

ISO 20022

General Meeting Maintenance 2019 - 2020

Message Definition Report Part 2

Approved by the Securities SEG on the 27th of January 2020

This document provides details of the Message Definitions for General Meeting Maintenance 2019 - 2020.

February 2020

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1 Message Set Overview

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
seev.001.001.07 MeetingNotificationV07	The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.
seev.002.001.06 MeetingCancellationV06	The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.
seev.003.001.06 MeetingEntitlementNotificationV06	The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.
seev.004.001.06 MeetingInstructionV06	The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.
seev.005.001.06 MeetingInstructionCancellationRequestV06	The MeetingInstructionCancellationRequest message is sent by the same party that sent the MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.
seev.006.001.06 MeetingInstructionStatusV06	The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message. The message may also be sent by the Issuer or the intermediary to confirm that a vote has been cast.
seev.007.001.06 MeetingVoteExecutionConfirmationV06	The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.
seev.008.001.06 MeetingResultDisseminationV06	The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

2 **seev.001.001.07 MeetingNotificationV07**

2.1 **MessageDefinition Functionality**

Scope

The MeetingNotification message is sent by a notifying party, for example, an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to announce a meeting.

Usage

The MeetingNotification message is used to announce a meeting, for example, it provides information on the participation details and requirements for the meeting, the vote parameters and the resolutions. The MeetingNotification message may also be used to announce an update.

This message definition is intended for use with the Business Application Header (BAH).

Outline

The MeetingNotificationV07 MessageDefinition is composed of 13 MessageBuildingBlocks:

A. NotificationGeneralInformation

General information about the event notification type and status.

B. NotificationUpdate

Information specific to notification amendment as for instance the identification to a previous meeting notification.

C. EventsLinkage

Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

D. Meeting

Specifies information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

E. MeetingDetails

Dates and details of the shareholders meeting.

F. Issuer

Institution that is the issuer of the security to which the meeting applies.

G. IssuerAgent

Agent of the issuer.

H. Security

Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

I. Resolution

Detailed information of a resolution proposed to the vote.

J. Vote

Conditions to be allowed to vote, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

K. PowerOfAttorneyRequirements

Information about the use of Power of Attorney.

L. AdditionalInformation

Additional narrative information about the corporate event.

M. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgNtfctn>	[1..1]		C3, C9, C15, C17, C20	
	NotificationGeneralInformation <NtfctnGnlInf>	[1..1]			20
	NotificationType <NtfctnTp>	[1..1]	CodeSet		20
	NotificationStatus <NtfctnSts>	[1..1]			20
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		21
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		21
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		21
	NotificationUpdate <NtfctnUpd>	[0..1]			21
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		22
	ReconfirmInstructions <RcnfrmInstrs>	[1..1]	Indicator		22
	EventsLinkage <EvtsLkg>	[0..*]			22
	EventIdentification <EvtId>	[1..1]			22
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		23
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		23
	LinkageType <LkgTp>	[0..1]	CodeSet		23
	Meeting <Mtg>	[1..1]			23
	MeetingIdentification <MtgId>	[0..1]	Text		27
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		28
	Type <Tp>	[1..1]	CodeSet		28
	Classification <Clssfctn>	[0..1]			28
{Or	Code <Cd>	[1..1]	CodeSet		28
Or}	Proprietary <Prtry>	[1..1]	±		29
	AnnouncementDate <AnncmntDt>	[0..1]	Date		29
	Participation <Prtcpn>	[0..*]			29
	ParticipationMethod <PrtcpnMtd>	[1..1]			30
{Or	Code <Cd>	[1..1]	CodeSet		30
Or}	Proprietary <Prtry>	[1..1]	±		31
	IssuerDeadlineForVoting <IssrDdlNForVtng>	[1..1]			31

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		31
Or}	DateCode <DtCd>	[1..1]	CodeSet		31
	Attendance <Attdnc>	[0..1]			32
	AdmissionConditions <AdmssnConds>	[0..7]			32
	Code <Cd>	[1..1]	CodeSet		32
	AdditionalInformation <AddtlInf>	[0..1]	Text		33
	ConfirmationInformation <ConfInf>	[0..1]	Text		33
	ConfirmationDeadline <ConfDdln>	[0..1]			33
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		33
Or}	DateCode <DtCd>	[1..1]	CodeSet		34
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]			34
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		34
Or}	DateCode <DtCd>	[1..1]	CodeSet		34
	AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>	[0..*]	Text		35
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			35
	AdditionalRight <AddtlRght>	[1..1]	±		35
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		36
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]			36
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		36
Or}	DateCode <DtCd>	[1..1]	CodeSet		36
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]			37
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		37
Or}	DateCode <DtCd>	[1..1]	CodeSet		37
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			37
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		38
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		38
	TotalNumberOfSecuritiesOutstanding <TtlNbOfScitiesOutsdng>	[0..1]	±		38
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		38
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		38

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyChoice <PrxyChc>	[0..1]			39
{Or	Proxy <Prxy>	[1..1]			41
	RegistrationMethod <RegnMtd>	[0..1]	Text		43
	Deadline <Ddln>	[0..1]			43
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		44
	MarketDeadline <MktDdln>	[0..1]			44
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		45
	AuthorisedProxy <AuthrsdPrxy>	[0..10]		C16	45
	ProxyType <PrxyTp>	[1..1]	CodeSet		47
	PersonDetails <PrsnDtls>	[0..1]			47
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	ClientIdentification <CIntld>	[1..1]	Text		56
Or}	ProprietaryIdentification <Prtryld>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <Srm>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		62
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			62
	ContactPerson <CtctPrsn>	[0..1]	±		63
	EmployingParty <EmplngPty>	[0..1]	±		63
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		63
	ResultPublicationDate <RsItPblctnDt>	[0..1]	±		64
	SecuritiesBlockingPeriodEndDate <ScitiesBlckgPrdEndDt>	[0..1]	DateTime		64
	EntitlementFixingDate <EntitlmntFxdDt>	[0..1]			64

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		64
	DateMode <DtMd>	[0..1]	CodeSet		64
	RegistrationSecuritiesDeadline <RegnSciesDdlIn>	[0..1]			65
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		65
Or}	DateCode <DtCd>	[1..1]	CodeSet		65
	RegistrationSecuritiesMarketDeadline <RegnSciesMktDdlIn>	[0..1]			65
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		66
	RegistrationParticipationDeadline <RegnPrtcptnDdlIn>	[0..1]			66
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		67
	RegistrationParticipationMarketDeadline <RegnPrtcptnMktDdlIn>	[0..1]			67
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		67
Or}	DateCode <DtCd>	[1..1]	CodeSet		67
	MeetingDetails <MtgDtls>	[1..5]		C6	68
	DateAndTime <DtAndTm>	[1..1]			68
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		69
Or}	DateCode <DtCd>	[1..1]	CodeSet		69
	DateStatus <DtSts>	[0..1]	CodeSet		69
	QuorumRequired <QrmReqrd>	[1..1]	Indicator		69
	Location <Lctn>	[1..5]			70
{Or	Address <Adr>	[1..1]	±		70
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		70
	QuorumQuantity <QrmQty>	[0..1]			70
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		71
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		71
	URLAddress <URLAdr>	[0..1]	Text		71
	Issuer <Issr>	[1..1]			71
	Identification <Id>	[1..1]	±		71
	URLAddress <URLAdr>	[0..1]	Text		72
	IssuerAgent <IssrAgt>	[0..10]			72

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	Role <Role>	[0..1]	CodeSet		72
	Security <Scty>	[1..200]			73
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C14	77
	Position <Pos>	[0..1000]		C10, C18	78
	AccountIdentification <AcctId>	[1..1]	Text		82
	AccountOwner <AcctOwnr>	[0..1]			82
{Or	LegalPerson <LglPrsn>	[1..1]			84
	NameAndAddress <NmAndAdr>	[1..1]			85
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88
	EmailAddress <EmailAdr>	[0..1]	Text		88
	Identification <Id>	[1..1]			88
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClnId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]			89
	NameAndAddress <NmAndAdr>	[1..1]			90
	FirstName <FrstNm>	[1..1]	Text		91

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Surname <Snm>	[1..1]	Text		91
	Address <Adr>	[0..1]			91
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93
	EmailAddress <EmailAdr>	[0..1]	Text		93
	Identification <Id>	[1..1]			93
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95
	HoldingBalance <HldgBal>	[0..15]			95
	Balance <Bal>	[1..1]	±		95
	BalanceType <BalTp>	[1..1]	CodeSet		96
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		96
	Date <Dt>	[0..1]	Date		97
	RightsHolder <RghtsHldr>	[0..10]			97
{Or	LegalPerson <LglPrsn>	[1..1]			99
	NameAndAddress <NmAndAdr>	[1..1]			100
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103
	EmailAddress <EmailAdr>	[0..1]	Text		103
	Identification <Id>	[0..1]			103
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClnId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104
Or}	NaturalPerson <NtrlPrsn>	[1..*]			104
	NameAndAddress <NmAndAdr>	[1..1]			105
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <SrnNm>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108
	EmailAddress <EmailAdr>	[0..1]	Text		108
	Identification <Id>	[0..1]			108
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		110
	Resolution <Rsln>	[0..1000]			110
	IssuerLabel <IssrLbl>	[1..1]	Text		111
	Description <Desc>	[0..1]	Text		111
	Title <Titl>	[0..1]	Text		112
	Type <Tp>	[0..1]	CodeSet		112
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		112
	VoteType <VoteTp>	[0..1]	CodeSet		112
	Status <Sts>	[1..1]	CodeSet		112
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		113
	VoteInstructionType <VoteInstrTp>	[0..*]		C2	113
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]			113
{Or	Type <Tp>	[1..1]	CodeSet		114
Or}	Proprietary <Prtry>	[1..1]	±		114
	AdditionalInformation <AddtlInf>	[0..1]	Text		115
	ManagementRecommendation <MgmtRcmmndtn>	[0..1]	CodeSet		115
	NotifyingPartyRecommendation <NtifngPtyRcmmndtn>	[0..1]	CodeSet		116
	Entitlement <Entitlmnt>	[0..1]			116
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		116
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		117
	VotingRightsThresholdForApproval <VtngRghtsThrshldForAprvl>	[0..1]			117
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		117
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		117
	URLAddress <URLAdr>	[0..1]	Text		117
	Vote <Vote>	[0..1]			117
	SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>	[0..1]	±		119
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		120
	SplitVoteAllowed <SplVoteAllwd>	[1..1]	Indicator		120
	VoteDeadline <VoteDdln>	[0..1]			120
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		120
Or}	DateCode <DtCd>	[1..1]	CodeSet		121

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteMarketDeadline <VoteMktDdln>	[0..1]			121
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]	CodeSet		121
	VoteMethods <VoteMthds>	[0..1]			122
	VoteThroughNetwork <VoteThrghNtwk>	[0..5]	IdentifierSet	C4	122
	VoteByMail <VoteByMail>	[0..5]	±		122
	ElectronicVote <ElctrncVote>	[0..5]			123
	EmailAddress <EmailAdr>	[0..1]	Text		123
	URLAddress <URLAdr>	[0..1]	Text		123
	VoteByTelephone <VoteByTel>	[0..5]	Text		123
	VotingBallotElectronicAddress <VtngBlItElctrncAdr>	[0..1]			123
	EmailAddress <EmailAdr>	[0..1]	Text		124
	URLAddress <URLAdr>	[0..1]	Text		124
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		124
	RevocabilityDeadline <RvcbltyDdln>	[0..1]			124
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		125
	RevocabilityMarketDeadline <RvcbltyMktDdln>	[0..1]			125
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		126
	BeneficialOwnerDisclosure <BnfclOwnrDsclsr>	[1..1]	Indicator		126
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]			126
	Description <Desc>	[0..1]	Text		127
	Amount <Amt>	[1..1]	±		127
	Type <Tp>	[1..1]			127
{Or	PerSecurity <PerScty>	[1..1]	Quantity		127
Or	PerVote <PerVote>	[1..1]	Quantity		127
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		127
	PaymentDate <PmtDt>	[0..1]	±		128
	IncentivePremium <IncntivPrm>	[0..1]			128
	Description <Desc>	[0..1]	Text		128

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	±		128
	Type <Tp>	[1..1]			129
{Or	PerSecurity <PerScty>	[1..1]	Quantity		129
Or	PerVote <PerVote>	[1..1]	Quantity		129
Or}	PerAttendee <PerAtndee>	[1..1]	Indicator		129
	PaymentDate <PmtDt>	[0..1]	±		129
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdlIn>	[0..1]			130
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		130
Or}	DateCode <DtCd>	[1..1]	CodeSet		130
	VoteWithPremiumDeadline <VoteWthPrmDdlIn>	[0..1]			130
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		131
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdlIn>	[0..1]			131
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		132
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		132
	PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>	[0..1]	Indicator		132
	PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>	[0..1]		C13	132
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		133
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		133
	DocumentSubmissionDeadline <DocSubmissnDdlIn>	[0..1]			133
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		134
Or}	DateCode <DtCd>	[1..1]	CodeSet		134
	AdditionalInformation <AddtlInf>	[0..1]			134
	Disclaimer <Dsclmr>	[0..*]	Text		134
	SupplementaryData <SplmtryData>	[0..*]	±	C19	135

2.3 Constraints

C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2 AdditionalInformationRule

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

C3 AgentRole1Rule

IssuerAgent/Role PRIN (Principal Agent) can only be present in a single occurrence of Issuer Agent.

This constraint is defined at the MessageDefinition level.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 DateStatus1Rule

If DateAndTime/DateCode is "UKWN" (Unknwon), then DateStatus must not be present.

C7 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C8 DescriptionUsageRule

Description must be used alone as the last resort.

C9 EntitlementDate1Guideline

If both EntitlementSpecification/EntitlementFixingDate and Security/Position/HoldingBalance/Date are present, it is recommended that both date values be identical.

This constraint is defined at the MessageDefinition level.

C10 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C11 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C12 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C13 OtherDocumentation1Guideline

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

C14 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C15 PreviousNotificationIdentificationRule

If NotificationGeneralInformation/NotificationType is REPL (Replacement), then NotificationUpdate/PreviousNotificationIdentification must be present.

On Condition

/NotificationGeneralInformation/NotificationType is equal to value 'Replacement'

Following Must be True

/NotificationUpdate/PreviousNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C16 ProxyAppointment1Rule

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

C17 Resolution1Guideline

When an agenda item needs to be linked to a resolution, it is recommended that the resolution information directly follows the agenda item information to which the resolution is linked, as illustrated in the following example:

Resolution/IssuerLabel = Agenda item 1

Description = <Item description.>

ForInformationOnly = True

Type =.

Directly followed by

Resolution/IssuerLabel = Resolution 1

Description = <Resolution description.>

ForInformationOnly = False

Type =.

.

This constraint is defined at the MessageDefinition level.

C18 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C19 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C20 VoteInstructionType1Guideline

If all of the vote instructions types are to be the same for all resolutions, it is recommended that only the element Vote/VoteInstructionType is used. If the vote instruction types are not going to

be the same for each resolution, then it is recommended that only the element Resolution/VoteInstructionType in each occurrence of Resolution is used.

This constraint is defined at the MessageDefinition level.

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 NotificationGeneralInformation <NtfctnGnlInf>

Presence: [1..1]

Definition: General information about the event notification type and status.

NotificationGeneralInformation <NtfctnGnlInf> contains the following **NotificationGeneralInformation2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NotificationType <NtfctnTp>	[1..1]	CodeSet		20
	NotificationStatus <NtfctnSts>	[1..1]			20
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		21
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		21
	ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>	[0..1]	Indicator		21

2.4.1.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType3Code" on page 692

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

2.4.1.2 NotificationStatus <NtfctnSts>

Presence: [1..1]

Definition: Status of the details of the event.

NotificationStatus <NtfctnSts> contains the following **EventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		21
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		21

2.4.1.2.1 EventCompletenessStatus <EvtCmpltnsSts>

Presence: [1..1]

Definition: Specifies whether the details provided about an event are complete or incomplete.

Datatype: "EventCompletenessStatus1Code" on page 689

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

2.4.1.2.2 EventConfirmationStatus <EvtConfSts>

Presence: [1..1]

Definition: Specifies the status of the occurrence of an event.

Datatype: "EventConfirmationStatus1Code" on page 690

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

2.4.1.3 ShareholderRightsDirectiveIndicator <ShrhldrRghtsDrctvInd>

Presence: [0..1]

Definition: Indicates whether the announcement was initiated by the first intermediary in the custody chain in accordance with SRD II.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.2 NotificationUpdate <NtfctnUpd>

Presence: [0..1]

Definition: Information specific to notification amendment as for instance the identification to a previous meeting notification.

NotificationUpdate <NtfctnUpd> contains the following **NotificationUpdate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreviousNotificationIdentification <PrvsNtfctnId>	[1..1]	Text		22
	ReconfirmInstructions <RcnfrmlInstrs>	[1..1]	Indicator		22

2.4.2.1 PreviousNotificationIdentification <PrvsNtfctnId>

Presence: [1..1]

Definition: Identification of a previously sent meeting notification message.

Datatype: "Max35Text" on page 706

2.4.2.2 ReconfirmInstructions <RcnfrmlInstrs>

Presence: [1..1]

Definition: Indicates whether a meeting instruction must be resent in case the parameters of the meeting are changed and the meeting instruction has already been sent.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.3 EventsLinkage <EvtsLkg>

Presence: [0..*]

Definition: Identification of another meeting event that needs to be closely linked to the processing of the event notified in this meeting notification.

EventsLinkage <EvtsLkg> contains the following **MeetingEventReference1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventIdentification <EvtId>	[1..1]			22
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtglId>	[1..1]	Text		23
Or}	LinkedMeetingIdentification <LkdMtglId>	[1..1]	Text		23
	LinkageType <LkgTp>	[0..1]	CodeSet		23

2.4.3.1 EventIdentification <EvtId>

Presence: [1..1]

Definition: Identification of the linked meeting event.

EventIdentification <EvtId> contains one of the following **MeetingEventReference1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LinkedIssuerMeetingIdentification <LkdIssrMtgId>	[1..1]	Text		23
Or}	LinkedMeetingIdentification <LkdMtgId>	[1..1]	Text		23

2.4.3.1.1 LinkedIssuerMeetingIdentification <LkdIssrMtgId>

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a meeting event.

Datatype: "Max35Text" on page 706

2.4.3.1.2 LinkedMeetingIdentification <LkdMtgId>

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related meeting event.

Datatype: "Max35Text" on page 706

2.4.3.2 LinkageType <LkgTp>

Presence: [0..1]

Definition: Specifies when the event is to be processed relative to the linked event.

Datatype: "ProcessingPosition3Code" on page 694

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

2.4.4 Meeting <Mtg>

Presence: [1..1]

Definition: Specifies information about the meeting like meeting identifications, various deadlines, contact persons, electronic and postal locations for accessing information and proxy assignment parameters.

Meeting <Mtg> contains the following **MeetingNotice5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		27
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		28
	Type <Tp>	[1..1]	CodeSet		28
	Classification <Clssfctn>	[0..1]			28
{Or	Code <Cd>	[1..1]	CodeSet		28
Or}	Proprietary <Prtry>	[1..1]	±		29
	AnnouncementDate <AnncmntDt>	[0..1]	Date		29
	Participation <Prtcpn>	[0..*]			29
	ParticipationMethod <PrtcpnMtd>	[1..1]			30
{Or	Code <Cd>	[1..1]	CodeSet		30
Or}	Proprietary <Prtry>	[1..1]	±		31
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]			31
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		31
Or}	DateCode <DtCd>	[1..1]	CodeSet		31
	Attendance <Attdnc>	[0..1]			32
	AdmissionConditions <AdmssnConds>	[0..7]			32
	Code <Cd>	[1..1]	CodeSet		32
	AdditionalInformation <AddtlInf>	[0..1]	Text		33
	ConfirmationInformation <ConfInf>	[0..1]	Text		33
	ConfirmationDeadline <ConfDdln>	[0..1]			33
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		33
Or}	DateCode <DtCd>	[1..1]	CodeSet		34
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]			34
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		34
Or}	DateCode <DtCd>	[1..1]	CodeSet		34
	AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>	[0..*]	Text		35
	AdditionalProcedureDetails <AddtlPrcdrDtls>	[0..5]			35
	AdditionalRight <AddtlRght>	[1..1]	±		35
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		36
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]			36

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		36
Or}	DateCode <DtCd>	[1..1]	CodeSet		36
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]			37
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		37
Or}	DateCode <DtCd>	[1..1]	CodeSet		37
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			37
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		38
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		38
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>	[0..1]	±		38
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		38
	ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>	[0..1]	±		38
	ProxyChoice <PrxyChc>	[0..1]			39
{Or	Proxy <Prxy>	[1..1]			41
	RegistrationMethod <RegnMtd>	[0..1]	Text		43
	Deadline <Ddln>	[0..1]			43
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		44
	MarketDeadline <MktDdln>	[0..1]			44
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		45
	AuthorisedProxy <AuthrsdPrxy>	[0..10]		C16	45
	ProxyType <PrxyTp>	[1..1]	CodeSet		47
	PersonDetails <PrsnDtls>	[0..1]			47
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClntId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <SrnM>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		62
	ContactPersonDetails <CtctPrsnDtls>	[0..12]			62
	ContactPerson <CtctPrsn>	[0..1]	±		63
	EmployingParty <EmplngPty>	[0..1]	±		63
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		63
	ResultPublicationDate <RsltPblctnDt>	[0..1]	±		64
	SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>	[0..1]	DateTime		64
	EntitlementFixingDate <EntitlmntFxdDt>	[0..1]			64
	Date <Dt>	[1..1]	±		64
	DateMode <DtMd>	[0..1]	CodeSet		64
	RegistrationSecuritiesDeadline <RegnSctiesDdln>	[0..1]			65
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		65
Or}	DateCode <DtCd>	[1..1]	CodeSet		65
	RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln>	[0..1]			65
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		66
	RegistrationParticipationDeadline <RegnPrtpcptnDdln>	[0..1]			66
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		67
	RegistrationParticipationMarketDeadline <RegnPrtpcptnMktDdln>	[0..1]			67
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		67
Or}	DateCode <DtCd>	[1..1]	CodeSet		67

2.4.4.1 MeetingIdentification <Mtgid>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification

Datatype: "Max35Text" on page 706

2.4.4.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

2.4.4.3 Type <Tp>

Presence: [1..1]

Definition: Type of security holders meeting.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

2.4.4.4 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		28
Or}	Proprietary <Prtry>	[1..1]	±		29

2.4.4.4.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

2.4.4.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

2.4.4.5 AnnouncementDate <AnncmntDt>

Presence: [0..1]

Definition: Official meeting announcement date.

Datatype: "ISODate" on page 702

2.4.4.6 Participation <Prtcptn>

Presence: [0..*]

Definition: Method of voting participation to the general meeting and related voting deadline per method of participation.

Participation <Prtcpn> contains the following **ParticipationMethod1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcpnMtd>	[1..1]			30
{Or	Code <Cd>	[1..1]	CodeSet		30
Or}	Proprietary <Prtry>	[1..1]	±		31
	IssuerDeadlineForVoting <IssrDdlnForVtng>	[1..1]			31
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		31
Or}	DateCode <DtCd>	[1..1]	CodeSet		31

2.4.4.6.1 ParticipationMethod <PrtcpnMtd>

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcpnMtd> contains one of the following **ParticipationMethod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		30
Or}	Proprietary <Prtry>	[1..1]	±		31

2.4.4.6.1.1 Code <Cd>

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: "VotingParticipationMethod1Code" on page 701

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.

2.4.4.6.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Method of participation in the general meeting vote expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

2.4.4.6.2 IssuerDeadlineForVoting <IssrDdInForVtng>*Presence:* [1..1]*Definition:* Deadline for voting on agenda resolutions at the general meeting.**IssuerDeadlineForVoting <IssrDdInForVtng>** contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		31
Or}	DateCode <DtCd>	[1..1]	CodeSet		31

2.4.4.6.2.1 DateOrDateTime <DtOrDtTm>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**DateOrDateTime <DtOrDtTm>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.6.2.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Type of date.*Datatype:* "[DateType1Code](#)" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.7 Attendance <AttnDnc>

Presence: [0..1]

Definition: Information and conditions for physical attendance at the general meeting.

Attendance <AttnDnc> contains the following **Attendance1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdmissionConditions <AdmssnConds>	[0..7]			32
	Code <Cd>	[1..1]	CodeSet		32
	AdditionalInformation <AddtlInf>	[0..1]	Text		33
	ConfirmationInformation <ConfInf>	[0..1]	Text		33
	ConfirmationDeadline <ConfDdln>	[0..1]			33
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		33
Or}	DateCode <DtCd>	[1..1]	CodeSet		34
	ConfirmationMarketDeadline <ConfMktDdln>	[0..1]			34
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		34
Or}	DateCode <DtCd>	[1..1]	CodeSet		34

2.4.4.7.1 AdmissionConditions <AdmssnConds>

Presence: [0..7]

Definition: Conditions for physical admittance to general meeting.

AdmissionConditions <AdmssnConds> contains the following **AttendanceAdmissionConditions1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	CodeSet		32
	AdditionalInformation <AddtlInf>	[0..1]	Text		33

2.4.4.7.1.1 Code <Cd>

Presence: [1..1]

Definition: Condition for physical admittance to general meetings expressed as a code.

Datatype: "AttendanceAdmissionConditions1Code" on page 687

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.

CodeName	Name	Definition
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.

2.4.4.7.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information on the conditions for physical admittance to general meeting.

Datatype: "Max350Text" on page 706

2.4.4.7.2 ConfirmationInformation <Conflnf>

Presence: [0..1]

Definition: Specifies how to order the attendance card or to give notice of attendance.

Datatype: "Max350Text" on page 706

2.4.4.7.3 ConfirmationDeadline <ConfDdln>

Presence: [0..1]

Definition: Date and time by which the beneficial owner or agent must provide notification of its intention to participate in the meeting. This deadline is set by an intermediary.

ConfirmationDeadline <ConfDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		33
Or}	DateCode <DtCd>	[1..1]	CodeSet		34

2.4.4.7.3.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.7.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.7.4 ConfirmationMarketDeadline <ConfMktDdln>

Presence: [0..1]

Definition: Date and time by which the attendance to the meeting should be confirmed. This deadline is set by the issuer.

ConfirmationMarketDeadline <ConfMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		34
Or}	DateCode <DtCd>	[1..1]	CodeSet		34

2.4.4.7.4.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.7.4.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.8 AdditionalDocumentationURLAddress <AddtlDcmnttnURLAdr>

Presence: [0..*]

Definition: Address to use over the www (HTTP) service where additional information on the meeting may be found.

Datatype: "Max2048Text" on page 705

2.4.4.9 AdditionalProcedureDetails <AddtlPrcdrDtls>

Presence: [0..5]

Definition: Additional procedural information about the general meeting, specifying the participation requirements and the voting procedures. Alternatively, this may indicate where such information may be obtained.

AdditionalProcedureDetails <AddtlPrcdrDtls> contains the following **AdditionalRights3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalRight <AddtlRght>	[1..1]	±		35
	AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>	[0..1]	Text		36
	AdditionalRightDeadline <AddtlRghtDdln>	[0..1]			36
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		36
Or}	DateCode <DtCd>	[1..1]	CodeSet		36
	AdditionalRightMarketDeadline <AddtlRghtMktDdln>	[0..1]			37
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		37
Or}	DateCode <DtCd>	[1..1]	CodeSet		37
	AdditionalRightThreshold <AddtlRghtThrshld>	[0..1]			37
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		38
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		38

2.4.4.9.1 AdditionalRight <AddtlRght>

Presence: [1..1]

Definition: Specific rights granted to the shareholders that can be exercised at shareholders meetings, for example, the right to ask questions, the right to add items to the agenda or table draft resolutions.

AdditionalRight <AddtlRght> contains one of the following elements (see "AdditionalRightCode1Choice" on page 673 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		673
Or}	Proprietary <Prtry>	[1..1]	±		674

2.4.4.9.2 AdditionalRightInformationURLAddress <AddtlRghtInfURLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where additional information on specific rights granted to the shareholders can be found.

Datatype: "Max2048Text" on page 705

2.4.4.9.3 AdditionalRightDeadline <AddtlRghtDdln>

Presence: [0..1]

Definition: Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by an intermediary.

AdditionalRightDeadline <AddtlRghtDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		36
Or}	DateCode <DtCd>	[1..1]	CodeSet		36

2.4.4.9.3.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.9.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.9.4 AdditionalRightMarketDeadline <AddtlRghtMktDdln>*Presence:* [0..1]*Definition:* Additional right granted to determine the date and time by which security holders can propose amendments or new resolutions. This deadline is set by the issuer.**AdditionalRightMarketDeadline <AddtlRghtMktDdln>** contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		37
Or}	DateCode <DtCd>	[1..1]	CodeSet		37

2.4.4.9.4.1 DateOrDateTime <DtOrDtTm>*Presence:* [1..1]*Definition:* Date expressed as a calendar date.**DateOrDateTime <DtOrDtTm>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.9.4.2 DateCode <DtCd>*Presence:* [1..1]*Definition:* Type of date.*Datatype:* ["DateType1Code"](#) on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.9.5 AdditionalRightThreshold <AddtlRghtThrshld>*Presence:* [0..1]*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.**AdditionalRightThreshold <AddtlRghtThrshld>** contains one of the following **AdditionalRightThreshold1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AdditionalRightThreshold <AddtlRghtThrshld>	[1..1]	Text		38
Or}	AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>	[1..1]	Rate		38

2.4.4.9.5.1 AdditionalRightThreshold <AddtlRghtThrshld>*Presence:* [1..1]*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions.*Datatype:* "Max35Text" on page 706**2.4.4.9.5.2 AdditionalRightThresholdPercentage <AddtlRghtThrshldPctg>***Presence:* [1..1]*Definition:* Additional right granted to specify the minimum stake in share capital or cash value or number of security holders required to table resolutions. This minimum is expressed as a percentage.*Datatype:* "PercentageRate" on page 704**2.4.4.10 TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>***Presence:* [0..1]*Definition:* Number of securities admitted to the vote, expressed as an amount and a currency.**TotalNumberOfSecuritiesOutstanding <TtlNbOfSciesOutsdng>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

2.4.4.11 TotalNumberOfVotingRights <TtlNbOfVtngRghts>*Presence:* [0..1]*Definition:* Number of rights admitted to the vote.*Datatype:* "Number" on page 704**2.4.4.12 ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr>***Presence:* [0..1]*Definition:* Address where the information on the proxy should be sent.

ProxyAppointmentNotificationAddress <PrxyAppntmntNtfctnAdr> contains the following elements
(see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

2.4.4.13 ProxyChoice <PrxyChc>

Presence: [0..1]

Definition: Specifies the proxy or whether a proxy is not allowed.

ProxyChoice <PrxyChc> contains one of the following **Proxy3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Proxy <Prxy>	[1..1]			41
	RegistrationMethod <RegnMtd>	[0..1]	Text		43
	Deadline <Ddln>	[0..1]			43
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		44
	MarketDeadline <MktDdln>	[0..1]			44
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		45
	AuthorisedProxy <AuthrsdPrxy>	[0..10]		C16	45
	ProxyType <PrxyTp>	[1..1]	CodeSet		47
	PersonDetails <PrsnDtls>	[0..1]			47
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClntId>	[1..1]	Text		56

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <SrnNm>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62
Or}	ProxyNotAllowed <PrxyNotAllwd>	[1..1]	CodeSet		62

2.4.4.13.1 Proxy <Prxy>

Presence: [1..1]

Definition: Proxy assignment and registration information.

Proxy <Prxy> contains the following **ProxyAppointmentInformation4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationMethod <RegnMtd>	[0..1]	Text		43
	Deadline <Ddln>	[0..1]			43
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		44
	MarketDeadline <MktDdln>	[0..1]			44
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		45
	AuthorisedProxy <AuthrsdPrxy>	[0..10]		C16	45
	ProxyType <PrxyTp>	[1..1]	CodeSet		47
	PersonDetails <PrsnDtls>	[0..1]			47
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <CIntId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <SrnM>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62

2.4.4.13.1.1 RegistrationMethod <RegnMtd>

Presence: [0..1]

Definition: Specifies how to register the proxy.

Datatype: "Max350Text" on page 706

2.4.4.13.1.2 Deadline <Ddln>

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the intermediary.

Deadline <DdIn> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		44

2.4.4.13.1.2.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.13.1.2.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.13.1.3 MarketDeadline <MktDdIn>

Presence: [0..1]

Definition: Date by which the information on the proxy assignment must be received by the issuer.

MarketDeadline <MktDdIn> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		44
Or}	DateCode <DtCd>	[1..1]	CodeSet		45

2.4.4.13.1.3.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.13.1.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "[DateType1Code](#)" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.13.1.4 AuthorisedProxy <AuthrsdPrxy>

Presence: [0..10]

Definition: Proxy person that is authorised by the issuer.

Impacted by: [C16 "ProxyAppointment1Rule"](#)

AuthorisedProxy <AuthrsdPrxy> contains the following **Proxy8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		47
	PersonDetails <PrsnDtls>	[0..1]			47
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <CintId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <SrnM>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62

Constraints

- **ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition

/ProxyType is equal to value 'Discretionary'

Or /ProxyType is equal to value 'SecurityHolder'

Following Must be True

/PersonDetails Must be present

2.4.4.13.1.4.1 ProxyType <PrxyTp>

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType2Code" on page 695

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

2.4.4.13.1.4.2 PersonDetails <PrsnDtls>

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

PersonDetails <PrsnDtls> contains the following **IndividualPerson40** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			49
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClntId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <Srm>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62
	EmployingParty <EmplngPty>	[0..1]	±		62

2.4.4.13.1.4.2.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			51
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClnId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56
Or}	NaturalPerson <NtrlPrsn>	[1..1]			56
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <Snm>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62

2.4.4.13.1.4.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			52
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55
	EmailAddress <EmailAdr>	[0..1]	Text		55
	Identification <Id>	[1..1]			55
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClnId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56

2.4.4.13.1.4.2.1.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		53
	Address <Adr>	[0..1]			53
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55

2.4.4.13.1.4.2.1.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.4.13.1.4.2.1.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		54
	AddressLine <AdrLine>	[0..5]	Text		54
	StreetName <StrtNm>	[0..1]	Text		54
	BuildingNumber <BldgNb>	[0..1]	Text		54
	PostBox <PstBx>	[0..1]	Text		54
	PostCode <PstCd>	[0..1]	Text		54
	TownName <TwnNm>	[0..1]	Text		55
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		55
	Country <Ctry>	[1..1]	CodeSet	C5	55

2.4.4.13.1.4.2.1.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.4.13.1.4.2.1.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

2.4.4.13.1.4.2.1.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

2.4.4.13.1.4.2.1.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**2.4.4.13.1.4.2.1.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**2.4.4.13.1.4.2.1.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.4.13.1.4.2.1.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**2.4.4.13.1.4.2.1.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		55
Or	LEI <LEI>	[1..1]	IdentifierSet		56
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	56
Or	ClientIdentification <ClntId>	[1..1]	Text		56
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		56

2.4.4.13.1.4.2.1.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

2.4.4.13.1.4.2.1.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

2.4.4.13.1.4.2.1.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

2.4.4.13.1.4.2.1.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

2.4.4.13.1.4.2.1.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

2.4.4.13.1.4.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			57
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <SrnM>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60
	EmailAddress <EmailAdr>	[0..1]	Text		60
	Identification <Id>	[1..1]			60
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62

2.4.4.13.1.4.2.1.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		58
	Surname <Srnm>	[1..1]	Text		58
	Address <Adr>	[0..1]			58
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60

2.4.4.13.1.4.2.1.2.1.1 **FirstName** <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.4.13.1.4.2.1.2.1.2 **Surname** <Srnm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

2.4.4.13.1.4.2.1.2.1.3 **Address** <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		59
	AddressLine <AdrLine>	[0..5]	Text		59
	StreetName <StrtNm>	[0..1]	Text		59
	BuildingNumber <BldgNb>	[0..1]	Text		59
	PostBox <PstBx>	[0..1]	Text		60
	PostCode <PstCd>	[0..1]	Text		60
	TownName <TwnNm>	[0..1]	Text		60
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		60
	Country <Ctry>	[1..1]	CodeSet	C5	60

2.4.4.13.1.4.2.1.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.4.13.1.4.2.1.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

2.4.4.13.1.4.2.1.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

2.4.4.13.1.4.2.1.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

2.4.4.13.1.4.2.1.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

2.4.4.13.1.4.2.1.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

2.4.4.13.1.4.2.1.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.4.13.1.4.2.1.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

2.4.4.13.1.4.2.1.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		61
	IdentificationType <IdTp>	[0..1]			61
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62

2.4.4.13.1.4.2.1.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

2.4.4.13.1.4.2.1.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		61
Or}	Proprietary <Prtry>	[1..1]	±		62

2.4.4.13.1.4.2.1.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

2.4.4.13.1.4.2.1.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

2.4.4.13.1.4.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

2.4.4.13.2 ProxyNotAllowed <PrxyNotAllwd>

Presence: [1..1]

Definition: Indicates that no proxy is allowed for a meeting.

Datatype: "[ProxyNotAllowed1Code](#)" on page 694

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

2.4.4.14 ContactPersonDetails <CtctPrsnDtls>

Presence: [0..12]

Definition: Contact person at the party organising the meeting, at the issuer or at an intermediary.

ContactPersonDetails <CtctPrsnDtls> contains the following **MeetingContactPerson3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[0..1]	±		63
	EmployingParty <EmplngPty>	[0..1]	±		63
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		63

2.4.4.14.1 ContactPerson <CtctPrsn>

Presence: [0..1]

Definition: Identification of the contact person by its name, given name and address.

ContactPerson <CtctPrsn> contains the following elements (see "[ContactIdentification1](#)" on page 676 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		676
	NamePrefix <NmPrfx>	[0..1]	CodeSet		676
	GivenName <GvnNm>	[0..1]	Text		677
	Role <Role>	[0..1]	Text		677
	PhoneNumber <PhneNb>	[0..1]	Text		677
	FaxNumber <FaxNb>	[0..1]	Text		677
	EmailAddress <EmailAdr>	[0..1]	Text		677

2.4.4.14.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Identification of the organisation which is represented by the person or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

2.4.4.14.3 PlaceOfListing <PlcOfListg>

Presence: [0..1]

Definition: Identification of the financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Datatype: "MICIdentifier" on page 703

2.4.4.15 ResultPublicationDate <RsltPblctnDt>

Presence: [0..1]

Definition: Date on which the company publishes the results of its meeting.

ResultPublicationDate <RsltPblctnDt> contains one of the following elements (see "DateFormat3Choice" on page 667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateCode <DtCd>	[1..1]	CodeSet		667

2.4.4.16 SecuritiesBlockingPeriodEndDate <SctiesBlckgPrdEndDt>

Presence: [0..1]

Definition: Date by which the blocking period for the securities should end.

Datatype: "ISODateTime" on page 702

2.4.4.17 EntitlementFixingDate <EntitlmntFxdDt>

Presence: [0..1]

Definition: Date at which the positions are struck to record which parties will receive the entitlement, for example, record date, book close date.

EntitlementFixingDate <EntitlmntFxdDt> contains the following **DateFormat1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[1..1]	±		64
	DateMode <DtMd>	[0..1]	CodeSet		64

2.4.4.17.1 Date <Dt>

Presence: [1..1]

Definition: Date at which the event occurs.

Date <Dt> contains one of the following elements (see "DateFormat3Choice" on page 667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateCode <DtCd>	[1..1]	CodeSet		667

2.4.4.17.2 DateMode <DtMd>

Presence: [0..1]

Definition: Specifies whether an event for which a date is provided occurs typically at the "beginning of day" or at the "end of day".

Datatype: "DateMode1Code" on page 689

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

2.4.4.18 RegistrationSecuritiesDeadline <RegnSctiesDdIn>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is specified by an intermediary.

RegistrationSecuritiesDeadline <RegnSctiesDdIn> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		65
Or}	DateCode <DtCd>	[1..1]	CodeSet		65

2.4.4.18.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.18.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.19 RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdIn>

Presence: [0..1]

Definition: Date by which the securities have to be registered. This deadline is set by the issuer.

RegistrationSecuritiesMarketDeadline <RegnSctiesMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		66

2.4.4.19.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.19.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "[DateType1Code](#)" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.20 RegistrationParticipationDeadline <RegnPrtcptnDdln>

Presence: [0..1]

Definition: Date by which the holder needs to register its intention to participate in the meeting process in order to be allowed to participate in the meeting event. This deadline is specified by an intermediary.

RegistrationParticipationDeadline <RegnPrtcptnDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		66
Or}	DateCode <DtCd>	[1..1]	CodeSet		67

2.4.4.20.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.20.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.4.21 RegistrationParticipationMarketDeadline <RegnPrtcptnMktDdln>

Presence: [0..1]

Definition: Date by which the holder needs to register its intention to participate in the meeting process in order to be allowed to participate in the meeting event. This deadline is set by the issuer.

RegistrationParticipationMarketDeadline <RegnPrtcptnMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		67
Or}	DateCode <DtCd>	[1..1]	CodeSet		67

2.4.4.21.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.4.21.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.5 MeetingDetails <MtgDtls>

Presence: [1..5]

Definition: Dates and details of the shareholders meeting.

Impacted by: C6 "DateStatus1Rule"

MeetingDetails <MtgDtls> contains the following **Meeting5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndTime <DtAndTm>	[1..1]			68
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		69
Or}	DateCode <DtCd>	[1..1]	CodeSet		69
	DateStatus <DtSts>	[0..1]	CodeSet		69
	QuorumRequired <QrmReqrd>	[1..1]	Indicator		69
	Location <Lctn>	[1..5]			70
{Or	Address <Adr>	[1..1]	±		70
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		70
	QuorumQuantity <QrmQty>	[0..1]			70
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		71
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		71
	URLAddress <URLAdr>	[0..1]	Text		71

Constraints

- **DateStatus1Rule**

If DateAndTime/DateCode is "UKWN" (Unknwon), then DateStatus must not be present.

On Condition

/DateAndTime/DateCode is equal to value 'Unknown'

Following Must be True

/DateStatus Must be absent

2.4.5.1 DateAndTime <DtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

DateAndTime <DtAndTm> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		69
Or}	DateCode <DtCd>	[1..1]	CodeSet		69

2.4.5.1.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.5.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "[DateType1Code](#)" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.5.2 DateStatus <DtSts>

Presence: [0..1]

Definition: Status of the meeting date.

Datatype: "[MeetingDateStatus2Code](#)" on page 690

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

2.4.5.3 QuorumRequired <QrmReqrd>

Presence: [1..1]

Definition: Indicates whether a minimum number of security representation is required to hold a meeting.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.5.4 Location <Lctn>

Presence: [1..5]

Definition: Location at which the meeting will take place.

Location <Lctn> contains one of the following **LocationFormat1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Address <Adr>	[1..1]	±		70
Or}	LocationCode <LctnCd>	[1..1]	CodeSet		70

2.4.5.4.1 Address <Adr>

Presence: [1..1]

Definition: Specifies physical address of the meeting.

Address <Adr> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

2.4.5.4.2 LocationCode <LctnCd>

Presence: [1..1]

Definition: Indicates that the location is unknown.

Datatype: "PlaceType1Code" on page 694

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

2.4.5.5 QuorumQuantity <QrmQty>

Presence: [0..1]

Definition: Minimum quantity of securities required to hold a meeting.

QuorumQuantity <QrmQty> contains one of the following **QuorumQuantity1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuorumQuantity <QrmQty>	[1..1]	Text		71
Or}	QuorumQuantityPercentage <QrmQtyPctg>	[1..1]	Rate		71

2.4.5.5.1 QuorumQuantity <QrmQty>

Presence: [1..1]

Definition: Minimum quantity of securities required to hold a meeting.

Datatype: "Max35Text" on page 706

2.4.5.5.2 QuorumQuantityPercentage <QrmQtyPctg>

Presence: [1..1]

Definition: Minimum quantity of securities, expressed as a percentage, required to hold a meeting.

Datatype: "PercentageRate" on page 704

2.4.5.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 705

2.4.6 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains the following **IssuerInformation3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		71
	URLAddress <URLAdr>	[0..1]	Text		72

2.4.6.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous way to identify the organisation.

Identification <Id> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

2.4.6.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

Datatype: "Max2048Text" on page 705

2.4.7 IssuerAgent <IssrAgt>

Presence: [0..10]

Definition: Agent of the issuer.

IssuerAgent <IssrAgt> contains the following **IssuerAgent3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		72
	Role <Role>	[0..1]	CodeSet		72

2.4.7.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the issuer agent.

Identification <Id> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

2.4.7.2 Role <Role>

Presence: [0..1]

Definition: Role of the issuer agent.

Datatype: "AgentRole1Code" on page 687

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

2.4.8 Security <Scty>

Presence: [1..200]

Definition: Financial instrument identification and net position of a segregated holding, in a single security, within the overall position held in a securities account.

Security <Scty> contains the following **SecurityPosition10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C7, C8, C11, C12, C14	77
	Position <Pos>	[0..1000]		C10, C18	78
	AccountIdentification <AcctId>	[1..1]	Text		82
	AccountOwner <AcctOwnr>	[0..1]			82
{Or	LegalPerson <LglPrsn>	[1..1]			84
	NameAndAddress <NmAndAdr>	[1..1]			85
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88
	EmailAddress <EmailAdr>	[0..1]	Text		88
	Identification <Id>	[1..1]			88
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClnId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]			89
	NameAndAddress <NmAndAdr>	[1..1]			90
	FirstName <FrstNm>	[1..1]	Text		91
	Surname <SrnNm>	[1..1]	Text		91
	Address <Adr>	[0..1]			91

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93
	EmailAddress <EmailAdr>	[0..1]	Text		93
	Identification <Id>	[1..1]			93
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95
	HoldingBalance <HldgBal>	[0..15]			95
	Balance <Bal>	[1..1]	±		95
	BalanceType <BalTp>	[1..1]	CodeSet		96
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		96
	Date <Dt>	[0..1]	Date		97
	RightsHolder <RghtsHldr>	[0..10]			97
{Or	LegalPerson <LglPrsn>	[1..1]			99
	NameAndAddress <NmAndAdr>	[1..1]			100
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103
	EmailAddress <EmailAdr>	[0..1]	Text		103
	Identification <Id>	[0..1]			103
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClntId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104
Or}	NaturalPerson <NtrlPrsn>	[1..*]			104
	NameAndAddress <NmAndAdr>	[1..1]			105
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <SrnM>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108
	EmailAddress <EmailAdr>	[0..1]	Text		108
	Identification <Id>	[0..1]			108
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

2.4.8.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C7 "DescriptionPresenceRule", C8 "DescriptionUsageRule", C11 "ISINGuideline", C12 "ISINPresenceRule", C14 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

2.4.8.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C10 "HoldingBalanceTypeRule", C18 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		82
	AccountOwner <AcctOwnr>	[0..1]			82
{Or	LegalPerson <LglPrsn>	[1..1]			84
	NameAndAddress <NmAndAdr>	[1..1]			85
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88
	EmailAddress <EmailAdr>	[0..1]	Text		88
	Identification <Id>	[1..1]			88
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClntId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]			89
	NameAndAddress <NmAndAdr>	[1..1]			90
	FirstName <FrstNm>	[1..1]	Text		91
	Surname <Srm>	[1..1]	Text		91
	Address <Adr>	[0..1]			91
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93
	EmailAddress <EmailAdr>	[0..1]	Text		93
	Identification <Id>	[1..1]			93
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95
	HoldingBalance <HldgBal>	[0..15]			95
	Balance <Bal>	[1..1]	±		95
	BalanceType <BalTp>	[1..1]	CodeSet		96
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		96
	Date <Dt>	[0..1]	Date		97
	RightsHolder <RghtsHldr>	[0..10]			97
{Or	LegalPerson <LglPrsn>	[1..1]			99
	NameAndAddress <NmAndAdr>	[1..1]			100
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103
	EmailAddress <EmailAdr>	[0..1]	Text		103

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			103
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClntId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104
Or}	NaturalPerson <NtrlPrsn>	[1..*]			104
	NameAndAddress <NmAndAdr>	[1..1]			105
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <SrnNm>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108
	EmailAddress <EmailAdr>	[0..1]	Text		108
	Identification <Id>	[0..1]			108
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

2.4.8.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

2.4.8.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			84
	NameAndAddress <NmAndAdr>	[1..1]			85
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88
	EmailAddress <EmailAdr>	[0..1]	Text		88
	Identification <Id>	[1..1]			88
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClnId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89
Or}	NaturalPerson <NtrlPrsn>	[1..*]			89
	NameAndAddress <NmAndAdr>	[1..1]			90
	FirstName <FrstNm>	[1..1]	Text		91
	Surname <Snm>	[1..1]	Text		91
	Address <Adr>	[0..1]			91
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93
	EmailAddress <EmailAdr>	[0..1]	Text		93
	Identification <Id>	[1..1]			93
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95

2.4.8.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			85
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88
	EmailAddress <EmailAdr>	[0..1]	Text		88
	Identification <Id>	[1..1]			88
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClnId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89

2.4.8.2.2.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		86
	Address <Adr>	[0..1]			86
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88

2.4.8.2.2.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.8.2.2.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		87
	AddressLine <AdrLine>	[0..5]	Text		87
	StreetName <StrtNm>	[0..1]	Text		87
	BuildingNumber <BldgNb>	[0..1]	Text		87
	PostBox <PstBx>	[0..1]	Text		87
	PostCode <PstCd>	[0..1]	Text		87
	TownName <TwnNm>	[0..1]	Text		88
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		88
	Country <Ctry>	[1..1]	CodeSet	C5	88

2.4.8.2.2.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.8.2.2.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

2.4.8.2.2.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

2.4.8.2.2.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.1.2.7 TownName <TwNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**2.4.8.2.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**2.4.8.2.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.8.2.2.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**2.4.8.2.2.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		88
Or	LEI <LEI>	[1..1]	IdentifierSet		89
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	89
Or	ClientIdentification <ClnId>	[1..1]	Text		89
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		89

2.4.8.2.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

2.4.8.2.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

2.4.8.2.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

2.4.8.2.2.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

2.4.8.2.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

2.4.8.2.2.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			90
	FirstName <FrstNm>	[1..1]	Text		91
	Surname <SrnM>	[1..1]	Text		91
	Address <Adr>	[0..1]			91
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93
	EmailAddress <EmailAdr>	[0..1]	Text		93
	Identification <Id>	[1..1]			93
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95

2.4.8.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		91
	Surname <SrnM>	[1..1]	Text		91
	Address <Adr>	[0..1]			91
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93

2.4.8.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.8.2.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

2.4.8.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		92
	AddressLine <AdrLine>	[0..5]	Text		92
	StreetName <StrtNm>	[0..1]	Text		92
	BuildingNumber <BldgNb>	[0..1]	Text		92
	PostBox <PstBx>	[0..1]	Text		93
	PostCode <PstCd>	[0..1]	Text		93
	TownName <TwnNm>	[0..1]	Text		93
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		93
	Country <Ctry>	[1..1]	CodeSet	C5	93

2.4.8.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.8.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

2.4.8.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

2.4.8.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

2.4.8.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

2.4.8.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

2.4.8.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.8.2.2.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

2.4.8.2.2.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		94
	IdentificationType <IdTp>	[0..1]			94
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95

2.4.8.2.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

2.4.8.2.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		94
Or}	Proprietary <Prtry>	[1..1]	±		95

2.4.8.2.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

2.4.8.2.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

2.4.8.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		95
	BalanceType <BalTp>	[1..1]	CodeSet		96
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		96
	Date <Dt>	[0..1]	Date		97

2.4.8.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

2.4.8.2.3.2 BalanceType <BalTp>*Presence:* [1..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

2.4.8.2.3.3 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

2.4.8.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 702

2.4.8.2.4 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			99
	NameAndAddress <NmAndAdr>	[1..1]			100
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103
	EmailAddress <EmailAdr>	[0..1]	Text		103
	Identification <Id>	[0..1]			103
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClnId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104
Or}	NaturalPerson <NtrlPrsn>	[1..*]			104
	NameAndAddress <NmAndAdr>	[1..1]			105
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <Snm>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108
	EmailAddress <EmailAdr>	[0..1]	Text		108
	Identification <Id>	[0..1]			108
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

2.4.8.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			100
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103
	EmailAddress <EmailAdr>	[0..1]	Text		103
	Identification <Id>	[0..1]			103
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClnId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104

2.4.8.2.4.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		101
	Address <Adr>	[0..1]			101
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103

2.4.8.2.4.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.8.2.4.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		102
	AddressLine <AdrLine>	[0..5]	Text		102
	StreetName <StrtNm>	[0..1]	Text		102
	BuildingNumber <BldgNb>	[0..1]	Text		102
	PostBox <PstBx>	[0..1]	Text		102
	PostCode <PstCd>	[0..1]	Text		102
	TownName <TwnNm>	[0..1]	Text		103
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		103
	Country <Ctry>	[1..1]	CodeSet	C5	103

2.4.8.2.4.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.8.2.4.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**2.4.8.2.4.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**2.4.8.2.4.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**2.4.8.2.4.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**2.4.8.2.4.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

2.4.8.2.4.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**2.4.8.2.4.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**2.4.8.2.4.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.8.2.4.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**2.4.8.2.4.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		103
Or	LEI <LEI>	[1..1]	IdentifierSet		104
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C4	104
Or	ClientIdentification <ClnId>	[1..1]	Text		104
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		104

2.4.8.2.4.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

2.4.8.2.4.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

2.4.8.2.4.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

2.4.8.2.4.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

2.4.8.2.4.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

2.4.8.2.4.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			105
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <SrnM>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108
	EmailAddress <EmailAdr>	[0..1]	Text		108
	Identification <Id>	[0..1]			108
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

2.4.8.2.4.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		106
	Surname <SrnM>	[1..1]	Text		106
	Address <Adr>	[0..1]			106
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108

2.4.8.2.4.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

2.4.8.2.4.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

2.4.8.2.4.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		107
	AddressLine <AdrLine>	[0..5]	Text		107
	StreetName <StrtNm>	[0..1]	Text		107
	BuildingNumber <BldgNb>	[0..1]	Text		107
	PostBox <PstBx>	[0..1]	Text		108
	PostCode <PstCd>	[0..1]	Text		108
	TownName <TwnNm>	[0..1]	Text		108
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		108
	Country <Ctry>	[1..1]	CodeSet	C5	108

2.4.8.2.4.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

2.4.8.2.4.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

2.4.8.2.4.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

2.4.8.2.4.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

2.4.8.2.4.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

2.4.8.2.4.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

2.4.8.2.4.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

2.4.8.2.4.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

2.4.8.2.4.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

2.4.8.2.4.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

2.4.8.2.4.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		109
	IdentificationType <IdTp>	[0..1]			109
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

2.4.8.2.4.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

2.4.8.2.4.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		109
Or}	Proprietary <Prtry>	[1..1]	±		110

2.4.8.2.4.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

2.4.8.2.4.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

2.4.9 Resolution <Rsltn>

Presence: [0..1000]

Definition: Detailed information of a resolution proposed to the vote.

Resolution <Rsltn> contains the following **Resolution4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		111
	Description <Desc>	[0..1]	Text		111
	Title <Titl>	[0..1]	Text		112
	Type <Tp>	[0..1]	CodeSet		112
	ForInformationOnly <ForInfOnly>	[1..1]	Indicator		112
	VoteType <VoteTp>	[0..1]	CodeSet		112
	Status <Sts>	[1..1]	CodeSet		112
	SubmittedBySecurityHolder <SubmittdBySctyHldr>	[0..1]	Indicator		113
	VoteInstructionType <VoteInstrTp>	[0..*]		C2	113
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]			113
{Or	Type <Tp>	[1..1]	CodeSet		114
Or}	Proprietary <Prtry>	[1..1]	±		114
	AdditionalInformation <AddtlInf>	[0..1]	Text		115
	ManagementRecommendation <MgmtRcmmndtn>	[0..1]	CodeSet		115
	NotifyingPartyRecommendation <NtifngPtyRcmmndtn>	[0..1]	CodeSet		116
	Entitlement <Entitlmnt>	[0..1]			116
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		116
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		117
	VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>	[0..1]			117
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		117
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		117
	URLAddress <URLAdr>	[0..1]	Text		117

2.4.9.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

2.4.9.2 Description <Desc>

Presence: [0..1]

Definition: Free text description of the resolution.

Datatype: "Max1025Text" on page 705

2.4.9.3 Title <Titl>*Presence:* [0..1]*Definition:* Abbreviated description of the resolution.*Datatype:* "Max350Text" on page 706**2.4.9.4 Type <Tp>***Presence:* [0..1]*Definition:* Type of resolution.*Datatype:* "ResolutionType2Code" on page 697

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

2.4.9.5 ForInformationOnly <ForInfOnly>*Presence:* [1..1]*Definition:* Indicates whether the resolution is listed for information or for voting.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.9.6 VoteType <VoteTp>*Presence:* [0..1]*Definition:* Impact of vote results on an agenda resolution.*Datatype:* "VoteType1Code" on page 701

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

2.4.9.7 Status <Sts>*Presence:* [1..1]*Definition:* Specifies whether the resolution is active or withdrawn.*Datatype:* "ResolutionStatus1Code" on page 697

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

2.4.9.8 SubmittedBySecurityHolder <SubmittedBySctyHldr>

Presence: [0..1]

Definition: Indicates whether the resolution has been submitted by the security holder.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.9.9 VoteInstructionType <VoteInstrTp>

Presence: [0..*]

Definition: Vote options allowed at the resolution level. When specified, it supersedes the vote options given for the meeting.

Impacted by: C2 "AdditionalInformationRule"

VoteInstructionType <VoteInstrTp> contains the following **VoteInstructionType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionTypeCode <VoteInstrTpCd>	[1..1]			113
{Or	Type <Tp>	[1..1]	CodeSet		114
Or}	Proprietary <Prtry>	[1..1]	±		114
	AdditionalInformation <AddtlInf>	[0..1]	Text		115

Constraints

- **AdditionalInformationRule**

If AdditionalInformation is present, then VoteInstructionTypeCode/Proprietary/Identification must be present.

On Condition

/AdditionalInformation is present

Following Must be True

/VoteInstructionTypeCode/Proprietary/Identification Must be present

2.4.9.9.1 VoteInstructionTypeCode <VoteInstrTpCd>

Presence: [1..1]

Definition: Vote option allowed at the resolution level.

VoteInstructionTypeCode <VoteInstrTpCd> contains one of the following **VoteInstructionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		114
Or}	Proprietary <Prtry>	[1..1]	±		114

2.4.9.9.1.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

2.4.9.9.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

2.4.9.9.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the vote instruction type code when expressed as a proprietary code.

Datatype: "[Max350Text](#)" on page 706

2.4.9.10 ManagementRecommendation <MgmtRcmmdtn>

Presence: [0..1]

Definition: Specifies how the management of the issuing company wishes the security holders to vote.

Datatype: "[VoteInstruction5Code](#)" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

2.4.9.11 NotifyingPartyRecommendation <NtifngPtyRcmmndtn>

Presence: [0..1]

Definition: Specifies how the notifying party recommends that the security holders vote.

Datatype: "VoteInstruction5Code" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

2.4.9.12 Entitlement <Entitlmnt>

Presence: [0..1]

Definition: Number of votes assigned per resolution to one security.

Entitlement <Entitlmnt> contains one of the following **Entitlement1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	EntitlementRatio <EntitlmntRatio>	[1..1]	Quantity		116
Or}	EntitlementDescription <EntitlmntDesc>	[1..1]	Text		117

2.4.9.12.1 EntitlementRatio <EntitlmntRatio>

Presence: [1..1]

Definition: Number of votes assigned to one security.

Datatype: "DecimalNumber" on page 704

2.4.9.12.2 EntitlementDescription <EntitlmtDesc>

Presence: [1..1]

Definition: Specifies the calculation method of the number of votes assigned to one security. This element should be used when the entitlement calculation rule is complex.

Datatype: "Max35Text" on page 706

2.4.9.13 VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl>

Presence: [0..1]

Definition: Voting rights threshold required in percentage or in quantity to have the resolution approved.

VotingRightsThresholdForApproval <VtngRghtsThrshldForApprvl> contains one of the following **NumberOrPercentage1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ThresholdPercentage <ThrshldPctg>	[1..1]	Rate		117
Or}	ThresholdNumber <ThrshldNb>	[1..1]	Quantity		117

2.4.9.13.1 ThresholdPercentage <ThrshldPctg>

Presence: [1..1]

Definition: Threshold provided as a percentage rate.

Datatype: "PercentageRate" on page 704

2.4.9.13.2 ThresholdNumber <ThrshldNb>

Presence: [1..1]

Definition: Threshold provided as a decimal number.

Datatype: "Number" on page 704

2.4.9.14 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address to use over the www (HTTP) service where specific additional information on meeting resolutions may be found.

Datatype: "Max2048Text" on page 705

2.4.10 Vote <Vote>

Presence: [0..1]

Definition: Conditions to be allowed to vote, the different voting methods and options, the voting deadlines and the parameters of the incentive premium.

Vote <Vote> contains the following **VoteParameters5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>	[0..1]	±		119
	PartialVoteAllowed <PrtlVoteAllwd>	[1..1]	Indicator		120
	SplitVoteAllowed <SpltVoteAllwd>	[1..1]	Indicator		120
	VoteDeadline <VoteDdln>	[0..1]			120
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		120
Or}	DateCode <DtCd>	[1..1]	CodeSet		121
	VoteMarketDeadline <VoteMktDdln>	[0..1]			121
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]	CodeSet		121
	VoteMethods <VoteMthds>	[0..1]			122
	VoteThroughNetwork <VoteThrhNtwk>	[0..5]	IdentifierSet	C4	122
	VoteByMail <VoteByMail>	[0..5]	±		122
	ElectronicVote <ElctrncVote>	[0..5]			123
	EmailAddress <EmailAdr>	[0..1]	Text		123
	URLAddress <URLAdr>	[0..1]	Text		123
	VoteByTelephone <VoteByTel>	[0..5]	Text		123
	VotingBallotElectronicAddress <VtngBlItElctrncAdr>	[0..1]			123
	EmailAddress <EmailAdr>	[0..1]	Text		124
	URLAddress <URLAdr>	[0..1]	Text		124
	VotingBallotRequestAddress <VtngBlItReqAdr>	[0..1]	±		124
	RevocabilityDeadline <RvcbltyDdln>	[0..1]			124
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		125
	RevocabilityMarketDeadline <RvcbltyMktDdln>	[0..1]			125
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		126
	BeneficialOwnerDisclosure <BnfcIOwnrDsclsr>	[1..1]	Indicator		126
	EarlyIncentivePremium <EarlyIncntivPrm>	[0..1]			126
	Description <Desc>	[0..1]	Text		127
	Amount <Amt>	[1..1]	±		127

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]			127
{Or	PerSecurity <PerScty>	[1..1]	Quantity		127
Or	PerVote <PerVote>	[1..1]	Quantity		127
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		127
	PaymentDate <PmtDt>	[0..1]	±		128
	IncentivePremium <IncntivPrm>	[0..1]			128
	Description <Desc>	[0..1]	Text		128
	Amount <Amt>	[1..1]	±		128
	Type <Tp>	[1..1]			129
{Or	PerSecurity <PerScty>	[1..1]	Quantity		129
Or	PerVote <PerVote>	[1..1]	Quantity		129
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		129
	PaymentDate <PmtDt>	[0..1]	±		129
	EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdlIn>	[0..1]			130
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		130
Or}	DateCode <DtCd>	[1..1]	CodeSet		130
	VoteWithPremiumDeadline <VoteWthPrmDdlIn>	[0..1]			130
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		131
	VoteWithPremiumMarketDeadline <VoteWthPrmMktDdlIn>	[0..1]			131
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		132
	AdditionalVotingRequirements <AddtlVtngRqrmnts>	[0..1]	Text		132
	PreviousInstructionInvalidityIndicator <PrvsInstrlInvldtyInd>	[0..1]	Indicator		132

2.4.10.1 SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote>

Presence: [0..1]

Definition: Number of holdings required for a vote.

SecuritiesQuantityRequiredToVote <SctiesQtyReqrdToVote> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

2.4.10.2 PartialVoteAllowed <PrtlVoteAllwd>

Presence: [1..1]

Definition: Indicates whether a vote can be on a part of the entire holding, that is, part of the position is un-voted.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.10.3 SplitVoteAllowed <SplitVoteAllwd>

Presence: [1..1]

Definition: Indicates whether the vote can be split, that is, there could be different votes for different parts of the holding.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.10.4 VoteDeadline <VoteDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary.

VoteDeadline <VoteDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		120
Or}	DateCode <DtCd>	[1..1]	CodeSet		121

2.4.10.4.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.4.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.5 VoteMarketDeadline <VoteMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer.

VoteMarketDeadline <VoteMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		121
Or}	DateCode <DtCd>	[1..1]	CodeSet		121

2.4.10.5.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.5.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.6 VoteMethods <VoteMthds>

Presence: [0..1]

Definition: Specifies the different methods that can be used to vote.

VoteMethods <VoteMthds> contains the following **VoteMethods3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteThroughNetwork <VoteThrhNtwk>	[0..5]	IdentifierSet	C4	122
	VoteByMail <VoteByMail>	[0..5]	±		122
	ElectronicVote <ElctrncVote>	[0..5]			123
	EmailAddress <EmailAdr>	[0..1]	Text		123
	URLAddress <URLAdr>	[0..1]	Text		123
	VoteByTelephone <VoteByTel>	[0..5]	Text		123

2.4.10.6.1 VoteThroughNetwork <VoteThrhNtwk>

Presence: [0..5]

Definition: Network address through which a voting party can cast its vote via a structured message.

Impacted by: C4 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

2.4.10.6.2 VoteByMail <VoteByMail>

Presence: [0..5]

Definition: Address where the voting ballot can be sent.

VoteByMail <VoteByMail> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

2.4.10.6.3 ElectronicVote <ElctrncVote>

Presence: [0..5]

Definition: Electronic address, e-mail or web site, where a security holder can vote.

ElectronicVote <ElctrncVote> contains the following **CommunicationAddress11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		123
	URLAddress <URLAdr>	[0..1]	Text		123

2.4.10.6.3.1 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

2.4.10.6.3.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 705

2.4.10.6.4 VoteByTelephone <VoteByTel>

Presence: [0..5]

Definition: Telephone number providing access to an automated voting system.

Datatype: "Max35Text" on page 706

2.4.10.7 VotingBallotElectronicAddress <VtngBlltElctrncAdr>

Presence: [0..1]

Definition: Electronic location, e-mail or URL address, where the voting ballot can be requested.

VotingBallotElectronicAddress <VtngBlItElctrncAdr> contains the following **CommunicationAddress11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		124
	URLAddress <URLAdr>	[0..1]	Text		124

2.4.10.7.1 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

2.4.10.7.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 705

2.4.10.8 VotingBallotRequestAddress <VtngBlItReqAdr>

Presence: [0..1]

Definition: Specifies the postal address where the voting ballot can be requested.

VotingBallotRequestAddress <VtngBlItReqAdr> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

2.4.10.9 RevocabilityDeadline <RvcbltyDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is specified by an intermediary.

RevocabilityDeadline <RvcbltyDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		125

2.4.10.9.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.9.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "[DateType1Code](#)" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.10 RevocabilityMarketDeadline <RvcbltyMktDdln>

Presence: [0..1]

Definition: Date until which the instructing party can revoke, change or withdraw its voting instruction. This deadline is set by the issuer.

RevocabilityMarketDeadline <RvcbltyMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		125
Or}	DateCode <DtCd>	[1..1]	CodeSet		126

2.4.10.10.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "DateAndDateTime2Choice" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.10.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.11 BeneficialOwnerDisclosure <BnfcIOwnrDsclsr>

Presence: [1..1]

Definition: Indicates whether beneficiary details, for example, name and address, must be supplied in order to take part in a meeting.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.10.12 EarlyIncentivePremium <EarlyIncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting earlier (before the early vote with a premium deadline).

EarlyIncentivePremium <EarlyIncntivPrm> contains the following **IncentivePremium4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		127
	Amount <Amt>	[1..1]	±		127
	Type <Tp>	[1..1]			127
{Or	PerSecurity <PerScty>	[1..1]	Quantity		127
Or	PerVote <PerVote>	[1..1]	Quantity		127
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		127
	PaymentDate <PmtDt>	[0..1]	±		128

2.4.10.12.1 Description <Desc>*Presence:* [0..1]*Definition:* Description of the premium.*Datatype:* "Max350Text" on page 706**2.4.10.12.2 Amount <Amt>***Presence:* [1..1]*Definition:* Cash premium paid per security, per vote or per attendee.**Amount <Amt>** contains one of the following elements (see "PriceRateOrAmount3Choice" on page 681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		682
Or}	Amount <Amt>	[1..1]	Amount	C1	682

2.4.10.12.3 Type <Tp>*Presence:* [1..1]*Definition:* Type of incentive premium.**Type <Tp>** contains one of the following **IncentivePremiumType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		127
Or	PerVote <PerVote>	[1..1]	Quantity		127
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		127

2.4.10.12.3.1 PerSecurity <PerScty>*Presence:* [1..1]*Definition:* Number of securities giving right to a premium.*Datatype:* "Number" on page 704**2.4.10.12.3.2 PerVote <PerVote>***Presence:* [1..1]*Definition:* Number of votes giving right to a premium.*Datatype:* "Number" on page 704**2.4.10.12.3.3 PerAttendee <PerAttndee>***Presence:* [1..1]*Definition:* Indicates that the premium is given per attendee.*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.10.12.4 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time for the payment of the premium.

PaymentDate <PmtDt> contains one of the following elements (see "[DateFormat3Choice](#)" on page 667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateCode <DtCd>	[1..1]	CodeSet		667

2.4.10.13 IncentivePremium <IncntivPrm>

Presence: [0..1]

Definition: Cash premium paid to the security holder when voting.

IncentivePremium <IncntivPrm> contains the following **IncentivePremium4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Description <Desc>	[0..1]	Text		128
	Amount <Amt>	[1..1]	±		128
	Type <Tp>	[1..1]			129
{Or	PerSecurity <PerScty>	[1..1]	Quantity		129
Or	PerVote <PerVote>	[1..1]	Quantity		129
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		129
	PaymentDate <PmtDt>	[0..1]	±		129

2.4.10.13.1 Description <Desc>

Presence: [0..1]

Definition: Description of the premium.

Datatype: "[Max350Text](#)" on page 706

2.4.10.13.2 Amount <Amt>

Presence: [1..1]

Definition: Cash premium paid per security, per vote or per attendee.

Amount <Amt> contains one of the following elements (see "[PriceRateOrAmount3Choice](#)" on page 681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		682
Or}	Amount <Amt>	[1..1]	Amount	C1	682

2.4.10.13.3 Type <Tp>

Presence: [1..1]

Definition: Type of incentive premium.

Type <Tp> contains one of the following **IncentivePremiumType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PerSecurity <PerScty>	[1..1]	Quantity		129
Or	PerVote <PerVote>	[1..1]	Quantity		129
Or}	PerAttendee <PerAttndee>	[1..1]	Indicator		129

2.4.10.13.3.1 PerSecurity <PerScty>

Presence: [1..1]

Definition: Number of securities giving right to a premium.

Datatype: "[Number](#)" on page 704

2.4.10.13.3.2 PerVote <PerVote>

Presence: [1..1]

Definition: Number of votes giving right to a premium.

Datatype: "[Number](#)" on page 704

2.4.10.13.3.3 PerAttendee <PerAttndee>

Presence: [1..1]

Definition: Indicates that the premium is given per attendee.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.10.13.4 PaymentDate <PmtDt>

Presence: [0..1]

Definition: Date/time for the payment of the premium.

PaymentDate <PmtDt> contains one of the following elements (see ["DateFormat3Choice"](#) on page 667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateCode <DtCd>	[1..1]	CodeSet		667

2.4.10.14 EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the early incentive premium.

EarlyVoteWithPremiumDeadline <EarlyVoteWthPrmDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		130
Or}	DateCode <DtCd>	[1..1]	CodeSet		130

2.4.10.14.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.14.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: ["DateType1Code"](#) on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.15 VoteWithPremiumDeadline <VoteWthPrmDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the intermediary to take advantage of the premium.

VoteWithPremiumDeadline <VoteWthPrmDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		131

2.4.10.15.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.15.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: ["DateType1Code"](#) on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.16 VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln>

Presence: [0..1]

Definition: Date and time by which the vote instructions should be submitted to the issuer to take advantage of the premium.

VoteWithPremiumMarketDeadline <VoteWthPrmMktDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		131
Or}	DateCode <DtCd>	[1..1]	CodeSet		132

2.4.10.16.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.10.16.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: ["DateType1Code"](#) on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.10.17 AdditionalVotingRequirements <AddtlVtngRqrmnts>

Presence: [0..1]

Definition: Additional information on specific requirements for allowing a person to vote.

Datatype: ["Max350Text"](#) on page 706

2.4.10.18 PreviousInstructionInvalidityIndicator <PrvsInstrInvldtyInd>

Presence: [0..1]

Definition: Indicates whether the previously sent instructions becomes invalid after a market deadline extension.

Datatype: One of the following values must be used (see ["YesNoIndicator"](#) on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

2.4.11 PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts>

Presence: [0..1]

Definition: Information about the use of Power of Attorney.

Impacted by: [C13 "OtherDocumentation1Guideline"](#)

PowerOfAttorneyRequirements <PwrOfAttnyRqrmnts> contains the following
PowerOfAttorneyRequirements4 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	LegalRequirement <LglRqrmnt>	[0..4]	CodeSet		133
	OtherDocumentation <OthrDcmnttn>	[0..1]	Text		133
	DocumentSubmissionDeadline <DocSubmissnDdltn>	[0..1]			133
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		134
Or}	DateCode <DtCd>	[1..1]	CodeSet		134

Constraints

- **OtherDocumentation1Guideline**

If power of attorney specific deadlines are announced, it is recommended that OtherDocumentation is used.

2.4.11.1 LegalRequirement <LglRqrmnt>

Presence: [0..4]

Definition: Authority that must validate the power of attorney.

Datatype: "PowerOfAttorneyLegalisation1Code" on page 694

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

2.4.11.2 OtherDocumentation <OthrDcmnttn>

Presence: [0..1]

Definition: Documents needed to obtain a valid power of attorney.

Datatype: "Max350Text" on page 706

2.4.11.3 DocumentSubmissionDeadline <DocSubmissnDdltn>

Presence: [0..1]

Definition: Date by which the requested documents must be provided.

DocumentSubmissionDeadline <DocSubmissnDdln> contains one of the following **DateFormat58Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	DateOrDateTime <DtOrDtTm>	[1..1]	±		134
Or}	DateCode <DtCd>	[1..1]	CodeSet		134

2.4.11.3.1 DateOrDateTime <DtOrDtTm>

Presence: [1..1]

Definition: Date expressed as a calendar date.

DateOrDateTime <DtOrDtTm> contains one of the following elements (see "**DateAndDateTime2Choice**" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

2.4.11.3.2 DateCode <DtCd>

Presence: [1..1]

Definition: Type of date.

Datatype: "**DateType1Code**" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

2.4.12 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional narrative information about the corporate event.

AdditionalInformation <AddtlInf> contains the following **CorporateEventNarrative2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Disclaimer <Dsclmr>	[0..*]	Text		134

2.4.12.1 Disclaimer <Dsclmr>

Presence: [0..*]

Definition: Issuer's disclaimer notice relative to the meeting announcement information provided. It may be ignored for automated processing.

Datatype: "**Max350Text**" on page 706

2.4.13 **SupplementaryData <SplmtryData>**

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C19 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on [page 674](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3 seev.002.001.06 MeetingCancellationV06

3.1 MessageDefinition Functionality

Scope

The MeetingCancellation message is sent by the party that sent the MeetingNotification message to the original receiver. It is sent to cancel a previously announced meeting or to advise the withdrawal of a meeting.

Usage

The MeetingCancellation message is used to advise that the meeting has been cancelled by the account servicer or withdrawn by the Issuer.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingCancellationV06 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements that allow to identify unambiguously a meeting.

B. Security

Identification of the security for which the meeting was organised.

C. Reason

Justification for the cancellation.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgCxl>	[1..1]		C2	
	MeetingReference <MtgRef>	[1..1]			141
	MeetingIdentification <MtgId>	[1..1]	Text		142
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		142
	MeetingDateAndTime <MtgDtAndTm>	[0..1]	DateTime		142
	Type <Tp>	[1..1]	CodeSet		142
	Classification <Clssfctn>	[0..1]			142
{Or	Code <Cd>	[1..1]	CodeSet		143
Or}	Proprietary <Prtry>	[1..1]	±		143
	Location <Lctn>	[0..5]	±		143
	Issuer <Issr>	[0..1]	±		144
	Security <Scty>	[1..200]			144
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C7, C8, C9	147
	Position <Pos>	[0..1000]		C6, C10	149
	AccountIdentification <AcctId>	[1..1]	Text		153
	AccountOwner <AcctOwnr>	[0..1]			153
{Or	LegalPerson <LglPrsn>	[1..1]			155
	NameAndAddress <NmAndAdr>	[1..1]			156
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		159
	Identification <Id>	[1..1]			159
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClntId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160
Or}	NaturalPerson <NtrlPrsn>	[1..*]			160
	NameAndAddress <NmAndAdr>	[1..1]			161
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <SrnM>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164
	EmailAddress <EmailAdr>	[0..1]	Text		164
	Identification <Id>	[1..1]			164
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166
	HoldingBalance <HldgBal>	[0..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[1..1]	CodeSet		167
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Date <Dt>	[0..1]	Date		168
	RightsHolder <RghtsHldr>	[0..10]			168
{Or	LegalPerson <LglPrsn>	[1..1]			170
	NameAndAddress <NmAndAdr>	[1..1]			171
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174
	EmailAddress <EmailAdr>	[0..1]	Text		174
	Identification <Id>	[0..1]			174
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClntId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175
Or}	NaturalPerson <NtrlPrsn>	[1..*]			175
	NameAndAddress <NmAndAdr>	[1..1]			176
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <Snm>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179
	EmailAddress <EmailAdr>	[0..1]	Text		179
	Identification <Id>	[0..1]			179
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181
	Reason <Rsn>	[1..1]			181
	CancellationReasonCode <CxlRsnCd>	[0..1]			181
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182
	CancellationReason <CxlRsn>	[0..1]	Text		182
	SupplementaryData <SplmtryData>	[0..*]	±	C11	182

3.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Cancellation1Guideline

It is recommended that a meeting is cancelled with the use of MeetingReference/MeetingIdentification, MeetingReference/Type and Security and Reason only. It is recommended that optional elements are not used.

This constraint is defined at the MessageDefinition level.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[1..1]	Text		142
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		142
	MeetingDateAndTime <MtgDtAndTm>	[0..1]	DateTime		142
	Type <Tp>	[1..1]	CodeSet		142
	Classification <Clssfctn>	[0..1]			142
{Or	Code <Cd>	[1..1]	CodeSet		143
Or}	Proprietary <Prtry>	[1..1]	±		143
	Location <Lctn>	[0..5]	±		143
	Issuer <Issr>	[0..1]	±		144

3.4.1.1 MeetingIdentification <MtgId>*Presence:* [1..1]*Definition:* Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.*Datatype:* "Max35Text" on page 706**3.4.1.2 IssuerMeetingIdentification <IssrMtgId>***Presence:* [0..1]*Definition:* Identification assigned to the meeting by the issuer. It must be unique for the issuer.*Datatype:* "Max35Text" on page 706**3.4.1.3 MeetingDateAndTime <MtgDtAndTm>***Presence:* [0..1]*Definition:* Date and time at which the meeting will take place.*Datatype:* "ISODateTime" on page 702**3.4.1.4 Type <Tp>***Presence:* [1..1]*Definition:* Type of meeting for which instructions are sent.*Datatype:* "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

3.4.1.5 Classification <Clssfctn>*Presence:* [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		143
Or}	Proprietary <Prtry>	[1..1]	±		143

3.4.1.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

3.4.1.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

3.4.1.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

3.4.1.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

3.4.2 Security <Scty>

Presence: [1..200]

Definition: Identification of the security for which the meeting was organised.

Security <Scty> contains the following **SecurityPosition10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C7, C8, C9	147
	Position <Pos>	[0..1000]		C6, C10	149
	AccountIdentification <AcctId>	[1..1]	Text		153
	AccountOwner <AcctOwnr>	[0..1]			153
{Or	LegalPerson <LglPrsn>	[1..1]			155
	NameAndAddress <NmAndAdr>	[1..1]			156
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159
	EmailAddress <EmailAdr>	[0..1]	Text		159
	Identification <Id>	[1..1]			159
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClntId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160
Or}	NaturalPerson <NtrlPrsn>	[1..*]			160
	NameAndAddress <NmAndAdr>	[1..1]			161
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <SrnNm>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164
	EmailAddress <EmailAdr>	[0..1]	Text		164
	Identification <Id>	[1..1]			164
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166
	HoldingBalance <HldgBal>	[0..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[1..1]	CodeSet		167
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	Date <Dt>	[0..1]	Date		168
	RightsHolder <RghtsHldr>	[0..10]			168
{Or	LegalPerson <LglPrsn>	[1..1]			170
	NameAndAddress <NmAndAdr>	[1..1]			171
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174
	EmailAddress <EmailAdr>	[0..1]	Text		174
	Identification <Id>	[0..1]			174
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClnId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175
Or}	NaturalPerson <NtrlPrsn>	[1..*]			175
	NameAndAddress <NmAndAdr>	[1..1]			176
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <SrnM>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179
	EmailAddress <EmailAdr>	[0..1]	Text		179
	Identification <Id>	[0..1]			179
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.2.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

3.4.2.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C6 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		153
	AccountOwner <AcctOwnr>	[0..1]			153
{Or	LegalPerson <LglPrsn>	[1..1]			155
	NameAndAddress <NmAndAdr>	[1..1]			156
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159
	EmailAddress <EmailAdr>	[0..1]	Text		159
	Identification <Id>	[1..1]			159
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClntId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160
Or}	NaturalPerson <NtrlPrsn>	[1..*]			160
	NameAndAddress <NmAndAdr>	[1..1]			161
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <Srm>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164
	EmailAddress <EmailAdr>	[0..1]	Text		164
	Identification <Id>	[1..1]			164
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166
	HoldingBalance <HldgBal>	[0..15]			166
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[1..1]	CodeSet		167
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	Date <Dt>	[0..1]	Date		168
	RightsHolder <RghtsHldr>	[0..10]			168
{Or	LegalPerson <LglPrsn>	[1..1]			170
	NameAndAddress <NmAndAdr>	[1..1]			171
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174
	EmailAddress <EmailAdr>	[0..1]	Text		174

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			174
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClntId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175
Or}	NaturalPerson <NtrlPrsn>	[1..*]			175
	NameAndAddress <NmAndAdr>	[1..1]			176
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <SrnNm>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179
	EmailAddress <EmailAdr>	[0..1]	Text		179
	Identification <Id>	[0..1]			179
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

3.4.2.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

3.4.2.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			155
	NameAndAddress <NmAndAdr>	[1..1]			156
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159
	EmailAddress <EmailAdr>	[0..1]	Text		159
	Identification <Id>	[1..1]			159
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClnId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160
Or}	NaturalPerson <NtrlPrsn>	[1..*]			160
	NameAndAddress <NmAndAdr>	[1..1]			161
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <Snm>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164
	EmailAddress <EmailAdr>	[0..1]	Text		164
	Identification <Id>	[1..1]			164
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166

3.4.2.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			156
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159
	EmailAddress <EmailAdr>	[0..1]	Text		159
	Identification <Id>	[1..1]			159
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClnId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160

3.4.2.2.2.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		157
	Address <Adr>	[0..1]			157
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159

3.4.2.2.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

3.4.2.2.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		158
	AddressLine <AdrLine>	[0..5]	Text		158
	StreetName <StrtNm>	[0..1]	Text		158
	BuildingNumber <BldgNb>	[0..1]	Text		158
	PostBox <PstBx>	[0..1]	Text		158
	PostCode <PstCd>	[0..1]	Text		158
	TownName <TwnNm>	[0..1]	Text		159
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		159
	Country <Ctry>	[1..1]	CodeSet	C3	159

3.4.2.2.2.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

3.4.2.2.2.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**3.4.2.2.2.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**3.4.2.2.2.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**3.4.2.2.2.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**3.4.2.2.2.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

3.4.2.2.2.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**3.4.2.2.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**3.4.2.2.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.2.2.2.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**3.4.2.2.2.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		159
Or	LEI <LEI>	[1..1]	IdentifierSet		160
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	160
Or	ClientIdentification <ClnId>	[1..1]	Text		160
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		160

3.4.2.2.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

3.4.2.2.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

3.4.2.2.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.2.2.2.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

3.4.2.2.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

3.4.2.2.2.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			161
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <SrnM>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164
	EmailAddress <EmailAdr>	[0..1]	Text		164
	Identification <Id>	[1..1]			164
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166

3.4.2.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		162
	Surname <SrnM>	[1..1]	Text		162
	Address <Adr>	[0..1]			162
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164

3.4.2.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

3.4.2.2.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

3.4.2.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		163
	AddressLine <AdrLine>	[0..5]	Text		163
	StreetName <StrtNm>	[0..1]	Text		163
	BuildingNumber <BldgNb>	[0..1]	Text		163
	PostBox <PstBx>	[0..1]	Text		164
	PostCode <PstCd>	[0..1]	Text		164
	TownName <TwnNm>	[0..1]	Text		164
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		164
	Country <Ctry>	[1..1]	CodeSet	C3	164

3.4.2.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

3.4.2.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

3.4.2.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

3.4.2.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

3.4.2.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

3.4.2.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

3.4.2.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

3.4.2.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

3.4.2.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.2.2.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

3.4.2.2.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		165
	IdentificationType <IdTp>	[0..1]			165
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166

3.4.2.2.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

3.4.2.2.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		165
Or}	Proprietary <Prtry>	[1..1]	±		166

3.4.2.2.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

3.4.2.2.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

3.4.2.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		166
	BalanceType <BalTp>	[1..1]	CodeSet		167
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		167
	Date <Dt>	[0..1]	Date		168

3.4.2.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

3.4.2.2.3.2 BalanceType <BalTp>*Presence:* [1..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

3.4.2.2.3.3 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

3.4.2.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 702

3.4.2.2.4 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			170
	NameAndAddress <NmAndAdr>	[1..1]			171
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174
	EmailAddress <EmailAdr>	[0..1]	Text		174
	Identification <Id>	[0..1]			174
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClnId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175
Or}	NaturalPerson <NtrlPrsn>	[1..*]			175
	NameAndAddress <NmAndAdr>	[1..1]			176
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <Snm>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179
	EmailAddress <EmailAdr>	[0..1]	Text		179
	Identification <Id>	[0..1]			179
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.2.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			171
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174
	EmailAddress <EmailAdr>	[0..1]	Text		174
	Identification <Id>	[0..1]			174
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClnId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175

3.4.2.2.4.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		172
	Address <Adr>	[0..1]			172
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174

3.4.2.2.4.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

3.4.2.2.4.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		173
	AddressLine <AdrLine>	[0..5]	Text		173
	StreetName <StrtNm>	[0..1]	Text		173
	BuildingNumber <BldgNb>	[0..1]	Text		173
	PostBox <PstBx>	[0..1]	Text		173
	PostCode <PstCd>	[0..1]	Text		173
	TownName <TwnNm>	[0..1]	Text		174
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		174
	Country <Ctry>	[1..1]	CodeSet	C3	174

3.4.2.2.4.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

3.4.2.2.4.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**3.4.2.2.4.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**3.4.2.2.4.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**3.4.2.2.4.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**3.4.2.2.4.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

3.4.2.2.4.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**3.4.2.2.4.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**3.4.2.2.4.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.2.2.4.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**3.4.2.2.4.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		174
Or	LEI <LEI>	[1..1]	IdentifierSet		175
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	175
Or	ClientIdentification <ClnId>	[1..1]	Text		175
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		175

3.4.2.2.4.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

3.4.2.2.4.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

3.4.2.2.4.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

3.4.2.2.4.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

3.4.2.2.4.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

3.4.2.2.4.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			176
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <SrnM>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179
	EmailAddress <EmailAdr>	[0..1]	Text		179
	Identification <Id>	[0..1]			179
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.2.2.4.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		177
	Surname <SrnM>	[1..1]	Text		177
	Address <Adr>	[0..1]			177
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179

3.4.2.2.4.2.1.1 **FirstName** <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

3.4.2.2.4.2.1.2 **Surname** <SrnM>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

3.4.2.2.4.2.1.3 **Address** <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		178
	AddressLine <AdrLine>	[0..5]	Text		178
	StreetName <StrtNm>	[0..1]	Text		178
	BuildingNumber <BldgNb>	[0..1]	Text		178
	PostBox <PstBx>	[0..1]	Text		179
	PostCode <PstCd>	[0..1]	Text		179
	TownName <TwnNm>	[0..1]	Text		179
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		179
	Country <Ctry>	[1..1]	CodeSet	C3	179

3.4.2.2.4.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

3.4.2.2.4.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

3.4.2.2.4.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

3.4.2.2.4.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

3.4.2.2.4.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

3.4.2.2.4.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

3.4.2.2.4.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

3.4.2.2.4.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

3.4.2.2.4.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

3.4.2.2.4.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

3.4.2.2.4.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		180
	IdentificationType <IdTp>	[0..1]			180
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.2.2.4.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

3.4.2.2.4.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		180
Or}	Proprietary <Prtry>	[1..1]	±		181

3.4.2.2.4.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

3.4.2.2.4.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

3.4.3 Reason <Rsn>

Presence: [1..1]

Definition: Justification for the cancellation.

Reason <Rsn> contains the following **MeetingCancellationReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CancellationReasonCode <CxIRsnCd>	[0..1]			181
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182
	CancellationReason <CxIRsn>	[0..1]	Text		182

3.4.3.1 CancellationReasonCode <CxIRsnCd>

Presence: [0..1]

Definition: Reason for cancelling a meeting.

CancellationReasonCode <CxIRsnCd> contains one of the following **MeetingCancellationReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		182
Or}	Proprietary <Prtry>	[1..1]	±		182

3.4.3.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Specifies the reason for cancelling a meeting in coded form.*Datatype:* "MeetingCancellationReason2Code" on page 690

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

3.4.3.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Specifies the reason for cancelling a meeting in free text form.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

3.4.3.2 CancellationReason <CxIRsn>*Presence:* [0..1]*Definition:* Provides more information on the reason for cancelling a meeting in free format form.*Datatype:* "Max140Text" on page 705**3.4.4 SupplementaryData <SplmtryData>***Presence:* [0..*]*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.*Impacted by:* C11 "SupplementaryDataRule"**SupplementaryData <SplmtryData>** contains the following elements (see "SupplementaryData1" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **seev.003.001.06** **MeetingEntitlementNotificationV06**

4.1 **MessageDefinition Functionality**

Scope

The MeetingEntitlementNotification is sent by an account servicer to the account owner to advise the entitlement in relation to a meeting.

Usage

This message is sent to advise the quantity of securities held by an account owner. The balance is specified for the securities for which the meeting is taking place. The message is also used to amend a previously sent MeetingEntitlementNotification.

The MeetingEntitlementNotification message may be sent either before receiving a voting instruction to confirm the entitlement; or after having received a voting instruction to confirm details of the person attending the meeting.

The message may also be used in place of an attendance card or to confirm entitlements in case of bearer shares.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingEntitlementNotificationV06 MessageDefinition is composed of 9 MessageBuildingBlocks:

- A. NotificationType
Type of notification.
- B. PreviousEntitlementNotificationIdentification
Identification of the original meeting notification entitlement message which is amended.
- C. MeetingReference
Set of elements that allow to identify unambiguously a meeting.
- D. Issuer
Institution that is the issuer of the security to which the meeting applies.
- E. Security
Security for which the meeting is organised, the account and the positions of the security holder.
- F. Eligibility
Date determining eligibility.
- G. MeetingAttendee
Person attending physically the meeting as a natural or legal person.
- H. Proxy

Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

I. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgEntitlmntNtfctn>	[1..1]		C9	
	NotificationType <NtfctnTp>	[1..1]	CodeSet		193
	PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>	[0..1]	Text		193
	MeetingReference <MtgRef>	[1..1]			194
	MeetingIdentification <MtgId>	[0..1]	Text		194
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		194
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		194
	Type <Tp>	[1..1]	CodeSet		194
	Classification <Clssfctn>	[0..1]			195
{Or	Code <Cd>	[1..1]	CodeSet		195
Or}	Proprietary <Prtry>	[1..1]	±		196
	Location <Lctn>	[0..5]	±		196
	Issuer <Issr>	[0..1]	±		196
	Issuer <Issr>	[1..1]	±		197
	Security <Scty>	[1..200]			197
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	201
	Position <Pos>	[0..1000]		C5, C10	202
	AccountIdentification <AcctId>	[1..1]	Text		206
	AccountOwner <AcctOwnr>	[0..1]			206
	Identification <Id>	[1..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]			210
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClnId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215
Or}	NaturalPerson <NtrlPrsn>	[1..*]			215
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <Srm>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		221
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			221
{Or	BirthDate <BirthDt>	[1..1]	Date		221
Or}	RegistrationIdentification <RegnId>	[1..1]			221
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222
	HoldingBalance <HldgBal>	[0..15]			222
	Balance <Bal>	[1..1]	±		222
	BalanceType <BalTp>	[1..1]	CodeSet		222
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		223
	Date <Dt>	[0..1]	Date		224
	RightsHolder <RghtsHldr>	[0..10]			224
	Identification <Id>	[1..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]			228
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or	ClientIdentification <ClntId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]			233
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <SrnNm>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			239
{Or	BirthDate <BirthDt>	[1..1]	Date		239
Or}	RegistrationIdentification <RegnId>	[1..1]			239
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240
	Eligibility <Elgblty>	[1..1]			240
	EntitlementFixingDate <EntitlmntFgxDt>	[1..1]	Date		240
	MeetingAttendee <MtgAtndee>	[0..1]			240
{Or	LegalPerson <LglPrsn>	[1..1]			242

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			243
	Name <Nm>	[1..1]	Text		244
	Address <Adr>	[0..1]			244
	AddressType <AdrTp>	[0..1]	CodeSet		245
	AddressLine <AdrLine>	[0..5]	Text		245
	StreetName <StrtNm>	[0..1]	Text		245
	BuildingNumber <BldgNb>	[0..1]	Text		245
	PostBox <PstBx>	[0..1]	Text		245
	PostCode <PstCd>	[0..1]	Text		245
	TownName <TwnNm>	[0..1]	Text		246
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		246
	Country <Ctry>	[1..1]	CodeSet	C2	246
	EmailAddress <EmailAdr>	[0..1]	Text		246
	Identification <Id>	[1..1]			246
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		246
Or	LEI <LEI>	[1..1]	IdentifierSet		247
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	247
Or	ClientIdentification <ClntId>	[1..1]	Text		247
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		247
Or}	NaturalPerson <NtrlPrsn>	[1..1]			247
	NameAndAddress <NmAndAdr>	[1..1]			248
	FirstName <FrstNm>	[1..1]	Text		249
	Surname <Snm>	[1..1]	Text		249
	Address <Adr>	[0..1]			249
	AddressType <AdrTp>	[0..1]	CodeSet		250
	AddressLine <AdrLine>	[0..5]	Text		250
	StreetName <StrtNm>	[0..1]	Text		250
	BuildingNumber <BldgNb>	[0..1]	Text		250
	PostBox <PstBx>	[0..1]	Text		251
	PostCode <PstCd>	[0..1]	Text		251
	TownName <TwnNm>	[0..1]	Text		251

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		251
	Country <Ctry>	[1..1]	CodeSet	C2	251
	EmailAddress <EmailAdr>	[0..1]	Text		251
	Identification <Id>	[1..1]			251
	Identification <Id>	[1..1]	Text		252
	IdentificationType <IdTp>	[0..1]			252
{Or	Code <Cd>	[1..1]	CodeSet		252
Or}	Proprietary <Prtry>	[1..1]	±		253
	Proxy <Prxy>	[0..1]			253
{Or	LegalPerson <LglPrsn>	[1..1]			255
	NameAndAddress <NmAndAdr>	[1..1]			256
	Name <Nm>	[1..1]	Text		257
	Address <Adr>	[0..1]			257
	AddressType <AdrTp>	[0..1]	CodeSet		258
	AddressLine <AdrLine>	[0..5]	Text		258
	StreetName <StrtNm>	[0..1]	Text		258
	BuildingNumber <BldgNb>	[0..1]	Text		258
	PostBox <PstBx>	[0..1]	Text		258
	PostCode <PstCd>	[0..1]	Text		258
	TownName <TwnNm>	[0..1]	Text		259
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		259
	Country <Ctry>	[1..1]	CodeSet	C2	259
	EmailAddress <EmailAdr>	[0..1]	Text		259
	Identification <Id>	[1..1]			259
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		259
Or	LEI <LEI>	[1..1]	IdentifierSet		260
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	260
Or	ClientIdentification <ClntId>	[1..1]	Text		260
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		260
Or}	NaturalPerson <NtrlPrsn>	[1..1]			260
	NameAndAddress <NmAndAdr>	[1..1]			261

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		262
	Surname <SrnNm>	[1..1]	Text		262
	Address <Adr>	[0..1]			262
	AddressType <AdrTp>	[0..1]	CodeSet		263
	AddressLine <AdrLine>	[0..5]	Text		263
	StreetName <StrtNm>	[0..1]	Text		263
	BuildingNumber <BldgNb>	[0..1]	Text		263
	PostBox <PstBx>	[0..1]	Text		264
	PostCode <PstCd>	[0..1]	Text		264
	TownName <TwnNm>	[0..1]	Text		264
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		264
	Country <Ctry>	[1..1]	CodeSet	C2	264
	EmailAddress <EmailAdr>	[0..1]	Text		264
	Identification <Id>	[1..1]			264
	Identification <Id>	[1..1]	Text		265
	IdentificationType <IdTp>	[0..1]			265
{Or	Code <Cd>	[1..1]	CodeSet		265
Or}	Proprietary <Prtry>	[1..1]	±		266
	SupplementaryData <SplmtryData>	[0..*]	±	C11	266

4.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C9 PreviousEntitlementNotificationIdentificationRule

If NotificationType is REPL (Replacement), then PreviousEntitlementNotificationIdentification must be present.

On Condition

/NotificationType is equal to value 'Replacement'

Following Must be True

/PreviousEntitlementNotificationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 NotificationType <NtfctnTp>

Presence: [1..1]

Definition: Type of notification.

Datatype: "NotificationType2Code" on page 692

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

4.4.2 PreviousEntitlementNotificationIdentification <PrvsEntitlmntNtfctnId>

Presence: [0..1]

Definition: Identification of the original meeting notification entitlement message which is amended.

Datatype: "Max35Text" on page 706

4.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		194
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		194
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		194
	Type <Tp>	[1..1]	CodeSet		194
	Classification <Clssfctn>	[0..1]			195
{Or	Code <Cd>	[1..1]	CodeSet		195
Or}	Proprietary <Prtry>	[1..1]	±		196
	Location <Lctn>	[0..5]	±		196
	Issuer <Issr>	[0..1]	±		196

4.4.3.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

4.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

4.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

4.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

4.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		195
Or}	Proprietary <Prtry>	[1..1]	±		196

4.4.3.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed

CodeName	Name	Definition
		to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

4.4.3.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

4.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "[PostalAddress1](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

4.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

4.4.4 Issuer <Issr>

Presence: [1..1]

Definition: Institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

4.4.5 Security <Scty>

Presence: [1..200]

Definition: Security for which the meeting is organised, the account and the positions of the security holder.

Security <Scty> contains the following **SecurityPosition11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	201
	Position <Pos>	[0..1000]		C5, C10	202
	AccountIdentification <AcctId>	[1..1]	Text		206
	AccountOwner <AcctOwnr>	[0..1]			206
	Identification <Id>	[1..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]			210
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClnId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215
Or}	NaturalPerson <NtrlPrsn>	[1..*]			215
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <SrnNm>	[1..1]	Text		217
	Address <Adr>	[0..1]			217

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			221
{Or	BirthDate <BirthDt>	[1..1]	Date		221
Or}	RegistrationIdentification <RegnId>	[1..1]			221
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222
	HoldingBalance <HldgBal>	[0..15]			222
	Balance <Bal>	[1..1]	±		222
	BalanceType <BalTp>	[1..1]	CodeSet		222
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		223
	Date <Dt>	[0..1]	Date		224
	RightsHolder <RghtsHldr>	[0..10]			224
	Identification <Id>	[1..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]			228
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClnId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]			233
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <SrnNm>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			239
{Or	BirthDate <BirthDt>	[1..1]	Date		239
Or}	RegistrationIdentification <RegnId>	[1..1]			239
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240

4.4.5.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or
    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or
    /Description Must be present
```

4.4.5.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C5 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		206
	AccountOwner <AcctOwnr>	[0..1]			206
	Identification <Id>	[1..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]			210
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <CintId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215
Or}	NaturalPerson <NtrlPrsn>	[1..*]			215
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <SrnM>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			221
{Or	BirthDate <BirthDt>	[1..1]	Date		221
Or}	RegistrationIdentification <RegnId>	[1..1]			221
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222
	HoldingBalance <HldgBal>	[0..15]			222
	Balance <Bal>	[1..1]	±		222
	BalanceType <BalTp>	[1..1]	CodeSet		222
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		223
	Date <Dt>	[0..1]	Date		224
	RightsHolder <RghtsHldr>	[0..10]			224
	Identification <Id>	[1..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]			228
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClntId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]			233
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <SrnNm>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			239
{Or	BirthDate <BirthDt>	[1..1]	Date		239
Or}	RegistrationIdentification <RegnId>	[1..1]			239
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

4.4.5.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

4.4.5.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains the following **PartyIdentification223** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			208
{Or	LegalPerson <LglPrsn>	[1..1]			210
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClntId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215
Or}	NaturalPerson <NtrlPrsn>	[1..*]			215
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <Snm>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			221
{Or	BirthDate <BirthDt>	[1..1]	Date		221
Or}	RegistrationIdentification <RegnId>	[1..1]			221
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222

4.4.5.2.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			210
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClnId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215
Or}	NaturalPerson <NtrlPrsn>	[1..*]			215
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <Snm>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221

4.4.5.2.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			211
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214
	EmailAddress <EmailAdr>	[0..1]	Text		214
	Identification <Id>	[0..1]			214
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClntId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215

4.4.5.2.2.1.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		212
	Address <Adr>	[0..1]			212
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214

4.4.5.2.2.1.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.5.2.2.1.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		213
	AddressLine <AdrLine>	[0..5]	Text		213
	StreetName <StrtNm>	[0..1]	Text		213
	BuildingNumber <BldgNb>	[0..1]	Text		213
	PostBox <PstBx>	[0..1]	Text		213
	PostCode <PstCd>	[0..1]	Text		213
	TownName <TwnNm>	[0..1]	Text		214
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		214
	Country <Ctry>	[1..1]	CodeSet	C2	214

4.4.5.2.2.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.2.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**4.4.5.2.2.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**4.4.5.2.2.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**4.4.5.2.2.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**4.4.5.2.2.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

4.4.5.2.2.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**4.4.5.2.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**4.4.5.2.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.2.1.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**4.4.5.2.2.1.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		214
Or	LEI <LEI>	[1..1]	IdentifierSet		215
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	215
Or	ClientIdentification <ClnId>	[1..1]	Text		215
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		215

4.4.5.2.2.1.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

4.4.5.2.2.1.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

4.4.5.2.2.1.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.5.2.2.1.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

4.4.5.2.2.1.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

4.4.5.2.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			216
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <SrnM>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219
	EmailAddress <EmailAdr>	[0..1]	Text		219
	Identification <Id>	[0..1]			219
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221

4.4.5.2.2.1.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		217
	Surname <SrnM>	[1..1]	Text		217
	Address <Adr>	[0..1]			217
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219

4.4.5.2.2.1.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.5.2.2.1.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

4.4.5.2.2.1.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		218
	AddressLine <AdrLine>	[0..5]	Text		218
	StreetName <StrtNm>	[0..1]	Text		218
	BuildingNumber <BldgNb>	[0..1]	Text		218
	PostBox <PstBx>	[0..1]	Text		219
	PostCode <PstCd>	[0..1]	Text		219
	TownName <TwnNm>	[0..1]	Text		219
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		219
	Country <Ctry>	[1..1]	CodeSet	C2	219

4.4.5.2.2.1.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.2.1.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

4.4.5.2.2.1.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

4.4.5.2.2.1.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

4.4.5.2.2.1.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

4.4.5.2.2.1.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

4.4.5.2.2.1.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

4.4.5.2.2.1.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

4.4.5.2.2.1.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.2.1.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

4.4.5.2.2.1.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		220
	IdentificationType <IdTp>	[0..1]			220
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221

4.4.5.2.2.1.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

4.4.5.2.2.1.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		220
Or}	Proprietary <Prtry>	[1..1]	±		221

4.4.5.2.2.1.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.5.2.2.1.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

4.4.5.2.2.2 AdditionalIdentificationInformation <AddtlIdInf>

Presence: [0..1]

Definition: Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtlIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		221
Or}	RegistrationIdentification <RegnId>	[1..1]			221
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222

4.4.5.2.2.2.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date of birth of an individual person.

Datatype: "[ISODate](#)" on page 702

4.4.5.2.2.2.2 RegistrationIdentification <RegnId>

Presence: [1..1]

Definition: Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following **OrganisationIdentification5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		222
	RegisterName <RegrNm>	[0..1]	Text		222

4.4.5.2.2.2.1 RegistrationNumber <RegnNb>

Presence: [1..1]

Definition: Name of the register of legal entities.

Datatype: "Max35Text" on page 706

4.4.5.2.2.2.2 RegisterName <RegrNm>

Presence: [0..1]

Definition: Name of the register managed by a registration authority.

Datatype: "Max35Text" on page 706

4.4.5.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		222
	BalanceType <BalTp>	[1..1]	CodeSet		222
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		223
	Date <Dt>	[0..1]	Date		224

4.4.5.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

4.4.5.2.3.2 BalanceType <BalTp>

Presence: [1..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

4.4.5.2.3.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

4.4.5.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 702

4.4.5.2.4 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains the following **PartyIdentification231** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			226
{Or	LegalPerson <LglPrsn>	[1..1]			228
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClntId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]			233
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <Snm>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239
	AdditionalIdentificationInformation <AddtlIdInf>	[0..1]			239
{Or	BirthDate <BirthDt>	[1..1]	Date		239
Or}	RegistrationIdentification <RegnId>	[1..1]			239
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240

4.4.5.2.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			228
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClnId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233
Or}	NaturalPerson <NtrlPrsn>	[1..*]			233
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <Snm>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239

4.4.5.2.4.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			229
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232
	EmailAddress <EmailAdr>	[0..1]	Text		232
	Identification <Id>	[1..1]			232
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClnId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233

4.4.5.2.4.1.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		230
	Address <Adr>	[0..1]			230
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232

4.4.5.2.4.1.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.5.2.4.1.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		231
	AddressLine <AdrLine>	[0..5]	Text		231
	StreetName <StrtNm>	[0..1]	Text		231
	BuildingNumber <BldgNb>	[0..1]	Text		231
	PostBox <PstBx>	[0..1]	Text		231
	PostCode <PstCd>	[0..1]	Text		231
	TownName <TwnNm>	[0..1]	Text		232
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		232
	Country <Ctry>	[1..1]	CodeSet	C2	232

4.4.5.2.4.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.4.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**4.4.5.2.4.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**4.4.5.2.4.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**4.4.5.2.4.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**4.4.5.2.4.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

4.4.5.2.4.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**4.4.5.2.4.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**4.4.5.2.4.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.4.1.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**4.4.5.2.4.1.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		232
Or	LEI <LEI>	[1..1]	IdentifierSet		233
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	233
Or	ClientIdentification <ClnId>	[1..1]	Text		233
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		233

4.4.5.2.4.1.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

4.4.5.2.4.1.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

4.4.5.2.4.1.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.5.2.4.1.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

4.4.5.2.4.1.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

4.4.5.2.4.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			234
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <SrnM>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237
	EmailAddress <EmailAdr>	[0..1]	Text		237
	Identification <Id>	[1..1]			237
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239

4.4.5.2.4.1.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		235
	Surname <SrnM>	[1..1]	Text		235
	Address <Adr>	[0..1]			235
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237

4.4.5.2.4.1.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.5.2.4.1.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

4.4.5.2.4.1.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		236
	AddressLine <AdrLine>	[0..5]	Text		236
	StreetName <StrtNm>	[0..1]	Text		236
	BuildingNumber <BldgNb>	[0..1]	Text		236
	PostBox <PstBx>	[0..1]	Text		237
	PostCode <PstCd>	[0..1]	Text		237
	TownName <TwnNm>	[0..1]	Text		237
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		237
	Country <Ctry>	[1..1]	CodeSet	C2	237

4.4.5.2.4.1.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.5.2.4.1.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

4.4.5.2.4.1.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

4.4.5.2.4.1.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

4.4.5.2.4.1.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

4.4.5.2.4.1.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

4.4.5.2.4.1.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

4.4.5.2.4.1.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

4.4.5.2.4.1.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.5.2.4.1.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

4.4.5.2.4.1.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		238
	IdentificationType <IdTp>	[0..1]			238
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239

4.4.5.2.4.1.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

4.4.5.2.4.1.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		238
Or}	Proprietary <Prtry>	[1..1]	±		239

4.4.5.2.4.1.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.5.2.4.1.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

4.4.5.2.4.2 AdditionalIdentificationInformation <AddtlIdInf>

Presence: [0..1]

Definition: Ancillary identification information about the party.

AdditionalIdentificationInformation <AddtlIdInf> contains one of the following **PartyAdditionalIdentification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BirthDate <BirthDt>	[1..1]	Date		239
Or}	RegistrationIdentification <RegnId>	[1..1]			239
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240

4.4.5.2.4.2.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date of birth of an individual person.

Datatype: "[ISODate](#)" on page 702

4.4.5.2.4.2.2 RegistrationIdentification <RegnId>

Presence: [1..1]

Definition: Official identification of an organisation in a specific register.

RegistrationIdentification <RegnId> contains the following **OrganisationIdentification5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RegistrationNumber <RegnNb>	[1..1]	Text		240
	RegisterName <RegrNm>	[0..1]	Text		240

4.4.5.2.4.2.2.1 RegistrationNumber <RegnNb>

Presence: [1..1]

Definition: Name of the register of legal entities.

Datatype: "Max35Text" on page 706

4.4.5.2.4.2.2.2 RegisterName <RegrNm>

Presence: [0..1]

Definition: Name of the register managed by a registration authority.

Datatype: "Max35Text" on page 706

4.4.6 Eligibility <Elgblty>

Presence: [1..1]

Definition: Date determining eligibility.

Eligibility <Elgblty> contains the following **EligibilityDates1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EntitlementFixingDate <EntitlmntFxdDt>	[1..1]	Date		240

4.4.6.1 EntitlementFixingDate <EntitlmntFxdDt>

Presence: [1..1]

Definition: Date at which the positions are struck to note which parties will receive the entitlement, e.g. record date, book close date.

Datatype: "ISODate" on page 702

4.4.7 MeetingAttendee <MtgAttndee>

Presence: [0..1]

Definition: Person attending physically the meeting as a natural or legal person.

MeetingAttendee <MtgAttndee> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			242
	NameAndAddress <NmAndAdr>	[1..1]			243
	Name <Nm>	[1..1]	Text		244
	Address <Adr>	[0..1]			244
	AddressType <AdrTp>	[0..1]	CodeSet		245
	AddressLine <AdrLine>	[0..5]	Text		245
	StreetName <StrtNm>	[0..1]	Text		245
	BuildingNumber <BldgNb>	[0..1]	Text		245
	PostBox <PstBx>	[0..1]	Text		245
	PostCode <PstCd>	[0..1]	Text		245
	TownName <TwnNm>	[0..1]	Text		246
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		246
	Country <Ctry>	[1..1]	CodeSet	C2	246
	EmailAddress <EmailAdr>	[0..1]	Text		246
	Identification <Id>	[1..1]			246
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		246
Or	LEI <LEI>	[1..1]	IdentifierSet		247
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	247
Or	ClientIdentification <ClnId>	[1..1]	Text		247
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		247
Or}	NaturalPerson <NtrlPrsn>	[1..1]			247
	NameAndAddress <NmAndAdr>	[1..1]			248
	FirstName <FrstNm>	[1..1]	Text		249
	Surname <Snm>	[1..1]	Text		249
	Address <Adr>	[0..1]			249
	AddressType <AdrTp>	[0..1]	CodeSet		250
	AddressLine <AdrLine>	[0..5]	Text		250
	StreetName <StrtNm>	[0..1]	Text		250
	BuildingNumber <BldgNb>	[0..1]	Text		250
	PostBox <PstBx>	[0..1]	Text		251

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		251
	TownName <TwnNm>	[0..1]	Text		251
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		251
	Country <Ctry>	[1..1]	CodeSet	C2	251
	EmailAddress <EmailAdr>	[0..1]	Text		251
	Identification <Id>	[1..1]			251
	Identification <Id>	[1..1]	Text		252
	IdentificationType <IdTp>	[0..1]			252
{Or	Code <Cd>	[1..1]	CodeSet		252
Or}	Proprietary <Prtry>	[1..1]	±		253

4.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			243
	Name <Nm>	[1..1]	Text		244
	Address <Adr>	[0..1]			244
	AddressType <AdrTp>	[0..1]	CodeSet		245
	AddressLine <AdrLine>	[0..5]	Text		245
	StreetName <StrtNm>	[0..1]	Text		245
	BuildingNumber <BldgNb>	[0..1]	Text		245
	PostBox <PstBx>	[0..1]	Text		245
	PostCode <PstCd>	[0..1]	Text		245
	TownName <TwnNm>	[0..1]	Text		246
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		246
	Country <Ctry>	[1..1]	CodeSet	C2	246
	EmailAddress <EmailAdr>	[0..1]	Text		246
	Identification <Id>	[1..1]			246
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		246
Or	LEI <LEI>	[1..1]	IdentifierSet		247
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	247
Or	ClientIdentification <ClnId>	[1..1]	Text		247
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		247

4.4.7.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		244
	Address <Adr>	[0..1]			244
	AddressType <AdrTp>	[0..1]	CodeSet		245
	AddressLine <AdrLine>	[0..5]	Text		245
	StreetName <StrtNm>	[0..1]	Text		245
	BuildingNumber <BldgNb>	[0..1]	Text		245
	PostBox <PstBx>	[0..1]	Text		245
	PostCode <PstCd>	[0..1]	Text		245
	TownName <TwnNm>	[0..1]	Text		246
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		246
	Country <Ctry>	[1..1]	CodeSet	C2	246

4.4.7.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.7.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		245
	AddressLine <AdrLine>	[0..5]	Text		245
	StreetName <StrtNm>	[0..1]	Text		245
	BuildingNumber <BldgNb>	[0..1]	Text		245
	PostBox <PstBx>	[0..1]	Text		245
	PostCode <PstCd>	[0..1]	Text		245
	TownName <TwnNm>	[0..1]	Text		246
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		246
	Country <Ctry>	[1..1]	CodeSet	C2	246

4.4.7.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.7.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

4.4.7.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

4.4.7.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

4.4.7.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

4.4.7.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

4.4.7.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**4.4.7.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**4.4.7.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.7.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**4.4.7.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		246
Or	LEI <LEI>	[1..1]	IdentifierSet		247
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	247
Or	ClientIdentification <ClnId>	[1..1]	Text		247
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		247

4.4.7.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

4.4.7.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

4.4.7.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.7.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

4.4.7.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

4.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			248
	FirstName <FrstNm>	[1..1]	Text		249
	Surname <SrnM>	[1..1]	Text		249
	Address <Adr>	[0..1]			249
	AddressType <AdrTp>	[0..1]	CodeSet		250
	AddressLine <AdrLine>	[0..5]	Text		250
	StreetName <StrtNm>	[0..1]	Text		250
	BuildingNumber <BldgNb>	[0..1]	Text		250
	PostBox <PstBx>	[0..1]	Text		251
	PostCode <PstCd>	[0..1]	Text		251
	TownName <TwnNm>	[0..1]	Text		251
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		251
	Country <Ctry>	[1..1]	CodeSet	C2	251
	EmailAddress <EmailAdr>	[0..1]	Text		251
	Identification <Id>	[1..1]			251
	Identification <Id>	[1..1]	Text		252
	IdentificationType <IdTp>	[0..1]			252
{Or	Code <Cd>	[1..1]	CodeSet		252
Or}	Proprietary <Prtry>	[1..1]	±		253

4.4.7.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		249
	Surname <Srnrm>	[1..1]	Text		249
	Address <Adr>	[0..1]			249
	AddressType <AdrTp>	[0..1]	CodeSet		250
	AddressLine <AdrLine>	[0..5]	Text		250
	StreetName <StrtNm>	[0..1]	Text		250
	BuildingNumber <BldgNb>	[0..1]	Text		250
	PostBox <PstBx>	[0..1]	Text		251
	PostCode <PstCd>	[0..1]	Text		251
	TownName <TwnNm>	[0..1]	Text		251
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		251
	Country <Ctry>	[1..1]	CodeSet	C2	251

4.4.7.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.7.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

4.4.7.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		250
	AddressLine <AdrLine>	[0..5]	Text		250
	StreetName <StrtNm>	[0..1]	Text		250
	BuildingNumber <BldgNb>	[0..1]	Text		250
	PostBox <PstBx>	[0..1]	Text		251
	PostCode <PstCd>	[0..1]	Text		251
	TownName <TwnNm>	[0..1]	Text		251
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		251
	Country <Ctry>	[1..1]	CodeSet	C2	251

4.4.7.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.7.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

4.4.7.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

4.4.7.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

4.4.7.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

4.4.7.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

4.4.7.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

4.4.7.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

4.4.7.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.7.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

4.4.7.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		252
	IdentificationType <IdTp>	[0..1]			252
{Or	Code <Cd>	[1..1]	CodeSet		252
Or}	Proprietary <Prtry>	[1..1]	±		253

4.4.7.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

4.4.7.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		252
Or}	Proprietary <Prtry>	[1..1]	±		253

4.4.7.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.7.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

4.4.8 Proxy <Prxy>

Presence: [0..1]

Definition: Third party agent assigned by the shareholder that is legally authorised to cast a vote on the shareholder's behalf at the general meeting.

Proxy <Prxy> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			255
	NameAndAddress <NmAndAdr>	[1..1]			256
	Name <Nm>	[1..1]	Text		257
	Address <Adr>	[0..1]			257
	AddressType <AdrTp>	[0..1]	CodeSet		258
	AddressLine <AdrLine>	[0..5]	Text		258
	StreetName <StrtNm>	[0..1]	Text		258
	BuildingNumber <BldgNb>	[0..1]	Text		258
	PostBox <PstBx>	[0..1]	Text		258
	PostCode <PstCd>	[0..1]	Text		258
	TownName <TwnNm>	[0..1]	Text		259
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		259
	Country <Ctry>	[1..1]	CodeSet	C2	259
	EmailAddress <EmailAdr>	[0..1]	Text		259
	Identification <Id>	[1..1]			259
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		259
Or	LEI <LEI>	[1..1]	IdentifierSet		260
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	260
Or	ClientIdentification <ClnId>	[1..1]	Text		260
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		260
Or}	NaturalPerson <NtrlPrsn>	[1..1]			260
	NameAndAddress <NmAndAdr>	[1..1]			261
	FirstName <FrstNm>	[1..1]	Text		262
	Surname <Snm>	[1..1]	Text		262
	Address <Adr>	[0..1]			262
	AddressType <AdrTp>	[0..1]	CodeSet		263
	AddressLine <AdrLine>	[0..5]	Text		263
	StreetName <StrtNm>	[0..1]	Text		263
	BuildingNumber <BldgNb>	[0..1]	Text		263
	PostBox <PstBx>	[0..1]	Text		264
	PostCode <PstCd>	[0..1]	Text		264

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		264
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		264
	Country <Ctry>	[1..1]	CodeSet	C2	264
	EmailAddress <EmailAdr>	[0..1]	Text		264
	Identification <Id>	[1..1]			264
	Identification <Id>	[1..1]	Text		265
	IdentificationType <IdTp>	[0..1]			265
{Or	Code <Cd>	[1..1]	CodeSet		265
Or}	Proprietary <Prtry>	[1..1]	±		266

4.4.8.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			256
	Name <Nm>	[1..1]	Text		257
	Address <Adr>	[0..1]			257
	AddressType <AdrTp>	[0..1]	CodeSet		258
	AddressLine <AdrLine>	[0..5]	Text		258
	StreetName <StrtNm>	[0..1]	Text		258
	BuildingNumber <BldgNb>	[0..1]	Text		258
	PostBox <PstBx>	[0..1]	Text		258
	PostCode <PstCd>	[0..1]	Text		258
	TownName <TwnNm>	[0..1]	Text		259
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		259
	Country <Ctry>	[1..1]	CodeSet	C2	259
	EmailAddress <EmailAdr>	[0..1]	Text		259
	Identification <Id>	[1..1]			259
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		259
Or	LEI <LEI>	[1..1]	IdentifierSet		260
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	260
Or	ClientIdentification <ClnId>	[1..1]	Text		260
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		260

4.4.8.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		257
	Address <Adr>	[0..1]			257
	AddressType <AdrTp>	[0..1]	CodeSet		258
	AddressLine <AdrLine>	[0..5]	Text		258
	StreetName <StrtNm>	[0..1]	Text		258
	BuildingNumber <BldgNb>	[0..1]	Text		258
	PostBox <PstBx>	[0..1]	Text		258
	PostCode <PstCd>	[0..1]	Text		258
	TownName <TwnNm>	[0..1]	Text		259
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		259
	Country <Ctry>	[1..1]	CodeSet	C2	259

4.4.8.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.8.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		258
	AddressLine <AdrLine>	[0..5]	Text		258
	StreetName <StrtNm>	[0..1]	Text		258
	BuildingNumber <BldgNb>	[0..1]	Text		258
	PostBox <PstBx>	[0..1]	Text		258
	PostCode <PstCd>	[0..1]	Text		258
	TownName <TwnNm>	[0..1]	Text		259
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		259
	Country <Ctry>	[1..1]	CodeSet	C2	259

4.4.8.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.8.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**4.4.8.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**4.4.8.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**4.4.8.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**4.4.8.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

4.4.8.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**4.4.8.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**4.4.8.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.8.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**4.4.8.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		259
Or	LEI <LEI>	[1..1]	IdentifierSet		260
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	260
Or	ClientIdentification <CIntId>	[1..1]	Text		260
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		260

4.4.8.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

4.4.8.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

4.4.8.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

4.4.8.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

4.4.8.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

4.4.8.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			261
	FirstName <FrstNm>	[1..1]	Text		262
	Surname <SrnM>	[1..1]	Text		262
	Address <Adr>	[0..1]			262
	AddressType <AdrTp>	[0..1]	CodeSet		263
	AddressLine <AdrLine>	[0..5]	Text		263
	StreetName <StrtNm>	[0..1]	Text		263
	BuildingNumber <BldgNb>	[0..1]	Text		263
	PostBox <PstBx>	[0..1]	Text		264
	PostCode <PstCd>	[0..1]	Text		264
	TownName <TwnNm>	[0..1]	Text		264
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		264
	Country <Ctry>	[1..1]	CodeSet	C2	264
	EmailAddress <EmailAdr>	[0..1]	Text		264
	Identification <Id>	[1..1]			264
	Identification <Id>	[1..1]	Text		265
	IdentificationType <IdTp>	[0..1]			265
{Or	Code <Cd>	[1..1]	CodeSet		265
Or}	Proprietary <Prtry>	[1..1]	±		266

4.4.8.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		262
	Surname <Srnrm>	[1..1]	Text		262
	Address <Adr>	[0..1]			262
	AddressType <AdrTp>	[0..1]	CodeSet		263
	AddressLine <AdrLine>	[0..5]	Text		263
	StreetName <StrtNm>	[0..1]	Text		263
	BuildingNumber <BldgNb>	[0..1]	Text		263
	PostBox <PstBx>	[0..1]	Text		264
	PostCode <PstCd>	[0..1]	Text		264
	TownName <TwnNm>	[0..1]	Text		264
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		264
	Country <Ctry>	[1..1]	CodeSet	C2	264

4.4.8.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

4.4.8.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

4.4.8.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		263
	AddressLine <AdrLine>	[0..5]	Text		263
	StreetName <StrtNm>	[0..1]	Text		263
	BuildingNumber <BldgNb>	[0..1]	Text		263
	PostBox <PstBx>	[0..1]	Text		264
	PostCode <PstCd>	[0..1]	Text		264
	TownName <TwnNm>	[0..1]	Text		264
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		264
	Country <Ctry>	[1..1]	CodeSet	C2	264

4.4.8.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

4.4.8.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

4.4.8.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

4.4.8.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

4.4.8.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

4.4.8.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

4.4.8.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

4.4.8.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

4.4.8.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

4.4.8.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

4.4.8.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		265
	IdentificationType <IdTp>	[0..1]			265
{Or	Code <Cd>	[1..1]	CodeSet		265
Or}	Proprietary <Prtry>	[1..1]	±		266

4.4.8.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

4.4.8.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		265
Or}	Proprietary <Prtry>	[1..1]	±		266

4.4.8.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

4.4.8.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

4.4.9 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 **seev.004.001.06 MeetingInstructionV06**

5.1 **MessageDefinition Functionality**

Scope

The MeetingInstruction message is sent by a party holding the right to vote to an intermediary, the issuer or its agent to request the receiving party to act upon one or several instructions.

Usage

The MeetingInstruction message is used to vote, require attendance to a meeting, request registration of securities and assign a proxy. One of multiple instructions can be carried within the same message.

Once the message is sent, it cannot be modified. It must be cancelled by a MeetingInstructionCancellationRequest. Only after receipt of a confirmed cancelled status via the

MeetingInstructionStatus message, a new MeetingInstruction message can be sent.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingInstructionV06 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. MeetingReference

Set of elements that allow to identify unambiguously a meeting.

B. FinancialInstrumentIdentification

Security for which the meeting is organised.

C. Instruction

Identifies the position of the instructing party and the action that it wants to take.

D. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstr>	[1..1]			
	MeetingReference <MtgRef>	[1..1]			279
	MeetingIdentification <MtgId>	[0..1]	Text		280
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		280
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		280
	Type <Tp>	[1..1]	CodeSet		280
	Classification <Clssfctn>	[0..1]			281
{Or	Code <Cd>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282
	Location <Lctn>	[0..5]	±		282
	Issuer <Issr>	[0..1]	±		282
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C4, C5, C6, C7, C10	283
	Instruction <Instr>	[1..*]		C8, C12, C16	284
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		295
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		295
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		295
	AccountDetails <AcctDtls>	[1..1]		C2, C13	295
	AccountIdentification <AcctId>	[1..1]	Text		299
	AccountOwner <AcctOwnr>	[0..1]			299
{Or	LegalPerson <LglPrsn>	[1..1]			301
	NameAndAddress <NmAndAdr>	[1..1]			302
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305
	EmailAddress <EmailAdr>	[0..1]	Text		305
	Identification <Id>	[1..1]			305
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClnId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306
Or}	NaturalPerson <NtrlPrsn>	[1..*]			306
	NameAndAddress <NmAndAdr>	[1..1]			307
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <SrnM>	[1..1]	Text		308
	Address <Adr>	[0..1]			308
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310
	EmailAddress <EmailAdr>	[0..1]	Text		310
	Identification <Id>	[1..1]			310
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	SubAccountIdentification <SubAcctId>	[0..1]	Text		312
	InstructedBalance <InstdBal>	[1..15]			312
	Balance <Bal>	[1..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		313
Or	FaceAmount <FaceAmt>	[1..1]	Amount		313
Or}	Code <Cd>	[1..1]	CodeSet		313
	BalanceType <BalTp>	[0..1]	CodeSet		313
	SafekeepingPlace <SfkgPlc>	[0..1]	±		314
	RightsHolder <RghtsHldr>	[0..10]			315
{Or	LegalPerson <LglPrsn>	[1..1]			317
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[0..1]			321
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClntId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322
Or}	NaturalPerson <NtrlPrsn>	[1..*]			322
	NameAndAddress <NmAndAdr>	[1..1]			323

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <SrnM>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326
	EmailAddress <EmailAdr>	[0..1]	Text		326
	Identification <Id>	[0..1]			326
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328
	Proxy <Prxy>	[0..1]		C11	328
	ProxyType <PrxyTp>	[1..1]	CodeSet		332
	PersonDetails <PrsnDtls>	[0..1]			332
	PreassignedProxy <PrssgndPrxy>	[0..1]			334
{Or	LegalPerson <LglPrsn>	[1..1]			336
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClnId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341
Or}	NaturalPerson <NtrlPrsn>	[1..1]			341
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <SrnM>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EmployingParty <EmplngPty>	[0..1]	±		347
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	347
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		348
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		348
	OtherAddress <OthrAdr>	[0..1]	±		348
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[0..1]			349
{Or	VoteInstruction <VoteInstr>	[1..1000]			351
	IssuerLabel <IssrLbl>	[1..1]	Text		353
	For <For>	[0..1]			353
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354
	Against <Agnst>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354
	Abstain <Abstn>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		355
	Withhold <Wthhld>	[0..1]			355
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		356
	WithManagement <WthMgmt>	[0..1]			356
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356
	AgainstManagement <AgnstMgmt>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357
	Discretionary <Dscrtnry>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	OneYear <OneYr>	[0..1]			358
{Or	Quantity <Qty>	[1..1]	±		358

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Code <Cd>	[1..1]	CodeSet		358
	TwoYears <TwoYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359
	ThreeYears <ThreeYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	NoAction <NoActn>	[0..1]			360
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	Blank <Blnk>	[0..1]			361
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361
	Proprietary <Prtry>	[0..4]			361
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			363
	IssuerLabel <IssrLbl>	[1..1]	Text		363
	VoteOption <VoteOptn>	[1..1]			363
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364
	VoteDetails <VoteDtls>	[0..1]			364
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>	[1..1]			366
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]			369
{Or	VoteInstruction <VoteInstr>	[1..1000]			371
	IssuerLabel <IssrLbl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Withld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WithMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379
	ThreeYears <ThreeYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			383
	IssuerLabel <IssrLbl>	[1..1]	Text		383
	VoteOption <VoteOptn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]			384
{Or	Type <Tp>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		385
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			386
{Or	VoteIndication <VoteIndctn>	[1..1]			386
{Or	Type <Tp>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
Or}	Shareholder <Shrhldr>	[1..1]	±		387
	MeetingAttendee <MtgAttndee>	[0..*]			388
	PreassignedProxy <PrssgndPrxy>	[0..1]			390
{Or	LegalPerson <LglPrsn>	[1..1]			392
	NameAndAddress <NmAndAdr>	[1..1]			393
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396
	EmailAddress <EmailAdr>	[0..1]	Text		396
	Identification <Id>	[1..1]			396
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClnId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397
Or}	NaturalPerson <NtrlPrsn>	[1..1]			397
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <SrnM>	[1..1]	Text		399
	Address <Adr>	[0..1]			399
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401
	EmailAddress <EmailAdr>	[0..1]	Text		401
	Identification <Id>	[1..1]			401
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	EmployingParty <EmplngPty>	[0..1]	±		403
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	403
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		404
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		404
	OtherAddress <OthrAdr>	[0..1]	±		404
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			405
	ParticipationMethod <PrtpctnMtd>	[1..1]			405
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406
	SecuritiesRegistration <ScitiesRegn>	[0..1]	Indicator		406
	SupplementaryData <SplmtryData>	[0..*]	±	C15	406

5.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BalanceQall1Rule

If SubAccountDetails/Identification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C4 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C5 DescriptionUsageRule

Description must be used alone as the last resort.

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 MeetingAttendeeRule

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PHYS (InPerson).

C9 OtherAddressCode1Rule

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

C10 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C11 ProxyAppointment1Rule

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

C12 ProxyRule

If Proxy is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PRXY (Proxy).

C13 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C14 StructuredOrUnstructured1Guideline

It is recommended that Structured be used.

C15 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

C16 VoteInstruction1Guideline

If instructions are provided via a proxy, then it is recommended that vote instructions are provided via the element Proxy/VoteInstructionForAgendaResolution/VoteInstruction or Proxy/VoteInstructionForAgendaResolution/GlobalVoteInstruction.

If instructions are not provided via a proxy, then it is recommended that one of the following elements is used: VoteDetails/VoteInstructionForAgendaResolution/VoteInstruction or VoteDetails/VoteInstructionForAgendaResolution/GlobalVoteInstruction.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		280
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		280
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		280
	Type <Tp>	[1..1]	CodeSet		280
	Classification <Clssfctn>	[0..1]			281
{Or	Code <Cd>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282
	Location <Lctn>	[0..5]	±		282
	Issuer <Issr>	[0..1]	±		282

5.4.1.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

5.4.1.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

5.4.1.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

5.4.1.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or

CodeName	Name	Definition
		spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

5.4.1.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		281
Or}	Proprietary <Prtry>	[1..1]	±		282

5.4.1.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

5.4.1.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

5.4.1.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "[PostalAddress1](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

5.4.1.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

5.4.2 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Security for which the meeting is organised.

Impacted by: C4 "DescriptionPresenceRule", C5 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C10 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

5.4.3 Instruction <Instr>

Presence: [1..*]

Definition: Identifies the position of the instructing party and the action that it wants to take.

Impacted by: C8 "MeetingAttendeeRule", C12 "ProxyRule", C16 "VoteInstruction1Guideline"

Instruction <Instr> contains the following **Instruction4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		295
	RequestedExecutionDate <ReqdExctnDt>	[0..1]	DateTime		295
	VoteExecutionConfirmation <VoteExctnConf>	[1..1]	Indicator		295
	AccountDetails <AcctDtls>	[1..1]		C2, C13	295
	AccountIdentification <AcctId>	[1..1]	Text		299
	AccountOwner <AcctOwnr>	[0..1]			299
{Or	LegalPerson <LglPrsn>	[1..1]			301
	NameAndAddress <NmAndAdr>	[1..1]			302
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305
	EmailAddress <EmailAdr>	[0..1]	Text		305
	Identification <Id>	[1..1]			305
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClnId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306
Or}	NaturalPerson <NtrlPrsn>	[1..*]			306
	NameAndAddress <NmAndAdr>	[1..1]			307
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <SrnNm>	[1..1]	Text		308
	Address <Adr>	[0..1]			308

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310
	EmailAddress <EmailAdr>	[0..1]	Text		310
	Identification <Id>	[1..1]			310
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312
	SubAccountIdentification <SubAcctId>	[0..1]	Text		312
	InstructedBalance <InstdBal>	[1..15]			312
	Balance <Bal>	[1..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		313
Or	FaceAmount <FaceAmt>	[1..1]	Amount		313
Or}	Code <Cd>	[1..1]	CodeSet		313
	BalanceType <BalTp>	[0..1]	CodeSet		313
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		314
	RightsHolder <RghtsHldr>	[0..10]			315
{Or	LegalPerson <LglPrsn>	[1..1]			317
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[0..1]			321
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClntId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322
Or}	NaturalPerson <NtrlPrsn>	[1..*]			322
	NameAndAddress <NmAndAdr>	[1..1]			323
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <SrnNm>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326
	EmailAddress <EmailAdr>	[0..1]	Text		326
	Identification <Id>	[0..1]			326
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328
	Proxy <Prxy>	[0..1]		C11	328
	ProxyType <PrxyTp>	[1..1]	CodeSet		332
	PersonDetails <PrsnDtls>	[0..1]			332
	PreassignedProxy <PrssgndPrxy>	[0..1]			334
{Or	LegalPerson <LglPrsn>	[1..1]			336
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClnId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341
Or}	NaturalPerson <NtrlPrsn>	[1..1]			341
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <SrnM>	[1..1]	Text		343
	Address <Adr>	[0..1]			343

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347
	EmployingParty <EmplingPty>	[0..1]	±		347
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	347
	AttendanceCardLabelling <AttdncCardLblg>	[0..1]	Text		348
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		348
	OtherAddress <OthrAdr>	[0..1]	±		348
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[0..1]			349
{Or	VoteInstruction <VoteInstr>	[1..1000]			351
	IssuerLabel <IssrLbl>	[1..1]	Text		353
	For <For>	[0..1]			353
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354
	Against <Agnst>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354
	Abstain <Abstrn>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		355

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Code <Cd>	[1..1]	CodeSet		355
	Withhold <Withhld>	[0..1]			355
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		356
	WithManagement <WithMgmt>	[0..1]			356
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356
	AgainstManagement <AgnstMgmt>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357
	Discretionary <Dscrtnry>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	OneYear <OneYr>	[0..1]			358
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	TwoYears <TwoYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359
	ThreeYears <ThreeYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	NoAction <NoActn>	[0..1]			360
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	Blank <Blnk>	[0..1]			361
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361
	Proprietary <Prtry>	[0..4]			361
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			363
	IssuerLabel <IssrLbl>	[1..1]	Text		363
	VoteOption <VoteOptn>	[1..1]			363
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364
	VoteDetails <VoteDtls>	[0..1]			364
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[1..1]			366
{Or	VotePerAgendaResolution <VotePerAgndRsltn>	[1..1]			369
{Or	VoteInstruction <VoteInstr>	[1..1000]			371
	IssuerLabel <IssrLbl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Withld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WithMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379
	ThreeYears <ThreeYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			383
	IssuerLabel <IssrLbl>	[1..1]	Text		383
	VoteOption <VoteOpn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]			384
{Or	Type <Tp>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		385
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			386

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteIndication <VoteIndctn>	[1..1]			386
{Or	Type <Tp>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
Or}	Shareholder <Shrhldr>	[1..1]	±		387
	MeetingAttendee <MtgAttndee>	[0..*]			388
	PreassignedProxy <PrssgndPrxy>	[0..1]			390
{Or	LegalPerson <LglPrsn>	[1..1]			392
	NameAndAddress <NmAndAdr>	[1..1]			393
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396
	EmailAddress <EmailAdr>	[0..1]	Text		396
	Identification <Id>	[1..1]			396
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClntId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397
Or}	NaturalPerson <NtrlPrsn>	[1..1]			397
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <SrnNm>	[1..1]	Text		399
	Address <Adr>	[0..1]			399

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401
	EmailAddress <EmailAdr>	[0..1]	Text		401
	Identification <Id>	[1..1]			401
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403
	EmployingParty <EmplngPty>	[0..1]	±		403
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	403
	AttendanceCardLabelling <AttdncCardLblg>	[0..1]	Text		404
	DeliveryMethod <DlrvyMtd>	[1..1]	CodeSet		404
	OtherAddress <OthrAdr>	[0..1]	±		404
	SpecificInstructionRequest <SpfcInstrReq>	[0..1]			405
	ParticipationMethod <PrtcptnMtd>	[1..1]			405
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406
	SecuritiesRegistration <SctiesRegn>	[0..1]	Indicator		406

Constraints

• MeetingAttendeeRule

If at least one occurrence of MeetingAttendee is present, then SpecificInstructionRequest/ ParticipationMethod/Code must be PHYS (InPerson).

On Condition

/MeetingAttendee[*] is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value
'InPerson'

- **ProxyRule**

If Proxy is present, then SpecificInstructionRequest/ParticipationMethod/Code must be PRXY (Proxy).

On Condition

/Proxy is present

Following Must be True

/SpecificInstructionRequest/ParticipationMethod/Code Must be equal to value

'Proxy'

- **VoteInstruction1Guideline**

If instructions are provided via a proxy, then it is recommended that vote instructions are provided via the element Proxy/VoteInstructionForAgendaResolution/VoteInstruction or Proxy/VoteInstructionForAgendaResolution/GlobalVoteInstruction.

If instructions are not provided via a proxy, then it is recommended that one of the following elements is used: VoteDetails/VoteInstructionForAgendaResolution/VoteInstruction or VoteDetails/VoteInstructionForAgendaResolution/GlobalVoteInstruction.

5.4.3.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction.

Datatype: "Max35Text" on page 706

5.4.3.2 RequestedExecutionDate <ReqdExctnDt>

Presence: [0..1]

Definition: Date at which the instruction must be executed.

Datatype: "ISODateTime" on page 702

5.4.3.3 VoteExecutionConfirmation <VoteExctnConf>

Presence: [1..1]

Definition: Indicates that a vote execution confirmation is requested.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.3.4 AccountDetails <AcctDtls>

Presence: [1..1]

Definition: Identification of the securities account.

Impacted by: C2 "BalanceQall1Rule", C13 "RightsHolderMultiplicity1Guideline"

AccountDetails <AcctDtls> contains the following **SafekeepingAccount9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		299
	AccountOwner <AcctOwnr>	[0..1]			299
{Or	LegalPerson <LglPrsn>	[1..1]			301
	NameAndAddress <NmAndAdr>	[1..1]			302
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305
	EmailAddress <EmailAdr>	[0..1]	Text		305
	Identification <Id>	[1..1]			305
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClntId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306
Or}	NaturalPerson <NtrlPrsn>	[1..*]			306
	NameAndAddress <NmAndAdr>	[1..1]			307
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <Srm>	[1..1]	Text		308
	Address <Adr>	[0..1]			308
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310
	EmailAddress <EmailAdr>	[0..1]	Text		310
	Identification <Id>	[1..1]			310
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312
	SubAccountIdentification <SubAcctId>	[0..1]	Text		312
	InstructedBalance <InstdBal>	[1..15]			312
	Balance <Bal>	[1..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		313
Or	FaceAmount <FaceAmt>	[1..1]	Amount		313
Or}	Code <Cd>	[1..1]	CodeSet		313
	BalanceType <BalTp>	[0..1]	CodeSet		313
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		314
	RightsHolder <RghtsHldr>	[0..10]			315
{Or	LegalPerson <LglPrsn>	[1..1]			317
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[0..1]			321
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClntId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322
Or}	NaturalPerson <NtrlPrsn>	[1..*]			322
	NameAndAddress <NmAndAdr>	[1..1]			323
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <Snm>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326
	EmailAddress <EmailAdr>	[0..1]	Text		326
	Identification <Id>	[0..1]			326
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

Constraints

- **BalanceQall1Rule**

If SubAccountDetails/Identification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[*]/Balance/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

5.4.3.4.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 706

5.4.3.4.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			301
	NameAndAddress <NmAndAdr>	[1..1]			302
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305
	EmailAddress <EmailAdr>	[0..1]	Text		305
	Identification <Id>	[1..1]			305
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClnId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306
Or}	NaturalPerson <NtrlPrsn>	[1..*]			306
	NameAndAddress <NmAndAdr>	[1..1]			307
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <Snm>	[1..1]	Text		308
	Address <Adr>	[0..1]			308
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310
	EmailAddress <EmailAdr>	[0..1]	Text		310
	Identification <Id>	[1..1]			310
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312

5.4.3.4.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			302
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305
	EmailAddress <EmailAdr>	[0..1]	Text		305
	Identification <Id>	[1..1]			305
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClnId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306

5.4.3.4.2.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		303
	Address <Adr>	[0..1]			303
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305

5.4.3.4.2.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.4.2.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		304
	AddressLine <AdrLine>	[0..5]	Text		304
	StreetName <StrtNm>	[0..1]	Text		304
	BuildingNumber <BldgNb>	[0..1]	Text		304
	PostBox <PstBx>	[0..1]	Text		304
	PostCode <PstCd>	[0..1]	Text		304
	TownName <TwnNm>	[0..1]	Text		305
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		305
	Country <Ctry>	[1..1]	CodeSet	C3	305

5.4.3.4.2.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.4.2.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**5.4.3.4.2.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**5.4.3.4.2.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**5.4.3.4.2.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**5.4.3.4.2.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

5.4.3.4.2.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**5.4.3.4.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**5.4.3.4.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.4.2.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**5.4.3.4.2.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		305
Or	LEI <LEI>	[1..1]	IdentifierSet		306
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	306
Or	ClientIdentification <ClnId>	[1..1]	Text		306
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		306

5.4.3.4.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

5.4.3.4.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

5.4.3.4.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.3.4.2.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

5.4.3.4.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

5.4.3.4.2.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			307
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <SrnM>	[1..1]	Text		308
	Address <Adr>	[0..1]			308
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310
	EmailAddress <EmailAdr>	[0..1]	Text		310
	Identification <Id>	[1..1]			310
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312

5.4.3.4.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		308
	Surname <SrnM>	[1..1]	Text		308
	Address <Adr>	[0..1]			308
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310

5.4.3.4.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.4.2.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

5.4.3.4.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		309
	AddressLine <AdrLine>	[0..5]	Text		309
	StreetName <StrtNm>	[0..1]	Text		309
	BuildingNumber <BldgNb>	[0..1]	Text		309
	PostBox <PstBx>	[0..1]	Text		310
	PostCode <PstCd>	[0..1]	Text		310
	TownName <TwnNm>	[0..1]	Text		310
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		310
	Country <Ctry>	[1..1]	CodeSet	C3	310

5.4.3.4.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.4.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

5.4.3.4.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

5.4.3.4.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

5.4.3.4.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

5.4.3.4.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

5.4.3.4.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

5.4.3.4.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

5.4.3.4.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.4.2.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

5.4.3.4.2.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		311
	IdentificationType <IdTp>	[0..1]			311
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312

5.4.3.4.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

5.4.3.4.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		311
Or}	Proprietary <Prtry>	[1..1]	±		312

5.4.3.4.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

5.4.3.4.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.4.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "[Max35Text](#)" on page 706

5.4.3.4.4 InstructedBalance <InstdBal>

Presence: [1..15]

Definition: Quantity of securities in the sub-balance.

InstructedBalance <InstdBal> contains the following **HoldingBalance10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]			312
{Or	Unit <Unit>	[1..1]	Quantity		313
Or	FaceAmount <FaceAmt>	[1..1]	Amount		313
Or}	Code <Cd>	[1..1]	CodeSet		313
	BalanceType <BalTp>	[0..1]	CodeSet		313
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		314

5.4.3.4.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following **UnitOrFaceAmountOrCode2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		313
Or	FaceAmount <FaceAmt>	[1..1]	Amount		313
Or}	Code <Cd>	[1..1]	CodeSet		313

5.4.3.4.4.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 704

5.4.3.4.4.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 686

5.4.3.4.4.1.3 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.4.4.2 BalanceType <BalTp>

Presence: [0..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.

CodeName	Name	Definition
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

5.4.3.4.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

5.4.3.4.5 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			317
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[0..1]			321
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClnId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322
Or}	NaturalPerson <NtrlPrsn>	[1..*]			322
	NameAndAddress <NmAndAdr>	[1..1]			323
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <Snm>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326
	EmailAddress <EmailAdr>	[0..1]	Text		326
	Identification <Id>	[0..1]			326
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

5.4.3.4.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			318
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321
	EmailAddress <EmailAdr>	[0..1]	Text		321
	Identification <Id>	[0..1]			321
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClnId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322

5.4.3.4.5.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		319
	Address <Adr>	[0..1]			319
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321

5.4.3.4.5.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.4.5.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		320
	AddressLine <AdrLine>	[0..5]	Text		320
	StreetName <StrtNm>	[0..1]	Text		320
	BuildingNumber <BldgNb>	[0..1]	Text		320
	PostBox <PstBx>	[0..1]	Text		320
	PostCode <PstCd>	[0..1]	Text		320
	TownName <TwnNm>	[0..1]	Text		321
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		321
	Country <Ctry>	[1..1]	CodeSet	C3	321

5.4.3.4.5.1.1.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.4.5.1.1.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

5.4.3.4.5.1.1.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

5.4.3.4.5.1.1.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

5.4.3.4.5.1.1.2.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

5.4.3.4.5.1.1.2.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

5.4.3.4.5.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**5.4.3.4.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**5.4.3.4.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.4.5.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**5.4.3.4.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		321
Or	LEI <LEI>	[1..1]	IdentifierSet		322
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	322
Or	ClientIdentification <ClnId>	[1..1]	Text		322
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		322

5.4.3.4.5.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

5.4.3.4.5.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

5.4.3.4.5.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.3.4.5.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

5.4.3.4.5.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

5.4.3.4.5.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			323
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <SrnM>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326
	EmailAddress <EmailAdr>	[0..1]	Text		326
	Identification <Id>	[0..1]			326
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

5.4.3.4.5.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		324
	Surname <Srnm>	[1..1]	Text		324
	Address <Adr>	[0..1]			324
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326

5.4.3.4.5.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.4.5.2.1.2 **Surname <Srnm>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

5.4.3.4.5.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		325
	AddressLine <AdrLine>	[0..5]	Text		325
	StreetName <StrtNm>	[0..1]	Text		325
	BuildingNumber <BldgNb>	[0..1]	Text		325
	PostBox <PstBx>	[0..1]	Text		326
	PostCode <PstCd>	[0..1]	Text		326
	TownName <TwnNm>	[0..1]	Text		326
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		326
	Country <Ctry>	[1..1]	CodeSet	C3	326

5.4.3.4.5.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.4.5.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

5.4.3.4.5.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

5.4.3.4.5.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

5.4.3.4.5.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

5.4.3.4.5.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

5.4.3.4.5.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

5.4.3.4.5.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

5.4.3.4.5.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.4.5.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

5.4.3.4.5.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		327
	IdentificationType <IdTp>	[0..1]			327
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

5.4.3.4.5.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

5.4.3.4.5.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		327
Or}	Proprietary <Prtry>	[1..1]	±		328

5.4.3.4.5.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

5.4.3.4.5.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.5 Proxy <Prxy>

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

Impacted by: [C11 "ProxyAppointment1Rule"](#)

Proxy <Prxy> contains the following **Proxy7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ProxyType <PrxyTp>	[1..1]	CodeSet		332
	PersonDetails <PrsnDtls>	[0..1]			332
	PreassignedProxy <PrssgndPrxy>	[0..1]			334
{Or	LegalPerson <LglPrsn>	[1..1]			336
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <CintId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341
Or}	NaturalPerson <NtrlPrsn>	[1..1]			341
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <SrnM>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347
	EmployingParty <EmplngPty>	[0..1]	±		347
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	347
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		348
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		348
	OtherAddress <OthrAdr>	[0..1]	±		348
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsltn>	[0..1]			349
{Or	VoteInstruction <VoteInstr>	[1..1000]			351
	IssuerLabel <IssrLbl>	[1..1]	Text		353
	For <For>	[0..1]			353
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354
	Against <Agnst>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354
	Abstain <Abstn>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		355
	Withhold <Wthhld>	[0..1]			355
{Or	Quantity <Qty>	[1..1]	±		355

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Code <Cd>	[1..1]	CodeSet		356
	WithManagement <WithMgmt>	[0..1]			356
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356
	AgainstManagement <AgnstMgmt>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357
	Discretionary <Dscrtnry>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	OneYear <OneYr>	[0..1]			358
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	TwoYears <TwoYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359
	ThreeYears <ThreeYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	NoAction <NoActn>	[0..1]			360
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	Blank <Blnk>	[0..1]			361
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361
	Proprietary <Prtry>	[0..4]			361
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			363

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		363
	VoteOption <VoteOptn>	[1..1]			363
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364

Constraints

- **ProxyAppointment1Rule**

If ProxyType is DISC (Discretionary) or HLDR (SecurityHolder), then PersonDetails must be present.

On Condition

/ProxyType is equal to value 'Discretionary'

Or /ProxyType is equal to value 'SecurityHolder'

Following Must be True

/PersonDetails Must be present

5.4.3.5.1 ProxyType <PrxyTp>

Presence: [1..1]

Definition: Type of proxy.

Datatype: "ProxyType2Code" on page 695

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

5.4.3.5.2 PersonDetails <PrsnDtls>

Presence: [0..1]

Definition: Person, other than the chairman of the meeting, assigned by the security holder as the proxy.

PersonDetails <PrsnDtls> contains the following **IndividualPerson39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			334
{Or	LegalPerson <LglPrsn>	[1..1]			336
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClntId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341
Or}	NaturalPerson <NtrlPrsn>	[1..1]			341
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <Srm>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347
	EmployingParty <EmplngPty>	[0..1]	±		347
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	347
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		348
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		348
	OtherAddress <OthrAdr>	[0..1]	±		348

5.4.3.5.2.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			336
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClnId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341
Or}	NaturalPerson <NtrlPrsn>	[1..1]			341
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <Snm>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347

5.4.3.5.2.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			337
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340
	EmailAddress <EmailAdr>	[0..1]	Text		340
	Identification <Id>	[1..1]			340
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClnId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341

5.4.3.5.2.1.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		338
	Address <Adr>	[0..1]			338
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340

5.4.3.5.2.1.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.5.2.1.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		339
	AddressLine <AdrLine>	[0..5]	Text		339
	StreetName <StrtNm>	[0..1]	Text		339
	BuildingNumber <BldgNb>	[0..1]	Text		339
	PostBox <PstBx>	[0..1]	Text		339
	PostCode <PstCd>	[0..1]	Text		339
	TownName <TwnNm>	[0..1]	Text		340
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		340
	Country <Ctry>	[1..1]	CodeSet	C3	340

5.4.3.5.2.1.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.5.2.1.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**5.4.3.5.2.1.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**5.4.3.5.2.1.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**5.4.3.5.2.1.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**5.4.3.5.2.1.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

5.4.3.5.2.1.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**5.4.3.5.2.1.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**5.4.3.5.2.1.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.5.2.1.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**5.4.3.5.2.1.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		340
Or	LEI <LEI>	[1..1]	IdentifierSet		341
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	341
Or	ClientIdentification <ClnId>	[1..1]	Text		341
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		341

5.4.3.5.2.1.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

5.4.3.5.2.1.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

5.4.3.5.2.1.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.3.5.2.1.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

5.4.3.5.2.1.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

5.4.3.5.2.1.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			342
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <SrnM>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345
	EmailAddress <EmailAdr>	[0..1]	Text		345
	Identification <Id>	[1..1]			345
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347

5.4.3.5.2.1.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		343
	Surname <Srnrm>	[1..1]	Text		343
	Address <Adr>	[0..1]			343
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345

5.4.3.5.2.1.2.1.1 **FirstName** <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.5.2.1.2.1.2 **Surname** <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

5.4.3.5.2.1.2.1.3 **Address** <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		344
	AddressLine <AdrLine>	[0..5]	Text		344
	StreetName <StrtNm>	[0..1]	Text		344
	BuildingNumber <BldgNb>	[0..1]	Text		344
	PostBox <PstBx>	[0..1]	Text		345
	PostCode <PstCd>	[0..1]	Text		345
	TownName <TwnNm>	[0..1]	Text		345
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		345
	Country <Ctry>	[1..1]	CodeSet	C3	345

5.4.3.5.2.1.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.5.2.1.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

5.4.3.5.2.1.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

5.4.3.5.2.1.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

5.4.3.5.2.1.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

5.4.3.5.2.1.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

5.4.3.5.2.1.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

5.4.3.5.2.1.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

5.4.3.5.2.1.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.5.2.1.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

5.4.3.5.2.1.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		346
	IdentificationType <IdTp>	[0..1]			346
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347

5.4.3.5.2.1.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

5.4.3.5.2.1.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		346
Or}	Proprietary <Prtry>	[1..1]	±		347

5.4.3.5.2.1.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

5.4.3.5.2.1.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.5.2.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

5.4.3.5.2.3 AttendanceCardDetails <AtndncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: [C9 "OtherAddressCode1Rule"](#)

AttendanceCardDetails <AttdncCardDtls> contains the following **AttendanceCard2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		348
	DeliveryMethod <DlvryMtd>	[1..1]	CodeSet		348
	OtherAddress <OthrAdr>	[0..1]	±		348

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

5.4.3.5.2.3.1 AttendanceCardLabelling <AttdncCardLbllg>

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 705

5.4.3.5.2.3.2 DeliveryMethod <DlvryMtd>

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace1Code" on page 689

CodeName	Name	Definition
EMPL	Employer	Card needs to be mailed to employers address.
INDI	Individual	Card needs to be mailed to individual specified in PersonDetail.
ENTR	EntranceOfMeeting	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to other specified address.

5.4.3.5.2.3.3 OtherAddress <OthrAdr>

Presence: [0..1]

Definition: Name and address of a party.

OtherAddress <OthrAdr> contains the following elements (see "NameAndAddress9" on page 680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		680
	Address <Adr>	[0..1]	±	C14	680

5.4.3.5.3 VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>

Presence: [0..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

VoteInstructionForAgendaResolution <VoteInstrForAgndRsln> contains one of the following **Vote4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteInstruction <VoteInstr>	[1..1000]			351
	IssuerLabel <IssrLbl>	[1..1]	Text		353
	For <For>	[0..1]			353
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354
	Against <Agnst>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354
	Abstain <Abstn>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		355
	Withhold <Wthhld>	[0..1]			355
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		356
	WithManagement <WthMgmt>	[0..1]			356
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356
	AgainstManagement <AgnstMgmt>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357
	Discretionary <Dscrtnry>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	OneYear <OneYr>	[0..1]			358
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	TwoYears <TwoYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359
	ThreeYears <ThreeYrs>	[0..1]			359

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	NoAction <NoActn>	[0..1]			360
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	Blank <Blnk>	[0..1]			361
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361
	Proprietary <Prtry>	[0..4]			361
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			363
	IssuerLabel <IssrLbl>	[1..1]	Text		363
	VoteOption <VoteOptn>	[1..1]			363
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364

5.4.3.5.3.1 VoteInstruction <VoteInstr>

Presence: [1..1000]

Definition: Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

VoteInstruction <VoteInstr> contains the following **Vote11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		353
	For <For>	[0..1]			353
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354
	Against <Agnst>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354
	Abstain <Abstn>	[0..1]			354
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		355
	Withhold <Withhld>	[0..1]			355
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		356
	WithManagement <WithMgmt>	[0..1]			356
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356
	AgainstManagement <AgnstMgmt>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357
	Discretionary <Dscrtnry>	[0..1]			357
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	OneYear <OneYr>	[0..1]			358
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358
	TwoYears <TwoYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359
	ThreeYears <ThreeYrs>	[0..1]			359
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoAction <NoActn>	[0..1]			360
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360
	Blank <Blnk>	[0..1]			361
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361
	Proprietary <Prtry>	[0..4]			361
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362

5.4.3.5.3.1.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

5.4.3.5.3.1.2 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		353
Or}	Code <Cd>	[1..1]	CodeSet		354

5.4.3.5.3.1.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.2.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.3 Against <Agnst>*Presence:* [0..1]*Definition:* Number of votes against one resolution.**Against <Agnst>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		354
Or}	Code <Cd>	[1..1]	CodeSet		354

5.4.3.5.3.1.3.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.3.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.4 Abstain <Abstn>*Presence:* [0..1]*Definition:* Number of abstention votes for one resolution.

Abstain <Abstn> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		355

5.4.3.5.3.1.4.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.4.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.5 Withhold <Wthhld>

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

Withhold <Wthhld> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		355
Or}	Code <Cd>	[1..1]	CodeSet		356

5.4.3.5.3.1.5.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.5.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.6 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		356
Or}	Code <Cd>	[1..1]	CodeSet		356

5.4.3.5.3.1.6.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.6.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.7 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		357
Or}	Code <Cd>	[1..1]	CodeSet		357

5.4.3.5.3.1.7.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.7.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity1Code"](#) on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.8 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358

5.4.3.5.3.1.8.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.8.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.9 OneYear <OneYr>*Presence:* [0..1]*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.**OneYear <OneYr>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		358
Or}	Code <Cd>	[1..1]	CodeSet		358

5.4.3.5.3.1.9.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.9.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.10 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		359
Or}	Code <Cd>	[1..1]	CodeSet		359

5.4.3.5.3.1.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.10.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.11 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360

5.4.3.5.3.1.11.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.11.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.12 NoAction <NoActn>*Presence:* [0..1]*Definition:* Do not vote.**NoAction <NoActn>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		360
Or}	Code <Cd>	[1..1]	CodeSet		360

5.4.3.5.3.1.12.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.12.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.13 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		361
Or}	Code <Cd>	[1..1]	CodeSet		361

5.4.3.5.3.1.13.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.13.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.1.14 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		362
	Quantity <Qty>	[1..1]			362
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362

5.4.3.5.3.1.14.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see ["GenericIdentification30"](#) on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.5.3.1.14.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		362
Or}	Code <Cd>	[1..1]	CodeSet		362

5.4.3.5.3.1.14.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.5.3.1.14.2.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.5.3.2 GlobalVoteInstruction <GblVoteInstr>

Presence: [1..1000]

Definition: Instruction specifying a vote instruction per resolution for the entire entitlement.

GlobalVoteInstruction <GblVoteInstr> contains the following **Vote10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		363
	VoteOption <VoteOptn>	[1..1]			363
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364

5.4.3.5.3.2.1 IssuerLabel <IssrLabl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

5.4.3.5.3.2.2 VoteOption <VoteOptn>

Presence: [1..1]

Definition: Vote instructed.

VoteOption <VoteOptn> contains one of the following **VoteInstructionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		363
Or}	Proprietary <Prtry>	[1..1]	±		364

5.4.3.5.3.2.2.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.

CodeName	Name	Definition
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

5.4.3.5.3.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.6 VoteDetails <VoteDtls>

Presence: [0..1]

Definition: Detailed voting instructions.

VoteDetails <VoteDtls> contains the following **VoteDetails4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>	[1..1]			366
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]			369
{Or	VoteInstruction <VoteInstr>	[1..1000]			371
	IssuerLabel <IssrLbl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Wthhld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WthMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Code <Cd>	[1..1]	CodeSet		379
	ThreeYears <ThreeYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			383
	IssuerLabel <IssrLbl>	[1..1]	Text		383
	VoteOption <VoteOptn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]			384
{Or	Type <Tp>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		385
	VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>	[0..1]			386
{Or	VoteIndication <VoteIndctn>	[1..1]			386
{Or	Type <Tp>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
Or}	Shareholder <Shrhldr>	[1..1]	±		387

5.4.3.6.1 VoteInstructionForAgendaResolution <VoteInstrForAgndRsln>

Presence: [1..1]

Definition: Vote instructions for the resolutions that are announced via the meeting agenda in advance of the meeting.

VoteInstructionForAgendaResolution <VoteInstrForAgndRsln> contains one of the following **Vote13Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VotePerAgendaResolution <VotePerAgndRsln>	[1..1]			369
{Or	VoteInstruction <VoteInstr>	[1..1000]			371
	IssuerLabel <IssrLbl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Withld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WithMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ThreeYears <ThreeYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			383
	IssuerLabel <IssrLbl>	[1..1]	Text		383
	VoteOption <VoteOptn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384
Or}	VoteForAllAgendaResolutions <VoteForAllAgndRslns>	[1..1]			384
{Or	Type <Tp>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		385

5.4.3.6.1.1 VotePerAgendaResolution <VotePerAgndRsln>

Presence: [1..1]

Definition: Vote instruction is provided individually for each agenda resolution.

VotePerAgendaResolution <VotePerAgndRsIttn> contains one of the following **Vote4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteInstruction <VoteInstr>	[1..1000]			371
	IssuerLabel <IssrLbl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Wthhld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WthMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379
	ThreeYears <ThreeYrs>	[0..1]			379

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382
Or}	GlobalVoteInstruction <GblVoteInstr>	[1..1000]			383
	IssuerLabel <IssrLbl>	[1..1]	Text		383
	VoteOption <VoteOptn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384

5.4.3.6.1.1.1 VoteInstruction <VoteInstr>

Presence: [1..1000]

Definition: Instruction specifying the instructed quantity of voting rights per resolution. Split votes can be indicated. If only one type of decision is indicated, the number of votes cast must not be adjusted if the position of the voting party increases.

VoteInstruction <VoteInstr> contains the following **Vote11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		373
	For <For>	[0..1]			373
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374
	Against <Agnst>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374
	Abstain <Abstn>	[0..1]			374
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375
	Withhold <Withhld>	[0..1]			375
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376
	WithManagement <WithMgmt>	[0..1]			376
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376
	AgainstManagement <AgnstMgmt>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377
	Discretionary <Dscrtnry>	[0..1]			377
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	OneYear <OneYr>	[0..1]			378
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378
	TwoYears <TwoYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379
	ThreeYears <ThreeYrs>	[0..1]			379
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NoAction <NoActn>	[0..1]			380
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380
	Blank <Blnk>	[0..1]			381
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381
	Proprietary <Prtry>	[0..4]			381
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382

5.4.3.6.1.1.1.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

5.4.3.6.1.1.1.2 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		373
Or}	Code <Cd>	[1..1]	CodeSet		374

5.4.3.6.1.1.1.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.2.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.3 Against <Agnst>*Presence:* [0..1]*Definition:* Number of votes against one resolution.**Against <Agnst>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		374
Or}	Code <Cd>	[1..1]	CodeSet		374

5.4.3.6.1.1.1.3.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.3.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.4 Abstain <Abstn>*Presence:* [0..1]*Definition:* Number of abstention votes for one resolution.

Abstain <Abstn> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		375

5.4.3.6.1.1.1.4.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.4.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.5 Withhold <Wthhld>

Presence: [0..1]

Definition: Number of votes withheld for one resolution.

Withhold <Wthhld> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		375
Or}	Code <Cd>	[1..1]	CodeSet		376

5.4.3.6.1.1.1.5.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.5.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.6 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		376
Or}	Code <Cd>	[1..1]	CodeSet		376

5.4.3.6.1.1.1.6.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.6.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.7 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		377
Or}	Code <Cd>	[1..1]	CodeSet		377

5.4.3.6.1.1.1.7.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see ["FinancialInstrumentQuantity18Choice"](#) on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.7.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity1Code"](#) on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.8 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378

5.4.3.6.1.1.1.8.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.8.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.9 OneYear <OneYr>*Presence:* [0..1]*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.**OneYear <OneYr>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		378
Or}	Code <Cd>	[1..1]	CodeSet		378

5.4.3.6.1.1.1.9.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.9.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.10 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		379
Or}	Code <Cd>	[1..1]	CodeSet		379

5.4.3.6.1.1.1.10.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.10.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.11 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380

5.4.3.6.1.1.1.11.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.11.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "[Quantity1Code](#)" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.12 NoAction <NoActn>*Presence:* [0..1]*Definition:* Do not vote.**NoAction <NoActn>** contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		380
Or}	Code <Cd>	[1..1]	CodeSet		380

5.4.3.6.1.1.1.12.1 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity expressed as a number.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.12.2 Code <Cd>*Presence:* [1..1]*Definition:* Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.13 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		381
Or}	Code <Cd>	[1..1]	CodeSet		381

5.4.3.6.1.1.1.13.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.13.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.1.14 Proprietary <Prtry>

Presence: [0..4]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following **ProprietaryVote1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		382
	Quantity <Qty>	[1..1]			382
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382

5.4.3.6.1.1.1.14.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.6.1.1.1.14.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following **QuantityOrCode1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±		382
Or}	Code <Cd>	[1..1]	CodeSet		382

5.4.3.6.1.1.1.14.2.1 Quantity <Qty>

Presence: [1..1]

Definition: Quantity expressed as a number.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

5.4.3.6.1.1.1.14.2.2 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

5.4.3.6.1.1.2 GlobalVoteInstruction <GblVoteInstr>

Presence: [1..1000]

Definition: Instruction specifying a vote instruction per resolution for the entire entitlement.

GlobalVoteInstruction <GblVoteInstr> contains the following **Vote10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLabl>	[1..1]	Text		383
	VoteOption <VoteOptn>	[1..1]			383
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384

5.4.3.6.1.1.2.1 IssuerLabel <IssrLabl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

5.4.3.6.1.1.2.2 VoteOption <VoteOptn>

Presence: [1..1]

Definition: Vote instructed.

VoteOption <VoteOptn> contains one of the following **VoteInstructionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		383
Or}	Proprietary <Prtry>	[1..1]	±		384

5.4.3.6.1.1.2.2.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.

CodeName	Name	Definition
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

5.4.3.6.1.1.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.6.1.2 VoteForAllAgendaResolutions <VoteForAllAgndRsItns>

Presence: [1..1]

Definition: One single vote instruction is provided to cover all agenda resolutions.

VoteForAllAgendaResolutions <VoteForAllAgndRsItns> contains one of the following **VoteInstructionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		385
Or}	Proprietary <Prtry>	[1..1]	±		385

5.4.3.6.1.2.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "VoteInstruction6Code" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

5.4.3.6.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.6.2 VoteInstructionForMeetingResolution <VoteInstrForMtgRsln>

Presence: [0..1]

Definition: Vote instructions for the resolutions that may arise at the meeting but were not previously provided in the agenda.

VoteInstructionForMeetingResolution <VoteInstrForMtgRsln> contains one of the following **VoteInstructionForMeetingResolution3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	VoteIndication <VoteIndctn>	[1..1]			386
{Or	Type <Tp>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387
Or}	Shareholder <Shrhldr>	[1..1]	±		387

5.4.3.6.2.1 VoteIndication <VoteIndctn>

Presence: [1..1]

Definition: Vote recommendation for resolutions added during the meeting.

VoteIndication <VoteIndctn> contains one of the following **VoteInstructionType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Type <Tp>	[1..1]	CodeSet		386
Or}	Proprietary <Prtry>	[1..1]	±		387

5.4.3.6.2.1.1 Type <Tp>

Presence: [1..1]

Definition: Vote option expressed as a code.

Datatype: "[VoteInstruction6Code](#)" on page 700

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.

CodeName	Name	Definition
BLNK	Blank	Vote is cast as empty but the vote is counted.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

5.4.3.6.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Vote option expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.6.2.2 Shareholder <Shrhldr>

Presence: [1..1]

Definition: Name and address of the shareholder to whom the rights to vote are transferred for resolutions added during the meeting.

Shareholder <Shrhldr> contains the following elements (see "[NameAndAddress9](#)" on page 680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		680
	Address <Adr>	[0..1]	±	C14	680

5.4.3.7 MeetingAttendee <MtgAttndee>

Presence: [0..*]

Definition: Identification of the security holder who will attend and vote at the meeting in person and/or the person assigned by the security holder to attend the meeting without having any voting rights or taking any action.

MeetingAttendee <MtgAttndee> contains the following **IndividualPerson39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PreassignedProxy <PrssgndPrxy>	[0..1]			390
{Or	LegalPerson <LglPrsn>	[1..1]			392
	NameAndAddress <NmAndAdr>	[1..1]			393
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396
	EmailAddress <EmailAdr>	[0..1]	Text		396
	Identification <Id>	[1..1]			396
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClntId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397
Or}	NaturalPerson <NtrlPrsn>	[1..1]			397
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <Snm>	[1..1]	Text		399
	Address <Adr>	[0..1]			399
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401
	EmailAddress <EmailAdr>	[0..1]	Text		401
	Identification <Id>	[1..1]			401
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403
	EmployingParty <EmplngPty>	[0..1]	±		403
	AttendanceCardDetails <AttdncCardDtls>	[1..1]		C9	403
	AttendanceCardLabelling <AttdncCardLbllg>	[0..1]	Text		404
	DeliveryMethod <DivryMtd>	[1..1]	CodeSet		404
	OtherAddress <OthrAdr>	[0..1]	±		404

5.4.3.7.1 PreassignedProxy <PrssgndPrxy>

Presence: [0..1]

Definition: Unique and unambiguous identification of the proxy.

PreassignedProxy <PrssgndPrxy> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			392
	NameAndAddress <NmAndAdr>	[1..1]			393
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396
	EmailAddress <EmailAdr>	[0..1]	Text		396
	Identification <Id>	[1..1]			396
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClnId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397
Or}	NaturalPerson <NtrlPrsn>	[1..1]			397
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <Snm>	[1..1]	Text		399
	Address <Adr>	[0..1]			399
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401
	EmailAddress <EmailAdr>	[0..1]	Text		401
	Identification <Id>	[1..1]			401
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403

5.4.3.7.1.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			393
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396
	EmailAddress <EmailAdr>	[0..1]	Text		396
	Identification <Id>	[1..1]			396
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClnId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397

5.4.3.7.1.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		394
	Address <Adr>	[0..1]			394
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396

5.4.3.7.1.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.7.1.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		395
	AddressLine <AdrLine>	[0..5]	Text		395
	StreetName <StrtNm>	[0..1]	Text		395
	BuildingNumber <BldgNb>	[0..1]	Text		395
	PostBox <PstBx>	[0..1]	Text		395
	PostCode <PstCd>	[0..1]	Text		395
	TownName <TwnNm>	[0..1]	Text		396
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		396
	Country <Ctry>	[1..1]	CodeSet	C3	396

5.4.3.7.1.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.7.1.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**5.4.3.7.1.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**5.4.3.7.1.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**5.4.3.7.1.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**5.4.3.7.1.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

5.4.3.7.1.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**5.4.3.7.1.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**5.4.3.7.1.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C3 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.7.1.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**5.4.3.7.1.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		396
Or	LEI <LEI>	[1..1]	IdentifierSet		397
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	397
Or	ClientIdentification <ClnId>	[1..1]	Text		397
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		397

5.4.3.7.1.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

5.4.3.7.1.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

5.4.3.7.1.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

5.4.3.7.1.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

5.4.3.7.1.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

5.4.3.7.1.2 NaturalPerson <NtrIPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			398
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <SrnM>	[1..1]	Text		399
	Address <Adr>	[0..1]			399
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401
	EmailAddress <EmailAdr>	[0..1]	Text		401
	Identification <Id>	[1..1]			401
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403

5.4.3.7.1.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		399
	Surname <Srnm>	[1..1]	Text		399
	Address <Adr>	[0..1]			399
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401

5.4.3.7.1.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

5.4.3.7.1.2.1.2 **Surname <Srnm>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

5.4.3.7.1.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		400
	AddressLine <AdrLine>	[0..5]	Text		400
	StreetName <StrtNm>	[0..1]	Text		400
	BuildingNumber <BldgNb>	[0..1]	Text		400
	PostBox <PstBx>	[0..1]	Text		401
	PostCode <PstCd>	[0..1]	Text		401
	TownName <TwnNm>	[0..1]	Text		401
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		401
	Country <Ctry>	[1..1]	CodeSet	C3	401

5.4.3.7.1.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

5.4.3.7.1.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

5.4.3.7.1.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

5.4.3.7.1.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

5.4.3.7.1.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

5.4.3.7.1.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

5.4.3.7.1.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

5.4.3.7.1.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

5.4.3.7.1.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C3 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

5.4.3.7.1.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

5.4.3.7.1.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		402
	IdentificationType <IdTp>	[0..1]			402
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403

5.4.3.7.1.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

5.4.3.7.1.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		402
Or}	Proprietary <Prtry>	[1..1]	±		403

5.4.3.7.1.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

5.4.3.7.1.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

5.4.3.7.2 EmployingParty <EmplngPty>

Presence: [0..1]

Definition: Organisation represented by the person, or for which the person works.

EmployingParty <EmplngPty> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

5.4.3.7.3 AttendanceCardDetails <AttdncCardDtls>

Presence: [1..1]

Definition: Details related to the attendance card.

Impacted by: [C9 "OtherAddressCode1Rule"](#)

AttendanceCardDetails <AttndncCardDtIs> contains the following **AttendanceCard2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AttendanceCardLabelling <AttndncCardLbllg>	[0..1]	Text		404
	DeliveryMethod <DivryMtd>	[1..1]	CodeSet		404
	OtherAddress <OthrAdr>	[0..1]	±		404

Constraints

- **OtherAddressCode1Rule**

If DeliveryMethod is OtherAddress "OADR", then OtherAddress must be present.

On Condition

/DeliveryMethod is equal to value 'OtherAddress'

Following Must be True

/OtherAddress Must be present

5.4.3.7.3.1 AttendanceCardLabelling <AttndncCardLbllg>

Presence: [0..1]

Definition: Information to be indicated on the attendance card.

Datatype: "Max105Text" on page 705

5.4.3.7.3.2 DeliveryMethod <DivryMtd>

Presence: [1..1]

Definition: Specifies where the attendance card must be delivered.

Datatype: "DeliveryPlace1Code" on page 689

CodeName	Name	Definition
EMPL	Employer	Card needs to be mailed to employers address.
INDI	Individual	Card needs to be mailed to individual specified in PersonDetail.
ENTR	EntranceOfMeeting	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to other specified address.

5.4.3.7.3.3 OtherAddress <OthrAdr>

Presence: [0..1]

Definition: Name and address of a party.

OtherAddress <OthrAdr> contains the following elements (see "NameAndAddress9" on page 680 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		680
	Address <Adr>	[0..1]	±	C14	680

5.4.3.8 SpecificInstructionRequest <SpfcInstrReq>

Presence: [0..1]

Definition: Request to execute specific instructions, such as participation registration, securities registration or blocking of securities.

SpecificInstructionRequest <SpfcInstrReq> contains the following **SpecificInstructionRequest2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ParticipationMethod <PrtcpnMtd>	[1..1]			405
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406
	SecuritiesRegistration <ScitiesRegn>	[0..1]	Indicator		406

5.4.3.8.1 ParticipationMethod <PrtcpnMtd>

Presence: [1..1]

Definition: Method of voting participation to the general meeting.

ParticipationMethod <PrtcpnMtd> contains one of the following **ParticipationMethod1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		405
Or}	Proprietary <Prtry>	[1..1]	±		406

5.4.3.8.1.1 Code <Cd>

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a code.

Datatype: "VotingParticipationMethod1Code" on page 701

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHYS	InPerson	Vote participation is through physical attendance.

CodeName	Name	Definition
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like multimedia and web based video or audio conferencing.
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.

5.4.3.8.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Method of participation in the general meeting vote expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchemeNm>	[0..1]	Text		672

5.4.3.8.2 SecuritiesRegistration <SctiesRegn>

Presence: [0..1]

Definition: Indicates a request to register the securities for the meeting.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

5.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: [C15 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **seev.005.001.06**

MeetingInstructionCancellationRequestV06

6.1 **MessageDefinition Functionality**

Scope

The MeetingInstructionCancellationRequest message is sent by the same party that sent the

MeetingInstruction message. It is sent to request the cancellation of one, some or all of the instructions included in the original MeetingInstruction message.

Usage

This message must be answered by a MeetingInstructionStatus message.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingInstructionCancellationRequestV06 MessageDefinition is composed of 5 MessageBuildingBlocks:

A. MeetingInstructionIdentification

Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

B. MeetingReference

Set of elements that allow to identify unambiguously a meeting.

C. FinancialInstrumentIdentification

Security for which the meeting is organised.

D. ToBeCancelledInstruction

Specifies one or more instructions for which the cancellation is requested.

E. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrCxlReq>	[1..1]		C3	
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		413
	MeetingReference <MtgRef>	[0..1]			413
	MeetingIdentification <MtgId>	[0..1]	Text		414
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		414
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		414
	Type <Tp>	[1..1]	CodeSet		414
	Classification <Clssfctn>	[0..1]			415
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416
	Location <Lctn>	[0..5]	±		416
	Issuer <Issr>	[0..1]	±		416
	FinancialInstrumentIdentification <FinInstrmId>	[0..1]	±	C5, C6, C7, C8, C9	417
	ToBeCancelledInstruction <ToBeCancInstr>	[0..*]			418
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		422
	InstructedPosition <InstdPos>	[0..*]		C2, C10	422
	AccountIdentification <AcctId>	[1..1]	Text		426
	AccountOwner <AcctOwnr>	[0..1]			426
{Or	LegalPerson <LglPrsn>	[1..1]			428
	NameAndAddress <NmAndAdr>	[1..1]			429
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432
	EmailAddress <EmailAdr>	[0..1]	Text		432
	Identification <Id>	[1..1]			432
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClnId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433
Or}	NaturalPerson <NtrlPrsn>	[1..*]			433
	NameAndAddress <NmAndAdr>	[1..1]			434
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <SrnM>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437
	EmailAddress <EmailAdr>	[0..1]	Text		437
	Identification <Id>	[1..1]			437
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439
	SubAccountIdentification <SubAcctId>	[0..1]	Text		439
	InstructedBalance <InstdBal>	[1..15]			439

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]			439
{Or	Unit <Unit>	[1..1]	Quantity		440
Or	FaceAmount <FaceAmt>	[1..1]	Amount		440
Or}	Code <Cd>	[1..1]	CodeSet		440
	BalanceType <BalTp>	[0..1]	CodeSet		440
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		441
	RightsHolder <RghtsHldr>	[0..10]			442
{Or	LegalPerson <LglPrsn>	[1..1]			444
	NameAndAddress <NmAndAdr>	[1..1]			445
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448
	EmailAddress <EmailAdr>	[0..1]	Text		448
	Identification <Id>	[0..1]			448
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449
Or}	NaturalPerson <NtrlPrsn>	[1..*]			449
	NameAndAddress <NmAndAdr>	[1..1]			450
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <SrnM>	[1..1]	Text		451

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453
	EmailAddress <EmailAdr>	[0..1]	Text		453
	Identification <Id>	[0..1]			453
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455
	SupplementaryData <SplmtryData>	[0..*]	±	C11	455

6.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 BalanceQall1Rule

If SubAccountDetails/Identification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

C3 Cancellation3Guideline

It is recommended that the request to cancel a meeting instruction with the use of MeetingInstructionIdentification only and that the optional elements are avoided, unless otherwise specified by market practice rules and or legislation. If any optional elements are included, they must be identical to the information in the meeting instruction message being cancelled.

This constraint is defined at the MessageDefinition level.

C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C5 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C6 DescriptionUsageRule

Description must be used alone as the last resort.

C7 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C8 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C9 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the cancellation is requested or the original meeting instruction message containing the individual instruction(s) that is/are to be cancelled.

Datatype: "Max35Text" on page 706

6.4.2 MeetingReference <MtgRef>

Presence: [0..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		414
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		414
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		414
	Type <Tp>	[1..1]	CodeSet		414
	Classification <Clssfctn>	[0..1]			415
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416
	Location <Lctn>	[0..5]	±		416
	Issuer <Issr>	[0..1]	±		416

6.4.2.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

6.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

6.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

6.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or

CodeName	Name	Definition
		spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

6.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		415
Or}	Proprietary <Prtry>	[1..1]	±		416

6.4.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

6.4.2.5.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Type of meeting expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

6.4.2.6 Location <Lctn>*Presence:* [0..5]*Definition:* Place of the company meeting for the scheduled meeting date.**Location <Lctn>** contains the following elements (see "[PostalAddress1](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

6.4.2.7 Issuer <Issr>*Presence:* [0..1]*Definition:* Specifies the institution that is the issuer of the security to which the meeting applies.**Issuer <Issr>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

6.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [0..1]

Definition: Security for which the meeting is organised.

Impacted by: C5 "DescriptionPresenceRule", C6 "DescriptionUsageRule", C7 "ISINGuideline", C8 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or
  /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or
  /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
```

/ISIN Must be present
Or /Description Must be present

6.4.4 ToBeCancelledInstruction <ToBeCancelInstr>

Presence: [0..*]

Definition: Specifies one or more instructions for which the cancellation is requested.

ToBeCancelledInstruction <ToBeCancInstr> contains the following **CancellInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		422
	InstructedPosition <InstdPos>	[0..*]		C2, C10	422
	AccountIdentification <AcctId>	[1..1]	Text		426
	AccountOwner <AcctOwnr>	[0..1]			426
{Or	LegalPerson <LglPrsn>	[1..1]			428
	NameAndAddress <NmAndAdr>	[1..1]			429
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432
	EmailAddress <EmailAdr>	[0..1]	Text		432
	Identification <Id>	[1..1]			432
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClntId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433
Or}	NaturalPerson <NtrlPrsn>	[1..*]			433
	NameAndAddress <NmAndAdr>	[1..1]			434
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <SrnNm>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437
	EmailAddress <EmailAdr>	[0..1]	Text		437
	Identification <Id>	[1..1]			437
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439
	SubAccountIdentification <SubAcctId>	[0..1]	Text		439
	InstructedBalance <InstdBal>	[1..15]			439
	Balance <Bal>	[1..1]			439
{Or	Unit <Unit>	[1..1]	Quantity		440
Or	FaceAmount <FaceAmt>	[1..1]	Amount		440
Or}	Code <Cd>	[1..1]	CodeSet		440
	BalanceType <BalTp>	[0..1]	CodeSet		440
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		441
	RightsHolder <RghtsHldr>	[0..10]			442
{Or	LegalPerson <LglPrsn>	[1..1]			444
	NameAndAddress <NmAndAdr>	[1..1]			445
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448
	EmailAddress <EmailAdr>	[0..1]	Text		448
	Identification <Id>	[0..1]			448
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449
Or}	NaturalPerson <NtrlPrsn>	[1..*]			449
	NameAndAddress <NmAndAdr>	[1..1]			450
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <SrnNm>	[1..1]	Text		451
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453
	EmailAddress <EmailAdr>	[0..1]	Text		453
	Identification <Id>	[0..1]			453
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

6.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the individual instruction previously sent.

Datatype: "Max35Text" on page 706

6.4.4.2 InstructedPosition <InstdPos>

Presence: [0..*]

Definition: Account and instructed positions to which the meeting instruction cancellation request applies.

Impacted by: C2 "BalanceQall1Rule", C10 "RightsHolderMultiplicity1Guideline"

InstructedPosition <InstdPos> contains the following **SafekeepingAccount9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		426
	AccountOwner <AcctOwnr>	[0..1]			426
{Or	LegalPerson <LglPrsn>	[1..1]			428
	NameAndAddress <NmAndAdr>	[1..1]			429
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432
	EmailAddress <EmailAdr>	[0..1]	Text		432
	Identification <Id>	[1..1]			432
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClntId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433
Or}	NaturalPerson <NtrlPrsn>	[1..*]			433
	NameAndAddress <NmAndAdr>	[1..1]			434
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <Srm>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437
	EmailAddress <EmailAdr>	[0..1]	Text		437
	Identification <Id>	[1..1]			437
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439
	SubAccountIdentification <SubAcctId>	[0..1]	Text		439
	InstructedBalance <InstdBal>	[1..15]			439
	Balance <Bal>	[1..1]			439
{Or	Unit <Unit>	[1..1]	Quantity		440
Or	FaceAmount <FaceAmt>	[1..1]	Amount		440
Or}	Code <Cd>	[1..1]	CodeSet		440
	BalanceType <BalTp>	[0..1]	CodeSet		440
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		441
	RightsHolder <RghtsHldr>	[0..10]			442
{Or	LegalPerson <LglPrsn>	[1..1]			444
	NameAndAddress <NmAndAdr>	[1..1]			445
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448
	EmailAddress <EmailAdr>	[0..1]	Text		448
	Identification <Id>	[0..1]			448
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449
Or}	NaturalPerson <NtrlPrsn>	[1..*]			449
	NameAndAddress <NmAndAdr>	[1..1]			450
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <Snm>	[1..1]	Text		451
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453
	EmailAddress <EmailAdr>	[0..1]	Text		453
	Identification <Id>	[0..1]			453
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

Constraints

- **BalanceQall1Rule**

If SubAccountDetails/Identification is present, then InstructedBalance/Balance/Code "QALL" (AllSecurities) is not allowed in any occurrence of InstructedBalance.

On Condition

/SubAccountIdentification is present

Following Must be True

/InstructedBalance[*]/Balance/Code Must be absent

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

6.4.4.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the securities account between the account owner and the account servicer.

Datatype: "Max35Text" on page 706

6.4.4.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			428
	NameAndAddress <NmAndAdr>	[1..1]			429
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432
	EmailAddress <EmailAdr>	[0..1]	Text		432
	Identification <Id>	[1..1]			432
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClnId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433
Or}	NaturalPerson <NtrlPrsn>	[1..*]			433
	NameAndAddress <NmAndAdr>	[1..1]			434
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <Snm>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437
	EmailAddress <EmailAdr>	[0..1]	Text		437
	Identification <Id>	[1..1]			437
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439

6.4.4.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			429
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432
	EmailAddress <EmailAdr>	[0..1]	Text		432
	Identification <Id>	[1..1]			432
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClnId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433

6.4.4.2.2.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		430
	Address <Adr>	[0..1]			430
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432

6.4.4.2.2.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

6.4.4.2.2.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		431
	AddressLine <AdrLine>	[0..5]	Text		431
	StreetName <StrtNm>	[0..1]	Text		431
	BuildingNumber <BldgNb>	[0..1]	Text		431
	PostBox <PstBx>	[0..1]	Text		431
	PostCode <PstCd>	[0..1]	Text		431
	TownName <TwnNm>	[0..1]	Text		432
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		432
	Country <Ctry>	[1..1]	CodeSet	C4	432

6.4.4.2.2.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

6.4.4.2.2.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**6.4.4.2.2.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**6.4.4.2.2.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**6.4.4.2.2.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**6.4.4.2.2.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

6.4.4.2.2.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**6.4.4.2.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**6.4.4.2.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C4 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.4.2.2.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**6.4.4.2.2.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		432
Or	LEI <LEI>	[1..1]	IdentifierSet		433
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	433
Or	ClientIdentification <ClnId>	[1..1]	Text		433
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		433

6.4.4.2.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

6.4.4.2.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

6.4.4.2.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

6.4.4.2.2.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

6.4.4.2.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

6.4.4.2.2.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			434
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <SrnM>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437
	EmailAddress <EmailAdr>	[0..1]	Text		437
	Identification <Id>	[1..1]			437
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439

6.4.4.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		435
	Surname <SrnM>	[1..1]	Text		435
	Address <Adr>	[0..1]			435
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437

6.4.4.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

6.4.4.2.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

6.4.4.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		436
	AddressLine <AdrLine>	[0..5]	Text		436
	StreetName <StrtNm>	[0..1]	Text		436
	BuildingNumber <BldgNb>	[0..1]	Text		436
	PostBox <PstBx>	[0..1]	Text		437
	PostCode <PstCd>	[0..1]	Text		437
	TownName <TwnNm>	[0..1]	Text		437
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		437
	Country <Ctry>	[1..1]	CodeSet	C4	437

6.4.4.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

6.4.4.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

6.4.4.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

6.4.4.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

6.4.4.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

6.4.4.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

6.4.4.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

6.4.4.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

6.4.4.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.4.2.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

6.4.4.2.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		438
	IdentificationType <IdTp>	[0..1]			438
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439

6.4.4.2.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

6.4.4.2.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		438
Or}	Proprietary <Prtry>	[1..1]	±		439

6.4.4.2.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

6.4.4.2.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

6.4.4.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of a subaccount within the safekeeping account.

Datatype: "[Max35Text](#)" on page 706

6.4.4.2.4 InstructedBalance <InstdBal>

Presence: [1..15]

Definition: Quantity of securities in the sub-balance.

InstructedBalance <InstdBal> contains the following **HoldingBalance10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]			439
{Or	Unit <Unit>	[1..1]	Quantity		440
Or	FaceAmount <FaceAmt>	[1..1]	Amount		440
Or}	Code <Cd>	[1..1]	CodeSet		440
	BalanceType <BalTp>	[0..1]	CodeSet		440
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		441

6.4.4.2.4.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following **UnitOrFaceAmountOrCode2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		440
Or	FaceAmount <FaceAmt>	[1..1]	Amount		440
Or}	Code <Cd>	[1..1]	CodeSet		440

6.4.4.2.4.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 704

6.4.4.2.4.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 686

6.4.4.2.4.1.3 Code <Cd>

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity1Code" on page 695

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

6.4.4.2.4.2 BalanceType <BalTp>

Presence: [0..1]

Definition: Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.

Datatype: "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.

CodeName	Name	Definition
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

6.4.4.2.4.3 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

6.4.4.2.5 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			444
	NameAndAddress <NmAndAdr>	[1..1]			445
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448
	EmailAddress <EmailAdr>	[0..1]	Text		448
	Identification <Id>	[0..1]			448
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449
Or}	NaturalPerson <NtrlPrsn>	[1..*]			449
	NameAndAddress <NmAndAdr>	[1..1]			450
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <Snm>	[1..1]	Text		451
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453
	EmailAddress <EmailAdr>	[0..1]	Text		453
	Identification <Id>	[0..1]			453
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

6.4.4.2.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			445
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448
	EmailAddress <EmailAdr>	[0..1]	Text		448
	Identification <Id>	[0..1]			448
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449

6.4.4.2.5.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		446
	Address <Adr>	[0..1]			446
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448

6.4.4.2.5.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

6.4.4.2.5.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		447
	AddressLine <AdrLine>	[0..5]	Text		447
	StreetName <StrtNm>	[0..1]	Text		447
	BuildingNumber <BldgNb>	[0..1]	Text		447
	PostBox <PstBx>	[0..1]	Text		447
	PostCode <PstCd>	[0..1]	Text		447
	TownName <TwnNm>	[0..1]	Text		448
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		448
	Country <Ctry>	[1..1]	CodeSet	C4	448

6.4.4.2.5.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

6.4.4.2.5.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**6.4.4.2.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**6.4.4.2.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**6.4.4.2.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**6.4.4.2.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

6.4.4.2.5.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**6.4.4.2.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**6.4.4.2.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C4 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.4.2.5.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**6.4.4.2.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		448
Or	LEI <LEI>	[1..1]	IdentifierSet		449
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	449
Or	ClientIdentification <ClnId>	[1..1]	Text		449
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		449

6.4.4.2.5.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

6.4.4.2.5.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

6.4.4.2.5.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

6.4.4.2.5.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

6.4.4.2.5.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

6.4.4.2.5.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			450
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <SrnM>	[1..1]	Text		451
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453
	EmailAddress <EmailAdr>	[0..1]	Text		453
	Identification <Id>	[0..1]			453
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

6.4.4.2.5.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		451
	Surname <SrnM>	[1..1]	Text		451
	Address <Adr>	[0..1]			451
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453

6.4.4.2.5.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

6.4.4.2.5.2.1.2 Surname <SrnM>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

6.4.4.2.5.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		452
	AddressLine <AdrLine>	[0..5]	Text		452
	StreetName <StrtNm>	[0..1]	Text		452
	BuildingNumber <BldgNb>	[0..1]	Text		452
	PostBox <PstBx>	[0..1]	Text		453
	PostCode <PstCd>	[0..1]	Text		453
	TownName <TwnNm>	[0..1]	Text		453
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		453
	Country <Ctry>	[1..1]	CodeSet	C4	453

6.4.4.2.5.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

6.4.4.2.5.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

6.4.4.2.5.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

6.4.4.2.5.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

6.4.4.2.5.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

6.4.4.2.5.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

6.4.4.2.5.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

6.4.4.2.5.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

6.4.4.2.5.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C4 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

6.4.4.2.5.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

6.4.4.2.5.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		454
	IdentificationType <IdTp>	[0..1]			454
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

6.4.4.2.5.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

6.4.4.2.5.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		454
Or}	Proprietary <Prtry>	[1..1]	±		455

6.4.4.2.5.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

6.4.4.2.5.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

6.4.5 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "[SupplementaryDataRule](#)"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 **seev.006.001.06**

MeetingInstructionStatusV06

7.1 **MessageDefinition Functionality**

Scope

The MeetingInstructionStatus message is sent by an intermediary to the sender of an instruction to confirm the status of such an instruction. The message gives the status of a complete message or of one or more specific instructions within the message.

The message may also be sent by the Issuer or the intermediary to confirm that a vote has been cast.

Usage

The MeetingInstructionStatus message is used for four purposes.

First, it is used to provide a global processing or rejection status of a MeetingInstruction message.

Second, it provides the status on the processing of a MeetingInstructionCancellationRequest message, for example, whether the request message is rejected or accepted.

Third, it is used to provide a detailed processing or rejection status of one or more instructions within the MeetingInstruction message, for example, for each instruction in the MeetingInstruction message the processing or rejection status is individually reported by using the SingleInstructionIdentification element. This identification allows the receiver of the status message to link the status confirmation to its original instruction.

Fourth, it is used to confirm that the related vote instruction has been confirmed as cast by the issuer or its agent.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingInstructionStatusV06 MessageDefinition is composed of 8 MessageBuildingBlocks:

- A. InstructionType
Type of instruction.
- B. MeetingReference
Set of elements that allow to identify unambiguously a meeting.
- C. FinancialInstrumentIdentification
Securities for which the meeting is organised.
- D. InstructionTypeStatus
Type of instruction status.
- E. ConfirmingParty
Party that confirms the receipt of the vote cast.
- F. VoteCastingParty

Party that cast the voting ballot.

G. RightsHolder

Owner of the voting rights.

H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgInstrSts>	[1..1]		C13, C14	
	InstructionType <InstrTp>	[1..1]			466
{Or	InstructionIdentification <InstrId>	[1..1]	Text		467
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		467
	MeetingReference <MtgRef>	[1..1]			467
	MeetingIdentification <MtgId>	[0..1]	Text		467
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		468
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		468
	Type <Tp>	[1..1]	CodeSet		468
	Classification <Clssfctn>	[0..1]			468
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469
	Location <Lctn>	[0..5]	±		469
	Issuer <Issr>	[0..1]	±		470
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C8, C9, C10, C11, C12	470
	InstructionTypeStatus <InstrTpSts>	[1..1]			471
{Or	InstructionStatus <InstrSts>	[1..1]			474
{Or	GlobalInstructionStatus <GblInstrSts>	[1..1]			476
{Or	ProcessingStatus <PrpgSts>	[1..1]			477
	Status <Sts>	[1..1]	CodeSet		477
	AdditionalInformation <AddtlInf>	[0..1]	Text		478
Or	Rejected <Rjctd>	[1..1]			478
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		478
Or}	Reason <Rsn>	[1..*]		C2	478
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Pending <Pdg>	[1..1]			480
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		481
Or}	Reason <Rsn>	[1..*]		C3	481
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483
Or}	DetailedInstructionStatus <DtldInstrSts>	[1..*]		C15	483
	SingleInstructionIdentification <SnglInstrld>	[1..1]	Text		484
	AccountIdentification <Acctld>	[0..1]	Text		485
	SubAccountIdentification <SubAcctld>	[0..1]	Text		485
	InstructionStatus <InstrSts>	[1..1]			485
{Or	ProcessingStatus <PrcgSts>	[1..1]			485
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486
Or	Rejected <Rjctd>	[1..1]			486
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489
Or}	Pending <Pdg>	[1..1]			489
{Or	NoSpecifiedReason <NoSpcedRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491
Or}	CancellationStatus <CxISts>	[1..1]			491
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]			493

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrgSts>	[1..1]			494
	Status <Sts>	[1..1]	CodeSet		494
	AdditionalInformation <AddtlInf>	[0..1]	Text		495
Or	Rejected <Rjctd>	[1..1]			495
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		495
Or}	Reason <Rsn>	[1..*]		C4	495
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497
Or}	PendingCancellation <PdgCxl>	[1..1]			497
{Or	NotSpecifiedReason <NotSpfcdRsn>	[1..1]	CodeSet		498
Or}	Reason <Rsn>	[1..*]		C1, C5	498
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499
Or}	DetailedCancellationStatus <DtldCxlSts>	[1..*]		C16	499
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		500
	AccountIdentification <AcctId>	[0..1]	Text		501
	SubAccountIdentification <SubAcctId>	[0..1]	Text		501
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			501
{Or	ProcessingStatus <PrgSts>	[1..1]			502
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503
Or	Rejected <Rjctd>	[1..1]			503
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505
Or}	PendingCancellation <PdgCxl>	[1..1]			505
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507
	ConfirmingParty <CnfrmgPty>	[1..1]			507
{Or	LegalPerson <LglPrsn>	[1..1]			509
	NameAndAddress <NmAndAdr>	[1..1]			510
	Name <Nm>	[1..1]	Text		511
	Address <Adr>	[0..1]			511
	AddressType <AdrTp>	[0..1]	CodeSet		512
	AddressLine <AdrLine>	[0..5]	Text		512
	StreetName <StrtNm>	[0..1]	Text		512
	BuildingNumber <BldgNb>	[0..1]	Text		512
	PostBox <PstBx>	[0..1]	Text		512
	PostCode <PstCd>	[0..1]	Text		512
	TownName <TwnNm>	[0..1]	Text		513
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		513
	Country <Ctry>	[1..1]	CodeSet	C7	513
	EmailAddress <EmailAdr>	[0..1]	Text		513
	Identification <Id>	[0..1]			513
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		513
Or	LEI <LEI>	[1..1]	IdentifierSet		514
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	514
Or	ClientIdentification <ClntId>	[1..1]	Text		514
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		514
Or}	NaturalPerson <NtrlPrsn>	[1..1]			514
	NameAndAddress <NmAndAdr>	[1..1]			515

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		516
	Surname <SrnM>	[1..1]	Text		516
	Address <Adr>	[0..1]			516
	AddressType <AdrTp>	[0..1]	CodeSet		517
	AddressLine <AdrLine>	[0..5]	Text		517
	StreetName <StrtNm>	[0..1]	Text		517
	BuildingNumber <BldgNb>	[0..1]	Text		517
	PostBox <PstBx>	[0..1]	Text		518
	PostCode <PstCd>	[0..1]	Text		518
	TownName <TwnNm>	[0..1]	Text		518
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		518
	Country <Ctry>	[1..1]	CodeSet	C7	518
	EmailAddress <EmailAdr>	[0..1]	Text		518
	Identification <Id>	[1..1]			518
	Identification <Id>	[1..1]	Text		519
	IdentificationType <IdTp>	[0..1]			519
{Or	Code <Cd>	[1..1]	CodeSet		519
Or}	Proprietary <Prtry>	[1..1]	±		520
	VoteCastingParty <VoteCstgPty>	[1..1]			520
{Or	LegalPerson <LglPrsn>	[1..1]			522
	NameAndAddress <NmAndAdr>	[1..1]			523
	Name <Nm>	[1..1]	Text		524
	Address <Adr>	[0..1]			524
	AddressType <AdrTp>	[0..1]	CodeSet		525
	AddressLine <AdrLine>	[0..5]	Text		525
	StreetName <StrtNm>	[0..1]	Text		525
	BuildingNumber <BldgNb>	[0..1]	Text		525
	PostBox <PstBx>	[0..1]	Text		525
	PostCode <PstCd>	[0..1]	Text		525
	TownName <TwnNm>	[0..1]	Text		526
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		526

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C7	526
	EmailAddress <EmailAdr>	[0..1]	Text		526
	Identification <Id>	[0..1]			526
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		526
Or	LEI <LEI>	[1..1]	IdentifierSet		527
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	527
Or	ClientIdentification <ClnId>	[1..1]	Text		527
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		527
Or}	NaturalPerson <NtrlPrsn>	[1..1]			527
	NameAndAddress <NmAndAdr>	[1..1]			528
	FirstName <FrstNm>	[1..1]	Text		529
	Surname <Snm>	[1..1]	Text		529
	Address <Adr>	[0..1]			529
	AddressType <AdrTp>	[0..1]	CodeSet		530
	AddressLine <AdrLine>	[0..5]	Text		530
	StreetName <StrtNm>	[0..1]	Text		530
	BuildingNumber <BldgNb>	[0..1]	Text		530
	PostBox <PstBx>	[0..1]	Text		531
	PostCode <PstCd>	[0..1]	Text		531
	TownName <TwnNm>	[0..1]	Text		531
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		531
	Country <Ctry>	[1..1]	CodeSet	C7	531
	EmailAddress <EmailAdr>	[0..1]	Text		531
	Identification <Id>	[1..1]			531
	Identification <Id>	[1..1]	Text		532
	IdentificationType <IdTp>	[0..1]			532
{Or	Code <Cd>	[1..1]	CodeSet		532
Or}	Proprietary <Prtry>	[1..1]	±		533
	RightsHolder <RghtsHldr>	[0..1]			533
{Or	LegalPerson <LglPrsn>	[1..1]			535
	NameAndAddress <NmAndAdr>	[1..1]			536

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		537
	Address <Adr>	[0..1]			537
	AddressType <AdrTp>	[0..1]	CodeSet		538
	AddressLine <AdrLine>	[0..5]	Text		538
	StreetName <StrtNm>	[0..1]	Text		538
	BuildingNumber <BldgNb>	[0..1]	Text		538
	PostBox <PstBx>	[0..1]	Text		538
	PostCode <PstCd>	[0..1]	Text		538
	TownName <TwnNm>	[0..1]	Text		539
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		539
	Country <Ctry>	[1..1]	CodeSet	C7	539
	EmailAddress <EmailAdr>	[0..1]	Text		539
	Identification <Id>	[0..1]			539
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		539
Or	LEI <LEI>	[1..1]	IdentifierSet		540
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	540
Or	ClientIdentification <ClnId>	[1..1]	Text		540
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		540
Or}	NaturalPerson <NtrlPrsn>	[1..*]			540
	NameAndAddress <NmAndAdr>	[1..1]			541
	FirstName <FrstNm>	[1..1]	Text		542
	Surname <SrnNm>	[1..1]	Text		542
	Address <Adr>	[0..1]			542
	AddressType <AdrTp>	[0..1]	CodeSet		543
	AddressLine <AdrLine>	[0..5]	Text		543
	StreetName <StrtNm>	[0..1]	Text		543
	BuildingNumber <BldgNb>	[0..1]	Text		543
	PostBox <PstBx>	[0..1]	Text		544
	PostCode <PstCd>	[0..1]	Text		544
	TownName <TwnNm>	[0..1]	Text		544
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		544

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C7	544
	EmailAddress <EmailAdr>	[0..1]	Text		544
	Identification <Id>	[0..1]			544
	Identification <Id>	[1..1]	Text		545
	IdentificationType <IdTp>	[0..1]			545
{Or	Code <Cd>	[1..1]	CodeSet		545
Or}	Proprietary <Prtry>	[1..1]	±		546
	SupplementaryData <SplmtryData>	[0..*]	±	C17	546

7.3 Constraints

C1 AccountServicerDeadlineMissedGuideline

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

C2 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C3 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C4 AdditionalReasonInformationRule

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

C5 AdditionalReasonInformationRule

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

C6 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C9 DescriptionUsageRule

Description must be used alone as the last resort.

C10 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C11 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C12 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C13 Status1Rule

If InstructionType/InstructionIdentification is present, then InstructionTypeStatus/InstructionStatus must be present.

On Condition

/InstructionType/InstructionIdentification is present

Following Must be True

/InstructionTypeStatus/InstructionStatus Must be present

This constraint is defined at the MessageDefinition level.

C14 Status2Rule

If InstructionType/InstructionCancellationIdentification is present, then InstructionTypeStatus/CancellationStatus must be present.

On Condition

/InstructionType/InstructionCancellationIdentification is present

Following Must be True

/InstructionTypeStatus/CancellationStatus Must be present

This constraint is defined at the MessageDefinition level.

C15 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C16 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C17 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

7.4.1 InstructionType <InstrTp>

Presence: [1..1]

Definition: Type of instruction.

InstructionType <InstrTp> contains one of the following **InstructionType2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionIdentification <InstrId>	[1..1]	Text		467
Or}	InstructionCancellationIdentification <InstrCxId>	[1..1]	Text		467

7.4.1.1 InstructionIdentification <InstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the global status or the status(es) of one or more individual instructions are provided.

Datatype: "Max35Text" on page 706

7.4.1.2 InstructionCancellationIdentification <InstrCxId>

Presence: [1..1]

Definition: Identification of the original meeting instruction cancellation request message for which the global status or the status(es) of one or more individual instruction cancellation requests are provided.

Datatype: "Max35Text" on page 706

7.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		467
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		468
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		468
	Type <Tp>	[1..1]	CodeSet		468
	Classification <Clssfctn>	[0..1]			468
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469
	Location <Lctn>	[0..5]	±		469
	Issuer <Issr>	[0..1]	±		470

7.4.2.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

7.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

7.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

7.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

7.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		469
Or}	Proprietary <Prtry>	[1..1]	±		469

7.4.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

7.4.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

7.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

7.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

7.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Securities for which the meeting is organised.

Impacted by: C8 "DescriptionPresenceRule", C9 "DescriptionUsageRule", C10 "ISINGuideline", C11 "ISINPresenceRule", C12 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrlId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

7.4.4 InstructionTypeStatus <InstrTpSts>

Presence: [1..1]

Definition: Type of instruction status.

InstructionTypeStatus <InstrTpSts> contains one of the following **InstructionTypeStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	InstructionStatus <InstrSts>	[1..1]			474
{Or	GlobalInstructionStatus <GblInstrSts>	[1..1]			476
{Or	ProcessingStatus <PrcgSts>	[1..1]			477
	Status <Sts>	[1..1]	CodeSet		477
	AdditionalInformation <AddtlInf>	[0..1]	Text		478
Or	Rejected <Rjctd>	[1..1]			478
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		478
Or}	Reason <Rsn>	[1..*]		C2	478
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480
Or}	Pending <Pdg>	[1..1]			480
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		481
Or}	Reason <Rsn>	[1..*]		C3	481
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483
Or}	DetailedInstructionStatus <DtldInstrSts>	[1..*]		C15	483
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		484
	AccountIdentification <AcctId>	[0..1]	Text		485
	SubAccountIdentification <SubAcctId>	[0..1]	Text		485
	InstructionStatus <InstrSts>	[1..1]			485
{Or	ProcessingStatus <PrcgSts>	[1..1]			485
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486
Or	Rejected <Rjctd>	[1..1]			486
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489
Or}	Pending <Pdg>	[1..1]			489
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491
Or}	CancellationStatus <CxISts>	[1..1]			491
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]			493
{Or	ProcessingStatus <PrpgSts>	[1..1]			494
	Status <Sts>	[1..1]	CodeSet		494
	AdditionalInformation <AddtlInf>	[0..1]	Text		495
Or	Rejected <Rjctd>	[1..1]			495
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		495
Or}	Reason <Rsn>	[1..*]		C4	495
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497
Or}	PendingCancellation <PdgCxl>	[1..1]			497
{Or	NotSpecifiedReason <NotSpfcdRsn>	[1..1]	CodeSet		498
Or}	Reason <Rsn>	[1..*]		C1, C5	498
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499
Or}	DetailedCancellationStatus <DtldCxISts>	[1..*]		C16	499

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		500
	AccountIdentification <AcctId>	[0..1]	Text		501
	SubAccountIdentification <SubAcctId>	[0..1]	Text		501
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			501
{Or	ProcessingStatus <PrpgSts>	[1..1]			502
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503
Or	Rejected <Rjctd>	[1..1]			503
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505
Or}	PendingCancellation <PdgCxl>	[1..1]			505
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

7.4.4.1 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of the meeting instruction message or of the individual meeting instruction(s). The message is identified in InstructionType/InstructionIdentification.

InstructionStatus <InstrSts> contains one of the following **InstructionStatus7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalInstructionStatus <GblInstrSts>	[1..1]			476
{Or	ProcessingStatus <PrcgSts>	[1..1]			477
	Status <Sts>	[1..1]	CodeSet		477
	AdditionalInformation <AddtlInf>	[0..1]	Text		478
Or	Rejected <Rjctd>	[1..1]			478
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		478
Or}	Reason <Rsn>	[1..*]		C2	478
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480
Or}	Pending <Pdg>	[1..1]			480
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		481
Or}	Reason <Rsn>	[1..*]		C3	481
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483
Or}	DetailedInstructionStatus <DtldInstrSts>	[1..*]		C15	483
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		484
	AccountIdentification <AcctId>	[0..1]	Text		485
	SubAccountIdentification <SubAcctId>	[0..1]	Text		485
	InstructionStatus <InstrSts>	[1..1]			485
{Or	ProcessingStatus <PrcgSts>	[1..1]			485
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486
Or	Rejected <Rjctd>	[1..1]			486
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489
Or}	Pending <Pdg>	[1..1]			489
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491

7.4.4.1.1 GlobalInstructionStatus <GblInstrSts>

Presence: [1..1]

Definition: Status applicable globally to the meeting instruction message.

GlobalInstructionStatus <GblInstrSts> contains one of the following **InstructionStatus8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]			477
	Status <Sts>	[1..1]	CodeSet		477
	AdditionalInformation <AddtlInf>	[0..1]	Text		478
Or	Rejected <Rjctd>	[1..1]			478
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		478
Or}	Reason <Rsn>	[1..*]		C2	478
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480
Or}	Pending <Pdg>	[1..1]			480
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		481
Or}	Reason <Rsn>	[1..*]		C3	481
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483

7.4.4.1.1.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction.

ProcessingStatus <PrcgSts> contains the following **InstructionProcessingStatus4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		477
	AdditionalInformation <AddtlInf>	[0..1]	Text		478

7.4.4.1.1.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "Status8Code" on page 699

CodeName	Name	Definition
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
PACK	Accepted	Instruction has been accepted and is validated for further processing.
CAND	Cancelled	Instruction has been cancelled.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer.
STIN	StandingInstruction	Standing instruction is applied.
FRWD	Forwarded	Accepted and sent along the chain.

7.4.4.1.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 706

7.4.4.1.1.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction and on the reason for the rejection.

Rejected <Rjctd> contains one of the following **RejectedStatus30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		478
Or}	Reason <Rsn>	[1..*]		C2	478
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

7.4.4.1.1.2.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.1.1.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is rejected.

Impacted by: C2 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			479
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		480

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.1.1.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		479
Or}	Proprietary <Prtry>	[1..1]	±		480

7.4.4.1.1.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Rejection reason specified as a code.

Datatype: "RejectionReason50Code" on page 695

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.

CodeName	Name	Definition
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.

7.4.4.1.1.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see ["GenericIdentification30"](#) on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.1.1.2.2.2 AdditionalReasonInformation <AddtIRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: ["Max350Text"](#) on page 706

7.4.4.1.1.3 Pending <Pdg>

Presence: [1..1]

Definition: Status advising that the instruction is pending and advising on the reason for the pending status.

Pending <Pdg> contains one of the following **PendingStatus55Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		481
Or}	Reason <Rsn>	[1..*]		C3	481
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483

7.4.4.1.1.3.1 NoSpecifiedReason <NoSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.1.1.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is pending.

Impacted by: C3 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingStatusReason16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			481
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		483

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.1.1.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following **PendingReason51Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		482
Or}	Proprietary <Prtry>	[1..1]	±		482

7.4.4.1.1.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason16Code" on page 693

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

7.4.4.1.1.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.1.1.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "[Max350Text](#)" on page 706

7.4.4.1.2 DetailedInstructionStatus <DtldInstrSts>

Presence: [1..*]

Definition: Status of an individual meeting instruction.

Impacted by: [C15 "SubAccount1Rule"](#)

DetailedInstructionStatus <DtIdInstrSts> contains the following **DetailedInstructionStatus12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		484
	AccountIdentification <AcctId>	[0..1]	Text		485
	SubAccountIdentification <SubAcctId>	[0..1]	Text		485
	InstructionStatus <InstrSts>	[1..1]			485
{Or	ProcessingStatus <PrpgSts>	[1..1]			485
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486
Or	Rejected <Rjctd>	[1..1]			486
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489
Or}	Pending <Pdg>	[1..1]			489
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491

Constraints

• SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

7.4.4.1.2.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message, element InstructionType/ InstructionIdentification, for which the status is provided.

Datatype: "Max35Text" on page 706

7.4.4.1.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

7.4.4.1.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 706

7.4.4.1.2.4 InstructionStatus <InstrSts>

Presence: [1..1]

Definition: Status of an individual meeting instruction.

InstructionStatus <InstrSts> contains one of the following **InstructionStatus8Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]			485
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486
Or	Rejected <Rjctd>	[1..1]			486
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489
Or}	Pending <Pdg>	[1..1]			489
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491

7.4.4.1.2.4.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction.

ProcessingStatus <PrcgSts> contains the following **InstructionProcessingStatus4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		486
	AdditionalInformation <AddtlInf>	[0..1]	Text		486

7.4.4.1.2.4.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "Status8Code" on page 699

CodeName	Name	Definition
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
PACK	Accepted	Instruction has been accepted and is validated for further processing.
CAND	Cancelled	Instruction has been cancelled.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer.
STIN	StandingInstruction	Standing instruction is applied.
FRWD	Forwarded	Accepted and sent along the chain.

7.4.4.1.2.4.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 706

7.4.4.1.2.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction and on the reason for the rejection.

Rejected <Rjctd> contains one of the following **RejectedStatus30Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		487
Or}	Reason <Rsn>	[1..*]		C2	487
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489

7.4.4.1.2.4.2.1 NoSpecifiedReason <NoSpdfdRsn>*Presence:* [1..1]*Definition:* Reason not specified.*Datatype:* "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.1.2.4.2.2 Reason <Rsn>*Presence:* [1..*]*Definition:* Reason why the meeting instruction message or the individual meeting instruction is rejected.*Impacted by:* C2 "AdditionalReasonInformationRule"**Reason <Rsn>** contains the following **RejectedStatusReason27** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			487
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		489

Constraints

- AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.1.2.4.2.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Reason for the rejected status.**ReasonCode <RsnCd>** contains one of the following **RejectedReason28Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		487
Or}	Proprietary <Prtry>	[1..1]	±		488

7.4.4.1.2.4.2.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Rejection reason specified as a code.*Datatype:* "RejectionReason50Code" on page 695

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.

7.4.4.1.2.4.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Rejection reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.1.2.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max350Text](#)" on page 706

7.4.4.1.2.4.3 Pending <Pdg>

Presence: [1..1]

Definition: Status advising that the instruction is pending and advising on the reason for the pending status.

Pending <Pdg> contains one of the following **PendingStatus55Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		489
Or}	Reason <Rsn>	[1..*]		C3	489
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491

7.4.4.1.2.4.3.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "[NoReasonCode](#)" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.1.2.4.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction message or the individual meeting instruction is pending.

Impacted by: [C3 "AdditionalReasonInformationRule"](#)

Reason <Rsn> contains the following **PendingStatusReason16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			490
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		491

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.1.2.4.3.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the pending status.

ReasonCode <RsnCd> contains one of the following **PendingReason51Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		490
Or}	Proprietary <Prtry>	[1..1]	±		491

7.4.4.1.2.4.3.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Pending reason specified as a code.

Datatype: "PendingReason16Code" on page 693

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.

CodeName	Name	Definition
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

7.4.4.1.2.4.3.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Pending reason specified as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.1.2.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the pending status.

Datatype: "[Max350Text](#)" on page 706

7.4.4.2 CancellationStatus <CxlSts>

Presence: [1..1]

Definition: Status of the meeting instruction cancellation request message or of the individual meeting instruction cancellation request(s). The message is identified in InstructionType/ InstructionCancellationIdentification.

CancellationStatus <CxISts> contains one of the following **CancellationStatus27Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	GlobalCancellationStatus <GblCxISts>	[1..1]			493
{Or	ProcessingStatus <PrcgSts>	[1..1]			494
	Status <Sts>	[1..1]	CodeSet		494
	AdditionalInformation <AddtlInf>	[0..1]	Text		495
Or	Rejected <Rjctd>	[1..1]			495
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		495
Or}	Reason <Rsn>	[1..*]		C4	495
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497
Or}	PendingCancellation <PdgCxl>	[1..1]			497
{Or	NotSpecifiedReason <NotSpdfdRsn>	[1..1]	CodeSet		498
Or}	Reason <Rsn>	[1..*]		C1, C5	498
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499
Or}	DetailedCancellationStatus <DtldCxISts>	[1..*]		C16	499
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		500
	AccountIdentification <AcctId>	[0..1]	Text		501
	SubAccountIdentification <SubAcctId>	[0..1]	Text		501
	InstructionCancellationStatus <InstrCxISts>	[1..1]			501
{Or	ProcessingStatus <PrcgSts>	[1..1]			502
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503
Or	Rejected <Rjctd>	[1..1]			503
{Or	NoSpecifiedReason <NoSpdfdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505
Or}	PendingCancellation <PdgCxl>	[1..1]			505
{Or	NotSpecifiedReason <NotSpctdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

7.4.4.2.1 GlobalCancellationStatus <GbICxlSts>

Presence: [1..1]

Definition: Status applicable globally to the meeting instruction cancellation request message.

GlobalCancellationStatus <GbICxlSts> contains one of the following **CancellationStatus26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]			494
	Status <Sts>	[1..1]	CodeSet		494
	AdditionalInformation <AddtlInf>	[0..1]	Text		495
Or	Rejected <Rjctd>	[1..1]			495
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		495
Or}	Reason <Rsn>	[1..*]		C4	495
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497
Or}	PendingCancellation <PdgCxl>	[1..1]			497
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		498
Or}	Reason <Rsn>	[1..*]		C1, C5	498
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499

7.4.4.2.1.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

ProcessingStatus <PrcgSts> contains the following **CancellationProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		494
	AdditionalInformation <AddtlInf>	[0..1]	Text		495

7.4.4.2.1.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "CancellationStatus6Code" on page 688

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

7.4.4.2.1.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 706

7.4.4.2.1.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

Rejected <Rjctd> contains one of the following **RejectedStatus31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		495
Or}	Reason <Rsn>	[1..*]		C4	495
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497

7.4.4.2.1.2.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.2.1.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			496
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		497

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.2.1.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		496
Or}	Proprietary <Prtry>	[1..1]	±		497

7.4.4.2.1.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason51Code" on page 696

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.

CodeName	Name	Definition
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

7.4.4.2.1.2.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.2.1.2.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max350Text](#)" on page 706

7.4.4.2.1.3 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

PendingCancellation <PdgCxl> contains one of the following **PendingCancellationStatus10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcdfRsn>	[1..1]	CodeSet		498
Or}	Reason <Rsn>	[1..*]		C1, C5	498
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499

7.4.4.2.1.3.1 NotSpecifiedReason <NotSpcdfRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.2.1.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

Impacted by: C1 "AccountServicerDeadlineMissedGuideline", C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			499
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		499

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.2.1.3.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Reason for the pending status.**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		499
Or}	Proprietary <Prtry>	[1..1]	±		499

7.4.4.2.1.3.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Pending reason specified as a code.*Datatype:* "PendingCancellationReason6Code" on page 693

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

7.4.4.2.1.3.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Pending reason specified as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.2.1.3.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the pending status.*Datatype:* "Max350Text" on page 706**7.4.4.2.2 DetailedCancellationStatus <DtldCxlSts>***Presence:* [1..*]*Definition:* Status of individual instruction cancellation request that were received within the instruction cancellation message identified in InstructionType/InstructionCancellationIdentification.

Impacted by: C16 "SubAccount1Rule"

DetailedCancellationStatus <DtIdCxlSts> contains the following
DetailedInstructionCancellationStatus14 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionCancellationIdentification <SnglInstrCxlId>	[1..1]	Text		500
	AccountIdentification <AcctId>	[0..1]	Text		501
	SubAccountIdentification <SubAcctId>	[0..1]	Text		501
	InstructionCancellationStatus <InstrCxlSts>	[1..1]			501
{Or	ProcessingStatus <PrpgSts>	[1..1]			502
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503
Or	Rejected <Rjctd>	[1..1]			503
{Or	NoSpecifiedReason <NoSpfcdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505
Or}	PendingCancellation <PdgCxl>	[1..1]			505
{Or	NotSpecifiedReason <NotSpfcdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

Constraints

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True

/AccountIdentification Must be present

7.4.4.2.2.1 SingleInstructionCancellationIdentification <SnglInstrCxlId>

Presence: [1..1]

Definition: Identification of the specific individual instruction cancellation request from the original meeting instruction cancellation request message identified in InstructionType/ InstructionCancellationIdentification, for which the status is provided.

Datatype: "Max35Text" on page 706

7.4.4.2.2.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

7.4.4.2.2.3 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "Max35Text" on page 706

7.4.4.2.2.4 InstructionCancellationStatus <InstrCxlSts>

Presence: [1..1]

Definition: Status of an individual meeting instruction cancellation request.

InstructionCancellationStatus <InstrCxlSts> contains one of the following **CancellationStatus26Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ProcessingStatus <PrcgSts>	[1..1]			502
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503
Or	Rejected <Rjctd>	[1..1]			503
{Or	NoSpecifiedReason <NoSpcfdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505
Or}	PendingCancellation <PdgCxl>	[1..1]			505
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

7.4.4.2.2.4.1 ProcessingStatus <PrcgSts>

Presence: [1..1]

Definition: Status advising on the processing of the instruction cancellation request.

ProcessingStatus <PrcgSts> contains the following **CancellationProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Status <Sts>	[1..1]	CodeSet		502
	AdditionalInformation <AddtlInf>	[0..1]	Text		503

7.4.4.2.2.4.1.1 Status <Sts>

Presence: [1..1]

Definition: Status code.

Datatype: "CancellationStatus6Code" on page 688

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

7.4.4.2.2.4.1.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Additional information about the status.

Datatype: "Max350Text" on page 706

7.4.4.2.2.4.2 Rejected <Rjctd>

Presence: [1..1]

Definition: Status advising on the rejection of the instruction cancellation request and on the reason for the rejection.

Rejected <Rjctd> contains one of the following **RejectedStatus31Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NoSpecifiedReason <NoSpctdRsn>	[1..1]	CodeSet		503
Or}	Reason <Rsn>	[1..*]		C4	503
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505

7.4.4.2.2.4.2.1 NoSpecifiedReason <NoSpctdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.2.2.4.2.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is rejected.

Impacted by: C4 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **RejectedStatusReason28** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			504
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		505

Constraints

- **AdditionalReasonInformationRule**

AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.2.2.4.2.2.1 ReasonCode <RsnCd>

Presence: [1..1]

Definition: Reason for the rejected status.

ReasonCode <RsnCd> contains one of the following **RejectedReason29Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		504
Or}	Proprietary <Prtry>	[1..1]	±		505

7.4.4.2.2.4.2.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Standard code to specify the reason why the instruction/cancellation request has a rejected status.

Datatype: "RejectionReason51Code" on page 696

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.

CodeName	Name	Definition
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

7.4.4.2.2.4.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the reason why the instruction/cancellation request has a rejected status.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.2.2.4.2.2 AdditionalReasonInformation <AddtlRsnInf>

Presence: [0..1]

Definition: Additional information about the rejection status.

Datatype: "[Max350Text](#)" on page 706

7.4.4.2.2.4.3 PendingCancellation <PdgCxl>

Presence: [1..1]

Definition: Status advising that the instruction cancellation request is pending and advising on the reason for the pending status.

PendingCancellation <PdgCxl> contains one of the following **PendingCancellationStatus10Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedReason <NotSpcfdRsn>	[1..1]	CodeSet		506
Or}	Reason <Rsn>	[1..*]		C1, C5	506
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

7.4.4.2.2.4.3.1 NotSpecifiedReason <NotSpcfdRsn>

Presence: [1..1]

Definition: Reason not specified.

Datatype: "NoReasonCode" on page 692

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

7.4.4.2.2.4.3.2 Reason <Rsn>

Presence: [1..*]

Definition: Reason why the meeting instruction cancellation request message or the individual meeting instruction cancellation request is pending.

Impacted by: C1 "AccountServicerDeadlineMissedGuideline", C5 "AdditionalReasonInformationRule"

Reason <Rsn> contains the following **PendingCancellationStatusReason10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReasonCode <RsnCd>	[1..1]			507
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507
	AdditionalReasonInformation <AddtlRsnInf>	[0..1]	Text		507

Constraints

- **AccountServicerDeadlineMissedGuideline**

When ReasonCode/Code is ADEA (Account Servicer Deadline Missed), the instruction is assumed to be processed "on a best effort basis" by the account servicer.

- **AdditionalReasonInformationRule**

AdditionalReasonInformation must not contain information that can be provided in a structured field unless bilaterally agreed.

7.4.4.2.2.4.3.2.1 ReasonCode <RsnCd>*Presence:* [1..1]*Definition:* Reason for the pending status.**ReasonCode <RsnCd>** contains one of the following **PendingCancellationReason7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		507
Or}	Proprietary <Prtry>	[1..1]	±		507

7.4.4.2.2.4.3.2.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Pending reason specified as a code.*Datatype:* "PendingCancellationReason6Code" on page 693

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

7.4.4.2.2.4.3.2.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Pending reason specified as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.4.2.2.4.3.2.2 AdditionalReasonInformation <AddtlRsnInf>*Presence:* [0..1]*Definition:* Additional information about the pending status.*Datatype:* "Max350Text" on page 706**7.4.5 ConfirmingParty <CnfrmgPty>***Presence:* [1..1]*Definition:* Party that confirms the receipt of the vote cast.

ConfirmingParty <CnfrmgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			509
	NameAndAddress <NmAndAdr>	[1..1]			510
	Name <Nm>	[1..1]	Text		511
	Address <Adr>	[0..1]			511
	AddressType <AdrTp>	[0..1]	CodeSet		512
	AddressLine <AdrLine>	[0..5]	Text		512
	StreetName <StrtNm>	[0..1]	Text		512
	BuildingNumber <BldgNb>	[0..1]	Text		512
	PostBox <PstBx>	[0..1]	Text		512
	PostCode <PstCd>	[0..1]	Text		512
	TownName <TwnNm>	[0..1]	Text		513
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		513
	Country <Ctry>	[1..1]	CodeSet	C7	513
	EmailAddress <EmailAdr>	[0..1]	Text		513
	Identification <Id>	[0..1]			513
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		513
Or	LEI <LEI>	[1..1]	IdentifierSet		514
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	514
Or	ClientIdentification <ClntrId>	[1..1]	Text		514
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		514
Or}	NaturalPerson <NtrlPrsn>	[1..1]			514
	NameAndAddress <NmAndAdr>	[1..1]			515
	FirstName <FrstNm>	[1..1]	Text		516
	Surname <Snm>	[1..1]	Text		516
	Address <Adr>	[0..1]			516
	AddressType <AdrTp>	[0..1]	CodeSet		517
	AddressLine <AdrLine>	[0..5]	Text		517
	StreetName <StrtNm>	[0..1]	Text		517
	BuildingNumber <BldgNb>	[0..1]	Text		517
	PostBox <PstBx>	[0..1]	Text		518
	PostCode <PstCd>	[0..1]	Text		518

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		518
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		518
	Country <Ctry>	[1..1]	CodeSet	C7	518
	EmailAddress <EmailAdr>	[0..1]	Text		518
	Identification <Id>	[1..1]			518
	Identification <Id>	[1..1]	Text		519
	IdentificationType <IdTp>	[0..1]			519
{Or	Code <Cd>	[1..1]	CodeSet		519
Or}	Proprietary <Prtry>	[1..1]	±		520

7.4.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			510
	Name <Nm>	[1..1]	Text		511
	Address <Adr>	[0..1]			511
	AddressType <AdrTp>	[0..1]	CodeSet		512
	AddressLine <AdrLine>	[0..5]	Text		512
	StreetName <StrtNm>	[0..1]	Text		512
	BuildingNumber <BldgNb>	[0..1]	Text		512
	PostBox <PstBx>	[0..1]	Text		512
	PostCode <PstCd>	[0..1]	Text		512
	TownName <TwnNm>	[0..1]	Text		513
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		513
	Country <Ctry>	[1..1]	CodeSet	C7	513
	EmailAddress <EmailAdr>	[0..1]	Text		513
	Identification <Id>	[0..1]			513
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		513
Or	LEI <LEI>	[1..1]	IdentifierSet		514
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	514
Or	ClientIdentification <ClnId>	[1..1]	Text		514
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		514

7.4.5.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		511
	Address <Adr>	[0..1]			511
	AddressType <AdrTp>	[0..1]	CodeSet		512
	AddressLine <AdrLine>	[0..5]	Text		512
	StreetName <StrtNm>	[0..1]	Text		512
	BuildingNumber <BldgNb>	[0..1]	Text		512
	PostBox <PstBx>	[0..1]	Text		512
	PostCode <PstCd>	[0..1]	Text		512
	TownName <TwnNm>	[0..1]	Text		513
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		513
	Country <Ctry>	[1..1]	CodeSet	C7	513

7.4.5.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.5.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		512
	AddressLine <AdrLine>	[0..5]	Text		512
	StreetName <StrtNm>	[0..1]	Text		512
	BuildingNumber <BldgNb>	[0..1]	Text		512
	PostBox <PstBx>	[0..1]	Text		512
	PostCode <PstCd>	[0..1]	Text		512
	TownName <TwnNm>	[0..1]	Text		513
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		513
	Country <Ctry>	[1..1]	CodeSet	C7	513

7.4.5.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.5.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**7.4.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**7.4.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**7.4.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**7.4.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

7.4.5.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**7.4.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**7.4.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C7 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.5.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**7.4.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		513
Or	LEI <LEI>	[1..1]	IdentifierSet		514
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	514
Or	ClientIdentification <CIntId>	[1..1]	Text		514
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		514

7.4.5.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

7.4.5.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

7.4.5.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C6 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.4.5.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

7.4.5.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

7.4.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			515
	FirstName <FrstNm>	[1..1]	Text		516
	Surname <SrnM>	[1..1]	Text		516
	Address <Adr>	[0..1]			516
	AddressType <AdrTp>	[0..1]	CodeSet		517
	AddressLine <AdrLine>	[0..5]	Text		517
	StreetName <StrtNm>	[0..1]	Text		517
	BuildingNumber <BldgNb>	[0..1]	Text		517
	PostBox <PstBx>	[0..1]	Text		518
	PostCode <PstCd>	[0..1]	Text		518
	TownName <TwnNm>	[0..1]	Text		518
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		518
	Country <Ctry>	[1..1]	CodeSet	C7	518
	EmailAddress <EmailAdr>	[0..1]	Text		518
	Identification <Id>	[1..1]			518
	Identification <Id>	[1..1]	Text		519
	IdentificationType <IdTp>	[0..1]			519
{Or	Code <Cd>	[1..1]	CodeSet		519
Or}	Proprietary <Prtry>	[1..1]	±		520

7.4.5.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		516
	Surname <Srnrm>	[1..1]	Text		516
	Address <Adr>	[0..1]			516
	AddressType <AdrTp>	[0..1]	CodeSet		517
	AddressLine <AdrLine>	[0..5]	Text		517
	StreetName <StrtNm>	[0..1]	Text		517
	BuildingNumber <BldgNb>	[0..1]	Text		517
	PostBox <PstBx>	[0..1]	Text		518
	PostCode <PstCd>	[0..1]	Text		518
	TownName <TwnNm>	[0..1]	Text		518
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		518
	Country <Ctry>	[1..1]	CodeSet	C7	518

7.4.5.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.5.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

7.4.5.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		517
	AddressLine <AdrLine>	[0..5]	Text		517
	StreetName <StrtNm>	[0..1]	Text		517
	BuildingNumber <BldgNb>	[0..1]	Text		517
	PostBox <PstBx>	[0..1]	Text		518
	PostCode <PstCd>	[0..1]	Text		518
	TownName <TwnNm>	[0..1]	Text		518
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		518
	Country <Ctry>	[1..1]	CodeSet	C7	518

7.4.5.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.5.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

7.4.5.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

7.4.5.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

7.4.5.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

7.4.5.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

7.4.5.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

7.4.5.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

7.4.5.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C7 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.5.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

7.4.5.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		519
	IdentificationType <IdTp>	[0..1]			519
{Or	Code <Cd>	[1..1]	CodeSet		519
Or}	Proprietary <Prtry>	[1..1]	±		520

7.4.5.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

7.4.5.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		519
Or}	Proprietary <Prtry>	[1..1]	±		520

7.4.5.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.4.5.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.6 VoteCastingParty <VoteCstgPty>

Presence: [1..1]

Definition: Party that cast the voting ballot.

VoteCastingParty <VoteCstgPty> contains one of the following **PartyIdentification226Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			522
	NameAndAddress <NmAndAdr>	[1..1]			523
	Name <Nm>	[1..1]	Text		524
	Address <Adr>	[0..1]			524
	AddressType <AdrTp>	[0..1]	CodeSet		525
	AddressLine <AdrLine>	[0..5]	Text		525
	StreetName <StrtNm>	[0..1]	Text		525
	BuildingNumber <BldgNb>	[0..1]	Text		525
	PostBox <PstBx>	[0..1]	Text		525
	PostCode <PstCd>	[0..1]	Text		525
	TownName <TwnNm>	[0..1]	Text		526
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		526
	Country <Ctry>	[1..1]	CodeSet	C7	526
	EmailAddress <EmailAdr>	[0..1]	Text		526
	Identification <Id>	[0..1]			526
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		526
Or	LEI <LEI>	[1..1]	IdentifierSet		527
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	527
Or	ClientIdentification <ClnId>	[1..1]	Text		527
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		527
Or}	NaturalPerson <NtrlPrsn>	[1..1]			527
	NameAndAddress <NmAndAdr>	[1..1]			528
	FirstName <FrstNm>	[1..1]	Text		529
	Surname <Snm>	[1..1]	Text		529
	Address <Adr>	[0..1]			529
	AddressType <AdrTp>	[0..1]	CodeSet		530
	AddressLine <AdrLine>	[0..5]	Text		530
	StreetName <StrtNm>	[0..1]	Text		530
	BuildingNumber <BldgNb>	[0..1]	Text		530
	PostBox <PstBx>	[0..1]	Text		531

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostCode <PstCd>	[0..1]	Text		531
	TownName <TwnNm>	[0..1]	Text		531
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		531
	Country <Ctry>	[1..1]	CodeSet	C7	531
	EmailAddress <EmailAdr>	[0..1]	Text		531
	Identification <Id>	[1..1]			531
	Identification <Id>	[1..1]	Text		532
	IdentificationType <IdTp>	[0..1]			532
{Or	Code <Cd>	[1..1]	CodeSet		532
Or}	Proprietary <Prtry>	[1..1]	±		533

7.4.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			523
	Name <Nm>	[1..1]	Text		524
	Address <Adr>	[0..1]			524
	AddressType <AdrTp>	[0..1]	CodeSet		525
	AddressLine <AdrLine>	[0..5]	Text		525
	StreetName <StrtNm>	[0..1]	Text		525
	BuildingNumber <BldgNb>	[0..1]	Text		525
	PostBox <PstBx>	[0..1]	Text		525
	PostCode <PstCd>	[0..1]	Text		525
	TownName <TwnNm>	[0..1]	Text		526
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		526
	Country <Ctry>	[1..1]	CodeSet	C7	526
	EmailAddress <EmailAdr>	[0..1]	Text		526
	Identification <Id>	[0..1]			526
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		526
Or	LEI <LEI>	[1..1]	IdentifierSet		527
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	527
Or	ClientIdentification <ClnId>	[1..1]	Text		527
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		527

7.4.6.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		524
	Address <Adr>	[0..1]			524
	AddressType <AdrTp>	[0..1]	CodeSet		525
	AddressLine <AdrLine>	[0..5]	Text		525
	StreetName <StrtNm>	[0..1]	Text		525
	BuildingNumber <BldgNb>	[0..1]	Text		525
	PostBox <PstBx>	[0..1]	Text		525
	PostCode <PstCd>	[0..1]	Text		525
	TownName <TwnNm>	[0..1]	Text		526
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		526
	Country <Ctry>	[1..1]	CodeSet	C7	526

7.4.6.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.6.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		525
	AddressLine <AdrLine>	[0..5]	Text		525
	StreetName <StrtNm>	[0..1]	Text		525
	BuildingNumber <BldgNb>	[0..1]	Text		525
	PostBox <PstBx>	[0..1]	Text		525
	PostCode <PstCd>	[0..1]	Text		525
	TownName <TwnNm>	[0..1]	Text		526
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		526
	Country <Ctry>	[1..1]	CodeSet	C7	526

7.4.6.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.6.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**7.4.6.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**7.4.6.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**7.4.6.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**7.4.6.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

7.4.6.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**7.4.6.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**7.4.6.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C7 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.6.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**7.4.6.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		526
Or	LEI <LEI>	[1..1]	IdentifierSet		527
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	527
Or	ClientIdentification <CIntId>	[1..1]	Text		527
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		527

7.4.6.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

7.4.6.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

7.4.6.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C6 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.4.6.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

7.4.6.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

7.4.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			528
	FirstName <FrstNm>	[1..1]	Text		529
	Surname <SrnM>	[1..1]	Text		529
	Address <Adr>	[0..1]			529
	AddressType <AdrTp>	[0..1]	CodeSet		530
	AddressLine <AdrLine>	[0..5]	Text		530
	StreetName <StrtNm>	[0..1]	Text		530
	BuildingNumber <BldgNb>	[0..1]	Text		530
	PostBox <PstBx>	[0..1]	Text		531
	PostCode <PstCd>	[0..1]	Text		531
	TownName <TwnNm>	[0..1]	Text		531
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		531
	Country <Ctry>	[1..1]	CodeSet	C7	531
	EmailAddress <EmailAdr>	[0..1]	Text		531
	Identification <Id>	[1..1]			531
	Identification <Id>	[1..1]	Text		532
	IdentificationType <IdTp>	[0..1]			532
{Or	Code <Cd>	[1..1]	CodeSet		532
Or}	Proprietary <Prtry>	[1..1]	±		533

7.4.6.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		529
	Surname <Srnrm>	[1..1]	Text		529
	Address <Adr>	[0..1]			529
	AddressType <AdrTp>	[0..1]	CodeSet		530
	AddressLine <AdrLine>	[0..5]	Text		530
	StreetName <StrtNm>	[0..1]	Text		530
	BuildingNumber <BldgNb>	[0..1]	Text		530
	PostBox <PstBx>	[0..1]	Text		531
	PostCode <PstCd>	[0..1]	Text		531
	TownName <TwnNm>	[0..1]	Text		531
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		531
	Country <Ctry>	[1..1]	CodeSet	C7	531

7.4.6.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.6.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

7.4.6.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		530
	AddressLine <AdrLine>	[0..5]	Text		530
	StreetName <StrtNm>	[0..1]	Text		530
	BuildingNumber <BldgNb>	[0..1]	Text		530
	PostBox <PstBx>	[0..1]	Text		531
	PostCode <PstCd>	[0..1]	Text		531
	TownName <TwnNm>	[0..1]	Text		531
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		531
	Country <Ctry>	[1..1]	CodeSet	C7	531

7.4.6.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.6.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

7.4.6.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

7.4.6.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

7.4.6.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

7.4.6.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

7.4.6.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

7.4.6.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

7.4.6.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C7 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.6.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

7.4.6.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		532
	IdentificationType <IdTp>	[0..1]			532
{Or	Code <Cd>	[1..1]	CodeSet		532
Or}	Proprietary <Prtry>	[1..1]	±		533

7.4.6.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

7.4.6.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		532
Or}	Proprietary <Prtry>	[1..1]	±		533

7.4.6.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.4.6.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.7 RightsHolder <RghtsHldr>

Presence: [0..1]

Definition: Owner of the voting rights.

RightsHolder <RgthsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			535
	NameAndAddress <NmAndAdr>	[1..1]			536
	Name <Nm>	[1..1]	Text		537
	Address <Adr>	[0..1]			537
	AddressType <AdrTp>	[0..1]	CodeSet		538
	AddressLine <AdrLine>	[0..5]	Text		538
	StreetName <StrtNm>	[0..1]	Text		538
	BuildingNumber <BldgNb>	[0..1]	Text		538
	PostBox <PstBx>	[0..1]	Text		538
	PostCode <PstCd>	[0..1]	Text		538
	TownName <TwnNm>	[0..1]	Text		539
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		539
	Country <Ctry>	[1..1]	CodeSet	C7	539
	EmailAddress <EmailAdr>	[0..1]	Text		539
	Identification <Id>	[0..1]			539
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		539
Or	LEI <LEI>	[1..1]	IdentifierSet		540
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	540
Or	ClientIdentification <ClnId>	[1..1]	Text		540
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		540
Or}	NaturalPerson <NtrlPrsn>	[1..*]			540
	NameAndAddress <NmAndAdr>	[1..1]			541
	FirstName <FrstNm>	[1..1]	Text		542
	Surname <Snm>	[1..1]	Text		542
	Address <Adr>	[0..1]			542
	AddressType <AdrTp>	[0..1]	CodeSet		543
	AddressLine <AdrLine>	[0..5]	Text		543
	StreetName <StrtNm>	[0..1]	Text		543
	BuildingNumber <BldgNb>	[0..1]	Text		543
	PostBox <PstBx>	[0..1]	Text		544
	PostCode <PstCd>	[0..1]	Text		544

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		544
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		544
	Country <Ctry>	[1..1]	CodeSet	C7	544
	EmailAddress <EmailAdr>	[0..1]	Text		544
	Identification <Id>	[0..1]			544
	Identification <Id>	[1..1]	Text		545
	IdentificationType <IdTp>	[0..1]			545
{Or	Code <Cd>	[1..1]	CodeSet		545
Or}	Proprietary <Prtry>	[1..1]	±		546

7.4.7.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			536
	Name <Nm>	[1..1]	Text		537
	Address <Adr>	[0..1]			537
	AddressType <AdrTp>	[0..1]	CodeSet		538
	AddressLine <AdrLine>	[0..5]	Text		538
	StreetName <StrtNm>	[0..1]	Text		538
	BuildingNumber <BldgNb>	[0..1]	Text		538
	PostBox <PstBx>	[0..1]	Text		538
	PostCode <PstCd>	[0..1]	Text		538
	TownName <TwnNm>	[0..1]	Text		539
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		539
	Country <Ctry>	[1..1]	CodeSet	C7	539
	EmailAddress <EmailAdr>	[0..1]	Text		539
	Identification <Id>	[0..1]			539
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		539
Or	LEI <LEI>	[1..1]	IdentifierSet		540
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	540
Or	ClientIdentification <ClntrlId>	[1..1]	Text		540
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		540

7.4.7.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		537
	Address <Adr>	[0..1]			537
	AddressType <AdrTp>	[0..1]	CodeSet		538
	AddressLine <AdrLine>	[0..5]	Text		538
	StreetName <StrtNm>	[0..1]	Text		538
	BuildingNumber <BldgNb>	[0..1]	Text		538
	PostBox <PstBx>	[0..1]	Text		538
	PostCode <PstCd>	[0..1]	Text		538
	TownName <TwnNm>	[0..1]	Text		539
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		539
	Country <Ctry>	[1..1]	CodeSet	C7	539

7.4.7.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.7.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		538
	AddressLine <AdrLine>	[0..5]	Text		538
	StreetName <StrtNm>	[0..1]	Text		538
	BuildingNumber <BldgNb>	[0..1]	Text		538
	PostBox <PstBx>	[0..1]	Text		538
	PostCode <PstCd>	[0..1]	Text		538
	TownName <TwnNm>	[0..1]	Text		539
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		539
	Country <Ctry>	[1..1]	CodeSet	C7	539

7.4.7.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.7.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**7.4.7.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**7.4.7.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**7.4.7.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**7.4.7.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

7.4.7.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**7.4.7.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**7.4.7.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C7 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.7.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**7.4.7.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		539
Or	LEI <LEI>	[1..1]	IdentifierSet		540
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C6	540
Or	ClientIdentification <CIntId>	[1..1]	Text		540
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		540

7.4.7.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

7.4.7.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

7.4.7.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C6 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.4.7.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

7.4.7.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

7.4.7.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			541
	FirstName <FrstNm>	[1..1]	Text		542
	Surname <SrnM>	[1..1]	Text		542
	Address <Adr>	[0..1]			542
	AddressType <AdrTp>	[0..1]	CodeSet		543
	AddressLine <AdrLine>	[0..5]	Text		543
	StreetName <StrtNm>	[0..1]	Text		543
	BuildingNumber <BldgNb>	[0..1]	Text		543
	PostBox <PstBx>	[0..1]	Text		544
	PostCode <PstCd>	[0..1]	Text		544
	TownName <TwnNm>	[0..1]	Text		544
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		544
	Country <Ctry>	[1..1]	CodeSet	C7	544
	EmailAddress <EmailAdr>	[0..1]	Text		544
	Identification <Id>	[0..1]			544
	Identification <Id>	[1..1]	Text		545
	IdentificationType <IdTp>	[0..1]			545
{Or	Code <Cd>	[1..1]	CodeSet		545
Or}	Proprietary <Prtry>	[1..1]	±		546

7.4.7.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		542
	Surname <Srnm>	[1..1]	Text		542
	Address <Adr>	[0..1]			542
	AddressType <AdrTp>	[0..1]	CodeSet		543
	AddressLine <AdrLine>	[0..5]	Text		543
	StreetName <StrtNm>	[0..1]	Text		543
	BuildingNumber <BldgNb>	[0..1]	Text		543
	PostBox <PstBx>	[0..1]	Text		544
	PostCode <PstCd>	[0..1]	Text		544
	TownName <TwnNm>	[0..1]	Text		544
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		544
	Country <Ctry>	[1..1]	CodeSet	C7	544

7.4.7.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

7.4.7.2.1.2 Surname <Srnm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

7.4.7.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		543
	AddressLine <AdrLine>	[0..5]	Text		543
	StreetName <StrtNm>	[0..1]	Text		543
	BuildingNumber <BldgNb>	[0..1]	Text		543
	PostBox <PstBx>	[0..1]	Text		544
	PostCode <PstCd>	[0..1]	Text		544
	TownName <TwnNm>	[0..1]	Text		544
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		544
	Country <Ctry>	[1..1]	CodeSet	C7	544

7.4.7.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.4.7.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

7.4.7.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

7.4.7.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

7.4.7.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

7.4.7.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

7.4.7.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

7.4.7.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

7.4.7.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C7 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.4.7.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

7.4.7.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		545
	IdentificationType <IdTp>	[0..1]			545
{Or	Code <Cd>	[1..1]	CodeSet		545
Or}	Proprietary <Prtry>	[1..1]	±		546

7.4.7.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

7.4.7.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		545
Or}	Proprietary <Prtry>	[1..1]	±		546

7.4.7.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.4.7.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

7.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: [C17 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8 **seev.007.001.06**

MeetingVoteExecutionConfirmationV06

8.1 **MessageDefinition Functionality**

Scope

The MeetingVoteExecutionConfirmation message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to confirm, to the Sender of the MeetingInstruction message, that their vote has been recorded and counted by the Issuer.

Usage

This message is sent after the shareholders meeting has taken place. The Sender of this message confirms the execution of the vote at the meeting.

This messages is sent if the Sender of the MeetingInstruction message has requested such a confirmation or if market practice or regulation stipulates the need for a full audit trail.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingVoteExecutionConfirmationV06 MessageDefinition is composed of 6 MessageBuildingBlocks:

- A. MeetingInstructionIdentification
Identification of the original meeting instruction message for which the vote execution confirmation is provided.
- B. MeetingReference
Set of elements that allow to identify unambiguously a meeting.
- C. FinancialInstrumentIdentification
Securities for which the meeting is organised.
- D. VoteInstructions
Specifies how a party has voted for each agenda item.
- E. VoteInstructionsConfirmationURLAddress
Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.
- F. SupplementaryData
Additional information that cannot be captured in the structured fields and/or any other specific block.

8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgVoteExctnConf>	[1..1]			
	MeetingInstructionIdentification <MtgInstrId>	[1..1]	Text		554
	MeetingReference <MtgRef>	[1..1]			554
	MeetingIdentification <MtgId>	[0..1]	Text		554
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		555
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		555
	Type <Tp>	[1..1]	CodeSet		555
	Classification <Clssfctn>	[0..1]			555
{Or	Code <Cd>	[1..1]	CodeSet		556
Or}	Proprietary <Prtry>	[1..1]	±		556
	Location <Lctn>	[0..5]	±		556
	Issuer <Issr>	[0..1]	±		557
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C5, C6, C7	557
	VoteInstructions <VoteInstrs>	[0..*]		C8, C9	558
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		565
	AccountIdentification <AcctId>	[0..1]	Text		565
	AccountOwner <AcctOwnr>	[0..1]			565
{Or	LegalPerson <LglPrsn>	[1..1]			567
	NameAndAddress <NmAndAdr>	[1..1]			568
	Name <Nm>	[1..1]	Text		569
	Address <Adr>	[0..1]			569
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Country <Ctry>	[1..1]	CodeSet	C2	571
	EmailAddress <EmailAdr>	[0..1]	Text		571
	Identification <Id>	[1..1]			571
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		571
Or	LEI <LEI>	[1..1]	IdentifierSet		572
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	572
Or	ClientIdentification <CIntId>	[1..1]	Text		572
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		572
Or}	NaturalPerson <NtrlPrsn>	[1..*]			572
	NameAndAddress <NmAndAdr>	[1..1]			573
	FirstName <FrstNm>	[1..1]	Text		574
	Surname <Snm>	[1..1]	Text		574
	Address <Adr>	[0..1]			574
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576
	EmailAddress <EmailAdr>	[0..1]	Text		576
	Identification <Id>	[1..1]			576
	Identification <Id>	[1..1]	Text		577
	IdentificationType <IdTp>	[0..1]			577
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578
	SubAccountIdentification <SubAcctId>	[0..1]	Text		578
	RightsHolder <RghtsHldr>	[0..10]			578
{Or	LegalPerson <LglPrsn>	[1..1]			580

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			581
	Name <Nm>	[1..1]	Text		582
	Address <Adr>	[0..1]			582
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584
	EmailAddress <EmailAdr>	[0..1]	Text		584
	Identification <Id>	[0..1]			584
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		584
Or	LEI <LEI>	[1..1]	IdentifierSet		585
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	585
Or	ClientIdentification <ClnId>	[1..1]	Text		585
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		585
Or}	NaturalPerson <NtrlPrsn>	[1..*]			585
	NameAndAddress <NmAndAdr>	[1..1]			586
	FirstName <FrstNm>	[1..1]	Text		587
	Surname <Snm>	[1..1]	Text		587
	Address <Adr>	[0..1]			587
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589
	TownName <TwnNm>	[0..1]	Text		589

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589
	EmailAddress <EmailAdr>	[0..1]	Text		589
	Identification <Id>	[0..1]			589
	Identification <Id>	[1..1]	Text		590
	IdentificationType <IdTp>	[0..1]			590
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591
	Proxy <Prxy>	[0..1]			591
{Or	LegalPerson <LglPrsn>	[1..1]			593
	NameAndAddress <NmAndAdr>	[1..1]			594
	Name <Nm>	[1..1]	Text		595
	Address <Adr>	[0..1]			595
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597
	EmailAddress <EmailAdr>	[0..1]	Text		597
	Identification <Id>	[1..1]			597
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		597
Or	LEI <LEI>	[1..1]	IdentifierSet		598
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	598
Or	ClientIdentification <ClntId>	[1..1]	Text		598
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		598
Or}	NaturalPerson <NtrlPrsn>	[1..1]			598
	NameAndAddress <NmAndAdr>	[1..1]			599

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		600
	Surname <Srnm>	[1..1]	Text		600
	Address <Adr>	[0..1]			600
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602
	EmailAddress <EmailAdr>	[0..1]	Text		602
	Identification <Id>	[1..1]			602
	Identification <Id>	[1..1]	Text		603
	IdentificationType <IdTp>	[0..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604
	StandingInstruction <StglInstr>	[0..1]	Indicator		604
	ModalityOfCounting <ModltyOfCntg>	[1..1]			604
{Or	Code <Cd>	[1..1]	CodeSet		604
Or}	Proprietary <Prtry>	[1..1]	±		605
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		605
	VotePerResolution <VotePerRsln>	[0..1000]			605
	IssuerLabel <IssrLabl>	[1..1]	Text		606
	For <For>	[0..1]	±		606
	Against <Agnst>	[0..1]	±		607
	Abstain <Abstn>	[0..1]	±		607
	Withhold <Wthhld>	[0..1]	±		607
	WithManagement <WthMgmt>	[0..1]	±		607
	AgainstManagement <AgnstMgmt>	[0..1]	±		608

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Discretionary <Dscrtnry>	[0..1]	±		608
	OneYear <OneYr>	[0..1]	±		608
	TwoYears <TwoYrs>	[0..1]	±		608
	ThreeYears <ThreeYrs>	[0..1]	±		609
	NoAction <NoActn>	[0..1]	±		609
	Blank <Blnk>	[0..1]	±		609
	Proprietary <Prtry>	[0..4]			609
	Code <Cd>	[1..1]	±		610
	Quantity <Qty>	[1..1]	±		610
	Withdrawn <Wdrwn>	[0..1]	Indicator		610
	VoteInstructionsConfirmationURLAddress <VoteInstrsConfURLAdr>	[0..1]	Text		610
	SupplementaryData <SplmtryData>	[0..*]	±	C10	610

8.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C6 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C7 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C8 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C9 SubAccount1Rule

If SubAccountIdentification is present, then AccountIdentification must be present.

C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

8.4.1 MeetingInstructionIdentification <MtgInstrId>

Presence: [1..1]

Definition: Identification of the original meeting instruction message for which the vote execution confirmation is provided.

Datatype: "Max35Text" on page 706

8.4.2 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		554
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		555
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		555
	Type <Tp>	[1..1]	CodeSet		555
	Classification <Clssfctn>	[0..1]			555
{Or	Code <Cd>	[1..1]	CodeSet		556
Or}	Proprietary <Prtry>	[1..1]	±		556
	Location <Lctn>	[0..5]	±		556
	Issuer <Issr>	[0..1]	±		557

8.4.2.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

8.4.2.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

8.4.2.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

8.4.2.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

8.4.2.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		556
Or}	Proprietary <Prtry>	[1..1]	±		556

8.4.2.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

8.4.2.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification13" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

8.4.2.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

8.4.2.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "PartyIdentification129Choice" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

8.4.3 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Securities for which the meeting is organised.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C5 "ISINGuideline", C6 "ISINPresenceRule", C7 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrlId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

8.4.4 VoteInstructions <VoteInstrs>

Presence: [0..*]

Definition: Specifies how a party has voted for each agenda item.

Impacted by: C8 "RightsHolderMultiplicity1Guideline", C9 "SubAccount1Rule"

VoteInstructions <VoteInstrs> contains the following **DetailedInstructionStatus13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SingleInstructionIdentification <SnglInstrId>	[1..1]	Text		565
	AccountIdentification <AcctId>	[0..1]	Text		565
	AccountOwner <AcctOwnr>	[0..1]			565
{Or	LegalPerson <LglPrsn>	[1..1]			567
	NameAndAddress <NmAndAdr>	[1..1]			568
	Name <Nm>	[1..1]	Text		569
	Address <Adr>	[0..1]			569
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571
	Country <Ctry>	[1..1]	CodeSet	C2	571
	EmailAddress <EmailAdr>	[0..1]	Text		571
	Identification <Id>	[1..1]			571
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		571
Or	LEI <LEI>	[1..1]	IdentifierSet		572
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	572
Or	ClientIdentification <ClntId>	[1..1]	Text		572
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		572
Or}	NaturalPerson <NtrlPrsn>	[1..*]			572
	NameAndAddress <NmAndAdr>	[1..1]			573
	FirstName <FrstNm>	[1..1]	Text		574
	Surname <Snm>	[1..1]	Text		574
	Address <Adr>	[0..1]			574
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576
	EmailAddress <EmailAdr>	[0..1]	Text		576
	Identification <Id>	[1..1]			576
	Identification <Id>	[1..1]	Text		577
	IdentificationType <IdTp>	[0..1]			577
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578
	SubAccountIdentification <SubAcctId>	[0..1]	Text		578
	RightsHolder <RghtsHldr>	[0..10]			578
{Or	LegalPerson <LglPrsn>	[1..1]			580
	NameAndAddress <NmAndAdr>	[1..1]			581
	Name <Nm>	[1..1]	Text		582
	Address <Adr>	[0..1]			582
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584
	EmailAddress <EmailAdr>	[0..1]	Text		584
	Identification <Id>	[0..1]			584
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		584
Or	LEI <LEI>	[1..1]	IdentifierSet		585

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	585
Or	ClientIdentification <ClntId>	[1..1]	Text		585
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		585
Or}	NaturalPerson <NtrlPrsn>	[1..*]			585
	NameAndAddress <NmAndAdr>	[1..1]			586
	FirstName <FrstNm>	[1..1]	Text		587
	Surname <SrnM>	[1..1]	Text		587
	Address <Adr>	[0..1]			587
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589
	TownName <TwnNm>	[0..1]	Text		589
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589
	EmailAddress <EmailAdr>	[0..1]	Text		589
	Identification <Id>	[0..1]			589
	Identification <Id>	[1..1]	Text		590
	IdentificationType <IdTp>	[0..1]			590
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591
	Proxy <Prxy>	[0..1]			591
{Or	LegalPerson <LglPrsn>	[1..1]			593
	NameAndAddress <NmAndAdr>	[1..1]			594
	Name <Nm>	[1..1]	Text		595
	Address <Adr>	[0..1]			595
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597
	EmailAddress <EmailAdr>	[0..1]	Text		597
	Identification <Id>	[1..1]			597
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		597
Or	LEI <LEI>	[1..1]	IdentifierSet		598
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	598
Or	ClientIdentification <ClntId>	[1..1]	Text		598
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		598
Or}	NaturalPerson <NtrlPrsn>	[1..1]			598
	NameAndAddress <NmAndAdr>	[1..1]			599
	FirstName <FrstNm>	[1..1]	Text		600
	Surname <Srmn>	[1..1]	Text		600
	Address <Adr>	[0..1]			600
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602
	EmailAddress <EmailAdr>	[0..1]	Text		602
	Identification <Id>	[1..1]			602
	Identification <Id>	[1..1]	Text		603
	IdentificationType <IdTp>	[0..1]			603

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604
	StandingInstruction <StgInstr>	[0..1]	Indicator		604
	ModalityOfCounting <ModltyOfCntg>	[1..1]			604
{Or	Code <Cd>	[1..1]	CodeSet		604
Or}	Proprietary <Prtry>	[1..1]	±		605
	VoteReceiptDateTime <VoteRctDtTm>	[0..1]	±		605
	VotePerResolution <VotePerRsln>	[0..1000]			605
	IssuerLabel <IssrLbl>	[1..1]	Text		606
	For <For>	[0..1]	±		606
	Against <Agnst>	[0..1]	±		607
	Abstain <Abstn>	[0..1]	±		607
	Withhold <Wthhld>	[0..1]	±		607
	WithManagement <WthMgmt>	[0..1]	±		607
	AgainstManagement <AgnstMgmt>	[0..1]	±		608
	Discretionary <Dscrtnry>	[0..1]	±		608
	OneYear <OneYr>	[0..1]	±		608
	TwoYears <TwoYrs>	[0..1]	±		608
	ThreeYears <ThreeYrs>	[0..1]	±		609
	NoAction <NoActn>	[0..1]	±		609
	Blank <Blnk>	[0..1]	±		609
	Proprietary <Prtry>	[0..4]			609
	Code <Cd>	[1..1]	±		610
	Quantity <Qty>	[1..1]	±		610
	Withdrawn <Wdrwn>	[0..1]	Indicator		610

Constraints

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

- **SubAccount1Rule**

If SubAccountIdentification is present, then AccountIdentification must be present.

On Condition

/SubAccountIdentification is present

Following Must be True
/AccountIdentification Must be present

8.4.4.1 SingleInstructionIdentification <SnglInstrId>

Presence: [1..1]

Definition: Identification of the specific individual instruction from the original meeting instruction message for which the confirmation is provided.

Datatype: "Max35Text" on page 706

8.4.4.2 AccountIdentification <AcctId>

Presence: [0..1]

Definition: Identification of the safekeeping account.

Datatype: "Max35Text" on page 706

8.4.4.3 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			567
	NameAndAddress <NmAndAdr>	[1..1]			568
	Name <Nm>	[1..1]	Text		569
	Address <Adr>	[0..1]			569
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571
	Country <Ctry>	[1..1]	CodeSet	C2	571
	EmailAddress <EmailAdr>	[0..1]	Text		571
	Identification <Id>	[1..1]			571
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		571
Or	LEI <LEI>	[1..1]	IdentifierSet		572
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	572
Or	ClientIdentification <ClnId>	[1..1]	Text		572
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		572
Or}	NaturalPerson <NtrlPrsn>	[1..*]			572
	NameAndAddress <NmAndAdr>	[1..1]			573
	FirstName <FrstNm>	[1..1]	Text		574
	Surname <Snm>	[1..1]	Text		574
	Address <Adr>	[0..1]			574
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576
	EmailAddress <EmailAdr>	[0..1]	Text		576
	Identification <Id>	[1..1]			576
	Identification <Id>	[1..1]	Text		577
	IdentificationType <IdTp>	[0..1]			577
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578

8.4.4.3.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			568
	Name <Nm>	[1..1]	Text		569
	Address <Adr>	[0..1]			569
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571
	Country <Ctry>	[1..1]	CodeSet	C2	571
	EmailAddress <EmailAdr>	[0..1]	Text		571
	Identification <Id>	[1..1]			571
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		571
Or	LEI <LEI>	[1..1]	IdentifierSet		572
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	572
Or	ClientIdentification <ClnId>	[1..1]	Text		572
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		572

8.4.4.3.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		569
	Address <Adr>	[0..1]			569
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571
	Country <Ctry>	[1..1]	CodeSet	C2	571

8.4.4.3.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.3.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		570
	AddressLine <AdrLine>	[0..5]	Text		570
	StreetName <StrtNm>	[0..1]	Text		570
	BuildingNumber <BldgNb>	[0..1]	Text		570
	PostBox <PstBx>	[0..1]	Text		570
	PostCode <PstCd>	[0..1]	Text		570
	TownName <TwnNm>	[0..1]	Text		571
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		571
	Country <Ctry>	[1..1]	CodeSet	C2	571

8.4.4.3.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.3.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**8.4.4.3.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**8.4.4.3.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**8.4.4.3.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**8.4.4.3.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

8.4.4.3.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**8.4.4.3.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**8.4.4.3.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.3.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**8.4.4.3.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		571
Or	LEI <LEI>	[1..1]	IdentifierSet		572
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	572
Or	ClientIdentification <ClnId>	[1..1]	Text		572
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		572

8.4.4.3.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

8.4.4.3.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

8.4.4.3.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.4.3.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

8.4.4.3.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

8.4.4.3.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			573
	FirstName <FrstNm>	[1..1]	Text		574
	Surname <SrnM>	[1..1]	Text		574
	Address <Adr>	[0..1]			574
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576
	EmailAddress <EmailAdr>	[0..1]	Text		576
	Identification <Id>	[1..1]			576
	Identification <Id>	[1..1]	Text		577
	IdentificationType <IdTp>	[0..1]			577
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578

8.4.4.3.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		574
	Surname <Srnrm>	[1..1]	Text		574
	Address <Adr>	[0..1]			574
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576

8.4.4.3.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.3.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

8.4.4.3.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		575
	AddressLine <AdrLine>	[0..5]	Text		575
	StreetName <StrtNm>	[0..1]	Text		575
	BuildingNumber <BldgNb>	[0..1]	Text		575
	PostBox <PstBx>	[0..1]	Text		576
	PostCode <PstCd>	[0..1]	Text		576
	TownName <TwnNm>	[0..1]	Text		576
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		576
	Country <Ctry>	[1..1]	CodeSet	C2	576

8.4.4.3.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.3.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

8.4.4.3.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

8.4.4.3.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

8.4.4.3.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

8.4.4.3.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

8.4.4.3.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

8.4.4.3.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

8.4.4.3.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.3.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

8.4.4.3.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		577
	IdentificationType <IdTp>	[0..1]			577
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578

8.4.4.3.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

8.4.4.3.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		577
Or}	Proprietary <Prtry>	[1..1]	±		578

8.4.4.3.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

8.4.4.3.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

8.4.4.4 SubAccountIdentification <SubAcctId>

Presence: [0..1]

Definition: Identification of the subaccount within the safekeeping account.

Datatype: "[Max35Text](#)" on page 706

8.4.4.5 RightsHolder <RgthsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			580
	NameAndAddress <NmAndAdr>	[1..1]			581
	Name <Nm>	[1..1]	Text		582
	Address <Adr>	[0..1]			582
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584
	EmailAddress <EmailAdr>	[0..1]	Text		584
	Identification <Id>	[0..1]			584
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		584
Or	LEI <LEI>	[1..1]	IdentifierSet		585
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	585
Or	ClientIdentification <CltId>	[1..1]	Text		585
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		585
Or}	NaturalPerson <NtrlPrsn>	[1..*]			585
	NameAndAddress <NmAndAdr>	[1..1]			586
	FirstName <FrstNm>	[1..1]	Text		587
	Surname <Snm>	[1..1]	Text		587
	Address <Adr>	[0..1]			587
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		589
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589
	EmailAddress <EmailAdr>	[0..1]	Text		589
	Identification <Id>	[0..1]			589
	Identification <Id>	[1..1]	Text		590
	IdentificationType <IdTp>	[0..1]			590
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591

8.4.4.5.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			581
	Name <Nm>	[1..1]	Text		582
	Address <Adr>	[0..1]			582
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584
	EmailAddress <EmailAdr>	[0..1]	Text		584
	Identification <Id>	[0..1]			584
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		584
Or	LEI <LEI>	[1..1]	IdentifierSet		585
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	585
Or	ClientIdentification <ClnId>	[1..1]	Text		585
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		585

8.4.4.5.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		582
	Address <Adr>	[0..1]			582
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584

8.4.4.5.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.5.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		583
	AddressLine <AdrLine>	[0..5]	Text		583
	StreetName <StrtNm>	[0..1]	Text		583
	BuildingNumber <BldgNb>	[0..1]	Text		583
	PostBox <PstBx>	[0..1]	Text		583
	PostCode <PstCd>	[0..1]	Text		583
	TownName <TwnNm>	[0..1]	Text		584
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		584
	Country <Ctry>	[1..1]	CodeSet	C2	584

8.4.4.5.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.5.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**8.4.4.5.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**8.4.4.5.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**8.4.4.5.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**8.4.4.5.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

8.4.4.5.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**8.4.4.5.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**8.4.4.5.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.5.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**8.4.4.5.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		584
Or	LEI <LEI>	[1..1]	IdentifierSet		585
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	585
Or	ClientIdentification <ClnId>	[1..1]	Text		585
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		585

8.4.4.5.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

8.4.4.5.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

8.4.4.5.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.4.5.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

8.4.4.5.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

8.4.4.5.2 NaturalPerson <NtrlPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			586
	FirstName <FrstNm>	[1..1]	Text		587
	Surname <SrnM>	[1..1]	Text		587
	Address <Adr>	[0..1]			587
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589
	TownName <TwnNm>	[0..1]	Text		589
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589
	EmailAddress <EmailAdr>	[0..1]	Text		589
	Identification <Id>	[0..1]			589
	Identification <Id>	[1..1]	Text		590
	IdentificationType <IdTp>	[0..1]			590
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591

8.4.4.5.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		587
	Surname <Srnrm>	[1..1]	Text		587
	Address <Adr>	[0..1]			587
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589
	TownName <TwnNm>	[0..1]	Text		589
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589

8.4.4.5.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.5.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

8.4.4.5.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		588
	AddressLine <AdrLine>	[0..5]	Text		588
	StreetName <StrtNm>	[0..1]	Text		588
	BuildingNumber <BldgNb>	[0..1]	Text		588
	PostBox <PstBx>	[0..1]	Text		589
	PostCode <PstCd>	[0..1]	Text		589
	TownName <TwnNm>	[0..1]	Text		589
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		589
	Country <Ctry>	[1..1]	CodeSet	C2	589

8.4.4.5.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.5.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

8.4.4.5.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

8.4.4.5.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

8.4.4.5.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

8.4.4.5.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

8.4.4.5.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

8.4.4.5.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

8.4.4.5.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.5.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

8.4.4.5.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		590
	IdentificationType <IdTp>	[0..1]			590
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591

8.4.4.5.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

8.4.4.5.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		590
Or}	Proprietary <Prtry>	[1..1]	±		591

8.4.4.5.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

8.4.4.5.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

8.4.4.6 Proxy <Prxy>

Presence: [0..1]

Definition: Identification of the person appointed by the security holder as the proxy.

Proxy <Prxy> contains one of the following **PartyIdentification223Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			593
	NameAndAddress <NmAndAdr>	[1..1]			594
	Name <Nm>	[1..1]	Text		595
	Address <Adr>	[0..1]			595
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597
	EmailAddress <EmailAdr>	[0..1]	Text		597
	Identification <Id>	[1..1]			597
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		597
Or	LEI <LEI>	[1..1]	IdentifierSet		598
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	598
Or	ClientIdentification <ClnId>	[1..1]	Text		598
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		598
Or}	NaturalPerson <NtrlPrsn>	[1..1]			598
	NameAndAddress <NmAndAdr>	[1..1]			599
	FirstName <FrstNm>	[1..1]	Text		600
	Surname <Snm>	[1..1]	Text		600
	Address <Adr>	[0..1]			600
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602
	EmailAddress <EmailAdr>	[0..1]	Text		602
	Identification <Id>	[1..1]			602
	Identification <Id>	[1..1]	Text		603
	IdentificationType <IdTp>	[0..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604

8.4.4.6.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			594
	Name <Nm>	[1..1]	Text		595
	Address <Adr>	[0..1]			595
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597
	EmailAddress <EmailAdr>	[0..1]	Text		597
	Identification <Id>	[1..1]			597
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		597
Or	LEI <LEI>	[1..1]	IdentifierSet		598
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	598
Or	ClientIdentification <ClnId>	[1..1]	Text		598
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		598

8.4.4.6.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		595
	Address <Adr>	[0..1]			595
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597

8.4.4.6.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.6.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		596
	AddressLine <AdrLine>	[0..5]	Text		596
	StreetName <StrtNm>	[0..1]	Text		596
	BuildingNumber <BldgNb>	[0..1]	Text		596
	PostBox <PstBx>	[0..1]	Text		596
	PostCode <PstCd>	[0..1]	Text		596
	TownName <TwnNm>	[0..1]	Text		597
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		597
	Country <Ctry>	[1..1]	CodeSet	C2	597

8.4.4.6.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.6.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**8.4.4.6.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**8.4.4.6.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**8.4.4.6.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**8.4.4.6.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

8.4.4.6.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**8.4.4.6.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**8.4.4.6.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.6.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**8.4.4.6.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		597
Or	LEI <LEI>	[1..1]	IdentifierSet		598
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	598
Or	ClientIdentification <ClnId>	[1..1]	Text		598
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		598

8.4.4.6.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

8.4.4.6.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

8.4.4.6.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

8.4.4.6.1.3.4 ClientIdentification <CIntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

8.4.4.6.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

8.4.4.6.2 NaturalPerson <NtrlPrsn>

Presence: [1..1]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			599
	FirstName <FrstNm>	[1..1]	Text		600
	Surname <SrnM>	[1..1]	Text		600
	Address <Adr>	[0..1]			600
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602
	EmailAddress <EmailAdr>	[0..1]	Text		602
	Identification <Id>	[1..1]			602
	Identification <Id>	[1..1]	Text		603
	IdentificationType <IdTp>	[0..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604

8.4.4.6.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		600
	Surname <Srnrm>	[1..1]	Text		600
	Address <Adr>	[0..1]			600
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602

8.4.4.6.2.1.1 FirstName <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

8.4.4.6.2.1.2 Surname <Srnrm>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

8.4.4.6.2.1.3 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		601
	AddressLine <AdrLine>	[0..5]	Text		601
	StreetName <StrtNm>	[0..1]	Text		601
	BuildingNumber <BldgNb>	[0..1]	Text		601
	PostBox <PstBx>	[0..1]	Text		602
	PostCode <PstCd>	[0..1]	Text		602
	TownName <TwnNm>	[0..1]	Text		602
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		602
	Country <Ctry>	[1..1]	CodeSet	C2	602

8.4.4.6.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

8.4.4.6.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

8.4.4.6.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

8.4.4.6.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

8.4.4.6.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

8.4.4.6.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

8.4.4.6.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

8.4.4.6.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

8.4.4.6.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

8.4.4.6.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

8.4.4.6.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		603
	IdentificationType <IdTp>	[0..1]			603
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604

8.4.4.6.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

8.4.4.6.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		603
Or}	Proprietary <Prtry>	[1..1]	±		604

8.4.4.6.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

8.4.4.6.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

8.4.4.7 StandingInstruction <StglInstr>

Presence: [0..1]

Definition: Indicates whether standing instructions have been applied or not.

Datatype: One of the following values must be used (see "[YesNoIndicator](#)" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

8.4.4.8 ModalityOfCounting <ModltyOfCntg>

Presence: [1..1]

Definition: Modality through which the votes that have been recorded and counted were received by the issuer, including whether this is ahead of the meeting or at the meeting.

ModalityOfCounting <ModltyOfCntg> contains one of the following **ModalityOfCounting1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		604
Or}	Proprietary <Prtry>	[1..1]	±		605

8.4.4.8.1 Code <Cd>

Presence: [1..1]

Definition: Modality of counting expressed as a code.

Datatype: "ModalityOfCounting1Code" on page 691

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

8.4.4.8.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Modality of counting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "GenericIdentification30" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

8.4.4.9 VoteReceiptDateTime <VoteRctDtTm>

Presence: [0..1]

Definition: Date or date and time at which the votes that have been recorded and counted were received.

VoteReceiptDateTime <VoteRctDtTm> contains one of the following elements (see "DateAndDateTime1Choice" on page 667 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateTime <DtTm>	[1..1]	DateTime		668

8.4.4.10 VotePerResolution <VotePerRsItm>

Presence: [0..1000]

Definition: Details of the vote.

VotePerResolution <VotePerRsIttn> contains the following **Vote13** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		606
	For <For>	[0..1]	±		606
	Against <Agnst>	[0..1]	±		607
	Abstain <Abstn>	[0..1]	±		607
	Withhold <Wthhld>	[0..1]	±		607
	WithManagement <WthMgmt>	[0..1]	±		607
	AgainstManagement <AgnstMgmt>	[0..1]	±		608
	Discretionary <Dscrtnry>	[0..1]	±		608
	OneYear <OneYr>	[0..1]	±		608
	TwoYears <TwoYrs>	[0..1]	±		608
	ThreeYears <ThreeYrs>	[0..1]	±		609
	NoAction <NoActn>	[0..1]	±		609
	Blank <Blnk>	[0..1]	±		609
	Proprietary <Prtry>	[0..4]			609
	Code <Cd>	[1..1]	±		610
	Quantity <Qty>	[1..1]	±		610
	Withdrawn <Wdrwn>	[0..1]	Indicator		610

8.4.4.10.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

8.4.4.10.2 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.3 Against <Agnst>*Presence:* [0..1]*Definition:* Number of votes against one resolution.**Against <Agnst>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.4 Abstain <Abstn>*Presence:* [0..1]*Definition:* Number of votes expressed as abstain for one resolution.**Abstain <Abstn>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.5 Withhold <Wthhld>*Presence:* [0..1]*Definition:* Number of votes withheld for one resolution.**Withhold <Wthhld>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.6 WithManagement <WthMgmt>*Presence:* [0..1]*Definition:* Number of votes in line with the votes of the management.**WithManagement <WthMgmt>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.7 AgainstManagement <AgnstMgmt>*Presence:* [0..1]*Definition:* Number of votes against the voting recommendation of the management.**AgainstManagement <AgnstMgmt>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.8 Discretionary <Dscrtnry>*Presence:* [0..1]*Definition:* Number of votes for which decision is left to the party that will exercise the voting right.**Discretionary <Dscrtnry>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.9 OneYear <OneYr>*Presence:* [0..1]*Definition:* Number of votes in favour for one year for "say on pay" type of resolution.**OneYear <OneYr>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.10 TwoYears <TwoYrs>*Presence:* [0..1]*Definition:* Number of votes in favour of two years for "say on pay" type of resolution.**TwoYears <TwoYrs>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.11 ThreeYears <ThreeYrs>*Presence:* [0..1]*Definition:* Number of votes in favour of three years for "say on pay" type of resolution.**ThreeYears <ThreeYrs>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.12 NoAction <NoActn>*Presence:* [0..1]*Definition:* Number of votes for which no action has been taken.**NoAction <NoActn>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.13 Blank <Blnk>*Presence:* [0..1]*Definition:* Vote is cast as empty but the vote is counted.**Blank <Blnk>** contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.14 Proprietary <Prtry>*Presence:* [0..4]*Definition:* Other type of vote expressed as a proprietary code.**Proprietary <Prtry>** contains the following **ProprietaryVote2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		610
	Quantity <Qty>	[1..1]	±		610

8.4.4.10.14.1 Code <Cd>*Presence:* [1..1]*Definition:* Other type of vote expressed as a proprietary code.**Code <Cd>** contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

8.4.4.10.14.2 Quantity <Qty>*Presence:* [1..1]*Definition:* Quantity of securities voted.**Quantity <Qty>** contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

8.4.4.10.15 Withdrawn <Wdrwn>*Presence:* [0..1]*Definition:* Indicates the resolution was withdrawn at the meeting.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 703):

- *Meaning When True:* Yes
- *Meaning When False:* No

**8.4.5 VoteInstructionsConfirmationURLAddress
<VoteInstrsConfURLAdr>***Presence:* [0..1]*Definition:* Address to use over the www (HTTP) service where the confirmations of the vote instructions can be found.*Datatype:* "[Max2048Text](#)" on page 705**8.4.6 SupplementaryData <SplmtryData>***Presence:* [0..*]*Definition:* Additional information that cannot be captured in the structured fields and/or any other specific block.*Impacted by:* [C10 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9 **seev.008.001.06** **MeetingResultDisseminationV06**

9.1 **MessageDefinition Functionality**

Scope

The MeetingResultDissemination message is sent by an issuer, its agent or an intermediary to another intermediary, a party holding the right to vote, a registered security holder or to a beneficial holder to provide information on the voting results of a shareholders meeting.

Usage

The MeetingResultDissemination message is used to provide the vote results per resolution. It may also provide information on the level of participation.

This message is also used to notify an update or amendment to a previously sent MeetingResultDissemination message.

This message definition is intended for use with the Business Application Header (head.001.001.01).

Outline

The MeetingResultDisseminationV06 MessageDefinition is composed of 8 MessageBuildingBlocks:

A. MeetingResultsDisseminationType

Type of dissemination report, that is, whether the report is new or a replacement.

B. PreviousMeetingResultsDisseminationIdentification

Identification of the previously sent meeting result dissemination message.

C. MeetingReference

Set of elements that allow to identify unambiguously a meeting.

D. Security

Securities for which the meeting is organised.

E. VoteResult

Results per resolution.

F. Participation

Information about the participation to the voting process.

G. AdditionalInformation

Information on where additional information can be received.

H. SupplementaryData

Additional information that cannot be captured in the structured fields and/or any other specific block.

9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <MtgRsItDssmntn>	[1..1]		C9	
	MeetingResultsDisseminationType <MtgRsItsDssmntnTp>	[1..1]	CodeSet		618
	PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>	[0..1]	Text		618
	MeetingReference <MtgRef>	[1..1]			618
	MeetingIdentification <MtgId>	[0..1]	Text		619
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		619
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		619
	Type <Tp>	[1..1]	CodeSet		619
	Classification <Clssfctn>	[0..1]			620
{Or	Code <Cd>	[1..1]	CodeSet		620
Or}	Proprietary <Prtry>	[1..1]	±		621
	Location <Lctn>	[0..5]	±		621
	Issuer <Issr>	[0..1]	±		621
	Security <Scty>	[1..200]			622
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	625
	Position <Pos>	[0..1000]		C5, C10	627
	AccountIdentification <AcctId>	[1..1]	Text		631
	AccountOwner <AcctOwnr>	[0..1]			631
{Or	LegalPerson <LglPrsn>	[1..1]			633
	NameAndAddress <NmAndAdr>	[1..1]			634
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637
	EmailAddress <EmailAdr>	[0..1]	Text		637
	Identification <Id>	[1..1]			637
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClntId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638
Or}	NaturalPerson <NtrlPrsn>	[1..*]			638
	NameAndAddress <NmAndAdr>	[1..1]			639
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <Srm>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642
	EmailAddress <EmailAdr>	[0..1]	Text		642
	Identification <Id>	[1..1]			642
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644
	HoldingBalance <HldgBal>	[0..15]			644

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		644
	BalanceType <BalTp>	[1..1]	CodeSet		645
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		645
	Date <Dt>	[0..1]	Date		646
	RightsHolder <RghtsHldr>	[0..10]			646
{Or	LegalPerson <LglPrsn>	[1..1]			648
	NameAndAddress <NmAndAdr>	[1..1]			649
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652
	EmailAddress <EmailAdr>	[0..1]	Text		652
	Identification <Id>	[0..1]			652
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClnId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653
Or}	NaturalPerson <NtrlPrsn>	[1..*]			653
	NameAndAddress <NmAndAdr>	[1..1]			654
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <Snm>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657
	EmailAddress <EmailAdr>	[0..1]	Text		657
	Identification <Id>	[0..1]			657
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659
	VoteResult <VoteRslt>	[1..1000]			659
	IssuerLabel <IssrLbl>	[1..1]	Text		660
	ResolutionStatus <RsltnSts>	[1..1]	CodeSet		660
	For <For>	[0..1]	±		661
	Against <Agnst>	[0..1]	±		661
	Abstain <Abstn>	[0..1]	±		661
	Withhold <Wthhld>	[0..1]	±		661
	WithManagement <WthMgmt>	[0..1]	±		662
	AgainstManagement <AgnstMgmt>	[0..1]	±		662
	Discretionary <Dscrtnry>	[0..1]	±		662
	OneYear <OneYr>	[0..1]	±		662
	TwoYears <TwoYrs>	[0..1]	±		663
	ThreeYears <ThreeYrs>	[0..1]	±		663
	NoAction <NoActn>	[0..1]	±		663
	Blank <Blnk>	[0..1]	±		663
	Proprietary <Prtry>	[0..1]			664
	Code <Cd>	[1..1]	±		664

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity <Qty>	[1..1]	±		664
	Participation <Prtcpn>	[0..1]			664
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		665
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		665
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>	[0..1]	±		665
	CalculationDate <ClctnDt>	[0..1]	Date		665
	AdditionalInformation <AddtlInf>	[0..1]			665
	EmailAddress <EmailAdr>	[0..1]	Text		666
	URLAddress <URLAdr>	[0..1]	Text		666
	SupplementaryData <SplmtryData>	[0..*]	±	C11	666

9.3 Constraints

C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C3 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C4 DescriptionUsageRule

Description must be used alone as the last resort.

C5 HoldingBalanceTypeRule

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

C6 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C7 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C8 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C9 PreviousMeetingResultsDisseminationIdentificationRule

If MeetingResultsDisseminationType is REPL (Replacement), then PreviousMeetingResultsDisseminationIdentification must be present.

On Condition

/MeetingResultsDisseminationType is equal to value 'Replacement'

Following Must be True

/PreviousMeetingResultsDisseminationIdentification Must be present

This constraint is defined at the MessageDefinition level.

C10 RightsHolderMultiplicity1Guideline

It is recommended that RightsHolder is only present more than once if there is joint ownership.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

9.4.1 MeetingResultsDisseminationType <MtgRsItsDssmntnTp>

Presence: [1..1]

Definition: Type of dissemination report, that is, whether the report is new or a replacement.

Datatype: "NotificationType2Code" on page 692

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

9.4.2 PreviousMeetingResultsDisseminationIdentification <PrvsMtgRsItsDssmntnId>

Presence: [0..1]

Definition: Identification of the previously sent meeting result dissemination message.

Datatype: "Max35Text" on page 706

9.4.3 MeetingReference <MtgRef>

Presence: [1..1]

Definition: Set of elements that allow to identify unambiguously a meeting.

MeetingReference <MtgRef> contains the following **MeetingReference8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MeetingIdentification <MtgId>	[0..1]	Text		619
	IssuerMeetingIdentification <IssrMtgId>	[0..1]	Text		619
	MeetingDateAndTime <MtgDtAndTm>	[1..1]	DateTime		619
	Type <Tp>	[1..1]	CodeSet		619
	Classification <Clssfctn>	[0..1]			620
{Or	Code <Cd>	[1..1]	CodeSet		620
Or}	Proprietary <Prtry>	[1..1]	±		621
	Location <Lctn>	[0..5]	±		621
	Issuer <Issr>	[0..1]	±		621

9.4.3.1 MeetingIdentification <MtgId>

Presence: [0..1]

Definition: Identification assigned to the general meeting by the party that provides the meeting notification. It must be unique to the party providing the notification.

Datatype: "Max35Text" on page 706

9.4.3.2 IssuerMeetingIdentification <IssrMtgId>

Presence: [0..1]

Definition: Identification assigned to the meeting by the issuer. It must be unique for the issuer.

Datatype: "Max35Text" on page 706

9.4.3.3 MeetingDateAndTime <MtgDtAndTm>

Presence: [1..1]

Definition: Date and time at which the meeting will take place.

Datatype: "ISODateTime" on page 702

9.4.3.4 Type <Tp>

Presence: [1..1]

Definition: Type of meeting for which instructions are sent.

Datatype: "MeetingType4Code" on page 690

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or

CodeName	Name	Definition
		spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

9.4.3.5 Classification <Clssfctn>

Presence: [0..1]

Definition: Classification type of the meeting.

Classification <Clssfctn> contains one of the following **MeetingTypeClassification2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		620
Or}	Proprietary <Prtry>	[1..1]	±		621

9.4.3.5.1 Code <Cd>

Presence: [1..1]

Definition: Type of meeting expressed as a code.

Datatype: "MeetingTypeClassification2Code" on page 691

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

9.4.3.5.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of meeting expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

9.4.3.6 Location <Lctn>

Presence: [0..5]

Definition: Place of the company meeting for the scheduled meeting date.

Location <Lctn> contains the following elements (see "[PostalAddress1](#)" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

9.4.3.7 Issuer <Issr>

Presence: [0..1]

Definition: Specifies the institution that is the issuer of the security to which the meeting applies.

Issuer <Issr> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 675 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

9.4.4 **Security <Scty>**

Presence: [1..200]

Definition: Securities for which the meeting is organised.

Security <Scty> contains the following **SecurityPosition10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C8	625
	Position <Pos>	[0..1000]		C5, C10	627
	AccountIdentification <AcctId>	[1..1]	Text		631
	AccountOwner <AcctOwnr>	[0..1]			631
{Or	LegalPerson <LglPrsn>	[1..1]			633
	NameAndAddress <NmAndAdr>	[1..1]			634
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637
	EmailAddress <EmailAdr>	[0..1]	Text		637
	Identification <Id>	[1..1]			637
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClntId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638
Or}	NaturalPerson <NtrlPrsn>	[1..*]			638
	NameAndAddress <NmAndAdr>	[1..1]			639
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <SrnNm>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642
	EmailAddress <EmailAdr>	[0..1]	Text		642
	Identification <Id>	[1..1]			642
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644
	HoldingBalance <HldgBal>	[0..15]			644
	Balance <Bal>	[1..1]	±		644
	BalanceType <BalTp>	[1..1]	CodeSet		645
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		645
	Date <Dt>	[0..1]	Date		646
	RightsHolder <RghtsHldr>	[0..10]			646
{Or	LegalPerson <LglPrsn>	[1..1]			648
	NameAndAddress <NmAndAdr>	[1..1]			649
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652
	EmailAddress <EmailAdr>	[0..1]	Text		652
	Identification <Id>	[0..1]			652
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClntId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653
Or}	NaturalPerson <NtrlPrsn>	[1..*]			653
	NameAndAddress <NmAndAdr>	[1..1]			654
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <SrnM>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657
	EmailAddress <EmailAdr>	[0..1]	Text		657
	Identification <Id>	[0..1]			657
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

9.4.4.1 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Identification of the security, as assigned under a formal or proprietary identification scheme.

Impacted by: C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C8 "OtherIdentificationPresenceRule"

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "SecurityIdentification19" on page 668 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /Description is absent
Following Must be True
    /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
    /ISIN is absent
Following Must be True
    /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
    /OtherIdentification[*] is absent
Following Must be True
    /ISIN Must be present
Or    /Description Must be present
```

9.4.4.2 Position <Pos>

Presence: [0..1000]

Definition: Amount of securities that are eligible for the vote.

Impacted by: C5 "HoldingBalanceTypeRule", C10 "RightsHolderMultiplicity1Guideline"

Position <Pos> contains the following **EligiblePosition7** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountIdentification <AcctId>	[1..1]	Text		631
	AccountOwner <AcctOwnr>	[0..1]			631
{Or	LegalPerson <LglPrsn>	[1..1]			633
	NameAndAddress <NmAndAdr>	[1..1]			634
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637
	EmailAddress <EmailAdr>	[0..1]	Text		637
	Identification <Id>	[1..1]			637
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClntId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638
Or}	NaturalPerson <NtrlPrsn>	[1..*]			638
	NameAndAddress <NmAndAdr>	[1..1]			639
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <Srm>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642
	EmailAddress <EmailAdr>	[0..1]	Text		642
	Identification <Id>	[1..1]			642
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644
	HoldingBalance <HldgBal>	[0..15]			644
	Balance <Bal>	[1..1]	±		644
	BalanceType <BalTp>	[1..1]	CodeSet		645
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		645
	Date <Dt>	[0..1]	Date		646
	RightsHolder <RghtsHldr>	[0..10]			646
{Or	LegalPerson <LglPrsn>	[1..1]			648
	NameAndAddress <NmAndAdr>	[1..1]			649
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652
	EmailAddress <EmailAdr>	[0..1]	Text		652

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]			652
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClntId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653
Or}	NaturalPerson <NtrlPrsn>	[1..*]			653
	NameAndAddress <NmAndAdr>	[1..1]			654
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <SrnNm>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657
	EmailAddress <EmailAdr>	[0..1]	Text		657
	Identification <Id>	[0..1]			657
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

Constraints

- **HoldingBalanceTypeRule**

In all occurrences of HoldingBalance, one and only one BalanceType may be ELIG (Eligible).

- **RightsHolderMultiplicity1Guideline**

It is recommended that RightsHolder is only present more than once if there is joint ownership.

9.4.4.2.1 AccountIdentification <AcctId>

Presence: [1..1]

Definition: Identification of the securities account.

Datatype: "Max35Text" on page 706

9.4.4.2.2 AccountOwner <AcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the account.

AccountOwner <AcctOwnr> contains one of the following **PartyIdentification228Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			633
	NameAndAddress <NmAndAdr>	[1..1]			634
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637
	EmailAddress <EmailAdr>	[0..1]	Text		637
	Identification <Id>	[1..1]			637
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClnId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638
Or}	NaturalPerson <NtrlPrsn>	[1..*]			638
	NameAndAddress <NmAndAdr>	[1..1]			639
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <Snm>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642
	EmailAddress <EmailAdr>	[0..1]	Text		642
	Identification <Id>	[1..1]			642
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644

9.4.4.2.2.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification221** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			634
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637
	EmailAddress <EmailAdr>	[0..1]	Text		637
	Identification <Id>	[1..1]			637
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClnId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638

9.4.4.2.2.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		635
	Address <Adr>	[0..1]			635
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637

9.4.4.2.2.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

9.4.4.2.2.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		636
	AddressLine <AdrLine>	[0..5]	Text		636
	StreetName <StrtNm>	[0..1]	Text		636
	BuildingNumber <BldgNb>	[0..1]	Text		636
	PostBox <PstBx>	[0..1]	Text		636
	PostCode <PstCd>	[0..1]	Text		636
	TownName <TwnNm>	[0..1]	Text		637
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		637
	Country <Ctry>	[1..1]	CodeSet	C2	637

9.4.4.2.2.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

9.4.4.2.2.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**9.4.4.2.2.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**9.4.4.2.2.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**9.4.4.2.2.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**9.4.4.2.2.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

9.4.4.2.2.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**9.4.4.2.2.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**9.4.4.2.2.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

9.4.4.2.2.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**9.4.4.2.2.1.3 Identification <Id>***Presence:* [1..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		637
Or	LEI <LEI>	[1..1]	IdentifierSet		638
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	638
Or	ClientIdentification <ClnId>	[1..1]	Text		638
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		638

9.4.4.2.2.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

9.4.4.2.2.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

9.4.4.2.2.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

9.4.4.2.2.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

9.4.4.2.2.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

9.4.4.2.2.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification222** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			639
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <SrnM>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642
	EmailAddress <EmailAdr>	[0..1]	Text		642
	Identification <Id>	[1..1]			642
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644

9.4.4.2.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		640
	Surname <SrnM>	[1..1]	Text		640
	Address <Adr>	[0..1]			640
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642

9.4.4.2.2.1.1 **FirstName <FrstNm>**

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

9.4.4.2.2.1.2 **Surname <SrnM>**

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

9.4.4.2.2.1.3 **Address <Adr>**

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		641
	AddressLine <AdrLine>	[0..5]	Text		641
	StreetName <StrtNm>	[0..1]	Text		641
	BuildingNumber <BldgNb>	[0..1]	Text		641
	PostBox <PstBx>	[0..1]	Text		642
	PostCode <PstCd>	[0..1]	Text		642
	TownName <TwnNm>	[0..1]	Text		642
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		642
	Country <Ctry>	[1..1]	CodeSet	C2	642

9.4.4.2.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

9.4.4.2.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

9.4.4.2.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

9.4.4.2.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

9.4.4.2.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

9.4.4.2.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

9.4.4.2.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

9.4.4.2.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

9.4.4.2.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

9.4.4.2.2.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

9.4.4.2.2.2.3 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		643
	IdentificationType <IdTp>	[0..1]			643
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644

9.4.4.2.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

9.4.4.2.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		643
Or}	Proprietary <Prtry>	[1..1]	±		644

9.4.4.2.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

9.4.4.2.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

9.4.4.2.3 HoldingBalance <HldgBal>

Presence: [0..15]

Definition: Net position of a segregated holding of a single security within the overall position held in a securities account, for example, sub-balance per status.

HoldingBalance <HldgBal> contains the following **HoldingBalance9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Balance <Bal>	[1..1]	±		644
	BalanceType <BalTp>	[1..1]	CodeSet		645
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		645
	Date <Dt>	[0..1]	Date		646

9.4.4.2.3.1 Balance <Bal>

Presence: [1..1]

Definition: Total quantity of financial instrument for the referenced holding.

Balance <Bal> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.4.2.3.2 BalanceType <BalTp>*Presence:* [1..1]*Definition:* Reason a security is not available or additional information about the financial instrument for which the balance is given, for example, unregistered, registered in nominee name.*Datatype:* "SecuritiesEntryType2Code" on page 698

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

9.4.4.2.3.3 SafekeepingPlace <SfkpgPlc>*Presence:* [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

SafekeepingPlace <SfkpgPlc> contains one of the following elements (see "SafekeepingPlaceFormat28Choice" on page 682 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

9.4.4.2.3.4 Date <Dt>

Presence: [0..1]

Definition: Date of the entitlement.

Datatype: "ISODate" on page 702

9.4.4.2.4 RightsHolder <RghtsHldr>

Presence: [0..10]

Definition: Owner of the voting rights.

RightsHolder <RghtsHldr> contains one of the following **PartyIdentification227Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	LegalPerson <LglPrsn>	[1..1]			648
	NameAndAddress <NmAndAdr>	[1..1]			649
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652
	EmailAddress <EmailAdr>	[0..1]	Text		652
	Identification <Id>	[0..1]			652
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClnId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653
Or}	NaturalPerson <NtrlPrsn>	[1..*]			653
	NameAndAddress <NmAndAdr>	[1..1]			654
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <Snm>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657
	EmailAddress <EmailAdr>	[0..1]	Text		657
	Identification <Id>	[0..1]			657
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

9.4.4.2.4.1 LegalPerson <LglPrsn>

Presence: [1..1]

Definition: Legal entity.

LegalPerson <LglPrsn> contains the following **PartyIdentification224** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			649
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652
	EmailAddress <EmailAdr>	[0..1]	Text		652
	Identification <Id>	[0..1]			652
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClnId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653

9.4.4.2.4.1.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		650
	Address <Adr>	[0..1]			650
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652

9.4.4.2.4.1.1.1 Name <Nm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

9.4.4.2.4.1.1.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		651
	AddressLine <AdrLine>	[0..5]	Text		651
	StreetName <StrtNm>	[0..1]	Text		651
	BuildingNumber <BldgNb>	[0..1]	Text		651
	PostBox <PstBx>	[0..1]	Text		651
	PostCode <PstCd>	[0..1]	Text		651
	TownName <TwnNm>	[0..1]	Text		652
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		652
	Country <Ctry>	[1..1]	CodeSet	C2	652

9.4.4.2.4.1.1.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

9.4.4.2.4.1.1.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**9.4.4.2.4.1.1.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**9.4.4.2.4.1.1.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**9.4.4.2.4.1.1.2.5 PostBox <PstBx>***Presence:* [0..1]*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.*Datatype:* "Max16Text" on page 705**9.4.4.2.4.1.1.2.6 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705

9.4.4.2.4.1.1.2.7 TownName <TwnNm>*Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706**9.4.4.2.4.1.1.2.8 CountrySubDivision <CtrySubDvsn>***Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**9.4.4.2.4.1.1.2.9 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

9.4.4.2.4.1.2 EmailAddress <EmailAdr>*Presence:* [0..1]*Definition:* Address for electronic mail (e-mail).*Datatype:* "Max256Text" on page 706**9.4.4.2.4.1.3 Identification <Id>***Presence:* [0..1]*Definition:* Identification of the party.**Identification <Id>** contains one of the following **PartyIdentification198Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NationalRegistrationNumber <NtlRegnNb>	[1..1]	Text		652
Or	LEI <LEI>	[1..1]	IdentifierSet		653
Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	653
Or	ClientIdentification <ClnId>	[1..1]	Text		653
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		653

9.4.4.2.4.1.3.1 NationalRegistrationNumber <NtlRegnNb>*Presence:* [1..1]*Definition:* Number assigned by a national registration authority to an entity.

Datatype: "Max35Text" on page 706

9.4.4.2.4.1.3.2 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identifier of the party.

Datatype: "LEIIdentifier" on page 703

9.4.4.2.4.1.3.3 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

9.4.4.2.4.1.3.4 ClientIdentification <ClntId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the client's counterparty.

Datatype: "Max50Text" on page 706

9.4.4.2.4.1.3.5 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "GenericIdentification36" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

9.4.4.2.4.2 NaturalPerson <NtrIPrsn>

Presence: [1..*]

Definition: Private person.

NaturalPerson <NtrlPrsn> contains the following **PartyIdentification225** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NameAndAddress <NmAndAdr>	[1..1]			654
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <SrnM>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657
	EmailAddress <EmailAdr>	[0..1]	Text		657
	Identification <Id>	[0..1]			657
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

9.4.4.2.4.2.1 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of the party.

NameAndAddress <NmAndAdr> contains the following **PersonName1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FirstName <FrstNm>	[1..1]	Text		655
	Surname <SrnM>	[1..1]	Text		655
	Address <Adr>	[0..1]			655
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657

9.4.4.2.4.2.1.1 **FirstName** <FrstNm>

Presence: [1..1]

Definition: First name(s) by which a natural person is known.

Datatype: "Max350Text" on page 706

9.4.4.2.4.2.1.2 **Surname** <SrnM>

Presence: [1..1]

Definition: Name (s) by which a natural person is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

9.4.4.2.4.2.1.3 **Address** <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following **PostalAddress26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		656
	AddressLine <AdrLine>	[0..5]	Text		656
	StreetName <StrtNm>	[0..1]	Text		656
	BuildingNumber <BldgNb>	[0..1]	Text		656
	PostBox <PstBx>	[0..1]	Text		657
	PostCode <PstCd>	[0..1]	Text		657
	TownName <TwnNm>	[0..1]	Text		657
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		657
	Country <Ctry>	[1..1]	CodeSet	C2	657

9.4.4.2.4.2.1.3.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

9.4.4.2.4.2.1.3.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 707

9.4.4.2.4.2.1.3.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 707

9.4.4.2.4.2.1.3.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 705

9.4.4.2.4.2.1.3.5 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 705

9.4.4.2.4.2.1.3.6 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 705

9.4.4.2.4.2.1.3.7 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 706

9.4.4.2.4.2.1.3.8 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 706

9.4.4.2.4.2.1.3.9 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

9.4.4.2.4.2.2 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

9.4.4.2.4.2.3 Identification <Id>

Presence: [0..1]

Definition: Natural person local identification and type.

Identification <Id> contains the following **NaturalPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		658
	IdentificationType <IdTp>	[0..1]			658
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

9.4.4.2.4.2.3.1 Identification <Id>

Presence: [1..1]

Definition: Natural person local identification.

Datatype: "Max35Text" on page 706

9.4.4.2.4.2.3.2 IdentificationType <IdTp>

Presence: [0..1]

Definition: Type of local identification for a natural person.

IdentificationType <IdTp> contains one of the following **IdentificationType45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		658
Or}	Proprietary <Prtry>	[1..1]	±		659

9.4.4.2.4.2.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification expressed as a code.

Datatype: "TypeOfIdentification4Code" on page 699

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.

CodeName	Name	Definition
CCPT	PassportNumber	Number assigned by a passport authority.
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

9.4.4.2.4.2.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

9.4.5 VoteResult <VoteRslt>

Presence: [1..1000]

Definition: Results per resolution.

VoteResult <VoteRslt> contains the following **Vote12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IssuerLabel <IssrLbl>	[1..1]	Text		660
	ResolutionStatus <RsltnSts>	[1..1]	CodeSet		660
	For <For>	[0..1]	±		661
	Against <Agnst>	[0..1]	±		661
	Abstain <Abstn>	[0..1]	±		661
	Withhold <Wthhld>	[0..1]	±		661
	WithManagement <WthMgmt>	[0..1]	±		662
	AgainstManagement <AgnstMgmt>	[0..1]	±		662
	Discretionary <Dscrtnry>	[0..1]	±		662
	OneYear <OneYr>	[0..1]	±		662
	TwoYears <TwoYrs>	[0..1]	±		663
	ThreeYears <ThreeYrs>	[0..1]	±		663
	NoAction <NoActn>	[0..1]	±		663
	Blank <Blnk>	[0..1]	±		663
	Proprietary <Prtry>	[0..1]			664
	Code <Cd>	[1..1]	±		664
	Quantity <Qty>	[1..1]	±		664

9.4.5.1 IssuerLabel <IssrLbl>

Presence: [1..1]

Definition: Number of the resolution as specified by the issuer or its agent.

Datatype: "Max35Text" on page 706

9.4.5.2 ResolutionStatus <RsltnSts>

Presence: [1..1]

Definition: Acceptance status of the resolution.

Datatype: "ResolutionStatus2Code" on page 697

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

9.4.5.3 For <For>

Presence: [0..1]

Definition: Number of votes in favour of one resolution.

For <For> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.4 Against <Agnst>

Presence: [0..1]

Definition: Number of votes against one resolution.

Against <Agnst> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.5 Abstain <Abstn>

Presence: [0..1]

Definition: Number of abstention votes.

Abstain <Abstn> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.6 Withhold <Wthhld>

Presence: [0..1]

Definition: Total votes withheld, for example, in the case where a shareholder wishes not to endorse the election of a board member.

Withhold <Wthhld> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.7 WithManagement <WthMgmt>

Presence: [0..1]

Definition: Number of votes in line with the votes of the management.

WithManagement <WthMgmt> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.8 AgainstManagement <AgnstMgmt>

Presence: [0..1]

Definition: Number of votes against the voting recommendation of the management.

AgainstManagement <AgnstMgmt> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.9 Discretionary <Dscrtnry>

Presence: [0..1]

Definition: Number of votes for which the decision is left to the party that will exercise the voting right.

Discretionary <Dscrtnry> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.10 OneYear <OneYr>

Presence: [0..1]

Definition: Number of votes in favour for one year for "say on pay" type of resolution.

OneYear <OneYr> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.11 TwoYears <TwoYrs>

Presence: [0..1]

Definition: Number of votes in favour of two years for "say on pay" type of resolution.

TwoYears <TwoYrs> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.12 ThreeYears <ThreeYrs>

Presence: [0..1]

Definition: Number of votes in favour of three years for "say on pay" type of resolution.

ThreeYears <ThreeYrs> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.13 NoAction <NoActn>

Presence: [0..1]

Definition: Number of votes for which no action has been taken.

NoAction <NoActn> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.14 Blank <Blnk>

Presence: [0..1]

Definition: Vote is cast as empty but the vote is counted.

Blank <Blnk> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.5.15 Proprietary <Prtry>

Presence: [0..1]

Definition: Other type of vote expressed as a proprietary code.

Proprietary <Prtry> contains the following **ProprietaryVote2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Code <Cd>	[1..1]	±		664
	Quantity <Qty>	[1..1]	±		664

9.4.5.15.1 Code <Cd>

Presence: [1..1]

Definition: Other type of vote expressed as a proprietary code.

Code <Cd> contains the following elements (see "[GenericIdentification30](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

9.4.5.15.2 Quantity <Qty>

Presence: [1..1]

Definition: Quantity of securities voted.

Quantity <Qty> contains one of the following elements (see "[FinancialInstrumentQuantity18Choice](#)" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.6 Participation <Prtcptn>

Presence: [0..1]

Definition: Information about the participation to the voting process.

Participation <Prctptn> contains the following **Participation5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	TotalNumberOfVotingRights <TtlNbOfVtngRghts>	[0..1]	Quantity		665
	PercentageOfVotingRights <PctgOfVtngRghts>	[0..1]	Rate		665
	TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>	[0..1]	±		665
	CalculationDate <ClctnDt>	[0..1]	Date		665

9.4.6.1 TotalNumberOfVotingRights <TtlNbOfVtngRghts>

Presence: [0..1]

Definition: Number of rights admitted to the vote.

Datatype: "Number" on page 704

9.4.6.2 PercentageOfVotingRights <PctgOfVtngRghts>

Presence: [0..1]

Definition: Percentage of rights participating to the vote versus total voting rights.

Datatype: "PercentageRate" on page 704

9.4.6.3 TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng>

Presence: [0..1]

Definition: Number of securities admitted to the vote, expressed as a number of units or a face amount.

TotalNumberOfSecuritiesOutstanding <TtlNbOfSctiesOutsdng> contains one of the following elements (see "FinancialInstrumentQuantity18Choice" on page 685 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

9.4.6.4 CalculationDate <ClctnDt>

Presence: [0..1]

Definition: Date of calculation of the total number of outstanding securities.

Datatype: "ISODate" on page 702

9.4.7 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Information on where additional information can be received.

AdditionalInformation <AddtlInf> contains the following **CommunicationAddress11** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EmailAddress <EmailAdr>	[0..1]	Text		666
	URLAddress <URLAdr>	[0..1]	Text		666

9.4.7.1 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

9.4.7.2 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example used over the www (HTTP) service.

Datatype: "Max2048Text" on page 705

9.4.8 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured fields and/or any other specific block.

Impacted by: C11 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 674 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10 Message Items Types

10.1 MessageComponents

10.1.1 Cancellation Right

10.1.1.1 DateFormat3Choice

Definition: Choice between the formats to express a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateCode <DtCd>	[1..1]	CodeSet		667

10.1.1.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 702

10.1.1.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Indicates that date is unknown.

Datatype: "DateType1Code" on page 689

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

10.1.2 Date Time

10.1.2.1 DateAndDateTime1Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		667
Or}	DateTime <DtTm>	[1..1]	DateTime		668

10.1.2.1.1 Date <Dt>

Presence: [1..1]

Definition: Numeric representation of the day of the month and year.

Datatype: "ISODate" on page 702

10.1.2.1.2 DateTime <DtTm>*Presence:* [1..1]*Definition:* Numeric representation of time of the day and the day of the month and year.*Datatype:* "ISODatetime" on page 702**10.1.2.2 DateAndDateTime2Choice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		668
Or}	DateTime <DtTm>	[1..1]	DateTime		668

10.1.2.2.1 Date <Dt>*Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 702**10.1.2.2.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODatetime" on page 702**10.1.3 Financial Instrument****10.1.3.1 SecurityIdentification19***Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		669
	OtherIdentification <OthrId>	[0..*]			669
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670
	Description <Desc>	[0..1]	Text		670

Constraints

- DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present

```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

10.1.3.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 703

10.1.3.1.2 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	Suffix <Sfx>	[0..1]	Text		670
	Type <Tp>	[1..1]	±		670

10.1.3.1.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification of a security.*Datatype:* "Max35Text" on page 706**10.1.3.1.2.2 Suffix <Sfx>***Presence:* [0..1]*Definition:* Identifies the suffix of the security identification.*Datatype:* "Max16Text" on page 705**10.1.3.1.2.3 Type <Tp>***Presence:* [1..1]*Definition:* Type of the identification.**Type <Tp>** contains one of the following elements (see "IdentificationSource3Choice" on page 673 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		673
Or}	Proprietary <Prtry>	[1..1]	Text		673

10.1.3.1.3 Description <Desc>*Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* "Max140Text" on page 705**10.1.4 Identification Information****10.1.4.1 GenericIdentification13***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

10.1.4.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Identification assigned by an institution.*Datatype:* "Max4AlphaNumericText" on page 706

10.1.4.1.2 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Name of the identification scheme.*Datatype:* "Max35Text" on page 706**10.1.4.1.3 Issuer <Issr>***Presence:* [1..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 706**10.1.4.2 GenericIdentification78***Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		671
	Identification <Id>	[0..1]	Text		671

10.1.4.2.1 Type <Tp>*Presence:* [1..1]*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

10.1.4.2.2 Identification <Id>*Presence:* [0..1]*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.*Datatype:* "Max35Text" on page 706**10.1.4.3 GenericIdentification30***Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		672

10.1.4.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 704

10.1.4.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 706

10.1.4.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 706

10.1.4.4 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

10.1.4.4.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Max35Text" on page 706

10.1.4.4.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 706

10.1.4.4.3 SchemeName <SchmeNm>*Presence:* [0..1]*Definition:* Short textual description of the scheme.*Datatype:* "Max35Text" on page 706**10.1.4.5 IdentificationSource3Choice***Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		673
Or}	Proprietary <Prtry>	[1..1]	Text		673

10.1.4.5.1 Code <Cd>*Presence:* [1..1]*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 690**10.1.4.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.*Datatype:* "Max35Text" on page 706**10.1.5 Miscellaneous****10.1.5.1 AdditionalRightCode1Choice***Definition:* Choice of additional right code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		673
Or}	Proprietary <Prtry>	[1..1]	±		674

10.1.5.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Identifies the additional right code.*Datatype:* "AdditionalRight1Code" on page 687

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

10.1.5.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* This code can be used in case another reason is required.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 670 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		670
	SchemeName <SchmeNm>	[0..1]	Text		671
	Issuer <Issr>	[1..1]	Text		671

10.1.5.2 SupplementaryData1*Definition:* Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		674
	Envelope <Envlp>	[1..1]	(External Schema)		674

Constraints

- SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

10.1.5.2.1 PlaceAndName <PlcAndNm>*Presence:* [0..1]*Definition:* Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "[Max350Text](#)" on page 706**10.1.5.2.2 Envelope <Envlp>***Presence:* [1..1]*Definition:* Technical element wrapping the supplementary data.*Type:* (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

10.1.6 Party Identification

10.1.6.1 PartyIdentification129Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C1	675
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		675
Or	NameAndAddress <NmAndAdr>	[1..1]	±		675
Or}	LEI <LEI>	[1..1]	IdentifierSet		676

10.1.6.1.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

10.1.6.1.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 672 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		672
	Issuer <Issr>	[1..1]	Text		672
	SchemeName <SchmeNm>	[0..1]	Text		673

10.1.6.1.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see ["NameAndAddress5"](#) on page 681 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		681
	Address <Adr>	[0..1]	±		681

10.1.6.1.4 LEI <LEI>

Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: ["LEIIdentifier"](#) on page 703

10.1.7 Person Identification

10.1.7.1 ContactIdentification1

Definition: Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		676
	NamePrefix <NmPrfx>	[0..1]	CodeSet		676
	GivenName <GvnNm>	[0..1]	Text		677
	Role <Role>	[0..1]	Text		677
	PhoneNumber <PhneNb>	[0..1]	Text		677
	FaxNumber <FaxNb>	[0..1]	Text		677
	EmailAddress <EmailAdr>	[0..1]	Text		677

10.1.7.1.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["Max35Text"](#) on page 706

10.1.7.1.2 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: ["NamePrefix1Code"](#) on page 692

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.

CodeName	Name	Definition
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

10.1.7.1.3 GivenName <GvnNm>

Presence: [0..1]

Definition: First name of a person.

Datatype: "Max35Text" on page 706

10.1.7.1.4 Role <Role>

Presence: [0..1]

Definition: Role of the party in the activity.

Datatype: "Max35Text" on page 706

10.1.7.1.5 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 707

10.1.7.1.6 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 707

10.1.7.1.7 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 706

10.1.8 Postal Address

10.1.8.1 LongPostalAddress2Choice

Definition: Information that locates and identifies a specific address, as defined by postal services either in a structured or unstructured way.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		678
Or}	Structured <Strd>	[1..1]	±		678

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

10.1.8.1.1 Unstructured <Ustrd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max350Text" on page 706

10.1.8.1.2 Structured <Strd>

Presence: [1..1]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in a formal structure.

Structured <Strd> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

10.1.8.2 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

10.1.8.2.1 AddressType <AdrTp>*Presence:* [0..1]*Definition:* Identifies the nature of the postal address.*Datatype:* "AddressType2Code" on page 687

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

10.1.8.2.2 AddressLine <AdrLine>*Presence:* [0..5]*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.*Datatype:* "Max70Text" on page 707**10.1.8.2.3 StreetName <StrtNm>***Presence:* [0..1]*Definition:* Name of a street or thoroughfare.*Datatype:* "Max70Text" on page 707**10.1.8.2.4 BuildingNumber <BldgNb>***Presence:* [0..1]*Definition:* Number that identifies the position of a building on a street.*Datatype:* "Max16Text" on page 705**10.1.8.2.5 PostCode <PstCd>***Presence:* [0..1]*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.*Datatype:* "Max16Text" on page 705**10.1.8.2.6 TownName <TwnNm>***Presence:* [0..1]*Definition:* Name of a built-up area, with defined boundaries, and a local government.*Datatype:* "Max35Text" on page 706

10.1.8.2.7 CountrySubDivision <CtrySubDvsn>*Presence:* [0..1]*Definition:* Identifies a subdivision of a country for example, state, region, county.*Datatype:* "Max35Text" on page 706**10.1.8.2.8 Country <Ctry>***Presence:* [1..1]*Definition:* Nation with its own government.*Impacted by:* C2 "Country"*Datatype:* "CountryCode" on page 688**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

10.1.8.3 NameAndAddress9*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		680
	Address <Adr>	[0..1]	±	C14	680

10.1.8.3.1 Name <Nm>*Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max350Text" on page 706**10.1.8.3.2 Address <Adr>***Presence:* [0..1]*Definition:* Postal address of a party.*Impacted by:* C14 "StructuredOrUnstructured1Guideline"

Address <Adr> contains one of the following elements (see "LongPostalAddress2Choice" on page 677 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unstructured <Ustrd>	[1..1]	Text		678
Or}	Structured <Strd>	[1..1]	±		678

Constraints

- **StructuredOrUnstructured1Guideline**

It is recommended that Structured be used.

10.1.8.4 NameAndAddress5

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		681
	Address <Adr>	[0..1]	±		681

10.1.8.4.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 706

10.1.8.4.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 678 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		679
	AddressLine <AdrLine>	[0..5]	Text		679
	StreetName <StrtNm>	[0..1]	Text		679
	BuildingNumber <BldgNb>	[0..1]	Text		679
	PostCode <PstCd>	[0..1]	Text		679
	TownName <TwnNm>	[0..1]	Text		679
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		680
	Country <Ctry>	[1..1]	CodeSet	C2	680

10.1.9 Price**10.1.9.1 PriceRateOrAmount3Choice**

Definition: Choice of formats for the price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		682
Or}	Amount <Amt>	[1..1]	Amount	C1	682

10.1.9.1.1 Rate <Rate>

Presence: [1..1]

Definition: Price expressed as a rate, that is percentage.

Datatype: "PercentageRate" on page 704

10.1.9.1.2 Amount <Amt>

Presence: [1..1]

Definition: Price expressed as a currency and value.

Impacted by: C1 "ActiveOrHistoricCurrency"

Datatype: "ActiveOrHistoricCurrencyAnd13DecimalAmount" on page 685

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

10.1.10 Safekeeping Place

10.1.10.1 SafekeepingPlaceFormat28Choice

Definition: Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			682
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683
Or	Country <Ctry>	[1..1]	CodeSet	C2	683
Or	TypeAndIdentification <TpAndId>	[1..1]			683
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684
Or}	Proprietary <Prtry>	[1..1]	±		684

10.1.10.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		683
	Identification <Id>	[0..1]	Text		683

10.1.10.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace2Code" on page 698

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

10.1.10.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 706

10.1.10.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C2 "Country"

Datatype: "CountryCode" on page 688

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

10.1.10.1.3 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		684
	Identification <Id>	[1..1]	IdentifierSet	C1	684

10.1.10.1.3.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 697

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

10.1.10.1.3.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C1 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 702

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

10.1.10.1.4 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification78](#)" on page 671 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		671
	Identification <Id>	[0..1]	Text		671

10.1.11 Securities Quantity

10.1.11.1 FinancialInstrumentQuantity18Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		685
Or}	FaceAmount <FaceAmt>	[1..1]	Amount		685

10.1.11.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "[DecimalNumber](#)" on page 704

10.1.11.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "[ImpliedCurrencyAndAmount](#)" on page 686

10.2 Message Datatypes

10.2.1 Amount

10.2.1.1 ActiveOrHistoricCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active or a historic currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	" ActiveOrHistoricCurrencyCode " on page 686

Format

minInclusive	0
totalDigits	18
fractionDigits	13

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

10.2.1.2 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

10.2.2 CodeSet**10.2.2.1 ActiveOrHistoricCurrencyCode**

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
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Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

10.2.2.2 AdditionalRight1Code

Definition: Define specific rights that the shareholder has (for example, the right to ask questions, the right to add items to the agenda or table draft resolutions).

Type: CodeSet

CodeName	Name	Definition
WQPS	WrittenQuestionProposal	Defines a written question proposal.
RSPS	ResolutionProposal	Defines a resolution proposal.
AIPS	AgendaItemProposal	Defines a resolution proposal.

10.2.2.3 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

10.2.2.4 AgentRole1Code

Definition: Specifies the role of the Issuer agent.

Type: CodeSet

CodeName	Name	Definition
PRIN	PrincipalAgent	Identification of the agent acting as main agent.
SUBA	SubAgent	Identification of the agent acting as co-domicile or sub agent.

10.2.2.5 AttendanceAdmissionConditions1Code

Definition: Specifies conditions for physical admittance to general meetings.

Type: CodeSet

CodeName	Name	Definition
MASH	AllowedForShareholder	Any attendee must be a shareholder themselves.

CodeName	Name	Definition
MAPO	AllowedOnBehalf	Attendees acting on behalf of registered holder must have a valid power of attorney (POA) and/or proof of holding.
MAAL	AllowedWithAttendeeList	Attendees will be placed on a attendee list and must bring photographic identification with them.
MALR	AllowedWithLetterOfRepresentation	Attendees will be placed on a attendee list or issued with a letter of representation, and must bring photographic identification with them.
MAHI	AllowedWithProof	Attendees must request proof of holding and register for attendance directly with Issuer.
MATK	AllowedWithTicket	Attendees will be issued an admission ticket and must bring photographic identification with them.
MANP	NotPossible	Meeting attendance is not possible.

10.2.2.6 CancellationStatus6Code

Definition: Specifies the cancellation status.

Type: CodeSet

CodeName	Name	Definition
PACK	Accepted	Cancellation instruction / request has been received and has been acknowledged / accepted for further processing.
CAND	Complete	Cancellation instruction / request has been accepted and processed, the cancellation is complete.
RCIS	ReceivedByIssuerOrRegistrar	Cancellation instruction / request has been received by issuer or registrar.

10.2.2.7 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

10.2.2.8 DateMode1Code

Definition: Specifies, for a date, when the event or operation is to take place, for example at the beginning or end of the day.

Type: CodeSet

CodeName	Name	Definition
BODY	BODY	Beginning of day.
EODY	EODY	End of day.

10.2.2.9 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

10.2.2.10 DeliveryPlace1Code

Definition: Specifies where the attendance card should be delivered.

Type: CodeSet

CodeName	Name	Definition
EMPL	Employer	Card needs to be mailed to employers address.
INDI	Individual	Card needs to be mailed to individual specified in PersonDetail.
ENTR	EntranceOfMeeting	Card needs to be available at the entrance of the meeting.
OADR	OtherAddress	Cards needs to be send to other specified address.

10.2.2.11 EventCompletenessStatus1Code

Definition: Indicates whether the details provided about an event are complete or incomplete.

Type: CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

10.2.2.12 EventConfirmationStatus1Code

Definition: Indicates the status of the occurrence of an event.

Type: CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

10.2.2.13 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

10.2.2.14 MeetingCancellationReason2Code

Definition: Specifies the reason for cancelling a meeting.

Type: CodeSet

CodeName	Name	Definition
QORM	Quorum	Cancellation due to insufficient participation to the vote.
PROC	Processing	Cancellation due to a processing error.
WITH	Withdrawal	Cancellation due to the meeting being cancelled by the issuer.

10.2.2.15 MeetingDateStatus2Code

Definition: Specifies a status for a meeting date.

Type: CodeSet

CodeName	Name	Definition
CNFR	Confirmed	Meeting date is confirmed.
TNTA	Tentative	Meeting date is tentative.

10.2.2.16 MeetingType4Code

Definition: Specifies a type of security holders meeting.

Type: CodeSet

CodeName	Name	Definition
XMET	Extraordinary	Meeting that takes place as needed, in addition to the general meetings, is extraordinary as per the bylaws. The resolutions are related to the unusual business of the company, for example, approval of takeovers or mergers or spin-offs. These meetings are always issuer initiated.
GMET	General	Includes annual and ordinary meetings. Statutory meeting(s) usually held at least once a year. The resolutions are related to the usual business of the company, for example, approval of dividends and directors. These meetings are always issuer initiated.
MIXD	Mixed	Meeting which contains both ordinary and extraordinary resolutions.
SPCL	Special	Meeting that takes place as needed that is neither ordinary nor extraordinary.
BMET	BondHolderMeeting	Physical meeting of bond holders.
CMET	CourtMeeting	Meeting is the result of a legal proceeding.

10.2.2.17 MeetingTypeClassification2Code

Definition: Classifies the meeting type.

Type: CodeSet

CodeName	Name	Definition
AMET	Annual	Meeting held periodically to approve the financial statements and to elect the board members and the auditors.
CLAS	Class	Meeting for holders of a specific type of asset, for example, preferred shares, bonds.
ISSU	IssuerInitiated	Meeting is the result of an obligation or a decision made by the issuer as opposed to voting rights holder initiated, for example, contested meeting.
OMET	Ordinary	Meeting which is planned in the by-laws.
VRHI	VotingRightsHolderInitiated	Meeting is the result of a request or an action of a voting right holder(s), for example, contested meeting.

10.2.2.18 ModalityOfCounting1Code

Definition: Specifies the modality through which the votes that have been recorded and counted.

Type: CodeSet

CodeName	Name	Definition
EVAM	ElectronicAtMeeting	Electronic vote recorded and counted at the meeting.
EVBM	ElectronicBefore	Electronic vote recorded and counted ahead of the meeting.
PVBM	PaperBefore	Paper vote recorded and counted ahead of the meeting.
PVAM	PhysicalAtMeeting	Physical attendance with vote at the meeting.

10.2.2.19 NamePrefix1Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

10.2.2.20 NoReasonCode

Definition: Specifies that there is no reason available.

Type: CodeSet

CodeName	Name	Definition
NORE	NoReason	No reason to report or no reason available to report.

10.2.2.21 NotificationType2Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.

10.2.2.22 NotificationType3Code

Definition: Specifies a type of notification.

Type: CodeSet

CodeName	Name	Definition
NEWM	New	New notification.

CodeName	Name	Definition
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

10.2.2.23 PendingCancellationReason6Code

Definition: Specifies a reason why the cancellation request has a pending status.

Type: CodeSet

CodeName	Name	Definition
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.

10.2.2.24 PendingReason16Code

Definition: Specifies a reason why the instruction is pending.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Instruction was received after the account servicer's deadline. Processed on best effort basis.
ADDM	AdditionalDocumentationMissing	Additional documents requested missing.
DQUA	QuantityDisagreement	Quantity of financial instruments does not match.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
FULL	PendingBeneficiaryOwnerDetails	Pending receipt of beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
LACK	LackOfSecurities	Insufficient financial instruments in your account.
LATE	MarketDeadlineMissed	Instruction was received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.
IREG	RegistrationDiscrepancy	Invalid registration discrepancy.
OTHR	Other	Other. See Narrative.
PRXY	ProxyCardDiscrepancy	Invalid Proxy Information.

CodeName	Name	Definition
PENR	PendingReceipt	The instruction is pending receipt of securities, for example, from a purchase or loan.

10.2.2.25 PlaceType1Code

Definition: Type of date.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	The type of place is unknown.

10.2.2.26 PowerOfAttorneyLegalisation1Code

Definition: Indicates the legal constraint on the Power of Attorney.

Type: CodeSet

CodeName	Name	Definition
NOTA	Notary	Indicates that the power of attorney must be legalised by a notary.
LOCA	LocalAuthority	Indicates that the power of attorney must be legalised by a local authority.
APOS	Apostilled	Indicates that the power of attorney must be legalised by a legal officer.
COUN	Consularized	Indicates that the power of attorney must be legalised by a consular officer.

10.2.2.27 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

10.2.2.28 ProxyNotAllowed1Code

Definition: Indicates that the assignment of a proxy is not allowed for the meeting.

Type: CodeSet

CodeName	Name	Definition
NPRO	NotAllowed	Proxy not allowed.

10.2.2.29 ProxyType2Code

Definition: Specifies the type of proxy person.

Type: CodeSet

CodeName	Name	Definition
CHRM	Chairman	Chairman of the meeting is the proxy.
DISC	Discretionary	Any type of proxy is allowed.
HLDR	SecurityHolder	Proxy can only be a security holder.

10.2.2.30 Quantity1Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

10.2.2.31 RejectionReason50Code

Definition: Specifies a reason why the instruction has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
ADDM	AdditionalDocumentationMissing	Requested additional documentation is missing.
MCAN	EventCancelled	Event has been cancelled.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DREM	DeadlineToRegisterMissed	Deadline to register is missed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
FULL	MissingBeneficiaryOwnerDetails	Rejected due to missing beneficiary owner details.
IPOA	MissingOrInvalidPOA	Missing or invalid power of attorney.
IPOS	InsufficientThresholdPosition	Position is less than required threshold.
IREG	RegistrationDiscrepancy	Invalid registration information.
LATE	MarketDeadlineMissed	Received after market deadline.
NPOS	NoRegisteredPosition	Insufficient or no registered position.

CodeName	Name	Definition
OTHR	Other	Other. See Narrative.
PART	PartialVoteNotAllowed	Partial voting is not allowed.
PRXY	ProxyCardDiscrepancy	Invalid proxy information.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
RESN	ResolutionNumberDiscrepancy	Resolution number provided discrepancy.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
SPLT	SplitVoteNotAllowed	Split voting is not allowed.
ULNK	InvalidReference	Linked reference is unknown.
OPTY	InvalidOptionType	Invalid option type.
LACK	LackofSecurities	Instructed position exceeds the eligible balance.

10.2.2.32 RejectionReason51Code

Definition: Specifies a reason why the instruction/cancellation request has a rejected status.

Type: CodeSet

CodeName	Name	Definition
ADEA	AccountServicerDeadlineMissed	Transaction/instruction received after the account servicer's specified deadline.
DQUA	QuantityRejection	Unrecognised or invalid instructed quantity.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.
DSEC	InvalidSecurity	Unrecognised or invalid financial instrument identification.
EVNM	UnrecognisedIdentification	Unrecognised event number.
INIR	InstructionIrrevocable	Instruction is irrevocable.
LATE	MarketDeadlineMissed	Received after market deadline.
OTHR	Other	Other. See Narrative.
RBIS	RejectedByIssuerOrRegistrar	Issuer or registrar has rejected the instruction.
SAFE	SafekeepingAccountRejection	Unrecognised or invalid message sender's safekeeping account.
ULNK	InvalidReference	Linked reference is unknown.

10.2.2.33 ResolutionStatus1Code

Definition: Specifies whether a resolution in an agenda is still active or has been deleted.

Type: CodeSet

CodeName	Name	Definition
ACTV	Active	Meeting resolution has to be voted for by the participants to a general meeting.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

10.2.2.34 ResolutionStatus2Code

Definition: Specifies the status of a resolution in a meeting agenda.

Type: CodeSet

CodeName	Name	Definition
ACPT	Accepted	Meeting resolution has been accepted.
REJT	Rejected	Meeting resolution has been rejected.
WDRA	Withdrawn	Meeting resolution has been withdrawn.

10.2.2.35 ResolutionType2Code

Definition: Specifies the type of resolution.

Type: CodeSet

CodeName	Name	Definition
EXTR	Extraordinary	Meeting resolution is extraordinary and may be subject to specific voting requirements.
SPCL	Special	Resolution that is neither ordinary nor extraordinary (eg. decision on an investment strategy).

10.2.2.36 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

10.2.2.37 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

10.2.2.38 SecuritiesEntryType2Code

Definition: Specifies the type of securities entry on an account.

Type: CodeSet

CodeName	Name	Definition
BLOK	Blocked	Balance of securities that are blocked or frozen. A financial instrument may be unavailable due to external circumstances such as a court order, death of beneficiary or account owner, or bankruptcy.
ELIG	Eligible	Total number of securities eligible for this event.
PEND	PendingDelivery	Balance of securities that are pending delivery.
PENR	PendingReceipt	Balance of securities that are pending receipt.
NOMI	Registered	Balance of securities that are registered (in the name of a nominee or of the beneficial owner).
SETD	Settled	Balance of securities representing only settled transactions.
BORR	Borrowed	Balance of securities that have been borrowed from another party.
LOAN	OnLoan	Balance of securities that have been loaned to a third party.
SPOS	StreetPosition	Balance of securities that remain registered in the name of the prior beneficial owner of securities.
TRAD	Traded	Balance of securities representing traded transactions.
COLI	CollateralIn	Balance of securities that belong to a third party and that are held for the purpose of collateralisation.
COLO	CollateralOut	Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

CodeName	Name	Definition
UNBA	UninstructedBalance	Balance of uninstructed position.
INBA	InstructedBalance	Balance of instructed position.
REGO	OutForRegistration	Balance of securities currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of securities after a transaction has settled.

10.2.2.39 Status8Code

Definition: Specifies a status of an instruction/vote.

Type: CodeSet

CodeName	Name	Definition
CSUB	CancelledBySubcustodian	Instruction has been cancelled by the agent, for example, due to an event deadline extension.
PACK	Accepted	Instruction has been accepted and is validated for further processing.
CAND	Cancelled	Instruction has been cancelled.
RCIS	ReceivedByIssuerOrRegistrar	Instruction has been received by Issuer.
STIN	StandingInstruction	Standing instruction is applied.
FRWD	Forwarded	Accepted and sent along the chain.

10.2.2.40 TypeOfIdentification4Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CUST	Concat	Number assigned by an issuer to identify a customer via the concatenation of the birthdate and characters of the first name and surname.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
IDCD	IdentityCardNumber	Number assigned by a national authority to an identity card.
NRIN	NationalRegistrationIdentificationNumber	National registration identification number. In Singapore this is known as the NRIC.
CCPT	PassportNumber	Number assigned by a passport authority.

CodeName	Name	Definition
SOCS	SocialSecurityNumber	Number assigned by a social security agency.
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

10.2.2.41 VoteInstruction5Code

Definition: Specifies a type of voting instruction that can be part of a recommendation to vote.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
BLNK	Blank	Vote is cast as empty but the vote is counted.
NREC	NoRecommendation	No recommendation provided for the vote instruction type.

10.2.2.42 VoteInstruction6Code

Definition: Specifies a type of voting instructions.

Type: CodeSet

CodeName	Name	Definition
ABST	Abstain	Active vote expressed as abstain (no opinion).
CAGS	Against	Vote against a meeting resolution.
AMGT	AgainstManagement	Vote instruction is in against the voting recommendations of the management.
BLNK	Blank	Vote is cast as empty but the vote is counted.

CodeName	Name	Definition
CHRM	Chairman	Rights holder gives authority to the chairman to vote on his behalf.
DISC	Discretionary	Voting party is assigned discretionary right to vote for one resolution.
CFOR	For	Vote in favour of a meeting resolution.
NOAC	NoAction	Do not vote.
ONEY	OneYear	Vote in favour for one year for "say on pay" type of resolution.
THRY	ThreeYears	Vote in favour for three years for "say on pay" type of resolution.
TWOY	TwoYears	Vote in favour for two years for "say on pay" type of resolution.
WTHH	Withhold	Participant to a meeting withholds its vote for a meeting resolution. This action is usually taken in order to indicate a strong disagreement with a resolution (US market).
WMGT	WithManagement	Vote instruction is in line with the voting recommendations of the management.

10.2.2.43 VoteType1Code

Definition: Specifies the impact of a vote on an agenda resolution in general meetings.

Type: CodeSet

CodeName	Name	Definition
ADVI	Advisory	Results of a vote on a proposal is for advice only.
BNDG	Binding	Results of a vote on a proposal is binding.

10.2.2.44 VotingParticipationMethod1Code

Definition: Specifies a method for participating to the voting of agenda resolutions in a general meeting.

Type: CodeSet

CodeName	Name	Definition
MAIL	Correspondence	Vote participation is via documents sent through physical post / mail.
PHYS	InPerson	Vote participation is through physical attendance.
PHNV	NotVoting	Participation to the meeting is in person but the person is not voting.
PRXY	Proxy	Vote participation is through a proxy person.
VIRT	Virtual	Vote participation is through virtual meeting attendance method like

CodeName	Name	Definition
		multimedia and web based video or audio conferencing.
EVOT	ElectronicVoting	Vote participation is through electronic means like email or dedicated standards messaging or web based voting applications.

10.2.3 Date

10.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

10.2.4 DateTime

10.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

10.2.5 IdentifierSet

10.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

10.2.5.2 ISINOct2015Identifier

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

10.2.5.3 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

10.2.5.4 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

10.2.6 Indicator**10.2.6.1 YesNoIndicator**

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

10.2.7 Quantity

10.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

10.2.7.2 Number

Definition: Number of objects represented as an integer.

Type: Quantity

Format

totalDigits	18
fractionDigits	0

10.2.8 Rate

10.2.8.1 PercentageRate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

Type: Rate

Format

totalDigits	11
fractionDigits	10
baseValue	100.0

10.2.9 Text

10.2.9.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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10.2.9.2 Max1025Text

Definition: Specifies a character string with a maximum length of 1025 characters.

Type: Text

Format

minLength	1
maxLength	1025

10.2.9.3 Max105Text

Definition: Specifies a character string with a maximum length of 105 characters.

Type: Text

Format

minLength	1
maxLength	105

10.2.9.4 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

10.2.9.5 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

10.2.9.6 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

10.2.9.7 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

10.2.9.8 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

10.2.9.9 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

10.2.9.10 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

10.2.9.11 Max50Text

Definition: Specifies a character string with a maximum length of 50 characters.

Type: Text

Format

minLength	1
maxLength	50

10.2.9.12 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

10.2.9.13 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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