

ISO 20022

Cash Obligation Management

Message Definition Report - Part 2

Approved by the Payments SEG on 25 September 2026.

This document provides details of the Message Definitions for Cash Obligation Management.

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1 Message Set Overview

Introduction

The cash obligation management message definitions are used to manage participant net cash obligation and to notify the Payment Provider of the actual net cash obligation to be paid or received by the system participant (i.e. a CHES Participant) through the Payment Facility (each participant has defined a payment facility with a Payment Provider that will be used for settlement) on the settlement day (see BJ #138).

1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
camt.089.001.02 CashObligationReportV02	The CashObligationReport message is sent by the settlement system to a settlement member to report on the projected or actual cash settlement obligations that will be submitted for settlement. The message definition is intended for use with the ISO20022 Business Application Header.
camt.090.001.02 CashObligationStatusAdviceV02	The CashObligationReportStatusAdvice message is sent by the settlement member to the settlement system to provide the settlement status to accept or reject the cash obligation report on the projected or actual cash settlement obligations that will be submitted for settlement. The message definition is intended for use with the ISO20022 Business Application Header.
camt.091.001.02 CashSettlementInitiationRequestV02	The CashSettlementInitiationRequest messages is sent by the settlement system to the cash settlement participant (or an authorised third party) to direct it to initiate a request for the irrevocable transfer of an amount of money to or from the settlement system clearing account and the payment to or from each participant client's accounts.
camt.092.001.02 CashSettlementInitiationStatusAdviceV02	The CashSettlementInitiationStatusAdvice messages is sent by the cash settlement participant to the settlement system to advice on the status of the initiation request. The message definition is intended for use with the ISO20022 Business Application Header.
camt.093.001.02 CashObligationCancellationNotificationV02	The CashObligationCancellationNotification message is sent by the settlement system to a settlement member to notify the settlement member that the cash obligation has been cancelled due to the settlement process failing to complete.

2 **camt.089.001.02** **CashObligationReportV02**

2.1 **MessageDefinition Functionality**

The CashObligationReport message is sent by the settlement system to a settlement member to report on the projected or actual cash settlement obligations that will be submitted for settlement.

The message definition is intended for use with the ISO20022 Business Application Header.

Outline

The CashObligationReportV02 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. **Pagination**

Page number of the message and continuation indicator to indicate that the multi-parts preliminary advice is to continue or that the message is the last page of the multi-parts preliminary advice.

B. **ReportHeader**

Provides the details of the report.

C. **AccountServicer**

Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

D. **SettlementAgentNetPosition**

Specifies the cash net position per settlement agent.

E. **SettlementAccountNetPosition**

Specifies the cash net position per settlement account.

F. **SupplementaryData**

Additional information that cannot be captured in the structured elements and/or any other specific block.

2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CshOblgtnRpt>	[1..1]		C10	
	Pagination <Pgtn>	[0..1]	±		7
	ReportHeader <RptHdr>	[1..1]			7
	ReportIdentification <RptId>	[0..1]	Text		7
	SettlementDate <SttlmDt>	[1..1]	±		7
	CashObligationStatus <CshOblgtnSts>	[1..1]	CodeSet		8
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		8
	AccountServicer <AcctSvcr>	[0..1]	±		8
	SettlementAgentNetPosition <SttlmAgtNetPos>	[0..1]			9
	NetPositionIdentification <NetPosId>	[1..1]	Text		9
	NetCashAmount <NetCshAmt>	[1..1]	±		9
	TotalNumberOfPositions <TtlNbOfPoss>	[1..1]	Quantity		9
	AuthorisationReason <AuthstnRsn>	[0..1]	Text		9
	SettlementAccountNetPosition <SttlmAcctNetPos>	[0..*]			9
	NetPositionIdentification <NetPosId>	[1..1]	Text		10
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		10
	CashAccount <CshAcct>	[1..1]	±	C9, C8	11
	Amount <Amt>	[1..1]	±		12
	TrustAmount <TrstAmt>	[0..1]	±		12
	AuthorisationRequired <AuthstnReqrd>	[1..1]	Indicator		13
	AuthorisationReason <AuthstnRsn>	[0..1]	Text		13
	SupplementaryData <SplmtryData>	[0..*]	±	C11	13

2.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C4 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C8 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C9 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

C10 NetPositionRule

SettlementAgentNetPosition or SettlementAccountNetPosition must be present, but not both.

Following Must be True

/SettlementAgentNetPosition Must be present

or /SettlementAccountNetPosition[*] Must be present

This constraint is defined at the MessageDefinition level.

C11 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

2.4.1 Pagination <Pgntn>

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-parts preliminary advice is to continue or that the message is the last page of the multi-parts preliminary advice.

Pagination <Pgntn> contains the following elements (see "[Pagination1](#)" on page 91 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		91
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		91

2.4.2 ReportHeader <RptHdr>

Presence: [1..1]

Definition: Provides the details of the report.

ReportHeader <RptHdr> contains the following **CashObligationHeader1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReportIdentification <RptId>	[0..1]	Text		7
	SettlementDate <SttlmDt>	[1..1]	±		7
	CashObligationStatus <CshOblgtnSts>	[1..1]	CodeSet		8
	MarketInfrastructureTransactionIdentification <MktInfstrctrTxId>	[0..1]	Text		8

2.4.2.1 ReportIdentification <RptId>

Presence: [0..1]

Definition: Unique identification, as assigned by the account servicer, to unambiguously identify the report.

Datatype: "[Max35Text](#)" on page 150

2.4.2.2 SettlementDate <SttlmDt>

Presence: [1..1]

Definition: Date and time at which the cash obligation is to be settled.

SettlementDate <SttlmDt> contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

2.4.2.3 CashObligationStatus <CshOblgtnSts>

Presence: [1..1]

Definition: Specifies whether the message is a projected or an actual cash obligation report.

Datatype: "CashObligationStatus1Code" on page 136

CodeName	Name	Definition
ACTL	Actual	Report contains the information related to the actual cash obligation.
PRJD	Projected	Report contains the information related to the projected cash obligation.

2.4.2.4 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [0..1]

Definition: Identification of a transaction assigned by a market infrastructure.

Datatype: "Max35Text" on page 150

2.4.3 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

2.4.4 SettlementAgentNetPosition <SttlmAgtNetPos>

Presence: [0..1]

Definition: Specifies the cash net position per settlement agent.

SettlementAgentNetPosition <SttlmAgtNetPos> contains the following **CashNetPosition1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetPositionIdentification <NetPosId>	[1..1]	Text		9
	NetCashAmount <NetCshAmt>	[1..1]	±		9
	TotalNumberOfPositions <TtlNbOfPoss>	[1..1]	Quantity		9
	AuthorisationReason <AuthstnRsn>	[0..1]	Text		9

2.4.4.1 NetPositionIdentification <NetPosId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the net cash position.

Datatype: "Max35Text" on page 150

2.4.4.2 NetCashAmount <NetCshAmt>

Presence: [1..1]

Definition: Amount of money of the net cash position.

NetCashAmount <NetCshAmt> contains the following elements (see "AmountAndDirection52" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

2.4.4.3 TotalNumberOfPositions <TtlNbOfPoss>

Presence: [1..1]

Definition: Total number of position provided in the net cash position.

Datatype: "PositiveNumber" on page 148

2.4.4.4 AuthorisationReason <AuthstnRsn>

Presence: [0..1]

Definition: Specifies why a participant cash obligation authorisation is being requested from the account servicer.

Datatype: "Max35Text" on page 150

2.4.5 SettlementAccountNetPosition <SttlmAcctNetPos>

Presence: [0..*]

Definition: Specifies the cash net position per settlement account.

SettlementAccountNetPosition <SttlmAcctNetPos> contains the following **CashNetPosition4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetPositionIdentification <NetPosId>	[1..1]	Text		10
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		10
	CashAccount <CshAcct>	[1..1]	±	C9, C8	11
	Amount <Amt>	[1..1]	±		12
	TrustAmount <TrstAmt>	[0..1]	±		12
	AuthorisationRequired <AuthstnReqrd>	[1..1]	Indicator		13
	AuthorisationReason <AuthstnRsn>	[0..1]	Text		13

2.4.5.1 NetPositionIdentification <NetPosId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the net cash position.

Datatype: "Max35Text" on page 150

2.4.5.2 CashAccountOwner <CshAcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the cash account.

CashAccountOwner <CshAcctOwnr> contains the following elements (see "PartyIdentification272" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

2.4.5.3 CashAccount <CshAcct>

Presence: [1..1]

Definition: Unique identification of the account to or from which the net amount of money transfer is made.

Impacted by: [C9 "IdentificationOrProxyPresenceRule"](#), [C8 "IdentificationAndProxyGuideline"](#)

CashAccount <CshAcct> contains the following elements (see ["CashAccount40"](#) on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		63
	Type <Tp>	[0..1]	±		63
	Currency <Ccy>	[0..1]	CodeSet	C2	64
	Name <Nm>	[0..1]	Text		64
	Proxy <Prxy>	[0..1]	±		64

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True
 /Identification Must be present
 Or /Proxy Must be present

2.4.5.4 Amount <Amt>

Presence: [1..1]

Definition: Amount of money of the net cash position.

Amount <Amt> contains the following elements (see ["AmountAndDirection52"](#) on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

2.4.5.5 TrustAmount <TrstAmt>

Presence: [0..1]

Definition: Amount of money that has been trusted for settlement.

TrustAmount <TrstAmt> contains the following elements (see ["AmountAndDirection52"](#) on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

2.4.5.6 AuthorisationRequired <AuthstnReqrd>

Presence: [1..1]

Definition: Specifies whether the account servicer is required to explicitly authorise a net cash obligation.

Datatype: One of the following values must be used (see ["TrueFalseIndicator" on page 147](#)):

- *Meaning When True:* True
- *Meaning When False:* False

2.4.5.7 AuthorisationReason <AuthstnRsn>

Presence: [0..1]

Definition: Specifies why the net cash obligation authorisation is being requested from the account servicer.

Datatype: ["Max35Text" on page 150](#)

2.4.6 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C11 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see ["SupplementaryData1" on page 92](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3 camt.090.001.02

CashObligationStatusAdviceV02

3.1 MessageDefinition Functionality

The CashObligationReportStatusAdvice message is sent by the settlement member to the settlement system to provide the settlement status to accept or reject the cash obligation report on the projected or actual cash settlement obligations that will be submitted for settlement.

The message definition is intended for use with the ISO20022 Business Application Header.

Outline

The CashObligationStatusAdviceV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

- A. StatusHeader
Specifies the header of the status advice.
- B. NetPositionStatus
Provides the status of the net position.
- C. SupplementaryData
Additional information that cannot be captured in the structured elements and/or any other specific block.

3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CshOblgtnStsAdv>	[1..1]			
	StatusHeader <StsHdr>	[1..1]			16
	OriginalBusinessRequest <OrgnlBizReq>	[1..1]			16
	MessageIdentification <MsgId>	[1..1]	Text		17
	MessageNameIdentification <MsgNmId>	[0..1]	Text		17
	CreationDateTime <CreDtTm>	[0..1]	DateTime		17
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		17
	NetPositionStatus <NetPosSts>	[1..*]			17
	NetPositionIdentification <NetPosId>	[1..1]	Text		18
	CashAccount <CshAcct>	[0..1]	±	C4, C3	18
	Status <Sts>	[1..1]			18
{Or	Code <Cd>	[1..1]	CodeSet		19
Or}	Proprietary <Prtry>	[1..1]	Text		19
	StatusReason <StsRsn>	[0..1]			19
	Reason <Rsn>	[1..1]			19
{Or	Code <Cd>	[0..1]	CodeSet		19
Or}	Proprietary <Prtry>	[0..1]	Text		20
	AdditionalInformation <AddtlInf>	[0..1]	Text		20
	AccountServicerReference <AcctSvcrRef>	[0..1]	Text		20
	SupplementaryData <SplmtryData>	[0..*]	±	C5	20

3.3 Constraints

C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C3 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C4 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

3.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

3.4.1 StatusHeader <StsHdr>

Presence: [1..1]

Definition: Specifies the header of the status advice.

StatusHeader <StsHdr> contains the following **CashObligationHeader2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	OriginalBusinessRequest <OrgnIBizReq>	[1..1]			16
	MessageIdentification <MsgId>	[1..1]	Text		17
	MessageNameIdentification <MsgNmId>	[0..1]	Text		17
	CreationDateTime <CreDtTm>	[0..1]	DateTime		17
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		17

3.4.1.1 OriginalBusinessRequest <OrgnIBizReq>

Presence: [1..1]

Definition: Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

OriginalBusinessRequest <OrgnIBizReq> contains the following **OriginalBusinessReport1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		17
	MessageNameIdentification <MsgNmId>	[0..1]	Text		17
	CreationDateTime <CreDtTm>	[0..1]	DateTime		17

3.4.1.1.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Point to point reference, as assigned by the original initiating party, to unambiguously identify the original report message.

Datatype: "Max35Text" on page 150

3.4.1.1.2 MessageNameIdentification <MsgNmId>

Presence: [0..1]

Definition: Specifies the report message name identifier to which the message refers.

Datatype: "Max35Text" on page 150

3.4.1.1.3 CreationDateTime <CreDtTm>

Presence: [0..1]

Definition: Date and time at which the original business report message was created.

Datatype: "ISODateTime" on page 144

3.4.1.2 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>

Presence: [0..1]

Definition: Identification of a transaction assigned by a market infrastructure.

Datatype: "Max35Text" on page 150

3.4.2 NetPositionStatus <NetPosSts>

Presence: [1..*]

Definition: Provides the status of the net position.

NetPositionStatus <NetPosSts> contains the following **CashObligationStatus3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetPositionIdentification <NetPosId>	[1..1]	Text		18
	CashAccount <CshAcct>	[0..1]	±	C4, C3	18
	Status <Sts>	[1..1]			18
{Or	Code <Cd>	[1..1]	CodeSet		19
Or}	Proprietary <Prtry>	[1..1]	Text		19
	StatusReason <StsRsn>	[0..1]			19
	Reason <Rsn>	[1..1]			19
{Or	Code <Cd>	[0..1]	CodeSet		19
Or}	Proprietary <Prtry>	[0..1]	Text		20
	AdditionalInformation <AddtlInf>	[0..1]	Text		20
	AccountServicerReference <AcctSvcrRef>	[0..1]	Text		20

3.4.2.1 NetPositionIdentification <NetPosId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the net cash position.

Datatype: "Max35Text" on page 150

3.4.2.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Unique identification of the account to or from which the amount of money transfer is made.

Impacted by: C4 "IdentificationOrProxyPresenceRule", C3 "IdentificationAndProxyGuideline"

CashAccount <CshAcct> contains the following elements (see "CashAccount40" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		63
	Type <Tp>	[0..1]	±		63
	Currency <Ccy>	[0..1]	CodeSet	C2	64
	Name <Nm>	[0..1]	Text		64
	Proxy <Prxy>	[0..1]	±		64

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True

/Identification Must be present

or /Proxy Must be present

3.4.2.3 Status <Sts>

Presence: [1..1]

Definition: Provides the status as provided by the account servicer of the cash obligation.

Status <Sts> contains one of the following **CashInstructionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		19
Or}	Proprietary <Prtry>	[1..1]	Text		19

3.4.2.3.1 Code <Cd>*Presence:* [1..1]*Definition:* Cash instruction status in a coded form.*Datatype:* "ExternalCashInstructionStatus1Code" on page 138**3.4.2.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Cash instruction status in a coded form*Datatype:* "Max35Text" on page 150**3.4.2.4 StatusReason <StsRsn>***Presence:* [0..1]*Definition:***StatusReason <StsRsn>** contains the following **CashObligationStatusReason1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Reason <Rsn>	[1..1]			19
{Or	Code <Cd>	[0..1]	CodeSet		19
Or}	Proprietary <Prtry>	[0..1]	Text		20
	AdditionalInformation <AddtlInf>	[0..1]	Text		20

3.4.2.4.1 Reason <Rsn>*Presence:* [1..1]*Definition:* Specifies the reason for the status report.**Reason <Rsn>** contains one of the following **CashObligationStatusReason1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[0..1]	CodeSet		19
Or}	Proprietary <Prtry>	[0..1]	Text		20

3.4.2.4.1.1 Code <Cd>*Presence:* [0..1]*Definition:* Reason for the status, in a coded form.*Datatype:* "CashObligationStatusReason1Code" on page 136

CodeName	Name	Definition
INTR	InvalidTransactionReference	Invalid transaction reference.
INOS	InvalidObligationStatus	Invalid obligation status.
INPF	InvalidPaymentFacility	Invalid payment facility.

CodeName	Name	Definition
OTHR	Other	Other.

3.4.2.4.1.2 Proprietary <Prtry>

Presence: [0..1]

Definition: Reason for the status, in a proprietary form.

Datatype: "Max35Text" on page 150

3.4.2.4.2 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Further details on the status reason.

Usage: Additional information can be used for several purposes such as the reporting of repaired information.

Datatype: "Max105Text" on page 148

3.4.2.5 AccountServicerReference <AcctSvcrRef>

Presence: [0..1]

Definition: Unique reference, as assigned by the account servicer, to unambiguously identify the instruction.

Datatype: "Max35Text" on page 150

3.4.3 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 92 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4 **camt.091.001.02**

CashSettlementInitiationRequestV02

4.1 **MessageDefinition Functionality**

The CashSettlementInitiationRequest messages is sent by the settlement system to the cash settlement participant (or an authorised third party) to direct it to initiate a request for the irrevocable transfer of an amount of money to or from the settlement system clearing account and the payment to or from each participant client's accounts.

Outline

The CashSettlementInitiationRequestV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. RequestHeader

Provides the header details of the cash settlement initiation.

B. AccountServicer

Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

C. SettlementInstruction

Specifies the details of the settlement instruction.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CshSttlmInitnReq>	[1..1]			
	RequestHeader <ReqHdr>	[1..1]			26
	MessageIdentification <MsgId>	[1..1]	Text		26
	SettlementDate <SttlmDt>	[1..1]	±		26
	AccountServicer <AcctSvcr>	[0..1]	±		27
	SettlementInstruction <SttlmInstr>	[1..1]			27
	EndToEndIdentification <EndToEndId>	[0..1]	Text		29
	SettlementAmount <SttlmAmt>	[1..1]	±		29
	CashAccount <CshAcct>	[0..1]		C14, C13	29
	Identification <Id>	[0..1]	±		30
	Type <Tp>	[0..1]	±		30
	Currency <Ccy>	[0..1]	CodeSet	C2	31
	Name <Nm>	[0..1]	Text		31
	Proxy <Prxy>	[0..1]	±		31
	Owner <Ownr>	[0..1]	±		32
	Servicer <Svcr>	[0..1]	±		32
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		33
	CashCounterpartyAccount <CshCtrPtyAcct>	[0..1]		C14, C13	34
	Identification <Id>	[0..1]	±		35
	Type <Tp>	[0..1]	±		35
	Currency <Ccy>	[0..1]	CodeSet	C2	36
	Name <Nm>	[0..1]	Text		36
	Proxy <Prxy>	[0..1]	±		36
	Owner <Ownr>	[0..1]	±		37
	Servicer <Svcr>	[0..1]	±		37
	IntermediaryAgent <IntrmyAgt>	[0..1]	±		38
	UnderlyingSecuritiesTransaction <UndrlygScitiesTx>	[0..*]			39
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		40
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		41

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		41
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		41
	SecuritiesTransactionType <SctiesTxTp>	[0..1]	±		41
	TradeIdentification <TradId>	[0..1]	Text		41
	UniqueTransactionIdentifier <UnqTxIdr>	[0..1]	IdentifierSet		41
	TradeDate <TradDt>	[0..1]	±		41
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		42
	SafekeepingPlace <SfkpgPlc>	[0..1]	±	C25	42
	PlaceOfClearing <PlcOfClr>	[0..1]	±		42
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	43
	SettledQuantity <SttldQty>	[0..1]	±		44
	EffectiveSettlementDate <FctvSttlmDt>	[0..1]	±		44
	DeliveringSettlementParties <DlvrgSttlmPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	44
	ReceivingSettlementParties <RcvrgSttlmPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	46
	IssuingAgent <IssgAgt>	[0..1]	±		48
	SupplementaryData <SplmtryData>	[0..*]	±	C27	48

4.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3)

contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AdditonalDetailsGuideline

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

C4 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C5 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C6 BookTransferGuideline

In case of own account internal transfer, own account external transfer, portfolio transfer and in specie transfer (see SecuritiesTransactionType), the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

C7 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C8 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C9 DepositoryGuideline

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC is to be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

C10 DescriptionPresenceRule

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

C11 DescriptionUsageRule

Description must be used alone as the last resort.

C12 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C13 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C14 IdentificationOrProxyPresenceRule

Identification must be present or proxy must be present.

C15 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

C16 ISINPresenceRule

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

C17 MarketTypeAndIdentificationRule

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

C18 OtherIdentificationPresenceRule

If OtherIdentification is not present then either ISIN or Description must be present.

C19 Party2PresenceRule

If Party2 is present, then Party1 must be present.

C20 Party3PresenceRule

If Party3 is present, then Party2 must be present.

C21 Party4PresenceRule

If Party4 is present, then Party3 must be present.

C22 Party5PresenceRule

If Party5 is present, then Party4 must be present.

C23 SafekeepingAccountOrBlockChainAddress1Rule

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

C24 SafekeepingAccountOrBlockchainAddress2Rule

If BlockchainAddressOrWallet is present, SafekeepingAccount must be absent.

C25 SafekeepingPlaceFormatOrLEIRule

SafekeepingPlaceFormat must be present or LEI must be present.

C26 SettlementChainGuideline

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

C27 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

4.4.1 RequestHeader <ReqHdr>

Presence: [1..1]

Definition: Provides the header details of the cash settlement initiation.

RequestHeader <ReqHdr> contains the following **CashSettlementInitiationHeader1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		26
	SettlementDate <SttlmDt>	[1..1]	±		26

4.4.1.1 MessageIdentification <MsgId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the instruction, as assigned by the sender of the message.

Datatype: "Max35Text" on page 150

4.4.1.2 SettlementDate <SttlmDt>

Presence: [1..1]

Definition: Date and time at which the cash settlement instruction is to be settled.

SettlementDate <SttlmDt> contains one of the following elements (see "DateAndDateTime1Choice" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

4.4.2 AccountServicer <AcctSvcr>

Presence: [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

AccountServicer <AcctSvcr> contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

4.4.3 SettlementInstruction <SttlmInstr>

Presence: [1..1]

Definition: Specifies the details of the settlement instruction.

SettlementInstruction <SttlmInstr> contains the following **CashSettlementInitiation3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EndToEndIdentification <EndToEndId>	[0..1]	Text		29
	SettlementAmount <SttlmAmt>	[1..1]	±		29
	CashAccount <CshAcct>	[0..1]		C14, C13	29
	Identification <Id>	[0..1]	±		30
	Type <Tp>	[0..1]	±		30
	Currency <Ccy>	[0..1]	CodeSet	C2	31
	Name <Nm>	[0..1]	Text		31
	Proxy <Prxy>	[0..1]	±		31
	Owner <Ownr>	[0..1]	±		32
	Servicer <Svcr>	[0..1]	±		32
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		33
	CashCounterpartyAccount <CshCtrPtyAcct>	[0..1]		C14, C13	34
	Identification <Id>	[0..1]	±		35
	Type <Tp>	[0..1]	±		35
	Currency <Ccy>	[0..1]	CodeSet	C2	36
	Name <Nm>	[0..1]	Text		36
	Proxy <Prxy>	[0..1]	±		36
	Owner <Ownr>	[0..1]	±		37
	Servicer <Svcr>	[0..1]	±		37
	IntermediaryAgent <IntrmyAgt>	[0..1]	±		38
	UnderlyingSecuritiesTransaction <UndrlygScitiesTx>	[0..*]			39
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		40
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		41
	MarketInfrastructureTransactionIdentification <MktInfstrctrTxId>	[0..1]	Text		41
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		41
	SecuritiesTransactionType <ScitiesTxTp>	[0..1]	±		41
	TradeIdentification <TradId>	[0..1]	Text		41
	UniqueTransactionIdentifier <UnqTxIdr>	[0..1]	IdentifierSet		41
	TradeDate <TradDt>	[0..1]	±		41

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		42
	SafekeepingPlace <SfkpgPlc>	[0..1]	±	C25	42
	PlaceOfClearing <PlcOfClr>	[0..1]	±		42
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	43
	SettledQuantity <SttldQty>	[0..1]	±		44
	EffectiveSettlementDate <FctvSttldDt>	[0..1]	±		44
	DeliveringSettlementParties <DlvrgSttldPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	44
	ReceivingSettlementParties <RcvgSttldPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	46
	IssuingAgent <IssgAgt>	[0..1]	±		48

4.4.3.1 EndToEndIdentification <EndToEndId>

Presence: [0..1]

Definition: Unique identification, as assigned by the initiating party, to unambiguously identify the transaction.

Datatype: "Max35Text" on page 150

4.4.3.2 SettlementAmount <SttldAmt>

Presence: [1..1]

Definition: Amount of money to be paid or received in exchange for the securities.

SettlementAmount <SttldAmt> contains the following elements (see "AmountAndDirection52" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

4.4.3.3 CashAccount <CshAcct>

Presence: [0..1]

Definition: Unique identification of the account to or from which the amount of money transfer is made.

Impacted by: C14 "IdentificationOrProxyPresenceRule", C13 "IdentificationAndProxyGuideline"

CashAccount <CshAcct> contains the following **CashAccount43** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		30
	Type <Tp>	[0..1]	±		30
	Currency <Ccy>	[0..1]	CodeSet	C2	31
	Name <Nm>	[0..1]	Text		31
	Proxy <Prxy>	[0..1]	±		31
	Owner <Ownr>	[0..1]	±		32
	Servicer <Svcr>	[0..1]	±		32

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or proxy must be present.

Following Must be True

/Identification Must be present

Or /Proxy Must be present

4.4.3.3.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "AccountIdentification4Choice" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C7	68
Or}	Other <Othr>	[1..1]	±		68

4.4.3.3.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the nature, or use of the account.

Type <Tp> contains one of the following elements (see "[CashAccountType2Choice](#)" on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

4.4.3.3.3 Currency <Ccy>

Presence: [0..1]

Definition: Identification of the currency in which the account is held.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 135

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

4.4.3.3.4 Name <Nm>

Presence: [0..1]

Definition: Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

Datatype: "[Max70Text](#)" on page 151

4.4.3.3.5 Proxy <Prxy>

Presence: [0..1]

Definition: Specifies an alternate assumed name for the identification of the account.

Proxy <Prxy> contains the following elements (see "[ProxyAccountIdentification1](#)" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	Text		65
	Identification <Id>	[1..1]	Text		65

4.4.3.3.6 Owner <Ownr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**Owner <Ownr>** contains the following elements (see "PartyIdentification272" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

4.4.3.3.7 Servicer <Svcr>*Presence:* [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Servicer <Svcr> contains the following elements (see "[BranchAndFinancialInstitutionIdentification8](#)" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

4.4.3.4 CashAccountOwner <CshAcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the cash account.

CashAccountOwner <CshAcctOwnr> contains the following elements (see "PartyIdentification272" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

4.4.3.5 CashCounterpartyAccount <CshCtrPtyAcct>

Presence: [0..1]

Definition: Unique identification of the counter party account to or from which the amount of money transfer is made.

Impacted by: C14 "IdentificationOrProxyPresenceRule", C13 "IdentificationAndProxyGuideline"

CashCounterpartyAccount <CshCtrPtyAcct> contains the following CashAccount43 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		35
	Type <Tp>	[0..1]	±		35
	Currency <Ccy>	[0..1]	CodeSet	C2	36
	Name <Nm>	[0..1]	Text		36
	Proxy <Prxy>	[0..1]	±		36
	Owner <Ownr>	[0..1]	±		37
	Servicer <Svcr>	[0..1]	±		37

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or proxy must be present.

Following Must be True

/Identification Must be present

Or /Proxy Must be present

4.4.3.5.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentification4Choice](#)" on page 68 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C7	68
Or}	Other <Othr>	[1..1]	±		68

4.4.3.5.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the nature, or use of the account.

Type <Tp> contains one of the following elements (see "[CashAccountType2Choice](#)" on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

4.4.3.5.3 Currency <Ccy>

Presence: [0..1]

Definition: Identification of the currency in which the account is held.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 135

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

4.4.3.5.4 Name <Nm>

Presence: [0..1]

Definition: Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

Datatype: "[Max70Text](#)" on page 151

4.4.3.5.5 Proxy <Prxy>

Presence: [0..1]

Definition: Specifies an alternate assumed name for the identification of the account.

Proxy <Prxy> contains the following elements (see "[ProxyAccountIdentification1](#)" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	Text		65
	Identification <Id>	[1..1]	Text		65

4.4.3.5.6 Owner <Ownr>*Presence:* [0..1]*Definition:* Party that legally owns the account.**Owner <Ownr>** contains the following elements (see "PartyIdentification272" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

4.4.3.5.7 Servicer <Svcr>*Presence:* [0..1]

Definition: Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

Servicer <Svcr> contains the following elements (see "[BranchAndFinancialInstitutionIdentification8](#)" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

4.4.3.6 IntermediaryAgent <IntrmyAgt>

Presence: [0..1]

Definition: Agent between the debtor's agent and the creditor's agent.

IntermediaryAgent <IntrmyAgt> contains the following elements (see "BranchAndFinancialInstitutionIdentification8" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbId>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

4.4.3.7 UnderlyingSecuritiesTransaction <UndrlygSctiesTx>

Presence: [0..*]

Definition:

UnderlyingSecuritiesTransaction <UndrlygSctiesTx> contains the following
SecuritiesTransaction6 elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerTransactionIdentification <AcctOwnrTxId>	[0..1]	Text		40
	AccountServicerTransactionIdentification <AcctSvcrTxId>	[0..1]	Text		41
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		41
	ProcessorTransactionIdentification <PrctrTxId>	[0..1]	Text		41
	SecuritiesTransactionType <SctiesTxTp>	[0..1]	±		41
	TradeIdentification <TradId>	[0..1]	Text		41
	UniqueTransactionIdentifier <UnqTxIdr>	[0..1]	IdentifierSet		41
	TradeDate <TradDt>	[0..1]	±		41
	PlaceOfTrade <PlcOfTrad>	[0..1]	±		42
	SafekeepingPlace <SfkpgPlc>	[0..1]	±	C25	42
	PlaceOfClearing <PlcOfClr>	[0..1]	±		42
	FinancialInstrumentIdentification <FinInstrmId>	[1..1]	±	C10, C11, C15, C16, C18	43
	SettledQuantity <SttldQty>	[0..1]	±		44
	EffectiveSettlementDate <FctvSttldDt>	[0..1]	±		44
	DeliveringSettlementParties <DlvrngSttldPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	44
	ReceivingSettlementParties <RcvngSttldPties>	[0..1]	±	C6, C9, C19, C20, C21, C22, C26	46
	IssuingAgent <IssgAgt>	[0..1]	±		48

4.4.3.7.1 AccountOwnerTransactionIdentification <AcctOwnrTxId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction as known by the account owner (or the instructing party managing the account).

Datatype: "Max35Text" on page 150

4.4.3.7.2 AccountServicerTransactionIdentification <AcctSvcrTxId>*Presence:* [0..1]*Definition:* Unambiguous identification of the transaction as known by the account servicer.*Datatype:* "Max35Text" on page 150**4.4.3.7.3 MarketInfrastructureTransactionIdentification <MktlnfrstrctrTxId>***Presence:* [0..1]*Definition:* Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.*Datatype:* "Max35Text" on page 150**4.4.3.7.4 ProcessorTransactionIdentification <PrctrTxId>***Presence:* [0..1]*Definition:* Identification of the transaction as assigned by the processor.*Datatype:* "Max35Text" on page 150**4.4.3.7.5 SecuritiesTransactionType <SctiesTxTp>***Presence:* [0..1]*Definition:* Identifies the type of securities transaction.**SecuritiesTransactionType <SctiesTxTp>** contains one of the following elements (see "SecuritiesTransactionType48Choice" on page 92 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		92
Or}	Proprietary <Prtry>	[1..1]	±		95

4.4.3.7.6 TradeIdentification <TradId>*Presence:* [0..1]*Definition:* Reference assigned to the trade by the investor or the trading party. This reference will be used throughout the trade life cycle to access/update the trade details.*Datatype:* "Max35Text" on page 150**4.4.3.7.7 UniqueTransactionIdentifier <UnqTxIdr>***Presence:* [0..1]*Definition:* Unique Transaction Identifier (UTI) as agreed with the other counterparty*Datatype:* "UTIIdentifier" on page 147**4.4.3.7.8 TradeDate <TradDt>***Presence:* [0..1]*Definition:* Specifies the date/time on which the trade was executed.

TradeDate <TradDt> contains one of the following elements (see "[TradeDate8Choice](#)" on page 70 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		71
Or}	DateCode <DtCd>	[1..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		71

4.4.3.7.9 PlaceOfTrade <PlcOfTrad>

Presence: [0..1]

Definition: Market in which a trade transaction has been executed.

PlaceOfTrade <PlcOfTrad> contains the following elements (see "[PlaceOfTradeIdentification1](#)" on page 87 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketTypeAndIdentification <MktTpAndId>	[0..1]	±	C17	87
	LEI <LEI>	[0..1]	IdentifierSet		87

4.4.3.7.10 SafekeepingPlace <SfkpgPlc>

Presence: [0..1]

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

Impacted by: [C25 "SafekeepingPlaceFormatOrLEIRule"](#)

SafekeepingPlace <SfkpgPlc> contains the following elements (see "[SafeKeepingPlace5](#)" on page 125 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceFormat <SfkpgPlcFmt>	[0..1]	±		125
	LEI <LEI>	[0..1]	IdentifierSet		126

Constraints

- **SafekeepingPlaceFormatOrLEIRule**

SafekeepingPlaceFormat must be present or LEI must be present.

Following Must be True

/SafekeepingPlaceFormat Must be present

Or /LEI Must be present

4.4.3.7.11 PlaceOfClearing <PlcOfClr>

Presence: [0..1]

Definition: Infrastructure which may be a component of a clearing house and which facilitates clearing and settlement for its members by standing between the buyer and the seller. It may net transactions and it substitutes itself as settlement counterparty for each position.

PlaceOfClearing <PlcOfClr> contains the following elements (see "[PlaceOfClearingIdentification2](#)" on page 70 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	IdentifierSet	C3	70
	LEI <LEI>	[0..1]	IdentifierSet		70

4.4.3.7.12 FinancialInstrumentIdentification <FinInstrmId>

Presence: [1..1]

Definition: Financial instruments representing a sum of rights of the investor vis-a-vis the issuer.

Impacted by: [C10 "DescriptionPresenceRule"](#), [C11 "DescriptionUsageRule"](#), [C15 "ISINGuideline"](#), [C16 "ISINPresenceRule"](#), [C18 "OtherIdentificationPresenceRule"](#)

FinancialInstrumentIdentification <FinInstrmId> contains the following elements (see "[SecurityIdentification19](#)" on page 79 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		80
	OtherIdentification <OthrId>	[0..*]			81
	Identification <Id>	[1..1]	Text		81
	Suffix <Sfx>	[0..1]	Text		81
	Type <Tp>	[1..1]	±		81
	Description <Desc>	[0..1]	Text		81

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

 /Description is absent

Following Must be True

 /ISIN Must be present

 Or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

/ISIN is absent

Following Must be True

/OtherIdentification[*] Must be present

Or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

/OtherIdentification[*] is absent

Following Must be True

/ISIN Must be present

Or /Description Must be present

4.4.3.7.13 SettledQuantity <SttldQty>

Presence: [0..1]

Definition: Quantity of financial instrument effectively settled and which will be delivered to/received from the account owner's securities account. It may differ from the instructed settlement quantity based on market tolerance level.

SettledQuantity <SttldQty> contains one of the following elements (see "FinancialInstrumentQuantity1Choice" on page 81 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		82
Or	FaceAmount <FaceAmt>	[1..1]	Amount		82
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		82

4.4.3.7.14 EffectiveSettlementDate <FctvSttlmDt>

Presence: [0..1]

Definition: Date and time at which a transaction is completed and cleared, that is a payment is effected and securities are delivered.

EffectiveSettlementDate <FctvSttlmDt> contains one of the following elements (see "DateAndDateTime2Choice" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

4.4.3.7.15 DeliveringSettlementParties <DlvrSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of delivering settlement parties.

Impacted by: C6 "BookTransferGuideline", C9 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

DeliveringSettlementParties <DlvrgSttlmPties> contains the following elements (see "SettlementParties126" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		128
	Party1 <Pty1>	[0..1]	±	C23, C24	128
	Party2 <Pty2>	[0..1]	±	C23, C24	129
	Party3 <Pty3>	[0..1]	±	C23, C24	130
	Party4 <Pty4>	[0..1]	±	C23, C24	131
	Party5 <Pty5>	[0..1]	±	C23, C24	132

Constraints

- **BookTransferGuideline**

In case of own account internal transfer, own account external transfer, portfolio transfer and in specie transfer (see SecuritiesTransactionType), the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC is to be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

On Condition
/Party2 is present

Following Must be True
/Party1 Must be present

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition
/Party3 is present
Following Must be True
/Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition
/Party4 is present
Following Must be True
/Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition
/Party5 is present
Following Must be True
/Party4 Must be present

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

4.4.3.7.16 ReceivingSettlementParties <RcvgSttlmPties>

Presence: [0..1]

Definition: Identifies the chain of receiving settlement parties.

Impacted by: C6 "BookTransferGuideline", C9 "DepositoryGuideline", C19 "Party2PresenceRule", C20 "Party3PresenceRule", C21 "Party4PresenceRule", C22 "Party5PresenceRule", C26 "SettlementChainGuideline"

ReceivingSettlementParties <RcvgSttlmPties> contains the following elements (see "SettlementParties126" on page 126 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		128
	Party1 <Pty1>	[0..1]	±	C23, C24	128
	Party2 <Pty2>	[0..1]	±	C23, C24	129
	Party3 <Pty3>	[0..1]	±	C23, C24	130
	Party4 <Pty4>	[0..1]	±	C23, C24	131
	Party5 <Pty5>	[0..1]	±	C23, C24	132

Constraints

- **BookTransferGuideline**

In case of own account internal transfer, own account external transfer, portfolio transfer and in specie transfer (see SecuritiesTransactionType), the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC is to be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

On Condition

/Party2 is present

Following Must be True

/Party1 Must be present

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

On Condition
 /Party3 is present
 Following Must be True
 /Party2 Must be present

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

On Condition
 /Party4 is present
 Following Must be True
 /Party3 Must be present

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

On Condition
 /Party5 is present
 Following Must be True
 /Party4 Must be present

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

4.4.3.7.17 IssuingAgent <IssgAgt>

Presence: [0..1]

Definition: Party appointed to administer the event related to the financial instrument on behalf of the issuer company/offeror.

IssuingAgent <IssgAgt> contains the following elements (see "[PartyIdentification136](#)" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		103
	LEI <LEI>	[0..1]	IdentifierSet		103

4.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: [C27 "SupplementaryDataRule"](#)

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 92 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5 camt.092.001.02 CashSettlementInitiationStatusAdviceV02

5.1 MessageDefinition Functionality

The CashSettlementInitiationStatusAdvice messages is sent by the cash settlement participant to the settlement system to advice on the status of the initiation request.

The message definition is intended for use with the ISO20022 Business Application Header.

Outline

The CashSettlementInitiationStatusAdviceV02 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. OriginalBusinessRequest

Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

B. SettlementInstructionStatus

Provides the details on the status of the settlement instruction.

C. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root <Document> <CshSttlmInittStsAdv></i>	[1..1]			
	OriginalBusinessRequest <OrgnlBizReq>	[1..1]	±		51
	SettlementInstructionStatus <SttlmInstrSts>	[1..1]			51
	EndToEndIdentification <EndToEndId>	[0..1]	Text		52
	CashAccount <CshAcct>	[0..1]	±	C4, C3	52
	Status <Sts>	[1..1]			53
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	Text		53
	AccountServicerReference <AcctSvcrRef>	[0..1]	Text		53
	SupplementaryData <SplmtryData>	[0..*]	±	C5	53

5.3 Constraints

C1 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C2 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C3 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C4 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

C5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

5.4.1 OriginalBusinessRequest <OrgnlBizReq>

Presence: [1..1]

Definition: Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

OriginalBusinessRequest <OrgnlBizReq> contains the following elements (see "OriginalBusinessQuery1" on page 96 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		96
	MessageNameIdentification <MsgNmId>	[0..1]	Text		96
	CreationDateTime <CreDtTm>	[0..1]	DateTime		97

5.4.2 SettlementInstructionStatus <SttlmInstrSts>

Presence: [1..1]

Definition: Provides the details on the status of the settlement instruction.

SettlementInstructionStatus <SttlInstrSts> contains the following **SettlementInstructionStatus3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EndToEndIdentification <EndToEndId>	[0..1]	Text		52
	CashAccount <CshAcct>	[0..1]	±	C4, C3	52
	Status <Sts>	[1..1]			53
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	Text		53
	AccountServicerReference <AcctSvcrRef>	[0..1]	Text		53

5.4.2.1 EndToEndIdentification <EndToEndId>

Presence: [0..1]

Definition: Unique identification, as assigned by the initiating party, to unambiguously identify the transaction.

Datatype: "Max35Text" on page 150

5.4.2.2 CashAccount <CshAcct>

Presence: [0..1]

Definition: Unique identification of the account to or from which the net amount of money transfer is made.

Impacted by: C4 "IdentificationOrProxyPresenceRule", C3 "IdentificationAndProxyGuideline"

CashAccount <CshAcct> contains the following elements (see "CashAccount40" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		63
	Type <Tp>	[0..1]	±		63
	Currency <Ccy>	[0..1]	CodeSet	C2	64
	Name <Nm>	[0..1]	Text		64
	Proxy <Prxy>	[0..1]	±		64

Constraints

- IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True
 /Identification Must be present
 Or /Proxy Must be present

5.4.2.3 Status <Sts>

Presence: [1..1]

Definition: Provides the status as provided by the account servicer of the cash settlement.

Status <Sts> contains one of the following **CashInstructionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		53
Or}	Proprietary <Prtry>	[1..1]	Text		53

5.4.2.3.1 Code <Cd>

Presence: [1..1]

Definition: Cash instruction status in a coded form.

Datatype: "ExternalCashInstructionStatus1Code" on page 138

5.4.2.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Cash instruction status in a coded form

Datatype: "Max35Text" on page 150

5.4.2.4 AccountServicerReference <AcctSvcrRef>

Presence: [0..1]

Definition: Unique reference, as assigned by the account servicer, to unambiguously identify the instruction.

Datatype: "Max35Text" on page 150

5.4.3 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C5 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "SupplementaryData1" on page 92 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6 **camt.093.001.02**

CashObligationCancellationNotificationV02

6.1 **MessageDefinition Functionality**

The CashObligationCancellationNotification message is sent by the settlement system to a settlement member to notify the settlement member that the cash obligation has been cancelled due to the settlement process failing to complete.

Outline

The CashObligationCancellationNotificationV02 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. ReportParameters

Provides the details of the report.

B. AccountServicer

Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.

C. CancelledObligation

Specifies the details of the cancelled obligation.

D. SupplementaryData

Additional information that cannot be captured in the structured elements and/or any other specific block.

6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <CshOblgtnCxlNtfctn>	[1..1]			
	ReportParameters <RptParams>	[1..1]			57
	ReportIdentification <RptId>	[0..1]	Text		57
	SettlementDate <SttlmDt>	[1..1]	±		58
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		58
	AccountServicer <AcctSvcr>	[0..1]	±		58
	CancelledObligation <CancOblgtn>	[1..1]			59
	NetPositionIdentification <NetPosId>	[1..1]	Text		59
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		59
	CashAccount <CshAcct>	[0..1]	±	C9, C8	60
	AuthorisedAmount <AuthrsdAmt>	[0..1]	±		61
	SupplementaryData <SplmtryData>	[0..*]	±	C10	61

6.3 Constraints

C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

C2 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

C3 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

C4 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

C5 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

C6 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

C7 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

C8 IdentificationAndProxyGuideline

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

C9 IdentificationOrProxyPresenceRule

Identification must be present or Proxy must be present. Both may be present.

C10 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

6.4.1 ReportParameters <RptParams>

Presence: [1..1]

Definition: Provides the details of the report.

ReportParameters <RptParams> contains the following **CashObligationHeader3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ReportIdentification <RptId>	[0..1]	Text		57
	SettlementDate <SttlmDt>	[1..1]	±		58
	MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>	[0..1]	Text		58

6.4.1.1 ReportIdentification <RptId>

Presence: [0..1]

Definition: Unique identification, as assigned by the account servicer, to unambiguously identify the report.

Datatype: "Max35Text" on page 150

6.4.1.2 SettlementDate <SttlmDt>*Presence:* [1..1]*Definition:* Date and time at which the cash obligation is to be settled.**SettlementDate <SttlmDt>** contains one of the following elements (see "[DateAndDateTime1Choice](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

6.4.1.3 MarketInfrastructureTransactionIdentification <MktInfrstrctrTxId>*Presence:* [0..1]*Definition:* Identification of a transaction assigned by a market infrastructure.*Datatype:* "[Max35Text](#)" on page 150**6.4.2 AccountServicer <AcctSvcr>***Presence:* [0..1]*Definition:* Party that manages the account on behalf of the account owner, that is manages the registration and booking of entries on the account, calculates balances on the account and provides information about the account.**AccountServicer <AcctSvcr>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification8](#)" on page 74 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbId>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

6.4.3 CancelledObligation <CancOblgtn>

Presence: [1..1]

Definition: Specifies the details of the cancelled obligation.

CancelledObligation <CancOblgtn> contains the following **CashNetPosition5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NetPositionIdentification <NetPosId>	[1..1]	Text		59
	CashAccountOwner <CshAcctOwnr>	[0..1]	±		59
	CashAccount <CshAcct>	[0..1]	±	C9, C8	60
	AuthorisedAmount <AuthrsdAmt>	[0..1]	±		61

6.4.3.1 NetPositionIdentification <NetPosId>

Presence: [1..1]

Definition: Unique and unambiguous identification of the net cash position.

Datatype: "Max35Text" on page 150

6.4.3.2 CashAccountOwner <CshAcctOwnr>

Presence: [0..1]

Definition: Party that legally owns the cash account.

CashAccountOwner <CshAcctOwnr> contains the following elements (see "PartyIdentification272" on page 103 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

6.4.3.3 CashAccount <CshAcct>

Presence: [0..1]

Definition: Unique identification of the account to or from which the net amount of money transfer is made.

Impacted by: C9 "IdentificationOrProxyPresenceRule", C8 "IdentificationAndProxyGuideline"

CashAccount <CshAcct> contains the following elements (see "CashAccount40" on page 63 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		63
	Type <Tp>	[0..1]	±		63
	Currency <Ccy>	[0..1]	CodeSet	C2	64
	Name <Nm>	[0..1]	Text		64
	Proxy <Prxy>	[0..1]	±		64

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True
 /Identification Must be present
 Or /Proxy Must be present

6.4.3.4 AuthorisedAmount <AuthrsdAmt>

Presence: [0..1]

Definition: Amount of money the account servicer has authorised for settlement and placed in escrow pending transfer.

AuthorisedAmount <AuthrsdAmt> contains the following elements (see "AmountAndDirection52" on page 69 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

6.4.4 SupplementaryData <SplmtryData>

Presence: [0..*]

Definition: Additional information that cannot be captured in the structured elements and/or any other specific block.

Impacted by: C10 "SupplementaryDataRule"

SupplementaryData <SplmtryData> contains the following elements (see "[SupplementaryData1](#)" on page 92 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7 Message Items Types

7.1 MessageComponents

7.1.1 Account

7.1.1.1 CashAccount40

Definition: Provides the details to identify an account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		63
	Type <Tp>	[0..1]	±		63
	Currency <Ccy>	[0..1]	CodeSet	C2	64
	Name <Nm>	[0..1]	Text		64
	Proxy <Prxy>	[0..1]	±		64

Constraints

- **IdentificationAndProxyGuideline**

If the account identification is not defined through a conventional identification such as an email address or a mobile number, then the proxy element should be used for the identification of the account.

- **IdentificationOrProxyPresenceRule**

Identification must be present or Proxy must be present. Both may be present.

Following Must be True

/Identification Must be present

Or /Proxy Must be present

7.1.1.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification for the account between the account owner and the account servicer.

Identification <Id> contains one of the following elements (see "[AccountIdentification4Choice](#)" on [page 68](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C7	68
Or}	Other <Othr>	[1..1]	±		68

7.1.1.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the nature, or use of the account.

Type <Tp> contains one of the following elements (see "[CashAccountType2Choice](#)" on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

7.1.1.1.3 Currency <Ccy>

Presence: [0..1]

Definition: Identification of the currency in which the account is held.

Usage: Currency should only be used in case one and the same account number covers several currencies

and the initiating party needs to identify which currency needs to be used for settlement on the account.

Impacted by: [C2 "ActiveOrHistoricCurrency"](#)

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 135

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.1.1.1.4 Name <Nm>

Presence: [0..1]

Definition: Name of the account, as assigned by the account servicing institution, in agreement with the account owner in order to provide an additional means of identification of the account.

Usage: The account name is different from the account owner name. The account name is used in certain user communities to provide a means of identifying the account, in addition to the account owner's identity and the account number.

Datatype: "[Max70Text](#)" on page 151

7.1.1.1.5 Proxy <Prxy>

Presence: [0..1]

Definition: Specifies an alternate assumed name for the identification of the account.

Proxy <Prxy> contains the following elements (see "[ProxyAccountIdentification1](#)" on page 65 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	Text		65
	Identification <Id>	[1..1]	Text		65

7.1.1.2 ProxyAccountIdentification1

Definition: Information related to a proxy identification of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			65
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	Text		65
	Identification <Id>	[1..1]	Text		65

7.1.1.2.1 Type <Tp>

Presence: [0..1]

Definition: Type of the proxy identification.

Type <Tp> contains one of the following **ProxyAccountType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		65
Or}	Proprietary <Prtry>	[1..1]	Text		65

7.1.1.2.1.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "[ExternalProxyAccountType1Code](#)" on page 139

7.1.1.2.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "[Max35Text](#)" on page 150

7.1.1.2.2 Identification <Id>

Presence: [1..1]

Definition: Identification used to indicate the account identification under another specified name.

Datatype: "Max2048Text" on page 149

7.1.1.3 CashAccountType2Choice

Definition: Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		66
Or}	Proprietary <Prtry>	[1..1]	Text		66

7.1.1.3.1 Code <Cd>

Presence: [1..1]

Definition: Account type, in a coded form.

Datatype: "ExternalCashAccountType1Code" on page 137

7.1.1.3.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Nature or use of the account in a proprietary form.

Datatype: "Max35Text" on page 150

7.1.1.4 GenericAccountIdentification1

Definition: Information related to a generic account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	Text		67
	Issuer <Issr>	[0..1]	Text		67

7.1.1.4.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max34Text" on page 150

7.1.1.4.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **AccountSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	Text		67

7.1.1.4.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalAccountIdentification1Code" on page 137

7.1.1.4.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 150

7.1.1.4.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 150

7.1.2 Account Identification

7.1.2.1 SecuritiesAccount19

Definition: Account to or from which a securities entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		67
	Type <Tp>	[0..1]	±		67
	Name <Nm>	[0..1]	Text		68

7.1.2.1.1 Identification <Id>

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max35Text" on page 150

7.1.2.1.2 Type <Tp>

Presence: [0..1]

Definition: Specifies the type of securities account.

Type <Tp> contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.2.1.3 Name <Nm>

Presence: [0..1]

Definition: Description of the account.

Datatype: "[Max70Text](#)" on page 151

7.1.2.2 AccountIdentification4Choice

Definition: Specifies the unique identification of an account as assigned by the account servicer.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C7	68
Or}	Other <Othr>	[1..1]	±		68

7.1.2.2.1 IBAN <IBAN>

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Impacted by: [C7 "IBAN"](#)

Datatype: "[IBAN2007Identifier](#)" on page 146

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

7.1.2.2.2 Other <Othr>

Presence: [1..1]

Definition: Unique identification of an account, as assigned by the account servicer, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericAccountIdentification1](#)" on page 66 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		66
	SchemeName <SchmeNm>	[0..1]			66
{Or	Code <Cd>	[1..1]	CodeSet		67
Or}	Proprietary <Prtry>	[1..1]	Text		67
	Issuer <Issr>	[0..1]	Text		67

7.1.3 Amount

7.1.3.1 AmountAndDirection52

Definition: Posting of an item to a cash account, in the context of a cash transaction, that results in an increase or decrease to the balance of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C6	69
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		69

7.1.3.1.1 Amount <Amt>

Presence: [1..1]

Definition: Amount of money in the cash entry.

Impacted by: [C1 "ActiveCurrency"](#), [C6 "CurrencyAmount"](#)

Datatype: "[ActiveCurrencyAndAmount](#)" on page 134

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.1.3.1.2 CreditDebitIndicator <CdtDbtInd>

Presence: [1..1]

Definition: Indicates whether an entry is a credit or a debit.

Datatype: "[CreditDebitCode](#)" on page 137

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.1.4 Clearing System Member Identification

7.1.4.1 PlaceOfClearingIdentification2

Definition: Identification of infrastructure which may be a component of a clearing house and which facilitates clearing and settlement for its members by standing between the buyer and the seller. It may net transactions and it substitutes itself as settlement counterparty for each position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	IdentifierSet	C3	70
	LEI <LEI>	[0..1]	IdentifierSet		70

7.1.4.1.1 Identification <Id>

Presence: [0..1]

Definition: Unique identification of the place of clearing.

Impacted by: C3 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 145

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.4.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a place of clearing.

Datatype: "LEIIdentifier" on page 147

7.1.5 Date Time

7.1.5.1 TradeDate8Choice

Definition: Choice of format for the trade date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		71
Or}	DateCode <DtCd>	[1..1]			71
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		71

7.1.5.1.1 Date <Dt>

Presence: [1..1]

Definition: Date expressed as an ISO date.

Date <Dt> contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

7.1.5.1.2 DateCode <DtCd>

Presence: [1..1]

Definition: Date expressed as a code.

DateCode <DtCd> contains one of the following **TradeDateCode3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		71
Or}	Proprietary <Prtry>	[1..1]	±		71

7.1.5.1.2.1 Code <Cd>

Presence: [1..1]

Definition: Trade date expressed as an ISO 20022 code.

Datatype: ["DateType3Code"](#) on page 137

CodeName	Name	Definition
VARI	Various	Partial trades have occurred over a period of two or more days.

7.1.5.1.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Trade date expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.5.2 DateAndDateTime1Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

7.1.5.2.1 Date <Dt>

Presence: [1..1]

Definition: Numeric representation of the day of the month and year.

Datatype: "[ISODate](#)" on page 144

7.1.5.2.2 DateTime <DtTm>

Presence: [1..1]

Definition: Numeric representation of time of the day and the day of the month and year.

Datatype: "[ISODatetime](#)" on page 144

7.1.5.3 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

7.1.5.3.1 Date <Dt>

Presence: [1..1]

Definition: Specified date.

Datatype: "[ISODate](#)" on page 144

7.1.5.3.2 DateTime <DtTm>

Presence: [1..1]

Definition: Specified date and time.

Datatype: "ISODatetime" on page 144

7.1.6 Financial Institution Identification

7.1.6.1 ClearingSystemMemberIdentification2

Definition: Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		73
	MemberIdentification <Mmbld>	[1..1]	Text		73

7.1.6.1.1 ClearingSystemIdentification <ClrSysId>

Presence: [0..1]

Definition: Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

ClearingSystemIdentification <ClrSysId> contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 133 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	Text		134

7.1.6.1.2 MemberIdentification <Mmbld>

Presence: [1..1]

Definition: Identification of a member of a clearing system.

Datatype: "Max35Text" on page 150

7.1.6.2 GenericFinancialIdentification1

Definition: Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		73
	SchemeName <SchmeNm>	[0..1]			74
{Or	Code <Cd>	[1..1]	CodeSet		74
Or}	Proprietary <Prtry>	[1..1]	Text		74
	Issuer <Issr>	[0..1]	Text		74

7.1.6.2.1 Identification <Id>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person.

Datatype: "Max35Text" on page 150

7.1.6.2.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		74
Or}	Proprietary <Prtry>	[1..1]	Text		74

7.1.6.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalFinancialInstitutionIdentification1Code" on page 138

7.1.6.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 150

7.1.6.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 150

7.1.6.3 BranchAndFinancialInstitutionIdentification8

Definition: Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]			75
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77
	BranchIdentification <BrnchId>	[0..1]			78
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

7.1.6.3.1 FinancialInstitutionIdentification <FinInstnId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

FinancialInstitutionIdentification <FinInstnId> contains the following **FinancialInstitutionIdentification23** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C4	75
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		76
	LEI <LEI>	[0..1]	IdentifierSet		76
	Name <Nm>	[0..1]	Text		76
	PostalAddress <PstlAdr>	[0..1]	±		76
	Other <Othr>	[0..1]	±		77

7.1.6.3.1.1 BICFI <BICFI>

Presence: [0..1]

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C4 "BICFI"

Datatype: "BICFIDec2014Identifier" on page 145

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

7.1.6.3.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

Presence: [0..1]

Definition: Information used to identify a member within a clearing system.

ClearingSystemMemberIdentification <ClrSysMmbld> contains the following elements (see "ClearingSystemMemberIdentification2" on page 73 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		73
	MemberIdentification <Mmbld>	[1..1]	Text		73

7.1.6.3.1.3 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identifier of the financial institution.

Datatype: "LEIIdentifier" on page 147

7.1.6.3.1.4 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "Max140Text" on page 149

7.1.6.3.1.5 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress27" on page 118 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		120
	CareOf <CareOf>	[0..1]	Text		120
	Department <Dept>	[0..1]	Text		120
	SubDepartment <SubDept>	[0..1]	Text		120
	StreetName <StrtNm>	[0..1]	Text		120
	BuildingNumber <BldgNb>	[0..1]	Text		121
	BuildingName <BldgNm>	[0..1]	Text		121
	Floor <Flr>	[0..1]	Text		121
	UnitNumber <UnitNb>	[0..1]	Text		121
	PostBox <PstBx>	[0..1]	Text		121
	Room <Room>	[0..1]	Text		121
	PostCode <PstCd>	[0..1]	Text		121
	TownName <TwnNm>	[0..1]	Text		121
	TownLocationName <TwnLctnNm>	[0..1]	Text		122
	DistrictName <DstrctNm>	[0..1]	Text		122
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		122
	Country <Ctry>	[0..1]	CodeSet	C5	122
	AddressLine <AdrLine>	[0..7]	Text		122

7.1.6.3.1.6 Other <Othr>

Presence: [0..1]

Definition: Unique identification of an agent, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following elements (see "[GenericFinancialIdentification1](#)" on page 73 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		73
	SchemeName <SchmeNm>	[0..1]			74
{Or	Code <Cd>	[1..1]	CodeSet		74
Or}	Proprietary <Prtry>	[1..1]	Text		74
	Issuer <Issr>	[0..1]	Text		74

7.1.6.3.2 BranchIdentification <BrnchId>

Presence: [0..1]

Definition: Identifies a specific branch of a financial institution.

Usage: This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

BranchIdentification <BrnchId> contains the following **BranchData5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		78
	LEI <LEI>	[0..1]	IdentifierSet		78
	Name <Nm>	[0..1]	Text		78
	PostalAddress <PstlAdr>	[0..1]	±		78

7.1.6.3.2.1 Identification <Id>

Presence: [0..1]

Definition: Unique and unambiguous identification of a branch of a financial institution.

Datatype: "[Max35Text](#)" on page 150

7.1.6.3.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification for the branch of the financial institution.

Datatype: "[LEIIdentifier](#)" on page 147

7.1.6.3.2.3 Name <Nm>

Presence: [0..1]

Definition: Name by which an agent is known and which is usually used to identify that agent.

Datatype: "[Max140Text](#)" on page 149

7.1.6.3.2.4 PostalAddress <PstlAdr>

Presence: [0..1]

Definition: Information that locates and identifies a specific address, as defined by postal services.

PostalAddress <PstlAdr> contains the following elements (see "PostalAddress27" on page 118 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		120
	CareOf <CareOf>	[0..1]	Text		120
	Department <Dept>	[0..1]	Text		120
	SubDepartment <SubDept>	[0..1]	Text		120
	StreetName <StrtNm>	[0..1]	Text		120
	BuildingNumber <BldgNb>	[0..1]	Text		121
	BuildingName <BldgNm>	[0..1]	Text		121
	Floor <Flr>	[0..1]	Text		121
	UnitNumber <UnitNb>	[0..1]	Text		121
	PostBox <PstBx>	[0..1]	Text		121
	Room <Room>	[0..1]	Text		121
	PostCode <PstCd>	[0..1]	Text		121
	TownName <TwnNm>	[0..1]	Text		121
	TownLocationName <TwnLctnNm>	[0..1]	Text		122
	DistrictName <DstrctNm>	[0..1]	Text		122
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		122
	Country <Ctry>	[0..1]	CodeSet	C5	122
	AddressLine <AdrLine>	[0..7]	Text		122

7.1.7 Financial Instrument

7.1.7.1 SecurityIdentification19

Definition: Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		80
	OtherIdentification <OthrId>	[0..*]			81
	Identification <Id>	[1..1]	Text		81
	Suffix <Sfx>	[0..1]	Text		81
	Type <Tp>	[1..1]	±		81
	Description <Desc>	[0..1]	Text		81

Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

or /OtherIdentification[*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

On Condition

/ISIN is absent

Following Must be True

/OtherIdentification[*] Must be present

or /Description Must be present

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

On Condition

/OtherIdentification[*] is absent

Following Must be True

/ISIN Must be present

or /Description Must be present

7.1.7.1.1 ISIN <ISIN>

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 146

7.1.7.1.2 OtherIdentification <OthrId>

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

OtherIdentification <OthrId> contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		81
	Suffix <Sfx>	[0..1]	Text		81
	Type <Tp>	[1..1]	±		81

7.1.7.1.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of a security.

Datatype: "Max35Text" on page 150

7.1.7.1.2.2 Suffix <Sfx>

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 149

7.1.7.1.2.3 Type <Tp>

Presence: [1..1]

Definition: Type of the identification.

Type <Tp> contains one of the following elements (see "IdentificationSource3Choice" on page 84 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		84
Or}	Proprietary <Prtry>	[1..1]	Text		85

7.1.7.1.3 Description <Desc>

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "Max140Text" on page 149

7.1.8 Financial Instrument Quantity

7.1.8.1 FinancialInstrumentQuantity1Choice

Definition: Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		82
Or	FaceAmount <FaceAmt>	[1..1]	Amount		82
Or}	AmortisedValue <AmtsdVal>	[1..1]	Amount		82

7.1.8.1.1 Unit <Unit>

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: "DecimalNumber" on page 148

7.1.8.1.2 FaceAmount <FaceAmt>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "ImpliedCurrencyAndAmount" on page 134

7.1.8.1.3 AmortisedValue <AmtsdVal>

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "ImpliedCurrencyAndAmount" on page 134

7.1.9 Identification Information

7.1.9.1 GenericIdentification78

Definition: Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		82
	Identification <Id>	[0..1]	Text		83

7.1.9.1.1 Type <Tp>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Type <Tp> contains the following elements (see "GenericIdentification30" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.9.1.2 Identification <Id>

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

Datatype: "Max35Text" on page 150

7.1.9.2 IdentificationType42Choice

Definition: Choice between a code and a data source scheme to specify the type of alternate identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		83
Or}	Proprietary <Prtry>	[1..1]	±		83

7.1.9.2.1 Code <Cd>

Presence: [1..1]

Definition: Type of identification is defined using a code.

Datatype: "TypeOfIdentification1Code" on page 144

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.1.9.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of identification is defined using a data source scheme.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.9.3 GenericIdentification36

Definition: Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		84
	Issuer <Issr>	[1..1]	Text		84
	SchemeName <SchmeNm>	[0..1]	Text		84

7.1.9.3.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Max35Text](#)" on page 150

7.1.9.3.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "[Max35Text](#)" on page 150

7.1.9.3.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "[Max35Text](#)" on page 150

7.1.9.4 IdentificationSource3Choice

Definition: Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		84
Or}	Proprietary <Prtry>	[1..1]	Text		85

7.1.9.4.1 Code <Cd>

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "ExternalFinancialInstrumentIdentificationType1Code" on page 138

7.1.9.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: "Max35Text" on page 150

7.1.9.5 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.9.5.1 Identification <Id>

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 148

7.1.9.5.2 Issuer <Issr>

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 150

7.1.9.5.3 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 150

7.1.10 Market

7.1.10.1 MarketType8Choice

Definition: Choice of format for the market type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		86
Or}	Proprietary <Prtry>	[1..1]	±		86

7.1.10.1.1 Code <Cd>*Presence:* [1..1]*Definition:* Market type expressed as an ISO 20022 code.*Datatype:* "MarketType2Code" on page 140

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

7.1.10.1.2 Proprietary <Prtry>*Presence:* [1..1]*Definition:* Market type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.10.2 MarketIdentification1Choice*Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		86
Or}	Description <Desc>	[1..1]	Text		86

7.1.10.2.1 MarketIdentifierCode <MktldrCd>*Presence:* [1..1]*Definition:* ISO 10383 Market Identification Code.*Datatype:* "MICIdentifier" on page 147**7.1.10.2.2 Description <Desc>***Presence:* [1..1]*Definition:* Description of the market when no Market Identifier Code is available.*Datatype:* "Max35Text" on page 150

7.1.10.3 PlaceOfTradeIdentification1

Definition: Identification of market in which a trade transaction has been executed.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MarketTypeAndIdentification <MktTpAndId>	[0..1]	±	C17	87
	LEI <LEI>	[0..1]	IdentifierSet		87

7.1.10.3.1 MarketTypeAndIdentification <MktTpAndId>

Presence: [0..1]

Definition: Identification and type of the place of trade.

Impacted by: C17 "MarketTypeAndIdentificationRule"

MarketTypeAndIdentification <MktTpAndId> contains the following elements (see "MarketIdentification84" on page 87 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		88
	Type <Tp>	[1..1]	±		88

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

7.1.10.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a place of trade.

Datatype: "LEIIdentifier" on page 147

7.1.10.4 MarketIdentification84

Definition: Context, or geographic environment, in which trading parties may meet in order to negotiate and execute trades among themselves.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	±		88
	Type <Tp>	[1..1]	±		88

Constraints

- **MarketTypeAndIdentificationRule**

If Type/Code is OverTheCounter (OTCO) and if Identification is present, then Identification/Description must specify a system.

If Type/Code is Exchange (EXCH) and if Identification is present, then Identification/MarketIdentifierCode must be present.

7.1.10.4.1 Identification <Id>

Presence: [0..1]

Definition: Code allocated to places of trade, that is, stock exchanges, regulated markets, for example, Electronic Trading Platforms (ECN), and unregulated markets, for example, Automated Trading Systems (ATS), as sources of prices and related information, in order to facilitate automated processing.

Identification <Id> contains one of the following elements (see "[MarketIdentification1Choice](#)" on page 86 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktIdrCd>	[1..1]	IdentifierSet		86
Or}	Description <Desc>	[1..1]	Text		86

7.1.10.4.2 Type <Tp>

Presence: [1..1]

Definition: Nature of a market in which transactions take place.

Type <Tp> contains one of the following elements (see "[MarketType8Choice](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		86
Or}	Proprietary <Prtry>	[1..1]	±		86

7.1.11 Miscellaneous**7.1.11.1 SafekeepingPlaceFormat41Choice**

Definition: Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			89
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		89
	Identification <Id>	[0..1]	Text		89
Or	Country <Ctry>	[1..1]	CodeSet	C5	89
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		90
Or	TypeAndIdentification <TpAndId>	[1..1]			90
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		90
	Identification <Id>	[1..1]	IdentifierSet	C3	90
Or}	Proprietary <Prtry>	[1..1]	±		91

7.1.11.1.1 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

Identification <Id> contains the following **SafekeepingPlaceTypeAndText8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		89
	Identification <Id>	[0..1]	Text		89

7.1.11.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 141

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.1.11.1.1.2 Identification <Id>

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "Max35Text" on page 150

7.1.11.1.2 Country <Ctry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.11.1.3 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 146

7.1.11.1.4 TypeAndIdentification <TpAndId>

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

TypeAndIdentification <TpAndId> contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		90
	Identification <Id>	[1..1]	IdentifierSet	C3	90

7.1.11.1.4.1 SafekeepingPlaceType <SfkpgPlcTp>

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace1Code" on page 140

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.1.11.1.4.2 Identification <Id>

Presence: [1..1]

Definition: Place of safekeeping.

Impacted by: C3 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 145

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.11.1.5 Proprietary <Prtry>

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

Proprietary <Prtry> contains the following elements (see "GenericIdentification78" on page 82 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		82
	Identification <Id>	[0..1]	Text		83

7.1.11.2 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		91
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		91

7.1.11.2.1 PageNumber <PgNb>

Presence: [1..1]

Definition: Page number.

Datatype: "Max5NumericText" on page 150

7.1.11.2.2 LastPageIndicator <LastPgInd>

Presence: [1..1]

Definition: Indicates the last page.

Datatype: One of the following values must be used (see "YesNoIndicator" on page 147):

- *Meaning When True:* Yes
- *Meaning When False:* No

7.1.11.3 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PlaceAndName <PlcAndNm>	[0..1]	Text		92
	Envelope <Envlp>	[1..1]	(External Schema)		92

Constraints

- **SupplementaryDataRule**

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

7.1.11.3.1 PlaceAndName <PlcAndNm>

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 150

7.1.11.3.2 Envelope <Envlp>

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

Type: (External Schema)

Technical component that contains the validated supplementary data information. This technical envelope allows to segregate the supplementary data information from any other information.

7.1.11.4 SecuritiesTransactionType48Choice

Definition: Choice of formats for a repair reason.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		92
Or}	Proprietary <Prtry>	[1..1]	±		95

7.1.11.4.1 Code <Cd>

Presence: [1..1]

Definition: Securities transaction type expressed as an ISO 20022 code.

Datatype: "SecuritiesTransactionType27Code" on page 141

CodeName	Name	Definition
BSBK	BuySellBack	Relates to a buy sell back transaction.

CodeName	Name	Definition
BYIY	BuyIn	Relates to a buy-in by the market following a delivery transaction failure.
CNCB	CentralBankCollateralOperation	Relates to a collateral delivery/receipt to a national central bank for central bank credit operations.
COLI	CollateralIn	Relates to a collateral transaction, from the point of view of the collateral taker or its agent.
COLO	CollateralOut	Relates to a collateral transaction, from the point of view of the collateral giver or its agent.
CONV	DepositoryReceiptConversion	Relates to a depository receipt conversion.
FCTA	FactorUpdate	Relates to a factor update.
INSP	MoveOfStock	Relates to a movement of shares into or out of a pooled account.
ISSU	Issuance	Relates to the issuance of a security such as an equity or a depository receipt.
MKDW	MarkDown	Relates to the decrease of positions held by an International Central Securities Depository (ICSD) at the common depository due to custody operations (repurchase, pre-release, proceeds of corporate event realigned).
MKUP	MarkUp	Relates to the increase of positions held by an International Central Securities Depository (ICSD) at the common depository due to custody operations (repurchase, pre-release, proceeds of corporate event realigned).
NETT	Netting	Relates to the netting of settlement instructions.
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes) under a program and without syndication arrangement.
OWNE	ExternalAccountTransfer	Relates to an account transfer involving more than one instructing party (message sender) and/or account servicer (messages receiver).
OWNI	InternalAccountTransfer	Relates to an account transfer involving one instructing party (message sender) at one account servicer (messages receiver).
PAIR	PairOff	Relates to a pair-off: the transaction is paired off and netted against one or more previous transactions.
PLAC	Placement	Relates to the placement/new issue of a financial instrument.

CodeName	Name	Definition
PORT	PortfolioMove	Relates to a portfolio move from one investment manager to another and/or from an account servicer to another. It is generally charged differently than another account transfer, hence the need to identify this type of transfer as such.
REAL	Realignment	Relates to a realignment of positions.
REDI	Withdrawal	Relates to the withdrawal of specified amounts from specified subaccounts.
REDM	Redemption	Relates to a redemption of funds (funds industry only).
RELE	DepositoryReceiptReleaseCancellation	Relates to a release (into/from local) of depository receipt operation.
REPU	Repo	Relates to a repurchase agreement transaction.
RODE	ReturnDeliveryWithoutMatching	Relates to the return of financial instruments resulting from a rejected delivery without a matching operation.
RVPO	ReverseRepurchaseAgreement	Relates to a reverse repurchase agreement transaction.
SBBK	SellBuyBack	Relates to a sell buy back transaction.
SBRE	BorrowingReallocation	Internal reallocation of a borrowed holding from one safekeeping account to another.
SECB	SecuritiesBorrowing	Relates to a securities borrowing operation.
SECL	SecuritiesLending	Relates to a securities lending operation.
SLRE	LendingReallocation	Internal reallocation of a holding on loan from one safekeeping account to another.
SUBS	Subscription	Relates to a subscription to funds (funds industry only).
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.
TBAC	TBAClosing	Relates to a To Be Announced (TBA) closing trade.
TRAD	Trade	Relates to the settlement of a trade.
TRPO	TripartyRepo	Relates to a triparty repurchase agreement.
TRVO	TripartyReverseRepo	Relates to a triparty reverse repurchase agreement.
TURN	Turnaround	Relates to a turnaround: the same security is bought and sold to settle the same day, to or from different brokers.
CLAI	MarketClaim	Relates to a market claim.

CodeName	Name	Definition
CORP	CorporateAction	Relates to a corporate action.
AUTO	AutoCollateralisation	Relates to an auto-collateralisation movement.
SWIF	SwitchFrom	Transaction is a change of an investment from one sub-fund to another sub-fund (redemption-leg).
SWIT	SwitchTo	Transaction is a change of an investment from one sub-fund to another sub-fund (subscription-leg).
ETFT	ExchangeTradedFunds	Relates to an ETF creation or redemption.
REBL	Rebalancing	Relates to a rebalanced transaction.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment (subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.

7.1.11.4.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Securities transaction type expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.11.5 BlockChainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		96
	Type <Tp>	[0..1]	±		96
	Name <Nm>	[0..1]	Text		96

7.1.11.5.1 Identification <Id>*Presence:* [1..1]*Definition:* Unambiguous identification for the account between the account owner and the account servicer.*Datatype:* "Max140Text" on page 149**7.1.11.5.2 Type <Tp>***Presence:* [0..1]*Definition:* Specifies the type of securities account.**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.11.5.3 Name <Nm>*Presence:* [0..1]*Definition:* Description of the account.*Datatype:* "Max70Text" on page 151**7.1.11.6 OriginalBusinessQuery1***Definition:* Unique identification, as assigned by the original requestor, to unambiguously identify the business query message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	MessageIdentification <MsgId>	[1..1]	Text		96
	MessageNameIdentification <MsgNmId>	[0..1]	Text		96
	CreationDateTime <CreDtTm>	[0..1]	DateTime		97

7.1.11.6.1 MessageIdentification <MsgId>*Presence:* [1..1]*Definition:* Point to point reference, as assigned by the original initiating party, to unambiguously identify the original query message.*Datatype:* "Max35Text" on page 150**7.1.11.6.2 MessageNameIdentification <MsgNmId>***Presence:* [0..1]*Definition:* Specifies the query message name identifier to which the message refers.*Datatype:* "Max35Text" on page 150

7.1.11.6.3 CreationDateTime <CreDtTm>*Presence:* [0..1]*Definition:* Date and time at which the message was created.*Datatype:* "ISODateTime" on page 144**7.1.12 Party Identification****7.1.12.1 PartyIdentification315***Definition:* Identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			97
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	97
Or	NameAndAddress <NmAndAdr>	[1..1]	±		98
Or	Country <Ctry>	[1..1]	CodeSet	C5	98
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		98
	LEI <LEI>	[0..1]	IdentifierSet		98
	AlternateIdentification <AltrnId>	[0..1]	±		98
	ProcessingDate <PrcgDt>	[0..1]	±		99
	ProcessingIdentification <PrcgId>	[0..1]	Text		99
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	99

7.1.12.1.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous way to identify an organisation.**Identification <Id>** contains one of the following **PartyIdentification257Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	97
Or	NameAndAddress <NmAndAdr>	[1..1]	±		98
Or	Country <Ctry>	[1..1]	CodeSet	C5	98
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		98

7.1.12.1.1.1 AnyBIC <AnyBIC>*Presence:* [1..1]*Definition:* Unique and unambiguous way to identify an organisation.*Impacted by:* C3 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 145

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.12.1.1.2 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

NameAndAddress <NmAndAdr> contains the following elements (see "NameAndAddress5" on page 124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		124
	Address <Adr>	[0..1]	±		125

7.1.12.1.1.3 Country <Ctry>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.12.1.1.4 DigitalLedgerIdentification <DgtlLdgrId>

Presence: [1..1]

Definition: Place of settlement expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 146

7.1.12.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 147

7.1.12.1.3 AlternateIdentification <AltrnId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrnId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		114
	Country <Ctry>	[1..1]	CodeSet	C5	114
	AlternateIdentification <AltrnId>	[1..1]	Text		115

7.1.12.1.4 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date/time at which the instruction was processed by the specified party.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

7.1.12.1.5 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: "[Max35Text](#)" on page 150

7.1.12.1.6 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information to a party identification.

Impacted by: [C3 "AdditionalDetailsGuideline"](#)

AdditionalInformation <AddtlInf> contains the following elements (see "[PartyTextInformation1](#)" on page 113 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtIs>	[0..1]	Text		114
	PartyContactDetails <PtyCtctDtIs>	[0..1]	Text		114
	RegistrationDetails <RegnDtIs>	[0..1]	Text		114

Constraints

- **AdditionalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

7.1.12.2 PartyIdentificationAndAccount196

Definition: Party and account details.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.12.2.1 Identification <Id>

Presence: [1..1]

Definition: Identification of the party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on page 112 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	112
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		113

7.1.12.2.2 LEI <LEI>

Presence: [0..1]

Definition: Legal Entity Identification as an alternate identification for a party.

Datatype: "[LEIIdentifier](#)" on page 147

7.1.12.2.3 AlternateIdentification <AltrId>

Presence: [0..1]

Definition: Alternate identification for a party.

AlternateIdentification <AltrId> contains the following elements (see "[AlternatePartyIdentification7](#)" on page 114 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		114
	Country <Ctry>	[1..1]	CodeSet	C5	114
	AlternateIdentification <AltrId>	[1..1]	Text		115

7.1.12.2.4 SafekeepingAccount <SfkpgAcct>

Presence: [0..1]

Definition: Account to or from which a securities entry is made.

SafekeepingAccount <SfkpgAcct> contains the following elements (see "[SecuritiesAccount19](#)" on page 67 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		67
	Type <Tp>	[0..1]	±		67
	Name <Nm>	[0..1]	Text		68

7.1.12.2.5 BlockchainAddressOrWallet <BlckChainAdrOrWlt>

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

BlockChainAddressOrWallet <BlickChainAdrOrWllt> contains the following elements (see "[BlockChainAddressWallet3](#)" on page 95 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		96
	Type <Tp>	[0..1]	±		96
	Name <Nm>	[0..1]	Text		96

7.1.12.2.6 ProcessingDate <PrcgDt>

Presence: [0..1]

Definition: Date/time at which the instruction was processed by the specified party.

ProcessingDate <PrcgDt> contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 72 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		72
Or}	DateTime <DtTm>	[1..1]	DateTime		72

7.1.12.2.7 ProcessingIdentification <PrcgId>

Presence: [0..1]

Definition: Unambiguous identification of the transaction for the party identified.

Datatype: "[Max35Text](#)" on page 150

7.1.12.2.8 AdditionalInformation <AddtlInf>

Presence: [0..1]

Definition: Provides additional information to a party identification.

Impacted by: [C3 "AdditonalDetailsGuideline"](#)

AdditionalInformation <AddtlInf> contains the following elements (see "[PartyTextInformation1](#)" on page 113 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <Dclrtndtls>	[0..1]	Text		114
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		114
	RegistrationDetails <RegnDtls>	[0..1]	Text		114

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

7.1.12.3 PartyIdentification136

Definition: Identification of the party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		103
	LEI <LEI>	[0..1]	IdentifierSet		103

7.1.12.3.1 Identification <Id>

Presence: [1..1]

Definition: Unique identification of the party.

Identification <Id> contains one of the following elements (see "[PartyIdentification120Choice](#)" on [page 112](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	112
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		113

7.1.12.3.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "[LEIIdentifier](#)" on [page 147](#)

7.1.12.4 PartyIdentification272

Definition: Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		104
	PostalAddress <PstlAdr>	[0..1]	±		105
	Identification <Id>	[0..1]			105
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C5	111
	ContactDetails <CtctDtls>	[0..1]	±		111

7.1.12.4.1 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 149

7.1.12.4.2 PostalAddress <PstlAdr>*Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress27" on page 118 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		120
	CareOf <CareOf>	[0..1]	Text		120
	Department <Dept>	[0..1]	Text		120
	SubDepartment <SubDept>	[0..1]	Text		120
	StreetName <StrtNm>	[0..1]	Text		120
	BuildingNumber <BldgNb>	[0..1]	Text		121
	BuildingName <BldgNm>	[0..1]	Text		121
	Floor <Flr>	[0..1]	Text		121
	UnitNumber <UnitNb>	[0..1]	Text		121
	PostBox <PstBx>	[0..1]	Text		121
	Room <Room>	[0..1]	Text		121
	PostCode <PstCd>	[0..1]	Text		121
	TownName <TwnNm>	[0..1]	Text		121
	TownLocationName <TwnLctnNm>	[0..1]	Text		122
	DistrictName <DstrctNm>	[0..1]	Text		122
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		122
	Country <Ctry>	[0..1]	CodeSet	C5	122
	AddressLine <AdrLine>	[0..7]	Text		122

7.1.12.4.3 Identification <Id>*Presence:* [0..1]*Definition:* Unique and unambiguous identification of a party.

Identification <Id> contains one of the following **Party52Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]			106
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108
Or}	PrivateIdentification <PrvtId>	[1..1]			108
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111

7.1.12.4.3.1 OrganisationIdentification <OrgId>

Presence: [1..1]

Definition: Unique and unambiguous way to identify an organisation.

OrganisationIdentification <OrgId> contains the following **OrganisationIdentification39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C3	107
	LEI <LEI>	[0..1]	IdentifierSet		107
	Other <Othr>	[0..*]			107
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108

7.1.12.4.3.1.1 AnyBIC <AnyBIC>

Presence: [0..1]

Definition: Business identification code of the organisation.

Impacted by: C3 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 145

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.12.4.3.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a party.

Datatype: "LEIIdentifier" on page 147

7.1.12.4.3.1.3 Other <Othr>

Presence: [0..*]

Definition: Unique identification of an organisation, as assigned by an institution, using an identification scheme.

Other <Othr> contains the following **GenericOrganisationIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		108
	SchemeName <SchmeNm>	[0..1]			108
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108
	Issuer <Issr>	[0..1]	Text		108

7.1.12.4.3.1.3.1 Identification <Id>

Presence: [1..1]

Definition: Identification assigned by an institution.

Datatype: "Max256Text" on page 149

7.1.12.4.3.1.3.2 SchemeName <SchmeNm>

Presence: [0..1]

Definition: Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		108
Or}	Proprietary <Prtry>	[1..1]	Text		108

7.1.12.4.3.1.3.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalOrganisationIdentification1Code" on page 139

7.1.12.4.3.1.3.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 150

7.1.12.4.3.1.3.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 150

7.1.12.4.3.2 PrivateIdentification <PrvtId>

Presence: [1..1]

Definition: Unique and unambiguous identification of a person, for example a passport.

PrivateIdentification <PrvtId> contains the following **PersonIdentification18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			109
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110
	Other <Othr>	[0..*]			110
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer </Issr>	[0..1]	Text		111

7.1.12.4.3.2.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

Presence: [0..1]

Definition: Date and place of birth of a person.

DateAndPlaceOfBirth <DtAndPlcOfBirth> contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		109
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		109
	CityOfBirth <CityOfBirth>	[1..1]	Text		110
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C5	110

7.1.12.4.3.2.1.1 BirthDate <BirthDt>

Presence: [1..1]

Definition: Date on which a person is born.

Datatype: "ISODate" on page 144

7.1.12.4.3.2.1.2 ProvinceOfBirth <PrvcOfBirth>

Presence: [0..1]

Definition: Province where a person was born.

Datatype: "Max35Text" on page 150

7.1.12.4.3.2.1.3 CityOfBirth <CityOfBirth>*Presence:* [1..1]*Definition:* City where a person was born.*Datatype:* "Max35Text" on page 150**7.1.12.4.3.2.1.4 CountryOfBirth <CtryOfBirth>***Presence:* [1..1]*Definition:* Country where a person was born.*Impacted by:* C5 "Country"*Datatype:* "CountryCode" on page 136**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.12.4.3.2.2 Other <Othr>*Presence:* [0..*]*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.**Other <Othr>** contains the following **GenericPersonIdentification2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		110
	SchemeName <SchmeNm>	[0..1]			110
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111
	Issuer <Issr>	[0..1]	Text		111

7.1.12.4.3.2.2.1 Identification <Id>*Presence:* [1..1]*Definition:* Unique and unambiguous identification of a person.*Datatype:* "Max256Text" on page 149**7.1.12.4.3.2.2.2 SchemeName <SchmeNm>***Presence:* [0..1]*Definition:* Name of the identification scheme.

SchemeName <SchmeNm> contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		111
Or}	Proprietary <Prtry>	[1..1]	Text		111

7.1.12.4.3.2.2.1 Code <Cd>

Presence: [1..1]

Definition: Name of the identification scheme, in a coded form as published in an external list.

Datatype: "ExternalPersonIdentification1Code" on page 139

7.1.12.4.3.2.2.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Name of the identification scheme, in a free text form.

Datatype: "Max35Text" on page 150

7.1.12.4.3.2.2.3 Issuer <Issr>

Presence: [0..1]

Definition: Entity that assigns the identification.

Datatype: "Max35Text" on page 150

7.1.12.4.4 CountryOfResidence <CtryOfRes>

Presence: [0..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.12.4.5 ContactDetails <CtctDtls>

Presence: [0..1]

Definition: Set of elements used to indicate how to contact the party.

ContactDetails <CtctDtls> contains the following elements (see "Contact13" on page 115 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		116
	Name <Nm>	[0..1]	Text		116
	PhoneNumber <PhneNb>	[0..1]	Text		116
	MobileNumber <MobNb>	[0..1]	Text		116
	FaxNumber <FaxNb>	[0..1]	Text		116
	URLAddress <URLAdr>	[0..1]	Text		116
	EmailAddress <EmailAdr>	[0..1]	Text		116
	EmailPurpose <EmailPurp>	[0..1]	Text		117
	JobTitle <JobTitl>	[0..1]	Text		117
	Responsibility <Rspnsblty>	[0..1]	Text		117
	Department <Dept>	[0..1]	Text		117
	Other <Othr>	[0..*]			117
	ChannelType <ChanlTp>	[1..1]	Text		117
	Identification <Id>	[0..1]	Text		117
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		118

7.1.12.5 PartyIdentification120Choice

Definition: Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	112
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		113
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		113

7.1.12.5.1 AnyBIC <AnyBIC>

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Impacted by: C3 "AnyBIC"

Datatype: "AnyBICDec2014Identifier" on page 145

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.1.12.5.2 ProprietaryIdentification <PrtryId>

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

ProprietaryIdentification <PrtryId> contains the following elements (see "[GenericIdentification36](#)" on page 84 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		84
	Issuer <Issr>	[1..1]	Text		84
	SchemeName <SchmeNm>	[0..1]	Text		84

7.1.12.5.3 NameAndAddress <NmAndAdr>

Presence: [1..1]

Definition: Name and address of a party.

NameAndAddress <NmAndAdr> contains the following elements (see "[NameAndAddress5](#)" on page 124 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		124
	Address <Adr>	[0..1]	±		125

7.1.12.6 PartyTextInformation1

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		114
	PartyContactDetails <PtyCtctDtls>	[0..1]	Text		114
	RegistrationDetails <RegnDtls>	[0..1]	Text		114

Constraints

- **AdditonalDetailsGuideline**

Unless bilaterally agreed between the Sender and Receiver, DeclarationIDetails, RegistrationDetails and PartyContactDetails must not contain information that can be provided in a structured field.

7.1.12.6.1 DeclarationDetails <DclrtnDtls>

Presence: [0..1]

Definition: Provides declaration details narrative relative to the party.

Datatype: "Max350Text" on page 150

7.1.12.6.2 PartyContactDetails <PtyCtctDtls>

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: "Max140Text" on page 149

7.1.12.6.3 RegistrationDetails <RegnDtls>

Presence: [0..1]

Definition: Provides information required for the registration.

Datatype: "Max350Text" on page 150

7.1.12.7 AlternatePartyIdentification7

Definition: Alternate identification for a party using an identification type, a country code and a text field.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	IdentificationType <IdTp>	[1..1]	±		114
	Country <Ctry>	[1..1]	CodeSet	C5	114
	AlternateIdentification <AltrnId>	[1..1]	Text		115

7.1.12.7.1 IdentificationType <IdTp>

Presence: [1..1]

Definition: Specifies the type of alternate identification of the party identified.

IdentificationType <IdTp> contains one of the following elements (see "[IdentificationType42Choice](#)" on page 83 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		83
Or}	Proprietary <Prtry>	[1..1]	±		83

7.1.12.7.2 Country <Ctry>

Presence: [1..1]

Definition: Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.12.7.3 AlternateIdentification <AltrnId>

Presence: [1..1]

Definition: Alternate identification for a party.

Datatype: "Max35Text" on page 150

7.1.13 Person Identification

7.1.13.1 Contact13

Definition: Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		116
	Name <Nm>	[0..1]	Text		116
	PhoneNumber <PhneNb>	[0..1]	Text		116
	MobileNumber <MobNb>	[0..1]	Text		116
	FaxNumber <FaxNb>	[0..1]	Text		116
	URLAddress <URLAdr>	[0..1]	Text		116
	EmailAddress <EmailAdr>	[0..1]	Text		116
	EmailPurpose <EmailPurp>	[0..1]	Text		117
	JobTitle <JobTitl>	[0..1]	Text		117
	Responsibility <Rspnsblty>	[0..1]	Text		117
	Department <Dept>	[0..1]	Text		117
	Other <Othr>	[0..*]			117
	ChannelType <ChanlTp>	[1..1]	Text		117
	Identification <Id>	[0..1]	Text		117
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		118

7.1.13.1.1 NamePrefix <NmPrfx>

Presence: [0..1]

Definition: Specifies the terms used to formally address a person.

Datatype: "NamePrefix2Code" on page 140

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

7.1.13.1.2 Name <Nm>

Presence: [0..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max140Text" on page 149

7.1.13.1.3 PhoneNumber <PhneNb>

Presence: [0..1]

Definition: Collection of information that identifies a phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 151

7.1.13.1.4 MobileNumber <MobNb>

Presence: [0..1]

Definition: Collection of information that identifies a mobile phone number, as defined by telecom services.

Datatype: "PhoneNumber" on page 151

7.1.13.1.5 FaxNumber <FaxNb>

Presence: [0..1]

Definition: Collection of information that identifies a FAX number, as defined by telecom services.

Datatype: "PhoneNumber" on page 151

7.1.13.1.6 URLAddress <URLAdr>

Presence: [0..1]

Definition: Address for the Universal Resource Locator (URL), for example an address used over the www (HTTP) service.

Datatype: "Max2048Text" on page 149

7.1.13.1.7 EmailAddress <EmailAdr>

Presence: [0..1]

Definition: Address for electronic mail (e-mail).

Datatype: "Max256Text" on page 149

7.1.13.1.8 EmailPurpose <EmailPurp>

Presence: [0..1]

Definition: Purpose for which an email address may be used.

Datatype: "Max35Text" on page 150

7.1.13.1.9 JobTitle <JobTitl>

Presence: [0..1]

Definition: Title of the function.

Datatype: "Max35Text" on page 150

7.1.13.1.10 Responsibility <Rspnsblty>

Presence: [0..1]

Definition: Role of a person in an organisation.

Datatype: "Max35Text" on page 150

7.1.13.1.11 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "Max70Text" on page 151

7.1.13.1.12 Other <Othr>

Presence: [0..*]

Definition: Contact details in another form.

Other <Othr> contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanITp>	[1..1]	Text		117
	Identification <Id>	[0..1]	Text		117

7.1.13.1.12.1 ChannelType <ChanITp>

Presence: [1..1]

Definition: Method used to contact the financial institution's contact for the specific tax region.

Datatype: "Max4Text" on page 150

7.1.13.1.12.2 Identification <Id>

Presence: [0..1]

Definition: Communication value such as phone number or email address.

Datatype: "Max128Text" on page 149

7.1.13.1.13 PreferredMethod <PrefrdMtd>*Presence:* [0..1]*Definition:* Preferred method used to reach the contact.*Datatype:* "PreferredContactMethod2Code" on page 140

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

7.1.14 Postal Address**7.1.14.1 PostalAddress27***Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			119
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		120
	CareOf <CareOf>	[0..1]	Text		120
	Department <Dept>	[0..1]	Text		120
	SubDepartment <SubDept>	[0..1]	Text		120
	StreetName <StrtNm>	[0..1]	Text		120
	BuildingNumber <BldgNb>	[0..1]	Text		121
	BuildingName <BldgNm>	[0..1]	Text		121
	Floor <Flr>	[0..1]	Text		121
	UnitNumber <UnitNb>	[0..1]	Text		121
	PostBox <PstBx>	[0..1]	Text		121
	Room <Room>	[0..1]	Text		121
	PostCode <PstCd>	[0..1]	Text		121
	TownName <TwnNm>	[0..1]	Text		121
	TownLocationName <TwnLctnNm>	[0..1]	Text		122
	DistrictName <DstrctNm>	[0..1]	Text		122
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		122
	Country <Ctry>	[0..1]	CodeSet	C5	122
	AddressLine <AdrLine>	[0..7]	Text		122

7.1.14.1.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

AddressType <AdrTp> contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		119
Or}	Proprietary <Prtry>	[1..1]	±		120

7.1.14.1.1.1 Code <Cd>

Presence: [1..1]

Definition: Type of address expressed as a code.

Datatype: "AddressType2Code" on page 135

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.1.14.1.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Type of address expressed as a proprietary code.

Proprietary <Prtry> contains the following elements (see "[GenericIdentification30](#)" on page 85 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		85
	Issuer <Issr>	[1..1]	Text		85
	SchemeName <SchmeNm>	[0..1]	Text		85

7.1.14.1.2 CareOf <CareOf>

Presence: [0..1]

Definition: Identifies an addressee that is accepting the correspondence for the intended recipient. Using care of ensures the correspondence reaches the right recipient rather than getting returned to the sender.

Datatype: "[Max140Text](#)" on page 149

7.1.14.1.3 Department <Dept>

Presence: [0..1]

Definition: Identification of a division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 151

7.1.14.1.4 SubDepartment <SubDept>

Presence: [0..1]

Definition: Identification of a sub-division of a large organisation or building.

Datatype: "[Max70Text](#)" on page 151

7.1.14.1.5 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max140Text" on page 149

7.1.14.1.6 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 149

7.1.14.1.7 BuildingName <BldgNm>

Presence: [0..1]

Definition: Name of the building or house.

Datatype: "Max140Text" on page 149

7.1.14.1.8 Floor <Flr>

Presence: [0..1]

Definition: Floor or storey within a building.

Datatype: "Max70Text" on page 151

7.1.14.1.9 UnitNumber <UnitNb>

Presence: [0..1]

Definition: Identifies a flat or dwelling within the building.

Datatype: "Max16Text" on page 149

7.1.14.1.10 PostBox <PstBx>

Presence: [0..1]

Definition: Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

Datatype: "Max16Text" on page 149

7.1.14.1.11 Room <Room>

Presence: [0..1]

Definition: Building room number.

Datatype: "Max70Text" on page 151

7.1.14.1.12 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 149

7.1.14.1.13 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max140Text" on page 149

7.1.14.1.14 TownLocationName <TwnLctnNm>

Presence: [0..1]

Definition: Specific location name within the town.

Datatype: "Max140Text" on page 149

7.1.14.1.15 DistrictName <DstrctNm>

Presence: [0..1]

Definition: Identifies a subdivision within a country sub-division.

Datatype: "Max140Text" on page 149

7.1.14.1.16 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country such as state, region, county.

Datatype: "Max35Text" on page 150

7.1.14.1.17 Country <Ctry>

Presence: [0..1]

Definition: Nation with its own government.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.14.1.18 AddressLine <AdrLine>

Presence: [0..7]

Definition: Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

Datatype: "Max70Text" on page 151

7.1.14.2 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		123
	AddressLine <AdrLine>	[0..5]	Text		123
	StreetName <StrtNm>	[0..1]	Text		123
	BuildingNumber <BldgNb>	[0..1]	Text		123
	PostCode <PstCd>	[0..1]	Text		124
	TownName <TwnNm>	[0..1]	Text		124
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		124
	Country <Ctry>	[1..1]	CodeSet	C5	124

7.1.14.2.1 AddressType <AdrTp>

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 135

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.1.14.2.2 AddressLine <AdrLine>

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 151

7.1.14.2.3 StreetName <StrtNm>

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 151

7.1.14.2.4 BuildingNumber <BldgNb>

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 149

7.1.14.2.5 PostCode <PstCd>

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 149

7.1.14.2.6 TownName <TwnNm>

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 150

7.1.14.2.7 CountrySubDivision <CtrySubDvsn>

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 150

7.1.14.2.8 Country <Ctry>

Presence: [1..1]

Definition: Nation with its own government.

Impacted by: C5 "Country"

Datatype: "CountryCode" on page 136

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.1.14.3 NameAndAddress5

Definition: Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		124
	Address <Adr>	[0..1]	±		125

7.1.14.3.1 Name <Nm>

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: "Max350Text" on page 150

7.1.14.3.2 Address <Adr>

Presence: [0..1]

Definition: Postal address of the party.

Address <Adr> contains the following elements (see "PostalAddress1" on page 122 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		123
	AddressLine <AdrLine>	[0..5]	Text		123
	StreetName <StrtNm>	[0..1]	Text		123
	BuildingNumber <BldgNb>	[0..1]	Text		123
	PostCode <PstCd>	[0..1]	Text		124
	TownName <TwnNm>	[0..1]	Text		124
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		124
	Country <Ctry>	[1..1]	CodeSet	C5	124

7.1.15 Safekeeping Place

7.1.15.1 SafeKeepingPlace5

Definition: Place where the securities are safe-kept, physically or notionally. This place can be, for example, a local custodian, a Central Securities Depository (CSD) or an International Central Securities Depository (ICSD).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceFormat <SfkpgPlcFrmt>	[0..1]	±		125
	LEI <LEI>	[0..1]	IdentifierSet		126

Constraints

- **SafekeepingPlaceFormatOrLEIRule**

SafekeepingPlaceFormat must be present or LEI must be present.

Following Must be True

/SafekeepingPlaceFormat Must be present

or /LEI Must be present

7.1.15.1.1 SafekeepingPlaceFormat <SfkpgPlcFrmt>

Presence: [0..1]

Definition: Unique identification of the party.

SafekeepingPlaceFormat <SfkpgPlcFrmt> contains one of the following elements (see "SafekeepingPlaceFormat41Choice" on page 88 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			89
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		89
	Identification <Id>	[0..1]	Text		89
Or	Country <Ctry>	[1..1]	CodeSet	C5	89
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		90
Or	TypeAndIdentification <TpAndId>	[1..1]			90
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		90
	Identification <Id>	[1..1]	IdentifierSet	C3	90
Or}	Proprietary <Prtry>	[1..1]	±		91

7.1.15.1.2 LEI <LEI>

Presence: [0..1]

Definition: Legal entity identification as an alternate identification for a place of safekeeping.

Datatype: "LEIIdentifier" on page 147

7.1.16 Settlement Chain

7.1.16.1 SettlementParties126

Definition: Chain of parties involved in the settlement of a transaction, including receipts and deliveries, book transfers, treasury deals, or other activities, resulting in the movement of a security or amount of money from one account to another.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Depository <Dpstry>	[0..1]	±		128
	Party1 <Pty1>	[0..1]	±	C23, C24	128
	Party2 <Pty2>	[0..1]	±	C23, C24	129
	Party3 <Pty3>	[0..1]	±	C23, C24	130
	Party4 <Pty4>	[0..1]	±	C23, C24	131
	Party5 <Pty5>	[0..1]	±	C23, C24	132

Constraints

- **BookTransferGuideline**

In case of own account internal transfer, own account external transfer, portfolio transfer and in specie transfer (see SecuritiesTransactionType), the account of (delivering) Party2 at (delivering) Party1 must be provided.

For more details, see the relevant market practice document on www.smpg.info.

- **DepositoryGuideline**

In a delivery, the receiving depository is to be understood as the requested depository of the receiving counterparty.

In a receive, the delivering depository is to be understood as the requested depository of the delivering counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC is to be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the counterparty depository included in the message.

For more details, see the relevant market practice document on www.smpg.info.

- **Party2PresenceRule**

If Party2 is present, then Party1 must be present.

```
On Condition
  /Party2 is present
Following Must be True
  /Party1 Must be present
```

- **Party3PresenceRule**

If Party3 is present, then Party2 must be present.

```
On Condition
  /Party3 is present
Following Must be True
  /Party2 Must be present
```

- **Party4PresenceRule**

If Party4 is present, then Party3 must be present.

```
On Condition
  /Party4 is present
Following Must be True
  /Party3 Must be present
```

- **Party5PresenceRule**

If Party5 is present, then Party4 must be present.

```
On Condition
  /Party5 is present
Following Must be True
  /Party4 Must be present
```

- **SettlementChainGuideline**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive

free or against payment settlement instructions; the depository, the participant of the depository (Party1) and the client of Party1 (Party2).

The data format for the identification of Party1 depends on the market. If no country specific requirement is available, BIC is recommended.

For more details, see the relevant market practice document on www.smpg.info.

7.1.16.1.1 Depository <Dpstry>

Presence: [0..1]

Definition: First party in the settlement chain. In a plain vanilla settlement, it is the Central Securities Depository where the counterparty requests to receive the financial instrument or from where the counterparty delivers the financial instruments.

Depository <Dpstry> contains the following elements (see "[PartyIdentification315](#)" on page 97 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]			97
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C3	97
Or	NameAndAddress <NmAndAdr>	[1..1]	±		98
Or	Country <Ctry>	[1..1]	CodeSet	C5	98
Or}	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		98
	LEI <LEI>	[0..1]	IdentifierSet		98
	AlternateIdentification <AltrnId>	[0..1]	±		98
	ProcessingDate <PrcgDt>	[0..1]	±		99
	ProcessingIdentification <PrcgId>	[0..1]	Text		99
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	99

7.1.16.1.2 Party1 <Pty1>

Presence: [0..1]

Definition: Party that, in a settlement chain interacts with the depository.

Impacted by: [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#)

Party1 <Pty1> contains the following elements (see "[PartyIdentificationAndAccount196](#)" on page 100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.16.1.3 Party2 <Pty2>

Presence: [0..1]

Definition: Party that, in a settlement chain interacts with the party 1.

Impacted by: [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#)

Party2 <Pty2> contains the following elements (see "[PartyIdentificationAndAccount196](#)" on page 100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.16.1.4 Party3 <Pty3>

Presence: [0..1]

Definition: Party that, in a settlement chain interacts with the party 2.

Impacted by: [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#)

Party3 <Pty3> contains the following elements (see "[PartyIdentificationAndAccount196](#)" on page 100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.16.15 Party4 <Pty4>

Presence: [0..1]

Definition: Party that, in a settlement chain interacts with the party 3.

Impacted by: [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#)

Party4 <Pty4> contains the following elements (see "[PartyIdentificationAndAccount196](#)" on page 100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.16.1.6 Party5 <Pty5>

Presence: [0..1]

Definition: Party that, in a settlement chain interacts with the party 4.

Impacted by: [C23 "SafekeepingAccountOrBlockChainAddress1Rule"](#), [C24 "SafekeepingAccountOrBlockChainAddress2Rule"](#)

Party5 <Pty5> contains the following elements (see "[PartyIdentificationAndAccount196](#)" on page 100 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	±		100
	LEI <LEI>	[0..1]	IdentifierSet		101
	AlternateIdentification <AltrnId>	[0..1]	±		101
	SafekeepingAccount <SfkpgAcct>	[0..1]	±		101
	BlockChainAddressOrWallet <BlckChainAdrOrWllt>	[0..1]	±		101
	ProcessingDate <PrcgDt>	[0..1]	±		102
	ProcessingIdentification <PrcgId>	[0..1]	Text		102
	AdditionalInformation <AddtlInf>	[0..1]	±	C3	102

Constraints

- **SafekeepingAccountOrBlockChainAddress1Rule**

If SafekeepingAccount is present, BlockChainAddressOrWallet must be absent.

On Condition

/SafekeepingAccount is present

Following Must be True

/BlockChainAddressOrWallet Must be absent

- **SafekeepingAccountOrBlockChainAddress2Rule**

If BlockChainAddressOrWallet is present, SafekeepingAccount must be absent.

On Condition

/BlockChainAddressOrWallet is present

Following Must be True

/SafekeepingAccount Must be absent

7.1.17 System Identification

7.1.17.1 ClearingSystemIdentification2Choice

Definition: Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		133
Or}	Proprietary <Prtry>	[1..1]	Text		134

7.1.17.1.1 Code <Cd>

Presence: [1..1]

Definition: Identification of a clearing system, in a coded form as published in an external list.

Datatype: "[ExternalClearingSystemIdentification1Code](#)" on page 138

7.1.17.1.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Identification code for a clearing system, that has not yet been identified in the list of clearing systems.

Datatype: "Max35Text" on page 150

7.2 Message Datatypes

7.2.1 Amount

7.2.1.1 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 135

Format

minInclusive	0
totalDigits	18
fractionDigits	5

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

7.2.1.2 ImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

minInclusive	0
totalDigits	18
fractionDigits	5

7.2.2 CodeSet

7.2.2.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

7.2.2.2 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern	[A-Z]{3,3}
---------	------------

Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

7.2.2.3 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

7.2.2.4 CashObligationStatus1Code

Definition: Provides the status of the cash obligation.

Type: CodeSet

CodeName	Name	Definition
ACTL	Actual	Report contains the information related to the actual cash obligation.
PRJD	Projected	Report contains the information related to the projected cash obligation.

7.2.2.5 CashObligationStatusReason1Code

Definition: Provides the reason for the status of the cash obligation.

Type: CodeSet

CodeName	Name	Definition
INTR	InvalidTransactionReference	Invalid transaction reference.
INOS	InvalidObligationStatus	Invalid obligation status.
INPF	InvalidPaymentFacility	Invalid payment facility.
OTHR	Other	Other.

7.2.2.6 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

7.2.2.7 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

7.2.2.8 DateType3Code

Definition: Specifies a type of date.

Type: CodeSet

CodeName	Name	Definition
VARI	Various	Partial trades have occurred over a period of two or more days.

7.2.2.9 ExternalAccountIdentification1Code

Definition: Specifies the external account identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.10 ExternalCashAccountType1Code

Definition: Specifies the nature, or use, of the cash account in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
-----------	---

maxLength	4
-----------	---

7.2.2.11 ExternalCashInstructionStatus1Code

Definition: Specifies the external cash instruction status code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.12 ExternalClearingSystemIdentification1Code

Definition: Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	5

7.2.2.13 ExternalFinancialInstitutionIdentification1Code

Definition: Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.14 ExternalFinancialInstrumentIdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.15 ExternalOrganisationIdentification1Code

Definition: Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.16 ExternalPersonIdentification1Code

Definition: Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.17 ExternalProxyAccountType1Code

Definition: Specifies the external proxy account type code, as published in the proxy account type external code set.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

minLength	1
maxLength	4

7.2.2.18 MarketType2Code

Definition: Specifies the type of market in which transactions take place, for example, primary.

Type: CodeSet

CodeName	Name	Definition
PRIM	PrimaryMarket	The place is a primary market.
SECM	SecondaryMarket	The place is a secondary market.
OTCO	OverTheCounter	The place is over the counter.
VARI	Various	Various places.
EXCH	StockExchange	The place is a stock exchange.

7.2.2.19 NamePrefix2Code

Definition: Specifies the terms used to formally address a person.

Type: CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

7.2.2.20 PreferredContactMethod2Code

Definition: Preferred method used to reach the individual contact within an organisation.

Type: CodeSet

CodeName	Name	Definition
MAIL	Email	Preferred method used to reach the contact is per email.
FAXX	Fax	Preferred method used to reach the contact is per fax.
LETT	Letter	Preferred method used to reach the contact is per letter.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.
ONLI	Online	Preferred method used to reach the contact is online.
PHON	Phone	Preferred method used to reach the contact is per phone.

7.2.2.21 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.2.2.22 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

7.2.2.23 SecuritiesTransactionType27Code

Definition: Specifies underlying information regarding the type of settlement transaction.

Type: CodeSet

CodeName	Name	Definition
BSBK	BuySellBack	Relates to a buy sell back transaction.
BYIY	BuyIn	Relates to a buy-in by the market following a delivery transaction failure.
CNCB	CentralBankCollateralOperation	Relates to a collateral delivery/receipt to a national central bank for central bank credit operations.
COLI	CollateralIn	Relates to a collateral transaction, from the point of view of the collateral taker or its agent.
COLO	CollateralOut	Relates to a collateral transaction, from the point of view of the collateral giver or its agent.
CONV	DepositoryReceiptConversion	Relates to a depository receipt conversion.
FCTA	FactorUpdate	Relates to a factor update.
INSP	MoveOfStock	Relates to a movement of shares into or out of a pooled account.

CodeName	Name	Definition
ISSU	Issuance	Relates to the issuance of a security such as an equity or a depositary receipt.
MKDW	MarkDown	Relates to the decrease of positions held by an International Central Securities Depository (ICSD) at the common depository due to custody operations (repurchase, pre-release, proceeds of corporate event realigned).
MKUP	MarkUp	Relates to the increase of positions held by an International Central Securities Depository (ICSD) at the common depository due to custody operations (repurchase, pre-release, proceeds of corporate event realigned).
NETT	Netting	Relates to the netting of settlement instructions.
NSYN	NonSyndicated	Relates to the issue of medium and short term paper (CP, CD, MTN, notes) under a program and without syndication arrangement.
OWNE	ExternalAccountTransfer	Relates to an account transfer involving more than one instructing party (message sender) and/or account servicer (messages receiver).
OWNI	InternalAccountTransfer	Relates to an account transfer involving one instructing party (message sender) at one account servicer (messages receiver).
PAIR	PairOff	Relates to a pair-off: the transaction is paired off and netted against one or more previous transactions.
PLAC	Placement	Relates to the placement/new issue of a financial instrument.
PORT	PortfolioMove	Relates to a portfolio move from one investment manager to another and/or from an account servicer to another. It is generally charged differently than another account transfer, hence the need to identify this type of transfer as such.
REAL	Realignment	Relates to a realignment of positions.
REDI	Withdrawal	Relates to the withdrawal of specified amounts from specified subaccounts.
REDM	Redemption	Relates to a redemption of funds (funds industry only).
RELE	DepositoryReceiptReleaseCancellation	Relates to a release (into/from local) of depository receipt operation.
REPU	Repo	Relates to a repurchase agreement transaction.

CodeName	Name	Definition
RODE	ReturnDeliveryWithoutMatching	Relates to the return of financial instruments resulting from a rejected delivery without a matching operation.
RVPO	ReverseRepurchaseAgreement	Relates to a reverse repurchase agreement transaction.
SBBK	SellBuyBack	Relates to a sell buy back transaction.
SBRE	BorrowingReallocation	Internal reallocation of a borrowed holding from one safekeeping account to another.
SECB	SecuritiesBorrowing	Relates to a securities borrowing operation.
SECL	SecuritiesLending	Relates to a securities lending operation.
SLRE	LendingReallocation	Internal reallocation of a holding on loan from one safekeeping account to another.
SUBS	Subscription	Relates to a subscription to funds (funds industry only).
SYND	SyndicateUnderwriters	Relates to the issue of financial instruments through a syndicate of underwriters and a lead manager.
TBAC	TBAClosing	Relates to a To Be Announced (TBA) closing trade.
TRAD	Trade	Relates to the settlement of a trade.
TRPO	TripartyRepo	Relates to a triparty repurchase agreement.
TRVO	TripartyReverseRepo	Relates to a triparty reverse repurchase agreement.
TURN	Turnaround	Relates to a turnaround: the same security is bought and sold to settle the same day, to or from different brokers.
CLAI	MarketClaim	Relates to a market claim.
CORP	CorporateAction	Relates to a corporate action.
AUTO	AutoCollateralisation	Relates to an auto-collateralisation movement.
SWIF	SwitchFrom	Transaction is a change of an investment from one sub-fund to another sub-fund (redemption-leg).
SWIT	SwitchTo	Transaction is a change of an investment from one sub-fund to another sub-fund (subscription-leg).
ETFT	ExchangeTradedFunds	Relates to an ETF creation or redemption.
REBL	Rebalancing	Relates to a rebalanced transaction.
TRIN	TransferIn	Transaction is an incoming credit to an account on the shareholders register, and is not linked to a shift in investment

CodeName	Name	Definition
		(subscription or switch), but to account management.
TOUT	TransferOut	Transaction is a debit to an account on the shareholders register, and is not linked to a shift in investment (redemption or switch), but to account management.

7.2.2.24 TypeOfIdentification1Code

Definition: Specifies the type of alternate identification which can be used to give an alternate identification of the party identified.

Type: CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority.
CHTY	TaxExemptIdentificationNumber	Number assigned to a tax exempt entity.
CORP	CorporateIdentification	Number assigned to a corporate entity.
DRLC	DriverLicenseNumber	Number assigned to a driver's license.
FIIN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

7.2.3 Date

7.2.3.1 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

7.2.4 DateTime

7.2.4.1 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

7.2.5 IdentifierSet

7.2.5.1 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; AnyBICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

7.2.5.2 BICFIDec2014Identifier

Definition: Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Identification scheme: SWIFT; BICIdentifier

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

7.2.5.3 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Identification scheme: Digital Token Identifier Foundation (DTIF)

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

7.2.5.4 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Identification scheme: National Banking Association; International Bank Account Number (ISO 13616)

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Constraints

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

7.2.5.5 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Identification scheme: ANNA; ISINIdentifier

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

7.2.5.6 LEIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Identification scheme: Global LEI System; LEIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

7.2.5.7 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern [A-Z0-9]{4,4}

7.2.5.8 UTIIdentifier

Definition: Unique Transaction Identifier (UTI). Unique number allocated to a financial transaction as agreed among the parties and/or within the regulatory system under which it is formed. The UTI is described in the latest edition of the international standard ISO 23897:2020.

Type: IdentifierSet

Identification scheme: Parties to a trade; UTIIdentifier

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}[A-Z0-9]{0,32}

7.2.6 Indicator**7.2.6.1 TrueFalseIndicator**

Definition: A flag indicating a True or False value.

Type: Indicator

Meaning When True: True

Meaning When False: False

7.2.6.2 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

7.2.7 Quantity

7.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

7.2.7.2 PositiveNumber

Definition: Number of objects represented as a positive integer.

Type: Quantity

Format

minInclusive	1
totalDigits	18
fractionDigits	0

7.2.8 Text

7.2.8.1 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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7.2.8.2 Max105Text

Definition: Specifies a character string with a maximum length of 105 characters.

Type: Text

Format

minLength	1
maxLength	105

7.2.8.3 Max128Text

Definition: Specifies a character string with a maximum length of 128 characters.

Type: Text

Format

minLength	1
maxLength	128

7.2.8.4 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

minLength	1
maxLength	140

7.2.8.5 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

minLength	1
maxLength	16

7.2.8.6 Max2048Text

Definition: Specifies a character string with a maximum length of 2048 characters.

Type: Text

Format

minLength	1
maxLength	2048

7.2.8.7 Max256Text

Definition: Specifies a character string with a maximum length of 256 characters.

Type: Text

Format

minLength	1
maxLength	256

7.2.8.8 Max34Text

Definition: Specifies a character string with a maximum length of 34 characters.

Type: Text

Format

minLength	1
maxLength	34

7.2.8.9 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

minLength	1
maxLength	350

7.2.8.10 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

minLength	1
maxLength	35

7.2.8.11 Max4Text

Definition: Specifies a character string with a maximum length of 4 characters.

Type: Text

Format

minLength	1
maxLength	4

7.2.8.12 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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7.2.8.13 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

minLength	1
maxLength	70

7.2.8.14 PhoneNumber

Definition: The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", and "-" (up to 30 characters).

Type: Text

Format

pattern	\+[0-9]{1,3}-[0-9()+\-]{1,30}
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