{Or	Code <Cd>	[1..1]	CodeSet		1704

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		1704
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1704
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1704
	OtherIdentification <Othrld>	[0..*]	±		1705
	TaxExemption <TaxXmptn>	[0..1]	±		1705
	TaxReporting <TaxRptg>	[0..*]	±		1705
	Language <Lang>	[0..1]	CodeSet	C33	1706
	MailType <MailTp>	[0..1]			1706
{Or	Code <Cd>	[1..1]	CodeSet		1706
Or}	Proprietary <Prtry>	[1..1]	±		1707
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1707
	Country <Ctry>	[1..1]	CodeSet	C11	1707
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1707
	MonetaryWealth <MntryWlth>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1708
	Amount <Amt>	[1..1]	Amount	C2, C10	1708
	EquityValue <EqtyVal>	[0..1]			1708
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1709
	WorkingCapital <WorkgCptl>	[0..1]			1709
	Date <Dt>	[1..1]	Date		1709
	Amount <Amt>	[1..1]	Amount	C2, C10	1710
	CompanyLink <CpnyLk>	[0..1]			1710
{Or	Code <Cd>	[1..1]	CodeSet		1710
Or}	Proprietary <Prtry>	[1..1]	±		1711
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1711
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1711
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1712
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1712
	Sector <Sctr>	[0..1]	Text		1713
	Branch <Brnch>	[0..1]	Text		1713

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Group <Grp>	[0..1]	Text		1713
	Other <Othr>	[0..1]	Text		1713
	AccountingStatus <AcctgSts>	[0..1]	±		1713
	AdditionalInformation <AddtlInf>	[0..*]	±		1714
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1714

65.1.30.21 ExtendedParty11

Definition: Party and account information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExtendedPartyRole <XtndedPtyRole>	[1..1]	Text		2082
	OtherPartyDetails <OthrPtyDtls>	[1..1]	±		2082

65.1.30.21.1 ExtendedPartyRole <XtndedPtyRole>

Presence: [1..1]

Definition: Other type of party's role.

Datatype: "Extended350Code" on page 2762

65.1.30.21.2 OtherPartyDetails <OthrPtyDtls>

Presence: [1..1]

Definition: Detailed ownership information about a party.

OtherPartyDetails <OthrPtyDtls> contains the following elements (see "InvestmentAccountOwnershipInformation14" on page 1743 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			1747
{Or	Organisation <Org>	[1..1]	±	C24	1747
Or}	IndividualPerson <IndvPrsn>	[1..1]	±		1749
	MoneyLaunderingCheck <MnyLndrgChck>	[0..1]	±		1751
	InvestorProfileValidation <InvstrPrflVldtn>	[0..*]			1751
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		1752
	ValidatingParty <VldtngPty>	[0..1]	Text		1753
	CheckingParty <ChckngPty>	[0..1]	Text		1753
	ResponsibleParty <RspnsblPty>	[0..1]	Text		1753
	CertificateType <CertTp>	[0..1]			1753
{Or	Code <Cd>	[1..1]	CodeSet		1753
Or}	Proprietary <Prtry>	[1..1]	±		1754
	CheckingDate <ChckngDt>	[0..1]	Date		1754
	CheckingFrequency <ChckngFrqcy>	[0..1]	CodeSet		1754
	NextRevisionDate <NxtRvsnDt>	[0..1]	Date		1755
	SalaryRange <SlryRg>	[0..1]	Text		1755
	SourceOfWealth <SrcOfWlth>	[0..1]	Text		1755
	CustomerConductClassification <CstmrCndctClssfctn>	[0..1]	±		1755
	RiskLevel <RskLvl>	[0..1]			1755
{Or	Code <Cd>	[1..1]	CodeSet		1755
Or}	Proprietary <Prtry>	[1..1]	±		1756
	KnowYourCustomerCheckType <KnowYourCstmrChckTp>	[0..1]			1756
{Or	Code <Cd>	[1..1]	CodeSet		1756
Or}	Proprietary <Prtry>	[1..1]	±		1757
	KnowYourCustomerDatabaseCheck <KnowYourCstmrDBChck>	[0..1]			1757
	DatabaseCheck <DBChck>	[1..1]	Indicator		1757
	Identification <Id>	[1..1]	Text		1757
	OwnershipBeneficiaryRate <OwnrshBnfcryRate>	[0..1]		C25	1757
	Rate <Rate>	[0..1]	Rate		1758

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Fraction <Frctn>	[0..1]	Text		1758
	ClientIdentification <Clnltd>	[0..1]	Text		1758
	FiscalExemption <FscIXmptn>	[0..1]	Indicator		1758
	SignatoryRightIndicator <SgntryRghtInd>	[0..1]	Indicator		1758
	MiFIDClassification <MiFIDClssfctn>	[0..1]	±		1759
	Notification <Ntfctn>	[0..*]			1759
	NotificationType <NtfctnTp>	[1..1]	Text		1759
	Required <Reqrd>	[1..1]	Indicator		1759
	DistributionType <DstrbtnTp>	[0..1]			1760
{Or	Code <Cd>	[1..1]	CodeSet		1760
Or}	Proprietary <Prtry>	[1..1]	±		1760
	FATCAFormType <FATCAFormTp>	[0..*]	±		1760
	FATCAStatus <FATCASTs>	[0..*]			1761
	Type <Tp>	[1..1]			1761
{Or	Code <Cd>	[1..1]	CodeSet		1761
Or}	Proprietary <Prtry>	[1..1]	±		1762
	Source <Src>	[0..1]			1762
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1763
	FATCAReportingDate <FATCARptgDt>	[0..1]	Date		1763
	CRSFormType <CRSFormTp>	[0..*]			1763
{Or	Code <Cd>	[1..1]	CodeSet		1763
Or}	Proprietary <Prtry>	[1..1]	±		1764
	CRSStatus <CRSSts>	[0..*]			1764
	Type <Tp>	[1..1]			1765
{Or	Code <Cd>	[1..1]	CodeSet		1765
Or}	Proprietary <Prtry>	[1..1]	±		1766
	Source <Src>	[0..1]			1766
{Or	Code <Cd>	[1..1]	CodeSet		1767
Or}	Proprietary <Prtry>	[1..1]	±		1767
	ExceptionalReportingCountry <XcptnlRptgCtry>	[0..1]	CodeSet	C11	1767

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CRSReportingDate <CRSRptgDt>	[0..1]	Date		1767
	OtherIdentification <Othrld>	[0..*]	±		1768
	TaxExemption <TaxXmptn>	[0..1]	±		1768
	TaxReporting <TaxRptg>	[0..*]	±		1768
	Language <Lang>	[0..1]	CodeSet	C33	1769
	MailType <MailTp>	[0..1]			1769
{Or	Code <Cd>	[1..1]	CodeSet		1769
Or}	Proprietary <Prtry>	[1..1]	±		1770
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			1770
	Country <Ctry>	[1..1]	CodeSet	C11	1770
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		1770
	MonetaryWealth <MntryWlth>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1771
	Amount <Amt>	[1..1]	Amount	C2, C10	1771
	EquityValue <EqtyVal>	[0..1]			1771
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1772
	WorkingCapital <WorkgCptl>	[0..1]			1772
	Date <Dt>	[1..1]	Date		1772
	Amount <Amt>	[1..1]	Amount	C2, C10	1773
	CompanyLink <CpnyLk>	[0..1]			1773
{Or	Code <Cd>	[1..1]	CodeSet		1773
Or}	Proprietary <Prtry>	[1..1]	±		1774
	ElectronicMailingServiceReference <ElctrncMlngSvcRef>	[0..1]	Text		1774
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		1774
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		1775
	AdditionalRegulatoryInformation <AddtlRgltryInf>	[0..1]			1775
	Sector <Sctr>	[0..1]	Text		1776
	Branch <Brnch>	[0..1]	Text		1776
	Group <Grp>	[0..1]	Text		1776
	Other <Othr>	[0..1]	Text		1776

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountingStatus <AcctgSts>	[0..1]	±		1776
	AdditionalInformation <AddtlInf>	[0..*]	±		1777
	ControllingParty <CtrlgPty>	[0..1]	Indicator		1777

65.1.30.22 Intermediary39

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2086
	Account <Acct>	[0..1]			2086
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2087
	TradingPartyCapacity <TradgPtyCpcty>	[0..1]	CodeSet		2087
	Role <Role>	[0..1]	±		2088

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

65.1.30.22.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.22.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2087
	AccountServicer <AcctSvcr>	[0..1]	±		2087

65.1.30.22.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.30.22.2.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing the account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.22.3 OrderOriginatorEligibility <OrdOrgtrElgblty>

Presence: [0..1]

Definition: Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Datatype: "OrderOriginatorEligibility1Code" on page 2700

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

65.1.30.22.4 TradingPartyCapacity <TradgPtyCpcty>

Presence: [0..1]

Definition: Capacity of the party executing an order.

Datatype: "TradingCapacity8Code" on page 2738

CodeName	Name	Definition
AGEN	Agent	Trading as Agent on behalf of a customer.
PRIN	Principal	Trading as Principal.

65.1.30.22.5 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "InvestmentFundRole2Choice" on page 1920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1921
Or}	Proprietary <Prtry>	[1..1]	±		1922

65.1.30.23 PartyIdentification113

Definition: Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.23.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the party.

Party <Pty> contains one of the following elements (see "PartyIdentification90Choice" on page 2113 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2113
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2113

65.1.30.23.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.30.24 Intermediary40

Definition: Party that provides services to investors relating to financial products.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2089
	Account <Acct>	[0..1]			2089
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090
	OrderOriginatorEligibility <OrdrOrgtrElgblty>	[0..1]	CodeSet		2090
	Role <Role>	[0..1]	±		2090

Constraints

- **OrderOriginatorEligibility1Rule**

OrderOriginatorEligibility may only be present if Role is either UCL1 (UnderlyingClient1) or UCL2 (UnderlyingClient2).

65.1.30.24.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of the intermediary.

Identification <Id> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.24.2 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account22** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2089
	AccountServicer <AcctSvcr>	[0..1]	±		2090

65.1.30.24.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.30.24.2.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Institution servicing the account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.30.24.3 OrderOriginatorEligibility <OrdrgtrElgblty>

Presence: [0..1]

Definition: Counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Datatype: "OrderOriginatorEligibility1Code" on page 2700

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

65.1.30.24.4 Role <Role>

Presence: [0..1]

Definition: Function performed by the intermediary.

Role <Role> contains one of the following elements (see "InvestmentFundRole2Choice" on page 1920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1921
Or}	Proprietary <Prtry>	[1..1]	±		1922

65.1.30.25 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		2091
	Country <Ctry>	[1..1]	CodeSet	C11	2091
	AlternateIdentification <AltrnId>	[1..1]	Text		2091

65.1.30.25.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "[IdentificationType42Choice](#)" on page 1639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1639
Or}	Proprietary <Prtry>	[1..1]	±		1639

65.1.30.25.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C11 "Country"

Datatype: "[CountryCode](#)" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.30.25.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "[Max35Text](#)" on page 2764

65.1.30.26 RegisteredShareholderName1Choice

Definition: Choice of individual or organisation name and address.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IndividualPerson <IndvPrsn>	[1..1]			2093
	NamePrefix <NmPrfx>	[0..1]			2094
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095
	GivenName <GvnNm>	[0..1]	Text		2095
	MiddleName <MddlNm>	[0..1]	Text		2095
	Name <Nm>	[1..1]	Text		2095
	PostalAddress <PstlAdr>	[1..5]		C23	2096
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MlngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdlnBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099
Or}	Organisation <Org>	[1..1]			2100
	Name <Nm>	[1..1]	Text		2101
	ShortName <ShrtNm>	[0..1]	Text		2101

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostalAddress <PstlAdr>	[1..5]		C23	2101
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MnglInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdlnBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

65.1.30.26.1 IndividualPerson <IndvPrsn>

Presence: [1..1]

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

IndividualPerson <IndvPrsn> contains the following **IndividualPerson29** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2094
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095
	GivenName <GvnNm>	[0..1]	Text		2095
	MiddleName <MddlNm>	[0..1]	Text		2095
	Name <Nm>	[1..1]	Text		2095
	PostalAddress <PstlAdr>	[1..5]		C23	2096
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MlngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdlnBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099

65.1.30.26.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2095
Or}	Proprietary <Prtry>	[1..1]	±		2095

65.1.30.26.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2698

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.1.30.26.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name prefix expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.26.1.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "Max35Text" on page 2764

65.1.30.26.1.3 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "Max35Text" on page 2764

65.1.30.26.1.4 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that person.

Datatype: "Max350Text" on page 2764

65.1.30.26.1.5 PostalAddress <PstIAdr>

Presence: [1..5]

Definition: Address of the person.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2096
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097
	MailingIndicator <MIngInd>	[0..1]	Indicator		2097
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2097
	CareOf <CareOf>	[0..1]	Text		2098
	AddressLine <AdrLine>	[0..5]	Text		2098
	StreetName <StrtNm>	[0..1]	Text		2098
	BuildingNumber <BldgNb>	[0..1]	Text		2098
	BuildingName <BldgNm>	[0..1]	Text		2098
	PostBox <PstBx>	[0..1]	Text		2098
	SideInBuilding <SdInBldg>	[0..1]	Text		2098
	Floor <Flr>	[0..1]	Text		2098
	SuiteIdentification <SuiteId>	[0..1]	Text		2099
	PostCode <PstCd>	[0..1]	Text		2099
	DistrictName <DstrctNm>	[0..1]	Text		2099
	Village <Vllg>	[0..1]	Text		2099
	TownName <TwnNm>	[0..1]	Text		2099
	State <Stat>	[0..1]	Text		2099
	Country <Ctry>	[1..1]	CodeSet	C11	2099

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.30.26.1.5.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2097
Or}	Proprietary <Prtry>	[1..1]	±		2097

65.1.30.26.1.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.30.26.1.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.26.1.5.2 MailingIndicator <MIngInd>

Presence: [0..1]

Definition: Indicates whether mail should be sent to the address.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.30.26.1.5.3 RegistrationAddressIndicator <RegnAdrInd>

Presence: [0..1]

Definition: Indicates whether the address is the official address of the party.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.30.26.1.5.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: ["Max70Text" on page 2765](#)

65.1.30.26.1.5.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 2765](#)

65.1.30.26.1.5.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: ["Max70Text" on page 2765](#)

65.1.30.26.1.5.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: ["Max16Text" on page 2763](#)

65.1.30.26.1.5.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: ["Max35Text" on page 2764](#)

65.1.30.26.1.5.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: ["Max10Text" on page 2763](#)

65.1.30.26.1.5.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: ["Max35Text" on page 2764](#)

65.1.30.26.1.5.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.30.26.1.5.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.30.26.1.5.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.30.26.1.5.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.30.26.1.5.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.30.26.1.5.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.30.26.1.5.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.30.26.1.5.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.30.26.2 Organisation <Org>

Presence: [1..1]

Definition: Organised structure that is set up for a particular purpose, for example, a business, government body, department, charity, or financial institution.

Organisation <Org> contains the following **Organisation23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2101
	ShortName <ShrtNm>	[0..1]	Text		2101
	PostalAddress <PstlAdr>	[1..5]		C23	2101
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MlngInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdlnBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

65.1.30.26.2.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.30.26.2.2 ShortName <ShrtNm>

Presence: [0..1]

Definition: Name of the organisation in short form.

Datatype: "Max35Text" on page 2764

65.1.30.26.2.3 PostalAddress <PstlAdr>

Presence: [1..5]

Definition: Information that locates and identifies a specific address, as defined by postal services.

Impacted by: C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2102
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103
	MailingIndicator <MIngInd>	[0..1]	Indicator		2103
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2103
	CareOf <CareOf>	[0..1]	Text		2104
	AddressLine <AdrLine>	[0..5]	Text		2104
	StreetName <StrtNm>	[0..1]	Text		2104
	BuildingNumber <BldgNb>	[0..1]	Text		2104
	BuildingName <BldgNm>	[0..1]	Text		2104
	PostBox <PstBx>	[0..1]	Text		2104
	SideInBuilding <SdInBldg>	[0..1]	Text		2104
	Floor <Flr>	[0..1]	Text		2104
	SuiteIdentification <SuiteId>	[0..1]	Text		2104
	PostCode <PstCd>	[0..1]	Text		2105
	DistrictName <DstrctNm>	[0..1]	Text		2105
	Village <Vllg>	[0..1]	Text		2105
	TownName <TwnNm>	[0..1]	Text		2105
	State <Stat>	[0..1]	Text		2105
	Country <Ctry>	[1..1]	CodeSet	C11	2105

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.30.26.2.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2103
Or}	Proprietary <Prtry>	[1..1]	±		2103

65.1.30.26.2.3.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.30.26.2.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.26.2.3.2 MailingIndicator <MIingInd>*Presence:* [0..1]*Definition:* Indicates whether mail should be sent to the address.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.30.26.2.3.3 RegistrationAddressIndicator <RegnAdrInd>*Presence:* [0..1]*Definition:* Indicates whether the address is the official address of the party.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.30.26.2.3.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: "Max16Text" on page 2763

65.1.30.26.2.3.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 2764

65.1.30.26.2.3.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: "Max10Text" on page 2763

65.1.30.26.2.3.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.30.26.2.3.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.30.26.2.3.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.30.26.2.3.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.30.26.2.3.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.30.26.2.3.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.30.26.2.3.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.30.27 PartyIdentification70Choice

Definition: Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.30.27.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.27.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.27.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.28 PartyRole4Choice

Definition: Choice of formats for the specification of the role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2107
Or}	Proprietary <Prtry>	[1..1]	±		2108

65.1.30.28.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "InvestmentFundRole7Code" on page 2690

CodeName	Name	Definition
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
TRAG	TransferAgent	Party appointed by the fund management company. This party

CodeName	Name	Definition
		updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
INVE	InvestmentManager	Party that implements the investment strategy, ie, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

65.1.30.28.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.29 Intermediary36

Definition: Identification of a party and its role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2109
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2109
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2110
	LegalEntityIdentifier <LglNttyldr>	[0..1]	IdentifierSet		2110
	Account <Acct>	[0..1]			2110
	Identification <Id>	[0..1]	Text		2110
	AccountServicer <AcctSvcr>	[1..1]	±		2110
	WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>	[0..1]	Indicator		2111
	Role <Role>	[0..1]	±		2111
	PrimaryCommunicationAddress <PmryComAdr>	[0..*]	±		2111
	SecondaryCommunicationAddress <ScndryComAdr>	[0..*]	±		2112
	NameAndAddress <NmAndAdr>	[0..1]	±		2112

65.1.30.29.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier for an organisation that is allocated by an institution.

Identification <Id> contains one of the following **PartyIdentification72Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2109
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		2110

65.1.30.29.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.29.1.2 ProprietaryIdentification <Prtryld>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <Prtryld> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.29.2 LegalEntityIdentifier <LglNttyldr>

Presence: [0..1]

Definition: Identification of the organisation with a Legal Entity Identifier. This is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Datatype: "[LEIIdentifier](#)" on page 2756

65.1.30.29.3 Account <Acct>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

Account <Acct> contains the following **Account20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2110
	AccountServicer <AcctSvcr>	[1..1]	±		2110

65.1.30.29.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.30.29.3.2 AccountServicer <AcctSvcr>

Presence: [1..1]

Definition: Institution servicing an account and assigning the account identifier to the account owner.

AccountServicer <AcctSvcr> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.30.29.4 WaivedTrailerCommissionIndicator <WvdTrlrComssnInd>

Presence: [0..1]

Definition: Non-enforcement of the right to all or part of a commission by the party entitled to the commission.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.30.29.5 Role <Role>

Presence: [0..1]

Definition: Role or function performed by the intermediary.

Role <Role> contains one of the following elements (see "PartyRole2Choice" on page 2115 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2115
Or}	Proprietary <Prtry>	[1..1]	±		2117

65.1.30.29.6 PrimaryCommunicationAddress <PmryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

PrimaryCommunicationAddress <PmryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.30.29.7 SecondaryCommunicationAddress <ScndryComAdr>

Presence: [0..*]

Definition: Communication device number or electronic address used for communication.

SecondaryCommunicationAddress <ScndryComAdr> contains the following elements (see "CommunicationAddress6" on page 1259 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			1259
{Or	Code <Cd>	[1..1]	CodeSet		1260
Or}	Proprietary <Prtry>	[1..1]	±		1260
	Email <Email>	[0..1]	Text		1260
	Phone <Phne>	[0..1]	Text		1260
	Mobile <Mob>	[0..1]	Text		1260
	FaxNumber <FaxNb>	[0..1]	Text		1260
	TelexAddress <TlxAdr>	[0..1]	Text		1261
	URLAddress <URLAdr>	[0..1]	Text		1261

65.1.30.29.8 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress4](#)" on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

65.1.30.30 PartyIdentification90Choice

Definition: Choice of formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2113
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2113

65.1.30.30.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Identification of the party expressed as a BIC.

Impacted by: [C7 "AnyBIC"](#)

Datatype: "[AnyBICIdentifier](#)" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.30.30.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to the party using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.30.30.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.30.31 Role4Choice

Definition: Choice of format for a party role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2114
Or}	Proprietary <Prtry>	[1..1]	±		2115

65.1.30.31.1 Code <Cd>

Presence: [1..1]

Definition: Role of the party in the activity expressed as a code.

Datatype: "InvestmentFundRole2Code" on page 2687

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.

CodeName	Name	Definition
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.1.30.31.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role of the party in the activity expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.32 PartyRole2Choice

Definition: Choice of formats for the specification of the role.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2115
Or}	Proprietary <Prtry>	[1..1]	±		2117

65.1.30.32.1 Code <Cd>

Presence: [1..1]

Definition: Role expressed as a code.

Datatype: "InvestmentFundRole6Code" on page 2688

CodeName	Name	Definition
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.
DIST	Distributor	Party that act as an wholesaler of funds.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the

CodeName	Name	Definition
		transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, ie, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.1.30.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Role expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.33 MoneyLaunderingCheck1Choice

Definition: Choice of formats for the specification of the money laundering check.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2118
Or}	Proprietary <Prtry>	[1..1]	±		2119

65.1.30.33.1 Code <Cd>

Presence: [1..1]

Definition: Money laundering status expressed as a code.

Datatype: "MoneyLaunderingCheck1Code" on page 2697

CodeName	Name	Definition
PASS	Passed	Money laundering identification procedures have been carried out by the intermediary.
NOTC	NotChecked	Money laundering identification procedures have not been carried out.
EXEM	ExemptBelowLimit	Money laundering identification procedures have not been carried out because the transaction is below the prescribed limit.
CLMO	ClientMoneyTypeExempt	Money laundering identification procedures have not been carried out because of the transaction type, eg, switch, or because the transaction is being funded from an existing investor account held by the intermediary.
AUTH	AuthorisedCredit	Money laundering identification procedures have not been carried out because the investor is an authorised credit or financial institution.
POEP	PostalOrElectronicPaymentExempt	Limited money laundering identification procedures have been carried out because it is a one time only transaction and the payment is being made by postal, telephone or electronic transfers from an existing account with an authorised credit or financial institution.

65.1.30.33.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Money laundering status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.30.34 PartyTextInformation1*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrnDtls>	[0..1]	Text		2119
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		2119
	RegistrationDetails <RegnDtls>	[0..1]	Text		2119

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

65.1.30.34.1 DeclarationDetails <DclrnDtls>*Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the party.*Datatype:* "[Max350Text](#)" on page 2764**65.1.30.34.2 PartyContactDetails <PtyCtctDtls>***Presence:* [0..1]*Definition:* Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.*Datatype:* "[Max140Text](#)" on page 2763**65.1.30.34.3 RegistrationDetails <RegnDtls>***Presence:* [0..1]*Definition:* Provides information required for the registration.*Datatype:* "[Max350Text](#)" on page 2764

65.1.31 Payment

65.1.31.1 PaymentInstrument13

Definition: Instrument used to process a payment instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2122
	DividendPercentage <DvddPctg>	[0..1]	Rate		2122
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2122
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2123
	Type <Tp>	[1..1]	CodeSet		2123
	Number <Nb>	[1..1]	Text		2124
	HolderName <HldrNm>	[1..1]	Text		2124
	StartDate <StartDt>	[0..1]	YearMonth		2124
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2124
	CardIssuerName <CardIssrNm>	[0..1]	Text		2124
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2124
	SecurityCode <SctyCd>	[0..1]	Text		2125
	SequenceNumber <SeqNb>	[0..1]	Text		2125
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2125
Or	Cheque <Chq>	[1..1]	Indicator		2126
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2126
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2127
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2127
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2128
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2128
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2128
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2129
	Type <Tp>	[1..1]	CodeSet		2129
	Number <Nb>	[1..1]	Text		2130
	HolderName <HldrNm>	[1..1]	Text		2130
	StartDate <StartDt>	[0..1]	YearMonth		2130
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2130
	CardIssuerName <CardIssrNm>	[0..1]	Text		2130
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2130

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityCode <SctyCd>	[0..1]	Text		2131
	SequenceNumber <SeqNb>	[0..1]	Text		2131
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	Cheque <Chq>	[1..1]	Indicator		2132
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2132
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2133
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2133
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2133

65.1.31.1.1 SettlementCurrency <SttlmCcy>

Presence: [1..1]

Definition: Currency associated with the payment instrument.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.31.1.2 DividendPercentage <DvddPctg>

Presence: [0..1]

Definition: Percentage of the dividend payment not to be reinvested, that is, to be paid in cash.

Datatype: "PercentageBoundedRate" on page 2762

65.1.31.1.3 SubscriptionPaymentInstrument <SbcptPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a subscription payment.

SubscriptionPaymentInstrument <SbcptPmtInstrm> contains one of the following **PaymentInstrument18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2123
	Type <Tp>	[1..1]	CodeSet		2123
	Number <Nb>	[1..1]	Text		2124
	HolderName <HldrNm>	[1..1]	Text		2124
	StartDate <StartDt>	[0..1]	YearMonth		2124
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2124
	CardIssuerName <CardIssrNm>	[0..1]	Text		2124
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2124
	SecurityCode <SctyCd>	[0..1]	Text		2125
	SequenceNumber <SeqNb>	[0..1]	Text		2125
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2125
Or	Cheque <Chq>	[1..1]	Indicator		2126
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2126

65.1.31.1.3.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by card.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2123
	Number <Nb>	[1..1]	Text		2124
	HolderName <HldrNm>	[1..1]	Text		2124
	StartDate <StartDt>	[0..1]	YearMonth		2124
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2124
	CardIssuerName <CardIssrNm>	[0..1]	Text		2124
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2124
	SecurityCode <SctyCd>	[0..1]	Text		2125
	SequenceNumber <SeqNb>	[0..1]	Text		2125

65.1.31.1.3.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2653

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, eg, cash card or cheque guaranteed card.

65.1.31.1.3.1.2 Number <Nb>

Presence: [1..1]

Definition: Number embossed on a card that links the card to the account owner and account servicer.

Datatype: "Max35Text" on page 2764

65.1.31.1.3.1.3 HolderName <HldrNm>

Presence: [1..1]

Definition: Party entitled by a card issuer to use a card.

Datatype: "Max35Text" on page 2764

65.1.31.1.3.1.4 StartDate <StartDt>

Presence: [0..1]

Definition: Year and month the card is available for use.

Datatype: "ISOYearMonth" on page 2766

65.1.31.1.3.1.5 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Year and month the card expires.

Datatype: "ISOYearMonth" on page 2766

65.1.31.1.3.1.6 CardIssuerName <CardIssrNm>

Presence: [0..1]

Definition: Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.

Datatype: "Max35Text" on page 2764

65.1.31.1.3.1.7 CardIssuerIdentification <CardIssrId>

Presence: [0..1]

Definition: Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.

CardIssuerIdentification <CardIssrId> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.31.1.3.1.8 SecurityCode <SctyCd>

Presence: [0..1]

Definition: Security code written on, or in, the card.

Datatype: "Max35Text" on page 2764

65.1.31.1.3.1.9 SequenceNumber <SeqNb>

Presence: [0..1]

Definition: Number distinguishing two or more payment cards with the same account number.

Datatype: "Max3Text" on page 2764

65.1.31.1.3.2 DirectDebitDetails <DrctDbtDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by direct debit.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "DirectDebitMandate5" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1421
	Debtor <Dbtr>	[0..1]	±		1422
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1422
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1422
	Creditor <Cdtr>	[0..1]	±		1422
	DebtorAgent <DbtrAgt>	[1..1]			1423
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1423
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1423
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1424
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1424
	Identification <Id>	[1..1]	Text		1425
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1425
	CreditorAgent <CdtrAgt>	[0..1]			1425
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1425
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1426
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1426
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1427
	Identification <Id>	[1..1]	Text		1427
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1428
	RegistrationIdentification <RegnId>	[0..1]	Text		1428
	MandateIdentification <MndtId>	[0..1]	Text		1428

65.1.31.1.3.3 Cheque <Chq>

Presence: [1..1]

Definition: Indicates whether the payment is done via cheque.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.31.1.3.4 BankersDraft <BkrsDrft>

Presence: [1..1]

Definition: Indicates whether the payment is done via draft.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.31.1.4 RedemptionPaymentInstrument <RedPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a redemption payment.

RedemptionPaymentInstrument <RedPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2127
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2127

65.1.31.1.4.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "Cheque4" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.1.4.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "Cheque4" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.1.5 DividendPaymentInstrument <DvddPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a dividend payment.

DividendPaymentInstrument <DvddPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2128
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2128

65.1.31.1.5.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "[Cheque4](#)" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.1.5.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "[Cheque4](#)" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.1.6 SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for a savings plan payment.

SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm> contains one of the following **PaymentInstrument18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2129
	Type <Tp>	[1..1]	CodeSet		2129
	Number <Nb>	[1..1]	Text		2130
	HolderName <HldrNm>	[1..1]	Text		2130
	StartDate <StartDt>	[0..1]	YearMonth		2130
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2130
	CardIssuerName <CardIssrNm>	[0..1]	Text		2130
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2130
	SecurityCode <SctyCd>	[0..1]	Text		2131
	SequenceNumber <SeqNb>	[0..1]	Text		2131
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	Cheque <Chq>	[1..1]	Indicator		2132
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2132

65.1.31.1.6.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by card.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2129
	Number <Nb>	[1..1]	Text		2130
	HolderName <HldrNm>	[1..1]	Text		2130
	StartDate <StartDt>	[0..1]	YearMonth		2130
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2130
	CardIssuerName <CardIssrNm>	[0..1]	Text		2130
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2130
	SecurityCode <SctyCd>	[0..1]	Text		2131
	SequenceNumber <SeqNb>	[0..1]	Text		2131

65.1.31.1.6.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2653

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, eg, cash card or cheque guaranteed card.

65.1.31.1.6.1.2 Number <Nb>

Presence: [1..1]

Definition: Number embossed on a card that links the card to the account owner and account servicer.

Datatype: "Max35Text" on page 2764

65.1.31.1.6.1.3 HolderName <HldrNm>

Presence: [1..1]

Definition: Party entitled by a card issuer to use a card.

Datatype: "Max35Text" on page 2764

65.1.31.1.6.1.4 StartDate <StartDt>

Presence: [0..1]

Definition: Year and month the card is available for use.

Datatype: "ISOYearMonth" on page 2766

65.1.31.1.6.1.5 ExpiryDate <XpryDt>

Presence: [1..1]

Definition: Year and month the card expires.

Datatype: "ISOYearMonth" on page 2766

65.1.31.1.6.1.6 CardIssuerName <CardIssrNm>

Presence: [0..1]

Definition: Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.

Datatype: "Max35Text" on page 2764

65.1.31.1.6.1.7 CardIssuerIdentification <CardIssrId>

Presence: [0..1]

Definition: Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.

CardIssuerIdentification <CardIssrId> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.31.1.6.1.8 SecurityCode <SctyCd>

Presence: [0..1]

Definition: Security code written on, or in, the card.

Datatype: "Max35Text" on page 2764

65.1.31.1.6.1.9 SequenceNumber <SeqNb>

Presence: [0..1]

Definition: Number distinguishing two or more payment cards with the same account number.

Datatype: "Max3Text" on page 2764

65.1.31.1.6.2 DirectDebitDetails <DrctDbtDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by direct debit.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "DirectDebitMandate5" on page 1421 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1421
	Debtor <Dbtr>	[0..1]	±		1422
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1422
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1422
	Creditor <Cdtr>	[0..1]	±		1422
	DebtorAgent <DbtrAgt>	[1..1]			1423
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1423
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1423
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1424
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1424
	Identification <Id>	[1..1]	Text		1425
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1425
	CreditorAgent <CdtrAgt>	[0..1]			1425
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1425
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1426
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1426
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1427
	Identification <Id>	[1..1]	Text		1427
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1428
	RegistrationIdentification <RegnId>	[0..1]	Text		1428
	MandateIdentification <MndtId>	[0..1]	Text		1428

65.1.31.1.6.3 Cheque <Chq>

Presence: [1..1]

Definition: Indicates whether the payment is done via cheque.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.31.1.6.4 BankersDraft <BkrsDrft>

Presence: [1..1]

Definition: Indicates whether the payment is done via draft.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.31.1.7 InterestPaymentInstrument <IntrstPmtInstrm>

Presence: [0..1]

Definition: Instrument that has or represents monetary value and is used to process a payment instruction for an interest payment.

InterestPaymentInstrument <IntrstPmtInstrm> contains one of the following **PaymentInstrument19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2133
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2133

65.1.31.1.7.1 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by cheque.

ChequeDetails <ChqDtls> contains the following elements (see "Cheque4" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.1.7.2 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Settlement instructions for a payment by draft.

BankersDraftDetails <BkrsDrftDtls> contains the following elements (see "Cheque4" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		1398

65.1.31.2 PaymentInstrument15

Definition: Payment parties for the transfer of cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2136
	Amount <Amt>	[1..1]	Amount	C2	2136
	PaymentDate <PmtDt>	[0..1]	Date		2136
	CashSettlementDetails <CshSttlmDtls>	[0..1]			2136
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2139
	Debtor <Dbtr>	[0..1]	±		2141
	DebtorAccount <DbtrAcct>	[0..1]			2142
	Name <Nm>	[0..1]	Text		2142
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2142
	Other <Othr>	[0..1]	±		2142
	DebtorAgent <DbtrAgt>	[0..1]			2143
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2143
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2143
	NameAndAddress <NmAndAdr>	[0..1]	±		2144
	LEI <LEI>	[0..1]	IdentifierSet		2144
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2145
	BranchIdentification <BrnchId>	[0..1]	±		2145
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2145
	Name <Nm>	[0..1]	Text		2145
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2145
	Other <Othr>	[0..1]	±		2146
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2146
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2146
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2147
	NameAndAddress <NmAndAdr>	[0..1]	±		2148
	LEI <LEI>	[0..1]	IdentifierSet		2148
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2148
	BranchIdentification <BrnchId>	[0..1]	±		2148
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2148
	Name <Nm>	[0..1]	Text		2149
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2149

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Other <Othr>	[0..1]	±		2149
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2150
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2150
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2150
	NameAndAddress <NmAndAdr>	[0..1]	±		2151
	LEI <LEI>	[0..1]	IdentifierSet		2151
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2152
	BranchIdentification <Brnchld>	[0..1]	±		2152
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2152
	Name <Nm>	[0..1]	Text		2152
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2152
	Other <Othr>	[0..1]	±		2153
	CreditorAgent <CdtrAgt>	[1..1]			2153
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2153
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2154
	NameAndAddress <NmAndAdr>	[0..1]	±		2154
	LEI <LEI>	[0..1]	IdentifierSet		2155
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2155
	BranchIdentification <Brnchld>	[0..1]	±		2155
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2155
	Name <Nm>	[0..1]	Text		2156
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2156
	Other <Othr>	[0..1]	±		2156
	Creditor <Cdtr>	[0..1]	±		2156
	CreditorAccount <CdtrAcct>	[1..1]			2157
	Name <Nm>	[0..1]	Text		2157
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2157
	Other <Othr>	[0..1]	±		2157
Or}	ChequeDetails <ChqDtls>	[1..1]			2158
	Number <Nb>	[0..1]	Text		2158
	PayeeIdentification <Pyeeld>	[1..1]	±		2158

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Draweeldidentification <Drweeld>	[0..1]			2159
	Party <Pty>	[1..1]			2159
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <Prtryld>	[1..1]	Text		2161
	LEI <LEI>	[0..1]	IdentifierSet		2161
	DrawerIdentification <Drwrld>	[0..1]	±		2162

65.1.31.2.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2764

65.1.31.2.2 Amount <Amt>

Presence: [1..1]

Definition: Amount of the payment.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.31.2.3 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date of the payment.

Datatype: "ISODate" on page 2749

65.1.31.2.4 CashSettlementDetails <CshSttlmDtls>

Presence: [0..1]

Definition: Payment process for the transfer of cash from the debtor to the creditor.

CashSettlementDetails <CshSttlmDtls> contains one of the following **PaymentInstrument23Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[0..1]			2139
	Debtor <Dbtr>	[0..1]	±		2141
	DebtorAccount <DbtrAcct>	[0..1]			2142
	Name <Nm>	[0..1]	Text		2142
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2142
	Other <Othr>	[0..1]	±		2142
	DebtorAgent <DbtrAgt>	[0..1]			2143
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2143
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2143
	NameAndAddress <NmAndAdr>	[0..1]	±		2144
	LEI <LEI>	[0..1]	IdentifierSet		2144
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2145
	BranchIdentification <BrnchId>	[0..1]	±		2145
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2145
	Name <Nm>	[0..1]	Text		2145
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2145
	Other <Othr>	[0..1]	±		2146
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2146
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2146
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2147
	NameAndAddress <NmAndAdr>	[0..1]	±		2148
	LEI <LEI>	[0..1]	IdentifierSet		2148
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2148
	BranchIdentification <BrnchId>	[0..1]	±		2148
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2148
	Name <Nm>	[0..1]	Text		2149
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2149
	Other <Othr>	[0..1]	±		2149
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2150
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2150

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2150
	NameAndAddress <NmAndAdr>	[0..1]	±		2151
	LEI <LEI>	[0..1]	IdentifierSet		2151
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2152
	BranchIdentification <Brnchld>	[0..1]	±		2152
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2152
	Name <Nm>	[0..1]	Text		2152
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2152
	Other <Othr>	[0..1]	±		2153
	CreditorAgent <CdtrAgt>	[1..1]			2153
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2153
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2154
	NameAndAddress <NmAndAdr>	[0..1]	±		2154
	LEI <LEI>	[0..1]	IdentifierSet		2155
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2155
	BranchIdentification <Brnchld>	[0..1]	±		2155
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2155
	Name <Nm>	[0..1]	Text		2156
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2156
	Other <Othr>	[0..1]	±		2156
	Creditor <Cdtr>	[0..1]	±		2156
	CreditorAccount <CdtrAcct>	[1..1]			2157
	Name <Nm>	[0..1]	Text		2157
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2157
	Other <Othr>	[0..1]	±		2157
Or}	ChequeDetails <ChqDtls>	[1..1]			2158
	Number <Nb>	[0..1]	Text		2158
	PayeeIdentification <Pyeeld>	[1..1]	±		2158
	DraweeIdentification <Drweeld>	[0..1]			2159
	Party <Pty>	[1..1]			2159
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BICFI <BICFI/>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2161
	LEI <LEI/>	[0..1]	IdentifierSet		2161
	DrawerIdentification <DrwrId>	[0..1]	±		2162

65.1.31.2.4.1 CreditTransferDetails <CdtTrfDtls>

Presence: [0..1]

Definition: Payment instrument between a debtor and a creditor that flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Debtor <Dbtr>	[0..1]	±		2141
	DebtorAccount <DbtrAcct>	[0..1]			2142
	Name <Nm>	[0..1]	Text		2142
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2142
	Other <Othr>	[0..1]	±		2142
	DebtorAgent <DbtrAgt>	[0..1]			2143
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2143
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2143
	NameAndAddress <NmAndAdr>	[0..1]	±		2144
	LEI <LEI>	[0..1]	IdentifierSet		2144
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2145
	BranchIdentification <BrnchId>	[0..1]	±		2145
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2145
	Name <Nm>	[0..1]	Text		2145
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2145
	Other <Othr>	[0..1]	±		2146
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2146
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2146
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2147
	NameAndAddress <NmAndAdr>	[0..1]	±		2148
	LEI <LEI>	[0..1]	IdentifierSet		2148
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2148
	BranchIdentification <BrnchId>	[0..1]	±		2148
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2148
	Name <Nm>	[0..1]	Text		2149
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2149
	Other <Othr>	[0..1]	±		2149
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2150
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2150
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2150
	NameAndAddress <NmAndAdr>	[0..1]	±		2151

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LEI <LEI>	[0..1]	IdentifierSet		2151
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2152
	BranchIdentification <BrnchId>	[0..1]	±		2152
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2152
	Name <Nm>	[0..1]	Text		2152
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2152
	Other <Othr>	[0..1]	±		2153
	CreditorAgent <CdtrAgt>	[1..1]			2153
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2153
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2154
	NameAndAddress <NmAndAdr>	[0..1]	±		2154
	LEI <LEI>	[0..1]	IdentifierSet		2155
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2155
	BranchIdentification <BrnchId>	[0..1]	±		2155
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2155
	Name <Nm>	[0..1]	Text		2156
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2156
	Other <Othr>	[0..1]	±		2156
	Creditor <Cdtr>	[0..1]	±		2156
	CreditorAccount <CdtrAcct>	[1..1]			2157
	Name <Nm>	[0..1]	Text		2157
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2157
	Other <Othr>	[0..1]	±		2157

65.1.31.2.4.1.1 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification132](#)" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.31.2.4.1.2 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2142
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2142
	Other <Othr>	[0..1]	±		2142

65.1.31.2.4.1.2.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.2.4.1.2.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C16 "IBAN"](#)

Datatype: "[IBAN2007Identifier](#)" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.2.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.1.3 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing the account for the debtor.

DebtorAgent <DbtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2143
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2143
	NameAndAddress <NmAndAdr>	[0..1]	±		2144
	LEI <LEI>	[0..1]	IdentifierSet		2144
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2145
	BranchIdentification <BrnchId>	[0..1]	±		2145

65.1.31.2.4.1.3.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "[BICFIDec2014Identifier](#)" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.2.4.1.3.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.2.4.1.3.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.2.4.1.3.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.31.2.4.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.3.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.2.4.1.4 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2145
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2145
	Other <Othr>	[0..1]	±		2146

65.1.31.2.4.1.4.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.4.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.4.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.1.5 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2146
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2147
	NameAndAddress <NmAndAdr>	[0..1]	±		2148
	LEI <LEI>	[0..1]	IdentifierSet		2148
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2148
	BranchIdentification <BrnchId>	[0..1]	±		2148

65.1.31.2.4.1.5.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.2.4.1.5.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.2.4.1.5.3 NameAndAddress <NmAndAdr>*Presence:* [0..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.2.4.1.5.4 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for the party.*Datatype:* ["LEIIdentifier"](#) on page 2756**65.1.31.2.4.1.5.5 ProprietaryIdentification <PrtryId>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.*Datatype:* ["Max35Text"](#) on page 2764**65.1.31.2.4.1.5.6 BranchIdentification <BrnchId>***Presence:* [0..1]*Definition:* Identification of a specific branch of the financial institution.**BranchIdentification <BrnchId>** contains the following elements (see ["BranchData2"](#) on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.2.4.1.6 IntermediaryAgent1Account <IntrmyAgt1Acct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2149
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2149
	Other <Othr>	[0..1]	±		2149

65.1.31.2.4.1.6.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.6.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.6.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.1.7 IntermediaryAgent2 <IntrmyAgt2>*Presence:* [0..1]*Definition:* Agent between the debtor's agent and the creditor's agent.**IntermediaryAgent2 <IntrmyAgt2>** contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2150
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2150
	NameAndAddress <NmAndAdr>	[0..1]	±		2151
	LEI <LEI>	[0..1]	IdentifierSet		2151
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2152
	BranchIdentification <BrnchId>	[0..1]	±		2152

65.1.31.2.4.1.7.1 BICFI <BICFI>*Presence:* [0..1]*Definition:* Identification of the financial institution expressed as a BIC.*Impacted by:* C9 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 2751**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.2.4.1.7.2 ClearingSystemMemberIdentification <ClrSysMmbld>*Presence:* [0..1]*Definition:* Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.2.4.1.7.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.2.4.1.7.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIdentifier" on page 2756

65.1.31.2.4.1.7.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.7.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.2.4.1.8 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2152
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2152
	Other <Othr>	[0..1]	±		2153

65.1.31.2.4.1.8.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.8.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.8.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.1.9 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing the account for the creditor.

CreditorAgent <CdtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2153
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2154
	NameAndAddress <NmAndAdr>	[0..1]	±		2154
	LEI <LEI>	[0..1]	IdentifierSet		2155
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2155
	BranchIdentification <BrnchId>	[0..1]	±		2155

65.1.31.2.4.1.9.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.2.4.1.9.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.2.4.1.9.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.2.4.1.9.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: ["LEIIdentifier"](#) on page 2756

65.1.31.2.4.1.9.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: ["Max35Text"](#) on page 2764

65.1.31.2.4.1.9.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see ["BranchData2"](#) on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.2.4.1.10 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2156
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2156
	Other <Othr>	[0..1]	±		2156

65.1.31.2.4.1.10.1 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2764**65.1.31.2.4.1.10.2 IBAN <IBAN>***Presence:* [0..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C16 "IBAN"*Datatype:* "IBAN2007Identifier" on page 2755**Constraints**

- IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.10.3 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of the account, as assigned by the account servicer, using an identification scheme.**Other <Othr>** contains the following elements (see "GenericAccountIdentification1" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.1.11 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.31.2.4.1.12 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2157
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2157
	Other <Othr>	[0..1]	±		2157

65.1.31.2.4.1.12.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.1.12.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.2.4.1.12.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.2.4.2 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2158
	PayeeIdentification <PyeeId>	[1..1]	±		2158
	DraweeIdentification <DrweeId>	[0..1]			2159
	Party <Pty>	[1..1]			2159
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2161
	LEI <LEI>	[0..1]	IdentifierSet		2161
	DrawerIdentification <DrwrId>	[0..1]	±		2162

65.1.31.2.4.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.2.2 PayeeIdentification <PyeeId>

Presence: [1..1]

Definition: Party to which the cheque is made payable.

PayeeIdentification <PyeeId> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.31.2.4.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which the cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following **FinancialInstitutionIdentification17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]			2159
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2161
	LEI <LEI>	[0..1]	IdentifierSet		2161

65.1.31.2.4.2.3.1 Party <Pty>

Presence: [1..1]

Definition: Unique identification of the financial institution.

Party <Pty> contains one of the following **FinancialInstitutionIdentification10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		2159
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C9	2160
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		2160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	Text		2161

65.1.31.2.4.2.3.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.2.4.2.3.1.2 BICFI <BICFI>

Presence: [1..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.2.4.2.3.1.3 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [1..1]

Definition: Choice of identifier for a clearing system member, as assigned by the clearing system. In some clearing systems, the accounts of the clearing system members are also assigned an identifier.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification2Choice" on page 1398 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1399
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1399
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1400
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1400
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1400
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1400
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1400
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1400
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1400
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1401
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1401
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1401
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1401
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1401
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1401
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1401
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1402
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1402
Or	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1402
Or	IndianFinancialSystemCode <INIFSC>	[1..1]	IdentifierSet		1402
Or	HellenicBankIdentificationCode <GRHEBIC>	[1..1]	IdentifierSet		1402
Or	PolishNationalClearingCode <PLKNR>	[1..1]	IdentifierSet		1402
Or}	OtherClearingCodeIdentification <OthrClrCdId>	[1..1]	Text		1403

65.1.31.2.4.2.3.1.4 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.31.2.4.2.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.31.2.4.2.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues the cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.31.3 PaymentInstrument14

Definition: Payment parties for the transfer of cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2164
	CreditTransferDetails <CdtTrfDtls>	[0..1]			2164
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2178
	BranchIdentification <Brnchld>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <Prtryld>	[0..1]	Text		2181
	BranchIdentification <Brnchld>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

65.1.31.3.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2764

65.1.31.3.2 CreditTransferDetails <CdtTrfDtls>

Presence: [0..1]

Definition: Payment instrument between a debtor and a creditor that flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Debtor <Dbtr>	[0..1]	±		2167
	DebtorAccount <DbtrAcct>	[0..1]			2168
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168
	DebtorAgent <DbtrAgt>	[0..1]			2169
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]			2171
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]			2172
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]			2174
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]			2176
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]			2178
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179
	CreditorAgent <CdtrAgt>	[1..1]			2179
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]			2181
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182
	Creditor <Cdtr>	[0..1]	±		2182
	CreditorAccount <CdtrAcct>	[1..1]			2183
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

65.1.31.3.2.1 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification132](#)" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.31.3.2.2 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2168
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2168
	Other <Othr>	[0..1]	±		2168

65.1.31.3.2.2.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.3.2.2.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C16 "IBAN"](#)

Datatype: "[IBAN2007Identifier](#)" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.2.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.3.2.3 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing the account for the debtor.

DebtorAgent <DbtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2169
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2169
	NameAndAddress <NmAndAdr>	[0..1]	±		2170
	LEI <LEI>	[0..1]	IdentifierSet		2170
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2171
	BranchIdentification <BrnchId>	[0..1]	±		2171

65.1.31.3.2.3.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "[BICFIDec2014Identifier](#)" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.3.2.3.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.3.2.3.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.3.2.3.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.31.3.2.3.5 ProprietaryIdentification <Prtryld>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.3.6 BranchIdentification <Brnchld>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <Brnchld> contains the following elements (see "BranchData2" on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.3.2.4 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2171
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2171
	Other <Othr>	[0..1]	±		2172

65.1.31.3.2.4.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.4.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.4.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.3.2.5 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2172
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2173
	NameAndAddress <NmAndAdr>	[0..1]	±		2174
	LEI <LEI>	[0..1]	IdentifierSet		2174
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2174
	BranchIdentification <BrnchId>	[0..1]	±		2174

65.1.31.3.2.5.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.3.2.5.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.3.2.5.3 NameAndAddress <NmAndAdr>*Presence:* [0..1]*Definition:* Name and address of the party.**NameAndAddress <NmAndAdr>** contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.3.2.5.4 LEI <LEI>*Presence:* [0..1]*Definition:* Legal entity identification as an alternate identification for the party.*Datatype:* ["LEIIdentifier"](#) on page 2756**65.1.31.3.2.5.5 ProprietaryIdentification <PrtryId>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.*Datatype:* ["Max35Text"](#) on page 2764**65.1.31.3.2.5.6 BranchIdentification <BrnchId>***Presence:* [0..1]*Definition:* Identification of a specific branch of the financial institution.**BranchIdentification <BrnchId>** contains the following elements (see ["BranchData2"](#) on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.3.2.6 IntermediaryAgent1Account <IntrmyAgt1Acct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2175
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2175
	Other <Othr>	[0..1]	±		2175

65.1.31.3.2.6.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.6.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.6.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.3.2.7 IntermediaryAgent2 <IntrmyAgt2>*Presence:* [0..1]*Definition:* Agent between the debtor's agent and the creditor's agent.**IntermediaryAgent2 <IntrmyAgt2>** contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2176
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2176
	NameAndAddress <NmAndAdr>	[0..1]	±		2177
	LEI <LEI>	[0..1]	IdentifierSet		2177
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2178
	BranchIdentification <BrnchId>	[0..1]	±		2178

65.1.31.3.2.7.1 BICFI <BICFI>*Presence:* [0..1]*Definition:* Identification of the financial institution expressed as a BIC.*Impacted by:* C9 "BICFI"*Datatype:* "BICFIDec2014Identifier" on page 2751**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.3.2.7.2 ClearingSystemMemberIdentification <ClrSysMmbld>*Presence:* [0..1]*Definition:* Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.3.2.7.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.3.2.7.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: "LEIIdentifier" on page 2756

65.1.31.3.2.7.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.7.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see "BranchData2" on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.3.2.8 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2178
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2178
	Other <Othr>	[0..1]	±		2179

65.1.31.3.2.8.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.8.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and

content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.8.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.3.2.9 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing the account for the creditor.

CreditorAgent <CdtrAgt> contains the following **FinancialInstitutionIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C9	2179
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2180
	NameAndAddress <NmAndAdr>	[0..1]	±		2180
	LEI <LEI>	[0..1]	IdentifierSet		2181
	ProprietaryIdentification <PrtryId>	[0..1]	Text		2181
	BranchIdentification <BrnchId>	[0..1]	±		2181

65.1.31.3.2.9.1 BICFI <BICFI>

Presence: [0..1]

Definition: Identification of the financial institution expressed as a BIC.

Impacted by: C9 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 2751

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.1.31.3.2.9.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains one of the following elements (see "ClearingSystemMemberIdentification4Choice" on page 1403 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CHIPSUniversalIdentification <USCHU>	[1..1]	IdentifierSet		1403
Or	NewZealandNCCIdentification <NZNCC>	[1..1]	IdentifierSet		1404
Or	IrishNSCIdentification <IENSC>	[1..1]	IdentifierSet		1404
Or	UKDomesticSortCode <GBSC>	[1..1]	IdentifierSet		1404
Or	CHIPSParticipantIdentification <USCH>	[1..1]	IdentifierSet		1404
Or	SwissBCIdentification <CHBC>	[1..1]	IdentifierSet		1404
Or	FedwireRoutingNumberIdentification <USFW>	[1..1]	IdentifierSet		1404
Or	PortugueseNCCIdentification <PTNCC>	[1..1]	IdentifierSet		1404
Or	RussianCentralBankIdentificationCode <RUCB>	[1..1]	IdentifierSet		1405
Or	ItalianDomesticIdentificationCode <ITNCC>	[1..1]	IdentifierSet		1405
Or	AustrianBankleitzahlIdentification <ATBLZ>	[1..1]	IdentifierSet		1405
Or	CanadianPaymentsAssociationRoutingNumberIdentification <CACPA>	[1..1]	IdentifierSet		1405
Or	SwissSICIdentification <CHSIC>	[1..1]	IdentifierSet		1405
Or	GermanBankleitzahlIdentification <DEBLZ>	[1..1]	IdentifierSet		1405
Or	SpanishDomesticInterbankingIdentification <ESNCC>	[1..1]	IdentifierSet		1405
Or	SouthAfricanNCCIdentification <ZANCC>	[1..1]	IdentifierSet		1406
Or	HongKongBankCode <HKNCC>	[1..1]	IdentifierSet		1406
Or	AustralianExtensiveBranchNetworkIdentification <AUBSBx>	[1..1]	IdentifierSet		1406
Or}	AustralianSmallNetworkIdentification <AUBSBs>	[1..1]	IdentifierSet		1406

65.1.31.3.2.9.3 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 2278 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.31.3.2.9.4 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for the party.

Datatype: ["LEIIdentifier"](#) on page 2756

65.1.31.3.2.9.5 ProprietaryIdentification <PrtryId>

Presence: [0..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

Datatype: ["Max35Text"](#) on page 2764

65.1.31.3.2.9.6 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identification of a specific branch of the financial institution.

BranchIdentification <BrnchId> contains the following elements (see ["BranchData2"](#) on page 1435 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1435
	Name <Nm>	[0..1]	Text		1435
	PostalAddress <PstlAdr>	[0..1]	±		1435

65.1.31.3.2.10 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2182
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2182
	Other <Othr>	[0..1]	±		2182

65.1.31.3.2.10.1 Name <Nm>*Presence:* [0..1]*Definition:* Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.*Datatype:* "Max35Text" on page 2764**65.1.31.3.2.10.2 IBAN <IBAN>***Presence:* [0..1]*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.*Impacted by:* C16 "IBAN"*Datatype:* "IBAN2007Identifier" on page 2755**Constraints****• IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.10.3 Other <Othr>*Presence:* [0..1]*Definition:* Unique identification of the account, as assigned by the account servicer, using an identification scheme.**Other <Othr>** contains the following elements (see "GenericAccountIdentification1" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.3.2.11 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "PartyIdentification132" on page 2054 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C4	2054
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		2054
	NameAndAddress <NmAndAdr>	[0..1]	±		2055
	ProprietaryIdentification <PrtryId>	[0..1]	±		2056
	LEI <LEI>	[0..1]	IdentifierSet		2056

65.1.31.3.2.12 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following **AccountIdentificationAndName6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2183
	IBAN <IBAN>	[0..1]	IdentifierSet	C16	2183
	Other <Othr>	[0..1]	±		2183

65.1.31.3.2.12.1 Name <Nm>

Presence: [0..1]

Definition: Name of the account. It provides an additional means of identification, and is designated by the account servicer in agreement with the account owner.

Datatype: "Max35Text" on page 2764

65.1.31.3.2.12.2 IBAN <IBAN>

Presence: [0..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of the customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: C16 "IBAN"

Datatype: "IBAN2007Identifier" on page 2755

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.1.31.3.2.12.3 Other <Othr>

Presence: [0..1]

Definition: Unique identification of the account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "GenericAccountIdentification1" on page 1227 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1228
	SchemeName <SchmeNm>	[0..1]			1228
{Or	Code <Cd>	[1..1]	CodeSet		1228
Or}	Proprietary <Prtry>	[1..1]	Text		1228
	Issuer <Issr>	[0..1]	Text		1228

65.1.31.4 PaymentInstrument16

Definition: Payment instrument for a type of order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OrderType <OrdTp>	[1..1]			2184
{Or	Code <Cd>	[1..1]	CodeSet		2184
Or}	Proprietary <Prtry>	[1..1]	±		2185
	InstrumentType <InstrmTp>	[1..1]			2185
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186
	AdditionalInformation <AddtlInf>	[0..*]	±		2186

65.1.31.4.1 OrderType <OrdTp>

Presence: [1..1]

Definition: Type of order to which the payment instrument applies.

OrderType <OrdTp> contains one of the following **FundOrderType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2184
Or}	Proprietary <Prtry>	[1..1]	±		2185

65.1.31.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a code.

Datatype: "FundOrderType10Code" on page 2675

CodeName	Name	Definition
SUBS	Subscription	Investment fund order is a subscription.
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
REDM	Redemption	Investment fund order is a redemption.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

65.1.31.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of the investment fund order expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.31.4.2 InstrumentType <InstrmTp>

Presence: [1..1]

Definition: Payment instrument for the order type.

InstrumentType <InstrmTp> contains one of the following **FundPaymentType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2185
Or}	Proprietary <Prtry>	[1..1]	±		2186

65.1.31.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of payment instrument expressed as a code.

Datatype: "[FundPaymentType1Code](#)" on page 2676

CodeName	Name	Definition
DRAF	BankersDraft	Payment instrument is a bankers draft.
CACC	CashAccount	Payment instrument is a cash account.
CHEQ	Cheque	Payment instrument is a cheque.

CodeName	Name	Definition
CRDT	CreditTransfer	Payment instrument is a credit transfer.
DDEB	DirectDebit	Payment instrument is a direct debit.
CARD	PaymentCard	Payment instrument is a payment card.

65.1.31.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of payment instrument expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.31.4.3 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the payment.

AdditionalInformation <AddtlInf> contains the following elements (see "[AdditionalInformation15](#)" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.31.5 SourceOfCash1Choice

Definition: Choice of formats for the source of the cash.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2186
Or}	Proprietary <Prtry>	[1..1]	±		2187

65.1.31.5.1 Code <Cd>

Presence: [1..1]

Definition: Source of cash expressed as a code.

Datatype: "[SourceOfCash1Code](#)" on page 2724

CodeName	Name	Definition
ALMY	Alimony	Income as a result of alimony.

CodeName	Name	Definition
CASH	CashProceeds	Income is cash proceeds from customers.
COMP	Compensation	Income is from compensation received.
EMIN	EmployeeIncome	Employee income.
GIFT	Gift	Income was a cash gift.
INHE	Inheritance	Cash from an inheritance.
INLQ	InsurancePremiumLiquidation	Income from the liquidation of an insurance premium.
REST	RealEstateSale	Income from the sale of real estate.
REDM	Redemption	Redemption of an investment fund.
REPY	RetirementPolicy	Income from a retirement policy.
SEAQ	SaleAquisitionCorporation	Sale and acquisition of a corporation.
SALE	SaleOfGoods	Sale of goods.
SVGS	Savings	Income from a savings plan.
SELF	SelfEmploymentIncome	Income from self-employment.
WINS	Winnings	Income from a cash win.

65.1.31.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Source of cash expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.31.6 PaymentTransaction71

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashInOrOut <CshInOrOut>	[1..1]			2190
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2193
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2195
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2197
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2201
Or	ChequeDetails <ChqDtls>	[1..1]			2201
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <PyeeId>	[1..1]	±		2202
	DraweeIdentification <DrweeId>	[0..1]	±		2202

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DrawerIdentification <Drwrlid>	[0..1]	±		2202
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2202
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <Pyeeld>	[1..1]	±		2203
	DraweeIdentification <Drweeld>	[0..1]	±		2203
	DrawerIdentification <Drwrlid>	[0..1]	±		2203
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2204
	AccountIdentification <Acctld>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2204
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2205
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209
Or	ChequeDetails <ChqDtls>	[1..1]			2209
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <Pyeeld>	[1..1]	±		2210
	DraweeIdentification <Drweeld>	[0..1]	±		2210
	DrawerIdentification <Drwrlid>	[0..1]	±		2210
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2211
	Number <Nb>	[0..1]	Text		2211

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PayeeIdentification <Pyeeld>	[1..1]	±		2211
	DraweeIdentification <Drweeld>	[0..1]	±		2211
	DrawerIdentification <Drwrld>	[0..1]	±		2212
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2212
	AccountIdentification <Acctld>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

65.1.31.6.1 CashInOrOut <CshInOrOut>

Presence: [1..1]

Definition: Choice between cash-in or cash-out.

CashInOrOut <CshInOrOut> contains one of the following **CashInOrOut7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashInPaymentInstrument <CshInPmtInstrm>	[1..1]			2193
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2195
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2197
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2201
Or	ChequeDetails <ChqDtls>	[1..1]			2201
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <Pyeeld>	[1..1]	±		2202
	DraweeIdentification <Drweeld>	[0..1]	±		2202
	DrawerIdentification <Drwrld>	[0..1]	±		2202

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2202
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <PyeeId>	[1..1]	±		2203
	DraweeIdentification <DrweeId>	[0..1]	±		2203
	DrawerIdentification <DrwrId>	[0..1]	±		2203
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2204
	AccountIdentification <AcctId>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204
Or}	CashOutPaymentInstrument <CshOutPmtInstrm>	[1..1]			2204
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2205
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209
Or	ChequeDetails <ChqDtls>	[1..1]			2209
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <PyeeId>	[1..1]	±		2210
	DraweeIdentification <DrweeId>	[0..1]	±		2210
	DrawerIdentification <DrwrId>	[0..1]	±		2210
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2211
	Number <Nb>	[0..1]	Text		2211
	PayeeIdentification <PyeeId>	[1..1]	±		2211

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Draweeldentification <Drweeld>	[0..1]	±		2211
	DrawerIdentification <Drwrlid>	[0..1]	±		2212
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2212
	AccountIdentification <Acctld>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

65.1.31.6.1.1 CashInPaymentInstrument <CshInPmtInstrm>

Presence: [1..1]

Definition: Payment instrument for the cash-in flow.

CashInPaymentInstrument <CshInPmtInstrm> contains one of the following **PaymentInstrument20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2195
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2197
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2201
Or	ChequeDetails <ChqDtls>	[1..1]			2201
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <PyeeId>	[1..1]	±		2202
	DraweeIdentification <DrweeId>	[0..1]	±		2202
	DrawerIdentification <DrwrId>	[0..1]	±		2202

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2202
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <PyeeId>	[1..1]	±		2203
	DraweeIdentification <DrweeId>	[0..1]	±		2203
	DrawerIdentification <DrwrId>	[0..1]	±		2203
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2204
	AccountIdentification <AcctId>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204

65.1.31.6.1.1.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Electronic money product that provides the cardholder with a portable and specialised computer device, which typically contains a microprocessor.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2195
	Number <Nb>	[1..1]	Text		2196
	HolderName <HldrNm>	[1..1]	Text		2196
	StartDate <StartDt>	[0..1]	YearMonth		2196
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2196
	CardIssuerName <CardIssrNm>	[0..1]	Text		2196
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2196
	SecurityCode <SctyCd>	[0..1]	Text		2197
	SequenceNumber <SeqNb>	[0..1]	Text		2197

65.1.31.6.1.1.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2653

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.

CodeName	Name	Definition
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, eg, cash card or cheque guaranteed card.

65.1.31.6.1.1.1.2 Number <Nb>*Presence:* [1..1]*Definition:* Number embossed on a card that links the card to the account owner and account servicer.*Datatype:* "Max35Text" on page 2764**65.1.31.6.1.1.1.3 HolderName <HldrNm>***Presence:* [1..1]*Definition:* Party entitled by a card issuer to use a card.*Datatype:* "Max35Text" on page 2764**65.1.31.6.1.1.1.4 StartDate <StartDt>***Presence:* [0..1]*Definition:* Year and month the card is available for use.*Datatype:* "ISOYearMonth" on page 2766**65.1.31.6.1.1.1.5 ExpiryDate <XpryDt>***Presence:* [1..1]*Definition:* Year and month the card expires.*Datatype:* "ISOYearMonth" on page 2766**65.1.31.6.1.1.1.6 CardIssuerName <CardIssrNm>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.*Datatype:* "Max35Text" on page 2764**65.1.31.6.1.1.1.7 CardIssuerIdentification <CardIssrId>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.**CardIssuerIdentification <CardIssrId>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.1.8 SecurityCode <SctyCd>*Presence:* [0..1]*Definition:* Security code written on, or in, the card.*Datatype:* "Max35Text" on page 2764**65.1.31.6.1.1.1.9 SequenceNumber <SeqNb>***Presence:* [0..1]*Definition:* Number distinguishing two or more payment cards with the same account number.*Datatype:* "Max3Text" on page 2764**65.1.31.6.1.1.2 CreditTransferDetails <CdtTrfDtls>***Presence:* [1..1]*Definition:* Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.**CreditTransferDetails <CdtTrfDtls>** contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2197
	Debtor <Dbtr>	[0..1]	±		2197
	DebtorAccount <DbtrAcct>	[0..1]	±		2198
	DebtorAgent <DbtrAgt>	[0..1]	±		2198
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2198
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2198
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2199
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2199
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2199
	CreditorAgent <CdtrAgt>	[1..1]	±		2200
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2200
	Creditor <Cdtr>	[0..1]	±		2200
	CreditorAccount <CdtrAcct>	[1..1]	±		2200

65.1.31.6.1.1.2.1 Reference <Ref>*Presence:* [0..1]*Definition:* Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.*Datatype:* "Max35Text" on page 2764**65.1.31.6.1.1.2.2 Debtor <Dbtr>***Presence:* [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.2.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.2.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.2.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.2.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.2.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.2.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.2.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.2.10 CreditorAgent <CdtrAgt>*Presence:* [1..1]*Definition:* Financial institution servicing an account for the creditor.**CreditorAgent <CdtrAgt>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.2.11 CreditorAgentAccount <CdtrAgtAcct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.**CreditorAgentAccount <CdtrAgtAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.2.12 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.**Creditor <Cdtr>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.2.13 CreditorAccount <CdtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.**CreditorAccount <CdtrAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.1.3 DirectDebitDetails <DrctDbtDtls>*Presence:* [1..1]

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "[DirectDebitMandate6](#)" on page 1428 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1429
	Debtor <Dbtr>	[0..1]	±		1429
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1429
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1430
	Creditor <Cdtr>	[0..1]	±		1430
	DebtorAgent <DbtrAgt>	[1..1]	±		1430
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1430
	CreditorAgent <CdtrAgt>	[0..1]	±		1431
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1431
	RegistrationIdentification <RegnId>	[0..1]	Text		1431
	MandatIdentification <MndtId>	[0..1]	Text		1431

65.1.31.6.1.1.4 ChequeDetails <ChqDtls>*Presence:* [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2201
	PayeeIdentification <Pyeeld>	[1..1]	±		2202
	DraweeIdentification <Drweeld>	[0..1]	±		2202
	DrawerIdentification <Drwrld>	[0..1]	±		2202

65.1.31.6.1.1.4.1 Number <Nb>*Presence:* [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.6.1.1.4.2 Payeeldidentification <Pyeeld>*Presence:* [1..1]*Definition:* Party to which a cheque is made payable.**Payeeldidentification <Pyeeld>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.4.3 Draweeldidentification <Drweeld>*Presence:* [0..1]*Definition:* Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.**Draweeldidentification <Drweeld>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.4.4 Draweridentification <Drwrld>*Presence:* [0..1]*Definition:* Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.**Draweridentification <Drwrld>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.5 BankersDraftDetails <BkrsDrftDtls>*Presence:* [1..1]*Definition:* Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2203
	PayeeIdentification <Pyeeld>	[1..1]	±		2203
	DraweeIdentification <Drweeld>	[0..1]	±		2203
	DrawerIdentification <Drwrlid>	[0..1]	±		2203

65.1.31.6.1.1.5.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2764

65.1.31.6.1.1.5.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.5.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.1.5.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.1.6 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: [C1 "AccountElementRule"](#)

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2204
	Type <Tp>	[0..1]	±		2204

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

65.1.31.6.1.1.6.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.6.1.1.6.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1717
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.31.6.1.2 CashOutPaymentInstrument <CshOutPmtInstrm>

Presence: [1..1]

Definition: Payment instrument for the cash-out flow.

CashOutPaymentInstrument <CshOutPmtlnstrm> contains one of the following **PaymentInstrument21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2205
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209
Or	ChequeDetails <ChqDtls>	[1..1]			2209
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <Pyeeld>	[1..1]	±		2210
	DraweeIdentification <Drweeld>	[0..1]	±		2210
	DrawerIdentification <Drwrlid>	[0..1]	±		2210
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2211
	Number <Nb>	[0..1]	Text		2211
	PayeeIdentification <Pyeeld>	[1..1]	±		2211
	DraweeIdentification <Drweeld>	[0..1]	±		2211
	DrawerIdentification <Drwrlid>	[0..1]	±		2212
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2212
	AccountIdentification <Acctld>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

65.1.31.6.1.2.1 CreditTransferDetails <CdtTrfDtls>

Presence: [1..1]

Definition: Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2206
	Debtor <Dbtr>	[0..1]	±		2206
	DebtorAccount <DbtrAcct>	[0..1]	±		2206
	DebtorAgent <DbtrAgt>	[0..1]	±		2207
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2207
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2207
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2207
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2208
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2208
	CreditorAgent <CdtrAgt>	[1..1]	±		2208
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2208
	Creditor <Cdtr>	[0..1]	±		2209
	CreditorAccount <CdtrAcct>	[1..1]	±		2209

65.1.31.6.1.2.1.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2764

65.1.31.6.1.2.1.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.1.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.1.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.1.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.1.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.1.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.1.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.1.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.1.10 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing an account for the creditor.

CreditorAgent <CdtrAgt> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.1.11 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.1.12 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.1.13 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.6.1.2.2 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2210
	PayeeIdentification <Pyeeld>	[1..1]	±		2210
	DraweeIdentification <Drweeld>	[0..1]	±		2210
	DrawerIdentification <Drwrlid>	[0..1]	±		2210

65.1.31.6.1.2.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2764

65.1.31.6.1.2.2.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.2.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.3 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2211
	PayeeIdentification <PyeeId>	[1..1]	±		2211
	DraweeIdentification <DrweeId>	[0..1]	±		2211
	DrawerIdentification <Drwrld>	[0..1]	±		2212

65.1.31.6.1.2.3.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: ["Max35Text"](#) on page 2764

65.1.31.6.1.2.3.2 PayeeIdentification <PyeeId>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <PyeeId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.3.3 DraweeIdentification <DrweeId>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.6.1.2.3.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.6.1.2.4 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: C1 "AccountElementRule"

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2212
	Type <Tp>	[0..1]	±		2212

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

65.1.31.6.1.2.4.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.31.6.1.2.4.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1717
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.31.7 PaymentTransaction70

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2215
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <PyeeId>	[1..1]	±		2224
	DraweeIdentification <DrweeId>	[0..1]	±		2224
	DrawerIdentification <DrwrId>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <PyeeId>	[1..1]	±		2225
	DraweeIdentification <DrweeId>	[0..1]	±		2225
	DrawerIdentification <DrwrId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

65.1.31.7.1 PaymentInstrument <PmtInstrm>

Presence: [1..1]

Definition: Choice between types of payment instrument, for example, cheque, credit transfer, direct debit, investment account or payment card.

PaymentInstrument <PmtInstrm> contains one of the following **PaymentInstrument20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2217
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219
Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2219
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2223
Or	ChequeDetails <ChqDtls>	[1..1]			2223
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <PyeeId>	[1..1]	±		2224
	DraweeIdentification <DrweeId>	[0..1]	±		2224
	DrawerIdentification <DrwrId>	[0..1]	±		2224

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2224
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <PyeeId>	[1..1]	±		2225
	DraweeIdentification <DrweeId>	[0..1]	±		2225
	DrawerIdentification <DrwrId>	[0..1]	±		2225
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2226
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

65.1.31.7.1.1 PaymentCardDetails <PmtCardDtls>

Presence: [1..1]

Definition: Electronic money product that provides the cardholder with a portable and specialised computer device, which typically contains a microprocessor.

PaymentCardDetails <PmtCardDtls> contains the following **PaymentCard25** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		2217
	Number <Nb>	[1..1]	Text		2218
	HolderName <HldrNm>	[1..1]	Text		2218
	StartDate <StartDt>	[0..1]	YearMonth		2218
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2218
	CardIssuerName <CardIssrNm>	[0..1]	Text		2218
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2218
	SecurityCode <SctyCd>	[0..1]	Text		2219
	SequenceNumber <SeqNb>	[0..1]	Text		2219

65.1.31.7.1.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of card, for example, credit card.

Datatype: "CardType1Code" on page 2653

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.

CodeName	Name	Definition
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, eg, cash card or cheque guaranteed card.

65.1.31.7.1.1.2 Number <Nb>*Presence:* [1..1]*Definition:* Number embossed on a card that links the card to the account owner and account servicer.*Datatype:* "Max35Text" on page 2764**65.1.31.7.1.1.3 HolderName <HldrNm>***Presence:* [1..1]*Definition:* Party entitled by a card issuer to use a card.*Datatype:* "Max35Text" on page 2764**65.1.31.7.1.1.4 StartDate <StartDt>***Presence:* [0..1]*Definition:* Year and month the card is available for use.*Datatype:* "ISOYearMonth" on page 2766**65.1.31.7.1.1.5 ExpiryDate <XpryDt>***Presence:* [1..1]*Definition:* Year and month the card expires.*Datatype:* "ISOYearMonth" on page 2766**65.1.31.7.1.1.6 CardIssuerName <CardIssrNm>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.*Datatype:* "Max35Text" on page 2764**65.1.31.7.1.1.7 CardIssuerIdentification <CardIssrId>***Presence:* [0..1]*Definition:* Party that issues a payment card, as expressed by a numeric identification of the card issuer according to ISO/IEC 7812-1.**CardIssuerIdentification <CardIssrId>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.1.8 SecurityCode <SctyCd>*Presence:* [0..1]*Definition:* Security code written on, or in, the card.*Datatype:* "Max35Text" on page 2764**65.1.31.7.1.1.9 SequenceNumber <SeqNb>***Presence:* [0..1]*Definition:* Number distinguishing two or more payment cards with the same account number.*Datatype:* "Max3Text" on page 2764**65.1.31.7.1.2 CreditTransferDetails <CdtTrfDtls>***Presence:* [1..1]*Definition:* Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.**CreditTransferDetails <CdtTrfDtls>** contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2219
	Debtor <Dbtr>	[0..1]	±		2219
	DebtorAccount <DbtrAcct>	[0..1]	±		2220
	DebtorAgent <DbtrAgt>	[0..1]	±		2220
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2220
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2220
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2221
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2221
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2221
	CreditorAgent <CdtrAgt>	[1..1]	±		2222
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2222
	Creditor <Cdtr>	[0..1]	±		2222
	CreditorAccount <CdtrAcct>	[1..1]	±		2222

65.1.31.7.1.2.1 Reference <Ref>*Presence:* [0..1]*Definition:* Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.*Datatype:* "Max35Text" on page 2764**65.1.31.7.1.2.2 Debtor <Dbtr>***Presence:* [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.2.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.2.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.2.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.2.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.2.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.2.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.2.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see "AccountIdentificationAndName5" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.2.10 CreditorAgent <CdtrAgt>*Presence:* [1..1]*Definition:* Financial institution servicing an account for the creditor.**CreditorAgent <CdtrAgt>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.2.11 CreditorAgentAccount <CdtrAgtAcct>*Presence:* [0..1]*Definition:* Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.**CreditorAgentAccount <CdtrAgtAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.2.12 Creditor <Cdtr>*Presence:* [0..1]*Definition:* Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.**Creditor <Cdtr>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.2.13 CreditorAccount <CdtrAcct>*Presence:* [1..1]*Definition:* Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.**CreditorAccount <CdtrAcct>** contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.7.1.3 DirectDebitDetails <DrctDbtDtls>*Presence:* [1..1]

Definition: Instruction, initiated by the creditor, to debit a debtor's account in favour of the creditor. A direct debit can be pre-authorised or not. In most countries, authorisation is in the form of a mandate between the debtor and creditor.

DirectDebitDetails <DrctDbtDtls> contains the following elements (see "[DirectDebitMandate6](#)" on page 1428 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DebtorAccount <DbtrAcct>	[1..1]	±		1429
	Debtor <Dbtr>	[0..1]	±		1429
	DebtorTaxIdentificationNumber <DbtrTaxIdNb>	[0..1]	Text		1429
	DebtorNationalRegistrationNumber <DbtrNtlRegnNb>	[0..1]	Text		1430
	Creditor <Cdtr>	[0..1]	±		1430
	DebtorAgent <DbtrAgt>	[1..1]	±		1430
	DebtorAgentBranch <DbtrAgtBrnch>	[0..1]	±		1430
	CreditorAgent <CdtrAgt>	[0..1]	±		1431
	CreditorAgentBranch <CdtrAgtBrnch>	[0..1]	±		1431
	RegistrationIdentification <RegnId>	[0..1]	Text		1431
	MandateIdentification <MndtId>	[0..1]	Text		1431

65.1.31.7.1.4 ChequeDetails <ChqDtls>*Presence:* [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2223
	PayeeIdentification <Pyeeld>	[1..1]	±		2224
	DraweeIdentification <Drweeld>	[0..1]	±		2224
	DrawerIdentification <Drwrlld>	[0..1]	±		2224

65.1.31.7.1.4.1 Number <Nb>*Presence:* [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.7.1.4.2 Payeeldidentification <Pyeeld>*Presence:* [1..1]*Definition:* Party to which a cheque is made payable.**Payeeldidentification <Pyeeld>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.4.3 Draweeldidentification <Drweeld>*Presence:* [0..1]*Definition:* Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.**Draweeldidentification <Drweeld>** contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.4.4 DrawerIdentification <Drwrld>*Presence:* [0..1]*Definition:* Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.**DrawerIdentification <Drwrld>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.5 BankersDraftDetails <BkrsDrftDtls>*Presence:* [1..1]*Definition:* Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2225
	PayeeIdentification <Pyeeld>	[1..1]	±		2225
	DraweeIdentification <Drweeld>	[0..1]	±		2225
	DrawerIdentification <Drwrld>	[0..1]	±		2225

65.1.31.7.1.5.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2764

65.1.31.7.1.5.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.5.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.7.1.5.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.7.1.6 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: [C1 "AccountElementRule"](#)

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2226
	Type <Tp>	[0..1]	±		2226

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

65.1.31.7.1.6.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.7.1.6.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1717
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.31.8 PaymentTransaction72

Definition: Payment processes required to transfer cash from the debtor to the creditor.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PaymentInstrument <PmtInstrm>	[1..1]			2227
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <PyeeId>	[1..1]	±		2233
	DraweeIdentification <DrweeId>	[0..1]	±		2233
	DrawerIdentification <DrwrId>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <PyeeId>	[1..1]	±		2234
	DraweeIdentification <DrweeId>	[0..1]	±		2234
	DrawerIdentification <DrwrId>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

65.1.31.8.1 PaymentInstrument <PmtInstrm>

Presence: [1..1]

Definition: Choice between types of payment instrument, for example, cheque, credit transfer or investment account.

PaymentInstrument <PmtInstrm> contains one of the following **PaymentInstrument21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CreditTransferDetails <CdtTrfDtls>	[1..1]			2228
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232
Or	ChequeDetails <ChqDtls>	[1..1]			2232
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233
Or	BankersDraftDetails <BkrsDrftDtls>	[1..1]			2234
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrlid>	[0..1]	±		2235
Or}	CashAccountDetails <CshAcctDtls>	[1..1]		C1	2235
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

65.1.31.8.1.1 CreditTransferDetails <CdtTrfDtls>

Presence: [1..1]

Definition: Payment instrument between a debtor and a creditor, which flows through one or more financial institutions or systems.

CreditTransferDetails <CdtTrfDtls> contains the following **CreditTransfer8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[0..1]	Text		2229
	Debtor <Dbtr>	[0..1]	±		2229
	DebtorAccount <DbtrAcct>	[0..1]	±		2229
	DebtorAgent <DbtrAgt>	[0..1]	±		2230
	DebtorAgentAccount <DbtrAgtAcct>	[0..1]	±		2230
	IntermediaryAgent1 <IntrmyAgt1>	[0..1]	±		2230
	IntermediaryAgent1Account <IntrmyAgt1Acct>	[0..1]	±		2230
	IntermediaryAgent2 <IntrmyAgt2>	[0..1]	±		2231
	IntermediaryAgent2Account <IntrmyAgt2Acct>	[0..1]	±		2231
	CreditorAgent <CdtrAgt>	[1..1]	±		2231
	CreditorAgentAccount <CdtrAgtAcct>	[0..1]	±		2231
	Creditor <Cdtr>	[0..1]	±		2232
	CreditorAccount <CdtrAcct>	[1..1]	±		2232

65.1.31.8.1.1.1 Reference <Ref>

Presence: [0..1]

Definition: Information supplied to enable the matching of an entry with the items that the transfer is intended to settle, such as commercial invoices in an accounts' receivable system.

Datatype: "Max35Text" on page 2764

65.1.31.8.1.1.2 Debtor <Dbtr>

Presence: [0..1]

Definition: Party that owes an amount of money to the (ultimate) creditor. In the context of the payment model, the debtor is also the debit account owner.

Debtor <Dbtr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.1.3 DebtorAccount <DbtrAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the debtor to which a debit entry will be made as a result of the transaction.

DebtorAccount <DbtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.1.4 DebtorAgent <DbtrAgt>

Presence: [0..1]

Definition: Financial institution servicing an account for the debtor.

DebtorAgent <DbtrAgt> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.1.5 DebtorAgentAccount <DbtrAgtAcct>

Presence: [0..1]

Definition: Identifies the account of the debtor's agent.

DebtorAgentAccount <DbtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.1.6 IntermediaryAgent1 <IntrmyAgt1>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent1 <IntrmyAgt1> contains the following elements (see "[FinancialInstitutionIdentification10](#)" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.1.7 IntermediaryAgent1Account <IntrmyAgt1Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 1 at its servicing agent in the payment chain.

IntermediaryAgent1Account <IntrmyAgt1Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.1.8 IntermediaryAgent2 <IntrmyAgt2>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent2 <IntrmyAgt2> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.1.9 IntermediaryAgent2Account <IntrmyAgt2Acct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the intermediary agent 2 at its servicing agent in the payment chain.

IntermediaryAgent2Account <IntrmyAgt2Acct> contains the following elements (see ["AccountIdentificationAndName5"](#) on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.1.10 CreditorAgent <CdtrAgt>

Presence: [1..1]

Definition: Financial institution servicing an account for the creditor.

CreditorAgent <CdtrAgt> contains the following elements (see ["FinancialInstitutionIdentification10"](#) on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.1.11 CreditorAgentAccount <CdtrAgtAcct>

Presence: [0..1]

Definition: Unambiguous identification of the account of the creditor agent at its servicing agent to which a credit entry will be made as a result of the payment transaction.

CreditorAgentAccount <CdtrAgtAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.1.12 Creditor <Cdtr>

Presence: [0..1]

Definition: Party that receives an amount of money from the debtor. In the context of the payment model, the creditor is also the credit account owner.

Creditor <Cdtr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.1.13 CreditorAccount <CdtrAcct>

Presence: [1..1]

Definition: Unambiguous identification of the account of the creditor to which a credit entry will be posted as a result of the payment transaction.

CreditorAccount <CdtrAcct> contains the following elements (see "[AccountIdentificationAndName5](#)" on page 1254 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		1254
	Name <Nm>	[0..1]	Text		1254

65.1.31.8.1.2 ChequeDetails <ChqDtls>

Presence: [1..1]

Definition: Written order on which instructions are given to an account holder (a financial institution) to pay a stated sum to a named recipient (the payee).

ChequeDetails <ChqDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2233
	PayeeIdentification <Pyeeld>	[1..1]	±		2233
	DraweeIdentification <Drweeld>	[0..1]	±		2233
	DrawerIdentification <Drwrlid>	[0..1]	±		2233

65.1.31.8.1.2.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "Max35Text" on page 2764

65.1.31.8.1.2.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.2.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.2.4 DrawerIdentification <Drwrlid>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.3 BankersDraftDetails <BkrsDrftDtls>

Presence: [1..1]

Definition: Cheque drawn by a bank on itself or its agent. A person who owes money to another buys the draft from a bank for cash and hands it to the creditor.

BankersDraftDetails <BkrsDrftDtls> contains the following **Cheque9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Number <Nb>	[0..1]	Text		2234
	PayeeIdentification <Pyeeld>	[1..1]	±		2234
	DraweeIdentification <Drweeld>	[0..1]	±		2234
	DrawerIdentification <Drwrld>	[0..1]	±		2235

65.1.31.8.1.3.1 Number <Nb>

Presence: [0..1]

Definition: Unique and unambiguous identifier for a cheque as assigned by the financial institution.

Datatype: "[Max35Text](#)" on page 2764

65.1.31.8.1.3.2 PayeeIdentification <Pyeeld>

Presence: [1..1]

Definition: Party to which a cheque is made payable.

PayeeIdentification <Pyeeld> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.3.3 DraweeIdentification <Drweeld>

Presence: [0..1]

Definition: Financial institution on which a cheque is drawn, that is, the financial institution that services the account of the entity that issued the cheque.

DraweeIdentification <Drweeld> contains the following elements (see "FinancialInstitutionIdentification10" on page 1434 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		1435
	LEI <LEI>	[0..1]	IdentifierSet		1435

65.1.31.8.1.3.4 DrawerIdentification <Drwrld>

Presence: [0..1]

Definition: Account owner that issues a cheque ordering the drawee bank to pay a specific amount, upon demand, to the payee.

DrawerIdentification <Drwrld> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.31.8.1.4 CashAccountDetails <CshAcctDtls>

Presence: [1..1]

Definition: Part of the investment account to or from which cash entries are made.

Impacted by: C1 "AccountElementRule"

CashAccountDetails <CshAcctDtls> contains the following **InvestmentAccount60** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[0..1]	Text		2235
	Type <Tp>	[0..1]	±		2235

Constraints

- **AccountElementRule**

Either AccountIdentification or Type must be present. Both may be present.

65.1.31.8.1.4.1 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 2764

65.1.31.8.1.4.2 Type <Tp>

Presence: [0..1]

Definition: Account type.

Type <Tp> contains one of the following elements (see "[InvestmentAccountType1Choice](#)" on page 1717 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1717
Or}	Proprietary <Prtry>	[1..1]	±		1717

65.1.32 Person Identification

65.1.32.1 ContactIdentification2

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.32.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "[NamePrefix1Code](#)" on page 2698

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.1.32.1.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.1.3 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2764

65.1.32.1.4 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2765

65.1.32.1.5 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2765

65.1.32.1.6 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 2765

65.1.32.1.7 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 2763

65.1.32.2 IndividualPerson33

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2239
{Or	Code <Cd>	[1..1]	CodeSet		2239
Or}	Proprietary <Prtry>	[1..1]	±		2240
	GivenName <GvnNm>	[0..1]	Text		2240
	MiddleName <MddlNm>	[0..1]	Text		2240
	Name <Nm>	[1..1]	Text		2240
	NameSuffix <NmSfx>	[0..1]	Text		2240
	Gender <Gndr>	[0..1]	CodeSet		2241
	BirthDate <BirthDt>	[0..1]	Date		2241
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2241
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2241
	CityOfBirth <CityOfBirth>	[0..1]	Text		2241
	Profession <Prfssn>	[0..1]	Text		2241
	ModifiedPostalAddress <ModfdPstlAdr>	[0..*]			2241
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2242
	PostalAddress <PstlAdr>	[1..1]		C23	2243
	AddressType <AdrTp>	[0..1]			2244
{Or	Code <Cd>	[1..1]	CodeSet		2244
Or}	Proprietary <Prtry>	[1..1]	±		2244
	MailingIndicator <MIngInd>	[0..1]	Indicator		2244
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2245
	CareOf <CareOf>	[0..1]	Text		2245
	AddressLine <AdrLine>	[0..5]	Text		2245
	StreetName <StrtNm>	[0..1]	Text		2245
	BuildingNumber <BldgNb>	[0..1]	Text		2245
	BuildingName <BldgNm>	[0..1]	Text		2245
	PostBox <PstBx>	[0..1]	Text		2245
	SideInBuilding <SdlnBldg>	[0..1]	Text		2246
	Floor <Flr>	[0..1]	Text		2246
	SuiteIdentification <SuiteId>	[0..1]	Text		2246
	PostCode <PstCd>	[0..1]	Text		2246

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DistrictName <DstrctNm>	[0..1]	Text		2246
	Village <Vllg>	[0..1]	Text		2246
	TownName <TwnNm>	[0..1]	Text		2246
	State <Stat>	[0..1]	Text		2246
	Country <Ctry>	[1..1]	CodeSet	C11	2246
	ModifiedCitizenship <ModfdCtzsh>	[0..3]			2247
	ModificationScopeIndication <ModScplndctn>	[1..1]	CodeSet		2247
	Citizenship <Ctzsh>	[1..1]			2247
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2247
	MinorIndicator <MnrInd>	[1..1]	Indicator		2248
	EmployingCompany <EmplngCpny>	[0..1]	Text		2248
	BusinessFunction <BizFctn>	[0..1]	Text		2248
	PoliticallyExposedPersonType <PltclYXpsdPrsnTp>	[0..1]	±		2248
	DeathDate <DthDt>	[0..1]	Date		2248
	CivilStatus <CvlSts>	[0..1]			2249
{Or	Code <Cd>	[1..1]	CodeSet		2249
Or}	Proprietary <Prtry>	[1..1]	±		2249
	EducationLevel <EdctnLvl>	[0..1]	Text		2249
	FamilyInformation <FmlyInf>	[0..1]			2250
	NameOfFather <NmOfFthr>	[0..1]	Text		2250
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2250
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2250

65.1.32.2.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2239
Or}	Proprietary <Prtry>	[1..1]	±		2240

65.1.32.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2698

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.1.32.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name prefix expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.2.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "Max35Text" on page 2764

65.1.32.2.3 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "Max35Text" on page 2764

65.1.32.2.4 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that person.

Datatype: "Max350Text" on page 2764

65.1.32.2.5 NameSuffix <NmSfx>

Presence: [0..1]

Definition: Additional information about the person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).

Datatype: "Max35Text" on page 2764

65.1.32.2.6 Gender <Gndr>*Presence:* [0..1]*Definition:* Gender of the person.*Datatype:* "Gender1Code" on page 2677

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

65.1.32.2.7 BirthDate <BirthDt>*Presence:* [0..1]*Definition:* Date on which the person was born.*Datatype:* "ISODate" on page 2749**65.1.32.2.8 CountryOfBirth <CtryOfBirth>***Presence:* [0..1]*Definition:* Country where the person was born.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.2.9 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where the person was born.*Datatype:* "Max35Text" on page 2764**65.1.32.2.10 CityOfBirth <CityOfBirth>***Presence:* [0..1]*Definition:* City where the person was born.*Datatype:* "Max35Text" on page 2764**65.1.32.2.11 Profession <Prfssn>***Presence:* [0..1]*Definition:* Name of the occupation or job of the person.*Datatype:* "Max35Text" on page 2764**65.1.32.2.12 ModifiedPostalAddress <ModfdPstlAdr>***Presence:* [0..*]

Definition: Information related to an address to be inserted, updated or deleted.

ModifiedPostalAddress <ModfdPstlAdr> contains the following **ModificationScope34** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2242
	PostalAddress <PstlAdr>	[1..1]		C23	2243
	AddressType <AdrTp>	[0..1]			2244
{Or	Code <Cd>	[1..1]	CodeSet		2244
Or}	Proprietary <Prtry>	[1..1]	±		2244
	MailingIndicator <MlngInd>	[0..1]	Indicator		2244
	RegistrationAddressIndicator <RegnAdrlnd>	[0..1]	Indicator		2245
	CareOf <CareOf>	[0..1]	Text		2245
	AddressLine <AdrLine>	[0..5]	Text		2245
	StreetName <StrtNm>	[0..1]	Text		2245
	BuildingNumber <BldgNb>	[0..1]	Text		2245
	BuildingName <BldgNm>	[0..1]	Text		2245
	PostBox <PstBx>	[0..1]	Text		2245
	SideInBuilding <SdlInBldg>	[0..1]	Text		2246
	Floor <Flr>	[0..1]	Text		2246
	SuiteIdentification <SuiteId>	[0..1]	Text		2246
	PostCode <PstCd>	[0..1]	Text		2246
	DistrictName <DstrctNm>	[0..1]	Text		2246
	Village <Vllg>	[0..1]	Text		2246
	TownName <TwnNm>	[0..1]	Text		2246
	State <Stat>	[0..1]	Text		2246
	Country <Ctry>	[1..1]	CodeSet	C11	2246

65.1.32.2.12.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification1Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.

CodeName	Name	Definition
DELT	DeleteDataSet	Delete an existing set of data.

65.1.32.2.12.2 PostalAddress <PstIAdr>*Presence:* [1..1]*Definition:* Address of the organisation.*Impacted by:* C23 "MailingIndicatorRule"**PostalAddress <PstIAdr>** contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2244
{Or	Code <Cd>	[1..1]	CodeSet		2244
Or}	Proprietary <Prtry>	[1..1]	±		2244
	MailingIndicator <MIngInd>	[0..1]	Indicator		2244
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2245
	CareOf <CareOf>	[0..1]	Text		2245
	AddressLine <AdrLine>	[0..5]	Text		2245
	StreetName <StrtNm>	[0..1]	Text		2245
	BuildingNumber <BldgNb>	[0..1]	Text		2245
	BuildingName <BldgNm>	[0..1]	Text		2245
	PostBox <PstBx>	[0..1]	Text		2245
	SideInBuilding <SdInBldg>	[0..1]	Text		2246
	Floor <Flr>	[0..1]	Text		2246
	SuiteIdentification <SuiteId>	[0..1]	Text		2246
	PostCode <PstCd>	[0..1]	Text		2246
	DistrictName <DstrctNm>	[0..1]	Text		2246
	Village <Vllg>	[0..1]	Text		2246
	TownName <TwnNm>	[0..1]	Text		2246
	State <Stat>	[0..1]	Text		2246
	Country <Ctry>	[1..1]	CodeSet	C11	2246

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.32.2.12.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2244
Or}	Proprietary <Prtry>	[1..1]	±		2244

65.1.32.2.12.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.32.2.12.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.2.12.2.2 MailingIndicator <MlngInd>

Presence: [0..1]

Definition: Indicates whether mail should be sent to the address.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.2.12.2.3 RegistrationAddressIndicator <RegnAdrInd>

Presence: [0..1]

Definition: Indicates whether the address is the official address of the party.

Datatype: One of the following values must be used (see ["YesNoIndicator" on page 2761](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.2.12.2.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: ["Max70Text" on page 2765](#)

65.1.32.2.12.2.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 2765](#)

65.1.32.2.12.2.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: ["Max70Text" on page 2765](#)

65.1.32.2.12.2.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: ["Max16Text" on page 2763](#)

65.1.32.2.12.2.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: ["Max35Text" on page 2764](#)

65.1.32.2.12.2.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: ["Max10Text" on page 2763](#)

65.1.32.2.12.2.10 SidelnBuilding <SdlnBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.32.2.12.2.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.32.2.12.2.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.32.2.12.2.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.32.2.12.2.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.32.2.12.2.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.32.2.12.2.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.32.2.12.2.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.32.2.12.2.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.2.13 ModifiedCitizenship <ModfdCtznsh>

Presence: [0..3]

Definition: Citizenship information to be inserted or deleted.

ModifiedCitizenship <ModfdCtznsh> contains the following **ModificationScope39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2247
	Citizenship <Ctznsh>	[1..1]			2247
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2247
	MinorIndicator <MnrInd>	[1..1]	Indicator		2248

65.1.32.2.13.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied on a set of information.

Datatype: "DataModification2Code" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.32.2.13.2 Citizenship <Ctznsh>

Presence: [1..1]

Definition: Nationality and legal status (minor or major).

Citizenship <Ctznsh> contains the following **CitizenshipInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2247
	MinorIndicator <MnrInd>	[1..1]	Indicator		2248

65.1.32.2.13.2.1 Nationality <Ntlty>

Presence: [1..1]

Definition: Country where a person was born or is legally accepted as belonging to the country.

Impacted by: C34 "ValidationByTable"

Datatype: "NationalityCode" on page 2698

Constraints

- **ValidationByTable**

Must be a valid nationality.

65.1.32.2.13.2.2 MinorIndicator <MnrInd>

Presence: [1..1]

Definition: Indicates whether the person is a legal minor. This may depend on the nationality, the domicile country or the transaction in which the person is involved.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.2.14 EmployingCompany <EmpIngCpny>

Presence: [0..1]

Definition: Organisation represented by a person, or for which a person works.

Datatype: "Max140Text" on page 2763

65.1.32.2.15 BusinessFunction <BizFctn>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 2764

65.1.32.2.16 PoliticallyExposedPersonType <PltclYXpsdPrsnTp>

Presence: [0..1]

Definition: Specifies if due diligence checks on the political exposure of the investor or account servicer have been carried out and whether these checks are national or foreign. (A politically exposed person is someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.).

PoliticallyExposedPersonType <PltclYXpsdPrsnTp> contains one of the following elements (see "PoliticalExposureType1Choice" on page 2009 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2009
Or}	Proprietary <Prtry>	[1..1]	±		2010

65.1.32.2.17 DeathDate <DthDt>

Presence: [0..1]

Definition: Date of death.

Datatype: "ISODate" on page 2749

65.1.32.2.18 CivilStatus <CvlSts>*Presence:* [0..1]*Definition:* Civil status of the individual person.**CivilStatus <CvlSts>** contains one of the following **CivilStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2249
Or}	Proprietary <Prtry>	[1..1]	±		2249

65.1.32.2.18.1 Code <Cd>*Presence:* [1..1]*Definition:* Civil status expressed as a code.*Datatype:* "CivilStatus1Code" on page 2656

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

65.1.32.2.18.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Civil status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.2.19 EducationLevel <EdctnLvl>*Presence:* [0..1]*Definition:* Highest level of education reached by the individual person.*Datatype:* "Max35Text" on page 2764

65.1.32.2.20 FamilyInformation <FmlyInf>*Presence:* [0..1]*Definition:* Information related to the person.**FamilyInformation <FmlyInf>** contains the following **PersonalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameOfFather <NmOfFthr>	[0..1]	Text		2250
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2250
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2250

65.1.32.2.20.1 NameOfFather <NmOfFthr>*Presence:* [0..1]*Definition:* Name of the father of the individual person.*Datatype:* "Max35Text" on page 2764**65.1.32.2.20.2 MaidenNameOfMother <MdnNmOfMthr>***Presence:* [0..1]*Definition:* Maiden (unmarried) name of the mother of the individual person.*Datatype:* "Max35Text" on page 2764**65.1.32.2.20.3 NameOfPartner <NmOfPrtnr>***Presence:* [0..1]*Definition:* Name of the partner of the individual person.*Datatype:* "Max35Text" on page 2764**65.1.32.3 IndividualPerson34***Definition:* Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]			2252
{Or	Code <Cd>	[1..1]	CodeSet		2252
Or}	Proprietary <Prtry>	[1..1]	±		2253
	GivenName <GvnNm>	[0..1]	Text		2253
	MiddleName <MddlNm>	[0..1]	Text		2253
	Name <Nm>	[1..1]	Text		2253
	NameSuffix <NmSfx>	[0..1]	Text		2253
	Gender <Gndr>	[0..1]	CodeSet		2253
	BirthDate <BirthDt>	[0..1]	Date		2254
	CountryOfBirth <CtryOfBirth>	[0..1]	CodeSet	C11	2254
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		2254
	CityOfBirth <CityOfBirth>	[0..1]	Text		2254
	Profession <Prfssn>	[0..1]	Text		2254
	PostalAddress <PstlAdr>	[1..10]		C23	2254
	AddressType <AdrTp>	[0..1]			2255
{Or	Code <Cd>	[1..1]	CodeSet		2256
Or}	Proprietary <Prtry>	[1..1]	±		2256
	MailingIndicator <Mnglnd>	[0..1]	Indicator		2256
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2256
	CareOf <CareOf>	[0..1]	Text		2257
	AddressLine <AdrLine>	[0..5]	Text		2257
	StreetName <StrtNm>	[0..1]	Text		2257
	BuildingNumber <BldgNb>	[0..1]	Text		2257
	BuildingName <BldgNm>	[0..1]	Text		2257
	PostBox <PstBx>	[0..1]	Text		2257
	SideInBuilding <SdlnBldg>	[0..1]	Text		2257
	Floor <Flr>	[0..1]	Text		2257
	SuiteIdentification <SuiteId>	[0..1]	Text		2257
	PostCode <PstCd>	[0..1]	Text		2258
	DistrictName <DstrctNm>	[0..1]	Text		2258
	Village <Vllg>	[0..1]	Text		2258

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		2258
	State <Stat>	[0..1]	Text		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258
	Citizenship <Ctznsh>	[0..3]			2258
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2259
	MinorIndicator <MnrInd>	[1..1]	Indicator		2259
	EmployingCompany <EmplngCpny>	[0..1]	Text		2259
	BusinessFunction <BizFctn>	[0..1]	Text		2259
	PoliticallyExposedPersonType <PltclyXpsdPrsnTp>	[0..1]	±		2259
	DeathDate <DthDt>	[0..1]	Date		2260
	CivilStatus <Cv/Sts>	[0..1]			2260
{Or	Code <Cd>	[1..1]	CodeSet		2260
Or}	Proprietary <Prtry>	[1..1]	±		2260
	EducationLevel <EdctnLvl>	[0..1]	Text		2261
	FamilyInformation <FmlyInf>	[0..1]			2261
	NameOfFather <NmOfFthr>	[0..1]	Text		2261
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2261
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2261

65.1.32.3.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Term used to address the person.

NamePrefix <NmPrfx> contains one of the following **NamePrefix1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2252
Or}	Proprietary <Prtry>	[1..1]	±		2253

65.1.32.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Name prefix expressed as a code.

Datatype: "NamePrefix1Code" on page 2698

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.

CodeName	Name	Definition
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.1.32.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name prefix expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.3.2 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of the person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.3 MiddleName <MddINm>

Presence: [0..1]

Definition: Second name of the person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.4 Name <Nm>

Presence: [1..1]

Definition: Name by which the party is known and which is usually used to identify that person.

Datatype: "[Max350Text](#)" on page 2764

65.1.32.3.5 NameSuffix <NmSfx>

Presence: [0..1]

Definition: Additional information about the person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.6 Gender <Gndr>

Presence: [0..1]

Definition: Gender of the person.

Datatype: "[Gender1Code](#)" on page 2677

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

65.1.32.3.7 BirthDate <BirthDt>*Presence:* [0..1]*Definition:* Date on which the person was born.*Datatype:* "ISODate" on page 2749**65.1.32.3.8 CountryOfBirth <CtrOfBirth>***Presence:* [0..1]*Definition:* Country where the person was born.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.3.9 ProvinceOfBirth <PrvcOfBirth>*Presence:* [0..1]*Definition:* Province where the person was born.*Datatype:* "Max35Text" on page 2764**65.1.32.3.10 CityOfBirth <CityOfBirth>***Presence:* [0..1]*Definition:* City where the person was born.*Datatype:* "Max35Text" on page 2764**65.1.32.3.11 Profession <Prfssn>***Presence:* [0..1]*Definition:* Name of the occupation or job of the person.*Datatype:* "Max35Text" on page 2764**65.1.32.3.12 PostalAddress <PstlAdr>***Presence:* [1..10]*Definition:* Address of the person.*Impacted by:* C23 "MailingIndicatorRule"

PostalAddress <PstIAdr> contains the following **PostalAddress21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			2255
{Or	Code <Cd>	[1..1]	CodeSet		2256
Or}	Proprietary <Prtry>	[1..1]	±		2256
	MailingIndicator <MIngInd>	[0..1]	Indicator		2256
	RegistrationAddressIndicator <RegnAdrInd>	[0..1]	Indicator		2256
	CareOf <CareOf>	[0..1]	Text		2257
	AddressLine <AdrLine>	[0..5]	Text		2257
	StreetName <StrtNm>	[0..1]	Text		2257
	BuildingNumber <BldgNb>	[0..1]	Text		2257
	BuildingName <BldgNm>	[0..1]	Text		2257
	PostBox <PstBx>	[0..1]	Text		2257
	SideInBuilding <SdInBldg>	[0..1]	Text		2257
	Floor <Flr>	[0..1]	Text		2257
	SuiteIdentification <SuiteId>	[0..1]	Text		2257
	PostCode <PstCd>	[0..1]	Text		2258
	DistrictName <DstrctNm>	[0..1]	Text		2258
	Village <Vllg>	[0..1]	Text		2258
	TownName <TwnNm>	[0..1]	Text		2258
	State <Stat>	[0..1]	Text		2258
	Country <Ctry>	[1..1]	CodeSet	C11	2258

Constraints

- **MailingIndicatorRule**

If RegistrationAddressIndicator is "true" or "1" (Yes), then MailingIndicator must be "true" or "1".

65.1.32.3.12.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Type of address.

AddressType <AdrTp> contains one of the following **AddressType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2256
Or}	Proprietary <Prtry>	[1..1]	±		2256

65.1.32.3.12.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of address expressed as a code.*Datatype:* "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.32.3.12.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.3.12.2 MailingIndicator <MIngInd>*Presence:* [0..1]*Definition:* Indicates whether mail should be sent to the address.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.3.12.3 RegistrationAddressIndicator <RegnAdrInd>*Presence:* [0..1]*Definition:* Indicates whether the address is the official address of the party.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.3.12.4 CareOf <CareOf>

Presence: [0..1]

Definition: When the individual resides at another person's address, the name of the other person.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.5 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.6 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of the street or thoroughfare.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.7 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of the building on a street.

Datatype: "Max16Text" on page 2763

65.1.32.3.12.8 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 2764

65.1.32.3.12.9 PostBox <PstBx>

Presence: [0..1]

Definition: Post box number of the addressee within the residential or company building.

Datatype: "Max10Text" on page 2763

65.1.32.3.12.10 SideInBuilding <SdInBldg>

Presence: [0..1]

Definition: Side or wing of the building, for example, 'wing A'.

Datatype: "Max35Text" on page 2764

65.1.32.3.12.11 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within the building.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.12 SuiteIdentification <SuiteId>

Presence: [0..1]

Definition: Identification of the suite or apartment.

Datatype: "Max10Text" on page 2763

65.1.32.3.12.13 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.32.3.12.14 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of the town or region.

Datatype: "Max35Text" on page 2764

65.1.32.3.12.15 Village <Vllg>

Presence: [0..1]

Definition: Name of the village.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.16 TownName <TwnNm>

Presence: [0..1]

Definition: Name of the town or city.

Datatype: "Max35Text" on page 2764

65.1.32.3.12.17 State <Stat>

Presence: [0..1]

Definition: Name of the state, county or country sub-division.

Datatype: "Max70Text" on page 2765

65.1.32.3.12.18 Country <Ctry>

Presence: [1..1]

Definition: Country of the address.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.3.13 Citizenship <Ctznsh>

Presence: [0..3]

Definition: Nationality and legal status (minor or major).

Citizenship <Ctznsh> contains the following **CitizenshipInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Nationality <Ntlty>	[1..1]	CodeSet	C34	2259
	MinorIndicator <MnrInd>	[1..1]	Indicator		2259

65.1.32.3.13.1 Nationality <Ntlty>

Presence: [1..1]

Definition: Country where a person was born or is legally accepted as belonging to the country.

Impacted by: C34 "ValidationByTable"

Datatype: "NationalityCode" on page 2698

Constraints

- **ValidationByTable**

Must be a valid nationality.

65.1.32.3.13.2 MinorIndicator <MnrInd>

Presence: [1..1]

Definition: Indicates whether the person is a legal minor. This may depend on the nationality, the domicile country or the transaction in which the person is involved.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.32.3.14 EmployingCompany <EmplngCpny>

Presence: [0..1]

Definition: Organisation represented by a person, or for which a person works.

Datatype: "Max140Text" on page 2763

65.1.32.3.15 BusinessFunction <BizFctn>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 2764

65.1.32.3.16 PoliticallyExposedPersonType <PltclYXpsdPrsnTp>

Presence: [0..1]

Definition: Specifies if due diligence checks on the political exposure of the investor or account servicer have been carried out and whether these checks are national or foreign. (A politically exposed person is someone who has been entrusted with a prominent public function, or an individual who is closely related to such a person.).

PoliticallyExposedPersonType <PltclYXpsdPrsnTp> contains one of the following elements (see "PoliticalExposureType1Choice" on page 2009 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2009
Or}	Proprietary <Prtry>	[1..1]	±		2010

65.1.32.3.17 DeathDate <DthDt>

Presence: [0..1]

Definition: Date of death.

Datatype: "ISODate" on page 2749

65.1.32.3.18 CivilStatus <CvlSts>

Presence: [0..1]

Definition: Civil status of the individual person.

CivilStatus <CvlSts> contains one of the following **CivilStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2260
Or}	Proprietary <Prtry>	[1..1]	±		2260

65.1.32.3.18.1 Code <Cd>

Presence: [1..1]

Definition: Civil status expressed as a code.

Datatype: "CivilStatus1Code" on page 2656

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

65.1.32.3.18.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Civil status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.3.19 EducationLevel <EdctnLvl>

Presence: [0..1]

Definition: Highest level of education reached by the individual person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.20 FamilyInformation <FmlyInf>

Presence: [0..1]

Definition: Information related to the person.

FamilyInformation <FmlyInf> contains the following **PersonallInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameOfFather <NmOfFthr>	[0..1]	Text		2261
	MaidenNameOfMother <MdnNmOfMthr>	[0..1]	Text		2261
	NameOfPartner <NmOfPrtnr>	[0..1]	Text		2261

65.1.32.3.20.1 NameOfFather <NmOfFthr>

Presence: [0..1]

Definition: Name of the father of the individual person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.20.2 MaidenNameOfMother <MdnNmOfMthr>

Presence: [0..1]

Definition: Maiden (unmarried) name of the mother of the individual person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.3.20.3 NameOfPartner <NmOfPrtnr>

Presence: [0..1]

Definition: Name of the partner of the individual person.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.4 IndividualPerson31

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2262
	BirthDate <BirthDt>	[0..1]	Date		2262
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2262
	Country <Ctry>	[1..1]	CodeSet	C11	2263
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2263
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		2263
	OtherIdentification <Othrld>	[0..*]			2263
	Identification <Id>	[1..1]	Text		2264
	IdentificationType <IdTp>	[1..1]			2264
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265
	Issuer <Issr>	[0..1]	Text		2265

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, BeneficiaryCertificationCompletion, OtherIdentification) must be present.

65.1.32.4.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.32.4.2 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2749

65.1.32.4.3 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the individual, for example, non-permanent resident.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following **CountryAndResidentialStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	2263
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2263

65.1.32.4.3.1 Country <Ctry>

Presence: [1..1]

Definition: Country to which the residential status is applicable.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.4.3.2 ResidentialStatus <ResdtlSts>

Presence: [1..1]

Definition: Residential status of the organisation or individual, for example, non-permanent resident.

Datatype: "ResidentialStatus1Code" on page 2718

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

65.1.32.4.4 BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>

Presence: [0..1]

Definition: Beneficial owner or its designated agent certifies that it complies with any holding or investment restrictions or requirements of the fund.

Datatype: "BeneficiaryCertificationCompletion1Code" on page 2649

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.1.32.4.5 OtherIdentification <OthrlId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, or an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CPF) account as required for Singapore.

OtherIdentification <OthrId> contains the following **GenericIdentification164** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2264
	IdentificationType <IdTp>	[1..1]			2264
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265
	Issuer <Issr>	[0..1]	Text		2265

65.1.32.4.5.1 Identification <Id>

Presence: [1..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 2764

65.1.32.4.5.2 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the nature of the identifier.

IdentificationType <IdTp> contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2264
Or}	Proprietary <Prtry>	[1..1]	±		2265

65.1.32.4.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "PartyIdentificationType7Code" on page 2702

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.

CodeName	Name	Definition
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

65.1.32.4.5.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.32.4.5.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identifier.

Datatype: "Max35Text" on page 2764

65.1.32.5 IndividualPerson32

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2266
	BirthDate <BirthDt>	[0..1]	Date		2266
	CountryAndResidentialStatus <CtryAndResdtlSts>	[0..1]			2266
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267
	OtherIdentification <OthrId>	[0..*]			2267
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer <Issr>	[0..1]	Text		2269

Constraints

- **PersonElementRule**

One of the elements (Name, BirthDate, CountryAndResidentialStatus, OtherIdentification) must be present.

65.1.32.5.1 Name <Nm>

Presence: [0..1]

Definition: Name by which the party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.32.5.2 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which the person is born.

Datatype: "ISODate" on page 2749

65.1.32.5.3 CountryAndResidentialStatus <CtryAndResdtlSts>

Presence: [0..1]

Definition: Country and residential status of the individual, for example, non-permanent resident.

CountryAndResidentialStatus <CtryAndResdtlSts> contains the following **CountryAndResidentialStatusType2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C11	2267
	ResidentialStatus <ResdtlSts>	[1..1]	CodeSet		2267

65.1.32.5.3.1 Country <Ctry>

Presence: [1..1]

Definition: Country to which the residential status is applicable.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.32.5.3.2 ResidentialStatus <ResdtlSts>

Presence: [1..1]

Definition: Residential status of the organisation or individual, for example, non-permanent resident.

Datatype: "ResidentialStatus1Code" on page 2718

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

65.1.32.5.4 OtherIdentification <OthrlId>

Presence: [0..*]

Definition: Alternative identification, for example, national registration identification number, passport number, or an account number used to further identify the beneficial owner, for example, a Central Provident Fund (CFP) account as required for Singapore.

OtherIdentification <OthrlId> contains the following **GenericIdentification164** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2268
	IdentificationType <IdTp>	[1..1]			2268
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269
	Issuer <Issr>	[0..1]	Text		2269

65.1.32.5.4.1 Identification <Id>*Presence:* [1..1]*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.*Datatype:* "Max35Text" on page 2764**65.1.32.5.4.2 IdentificationType <IdTp>***Presence:* [1..1]*Definition:* Specifies the nature of the identifier.**IdentificationType <IdTp>** contains one of the following **OtherIdentification3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2268
Or}	Proprietary <Prtry>	[1..1]	±		2269

65.1.32.5.4.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of identification expressed as a code.*Datatype:* "PartyIdentificationType7Code" on page 2702

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).

CodeName	Name	Definition
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

65.1.32.5.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchemeNm>	[0..1]	Text		1649

65.1.32.5.4.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identifier.

Datatype: "[Max35Text](#)" on page 2764

65.1.32.6 IndividualPerson8

Definition: Human entity, as distinguished from a corporate entity (which is sometimes referred to as an 'artificial person').

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2270
	GivenName <GvnNm>	[1..1]	Text		2270
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2270
	NameSuffix <NmSfx>	[0..1]	Text		2270
	Gender <Gndr>	[0..1]	CodeSet		2271
	BirthDate <BirthDt>	[0..1]	Date		2271
	SocialSecurityNumber <SciSctyNb>	[0..1]	Text		2271
	IndividualInvestorAddress <IndvInvstrAdr>	[1..1]	±		2271

Constraints

- **SocialSecurityNumberGuideline**

The UK Insurance Number must be indicated without spaces.

65.1.32.6.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max35Text" on page 2764

65.1.32.6.2 GivenName <GvnNm>

Presence: [1..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 2764

65.1.32.6.3 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix1Code" on page 2698

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.1.32.6.4 NameSuffix <NmSfx>

Presence: [0..1]

Definition: Additional information about a person that follows a person's name, for example, qualification such as Doctor of Philosophy (PhD).

Datatype: "Max35Text" on page 2764

65.1.32.6.5 Gender <Gndr>

Presence: [0..1]

Definition: Specifies the gender of the person.

Datatype: "GenderCode" on page 2677

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

65.1.32.6.6 BirthDate <BirthDt>

Presence: [0..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 2749

65.1.32.6.7 SocialSecurityNumber <ScISctyNb>

Presence: [0..1]

Definition: Number assigned by a social security agency.

Datatype: "Max35Text" on page 2764

65.1.32.6.8 IndividualInvestorAddress <IndvInvstrAdr>

Presence: [1..1]

Definition: Postal address of a party.

IndividualInvestorAddress <IndvInvstrAdr> contains the following elements (see "PostalAddress1" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.33 Postal Address

65.1.33.1 LongPostalAddress1Choice

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		2272
Or}	Structured <Strd>	[1..1]	±	C14	2272

65.1.33.1.1 Unstructured <Ustrd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max140Text" on page 2763

65.1.33.1.2 Structured <Strd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

Impacted by: C14 "StreetNameAndOrPostOfficeBoxRule"

Structured <Strd> contains the following elements (see "StructuredLongPostalAddress1" on page 2529 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingName <BldgNm>	[0..1]	Text		2530
	StreetName <StrtNm>	[0..1]	Text		2530
	StreetBuildingIdentification <StrtBldgId>	[0..1]	Text		2530
	Floor <Flr>	[0..1]	Text		2530
	TownName <TwnNm>	[1..1]	Text		2531
	DistrictName <DstrctNm>	[0..1]	Text		2531
	RegionIdentification <RgnId>	[0..1]	Text		2531
	State <Stat>	[0..1]	Text		2531
	CountyIdentification <CtyId>	[0..1]	Text		2531
	Country <Ctry>	[1..1]	CodeSet	C11	2531
	PostCodeIdentification <PstCdId>	[1..1]	Text		2531
	PostOfficeBox <POB>	[0..1]	Text		2532

Constraints

- **StreetNameAndOrPostOfficeBoxRule**

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

65.1.33.2 DeliveryParameters3

Definition: Parameters of a physical delivery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[1..1]	±		2273
	IssuedCertificateNumber <IssdCertNb>	[0..1]	Text		2273

65.1.33.2.1 Address <Adr>

Presence: [1..1]

Definition: Address for physical delivery.

Address <Adr> contains the following elements (see ["NameAndAddress4"](#) on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

65.1.33.2.2 IssuedCertificateNumber <IssdCertNb>

Presence: [0..1]

Definition: Certificate representing a security that is delivered.

Datatype: ["Max35Text"](#) on page 2764

65.1.33.3 DeliveryParameters4

Definition: Parameters of a physical delivery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrInd>	[1..1]	Indicator		2274
	NameAndAddress <NmAndAdr>	[0..1]	±		2274
	ContactPerson <CtctPrsn>	[0..1]	±		2274

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

65.1.33.3.1 RegisteredAddressIndicator <RegdAdrInd>

Presence: [1..1]

Definition: Indicates whether the address for the physical delivery is the registered address.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.33.3.2 NameAndAddress <NmAndAdr>

Presence: [0..1]

Definition: Name and address to/from which the physical delivery/receipt of the financial instrument must take place.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress4](#)" on page 2279 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

65.1.33.3.3 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.33.4 PostalAddress6

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2275
	Department <Dept>	[0..1]	Text		2275
	SubDepartment <SubDept>	[0..1]	Text		2275
	StreetName <StrtNm>	[0..1]	Text		2275
	BuildingNumber <BldgNb>	[0..1]	Text		2276
	PostCode <PstCd>	[0..1]	Text		2276
	TownName <TwnNm>	[0..1]	Text		2276
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2276
	Country <Ctry>	[0..1]	CodeSet	C11	2276
	AddressLine <AdrLine>	[0..7]	Text		2276

65.1.33.4.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.33.4.2 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 2765

65.1.33.4.3 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "Max70Text" on page 2765

65.1.33.4.4 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 2765

65.1.33.4.5 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 2763

65.1.33.4.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.33.4.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 2764

65.1.33.4.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 2764

65.1.33.4.9 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.33.4.10 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 2765

65.1.33.5 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.33.5.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 2648

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.1.33.5.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 2765

65.1.33.5.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 2765

65.1.33.5.4 BuildingNumber <BldgNb>*Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 2763**65.1.33.5.5 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 2763**65.1.33.5.6 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 2764**65.1.33.5.7 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 2764**65.1.33.5.8 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.33.6 NameAndAddress5*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		2278
	Address <Adr>	[0..1]	±		2279

65.1.33.6.1 Name <Nm>*Presence:* [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.33.6.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.33.7 NameAndAddress4

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		2279
	Address <Adr>	[1..1]	±		2279

65.1.33.7.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 2764

65.1.33.7.2 Address <Adr>

Presence: [1..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 2277 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		2277
	AddressLine <AdrLine>	[0..5]	Text		2277
	StreetName <StrtNm>	[0..1]	Text		2277
	BuildingNumber <BldgNb>	[0..1]	Text		2278
	PostCode <PstCd>	[0..1]	Text		2278
	TownName <TwnNm>	[0..1]	Text		2278
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		2278
	Country <Ctry>	[1..1]	CodeSet	C11	2278

65.1.34 Price

65.1.34.1 PriceInformation2

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Value <Val>	[1..1]	±		2280
	ValueType <ValTp>	[0..1]	CodeSet		2281
{Or	Type <Tp>	[1..1]	CodeSet		2281
Or}	ExtendedType <XtnDedTp>	[1..1]	Text		2282
	SourceOfPrice <SrcOfPric>	[0..1]			2282
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283
	QuotationDate <QtnDt>	[0..1]	±		2283
	Yielded <Yldd>	[0..1]	Indicator		2283

Constraints

- **ValueRule**

If Type is not MRKT, then Value may not be expressed with UnknownIndicator.

65.1.34.1.1 Value <Val>

Presence: [1..1]

Definition: Value of the price, eg, as a currency and value.

Value <Val> contains one of the following elements (see "PriceRateOrAmountOrUnknownChoice" on page 2326 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2326
Or	Amount <Amt>	[1..1]	Amount	C3	2326
Or}	UnknownIndicator <UknwnInd>	[1..1]	Indicator		2326

65.1.34.1.2 Value Type <ValTp>

Presence: [0..1]

Definition: Type of value in which the price is expressed.

Datatype: "PriceValueType2Code" on page 2710

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

65.1.34.1.3 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "TypeOfPrice11Code" on page 2745

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or

CodeName	Name	Definition
		offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
MRKT	Market	Price is the current market price.
INDC	Indicative	Price is the estimated indicative price.

65.1.34.1.4 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "Extended350Code" on page 2762

65.1.34.1.5 SourceOfPrice <SrcOfPric>

Presence: [0..1]

Definition: Place from which the price was obtained.

SourceOfPrice <SrcOfPric> contains one of the following **PriceSourceFormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LocalMarketPlace <LclMktPlc>	[1..1]	IdentifierSet		2283
Or	NonLocalMarketPlace <NonLclMktPlc>	[1..1]	±		2283
Or}	PlaceAsDSS <PlcAsDSS>	[1..1]	±		2283

65.1.34.1.5.1 LocalMarketPlace <LcIMktPlc>*Presence:* [1..1]*Definition:* Source of price quotation is the market, expressed as a Market Identifier Code (MIC).*Datatype:* "MICIdentifier" on page 2757**65.1.34.1.5.2 NonLocalMarketPlace <NonLcIMktPlc>***Presence:* [1..1]*Definition:* Source of a price quotation when it is not the local market.**NonLocalMarketPlace <NonLcIMktPlc>** contains the following elements (see "PriceSource" on page 2325 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceSource <PricSrc>	[1..1]	CodeSet		2325
	Narrative <Nrrtv>	[0..1]	Text		2326

65.1.34.1.5.3 PlaceAsDSS <PlcAsDSS>*Presence:* [1..1]*Definition:* Source of a price quotation expressed with a propriety identification scheme.**PlaceAsDSS <PlcAsDSS>** contains the following elements (see "GenericIdentification5" on page 1652 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1652
	Information <Inf>	[1..1]	Text		1652
	Narrative <Nrrtv>	[0..1]	Text		1652

65.1.34.1.6 QuotationDate <QtnDt>*Presence:* [0..1]*Definition:* Date on which the price is obtained. With an investment fund, this is as stated in the prospectus.**QuotationDate <QtnDt>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.34.1.7 Yielded <Yldd>*Presence:* [0..1]*Definition:* Indicates whether the price is expressed as a yield. The absence of Yielded means it is not applicable.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.2 ValuationDealingProcessingCharacteristics3

Definition: Valuation dealing processing characteristics linked to the instrument, that is, not to the market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2284
	ValuationFrequencyDescription <ValtnFrqcyDesc>	[0..1]	Text		2285
	ValuationTime <ValtnTm>	[0..1]	Time		2285
	DecimalisationUnits <DcmlstnUnits>	[0..1]	Quantity		2285
	DecimalisationPrice <DcmlstnPric>	[0..1]	Quantity		2285
	DualFundIndicator <DualFndInd>	[0..1]	Indicator		2285
	PriceMethod <PricMtd>	[0..1]	CodeSet		2285
	PriceCurrency <PricCcy>	[0..*]	CodeSet	C2	2286
	AdditionalInformation <AddtlInf>	[0..*]	±		2286

65.1.34.2.1 ValuationFrequency <ValtnFrqcy>

Presence: [0..1]

Definition: Frequency of the valuation.

Datatype: "EventFrequency5Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.1.34.2.2 ValuationFrequencyDescription <ValtnFrqcyDesc>

Presence: [0..1]

Definition: Further details regarding the dealing frequency, for example, Tuesday (for weekly dealing) or last business day of the month.

Datatype: "Max350Text" on page 2764

65.1.34.2.3 ValuationTime <ValtnTm>

Presence: [0..1]

Definition: Valuation time of the fund.

Datatype: "ISOTime" on page 2766

65.1.34.2.4 DecimalisationUnits <DcmlstnUnits>

Presence: [0..1]

Definition: Number of decimal places to which quantities of units/shares are rounded.

Datatype: "Number" on page 2761

65.1.34.2.5 DecimalisationPrice <DcmlstnPric>

Presence: [0..1]

Definition: Number of decimal places to which the price is rounded.

Datatype: "Number" on page 2761

65.1.34.2.6 DualFundIndicator <DualFndInd>

Presence: [0..1]

Definition: Indicates whether the fund has two prices.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.2.7 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "PriceMethod1Code" on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.34.2.8 PriceCurrency <PricCcy>

Presence: [0..*]

Definition: Currencies in which the prices for the investment fund class are published by the fund management company.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 2647

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.34.2.9 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the valuation dealing characteristics.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.34.3 PriceReport3

Definition: Information about a price report.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceValuationDetails <PricValtnDtls>	[1..*]		C19	2287
	Identification <Id>	[0..1]	Text		2288
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2288
	NAVDateTime <NAVDTm>	[1..1]	±		2289
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2289
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2290
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2290
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2290
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2291
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2291
	ValuationType <ValtnTp>	[1..1]	CodeSet		2291
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2291
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		2292
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		2292
	PriceDetails <PricDtls>	[0..*]	±	C8	2292
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2294
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2296

65.1.34.3.1 PriceValuationDetails <PricValtnDtls>

Presence: [1..*]

Definition: Information related to the price valuation of a financial instrument.

Impacted by: C19 "PriceDetailsRule"

PriceValuationDetails <PricValtnDtls> contains the following **PriceValuation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		2288
	ValuationDateTime <ValtnDtTm>	[0..1]	±		2288
	NAVDateTime <NAVDtTm>	[1..1]	±		2289
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C17	2289
	FundManagementCompany <FndMgmtCpny>	[0..1]	±		2290
	TotalNAV <TtlNAV>	[0..*]	Amount	C3, C12	2290
	TotalUnitsNumber <TtlUnitsNb>	[0..1]	±		2290
	NextValuationDateTime <NxtValtnDtTm>	[0..1]	±		2291
	PreviousValuationDateTime <PrvsValtnDtTm>	[0..1]	±		2291
	ValuationType <ValtnTp>	[1..1]	CodeSet		2291
	ValuationFrequency <ValtnFrqcy>	[0..1]	CodeSet		2291
	OfficialValuationIndicator <OffclValtnInd>	[1..1]	Indicator		2292
	SuspendedIndicator <SspdInd>	[1..1]	Indicator		2292
	PriceDetails <PricDtls>	[0..*]	±	C8	2292
	ValuationStatistics <ValtnSttstcs>	[0..*]	±	C13, C14, C15	2294
	PerformanceDetails <PrfrmncDtls>	[0..1]	±	C1	2296

Constraints

- **PriceDetailsRule**

If SuspendedIndicator is "true" or "1" (Yes), then PriceDetails is optional.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "false" or "0" (No), then PriceDetails must be present at least once.

If SuspendedIndicator is "false" or "0" (No), and if FinancialInstrumentDetails /DualFundIndicator is "true" or "1" (Yes), then PriceDetails must be present two or more times and PriceDetails/Type must be present with the values "BIDE" and "OFFR".

65.1.34.3.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique technical identifier for an instance of a price valuation within a price report, as assigned by the issuer of the report.

Datatype: "Max35Text" on page 2764

65.1.34.3.1.2 ValuationDateTime <ValtnDtTm>

Presence: [0..1]

Definition: Date and time of the price valuation for the investment fund/fund class.

ValuationDateTime <ValtnDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.34.3.1.3 NAVDateTime <NAVDtTm>

Presence: [1..1]

Definition: Date and time at which a price is applied, according to the terms stated in the prospectus. The NAV date is also known as the trade date. The NAV date becomes the trade date in an order.

NAVDateTime <NAVDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.34.3.1.4 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Investment fund class for which the net asset value is calculated.

Impacted by: C17 "FinancialInstrumentIdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument8" on page 1581 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..10]	±		1581
	Name <Nm>	[0..1]	Text		1582
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1582
	DenominationCurrency <DnmtnCcy>	[0..1]	CodeSet	C3	1582
	ClassType <ClsTp>	[0..1]	Text		1583
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1583
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1583
	DualFundIndicator <DualFndInd>	[1..1]	Indicator		1583

Constraints

- **FinancialInstrumentIdentificationGuideline**

It is recommended that ISIN be used in Identification\SecurityIdentification3Choice.

65.1.34.3.1.5 FundManagementCompany <FndMgmtCpny>

Presence: [0..1]

Definition: Issuer of the fund.

FundManagementCompany <FndMgmtCpny> contains one of the following elements (see "PartyIdentification2Choice" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2049
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2049
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2049

65.1.34.3.1.6 TotalNAV <TtINAV>

Presence: [0..*]

Definition: Value of all the holdings, less the fund's liabilities, attributable to a specific investment fund class.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.34.3.1.7 TotalUnitsNumber <TtUnitsNb>

Presence: [0..1]

Definition: Total number of investment fund class units that have been issued.

TotalUnitsNumber <TtUnitsNb> contains the following elements (see "FinancialInstrumentQuantity1" on page 2343 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.34.3.1.8 NextValuationDateTime <NxtValtnDtTm>*Presence:* [0..1]*Definition:* Date and time of the next price valuation for the investment fund/fund class.**NextValuationDateTime <NxtValtnDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.34.3.1.9 PreviousValuationDateTime <PrvsValtnDtTm>*Presence:* [0..1]*Definition:* Date and time of the previous price valuation for the investment fund/fund class.**PreviousValuationDateTime <PrvsValtnDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 1415 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1415
Or}	DateTime <DtTm>	[1..1]	DateTime		1415

65.1.34.3.1.10 ValuationType <ValtnTp>*Presence:* [1..1]*Definition:* Specifies how the valuation is done, based on the schedule stated in the prospectus.*Datatype:* "ValuationTiming1Code" on page 2749

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

65.1.34.3.1.11 ValuationFrequency <ValtnFrqcy>*Presence:* [0..1]*Definition:* Frequency of the valuation.*Datatype:* "EventFrequency1Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.

CodeName	Name	Definition
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

65.1.34.3.1.12 OfficialValuationIndicator <OffclValtnInd>

Presence: [1..1]

Definition: Indicates whether the valuation is an official valuation.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.3.1.13 SuspendedIndicator <SspdInd>

Presence: [1..1]

Definition: Indicates whether the valuation of the investment fund class is suspended.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.3.1.14 PriceDetails <PricDtls>

Presence: [0..*]

Definition: Amount of money for which goods or services are offered, sold, or bought.

Impacted by: C8 "[CalculationBasisRule](#)"

PriceDetails <PricDtls> contains the following elements (see "UnitPrice15" on page 2307 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2309
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2311
	PriceMethod <PricMtd>	[0..1]	CodeSet		2311
	ValueInInvestmentCurrency <ValInInvstmtCcy>	[1..*]	±		2311
	ValueInAlternativeCurrency <ValInAltrntvCcy>	[0..*]	±		2311
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2311
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2312
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2312
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2312
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2312
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2312
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2313
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2313
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2313
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2313
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2314
	ChargeDetails <ChrgDtls>	[0..*]			2314
{Or	Type <Tp>	[1..1]	CodeSet		2314
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2315
{Or	Amount <Amt>	[1..1]	Amount	C2	2315
Or}	Rate <Rate>	[1..1]	Rate		2316
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2316
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2316
	TaxLiabilityDetails <TaxLblyDtls>	[0..*]		C4	2316
{Or	Type <Tp>	[1..1]	CodeSet		2317
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2318
	Amount <Amt>	[0..7]	Amount	C3	2318
	Rate <Rate>	[0..1]	Rate		2318
	Country <Ctry>	[1..1]	CodeSet	C11	2318
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2319
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2319
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2320
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2320
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2320
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2320
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2321
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2321
{Or	Type <Tp>	[1..1]	CodeSet		2321
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2322
	Amount <Amt>	[0..7]	Amount	C3	2322
	Rate <Rate>	[0..1]	Rate		2323
	Country <Ctry>	[1..1]	CodeSet	C11	2323
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2323
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2323
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2324
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2324
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2324
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2324
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2325
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2325

Constraints

- **CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

65.1.34.3.1.15 ValuationStatistics <ValtnSttstcs>

Presence: [0..*]

Definition: Information related to the price variations of an investment fund class.

Impacted by: C13 "CurrencyPredefinedPeriodsRule", C14 "CurrencyPriceChangeRule", C15 "CurrencyUserDefinedPeriodsRule"

ValuationStatistics <ValtnSttstcs> contains the following elements (see "ValuationStatistics3" on page 2011 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Currency <Ccy>	[1..1]	CodeSet	C3	2013
	PriceTypeChangeBasis <PricTpChngBsis>	[1..1]		C18	2013
	Structured <Strd>	[1..1]	CodeSet		2014
	AdditionalInformation <AddtlInf>	[0..1]	Text		2015
	PriceChange <PricChng>	[1..1]		C5, C6	2015
	Amount <Amt>	[0..1]	Amount	C3	2016
	AmountSign <AmtSgn>	[0..1]	Indicator		2016
	Rate <Rate>	[0..1]	Rate		2016
	Yield <Yld>	[0..1]	Rate		2016
	ByPredefinedTimePeriods <ByPrdfndTmPrds>	[0..1]			2016
	HighestPriceValue12Months <HghstPricVal12Mnths>	[0..1]			2017
	Amount <Amt>	[1..1]	Amount	C3	2017
	LowestPriceValue12Months <LwstPricVal12Mnths>	[0..1]			2018
	Amount <Amt>	[1..1]	Amount	C3	2018
	OneYearPriceChange <OneYrPricChng>	[0..1]		C5, C6	2018
	Amount <Amt>	[0..1]	Amount	C3	2019
	AmountSign <AmtSgn>	[0..1]	Indicator		2019
	Rate <Rate>	[0..1]	Rate		2019
	ThreeYearPriceChange <ThreeYrPricChng>	[0..1]		C5, C6	2019
	Amount <Amt>	[0..1]	Amount	C3	2020
	AmountSign <AmtSgn>	[0..1]	Indicator		2020
	Rate <Rate>	[0..1]	Rate		2020
	FiveYearPriceChange <FiveYrPricChng>	[0..1]		C5, C6	2020
	Amount <Amt>	[0..1]	Amount	C3	2021
	AmountSign <AmtSgn>	[0..1]	Indicator		2021
	Rate <Rate>	[0..1]	Rate		2021
	ByUserDefinedTimePeriod <ByUsrDfndTmPrd>	[0..*]			2022
	Period <Prd>	[1..1]	±		2022
	HighestPriceValue <HghstPricVal>	[0..1]			2022
	Amount <Amt>	[1..1]	Amount	C3	2022

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LowestPriceValue <LwstPricVal>	[0..1]			2023
	Amount <Amt>	[1..1]	Amount	C3	2023
	PriceChange <PricChng>	[0..1]		C5, C6	2023
	Amount <Amt>	[0..1]	Amount	C3	2024
	AmountSign <AmtSgn>	[0..1]	Indicator		2024
	Rate <Rate>	[0..1]	Rate		2024
	Yield <Yld>	[0..1]	Rate		2024

Constraints

- **CurrencyPredefinedPeriodsRule**

Currency in ByPredefinedTimePeriods/HighestPriceValue12Months/Amount, ByPredefinedTimePeriods/LowestPriceValue12Months/Amount, ByPredefinedTimePeriods/OneYearPriceChange/Amount, ByPredefinedTimePeriods/ThreeYearPriceChange/Amount and ByPredefinedTimePeriods/FiveYearPriceChange/Amount must be the same as Currency.

- **CurrencyPriceChangeRule**

Currency in PriceChange/Amount must be the same as Currency.

- **CurrencyUserDefinedPeriodsRule**

Currency in ByUserDefinedTimePeriod/HighestPriceValue/Amount, ByUserDefinedTimePeriod/LowestPriceValue/Amount and ByUserDefinedTimePeriod/PriceChange/Amount must be the same as Currency.

65.1.34.3.1.16 PerformanceDetails <PrfrmncDtls>

Presence: [0..1]

Definition: Factors that give indications about the performance of a fund.

Impacted by: C1 "AccumulationPeriodRule"

PerformanceDetails <PrfrmncDtls> contains the following elements (see "PerformanceFactors1" on page 1944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CorporateActionFactor <CorpActnFctr>	[0..1]	Quantity		1944
	CumulativeCorporateActionFactor <CmltvCorpActnFctr>	[0..1]	Quantity		1944
	AccumulationPeriod <AcmltnPrd>	[0..1]	±		1944
	NormalPerformance <NrmlPrfrmnc>	[0..1]	Quantity		1944

Constraints

- **AccumulationPeriodRule**

AccumulationPeriod must be present if CumulativeCorporateActionFactor is present.

65.1.34.4 TypeOfPrice46Choice

Definition: Choice of formats for a type of price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2297
Or}	Proprietary <Prtry>	[1..1]	±		2298

65.1.34.4.1 Code <Cd>

Presence: [1..1]

Definition: Type expressed as a code.

Datatype: "TypeOfPrice10Code" on page 2744

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance

CodeName	Name	Definition
		in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

65.1.34.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.34.5 ProfitAndLoss2Choice

Definition: Choice between profit and loss.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Profit <Prft>	[1..1]	Amount	C2, C10	2298
Or}	Loss <Loss>	[1..1]	Amount	C2, C10	2299

65.1.34.5.1 Profit <Prft>

Presence: [1..1]

Definition: Value of the positive amount.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.34.5.2 Loss <Loss>

Presence: [1..1]

Definition: Value of the negative amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.34.6 UnitPrice22

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		2300
	Value <Val>	[1..1]	±		2300
	PriceMethod <PricMtd>	[0..1]	CodeSet		2300
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2301
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	2301
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			2301
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302
	PriceDifferenceReason <PricDiffRsn>	[0..1]	Text		2302

65.1.34.6.1 Type <Tp>

Presence: [1..1]

Definition: Type and information about a price.

Type <Tp> contains one of the following elements (see "[TypeOfPrice46Choice](#)" on page 2297 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2297
Or}	Proprietary <Prtry>	[1..1]	±		2298

65.1.34.6.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, for example, as a currency and value.

Value <Val> contains the following elements (see "[PriceValue1](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.34.6.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "[PriceMethod1Code](#)" on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.

CodeName	Name	Definition
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.34.6.4 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days used for calculating the accrued interest amount.

Datatype: "Number" on page 2761

65.1.34.6.5 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.34.6.6 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd> contains one of the following **TaxableIncomePerShareCalculated2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2301
Or}	Proprietary <Prtry>	[1..1]	±		2302

65.1.34.6.6.1 Code <Cd>

Presence: [1..1]

Definition: Taxable income per share (TIS) calculated expressed as a code.

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2726

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.

CodeName	Name	Definition
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

65.1.34.6.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Taxable income per share calculated (TIS) expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.34.6.7 PriceDifferenceReason <PricDiffRsn>

Presence: [0..1]

Definition: Reason why the price is different from the current market price.

Datatype: "[Max350Text](#)" on page 2764

65.1.34.7 UnitPrice20

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2302
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304
	Value <Val>	[1..1]	±		2304
	PriceMethod <PricMtd>	[0..1]	CodeSet		2304

65.1.34.7.1 PriceType <PricTp>

Presence: [1..1]

Definition: Type of price.

PriceType <PricTp> contains one of the following **UnitPriceType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2303
Or}	Proprietary <Prtry>	[1..1]	±		2304

65.1.34.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of price expressed as a code.

Datatype: "TypeOfPrice10Code" on page 2744

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.

CodeName	Name	Definition
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

65.1.34.7.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of price expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.34.7.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, that is, as a currency and value.

Value <Val> contains the following elements (see "[PriceValue1](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.34.7.3 PriceMethod <PricMtd>

Presence: [0..1]

Definition: Type of pricing calculation method.

Datatype: "PriceMethod1Code" on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.34.8 UnitPrice19

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceType <PricTp>	[1..1]			2305
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306
	Value <Val>	[1..1]	±		2306

65.1.34.8.1 PriceType <PricTp>

Presence: [1..1]

Definition: Type of price.

PriceType <PricTp> contains one of the following **UnitPriceType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2305
Or}	Proprietary <Prtry>	[1..1]	±		2306

65.1.34.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of price expressed as a code.

Datatype: "TypeOfPrice10Code" on page 2744

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the

CodeName	Name	Definition
		lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

65.1.34.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of price expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.34.8.2 Value <Val>

Presence: [1..1]

Definition: Value of the price, that is, as a currency and value.

Value <Val> contains the following elements (see "[PriceValue1](#)" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.34.9 UnitPrice15

Definition: Amount of money for which goods or services are offered, sold, or bought.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2309
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2311
	PriceMethod <PricMtd>	[0..1]	CodeSet		2311
	ValueInInvestmentCurrency <VallnInvstmtCcy>	[1..*]	±		2311
	ValueInAlternativeCurrency <VallnAltrntvCcy>	[0..*]	±		2311
	ForExecutionIndicator <ForExctnInd>	[1..1]	Indicator		2311
	CumDividendIndicator <CumDvddInd>	[1..1]	Indicator		2312
	CalculationBasis <ClctnBsis>	[0..1]	Rate		2312
	EstimatedPriceIndicator <EstmtdPricInd>	[1..1]	Indicator		2312
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		2312
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C3	2312
{Or	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]	CodeSet		2313
Or}	ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>	[0..1]	Text		2313
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2313
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2313
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2314
	ChargeDetails <ChrgDtls>	[0..*]			2314
{Or	Type <Tp>	[1..1]	CodeSet		2314
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2315
{Or	Amount <Amt>	[1..1]	Amount	C2	2315
Or}	Rate <Rate>	[1..1]	Rate		2316
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2316
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2316
	TaxLiabilityDetails <TaxLbiltyDtls>	[0..*]		C4	2316
{Or	Type <Tp>	[1..1]	CodeSet		2317
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2318
	Amount <Amt>	[0..7]	Amount	C3	2318
	Rate <Rate>	[0..1]	Rate		2318
	Country <Ctry>	[1..1]	CodeSet	C11	2318
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2319
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2319

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2319
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2320
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2320
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2320
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2320
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2321
	TaxRefundDetails <TaxRfndDtls>	[0..*]		C4	2321
{Or	Type <Tp>	[1..1]	CodeSet		2321
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2322
	Amount <Amt>	[0..7]	Amount	C3	2322
	Rate <Rate>	[0..1]	Rate		2323
	Country <Ctry>	[1..1]	CodeSet	C11	2323
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2323
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2323
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2324
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2324
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2324
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2324
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2325
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2325

Constraints

- CalculationBasisRule**

If Type is not NonAdjustedUnpublished, then CalculationBasis is not allowed. If Type is NonAdjustedUnpublished, then CalculationBasis may be present.

65.1.34.9.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type and information about a price.

Datatype: "TypeOfPrice9Code" on page 2747

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that

CodeName	Name	Definition
		is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.
GUAR	Guaranteed	Price is a guaranteed price.
ENAV	EstimatedNAV	Price is an estimated net asset value per unit.

65.1.34.9.2 ExtendedType <XtndedTp>*Presence:* [1..1]**Warning** Either Type or ExtendedType must be present, but not both.*Definition:* Type and information about a price.*Datatype:* "Extended350Code" on page 2762**65.1.34.9.3 PriceMethod <PricMtd>***Presence:* [0..1]*Definition:* Type of pricing calculation method.*Datatype:* "PriceMethod1Code" on page 2709

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.1.34.9.4 ValueInInvestmentCurrency <VallnInvstmtCcy>*Presence:* [1..*]*Definition:* Value of the price, eg, as a currency and value.**ValueInInvestmentCurrency <VallnInvstmtCcy>** contains the following elements (see "PriceValue1" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.34.9.5 ValueInAlternativeCurrency <VallnAltrntvCcy>*Presence:* [0..*]*Definition:* Value of the price, eg, as a currency and value.**ValueInAlternativeCurrency <VallnAltrntvCcy>** contains the following elements (see "PriceValue1" on page 2327 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.34.9.6 ForExecutionIndicator <ForExctnInd>*Presence:* [1..1]*Definition:* Indicates whether the price information can be used for the execution of a transaction.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.9.7 CumDividendIndicator <CumDvddInd>

Presence: [1..1]

Definition: Indicates whether the dividend is included, ie, cum-dividend, in the price. When the dividend is not included, the price will be ex-dividend.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.9.8 CalculationBasis <ClctnBsis>

Presence: [0..1]

Definition: Ratio applied on the non-adjusted price.

Datatype: "[PercentageRate](#)" on page 2762

65.1.34.9.9 EstimatedPriceIndicator <EstmtdPricInd>

Presence: [1..1]

Definition: Indicates whether the price is an estimated price.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.34.9.10 NumberOfDaysAccrued <NbOfDaysAcrd>

Presence: [0..1]

Definition: Specifies the number of days from trade date that the counterparty on the other side of the trade should "given up" or divulged.

Datatype: "[Number](#)" on page 2761

65.1.34.9.11 TaxableIncomePerShare <TaxblIncmPerShr>

Presence: [0..1]

Definition: Amount included in the NAV that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: [C3 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyAnd13DecimalAmount](#)" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.12 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

Presence: [0..1]

Warning Either TaxableIncomePerShareCalculated or ExtendedTaxableIncomePerShareCalculated may be present, but not both.

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Datatype: "TaxableIncomePerShareCalculated2Code" on page 2726

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

65.1.34.9.13 ExtendedTaxableIncomePerShareCalculated <XtndedTaxblIncmPerShrClctd>

Presence: [0..1]

Warning Either TaxableIncomePerShareCalculated or ExtendedTaxableIncomePerShareCalculated may be present, but not both.

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Datatype: "Extended350Code" on page 2762

65.1.34.9.14 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.15 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2668

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

65.1.34.9.16 ExtendedEUDividendStatus <XtndedEUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2762

65.1.34.9.17 ChargeDetails <ChrgDtls>

Presence: [0..*]

Definition: Amount of money associated with a service.

ChargeDetails <ChrgDtls> contains the following **Charge15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2314
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2315
{Or	Amount <Amt>	[1..1]	Amount	C2	2315
Or}	Rate <Rate>	[1..1]	Rate		2316
{Or	CalculationBasis <ClctnBsis>	[0..1]	CodeSet		2316
Or}	ExtendedCalculationBasis <XtndedClctnBsis>	[0..1]	Text		2316

65.1.34.9.17.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of service for which a charge is asked or paid.

Datatype: "ChargeType9Code" on page 2655

CodeName	Name	Definition
MANF	ManagementFee	Fee paid to an investment manager for services. The fee usually includes fund administration costs and investor relationship management. Typically, the amount paid is a percentage of the assets under management.
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
ADVI	AdvisoryFee	Fee paid for advisory services rendered.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
PUBL	PublicationFee	Fee paid in respect of publications made.
ACCT	AccountingFee	Fee paid for accounting services rendered.
EQUL	Equalisation	The part of an investor's subscription amount that is held by the fund in order to pay incentive or performance fees at the end of the fiscal year.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.1.34.9.17.2 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of service for which a charge is asked or paid.

Datatype: "Extended350Code" on page 2762

65.1.34.9.17.3 Amount <Amt>

Presence: [1..1]

Warning Either Amount or Rate must be present, but not both.

Definition: Amount of money asked or paid for the charge.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.17.4 Rate <Rate>

Presence: [1..1]

Warning Either Amount or Rate must be present, but not both.

Definition: Rate used to calculate the amount of the charge or fee.

Datatype: "PercentageRate" on page 2762

65.1.34.9.17.5 CalculationBasis <ClctnBsis>

Presence: [0..1]

Warning Either CalculationBasis or ExtendedCalculationBasis may be present, but not both.

Definition: Calculation basis for the charge or fee.

Datatype: "CalculationBasis2Code" on page 2651

CodeName	Name	Definition
AVER	Average	Average basis.
DAIL	Daily	Daily basis.
MNTH	Monthly	Monthly basis.
YEAR	Annual	Yearly basis.

65.1.34.9.17.6 ExtendedCalculationBasis <XtndedClctnBsis>

Presence: [0..1]

Warning Either CalculationBasis or ExtendedCalculationBasis may be present, but not both.

Definition: Calculation basis for the charge or fee.

Datatype: "Extended350Code" on page 2762

65.1.34.9.18 TaxLiabilityDetails <TaxLbiltyDtls>

Presence: [0..*]

Definition: Information related to taxes that are due.

Impacted by: C4 "AmountAndOrRateRule"

TaxLiabilityDetails <TaxLblyDtls> contains the following **Tax17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2317
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2318
	Amount <Amt>	[0..7]	Amount	C3	2318
	Rate <Rate>	[0..1]	Rate		2318
	Country <Ctry>	[1..1]	CodeSet	C11	2318
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2319
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2319
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2319
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2320
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2320
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2320
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2320
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2321

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

65.1.34.9.18.1 Type <Tp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.

Datatype: "TaxType12Code" on page 2734

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old

CodeName	Name	Definition
		Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

65.1.34.9.18.2 ExtendedType <XtndedTp>*Presence:* [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.*Datatype:* "Extended350Code" on page 2762**65.1.34.9.18.3 Amount <Amt>***Presence:* [0..7]*Definition:* Amount of money resulting from the calculation of the tax.*Impacted by:* C3 "ActiveOrHistoricCurrency"*Datatype:* "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.18.4 Rate <Rate>*Presence:* [0..1]*Definition:* Rate used to calculate the tax.*Datatype:* "PercentageRate" on page 2762**65.1.34.9.18.5 Country <Ctry>***Presence:* [1..1]*Definition:* Country where the tax is due.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.34.9.18.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2319
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2319
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2320
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2320
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2320
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2320
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2321

65.1.34.9.18.6.1 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUCapitalGain2Code" on page 2668

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

65.1.34.9.18.6.2 ExtendedEUCapitalGain <XtndedEUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2762

65.1.34.9.18.6.3 PercentageOfDebtClaim <PctgOfDebtCln>

Presence: [0..1]

Definition: Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).

Datatype: "PercentageRate" on page 2762

65.1.34.9.18.6.4 PercentageGrandfatheredDebt <PctgGrdfthdDebt>

Presence: [0..1]

Definition: Percentage of grandfathered debt claim.

Datatype: "PercentageRate" on page 2762

65.1.34.9.18.6.5 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.18.6.6 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2668

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

65.1.34.9.18.6.7 ExtendedEUDividendStatus <XtndedEUDvddSts>*Presence:* [0..1]**Warning** Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.*Definition:* Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.*Datatype:* "Extended350Code" on page 2762**65.1.34.9.19 TaxRefundDetails <TaxRfndDtls>***Presence:* [0..*]*Definition:* Information related to taxes that are paid back.*Impacted by:* C4 "AmountAndOrRateRule"**TaxRefundDetails <TaxRfndDtls>** contains the following **Tax17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2321
Or}	ExtendedType <XtndedTp>	[1..1]	Text		2322
	Amount <Amt>	[0..7]	Amount	C3	2322
	Rate <Rate>	[0..1]	Rate		2323
	Country <Ctry>	[1..1]	CodeSet	C11	2323
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2323
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2323
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2324
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2324
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2324
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2324
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2325
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2325

Constraints

- **AmountAndOrRateRule**

If Amount is not present, then Rate is mandatory. If Amount is present, then Rate is optional.

65.1.34.9.19.1 Type <Tp>*Presence:* [1..1]**Warning** Either Type or ExtendedType must be present, but not both.*Definition:* Type of tax applied.

Datatype: "TaxType12Code" on page 2734

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

65.1.34.9.19.2 ExtendedType <XtndedTp>

Presence: [1..1]

Warning Either Type or ExtendedType must be present, but not both.

Definition: Type of tax applied.

Datatype: "Extended350Code" on page 2762

65.1.34.9.19.3 Amount <Amt>

Presence: [0..7]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.19.4 Rate <Rate>

Presence: [0..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2762

65.1.34.9.19.5 Country <Ctr>

Presence: [1..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.34.9.19.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EUCapitalGain <EUCptlGn>	[0..1]	CodeSet		2323
Or}	ExtendedEUCapitalGain <XtndedEUCptlGn>	[0..1]	Text		2324
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2324
	PercentageGrandfatheredDebt <PctgGrdfthdDebt>	[0..1]	Rate		2324
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C3	2324
{Or	EUDividendStatus <EUDvddSts>	[0..1]	CodeSet		2325
Or}	ExtendedEUDividendStatus <XtndedEUDvddSts>	[0..1]	Text		2325

65.1.34.9.19.6.1 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUCapitalGain2Code" on page 2668

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

65.1.34.9.19.6.2 ExtendedEUCapitalGain <XtndedEUCptlGn>

Presence: [0..1]

Warning Either EUCapitalGain or ExtendedEUCapitalGain may be present, but not both.

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2762

65.1.34.9.19.6.3 PercentageOfDebtClaim <PctgOfDebtClm>

Presence: [0..1]

Definition: Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).

Datatype: "PercentageRate" on page 2762

65.1.34.9.19.6.4 PercentageGrandfatheredDebt <PctgGrdfthdDebt>

Presence: [0..1]

Definition: Percentage of grandfathered debt claim.

Datatype: "PercentageRate" on page 2762

65.1.34.9.19.6.5 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.9.19.6.6 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "EUDividendStatus1Code" on page 2668

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

65.1.34.9.19.6.7 ExtendedEUDividendStatus <XtndedEUDvddSts>

Presence: [0..1]

Warning Either EUDividendStatus or ExtendedEUDividendStatus may be present, but not both.

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

Datatype: "Extended350Code" on page 2762

65.1.34.10 PriceSource

Definition: Source of a price quotation when it is not the local market.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PriceSource <PricSrc>	[1..1]	CodeSet		2325
	Narrative <Nrtrv>	[0..1]	Text		2326

65.1.34.10.1 PriceSource <PricSrc>

Presence: [1..1]

Definition: Source of the price.

Datatype: "PriceSource1Code" on page 2709

CodeName	Name	Definition
FUND	Fund	Source of price quotation is a fund, eg, transfer agent, fund itself.
THEO	Theoretical	Source of price quotation is a theoretical value based on the market yield.

CodeName	Name	Definition
VEND	Vendor	Source of price quotation is an external vendor.

65.1.34.10.2 Narrative <Nrrtv>

Presence: [0..1]

Definition: Additional information about the source of a price.

Datatype: "Max35Text" on page 2764

65.1.34.11 PriceRateOrAmountOrUnknownChoice

Definition: Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		2326
Or	Amount <Amt>	[1..1]	Amount	C3	2326
Or}	UnknownIndicator <UknwnInd>	[1..1]	Indicator		2326

65.1.34.11.1 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, ie, percentage.

Datatype: "PercentageRate" on page 2762

65.1.34.11.2 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.34.11.3 UnknownIndicator <UknwnInd>

Presence: [1..1]

Definition: Indicates whether the market price is unknown.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.35 Price Value

65.1.35.1 PriceValue1

Definition: Value given to a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C2	2327

65.1.35.1.1 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.36 Quantity

65.1.36.1 Quantity44Choice

Definition: Choice of quantity of assets.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2327
Or	PercentageRate <PctgRate>	[1..1]	Rate		2328
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2328
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		2328
Or	CashAmount <CshAmt>	[1..1]	Amount	C2	2328
Or}	OtherAsset <OthrAsst>	[1..1]	Text		2328

65.1.36.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity of security to be transferred.

Datatype: "DecimalNumber" on page 2761

65.1.36.1.2 PercentageRate <PctgRate>

Presence: [1..1]

Definition: Percentage rate of assets to be transferred.

Datatype: "PercentageRate" on page 2762

65.1.36.1.3 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 2643

65.1.36.1.4 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 2643

65.1.36.1.5 CashAmount <CshAmt>

Presence: [1..1]

Definition: Cash amount to be transferred.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyAnd13DecimalAmount" on page 2641

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.1.36.1.6 OtherAsset <OthrAsst>

Presence: [1..1]

Definition: Quantity of asset to be transferred.

Datatype: "Max35Text" on page 2764

65.1.36.2 FinancialInstrumentQuantity28Choice

Definition: Choice between formats for the specification of the number of units, amount of money or percentage.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	UnitsNumber <UnitsNb>	[1..1]	Quantity		2329
Or	GrossAmount <GrssAmt>	[1..1]	Amount	C3, C12	2329
Or	NetAmount <NetAmt>	[1..1]	Amount	C3, C12	2329
Or}	HoldingsRedemptionRate <HldgsRedRate>	[1..1]	Rate		2330

65.1.36.2.1 UnitsNumber <UnitsNb>

Presence: [1..1]

Definition: Number of investment fund units redeemed.

Datatype: "DecimalNumber" on page 2761

65.1.36.2.2 GrossAmount <GrssAmt>

Presence: [1..1]

Definition: Amount of money to be redeemed from the fund.

Gross Amount = Quantity * Price.

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.36.2.3 NetAmount <NetAmt>

Presence: [1..1]

Definition: Amount of money to be received following redemption of fund units.

Net Amount = (Quantity * Price) - (Fees + Taxes).

Impacted by: C3 "ActiveOrHistoricCurrency", C12 "CurrencyAmount"

Datatype: "ActiveOrHistoricCurrencyAndAmount" on page 2643

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.36.2.4 HoldingsRedemptionRate <HldgsRedRate>

Presence: [1..1]

Definition: Portion of the investor's holdings to be redeemed.

Datatype: "PercentageRate" on page 2762

65.1.37 Report Parameter**65.1.37.1 DataFormat2Choice**

Definition: Choice between the specification of the data in a structured or unstructured form.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Structured <Strd>	[1..1]	±		2330
Or}	Unstructured <Ustrd>	[1..1]	Text		2330

65.1.37.1.1 Structured <Strd>

Presence: [1..1]

Definition: Specification of data in a structured form.

Structured <Strd> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.37.1.2 Unstructured <Ustrd>

Presence: [1..1]

Definition: Specification of data for which there isn't a structured form.

Datatype: "Max140Text" on page 2763

65.1.37.2 FrequencyCodeAndDSSCode1Choice

Definition: Choice between formats for the frequency.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	FrequencyAsCode <FrqcyAsCd>	[1..1]	CodeSet		2331
Or}	FrequencyAsDSS <FrqcyAsDSS>	[1..1]	±		2331

65.1.37.2.1 FrequencyAsCode <FrqcyAsCd>

Presence: [1..1]

Definition: Frequency expressed as a code.

Datatype: "EventFrequency1Code" on page 2669

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

65.1.37.2.2 FrequencyAsDSS <FrqcyAsDSS>

Presence: [1..1]

Definition: Frequency expressed as a data source scheme and a code used within the data source scheme.

FrequencyAsDSS <FrqcyAsDSS> contains the following elements (see "GenericIdentification7" on page 1651 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651

65.1.38 Safekeeping Place

65.1.38.1 SafekeepingPlaceFormatChoice

Definition: Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]		C13	2332
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrtrv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333
Or	IdentificationAsDSS <IdAsDSS>	[1..1]	±		2333
Or}	IdentificationAsCountry <IdAsCtry>	[1..1]	CodeSet	C11	2333

65.1.38.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and BIC.

Impacted by: C13 "Party1Rule"

Identification <Id> contains the following **SafekeepingPlaceAsCodeAndPartyIdentification** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceSafekeeping <PlcSfkpg>	[1..1]	CodeSet		2332
	Narrative <Nrtrv>	[0..1]	Text		2333
	Party <Pty>	[0..1]	±		2333

Constraints

- **Party1Rule**

If PlaceSafekeeping is not SHHE, then Party is mandatory. If PlaceSafekeeping is SHHE, then Party is optional.

65.1.38.1.1.1 PlaceSafekeeping <PlcSfkpg>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 2719

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.

CodeName	Name	Definition
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

65.1.38.1.1.2 Narrative <Nrtrv>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 2764

65.1.38.1.1.3 Party <Pty>

Presence: [0..1]

Definition: Place of safekeeping.

Party <Pty> contains the following elements (see "[PartyIdentification3](#)" on page 2048 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C7	2048

65.1.38.1.2 IdentificationAsDSS <IdAsDSS>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

IdentificationAsDSS <IdAsDSS> contains the following elements (see "[GenericIdentification5](#)" on page 1652 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1652
	Information <Inf>	[1..1]	Text		1652
	Narrative <Nrtrv>	[0..1]	Text		1652

65.1.38.1.3 IdentificationAsCountry <IdAsCtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.38.2 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2334
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2334
	Identification <Id>	[0..1]	Text		2335
Or	Country <Ctry>	[1..1]	CodeSet	C11	2335
Or	TypeAndIdentification <TpAndId>	[1..1]			2335
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2335
	Identification <Id>	[1..1]	IdentifierSet	C4	2336
Or}	Proprietary <Prtry>	[1..1]	±		2336

65.1.38.2.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2334
	Identification <Id>	[0..1]	Text		2335

65.1.38.2.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 2719

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

65.1.38.2.1.2 Identification <Id>*Presence:* [0..1]*Definition:* Additional information about the place of safekeeping.*Datatype:* "Max35Text" on page 2764**65.1.38.2.2 Country <Ctry>***Presence:* [1..1]*Definition:* Place of safekeeping expressed with a country code.*Impacted by:* C11 "Country"*Datatype:* "CountryCode" on page 2662**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.38.2.3 TypeAndIdentification <TpAndId>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2335
	Identification <Id>	[1..1]	IdentifierSet	C4	2336

65.1.38.2.3.1 SafekeepingPlaceType <SfkpgPlcTp>*Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 2719

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

65.1.38.2.3.2 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C4 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 2750**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.1.38.2.4 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 1638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1638
	Identification <Id>	[0..1]	Text		1638

65.1.38.3 SafekeepingPlaceFormat8Choice*Definition:* Choice of formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.38.3.1 Identification <Id>*Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337

65.1.38.3.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 2719

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

65.1.38.3.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 2764

65.1.38.3.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.38.3.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndAnyBICIdentifier1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338

65.1.38.3.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 2719

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

65.1.38.3.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C7 "AnyBIC"

Datatype: "AnyBICIdentifier" on page 2750

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.1.38.3.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 1638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		1638
	Identification <Id>	[0..1]	Text		1638

65.1.39 Securities Identification

65.1.39.1 AlternateSecurityIdentification1

Definition: Proprietary or domestic identification scheme that uniquely identifies a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2339
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C11	2339
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		2339

65.1.39.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "[Max35Text](#)" on page 2764

65.1.39.1.2 DomesticIdentificationSource <DmstIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Country of the proprietary identification scheme.

Impacted by: [C11 "Country"](#)

Datatype: "[CountryCode](#)" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.39.1.3 ProprietaryIdentificationSource <PrtryIdSrc>

Presence: [1..1]

Warning Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

Definition: Entity that issues the proprietary identification.

Datatype: "Max35Text" on page 2764

65.1.39.2 SecurityIdentification3Choice

Definition: Choice between formats for the identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2340
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2340
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2341
Or	RIC <RIC>	[1..1]	IdentifierSet		2341
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2341
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2341
Or	CTA <CTA>	[1..1]	IdentifierSet		2341
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2341
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2341
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2342
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2342
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2342
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2342
Or	Common <Cmon>	[1..1]	IdentifierSet		2342
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]	±		2342

65.1.39.2.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINIdentifier" on page 2756

65.1.39.2.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.39.2.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.39.2.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, eg, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.39.2.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, eg, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.39.2.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "BloombergIdentifier" on page 2752

65.1.39.2.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.39.2.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.39.2.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.39.2.10 Dutch <Dtch>*Presence:* [1..1]*Definition:* Identifier for Dutch securities.*Datatype:* "DutchIdentifier" on page 2753**65.1.39.2.11 Valoren <Vlrn>***Presence:* [1..1]*Definition:* Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.*Datatype:* "ValorenIdentifier" on page 2760**65.1.39.2.12 Sicovam <SCVM>***Presence:* [1..1]*Definition:* Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.*Datatype:* "SicovamIdentifier" on page 2758**65.1.39.2.13 Belgian <Belgn>***Presence:* [1..1]*Definition:* Identifier for Belgian securities.*Datatype:* "BelgianIdentifier" on page 2751**65.1.39.2.14 Common <Cmon>***Presence:* [1..1]*Definition:* Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.*Datatype:* "EuroclearClearstreamIdentifier" on page 2754**65.1.39.2.15 OtherProprietaryIdentification <OthrPrtryld>***Presence:* [1..1]*Definition:* Proprietary identification of a security assigned by an institution or organisation.**OtherProprietaryIdentification <OthrPrtryld>** contains the following elements (see "AlternateSecurityIdentification1" on page 2339 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2339
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C11	2339
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		2339

65.1.40 Securities Quantity

65.1.40.1 FinancialInstrumentQuantity1

Definition: Quantity of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Unit <Unit>	[1..1]	Quantity		2343

65.1.40.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 2761

65.1.40.2 UnitsOrAmountOrPercentage1Choice

Definition: Choice between an amount or number of units or percentage.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2343
Or	Unit <Unit>	[1..1]	Quantity		2343
Or}	Percentage <Pctg>	[1..1]	Rate		2344

65.1.40.2.1 Amount <Amt>

Presence: [1..1]

Definition: Cash amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.40.2.2 Unit <Unit>

Presence: [1..1]

Definition: Number of units.

Datatype: "DecimalNumber" on page 2761

65.1.40.2.3 Percentage <Pctg>

Presence: [1..1]

Definition: Percentage of cash amount.

Datatype: "PercentageRate" on page 2762

65.1.40.3 FinancialInstrumentQuantityChoice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		2344
Or	FaceAmount <FaceAmt>	[1..1]	Amount		2344
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		2344

65.1.40.3.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, eg, a number of shares.

Datatype: "DecimalNumber" on page 2761

65.1.40.3.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 2643

65.1.40.3.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, eg, a periodic reduction of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 2643

65.1.41 Service Level Agreement

65.1.41.1 DocumentToSend3

Definition: Type of document and the type of communication method to be used to notify a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2345
	Recipient <Rcpt>	[1..1]	±		2345
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2345
{Or	Code <Cd>	[1..1]	CodeSet		2345
Or}	Proprietary <Prtry>	[1..1]	±		2346

65.1.41.1.1 Type <Tp>

Presence: [1..1]

Definition: Type of document.

Datatype: "Max140Text" on page 2763

65.1.41.1.2 Recipient <Rcpt>

Presence: [1..1]

Definition: Party that should receive the document.

Recipient <Rcpt> contains one of the following elements (see "PartyIdentification70Choice" on page 2105 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.41.1.3 MethodOfTransmission <MtdOfTrnsmssn>

Presence: [1..1]

Definition: Communication method to be used.

MethodOfTransmission <MtdOfTrnsmssn> contains one of the following **CommunicationMethod3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2345
Or}	Proprietary <Prtry>	[1..1]	±		2346

65.1.41.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Method of transmission expressed as a code.

Datatype: "CommunicationMethod1Code" on page 2657

CodeName	Name	Definition
SWMT	SWIFTMT	Transmission by Swift (FIN).
SWMX	SWIFTMX	Transmission by SWIFTNET.
FAXI	Fax	Transmission by Fax.
EMAL	Email	Transmission by e-mail.
PROP	Proprietary	Transmission by proprietary method.

65.1.41.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Method of transmission expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.41.2 ModificationScope31

Definition: Scope of the modification to be applied on an identified set of information.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ModificationScopeIndication <ModScplIndctn>	[1..1]	CodeSet		2346
	ServiceLevelAgreement <SvcLvlAgrmt>	[1..1]	±		2346

65.1.41.2.1 ModificationScopeIndication <ModScplIndctn>

Presence: [1..1]

Definition: Specifies the type of modification to be applied.

Datatype: "[DataModification1Code](#)" on page 2665

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.1.41.2.2 ServiceLevelAgreement <SvcLvlAgrmt>

Presence: [1..1]

Definition: Identification of information which is part of a service level agreement.

ServiceLevelAgreement <SvcLvlAgrmt> contains the following elements (see "DocumentToSend3" on page 2344 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	Text		2345
	Recipient <Rcpt>	[1..1]	±		2345
	MethodOfTransmission <MtdOfTrnsmssn>	[1..1]			2345
{Or	Code <Cd>	[1..1]	CodeSet		2345
Or}	Proprietary <Prtry>	[1..1]	±		2346

65.1.42 Settlement Chain

65.1.42.1 DeliveringPartiesAndAccount17

Definition: Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DelivererDetails <DlvrDtls>	[0..1]	±	C1, C2	2349
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2349
	PartyIdentification <PtyId>	[1..1]	±		2350
	AccountIdentification <AcctId>	[0..1]	Text		2350
	ProcessingReference <PrcgRef>	[0..1]	Text		2350
	ProcessingDate <PrcgDt>	[0..1]	±		2350
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2351
	PartyIdentification <PtyId>	[1..1]	±		2351
	AccountIdentification <AcctId>	[0..1]	Text		2351
	ProcessingReference <PrcgRef>	[0..1]	Text		2351
	ProcessingDate <PrcgDt>	[0..1]	±		2351
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2352
	PartyIdentification <PtyId>	[1..1]	±		2352
	AccountIdentification <AcctId>	[0..1]	Text		2352
	ProcessingReference <PrcgRef>	[0..1]	Text		2352
	ProcessingDate <PrcgDt>	[0..1]	±		2352
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2353
	PartyIdentification <PtyId>	[1..1]	±		2353
	AccountIdentification <AcctId>	[0..1]	Text		2353
	ProcessingReference <PrcgRef>	[0..1]	Text		2353
	ProcessingDate <PrcgDt>	[0..1]	±		2354
	SecuritiesSettlementSystem <SciesSttlmSys>	[0..1]	Text		2354
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2354
	PartyIdentification <PtyId>	[1..1]	±		2354
	ProcessingReference <PrcgRef>	[0..1]	Text		2354
	ProcessingDate <PrcgDt>	[0..1]	±		2355
	ContactPerson <CtctPrsn>	[0..1]	±		2355

Constraints

- **DeliverersCustodianDetailsRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

On Condition

/DeliverersIntermediary1Details is present

Following Must be True
 /DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1Details**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

On Condition
 /DeliverersIntermediary2Details is present
 Following Must be True
 /DeliverersIntermediary1Details Must be present

65.1.42.1.1 DelivererDetails <DlvrrDtls>

Presence: [0..1]

Definition: Party that sells goods or services, or a financial instrument.

Impacted by: C1 "AccountIdentificationGuideline", C2 "AccountIdentificationRule"

DelivererDetails <DlvrrDtls> contains the following elements (see "InvestmentAccount65" on page 1673 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1674
	AccountIdentification <AcctId>	[0..1]	Text		1674
	AccountName <AcctNm>	[0..1]	Text		1674
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1674
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1674
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1675
	IncomePreference <IncmPref>	[0..1]	CodeSet		1675
	BeneficiaryCertificationCompletion <BnfcrtyCertfctnCmpltn>	[0..1]	CodeSet		1675
	AccountServicer <AcctSvcr>	[0..1]	±		1675
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1675

Constraints

- **AccountIdentificationGuideline**

It is recommended that AccountIdentification be used to identify an account.

- **AccountIdentificationRule**

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more than one occurrence of this message element may be present. More than one message element in the list may be present.

65.1.42.1.2 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2350
	AccountIdentification <AcctId>	[0..1]	Text		2350
	ProcessingReference <PrcgRef>	[0..1]	Text		2350
	ProcessingDate <PrcgDt>	[0..1]	±		2350

65.1.42.1.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.1.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.1.2.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.1.2.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.1.3 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>*Presence:* [0..1]*Definition:* Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.**DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>** contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2351
	AccountIdentification <AcctId>	[0..1]	Text		2351
	ProcessingReference <PrcgRef>	[0..1]	Text		2351
	ProcessingDate <PrcgDt>	[0..1]	±		2351

65.1.42.1.3.1 PartyIdentification <PtyId>*Presence:* [1..1]*Definition:* Party that legally owns the account.**PartyIdentification <PtyId>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.1.3.2 AccountIdentification <AcctId>*Presence:* [0..1]*Definition:* Identification of the account owned by the party.*Datatype:* "[Max35Text](#)" on page 2764**65.1.42.1.3.3 ProcessingReference <PrcgRef>***Presence:* [0..1]*Definition:* Specifies the reference of the transaction at the party identified in the sequence.*Datatype:* "[Max35Text](#)" on page 2764**65.1.42.1.3.4 ProcessingDate <PrcgDt>***Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.1.4 DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary.

DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2352
	AccountIdentification <AcctId>	[0..1]	Text		2352
	ProcessingReference <PrcgRef>	[0..1]	Text		2352
	ProcessingDate <PrcgDt>	[0..1]	±		2352

65.1.42.1.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.1.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.1.4.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.1.4.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.1.5 DeliveringAgentDetails <DlvrgAgtDtls>

Presence: [1..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.

DeliveringAgentDetails <DlvrgAgtDtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2353
	AccountIdentification <AcctId>	[0..1]	Text		2353
	ProcessingReference <PrcgRef>	[0..1]	Text		2353
	ProcessingDate <PrcgDt>	[0..1]	±		2354

65.1.42.1.5.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.1.5.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.1.5.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.1.5.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.1.6 SecuritiesSettlementSystem <SctiesSttlmSys>

Presence: [0..1]

Definition: Identifies the securities settlement system to be used.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.1.7 PlaceOfSettlementDetails <PlcOfSttlmDtls>

Presence: [0..1]

Definition: Place where settlement of the securities takes place.

PlaceOfSettlementDetails <PlcOfSttlmDtls> contains the following **PartyIdentification123** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2354
	ProcessingReference <PrcgRef>	[0..1]	Text		2354
	ProcessingDate <PrcgDt>	[0..1]	±		2355
	ContactPerson <CtctPrsn>	[0..1]	±		2355

65.1.42.1.7.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.1.7.2 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.1.7.3 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.1.7.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "ContactIdentification2" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.42.2 SettlementParties74

Definition: Chain of parties involved in the settlement of a transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2357
	Party1 <Pty1>	[0..1]	±		2357
	Party2 <Pty2>	[0..1]	±		2358
	Party3 <Pty3>	[0..1]	±		2358
	Party4 <Pty4>	[0..1]	±		2359
	Party5 <Pty5>	[0..1]	±		2359

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```


- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

65.1.42.2.1 Depository <Dpstry>

Presence: [0..1]

Definition: First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments. This party may be a transfer agent.

Depository <Dpstry> contains the following elements (see "[PartyIdentification141](#)" on page 2065 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2066
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	2066
Or	NameAndAddress <NmAndAdr>	[1..1]	±		2066
Or}	Country <Ctry>	[1..1]	CodeSet	C11	2067
	LEI <LEI>	[0..1]	IdentifierSet		2067
	AlternateIdentification <AltrnId>	[0..1]	±		2067
	ProcessingDate <PrcgDt>	[0..1]	±		2067
	ProcessingIdentification <PrcgId>	[0..1]	Text		2068
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2068

65.1.42.2.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the depository.

Party1 <Pty1> contains the following elements (see "[PartyIdentificationAndAccount161](#)" on page 2052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.42.2.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 1.

Party2 <Pty2> contains the following elements (see "[PartyIdentificationAndAccount161](#)" on page 2052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.42.2.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 2.

Party3 <Pty3> contains the following elements (see "[PartyIdentificationAndAccount161](#)" on page 2052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.42.2.5 Party4 <Pty4>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 3.

Party4 <Pty4> contains the following elements (see "[PartyIdentificationAndAccount161](#)" on page 2052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.42.2.6 Party5 <Pty5>

Presence: [0..1]

Definition: Party that, in a settlement chain, interacts with the party 4.

Party5 <Pty5> contains the following elements (see "PartyIdentificationAndAccount161" on page 2052 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2052
	LEI <LEI>	[0..1]	IdentifierSet		2052
	AlternateIdentification <AltrnId>	[0..1]	±		2052
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		2053
	ProcessingDate <PrcgDt>	[0..1]	±		2053
	ProcessingIdentification <PrcgId>	[0..1]	Text		2053
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	2053

65.1.42.3 ReceivingPartiesAndAccount18

Definition: Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2362
	PartyIdentification <PtyId>	[1..1]	±		2362
	AccountIdentification <AcctId>	[0..1]	Text		2362
	ProcessingReference <PrcgRef>	[0..1]	Text		2362
	ProcessingDate <PrcgDt>	[0..1]	±		2363
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2363
	PartyIdentification <PtyId>	[1..1]	±		2363
	AccountIdentification <AcctId>	[0..1]	Text		2363
	ProcessingReference <PrcgRef>	[0..1]	Text		2364
	ProcessingDate <PrcgDt>	[0..1]	±		2364
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2364
	PartyIdentification <PtyId>	[1..1]	±		2364
	AccountIdentification <AcctId>	[0..1]	Text		2364
	ProcessingReference <PrcgRef>	[0..1]	Text		2365
	ProcessingDate <PrcgDt>	[0..1]	±		2365
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2365
	PartyIdentification <PtyId>	[1..1]	±		2365
	AccountIdentification <AcctId>	[0..1]	Text		2366
	ProcessingReference <PrcgRef>	[0..1]	Text		2366
	ProcessingDate <PrcgDt>	[0..1]	±		2366
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2366
	ContactPerson <CtctPrsn>	[0..1]	±		2366
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2367
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2367
	PartyIdentification <PtyId>	[1..1]	±		2367
	ProcessingReference <PrcgRef>	[0..1]	Text		2368
	ProcessingDate <PrcgDt>	[0..1]	±		2368
	ContactPerson <CtctPrsn>	[0..1]	±		2368

Constraints

- **ReceiversCustodianDetailsRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

On Condition
 /ReceiversIntermediary1Details is present
 Following Must be True
 /ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

On Condition
 /ReceiversIntermediary2Details is present
 Following Must be True
 /ReceiversIntermediary1Details Must be present

65.1.42.3.1 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2362
	AccountIdentification <AcctId>	[0..1]	Text		2362
	ProcessingReference <PrcgRef>	[0..1]	Text		2362
	ProcessingDate <PrcgDt>	[0..1]	±		2363

65.1.42.3.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.3.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.3.1.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.3.1.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.3.2 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2363
	AccountIdentification <AcctId>	[0..1]	Text		2363
	ProcessingReference <PrcgRef>	[0..1]	Text		2364
	ProcessingDate <PrcgDt>	[0..1]	±		2364

65.1.42.3.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.3.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.42.3.2.3 ProcessingReference <PrcgRef>*Presence:* [0..1]*Definition:* Specifies the reference of the transaction at the party identified in the sequence.*Datatype:* "Max35Text" on page 2764**65.1.42.3.2.4 ProcessingDate <PrcgDt>***Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.3.3 ReceiversIntermediary2Details <RcvrsIntrmy2DtIs>*Presence:* [0..1]*Definition:* Party that interacts with the receiver's intermediary.**ReceiversIntermediary2Details <RcvrsIntrmy2DtIs>** contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2364
	AccountIdentification <AcctId>	[0..1]	Text		2364
	ProcessingReference <PrcgRef>	[0..1]	Text		2365
	ProcessingDate <PrcgDt>	[0..1]	±		2365

65.1.42.3.3.1 PartyIdentification <PtyId>*Presence:* [1..1]*Definition:* Party that legally owns the account.**PartyIdentification <PtyId>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.3.3.2 AccountIdentification <AcctId>*Presence:* [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.42.3.3.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.3.3.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.3.4 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent via the place of settlement, for example, securities central depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2365
	AccountIdentification <AcctId>	[0..1]	Text		2366
	ProcessingReference <PrcgRef>	[0..1]	Text		2366
	ProcessingDate <PrcgDt>	[0..1]	±		2366
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2366
	ContactPerson <CtctPrsn>	[0..1]	±		2366

65.1.42.3.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.3.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.3.4.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.3.4.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.3.4.5 SubAccountDetails <SubAcctDtIs>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

SubAccountDetails <SubAcctDtIs> contains the following elements (see "[SubAccount5](#)" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.42.3.4.6 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.42.3.5 SecuritiesSettlementSystem <SctiesSttlmSys>

Presence: [0..1]

Definition: Identifies the securities settlement system to be used.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.3.6 PlaceOfSettlementDetails <PlcOfSttlmDtls>

Presence: [0..1]

Definition: Place where settlement of the securities takes place.

PlaceOfSettlementDetails <PlcOfSttlmDtls> contains the following **PartyIdentification123** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2367
	ProcessingReference <PrcgRef>	[0..1]	Text		2368
	ProcessingDate <PrcgDt>	[0..1]	±		2368
	ContactPerson <CtctPrsn>	[0..1]	±		2368

65.1.42.3.6.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.3.6.2 ProcessingReference <PrcgRef>*Presence:* [0..1]*Definition:* Specifies the reference of the transaction at the party identified in the sequence.*Datatype:* "Max35Text" on page 2764**65.1.42.3.6.3 ProcessingDate <PrcgDt>***Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.3.6.4 ContactPerson <CtctPrsn>*Presence:* [0..1]*Definition:* Contact person and contact information.**ContactPerson <CtctPrsn>** contains the following elements (see "ContactIdentification2" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.42.4 ReceivingPartiesAndAccount17*Definition:* Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiverDetails <RcvrDtls>	[0..1]	±	C1, C2	2370
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2371
	PartyIdentification <PtyId>	[1..1]	±		2371
	AccountIdentification <AcctId>	[0..1]	Text		2371
	ProcessingReference <PrcgRef>	[0..1]	Text		2371
	ProcessingDate <PrcgDt>	[0..1]	±		2371
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2372
	PartyIdentification <PtyId>	[1..1]	±		2372
	AccountIdentification <AcctId>	[0..1]	Text		2372
	ProcessingReference <PrcgRef>	[0..1]	Text		2372
	ProcessingDate <PrcgDt>	[0..1]	±		2373
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2373
	PartyIdentification <PtyId>	[1..1]	±		2373
	AccountIdentification <AcctId>	[0..1]	Text		2373
	ProcessingReference <PrcgRef>	[0..1]	Text		2373
	ProcessingDate <PrcgDt>	[0..1]	±		2374
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2374
	PartyIdentification <PtyId>	[1..1]	±		2374
	AccountIdentification <AcctId>	[0..1]	Text		2374
	ProcessingReference <PrcgRef>	[0..1]	Text		2375
	ProcessingDate <PrcgDt>	[0..1]	±		2375
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2375
	ContactPerson <CtctPrsn>	[0..1]	±		2375
	SecuritiesSettlementSystem <SciesSttlmSys>	[0..1]	Text		2376
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2376
	PartyIdentification <PtyId>	[1..1]	±		2376
	ProcessingReference <PrcgRef>	[0..1]	Text		2377
	ProcessingDate <PrcgDt>	[0..1]	±		2377
	ContactPerson <CtctPrsn>	[0..1]	±		2377

Constraints

- **ReceiversCustodianDetailsRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

On Condition

/ReceiversIntermediary1Details is present

Following Must be True

/ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

On Condition

/ReceiversIntermediary2Details is present

Following Must be True

/ReceiversIntermediary1Details Must be present

65.1.42.4.1 ReceiverDetails <RcvrDtls>

Presence: [0..1]

Definition: Party that buys goods or services, or a financial instrument.

Impacted by: C1 "AccountIdentificationGuideline", C2 "AccountIdentificationRule"

ReceiverDetails <RcvrDtls> contains the following elements (see "[InvestmentAccount65](#)" on page 1673 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OwnerIdentification <OwnrId>	[0..*]	±		1674
	AccountIdentification <AcctId>	[0..1]	Text		1674
	AccountName <AcctNm>	[0..1]	Text		1674
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1674
	SecuritiesForm <ScitiesForm>	[0..1]	CodeSet		1674
	DematerialisedIndicator <DmtrlsdInd>	[0..1]	Indicator		1675
	IncomePreference <IncmPref>	[0..1]	CodeSet		1675
	BeneficiaryCertificationCompletion <BnfcryCertfctnCmpltn>	[0..1]	CodeSet		1675
	AccountServicer <AcctSvcr>	[0..1]	±		1675
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1675

Constraints

- **AccountIdentificationGuideline**

It is recommended that AccountIdentification be used to identify an account.

- **AccountIdentificationRule**

At least one occurrence of one message element in the list (OwnerIdentification, AccountIdentification, AccountName) must be present. If an element in the list is repetitive, more

than one occurrence of this message element may be present. More than one message element in the list may be present.

65.1.42.4.2 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2371
	AccountIdentification <AcctId>	[0..1]	Text		2371
	ProcessingReference <PrcgRef>	[0..1]	Text		2371
	ProcessingDate <PrcgDt>	[0..1]	±		2371

65.1.42.4.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.4.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.4.2.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.4.2.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.4.3 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2372
	AccountIdentification <AcctId>	[0..1]	Text		2372
	ProcessingReference <PrcgRef>	[0..1]	Text		2372
	ProcessingDate <PrcgDt>	[0..1]	±		2373

65.1.42.4.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.4.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.4.3.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: ["Max35Text"](#) on page 2764

65.1.42.4.3.4 ProcessingDate <PrcgDt>*Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.4.4 ReceiversIntermediary2Details <RcvrsIntrmy2DtIs>*Presence:* [0..1]*Definition:* Party that interacts with the receiver's intermediary.**ReceiversIntermediary2Details <RcvrsIntrmy2DtIs>** contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2373
	AccountIdentification <AcctId>	[0..1]	Text		2373
	ProcessingReference <PrcgRef>	[0..1]	Text		2373
	ProcessingDate <PrcgDt>	[0..1]	±		2374

65.1.42.4.4.1 PartyIdentification <PtyId>*Presence:* [1..1]*Definition:* Party that legally owns the account.**PartyIdentification <PtyId>** contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.4.4.2 AccountIdentification <AcctId>*Presence:* [0..1]*Definition:* Identification of the account owned by the party.*Datatype:* "[Max35Text](#)" on page 2764**65.1.42.4.4.3 ProcessingReference <PrcgRef>***Presence:* [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.4.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.4.5 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent via the place of settlement, for example, securities central depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount158** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2374
	AccountIdentification <AcctId>	[0..1]	Text		2374
	ProcessingReference <PrcgRef>	[0..1]	Text		2375
	ProcessingDate <PrcgDt>	[0..1]	±		2375
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2375
	ContactPerson <CtctPrsn>	[0..1]	±		2375

65.1.42.4.5.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.4.5.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.42.4.5.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.4.5.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.4.5.5 SubAccountDetails <SubAcctDtls>

Presence: [0..1]

Definition: Business relationship between two entities; one entity is the account owner, the other entity is the account servicer.

SubAccountDetails <SubAcctDtls> contains the following elements (see "SubAccount5" on page 1255 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1255
	Name <Nm>	[0..1]	Text		1255
	Characteristic <Chrtc>	[0..1]	Text		1255

65.1.42.4.5.6 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.42.4.6 SecuritiesSettlementSystem <SctiesSttlmSys>

Presence: [0..1]

Definition: Identifies the securities settlement system to be used.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.4.7 PlaceOfSettlementDetails <PlcOfSttlmDtls>

Presence: [0..1]

Definition: Place where settlement of the securities takes place.

PlaceOfSettlementDetails <PlcOfSttlmDtls> contains the following **PartyIdentification123** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2376
	ProcessingReference <PrcgRef>	[0..1]	Text		2377
	ProcessingDate <PrcgDt>	[0..1]	±		2377
	ContactPerson <CtctPrsn>	[0..1]	±		2377

65.1.42.4.7.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.4.7.2 ProcessingReference <PrcgRef>*Presence:* [0..1]*Definition:* Specifies the reference of the transaction at the party identified in the sequence.*Datatype:* "Max35Text" on page 2764**65.1.42.4.7.3 ProcessingDate <PrcgDt>***Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.4.7.4 ContactPerson <CtctPrsn>*Presence:* [0..1]*Definition:* Contact person and contact information.**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification2](#)" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.42.5 DeliveringPartiesAndAccount18*Definition:* Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2379
	PartyIdentification <PtyId>	[1..1]	±		2379
	AccountIdentification <AcctId>	[0..1]	Text		2379
	ProcessingReference <PrcgRef>	[0..1]	Text		2379
	ProcessingDate <PrcgDt>	[0..1]	±		2380
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2380
	PartyIdentification <PtyId>	[1..1]	±		2380
	AccountIdentification <AcctId>	[0..1]	Text		2380
	ProcessingReference <PrcgRef>	[0..1]	Text		2380
	ProcessingDate <PrcgDt>	[0..1]	±		2381
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2381
	PartyIdentification <PtyId>	[1..1]	±		2381
	AccountIdentification <AcctId>	[0..1]	Text		2381
	ProcessingReference <PrcgRef>	[0..1]	Text		2382
	ProcessingDate <PrcgDt>	[0..1]	±		2382
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2382
	PartyIdentification <PtyId>	[1..1]	±		2382
	AccountIdentification <AcctId>	[0..1]	Text		2382
	ProcessingReference <PrcgRef>	[0..1]	Text		2383
	ProcessingDate <PrcgDt>	[0..1]	±		2383
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2383
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2383
	PartyIdentification <PtyId>	[1..1]	±		2383
	ProcessingReference <PrcgRef>	[0..1]	Text		2384
	ProcessingDate <PrcgDt>	[0..1]	±		2384
	ContactPerson <CtctPrsn>	[0..1]	±		2384

Constraints

- **DeliverersCustodianDetailsRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

On Condition

/DeliverersIntermediary1Details is present

Following Must be True
 /DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

On Condition
 /DeliverersIntermediary2Details is present
 Following Must be True
 /DeliverersIntermediary1Details Must be present

65.1.42.5.1 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2379
	AccountIdentification <AcctId>	[0..1]	Text		2379
	ProcessingReference <PrcgRef>	[0..1]	Text		2379
	ProcessingDate <PrcgDt>	[0..1]	±		2380

65.1.42.5.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on [page 2088](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.5.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on [page 2764](#)

65.1.42.5.1.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "[Max35Text](#)" on [page 2764](#)

65.1.42.5.1.4 ProcessingDate <PrcgDt>*Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.5.2 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>*Presence:* [0..1]*Definition:* Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.**DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>** contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2380
	AccountIdentification <AcctId>	[0..1]	Text		2380
	ProcessingReference <PrcgRef>	[0..1]	Text		2380
	ProcessingDate <PrcgDt>	[0..1]	±		2381

65.1.42.5.2.1 PartyIdentification <PtyId>*Presence:* [1..1]*Definition:* Party that legally owns the account.**PartyIdentification <PtyId>** contains the following elements (see ["PartyIdentification113"](#) on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.5.2.2 AccountIdentification <AcctId>*Presence:* [0..1]*Definition:* Identification of the account owned by the party.*Datatype:* ["Max35Text"](#) on page 2764**65.1.42.5.2.3 ProcessingReference <PrcgRef>***Presence:* [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.5.2.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.5.3 DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary.

DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2381
	AccountIdentification <AcctId>	[0..1]	Text		2381
	ProcessingReference <PrcgRef>	[0..1]	Text		2382
	ProcessingDate <PrcgDt>	[0..1]	±		2382

65.1.42.5.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.5.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.42.5.3.3 ProcessingReference <PrcgRef>*Presence:* [0..1]*Definition:* Specifies the reference of the transaction at the party identified in the sequence.*Datatype:* "Max35Text" on page 2764**65.1.42.5.3.4 ProcessingDate <PrcgDt>***Presence:* [0..1]*Definition:* Date and optionally the time, at which this transaction was processed by the party identified in this sequence.**ProcessingDate <PrcgDt>** contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.5.4 DeliveringAgentDetails <DlvrgAgtDtls>*Presence:* [1..1]*Definition:* Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.**DeliveringAgentDetails <DlvrgAgtDtls>** contains the following **PartyIdentificationAndAccount157** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2382
	AccountIdentification <AcctId>	[0..1]	Text		2382
	ProcessingReference <PrcgRef>	[0..1]	Text		2383
	ProcessingDate <PrcgDt>	[0..1]	±		2383

65.1.42.5.4.1 PartyIdentification <PtyId>*Presence:* [1..1]*Definition:* Party that legally owns the account.**PartyIdentification <PtyId>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.5.4.2 AccountIdentification <AcctId>*Presence:* [0..1]

Definition: Identification of the account owned by the party.

Datatype: "Max35Text" on page 2764

65.1.42.5.4.3 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "Max35Text" on page 2764

65.1.42.5.4.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.5.5 SecuritiesSettlementSystem <SctiesSttlmSys>

Presence: [0..1]

Definition: Identifies the securities settlement system to be used.

Datatype: "Max35Text" on page 2764

65.1.42.5.6 PlaceOfSettlementDetails <PlcOfSttlmDtls>

Presence: [0..1]

Definition: Place where settlement of the securities takes place.

PlaceOfSettlementDetails <PlcOfSttlmDtls> contains the following **PartyIdentification123** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2383
	ProcessingReference <PrcgRef>	[0..1]	Text		2384
	ProcessingDate <PrcgDt>	[0..1]	±		2384
	ContactPerson <CtctPrsn>	[0..1]	±		2384

65.1.42.5.6.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.42.5.6.2 ProcessingReference <PrcgRef>

Presence: [0..1]

Definition: Specifies the reference of the transaction at the party identified in the sequence.

Datatype: "[Max35Text](#)" on page 2764

65.1.42.5.6.3 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date and optionally the time, at which this transaction was processed by the party identified in this sequence.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.42.5.6.4 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Contact person and contact information.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification2](#)" on page 2236 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		2236
	GivenName <GvnNm>	[0..1]	Text		2236
	Name <Nm>	[1..1]	Text		2236
	PhoneNumber <PhneNb>	[0..1]	Text		2237
	MobileNumber <MobNb>	[0..1]	Text		2237
	FaxNumber <FaxNb>	[0..1]	Text		2237
	EmailAddress <EmailAdr>	[0..1]	Text		2237

65.1.43 Settlement Details

65.1.43.1 FundSettlementParameters12

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2387
	SettlementPlace <SttlmPlc>	[1..1]	±		2387
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2387
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2388
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2388
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2388
	ReceivingSideDetails <RcvgSdDtls>	[0..1]		C27, C28	2388
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392
	DeliveringSideDetails <DlvrgSdDtls>	[1..1]		C12, C13	2392
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

65.1.43.1.1 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be delivered or received.

Datatype: "ISODate" on page 2749

65.1.43.1.2 SettlementPlace <SttlmPlc>

Presence: [1..1]

Definition: Place where the settlement of the transaction will take place. In the context of investment funds, the place of settlement is the transfer agent, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SettlementPlace <SttlmPlc> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.43.1.4 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>*Presence:* [0..1]*Definition:* Identifies the securities settlement system to be used.*Datatype:* "Max35Text" on page 2764**65.1.43.1.5 TradeTransactionCondition <TradTxCond>***Presence:* [0..*]*Definition:* Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.**TradeTransactionCondition <TradTxCond>** contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2584
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.43.1.6 SettlementTransactionCondition <SttlmTxCond>*Presence:* [0..*]*Definition:* Condition under which the order/trade is to be settled. This may be required for settlement through T2S.**SettlementTransactionCondition <SttlmTxCond>** contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2585
Or}	Proprietary <Prtry>	[1..1]	±		2585

65.1.43.1.7 ReceivingSideDetails <RcvgSdDtIs>*Presence:* [0..1]*Definition:* Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.*Impacted by:* C27 "ReceiversCustodianRule", C28 "ReceiversIntermediary1DetailsRule"

ReceivingSideDetails <RcvgSdDtls> contains the following **ReceivingPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2389
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2390
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2391
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392

Constraints

- **ReceiversCustodianRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

On Condition
 /ReceiversIntermediary1Details is present
 Following Must be True
 /ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

On Condition
 /ReceiversIntermediary2Details is present
 Following Must be True
 /ReceiversIntermediary1Details Must be present

65.1.43.1.7.1 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2390

65.1.43.1.7.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.7.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.7.2 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2390
	AccountIdentification <AcctId>	[0..1]	Text		2391

65.1.43.1.7.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.7.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.7.3 ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the receiver's intermediary 1.

ReceiversIntermediary2Details <RcvrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2391
	AccountIdentification <AcctId>	[0..1]	Text		2391

65.1.43.1.7.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.7.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.7.4 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent at the place of settlement, for example, central securities depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2392
	AccountIdentification <AcctId>	[0..1]	Text		2392

65.1.43.1.7.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.7.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.8 DeliveringSideDetails <DlvrgSdDtls>

Presence: [1..1]

Definition: Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.

Impacted by: [C12 "DeliverersCustodianRule"](#), [C13 "DeliverersIntermediary1DetailsRule"](#)

DeliveringSideDetails <DlvrgSdDtls> contains the following **DeliveringPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2393
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2394
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2395
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

Constraints

- **DeliverersCustodianRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

```
On Condition
  /DeliverersIntermediary1Details is present
Following Must be True
  /DeliverersCustodianDetails Must be present
```

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

```
On Condition
  /DeliverersIntermediary2Details is present
Following Must be True
  /DeliverersIntermediary1Details Must be present
```

65.1.43.1.8.1 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2394

65.1.43.1.8.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.8.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.8.2 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.

DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2394
	AccountIdentification <AcctId>	[0..1]	Text		2395

65.1.43.1.8.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.8.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.8.3 DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary 1.

DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2395
	AccountIdentification <AcctId>	[0..1]	Text		2395

65.1.43.1.8.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.8.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.1.8.4 DeliveringAgentDetails <DlvrgAgtDtIs>

Presence: [1..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.

DeliveringAgentDetails <DlvrgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2396
	AccountIdentification <AcctId>	[0..1]	Text		2396

65.1.43.1.8.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.1.8.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.2 DeliverInformation18

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2397
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2398
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2398
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2398
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2398
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2399
	StampDuty <StmpDty>	[0..1]	CodeSet		2399
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2399
	Fees <Fees>	[0..*]		C18	2400
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2400
	IndividualFee <IndvFee>	[0..*]	±		2400
	IndividualTax <IndvTax>	[0..*]	±		2401
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2402
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C11, C12	2403
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2405
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2405
	ClientReference <ClntRef>	[0..1]	±		2405

Constraints

- **DeliverersCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

- **DeliverersIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

65.1.43.2.1 Transferor <Trfr>

Presence: [0..1]

Definition: Party that delivers (transferor) securities to the receiving agent (transferee).

Transferor <Trfr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.2.2 TransferorRegisteredAccount <TrfrRegdAcct>

Presence: [0..1]

Definition: Account from which the securities are to be delivered.

TransferorRegisteredAccount <TrfrRegdAcct> contains the following elements (see "[Account24](#)" on page 1248 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1249
	Designation <Dsgnt>	[0..1]	Text		1249
	Servicer <Svcr>	[0..1]	±		1249

65.1.43.2.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary41](#)" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.43.2.4 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "[ISODate](#)" on page 2749

65.1.43.2.5 EffectiveSettlementDate <FctvSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.43.2.6 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.2.7 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2725

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

65.1.43.2.8 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.2.9 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C18 "FeeTaxElementRule"

Fees <Fees> contains the following **Fees1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2400
	IndividualFee <IndvFee>	[0..*]	±		2400

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.43.2.9.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.43.2.9.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

IndividualFee <IndvFee> contains the following elements (see "Fee4" on page 1371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1371
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372
	Basis <Bsis>	[0..1]			1372
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373
	Amount <Amt>	[1..1]	Amount	C2, C10	1373
	DiscountDetails <DscntDtls>	[0..1]		C15	1374
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1376
	RecipientIdentification <RcptId>	[0..1]	±		1376

65.1.43.2.10 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of a financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax34" on page 2545 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2546
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Basis <Bsis>	[0..1]			2547
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Amount <Amt>	[1..1]	Amount	C2, C10	2548
	Country <Ctry>	[0..1]	CodeSet	C11	2548
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2548
	ExemptionReason <XmptnRsn>	[0..1]			2549
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552
	RecipientIdentification <RcptId>	[0..1]	±		2552
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2552
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.43.2.11 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

65.1.43.2.12 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

Impacted by: [C11 "DeliverersCustodianDetailsRule"](#), [C12 "DeliverersIntermediary1Details"](#)

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "DeliveringPartiesAndAccount17" on page 2347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DelivererDetails <DlvrrDtls>	[0..1]	±	C1, C2	2349
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2349
	PartyIdentification <PtyId>	[1..1]	±		2350
	AccountIdentification <AcctId>	[0..1]	Text		2350
	ProcessingReference <PrcgRef>	[0..1]	Text		2350
	ProcessingDate <PrcgDt>	[0..1]	±		2350
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2351
	PartyIdentification <PtyId>	[1..1]	±		2351
	AccountIdentification <AcctId>	[0..1]	Text		2351
	ProcessingReference <PrcgRef>	[0..1]	Text		2351
	ProcessingDate <PrcgDt>	[0..1]	±		2351
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2352
	PartyIdentification <PtyId>	[1..1]	±		2352
	AccountIdentification <AcctId>	[0..1]	Text		2352
	ProcessingReference <PrcgRef>	[0..1]	Text		2352
	ProcessingDate <PrcgDt>	[0..1]	±		2352
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2353
	PartyIdentification <PtyId>	[1..1]	±		2353
	AccountIdentification <AcctId>	[0..1]	Text		2353
	ProcessingReference <PrcgRef>	[0..1]	Text		2353
	ProcessingDate <PrcgDt>	[0..1]	±		2354
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2354
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2354
	PartyIdentification <PtyId>	[1..1]	±		2354
	ProcessingReference <PrcgRef>	[0..1]	Text		2354
	ProcessingDate <PrcgDt>	[0..1]	±		2355
	ContactPerson <CtctPrsn>	[0..1]	±		2355

Constraints

- **DeliverersCustodianDetailsRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

On Condition
 /DeliverersIntermediary1Details is present
 Following Must be True
 /DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1Details**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

On Condition
 /DeliverersIntermediary2Details is present
 Following Must be True
 /DeliverersIntermediary1Details Must be present

65.1.43.2.13 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2708

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

65.1.43.2.14 PhysicalTransferDetails <PhysTrfDtls>

Presence: [0..1]

Definition: Parameters of a physical delivery.

Impacted by: C20 "NameAndAddress1Rule"

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see "DeliveryParameters4" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2274
	NameAndAddress <NmAndAdr>	[0..1]	±		2274
	ContactPerson <CtctPrsn>	[0..1]	±		2274

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

65.1.43.2.15 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.43.3 DeliverInformation19

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferor <Trfr>	[0..1]	±		2407
	TransferorRegisteredAccount <TrfrRegdAcct>	[0..1]	±		2407
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2407
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2408
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2408
	StampDuty <StmpDty>	[0..1]	CodeSet		2408
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2408
	Fees <Fees>	[0..*]		C18	2409
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2409
	IndividualFee <IndvFee>	[0..*]	±		2409
	IndividualTax <IndvTax>	[0..*]	±		2410
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2411
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C11, C12	2412
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2414
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2414
	ClientReference <ClntRef>	[0..1]	±		2414

Constraints

- **DeliverersCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersCustodianDetails is not allowed.

- **DeliverersIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/DeliverersIntermediaryDetails is not allowed.

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMT (Dematerialised), then PhysicalTransferDetails is not allowed.

65.1.43.3.1 Transferor <Trfr>

Presence: [0..1]

Definition: Party that delivers (transferor) securities to the receiving agent (transferee).

Transferor <Trfr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.3.2 TransferorRegisteredAccount <TrfrRegdAcct>

Presence: [0..1]

Definition: Account from which the securities are to be delivered.

TransferorRegisteredAccount <TrfrRegdAcct> contains the following elements (see "[Account24](#)" on page 1248 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1249
	Designation <Dsgnt>	[0..1]	Text		1249
	Servicer <Svcr>	[0..1]	±		1249

65.1.43.3.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "[Intermediary41](#)" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.43.3.4 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.43.3.5 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.3.6 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2725

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

65.1.43.3.7 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.3.8 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C18 "FeeTaxElementRule"

Fees <Fees> contains the following **Fees1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcIAgrmtRef>	[0..1]	Text		2409
	IndividualFee <IndvFee>	[0..*]	±		2409

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.43.3.8.1 CommercialAgreementReference <ComrcIAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.43.3.8.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

IndividualFee <IndvFee> contains the following elements (see "Fee4" on page 1371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1371
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372
	Basis <Bsis>	[0..1]			1372
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373
	Amount <Amt>	[1..1]	Amount	C2, C10	1373
	DiscountDetails <DscntDtls>	[0..1]		C15	1374
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1376
	RecipientIdentification <RcptId>	[0..1]	±		1376

65.1.43.3.9 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax34" on page 2545 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2546
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Basis <Bsis>	[0..1]			2547
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Amount <Amt>	[1..1]	Amount	C2, C10	2548
	Country <Ctry>	[0..1]	CodeSet	C11	2548
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2548
	ExemptionReason <XmptnRsn>	[0..1]			2549
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552
	RecipientIdentification <RcptId>	[0..1]	±		2552
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2552
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.43.3.10 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

65.1.43.3.11 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction.

Impacted by: [C11 "DeliverersCustodianDetailsRule"](#), [C12 "DeliverersIntermediary1Details"](#)

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "DeliveringPartiesAndAccount17" on page 2347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DelivererDetails <DlvrrDtls>	[0..1]	±	C1, C2	2349
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2349
	PartyIdentification <PtyId>	[1..1]	±		2350
	AccountIdentification <AcctId>	[0..1]	Text		2350
	ProcessingReference <PrcgRef>	[0..1]	Text		2350
	ProcessingDate <PrcgDt>	[0..1]	±		2350
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2351
	PartyIdentification <PtyId>	[1..1]	±		2351
	AccountIdentification <AcctId>	[0..1]	Text		2351
	ProcessingReference <PrcgRef>	[0..1]	Text		2351
	ProcessingDate <PrcgDt>	[0..1]	±		2351
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2352
	PartyIdentification <PtyId>	[1..1]	±		2352
	AccountIdentification <AcctId>	[0..1]	Text		2352
	ProcessingReference <PrcgRef>	[0..1]	Text		2352
	ProcessingDate <PrcgDt>	[0..1]	±		2352
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2353
	PartyIdentification <PtyId>	[1..1]	±		2353
	AccountIdentification <AcctId>	[0..1]	Text		2353
	ProcessingReference <PrcgRef>	[0..1]	Text		2353
	ProcessingDate <PrcgDt>	[0..1]	±		2354
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2354
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2354
	PartyIdentification <PtyId>	[1..1]	±		2354
	ProcessingReference <PrcgRef>	[0..1]	Text		2354
	ProcessingDate <PrcgDt>	[0..1]	±		2355
	ContactPerson <CtctPrsn>	[0..1]	±		2355

Constraints

- **DeliverersCustodianDetailsRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must also be present.

On Condition
 /DeliverersIntermediary1Details is present
 Following Must be True
 /DeliverersCustodianDetails Must be present

- **DeliverersIntermediary1Details**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must also be present.

On Condition
 /DeliverersIntermediary2Details is present
 Following Must be True
 /DeliverersIntermediary1Details Must be present

65.1.43.3.12 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2708

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

65.1.43.3.13 PhysicalTransferDetails <PhysTrfDtls>

Presence: [0..1]

Definition: Parameters of a physical delivery.

Impacted by: C20 "NameAndAddress1Rule"

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see "DeliveryParameters4" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2274
	NameAndAddress <NmAndAdr>	[0..1]	±		2274
	ContactPerson <CtctPrsn>	[0..1]	±		2274

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

65.1.43.3.14 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.43.4 ReceiveInformation18

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2416
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2416
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2416
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2417
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2417
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2417
	StampDuty <StmpDty>	[0..1]	CodeSet		2418
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2418
	Fees <Fees>	[0..*]		C18	2418
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2419
	IndividualFee <IndvFee>	[0..*]	±		2419
	IndividualTax <IndvTax>	[0..*]	±		2419
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2420
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C22, C23	2421
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2423
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2423
	ClientReference <CIntRef>	[0..1]	±		2423

Constraints

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DEMA (Dematerialised), then PhysicalTransferDetails is not allowed.

- **ReceiversCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

- **ReceiversIntermediaryDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediaryDetails is not allowed.

65.1.43.4.1 Transferee <Trfee>

Presence: [0..1]

Definition: Party that receives (transferee) securities from the delivering agent (transferor).

Transferee <Trfee> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.4.2 TransfereeRegisteredAccount <TrfeeRegdAcct>

Presence: [0..1]

Definition: Account into which the securities are to be received.

TransfereeRegisteredAccount <TrfeeRegdAcct> contains the following elements (see "[Account24](#)" on page 1248 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1249
	Designation <Dsgnt>	[0..1]	Text		1249
	Servicer <Svcr>	[0..1]	±		1249

65.1.43.4.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary41" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.43.4.4 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.43.4.5 EffectiveSettlementDate <FctvSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.43.4.6 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid /to be paid or received in exchange for the financial instrument.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.4.7 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if whether the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2725

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

65.1.43.4.8 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.4.9 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C18 "FeeTaxElementRule"

Fees <Fees> contains the following **Fees1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2419
	IndividualFee <IndvFee>	[0..*]	±		2419

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.43.4.9.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.43.4.9.2 IndividualFee <IndvFee>

Presence: [0..*]

Definition: Individual fee (charge/commission).

IndividualFee <IndvFee> contains the following elements (see "Fee4" on page 1371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1371
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372
	Basis <Bsis>	[0..1]			1372
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373
	Amount <Amt>	[1..1]	Amount	C2, C10	1373
	DiscountDetails <DscntDtls>	[0..1]		C15	1374
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1376
	RecipientIdentification <RcptId>	[0..1]	±		1376

65.1.43.4.10 IndividualTax <IndvTax>

Presence: [0..*]

Definition: Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax34" on page 2545 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2546
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Basis <Bsis>	[0..1]			2547
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Amount <Amt>	[1..1]	Amount	C2, C10	2548
	Country <Ctry>	[0..1]	CodeSet	C11	2548
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2548
	ExemptionReason <XmptnRsn>	[0..1]			2549
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552
	RecipientIdentification <RcptId>	[0..1]	±		2552
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2552
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.43.4.11 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

65.1.43.4.12 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

Impacted by: [C22 "ReceiversCustodianDetailsRule"](#), [C23 "ReceiversIntermediary1DetailsRule"](#)

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "ReceivingPartiesAndAccount17" on page 2368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiverDetails <RcvrDtls>	[0..1]	±	C1, C2	2370
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2371
	PartyIdentification <PtyId>	[1..1]	±		2371
	AccountIdentification <AcctId>	[0..1]	Text		2371
	ProcessingReference <PrcgRef>	[0..1]	Text		2371
	ProcessingDate <PrcgDt>	[0..1]	±		2371
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2372
	PartyIdentification <PtyId>	[1..1]	±		2372
	AccountIdentification <AcctId>	[0..1]	Text		2372
	ProcessingReference <PrcgRef>	[0..1]	Text		2372
	ProcessingDate <PrcgDt>	[0..1]	±		2373
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2373
	PartyIdentification <PtyId>	[1..1]	±		2373
	AccountIdentification <AcctId>	[0..1]	Text		2373
	ProcessingReference <PrcgRef>	[0..1]	Text		2373
	ProcessingDate <PrcgDt>	[0..1]	±		2374
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2374
	PartyIdentification <PtyId>	[1..1]	±		2374
	AccountIdentification <AcctId>	[0..1]	Text		2374
	ProcessingReference <PrcgRef>	[0..1]	Text		2375
	ProcessingDate <PrcgDt>	[0..1]	±		2375
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2375
	ContactPerson <CtctPrsn>	[0..1]	±		2375
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2376
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2376
	PartyIdentification <PtyId>	[1..1]	±		2376
	ProcessingReference <PrcgRef>	[0..1]	Text		2377
	ProcessingDate <PrcgDt>	[0..1]	±		2377
	ContactPerson <CtctPrsn>	[0..1]	±		2377

Constraints

- **ReceiversCustodianDetailsRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

On Condition

/ReceiversIntermediary1Details is present

Following Must be True

/ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

On Condition

/ReceiversIntermediary2Details is present

Following Must be True

/ReceiversIntermediary1Details Must be present

65.1.43.4.13 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2708

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

65.1.43.4.14 PhysicalTransferDetails <PhysTrfDtls>

Presence: [0..1]

Definition: Parameters of a physical delivery.

Impacted by: [C20 "NameAndAddress1Rule"](#)

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see ["DeliveryParameters4"](#) on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2274
	NameAndAddress <NmAndAdr>	[0..1]	±		2274
	ContactPerson <CtctPrsn>	[0..1]	±		2274

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

65.1.43.4.15 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <CIntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.43.5 ReceiveInformation19

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Transferee <Trfee>	[0..1]	±		2425
	TransfereeRegisteredAccount <TrfeeRegdAcct>	[0..1]	±		2425
	IntermediaryInformation <IntrmyInf>	[0..*]	±		2425
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2426
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	2426
	StampDuty <StmpDty>	[0..1]	CodeSet		2426
	NetAmount <NetAmt>	[0..1]	Amount	C2, C10	2427
	Fees <Fees>	[0..*]		C18	2427
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2427
	IndividualFee <IndvFee>	[0..*]	±		2428
	IndividualTax <IndvTax>	[0..*]	±		2428
	ForeignExchangeDetails <FXDtls>	[0..*]	±		2429
	SettlementPartiesDetails <SttlmPtiesDtls>	[0..1]	±	C22, C23	2430
	PhysicalTransfer <PhysTrf>	[0..1]	CodeSet		2432
	PhysicalTransferDetails <PhysTrfDtls>	[0..1]	±	C20	2432
	ClientReference <CIntRef>	[0..1]	±		2432

Constraints

- **PhysicalTransferDetailsRule**

If PhysicalTransfer is PHYS (Physical), then PhysicalTransferDetails must be present. If PhysicalTransfer is DENT (Dematerialised), then PhysicalTransferDetails is not allowed.

- **ReceiversCustodianDetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversCustodianDetails is not allowed.

- **ReceiversIntermediary1DetailsRule**

If PhysicalTransferDetails is present, then SettlementPartiesDetails/ReceiversIntermediary1Details is not allowed.

65.1.43.5.1 Transferee <Trfee>

Presence: [0..1]

Definition: Party that receives (transferee) securities from the delivering agent (transferor).

Transferee <Trfee> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.5.2 TransfereeRegisteredAccount <TrfeeRegdAcct>

Presence: [0..1]

Definition: Account into which the securities are to be received.

TransfereeRegisteredAccount <TrfeeRegdAcct> contains the following elements (see "[Account24](#)" on page 1248 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		1249
	Designation <Dsgnt>	[0..1]	Text		1249
	Servicer <Svcr>	[0..1]	±		1249

65.1.43.5.3 IntermediaryInformation <IntrmyInf>

Presence: [0..*]

Definition: Identification of a related party or intermediary.

IntermediaryInformation <IntrmyInf> contains the following elements (see "Intermediary41" on page 2073 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		2073
	Account <Acct>	[0..1]			2073
	Identification <Id>	[0..1]	Text		2073
	AccountServicer <AcctSvcr>	[1..1]	±		2074
	Role <Role>	[0..1]	±		2074
	ContactPerson <CtctPrsn>	[0..1]	±		2074

65.1.43.5.4 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.43.5.5 SettlementAmount <SttlmAmt>

Presence: [0..1]

Definition: Total amount of money paid/to be paid or received in exchange for the financial instrument.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.5.6 StampDuty <StmpDty>

Presence: [0..1]

Definition: Specifies if whether the settlement amount includes the stamp duty amount.

Datatype: "StampDutyType2Code" on page 2725

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.

CodeName	Name	Definition
SDRN	NotApplicable	Stamp duty not applicable.

65.1.43.5.7 NetAmount <NetAmt>

Presence: [0..1]

Definition: Deal amount.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.43.5.8 Fees <Fees>

Presence: [0..*]

Definition: Fee related to the transfer of the financial instrument.

Impacted by: C18 "FeeTaxElementRule"

Fees <Fees> contains the following **Fees1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		2427
	IndividualFee <IndvFee>	[0..*]	±		2428

Constraints

- **FeeTaxElementRule**

One of the elements (CommercialAgreementReference, IndividualFee, IndividualTax) must be present.

65.1.43.5.8.1 CommercialAgreementReference <ComrcAgrmtRef>

Presence: [0..1]

Definition: Reference to the agreement established between the fund and another party. This element, amongst others, defines the conditions of the commissions.

Datatype: "Max35Text" on page 2764

65.1.43.5.8.2 IndividualFee <IndvFee>*Presence:* [0..*]*Definition:* Individual fee (charge/commission).**IndividualFee <IndvFee>** contains the following elements (see "Fee4" on page 1371 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			1371
{Or	Code <Cd>	[1..1]	CodeSet		1372
Or}	Proprietary <Prtry>	[1..1]	±		1372
	Basis <Bsis>	[0..1]			1372
{Or	Code <Cd>	[1..1]	CodeSet		1373
Or}	Proprietary <Prtry>	[1..1]	±		1373
	Amount <Amt>	[1..1]	Amount	C2, C10	1373
	DiscountDetails <DscntDtls>	[0..1]		C15	1374
	Amount <Amt>	[0..1]	Amount	C2, C10	1374
	Rate <Rate>	[0..1]	Rate		1375
	Basis <Bsis>	[0..1]			1375
{Or	Code <Cd>	[1..1]	CodeSet		1375
Or}	Proprietary <Prtry>	[1..1]	±		1375
	ChargeBearer <ChrgBr>	[0..1]	CodeSet		1376
	RecipientIdentification <RcptId>	[0..1]	±		1376

65.1.43.5.9 IndividualTax <IndvTax>*Presence:* [0..*]*Definition:* Tax related to the transfer of the financial instrument.

IndividualTax <IndvTax> contains the following elements (see "Tax34" on page 2545 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2546
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Basis <Bsis>	[0..1]			2547
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Amount <Amt>	[1..1]	Amount	C2, C10	2548
	Country <Ctry>	[0..1]	CodeSet	C11	2548
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2548
	ExemptionReason <XmptnRsn>	[0..1]			2549
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552
	RecipientIdentification <RcptId>	[0..1]	±		2552
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2552
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.43.5.10 ForeignExchangeDetails <FXDtls>

Presence: [0..*]

Definition: Information needed to process a currency exchange or conversion.

How the exchange rate is expressed determines which currency is the Unit Currency and Quoted Currency. If the amounts concerned are EUR 1000 and USD 1300, the exchange rate may be expressed as per either of the following examples:

EXAMPLE 1

UnitCurrency EUR

QuotedCurrency USD

ExchangeRate 1.300

EXAMPLE 2

UnitCurrency USD

QuotedCurrency EUR

ExchangeRate 0.769.

ForeignExchangeDetails <FXDtIs> contains the following elements (see "[ForeignExchangeTerms33](#)" on page 1623 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ToAmount <ToAmt>	[0..1]	Amount	C2, C10	1624
	FromAmount <FrAmt>	[0..1]	Amount	C2, C10	1624
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C2	1625
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C2	1625
	ExchangeRate <XchgRate>	[1..1]	Rate		1625
	QuotationDate <QtnDt>	[0..1]	DateTime		1626
	QuotingInstitution <QtgInstn>	[0..1]	±		1626

65.1.43.5.11 SettlementPartiesDetails <SttlmPtiesDtIs>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of the transaction.

Impacted by: [C22 "ReceiversCustodianDetailsRule"](#), [C23 "ReceiversIntermediary1DetailsRule"](#)

SettlementPartiesDetails <SttlmPtiesDtls> contains the following elements (see "ReceivingPartiesAndAccount17" on page 2368 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiverDetails <RcvrDtls>	[0..1]	±	C1, C2	2370
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2371
	PartyIdentification <PtyId>	[1..1]	±		2371
	AccountIdentification <AcctId>	[0..1]	Text		2371
	ProcessingReference <PrcgRef>	[0..1]	Text		2371
	ProcessingDate <PrcgDt>	[0..1]	±		2371
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2372
	PartyIdentification <PtyId>	[1..1]	±		2372
	AccountIdentification <AcctId>	[0..1]	Text		2372
	ProcessingReference <PrcgRef>	[0..1]	Text		2372
	ProcessingDate <PrcgDt>	[0..1]	±		2373
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2373
	PartyIdentification <PtyId>	[1..1]	±		2373
	AccountIdentification <AcctId>	[0..1]	Text		2373
	ProcessingReference <PrcgRef>	[0..1]	Text		2373
	ProcessingDate <PrcgDt>	[0..1]	±		2374
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2374
	PartyIdentification <PtyId>	[1..1]	±		2374
	AccountIdentification <AcctId>	[0..1]	Text		2374
	ProcessingReference <PrcgRef>	[0..1]	Text		2375
	ProcessingDate <PrcgDt>	[0..1]	±		2375
	SubAccountDetails <SubAcctDtls>	[0..1]	±		2375
	ContactPerson <CtctPrsn>	[0..1]	±		2375
	SecuritiesSettlementSystem <SctiesSttlmSys>	[0..1]	Text		2376
	PlaceOfSettlementDetails <PlcOfSttlmDtls>	[0..1]			2376
	PartyIdentification <PtyId>	[1..1]	±		2376
	ProcessingReference <PrcgRef>	[0..1]	Text		2377
	ProcessingDate <PrcgDt>	[0..1]	±		2377
	ContactPerson <CtctPrsn>	[0..1]	±		2377

Constraints

- **ReceiversCustodianDetailsRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must also be present.

On Condition

/ReceiversIntermediary1Details is present

Following Must be True

/ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must also be present.

On Condition

/ReceiversIntermediary2Details is present

Following Must be True

/ReceiversIntermediary1Details Must be present

65.1.43.5.12 PhysicalTransfer <PhysTrf>

Presence: [0..1]

Definition: Indicates whether the financial instrument is to be physically delivered.

Datatype: "PhysicalTransferType1Code" on page 2708

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

65.1.43.5.13 PhysicalTransferDetails <PhysTrfDtls>

Presence: [0..1]

Definition: Parameters of a physical delivery.

Impacted by: C20 "NameAndAddress1Rule"

PhysicalTransferDetails <PhysTrfDtls> contains the following elements (see "DeliveryParameters4" on page 2273 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegisteredAddressIndicator <RegdAdrlnd>	[1..1]	Indicator		2274
	NameAndAddress <NmAndAdr>	[0..1]	±		2274
	ContactPerson <CtctPrsn>	[0..1]	±		2274

Constraints

- **NameAndAddress1Rule**

If RegisteredAddressIndicator is "true" or "1" (Yes), then NameAndAddress is not allowed.

If RegisteredAddressIndicator is "false" or "0" (No), then NameAndAddress must be present.

65.1.43.5.14 ClientReference <CIntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "[AdditionalReference8](#)" on [page 1637](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.43.6 FundSettlementParameters11

Definition: Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementDate <SttlmDt>	[0..1]	Date		2435
	SettlementPlace <SttlmPlc>	[1..1]	±		2435
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2435
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2436
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2436
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2436
	ReceivingSideDetails <RcvgSdDtls>	[1..1]		C27, C28	2436
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440
	DeliveringSideDetails <DlvrgSdDtls>	[0..1]		C12, C13	2440
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

65.1.43.6.1 SettlementDate <SttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be delivered or received.

Datatype: "ISODate" on page 2749

65.1.43.6.2 SettlementPlace <SttlmPlc>

Presence: [1..1]

Definition: Place where the settlement of the transaction will take place. In the context of investment funds, the place of settlement is the transfer agent, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SettlementPlace <SttlmPlc> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat8Choice" on page 2336 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2336
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2337
	Identification <Id>	[0..1]	Text		2337
Or	Country <Ctry>	[1..1]	CodeSet	C11	2337
Or	TypeAndIdentification <TpAndId>	[1..1]			2337
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2338
	Identification <Id>	[1..1]	IdentifierSet	C7	2338
Or}	Proprietary <Prtry>	[1..1]	±		2338

65.1.43.6.4 SecuritiesSettlementSystemIdentification <SciesSttlmSysId>*Presence:* [0..1]*Definition:* Identification of a specific system or set of rules and/or processes to be applied at the settlement place.*Datatype:* "Max35Text" on page 2764**65.1.43.6.5 TradeTransactionCondition <TradTxCond>***Presence:* [0..*]*Definition:* Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.**TradeTransactionCondition <TradTxCond>** contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2584
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.43.6.6 SettlementTransactionCondition <SttlmTxCond>*Presence:* [0..*]*Definition:* Condition under which the order/trade is to be settled. This may be required for settlement through T2S.**SettlementTransactionCondition <SttlmTxCond>** contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2585
Or}	Proprietary <Prtry>	[1..1]	±		2585

65.1.43.6.7 ReceivingSideDetails <RcvgSdDtIs>*Presence:* [1..1]*Definition:* Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.*Impacted by:* C27 "ReceiversCustodianRule", C28 "ReceiversIntermediary1DetailsRule"

ReceivingSideDetails <RcvgSdDtls> contains the following **ReceivingPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReceiversCustodianDetails <RcvrsCtdnDtls>	[0..1]			2437
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438
	ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>	[0..1]			2438
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>	[0..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439
	ReceivingAgentDetails <RcvgAgtDtls>	[1..1]			2439
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440

Constraints

- **ReceiversCustodianRule**

If ReceiversIntermediary1Details is present, then ReceiversCustodianDetails must be present. If ReceiversIntermediary1Details is not present, then ReceiversCustodianDetails is optional.

On Condition
 /ReceiversIntermediary1Details is present
 Following Must be True
 /ReceiversCustodianDetails Must be present

- **ReceiversIntermediary1DetailsRule**

If ReceiversIntermediary2Details is present, then ReceiversIntermediary1Details must be present. If ReceiversIntermediary2Details is not present, then ReceiversIntermediary1Details is optional.

On Condition
 /ReceiversIntermediary2Details is present
 Following Must be True
 /ReceiversIntermediary1Details Must be present

65.1.43.6.7.1 ReceiversCustodianDetails <RcvrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the buyer of securities when the buyer does not have a direct relationship with the receiving agent.

ReceiversCustodianDetails <RcvrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2438

65.1.43.6.7.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.7.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.7.2 ReceiversIntermediary1Details <RcvrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the receiver's custodian uses to effect the receipt of a security, when the receiver's custodian does not have a direct relationship with the receiving agent.

ReceiversIntermediary1Details <RcvrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2438
	AccountIdentification <AcctId>	[0..1]	Text		2439

65.1.43.6.7.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.7.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.7.3 ReceiversIntermediary2Details <RcvrsIntrmy2Dtls>

Presence: [0..1]

Definition: Party that interacts with the receiver's intermediary 1.

ReceiversIntermediary2Details <RcvrsIntrmy2Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2439
	AccountIdentification <AcctId>	[0..1]	Text		2439

65.1.43.6.7.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.7.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.7.4 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [1..1]

Definition: Party that receives securities from the delivering agent at the place of settlement, for example, central securities depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2440
	AccountIdentification <AcctId>	[0..1]	Text		2440

65.1.43.6.7.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.7.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.8 DeliveringSideDetails <DlvrgSdDtls>

Presence: [0..1]

Definition: Chain of parties involved in the settlement of a transaction resulting in the movement of a security from one account to another.

Impacted by: [C12 "DeliverersCustodianRule"](#), [C13 "DeliverersIntermediary1DetailsRule"](#)

DeliveringSideDetails <DlvrgSdDtls> contains the following **DeliveringPartiesAndAccount16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeliverersCustodianDetails <DlvrrsCtdnDtls>	[0..1]			2441
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442
	DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>	[0..1]			2442
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliverersIntermediary2Details <DlvrrsIntrmy2Dtls>	[0..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443
	DeliveringAgentDetails <DlvrgAgtDtls>	[1..1]			2443
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

Constraints

- **DeliverersCustodianRule**

If DeliverersIntermediary1Details is present, then DeliverersCustodianDetails must be present. If DeliverersIntermediary1Details is not present then DeliverersCustodianDetails is optional.

```
On Condition
  /DeliverersIntermediary1Details is present
Following Must be True
  /DeliverersCustodianDetails Must be present
```

- **DeliverersIntermediary1DetailsRule**

If DeliverersIntermediary2Details is present, then DeliverersIntermediary1Details must be present. If DeliverersIntermediary2Details is not present then DeliverersIntermediary1Details is optional.

```
On Condition
  /DeliverersIntermediary2Details is present
Following Must be True
  /DeliverersIntermediary1Details Must be present
```

65.1.43.6.8.1 DeliverersCustodianDetails <DlvrrsCtdnDtls>

Presence: [0..1]

Definition: Party that acts on behalf of the seller of securities when the seller does not have a direct relationship with the delivering agent.

DeliverersCustodianDetails <DlvrrsCtdnDtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2442

65.1.43.6.8.1.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.8.1.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.8.2 DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls>

Presence: [0..1]

Definition: Party that the deliverer's custodian uses to effect the delivery of a security, when the deliverer's custodian does not have a direct relationship with the delivering agent.

DeliverersIntermediary1Details <DlvrrsIntrmy1Dtls> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2442
	AccountIdentification <AcctId>	[0..1]	Text		2443

65.1.43.6.8.2.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.8.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.8.3 DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs>

Presence: [0..1]

Definition: Party that interacts with the deliverer's intermediary 1.

DeliverersIntermediary2Details <DlvrrsIntrmy2DtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2443
	AccountIdentification <AcctId>	[0..1]	Text		2443

65.1.43.6.8.3.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.8.3.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.43.6.8.4 DeliveringAgentDetails <DlvrgAgtDtIs>

Presence: [1..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, central securities depository.

DeliveringAgentDetails <DlvrgAgtDtIs> contains the following **PartyIdentificationAndAccount147** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[1..1]	±		2444
	AccountIdentification <AcctId>	[0..1]	Text		2444

65.1.43.6.8.4.1 PartyIdentification <PtyId>

Presence: [1..1]

Definition: Party that legally owns the account.

PartyIdentification <PtyId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.43.6.8.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the account owned by the party.

Datatype: "[Max35Text](#)" on page 2764

65.1.44 Settlement Instruction

65.1.44.1 CashSettlement1

Definition: Cash settlement parties and accounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CashAccountDetails <CshAcctDtIs>	[0..*]	±		2444
	OtherCashSettlementDetails <OthrCshSttlmDtIs>	[0..*]	±		2445

65.1.44.1.1 CashAccountDetails <CshAcctDtIs>

Presence: [0..*]

Definition: Account to credit or debit. When this is an account to debit, this is for the payment of a subscription to an investment fund, a savings plan payment, the purchase of securities or the payment of charges. When this is an account to credit, this is for the payment of an amount as a result of a redemption of investment fund units, the sale of securities, interest and dividend payments. A single account may be specified for all cash movements on the account or cash accounts may be specified for specific types of transactions on the account.

CashAccountDetails <CshAcctDtls> contains the following elements (see "CashAccount33" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	1235
	Identification <Id>	[1..1]	±		1236
	AccountOwner <AcctOwnr>	[0..1]	±		1236
	AccountServicer <AcctSvcr>	[0..1]			1236
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1237
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1237
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1237
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1238
	Identification <Id>	[1..1]	Text		1238
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		1239
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		1239
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		1239
	CreditDebit <CdtDbt>	[0..1]	CodeSet		1240
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			1240
{Or	Code <Cd>	[1..1]	CodeSet		1240
Or}	Proprietary <Prtry>	[1..1]	±		1241
	CashAccountPurpose <CshAcctPurp>	[0..1]			1241
{Or	Code <Cd>	[1..1]	CodeSet		1241
Or}	Proprietary <Prtry>	[1..1]	±		1242
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			1242
{Or	Code <Cd>	[1..1]	CodeSet		1242
Or}	Proprietary <Prtry>	[1..1]	±		1242
	DividendPercentage <DvddPctg>	[0..1]	Rate		1243

65.1.44.1.2 OtherCashSettlementDetails <OthrCshSttlmDtls>

Presence: [0..*]

Definition: Method of payment other than a cash account.

OtherCashSettlementDetails <OthrCshSttlmDtls> contains the following elements (see "PaymentInstrument13" on page 2120 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	2122
	DividendPercentage <DvddPctg>	[0..1]	Rate		2122
	SubscriptionPaymentInstrument <SbcptPmtInstrm>	[0..1]			2122
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2123
	Type <Tp>	[1..1]	CodeSet		2123
	Number <Nb>	[1..1]	Text		2124
	HolderName <HldrNm>	[1..1]	Text		2124
	StartDate <StartDt>	[0..1]	YearMonth		2124
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2124
	CardIssuerName <CardIssrNm>	[0..1]	Text		2124
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2124
	SecurityCode <SctyCd>	[0..1]	Text		2125
	SequenceNumber <SeqNb>	[0..1]	Text		2125
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2125
Or	Cheque <Chq>	[1..1]	Indicator		2126
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2126
	RedemptionPaymentInstrument <RedPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2127
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2127
	DividendPaymentInstrument <DvddPmtInstrm>	[0..1]			2127
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2128
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2128
	SavingsPlanPaymentInstrument <SvgsPlanPmtInstrm>	[0..1]			2128
{Or	PaymentCardDetails <PmtCardDtls>	[1..1]			2129
	Type <Tp>	[1..1]	CodeSet		2129
	Number <Nb>	[1..1]	Text		2130
	HolderName <HldrNm>	[1..1]	Text		2130
	StartDate <StartDt>	[0..1]	YearMonth		2130
	ExpiryDate <XpryDt>	[1..1]	YearMonth		2130
	CardIssuerName <CardIssrNm>	[0..1]	Text		2130

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CardIssuerIdentification <CardIssrId>	[0..1]	±		2130
	SecurityCode <SctyCd>	[0..1]	Text		2131
	SequenceNumber <SeqNb>	[0..1]	Text		2131
Or	DirectDebitDetails <DrctDbtDtls>	[1..1]	±		2131
Or	Cheque <Chq>	[1..1]	Indicator		2132
Or}	BankersDraft <BkrsDrft>	[1..1]	Indicator		2132
	InterestPaymentInstrument <IntrstPmtInstrm>	[0..1]			2133
{Or	ChequeDetails <ChqDtls>	[1..1]	±		2133
Or}	BankersDraftDetails <BkrsDrftDtls>	[1..1]	±		2133

65.1.45 Statement Basis

65.1.45.1 StatementBasisCodeAndDSSCodeChoice

Definition: Choice between formats for the statement basis.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementBasisAsCode <StmtBsisAsCd>	[1..1]	CodeSet		2447
Or}	StatementBasisAsDSS <StmtBsisAsDSS>	[1..1]	±		2447

65.1.45.1.1 StatementBasisAsCode <StmtBsisAsCd>

Presence: [1..1]

Definition: Statement basis expressed as a code.

Datatype: "StatementBasis1Code" on page 2725

CodeName	Name	Definition
CONT	Contractual	The statement is based on contractual settlement date irrespective of settled data positions.
SETT	Settled	The statement is based on settled date positions to the knowledge of the sender at the time of the statement preparation.
TRAD	Traded	The statement is based on trade date positions.

65.1.45.1.2 StatementBasisAsDSS <StmtBsisAsDSS>

Presence: [1..1]

Definition: Statement basis expressed as a data source scheme and a code used within the data source scheme.

StatementBasisAsDSS <StmtBsisAsDSS> contains the following elements (see "GenericIdentification7" on page 1651 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651

65.1.46 Statement Update Type

65.1.46.1 StatementUpdateTypeCodeAndDSSCodeChoice

Definition: Choice between formats for the update type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	StatementUpdateTypeAsCode <StmtUpdTpAsCd>	[1..1]	CodeSet		2448
Or}	StatementUpdateTypeAsDSS <StmtUpdTpAsDSS>	[1..1]	±		2448

65.1.46.1.1 StatementUpdateTypeAsCode <StmtUpdTpAsCd>

Presence: [1..1]

Definition: Update type expressed as a code.

Datatype: "StatementUpdateTypeCode" on page 2725

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

65.1.46.1.2 StatementUpdateTypeAsDSS <StmtUpdTpAsDSS>

Presence: [1..1]

Definition: Update type expressed as a data source scheme and a code used within the data source scheme.

StatementUpdateTypeAsDSS <StmtUpdTpAsDSS> contains the following elements (see "GenericIdentification7" on page 1651 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Issuer <Issr>	[1..1]	Text		1651
	Information <Inf>	[1..1]	Text		1651

65.1.47 Status

65.1.47.1 AccountingStatus1Choice

Definition: Choice of formats for the accounting status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2449
Or}	Proprietary <Prtry>	[1..1]	±		2449

65.1.47.1.1 Code <Cd>

Presence: [1..1]

Definition: Accounting status expressed as a code.

Datatype: "AccountingStatus1Code" on page 2644

CodeName	Name	Definition
YDOM	Domestic	Account or party is regarded as domestic for reporting purposes.
NDOM	NotDomestic	Account or party is not regarded as domestic for reporting purposes.

65.1.47.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Accounting status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.47.2 PendingStatusReason14

Definition: Reason for a pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2449
	AdditionalInformation <AddtlInf>	[0..1]	Text		2450

65.1.47.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account status.

Code <Cd> contains one of the following elements (see "PendingStatusReason2Choice" on page 2450 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2450
Or}	Proprietary <Prtry>	[1..1]	±		2450

65.1.47.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the pending account status.

Datatype: "Max350Text" on page 2764

65.1.47.3 PendingStatusReason2Choice

Definition: Choice of formats for pending reason code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2450
Or}	Proprietary <Prtry>	[1..1]	±		2450

65.1.47.3.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account status expressed as a code.

Datatype: "PendingStatusReason1Code" on page 2706

CodeName	Name	Definition
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
RIGH	RightsHolderMissing	Rights holder information is missing.
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but the account change is still pending.
MODI	ModificationInProgress	Modification to the account data is in process.

65.1.47.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the pending account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.4 PendingStatusReason1Choice

Definition: Choice of formats for a pending status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2451
Or}	Reason <Rsn>	[1..*]	±		2451

65.1.47.4.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the pending account status.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending account status.

Reason <Rsn> contains the following elements (see "[PendingStatusReason14](#)" on page 2449 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		2449
	AdditionalInformation <AddtlInf>	[0..1]	Text		2450

65.1.47.5 OrderStatusAndReason10

Definition: Status report of a bulk or multiple or switch order that was previously received.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2452
	OrderStatus <OrdrSts>	[1..1]			2452
{Or	Status <Sts>	[1..1]	CodeSet		2452
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2453
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2454
Or	Rejected <Rjctd>	[1..10]	±	C18	2454
Or	Suspended <Sspd>	[1..1]	±		2455
Or}	PartiallySettled <PrtySttld>	[1..1]	±		2455
	StatusInitiator <StsInitr>	[0..1]	±		2455

65.1.47.5.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2764

65.1.47.5.2 OrderStatus <OrdrSts>

Presence: [1..1]

Definition: Status of a 'bulk' of orders. Can be used if all the individual orders conveyed in a bulk or multiple order message have the same status.

OrderStatus <OrdrSts> contains one of the following **OrderStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2452
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2453
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2454
Or	Rejected <Rjctd>	[1..10]	±	C18	2454
Or	Suspended <Sspd>	[1..1]	±		2455
Or}	PartiallySettled <PrtySttld>	[1..1]	±		2455

65.1.47.5.2.1 Status <Sts>

Presence: [1..1]

Definition: Status of all the orders in the order message. There is no reason attached.

Datatype: "OrderStatus4Code" on page 2700

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

65.1.47.5.2.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of all the orders in the order message is cancelled. This status is used for orders that have been accepted or that have been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2523 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2523
{Or	Code <Cd>	[1..1]	CodeSet		2524
Or	Proprietary <Prtry>	[1..1]	±		2524
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2524
	AdditionalInformation <AddtlInf>	[0..1]	Text		2524

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.5.2.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of all the orders in the order message is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "[ConditionallyAcceptedStatus3Choice](#)" on page 2521 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2521
Or}	ReasonDetails <RsnDtls>	[1..5]			2521
	Reason <Rsn>	[1..1]			2522
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522
	AdditionalInformation <AddtlInf>	[0..1]	Text		2523

65.1.47.5.2.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of all the orders in the order message is rejected. This status is used for orders that have not been accepted or entered in an order book.

Impacted by: C18 "RejectedElementRule"

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2517 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2518
{Or	Code <Cd>	[1..1]	CodeSet		2518
Or}	Proprietary <Prtry>	[1..1]	±		2520
	AdditionalInformation <AddtlInf>	[0..1]	Text		2521

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.5.2.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of all the orders in the order message is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2516
Or}	ReasonDetails <RsnDtls>	[1..5]			2516
	Reason <Rsn>	[1..1]			2516
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517
	AdditionalInformation <AddtlInf>	[0..1]	Text		2517

65.1.47.5.2.6 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of all the orders in the order message is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2513
{Or	Code <Cd>	[1..1]	CodeSet		2513
Or}	Proprietary <Prtry>	[1..1]	±		2513
	AdditionalInformation <AddtlInf>	[0..1]	Text		2514

65.1.47.5.3 StatusInitiator <Stslnitr>

Presence: [0..1]

Definition: Party that initiates the status of the order.

StatusInitiator <Stslnitr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.47.6 CancellationStatus22Choice

Definition: Status is cancelled.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2456
Or}	Rejected <Rjctd>	[1..1]	±	C8	2456

65.1.47.6.1 Status <Sts>

Presence: [1..1]

Definition: Status of the order cancellation request is cancelled.

Datatype: "OrderCancellationStatus2Code" on page 2699

CodeName	Name	Definition
STNP	SentToNextParty	Order cancellation request has been sent to the next party, eg, the next intermediary.
RECE	Received	Status of the order cancellation request is received, that is, technical validation of the message is ok, and the message is now at the receiving side.
CANP	CancellationPending	Order cancellation request is pending. The order cancellation request has been received but this does not indicate the order has been cancelled.
CAND	CancellationCompleted	Status of the order cancellation request is completed. The cancellation request has been accepted and processed; the order has been cancelled.

65.1.47.6.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status of the order cancellation request is rejected.

Impacted by: C8 "ReasonElementRule"

Rejected <Rjctd> contains the following elements (see "RejectedStatus10" on page 2511 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2512
{Or	Code <Cd>	[1..1]	CodeSet		2512
Or}	Proprietary <Prtry>	[1..1]	±		2512
	AdditionalInformation <AddtlInf>	[0..1]	Text		2512

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.7 Status26Choice

Definition: Choice of status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CancellationStatusReport <Cx/STS Rpt>	[1..1]			2457
	MasterReference <MstrRef>	[0..1]	Text		2457
	CancellationStatus <Cx/STS>	[1..1]	±		2458
	StatusInitiator <StsIntr>	[0..1]	±		2458
Or}	IndividualCancellationStatusReport <IndvCx/STS Rpt>	[1..*]			2458
	MasterReference <MstrRef>	[0..1]	Text		2458
	OrderReference <OrdRef>	[1..1]	Text		2459
	ClientReference <CIntRef>	[0..1]	Text		2459
	CancellationReference <Cx/Ref>	[0..1]	Text		2459
	CancellationStatus <Cx/STS>	[1..1]	±		2459
	StatusInitiator <StsIntr>	[0..1]	±		2459
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2459
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2460

65.1.47.7.1 CancellationStatusReport <Cx/STS Rpt>

Presence: [1..1]

Definition: Status report details of a bulk or multiple or switch order cancellation message.

CancellationStatusReport <Cx/STS Rpt> contains the following **OrderStatusAndReason9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2457
	CancellationStatus <Cx/STS>	[1..1]	±		2458
	StatusInitiator <StsIntr>	[0..1]	±		2458

65.1.47.7.1.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2764

65.1.47.7.1.2 CancellationStatus <CxISts>*Presence:* [1..1]*Definition:* Cancellation status of the order cancellation.

CancellationStatus <CxISts> contains one of the following elements (see "CancellationStatus22Choice" on page 2456 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2456
Or}	Rejected <Rjctd>	[1..1]	±	C8	2456

65.1.47.7.1.3 StatusInitiator <StsInitr>*Presence:* [0..1]*Definition:* Party that initiates the status of the order cancellation.

StatusInitiator <StsInitr> contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.47.7.2 IndividualCancellationStatusReport <IndvCxIStsRpt>*Presence:* [1..*]*Definition:* Status report details of one or more individual orders from a bulk or multiple or switch order cancellation request.

IndividualCancellationStatusReport <IndvCxIStsRpt> contains the following **IndividualOrderStatusAndReason8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2458
	OrderReference <OrdRef>	[1..1]	Text		2459
	ClientReference <ClntRef>	[0..1]	Text		2459
	CancellationReference <CxIRef>	[0..1]	Text		2459
	CancellationStatus <CxISts>	[1..1]	±		2459
	StatusInitiator <StsInitr>	[0..1]	±		2459
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2459
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2460

65.1.47.7.2.1 MasterReference <MstrRef>*Presence:* [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: ["Max35Text" on page 2764](#)

65.1.47.7.2.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: ["Max35Text" on page 2764](#)

65.1.47.7.2.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: ["Max35Text" on page 2764](#)

65.1.47.7.2.4 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: ["Max35Text" on page 2764](#)

65.1.47.7.2.5 CancellationStatus <CxlSts>

Presence: [1..1]

Definition: Cancellation status of the order cancellation.

CancellationStatus <CxlSts> contains one of the following elements (see ["CancellationStatus22Choice" on page 2456](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2456
Or}	Rejected <Rjctd>	[1..1]	±	C8	2456

65.1.47.7.2.6 StatusInitiator <StsInitr>

Presence: [0..1]

Definition: Party that initiates the status of the individual order cancellation.

StatusInitiator <StsInitr> contains the following elements (see ["PartyIdentification113" on page 2088](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.47.7.2.7 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account information of the individual order cancellation for which the status is given.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

65.1.47.7.2.8 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument information of the individual order cancellation for which the status is given.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.47.8 Status25Choice

Definition: Choice of formats for the specification of the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2462
Or}	Rejected <Rjctd>	[1..10]	±		2462

65.1.47.8.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "AccountManagementStatus1Code" on page 2644

CodeName	Name	Definition
RECE	Received	The account management instruction has been received and is acknowledged.
ACCP	Accepted	The account management instruction has been validated and accepted.
EXEC	ProcessingOngoing	The account management instruction is being processed and the confirmation will follow.
STNP	SentToNextParty	The account management instruction has been sent to the next party, eg, the next intermediary.

65.1.47.8.2 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the account management instruction is rejected.

Rejected <Rjctd> contains the following elements (see "RejectionReason31" on page 2492 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2492
{Or	Code <Cd>	[1..1]	CodeSet		2492
Or}	Proprietary <Prtry>	[1..1]	±		2493
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2493

65.1.47.9 Status24Choice

Definition: Choice of status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrderDetailsReport <OrdrDtlsRpt>	[1..1]	±		2465
Or	IndividualOrderDetailsReport <IndvOrdrDtlsRpt>	[1..*]			2466
	MasterReference <MstrRef>	[0..1]	Text		2466
	OrderReference <OrdrRef>	[1..1]	Text		2466
	ClientReference <CIntRef>	[0..1]	Text		2467
	DealReference <DealRef>	[0..1]	Text		2467
	CancellationReference <CxlRef>	[0..1]	Text		2467
	OrderStatus <OrdrSts>	[1..1]			2467
{Or	Status <Sts>	[1..1]	CodeSet		2467
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2468
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2469
Or	Rejected <Rjctd>	[1..10]	±	C18	2469
Or	Suspended <Sspd>	[1..1]	±		2470
Or	InRepair <InRpr>	[1..1]	±		2470
Or}	PartiallySettled <PrtyStltd>	[1..1]	±		2470
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2471
	StatusInitiator <StsIntr>	[0..1]	±		2472
	OrderData <OrdrData>	[0..1]	±	C15	2472
	NewDetails <NewDtls>	[0..1]	±	C10	2473
	GatingOrHoldBackDetails <GtgOrHldBckDtls>	[0..1]	±		2473
Or}	SwitchOrderDetailsReport <SwchOrdrDtlsRpt>	[1..*]			2474
	MasterReference <MstrRef>	[0..1]	Text		2475
	OrderReference <OrdrRef>	[1..1]	Text		2475
	ClientReference <CIntRef>	[0..1]	Text		2476
	DealReference <DealRef>	[0..1]	Text		2476
	CancellationReference <CxlRef>	[0..1]	Text		2476
	OrderStatus <OrdrSts>	[1..1]			2476
{Or	Status <Sts>	[1..1]	CodeSet		2476
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2477
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2478
Or	Rejected <Rjctd>	[1..10]	±	C18	2478

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	Suspended <Sspd>	[1..1]	±		2478
Or	InRepair <InRpr>	[1..1]	±		2479
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2479
	LegInformation <LegInf>	[0..*]			2480
	LegIdentification <LegId>	[1..1]			2480
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2480
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2480
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2480
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2480
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2481
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2482
	StatusInitiator <StsInitr>	[0..1]	±		2484
	OrderData <OrdrData>	[0..1]	±	C20	2484
	NewDetails <NewDtls>	[0..1]	±		2484

65.1.47.9.1 OrderDetailsReport <OrdrDtlsRpt>

Presence: [1..1]

Definition: Status report details of all the individual orders conveyed in a bulk or multiple order message. Can be used if all the individual orders conveyed in a bulk or multiple order message have the same status.

OrderDetailsReport <OrdrDtlsRpt> contains the following elements (see "[OrderStatusAndReason10](#)" on page 2451 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2452
	OrderStatus <OrdrSts>	[1..1]			2452
{Or	Status <Sts>	[1..1]	CodeSet		2452
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2453
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2454
Or	Rejected <Rjctd>	[1..10]	±	C18	2454
Or	Suspended <Sspd>	[1..1]	±		2455
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2455
	StatusInitiator <StsInitr>	[0..1]	±		2455

65.1.47.9.2 IndividualOrderDetailsReport <IndvOrdrDtIsRpt>*Presence:* [1..*]*Definition:* Status report details of an individual order.

IndividualOrderDetailsReport <IndvOrdrDtIsRpt> contains the following **IndividualOrderStatusAndReason7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2466
	OrderReference <OrdrRef>	[1..1]	Text		2466
	ClientReference <ClntRef>	[0..1]	Text		2467
	DealReference <DealRef>	[0..1]	Text		2467
	CancellationReference <CxlRef>	[0..1]	Text		2467
	OrderStatus <OrdrSts>	[1..1]			2467
{Or	Status <Sts>	[1..1]	CodeSet		2467
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2468
Or	ConditionallyAccepted <CondlyAcptd>	[1..1]	±		2469
Or	Rejected <Rjctd>	[1..10]	±	C18	2469
Or	Suspended <Sspd>	[1..1]	±		2470
Or	InRepair <InRpr>	[1..1]	±		2470
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2470
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2471
	StatusInitiator <StsInitr>	[0..1]	±		2472
	OrderData <OrdrData>	[0..1]	±	C15	2472
	NewDetails <NewDtIs>	[0..1]	±	C10	2473
	GatingOrHoldBackDetails <GtgOrHldBckDtIs>	[0..1]	±		2473

65.1.47.9.2.1 MasterReference <MstrRef>*Presence:* [0..1]*Definition:* Reference assigned to a set of orders or trades in order to link them together.*Datatype:* "Max35Text" on page 2764**65.1.47.9.2.2 OrderReference <OrdrRef>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier for the order, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764

65.1.47.9.2.3 ClientReference <ClntRef>*Presence:* [0..1]*Definition:* Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.*Datatype:* "Max35Text" on page 2764**65.1.47.9.2.4 DealReference <DealRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order execution, as assigned by the confirming party.*Datatype:* "Max35Text" on page 2764**65.1.47.9.2.5 CancellationReference <CxlRef>***Presence:* [0..1]*Definition:* Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.*Datatype:* "Max35Text" on page 2764**65.1.47.9.2.6 OrderStatus <OrdrSts>***Presence:* [1..1]*Definition:* Status of the individual order.**OrderStatus <OrdrSts>** contains one of the following **OrderStatus5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2467
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2468
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2469
Or	Rejected <Rjctd>	[1..10]	±	C18	2469
Or	Suspended <Sspd>	[1..1]	±		2470
Or	InRepair <InRpr>	[1..1]	±		2470
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2470

65.1.47.9.2.6.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the individual order is accepted or already executed or sent to next party or received. There is no reason attached.*Datatype:* "OrderStatus4Code" on page 2700

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).

CodeName	Name	Definition
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

65.1.47.9.2.6.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the individual order is cancelled. This status is used for an order that has been accepted or that has been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2523 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2523
{Or	Code <Cd>	[1..1]	CodeSet		2524
Or	Proprietary <Prtry>	[1..1]	±		2524
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2524
	AdditionalInformation <AddtlInf>	[0..1]	Text		2524

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.9.2.6.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of the individual order is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "[ConditionallyAcceptedStatus3Choice](#)" on page 2521 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2521
Or}	ReasonDetails <RsnDtls>	[1..5]			2521
	Reason <Rsn>	[1..1]			2522
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522
	AdditionalInformation <AddtlInf>	[0..1]	Text		2523

65.1.47.9.2.6.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the individual order is rejected. This status is used for an order that has not been accepted or entered in an order book.

Impacted by: [C18 "RejectedElementRule"](#)

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2517 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2518
{Or	Code <Cd>	[1..1]	CodeSet		2518
Or}	Proprietary <Prtry>	[1..1]	±		2520
	AdditionalInformation <AddtlInf>	[0..1]	Text		2521

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.9.2.6.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of the individual order is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2516
Or}	ReasonDetails <RsnDtls>	[1..5]			2516
	Reason <Rsn>	[1..1]			2516
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517
	AdditionalInformation <AddtlInf>	[0..1]	Text		2517

65.1.47.9.2.6.6 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the individual order is in repair.

InRepair <InRpr> contains one of the following elements (see "[InRepairStatusReason4Choice](#)" on page 2514 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2514
Or}	ReasonDetails <RsnDtls>	[1..5]			2514
	Reason <Rsn>	[1..1]			2515
{Or	Code <Cd>	[1..1]	CodeSet		2515
Or}	Proprietary <Prtry>	[1..1]	±		2515
	AdditionalInformation <AddtlInf>	[0..1]	Text		2515

65.1.47.9.2.6.7 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of the individual order is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2513
{Or	Code <Cd>	[1..1]	CodeSet		2513
Or}	Proprietary <Prtry>	[1..1]	±		2513
	AdditionalInformation <AddtlInf>	[0..1]	Text		2514

65.1.47.9.2.7 RepairedFee <RprdFee>

Presence: [0..10]

Definition: Elements from the original individual order that have been repaired so that the order can be accepted.

Impacted by: [C6 "CommercialAgreementRule"](#), [C12 "FeeElementRule"](#)

RepairedFee <RprdFee> contains the following elements (see "[Fee3](#)" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1377
{Or	Code <Cd>	[1..1]	CodeSet		1377
Or}	Proprietary <Prtry>	[1..1]	±		1379
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1379
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1379
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1379
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1380
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1380
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1380
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1381
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1381

Constraints

- **CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition

/CommercialAgreementReference is present

Following Must be True
 /NewCommercialAgreementReferenceIndicator Must be present

- **FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

65.1.47.9.2.8 StatusInitiator <StsInitr>

Presence: [0..1]

Definition: Party that initiates the status of the order.

StatusInitiator <StsInitr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.47.9.2.9 OrderData <OrdrData>

Presence: [0..1]

Definition: Order data.

Impacted by: C15 "[OrderDataElementRule](#)"

OrderData <OrdrData> contains the following elements (see "[FundOrderData5](#)" on page 1909 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	1909
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	1910
	UnitsNumber <UnitsNb>	[0..1]	Quantity		1912
	NetAmount <NetAmt>	[0..1]	Amount	C3, C12	1912
	GrossAmount <GrssAmt>	[0..1]	Amount	C3, C12	1912
	HoldingsRedemptionRate <HldgsRedRate>	[0..1]	Rate		1913
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1913
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1913
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1914

Constraints

- **OrderDataElementRule**

One of the elements (InvestmentAccountDetails, FinancialInstrumentDetails, UnitsNumber, NetAmount, GrossAmount, HoldingsRedemptionRate, SettlementAmount, UnitCurrency, QuotedCurrency) must be present.

65.1.47.9.2.10 NewDetails <NewDtIs>

Presence: [0..1]

Definition: Expected execution information.

Impacted by: C10 "DateElementRule"

NewDetails <NewDtIs> contains the following elements (see "[ExpectedExecutionDetails4](#)" on page 1908 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1908
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1909

Constraints

- **DateElementRule**

Either ExpectedTradeDateTime or ExpectedCashSettlementDate must be present. Both may be present.

65.1.47.9.2.11 GatingOrHoldBackDetails <GtgOrHldBckDtIs>

Presence: [0..1]

Definition: Information about gating and hold back of redemption proceeds.

GatingOrHoldBackDetails <GtgOrHldBckDtls> contains the following elements (see "HoldBackInformation3" on page 1888 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	CodeSet		1889
	Amount <Amt>	[0..1]	Amount	C2, C10	1889
	ExpectedReleaseDate <XpctdRlsDt>	[0..1]	Date		1889
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]			1889
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1890
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1890
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1890
Or	RIC <RIC>	[1..1]	IdentifierSet		1891
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1891
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1891
Or	CTA <CTA>	[1..1]	IdentifierSet		1891
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1891
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1891
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1891
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1892
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1892
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1892
Or	Common <Cmon>	[1..1]	IdentifierSet		1892
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1892
	Identification <Id>	[1..1]	Text		1892
	IdentificationSource <IdSrc>	[1..1]	±		1892
	FinancialInstrumentName <FinInstrmNm>	[0..1]	Text		1893
	RedemptionCompletion <RedCmpltn>	[0..1]	CodeSet		1893

65.1.47.9.3 SwitchOrderDetailsReport <SwchOrdRtIsRpt>

Presence: [1..*]

Definition: Status report details of a switch order.

SwitchOrderDetailsReport <SwchOrdRpt> contains the following
SwitchOrderStatusAndReason2 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MasterReference <MstrRef>	[0..1]	Text		2475
	OrderReference <OrdRef>	[1..1]	Text		2475
	ClientReference <ClntRef>	[0..1]	Text		2476
	DealReference <DealRef>	[0..1]	Text		2476
	CancellationReference <CxIRef>	[0..1]	Text		2476
	OrderStatus <OrdRSts>	[1..1]			2476
{Or	Status <Sts>	[1..1]	CodeSet		2476
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2477
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2478
Or	Rejected <Rjctd>	[1..10]	±	C18	2478
Or	Suspended <Sspd>	[1..1]	±		2478
Or	InRepair <InRpr>	[1..1]	±		2479
Or}	PartiallySettled <PrtySttld>	[1..1]	±		2479
	LegInformation <LegInf>	[0..*]			2480
	LegIdentification <LegId>	[1..1]			2480
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2480
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2480
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2480
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2480
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2481
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2482
	StatusInitiator <StsIntr>	[0..1]	±		2484
	OrderData <OrdRData>	[0..1]	±	C20	2484
	NewDetails <NewDtls>	[0..1]	±		2484

65.1.47.9.3.1 MasterReference <MstrRef>

Presence: [0..1]

Definition: Reference assigned to a set of orders or trades in order to link them together.

Datatype: "Max35Text" on page 2764

65.1.47.9.3.2 OrderReference <OrdRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the order, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

65.1.47.9.3.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the order. This reference can typically be used in a hub scenario to give the reference of the order as assigned by the underlying client.

Datatype: "Max35Text" on page 2764

65.1.47.9.3.4 DealReference <DealRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

65.1.47.9.3.5 CancellationReference <CxlRef>

Presence: [0..1]

Definition: Unique and unambiguous identifier for the order cancellation, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

65.1.47.9.3.6 OrderStatus <OrdrSts>

Presence: [1..1]

Definition: Status of the switch order.

OrderStatus <OrdrSts> contains one of the following **OrderStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	CodeSet		2476
Or	Cancelled <Canc>	[1..1]	±	C3, C5	2477
Or	ConditionallyAccepted <CondlyAccptd>	[1..1]	±		2478
Or	Rejected <Rjctd>	[1..10]	±	C18	2478
Or	Suspended <Sspd>	[1..1]	±		2478
Or	InRepair <InRpr>	[1..1]	±		2479
Or}	PartiallySettled <PrtlySttld>	[1..1]	±		2479

65.1.47.9.3.6.1 Status <Sts>

Presence: [1..1]

Definition: Status of the switch order is accepted or already executed or sent to next party or received. There is no reason attached.

Datatype: "OrderStatus4Code" on page 2700

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

65.1.47.9.3.6.2 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the switch order is cancelled. This status is used for an order that has been accepted or that has been entered in an order book but that can not be executed.

Impacted by: C3 "AdditionalInformationRule", C5 "CancelledElementRule"

Cancelled <Canc> contains the following elements (see "CancelledStatusReason16" on page 2523 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2523
{Or	Code <Cd>	[1..1]	CodeSet		2524
Or	Proprietary <Prtry>	[1..1]	±		2524
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2524
	AdditionalInformation <AddtlInf>	[0..1]	Text		2524

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.9.3.6.3 ConditionallyAccepted <CondlyAccptd>

Presence: [1..1]

Definition: Status of the switch order is conditionally accepted.

ConditionallyAccepted <CondlyAccptd> contains one of the following elements (see "[ConditionallyAcceptedStatus3Choice](#)" on page 2521 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2521
Or}	ReasonDetails <RsnDtls>	[1..5]			2521
	Reason <Rsn>	[1..1]			2522
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522
	AdditionalInformation <AddtlInf>	[0..1]	Text		2523

65.1.47.9.3.6.4 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the switch order is rejected.

Impacted by: C18 "RejectedElementRule"

Rejected <Rjctd> contains the following elements (see "[RejectedStatus9](#)" on page 2517 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2518
{Or	Code <Cd>	[1..1]	CodeSet		2518
Or}	Proprietary <Prtry>	[1..1]	±		2520
	AdditionalInformation <AddtlInf>	[0..1]	Text		2521

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.9.3.6.5 Suspended <Sspd>

Presence: [1..1]

Definition: Status of the switch order is suspended.

Suspended <Sspd> contains one of the following elements (see "[SuspendedStatusReason4Choice](#)" on page 2516 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2516
Or}	ReasonDetails <RsnDtls>	[1..5]			2516
	Reason <Rsn>	[1..1]			2516
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517
	AdditionalInformation <AddtlInf>	[0..1]	Text		2517

65.1.47.9.3.6.6 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the switch order is in repair.

InRepair <InRpr> contains one of the following elements (see "[InRepairStatusReason4Choice](#)" on page 2514 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2514
Or}	ReasonDetails <RsnDtls>	[1..5]			2514
	Reason <Rsn>	[1..1]			2515
{Or	Code <Cd>	[1..1]	CodeSet		2515
Or}	Proprietary <Prtry>	[1..1]	±		2515
	AdditionalInformation <AddtlInf>	[0..1]	Text		2515

65.1.47.9.3.6.7 PartiallySettled <PrtlySttld>

Presence: [1..1]

Definition: Status of the switch order is partially settled.

PartiallySettled <PrtlySttld> contains the following elements (see "[PartiallySettledStatus10](#)" on page 2513 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2513
{Or	Code <Cd>	[1..1]	CodeSet		2513
Or}	Proprietary <Prtry>	[1..1]	±		2513
	AdditionalInformation <AddtlInf>	[0..1]	Text		2514

65.1.47.9.3.7 LegInformation <LegInf>*Presence:* [0..*]*Definition:* Information about a switch leg that is rejected or repaired.**LegInformation <LegInf>** contains the following **SwitchLegReferences2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegIdentification <LegId>	[1..1]			2480
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2480
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2480
	LegRejectionReason <LegRjctnRsn>	[0..1]	Text		2480
	RepairedFee <RprdFee>	[0..10]	±	C6, C12	2480
	InvestmentAccountDetails <InvstmtAcctDtls>	[0..1]	±	C20	2481
	FinancialInstrumentDetails <FinInstrmDtls>	[0..1]	±	C17	2482

65.1.47.9.3.7.1 LegIdentification <LegId>*Presence:* [1..1]*Definition:* Identification of a switch leg.**LegIdentification <LegId>** contains one of the following **LegIdentification1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	RedemptionLegIdentification <RedLegId>	[1..1]	Text		2480
Or}	SubscriptionLegIdentification <SbcptLegId>	[1..1]	Text		2480

65.1.47.9.3.7.1.1 RedemptionLegIdentification <RedLegId>*Presence:* [1..1]*Definition:* Unique technical identifier for the instance of the leg within a switch.*Datatype:* "Max35Text" on page 2764**65.1.47.9.3.7.1.2 SubscriptionLegIdentification <SbcptLegId>***Presence:* [1..1]*Definition:* Unique technical identifier for the instance of the leg within a switch.*Datatype:* "Max35Text" on page 2764**65.1.47.9.3.7.2 LegRejectionReason <LegRjctnRsn>***Presence:* [0..1]*Definition:* Additional information about the reason for the rejection of the leg.*Datatype:* "Max350Text" on page 2764**65.1.47.9.3.7.3 RepairedFee <RprdFee>***Presence:* [0..10]

Definition: Elements from the original individual order that have been repaired so that the order can be accepted.

Impacted by: C6 "CommercialAgreementRule", C12 "FeeElementRule"

RepairedFee <RprdFee> contains the following elements (see "Fee3" on page 1376 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			1377
{Or	Code <Cd>	[1..1]	CodeSet		1377
Or}	Proprietary <Prtry>	[1..1]	±		1379
	RepairedStandardAmount <RprdStdAmt>	[0..1]	Amount	C2, C10	1379
	RepairedStandardRate <RprdStdRate>	[0..1]	Rate		1379
	RepairedDiscountAmount <RprdDscntAmt>	[0..1]	Amount	C2, C10	1379
	RepairedDiscountRate <RprdDscntRate>	[0..1]	Rate		1380
	RepairedRequestedAmount <RprdReqdAmt>	[0..1]	Amount	C2, C10	1380
	RepairedRequestedRate <RprdReqdRate>	[0..1]	Rate		1380
	CommercialAgreementReference <ComrcAgrmtRef>	[0..1]	Text		1381
	NewCommercialAgreementReferenceIndicator <NewComrcAgrmtRefInd>	[0..1]	Indicator		1381

Constraints

- CommercialAgreementRule**

If CommercialAgreementReference is present, then NewCommercialAgreementReferenceIndicator must be present too.

On Condition

/CommercialAgreementReference is present

Following Must be True

/NewCommercialAgreementReferenceIndicator Must be present

- FeeElementRule**

One of the elements (Type, RepairedStandardAmount, RepairedStandardRate, RepairedDiscountAmount, RepairedDiscountRate, RepairedRequestedAmount, RepairedRequestedRate, CommercialAgreementReference, NewCommercialAgreementReferenceIndicator) must be present.

65.1.47.9.3.7.4 InvestmentAccountDetails <InvstmtAcctDtls>

Presence: [0..1]

Definition: Account identification of the switch leg that is rejected or repaired.

Impacted by: C20 "OrderOriginatorEligibility2Rule"

InvestmentAccountDetails <InvstmtAcctDtls> contains the following elements (see "InvestmentAccount58" on page 1718 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		1718
	AccountName <AcctNm>	[0..1]	Text		1718
	AccountDesignation <AcctDsgnt>	[0..1]	Text		1718
	OwnerIdentification <OwnrId>	[0..*]	±		1719
	AccountServicer <AcctSvcr>	[0..1]	±		1719
	OrderOriginatorEligibility <OrdrgtrElgblty>	[0..1]	CodeSet		1719
	SubAccountDetails <SubAcctDtls>	[0..1]	±		1720

Constraints

- **OrderOriginatorEligibility2Rule**

OrderOriginatorEligibility may only be present if one or more of the parties identified in OwnerIdentification is/are the originator of the order.

65.1.47.9.3.7.5 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [0..1]

Definition: Financial instrument identification of the switch leg that is rejected or repaired.

Impacted by: C17 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument57" on page 1584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1585
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1585
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1586
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1586
Or	RIC <RIC>	[1..1]	IdentifierSet		1586
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1586
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1586
Or	CTA <CTA>	[1..1]	IdentifierSet		1586
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1586
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1587
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1587
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1587
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1587
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1587
Or	Common <Cmon>	[1..1]	IdentifierSet		1587
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1587
	Identification <Id>	[1..1]	Text		1588
	IdentificationSource <IdSrc>	[1..1]	±		1588
	Name <Nm>	[0..1]	Text		1588
	ShortName <ShrtNm>	[0..1]	Text		1588
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1588
	ClassType <ClsTp>	[0..1]	Text		1588
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1588
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1589
	ProductGroup <PdctGrp>	[0..1]	Text		1589
	SeriesIdentification <SrsId>	[0..1]		C30	1589
	SeriesDate <SrsDt>	[0..1]	±		1589
	SeriesName <SrsNm>	[0..1]	Text		1590

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.47.9.3.8 StatusInitiator <Stslnitr>

Presence: [0..1]

Definition: Party that initiates the status of the order.

StatusInitiator <Stslnitr> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.47.9.3.9 OrderData <OrdrData>

Presence: [0..1]

Definition: Order data.

Impacted by: [C20 "SwitchOrderDataElementRule"](#)

OrderData <OrdrData> contains the following elements (see "[FundOrderData6](#)" on page 1895 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementAmount <SttlmAmt>	[0..1]	Amount	C2, C10	1895
	SettlementMethod <SttlmMtd>	[0..1]	CodeSet		1895
	AdditionalAmount <AddtlAmt>	[0..1]	±		1896
	UnitCurrency <UnitCcy>	[0..1]	CodeSet	C2	1896
	QuotedCurrency <QtdCcy>	[0..1]	CodeSet	C2	1896

Constraints

- **SwitchOrderDataElementRule**

One of the elements (SettlementAmount, SettlementMethod, AdditionalAmount, UnitCurrency, QuotedCurrency) must be present.

65.1.47.9.3.10 NewDetails <NewDtIs>

Presence: [0..1]

Definition: Expected execution information.

NewDetails <NewDtIs> contains the following elements (see "[ExpectedExecutionDetails2](#)" on page 1897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ExpectedTradeDateTime <XpctdTradDtTm>	[0..1]	±		1897
	ExpectedCashSettlementDate <XpctdCshSttlmDt>	[0..1]	Date		1897

65.1.47.10 Status21Choice

Definition: Choice of formats for the specification of the status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]	±		2485
Or	Rejected <Rjctd>	[1..1]	±		2485
Or	Complete <Cmplt>	[1..1]	±		2485
Or}	Pending <Pdg>	[1..1]	±		2486

65.1.47.10.1 Status <Sts>

Presence: [1..1]

Definition: Status of the transfer cancellation is accepted or sent to next party.

Status <Sts> contains the following elements (see "[TransferCancellationStatus2](#)" on page 2620 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2621
	Reason <Rsn>	[0..1]	Text		2621

65.1.47.10.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status of the transfer cancellation is rejected.

Rejected <Rjctd> contains the following elements (see "[RejectionReason33](#)" on page 2639 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2640
{Or	Code <Cd>	[1..1]	CodeSet		2640
Or}	Proprietary <Prtry>	[1..1]	±		2640
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2640

65.1.47.10.3 Complete <Cmplt>

Presence: [1..1]

Definition: Status of the transfer cancellation is complete. The cancellation instruction has been accepted and processed, the cancellation is complete.

Complete <Cmplt> contains the following elements (see "CancelledCompleteReason1" on page 2638 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2638
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2639
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2639

65.1.47.10.4 Pending <Pdg>

Presence: [1..1]

Definition: Status of the transfer cancellation is pending.

Pending <Pdg> contains the following elements (see "TransferCancellationPendingStatus1" on page 2641 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	Text		2641

65.1.47.11 CancellationReason31Choice

Definition: Choice of format for the cancellation reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2486
Or}	Proprietary <Prtry>	[1..1]	±		2487

65.1.47.11.1 Code <Cd>

Presence: [1..1]

Definition: Confirmation cancellation reason expressed as a code.

Datatype: "ConfirmationCancellationReason1Code" on page 2659

CodeName	Name	Definition
CSHN	CashNotReceived	Confirmation is cancelled because the cash has not been received.
REPL	ConfirmationReplacement	Confirmation is cancelled because it will be replaced.
BENA	IncorrectBackEndLoadAmount	Confirmation is cancelled because the back end load amount is incorrect.
CSHW	IncorrectCashAmount	Confirmation is cancelled because the cash amount is incorrect.

CodeName	Name	Definition
DISA	IncorrectDiscountAmount	Confirmation is cancelled because the discount amount is incorrect.
DISC	IncorrectDiscountAmountCurrency	Confirmation is cancelled because the discount amount has an incorrect currency.
EXCH	IncorrectExchangeRate	Confirmation is cancelled because the exchange rate is incorrect.
FEEE	IncorrectFeeOrCommission	Confirmation is cancelled because a fee or commission amount is incorrect.
DQUA	IncorrectFinancialInstrumentQuantity	Confirmation is cancelled because the financial instrument quantity is incorrect.
FENA	IncorrectFrontEndLoadAmount	Confirmation is cancelled because the front end load amount is incorrect.
GROA	IncorrectGrossAmount	Confirmation is cancelled because the gross amount is incorrect.
GROC	IncorrectGrossAmountCurrency	Confirmation is cancelled because the gross amount has an incorrect currency.
NETA	IncorrectNetAmount	Confirmation is cancelled because the net amount is incorrect.
NETC	IncorrectNetAmountCurrency	Confirmation is cancelled because the net amount has an incorrect currency.
OPER	IncorrectOperation	Confirmation is cancelled because of an incorrect operation.
MINI	IncorrectQuantityUnitsOrCash	Confirmation is cancelled because of an incorrect minimum traded quantity or cash amount.
DMON	IncorrectSettlementAmount	Confirmation is cancelled because the settlement amount is incorrect.
NCRR	IncorrectSettlementAmountCurrency	Confirmation is cancelled because the settlement amount has an incorrect currency.
DDAT	IncorrectSettlementDate	Confirmation is cancelled because the settlement date is incorrect.
SETS	IncorrectSettlementMethod	Confirmation is cancelled because the settlement method is incorrect.
DDEA	IncorrectTradeDate	Confirmation is cancelled because the trade date is incorrect.
REFE	InvalidReference	Confirmation contains an invalid business reference.

65.1.47.11.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Confirmation cancellation reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.47.12 ConfirmationRejectedStatus2

Definition: Rejection of a confirmation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2488
{Or	Code <Cd>	[1..1]	CodeSet		2488
Or}	Proprietary <Prtry>	[1..1]	±		2491
	AdditionalInformation <AddtlInf>	[0..1]	Text		2491

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.12.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **ConfirmationRejectedReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2488
Or}	Proprietary <Prtry>	[1..1]	±		2491

65.1.47.12.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the rejected status expressed as a code.

Datatype: "[RejectedConfirmationStatusReason1Code](#)" on page 2712

CodeName	Name	Definition
DSAF	DifferentInvestmentAccountDetails	Investment account information not the same as instructed.
DFIN	DifferentFinancialInstrumentDetails	Financial instrument information not the same as instructed.

CodeName	Name	Definition
DBEN	DifferentBeneficiaryDetails	Beneficiary details is not the same as instructed.
DPTD	DifferentPlaceOfTrade	Place of trade not the same as instructed.
DORD	DifferentOrderDateTime	Order date time not the same as instructed.
DCXR	DifferentCancellationRight	Cancellation right not the same as instructed.
DUNB	DifferentUnitsNumber	Units number information not the same as instructed.
DORT	DifferentOrderType	Order type information not the same as instructed.
DROU	DifferentRounding	Rounding information not the same as instructed.
DNAM	DifferentNetAmount	Net amount information not the same as instructed.
DGAM	DifferentGrossAmount	Gross amount information not the same as instructed.
DHRR	DifferentHoldingsRedemptionRate	Holdings redemption rate not the same as instructed.
DGRP	DifferentGroup1Or2Units	Group 1 or 2 units not the same as instructed.
DINP	DifferentIncomePreference	Income preference information not the same as instructed.
DLIR	DifferentLetterIntentReference	Letter Intent reference information not the same as instructed.
DARR	DifferentAccumulationRightReference	Accumulation right reference information not the same as instructed.
DPDI	DifferentPhysicalDeliveryIndicator	Physical delivery indicator information not the same as instructed.
DRSC	DifferentRequestedSettlementCurrency	Requested settlement currency information not the same as instructed.
DNAV	DifferentRequestedNAVCurrency	Requested NAV currency information not the same as instructed.
DFXD	DifferentForeignExchangeDetails	Foreign exchange details information not the same as instructed.
DCHD	DifferentChargeDetails	Charge details information not the same as instructed.
DXOD	DifferentCommissionDetails	Commission details information not the same as instructed.
DTAD	DifferentTaxDetails	Tax details information not the same as instructed.
DPDD	DifferentPhysicalDeliveryDetails	Physical delivery details information not the same as instructed.
DSCA	DifferentSettlementAndCustodyDetails	Settlement and custody details information not the same as instructed.

CodeName	Name	Definition
DPID	DifferentPaymentInstrumentDetails	Payment Instrument details information not the same as instructed.
DSAM	DifferentSettlementAmount	Settlement amount not the same as instructed.
DSDT	DifferentSettlementDate	Settlement date not the same as instructed.
DEXD	DifferentExtensionDetails	Extension information not the same as instructed.
DIMD	DifferentIntermediaryDetails	Intermediary information not the same as instructed.
DTRA	DifferentTotalRedemptionAmount	Total redemption amount not the same as instructed.
DTSA	DifferentTotalSubscriptionAmount	Total subscription amount not the same as instructed.
DACI	DifferentAdditionalCashIn	Additional cash in not the same as instructed.
DACO	DifferentResultingCashOut	Resulting cash out not the same as instructed.
DPSA	DifferentPercentageOfTotalSubscriptionAmount	Percentage of total subscription amount not the same as instructed.
DPRA	DifferentPercentageOfTotalRedemptionAmount	Percentage of total redemption amount not the same as instructed.
DLID	DifferentLegIdentification	Leg Identification not the same as instructed.
DORF	DifferentOrderReference	Confirmation is recognised by the Receiver because the message reference is identified, but the order reference is not the same as instructed.
ULNK	UnknownLinkagesReference	Linked reference is not known.
DREF	DuplicateDealReference	Deal reference is a duplicate of a previously received deal reference.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
IRCU	ReceiversCustodian	Receiver's Custodian is not recognised or is invalid.
IRIN	ReceiversIntermediary	Receiver's Intermediary is not recognised or is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDCU	DeliverersCustodian	Deliverer's Custodian is not recognised or is invalid.
IDIN	DeliverersIntermediary	Deliverer's Intermediary is not recognised or is invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
DMON	SettlementAmount	Settlement Amount is invalid.

CodeName	Name	Definition
DDAT	SettlementDate	Settlement date or transfer date is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Confirmation is not compliant with the service level agreement.
MEUT	MissingEUTaxInformation	EU tax information is missing.
MCOM	MissingCommission	Commission information is missing.
MCHA	MissingCharge	Charge information is missing.
MTAX	MissingTaxInformation	Tax information is missing.
NATA	NotAllowedtoAmend	Amendment of order confirmation not allowed.
ALAT	AmendmentTooLate	Amendment of order confirmation too late.

65.1.47.12.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.12.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.13 AcceptedStatusReason1Choice

Definition: Choice of formats for an accepted status reason code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2491
Or}	Proprietary <Prtry>	[1..1]	±		2492

65.1.47.13.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the status expressed as a code.

Datatype: "[AcceptedStatusReason1Code](#)" on page 2644

CodeName	Name	Definition
PLAC	PlaceNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the place.
SECT	SectorNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the sector code.

65.1.47.13.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.14 RejectionReason31

Definition: Information about a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2492
{Or	Code <Cd>	[1..1]	CodeSet		2492
Or}	Proprietary <Prtry>	[1..1]	±		2493
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2493

65.1.47.14.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason16Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2492
Or}	Proprietary <Prtry>	[1..1]	±		2493

65.1.47.14.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason6Code" on page 2717

CodeName	Name	Definition
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.

65.1.47.14.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.14.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "Max350Text" on page 2764

65.1.47.15 AccountStatusUpdateInstruction1

Definition: Instruction for a change to an account status and reason for the change.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UpdateInstruction <UpdInstr>	[1..1]			2494
{Or	Code <Cd>	[1..1]	CodeSet		2494
Or}	Proprietary <Prtry>	[1..1]	±		2494
	UpdateInstructionReason <UpdInstrRsn>	[0..1]			2494
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2495
Or}	Reason <Rsn>	[1..*]		C26	2495
	Code <Cd>	[0..1]			2495
{Or	Code <Cd>	[1..1]	CodeSet		2496
Or}	Proprietary <Prtry>	[1..1]	±		2496
	AdditionalInformation <AddtlInf>	[0..1]	Text		2496

65.1.47.15.1 UpdateInstruction <UpdInstr>*Presence:* [1..1]*Definition:* Type of status change instructed for the account status.**UpdateInstruction <UpdInstr>** contains one of the following **AccountStatusUpdateInstruction1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2494
Or}	Proprietary <Prtry>	[1..1]	±		2494

65.1.47.15.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of change to the account status expressed as a code.*Datatype:* "AccountStatusUpdateInstruction1Code" on page 2647

CodeName	Name	Definition
CLOS	Close	Account is to be closed.
REAC	Reactive	Account is to be reactivated, any previous instruction to close the account is rescinded.

65.1.47.15.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of change to the account status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.15.2 UpdateInstructionReason <UpdInstrRsn>*Presence:* [0..1]*Definition:* Reason for the instruction to change the account status.

UpdateInstructionReason <UpdInstrRsn> contains one of the following **AccountStatusUpdateInstructionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2495
Or}	Reason <Rsn>	[1..*]		C26	2495
	Code <Cd>	[0..1]			2495
{Or	Code <Cd>	[1..1]	CodeSet		2496
Or}	Proprietary <Prtry>	[1..1]	±		2496
	AdditionalInformation <AddtlInf>	[0..1]	Text		2496

65.1.47.15.2.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the instruction to change the account status.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.15.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the instruction to change the account status.

Impacted by: C26 "ReasonElementRule"

Reason <Rsn> contains the following **AccountStatusUpdateInstructionReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[0..1]			2495
{Or	Code <Cd>	[1..1]	CodeSet		2496
Or}	Proprietary <Prtry>	[1..1]	±		2496
	AdditionalInformation <AddtlInf>	[0..1]	Text		2496

Constraints

- **ReasonElementRule**

Either Code or AdditionalInformation must be present. Both may be present.

65.1.47.15.2.2.1 Code <Cd>

Presence: [0..1]

Definition: Reason for the instruction to change the account status.

Code <Cd> contains one of the following **AccountStatusUpdateInstructionReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2496
Or}	Proprietary <Prtry>	[1..1]	±		2496

65.1.47.15.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the instruction to change the account status expressed as a code.

Datatype: "AccountStatusUpdateRequestReason1Code" on page 2647

CodeName	Name	Definition
CLOE	AccountClosureInstructionError	Previous account closure instruction was sent in error.

65.1.47.15.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the instruction to change the account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.15.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the instruction to change the account status.

Datatype: "Max350Text" on page 2764

65.1.47.16 AccountStatus2

Definition: Status of an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Enabled <Nbld>	[0..1]			2499
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2500
Or}	Reason <Rsn>	[1..*]			2500
	Code <Cd>	[1..1]			2500
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500
	AdditionalInformation <AddtlInf>	[0..1]	Text		2501
	Disabled <Dsbl>	[0..1]			2501
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2501
Or}	Reason <Rsn>	[1..*]			2501
	Code <Cd>	[1..1]			2502
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502
	AdditionalInformation <AddtlInf>	[0..1]	Text		2503
	Pending <Pdg>	[0..1]	±		2503
	PendingOpening <PdgOpng>	[0..1]			2503
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2503
Or}	Reason <Rsn>	[1..*]			2504
	Code <Cd>	[1..1]			2504
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505
	AdditionalInformation <AddtlInf>	[0..1]	Text		2505
	Proforma <Profrm>	[0..1]			2505
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2505
Or}	Reason <Rsn>	[1..*]			2506
	Code <Cd>	[1..1]			2506
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506
	AdditionalInformation <AddtlInf>	[0..1]	Text		2507
	Closed <Clsd>	[0..1]			2507
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2507

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Reason <Rsn>	[1..*]			2507
	Code <Cd>	[1..1]			2508
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508
	AdditionalInformation <AddtlInf>	[0..1]	Text		2509
	ClosurePending <ClsrPdg>	[0..1]			2509
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2509
Or}	Reason <Rsn>	[1..*]			2509
	Code <Cd>	[1..1]			2510
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510
	AdditionalInformation <AddtlInf>	[0..1]	Text		2510
	Other <Othr>	[0..*]			2510
	Status <Sts>	[1..1]	±		2511
	Reason <Rsn>	[0..1]	±		2511

Constraints

- **ClosedStatus1Rule**

If Closed is present then Enabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Enabled Must be absent
```

- **ClosedStatus2Rule**

If Closed is present then Disabled is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Disabled Must be absent
```

- **ClosedStatus3Rule**

If Closed is present then Pending is not allowed.

```
On Condition
  /Closed is present
Following Must be True
  /Pending Must be absent
```

- **ClosedStatus4Rule**

If Closed is present then PendingOpening is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /PendingOpening Must be absent

- **ClosedStatus5Rule**

If Closed is present then Proforma is not allowed.

On Condition
 /Closed is present
 Following Must be True
 /Proforma Must be absent

- **ClosedStatus6Rule**

If Closed is present then ClosurePending is not allowed.

On Condition
 /Closed is absent
 Following Must be True
 /ClosurePending Must be absent

- **EnabledDisabledStatus1Rule**

If Enabled is present, then Disabled is not allowed.

On Condition
 /Enabled is present
 Following Must be True
 /Disabled Must be absent

- **EnabledDisabledStatus2Rule**

If Disabled is present, then Enabled is not allowed.

On Condition
 /Disabled is present
 Following Must be True
 /Enabled Must be absent

- **StatusElementRule**

One of the elements (Enabled, Disabled, Pending, PendingOpening, Proforma, Closed, ClosurePending, Other) must be present.

65.1.47.16.1 Enabled <Nbld>

Presence: [0..1]

Definition: Account can be used for its intended purpose.

Enabled <Nbld> contains one of the following **EnabledStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2500
Or}	Reason <Rsn>	[1..*]			2500
	Code <Cd>	[1..1]			2500
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500
	AdditionalInformation <AddtlInf>	[0..1]	Text		2501

65.1.47.16.1.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the enabled account status.*Datatype:* "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.1.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the enabled account status.**Reason <Rsn>** contains the following **EnabledStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2500
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500
	AdditionalInformation <AddtlInf>	[0..1]	Text		2501

65.1.47.16.1.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the enabled account status.**Code <Cd>** contains one of the following **EnabledStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2500
Or}	Proprietary <Prtry>	[1..1]	±		2500

65.1.47.16.1.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the enabled account status expressed as a code.*Datatype:* "EnabledStatusReason1Code" on page 2667

CodeName	Name	Definition
MODI	Modified	Modification to the account data is in process.

65.1.47.16.1.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Reason for the enabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.1.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the enabled account status.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.16.2 Disabled <Dsblid>

Presence: [0..1]

Definition: Account cannot temporarily be used for its intended purpose.

Disabled <Dsblid> contains one of the following **DisabledStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2501
Or}	Reason <Rsn>	[1..*]			2501
	Code <Cd>	[1..1]			2502
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502
	AdditionalInformation <AddtlInf>	[0..1]	Text		2503

65.1.47.16.2.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the disabled account status.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the disabled account status.

Reason <Rsn> contains the following **DisabledStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2502
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502
	AdditionalInformation <AddtlInf>	[0..1]	Text		2503

65.1.47.16.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the disabled account status.

Code <Cd> contains one of the following **DisabledStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2502
Or}	Proprietary <Prtry>	[1..1]	±		2502

65.1.47.16.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the disabled account status expressed as a code.

Datatype: "DisabledReason2Code" on page 2666

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.
REJT	Rejected	Account was pending opening but is now rejected.

65.1.47.16.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the disabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.2.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the disabled account status.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.16.3 Pending <Pdg>

Presence: [0..1]

Definition: Account change is pending approval.

Pending <Pdg> contains one of the following elements (see "[PendingStatusReason1Choice](#)" on page 2451 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2451
Or}	Reason <Rsn>	[1..*]	±		2451

65.1.47.16.4 PendingOpening <PdgOpng>

Presence: [0..1]

Definition: Account opening is pending.

PendingOpening <PdgOpng> contains one of the following **PendingOpeningStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2503
Or}	Reason <Rsn>	[1..*]			2504
	Code <Cd>	[1..1]			2504
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505
	AdditionalInformation <AddtlInf>	[0..1]	Text		2505

65.1.47.16.4.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the pending account opening status.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.4.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the pending account opening status.

Reason <Rsn> contains the following **PendingOpeningStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2504
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505
	AdditionalInformation <AddtlInf>	[0..1]	Text		2505

65.1.47.16.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account opening status.

Code <Cd> contains one of the following **PendingOpeningStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2504
Or}	Proprietary <Prtry>	[1..1]	±		2505

65.1.47.16.4.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the pending account opening status expressed as a code.

Datatype: "PendingOpeningStatusReason1Code" on page 2703

CodeName	Name	Definition
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but account opening is still pending.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
NOTO	NotOpened	Account is not yet open, even for partial use.
REST	Restrictions	Account will be opened when restrictions are addressed.

CodeName	Name	Definition
RIGH	RightsHolderMissing	Rights holder information is missing.

65.1.47.16.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the pending account opening status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.4.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the pending account opening status.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.16.5 Proforma <Profrm>

Presence: [0..1]

Definition: Account is temporary and can be partially used for its intended purpose. The account will be fully available for use when the account servicer has received all relevant documents.

Proforma <Profrm> contains one of the following **ProformaStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2505
Or}	Reason <Rsn>	[1..*]			2506
	Code <Cd>	[1..1]			2506
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506
	AdditionalInformation <AddtlInf>	[0..1]	Text		2507

65.1.47.16.5.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the proforma account status.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.5.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the proforma account status.

Reason <Rsn> contains the following **ProformaStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2506
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506
	AdditionalInformation <AddtlInf>	[0..1]	Text		2507

65.1.47.16.5.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the proforma account status.

Code <Cd> contains one of the following **ProformaStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2506
Or}	Proprietary <Prtry>	[1..1]	±		2506

65.1.47.16.5.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a code.

Datatype: "ProformaStatusReason1Code" on page 2710

CodeName	Name	Definition
MODI	ModificationInProgress	Modification to the account data is in process.
RIGH	Rights	Account opening is waiting for rights holder information.

65.1.47.16.5.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the enabled account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.5.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the reason for the proforma account status.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.16.6 Closed <Clsd>

Presence: [0..1]

Definition: Account is closed.

Closed <Clsd> contains one of the following **ClosedStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2507
Or}	Reason <Rsn>	[1..*]			2507
	Code <Cd>	[1..1]			2508
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508
	AdditionalInformation <AddtlInf>	[0..1]	Text		2509

65.1.47.16.6.1 NoSpecifiedReason <NoSpdfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the closed account status.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.6.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason for the closed account status.

Reason <Rsn> contains the following **ClosedStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2508
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508
	AdditionalInformation <AddtlInf>	[0..1]	Text		2509

65.1.47.16.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the closed account status.

Code <Cd> contains one of the following **ClosedStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2508
Or}	Proprietary <Prtry>	[1..1]	±		2508

65.1.47.16.6.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Reason for the closed account status expressed as a code.

Datatype: "ClosedStatusReason1Code" on page 2656

CodeName	Name	Definition
ASIN	AccountServicerInstruction	Account is closed, following an instruction from the account servicer to close the account.
CLIN	ClientInstruction	Account is closed, following an instruction from the client, or an authorised party, to close the account.

65.1.47.16.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason for the closed account status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.6.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the reason for the closed account status.*Datatype:* "Max350Text" on page 2764**65.1.47.16.7 ClosurePending <ClsrPdg>***Presence:* [0..1]*Definition:* Account closure is pending.**ClosurePending <ClsrPdg>** contains one of the following **ClosurePendingStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2509
Or}	Reason <Rsn>	[1..*]			2509
	Code <Cd>	[1..1]			2510
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510
	AdditionalInformation <AddtlInf>	[0..1]	Text		2510

65.1.47.16.7.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* There is no reason available or to report for the closure pending status.*Datatype:* "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.16.7.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason for the closure pending status.**Reason <Rsn>** contains the following **ClosurePendingStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]			2510
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510
	AdditionalInformation <AddtlInf>	[0..1]	Text		2510

65.1.47.16.7.2.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the closure pending status.**Code <Cd>** contains one of the following **ClosurePendingStatusReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2510
Or}	Proprietary <Prtry>	[1..1]	±		2510

65.1.47.16.7.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Reason for the closure pending status expressed as a code.*Datatype:* "ClosurePendingStatusReason1Code" on page 2656

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
PEND	PendingConditions	Account will be closed when conditions are met.

65.1.47.16.7.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Reason for the closure pending status expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.7.2.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the reason for the closure pending status.*Datatype:* "Max350Text" on page 2764**65.1.47.16.8 Other <Othr>***Presence:* [0..*]*Definition:* Status is a bilaterally agreed status.

Other <Othr> contains the following **OtherAccountStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	±		2511
	Reason <Rsn>	[0..1]	±		2511

65.1.47.16.8.1 Status <Sts>

Presence: [1..1]

Definition: Status of the account.

Status <Sts> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.16.8.2 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the status of the account.

Reason <Rsn> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.47.17 RejectedStatus10

Definition: Reason for a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2512
{Or	Code <Cd>	[1..1]	CodeSet		2512
Or}	Proprietary <Prtry>	[1..1]	±		2512
	AdditionalInformation <AddtlInf>	[0..1]	Text		2512

Constraints

- **ReasonElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.17.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2512
Or}	Proprietary <Prtry>	[1..1]	±		2512

65.1.47.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason8Code" on page 2717

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
NALC	NotAllowedToCancel	Instructing party is not allowed to request a cancellation.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
LEGL	LegallyImpossible	Legally impossible to cancel.

65.1.47.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.17.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "Max350Text" on page 2764

65.1.47.18 PartiallySettledStatus10

Definition: Reason for a partially settled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2513
{Or	Code <Cd>	[1..1]	CodeSet		2513
Or}	Proprietary <Prtry>	[1..1]	±		2513
	AdditionalInformation <AddtlInf>	[0..1]	Text		2514

65.1.47.18.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the partially settled status.

Reason <Rsn> contains one of the following **PartiallySettled21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2513
Or}	Proprietary <Prtry>	[1..1]	±		2513

65.1.47.18.1.1 Code <Cd>

Presence: [1..1]

Definition: Partially settled reason expressed as a code.

Datatype: "SettledStatusReason2Code" on page 2722

CodeName	Name	Definition
CPST	CashPartiallySettled	Cash is partially settled.
GATM	GatedMultipleNAV	Redemption has been gated. Multiple redemptions and multiple prices will be required.
GAT1	GatedOneNAV	Redemption has been gated, all settlement will be done at the same price.
UCPS	UnitsCashPartiallySettled	Units and cash are both partially settled.
UPST	UnitsPartiallySettled	Units are partially settled.

65.1.47.18.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Partially settled reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.18.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the partially settled reason.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.19 InRepairStatusReason4Choice

Definition: Choice of formats for the reason for an in repair status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2514
Or}	ReasonDetails <RsnDtls>	[1..5]			2514
	Reason <Rsn>	[1..1]			2515
{Or	Code <Cd>	[1..1]	CodeSet		2515
Or}	Proprietary <Prtry>	[1..1]	±		2515
	AdditionalInformation <AddtlInf>	[0..1]	Text		2515

65.1.47.19.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: No reason available or to report for the in repair status.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.19.2 ReasonDetails <RsnDtls>

Presence: [1..5]

Definition: Reason for the in repair status, expressed as a code.

ReasonDetails <RsnDtls> contains the following **InRepairStatusReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2515
{Or	Code <Cd>	[1..1]	CodeSet		2515
Or}	Proprietary <Prtry>	[1..1]	±		2515
	AdditionalInformation <AddtlInf>	[0..1]	Text		2515

65.1.47.19.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the in repair status expressed as a code.

Reason <Rsn> contains one of the following **InRepairStatusReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2515
Or}	Proprietary <Prtry>	[1..1]	±		2515

65.1.47.19.2.1.1 Code <Cd>

Presence: [1..1]

Definition: In repair reason expressed as a code.

Datatype: "InRepairStatusReason1Code" on page 2679

CodeName	Name	Definition
COMA	CommercialAgreement	Order has been accepted and centralised before the cut off, but with different sales agreement than the one provided in the order.

65.1.47.19.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: In repair reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.19.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the in repair reason.

Datatype: "Max350Text" on page 2764

65.1.47.20 SuspendedStatusReason4Choice

Definition: Reason for a suspended status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2516
Or}	ReasonDetails <RsnDtls>	[1..5]			2516
	Reason <Rsn>	[1..1]			2516
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517
	AdditionalInformation <AddtlInf>	[0..1]	Text		2517

65.1.47.20.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: No reason available or to report for the suspended status.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.20.2 ReasonDetails <RsnDtls>

Presence: [1..5]

Definition: Reason for the suspended status, expressed as a code.

ReasonDetails <RsnDtls> contains the following **SuspendedStatusReason4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2516
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517
	AdditionalInformation <AddtlInf>	[0..1]	Text		2517

65.1.47.20.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the conditionally accepted status expressed as a code.

Reason <Rsn> contains one of the following **SuspendedStatusReason5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2517
Or}	Proprietary <Prtry>	[1..1]	±		2517

65.1.47.20.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Suspended reason expressed as a code.

Datatype: "SuspendedStatusReason3Code" on page 2725

CodeName	Name	Definition
PRIC	PriceSuspension	There is a suspension of pricing. The order will stay on the books until the next pricing.
FLOW	Overflow	There is a fund overflow.

65.1.47.20.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Suspended reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.20.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the suspended reason.

Datatype: "Max350Text" on page 2764

65.1.47.21 RejectedStatus9

Definition: Reason for a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2518
{Or	Code <Cd>	[1..1]	CodeSet		2518
Or}	Proprietary <Prtry>	[1..1]	±		2520
	AdditionalInformation <AddtlInf>	[0..1]	Text		2521

Constraints

- **RejectedElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.21.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2518
Or}	Proprietary <Prtry>	[1..1]	±		2520

65.1.47.21.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "RejectedStatusReason11Code" on page 2714

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
ADEA	AfterDeadline	Instruction was received after the Receiver's deadline.
ILLI	AssetsIlliquid	Assets are illiquid.
BMIN	BelowMinimumInitialInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
BMRA	BelowMinimumRedemptionAmount	Amount is below the minimum redemption amount.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
CUTO	CutOffTime	Instruction has been received after the cut-off time.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDDB	DirectDebit	Direct debit account identification is not recognised or is invalid.
ORRF	DuplicateOrderReference	Order reference is a duplicate of a previously received order.

CodeName	Name	Definition
FEEE	FeeOrCommission	Unrecognised or invalid fee or commission.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
CLOS	FundClosed	Fund is closed and will not take in any more investments.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INSU	InsufficientCapacity	Insufficient capacity.
INTE	Intermediary	Intermediary is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
IOTP	InvalidOrderType	Order type is invalid.
DFOR	InvalidSecurityForm	Form of the security is wrong, eg, the form of security is registered not bearer or vice versa.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
LOCK	LockUp	Lock-up period is in place.
NRGM	NoCancellationMatch	The cancellation request has been rejected since more than one instruction match to the cancellation criteria.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
MONY	NotEnoughCash	There is not enough cash in the account to process the instruction.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
IPAY	PaymentCard	Payment card details are incorrect.
PRCT	PercentageHoldingBreach	Percentage holding breach, eg, PPM rules; taxation rules (ERISA).
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
PHYS	PhysicalSettlement	Physical Settlement Impossible.
PLCE	PlaceOfTrade	Unrecognised or invalid Place of Trade.

CodeName	Name	Definition
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
RTGS	RTGSSystem	Impossible to use RTGS System.
ISAF	SafekeepingPlace	Safekeeping place is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
SETR	SettlementTransaction	Unrecognised or invalid Settlement Transaction.
IEXE	SubscriberOrRedeemer	Unrecognised or invalid subscriber or redeemer.
SHIG	TooHighUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too high for a subscription.
LATE	TooLate	Instruction was received after market deadline.
SLOW	TooLowUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too low for a subscription.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UWAI	UnacceptedCommissionWaiving	Percentage of commission waiving exceeds the commission percentage or commission amount.
UDCY	UnacceptedDealCurrency	Deal currency is not supported.
UNAV	UnacceptedNAVCurrency	Net asset value currency is not supported.
UPAY	UnacceptedPaymentMethod	Payment method, eg, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.
ULNK	UnknownLinkagesReference	Linked reference is not known.
UNSC	UnacceptableSwitchCombination	Instruction specifies an unacceptable combination for the financial instruments in the switch order.
POIN	DifferentValuationPoints	Order contains funds that have different valuation points.

65.1.47.21.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.21.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the rejected reason.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.22 ConditionallyAcceptedStatus3Choice

Definition: Reason for a conditionally accepted status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2521
Or}	ReasonDetails <RsnDtls>	[1..5]			2521
	Reason <Rsn>	[1..1]			2522
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522
	AdditionalInformation <AddtlInf>	[0..1]	Text		2523

65.1.47.22.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: No reason available or to report for the conditionally accepted status.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.22.2 ReasonDetails <RsnDtls>

Presence: [1..5]

Definition: Reason for the conditionally accepted status, expressed as a code.

ReasonDetails <RsnDtls> contains the following **ConditionallyAcceptedStatusReason3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2522
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522
	AdditionalInformation <AddtlInf>	[0..1]	Text		2523

65.1.47.22.2.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the conditionally accepted status expressed as a code.

Reason <Rsn> contains one of the following **ConditionallyAcceptedStatusReason3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2522
Or}	Proprietary <Prtry>	[1..1]	±		2522

65.1.47.22.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Conditionally accepted reason expressed as a code.

Datatype: "ConditionallyAcceptedStatusReason2Code" on page 2658

CodeName	Name	Definition
DOCC	AwaitingDocuments	Order is pending until documents are completed and received, eg, legal documents from the successor, legal proceeds because of bankruptcy, missing signature.
AWRM	AwaitingRedemptionMoney	Order is accepted for further processing but the execution of the order is pending until there are enough funds in the account to complete the redemption.
AWSM	AwaitingSubscriptionMoney	Order is accepted for further processing but the execution of the order is pending until there is enough cash in the account to pay the subscription.
DUPL	PossibleDuplicate	Order is accepted for further processing pending the result of the investigation for possible duplicates.
CRED	TradingLimitExceeded	Order is accepted for further processing pending the extension of the trading limit.

65.1.47.22.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Conditionally accepted reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.22.2.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the conditionally accepted reason.

Datatype: "[Max350Text](#)" on page 2764

65.1.47.23 CancelledStatusReason16

Definition: Reason for a cancelled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]			2523
{Or	Code <Cd>	[1..1]	CodeSet		2524
Or	Proprietary <Prtry>	[1..1]	±		2524
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2524
	AdditionalInformation <AddtlInf>	[0..1]	Text		2524

Constraints

- **AdditionalInformationRule**

The AdditionalInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

- **CancelledElementRule**

Either Reason or AdditionalInformation must be present. Both may be present.

65.1.47.23.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the cancelled status.

Reason <Rsn> contains one of the following **CancelledReason12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2524
Or	Proprietary <Prtry>	[1..1]	±		2524
Or}	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		2524

65.1.47.23.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Cancelled reason expressed as a code.*Datatype:* "CancelledStatusReason2Code" on page 2652

CodeName	Name	Definition
CANH	CancelledByHub	Transaction is cancelled by the hub.
CANP	CancelledByInstructingParty	Transaction is cancelled by the instructing party.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, eg, a market infrastructure such as a stock exchange.

65.1.47.23.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Cancelled reason expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.47.23.1.3 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]*Definition:* No reason available or to report for the cancelled status.*Datatype:* "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.23.2 AdditionalInformation <AddtlInf>*Presence:* [0..1]*Definition:* Additional information about the cancelled status.*Datatype:* "Max350Text" on page 2764**65.1.47.24 BlockedStatusReason2Choice***Definition:* Choice of formats for a blocked status reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2525
Or}	Reason <Rsn>	[1..*]			2525
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

65.1.47.24.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: There is no reason available or to report for the closed account status.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.47.24.2 Reason <Rsn>

Presence: [1..*]

Definition: Transaction type for which the account is blocked and the underlying reason.

Reason <Rsn> contains the following **BlockedStatusReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransactionType <TxTp>	[1..1]			2526
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527
	Blocked <Blckd>	[1..1]	Indicator		2527
	Reason <Rsn>	[0..*]			2527
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528
	AdditionalInformation <AddtlInf>	[1..1]	Text		2528

65.1.47.24.2.1 TransactionType <TxTp>*Presence:* [1..1]*Definition:* Type of transaction for which the account has a blocked status.**TransactionType <TxTp>** contains one of the following **TransactionType5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2526
Or}	Proprietary <Prtry>	[1..1]	±		2527

65.1.47.24.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Transaction type expressed as a code.*Datatype:* "InvestmentFundTransactionType1Code" on page 2692

CodeName	Name	Definition
ALLL	All	All types of transactions.
SELL	SecuritiesSale	Sale of securities.
BUYI	SecuritiesPurchase	Purchase of securities.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SUBS	Subscription	Transaction is a subscription to an investment fund.
REDM	Redemption	Transaction is a redemption of an investment fund.
CDEP	CashDeposit	Transaction is a cash deposit.
CWIT	CashWithdrawal	Transaction is a cash withdrawal.
DIVP	DividendPayment	Transaction is the payment of dividends and other proceeds.
CAEV	CorporationActionEvent	Transaction is the result of a corporate action.
CROI	CrossIn	Transaction is a cross-in.
CROO	CrossOut	Transaction is a cross out.
DIVI	Dividend	Transaction is a dividend.
INSP	InSpecie	Transaction is an InSpecie.

CodeName	Name	Definition
OTHR	Other	Transaction is another type of transaction.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.

65.1.47.24.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.47.24.2.2 Blocked <Blckd>

Presence: [1..1]

Definition: Indicates whether the account is blocked.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.47.24.2.3 Reason <Rsn>

Presence: [0..*]

Definition: Reason for the blocked status.

Reason <Rsn> contains one of the following **BlockedReason2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2528
Or}	Proprietary <Prtry>	[1..1]	±		2528

65.1.47.24.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Reason expressed as a code.

Datatype: "BlockedReason2Code" on page 2650

CodeName	Name	Definition
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.

65.1.47.24.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.47.24.2.4 AdditionalInformation <AddtlInf>

Presence: [1..1]

Definition: Additional information about the blocked account status.

Datatype: "Max350Text" on page 2764

65.1.47.25 PlanStatus2Choice

Definition: Choice of formats for the status of a plan.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2529
Or}	Proprietary <Prtry>	[1..1]	±		2529

65.1.47.25.1 Code <Cd>

Presence: [1..1]

Definition: Status of the plan expressed as a code.

Datatype: "PlanStatus1Code" on page 2708

CodeName	Name	Definition
ACTV	Active	Plan is active.
CLOS	Closed	Plan is closed.
SUSP	Suspended	Plan is suspended.

65.1.47.25.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Status of the plan expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.48 Structured Postal Address

65.1.48.1 StructuredLongPostalAddress1

Definition: Address of a party expressed in a formal structure, usually according to the country's postal services specifications.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingName <BldgNm>	[0..1]	Text		2530
	StreetName <StrtNm>	[0..1]	Text		2530
	StreetBuildingIdentification <StrtBldgId>	[0..1]	Text		2530
	Floor <Flr>	[0..1]	Text		2530
	TownName <TwnNm>	[1..1]	Text		2531
	DistrictName <DstrctNm>	[0..1]	Text		2531
	RegionIdentification <RgnId>	[0..1]	Text		2531
	State <Stat>	[0..1]	Text		2531
	CountyIdentification <CtyId>	[0..1]	Text		2531
	Country <Ctry>	[1..1]	CodeSet	C11	2531
	PostCodeIdentification <PstCdId>	[1..1]	Text		2531
	PostOfficeBox <POB>	[0..1]	Text		2532

Constraints

- **StreetNameAndOrPostOfficeBoxRule**

If StreetName is not present, then PostOfficeBox is mandatory. If StreetName is present, then PostOfficeBox is optional.

65.1.48.1.1 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max35Text" on page 2764

65.1.48.1.2 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max35Text" on page 2764

65.1.48.1.3 StreetBuildingIdentification <StrtBldgId>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max35Text" on page 2764

65.1.48.1.4 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max16Text" on page 2763

65.1.48.1.5 TownName <TwnNm>

Presence: [1..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 2764

65.1.48.1.6 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Name of a district, that is, a part of a town or region.

Datatype: "Max35Text" on page 2764

65.1.48.1.7 RegionIdentification <Rgnld>

Presence: [0..1]

Definition: Identification of an administrative division of a country, state, or territory.

Datatype: "Max35Text" on page 2764

65.1.48.1.8 State <Stat>

Presence: [0..1]

Definition: Organised political community or area forming a part of a federation.

Datatype: "Max35Text" on page 2764

65.1.48.1.9 CountyIdentification <Ctyld>

Presence: [0..1]

Definition: Identifier of a county.

Datatype: "Max35Text" on page 2764

65.1.48.1.10 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.48.1.11 PostCodeIdentification <PstCld>

Presence: [1..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 2763

65.1.48.1.12 PostOfficeBox <POB>*Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 2763**65.1.49 Tax****65.1.49.1 TaxExemptionReason2Choice***Definition:* Choice of formats for the specification of the tax exemption reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2532
Or}	Proprietary <Prtry>	[1..1]	±		2535

65.1.49.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax exemption reason expressed as a code.*Datatype:* "TaxExemptReason3Code" on page 2730

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).

CodeName	Name	Definition
IISA	MiniInsurancelSA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in

CodeName	Name	Definition
		which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is

CodeName	Name	Definition
		a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).
FORE	Foreigner	Account is for a foreigner for which a tax exemption is permitted.
INCA	Incapacity	Exemption due to incapacitation.
MINO	Minor	Account is for a minor for which a tax exemption is permitted.
ASSO	Association	Account is for an association, for example, a sports club, for which a tax exemption is permitted.
DIPL	Diplomat	Account is for a diplomat for which a tax exemption is permitted.
DOME	Domestic	Account is for two account owners that are both domestic.
FORP	OneOrBothForeign	Account is for two account owners where one or both are foreign.
ORDR	Ordinary	Ordinary reporting.
PENF	PensionFund	Account is for a pension fund for which a tax exemption is permitted.
REFU	Refugee	Account is for a refugee or person without a number assigned by a government organisation, for example, without a Danish Central Securities Number.
RIHO	RightsHolder	Account is for a rights holder.
ADMI	Administrator	Administrator of the account is not the owner.
TANR	TwoNonResident	Two or more of the account owners are foreign residents.
OANR	OneNonResident	One of the account owners is a foreign resident.

65.1.49.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.2 Tax36

Definition: Information about tax.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateOrPeriod <DtOrPrd>	[1..1]			2536
{Or	Date <Dt>	[1..1]	Date		2536
Or}	Period <Prd>	[1..1]	Text		2536
	AdditionalInformation <AddtlInf>	[0..*]	±		2536

65.1.49.2.1 DateOrPeriod <DtOrPrd>

Presence: [1..1]

Definition: Date or quarter of the tax year on which tax for the financial instrument is based or calculated.

DateOrPeriod <DtOrPrd> contains one of the following **DateQuarter1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		2536
Or}	Period <Prd>	[1..1]	Text		2536

65.1.49.2.1.1 Date <Dt>

Presence: [1..1]

Definition: Date as year, month and day.

Datatype: "[ISODate](#)" on page 2749

65.1.49.2.1.2 Period <Prd>

Presence: [1..1]

Definition: Proprietary description of the timeframe.

Datatype: "[Max35Text](#)" on page 2764

65.1.49.2.2 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about tax.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.49.3 TaxReference2

Definition: Pension scheme tax reference.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			2537
{Or	Code <Cd>	[1..1]	CodeSet		2537
Or}	Proprietary <Prtry>	[1..1]	±		2537
	Reference <Ref>	[1..1]	Text		2538

65.1.49.3.1 Type <Tp>

Presence: [0..1]

Definition: Type of tax reference.

Type <Tp> contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2537
Or}	Proprietary <Prtry>	[1..1]	±		2537

65.1.49.3.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax reference expressed as a code.

Datatype: "PersonIdentificationType7Code" on page 2707

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

65.1.49.3.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax reference expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.49.3.2 Reference <Ref>

Presence: [1..1]

Definition: Pension scheme tax reference issued to the pension plan by a central organisation.

Datatype: "[Max35Text](#)" on page 2764

65.1.49.4 TaxReference1

Definition: Pension scheme tax reference.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxType <TaxTp>	[0..1]			2538
{Or	Code <Cd>	[1..1]	CodeSet		2538
Or}	Proprietary <Prtry>	[1..1]	±		2539
	Reference <Ref>	[1..1]	Text		2539
	HolderType <HldrTp>	[0..1]			2539
{Or	Code <Cd>	[1..1]	CodeSet		2539
Or}	Proprietary <Prtry>	[1..1]	±		2540

65.1.49.4.1 TaxType <TaxTp>

Presence: [0..1]

Definition: Type of tax reference.

TaxType <TaxTp> contains one of the following **TaxReferenceType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2538
Or}	Proprietary <Prtry>	[1..1]	±		2539

65.1.49.4.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax reference expressed as a code.

Datatype: "[PersonIdentificationType7Code](#)" on page 2707

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

65.1.49.4.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax reference expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.49.4.2 Reference <Ref>

Presence: [1..1]

Definition: Tax reference.

Datatype: "[Max35Text](#)" on page 2764

65.1.49.4.3 HolderType <HldrTp>

Presence: [0..1]

Definition: Type of reference holder. For example, the transferee's tax reference. The transferee may also be known as the acquiring party.

HolderType <HldrTp> contains one of the following **TaxReferenceParty1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2539
Or}	Proprietary <Prtry>	[1..1]	±		2540

65.1.49.4.3.1 Code <Cd>

Presence: [1..1]

Definition: Type of party expressed as a code.

Datatype: "[HolderType1Code](#)" on page 2678

CodeName	Name	Definition
TFEE	Transferee	Holder is the transferee party. The transferee party may also be known as the acquiring party.

CodeName	Name	Definition
TFOR	Transferor	Holder is the transferor party. The transferee party may also be known as the ceding party.

65.1.49.4.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of party expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.49.5 Tax35

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2540
{Or	Code <Cd>	[1..1]	CodeSet		2541
Or}	Proprietary <Prtry>	[1..1]	±		2542
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2542
	AppliedRate <ApldRate>	[0..1]	Rate		2543
	Country <Ctry>	[0..1]	CodeSet	C11	2543
	RecipientIdentification <RcptId>	[0..1]	±		2543
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2543
	Basis <Bsis>	[0..1]			2544
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2544

65.1.49.5.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2541
Or}	Proprietary <Prtry>	[1..1]	±		2542

65.1.49.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2735

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax,

CodeName	Name	Definition
		preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

65.1.49.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.5.2 AppliedAmount <ApIdAmt>

Presence: [1..1]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.5.3 AppliedRate <ApIdRate>

Presence: [0..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2762

65.1.49.5.4 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.5.5 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "PartyIdentification139" on page 2071 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2071
	LEI <LEI>	[0..1]	IdentifierSet		2071

65.1.49.5.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2544
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2544

65.1.49.5.6.1 Basis <Bsis>

Presence: [0..1]

Definition: Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2544
Or}	Proprietary <Prtry>	[1..1]	±		2544

65.1.49.5.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.5.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.5.6.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.6 Tax34

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2546
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Basis <Bsis>	[0..1]			2547
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547
	Amount <Amt>	[1..1]	Amount	C2, C10	2548
	Country <Ctry>	[0..1]	CodeSet	C11	2548
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2548
	ExemptionReason <XmptnRsn>	[0..1]			2549
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552
	RecipientIdentification <RcptId>	[0..1]	±		2552
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2552
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.49.6.1 Type <Tp>*Presence:* [1..1]*Definition:* Type of tax.**Type <Tp>** contains one of the following **TaxType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2546
Or}	Proprietary <Prtry>	[1..1]	±		2547

65.1.49.6.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of tax expressed as a code.*Datatype:* "TaxType16Code" on page 2734

CodeName	Name	Definition
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
LEVY	PaymentLevyTax	Tax levied on a payment.
LOCL	LocalTax	Tax that is charged by a local settlement system or local jurisdiction.
NATI	NationalTax	Tax is a national tax.
PROV	Provincial	Tax is a provincial tax.
STAM	StampDuty	Tax is on certain documents and transactions.
STAT	StateTax	Tax is a state tax.
STEX	StockExchangeTax	Tax collected by a stock exchange.
TRAN	TransferTax	Tax levied on a transfer.
TRAX	TransactionTax	Tax levied on a transaction.
VATA	ValueAddedTax	Tax is a value added tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.

CodeName	Name	Definition
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.

65.1.49.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.6.2 Basis <Bsis>

Presence: [0..1]

Definition: Basis used to determine the capital gain or loss.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2547
Or}	Proprietary <Prtry>	[1..1]	±		2547

65.1.49.6.2.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "[TaxationBasis2Code](#)" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.6.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.6.3 Amount <Amt>

Presence: [1..1]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.6.4 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: [C11 "Country"](#)

Datatype: ["CountryCode"](#) on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.6.5 ExemptionIndicator <XmptnInd>

Presence: [1..1]

Definition: Indicates whether a tax exemption applies.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.49.6.6 ExemptionReason <XmptnRsn>

Presence: [0..1]

Definition: Reason for the tax exemption.

ExemptionReason <XmptnRsn> contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2549
Or}	Proprietary <Prtry>	[1..1]	±		2552

65.1.49.6.6.1 Code <Cd>

Presence: [1..1]

Definition: Exemption reason expressed as a code.

Datatype: "TaxExemptReason1Code" on page 2728

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the

CodeName	Name	Definition
		prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).

CodeName	Name	Definition
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).

CodeName	Name	Definition
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

65.1.49.6.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.6.7 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.49.6.8 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2553
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2553

65.1.49.6.8.1 Basis <Bsis>

Presence: [0..1]

Definition: Basis used to determine the capital gain or loss.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2553
Or}	Proprietary <Prtry>	[1..1]	±		2553

65.1.49.6.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.6.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.6.8.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.7 InformativeTax1

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxableIncomePerDividend <TaxblIncmPerDvdd>	[0..1]	Amount	C2, C10	2555
	EUCapitalGain <EUCptlGn>	[0..1]			2556
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556
	EUDividendStatus <EUDvddSts>	[0..1]			2557
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557
	PercentageOfDebtClaim <PctgOfDebtClm>	[0..1]	Rate		2558
	IndividualTax <IndvTax>	[0..*]			2558
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <RcptId>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

Constraints

- **TaxElementRule**

One of the elements (TaxableIncomePerDividend, EUCapitalGain, EUDividendStatus, PercentageOfDebtClaim, IndividualTax) must be present.

65.1.49.7.1 TaxableIncomePerDividend <TaxblIncmPerDvdd>

Presence: [0..1]

Definition: Amount included in the dividend that corresponds to gains directly or indirectly derived from interest payment in the scope of the European Directive on taxation of savings income in the form of interest payments.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.7.2 EUCapitalGain <EUCptlGn>

Presence: [0..1]

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

EUCapitalGain <EUCptlGn> contains one of the following **EUCapitalGain3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2556
Or}	Proprietary <Prtry>	[1..1]	±		2556

65.1.49.7.2.1 Code <Cd>

Presence: [1..1]

Definition: EU capital gain expressed as a code.

Datatype: "EUCapitalGain2Code" on page 2668

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

65.1.49.7.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: EU capital gain expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.7.3 EUDividendStatus <EUDvddSts>

Presence: [0..1]

Definition: Specifies whether dividend is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June), or an income realised upon sale, a refund or redemption of shares and units, etc.

EUDividendStatus <EUDvddSts> contains one of the following **EUDividendStatusType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2557
Or}	Proprietary <Prtry>	[1..1]	±		2557

65.1.49.7.3.1 Code <Cd>

Presence: [1..1]

Definition: EU dividend status expressed as a code.

Datatype: "[EUDividendStatus1Code](#)" on page 2668

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

65.1.49.7.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: EU dividend status expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.7.4 PercentageOfDebtClaim <PctgOfDebtClm>*Presence:* [0..1]*Definition:* Percentage of the underlying assets of the funds that represents a debt and is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June).*Datatype:* "PercentageRate" on page 2762**65.1.49.7.5 IndividualTax <IndvTax>***Presence:* [0..*]*Definition:* Information related to a specific tax that is provided for information purposes.**IndividualTax <IndvTax>** contains the following **Tax32** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2558
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560
	InformativeAmount <InftvAmt>	[0..1]	Amount	C2, C10	2560
	InformativeRate <InftvRate>	[0..1]	Rate		2561
	Country <Ctry>	[0..1]	CodeSet	C11	2561
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2561
	ExemptionReason <XmptnRsn>	[0..1]			2561
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564
	RecipientIdentification <RcptId>	[0..1]	±		2565
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2565
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

65.1.49.7.5.1 Type <Tp>*Presence:* [1..1]*Definition:* Type of tax applied.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2559
Or}	Proprietary <Prtry>	[1..1]	±		2560

65.1.49.7.5.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2735

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax,

CodeName	Name	Definition
		preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

65.1.49.7.5.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification47"](#) on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.7.5.2 InformativeAmount <InftvAmt>

Presence: [0..1]

Definition: Amount of money resulting from the calculation of the tax. This amount is provided for information only.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.7.5.3 InformativeRate <InftvRate>

Presence: [0..1]

Definition: Rate used to calculate the tax. This rate is provided for information only.

Datatype: "PercentageRate" on page 2762

65.1.49.7.5.4 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.7.5.5 ExemptionIndicator <XmptnInd>

Presence: [1..1]

Definition: Indicates whether a tax exemption applies.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.49.7.5.6 ExemptionReason <XmptnRsn>

Presence: [0..1]

Definition: Reason for the tax exemption.

ExemptionReason <XmptnRsn> contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2562
Or}	Proprietary <Prtry>	[1..1]	±		2564

65.1.49.7.5.6.1 Code <Cd>*Presence:* [1..1]*Definition:* Exemption reason expressed as a code.*Datatype:* "TaxExemptReason1Code" on page 2728

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsurancelISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA.

CodeName	Name	Definition
		Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

65.1.49.7.5.6.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.7.5.7 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.49.7.5.8 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2565
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2566

65.1.49.7.5.8.1 Basis <Bsis>

Presence: [0..1]

Definition: Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2566
Or}	Proprietary <Prtry>	[1..1]	±		2566

65.1.49.7.5.8.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax basis expressed as a code.*Datatype:* "TaxationBasis2Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.7.5.8.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.7.5.8.2 TaxableAmount <TaxblAmt>*Presence:* [1..1]*Definition:* Amount of money on which the tax is charged.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* "ActiveCurrencyAndAmount" on page 2642**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.8 Tax31*Definition:* Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2567
{Or	Code <Cd>	[1..1]	CodeSet		2567
Or}	Proprietary <Prtry>	[1..1]	±		2569
	AppliedAmount <ApldAmt>	[1..1]	Amount	C2, C10	2569
	AppliedRate <ApldRate>	[0..1]	Rate		2569
	Country <Ctry>	[0..1]	CodeSet	C11	2569
	RecipientIdentification <RcptId>	[0..1]	±		2570
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2570
	Basis <Bsis>	[0..1]			2570
{Or	Code <Cd>	[1..1]	CodeSet		2570
Or}	Proprietary <Prtry>	[1..1]	±		2571
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2571

65.1.49.8.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2567
Or}	Proprietary <Prtry>	[1..1]	±		2569

65.1.49.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2735

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is

CodeName	Name	Definition
		gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

65.1.49.8.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of tax expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.8.2 AppliedAmount <ApIdAmt>*Presence:* [1..1]*Definition:* Amount of money resulting from the calculation of the tax.*Impacted by:* [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 2642**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.8.3 AppliedRate <ApIdRate>*Presence:* [0..1]*Definition:* Rate used to calculate the tax.*Datatype:* ["PercentageRate"](#) on page 2762**65.1.49.8.4 Country <Ctry>***Presence:* [0..1]*Definition:* Country where the tax is due.*Impacted by:* [C11 "Country"](#)*Datatype:* ["CountryCode"](#) on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.8.5 RecipientIdentification <RcptId>

Presence: [0..1]

Definition: Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.

RecipientIdentification <RcptId> contains the following elements (see "[PartyIdentification113](#)" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.49.8.6 TaxCalculationDetails <TaxClctnDtls>

Presence: [0..1]

Definition: Information used to calculate the tax.

TaxCalculationDetails <TaxClctnDtls> contains the following **TaxCalculationInformation10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[0..1]			2570
{Or	Code <Cd>	[1..1]	CodeSet		2570
Or}	Proprietary <Prtry>	[1..1]	±		2571
	TaxableAmount <TaxblAmt>	[1..1]	Amount	C2, C10	2571

65.1.49.8.6.1 Basis <Bsis>

Presence: [0..1]

Definition: Form of the rebate, for example, cash.

Basis <Bsis> contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2570
Or}	Proprietary <Prtry>	[1..1]	±		2571

65.1.49.8.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Tax basis expressed as a code.

Datatype: "TaxationBasis2Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.8.6.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Tax basis expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification47" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.8.6.2 TaxableAmount <TaxblAmt>

Presence: [1..1]

Definition: Amount of money on which the tax is charged.

Impacted by: C2 "ActiveCurrency", C10 "CurrencyAmount"

Datatype: "ActiveCurrencyAndAmount" on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.9 Tax30

Definition: Tax related to an investment fund order.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			2572
{Or	Code <Cd>	[1..1]	CodeSet		2572
Or}	Proprietary <Prtry>	[1..1]	±		2574
	Tax <Tax>	[0..1]			2574
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2574
Or}	Rate <Rate>	[1..1]	Rate		2575
	Country <Ctry>	[0..1]	CodeSet	C11	2575
	ExemptionIndicator <XmptnInd>	[1..1]	Indicator		2575
	ExemptionReason <XmptnRsn>	[0..1]			2575
{Or	Code <Cd>	[1..1]	CodeSet		2575
Or}	Proprietary <Prtry>	[1..1]	±		2578
	RecipientIdentification <RcptId>	[0..1]	±		2579
	TaxCalculationDetails <TaxClctnDtls>	[0..1]			2579
	Basis <Bsis>	[1..1]			2579
{Or	Code <Cd>	[1..1]	CodeSet		2579
Or}	Proprietary <Prtry>	[1..1]	±		2580

65.1.49.9.1 Type <Tp>

Presence: [1..1]

Definition: Type of tax.

Type <Tp> contains one of the following **TaxType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2572
Or}	Proprietary <Prtry>	[1..1]	±		2574

65.1.49.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of tax expressed as a code.

Datatype: "TaxType17Code" on page 2735

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.

CodeName	Name	Definition
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the

CodeName	Name	Definition
		opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

65.1.49.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of tax expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.9.2 Tax <Tax>

Presence: [0..1]

Definition: Tax to be applied.

Tax <Tax> contains one of the following **TaxAmountOrRate4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C2, C10	2574
Or}	Rate <Rate>	[1..1]	Rate		2575

65.1.49.9.2.1 Amount <Amt>

Presence: [1..1]

Definition: Amount of money resulting from the calculation of the tax.

Impacted by: [C2 "ActiveCurrency"](#), [C10 "CurrencyAmount"](#)

Datatype: ["ActiveCurrencyAndAmount"](#) on page 2642

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.1.49.9.2.2 Rate <Rate>

Presence: [1..1]

Definition: Rate used to calculate the tax.

Datatype: "PercentageRate" on page 2762

65.1.49.9.3 Country <Ctry>

Presence: [0..1]

Definition: Country where the tax is due.

Impacted by: C11 "Country"

Datatype: "CountryCode" on page 2662

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.9.4 ExemptionIndicator <XmptnInd>

Presence: [1..1]

Definition: Indicates whether a tax exemption applies.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.49.9.5 ExemptionReason <XmptnRsn>

Presence: [0..1]

Definition: Reason for the tax exemption.

ExemptionReason <XmptnRsn> contains one of the following **ExemptionReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2575
Or}	Proprietary <Prtry>	[1..1]	±		2578

65.1.49.9.5.1 Code <Cd>

Presence: [1..1]

Definition: Exemption reason expressed as a code.

Datatype: "TaxExemptReason1Code" on page 2728

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsurancelISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up

CodeName	Name	Definition
		for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

65.1.49.9.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Exemption reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.9.6 RecipientIdentification <RcptId>*Presence:* [0..1]*Definition:* Party that receives the tax. The recipient of, and the party entitled to, the tax may be two different parties.**RecipientIdentification <RcptId>** contains the following elements (see "PartyIdentification113" on page 2088 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Party <Pty>	[1..1]	±		2088
	LEI <LEI>	[0..1]	IdentifierSet		2088

65.1.49.9.7 TaxCalculationDetails <TaxClctnDtls>*Presence:* [0..1]*Definition:* Information used to calculate the tax.**TaxCalculationDetails <TaxClctnDtls>** contains the following **TaxCalculationInformation9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Basis <Bsis>	[1..1]			2579
{Or	Code <Cd>	[1..1]	CodeSet		2579
Or}	Proprietary <Prtry>	[1..1]	±		2580

65.1.49.9.7.1 Basis <Bsis>*Presence:* [1..1]*Definition:* Form of the rebate, for example, cash.**Basis <Bsis>** contains one of the following **TaxBasis1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2579
Or}	Proprietary <Prtry>	[1..1]	±		2580

65.1.49.9.7.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Tax basis expressed as a code.*Datatype:* "TaxationBasis2Code" on page 2726

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.1.49.9.7.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Tax basis expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.49.10 TaxReporting2*Definition:* Information for tax reporting.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TaxationCountry <TaxtnCtry>	[1..1]	CodeSet	C11	2580
	TaxRate <TaxRate>	[0..1]	Rate		2580
	TaxPayer <TaxPyer>	[0..1]	±		2581
	TaxRecipient <TaxRcpt>	[0..1]	±		2581
	CashAccountDetails <CshAcctDtls>	[0..1]	±		2581
	Description <Desc>	[0..1]	Text		2582

65.1.49.10.1 TaxationCountry <TaxtnCtry>*Presence:* [1..1]*Definition:* Country of taxation of the organisation or individual person.*Impacted by:* [C11 "Country"](#)*Datatype:* "[CountryCode](#)" on page 2662**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.1.49.10.2 TaxRate <TaxRate>*Presence:* [0..1]*Definition:* Tax rate to be applied.*Datatype:* "[PercentageRate](#)" on page 2762

65.1.49.10.3 TaxPayer <TaxPyer>*Presence:* [0..1]*Definition:* Party that pays the tax.**TaxPayer <TaxPyer>** contains one of the following elements (see "[PartyIdentification70Choice](#)" on [page 2105](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.49.10.4 TaxRecipient <TaxRcpt>*Presence:* [0..1]*Definition:* Party that receives the tax.**TaxRecipient <TaxRcpt>** contains one of the following elements (see "[PartyIdentification70Choice](#)" on [page 2105](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	2106
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		2106
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		2106

65.1.49.10.5 CashAccountDetails <CshAcctDtls>*Presence:* [0..1]*Definition:* Cash account information for the payment of tax.

CashAccountDetails <CshAcctDtls> contains the following elements (see "[CashAccount33](#)" on page 1234 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SettlementCurrency <SttlmCcy>	[1..1]	CodeSet	C2	1235
	Identification <Id>	[1..1]	±		1236
	AccountOwner <AcctOwnr>	[0..1]	±		1236
	AccountServicer <AcctSvcr>	[0..1]			1236
{Or	NameAndAddress <NmAndAdr>	[1..1]	±		1237
Or	BICFI <BICFI>	[1..1]	IdentifierSet	C7	1237
Or	ClearingSystemMemberIdentification <ClrSysMmbld>	[1..1]	±		1237
Or}	ProprietaryIdentification <PrtryId>	[1..1]			1238
	Identification <Id>	[1..1]	Text		1238
	AccountServicerBranch <AcctSvcrBrnch>	[0..1]	±		1239
	AccountOwnerOtherIdentification <AcctOwnrOthrId>	[0..*]	±		1239
	InvestmentAccountType <InvstmtAcctTp>	[0..1]	±		1239
	CreditDebit <CdtDbt>	[0..1]	CodeSet		1240
	SettlementInstructionReason <SttlmInstrRsn>	[0..1]			1240
{Or	Code <Cd>	[1..1]	CodeSet		1240
Or}	Proprietary <Prtry>	[1..1]	±		1241
	CashAccountPurpose <CshAcctPurp>	[0..1]			1241
{Or	Code <Cd>	[1..1]	CodeSet		1241
Or}	Proprietary <Prtry>	[1..1]	±		1242
	CashAccountDesignation <CshAcctDsgnt>	[0..1]			1242
{Or	Code <Cd>	[1..1]	CodeSet		1242
Or}	Proprietary <Prtry>	[1..1]	±		1242
	DividendPercentage <DvddPctg>	[0..1]	Rate		1243

65.1.49.10.6 Description <Desc>

Presence: [0..1]

Definition: Additional information for the reporting of tax.

Datatype: "[Max350Text](#)" on page 2764

65.1.50 Transaction Type

65.1.50.1 TransactionType2Choice

Definition: Choice of formats for the transaction type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2583
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.50.1.1 Type <Tp>

Presence: [1..1]

Definition: Transaction type expressed as a code.

Datatype: "TransactionType2Code" on page 2738

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SUBS	Subscription	Transaction is a subscription to an investment fund.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.

CodeName	Name	Definition
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.

65.1.50.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.50.2 TradeTransactionCondition8Choice

Definition: Choice of format for the trade transaction conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2584
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.50.2.1 Code <Cd>

Presence: [1..1]

Definition: Trade condition expressed as a code.

Datatype: "[TradeTransactionCondition5Code](#)" on page 2738

CodeName	Name	Definition
XCPN	ExCoupon	Indicates whether the trade is executed ex coupon.
CCPN	CumCoupon	Indicates whether the trade is executed cum coupon.

65.1.50.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Trade condition expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.50.3 SettlementTransactionCondition30Choice

Definition: Choice of format for the settlement transaction conditions.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2585
Or}	Proprietary <Prtry>	[1..1]	±		2585

65.1.50.3.1 Code <Cd>

Presence: [1..1]

Definition: Settlement condition expressed as a code.

Datatype: "SettlementTransactionCondition11Code" on page 2723

CodeName	Name	Definition
NOMC	NoAutomaticMarketClaim	No market claim should be automatically generated.

65.1.50.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Settlement condition expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 1644 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1644
	Issuer <Issr>	[1..1]	Text		1644
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.50.4 CorporateAction1Choice

Definition: Choice of formats for the corporate action event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		2586
Or}	Proprietary <Prtry>	[1..1]	±		2588

65.1.50.4.1 Type <Tp>

Presence: [1..1]

Definition: Corporate action event type expressed as a code.

Datatype: "CorporateActionEventType1Code" on page 2660

CodeName	Name	Definition
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
DVCA	CashDividend	Event is a distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. The shareholder must take cash and is not offered a choice in the form of distribution.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
LIQU	Liquidation	Event is a liquidating dividend or liquidation that consists of a distribution of cash, assets, or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. The security holder may be able to choose the form of liquidation distribution.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event

CodeName	Name	Definition
		shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
RHDI	RightsDistribution	Event is the distribution of rights to shareholders, in proportion to their equity holding.
EXRI	CallOnRights	Event is a call or exercise on nil-paid securities or rights resulting from a rights distribution (RHDI). This is to be used for the second event in those cases where the rights issue is dealt with in two events, the first event being the rights distribution (RHDI).
CHAN	Change	Event is a generic change. For example, a change in the terms of an issue, a change in the identification of a security,

CodeName	Name	Definition
		change of a board lot, a change from global to definitive.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a financial instrument is restated, eg, the debt in a national currency is restated in euro.
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXOF	ExchangeOffer	Event is an exchange offer or capital reorganisation. It is an offer to shareholders to exchange their holdings for other securities and/or cash. Exchange offers are usually voluntary involving the exchange of outstanding security for a different security or securities and/or cash.
DECR	DecreaseInValue	Event is a reduction of the share capital and face value of a single share. The number of the circulating shares remains unchanged. It may include a capital pay-out to shareholder.

65.1.50.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Corporate action event expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification47](#)" on page 1648 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1648
	Issuer <Issr>	[1..1]	Text		1649
	SchemeName <SchmeNm>	[0..1]	Text		1649

65.1.51 Transfer

65.1.51.1 PensionTransferScope1Choice

Definition: Choice of formats for the scope of a pension transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2589
Or}	Proprietary <Prtry>	[1..1]	±		2589

65.1.51.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Type of pension policy, plan or scheme transfer scope expressed as a code.*Datatype:* "PensionTransferScope1Code" on page 2707

CodeName	Name	Definition
CRYS	CrystallisedPart	Transfer is for the crystallised portion.
SDDT	DrawdownTranche	Transfer is for a specific drawdown tranche.
FULP	FullPension	Transfer is for the full pension.
UCRY	UncrystallisedPart	Transfer is for the uncrystallised portion.

65.1.51.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of pension policy, plan or scheme transfer scope expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.51.2 FundSettlementParameters14*Definition:* Parameters applied to the settlement of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		2590
	TradeTransactionCondition <TradTxCond>	[0..*]	±		2590
	SettlementTransactionCondition <SttlmTxCond>	[0..*]	±		2590
	SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>	[0..1]	Text		2591
	ReceivingSideDetails <RcvgSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2591
	DeliveringSideDetails <DlvrSdDtls>	[0..1]	±	C5, C9, C14, C15, C16, C17, C18	2592

65.1.51.2.1 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository or an International Central Securities Depository.

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 2334 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			2334
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2334
	Identification <Id>	[0..1]	Text		2335
Or	Country <Ctry>	[1..1]	CodeSet	C11	2335
Or	TypeAndIdentification <TpAndId>	[1..1]			2335
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		2335
	Identification <Id>	[1..1]	IdentifierSet	C4	2336
Or}	Proprietary <Prtry>	[1..1]	±		2336

65.1.51.2.2 TradeTransactionCondition <TradTxCond>*Presence:* [0..*]

Definition: Condition under which the order/trade is to be/was executed. This may be required for settlement through T2S.

TradeTransactionCondition <TradTxCond> contains one of the following elements (see "TradeTransactionCondition8Choice" on page 2584 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2584
Or}	Proprietary <Prtry>	[1..1]	±		2584

65.1.51.2.3 SettlementTransactionCondition <SttlmTxCond>*Presence:* [0..*]

Definition: Condition under which the order/trade is to be settled. This may be required for settlement through T2S.

SettlementTransactionCondition <SttlmTxCond> contains one of the following elements (see "SettlementTransactionCondition30Choice" on page 2585 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2585
Or}	Proprietary <Prtry>	[1..1]	±		2585

65.1.51.2.4 SecuritiesSettlementSystemIdentification <SctiesSttlmSysId>*Presence:* [0..1]*Definition:* Identification of a specific system or set of rules and/or processes to be applied at the settlement place.*Datatype:* "Max35Text" on page 2764**65.1.51.2.5 ReceivingSideDetails <RcvgSdDtIs>***Presence:* [0..1]*Definition:* Chain of receiving settlement parties.*Impacted by:* C5 "BookTransferGuideline", C9 "DepositoryGuideline", C14 "Party2PresenceRule", C15 "Party3PresenceRule", C16 "Party4PresenceRule", C17 "Party5PresenceRule", C18 "SettlementChainGuideline"**ReceivingSideDetails <RcvgSdDtIs>** contains the following elements (see "SettlementParties74" on page 2355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2357
	Party1 <Pty1>	[0..1]	±		2357
	Party2 <Pty2>	[0..1]	±		2358
	Party3 <Pty3>	[0..1]	±		2358
	Party4 <Pty4>	[0..1]	±		2359
	Party5 <Pty5>	[0..1]	±		2359

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
    /Party2 is present
Following Must be True
    /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
    /Party3 is present
Following Must be True
    /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
    /Party4 is present
Following Must be True
    /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
    /Party5 is present
Following Must be True
    /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

65.1.51.2.6 DeliveringSideDetails <DlvrgSdDtls>

Presence: [0..1]

Definition: Chain of delivering settlement parties.

Impacted by: C5 "BookTransferGuideline", C9 "DepositoryGuideline", C14 "Party2PresenceRule", C15 "Party3PresenceRule", C16 "Party4PresenceRule", C17 "Party5PresenceRule", C18 "SettlementChainGuideline"

DeliveringSideDetails <DlvrgSdDtls> contains the following elements (see "[SettlementParties74](#)" on page 2355 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		2357
	Party1 <Pty1>	[0..1]	±		2357
	Party2 <Pty2>	[0..1]	±		2358
	Party3 <Pty3>	[0..1]	±		2358
	Party4 <Pty4>	[0..1]	±		2359
	Party5 <Pty5>	[0..1]	±		2359

Constraints

- **BookTransferGuideline**

In the case of an own account internal transfer, the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
    /Party4 is present
Following Must be True
    /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
    /Party5 is present
Following Must be True
    /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

65.1.51.3 Conversion1

Definition: Identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TargetSecurity <TrgtScty>	[1..1]		C11	2595
	Identification <Id>	[1..1]			2596
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2597
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2597
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2597
Or	RIC <RIC>	[1..1]	IdentifierSet		2598
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2598
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2598
Or	CTA <CTA>	[1..1]	IdentifierSet		2598
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2598
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2598
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2598
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2599
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2599
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2599
Or	Common <Cmon>	[1..1]	IdentifierSet		2599
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			2599
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599
	Name <Nm>	[0..1]	Text		2600
	ShortName <ShrtNm>	[0..1]	Text		2600
	AdditionalInformation <AddtlInf>	[0..*]	±		2600

65.1.51.3.1 TargetSecurity <TrgtScty>

Presence: [1..1]

Definition: Identification of the target security.

Impacted by: C11 "IdentificationGuideline"

TargetSecurity <TrgtScty> contains the following **FinancialInstrumentIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			2596
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2597
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2597
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2597
Or	RIC <RIC>	[1..1]	IdentifierSet		2598
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2598
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2598
Or	CTA <CTA>	[1..1]	IdentifierSet		2598
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2598
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2598
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2598
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		2599
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2599
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2599
Or	Common <Cmon>	[1..1]	IdentifierSet		2599
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			2599
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599
	Name <Nm>	[0..1]	Text		2600
	ShortName <ShrtNm>	[0..1]	Text		2600

Constraints

- **IdentificationGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.51.3.1.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security, assigned under a formal or proprietary identification scheme.

Identification <Id> contains one of the following **SecurityIdentification25Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		2597
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		2597
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		2597
Or	RIC <RIC>	[1..1]	IdentifierSet		2598
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		2598
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		2598
Or	CTA <CTA>	[1..1]	IdentifierSet		2598
Or	QUICK <QUICK>	[1..1]	IdentifierSet		2598
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		2598
Or	Dutch <Dtch>	[1..1]	IdentifierSet		2598
Or	Valoren <Vlrr>	[1..1]	IdentifierSet		2599
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		2599
Or	Belgian <Belgn>	[1..1]	IdentifierSet		2599
Or	Common <Cmon>	[1..1]	IdentifierSet		2599
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			2599
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599

65.1.51.3.1.1.1 ISIN <ISIN>

Presence: [1..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 2756

65.1.51.3.1.1.2 SEDOL <SEDOL>

Presence: [1..1]

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Datatype: "SEDOLIdentifier" on page 2758

65.1.51.3.1.1.3 CUSIP <CUSIP>

Presence: [1..1]

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. The CUSIP is composed of a 9-character number that uniquely identifies a particular security. Non-US securities have a similar number called the CINS number.

Datatype: "CUSIPIdentifier" on page 2753

65.1.51.3.1.1.4 RIC <RIC>

Presence: [1..1]

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Datatype: "RICIdentifier" on page 2758

65.1.51.3.1.1.5 TickerSymbol <TckrSymb>

Presence: [1..1]

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Datatype: "TickerIdentifier" on page 2760

65.1.51.3.1.1.6 Bloomberg <Blmbrg>

Presence: [1..1]

Definition: Identifier of a security assigned by the Bloomberg organisation.

Datatype: "Bloomberg2Identifier" on page 2752

65.1.51.3.1.1.7 CTA <CTA>

Presence: [1..1]

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Datatype: "ConsolidatedTapeAssociationIdentifier" on page 2753

65.1.51.3.1.1.8 QUICK <QUICK>

Presence: [1..1]

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Datatype: "QUICKIdentifier" on page 2757

65.1.51.3.1.1.9 Wertpapier <Wrtppr>

Presence: [1..1]

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Datatype: "WertpapierIdentifier" on page 2760

65.1.51.3.1.1.10 Dutch <Dtch>

Presence: [1..1]

Definition: Identifier for Dutch securities.

Datatype: "DutchIdentifier" on page 2753

65.1.51.3.1.1.11 Valoren <Vlrn>

Presence: [1..1]

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Datatype: "ValorenIdentifier" on page 2760

65.1.51.3.1.1.12 Sicovam <SCVM>

Presence: [1..1]

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilieres in France. The Sicovam is composed of 5-digits.

Datatype: "SicovamIdentifier" on page 2758

65.1.51.3.1.1.13 Belgian <Belgn>

Presence: [1..1]

Definition: Identifier for Belgian securities.

Datatype: "BelgianIdentifier" on page 2751

65.1.51.3.1.1.14 Common <Cmon>

Presence: [1..1]

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Datatype: "EuroclearClearstreamIdentifier" on page 2754

65.1.51.3.1.1.15 OtherProprietaryIdentification <OthrPrtryId>

Presence: [1..1]

Definition: Proprietary identification of the security assigned by an institution or organisation.

OtherProprietaryIdentification <OthrPrtryId> contains the following
AlternateSecurityIdentification7 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		2599
	IdentificationSource <IdSrc>	[1..1]	±		2599

65.1.51.3.1.1.15.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identifier of a security.

Datatype: "Max35Text" on page 2764

65.1.51.3.1.1.15.2 IdentificationSource <IdSrc>

Presence: [1..1]

Definition: Source of the identification, that is, domestic (national) or proprietary.

IdentificationSource <IdSrc> contains one of the following elements (see "IdentificationSource1Choice" on page 1650 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Domestic <Dmst>	[1..1]	CodeSet	C11	1650
Or}	Proprietary <Prtry>	[1..1]	Text		1650

65.1.51.3.1.2 Name <Nm>

Presence: [0..1]

Definition: Name of the financial instrument in free format text.

Datatype: "Max350Text" on page 2764

65.1.51.3.1.3 ShortName <ShrtNm>

Presence: [0..1]

Definition: Financial Instrument Short Name (FISN) expressed in conformance with the ISO 18774 standard.

Datatype: "Max35Text" on page 2764

65.1.51.3.2 AdditionalInformation <AddtlInf>

Presence: [0..*]

Definition: Additional information about the conversion.

AdditionalInformation <AddtlInf> contains the following elements (see "AdditionalInformation15" on page 1469 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationType <InfTp>	[1..1]	±		1470
	InformationValue <InfVal>	[1..1]	Text		1470

65.1.51.4 TransferType1Choice

Definition: Choice of formats for a transfer type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2601
Or}	Proprietary <Prtry>	[1..1]	±		2601

Constraints

- **SECUGuideline**

The code SECU (Asset) is used when a financial instrument such as units of a fund are to be transferred.

The code SECU is also used when assets such as equities or bonds are to be transferred. The code SECU is also used for assets such as property, moveable property and other similar types of other asset.

65.1.51.4.1 Code <Cd>

Presence: [1..1]

Definition: Transfer type expressed as a code.

Datatype: "TransferType3Code" on page 2743

CodeName	Name	Definition
SECU	Asset	Transfer the security as an asset in specie, that is, 'in its actual form'..
CASH	Cash	Transfer as cash.
CONV	Conversion	ISIN is converted to another ISIN and then transferred.

65.1.51.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transfer type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.51.5 Transfer35

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferConfirmationReference <TrfConfRef>	[1..1]	Text		2603
	TransferReference <TrfRef>	[1..1]	Text		2603
	ClientReference <ClntRef>	[0..1]	±		2603
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2603
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2604
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2604
	EffectiveTransferDate <FctvTrfDt>	[1..1]	±		2604
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		2605
	TradeDate <TradDt>	[0..1]	±		2605
	TransferOrderDateForm <TrfOrdrDtForm>	[0..1]	Date		2605
	TransferReason <TrfRsn>	[0..1]	±		2605
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2605
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2606
	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		2608
	TransferRate <TrfRate>	[0..1]	Rate		2608
	UnitsDetails <UnitsDtls>	[0..*]	±		2608
	Rounding <Rndg>	[0..1]	CodeSet		2609
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2610
	NewAveragePrice <NewAvrgPric>	[0..1]	Amount	C3	2610
	AverageDate <AvrgDt>	[0..1]	Date		2610
	NewAverageDate <NewAvrgDt>	[0..1]	Date		2610
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2610
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2611
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2611
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2611
	DeliveringAgentDetails <DlvrAgtDtls>	[0..1]	±		2611
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2612

Constraints

- **TotalUnitsNumberRule**

TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

65.1.51.5.1 TransferConfirmationReference <TrfConfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer execution, as assigned by the confirming party.

Datatype: "Max35Text" on page 2764

65.1.51.5.2 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

65.1.51.5.3 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.51.5.4 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference7" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1646
	ReferenceIssuer <RefIssr>	[0..1]			1646
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	1646
Or	LegalEntityIdentifier <LglNttyldr>	[1..1]	IdentifierSet		1647
Or	NameAndAddress <NmAndAdr>	[1..1]	±		1647
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		1647
	MessageName <MsgNm>	[0..1]	Text		1647

65.1.51.5.5 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2651

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.1.51.5.6 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.51.5.7 EffectiveTransferDate <FctvTrfDt>

Presence: [1..1]

Definition: Date and time at which the transfer was executed.

EffectiveTransferDate <FctvTrfDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.51.5.8 EffectiveSettlementDate <FctvSttlmDt>*Presence:* [0..1]*Definition:* Date and time at which the securities were exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).**EffectiveSettlementDate <FctvSttlmDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.51.5.9 TradeDate <TradDt>*Presence:* [0..1]*Definition:* Date and time at which the transaction is completed and cleared, that is, securities are delivered.**TradeDate <TradDt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 1413 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1413
Or}	DateTime <DtTm>	[1..1]	DateTime		1413

65.1.51.5.10 TransferOrderDateForm <TrfOrdrDtForm>*Presence:* [0..1]*Definition:* Date on which the investor signed the transfer order form.*Datatype:* ["ISODate"](#) on page 2749**65.1.51.5.11 TransferReason <TrfRsn>***Presence:* [0..1]*Definition:* Reason for the transfer.**TransferReason <TrfRsn>** contains one of the following elements (see ["TransferReason1Choice"](#) on page 2626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2626
Or}	Proprietary <Prtry>	[1..1]	±		2627

65.1.51.5.12 HoldingsPlanType <HldgsPlanTp>*Presence:* [0..3]*Definition:* Specifies information about investment plans included in the holding.*Datatype:* ["HoldingsPlanType1Code"](#) on page 2678

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

65.1.51.5.13 FinancialInstrumentDetails <FinInstrmDtls>

Presence: [1..1]

Definition: Information related to the financial instrument transferred.

Impacted by: C19 "IdentificationGuideline"

FinancialInstrumentDetails <FinInstrmDtls> contains the following elements (see "FinancialInstrument63" on page 1608 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1609
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1609
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1609
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1610
Or	RIC <RIC>	[1..1]	IdentifierSet		1610
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1610
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1610
Or	CTA <CTA>	[1..1]	IdentifierSet		1610
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1610
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1610
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1611
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1611
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1611
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1611
Or	Common <Cmon>	[1..1]	IdentifierSet		1611
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1611
	Identification <Id>	[1..1]	Text		1612
	IdentificationSource <IdSrc>	[1..1]	±		1612
	Name <Nm>	[0..1]	Text		1612
	ShortName <ShrtNm>	[0..1]	Text		1612
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1612
	ClassType <ClsTp>	[0..1]	Text		1612
	SecuritiesForm <SciesForm>	[0..1]	CodeSet		1612
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1613

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.51.5.14 TotalUnitsNumber <TtlUnitsNb>

Presence: [1..1]

Definition: Number of units transferred.

Datatype: "DecimalNumber" on page 2761

65.1.51.5.15 TransferRate <TrfRate>

Presence: [0..1]

Definition: Quantity of securities transferred as a percentage of the holding.

Datatype: "PercentageRate" on page 2762

65.1.51.5.16 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit8" on page 1614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1615
	OrderDate <OrdDt>	[0..1]	Date		1615
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1616
	CertificateNumber <CertNb>	[0..*]	Text		1616
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1616
	Reference <Ref>	[0..1]	Text		1616
	PriceDetails <PricDtls>	[0..1]			1616
	Type <Tp>	[1..1]	±		1617
	Value <Val>	[1..1]	±		1617
	PriceMethod <PricMtd>	[0..1]	CodeSet		1617
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1618
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1618
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1618
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1619
{Or	Code <Cd>	[1..1]	CodeSet		1619
Or}	Proprietary <Prtry>	[1..1]	±		1619
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	1619
	OtherAmount <OthrAmt>	[0..*]			1620
	Type <Tp>	[1..1]			1620
{Or	Code <Cd>	[1..1]	CodeSet		1621
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1621
	Amount <Amt>	[1..1]	Amount	C2, C10	1621

65.1.51.5.17 Rounding <Rndg>

Presence: [0..1]

Definition: Rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.1.51.5.18 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account before the transfer was executed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.51.5.19 NewAveragePrice <NewAvrgPric>

Presence: [0..1]

Definition: Weighted average price of the units in the account after the transfer was executed.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.51.5.20 AverageDate <AvrgDt>

Presence: [0..1]

Definition: Trade date of the average weighted data of units in the account before the transfer was executed.

Datatype: "ISODate" on page 2749

65.1.51.5.21 NewAverageDate <NewAvrgDt>

Presence: [0..1]

Definition: Trade date of the average weighted data of units in the account after the transfer was executed.

Datatype: "ISODate" on page 2749

65.1.51.5.22 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.51.5.23 OwnAccountTransferIndicator <OwnAcctTrfInd>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.51.5.24 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for non-regulated traded funds.

Datatype: "Max350Text" on page 2764

65.1.51.5.25 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [0..1]

Definition: Party that receives securities from the delivering agent via the place of settlement, for example, securities central depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following elements (see "PartyIdentificationAndAccount156" on page 2075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[0..1]	±		2075
	AccountIdentification <AcctId>	[0..1]	Text		2075
	PlaceOfSettlement <PlcOfSttlm>	[1..1]	±		2075

65.1.51.5.26 DeliveringAgentDetails <DlvrAgtDtls>

Presence: [0..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, a central securities depository.

DeliveringAgentDetails <DlvrgAgtDtIs> contains the following elements (see "PartyIdentificationAndAccount156" on page 2075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[0..1]	±		2075
	AccountIdentification <AcctId>	[0..1]	Text		2075
	PlaceOfSettlement <PlcOfSttlm>	[1..1]	±		2075

65.1.51.5.27 TransferExpensesPaymentType <TrfExpnssPmtTp>

Presence: [0..1]

Definition: Specifies how the payment of fees and taxes as a result of the transfer is covered, that is, whether by cash or the redemption of units.

TransferExpensesPaymentType <TrfExpnssPmtTp> contains one of the following elements (see "ChargePaymentMethod1Choice" on page 1347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1348
Or}	Proprietary <Prtry>	[1..1]	±		1348

65.1.51.6 Transfer34

Definition: Parameters applied to the settlement of a security transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TransferReference <TrfRef>	[1..1]	Text		2613
	ClientReference <ClntRef>	[0..1]	±		2613
	CounterpartyReference <CtrPtyRef>	[0..1]	±		2614
	BusinessFlowType <BizFlowTp>	[0..1]	CodeSet		2614
	RequestedTransferDate <ReqdTrfDt>	[0..1]	±		2614
	RequestedSettlementDate <ReqdSttlmDt>	[0..1]	Date		2615
	TransferOrderDateForm <TrfOrdDtForm>	[0..1]	Date		2615
	TransferReason <TrfRsn>	[0..1]	±		2615
	HoldingsPlanType <HldgsPlanTp>	[0..3]	CodeSet		2615
	FinancialInstrumentDetails <FinInstrmDtls>	[1..1]	±	C19	2616
	Quantity <Qty>	[1..1]	±		2617
	UnitsDetails <UnitsDtls>	[0..*]	±		2617
	Rounding <Rndg>	[0..1]	CodeSet		2618
	AveragePrice <AvrgPric>	[0..1]	Amount	C3	2619
	TransferCurrency <TrfCcy>	[0..1]	CodeSet	C3	2619
	OwnAccountTransferIndicator <OwnAcctTrfInd>	[0..1]	Indicator		2619
	NonStandardSettlementInformation <NonStdSttlmInf>	[0..1]	Text		2619
	ReceivingAgentDetails <RcvgAgtDtls>	[0..1]	±		2619
	DeliveringAgentDetails <DlvrgAgtDtls>	[0..1]	±		2620
	TransferExpensesPaymentType <TrfExpnssPmtTp>	[0..1]	±		2620

Constraints

- **TotalUnitsNumberRule**

Quantity/TotalUnitsNumber must be the sum of all occurrences of UnitsDetails/UnitsNumber when UnitsDetails is present.

65.1.51.6.1 TransferReference <TrfRef>

Presence: [1..1]

Definition: Unique and unambiguous identifier for the transfer instruction, as assigned by the instructing party.

Datatype: "Max35Text" on page 2764

65.1.51.6.2 ClientReference <ClntRef>

Presence: [0..1]

Definition: Unique and unambiguous investor's identification of the transfer. This reference can typically be used in a hub scenario to give the reference of the transfer as assigned by the underlying client.

ClientReference <ClntRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.51.6.3 CounterpartyReference <CtrPtyRef>

Presence: [0..1]

Definition: Unambiguous identification of the transfer allocated by the counterparty.

CounterpartyReference <CtrPtyRef> contains the following elements (see "AdditionalReference8" on page 1637 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reference <Ref>	[1..1]	Text		1637
	ReferenceIssuer <RefIssr>	[0..1]	±		1637
	MessageName <MsgNm>	[0..1]	Text		1637

65.1.51.6.4 BusinessFlowType <BizFlowTp>

Presence: [0..1]

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Datatype: "BusinessFlowType1Code" on page 2651

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.1.51.6.5 RequestedTransferDate <ReqdTrfDt>

Presence: [0..1]

Definition: Date for which the instructing party requests the transfer.

RequestedTransferDate <ReqdTrfDt> contains one of the following elements (see "DateFormat1Choice" on page 1313 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		1313
Or	Code <Cd>	[1..1]	CodeSet		1313
Or}	DateTime <DtTm>	[1..1]	DateTime		1314

65.1.51.6.6 RequestedSettlementDate <ReqdSttlmDt>

Presence: [0..1]

Definition: Date and time at which the securities are to be exchanged at the International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

Datatype: "ISODate" on page 2749

65.1.51.6.7 TransferOrderDateForm <TrfOrdRdtForm>

Presence: [0..1]

Definition: Date on which the investor signed the transfer order form.

Datatype: "ISODate" on page 2749

65.1.51.6.8 TransferReason <TrfRsn>

Presence: [0..1]

Definition: Reason for the transfer.

TransferReason <TrfRsn> contains one of the following elements (see "TransferReason1Choice" on page 2626 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2626
Or}	Proprietary <Prtry>	[1..1]	±		2627

65.1.51.6.9 HoldingsPlanType <HldgsPlanTp>

Presence: [0..3]

Definition: Specifies information about investment plans included in the holding.

Datatype: "HoldingsPlanType1Code" on page 2678

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

65.1.51.6.10 FinancialInstrumentDetails <FinInstrmDtls>*Presence:* [1..1]*Definition:* Information related to the financial instrument to be transferred.*Impacted by:* C19 "IdentificationGuideline"**FinancialInstrumentDetails <FinInstrmDtls>** contains the following elements (see "FinancialInstrument63" on page 1608 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			1609
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1609
Or	SEDOL <SEDOL>	[1..1]	IdentifierSet		1609
Or	CUSIP <CUSIP>	[1..1]	IdentifierSet		1610
Or	RIC <RIC>	[1..1]	IdentifierSet		1610
Or	TickerSymbol <TckrSymb>	[1..1]	IdentifierSet		1610
Or	Bloomberg <Blmbrg>	[1..1]	IdentifierSet		1610
Or	CTA <CTA>	[1..1]	IdentifierSet		1610
Or	QUICK <QUICK>	[1..1]	IdentifierSet		1610
Or	Wertpapier <Wrtppr>	[1..1]	IdentifierSet		1610
Or	Dutch <Dtch>	[1..1]	IdentifierSet		1611
Or	Valoren <Vlrn>	[1..1]	IdentifierSet		1611
Or	Sicovam <SCVM>	[1..1]	IdentifierSet		1611
Or	Belgian <Belgn>	[1..1]	IdentifierSet		1611
Or	Common <Cmon>	[1..1]	IdentifierSet		1611
Or}	OtherProprietaryIdentification <OthrPrtryId>	[1..1]			1611
	Identification <Id>	[1..1]	Text		1612
	IdentificationSource <IdSrc>	[1..1]	±		1612
	Name <Nm>	[0..1]	Text		1612
	ShortName <ShrtNm>	[0..1]	Text		1612
	SupplementaryIdentification <SplmtryId>	[0..1]	Text		1612
	ClassType <ClsTp>	[0..1]	Text		1612
	SecuritiesForm <SctiesForm>	[0..1]	CodeSet		1612
	DistributionPolicy <DstrbtnPlcy>	[0..1]	CodeSet		1613

Constraints

- **IdentificationGuideline**

It is recommended that ISIN is used in Identification.

When an ISIN code exists, it is strongly recommended that the ISIN be used.

65.1.51.6.11 Quantity <Qty>

Presence: [1..1]

Definition: Total quantity of securities to be transferred, expressed as a number of units or a percentage rate.

Quantity <Qty> contains one of the following elements (see "[Quantity42Choice](#)" on page 1621 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TotalUnitsNumber <TtlUnitsNb>	[1..1]	Quantity		1622
Or}	TransferRate <TrfRate>	[1..1]	Rate		1622

65.1.51.6.12 UnitsDetails <UnitsDtls>

Presence: [0..*]

Definition: Breakdown of units to be transferred.

UnitsDetails <UnitsDtls> contains the following elements (see "Unit8" on page 1614 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitsNumber <UnitsNb>	[1..1]	Quantity		1615
	OrderDate <OrdDt>	[0..1]	Date		1615
	AcquisitionDate <AcqstnDt>	[0..1]	Date		1616
	CertificateNumber <CertNb>	[0..*]	Text		1616
	Group1Or2Units <Grp1Or2Units>	[0..1]	CodeSet		1616
	Reference <Ref>	[0..1]	Text		1616
	PriceDetails <PricDtls>	[0..1]			1616
	Type <Tp>	[1..1]	±		1617
	Value <Val>	[1..1]	±		1617
	PriceMethod <PricMtd>	[0..1]	CodeSet		1617
	AccruedInterestNAV <AcrdIntrstNAV>	[0..1]	Amount	C3, C12	1618
	NumberOfDaysAccrued <NbOfDaysAcrd>	[0..1]	Quantity		1618
	TaxableIncomePerShare <TaxblIncmPerShr>	[0..1]	Amount	C2	1618
	TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>	[0..1]			1619
{Or	Code <Cd>	[1..1]	CodeSet		1619
Or}	Proprietary <Prtry>	[1..1]	±		1619
	TransactionOverhead <TxOvrhd>	[0..1]	±	C18, C33	1619
	OtherAmount <OthrAmt>	[0..*]			1620
	Type <Tp>	[1..1]			1620
{Or	Code <Cd>	[1..1]	CodeSet		1621
Or}	ProprietaryCode <PrtryCd>	[1..1]	±		1621
	Amount <Amt>	[1..1]	Amount	C2, C10	1621

65.1.51.6.13 Rounding <Rndg>

Presence: [0..1]

Definition: Rounding direction applied to nearest unit.

Datatype: "RoundingDirection2Code" on page 2719

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.1.51.6.14 AveragePrice <AvrgPric>

Presence: [0..1]

Definition: Value of the security, as booked in the account. Book value is often different from the current market value of the security.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 2642

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.51.6.15 TransferCurrency <TrfCcy>

Presence: [0..1]

Definition: Currency to be used to transfer the holdings. Some transfer agents register holdings grouped by currency in addition to using the ISIN for multi-currency fund shares.

Impacted by: C3 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyCode" on page 2648

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.1.51.6.16 OwnAccountTransferIndicator <OwnAcctTrfInd>

Presence: [0..1]

Definition: Indicates whether the transfer results in a change of beneficial owner.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 2761):

- *Meaning When True:* Yes
- *Meaning When False:* No

65.1.51.6.17 NonStandardSettlementInformation <NonStdSttlmInf>

Presence: [0..1]

Definition: Additional specific settlement information for the fund.

Datatype: "Max350Text" on page 2764

65.1.51.6.18 ReceivingAgentDetails <RcvgAgtDtls>

Presence: [0..1]

Definition: Party that receives securities from the delivering agent via the place of settlement, for example, securities central depository.

ReceivingAgentDetails <RcvgAgtDtls> contains the following elements (see "PartyIdentificationAndAccount156" on page 2075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[0..1]	±		2075
	AccountIdentification <AcctId>	[0..1]	Text		2075
	PlaceOfSettlement <PlcOfSttlm>	[1..1]	±		2075

65.1.51.6.19 DeliveringAgentDetails <DlvrgAgtDtls>

Presence: [0..1]

Definition: Party that delivers securities to the receiving agent at the place of settlement, for example, a central securities depository.

DeliveringAgentDetails <DlvrgAgtDtls> contains the following elements (see "PartyIdentificationAndAccount156" on page 2075 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PartyIdentification <PtyId>	[0..1]	±		2075
	AccountIdentification <AcctId>	[0..1]	Text		2075
	PlaceOfSettlement <PlcOfSttlm>	[1..1]	±		2075

65.1.51.6.20 TransferExpensesPaymentType <TrfExpnssPmtTp>

Presence: [0..1]

Definition: Specifies how the payment of fees and taxes as a result of the transfer is covered, that is, whether by cash or the redemption of units.

TransferExpensesPaymentType <TrfExpnssPmtTp> contains one of the following elements (see "ChargePaymentMethod1Choice" on page 1347 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1348
Or}	Proprietary <Prtry>	[1..1]	±		1348

65.1.52 Transfer Status

65.1.52.1 TransferCancellationStatus2

Definition: Transfer cancellation status is accepted or sent to next party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2621
	Reason <Rsn>	[0..1]	Text		2621

65.1.52.1.1 Status <Sts>

Presence: [1..1]

Definition: Status of the transfer cancellation is accepted or sent to next party.

Datatype: "CancellationStatus2Code" on page 2652

CodeName	Name	Definition
STNP	SentToNextParty	Cancellation instruction / request has been sent to the next party, ie, the next intermediary.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.

65.1.52.1.2 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the status.

Datatype: "Max350Text" on page 2764

65.1.52.2 TransferStatusType1Choice

Definition: Choice of formats for a type of status or event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2621
Or}	Proprietary <Prtry>	[1..1]	±		2622

65.1.52.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of event expressed as a code.

Datatype: "TransferStatusType1Code" on page 2742

CodeName	Name	Definition
S019	AccountHoldingInformationRequest	Status report is sent in response to an account holding information request.
BCEV	BenefitCrystallisationEvent	Status report advises one or more Benefit Crystallisation Events (BCE) have occurred.
DRAW	Drawdown	Status report advises one or more drawdowns.

CodeName	Name	Definition
PAYA	PaymentAdvice	Status report advises cash is or has been instructed.
S012	PortfolioTransferInstruction	Status report is sent in response to a portfolio transfer instruction.
S005	TransferInInstruction	Status report is sent in response to a transfer-in instruction.
S001	TransferOutInstruction	Status report is sent in response to a transfer-out instruction.
SETT	CSDorICSDSettlementInformation	Status report is sent to provide information required for a CSD or ICSD settlement leg.

65.1.52.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of event expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.3 TransferStatus3Choice

Definition: Choice of statuses for the status of a transfer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Status <Sts>	[1..1]			2623
	Status <Sts>	[1..1]	CodeSet		2623
	Reason <Rsn>	[0..1]	Text		2623
Or	PendingSettlement <PdgSttlm>	[1..1]	±		2623
Or	Unmatched <Umtchd>	[1..1]	±		2624
Or	InRepair <InRpr>	[1..1]	±		2624
Or	Rejected <Rjctd>	[1..10]	±		2624
Or	FailedSettlement <FaildSttlm>	[1..1]	±		2625
Or	Cancelled <Canc>	[1..1]	±		2625
Or	Reversed <Rvsd>	[1..1]	±		2625
Or}	CancellationPending <CxlPdg>	[1..1]	±		2626

65.1.52.3.1 Status <Sts>*Presence:* [1..1]*Definition:* Status of the transfer is received, accepted, sent to next party, matched, already executed, or settled.**Status <Sts>** contains the following **TransferInstructionStatus5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		2623
	Reason <Rsn>	[0..1]	Text		2623

65.1.52.3.1.1 Status <Sts>*Presence:* [1..1]*Definition:* Status code.*Datatype:* "TransferStatus6Code" on page 2742

CodeName	Name	Definition
PACK	Accepted	Transfer or settlement instruction has been acknowledged / accepted for further processing.
COSE	AlreadyExecuted	Transfer or settlement instruction is fully executed and the confirmation is sent.
COMP	Complete	Portfolio transfer is complete.
DELY	Delayed	Transfer or settlement instruction is delayed.
MACH	Matched	Transfer or settlement instruction is matched.
RECE	Received	Instruction has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
STNP	SentToNextParty	Transfer or settlement instruction has been sent to the next party, that is, the next intermediary.
SETT	Settled	Transfer or settlement instruction is settled.

65.1.52.3.1.2 Reason <Rsn>*Presence:* [0..1]*Definition:* Reason for the status.*Datatype:* "Max350Text" on page 2764**65.1.52.3.2 PendingSettlement <PdgSttlm>***Presence:* [1..1]*Definition:* Status of the transfer is pending settlement.

PendingSettlement <PdgSttlm> contains one of the following elements (see "PendingSettlementStatus3Choice" on page 2635 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	CodeSet		2636
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2638
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2638
Or}	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2638

65.1.52.3.3 Unmatched <Umtchd>

Presence: [1..1]

Definition: Status of the transfer is unmatched.

Unmatched <Umtchd> contains one of the following elements (see "TransferUnmatchedStatus3Choice" on page 2634 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2634
Or	Reason <Rsn>	[1..1]	CodeSet		2634
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2635
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2635

65.1.52.3.4 InRepair <InRpr>

Presence: [1..1]

Definition: Status of the transfer is in repair.

InRepair <InRpr> contains one of the following elements (see "InRepairStatus4Choice" on page 2633 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2633
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2634
Or}	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2634

65.1.52.3.5 Rejected <Rjctd>

Presence: [1..10]

Definition: Status of the transfer is rejected.

Rejected <Rjctd> contains the following elements (see "[RejectionReason32](#)" on page 2631 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2631
{Or	Code <Cd>	[1..1]	CodeSet		2631
Or}	Proprietary <Prtry>	[1..1]	±		2633
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2633

65.1.52.3.6 FailedSettlement <FaildSttlm>

Presence: [1..1]

Definition: Status of the transfer is failed settlement, that is, settlement in the International Central Securities Depository (ICSD) or Central Securities Depository (CSD) failed.

FailedSettlement <FaildSttlm> contains one of the following elements (see "[FailedSettlementStatus2Choice](#)" on page 2630 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2630
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2630
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2631

65.1.52.3.7 Cancelled <Canc>

Presence: [1..1]

Definition: Status of the transfer is cancelled.

Cancelled <Canc> contains one of the following elements (see "[CancelledStatus13Choice](#)" on page 2629 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2629
Or	Reason <Rsn>	[1..1]	CodeSet		2629
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2630
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2630

65.1.52.3.8 Reversed <Rvsd>

Presence: [1..1]

Definition: Status of the transfer is reversed.

Reversed <Rvsd> contains one of the following elements (see ["ReversedStatus2Choice"](#) on page 2628 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2628
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2628
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2629

65.1.52.3.9 CancellationPending <CxIPdg>

Presence: [1..1]

Definition: Status of the transfer is cancellation pending.

CancellationPending <CxIPdg> contains one of the following elements (see ["CancellationPendingStatus7Choice"](#) on page 2627 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2627
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2628
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2628

65.1.52.4 TransferReason1Choice

Definition: Choice between formats for a transfer reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2626
Or}	Proprietary <Prtry>	[1..1]	±		2627

65.1.52.4.1 Code <Cd>

Presence: [1..1]

Definition: Transfer reason expressed as an ISO 20022 code.

Datatype: ["TransferReason1Code"](#) on page 2740

CodeName	Name	Definition
TRAU	UndividedPossession	Transfer is an undivided possession.
TRAC	TransferBetweenSpouses	Transfer is between spouses.
TRAT	Trade	Transfer is a trade.
TRAO	OrdinaryTransfer	Transfer is ordinary.
TRAI	Heritage	Transfer is a heritage.
TRAG	Gift	Transfer is a gift.
TPLD	PledgedAccountTransfer	Transfer to pledged account (in certain circumstances distributors have to freeze

CodeName	Name	Definition
		and transfer total or partial holding to separate omnibus account e.g. investigation required by fiscal authority or police).
TTDT	TDTFrameworkTransfer	Transfer instruction executed within TDT framework (TDT framework is the operation model promoted by the Italian Banking Association for transfer and most of transfers, but not all, will be executed in accordance with that process).
TRPE	TransferBetweenDifferentBeneficiaries	Transfer between different beneficiaries with taxation to be applied (accounts with different owner).
TRAF	Demerger	Transfer is the result of a demerger or division.
TRAN	BetweenOwnAccounts	Transfer is between own accounts.

65.1.52.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Transfer reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification27](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[1..1]	Text		1653

65.1.52.5 CancellationPendingStatus7Choice

Definition: Reason for the cancellation pending status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2627
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2628
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2628

65.1.52.5.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the cancellation pending status.

Datatype: "[Max350Text](#)" on page 2764

65.1.52.5.2 DataSourceScheme <DataSrcSchme>*Presence:* [1..1]*Definition:* Proprietary identification of the reason for the cancellation pending status.**DataSourceScheme <DataSrcSchme>** contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.5.3 NoSpecifiedReason <NoSpcfdRsn>*Presence:* [1..1]*Definition:* Indicates that there is no reason available or to report.*Datatype:* "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.6 ReversedStatus2Choice*Definition:* Reason for the reversed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2628
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2628
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2629

65.1.52.6.1 Reason <Rsn>*Presence:* [1..1]*Definition:* Reason for the reversal status.*Datatype:* "[Max350Text](#)" on page 2764**65.1.52.6.2 DataSourceScheme <DataSrcSchme>***Presence:* [1..1]*Definition:* Proprietary identification of the reason for the reversed status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.6.3 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.7 CancelledStatus13Choice

Definition: Reason for the cancelled status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2629
Or	Reason <Rsn>	[1..1]	CodeSet		2629
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2630
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2630

65.1.52.7.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.7.2 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the cancelled status.

Datatype: "[CancelledStatusReason3Code](#)" on page 2653

CodeName	Name	Definition
CNTA	CancelledByTransferAgent	Transaction is cancelled by the transfer agent.
CNCL	CancelledByClient	Transaction is cancelled by the client.
CNIN	CancelledByIntermediary	Transaction is cancelled by the intermediary.

65.1.52.7.3 ExtendedReason <XtndedRsn>

Presence: [1..1]

Definition: Reason for the cancelled status.

Datatype: "Extended350Code" on page 2762

65.1.52.7.4 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the cancelled status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.8 FailedSettlementStatus2Choice

Definition: Reason for the failed settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2630
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2630
Or}	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2631

65.1.52.8.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the failed settlement status.

Datatype: "Max350Text" on page 2764

65.1.52.8.2 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the failed settlement status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.8.3 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "[NoReasonCode](#)" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.9 RejectionReason32

Definition: Information about a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2631
{Or	Code <Cd>	[1..1]	CodeSet		2631
Or}	Proprietary <Prtry>	[1..1]	±		2633
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2633

65.1.52.9.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason15Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2631
Or}	Proprietary <Prtry>	[1..1]	±		2633

65.1.52.9.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "[TransferRejectedStatusReason2Code](#)" on page 2740

CodeName	Name	Definition
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.

CodeName	Name	Definition
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the transfer as the investor holds other tax years as well.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.

65.1.52.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.52.9.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "[Max350Text](#)" on page 2764

65.1.52.10 InRepairStatus4Choice

Definition: Reason for the in repair status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	Text		2633
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2634
Or}	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		2634

65.1.52.10.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the in-repair status.

Datatype: "Max350Text" on page 2764

65.1.52.10.2 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the in-repair status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.10.3 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.11 TransferUnmatchedStatus3Choice

Definition: Reason for the unmatched status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		2634
Or	Reason <Rsn>	[1..1]	CodeSet		2634
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2635
Or}	DataSourceScheme <DataSrcSchme>	[1..1]	±		2635

65.1.52.11.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.11.2 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the unmatched status.

Datatype: "TransferUnmatchedReason2Code" on page 2743

CodeName	Name	Definition
CMIS	NoMatch	No potential match has been found.
CPCA	CounterpartyCancelled	Counterparty has cancelled the transaction/instruction.
DELN	TransactionDirection	Direction of transaction does not match: delivery instead of receipt or vice versa.
DSEC	FinancialInstrument	Financial instrument identification does not match or a financial instrument attribute differs.
PHYS	PhysicalSettlement	Settlement does not match: counterparty's instruction is for physical settlement, your instruction is not, or vice versa.
PODU	Duplicate	Instruction has not been matched: it is a possible duplicate instruction.
DEPT	SettlementPlace	Place of settlement does not match.
DDAT	SettlementDate	Settlement date or transfer date does not match.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity does not match.

65.1.52.11.3 ExtendedReason <XtndedRsn>

Presence: [1..1]

Definition: Reason for the unmatched status.

Datatype: "Extended350Code" on page 2762

65.1.52.11.4 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the unmatched status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "[GenericIdentification1](#)" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.12 PendingSettlementStatus3Choice

Definition: Reason for the pending settlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Reason <Rsn>	[1..1]	CodeSet		2636
Or	ExtendedReason <XtndedRsn>	[1..1]	Text		2638
Or	DataSourceScheme <DataSrcSchme>	[1..1]	±		2638
Or}	NoSpecifiedReason <NoSpctfdRsn>	[1..1]	CodeSet		2638

65.1.52.12.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the settlement pending status.

Datatype: "PendingSettlementStatusReason2Code" on page 2704

CodeName	Name	Definition
AWSH	AwaitingSharesFromCounterparty	Securities have not yet been received from the counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CAIS	AwaitingSecurities	Awaiting securities from corporate action issue or other procedures, for example, conversion, dematerialisation, exchange, registration, stamping, splitting, etc.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable securities in the counterparty's account, or counterparty does not hold the securities.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from the counterparty.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
IAAD	StatusReasonInvestigation	Pending reason is being investigated.
LACK	LackOfSecurities	Insufficient deliverable securities in your account.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
PHCK	PhysicalSecuritiesVerification	Physical shares have been received and are being checked for authenticity.
PHSE	PhysicalDeliveryDelay	Settlement is physical and securities are being delivered.
SBLO	SecuritiesBlocked	Settlement is pending. The securities are blocked due to, for example, a corporate action event, re-alignment.
MINF	MissingInformation	Information, for example, telephone number, contact person, is missing.
ACOP	AccountNotOpen	Account must already be opened in order to process the transfer.

CodeName	Name	Definition
IINV	UnderInvestigation	Intermediary is investigating the reason why the transfer is pending.
CINV	UnderClientInvestigation	Client has been informed of a problem and is investigating.
AINV	UnderTransferAgentInvestigation	Transfer agent is investigating.
WTRF	AwaitingTransferAgentStatus	Transfer is being processed by the transfer agent, awaiting status from transfer agent.
USUA	UsualTransactionTime	Usual transfer agent's processing timeframe.
ASTA	AwaitingStatus	At your request, the Intermediary is checking the status of your instruction with the transfer agent.
AFST	ApplicationFormSent	Application form has been sent to the transfer agent.
STST	StockTransferFormSent	Stock transfer form has been sent to the counterparty to sign and forward to the transfer agent.
LPRO	LongerProcessing	Longer processing timeframe for this type of transfer, due to documentation requirements.
ADRQ	AdditionalDocumentsRequested	Additional documents have been requested by the transfer agent.
ADS1	AdditionalDocumentsSent1	Additional documents have been sent.
ADS2	AdditionalDocumentsSent2	Upon request of the transfer agent, the intermediary has sent additional documents. The transfer agent has confirmed that all the necessary information is now in place.
DRJC	DocumentsRejected	Documents are rejected by the transfer agent.
CYIN	AwaitingCounterpartyReceipt	Counterparty has not sent an instruction to receive. Check with counterparty.
CYDV	AwaitingCounterpartyDelivery	Counterparty has not sent an instruction to deliver or delivered the shares. Check with counterparty.
OVER	NormalProcessingPeriodLapsed	Normal processing period for the instruction has lapsed. The transfer agent has been or will be contacted.
WCPA	WrongCounterpartyAccount	Wrong counterparty account details.
SDUT	LackOfStampDutyInformation	Instruction is pending as stamp duty information is missing.
TAPR	TransferAgentProcessing	Transfer is being processed by transfer agent.
XCNF	ExpectedConfirmation	Confirmation is expected from transfer agent.
ESCA	Escalation	Special follow-up is taking place.

CodeName	Name	Definition
NRCP	TransferAgentNonReceipt	Transfer agent claims non-receipt of physical shares.
FVER	FinalVerification	Confirmed but finality is to be verified.

65.1.52.12.2 ExtendedReason <XtndedRsn>

Presence: [1..1]

Definition: Reason for the settlement pending status.

Datatype: "Extended350Code" on page 2762

65.1.52.12.3 DataSourceScheme <DataSrcSchme>

Presence: [1..1]

Definition: Proprietary identification of the reason for the pending settlement status.

DataSourceScheme <DataSrcSchme> contains the following elements (see "GenericIdentification1" on page 1653 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1653
	SchemeName <SchmeNm>	[0..1]	Text		1653
	Issuer <Issr>	[0..1]	Text		1654

65.1.52.12.4 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Indicates that there is no reason available or to report.

Datatype: "NoReasonCode" on page 2699

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.1.52.13 CancelledCompleteReason1

Definition: Reason for a cancelled completed status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2638
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2639
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2639

65.1.52.13.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the cancelled complete status.

Reason <Rsn> contains one of the following **CancellationCompleteReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2639
Or}	Proprietary <Prtry>	[1..1]	±		2639

65.1.52.13.1.1 Code <Cd>

Presence: [1..1]

Definition: Cancelled complete reason expressed as a code.

Datatype: "CancelledStatusReason1Code" on page 2652

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Transaction is cancelled by the agent.

65.1.52.13.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cancelled complete reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification36" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.52.13.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the cancelled complete status reason.

Datatype: "Max350Text" on page 2764

65.1.52.14 RejectionReason33

Definition: Information about a rejected status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			2640
{Or	Code <Cd>	[1..1]	CodeSet		2640
Or}	Proprietary <Prtry>	[1..1]	±		2640
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		2640

65.1.52.14.1 Reason <Rsn>

Presence: [1..1]

Definition: Reason for the rejected status.

Reason <Rsn> contains one of the following **RejectedReason17Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		2640
Or}	Proprietary <Prtry>	[1..1]	±		2640

65.1.52.14.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejected reason expressed as a code.

Datatype: "CancellationRejectedReason1Code" on page 2651

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.

65.1.52.14.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejected reason expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification36](#)" on page 1645 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1645
	Issuer <Issr>	[1..1]	Text		1645
	SchemeName <SchmeNm>	[0..1]	Text		1645

65.1.52.14.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejected status reason.

Datatype: "Max350Text" on page 2764

65.1.52.15 TransferCancellationPendingStatus1

Definition: Transfer cancellation status is in pending.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[0..1]	Text		2641

65.1.52.15.1 Reason <Rsn>

Presence: [0..1]

Definition: Reason for the cancellation pending status.

Datatype: "Max350Text" on page 2764

65.2 Message Datatypes

65.2.1 Amount

65.2.1.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2647

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.2.1.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 2647

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.2.1.3 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2648

Format

minInclusive	0
totalDigits	18

fractionDigits 13

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.2.1.4 ActiveOrHistoricCurrencyAndAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveOrHistoricCurrencyCode" on page 2648

Format

minInclusive 0
totalDigits 18
fractionDigits 5

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

65.2.1.5 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive 0

totalDigits	18
fractionDigits	5

65.2.2 CodeSet

65.2.2.1 AcceptedStatusReason1Code

Definition: Specifies the reason for an accepted status.

Type: CodeSet

CodeName	Name	Definition
PLAC	PlaceNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the place.
SECT	SectorNotAllowed	Status of the account modification instruction is accepted but modification of the account data will not be carried out for the sector code.

65.2.2.2 AccountingStatus1Code

Definition: Specifies the accounting status.

Type: CodeSet

CodeName	Name	Definition
YDOM	Domestic	Account or party is regarded as domestic for reporting purposes.
NDOM	NotDomestic	Account or party is not regarded as domestic for reporting purposes.

65.2.2.3 AccountManagementStatus1Code

Definition: Specifies the status of an account management instruction.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	The account management instruction has been received and is acknowledged.
ACCP	Accepted	The account management instruction has been validated and accepted.
EXEC	ProcessingOngoing	The account management instruction is being processed and the confirmation will follow.
STNP	SentToNextParty	The account management instruction has been sent to the next party, eg, the next intermediary.

65.2.2.4 AccountManagementType2Code

Definition: Refer to an account management instruction ie, either an account opening instruction or an account modification instruction or a get account details message.

Type: CodeSet

CodeName	Name	Definition
ACCO	AccountOpening	Refers to an account opening instruction message.
ACCM	AccountModification	Refers to an account modification instruction message.
GACC	GetAccountDetails	Refers to a get account details message.

65.2.2.5 AccountManagementType3Code

Definition: Specifies the instruction for which an status is required.

Type: CodeSet

CodeName	Name	Definition
ACCM	AccountModification	Refers to an account modification instruction message.
ACCO	AccountOpening	Refers to an account opening instruction message.
GACC	GetAccountDetails	Refers to a get account details message.
ACST	AccountStatus	Refers to the account status.

65.2.2.6 AccountOpeningType1Code

Definition: Specifies the type of account to which an account opening request is related, eg, a new account.

Type: CodeSet

CodeName	Name	Definition
NEWA	NewAccountOpening	Account request is to open a new account.
SUPA	SupplementaryAccountOpening	Account request is to open a supplementary account, that is, based on an existing account.

65.2.2.7 AccountOwnershipType4Code

Definition: Specifies the type of account ownership.

Type: CodeSet

CodeName	Name	Definition
UNCO	Unincorporated	Account owner is an unincorporated partnership or is of sole proprietorship.
LIPA	LimitedPartnership	Account owner is a limited partnership or is of sole proprietorship.
ENTR	Entrepreneurial	Entrepreneurial account.

CodeName	Name	Definition
CORP	Corporation	Account owner is a corporate.
CUST	CustodialAccount	Minor's account, registered in the minor's name. Since the minor cannot legally own property, the custodian of the account maintains control of the account.
EURE	EUResidualEntity	Account owner is an entity located in the European Union which can be considered neither as a legal entity (for example, company, corporate), nor as an individual person, nor as a UCITS (Undertakings for Collective Investment of Transferable Securities) fund.
PART	FormalPartnership	Account owner is a contractual business organisation in which two or more individuals agree to pool their funds to manage and operate a business.
TRUS	FormalTrust	Account owner is an organisation which is engaged as a trustee for individuals or businesses in the administration of services such as stock transfer, registration, trust funds.
GOVO	GovernmentOrganisation	Account owner is a government organisation.
JOIT	JointAccount	Two or more individuals of legal age own shares of the same account.
COMO	JointAccountInCommon	Two or more individuals of legal age own shares of the same account. Upon the death of one of the account owners, the respective percentage of the ownership is transferred to the deceased's estate.
JOIN	JointAccountWithSurvivorshipRights	Two or more individuals own shares of the same account. Upon the death of one of the account owners, the entire account ownership is passed onto the surviving owners.
LLCO	LimitedLiabilityCompany	Account is for a limited liability company.
NOMI	Nominee	Account owner is a person or firm into whose name securities or other properties are transferred in order to facilitate transactions whilst leaving the customer as the actual owner.
NFPO	NonProfitOrganisation	Account owner is an association that is given tax-free status due to the nature of their activities such as charity organisation.
ONIS	OtherNonIndividual	Account owner is another type of non individual organisation.
RGIC	RegisteredInvestmentCompany	Account is for a registered investment company.
SIGL	SingleOwnerAccount	Account has only one individual owner.

65.2.2.8 AccountStatusUpdateInstruction1Code

Definition: Specifies the type of account status update instructed.

Type: CodeSet

CodeName	Name	Definition
CLOS	Close	Account is to be closed.
REAC	Reactive	Account is to be reactivated, any previous instruction to close the account is rescinded.

65.2.2.9 AccountStatusUpdateRequestReason1Code

Definition: Sepcifies the reason for a request for a change to an account status.

Type: CodeSet

CodeName	Name	Definition
CLOE	AccountClosureInstructionError	Previous account closure instruction was sent in error.

65.2.2.10 AccountUsageType2Code

Definition: Specifies the type of usage of the account.

Type: CodeSet

CodeName	Name	Definition
INVE	Investor	Investor.
ISSP	IssuingParticipant	Issuing participant.
SETP	SettlementParticipant	Settlement participant.
TRDP	TradingParticipant	Trading participant.

65.2.2.11 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

65.2.2.12 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

65.2.2.13 AddressType1Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.

65.2.2.14 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

65.2.2.15 AllOtherCash1Code

Definition: Specifies if remaining assets are transferred as cash.

Type: CodeSet

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	Remaining assets in a portfolio not listed for transfer should not be liquidated and transferred as cash.

65.2.2.16 AnnualChargePaymentType1Code

Definition: Specifies how a charge is to be deducted.

Type: CodeSet

CodeName	Name	Definition
CAPL	Capital	Annual charge is deducted from the fund capital.
INCO	Income	Annual charge is deducted from the fund income.

65.2.2.17 ApplicableRules1Code

Definition: Specifies applicable rules.

Type: CodeSet

CodeName	Name	Definition
NPRE	NotPreADay	Pre A Day rules do not apply.
YPRE	PreADay	Pre A Day rules applies.

65.2.2.18 BeneficiaryCertificationCompletion1Code

Definition: Specifies the type of certification completion required for a beneficiary.

Type: CodeSet

CodeName	Name	Definition
NCER	CertificationNotRequired	Certification is not required for this fund.
ELEC	ElectronicCertification	Electronic certification is acceptable.
PHYS	PhysicalCertification	Electronic certification is not acceptable and is required in physical form.

65.2.2.19 BeneficiaryType1Code

Definition: Specifies a type of beneficiary.

Type: CodeSet

CodeName	Name	Definition
DEPE	Dependent	Beneficiary is a dependent.
NOMI	Nominee	Beneficiary is a nominee.
SUCC	Successor	Beneficiary is a successor.

65.2.2.20 BestExecution1Code

Definition: Specifies whether best execution rules as defined in the EU MiFID directive were followed for a transaction.

Type: CodeSet

CodeName	Name	Definition
BTEX	Best	Best execution rules were followed.

65.2.2.21 BlockedReason2Code

Definition: Specifies the reason an account is blocked.

Type: CodeSet

CodeName	Name	Definition
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.

65.2.2.22 BusinessDayConvention1Code

Definition: Indicates how a date is adjusted when it falls on a non-business day.

Type: CodeSet

CodeName	Name	Definition
FWNG	Following	The date will be the first following day that is a business day.
PREC	Preceding	The date will be the first preceding day that is a business day.

65.2.2.23 BusinessFlowDirectionType1Code

Definition: Identifies the business flow, in order to determine the role of the parties in the business process. This indicator is very important when there are multiple intermediaries in the exchange of information process.

Type: CodeSet

CodeName	Name	Definition
ADLV	AssetstoBeDelivered	Assets to be delivered.
ARCV	AssetsToBeReceived	Assets to be received.

65.2.2.24 BusinessFlowType1Code

Definition: Identifies the business process in which the actors are involved. This is important to trigger the right business process, according to the market business model, which may require matching instructions in a CSD environment (double leg process) or not (single leg process).

Type: CodeSet

CodeName	Name	Definition
SLDP	SingleLegDeliveringParty	Single leg process initiated by the delivering party.
SLRP	SingleLegReceivingParty	Single leg process initiated by the receiving party.
DLPR	DoubleLegProcess	Double leg process.

65.2.2.25 CalculationBasis2Code

Definition: Specifies the calculation basis.

Type: CodeSet

CodeName	Name	Definition
AVER	Average	Average basis.
DAIL	Daily	Daily basis.
MNTH	Monthly	Monthly basis.
YEAR	Annual	Yearly basis.

65.2.2.26 CancellationRejectedReason1Code

Definition: Specifies the reason for a cancellation rejected status.

Type: CodeSet

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.

65.2.2.27 CancellationRight1Code

Definition: Specifies if the investor has the right to cancel an instruction or, if not, the reason the investor cannot cancel.

Type: CodeSet

CodeName	Name	Definition
VALI	Entitled	Investor is entitled to a "cooling off" period with cancellation rights under compliance rules.
NOXO	ExecutionOnly	Investor is not entitled to cancellation rights under compliance rules, as the investor is an execution's only client.

CodeName	Name	Definition
NOWA	WaiverAgreement	Investor is not entitled to cancellation rights under compliance rules as the investor has agreed to waive those rights.
NOIN	Institutional	Investor is not entitled to cancellation rights under compliance rules as the investor is a financial institution.

65.2.2.28 CancellationStatus2Code

Definition: Specifies the cancellation status.

Type: CodeSet

CodeName	Name	Definition
STNP	SentToNextParty	Cancellation instruction / request has been sent to the next party, ie, the next intermediary.
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.

65.2.2.29 CancelledStatusReason1Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANI	CancelledByYourself	Transaction is cancelled by yourself.
CANS	CancelledBySystem	Transaction is cancelled by the system.
CSUB	CancelledByAgent	Transaction is cancelled by the agent.

65.2.2.30 CancelledStatusReason2Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CANH	CancelledByHub	Transaction is cancelled by the hub.
CANP	CancelledByInstructingParty	Transaction is cancelled by the instructing party.
CXLR	EndOfLife	Transaction is rejected by the executing party, the rejection is final therefore the order is cancelled in the system.
CANO	CancelledByOther	Transaction is cancelled by a party other than the instructing party, eg, a market infrastructure such as a stock exchange.

65.2.2.31 CancelledStatusReason3Code

Definition: Specifies the underlying reason for cancellation of the associated transaction.

Type: CodeSet

CodeName	Name	Definition
CNTA	CancelledByTransferAgent	Transaction is cancelled by the transfer agent.
CNCL	CancelledByClient	Transaction is cancelled by the client.
CNIN	CancelledByIntermediary	Transaction is cancelled by the intermediary.

65.2.2.32 CardType1Code

Definition: Specifies the type of payment card.

Type: CodeSet

CodeName	Name	Definition
CRDT	CreditCard	Card where the holder has been granted a line of credit. The card enables the holder to make purchases and withdraw cash up to a pre-arranged ceiling.
DBIT	DebitCard	Card enabling the holder to have its purchases directly charged to its account. The card may also combine other functions, eg, cash card or cheque guaranteed card.

65.2.2.33 CashAccountType5Code

Definition: Specifies the type of cash account.

Type: CodeSet

CodeName	Name	Definition
LEND	Lending	Account is for the coverage of lending.
COLL	Collateral	Account is for collateral.
SETT	FinancialSettlement	Account is for financial settlements.
MARR	MarginReturn	Account is for margin returns.
SEGT	Segregated	Account is an segregated account.

65.2.2.34 CashAll1Code

Definition: Specifies if all assets are encashed and transferred as cash.

Type: CodeSet

CodeName	Name	Definition
LIQU	LiquidatedTransferAsCash	All assets in a portfolio should be liquidated and transferred as cash.
NLIQ	NotLiquidatedTransferAsCash	All assets in a portfolio should not be liquidated and transferred as cash.

65.2.2.35 CashAssetType1Code

Definition: Specifies a source of the cash asset.

Type: CodeSet

CodeName	Name	Definition
CSH2	CashInHolding	Cash is cash in the holding not yet invested.
CSH1	CashInvestment	Cash is attributed to a cash investment.

65.2.2.36 CertificateType2Code

Definition: Specifies the type of certificate.

Type: CodeSet

CodeName	Name	Definition
AMLC	AntiMoneyLaundering	Anti-money laundering certification form.
DVLC	DrivingLicense	Government issued form of picture identification - driving license.
DFOR	DueFormationAndOrganisation	Certification of due formation and organisation.
GOST	GoodStanding	Certificate of good standing.
IDEN	IdentityCard	Government issued form of picture identification - identity card.
INCU	Incumbency	Certification of incumbency.
LREF	LetterOfReference	Letter of reference.
PASS	Passport	Government issued form of picture identification - passport.
PRAD	ProofOfAddress	Proof of the address, such as a utility bill.
PKIC	PublicKeyInfrastructureCertificate	Public key infrastructure certificate.

65.2.2.37 ChargeBearer1Code

Definition: Specifies which party(ies) will pay charges due for processing of the payment transaction.

Type: CodeSet

CodeName	Name	Definition
OUR	BorneByDebtor	All transaction charges are to be borne by the debtor.
BEN	BorneByCreditor	All transaction charges are to be borne by the creditor.
SHA	Shared	Under the credit transfer scenario, transaction charges on the sender's side are to be borne by the debtor; transaction charges on the receiver's side are to be borne by the creditor.

65.2.2.38 ChargePaymentMethod1Code

Definition: Specifies how expenses are paid.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Payment is with cash.
UNIT	Unit	Units are redeemed to cover payment.

65.2.2.39 ChargeType12Code

Definition: Type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.
DISC	Discount	Charge that has been reduced from the standard initial charge levied by a fund, eg, during a launch period or as negotiated by a funds supermarket / discount broker.
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
POST	PostageCharge	Charge paid for the postage.
REGF	RegulatoryFee	Fee charged by a regulatory authority, eg, Securities and Exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Charges, drawdown, or other reduction from or in addition to the deal price.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.

65.2.2.40 ChargeType9Code

Definition: Specifies the type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
MANF	ManagementFee	Fee paid to an investment manager for services. The fee usually includes fund administration costs and investor relationship management. Typically, the amount paid is a percentage of the assets under management.
BEND	BackEndLoad	Sales charge paid by the investor when redeeming an investment such as an investment fund.

CodeName	Name	Definition
FEND	FrontEndLoad	Sales charge paid immediately by the investor when subscribing to an investment such as an investment fund.
ADVI	AdvisoryFee	Fee paid for advisory services rendered.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
PUBL	PublicationFee	Fee paid in respect of publications made.
ACCT	AccountingFee	Fee paid for accounting services rendered.
EQUL	Equalisation	The part of an investor's subscription amount that is held by the fund in order to pay incentive or performance fees at the end of the fiscal year.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.2.2.41 CivilStatus1Code

Definition: Specifies the civil status of a person.

Type: CodeSet

CodeName	Name	Definition
DIVO	Divorced	Person is divorced.
LDIV	LegallyDivorced	Person is legally divorced.
MARR	Married	Person is married.
SEPA	Separated	Person is separated.
SING	Single	Person is single.
UNIO	StableUnion	Person is in a stable union.
WIDO	Widow	Person is a widower.

65.2.2.42 ClosedStatusReason1Code

Definition: Specifies the reason for the closed status.

Type: CodeSet

CodeName	Name	Definition
ASIN	AccountServicerInstruction	Account is closed, following an instruction from the account servicer to close the account.
CLIN	ClientInstruction	Account is closed, following an instruction from the client, or an authorised party, to close the account.

65.2.2.43 ClosurePendingStatusReason1Code

Definition: Specifies the reason for the closure pending status.

Type: CodeSet

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
PEND	PendingConditions	Account will be closed when conditions are met.

65.2.2.44 Collateral1Code

Definition: Specifies whether the item is used as collateral.

Type: CodeSet

CodeName	Name	Definition
COLL	Collateral	Item is used as collateral.
NCOL	NotCollateral	Item is not used as collateral.

65.2.2.45 CommissionType6Code

Definition: Specifies the type of investment fund commission.

Type: CodeSet

CodeName	Name	Definition
FEND	FrontEndLoad	Commission for subscribing to an investment, paid at the time of the subscription.
BEND	BackEndLoad	Commission for redeeming an investment, when an investor redeems an investment fund within a certain period of time.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.

65.2.2.46 CommunicationMethod1Code

Definition: Communication method used for the transmission of documents.

Type: CodeSet

CodeName	Name	Definition
SWMT	SWIFTMT	Transmission by Swift (FIN).
SWMX	SWIFTMX	Transmission by SWIFTNET.
FAXI	Fax	Transmission by Fax.
EMAL	Email	Transmission by e-mail.
PROP	Proprietary	Transmission by proprietary method.

65.2.2.47 CompanyLink1Code

Definition: Specifies the type of links to a company.

Type: CodeSet

CodeName	Name	Definition
AGEN	Agent	Autonomous agent accredited by the broker.
BROK	Broker	Employee, operator or agent of the broker.
PART	BrokeragePartner	Brokerage partner or shareholder, individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.
MEMB	MemberBroker	Member broker of a financial conglomerate (person is a board member, director, officer and employee of a member company of the conglomerate).
PCOM	ParentCompany	Parent company of the broker.
RELA	Relative	Spouse or partner and child of an employee, operator or agent of the broker; agent of the broker; brokerage partners or shareholders; individual or entity who holds a direct or an indirect control function and the holder of a management or an administration position.

65.2.2.48 ConditionallyAcceptedStatusReason2Code

Definition: Specifies the reason for a conditionally accepted status.

Type: CodeSet

CodeName	Name	Definition
DOCC	AwaitingDocuments	Order is pending until documents are completed and received, eg, legal documents from the successor, legal proceeds because of bankruptcy, missing signature.
AWRM	AwaitingRedemptionMoney	Order is accepted for further processing but the execution of the order is pending until there are enough funds in the account to complete the redemption.
AWSM	AwaitingSubscriptionMoney	Order is accepted for further processing but the execution of the order is pending until there is enough cash in the account to pay the subscription.
DUPL	PossibleDuplicate	Order is accepted for further processing pending the result of the investigation for possible duplicates.
CRED	TradingLimitExceeded	Order is accepted for further processing pending the extension of the trading limit.

65.2.2.49 ConductClassification1Code

Definition: Specifies the classification of the conduct.

Type: CodeSet

CodeName	Name	Definition
NSTA	NonStandard	Non standard or unusual.
RCLT	Reluctant	Reluctant.
STAN	Standard	Standard.

65.2.2.50 ConfirmationCancellationReason1Code

Definition: Specifies the reason why a confirmation is cancelled.

Type: CodeSet

CodeName	Name	Definition
CSHN	CashNotReceived	Confirmation is cancelled because the cash has not been received.
REPL	ConfirmationReplacement	Confirmation is cancelled because it will be replaced.
BENA	IncorrectBackEndLoadAmount	Confirmation is cancelled because the back end load amount is incorrect.
CSHW	IncorrectCashAmount	Confirmation is cancelled because the cash amount is incorrect.
DISA	IncorrectDiscountAmount	Confirmation is cancelled because the discount amount is incorrect.
DISC	IncorrectDiscountAmountCurrency	Confirmation is cancelled because the discount amount has an incorrect currency.
EXCH	IncorrectExchangeRate	Confirmation is cancelled because the exchange rate is incorrect.
FEEE	IncorrectFeeOrCommission	Confirmation is cancelled because a fee or commission amount is incorrect.
DQUA	IncorrectFinancialInstrumentQuantity	Confirmation is cancelled because the financial instrument quantity is incorrect.
FENA	IncorrectFrontEndLoadAmount	Confirmation is cancelled because the front end load amount is incorrect.
GROA	IncorrectGrossAmount	Confirmation is cancelled because the gross amount is incorrect.
GROC	IncorrectGrossAmountCurrency	Confirmation is cancelled because the gross amount has an incorrect currency.
NETA	IncorrectNetAmount	Confirmation is cancelled because the net amount is incorrect.
NETC	IncorrectNetAmountCurrency	Confirmation is cancelled because the net amount has an incorrect currency.
OPER	IncorrectOperation	Confirmation is cancelled because of an incorrect operation.
MINI	IncorrectQuantityUnitsOrCash	Confirmation is cancelled because of an incorrect minimum traded quantity or cash amount.

CodeName	Name	Definition
DMON	IncorrectSettlementAmount	Confirmation is cancelled because the settlement amount is incorrect.
NCRR	IncorrectSettlementAmountCurrency	Confirmation is cancelled because the settlement amount has an incorrect currency.
DDAT	IncorrectSettlementDate	Confirmation is cancelled because the settlement date is incorrect.
SETS	IncorrectSettlementMethod	Confirmation is cancelled because the settlement method is incorrect.
DDEA	IncorrectTradeDate	Confirmation is cancelled because the trade date is incorrect.
REFE	InvalidReference	Confirmation contains an invalid business reference.

65.2.2.51 ConsolidationType1Code

Definition: Specifies the consolidation type.

Type: CodeSet

CodeName	Name	Definition
GENL	General	Consolidation is general.
PART	Participation	Consolidation is at the level of the participant.

65.2.2.52 CorporateActionEventType1Code

Definition: Specifies the type of corporate action event.

Type: CodeSet

CodeName	Name	Definition
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
DVCA	CashDividend	Event is a distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. The shareholder must take cash and is not offered a choice in the form of distribution.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose

CodeName	Name	Definition
		the form of payment - stock, cash, or both.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
LIQU	Liquidation	Event is a liquidating dividend or liquidation that consists of a distribution of cash, assets, or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. The security holder may be able to choose the form of liquidation distribution.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of

CodeName	Name	Definition
		a tender offer is to take control of the target company.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
RHDI	RightsDistribution	Event is the distribution of rights to shareholders, in proportion to their equity holding.
EXRI	CallOnRights	Event is a call or exercise on nil-paid securities or rights resulting from a rights distribution (RHDI). This is to be used for the second event in those cases where the rights issue is dealt with in two events, the first event being the rights distribution (RHDI).
CHAN	Change	Event is a generic change. For example, a change in the terms of an issue, a change in the identification of a security, change of a board lot, a change from global to definitive.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a financial instrument is restated, eg, the debt in a national currency is restated in euro.
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXOF	ExchangeOffer	Event is an exchange offer or capital reorganisation. It is an offer to shareholders to exchange their holdings for other securities and/or cash. Exchange offers are usually voluntary involving the exchange of outstanding security for a different security or securities and/or cash.
DECR	DecreaseInValue	Event is a reduction of the share capital and face value of a single share. The number of the circulating shares remains unchanged. It may include a capital payout to shareholder.

65.2.2.53 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

65.2.2.54 CreditDebit3Code

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

65.2.2.55 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

65.2.2.56 CRSFormType1Code

Definition: Specifies the type of Common Reporting Standard (CRS) form.

Type: CodeSet

CodeName	Name	Definition
CER4	CertificateIdentificationGovernment	Certificate of Identification issued by a government.
CER3	CertificateOfResidence	Certificate of residence.
CER5	OfficialDocumentGovernmentForEntity	Official documentation issued by a government for the entity.
CER6	OtherDocumentOrReport	Financial statement, third party credit report, bankruptcy filing or a securities regulator report.
CER8	PublicInformationEntity	Publicly available information for the entity.
CER1	SelfCertificationSeparateDocument	Self certification as a separate document.
CER2	SelfCertificationWithAccountOpeningContract	Self certification as a document included into contract of the account opening.

CodeName	Name	Definition
CER7	Standardised	Standardised industry coding system for the entity.

65.2.2.57 CRSSourceStatus1Code

Definition: Specifies the source of Common Reporting Standard (CRS) status.

Type: CodeSet

CodeName	Name	Definition
CALC	Calculated	Source of the Common Reporting Standard (CRS) status is calculated.
DECL	Declared	Source of the Common Reporting Standard (CRS) status is as declared by the investor.

65.2.2.58 CRSStatus1Code

Definition: Specifies the Common Reporting Status (CRS) status.

Type: CodeSet

CodeName	Name	Definition
C101	CRS101	Account holder type is a passive non-financial entity with one or more controlling persons that are foreign persons.
C102	CRS102	Account holder type is a foreign person.
C103	CRS103	Account holder type is a passive foreign non-financial entity without controlling persons that are foreign persons.
C104	CRS104	Account holder type is a financial institution such as a depository, a custodial institution or a specified insurance company.
C105	CRS105	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution without one or more controlling persons that are foreign persons.
C106	CRS106	Account holder type is a financial institution such as an investment entity of a non-participating jurisdiction and managed by another financial institution with one or more controlling persons that are foreign persons.
C107	CRS107	Account holder type is a financial institution such as another investment entity.
C108	CRS108	Account holder type is an active non-financial entity such as a corporation for which the stock is regularly traded on an established securities market.

CodeName	Name	Definition
C109	CRS109	Account holder type is an active non-financial entity such as a corporation which is a related entity of a corporation for which the stock is regularly traded on an established securities market.
C110	CRS110	Account holder type is an active non-financial entity such as a government entity.
C111	CRS111	Account holder type is an active non-financial entity such as a central bank.
C112	CRS112	Account holder type is an active non-financial entity such as an international organisation.
C113	CRS113	Account holder type is not a foreign person.
C114	CRS114	Account holder type is a financial institution such as an investment entity of a participating jurisdiction and managed by another financial institution.

65.2.2.59 CurrencyDesignation1Code

Definition: Specifies whether a currency is settled offshore or onshore.

Type: CodeSet

CodeName	Name	Definition
ONSH	Onshore	Onshore.
OFFS	Offshore	Offshore.

65.2.2.60 DataModification1Code

Definition: Specified the type of modification to be applied on a data set.

Type: CodeSet

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
UPDT	UpdateDataSetDetails	Update the details of an existing set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.2.2.61 DataModification2Code

Definition: Specified the type of modification to be applied on a data set.

Type: CodeSet

CodeName	Name	Definition
INSE	InsertNewDataSet	Insert a new set of data.
DELT	DeleteDataSet	Delete an existing set of data.

65.2.2.62 DeliveryReceiptType2Code

Definition: Specifies how the transaction is to be settled.

Type: CodeSet

CodeName	Name	Definition
FREE	SeparateSettlement	Settlement of the financial instrument and cash is separate.
APMT	AgainstPaymentSettlement	Settlement of the financial instrument and cash takes place in a delivery versus payment (DVP) environment, that is, through an International Central Securities Depository (ICSD) or Central Securities Depository (CSD).

65.2.2.63 DisabledReason2Code

Definition: Specifies the reason an account is disabled.

Type: CodeSet

CodeName	Name	Definition
CLOS	AccountClosingInProgress	Account closing is in process.
BKRP	Bankruptcy	Bankruptcy.
CMMT	Commitment	Commitment.
CNFS	Confiscation	Confiscation.
MORT	MortisCausa	Reserved in contemplation of death.
PCOM	PendingComplianceVerification	Pending compliance verification.
PLDG	Pledged	Pledged.
TRPE	Reregistration	Reregistration.
SANC	Sanctions	Sanctions are in place.
TRAN	Transfer	Transfer.
REJT	Rejected	Account was pending opening but is now rejected.

65.2.2.64 DistributionPolicy1Code

Definition: Specifies if income is to be paid out (distributed) or retained (accumulated).

Type: CodeSet

CodeName	Name	Definition
DIST	Distribution	Income is distributed to the investors in the fund.
ACCU	Accumulation	Income is added to the capital of the fund.

65.2.2.65 DividendPolicy1Code

Definition: Specifies the dividend policy of the financial instrument.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Dividend is paid in cash.
UNIT	Units	Dividend is paid in units.
BOTH	Both	Dividend is paid in both Cash and Units.

65.2.2.66 DrawdownEventType1Code

Definition: Specifies a type of drawdown event.

Type: CodeSet

CodeName	Name	Definition
DRAW	Drawdown	Event is a drawdown.
UFPL	UncrystallisedFundsPensionLumpSum	Event is an uncrystallised funds pension lump sum (UFPLS).

65.2.2.67 DrawdownStatus1Code

Definition: Specifies a drawdown status.

Type: CodeSet

CodeName	Name	Definition
FULL	Full	Pension is fully in drawdown.
NONE	None	Pension is not in drawdown.
PART	Partial	Pension is in partial drawdown.

65.2.2.68 DrawdownType1Code

Definition: Specifies a type of drawdown.

Type: CodeSet

CodeName	Name	Definition
CAPP	CappedIncomeDrawdown	Drawdown type is capped.
FLEX	FlexibleAccessDrawdown	Drawdown type is flexi-access.

65.2.2.69 Eligible1Code

Definition: Specifies whether the item is eligible or not eligible.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Item is eligible.
NELI	NotEligible	Item is not eligible.

65.2.2.70 EnabledStatusReason1Code

Definition: Specifies the reason for an enabled status.

Type: CodeSet

CodeName	Name	Definition
MODI	Modified	Modification to the account data is in process.

65.2.2.71 EUCapitalGain2Code

Definition: Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

Type: CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

65.2.2.72 EUDividendStatus1Code

Definition: Specifies whether the dividend is in the scope of the European directive on taxation of savings income in the form of interest payments.

Type: CodeSet

CodeName	Name	Definition
DIVI	DividendInScope	Dividend is in the scope of the directive.
DIVO	DividendOutScope	Dividend is out of the scope of the directive.
UKWN	DividendUnknown	Unknown whether the dividend is in or out of the scope of the directive.

65.2.2.73 EUSavingsDirective1Code

Definition: Indicate whether or not the fund is subject to reporting under the EU Savings Directive. Enter "Variable" if the status of the fund is likely to change from time to time.

Type: CodeSet

CodeName	Name	Definition
EUSI	EUSavingsInScope	Investment Fund is in the scope of the directive.
EUSO	EUSavingsOutScope	Investment Fund is out of the scope of the directive.
VARI	EUSavingsVariable	Investment Fund may vary for inclusion in the scope of the directive.

65.2.2.74 EventFrequency10Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.

65.2.2.75 EventFrequency1Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.

65.2.2.76 EventFrequency5Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
MNTH	Monthly	Event takes place every month or once a month.
WEEK	Weekly	Event takes place once a week.

CodeName	Name	Definition
DAIL	Daily	Event takes place every day.
CLOS	Closed	Event cannot take place, because, for example, the fund is closed.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TWMN	TwiceAMonth	Event takes place two times a month.

65.2.2.77 EventFrequency8Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
ADHO	Adhoc	Event takes place as necessary.
YEAR	Annual	Event takes place every year or once a year.
DAIL	Daily	Event takes place every day.
FOMN	EveryFourMonths	Event takes place every four months or three times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
TYEA	EveryTwoYears	Event takes place every two years.
INDA	IntraDay	Event takes place several times a day.
MNTH	Monthly	Event takes place every month or once a month.
ONDE	OnDemand	Event takes place on demand.
OVNG	Overnight	Event takes place overnight.
QUTR	Quarterly	Event takes place every three months or four times a year.
SEMI	SemiAnnual	Event takes place every six months or two times a year.
TWMN	TwiceAMonth	Event takes place two times a month.
WEEK	Weekly	Event takes place once a week.

65.2.2.78 EventFrequency9Code

Definition: Specifies the regularity of an event.

Type: CodeSet

CodeName	Name	Definition
YEAR	Annual	Event takes place every year or once a year.

CodeName	Name	Definition
SEMI	SemiAnnual	Event takes place every six months or two times a year.
QUTR	Quarterly	Event takes place every three months or four times a year.
TOMN	EveryTwoMonths	Event takes place every two months.
MNTH	Monthly	Event takes place every month or once a month.
TWMN	TwiceAMonth	Event takes place two times a month.
TOWK	EveryTwoWeeks	Event takes place every two weeks.
WEEK	Weekly	Event takes place once a week.
DAIL	Daily	Event takes place every day.
ADHO	Adhoc	Event takes place as necessary.
INDA	IntraDay	Event takes place several times a day.
OVNG	Overnight	Event takes place overnight.
ONDE	OnDemand	Event takes place on demand.
NONE	None	Event does not take place.

65.2.2.79 ExternalAccountIdentification1Code

Definition: Specifies the external account identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

65.2.2.80 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

65.2.2.81 FATCAFormType1Code

Definition: Specifies the type of Foreign Account Tax Compliance Act (FATCA) form.

Type: CodeSet

CodeName	Name	Definition
CER5	CertificateForeignIntermediary	Certificate of foreign intermediary (also known as IRS form W-8IMY).
CER7	CertificateForeignPersonsClaim	Certificate of foreign person's claim that income is effectively connected with the conduct of a trade or business in the United States (also known as IRS form W-8BEN-E).
CER1	CertificateForeignStatusBeneficialOwner	Certificate of foreign status of beneficial owner (also known as IRS form W-8BEN).
CER2	CertificateLossNationality	Certificate of loss of nationality.
CER3	SelfCertification	Self certification.
CER4	SelfCertificationLossNationality	Self certificate of loss of nationality (also known as IRS form I-407).
CER6	TaxpayerIdentificationNumberRequest	Form is a request for taxpayer identification number and certification (also known as IRS form W9).

65.2.2.82 FATCAsourceStatus1Code

Definition: Specifies the source of Foreign Account Tax Compliance Act (FATCA) status.

Type: CodeSet

CodeName	Name	Definition
CALC	Calculated	Source of the Foreign Account Tax Compliance Act (FATCA) status calculated.
DECL	Declared	Source of the Foreign Account Tax Compliance Act (FATCA) status is as declared by the investor.

65.2.2.83 FATCAStatus1Code

Definition: Specifies the Foreign Account Tax Compliance Act (FATCA) status.

Type: CodeSet

CodeName	Name	Definition
F101	FATCA101	Account holder type is an owner-documented financial institution with specified US owner(s).
F102	FATCA102	Account holder type is a passive non-financial entity with substantial US owner(s).
F103	FATCA103	Account holder type is a non-participating financial institution.

CodeName	Name	Definition
F104	FATCA104	Account holder type is a specified US person.
F105	FATCA105	Account holder type is a direct reporting non-financial foreign entity (NFFE).
F201	FATCA201	Account pool reporting type is a recalcitrant account holder with US indicia.
F202	FATCA202	Account pool reporting type is a recalcitrant account holder without US indicia.
F203	FATCA203	Account pool reporting type is a dormant account.
F204	FATCA204	Account pool reporting type is a non-participating foreign financial institution.
F205	FATCA205	Account pool reporting type is a recalcitrant account holder that is a US person.
F206	FATCA206	Account pool reporting type is recalcitrant account holder that is a passive non-financial foreign entity (NFFE).

65.2.2.84 FinancialAdvice1Code

Definition: Specifies if advice has been received from an independent financial advisor.

Type: CodeSet

CodeName	Name	Definition
RECE	Received	Advice has been received from an independent financial advisor.
NREC	NotReceived	Advice has not been received from an independent financial advisor.
UKWN	Unknown	It is not known whether or not advice has been received from an independent financial advisor.

65.2.2.85 FlowDirectionType1Code

Definition: Specifies the direction of a cash flow.

Type: CodeSet

CodeName	Name	Definition
INCG	Incoming	Amount of money received.
OUTG	Outgoing	Amount of money paid out.

65.2.2.86 FormOfSecurity1Code

Definition: Form of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

65.2.2.87 FundCashAccount2Code

Definition: Specifies the cash account type.

Type: CodeSet

CodeName	Name	Definition
CASH	CashAccount	Cash account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.

65.2.2.88 FundCashAccount4Code

Definition: Specifies the account type.

Type: CodeSet

CodeName	Name	Definition
HEDG	HedgeFund	Hedge fund account.
CPFO	PensionFundOrdinary	Central Provident Fund (CPF) ordinary account.
CPFS	PensionFundSpecial	Central Provident Fund (CPF) special account.
SRSA	RetirementScheme	Supplementary Retirement Scheme (SRS) account.
CSDO	CSDOmnibusAccount	Account operated by a CSD in cross-CSD settlement context.
TOFF	TechnicalOffsetAccount	Account operated by a CSD in a direct holding market context.
ICSA	InterCSDAccount	Account operated by a CSD for settlement of securities legs for instructions involving internal CSDs.
CSDM	CSDMirrorAccount	Account operated by a CSD for mirroring positions.
CSDP	CSDParticipantAccount	Account owned by a CSD participant.
PPEN	PrivatePensionScheme	Private pension account.
CPEN	WorkplacePensionSchema	Workplace or company pension account.

65.2.2.89 FundIntention1Code

Definition: Specifies if the fund is intended for qualified investors.

Type: CodeSet

CodeName	Name	Definition
YQUA	Qualified	Qualified.
NQUA	NotQualified	Not qualified.

65.2.2.90 FundOrderType10Code

Definition: Specifies a type of investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
SUBS	Subscription	Investment fund order is a subscription.
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
REDM	Redemption	Investment fund order is a redemption.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

65.2.2.91 FundOrderType5Code

Definition: Specifies the category of the investment fund order.

Type: CodeSet

CodeName	Name	Definition
NSPN	NormalStaffPortion	That part of an investment fund order attributed to a normal staff portion.
NCPN	NormalClientPortion	That part of an investment fund order attributed to a normal client portion.
SWSP	StaffWithSavingsPlan	That part of an investment fund order attributed to a staff member with a savings plan.
CWSP	ClientWithSavingsPlan	That part of an investment fund order attributed to a client with a savings plan.

65.2.2.92 FundOrderType8Code

Definition: Specifies the category of the investment fund order.

Type: CodeSet

CodeName	Name	Definition
BEDB	BedAndBreakfast	Investment fund order is part of a bed and breakfast transaction.
INVP	InvestmentPlan	Investment fund order is related to an investment plan (only applicable to a subscription).
PREA	PreAdvice	Investment fund order is executed with specific pre-advice conditions.
RGSV	RegularSavingsPlan	Investment fund order is a regular savings plan (only applicable to a subscription).
RGSU	RegularSavingsPlanUpdate	Investment fund order is paying extra money into to an existing plan (only applicable to a subscription).
RDIV	ReinvestmentOfDividend	Investment fund order is a dividend reinvestment (only applicable to a subscription).
STAF	StaffOrder	Investment fund order is a staff order.
WIDP	Withdrawal	Investment fund order is for a withdrawal investment plan (only applicable to a redemption).

65.2.2.93 FundOwnership1Code

Definition: Specifies if all the shares are owned exclusively by the fund company.

Type: CodeSet

CodeName	Name	Definition
YALL	AllOwned	All shares owned.
NALL	NotAllOwned	Not all shares owned.

65.2.2.94 FundPaymentType1Code

Definition: Specifies a type of payment instrument.

Type: CodeSet

CodeName	Name	Definition
DRAF	BankersDraft	Payment instrument is a bankers draft.
CACC	CashAccount	Payment instrument is a cash account.
CHEQ	Cheque	Payment instrument is a cheque.
CRDT	CreditTransfer	Payment instrument is a credit transfer.
DDEB	DirectDebit	Payment instrument is a direct debit.
CARD	PaymentCard	Payment instrument is a payment card.

65.2.2.95 GateHoldBack1Code

Definition: Specifies the type of hold back on redemption proceeds.

Type: CodeSet

CodeName	Name	Definition
GATE	Gating	Gating of redemption proceeds.
HOLD	HoldBack	Hold back of redemption proceeds.

65.2.2.96 Gender1Code

Definition: Specifies the gender of a person.

Type: CodeSet

CodeName	Name	Definition
FEMA	Female	Individual is a female.
MALE	Male	Individual is a male.

Restricts

"GenderCode" on page 2677

65.2.2.97 GenderCode

Definition: Specifies the gender of a person.

Type: CodeSet

CodeName	Name	Definition
MALE	Male	Individual is a male.
FEMA	Female	Individual is a female.

Is restricted by

"Gender1Code" on page 2677

65.2.2.98 GeneralInvestmentAccountType1Code

Definition: Specifies a type of investment account.

Type: CodeSet

CodeName	Name	Definition
EQUI	ShareSavingsAccount	General shares or equity savings account.

65.2.2.99 GovernanceProcessType1Code

Definition: Specifies a type of governance process.

Type: CodeSet

CodeName	Name	Definition
BMIF	ComparableMiFID	Product governance procedure is comparable to MiFID II.
NINF	NoInformation	No information is requested from the issuer.

CodeName	Name	Definition
CMIF	NotMiFID	Product governance procedure is not in accordance with MiFID II.
AMIF	PursuantMiFID	Product governance procedure is pursuant to MiFID II.

65.2.2.100 HolderType1Code

Definition: Specifies a type of holder.

Type: CodeSet

CodeName	Name	Definition
TFEE	Transferee	Holder is the transferee party. The transferee party may also be known as the acquiring party.
TFOR	Transferor	Holder is the transferor party. The transferee party may also be known as the ceding party.

65.2.2.101 Holding1Code

Definition: Specifies how the holding is blocked.

Type: CodeSet

CodeName	Name	Definition
CERT	Certificate	Certificate.
NPRH	NonPartialHolding	Non partial holding.
PRTH	PartialHolding	Partial holding.

65.2.2.102 HoldingsPlanType1Code

Definition: Specifies the holdings plan of the assets to transfer.

Type: CodeSet

CodeName	Name	Definition
INVP	InvestmentPlan	Holding to be transferred belongs to an investment plan.
SWIP	SwitchPlan	Holding to be transferred belongs to a switch plan.
PLAR	WithdrawalPlan	Holding to be transferred belongs to a withdrawal plan.

65.2.2.103 HoldingTransferable1Code

Definition: Indicate whether or not registered investors are able to transfer some or all of their holdings to third parties.

Type: CodeSet

CodeName	Name	Definition
TRAL	TransferAllowed	Transfer is allowed.
TRNA	TransferNotAllowed	Transfer is not allowed.
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

65.2.2.104 IncomePreference1Code

Definition: Specifies the options for distribution of dividend income.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
DRIP	DividendReinvestment	Reinvestment of proceeds into securities.

65.2.2.105 IncomePreference2Code

Definition: Specifies the options for distribution of dividend income.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Distribution in cash.
SECU	Securities	Distribution in securities.

65.2.2.106 InformationDistribution2Code

Definition: Specifies how information is to be distributed.

Type: CodeSet

CodeName	Name	Definition
ELEC	Electronic	Electronic distribution.
NONE	NoDistribution	No distribution.
PAPR	Paper	Paper distribution.

65.2.2.107 InRepairStatusReason1Code

Definition: Specifies the reason for a In Repair status.

Type: CodeSet

CodeName	Name	Definition
COMA	CommercialAgreement	Order has been accepted and centralised before the cut off, but with different sales agreement than the one provided in the order.

65.2.2.108 Insurance1Code

Definition: Specifies the type of insurance.

Type: CodeSet

CodeName	Name	Definition
LIFE	LifeInsurance	Life insurance.
PDIS	PermanentDisabilityInsurance	Permanent disability insurance.

65.2.2.109 IntendedOrActual2Code

Definition: Specifies if a charge or cost is intended (ex ante) or actual (post ante).

Type: CodeSet

CodeName	Name	Definition
ANTE	ExAnte	Ex ante (intended).
POST	ExPost	Ex post (actual).

65.2.2.110 InvestmentAccountCategory1Code

Definition: Specifies the investment account category.

Type: CodeSet

CodeName	Name	Definition
MAND	MandateAccount	Account is fully managed by the financial institution, that is, an investor gives a 'mandate' to the financial institution to execute a mutually agreed investment strategy on its behalf.
RETA	RetailAccount	Account is a standard account managed by the investor. The investor issues instructions for the account to the financial institution.

65.2.2.111 InvestmentFundFee1Code

Definition: Specifies the type of service for which a fee is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
BRKF	BrokerageFee	Fee paid to a broker for services provided.
COMM	Commission	Amount paid out by the fund to a third party.
CDPL	CommissionDePlacement	Amount of cash due to an intermediary for selling a product, or services, to a third party.
CDSC	ContingentDeferredSalesCharge	Deferred sales charge.
CBCH	CorrespondentBankCharge	In investment funds, the charge of the correspondent bank for transferring money.

CodeName	Name	Definition
DLEV	DilutionLevy	In investment funds, a charge payable by the investor covering bid-offer spreads and dealing charges for the underlying investments. The dilution levy is paid to the fund for the benefit of other unit holders.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
INIT	InitialCharge	Charge paid at the time of the first subscription.
ADDF	AdditionalFee	Additional fee not covered by another fee type.
POST	PostageCharge	Charge paid for the postage.
PREM	Premium	In investment funds, pre-arranged addition to the trade amount based on the published net asset value.
CHAR	ServiceProvisionFee	Fee paid by the investor to a distributor/ intermediary or other service provider for the provision of financial services.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SWIT	Switch	In investment funds, charge related to a switch transaction.
UCIC	UCITSCommission	Charges paid by the investor to the fund company for subscription and redemption orders.
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
PENA	Penalty	Fee charged to the investor for early redemption of the fund.

65.2.2.112 InvestmentFundFee2Code

Definition: Type of service for which a charge is asked or paid.

Type: CodeSet

CodeName	Name	Definition
BEND	BackEndLoad	Fee paid by the investor to the fund or fund management company when redeeming.
FEND	FrontEndLoad	Fee paid by the investor to the fund or fund management company when subscribing.
TRAN	TransferFee	In investment funds, a fee charged for the transfer of ownership of an investment fund.
POST	PostageCharge	Charge paid for the postage.

CodeName	Name	Definition
REGF	RegulatoryFee	Fee charged by a regulatory authority, for example, securities and exchange fees.
SHIP	ShippingCharge	Charge for shipping, including the insurance of securities.
SPCN	SpecialConcessions	Fees, drawdown, or other reduction from or in addition to the deal price.

65.2.2.113 InvestmentFundMiFIDFee1Code

Definition: Specifies a type of cost or charge.

Type: CodeSet

CodeName	Name	Definition
FEND	GrossOneOffMaximumEntryCostNonAcquired	Gross one-off maximum entry cost not acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is a percentage of the amount to be invested. This fee is paid by the investor to the fund or fund management company. This is indicative and should be adapted by the distributor according to the agreement with the asset manager. In the European MiFID Template (EMT) v1, this is known as 'one-off entry cost' and exchange traded funds are excluded.
DIST	DistributionFee	Distribution fee. This fee is included in the total on going costs and is not included in the management fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07120 and 08060 and this a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).
GEAR	GearingCost	Financing costs related to borrowing for the purposes of gearing expressed as a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07135 and 08075.
FES3	GrossOneOffEntryCostStructured	Gross one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08010 and may be specified as an actual amount or a percentage of the reference value, EMT reference 08110, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of

CodeName	Name	Definition
		the product price by distributors that is out of control of the manufacturer.
FES2	GrossOneOffMaximumEntryCostStructured	<p>Gross maximum one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07020 and is the 'ask price' or 'fair value'. It may be specified as an actual amount or a percentage of the reference value, EMT reference 07150, and should only incorporate manufacturer costs (that is, the cost of the financial instrument) and distributor costs upfront when known by the manufacturer. It should, however, not include distribution fees added on top of the product price by distributors that are out of control of the manufacturer.</p> <p>In EMT v1, this is known as 'one-off entry cost' and should only incorporate manufacturer costs, that is the cost of the financial instrument, and not a distribution fee taken upfront .</p>
GOCF	GrossOngoingCosts	Gross on-going costs. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is a percentage of the NAV or the reference value, EMT reference 07150, of the financial product, expressed in annualised terms (rate of cost deduction to be applied). This includes management fees and distribution fees and is expressed as a percentage of the NAV of the financial instrument per annum.
GOCS	GrossOngoingCostsStructured	Gross on-going costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07100 and 08030 and is expressed as a percentage of the NAV or currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150.
INCF	IncidentalCosts	<p>Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) v2 reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110.</p> <p>In EMT v1, this is not supported.</p>

CodeName	Name	Definition
INCS	IncidentalCostsStructured	Fee that covers incidental costs for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07140 and 08080 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110. Includes performance fees and other costs.
MANF	ManagementFee	Management fee paid to an investment manager for services. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is a percentage of the NAV or reference value (EMT reference 07150 and 08110) of the financial product expressed in annualised terms (rate of cost deduction to be applied). These fees are included in total ongoing costs and are fees used by distributors to calculate retrocession fees.
MANS	ManagementFeeStructured	Management fee for a structured. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07110 and 08050 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150.
NETO	NetOneOffEntryCostStructured	Net one-off entry cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07025 and 08015 and is minus the upfront distribution fee. The cost reported is a net disclosure and therefore the upfront distributor fee is equal to the difference between the one-off entry cost (EMT reference 07020 and 08010) and the net one-off entry (EMT reference 07025 and 08010). In EMT v1, this is not supported.
OOES	OneOffExitCostPriorStructured	One-off exit cost prior to the recommended holding period (RHP). When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07090 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150.
BENS	OneOffExitCostStructured	One off exit cost for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08020 and

CodeName	Name	Definition
		when expressed as a percentage, this is a percentage of the reference value, EMT reference 08110.
ENAC	OneOffMaximumEntryCostAcquired	Maximum cost of the one-off entry fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07040 and is the subscription fees acquired to the fund. It is not included in the one off entry cost 07020. This maximum one-off entry cost acquired to the fund is specified as a percentage of the amount to be invested.
ENFX	OneOffMaximumEntryCostFixedAmountItaly	Maximum one-off entry cost fixed amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07030 and is a flat fee defined by the fund or fund management company. This is indicative and should be adapted by the distributor according to the relationship between the fund and the distribution. This cost is not taken into account in the gross one-off maximum entry cost (FEND), EMT reference 07020.
BEND	OneOffMaximumExitCost	Maximum cost of the one-off exit fee not acquired to the fund that could happen at a certain time during the life of the product. This is a percentage of the NAV. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07050.
EXAC	OneOffMaximumExitCostAcquired	Maximum cost of the one-off exit fee acquired to the fund. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07070. It is not included in the one off maximum exit cost EMT reference 07050. This is paid by the investor to the fund or fund management company. This is a percentage of the NAV.
ENBX	OneOffMaximumExitCostFixedAmountItaly	Maximum one-off exit cost, a maximum fixed amount per redemption. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07060 and is flat fee defined by the fund or fund management company. It is indicative and should be adapted distributor according to the relationship between the fund and the distribution. This cost is not taken into account in the one-off maximum exit cost EMT reference 07050.
PENO	OneOffTypicalExitCost	One-off typical exit current exit cost linked to the recommended holding period (RHP) or time to maturity. When used in reference to MiFID, this is in the scope of the European MiFID Template

CodeName	Name	Definition
		<p>(EMT) reference 07080 and are the 'normal' fees if the client holds the product until maturity or during the RHP.</p> <p>Example: a product with a 5 year maturity has exit costs of:</p> <ul style="list-style-type: none"> - 4% after 1 and 2 years - 3% after 3 years - 1% after 4 years - 0 at maturity <p>Then maximum exit cost: 4%.</p> <p>Typical cost : 0</p> <p>Typical cost : 0</p>
OTES	OneOffTypicalExitCostsStructured	One-off typical exit cost linked to the recommended holding period (RHP) for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07080 and may be specified as an actual amount or a percentage of the reference value, EMT reference 07150.
OCAS	OngoingCostsAccumulatedStructured	Sum of daily recurring product costs accumulated during the year until the ex post reference date. This is typically specified as an actual amount. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 08040.
PERF	PerformanceFee	<p>Performance fee. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07145 and 08085 and is a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied). This fee is included in the total incidental costs.</p> <p>In EMT v1, this is not supported.</p>
PERS	PerformanceFeeStructured	Performance fee for a structured product. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07145 and 08085 and is expressed as a percentage of the NAV or a currency and amount for an absolute quotation of the financial product in annualised terms related to the reference value, EMT reference 07150 and 08110. In EMT v1, this is not supported.

CodeName	Name	Definition
REFV	ReferenceValue	Reference value. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07150 and 08110 and is the percentage of the NAV of the financial product expressed in annualised terms (rate of cost deduction to be applied).
TRSF	TransactionCost	Cost associated with the transaction. When used in reference to MiFID, this is in the scope of the European MiFID Template (EMT) reference 07130 and 08070 and this is a percentage of the NAV or the reference value, EMT reference 07150 and 08110, of the financial instrument expressed in annualised terms (rate of cost deduction to be applied).

65.2.2.114 InvestmentFundPlanType1Code

Definition: Specifies a type of investment fund plan.

Type: CodeSet

CodeName	Name	Definition
INVP	SavingsInvestmentPlan	Savings investment plan.
SWIP	SwitchPlan	Switch plan.
WTHP	WithdrawalPlan	Withdrawal plan.

65.2.2.115 InvestmentFundRole2Code

Definition: Specifies the role played by an actor in an investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor

CodeName	Name	Definition
		and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
DIST	Distributor	Party that act as an wholesaler of funds.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
UCL1	UnderlyingClient1	Underlying client of the intermediary.
UCL2	UnderlyingClient2	Underlying client of underlying client 1.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.2.2.116 InvestmentFundRole6Code

Definition: Specifies the role of the party.

Type: CodeSet

CodeName	Name	Definition
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
DATP	DataProvider	Source of financial information. Provides financial news and data (for example, facts, statistics and analysis), for professional and individual investors through various media, for example, the Internet, magazines.
DIST	Distributor	Party that act as an wholesaler of funds.

CodeName	Name	Definition
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
FIAD	FinancialAdvisor	Party that supplies information and advice on investment products.
FIAG	FinalAgent	Financial institution that receives the payment transaction on behalf of an account owner, or other nominated party, and credits the account.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
FNBR	FundBroker	Party acting as an intermediary agent between an investor and a transfer agent for the subscription or redemption to a fund.
FTAG	FirstAgent	Financial institution that receives the payment transaction from the account owner, or other authorised party, and processes the instruction.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment, custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
INVE	InvestmentManager	Party that implements the investment strategy, ie, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal

CodeName	Name	Definition
		representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
REGI	Registrar	Party responsible for keeping track of the owners of securities. In the investment fund business, this party keeps the investor registry of the fund, and administers investor accounts and related records. It is appointed by the fund management company.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
TRAN	TransmittingAgent	Party that transmits the instruction, advice, notification or report.

65.2.2.117 InvestmentFundRole7Code

Definition: Specifies the role of the party.

Type: CodeSet

CodeName	Name	Definition
CONC	Concentrator	Party that acts as an aggregator of funds, also called a funds hub.
DIST	Distributor	Party that act as an wholesaler of funds.
FMCO	FundManagementCompany	Party that sets up a fund, decides upon the investment strategy, appoints agents, and is responsible for the promotion and the marketing of the fund. This party makes all the strategic decisions related to a fund.
INTR	Intermediary	Party that provides services to investors relating to financial products. These services may include some, or all of, provision of information and advice on products, placement of investment orders, transmission of payment,

CodeName	Name	Definition
		custody of assets, and the administration of rights and benefits. In the specific framework of investment funds industry, an intermediary may present information about funds to potential investors, and solicit orders for the fund. This intermediary may facilitate the transmission of the orders and information from/to the investors and/or other intermediaries. The intermediary receives commission from the Fund and/or fees from the investor.
PAYI	PayingAgent	Agent that executes the payment. In the context of the investment fund industry, the paying agent is the local legal representative of the fund. It may pay out dividends, and collects money for the purchase of funds when a client deals directly with the fund and/or when a client deals with bearer shares. It pays out the redemption of the fund, may distribute information about the fund, and provides legal information about the fund.
TRAG	TransferAgent	Party appointed by the fund management company. This party updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution.
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
CACO	CashCorrespondent	Financial institution that executes cash transfers on behalf of its clients.
FACT	FundAccountant	Party that keeps accounting records of the available assets and liabilities of the fund. This party calculates deal prices, the Net Asset Value (NAV) of the fund, and may provide fund performance and tax data.
INVE	InvestmentManager	Party that implements the investment strategy, ie, selects portfolio investments in accordance with the objectives and strategy in the fund's prospectus, and places orders to effect or liquidate selected investments in accordance with net flow of capital into or out of the fund.

CodeName	Name	Definition
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

65.2.2.118 InvestmentFundTransactionInType1Code

Definition: Specifies the type of investment fund transaction that results in a cash movement into a fund.

Type: CodeSet

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROI	CrossIn	Transaction is a cross-in.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.

65.2.2.119 InvestmentFundTransactionOutType1Code

Definition: Specifies the type of investment fund transaction that results in a cash movement out of a fund.

Type: CodeSet

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
INSP	InSpecie	Transaction is an InSpecie.
CROO	CrossOut	Transaction is a cross out.

65.2.2.120 InvestmentFundTransactionType1Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
ALLL	All	All types of transactions.
SELL	SecuritiesSale	Sale of securities.
BUYI	SecuritiesPurchase	Purchase of securities.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment

CodeName	Name	Definition
		(subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SUBS	Subscription	Transaction is a subscription to an investment fund.
REDM	Redemption	Transaction is a redemption of an investment fund.
CDEP	CashDeposit	Transaction is a cash deposit.
CWIT	CashWithdrawal	Transaction is a cash withdrawal.
DIVP	DividendPayment	Transaction is the payment of dividends and other proceeds.
CAEV	CorporationActionEvent	Transaction is the result of a corporate action.
CROI	CrossIn	Transaction is a cross-in.
CROO	CrossOut	Transaction is a cross out.
DIVI	Dividend	Transaction is a dividend.
INSP	InSpecie	Transaction is an InSpecie.
OTHR	Other	Transaction is another type of transaction.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
RDIV	ReinvestmentOfDividend	Transaction is a reinvestment of dividend.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.

65.2.2.121 InvestmentNeed1Code

Definition: Specifies an investment need.

Type: CodeSet

CodeName	Name	Definition
ESGO	EnvironmentalSocialCorporateGovernance	Investment need is for environmental social corporate governance.
ETHI	EthicalInvestment	Investment need is for ethical investment.
GRIN	GreenInvestment	Investment need is for green investment.
ISLB	IslamicBanking	Investment need is for Islamic banking.
NSPE	None	No specific need.
OTHR	Other	Other specific need.
YSPE	SpecificNeed	Specific investment need.

65.2.2.122 InvestorProfileStatus1Code

Definition: Specifies the status of the investor profile.

Type: CodeSet

CodeName	Name	Definition
DISA	Disabled	Status of the investor profile is disabled.
DISG	Disabling	Status of the investor profile is in the process of being disabled.
ENAB	Enabled	Status of the investor profile is enabled.
ENBG	Enabling	Status of the investor profile is in the process of being enabled.
ADMI	InAdmission	Status of the investor profile is in admission.
ANLY	InAnalysis	Status of the investor profile is in analysis.
NAPP	NotApproved	Status of the investor profile is not approved.
PSUS	PartiallySuspended	Status of the investor profile is partially suspended.
PEND	PendingApproval	Status of the investor profile is pending approval.
SUPS	Suspended	Status of the investor profile is suspended.

65.2.2.123 InvestorType2Code

Definition: Specifies the type of investor.

Type: CodeSet

CodeName	Name	Definition
BOT3	BothProfessionalPerSeAndElectiveProfessional	Investor is both professional per se and elective professional.
EPRO	ElectiveProfessional	Investor is an elective professional.

CodeName	Name	Definition
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.

65.2.2.124 InvestorType3Code

Definition: Specifies the type of investor.

Type: CodeSet

CodeName	Name	Definition
RETL	Retail	Investor is a retail investor.
PRF2	ProfessionalOrPerSe	Investor is professional or professional per se.
NEI1	NeitherProfessionalOrRetail	Investor is neither professional or retail.
BOT2	BothProfessionalAndRetail	Investor is both professional and retail.

65.2.2.125 KnowYourCustomerCheckType1Code

Definition: Specifies the type of Know Your Customer (KYC) or anti-money laundering due diligence to which the investor has been subjected.

Type: CodeSet

CodeName	Name	Definition
ENHA	Enhanced	Enhanced check, typically carried out on accounts that are considered high risk.
ORDN	Ordinary	Ordinary check, typically carried out on standard accounts.
SIMP	Simple	Simple check, typically carried out on accounts that have a low risk of anti-money laundering, such as an account owned by a financial institution.

65.2.2.126 LanguageCode

Definition: Specifies a language.

Type: CodeSet

Constraints

- **ValidationByTable**
Must be a valid terrestrial language.

65.2.2.127 LateReport1Code

Definition: Specifies whether a transaction report is late.

Type: CodeSet

CodeName	Name	Definition
LAT1	Late	The confirmation is late.

CodeName	Name	Definition
LAT2	LateBecausePartial	The confirmation is late because the trade was executed as a number of partials.

65.2.2.128 LegalStructureFinancialInstrument1Code

Definition: Specifies the legal structure of a financial instrument as defined in the scope of European MiFID Template (EMT) reference 00060.

Type: CodeSet

CodeName	Name	Definition
NUCI	NonUCITS	Legal structure is a not an Undertaking for Collective Investment in Transferable Securities (UCITS).
SFUN	StructuredFund	Legal structure is a structured fund.
SSEC	StructuredSecurity	Legal structure is a structured security.
UCIT	UCITS	Legal structure is an Undertaking for Collective Investment in Transferable Securities (UCITS).
EXTC	ExchangeTradedCommodity	Legal structure is an exchange traded commodity.
UCMM	UCITSMoneyMarketFund	Legal structure is an Undertaking for Collective Investment in Transferable Securities (UCITS) money market fund.
NUMM	NonUCITSMoneyMarketFund	Legal structure is a money market fund that is not an Undertaking for Collective Investment in Transferable Securities (UCITS).

65.2.2.129 LevelOfControl1Code

Definition: Specifies the level of control.

Type: CodeSet

CodeName	Name	Definition
TRAN	Transactions	Transactions are permitted on the account.
VIEW	View	Account may be viewed only.

65.2.2.130 Liability1Code

Definition: Specifies the party responsible for the liability.

Type: CodeSet

CodeName	Name	Definition
INVE	Investor	Investor is responsible.
BROK	Broker	Broker is responsible.

65.2.2.131 LumpSumType1Code

Definition: Specifies a type of lump sum.

Type: CodeSet

CodeName	Name	Definition
DEAB	DeathBenefit	Lump sum is a death benefit lump sum.
PCLS	PensionCommencement	Lump sum is a pension commencement lump sum.
UFPL	Uncrystallised	Lump sum is an uncrystallised fund pension lump sum.

65.2.2.132 MailType1Code

Definition: Specifies the type of mail service.

Type: CodeSet

CodeName	Name	Definition
AIRM	AirMail	Air mail.
ORDM	OrdinaryMail	Ordinary mail.
REGM	RegisteredMail	Registered Mail.

65.2.2.133 MessageRejectedReason1Code

Definition: Specifies the reason for a message rejected status.

Type: CodeSet

CodeName	Name	Definition
REFE	InvalidOrUnrecognisedReference	Request contains an invalid or unrecognised business reference.
NALO	NotAllowedRequest	Instructing party is not allowed to ask for a status for that specific order.

65.2.2.134 MoneyLaunderingCheck1Code

Definition: Specifies the status of money laundering identification procedures.

Type: CodeSet

CodeName	Name	Definition
PASS	Passed	Money laundering identification procedures have been carried out by the intermediary.
NOTC	NotChecked	Money laundering identification procedures have not been carried out.
EXEM	ExemptBelowLimit	Money laundering identification procedures have not been carried out because the transaction is below the prescribed limit.
CLMO	ClientMoneyTypeExempt	Money laundering identification procedures have not been carried out

CodeName	Name	Definition
		because of the transaction type, eg, switch, or because the transaction is being funded from an existing investor account held by the intermediary.
AUTH	AuthorisedCredit	Money laundering identification procedures have not been carried out because the investor is an authorised credit or financial institution.
POEP	PostalOrElectronicPaymentExempt	Limited money laundering identification procedures have been carried out because it is a one time only transaction and the payment is being made by postal, telephone or electronic transfers from an existing account with an authorised credit or financial institution.

65.2.2.135 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

65.2.2.136 NationalityCode

Definition: Specifies the country where a person was born or is naturalised.

Type: CodeSet

Constraints

- **ValidationByTable**

Must be a valid nationality.

65.2.2.137 NegotiatedTrade1Code

Definition: Specifies whether the trade is negotiated.

Type: CodeSet

CodeName	Name	Definition
NEGO	Negotiated	Trade is negotiated.
NNGO	NotNegotiated	Trade is not negotiated.
UNKW	Unknown	Whether the trade is negotiated or not is unknown.

65.2.2.138 NoCriteria1Code

Definition: Specifies that there is no criteria.

Type: CodeSet

CodeName	Name	Definition
NOCR	NoCriteria	No criteria.

65.2.2.139 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

65.2.2.140 OperationalStatus1Code

Definition: Specifies the operational status.

Type: CodeSet

CodeName	Name	Definition
ENAB	Enabled	Enabled.
SPEC	SpecialCircumstances	Special circumstances.

65.2.2.141 OrderCancellationStatus2Code

Definition: Specifies the current status of the order cancellation request.

Type: CodeSet

CodeName	Name	Definition
STNP	SentToNextParty	Order cancellation request has been sent to the next party, eg, the next intermediary.
RECE	Received	Status of the order cancellation request is received, that is, technical validation of the message is ok, and the message is now at the receiving side.
CANP	CancellationPending	Order cancellation request is pending. The order cancellation request has been received but this does not indicate the order has been cancelled.
CAND	CancellationCompleted	Status of the order cancellation request is completed. The cancellation request has been accepted and processed; the order has been cancelled.

65.2.2.142 OrderConfirmationStatus1Code

Definition: Specifies the current status of an order confirmation or of an order confirmation amendment.

Type: CodeSet

CodeName	Name	Definition
STNP	SentToNextParty	Order confirmation or order confirmation cancellation instruction or order confirmation amendment has been sent to the next party, eg, the next intermediary.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
COAC	ConfirmationAccepted	Order confirmation or order confirmation amendment is accepted.
CREC	ConfirmationReceived	Order confirmation or order confirmation amendment is received, ie, technical validation of the message is ok, and the message is now at the receiving side.

65.2.2.143 OrderOriginatorEligibility1Code

Definition: Specifies the type of the counterparties eligibility as defined by article 24 of the EU MiFID Directive applicable to transactions executed by investment firms for eligible counterparties.

Type: CodeSet

CodeName	Name	Definition
ELIG	Eligible	Eligible counterparty - MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some the protections afforded by conduct of business rules defined under MiFID.
RETL	Retail	Retail client - MiFID classification of counterparty. Retail customers are the least sophisticated level of investor.
PROF	Professional	Professional client - MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies.

65.2.2.144 OrderQuantityType2Code

Definition: Specifies how the order is placed, eg by quantity of units or by amount of money.

Type: CodeSet

CodeName	Name	Definition
UNIT	Unit	Order is placed by unit.
CASH	Amount	Order is placed by amount of money.

65.2.2.145 OrderStatus4Code

Definition: Specifies the current status of the order.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Order is accepted and is ready for execution (execution is the moment when pricing is applied).
COSE	AlreadyExecuted	Order is already executed and confirmation has been sent.
STNP	SentToNextParty	Order has been sent to the next party, eg, the next intermediary.
RECE	Received	Order has been received, ie, technical validation of the message is ok, and the message is now at the receiving side.
SETT	Settled	Order is settled. The cash is credited or debited.
CPNP	CommunicationProblemNextParty	Communication problems with the next party.
CNFC	ConfirmationAmendment	Order confirmation cancellation has been sent and will be followed by a confirmation amendment, or a confirmation amendment has been sent.
DONE	DoneForDay	Order has been partially filled, and is done for the day, ie, no further executions will take place today.
DONF	PartiallyDone	Order has been partially filled and will not be filled further.
OPOD	Open	Order is open.
IACO	InvalidAgentConfirmation	Order confirmation received from agent is invalid.

65.2.2.146 OrderWaiverReason1Code

Definition: Relates to non-standard orders, to handle them differently, probably in a manual fashion.

Type: CodeSet

CodeName	Name	Definition
LATE	LateTradeDealing	Dealing of the trade is outside the normal limit.
FEND	FrontEndLoadCharge	Front end load charge differs from PPM.
BMIN	BelowMinimumInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
CUTO	CutOffDate	Cut off date has been passed.
COMW	CommissionWaiver	Standard commission is waived.

65.2.2.147 OrganisationType1Code

Definition: Specifies a type of organisation.

Type: CodeSet

CodeName	Name	Definition
IFUN	InvestmentFund	Organisation is an investment fund.
PRIV	PrivateAssociation	Organisation is a private association.
PUBL	Public	Organisation is a public company.
PFUN	PublicFund	Organisation is a public fund.

65.2.2.148 OtherAmountType1Code

Definition: Type of other amount.

Type: CodeSet

CodeName	Name	Definition
PINT	PrepaidInterest	Interest that was pre-paid.
SINT	SettlementInterest	Interest on the interest.

65.2.2.149 OtherAsset1Code

Definition: Specifies a type of asset

Type: CodeSet

CodeName	Name	Definition
MOVE	MovableProperty	Property that is not real estate. Also known as 'movable chattels'.
PROP	Property	Property that is real estate.

65.2.2.150 PartyIdentificationType7Code

Definition: Specifies an alternative identification of a party, for example, national registration identification number, passport number, tax identification number.

Type: CodeSet

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
OTHR	Other	Another type of identification type.
PASS	PassportNumber	Number assigned by a passport authority to a passport.
POCD	PostOfficeCardNumber	Post office card number as assigned by a national post office.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.

CodeName	Name	Definition
SRSA	SRSAccountNumber	Supplementary retirement scheme account number.
GUNL	GunLicenseNumber	Gun license number as assigned by a gun licensing authority.
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.
CPFA	CPFAccountNumber	Account issued by the Central Provident Fund Board (Singapore).
AREG	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
NINV	NonResidentInvestor	Number that identifies the type of investor registered at a securities and exchange commission.
INCL	IndustryClassification	Number, typically assigned by a government to describe ownership, administrative and authoritative relations.
GIIN	GlobalIntermediaryIdentificationNumber	Global intermediary tax identification number.

65.2.2.151 PartyRole1Code

Definition: Specifies the role of the party in the transaction.

Type: CodeSet

CodeName	Name	Definition
CUST	Custodian	Party that safekeeps and administers assets on behalf of the owner.
INVS	Investor	Party, either an individual or organisation, whose assets are being invested.

65.2.2.152 PendingOpeningStatusReason1Code

Definition: Specifies the reason for a pending account opening status.

Type: CodeSet

CodeName	Name	Definition
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but account opening is still pending.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.

CodeName	Name	Definition
NOTO	NotOpened	Account is not yet open, even for partial use.
REST	Restrictions	Account will be opened when restrictions are addressed.
RIGH	RightsHolderMissing	Rights holder information is missing.

65.2.2.153 PendingSettlementStatusReason2Code

Definition: Specifies the reason for a transfer or settlement instruction settlement pending status.

Type: CodeSet

CodeName	Name	Definition
AWSH	AwaitingSharesFromCounterparty	Securities have not yet been received from the counterparty.
BLOC	AccountBlocked	Your account is blocked, no instruction can settle over the account.
CAIS	AwaitingSecurities	Awaiting securities from corporate action issue or other procedures, for example, conversion, dematerialisation, exchange, registration, stamping, splitting, etc.
CLAC	CounterpartyInsufficientSecurities	Insufficient deliverable securities in the counterparty's account, or counterparty does not hold the securities.
DOCC	AwaitingDocumentsOrEndorsementsFromCounterparty	Awaiting documents or endorsements from the counterparty.
DOCY	AwaitingDocumentsOrEndorsementsFromYou	Awaiting documents or endorsements from you.
IAAD	StatusReasonInvestigation	Pending reason is being investigated.
LACK	LackOfSecurities	Insufficient deliverable securities in your account.
LINK	PendingLinkedInstruction	Your instruction is pending settlement because the instruction linked to it is pending.
PHCK	PhysicalSecuritiesVerification	Physical shares have been received and are being checked for authenticity.
PHSE	PhysicalDeliveryDelay	Settlement is physical and securities are being delivered.
SBLO	SecuritiesBlocked	Settlement is pending. The securities are blocked due to, for example, a corporate action event, re-alignment.
MINF	MissingInformation	Information, for example, telephone number, contact person, is missing.
ACOP	AccountNotOpen	Account must already be opened in order to process the transfer.
IINV	UnderInvestigation	Intermediary is investigating the reason why the transfer is pending.
CINV	UnderClientInvestigation	Client has been informed of a problem and is investigating.

CodeName	Name	Definition
AINV	UnderTransferAgentInvestigation	Transfer agent is investigating.
WTRF	AwaitingTransferAgentStatus	Transfer is being processed by the transfer agent, awaiting status from transfer agent.
USUA	UsualTransactionTime	Usual transfer agent's processing timeframe.
ASTA	AwaitingStatus	At your request, the Intermediary is checking the status of your instruction with the transfer agent.
AFST	ApplicationFormSent	Application form has been sent to the transfer agent.
STST	StockTransferFormSent	Stock transfer form has been sent to the counterparty to sign and forward to the transfer agent.
LPRO	LongerProcessing	Longer processing timeframe for this type of transfer, due to documentation requirements.
ADRQ	AdditionalDocumentsRequested	Additional documents have been requested by the transfer agent.
ADS1	AdditionalDocumentsSent1	Additional documents have been sent.
ADS2	AdditionalDocumentsSent2	Upon request of the transfer agent, the intermediary has sent additional documents. The transfer agent has confirmed that all the necessary information is now in place.
DRJC	DocumentsRejected	Documents are rejected by the transfer agent.
CYIN	AwaitingCounterpartyReceipt	Counterparty has not sent an instruction to receive. Check with counterparty.
CYDV	AwaitingCounterpartyDelivery	Counterparty has not sent an instruction to deliver or delivered the shares. Check with counterparty.
OVER	NormalProcessingPeriodLapsed	Normal processing period for the instruction has lapsed. The transfer agent has been or will be contacted.
WCPA	WrongCounterpartyAccount	Wrong counterparty account details.
SDUT	LackOfStampDutyInformation	Instruction is pending as stamp duty information is missing.
TAPR	TransferAgentProcessing	Transfer is being processed by transfer agent.
XCNF	ExpectedConfirmation	Confirmation is expected from transfer agent.
ESCA	Escalation	Special follow-up is taking place.
NRCP	TransferAgentNonReceipt	Transfer agent claims non-receipt of physical shares.
FVER	FinalVerification	Confirmed but finality is to be verified.

65.2.2.154 PendingStatusReason1Code

Definition: Specifies the reason for a pending status.

Type: CodeSet

CodeName	Name	Definition
KYCM	KnowYourCustomerDocumentMissing	Know Your Customer (KYC) document is missing.
FRDM	FATCAReportingDateMissing	FATCA reporting date is missing.
RIGH	RightsHolderMissing	Rights holder information is missing.
ATHR	Authorisation	Authorisation is required.
ATHP	AuthorisedButPending	Authorisation is provided but the account change is still pending.
MODI	ModificationInProgress	Modification to the account data is in process.

65.2.2.155 PensionOrderType1Code

Definition: Specifies a type of order attached to a pension.

Type: CodeSet

CodeName	Name	Definition
EARM	Earmark	Pension policy, plan or scheme has been earmarked (set aside.)
SHAR	Sharing	Sharing order for the pension policy, plan or scheme has been issued by a court.

65.2.2.156 PensionSchemeType1Code

Definition: Specifies a type of pension scheme.

Type: CodeSet

CodeName	Name	Definition
AAVC	AdditionalVoluntaryContribution	Pension plan is an additional voluntary contribution plan.
DBEN	DefinedBenefit	Pension plan is a defined benefit plan.
EXPP	ExecutivePension	Pension plan is an executive pension plan.
FAVC	FreeStandingAdditionalVoluntaryContribution	Pension plan is a free standing additional voluntary contribution plan.
SIPG	GroupInvestedPension	Pension plan is a group-invested pension plan.
GPPS	GroupPersonalPensionScheme	Pension is a group personal pension scheme.
STKG	GroupStakeholder	Pension plan is a group stakeholder pension plan.

CodeName	Name	Definition
STKI	IndividualStakeholder	Pension plan is an individual stakeholder pension.
OCDC	OccupationalDirectContribution	Pension plan is an occupational direct contribution plan.
PPNS	PersonalPension	Pension is a personal pension plan.
REAN	RetirementAnnuity	Pension plan is a retirement annuity.
SC32	Section32	Pension type covered by section 32.
S32A	Section32A	Pension type covered by section 32A.
SIPP	SelfInvestedPension	Pension is a self-invested pension plan.
SSAS	SmallSelfAdministeredSchema	Pension is a small self-administered pension schema.
IPST	IndividualPensionWithTaxBenefit	Pension is an individual pension savings plan with a tax benefit. (Known as an Individual Pension Schema (IPS) in some markets.)
EPKA	PersonalPensionAccount	Pension is a personal pension account. (Known as Employee Pension Kapital (EPK) in some markets.)
ITPO	PrivateOccupationalPension	Pension is a private occupational pension. (Known as an industrial and trade supplementary pension (ITP) in some markets.)
OTPM	MandatoryOccupationalPension	Pension is a mandatory occupational pension. (Known as an Obligatory Occupation Pension (OTP) in some markets.)

65.2.2.157 PensionTransferScope1Code

Definition: Specifies the scope of a pension transfer.

Type: CodeSet

CodeName	Name	Definition
CRYS	CrystallisedPart	Transfer is for the crystallised portion.
SDDT	DrawdownTranche	Transfer is for a specific drawdown tranche.
FULP	FullPension	Transfer is for the full pension.
UCRY	UncrystallisedPart	Transfer is for the uncrystallised portion.

65.2.2.158 PersonIdentificationType7Code

Definition: Specifies a type of tax reference.

Type: CodeSet

CodeName	Name	Definition
ATIN	AdoptionTaxpayerIdentificationNumber	Temporary tax processing number (used in the domestic adoption of a child).

CodeName	Name	Definition
GTIN	GenericTaxIdentificationNumber	Generic tax identification number.
ITIN	IndividualTaxpayerIdentificationNumber	Tax processing number.

65.2.2.159 PhysicalTransferType1Code

Definition: Specifies whether the financial instrument is to be physically delivered or is a dematerialised transfer.

Type: CodeSet

CodeName	Name	Definition
DEMT	Dematerialised	Dematerialised transfer.
PHYS	Physical	Physical transfer.

65.2.2.160 PlanStatus1Code

Definition: Specifies the status of a plan.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Plan is active.
CLOS	Closed	Plan is closed.
SUSP	Suspended	Plan is suspended.

65.2.2.161 PoliticalExposureType1Code

Definition: Specifies the political exposure type.

Type: CodeSet

CodeName	Name	Definition
NPEX	NoPoliticalExposure	No political exposure.
PEXF	PoliticalExposureForeign	Political exposure type is foreign.
PEXD	PoliticalExposureDomestic	Political exposure type is domestic.

65.2.2.162 PortfolioWithdrawalReason1Code

Definition: Specifies a reason for a withdrawal from the investment product.

Type: CodeSet

CodeName	Name	Definition
FTRS	FirstTimeResidentialProperty	Withdrawal is for a first time residential property.

65.2.2.163 PositionEffect3Code

Definition: Specifies the order in which events are processed.

Type: CodeSet

CodeName	Name	Definition
FIFO	Fifo	First in, first out. Results in a position obtained after having sold in priority the securities bought chronologically.
LIFO	Lifo	Last in, last out. Results in a position obtained after having sold in priority the securities bought chronologically.

65.2.2.164 PriceMethod1Code

Definition: Specifies the type of pricing calculation method.

Type: CodeSet

CodeName	Name	Definition
FORW	ForwardPrice	Price will be calculated after the close of the dealing period. An investor, therefore, does not know the exact buy or sell price.
HIST	HistoricalPrice	Price is calculated at an earlier valuation point preceding the dealing period. An investor, therefore, knows the exact buy or sell price.

65.2.2.165 PriceReportFunction1Code

Definition: Specifies the function of the price report.

Type: CodeSet

CodeName	Name	Definition
REPL	CompleteReplacementPriceReport	The price report conveys the correction of the complete set of prices previously sent for the same price report identification.
NEWP	NewPriceReport	The price report is 'new', that is the report is not being used as a correction of previously sent prices.
PART	PartialCorrectionPriceReport	The price report conveys the correction of some of the prices previously sent for the same price report identification.

65.2.2.166 PriceSource1Code

Definition: Indicates the source of a price quotation.

Type: CodeSet

CodeName	Name	Definition
FUND	Fund	Source of price quotation is a fund, eg, transfer agent, fund itself.
THEO	Theoretical	Source of price quotation is a theoretical value based on the market yield.
VEND	Vendor	Source of price quotation is an external vendor.

65.2.2.167 PriceValueType2Code

Definition: Specifies a type of value of the price.

Type: CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

65.2.2.168 ProfileType1Code

Definition: Specifies the type of profile.

Type: CodeSet

CodeName	Name	Definition
HEDG	Hedge	Investor shares that uses defensive operations (hedging), thus avoiding the risk of large swings.
HFTR	HighFrequencyTrader	Investors operating through algorithms and send orders automatically according to the strategies defined by their managers. Through this access model, the participants of these servers are hosted primarily within the premises of Brazilian Market and significantly reduce the time of submission of offers to trading systems.
MAKE	MarketMaker	Agent liquidity, liquidity facilitator, promoter of business, specialist, market maker and liquidity provider are some designations given to those who propose to provide liquidity and minimum reference price for assets previously accredited, factors highlighted in the analysis of efficiency in capital markets.
TREA	Treasury	Trading system of federal securities in the retail market directly to the investor. The operation can be performed directly over the Internet.

65.2.2.169 ProformaStatusReason1Code

Definition: Specifies the reason for proforma status.

Type: CodeSet

CodeName	Name	Definition
MODI	ModificationInProgress	Modification to the account data is in process.

CodeName	Name	Definition
RIGH	Rights	Account opening is waiting for rights holder information.

65.2.2.170 Provided1Code

Definition: Specifies whether items have been provided.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotProvided	Not provided.
PROV	Provided	Provided.

65.2.2.171 QuotationType1Code

Definition: Specifies the type of quotation.

Type: CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Quotation expressed as a currency and amount.
PRCT	Percentage	Quotation is expressed as percentage.

65.2.2.172 Rank1Code

Definition: Specifies the rank of an element (party, market) in a specific environment.

Type: CodeSet

CodeName	Name	Definition
PRIM	Primary	Element is the primary one.
SECO	Secondary	Element is the primary one.

65.2.2.173 RedemptionCompletion1Code

Definition: Specifies the redemption status of the redemption order.

Type: CodeSet

CodeName	Name	Definition
RED0	RedemptionNo	It is not necessary to send more redemption order instructions to the executing party to complete the redemption, the executing will generate redemption confirmations automatically.
RED1	RedemptionYes	Additional redemption order instructions must be sent to the executing party order to have the rest of the redemption executed.

65.2.2.174 Referred1Code

Definition: Indicates if the investor was referred.

Type: CodeSet

CodeName	Name	Definition
REFR	Referred	The investor was referred to the fund by a placement agent.
NRFR	NotReferred	The investor was not referred to the fund by a placement agent.
UKNW	NotKnown	It is not known whether the investor was referred to the fund by a placement agent.

65.2.2.175 ReferToFundOrderDesk1Code

Definition: Indicates that the operation is only possible in restricted circumstances.

Type: CodeSet

CodeName	Name	Definition
RFOD	ReferToFundOrderDesk	Indicates that the operation is only possible in restricted circumstances.

65.2.2.176 RejectedConfirmationStatusReason1Code

Definition: Specifies the reason for a confirmation rejected status.

Type: CodeSet

CodeName	Name	Definition
DSAF	DifferentInvestmentAccountDetails	Investment account information not the same as instructed.
DFIN	DifferentFinancialInstrumentDetails	Financial instrument information not the same as instructed.
DBEN	DifferentBeneficiaryDetails	Beneficiary details is not the same as instructed.
DPTD	DifferentPlaceOfTrade	Place of trade not the same as instructed.
DORD	DifferentOrderDateTime	Order date time not the same as instructed.
DCXR	DifferentCancellationRight	Cancellation right not the same as instructed.
DUNB	DifferentUnitsNumber	Units number information not the same as instructed.
DORT	DifferentOrderType	Order type information not the same as instructed.
DROU	DifferentRounding	Rounding information not the same as instructed.
DNAM	DifferentNetAmount	Net amount information not the same as instructed.
DGAM	DifferentGrossAmount	Gross amount information not the same as instructed.

CodeName	Name	Definition
DHRR	DifferentHoldingsRedemptionRate	Holdings redemption rate not the same as instructed.
DGRP	DifferentGroup1Or2Units	Group 1 or 2 units not the same as instructed.
DINP	DifferentIncomePreference	Income preference information not the same as instructed.
DLIR	DifferentLetterIntentReference	Letter Intent reference information not the same as instructed.
DARR	DifferentAccumulationRightReference	Accumulation right reference information not the same as instructed.
DPDI	DifferentPhysicalDeliveryIndicator	Physical delivery indicator information not the same as instructed.
DRSC	DifferentRequestedSettlementCurrency	Requested settlement currency information not the same as instructed.
DNAV	DifferentRequestedNAVCurrency	Requested NAV currency information not the same as instructed.
DFXD	DifferentForeignExchangeDetails	Foreign exchange details information not the same as instructed.
DCHD	DifferentChargeDetails	Charge details information not the same as instructed.
DXOD	DifferentCommissionDetails	Commission details information not the same as instructed.
DTAD	DifferentTaxDetails	Tax details information not the same as instructed.
DPDD	DifferentPhysicalDeliveryDetails	Physical delivery details information not the same as instructed.
DSCA	DifferentSettlementAndCustodyDetails	Settlement and custody details information not the same as instructed.
DPID	DifferentPaymentInstrumentDetails	Payment Instrument details information not the same as instructed.
DSAM	DifferentSettlementAmount	Settlement amount not the same as instructed.
DSDT	DifferentSettlementDate	Settlement date not the same as instructed.
DEXD	DifferentExtensionDetails	Extension information not the same as instructed.
DIMD	DifferentIntermediaryDetails	Intermediary information not the same as instructed.
DTRA	DifferentTotalRedemptionAmount	Total redemption amount not the same as instructed.
DTSA	DifferentTotalSubscriptionAmount	Total subscription amount not the same as instructed.
DACI	DifferentAdditionalCashIn	Additional cash in not the same as instructed.
DACO	DifferentResultingCashOut	Resulting cash out not the same as instructed.

CodeName	Name	Definition
DPSA	DifferentPercentageOfTotalSubscriptionAmount	Percentage of total subscription amount not the same as instructed.
DPRA	DifferentPercentageOfTotalRedemptionAmount	Percentage of total redemption amount not the same as instructed.
DLID	DifferentLegIdentification	Leg Identification not the same as instructed.
DORF	DifferentOrderReference	Confirmation is recognised by the Receiver because the message reference is identified, but the order reference is not the same as instructed.
ULNK	UnknownLinkagesReference	Linked reference is not known.
DREF	DuplicateDealReference	Deal reference is a duplicate of a previously received deal reference.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
IRCU	ReceiversCustodian	Receiver's Custodian is not recognised or is invalid.
IRIN	ReceiversIntermediary	Receiver's Intermediary is not recognised or is invalid.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDCU	DeliverersCustodian	Deliverer's Custodian is not recognised or is invalid.
IDIN	DeliverersIntermediary	Deliverer's Intermediary is not recognised or is invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
DMON	SettlementAmount	Settlement Amount is invalid.
DDAT	SettlementDate	Settlement date or transfer date is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Confirmation is not compliant with the service level agreement.
MEUT	MissingEUTaxInformation	EU tax information is missing.
MCOM	MissingCommission	Commission information is missing.
MCHA	MissingCharge	Charge information is missing.
MTAX	MissingTaxInformation	Tax information is missing.
NATA	NotAllowedtoAmend	Amendment of order confirmation not allowed.
ALAT	AmendmentTooLate	Amendment of order confirmation too late.

65.2.2.177 RejectedStatusReason11Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
BLTR	AccountBlockedForTransfer	Investment account is blocked due to a transfer out of investment funds.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
ADEA	AfterDeadline	Instruction was received after the Receiver's deadline.
ILLI	AssetsIlliquid	Assets are illiquid.
BMIN	BelowMinimumInitialInvestmentAmount	Amount of subscription is below the minimum initial investment amount.
BMRA	BelowMinimumRedemptionAmount	Amount is below the minimum redemption amount.
BMRV	BelowMinimumRetainedAmount	Holding will be below the minimum retained value.
CUTO	CutOffTime	Instruction has been received after the cut-off time.
ICAG	DeliveringAgent	Delivering agent is not recognised or is invalid.
IDDB	DirectDebit	Direct debit account identification is not recognised or is invalid.
ORRF	DuplicateOrderReference	Order reference is a duplicate of a previously received order.
FEEE	FeeOrCommission	Unrecognised or invalid fee or commission.
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
CLOS	FundClosed	Fund is closed and will not take in any more investments.
IPAC	InstructingPartyNotAllowedForAccount	Instructing party is not allowed to instruct for this investment account.
INSU	InsufficientCapacity	Insufficient capacity.
INTE	Intermediary	Intermediary is not recognised or is invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
ICTR	InvalidCreditTransfer	Credit transfer details are incorrect.
IOTP	InvalidOrderType	Order type is invalid.

CodeName	Name	Definition
DFOR	InvalidSecurityForm	Form of the security is wrong, eg, the form of security is registered not bearer or vice versa.
DMON	InvalidSettlementAmount	Unrecognised or invalid settlement amount.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
LOCK	LockUp	Lock-up period is in place.
NRGM	NoCancellationMatch	The cancellation request has been rejected since more than one instruction match to the cancellation criteria.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
MONY	NotEnoughCash	There is not enough cash in the account to process the instruction.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
IPAY	PaymentCard	Payment card details are incorrect.
PRCT	PercentageHoldingBreach	Percentage holding breach, eg, PPM rules; taxation rules (ERISA).
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
PHYS	PhysicalSettlement	Physical Settlement Impossible.
PLCE	PlaceOfTrade	Unrecognised or invalid Place of Trade.
IVAG	ReceivingAgent	Receiving agent is not recognised or is invalid.
RTGS	RTGSSystem	Impossible to use RTGS System.
ISAF	SafekeepingPlace	Safekeeping place is not recognised or is invalid.
NCRR	SettlementAmountCurrency	Unrecognised or invalid Settlement Amount Currency.
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.
SETR	SettlementTransaction	Unrecognised or invalid Settlement Transaction.
IEXE	SubscriberOrRedeemer	Unrecognised or invalid subscriber or redeemer.
SHIG	TooHighUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too high for a subscription.
LATE	TooLate	Instruction was received after market deadline.

CodeName	Name	Definition
SLOW	TooLowUnitsOrAmountToSubscribe	Quantity of units or amount of money in the order is too low for a subscription.
DTRD	TradeDate	Unrecognised or invalid trade date or requested trade date or future trade date.
UWAI	UnacceptedCommissionWaiving	Percentage of commission waiving exceeds the commission percentage or commission amount.
UDCY	UnacceptedDealCurrency	Deal currency is not supported.
UNAV	UnacceptedNAVCurrency	Net asset value currency is not supported.
UPAY	UnacceptedPaymentMethod	Payment method, eg, cheque or payment card, is not accepted.
URSC	UnacceptedRequestedSettlementCurrency	Settlement currency requested is not supported.
ULNK	UnknownLinkagesReference	Linked reference is not known.
UNSC	UnacceptableSwitchCombination	Instruction specifies an unacceptable combination for the financial instruments in the switch order.
POIN	DifferentValuationPoints	Order contains funds that have different valuation points.

65.2.2.178 RejectedStatusReason6Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.

65.2.2.179 RejectedStatusReason8Code

Definition: Specifies the reason for a rejected status.

Type: CodeSet

CodeName	Name	Definition
CUTO	CutOffTime	Instruction has been received after the cut-off time.
NALC	NotAllowedToCancel	Instructing party is not allowed to request a cancellation.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
LEGL	LegallyImpossible	Legally impossible to cancel.

65.2.2.180 ResidentialStatus1Code

Definition: Specifies the residential status of an individual.

Type: CodeSet

CodeName	Name	Definition
RESI	Resident	Resident.
PRES	PermanentResident	Permanent resident.
NRES	NonResident	Non-resident.

65.2.2.181 ResidualCash1Code

Definition: Specifies whether there is cash in the account that is awaiting investment.

Type: CodeSet

CodeName	Name	Definition
NRCT	NotResidualCashTransfer	Residual Cash not to be transferred.
RCTR	ResidualCashTransfer	Residual cash to be transferred.

65.2.2.182 RestrictionStatus1Code

Definition: Specifies the status of a restriction.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Status of the restriction is active.
INAC	Inactive	Status of the restriction is inactive.

65.2.2.183 ReversalCode

Definition: Specifies if an operation is the result of a reversal operation.

Type: CodeSet

CodeName	Name	Definition
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

65.2.2.184 RiskLevel1Code

Definition: Specifies the risk level.

Type: CodeSet

CodeName	Name	Definition
HIGH	High	High.
LOWW	Low	Low.
MEDM	Medium	Medium.

65.2.2.185 RoundingDirection1Code

Definition: Specifies the rounding direction.

Type: CodeSet

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.
STAN	RoundToNearest	Round up or down to the nearest whole number.
DIST	IssueFraction	Do not round.

65.2.2.186 RoundingDirection2Code

Definition: Specifies the rounding direction applied to nearest unit.

Type: CodeSet

CodeName	Name	Definition
RDUP	RoundUp	Round up to the nearest whole number.
RDWN	RoundDown	Round down to the nearest whole number.

65.2.2.187 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

65.2.2.188 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

CodeName	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

65.2.2.189 SecuritiesAccountPurposeType1Code

Definition: Specifies the purpose of the securities account.

Type: CodeSet

CodeName	Name	Definition
MARG	Margin	Account is used when financing is done by the broker.
SHOR	ShortSale	Account is used for short sale orders.
ABRD	Abroad	Account is located in a financial institution outside the country where the transaction is taking place.
CEND	Centralised	Account and all its related positions are held in one location.
DVPA	CashDVP	Account is used for settlement upon delivery, or a collection on a delivery basis.
PHYS	Physical	Account is used for physical domestic safekeeping.

65.2.2.190 SecuritiesBalanceType1Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
MARG	DerivativesMargin	Balance of securities that are used as collateral for derivatives.
PDMT	PendingDeliveryMatchedBalance	Balance of securities pending delivery that have been matched. This is only

CodeName	Name	Definition
		applicable in a CSD to participant communication.
PRMT	PendingReceiptMatchedBalance	Balance of securities pending receipt that have been matched. This is only applicable in a CSD to participant communication.
PRUM	PendingReceiptUnmatchedBalance	Balance of securities pending receipt that are unmatched. This is only applicable in a CSD to participant communication.
PECA	PendingCorporateAction	Balance of securities, before ex-date, that are involved in a corporate action (CA). Securities blocked due to a CA, for example, proxy, in escrow, are to be identified in the BLOK sub-balance.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
PLED	Pledged	Balance of securities that are pledged, for example, a financial instrument is unavailable because it has been used or given as a guarantee.
PDUM	PendingDeliveryUnmatchedBalance	Balance of securities pending delivery that are unmatched. This is only applicable in a CSD to participant communication.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.
RSTR	Restricted	Balance of securities that may only be sold under certain conditions or require legal documents.
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
TRAN	InTransshipment	Balance of physical securities that are in transshipment, eg, in the process of being transferred from one depository or agent to another.
DRAW	Drawn	Balance of securities that are drawn for redemption.
WDOC	WaitingDocumentation	Financial instrument is unavailable due to missing or incomplete documentation pertaining to the account, or due to a missing or incomplete order.
BTRA	BeingTransferred	Financial instrument is unavailable as a result of a transfer order, pending movement in the shareholders' register.

65.2.2.191 SecuritiesBalanceType2Code

Definition: Specifies the nature of the securities or investment fund balance.

Type: CodeSet

CodeName	Name	Definition
CLEN	TaxExempt	Balance of tax-exempt securities.
DIRT	NonTaxExempt	Balance of taxable securities.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
OTHR	Unclassified	Balance of securities that is unclassified, ie, is not identified with one of the existing sub-balance types.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
UNRG	Unregistered	Balance of securities that could not be registered due to foreign ownership limitation.

65.2.2.192 SettledStatusReason2Code

Definition: Partial settlement status information or information about gating.

Type: CodeSet

CodeName	Name	Definition
CPST	CashPartiallySettled	Cash is partially settled.
GATM	GatedMultipleNAV	Redemption has been gated. Multiple redemptions and multiple prices will be required.
GAT1	GatedOneNAV	Redemption has been gated, all settlement will be done at the same price.
UCPS	UnitsCashPartiallySettled	Units and cash are both partially settled.
UPST	UnitsPartiallySettled	Units are partially settled.

65.2.2.193 SettlementDate1Code

Definition: Indicates the date of settlement in coded form.

Type: CodeSet

CodeName	Name	Definition
ASAP	AsSoonAsPossible	Transfer is to be effected as soon as possible.
ENDC	AtEndOfContract	Transfer is to be effected at the end of the contract.
WHIF	WhenAndIfIssued	Settlement takes place when the financial instrument is issued by the issuer.

65.2.2.194 SettlementInstructionReason1Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
CSHI	AllCredits	Settlement of all credit amounts.
ALLL	AllCreditsAndDebits	Settlement of all credit and debit amounts.
CSHO	AllDebits	Settlement of all debit amounts.
CHAR	Charges	Settlement of charges.
DIVI	Dividend	Settlement of dividends.
INTE	Interest	Settlement of interest.
SAVP	InvestmentSavingsPlan	Settlement of investments to a savings plan.
REDM	Redemption	Settlement of redemptions or redemption legs of a switch transaction.
SAVE	SavingsPlan	Settlement of investments to a savings plan and withdrawals from a savings plan.
BUYI	SecuritiesPurchase	Settlement of securities purchases.
SELL	SecuritiesSale	Settlement of securities sales.
SUBS	Subscription	Settlement of subscriptions or subscription legs of a switch transaction.
WTHP	WithdrawalSavingsPlan	Settlement of withdrawals from a savings plan.
CORP	CorporateAction	Settlement of corporate actions.

65.2.2.195 SettlementTransactionCondition11Code

Definition: Specifies the conditions under which a order/trade is to be settled.

Type: CodeSet

CodeName	Name	Definition
NOMC	NoAutomaticMarketClaim	No market claim should be automatically generated.

65.2.2.196 SignatureType1Code

Definition: Type of signature form.

Type: CodeSet

CodeName	Name	Definition
ORIG	Original	The investor's written signature is required. Can also be known as physical or wet signature.
DIGI	Digital	A technical signature that includes algorithms and private key and public

CodeName	Name	Definition
		key information. Used to sign and verify the contents of a message.
ELEC	Electronic	A copy of a physical or original signature in an electronic format.
NONE	None	There is no signature required in any form.

65.2.2.197 SignatureType2Code

Definition: Type of signature.

Type: CodeSet

CodeName	Name	Definition
DIGI	Digital	Technical signature that includes algorithms and private key and public key information. Used to sign and verify the contents of a message.
ELEC	Electronic	Copy of a physical or original signature in an electronic format.
NONE	None	No signature.
ORIG	Original	Written signature. May also be known as a physical or wet signature.

65.2.2.198 SourceOfCash1Code

Definition: Specifies the origin of cash.

Type: CodeSet

CodeName	Name	Definition
ALMY	Alimony	Income as a result of alimony.
CASH	CashProceeds	Income is cash proceeds from customers.
COMP	Compensation	Income is from compensation received.
EMIN	EmployeeIncome	Employee income.
GIFT	Gift	Income was a cash gift.
INHE	Inheritance	Cash from an inheritance.
INLQ	InsurancePremiumLiquidation	Income from the liquidation of an insurance premium.
REST	RealEstateSale	Income from the sale of real estate.
REDM	Redemption	Redemption of an investment fund.
REPY	RetirementPolicy	Income from a retirement policy.
SEAQ	SaleAquisitionCorporation	Sale and acquisition of a corporation.
SALE	SaleOfGoods	Sale of goods.
SVGS	Savings	Income from a savings plan.

CodeName	Name	Definition
SELF	SelfEmploymentIncome	Income from self-employment.
WINS	Winnings	Income from a cash win.

65.2.2.199 StampDutyType2Code

Definition: Specifies if the stamp duty is applicable.

Type: CodeSet

CodeName	Name	Definition
ASTD	Applicable	Stamps duty is applicable.
SDRN	NotApplicable	Stamp duty not applicable.

65.2.2.200 StatementBasis1Code

Definition: Specifies the type of balances on which the statement is prepared.

Type: CodeSet

CodeName	Name	Definition
CONT	Contractual	The statement is based on contractual settlement date irrespective of settled data positions.
SETT	Settled	The statement is based on settled date positions to the knowledge of the sender at the time of the statement preparation.
TRAD	Traded	The statement is based on trade date positions.

65.2.2.201 StatementUpdateTypeCode

Definition: Specifies the nature of a statement update, eg, it is a complete statement.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Statement is complete.
DELT	Delta	Statement contains changes only.

65.2.2.202 SuspendedStatusReason3Code

Definition: Specifies the reason for a suspended status.

Type: CodeSet

CodeName	Name	Definition
PRIC	PriceSuspension	There is a suspension of pricing. The order will stay on the books until the next pricing.
FLOW	Overflow	There is a fund overflow.

65.2.2.203 TargetMarket1Code

Definition: Specifies whether a financial product is in scope of a target market parameter.

Type: CodeSet

CodeName	Name	Definition
YSCO	InScope	Yes, is applicable.
NEUT	Neutral	Neutral or has no impact.
NSCO	NotInScope	No, is not applicable.

65.2.2.204 TargetMarket2Code

Definition: Specifies whether a financial product is in scope of a target market parameter.

Type: CodeSet

CodeName	Name	Definition
NEUT	Neutral	Neutral or has no impact.
YSCO	InScope	Yes, is applicable.

65.2.2.205 TaxableIncomePerShareCalculated2Code

Definition: Specifies whether the fund calculates a taxable interest per share (TIS).

Type: CodeSet

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

65.2.2.206 TaxationBasis2Code

Definition: Specifies the tax basis.

Type: CodeSet

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.2.2.207 TaxationBasis5Code

Definition: Specifies the tax or charge basis.

Type: CodeSet

CodeName	Name	Definition
FLAT	Flat	Charge/tax basis is a lump sum.
GRAM	GrossAmount	Charge/Commission/tax basis is based on the gross amount.
NEAM	NetAmount	Charge/commission/tax basis is based on the net amount.
NAVP	NetAssetValuePrice	Charge/tax basis is the price.
PERU	PerUnit	Charges/tax basis is per unit of financial instrument.

65.2.2.208 TaxEfficientProductType1Code

Definition: Specifies a type of tax efficient product.

Type: CodeSet

CodeName	Name	Definition
CASH	Cash	Cash individual savings account. In the UK, this is known as a cash ISA.
CCTF	CashChildTrustFund	Cash child trust fund individual savings account. In the UK, this is known as a CTF ISA.
FISA	Flexible	Flexible individual savings account. In the UK, this is known as a FISA.
HISA	HelpToBuy	Help to buy individual savings account. In the UK, this is known as a HISA.
INNF	InnovativeFinance	Innovative finance individual savings account. In the UK, this is known as an innovative ISA.
JCSH	JuniorCash	Junior cash individual savings account. In the UK, this is known as a junior cash ISA.
JISA	JuniorStocksAndSharesISA	Junior stocks and shares individual savings account. In the UK, this is known as an JISA.
LISA	Lifetime	Lifetime individual savings account. In the UK, this is known as a LISA.
SCTF	StakeholderChildTrustFund	Stakeholder child trust fund individual savings account. In the UK, this is known as a stakeholder CTF ISA.
EQUI	StocksAndShares	Stocks and shares individual savings account. In the UK, this is known as a stocks and shares ISA.
GISK	GeneralInvestmentSavingsAccount	General investment savings account. (Known as Investeringssparkonto (ISK) in some markets.)
GASK	GeneralShareSavingsAccount	General shares or equity savings account. (Known as ASK in some markets.)

65.2.2.209 TaxExemptReason1Code

Definition: Specifies the reason a specific tax has not been levied/deducted on a given transaction.

Type: CodeSet

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).

CodeName	Name	Definition
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up

CodeName	Name	Definition
		for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).

65.2.2.210 TaxExemptReason3Code

Definition: Specifies the reason a specific tax has not been levied/deducted on a given transaction.

Type: CodeSet

CodeName	Name	Definition
NONE	None	Account is not tax exempt.
MASA	MaxiISA	Maxi Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). The Maxi ISA allows an investor to save all three of the different elements of ISA

CodeName	Name	Definition
		investments that are allowed, with one savings institution in a single account.
MISA	MiniCashISA	Mini Cash Individual Savings Account (ISA). Account is an ISA with a single ISA manager that may contain cash, stocks and shares, investment funds and life assurance up to the prescribed annual subscription limit (required for UK). An investor can hold more than one Mini ISA to utilise the overall Mini ISA investment limit, and can invest with more than one savings institution, however only one of the three elements of ISA investment is allowed in a single account.
SISA	MiniStocksAndSharesISA	Mini Stocks and Shares Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain stocks, shares and collective investments up to the prescribed annual subscription limit (required for UK).
IISA	MiniInsuranceISA	Mini Insurance Individual Savings Account (ISA). Account is an ISA with an ISA manager that may only contain life insurance investments up to the prescribed annual subscription limit (required for UK).
CUYP	CurrentYearPayment	Account is for current year payments into an investment retirement account (required for US).
PRYP	PriorYearPayment	Account is for a prior year payment into an investment retirement account (required for US).
ASTR	AssetTransfer	Account is for an asset transfer into an investment retirement account (required for US).
EMPY	EmployeePriorYear	Account is for employee - prior year contributions into an investment retirement account (required for US).
EMCY	EmployeeCurrentYear	Account is for employee - current year contributions into an investment retirement account (required for US).
EPRY	EmployerPriorYear	Account is for employer - prior year contributions into an investment retirement account (required for US).
ECYE	EmployerCurrentYear	Account is for employer - current year contributions into an investment retirement account (required for US).
NFPI	NonFundPrototypeIRA	Account is for non-fund prototype Individual Retirement Account (IRA) into an investment retirement account (required for US).
NFQP	NonFundQualifiedPlan	Account is for non-fund qualified plan into an investment retirement account (required for US).

CodeName	Name	Definition
DECP	DefinedContributionPlan	Account is for a defined contribution plan (required for US).
IRAC	IndividualRetirementAccount	Account is an Individual Retirement Account (IRA) (required for US).
IRAR	IndividualRetirementAccountRollover	Account is for an Individual Retirement Account (IRA) - Rollover (required for US).
KEOG	KEOGH	KEOGH. Account is a tax-deferred retirement plan designed to help self-employed workers or individuals who earn self-employed income establish a retirement savings program (required for US).
PFSP	ProfitSharingPlan	Account is a Profit Sharing Plan (required for US).
401K	FourHundredAndOneK	401k. Account is an optional retirement plan supported by many companies, in which income is invested before it is taxed (required for US).
SIRA	SelfDirectedIRA	Self-Directed Individual Retirement Account (IRA) (required for US).
403B	FourHundredAndThreeB	403(b). Account is a tax-deferred investment and savings program for employees of hospitals, educational institutions and other non-profit organisations, to save and invest in their own retirement. The name refers to the relevant section in the US Internal Revenue Code (required for US).
457X	FourHundredFiftySeven	457. Account is a non-qualified deferred compensation program that is available to state and local governments and not-for-profit employers (required for US).
RIRA	RothIRAFundPrototype	Roth Individual Retirement Account (IRA) Fund Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RIAN	RothIRANonPrototype	Roth Individual Retirement Account (IRA) Non-Prototype. Account is a tax-deferred retirement account based on the reverse principle of a traditional IRA. Retirement contributions are taxed upfront, but withdrawals may be made tax-free when a certain age is reached, provided the Roth IRA has been set up for a certain period of time (required for US).
RCRF	RothConversionIRAFundPrototype	Roth Conversion Individual Retirement Account (IRA) Fund Prototype. Account is a retirement account established when

CodeName	Name	Definition
		a party switches from a regular IRA (required for US).
RCIP	RothConversionIRANonPrototype	Roth Conversion Individual Retirement Account (IRA) Non-Prototype. Account is a retirement account established when a party switches from a Regular IRA (required for US).
EIFP	EducationIRAFundPrototype	Education Individual Retirement Account Fund Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required for US).
EIOP	EducationIRANonPrototype	Education Individual Retirement Account Non-Prototype (also known as an Education Savings Account). Account is a savings plan open to anyone under the age of 18. When the account owner withdraws money to pay for qualified higher education expenses, the withdrawals are generally tax-free (required in the US).
FORE	Foreigner	Account is for a foreigner for which a tax exemption is permitted.
INCA	Incapacity	Exemption due to incapacitation.
MINO	Minor	Account is for a minor for which a tax exemption is permitted.
ASSO	Association	Account is for an association, for example, a sports club, for which a tax exemption is permitted.
DIPL	Diplomat	Account is for a diplomat for which a tax exemption is permitted.
DOME	Domestic	Account is for two account owners that are both domestic.
FORP	OneOrBothForeign	Account is for two account owners where one or both are foreign.
ORDR	Ordinary	Ordinary reporting.
PENF	PensionFund	Account is for a pension fund for which a tax exemption is permitted.
REFU	Refugee	Account is for a refugee or person without a number assigned by a government organisation, for example, without a Danish Central Securities Number.
RIHO	RightsHolder	Account is for a rights holder.
ADMI	Administrator	Administrator of the account is not the owner.

CodeName	Name	Definition
TANR	TwoNonResident	Two or more of the account owners are foreign residents.
OANR	OneNonResident	One of the account owners is a foreign resident.

65.2.2.211 TaxType12Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.
MIET	Mietgewinn	Earnings from renting.

65.2.2.212 TaxType16Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.

CodeName	Name	Definition
LEVY	PaymentLevyTax	Tax levied on a payment.
LOCL	LocalTax	Tax that is charged by a local settlement system or local jurisdiction.
NATI	NationalTax	Tax is a national tax.
PROV	Provincial	Tax is a provincial tax.
STAM	StampDuty	Tax is on certain documents and transactions.
STAT	StateTax	Tax is a state tax.
STEX	StockExchangeTax	Tax collected by a stock exchange.
TRAN	TransferTax	Tax levied on a transfer.
TRAX	TransactionTax	Tax levied on a transaction.
VATA	ValueAddedTax	Tax is a value added tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.

65.2.2.213 TaxType17Code

Definition: Specifies the type of tax.

Type: CodeSet

CodeName	Name	Definition
PROV	Provincial	Tax is a provincial tax.
NATI	NationalTax	Tax is a national tax.
STAT	StateTax	Tax is a state tax.
WITH	WithholdingTax	Tax is on income deducted at source, which the paying agent is legally obliged to deduct from its payments of interest on deposits and other proceeds of a financial instrument.
KAPA	CapitalGainTax	Tax is on a capital gain (realised and unrealised), that is, the profit that is gained from the sale of a financial instrument.
NKAP	CapitalLossCredit	Tax recovery is due to capital loss.
INPO	InterimProfitTax	Tax is on the sum of all earnings/ revenues accrued since the last dividend distribution.

CodeName	Name	Definition
STAM	StampDuty	Tax is on certain documents and transactions.
WTAX	WealthTax	Tax is a special tax imposed, applicable only when the value of assets or categories of assets owned by an entity are above a given ceiling defined by the tax authority. Wealth tax is not linked to income.
INHT	InheritanceTax	Tax that is payable at the time of death on any items (money or otherwise), where ownership changes either upon death or within a legally specified number of years before death.
SOSU	SolidaritySurcharge	Tax that is levied by legal authorities for solidarity purposes.
CTAX	TaxCredit	Direct reduction of an individual's tax liability.
GIFT	GiftTax	Tax that is levied on assets given to individuals prior to the death of the donor. Gift tax is designed to ensure the integrity of the inheritance tax, preventing the pre-death transfer of wealth.
COAX	ConsumptionTax	Tax that is levied on goods and services purchased by customers, and is added to the retail price.
EUTR	EUTaxRetention	Tax withheld at source in the framework of the European Directive on taxation of savings in the form of interest payments.
AKT1	Aktiengewinn1	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the old Investment Tax Act (Investmentsteuergesetz).
AKT2	Aktiengewinn2	Share-related profit. This is a tax specific to the German market. The share-related-profit gives the fund the opportunity to pass on to the institutional investor redeeming fund units certain tax-free income from equity. The calculation method is based on the new Investment Tax Act (Investmentsteuergesetz).
ZWIS	Zwischengewinn	Zwischengewinn.

65.2.2.214 TaxWithholdingMethod3Code

Definition: Specifies the method by which the tax on the interests is to be processed i.e. either withheld at source or reported to tax authorities or a tax certificate has been provided by the beneficiary.

Type: CodeSet

CodeName	Name	Definition
MITX	MinorityInterestTax	Minority interest tax is withheld.
INVE	InvestmentCompany	Withholding tax is applied to an investment company.
ACCT	Accountant	Dividend tax is exempt according to the accountant.
EXMT	Exempt	Dividend tax is exempt.
REPT	ReportTax	Tax information is reported to the tax authorities and therefore not withheld at source.
CRTF	TaxCertificate	Tax certificate is provided by the beneficiary from its tax authority in its country of residence. Therefore, the obligation of withholding tax is waived and tax information will be reported to the tax authorities.
WHCO	WithholdingCorporateTax	Withholding tax is applied to a corporate.
WTHD	WithholdTax	Tax is withheld at source.
WTRE	WithholdTaxAndReport	Tax is withheld at source and the tax information is also reported to the tax authorities.

65.2.2.215 TaxWrapperAmountType1Code

Definition: Specifies a type of amount.

Type: CodeSet

CodeName	Name	Definition
BONU	Bonus	Type of amount is a bonus, for example, a government bonus.
WTHD	Withdrawal	Type of amount is a withdrawal, for example, a withdrawal for a first time residential purchase.

65.2.2.216 TimeFrame1Code

Definition: Specifies a time frame.

Type: CodeSet

CodeName	Name	Definition
LONG	LongTerm	Greater than five years.
MEDM	MediumTerm	Greater than or equal to three years.
NEUT	Neutral	Time frame is neutral.
SHOR	ShortTerm	Greater than or equal to one year.
VSHT	VeryShortTerm	Less than one year.
HOLD	Hold	Hold to maturity.

65.2.2.217 TradeTransactionCondition5Code

Definition: Specifies the conditions under which the order/trade is to be/was executed.

Type: CodeSet

CodeName	Name	Definition
XCPN	ExCoupon	Indicates whether the trade is executed ex coupon.
CCPN	CumCoupon	Indicates whether the trade is executed cum coupon.

65.2.2.218 TradingCapacity8Code

Definition: Specifies the role of a trading party in a transaction.

Type: CodeSet

CodeName	Name	Definition
AGEN	Agent	Trading as Agent on behalf of a customer.
PRIN	Principal	Trading as Principal.

65.2.2.219 TransactionChannel2Code

Definition: Specifies the type of communication channel.

Type: CodeSet

CodeName	Name	Definition
FIAD	FinancialAdvisor	Financial advisor.
HOBA	HomeBanking	Home banking.
BRAN	OfficeOrBranch	Office or branch.

65.2.2.220 TransactionStatus1Code

Definition: Specifies the processing status of an investment fund transaction.

Type: CodeSet

CodeName	Name	Definition
RVSL	Reversal	Transaction has been reversed.
REBO	Rebooked	Transaction has been rebooked.
CANC	Cancelled	Transaction has been cancelled.

65.2.2.221 TransactionType2Code

Definition: Specifies the type of transaction.

Type: CodeSet

CodeName	Name	Definition
REDM	Redemption	Transaction is a redemption of an investment fund.

CodeName	Name	Definition
SUBS	Subscription	Transaction is a subscription to an investment fund.
SSPL	SubscriptionSavingsPlan	Transaction is a subscription for a savings plan, that is, money set aside by individuals in the framework of a structured plan for a special purpose, for example, retirement.
RWPL	RedemptionWithdrawingPlan	Transaction is a withdrawal by individuals in the framework of a structured plan for investments made in the past.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.
SWII	SwitchIn	Transaction is a subscription leg of a switch order execution.
SWIO	SwitchOut	Transaction is a subscription leg of a switch order execution.
SUAA	SubscriptionAssetAllocation	Transaction is a subscription in an asset allocation plan that enables investors to allocate, by percentage a certain amount of cash into several sub-funds of a same umbrella structure.
REAA	RedemptionAssetAllocation	Transaction is a redemption in an asset allocation plan which enables investors to withdraw, by percentage a certain amount of cash from several sub-funds of a same umbrella structure.

65.2.2.222 TransferInFunction1Code

Definition: Specifies the function of the transfer in.

Type: CodeSet

CodeName	Name	Definition
ADRE	AdviceAndRequest	The transfer-in is an advice and request, that is, the message is used to inform the receiver to expect an unsolicited transfer in confirmation and to request account information for the transfer.
INST	Instruction	The transfer-in is an instruction.

65.2.2.223 TransferInFunction2Code

Definition: Specifies the function of the transfer in confirmation.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmation	The transfer-in is a confirmation (rather than an advice).
ADVI	Advice	The transfer-in provides advice about account information for a transfer.

65.2.2.224 TransferReason1Code

Definition: Specifies the reason for the assets transfer.

Type: CodeSet

CodeName	Name	Definition
TRAU	UndividedPossession	Transfer is an undivided possession.
TRAC	TransferBetweenSpouses	Transfer is between spouses.
TRAT	Trade	Transfer is a trade.
TRAO	OrdinaryTransfer	Transfer is ordinary.
TRAI	Heritage	Transfer is a heritage.
TRAG	Gift	Transfer is a gift.
TPLD	PledgedAccountTransfer	Transfer to pledged account (in certain circumstances distributors have to freeze and transfer total or partial holding to separate omnibus account e.g. investigation required by fiscal authority or police).
TTDT	TDTFrameworkTransfer	Transfer instruction executed within TDT framework (TDT framework is the operation model promoted by the Italian Banking Association for transfer and most of transfers, but not all, will be executed in accordance with that process).
TRPE	TransferBetweenDifferentBeneficiaries	Transfer between different beneficiaries with taxation to be applied (accounts with different owner).
TRAF	Demerger	Transfer is the result of a demerger or division.
TRAN	BetweenOwnAccounts	Transfer is between own accounts.

65.2.2.225 TransferRejectedStatusReason2Code

Definition: Specifies the reason for a transfer or settlement instruction rejected status.

Type: CodeSet

CodeName	Name	Definition
DDAT	SettlementDate	Cash settlement date is not recognised or is invalid.
DEPT	SettlementPlace	Place of settlement is not recognised or is invalid.

CodeName	Name	Definition
DSEC	FinancialInstrumentIdentification	Identification of the security is not recognised or is invalid.
SECU	NotEnoughFinancialInstrument	There are not enough securities in the account to process the instruction.
ISTP	SettlementParties	One or several settlement parties are not recognised or are invalid.
ICTN	CertificateNumber	Certificate number is not recognised or is invalid.
SAFE	InvestmentAccount	Investment account identification is not recognised or is invalid.
IAQD	AcquisitionDate	Acquisition date is not recognised or is invalid.
BLCA	AccountBlockedForCorporateAction	Investment account is blocked due to a corporate action.
DOCC	AccountBlockedMissingDocuments	Investment account is blocked until certain legal proceedings are completed, for example, legal documents from the successor, legal proceedings due to bankruptcy.
IDNA	FinancialInstrumentIdentificationAndName	Identification of the security and the security name are not the same.
DLVY	PhysicalDeliveryImpossible	Order contains physical delivery details but the fund can not be physically delivered.
LEGL	LegallyImpossible	Legally impossible to cancel.
NSLA	NotCompliantWithSLA	Instruction is not compliant with the service level agreement.
DQUA	FinancialInstrumentQuantity	Financial instrument quantity is invalid.
INUK	InvestorNameAddressUnknown	Investor name and address is not recognised.
INID	InsufficientInvestorData	Investor data is insufficient. Applicable when the mandated market practice is not followed.
INAC	InvalidAccountServicer	Account servicer is not recognised or is invalid.
INNA	InvalidNomineeAccount	Nominee account is not recognised or is invalid.
INPM	InvalidNewPlanManager	New plan manager is not recognised or is invalid.
CYPA	CurrentYearPartial	Partial transfer of current year is not allowed, that is, it is not possible to transfer one asset for the current year as other assets are held for the current year.
PTNS	PartialNotSupported	Partial transfer is not supported. Applicable when tax years are rolled up and because, for example, a transfer request for tax year 2000 only is received, it is not possible to process the

CodeName	Name	Definition
		transfer as the investor holds other tax years as well.
FTAX	FinancialInstrumentTaxYear	Financial instrument is not valid for the requested tax year.
ISAT	InvalidISAType	ISA type is not recognised or invalid.
CASH	InvalidCashAccount	Cash account is not recognised or invalid.
TREF	DuplicateTransferReference	Transfer reference is a duplicate of a previously received transfer.

65.2.2.226 TransferStatus6Code

Definition: Specifies a transfer or settlement instruction status.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Transfer or settlement instruction has been acknowledged / accepted for further processing.
COSE	AlreadyExecuted	Transfer or settlement instruction is fully executed and the confirmation is sent.
COMP	Complete	Portfolio transfer is complete.
DELY	Delayed	Transfer or settlement instruction is delayed.
MACH	Matched	Transfer or settlement instruction is matched.
RECE	Received	Instruction has been received, that is, technical validation of the message is ok, and the message is now at the receiving side.
STNP	SentToNextParty	Transfer or settlement instruction has been sent to the next party, that is, the next intermediary.
SETT	Settled	Transfer or settlement instruction is settled.

65.2.2.227 TransferStatusType1Code

Definition: Specifies a type of transfer status or transfer event.

Type: CodeSet

CodeName	Name	Definition
S019	AccountHoldingInformationRequest	Status report is sent in response to an account holding information request.
BCEV	BenefitCrystallisationEvent	Status report advises one or more Benefit Crystallisation Events (BCE) have occurred.
DRAW	Drawdown	Status report advises one or more drawdowns.

CodeName	Name	Definition
PAYA	PaymentAdvice	Status report advises cash is or has been instructed.
S012	PortfolioTransferInstruction	Status report is sent in response to a portfolio transfer instruction.
S005	TransferInInstruction	Status report is sent in response to a transfer-in instruction.
S001	TransferOutInstruction	Status report is sent in response to a transfer-out instruction.
SETT	CSDorICSDSettlementInformation	Status report is sent to provide information required for a CSD or ICSD settlement leg.

65.2.2.228 TransferType3Code

Definition: Specifies whether a financial instrument is transferred as an asset or as cash.

Type: CodeSet

CodeName	Name	Definition
SECU	Asset	Transfer the security as an asset in specie, that is, 'in its actual form'..
CASH	Cash	Transfer as cash.
CONV	Conversion	ISIN is converted to another ISIN and then transferred.

65.2.2.229 TransferUnmatchedReason2Code

Definition: Specifies the reason for a transfer or settlement instruction unmatched status.

Type: CodeSet

CodeName	Name	Definition
CMIS	NoMatch	No potential match has been found.
CPCA	CounterpartyCancelled	Counterparty has cancelled the transaction/instruction.
DELN	TransactionDirection	Direction of transaction does not match: delivery instead of receipt or vice versa.
DSEC	FinancialInstrument	Financial instrument identification does not match or a financial instrument attribute differs.
PHYS	PhysicalSettlement	Settlement does not match: counterparty's instruction is for physical settlement, your instruction is not, or vice versa.
PODU	Duplicate	Instruction has not been matched: it is a possible duplicate instruction.
DEPT	SettlementPlace	Place of settlement does not match.
DDAT	SettlementDate	Settlement date or transfer date does not match.

CodeName	Name	Definition
DQUA	FinancialInstrumentQuantity	Financial instrument quantity does not match.

65.2.2.230 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

65.2.2.231 TypeOfPrice10Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the

CodeName	Name	Definition
		lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.

65.2.2.232 TypeOfPrice11Code

Definition: Specifies the type of price and information about the price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.

CodeName	Name	Definition
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
MRKT	Market	Price is the current market price.
INDC	Indicative	Price is the estimated indicative price.

65.2.2.233 TypeOfPrice6Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.

CodeName	Name	Definition
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
OTHR	Other	Price is another type of price, which is not explicitly defined.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.

65.2.2.234 TypeOfPrice9Code

Definition: Specifies the type of price.

Type: CodeSet

CodeName	Name	Definition
BIDE	Bid	Price is the calculated bid price of a dual-priced fund (offer-bid prices), that is, the selling price of the units for the investor.
OFFR	Offer	Price is the calculated offer price of a dual-priced investment fund (offer-bid prices), that is, the buying price of the units for an investor.
NAVL	NetAssetValue	Price is the net asset value per unit that is used either as a transacting price for a single-priced investment fund class, or as a notional price for the calculation of other prices.

CodeName	Name	Definition
CREA	Creation	Price is the calculated creation price of a dual-priced investment fund (creation-cancellation prices) and the highest possible buying price of the units before the initial charge. The actual buying or offer price, which includes charges, will be higher.
CANC	Cancellation	Price is the calculated cancellation price of a dual-priced investment fund (creation-cancellation price), and the lowest possible valuation of the units on any one-day. The actual selling or bid price is usually higher.
INTE	Interim	Price is the non-official interim price given to an investor before the executed price.
SWNG	Swing	Price is the calculated net asset value price of a single-priced investment fund. The price is adjusted to take into account the dealing costs due to individual large deals, or due to a significant imbalance in volumes of subscriptions vs redemptions, as an alternative to dilution levy.
MIDD	Mid	Price is the average price between the bid and offer prices.
RINV	Reinvestment	Price is the price used when reinvesting units after distribution.
SWIC	Switch	Price is the price used when transferring units between products.
DDVR	DailyDividendRate	Price is the net income of a financial instrument, calculated on each dealing day, and divided by all the units outstanding on that day.
ACTU	Actual	True offer price.
NAUP	NonAdjustedUnpublished	The original NAV before the price of the investment fund was swung.
GUAR	Guaranteed	Price is a guaranteed price.
ENAV	EstimatedNAV	Price is an estimated net asset value per unit.

65.2.2.235 UKTaxGroupUnit1Code

Definition: Specifies the UK tax group to which units belong. For UK tax, the first dividend that an investor receives from a funds investment is deemed to be part income and part return of capital. The capital element is 'equalisation', and is exempt from income tax.

Type: CodeSet

CodeName	Name	Definition
GRP1	Group1	The units that were purchased prior to the last ex-div date.

CodeName	Name	Definition
GRP2	Group2	The units that were purchased since the ex-div date, and that benefit from the tax exemption.

65.2.2.236 ValuationTiming1Code

Definition: Specifies how the price valuation timing is done based on the timeline defined in the prospectus.

Type: CodeSet

CodeName	Name	Definition
EXCP	Exceptional	Price valuation is done exceptionally, outside the timeframe specified in the prospectus.
USUA	Usual	Price valuation is done within the timeframe specified in the prospectus.
PATC	Particular	Price valuation that is done outside the usual timeframe, but in conformance with a case specified in the prospectus, eg, market closing day.

65.2.2.237 WaivingInstruction1Code

Definition: Specifies the form of the rebate when commission is waived.

Type: CodeSet

CodeName	Name	Definition
WICA	WaiveInCash	Form of commission waived is cash.
WIUN	WaiveInUnits	Form of commission waived is additional units of a financial instrument.

65.2.3 Date

65.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

65.2.4 DateTime

65.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

65.2.5 IdentifierSet

65.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

65.2.5.2 AnyBICIdentifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

65.2.5.3 AustrianBankleitzahlIdentifier

Definition: Austrian Bankleitzahl. Identifies Austrian financial institutions on the Austrian national clearing system.

Type: IdentifierSet

Identification scheme: Oesterreichische NationalBank; Austrian Bankleitzahl

Format

pattern AT[0-9]{5,5}

65.2.5.4 BelgianIdentifier

Definition: Identifier for Belgian securities.

Type: IdentifierSet

Identification scheme: The Belgian identifier for securities.

65.2.5.5 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

65.2.5.6 BICFIIdentifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

65.2.5.7 Bloomberg2Identifier

Definition: An identifier of a security assigned by the Bloomberg organisation.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

pattern (BBG)[BCDFGHJKLMNPQRSTUVWXYZ\d]{8}\d

65.2.5.8 BloombergIdentifier

Definition: An identifier of a security assigned by the Bloomberg organisation.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

minLength	1
maxLength	35

65.2.5.9 CanadianPaymentsARNIdentifier

Definition: Canadian Payments Association Routing Number. Identifies Canadian financial institutions on the Canadian national clearing system.

Type: IdentifierSet

Identification scheme: Candian Payment Association; Payment Routing Number

Format

pattern CA[0-9]{9,9}

65.2.5.10 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Type: IdentifierSet

Identification scheme: ANNA; CFIdentifier

Format

pattern [A-Z]{6,6}

65.2.5.11 CHIPSParticipantIdentifier

Definition: (United States) Clearing House Interbank Payment System (CHIPS) Participant Identifier (ID). Identifies financial institutions participating on CHIPS. The CHIPS Participant ID is assigned by the New York Clearing House.

Type: IdentifierSet

Identification scheme: American Banker's Association (ABA); CHIPS Participant Number

Format

pattern	CP[0-9]{4,4}
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65.2.5.12 CHIPSUniversalIdentifier

Definition: (United States) Clearing House Interbank Payments System (CHIPS) Universal Identification (UID). Identifies entities that own accounts at CHIPS participating financial institutions, through which CHIPS payments are effected. The CHIPS UID is assigned by the New York Clearing House.

Type: IdentifierSet

Identification scheme: American Banker's Association (ABA); CHIPS UID

Format

pattern	CH[0-9]{6,6}
---------	--------------

65.2.5.13 ConsolidatedTapeAssociationIdentifier

Definition: Identifier of a security assigned by the Consolidated Tape Association.

Type: IdentifierSet

Identification scheme: CTAIdentifier; CTAIdentifier

Format

minLength	1
maxLength	35

65.2.5.14 CUSIPIdentifier

Definition: Committee on Uniform Securities and Identification Procedures (CUSIP). The standards body that created and maintains the securities classification system in the US. Non-US securities have a similar number called the CINS number.

Type: IdentifierSet

Identification scheme: Standards and Poor's CUSIP Service Bureau; CUSIPIdentifier

65.2.5.15 DutchIdentifier

Definition: Identifier for Dutch securities.

Type: IdentifierSet

Identification scheme: Dutch agency; DutchIdentifier

65.2.5.16 EuroclearClearstreamIdentifier

Definition: Identifier of securities issued in Luxembourg. The common code is a 9-digit code that replaces the CEDEL (Clearstream) and Euroclear codes.

Type: IdentifierSet

Identification scheme: Clearstream; EuroclearClearstreamIdentifier

Format

minLength	1
maxLength	12

65.2.5.17 ExtensiveBranchNetworkIdentifier

Definition: The extensive branch network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Type: IdentifierSet

Identification scheme: Australian Payments Clearing Association (APCA); Australian BSB

Format

pattern	AU[0-9]{6,6}
---------	--------------

65.2.5.18 FedwireRoutingNumberIdentifier

Definition: Fedwire Routing Number. Identifies financial institutions, in the US, on the FedWire system. The routing number is assigned by the American Bankers Association (ABA).

Type: IdentifierSet

Identification scheme: US Federal Reserve Bank ; FedwireRoutingNumberIdentifier

Format

pattern	FW[0-9]{9,9}
---------	--------------

65.2.5.19 GermanBankleitzahlIdentifier

Definition: German Bankleitzahl. Identifies German financial institutions on the German national clearing systems.

Type: IdentifierSet

Identification scheme: Deutsche Bundesbank ; German Bankleitzahl

Format

pattern	BL[0-9]{8,8}
---------	--------------

65.2.5.20 HellenicBankIdentificationCodeIdentifier

Definition: Hellenic Bank Identification Code Identifier. Identifies Greek financial institutions on the Greek national clearing system.

Type: IdentifierSet

Identification scheme: Hellenic Central Bank; Payment Routing Number

Format

pattern GR[0-9]{7,7}

65.2.5.21 HongKongBankIdentifier

Definition: Hong Kong Bank Code. Identifies Hong Kong financial institutions on the Hong Kong local clearing system.

Type: IdentifierSet

Identification scheme: Hong Kong Interbank Clearing Ltd (HKICL); Bank Code of Hong Kong

Format

pattern HK[0-9]{3,3}

65.2.5.22 IBAN2007Identifier

Definition: An identifier used internationally by financial institutions to uniquely identify the account of a customer at a financial institution, as described in the latest edition of the international standard ISO 13616: 2007 - "Banking and related financial services - International Bank Account Number (IBAN)".

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

65.2.5.23 IndianFinancialSystemCodeIdentifier

Definition: Indian Financial System Code Identifier. Identifies Indian financial institutions on the Indian national clearing system.

Type: IdentifierSet

Identification scheme: Indian Financial System; Payment Routing Number

Format

pattern IN[a-zA-Z0-9]{11,11}

65.2.5.24 IrishNSCIdentifier

Definition: Irish National Sorting Code. Identifies Irish financial institutions on the Irish national clearing system.

Type: IdentifierSet

Identification scheme: Irish Payment Services Organisation (IPSO); Irish National Sort Code

Format

pattern IE[0-9]{6,6}

65.2.5.25 ISINIdentifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z0-9]{12,12}

65.2.5.26 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

65.2.5.27 ItalianDomesticIdentifier

Definition: Italian Domestic Identification Code. Identifies Italian financial institutions on the Italian national clearing system. The code is assigned by the Associazione Bancaria Italiana (ABI).

Type: IdentifierSet

Identification scheme: Associazione Bancaria Italiana; Italian Domestic Identification

Format

pattern IT[0-9]{10,10}

65.2.5.28 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

65.2.5.29 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

65.2.5.30 NewZealandNCCIdentifier

Definition: New Zealand Bank/Branch Code. Identifies New Zealand institutions on the New Zealand national clearing system. The code is assigned by the New Zealand Bankers' Association (NZBA).

Type: IdentifierSet

Identification scheme: New Zealand Bankers Association; NewZealandNCC

Format

pattern NZ[0-9]{6,6}

65.2.5.31 PolishNationalClearingCodeIdentifier

Definition: Polish National Clearing Code Identifier. Identifies Polish financial institutions on the Polish national clearing system.

Type: IdentifierSet

Identification scheme: Polish Central Bank; Payment Routing Number

Format

pattern PL[0-9]{8,8}

65.2.5.32 PortugueseNCCIdentifier

Definition: Portuguese National Clearing Code. Identifies Portuguese financial institutions on the Portuguese national clearing system.

Type: IdentifierSet

Identification scheme: Banco de Portugal; PortugueseNCC

Format

pattern PT[0-9]{8,8}

65.2.5.33 QUICKIdentifier

Definition: Identifier of a security assigned by the Japanese QUICK identification scheme for financial instruments.

Type: IdentifierSet

Identification scheme: QUICK; QUICKIdentifier

65.2.5.34 RICIdentifier

Definition: Reuters Identification Code (RIC). A numbering system used within the Reuters system to identify instruments worldwide. The RIC contains an X-character market specific code (can be the CUSIP or EPIC codes) followed by a full stop, then the two-digit ISO country code, for example, IBM in UK is IBM.UK.

Type: IdentifierSet

Identification scheme: REUTERS ; REUTERSIdentifier

Format

minLength	1
maxLength	35

65.2.5.35 RussianCentralBankIdentificationCodeIdentifier

Definition: Russian Central Bank Identification Code. Identifies Russian financial institutions on the Russian national clearing system.

Type: IdentifierSet

Identification scheme: Central Bank of Russia; RussianCentralBankIdentificationCode

Format

pattern	RU[0-9]{9,9}
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65.2.5.36 SEDOLIdentifier

Definition: Stock Exchange Daily Official List (SEDOL) number. A code used by the London Stock Exchange to identify foreign stocks, especially those that aren't actively traded in the US and don't have a CUSIP number.

Type: IdentifierSet

Identification scheme: London Stock Exchange; SEDOLIdentifier

65.2.5.37 SicovamIdentifier

Definition: Identifier for French securities assigned by the Societe Interprofessionnelle Pour La Compensation des Valeurs Mobilières in France. The Sicovam is composed of 5-digits.

Type: IdentifierSet

Identification scheme: Sicovam; SicovamIdentifier

65.2.5.38 SmallNetworkIdentifier

Definition: The small network list of the Australian Bank State Branch (BSB) Code. The codes are used for identifying Australian financial institutions, as assigned by the Australian Payments Clearing Association (APCA).

Type: IdentifierSet

Identification scheme: Australian Payments Clearing Association (APCA); Australian BSB

Format

pattern AU[0-9]{6,6}

65.2.5.39 SouthAfricanNCCIdentifier

Definition: South African National Clearing Code (NCC). Identifies South African financial institutions on the South African national clearing system. The code is assigned by the South African Bankers Services Company Ltd. (BankServ).

Type: IdentifierSet

Identification scheme: South African Bankers Services Company Ltd (Bank Serv); South African National Clearing system Code

Format

pattern ZA[0-9]{6,6}

65.2.5.40 SpanishDomesticInterbankingIdentifier

Definition: Spanish Domestic Interbanking Code. Identifies Spanish financial institutions on the Spanish national clearing system. The code is assigned by the Centro de Cooperacion Interbancaria (CCI).

Type: IdentifierSet

Identification scheme: Centro de Cooperacion Interbancaria (CCI); Spanish Domestic Interbanking Identifier

Format

pattern ES[0-9]{8,9}

65.2.5.41 SwissBCIdentifier

Definition: Swiss Bank Code. Identifies Swiss institutions on the Swiss national clearing system.

Type: IdentifierSet

Identification scheme: Swiss Interbank Clearing Ltd; BC Identifier

Format

pattern SW[0-9]{3,5}

65.2.5.42 SwissSICIdentifier

Definition: Swiss Interbank Clearing (SIC) Code. Identifies Swiss financial institutions domestically, on the Swiss national clearing system.

Type: IdentifierSet

Identification scheme: Swiss Interbank Clearing Ltd; SIC Identifier

Format

pattern SW[0-9]{6,6}

65.2.5.43 TickerIdentifier

Definition: Letters that identify a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock, for example, RTR.L for Reuters quoted in London.

Type: IdentifierSet

Identification scheme: Bloomberg; BloombergIdentifier

Format

minLength	1
maxLength	35

65.2.5.44 UKDomesticSortCodeIdentifier

Definition: United Kingdom (UK) Sort Code. Identifies British financial institutions on the British national clearing systems. The sort code is assigned by the Association for Payments and Clearing Services (APACS).

Type: IdentifierSet

Identification scheme: Association for Payment Clearing Services (APACS); UK Sort Code

Format

pattern	SC[0-9]{6,6}
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65.2.5.45 ValorenIdentifier

Definition: Identifier for Swiss securities assigned by Telekurs Financial, the Swiss numbering agency.

Type: IdentifierSet

Identification scheme: Valoren; ValorenIdentifier

65.2.5.46 WertpapierIdentifier

Definition: Wertpapier Kenn-nummer. A number issued in Germany by the Wertpapier Mitteilungen. The Wertpapier Kenn-nummer, sometimes called WPK, contains 6-digits, but no check digit. There are different ranges of numbers representing different classes of securities.

Type: IdentifierSet

Identification scheme: Wertpapier; WertpapierIdentifier

65.2.6 Indicator

65.2.6.1 PlusOrMinusIndicator

Definition: Indicates a positive or negative value.

Type: Indicator

Meaning When True: Plus

Meaning When False: Minus

65.2.6.2 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

65.2.7 Quantity

65.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

65.2.7.2 Max1Number

Definition: Number (max 9) of objects represented as an integer.

Type: Quantity

Format

totalDigits	1
fractionDigits	0

65.2.7.3 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

65.2.8 Rate

65.2.8.1 BaseOneRate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

Type: Rate

Format

totalDigits	11
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fractionDigits	10
baseValue	1.0

65.2.8.2 PercentageBoundedRate

Definition: Rate expressed as a percentage, ie, in hundredths, eg, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

minInclusive	0
maxInclusive	100
totalDigits	11
fractionDigits	10
baseValue	100.0

65.2.8.3 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

65.2.9 Text

65.2.9.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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65.2.9.2 Extended350Code

Definition: Code and / or description for values that are not yet part of the related code list.

Type: Text

Format

minLength	1
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maxLength	350
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65.2.9.3 Max10Text

Definition: Specifies a character string with a maximum length of 10 characters.

Type: Text

Format

minLength	1
maxLength	10

65.2.9.4 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

65.2.9.5 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

65.2.9.6 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

65.2.9.7 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
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maxLength	256
-----------	-----

65.2.9.8 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.

Type: Text

Format

minLength	1
maxLength	34

65.2.9.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

65.2.9.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

65.2.9.11 Max3Text

Definition: Specifies a character string with a maximum length of 3 characters.

Type: Text

Format

minLength	1
maxLength	3

65.2.9.12 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
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maxLength	4
pattern	[a-zA-Z0-9]{1,4}

65.2.9.13 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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65.2.9.14 Max70Text

Definition: Specifies a character string with a maximum length of 70 characters.

Type: Text

Format

minLength	1
maxLength	70

65.2.9.15 Max8Text

Definition: Specifies a character string with a maximum length of 8 characters.

Type: Text

Format

minLength	1
maxLength	8

65.2.9.16 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+" and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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65.2.9.17 PreviousAll

Definition: Indicates that the investment plan issued during all the previous years have to be transferred.

Type: Text

Format

pattern

ALL

65.2.10 Time

65.2.10.1 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time

65.2.11 Year

65.2.11.1 ISOYear

Definition: Year represented by YYYY (ISO 8601).

Type: Year

65.2.12 YearMonth

65.2.12.1 ISOYearMonth

Definition: Month within a particular calendar year represented by YYYY-MM (ISO 8601).

Type: YearMonth