

ISO 20022

Issuers Agents Communication - Maintenance 2025 -  
2026

## Message Definition Report - Part 2

Approved by the Securities SEG on 27 January 2026

This document provides details of the Message Definitions for Issuers Agents Communication - Maintenance 2025 - 2026.

March 2026

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# 1 Message Set Overview

## Introduction

This document describes the Issuers Agents Communication message set. It includes the new version of the MessageDefinitions that have been added as part of the maintenance cycle 2025-2026 (See MCR #272) and approved by the Securities Standards Evaluation Group on 27 January 2026.

## 1.1 List of MessageDefinitions

The following table lists all MessageDefinitions described in this book.

MessageDefinition	Definition
head.001.001.02 BusinessApplicationHeaderV02	<p>The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.</p> <p>A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:</p> <p>" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.</p> <p>" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.</p> <p>" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.</p> <p>" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.</p> <p>Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.</p>
seev.009.001.03 AgentCANotificationAdviceV03	<p>This message is sent by an issuer (or its agent) to a CSD to:</p> <ul style="list-style-type: none"> <li>- Provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD; and</li> </ul>

MessageDefinition	Definition
	- to update a corporate action notification. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information.
seev.010.001.01 AgentCANotificationCancellationRequestV01	This message is sent by an issuer (or its agent) to a CSD to request the cancellation of a notification advice message.
seev.011.001.04 AgentCANotificationStatusAdviceV04	This message is sent by a CSD to an issuer (or its agent) to report the status, or change in status, of a notification advice or notification cancellation request.
seev.012.001.01 AgentCAElectionAdviceV01	This message is sent by a CSD to the issuer (or its agent) to provide information about the clients' election instruction, the registration details, the delivery details, etc. In case of an election with underlying resource movements, it also confirms that these have been completed. This message may also be sent in case of an amendment of an election, once the CSD has completed the required resource movements.
seev.013.001.01 AgentCAElectionAmendmentRequestV01	This message is sent by a CSD to the issuer (or its agent) to request the authorisation of an amendment of a previously sent Agent Corporate Action Election Advice message.
seev.014.001.01 AgentCAElectionCancellationRequestV01	This message is sent by a CSD to the issuer (or its agent) to request the cancellation of a previously sent Agent Corporate Action Election Advice message.
seev.015.001.01 AgentCAElectionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of: <ul style="list-style-type: none"> <li>- a corporate action election advice;</li> <li>- an election cancellation request; or</li> <li>- an election amendment request.</li> </ul>
seev.016.001.01 AgentCADistributionBreakdownAdviceV01	This message is sent by a CSD to an issuer (or its agent) to provide distribution breakdown information for the proceeds that are to be delivered outside the CSD (e.g. when the proceeds are not eligible in the CSD).
seev.017.001.01 AgentCAGlobalDistributionAuthorisationRequestV01	This message is sent by a CSD to an issuer (or its agent) to request the authorisation to process the entitlement movements (cash and/or securities) calculated by the CSD for a given corporate action entire event, a given corporate action option and optionally a given resource.  This message can also be sent to request the issuer (or its agent) to make available / deliver the relevant resources to the CSD.
seev.018.001.01 AgentCAGlobalDistributionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to authorise/prohibit the CSD to process the entitlement movements.
seev.019.001.01 AgentCAMovementInstructionV01	This message is sent by an issuer (or its agent) to a CSD to order: <ul style="list-style-type: none"> <li>- the global or individual debit of exercised resources (cash and/or securities), per event and optionally per option and per resource for all or individual CSD client's accounts;</li> </ul>

MessageDefinition	Definition
	- and/or the individual credits of the outturn resources per event and optionally per option and per resource for a given CSD client's account.
seev.020.001.01 AgentCAMovementCancellationRequestV01	This message is sent by an issuer (or its agent) to a CSD to request the cancellation of (a) movement(s) previously sent via an Agent Corporate Action Movement Instruction.
seev.021.001.01 AgentCAMovementConfirmationV01	This message is sent by a CSD to an issuer (or its agent) to confirm the settlement of resource movements.
seev.022.001.01 AgentCAMovementStatusAdviceV01	This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of <ul style="list-style-type: none"> <li>- a global distribution status advice released by an issuer (or its agent);</li> <li>- a movement instruction released by an issuer (or its agent);</li> <li>- a movement cancellation request sent by the issuer (or its agent); and</li> <li>- the non-settlement of the movements at the CSD.</li> </ul>
seev.023.001.01 AgentCAInformationAdviceV01	This message is sent by a CSD to an issuer (or its agent) to provide information about the certification and/or the delivery details to the issuer (or its agent).
seev.024.001.01 AgentCAInformationStatusAdviceV01	This message is sent by an issuer (or its agent) to a CSD to report the status, or change in status, of an information advice.
seev.025.001.01 AgentCAStandingInstructionRequestV01	This message is sent by a CSD to the issuer (or its agent) to provide the issuer (or its agent) with the CSD's client details for the distribution of the proceeds of a corporate action event: <ul style="list-style-type: none"> <li>- Gross or net payments.</li> <li>- Delivery details for securities that have to be delivered outside of the CSD.</li> <li>- Delivery details for cash amounts that have to be delivered outside of the CSD.</li> </ul>
seev.026.001.01 AgentCAStandingInstructionCancellationRequestV01	This message is sent by a CSD to the issuer agent to request the cancellation of a previously sent Agent Corporate Action Standing Instruction.
seev.027.001.01 AgentCAStandingInstructionStatusAdviceV01	This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of a standing instruction request or the status of a standing instruction cancellation request.
seev.028.001.01 AgentCADeactivationInstructionV01	This message is sent by an issuer (or its agent) to the CSD to instruct the deactivation of a corporate action event or to deactivate one or more specific options of the corporate action. As of the deactivation date, the CSD is allowed to reject any related election instruction received from clients.



MessageDefinition	Definition
seev.029.001.01 AgentCADeactivationCancellationRequestV01	This message is sent by an issuer (or its agent) to the CSD to request the cancellation of a previously sent corporate action deactivation instruction.
seev.030.001.01 AgentCADeactivationStatusAdviceV01	This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of a corporate action deactivation instruction or the status of a deactivation cancellation request.

## 2 **head.001.001.02 BusinessApplicationHeaderV02**

### 2.1 **MessageDefinition Functionality**

The Business Layer deals with Business Messages. The behaviour of the Business Messages is fully described by the Business Transaction and the structure of the Business Messages is fully described by the Message Definitions and related Message Rules, Rules and Market Practices. All of which are registered in the ISO 20022 Repository.

A single new Business Message (with its accompanying business application header) is created - by the sending MessagingEndpoint - for each business event; that is each interaction in a Business Transaction. A Business Message adheres to the following principles:

" A Business Message (and its business application header) must not contain information about the Message Transport System or the mechanics or mechanism of message sending, transportation, or receipt.

" A Business Message must be comprehensible outside of the context of the Transport Message. That is the Business Message must not require knowledge of the Transport Message to be understood.

" A Business Message may contain headers, footers, and envelopes that are meaningful for the business. When present, they are treated as any other message content, which means that they are considered part of the Message Definition of the Business Message and as such will be part of the ISO 20022 Repository.

" A Business Message refers to Business Actors by their Name. Each instance of a Business Actor has one Name. The Business Actor must not be referred to in the Transport Layer.

Specific usage of this BusinessMessageHeader may be defined by the relevant SEG.

#### Outline

The BusinessApplicationHeaderV02 MessageDefinition is composed of 14 MessageBuildingBlocks:

#### A. CharSet

Contains the character set of the text-based elements used in the Business Message.

#### B. From

The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

#### C. To

The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

D. BusinessMessageIdentifier

Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

E. MessageDefinitionIdentifier

Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

F. BusinessService

Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

G. MarketPractice

Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

H. CreationDate

Date and time when this Business Message (header) was created.

I. BusinessProcessingDate

Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

J. CopyDuplicate

Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

K. PossibleDuplicate

Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

L. Priority

Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

M. Signature

Contains the digital signature of the Business Entity authorised to sign this Business Message.

N. Related

Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

## 2.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AppHdr>	[1..1]		C5	
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	15
	<b>From</b> <Fr>	[1..1]			15
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		15
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		16
	<b>To</b> <To>	[1..1]			16
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		16
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		16
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		17
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		17
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		17
	<b>MarketPractice</b> <MktPrctc>	[0..1]			17
	<b>Registry</b> <Regy>	[1..1]	Text		18
	<b>Identification</b> <Id>	[1..1]	Text		18
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		18
	<b>BusinessProcessingDate</b> <BizPrctgDt>	[0..1]	DateTime		18
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		18
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		19
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	19
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		20
	<b>Related</b> <Rltd>	[0..*]			20
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	21
	<b>From</b> <Fr>	[1..1]			21
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		21
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		21
	<b>To</b> <To>	[1..1]			22
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		22
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		22
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		23
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		23

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		23
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		23
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		23
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		24
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	24
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		25

## 2.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 BICFI

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 OnlySignatureElement

The XML Signature namespace ("http://www.w3.org/2000/09/xmldsig#") allows for different XML elements to be root elements. This means the user has to choose amongst these global elements which one to use as the root element. Only the XML element Signature is allowed.

### C5 RelatedPresentWhenCopyDupl

Related MUST contain the relevant BusinessMessageHeader elements of the BusinessMessage to which this BusinessMessage relates.

If CopyDuplicate is present, then Related MUST be present.

```
On Condition
  /CopyDuplicate is present
Following Must be True
  /Related[1] Must be present
```

*This constraint is defined at the MessageDefinition level.*

### C6 ValidationByTable

### C7 ValidationByTable

## 2.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 2.4.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* C6 "ValidationByTable"

*Datatype:* "UnicodeChartsCode" on page 1085

#### Constraints

- **ValidationByTable**

### 2.4.2 From <Fr>

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		15
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		16

#### 2.4.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification135" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		915
	PostalAddress <PstlAdr>	[0..1]	±		915
	Identification <Id>	[0..1]	±		916
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	916
	ContactDetails <CtctDtls>	[0..1]	±		917

### 2.4.2.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		790
	BranchIdentification <BrnchId>	[0..1]	±		791

### 2.4.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		16
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		16

#### 2.4.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification135](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		915
	PostalAddress <PstlAdr>	[0..1]	±		915
	Identification <Id>	[0..1]	±		916
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	916
	ContactDetails <CtctDtls>	[0..1]	±		917

#### 2.4.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.



**FinancialInstitutionIdentification <FIId>** contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		790
	BranchIdentification <BrnchId>	[0..1]	±		791

## 2.4.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* "Max35Text" on page 1094

## 2.4.5 MessageDefinitionIdentifier <MsgDefIdr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* "Max35Text" on page 1094

## 2.4.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* "Max35Text" on page 1094

## 2.4.7 MarketPractice <MktPrctc>

*Presence:* [0..1]

*Definition:* Specifies the market practice to which the message conforms. The market practices are a set of rules agreed between parties that restricts the usage of the messages in order to achieve better STP (Straight Through Processing) rates.

A market practice specification may also extend the underlying message specification by using extensions or supplementary data of this underlying message.

**MarketPractice <MktPrctc>** contains the following **ImplementationSpecification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Registry &lt;Regy&gt;</b>	[1..1]	Text		18
	<b>Identification &lt;Id&gt;</b>	[1..1]	Text		18

#### 2.4.7.1 Registry <Regy>

*Presence:* [1..1]

*Definition:* Name of the implementation specification registry in which the implementation specification of the ISO 20022 message is maintained.

For example, "MyStandards".

*Datatype:* "Max350Text" on page 1094

#### 2.4.7.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Identifier which unambiguously identifies, within the implementation specification registry, the implementation specification to which the ISO 20022 message is compliant. This can be done via a URN. It can also contain a version number or date.

For instance, "2018-01-01 - Version 2" or "urn:uuid:6e8bc430-9c3a-11d9-9669-0800200c9a66".

*Datatype:* "Max2048Text" on page 1094

### 2.4.8 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

*Datatype:* "ISODateTime" on page 1086

### 2.4.9 BusinessProcessingDate <BizPrcgDt>

*Presence:* [0..1]

*Definition:* Processing date and time indicated by the sender for the receiver of the business message. This date may be different from the date and time provided in the CreationDate.

Usage: Market practice or bilateral agreement should specify how this element should be used.

*Datatype:* "ISODateTime" on page 1086

### 2.4.10 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* "CopyDuplicate1Code" on page 1014

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

## 2.4.11 PossibleDuplicate <PssbIDp1ct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

## 2.4.12 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* [C7 "ValidationByTable"](#)

*Datatype:* ["BusinessMessagePriorityCode" on page 1013](#)

**Constraints**

- **ValidationByTable**

## 2.4.13 Signature <Sgntr>

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

## 2.4.14 Related <Rltd>

*Presence:* [0..\*]

*Definition:* Specifies the Business Application Header(s) of the Business Message(s) to which this Business Message relates.

Can be used when replying to a query; can also be used when canceling or amending.

**Related <Rltd>** contains the following **BusinessApplicationHeader5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CharacterSet</b> <CharSet>	[0..1]	CodeSet	C6	21
	<b>From</b> <Fr>	[1..1]			21
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		21
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		21
	<b>To</b> <To>	[1..1]			22
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		22
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		22
	<b>BusinessMessageIdentifier</b> <BizMsgIdr>	[1..1]	Text		23
	<b>MessageDefinitionIdentifier</b> <MsgDefIdr>	[1..1]	Text		23
	<b>BusinessService</b> <BizSvc>	[0..1]	Text		23
	<b>CreationDate</b> <CreDt>	[1..1]	DateTime		23
	<b>CopyDuplicate</b> <CpyDplct>	[0..1]	CodeSet		23
	<b>PossibleDuplicate</b> <PssblDplct>	[0..1]	Indicator		24
	<b>Priority</b> <Prty>	[0..1]	CodeSet	C7	24
	<b>Signature</b> <Sgntr>	[0..1]	(External Schema)		25

### 2.4.14.1 CharacterSet <CharSet>

*Presence:* [0..1]

*Definition:* Contains the character set of the text-based elements used in the Business Message.

*Impacted by:* C6 "ValidationByTable"

*Datatype:* "UnicodeChartsCode" on page 1085

#### Constraints

- **ValidationByTable**

### 2.4.14.2 From <Fr>

*Presence:* [1..1]

*Definition:* The sending MessagingEndpoint that has created this Business Message for the receiving MessagingEndpoint that will process this Business Message.

Note the sending MessagingEndpoint might be different from the sending address potentially contained in the transport header (as defined in the transport layer).

**From <Fr>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		21
Or}	<b>FinancialInstitutionIdentification</b> <FIId>	[1..1]	±		21

#### 2.4.14.2.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "PartyIdentification135" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		915
	PostalAddress <PstlAdr>	[0..1]	±		915
	Identification <Id>	[0..1]	±		916
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	916
	ContactDetails <CtctDtls>	[0..1]	±		917

#### 2.4.14.2.2 FinancialInstitutionIdentification <FIId>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIld>** contains the following elements (see "[BranchAndFinancialInstitutionIdentification6](#)" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		790
	BranchIdentification <BrnchId>	[0..1]	±		791

#### 2.4.14.3 To <To>

*Presence:* [1..1]

*Definition:* The MessagingEndpoint designated by the sending MessagingEndpoint to be the recipient who will ultimately process this Business Message.

Note the receiving MessagingEndpoint might be different from the receiving address potentially contained in the transport header (as defined in the transport layer).

**To <To>** contains one of the following **Party44Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>OrganisationIdentification</b> <OrgId>	[1..1]	±		22
Or}	<b>FinancialInstitutionIdentification</b> <FIld>	[1..1]	±		22

##### 2.4.14.3.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Identification of a person or an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "[PartyIdentification135](#)" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		915
	PostalAddress <PstlAdr>	[0..1]	±		915
	Identification <Id>	[0..1]	±		916
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	916
	ContactDetails <CtctDtls>	[0..1]	±		917

##### 2.4.14.3.2 FinancialInstitutionIdentification <FIld>

*Presence:* [1..1]

*Definition:* Identification of a financial institution.

**FinancialInstitutionIdentification <FIId>** contains the following elements (see "BranchAndFinancialInstitutionIdentification6" on page 790 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		790
	BranchIdentification <BrnchId>	[0..1]	±		791

#### 2.4.14.4 BusinessMessageIdentifier <BizMsgIdr>

*Presence:* [1..1]

*Definition:* Unambiguously identifies the Business Message to the MessagingEndpoint that has created the Business Message.

*Datatype:* "Max35Text" on page 1094

#### 2.4.14.5 MessageDefinitionIdentifier <MsgDefIdr>

*Presence:* [1..1]

*Definition:* Contains the MessageIdentifier that defines the BusinessMessage.

It must contain a MessageIdentifier published on the ISO 20022 website.

example camt.001.001.03.

*Datatype:* "Max35Text" on page 1094

#### 2.4.14.6 BusinessService <BizSvc>

*Presence:* [0..1]

*Definition:* Specifies the business service agreed between the two MessagingEndpoints under which rules this Business Message is exchanged.

To be used when there is a choice of processing services or processing service levels.

Example: E&I.

*Datatype:* "Max35Text" on page 1094

#### 2.4.14.7 CreationDate <CreDt>

*Presence:* [1..1]

*Definition:* Date and time when this Business Message (header) was created.

Note Times must be normalized, using the "Z" annotation.

*Datatype:* "ISODatetime" on page 1086

#### 2.4.14.8 CopyDuplicate <CpyDplct>

*Presence:* [0..1]

*Definition:* Indicates whether the message is a Copy, a Duplicate or a copy of a duplicate of a previously sent ISO 20022 Message.

*Datatype:* "CopyDuplicate1Code" on page 1014

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

#### 2.4.14.9 PossibleDuplicate <PssbIDplct>

*Presence:* [0..1]

*Definition:* Flag indicating if the Business Message exchanged between the MessagingEndpoints is possibly a duplicate.

If the receiving MessagingEndpoint did not receive the original, then this Business Message should be processed as if it were the original.

If the receiving MessagingEndpoint did receive the original, then it should perform necessary actions to avoid processing this Business Message again.

This will guarantee business idempotent behaviour.

NOTE: this is named "PossResend" in FIX - this is an application level resend not a network level retransmission.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 2.4.14.10 Priority <Prty>

*Presence:* [0..1]

*Definition:* Relative indication of the processing precedence of the message over a (set of) Business Messages with assigned priorities.

*Impacted by:* C7 "ValidationByTable"

*Datatype:* "BusinessMessagePriorityCode" on page 1013



**Constraints**

- **ValidationByTable**

**2.4.14.11 Signature <Sgntr>**

*Presence:* [0..1]

*Definition:* Contains the digital signature of the Business Entity authorised to sign this Business Message.

*Type:* (External Schema)

The W3C XML Schema that specifies following standard signature:

XML Signature Syntax and Processing (Second Edition) W3C Recommendation 10 June 2008

<http://www.w3.org/TR/2008/REC-xmlsig-core-20080610/>.

# 3 **seev.009.001.03**

## **AgentCANotificationAdviceV03**

### 3.1 **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to a CSD to:

- Provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD; and
- to update a corporate action notification. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information.

#### Usage

This message is used:

- to provide a CSD with the details of a corporate action along with the possible options available to the clients of that CSD. The information can be complete or incomplete.
- to update a corporate action notification advice. A notification advice can be initially sent as a preliminary advice and subsequently replaced by another notification advice with updated information. As per SMPG recommendation, all the information should be provided in the update, not only updated information.

An Agent Corporate Action Notification Status Advice is sent in reply to the Agent Corporate Action Notification Advice.

Note: The amendment of a corporate action notification is done through a replacement mechanism in line with both the ISO 15022 messages used in the flow between the CSD and its clients, and the ISO 20022 proxy voting messages.

#### Outline

The AgentCANotificationAdviceV03 MessageDefinition is composed of 8 MessageBuildingBlocks:

#### A. Pagination

Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

#### B. NotificationGeneralInformation

General information about the event notification type, status and contents.

#### C. PreviousNotificationIdentification

Identification of the previously sent notification document.

#### D. AgentInformation

Agent institution identification, exact role and contact person.

#### E. CorporateActionGeneralInformation

General information about the corporate action event.

F. CorporateActionDetails

Provides details information about the CA event.

G. CorporateActionOptionDetails

Provides detailed information about the option of the CA event.

H. AdditionalInformation

Provides additional information.

## 3.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<i>Message root</i> <Document> <AgtCANtfctnAdv>	[1..1]		C8, C9, C10, C11, C15, C32, C33, C34, C35, C43, C44, C50, C52, C56, C57, C58, C59, C63, C64, C65, C66, C67, C72, C73, C74, C80, C82, C83, C86, C55	
	<b>Pagination</b> <Pgntn>	[0..1]	±		0
	<b>NotificationGeneralInformation</b> <NtfctnGnllnf>	[1..1]		C75	0
	<b>NotificationIdentification</b> <NtfctnId>	[0..1]	Text		59
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		59
	<b>ProcessingStatus</b> <PrcgSts>	[1..1]			59
{Or	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		60
Or}	<b>EventInformationStatus</b> <EvtInfSts>	[1..1]	±		60
	<b>PreviousNotificationIdentification</b> <PrvsNtfctnId>	[0..1]	±		60
	<b>AgentInformation</b> <AgtInf>	[1..*]			60
	<b>IssuerAgent</b> <IssrAgt>	[0..1]			62
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		62
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		62
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		63
	<b>PayingAgent</b> <PngAgt>	[0..1]			63
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		64

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		64
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		64
	<b>Registrar</b> <Regar>	[0..1]			65
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		65
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		65
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		66
	<b>RemarketingAgent</b> <RmktgAgt>	[0..1]			66
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		67
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		67
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		67
	<b>SolicitationAgent</b> <SlctnAgt>	[0..1]			68
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		68
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		68
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		69
	<b>InformationAgent</b> <InfAgt>	[0..1]			69
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		70
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		70
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		70
	<b>Issuer</b> <Issr>	[0..1]			71
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		71
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		71
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		72
	<b>TransferAgent</b> <TrfAgt>	[0..1]			72
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		73
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		73
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		73
	<b>RedemptionAgent</b> <RedAgt>	[0..1]			74
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		74
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		74
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		75
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllInf>	[1..1]		C77	0

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgentCorporateActionEventIdentification</b> <AgtCorpActnEvtId>	[1..1]	Text		77
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		77
	<b>OfficialCorporateActionEventIdentification</b> <OffclCorpActnEvtId>	[0..1]	Text		77
	<b>EventProcessingType</b> <EvtPrcgTp>	[0..1]	CodeSet		77
	<b>EventType</b> <EvtTp>	[1..1]	±	C29	78
	<b>SubEventType</b> <SubEvtTp>	[0..1]	CodeSet		78
	<b>MandatoryVoluntaryEventType</b> <MndtryVlntryEvtTp>	[1..1]	±		82
	<b>UnderlyingSecurity</b> <UndrlygScty>	[1..*]		C60, C84	82
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	84
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		85
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		85
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		85
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		86
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	86
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		86
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		86
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		86
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		86
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		87
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	Date		87
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		87
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		87
	<b>ConversionDate</b> <ConvstDt>	[0..1]	Date		87
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		87
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		87
	<b>PercentageOfDebtClaim</b> <PctgOfDebtClm>	[0..1]	±		88
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		88
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		88

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WarrantParity</b> <WarrtParity>	[0..1]	±		89
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	89
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	89
	<b>CorporateActionDetails</b> <CorpActnDtls>	[1..1]		C1, C24, C25, C26, C27	90
	<b>DateDetails</b> <DtDtls>	[0..1]		C28	95
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	±		97
	<b>CertificationDeadline</b> <CertfctnDdlIn>	[0..1]	±		97
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		98
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		98
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		98
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		98
	<b>FurtherDetailedAnnouncementDate</b> <FrthrDtldAnncmntDt>	[0..1]	±		99
	<b>FixingDate</b> <FvgDt>	[0..1]	±		99
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		99
	<b>NewMaturityDate</b> <NewMtrtyDt>	[0..1]	±		100
	<b>MeetingDate</b> <MtgDt>	[0..1]	±		100
	<b>MarginFixingDate</b> <MrgnFvgDt>	[0..1]	±		100
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		101
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		101
	<b>RegistrationDeadline</b> <RegnDdlIn>	[0..1]	±		101
	<b>ResultsPublicationDate</b> <RsItsPblctnDt>	[0..1]	±		102
	<b>DeadlineToSplit</b> <DdlInToSpl>	[0..1]	±		102
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlInForTaxBrkdwInstr>	[0..1]	±		102
	<b>TradingSuspendedDate</b> <TradgSspdDt>	[0..1]	±		103
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		103
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		103
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		104
	<b>OfficialAnnouncementPublicationDate</b> <OffclAnncmntPblctnDt>	[0..1]	±		104
	<b>SpecialExDate</b> <SpclExDt>	[0..1]	±		104

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>GuaranteedParticipationDate</b> <GrntedPrtpctnDt>	[0..1]	±		105
	<b>ElectionToCounterpartyMarketDeadline</b> <ElctnToCtrPtyMktDdlIn>	[0..1]	±		105
	<b>ElectionToCounterpartyResponseDeadline</b> <ElctnToCtrPtyRspnDdlIn>	[0..1]	±		105
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		106
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		106
	<b>ThirdPartyDeadline</b> <ThrdPtyDdlIn>	[0..1]	±		106
	<b>EarlyThirdPartyDeadline</b> <EarlyThrdPtyDdlIn>	[0..1]	±		107
	<b>MarketClaimTrackingEndDate</b> <MktClmTrckgEndDt>	[0..1]	±		107
	<b>LeadPlaintiffDeadline</b> <LeadPlntffDdlIn>	[0..1]	±		107
	<b>FilingDate</b> <FilgDt>	[0..1]	±		108
	<b>HearingDate</b> <HrgDt>	[0..1]	±		108
	<b>EventBalanceDetails</b> <EvtBalDtls>	[0..1]			108
	<b>SecurityCalledAmount</b> <SctyClldAmt>	[0..1]	Amount		109
	<b>TotalAmountOutstanding</b> <TtlAmtOutsdng>	[0..1]	Amount		109
	<b>AmountDetails</b> <AmtDtls>	[0..1]			109
	<b>RefundedAmount</b> <RfnddAmt>	[0..1]	Amount		109
	<b>NonRefundedAmount</b> <NonRfnddAmt>	[0..1]	Amount		109
	<b>DepositoryCalledAmount</b> <DpstryClldAmt>	[0..1]	Amount		110
	<b>PeriodDetails</b> <PrdDtls>	[0..1]	±	C51	110
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]	±	C16	111
	<b>PriceDetails</b> <PricDtls>	[0..1]			111
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		112
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		112
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		112
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		113
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]		C62	113
	<b>MaximumQuantity</b> <MaxQty>	[0..1]	±	C22	114
	<b>MinimumQuantitySought</b> <MinQtySght>	[0..1]	±	C22	115
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	115
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	116
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]	±	C23	116



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	<b>SecurityCalledQuantity</b> <SctyClldQty>	[0..1]	±	C23	117
	<b>NewOutstandingQuantity</b> <NewOutsdngQty>	[0..1]	±	C23	118
	<b>TotalOutstandingQuantity</b> <TtlOutsdngQty>	[0..1]	±	C23	118
	<b>InterestAccruedNumberOfDays</b> <IntrstAcrdNbOfDays>	[0..1]	Quantity	C76	119
	<b>AcceptancePriorityLevel</b> <AcceptncPrtyLvl>	[0..1]	Text		119
	<b>ChargesAppliedIndicator</b> <ChrgsAppldInd>	[0..1]	Indicator		119
	<b>RestrictionIndicator</b> <RstrctnInd>	[0..1]	Indicator		119
	<b>AccruedInterestIndicator</b> <AcrdIntrstInd>	[0..1]	Indicator		119
	<b>SupplementaryIndicators</b> <SplmtryIndctrs>	[0..1]		C12	120
	<b>ConditionalPaymentApplicableIndicator</b> <CondlPmtAplblInd>	[0..1]	Indicator		120
	<b>SolicitationDealerFeeIndicator</b> <SlctnDealrFeeInd>	[0..1]	Indicator		121
	<b>SurrenderSharesToAgentIndicator</b> <SrindrShrsToAgtInd>	[0..1]	Indicator		121
	<b>StepUpPrivilegeIndicator</b> <StepUpPrvlgInd>	[0..1]	Indicator		121
	<b>RightsOversubscriptionIndicator</b> <RghtsOvrsbcptInd>	[0..1]	Indicator		121
	<b>RightsRoundUpPrivilegeIndicator</b> <RghtsRndUpPrvlgInd>	[0..1]	Indicator		122
	<b>RightsTransferableIndicator</b> <RghtsTrfblInd>	[0..1]	Indicator		122
	<b>EscrowToMaturityIndicator</b> <EscrwToMtrtyInd>	[0..1]	Indicator		122
	<b>ConcurrentCallIndicator</b> <CncrrntCallInd>	[0..1]	Indicator		123
	<b>ForfeitureOfInterestIndicator</b> <FrfrOfIntrstInd>	[0..1]	Indicator		123
	<b>DividendType</b> <DvddTp>	[0..1]	±		123
	<b>OccurrenceType</b> <OcrncTp>	[0..1]	±		123
	<b>OfferType</b> <OfferTp>	[0..*]			124
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		124
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		124
	<b>RenounceableEntitlementStatusType</b> <RnnclEntitlmntStsTp>	[0..1]	±		125
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	<b>AdditionalBusinessProcessIndicator</b> <AddtlBizPrclnd>	[0..*]	±		125
	<b>ChangeType</b> <ChngTp>	[0..*]	±		126
	<b>FollowingEventTypeIndicator</b> <FlwngEvtTpInd>	[0..1]	±		126
	<b>CapitalGainInOutIndicator</b> <CptlGnlnOutInd>	[0..1]	±		126

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	<b>LotteryEventInformation</b> <LtryEvtInf>	[0..1]			127
	<b>Type</b> <Tp>	[0..1]	±		127
	<b>FeatureType</b> <FeatrTp>	[0..1]	CodeSet		127
	<b>CertificationType</b> <CertfctnTp>	[0..1]	±		128
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	<b>InformationType</b> <InfTp>	[0..1]	±		128
	<b>TaxOnNonDistributedProceedsIndicator</b> <TaxOnNonDstrbtdPrclsInd>	[0..*]	±		129
	<b>DutchAuctionType</b> <DtchAuctnTp>	[0..1]			129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		129
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		130
	<b>ReverseDutchAuctionIndicator</b> <RvsDtchAuctnInd>	[0..1]	Indicator		130
	<b>NewPlaceOfIncorporation</b> <NewPlcOfIncorprtn>	[0..1]	Text		130
	<b>NoticeType</b> <NtceTp>	[0..1]	CodeSet		130
	<b>ProrationReturnMinimumQuantityTreatment</b> <PrratnRtrMinQtyTrtmnt>	[0..1]	CodeSet		130
	<b>CertificateDetails</b> <CertDtls>	[0..*]			131
	<b>CertificateNumber</b> <CertNb>	[1..1]	Text		131
	<b>CertificatePrefix</b> <CertPrfx>	[0..1]	Text		131
	<b>CertificateCalledAmount</b> <CertCldAmt>	[1..1]	Quantity		131
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]		C4	131
	<b>Offeror</b> <Offerr>	[0..*]	Text		132
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		132
	<b>URLAddress</b> <URLAdr>	[0..*]			132
	<b>Language</b> <Lang>	[1..1]	CodeSet		132
	<b>URLAddress</b> <URLAdr>	[1..1]	Text		133
	<b>EventProcessingWebSiteAddress</b> <EvtPrpgWebSiteAdr>	[0..1]	Text		133
	<b>CorporateActionOptionDetails</b> <CorpActnOptnDtls>	[0..*]		C6, C49, C79, C88, C78	0
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		144
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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		145
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		146
	<b>SupplementaryOptionFeatures</b> <SplmtryOptnFeatr>	[0..*]	CodeSet		146
	<b>OptionAvailabilityStatus</b> <OptnAvlbtySts>	[0..1]	±		148
	<b>CertificationBreakdownType</b> <CertfctnBrkdwnTp>	[0..*]	±		148
	<b>CurrencyOption</b> <CcyOptn>	[0..1]	CodeSet	C2	148
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	<b>WithdrawalAllowedIndicator</b> <WdrwlAllwdInd>	[0..1]	Indicator		149
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	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C19, C20, C53, C54, C81	150
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	<b>ProtectDeadline</b> <PrctDdln>	[0..1]	±		153
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	<b>ResponseDeadline</b> <RspnDdln>	[0..1]	±		153
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		154
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		154
	<b>DepositoryCoverExpirationDate</b> <DpstryCoverXprtnDt>	[0..1]	±		155
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	<b>BorrowerStockLendingDeadline</b> <BrrwrStockLndgDdln>	[0..*]			155
	<b>StockLendingDeadline</b> <StockLndgDdln>	[1..1]	±		155
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	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		157
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		157
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		158
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		158
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		158
	<b>AccountServicerRevocabilityPeriod</b> <AcctSvcrRvcbltyPrd>	[0..1]	±		158
	<b>DepositorySuspensionPeriodForWithdrawal</b> <DpstrySspnsnPrdForWdrwl>	[0..1]	±		159
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	<b>MaximumAllowedOversubscriptionRate</b> <MaxAllwdOvrscptRate>	[0..1]	±		164
	<b>ProrationRate</b> <PrratnRate>	[0..1]	±		164
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		165
	<b>SecondLevelTax</b> <ScndLvITax>	[0..*]	±		165
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..*]	±		165
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	<b>MinimumMultipleCashToInstruct</b> <MinMltplCshToInst>	[0..1]	±		169
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		169
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		169
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		170
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		170
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]			171
	<b>MaximumQuantityToInstruct</b> <MaxQtyToInst>	[0..1]	±	C22	171
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C22	172
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C23	172
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	173
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	173
	<b>FrontEndOddLotQuantity</b> <FrntEndOddLotQty>	[0..1]	±	C23	174
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	<b>Description</b> <Desc>	[0..1]	Text		175
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C13	175
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{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		176
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		176
	<b>ProrationRoundingIndicator</b> <PrratnRndgInd>	[0..1]	CodeSet		177
	<b>ProrationFraction</b> <PrratnFrctn>	[0..1]	Quantity		177
	<b>ProrationReturnMinimumQuantityTreatment</b> <PrratnRtrMinQtyTrtmnt>	[0..1]	CodeSet		177
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	<b>SecurityDetails</b> <SctyDtls>	[1..1]		C61, C85	181
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	183
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		184

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	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		185
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	185
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		185
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		185
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		185
	<b>IssueDate</b> <IssDt>	[0..1]	Date		185
	<b>NextCallableDate</b> <NxtCllbDt>	[0..1]	Date		186
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		186
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	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		187
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	187
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	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C21	188
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	<b>IssuePrice</b> <IssPric>	[0..1]	±		189
	<b>BabyBondDenomination</b> <BabyBdDnmtn>	[0..1]	Quantity		190
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	<b>NonEligibleProceedsIndicator</b> <NonElgblPrdsInd>	[0..1]			190
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		191
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		191
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	<b>ExemptionType</b> <XmptnTp>	[0..*]	±		193

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	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		193
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	<b>CurrencyOption</b> <CcyOptn>	[0..1]	CodeSet	C2	194
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	<b>PaymentDate</b> <PmtDt>	[1..1]	±		195
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		196
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		196
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	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		197
	<b>LastTradingDate</b> <LastTradgDt>	[0..1]	±		197
	<b>RateDetails</b> <RateDtls>	[0..1]			197
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbrsRsltntScies>	[0..1]	±		198
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		198
	<b>NewToOld</b> <NewToOd>	[0..1]	±		199
	<b>TransformationRate</b> <TrfrmatnRate>	[0..1]	Rate		199
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		199
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		200
	<b>ApplicableRate</b> <ApblRate>	[0..1]	±		200
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		200
	<b>FinancialTransactionTaxRate</b> <FinTxTaxRate>	[0..1]	±		201
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		201
	<b>SecondLevelTax</b> <ScndLvlTax>	[0..*]	±		201
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		202
	<b>PriceDetails</b> <PricDtls>	[0..1]		C36	202
	<b>IndicativeOrMarketPrice</b> <IndctvOrMktPric>	[0..1]			203
{Or	<b>IndicativePrice</b> <IndctvPric>	[1..1]	±		203
Or}	<b>MarketPrice</b> <MktPric>	[1..1]	±		203
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		204

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	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		204
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		205
	<b>PriceBasis</b> <PricBsis>	[0..1]	CodeSet		205
	<b>EstimatedPriceIndicator</b> <EstmtdPricInd>	[0..1]	Indicator		206
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	<b>ChargeIndicator</b> <ChrgInd>	[0..1]	Indicator		206
	<b>ReinvestmentIncomeClassification</b> <RinvstmtIncmClssfctn>	[0..1]	CodeSet		206
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	<b>NonEligibleProceedsIndicator</b> <NonElgblPrcdsInd>	[0..1]			211
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		212
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		212
	<b>IncomeType</b> <IncmTp>	[0..1]	±		212
	<b>OtherIncomeType</b> <OthrIncmTp>	[0..*]	±		213
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	<b>PriceCalculationMethod</b> <PricClctnMtd>	[0..1]			213
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		214
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		214
	<b>CountryOfIncomeSource</b> <CtryOfIncmSrc>	[0..1]	CodeSet	C13	214
	<b>AmountDetails</b> <AmtDtls>	[0..1]			215
	<b>GrossAmount</b> <GrssAmt>	[0..1]	Amount	C2, C14	217
	<b>NetAmount</b> <NetAmt>	[0..1]	Amount	C2, C14	218
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C2, C14	218
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C2, C14	218
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C2, C14	219
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C2, C14	219
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C2, C14	220
	<b>ManufacturedDividendPaymentAmount</b> <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C14	220



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C2, C14	221
	<b>FullyFrankedAmount</b> <FullyFrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>UnfrankedAmount</b> <UfrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxDeferredAmount</b> <TaxDfrdAmt>	[0..1]	Amount	C2, C14	223
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C2, C14	223
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C2, C14	223
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C2, C14	224
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C2, C14	224
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>SecondLevelTaxAmount</b> <ScndLvTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>FiscalStampAmount</b> <FscLStmpAmt>	[0..1]	Amount	C2, C14	226
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C2, C14	226
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C2, C14	227
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C2, C14	228
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C2, C14	228
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C2, C14	229
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C2, C14	229
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C2, C14	229
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C2, C14	230
	<b>IncomePortion</b> <IncmPrtn>	[0..1]	Amount	C2, C14	230
	<b>StockExchangeTax</b> <StockXchgTax>	[0..1]	Amount	C2, C14	231
	<b>EUTaxRetentionAmount</b> <EUTaxRtnAmt>	[0..1]	Amount	C2, C14	231
	<b>AccruedInterestAmount</b> <AcrdIntrstAmt>	[0..1]	Amount	C2, C14	231
	<b>EqualisationAmount</b> <EqulstnAmt>	[0..1]	Amount	C2, C14	232
	<b>FATCATaxAmount</b> <FATCATaxAmt>	[0..1]	Amount	C2, C14	232
	<b>NRATaxAmount</b> <NRATaxAmt>	[0..1]	Amount	C2, C14	233

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BackUpWithholdingTaxAmount</b> <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C14	233
	<b>TaxOnIncomeAmount</b> <TaxOnIncmAmt>	[0..1]	Amount	C2, C14	233
	<b>TransactionTax</b> <TxTax>	[0..1]	Amount	C2, C14	234
	<b>DeemedAmount</b> <DmdAmt>	[0..1]	Amount	C2, C14	234
	<b>ForeignIncomeAmount</b> <FrgrnIncmAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedDividendAmount</b> <DmdDvddAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedFundAmount</b> <DmdFndAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedInterestAmount</b> <DmdIntrstAmt>	[0..1]	Amount	C2, C14	236
	<b>DeemedRoyaltiesAmount</b> <DmdRyltsAmt>	[0..1]	Amount	C2, C14	236
	<b>BuyUpAmount</b> <BuyUpAmt>	[0..1]	Amount	C2, C14	237
	<b>DateDetails</b> <DtDtls>	[1..1]			237
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		237
	<b>ValueDate</b> <ValDt>	[0..1]	±		238
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdDt>	[0..1]	±		238
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		238
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]	±		239
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		239
	<b>NRATaxReportableIndicator</b> <NRATaxRptblInd>	[0..1]	Indicator		239
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]		C17, C18, C40, C42, C69, C71, C90, C92, C94, C96, C98	240
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		242
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		242
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		243
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		244
	<b>SecondLevelTax</b> <ScndLvltax>	[0..*]	±		244
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		245
	<b>EarlySolicitationFeeRate</b> <EarlySlctnFeeRate>	[0..1]	±		245

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		246
	<b>ThirdPartyIncentiveRate</b> <ThrdPtyIncntivRate>	[0..1]	±		246
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		246
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		247
	<b>SolicitationFeeRate</b> <SlctnFeeRate>	[0..1]	±		247
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		248
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		248
	<b>TaxOnProfits</b> <TaxOnPrfts>	[0..1]	±		248
	<b>TaxReclaimRate</b> <TaxRclmRate>	[0..1]	±		249
	<b>EqualisationRate</b> <EqulstnRate>	[0..1]	±		249
	<b>DeemedRate</b> <DmdRate>	[0..*]	±		249
	<b>PrincipleRate</b> <PrncpleRate>	[0..1]	±		250
	<b>PriceDetails</b> <PricDtls>	[0..1]		C38	250
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		251
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		251
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		252
	<b>RedemptionPrice</b> <RedPric>	[0..1]	±		252
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]		C5	253
	<b>AdditionalText</b> <AddtlTxt>	[0..*]			254
	<b>Language</b> <Lang>	[1..1]	CodeSet		255
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		255
	<b>NarrativeVersion</b> <NrrtvVrsn>	[0..*]			255
	<b>Language</b> <Lang>	[1..1]	CodeSet		255
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		255
	<b>InformationConditions</b> <InfConds>	[0..*]			255
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..*]			256
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256
	<b>SecurityRestriction</b> <SctyRstrctn>	[0..*]			257

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257
	<b>TaxationConditions</b> <TaxtnConds>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257
	<b>CertificationBreakdown</b> <CertfctnBrkdwn>	[0..*]			258
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		258
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]			0
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		258
	<b>InformationToComplyWith</b> <InfToCmplyWith>	[0..1]	Text		259
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		259
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		259
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		259
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		259

### 3.3 Constraints

#### C1 AcceptancePriorityLevelUGRule

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

*Expression Language:* UGRule

#### C2 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### C3 ActiveOrHistoricCurrency

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

#### C4 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

**C5 AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

**C6 AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

**C7 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**C8 BeneficialOwnerBreakdownRequestRule**

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

On Condition

/CorporateActionOptionDetails[\*]/OptionType/Code is equal to value 'BeneficialOwnerBreakdownRequest'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'WithholdingTaxReliefCertification'

*This constraint is defined at the MessageDefinition level.*

**C9 BeneficialOwnerBreakdownRequestUGRule**

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

if

at least one occurrence of [CorporateActionOptionDetails/OptionType/Code] has value included in the following list 'BOBD', then

every occurrence of [CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType] must have value included in the following list 'WTRC'

*Expression Language: UGRule*

*This constraint is defined at the MessageDefinition level.*

**C10 BidInterval1Rule**

If BidInterval is present in CorporateActionDetails/RateAndAmountDetails, then BidInterval is not allowed in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionDetails/RateAndAmountDetails/BidInterval is present

Following Must be True

/CorporateActionOptionDetails[\*]/RateAndAmountDetails/BidInterval Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C11 BidInterval2Rule**

If BidInterval is present in any occurrences of CorporateActionOptionDetails/RateAndAmountDetails, then BidInterval is not allowed in CorporateActionDetails/RateAndAmountDetails.

(MT 564 NVR C25)

On Condition

/CorporateActionOptionDetails[\*]/RateAndAmountDetails/BidInterval is present

Following Must be True

/CorporateActionDetails/RateAndAmountDetails/BidInterval Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C12 ConcurrentCallRule**

If ConcurrentCallIndicator is Yes, then Lottery FeatureType must be present.

#### **C13 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **C14 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **C15 DeclaredRateRule**

If CorporateActionOptionDetails/RateAndAmountDetails/IssuerDeclaredExchangeRate is present, then CorporateActionDetails/RateAndAmountDetails/DeclaredRate must be present.

On Condition

/CorporateActionOptionDetails[\*] is present

And /CorporateActionOptionDetails[\*]/RateAndAmountDetails is present

And /CorporateActionOptionDetails[\*]/RateAndAmountDetails/

IssuerDeclaredExchangeRate is present

Following Must be True

/CorporateActionDetails/RateAndAmountDetails/DeclaredRate Must be present

*This constraint is defined at the MessageDefinition level.*

#### **C16 DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

#### **C17 DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

**C18 DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

**C19 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C20 DescriptionUsageRule**

Description must be used alone as the last resort.

**C21 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C22 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C23 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**C24 DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

**C25 DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

*Expression Language:* UGRule

**C26 DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

**C27 DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

*Expression Language:* UGRule

**C28 EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

**C29 EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

**C30 ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**C31 ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**C32 FirstBidIncrementPrice1Rule**

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

`/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice` is present  
Following Must be True

`/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice` Must be absent

*This constraint is defined at the MessageDefinition level.*

**C33 FirstBidIncrementPrice2Rule**

If FirstBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then FirstBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

`/CorporateActionOptionDetails[*]/PriceDetails/FirstBidIncrementPrice` is present  
Following Must be True

`/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice` Must be absent

*This constraint is defined at the MessageDefinition level.*



**C34 FirstBidIncrementPriceEventType1Rule**

If FirstBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/FirstBidIncrementPrice is present  
Following Must be True

/CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType  
Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code/  
PlainCorporateEventType Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code/  
PlainCorporateEventType Must be equal to value 'Tender'

*This constraint is defined at the MessageDefinition level.*

**C35 FirstBidIncrementPriceEventType2Rule**

If FirstBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionOptionDetails[\*]/PriceDetails/FirstBidIncrementPrice is  
present  
Following Must be True

/CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType  
Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code/  
PlainCorporateEventType Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code/  
PlainCorporateEventType Must be equal to value 'Tender'

*This constraint is defined at the MessageDefinition level.*

**C36 GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

**C37 GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

**C38 GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

**C39 GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

**C40 GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

**C41 GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

**C42 GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

**C43 IncentivePremiumEventType1Rule**

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

On Condition

/CorporateActionDetails/AdditionalBusinessProcessIndicator[\*]/Code is equal to value 'IncentivePremiumPayment'

Following Must be True

/CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'Consent'

*This constraint is defined at the MessageDefinition level.*

**C44 IncentivePremiumEventTypeUG1Rule**

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType value must be equal to CONS (Consent).

(MT 564 NVR C26)

if

at least one occurrence of [CorporateActionDetails/AdditionalBusinessProcessIndicator/Code] has value included in the following list 'INCP'

, then

every occurrence of [CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType] must have value included in the following list 'CONS'

*Expression Language: UGRule*

*This constraint is defined at the MessageDefinition level.*

**C45 IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

**C46 IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

**C47 IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**C48 IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**C49 InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

**C50 InterestPaymentRule**

CorporateActionDetails/RateAndAmountDetails/InterestRate and CorporateActionOptionsDetails/RateAndAmountDetails/GrossInterestRateUsedforPayment must not be mixed. InterestRate is used to provide the annual rate of the financial instrument. GrossInterestRateUsedforPayment is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

*This constraint is defined at the MessageDefinition level.*

**C51 InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

**C52 IntermediateSecuritiesDistribution1Rule**

If CorporateActionGeneralInformation/EventType/Code is RHD1 (IntermediateSecuritiesDistribution), then CorporateActionDetails/

FollowingEventType must be present.

(MT 564 NVR C11).

On Condition

```

    /CorporateActionGeneralInformation/EventType/Code is present
    And /CorporateActionGeneralInformation/EventType/Code/
    PlainCorporateEventType is equal to value 'IntermediateSecuritiesDistribution'
    Following Must be True
    /CorporateActionDetails Must be present
    And /CorporateActionDetails/FollowingEventTypeIndicator Must be present
  
```

*This constraint is defined at the MessageDefinition level.*

**C53 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C54 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C55 IssuerAgentGuideline**

AgentInformation/IssuerAgent must be used only in the communication between a CSD and local custodians.

*This constraint is defined at the MessageDefinition level.*

**C56 LastBidIncrementPrice1Rule**

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionOptionDetails[\*]/PriceDetails/LastBidIncrementPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

**C57 LastBidIncrementPrice2Rule**

If LastBidIncrementPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then LastBidIncrementPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[\*]/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

**C58 LastBidIncrementPriceEventType1Rule**

If LastBidIncrementPrice is present in CorporateActionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition

/CorporateActionDetails/PriceDetails/LastBidIncrementPrice is present

Following Must be True

/CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'RepurchaseOffer'

Or /CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'DutchAuction'

Or /CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'Tender'

*This constraint is defined at the MessageDefinition level.*

**C59 LastBidIncrementPriceEventType2Rule**

If LastBidIncrementPrice is present in any occurrence of CorporateActionOptionDetails/PriceDetails, then CorporateActionGeneralInformation/EventType/Code value must be equal to either BIDS (Repurchase Offer) or DTCH (Dutch Auction) or TEND (Tender).

(MT 564 NVR C30)

On Condition  
     /CorporateActionOptionDetails[\*]/PriceDetails/LastBidIncrementPrice is present  
 Following Must be True  
     /CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'RepurchaseOffer'  
     Or     /CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'DutchAuction'  
     Or     /CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType Must be equal to value 'Tender'

*This constraint is defined at the MessageDefinition level.*

#### **C60 MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

#### **C61 MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

#### **C62 MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

#### **C63 MaximumPrice1Rule**

If MaximumPrice is present in CorporateActionDetails/PriceDetails, then MaximumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition  
     /CorporateActionDetails/PriceDetails/MaximumPrice is present  
 Following Must be True  
     /CorporateActionOptionDetails[\*]/PriceDetails/MaximumPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C64 MaximumPrice2Rule**

If MaximumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MaximumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition  
     /CorporateActionOptionDetails[\*]/PriceDetails/MaximumPrice is present  
 Following Must be True  
     /CorporateActionDetails/PriceDetails/MaximumPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C65 MinimumPrice1Rule**

If MinimumPrice is present in CorporateActionDetails/PriceDetails, then MinimumPrice is not allowed in any occurrences of CorporateActionOptionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionDetails/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionOptionDetails[\*]/PriceDetails/MinimumPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C66 MinimumPrice2Rule**

If MinimumPrice is present in any occurrences of CorporateActionOptionDetails/PriceDetails, then MinimumPrice is not allowed in CorporateActionDetails/PriceDetails.

(MT 564 NVR C24)

On Condition

/CorporateActionOptionDetails[\*]/PriceDetails/MinimumPrice is present

Following Must be True

/CorporateActionDetails/PriceDetails/MinimumPrice Must be absent

*This constraint is defined at the MessageDefinition level.*

#### **C67 NameChangeRule**

If CorporateActionDetails/AdditionalInformation/NewCompanyName/AdditionalInformation is present, then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType value CHAN (Change) must be present and CorporateActionDetails/ChangeType/Code value NAME (Name) must be present in at least one occurrence of CorporateActionDetails/ChangeType.

(MT 564 NVR C10).

*This constraint is defined at the MessageDefinition level.*

#### **C68 NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

#### **C69 NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

#### **C70 NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

#### **C71 NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

**C72 NewMaturityDateRule**

If CorporateActionDetails/DateDetails/NewMaturityDate is present, then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType must be EXTM (Maturity Extension).

*This constraint is defined at the MessageDefinition level.*

**C73 NotificationIdentification1Rule**

If NotificationGeneralInformation/NotificationType is REPL (Replacement) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

On Condition

    /NotificationGeneralInformation/NotificationType is equal to value 'Replacement'

And /Pagination/PageNumber is equal to value '1'

Following Must be True

    /PreviousNotificationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C74 NotificationIdentification2Rule**

If NotificationGeneralInformation/NotificationType is RMDR (Reminder) and Pagination/PageNumber is equal to value 1, then PreviousNotificationIdentification must be present.

(MT 564 NVR C9).

On Condition

    /NotificationGeneralInformation/NotificationType is equal to value 'Reminder'

And /Pagination/PageNumber is equal to value '1'

Following Must be True

    /PreviousNotificationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C75 NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message.

**C76 NumberRule**

If Number is negative, then Sign must be present.

**C77 OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on [www.smpg.info](http://www.smpg.info).

**C78 OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

**C79 OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option type code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

**C80 OtherEventRule**

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other) then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

On Condition

/CorporateActionGeneralInformation/EventType/Code is present  
And /CorporateActionGeneralInformation/EventType/Code/  
PlainCorporateEventType is equal to value 'OtherEvent'  
Following Must be True

/AdditionalInformation Must be present  
And /AdditionalInformation/AdditionalText Must be present

*This constraint is defined at the MessageDefinition level.*

**C81 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

**C82 PaginationRule**

If pagination is present, then NotificationGeneralInformation/NotificationIdentification must be present.

On Condition

/Pagination is present  
Following Must be True  
/NotificationGeneralInformation/NotificationIdentification Must be present

*This constraint is defined at the MessageDefinition level.*

**C83 PaymentDateRule**

If at least one occurrence of CorporateActionOptionDetails/SecuritiesMovementDetails or at least one occurrence of CorporateActionOptionDetails/CashMovementDetails is present, then CorporateActionDetails/DateDetails/PaymentDate must be absent. (MT 564 NVR C16).

On Condition

/CorporateActionOptionDetails[\*]/CashMovementDetails[\*] is present  
Or /CorporateActionOptionDetails[\*]/SecuritiesMovementDetails[\*] is present  
Following Must be True

/CorporateActionDetails/DateDetails/PaymentDate Must be absent

*This constraint is defined at the MessageDefinition level.*

**C84 PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

**C85 PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

**C86 RateAndCorporateActionEventRule**

If CorporateActionOptionDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code/PlainCorporateEventType must be either DVCA (CashDividend) or INTR (InterestPayment).



If CorporateActionOptionDetails/CashMovementDetails/RateAndAmountDetails/  
GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionOptionDetails/  
CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/  
NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/  
EventType/Code/PlainCorporateEventType must be either DVCA (CashDividend) or INTR  
(InterestPayment).

*This constraint is defined at the MessageDefinition level.*

**C87 RateStatusGuideline**

The code value ACTU is the default value for a rate status.

**C88 RevocabilityPeriodRule**

If WithdrawalAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/  
RevocabilityPeriod must be present to indicate the period during which the withdrawal of  
instruction is allowed.

**C89 SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code  
values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

**C90 SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code  
values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

**C91 SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or  
SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be  
present.

(MT 564 NVR C7).

**C92 SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or  
SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be  
present.

(MT 564 NVR C7).

**C93 SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of  
WithholdingTaxRate must be present. (MT 564 NVR C19).

**C94 SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of  
WithholdingTaxRate must be present. (MT 564 NVR C19).

**C95 WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/  
Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

#### **C96 WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

#### **C97 WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

#### **C98 WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

#### **C99 WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## **3.4 Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### **3.4.1 Pagination <Pgntn>**

*Presence:* [0..1]

*Definition:* Page number of the message and continuation indicator to indicate that the multi-part notification is to continue or that the message is the last page of the multi-part notification.

**Pagination <Pgntn>** contains the following elements (see "[Pagination1](#)" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		805
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		805

### **3.4.2 NotificationGeneralInformation <NtfctnGnlInf>**

*Presence:* [1..1]

*Definition:* General information about the event notification type, status and contents.

Impacted by: C75 "NotificationTypeGuideline"

**NotificationGeneralInformation <NtfctnGnlInf>** contains the following **CorporateActionNotification12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NotificationIdentification</b> <NtfctnId>	[0..1]	Text		59
	<b>NotificationType</b> <NtfctnTp>	[1..1]	CodeSet		59
	<b>ProcessingStatus</b> <PrcgSts>	[1..1]			59
{Or	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		60
Or}	<b>EventInformationStatus</b> <EvtInfSts>	[1..1]	±		60

#### Constraints

- **NotificationTypeGuideline**

NotificationType REPL is used to replace a previously sent CorporateActionNotification message.

#### 3.4.2.1 NotificationIdentification <NtfctnId>

*Presence:* [0..1]

*Definition:* Unique identification of the group of corporate action notification messages when the notification is split in multiple (paginated) messages.

*Datatype:* "Max35Text" on page 1094

#### 3.4.2.2 NotificationType <NtfctnTp>

*Presence:* [1..1]

*Definition:* Specifies the type of notification.

*Datatype:* "CorporateActionNotificationType1Code" on page 1031

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

#### 3.4.2.3 ProcessingStatus <PrcgSts>

*Presence:* [1..1]

*Definition:* Specifies the status of the details of the corporate action event.

**ProcessingStatus <PrcgSts>** contains one of the following **CorporateActionProcessingStatus7Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ForInformationOnly</b> <ForInfOnly>	[1..1]	Indicator		60
Or}	<b>EventInformationStatus</b> <EvtInfSts>	[1..1]	±		60

#### 3.4.2.3.1 ForInformationOnly <ForInfOnly>

*Presence:* [1..1]

*Definition:* Indicates whether the corporate event information is provided for information only.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.2.3.2 EventInformationStatus <EvtInfSts>

*Presence:* [1..1]

*Definition:* Status of the information provided on the corporate action event.

**EventInformationStatus <EvtInfSts>** contains one of the following elements (see ["CorporateActionProcessingStatus5Choice" on page 811](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			811
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		811
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	±		812

### 3.4.3 PreviousNotificationIdentification <PrvsNtfctnId>

*Presence:* [0..1]

*Definition:* Identification of the previously sent notification document.

**PreviousNotificationIdentification <PrvsNtfctnId>** contains the following elements (see ["DocumentIdentification31" on page 785](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		785
	LinkageType <LkgTp>	[0..1]	±	C99	785

### 3.4.4 AgentInformation <Agtnf>

*Presence:* [1..\*]

*Definition:* Agent institution identification, exact role and contact person.

**AgentInformation <AgInf>** contains the following **CorporateActionAgent2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IssuerAgent</b> <IssrAgt>	[0..1]			62
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		62
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		62
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		63
	<b>PayingAgent</b> <PngAgt>	[0..1]			63
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		64
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		64
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		64
	<b>Registrar</b> <Regar>	[0..1]			65
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		65
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		65
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		66
	<b>RemarketingAgent</b> <RmktgAgt>	[0..1]			66
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		67
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		67
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		67
	<b>SolicitationAgent</b> <SlctnAgt>	[0..1]			68
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		68
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		68
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		69
	<b>InformationAgent</b> <InfAgt>	[0..1]			69
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		70
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		70
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		70
	<b>Issuer</b> <Issr>	[0..1]			71
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		71
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		71
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		72
	<b>TransferAgent</b> <TrfAgt>	[0..1]			72
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		73
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		73

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		73
	<b>RedemptionAgent</b> <RedAgt>	[0..1]			74
	<b>PartyIdentification</b> <Ptyld>	[1..1]	±		74
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		74
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		75

### 3.4.4.1 IssuerAgent <IssrAgt>

*Presence:* [0..1]

*Definition:* Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

**IssuerAgent** <IssrAgt> contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <Ptyld>	[1..1]	±		62
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		62
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		63

#### 3.4.4.1.1 PartyIdentification <Ptyld>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification** <Ptyld> contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.1.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.1.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

#### 3.4.4.2 PayingAgent <PngAgt>

*Presence:* [0..1]

*Definition:* Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

**PayingAgent <PngAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		64
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		64
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		64

#### 3.4.4.2.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.2.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.2.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.



**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "PostalAddress1" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 3.4.4.3 Registrar <Regar>

*Presence:* [0..1]

*Definition:* Party/agent responsible for maintaining the register of the security.

**Registrar <Regar>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		65
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		65
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		66

#### 3.4.4.3.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "PartyIdentification129Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.3.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.3.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

#### 3.4.4.4 RemarketingAgent <RmktgAgt>

*Presence:* [0..1]

*Definition:* Agent who remarkets the security whereby the outstanding does not get reduced.

**RemarketingAgent <RmktgAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		67
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		67
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		67

**3.4.4.4.1 PartyIdentification <PtyId>***Presence:* [1..1]*Definition:* Provides information about the beneficial owner of the securities.**PartyIdentification <PtyId>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

**3.4.4.4.2 ContactPerson <CtctPrsn>***Presence:* [0..1]*Definition:* Detail contact information of the customer.**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

**3.4.4.4.3 ContactPersonAddress <CtctPrsnAdr>***Presence:* [0..1]*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "PostalAddress1" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 3.4.4.5 SolicitationAgent <SlctnAgt>

*Presence:* [0..1]

*Definition:* Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

**SolicitationAgent <SlctnAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		68
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		68
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		69

#### 3.4.4.5.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "PartyIdentification129Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.5.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

### 3.4.4.5.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 3.4.4.6 InformationAgent <InfAgt>

*Presence:* [0..1]

*Definition:* Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

**InformationAgent <InfAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		70
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		70
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		70

#### 3.4.4.6.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.6.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.6.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "PostalAddress1" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 3.4.4.7 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Issuer of the financial instrument.

**Issuer <Issr>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		71
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		71
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		72

#### 3.4.4.7.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "PartyIdentification129Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.7.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.7.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

#### 3.4.4.8 TransferAgent <TrfAgt>

*Presence:* [0..1]

*Definition:* Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.



**TransferAgent <TrfAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		73
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		73
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		73

#### 3.4.4.8.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "[PartyIdentification129Choice](#)" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

#### 3.4.4.8.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.8.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "PostalAddress1" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

#### 3.4.4.9 RedemptionAgent <RedAgt>

*Presence:* [0..1]

*Definition:* Depository or any bank or trust company appointed to receive and send redemption proceeds.

**RedemptionAgent <RedAgt>** contains the following **PartyIdentification289** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PartyIdentification</b> <PtyId>	[1..1]	±		74
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		74
	<b>ContactPersonAddress</b> <CtctPrsnAdr>	[0..1]	±		75

##### 3.4.4.9.1 PartyIdentification <PtyId>

*Presence:* [1..1]

*Definition:* Provides information about the beneficial owner of the securities.

**PartyIdentification <PtyId>** contains one of the following elements (see "PartyIdentification129Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

##### 3.4.4.9.2 ContactPerson <CtctPrsn>

*Presence:* [0..1]

*Definition:* Detail contact information of the customer.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification1](#)" on page 928 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

#### 3.4.4.9.3 ContactPersonAddress <CtctPrsnAdr>

*Presence:* [0..1]

*Definition:* Address of the customer.

**ContactPersonAddress <CtctPrsnAdr>** contains the following elements (see "[PostalAddress1](#)" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 3.4.5 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* [C77 "OfficialCorporateActionEventReference"](#)

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following  
**CorporateActionGeneralInformation196** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgentCorporateActionEventIdentification</b> <AgtCorpActnEvtId>	[1..1]	Text		77
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[0..1]	Text		77
	<b>OfficialCorporateActionEventIdentification</b> <OffclCorpActnEvtId>	[0..1]	Text		77
	<b>EventProcessingType</b> <EvtPrccTp>	[0..1]	CodeSet		77
	<b>EventType</b> <EvtTp>	[1..1]	±	C29	78
	<b>SubEventType</b> <SubEvtTp>	[0..1]	CodeSet		78
	<b>MandatoryVoluntaryEventType</b> <MndtryVlntryEvtTp>	[1..1]	±		82
	<b>UnderlyingSecurity</b> <UndrlygScty>	[1..*]		C60, C84	82
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	84
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		85
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		85
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		85
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		86
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	86
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		86
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		86
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		86
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		86
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		87
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	Date		87
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		87
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		87
	<b>ConversionDate</b> <ConvsDt>	[0..1]	Date		87
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		87
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		87
	<b>PercentageOfDebtClaim</b> <PctgOfDebtClm>	[0..1]	±		88
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		88

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		88
	<b>WarrantParity</b> <WarrtParity>	[0..1]	±		89
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	89
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	89

#### Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on [www.smpg.info](http://www.smpg.info).

#### 3.4.5.1 AgentCorporateActionEventIdentification <AgtCorpActnEvtId>

*Presence:* [1..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 1094

#### 3.4.5.2 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [0..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 1094

#### 3.4.5.3 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

*Presence:* [0..1]

*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

*Datatype:* "Max35Text" on page 1094

#### 3.4.5.4 EventProcessingType <EvtPrcgTp>

*Presence:* [0..1]

*Definition:* Type of processing involved by a corporate action.

*Datatype:* "CorporateActionEventProcessingType2Code" on page 1016

CodeName	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.

CodeName	Name	Definition
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

### 3.4.5.5 EventType <EvtTp>

*Presence:* [1..1]

*Definition:* Type of corporate action event.

*Impacted by:* [C29 "EventTypeRule"](#)

**EventType <EvtTp>** contains one of the following elements (see ["CorporateActionEventType127Choice"](#) on page 879 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			880
{Or	PlainCorporateEventType <PlainCorpEvtTp>	[1..1]	CodeSet		880
Or}	ExtendedCorporateEventType <XtndedCorpEvtTp>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		887

#### Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

### 3.4.5.6 SubEventType <SubEvtTp>

*Presence:* [0..1]

*Definition:* DTCC (The Depository Trust and Clearing Corporation) native corporate action sub event type name further defines the event type.

*Datatype:* ["DTCCSubEventType9Code"](#) on page 1039

CodeName	Name	Definition
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as

CodeName	Name	Definition
		a default option (holder must opt out of the DRIP Option).
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
BLOT	BoardLot	Identifies a change in Board Lot size.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offering an early settlement or remarketing feature prior to the contract's expiration.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the standard market-accepted merger. Usually applicable to an event in JP markets.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.

CodeName	Name	Definition
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.



CodeName	Name	Definition
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
CASH	Cash	Identifies an event where the payout will be cash.
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
SECU	Securities	Identifies an event where the payout will be securities.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
SETE	SelfTender	Identifies when a tender offer is a self tender.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
CUSP	NameAndCUSIPChange	Name change with CUSIP change.
CUPR	NameAndCUSIPChangeAndPresentationRequired	Name change with both CUSIP change and presentation required.
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a shareholder buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.

CodeName	Name	Definition
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.

### 3.4.5.7 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

*Presence:* [1..1]

*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

**MandatoryVoluntaryEventType <MndtryVlntryEvtTp>** contains one of the following elements (see "[CorporateActionMandatoryVoluntary3Choice](#)" on page 862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		862

### 3.4.5.8 UnderlyingSecurity <UndrlygScty>

*Presence:* [1..\*]

*Definition:* Security concerned by the corporate action.

*Impacted by:* [C60 "MaturityDateRule"](#), [C84 "PreviousNextFactorRule"](#)

**UnderlyingSecurity <UndrlygScty>** contains the following **FinancialInstrumentAttributes126** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	84
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		85
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		85
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		85
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		86
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	86
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		86
	<b>ExpiryDate</b> <XpryDt>	[0..1]	Date		86
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		86
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		86
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		87
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	Date		87
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		87
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		87
	<b>ConversionDate</b> <ConvstDt>	[0..1]	Date		87
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		87
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		87
	<b>PercentageOfDebtClaim</b> <PctgOfDebtClm>	[0..1]	±		88
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		88
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		88
	<b>WarrantParity</b> <WarrtParity>	[0..1]	±		89
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	89
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	89

**Constraints**

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

**3.4.5.8.1 FinancialInstrumentIdentification <FinInstrmId>**

*Presence:* [1..1]

*Definition:* Identifies the financial instrument.

*Impacted by:* C19 "DescriptionPresenceRule", C20 "DescriptionUsageRule", C53 "ISINGuideline", C54 "ISINPresenceRule", C81 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		793
	OtherIdentification <OthrId>	[0..*]			793
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794
	Description <Desc>	[0..1]	Text		794

**Constraints**

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

### 3.4.5.8.2 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Place where the referenced financial instrument is listed.

**PlaceOfListing <PlcOfListg>** contains one of the following elements (see "MarketIdentification3Choice" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		801
Or}	Description <Desc>	[1..1]	Text		802

### 3.4.5.8.3 DayCountBasis <DayCntBsis>

*Presence:* [0..1]

*Definition:* Specifies the computation method of (accrued) interest of the financial instrument.

**DayCountBasis <DayCntBsis>** contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		866

### 3.4.5.8.4 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "ClassificationType32Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		804
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		804

**3.4.5.8.5 OptionStyle <OptnStyle>***Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

**3.4.5.8.6 DenominationCurrency <DnmtnCcy>***Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1008**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**3.4.5.8.7 NextCouponDate <NxtCpnDt>***Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1085**3.4.5.8.8 ExpiryDate <XpryDt>***Presence:* [0..1]*Definition:* Date on which an order expires or at which a privilege or offer terminates.*Datatype:* ["ISODate"](#) on page 1085**3.4.5.8.9 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1085**3.4.5.8.10 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.11 IssueDate <IsseDt>

*Presence:* [0..1]

*Definition:* Date on which the financial instrument is issued.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.12 NextCallableDate <NxtClblDt>

*Presence:* [0..1]

*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.13 PutableDate <PutblDt>

*Presence:* [0..1]

*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.14 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.15 ConversionDate <ConvsDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.

*Datatype:* "ISODate" on page 1085

#### 3.4.5.8.16 InterestRate <IntrstRate>

*Presence:* [0..1]

*Definition:* Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

**InterestRate <IntrstRate>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.5.8.17 NextInterestRate <NxtIntrstRate>

*Presence:* [0..1]

*Definition:* Interest rate applicable to the next interest payment period in relation to variable rate instruments.

**NextInterestRate <NxtIntrstRate>** contains one of the following elements (see "[RateFormat24Choice](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.5.8.18 PercentageOfDebtClaim <PctgOfDebtClm>

*Presence:* [0..1]

*Definition:* Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

**PercentageOfDebtClaim <PctgOfDebtClm>** contains one of the following elements (see "[RateFormat24Choice](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.5.8.19 PreviousFactor <PrvsFctr>

*Presence:* [0..1]

*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

**PreviousFactor <PrvsFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862

#### 3.4.5.8.20 NextFactor <NxtFctr>

*Presence:* [0..1]

*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

**NextFactor <NxtFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862



**3.4.5.8.21 WarrantParity <WarrtParity>***Presence:* [0..1]*Definition:* Provides the ratio between the quantity of warrants and the quantity of underlying securities.**WarrantParity <WarrtParity>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

**3.4.5.8.22 MinimumNominalQuantity <MinNmnlQty>***Presence:* [0..1]*Definition:* Minimum nominal quantity of financial instrument.*Impacted by:* [C21 "DigitalTokenUnitUsageRule"](#)**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.5.8.23 ContractSize <CtrctSz>***Presence:* [0..1]*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.*Impacted by:* [C21 "DigitalTokenUnitUsageRule"](#)**ContractSize <CtrctSz>** contains one of the following elements (see "[FinancialInstrumentQuantity33Choice](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

### 3.4.6 **CorporateActionDetails <CorpActnDtls>**

*Presence:* [1..1]

*Definition:* Provides details information about the CA event.

*Impacted by:* C1 "AcceptancePriorityLevelUGRule", C24 "DissenterRights1Rule", C25 "DissenterRights1UGRule", C26 "DissenterRights2Rule", C27 "DissenterRights2UGRule"

**CorporateActionDetails <CorpActnDtls>** contains the following **CorporateAction88** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DateDetails &lt;DtDtls&gt;</b>	[0..1]		C28	95
	<b>AnnouncementDate &lt;AnncmntDt&gt;</b>	[0..1]	±		97
	<b>CertificationDeadline &lt;CertfctnDdln&gt;</b>	[0..1]	±		97
	<b>CourtApprovalDate &lt;CrtApprvlDt&gt;</b>	[0..1]	±		98
	<b>EarlyClosingDate &lt;EarlyClsgDt&gt;</b>	[0..1]	±		98
	<b>EffectiveDate &lt;FctvDt&gt;</b>	[0..1]	±		98
	<b>EqualisationDate &lt;EqulstnDt&gt;</b>	[0..1]	±		98
	<b>FurtherDetailedAnnouncementDate &lt;FrthrDtldAnncmntDt&gt;</b>	[0..1]	±		99
	<b>FixingDate &lt;FvgDt&gt;</b>	[0..1]	±		99
	<b>LotteryDate &lt;LtryDt&gt;</b>	[0..1]	±		99
	<b>NewMaturityDate &lt;NewMtrtyDt&gt;</b>	[0..1]	±		100
	<b>MeetingDate &lt;MtgDt&gt;</b>	[0..1]	±		100
	<b>MarginFixingDate &lt;MrgnFvgDt&gt;</b>	[0..1]	±		100
	<b>ProrationDate &lt;PrratnDt&gt;</b>	[0..1]	±		101
	<b>RecordDate &lt;RcrdDt&gt;</b>	[0..1]	±		101
	<b>RegistrationDeadline &lt;RegnDdln&gt;</b>	[0..1]	±		101
	<b>ResultsPublicationDate &lt;RsltsPblctnDt&gt;</b>	[0..1]	±		102
	<b>DeadlineToSplit &lt;DdlnToSpl&gt;</b>	[0..1]	±		102
	<b>DeadlineForTaxBreakdownInstruction &lt;DdlnForTaxBrkdwInstr&gt;</b>	[0..1]	±		102
	<b>TradingSuspendedDate &lt;TradgSspdDt&gt;</b>	[0..1]	±		103
	<b>UnconditionalDate &lt;UcondlDt&gt;</b>	[0..1]	±		103
	<b>WhollyUnconditionalDate &lt;WhlyUcondlDt&gt;</b>	[0..1]	±		103
	<b>ExDividendDate &lt;ExDvddDt&gt;</b>	[0..1]	±		104
	<b>OfficialAnnouncementPublicationDate &lt;OffclAnncmntPblctnDt&gt;</b>	[0..1]	±		104
	<b>SpecialExDate &lt;SpclExDt&gt;</b>	[0..1]	±		104
	<b>GuaranteedParticipationDate &lt;GrntedPrtpctnDt&gt;</b>	[0..1]	±		105
	<b>ElectionToCounterpartyMarketDeadline &lt;ElctnToCtrPtyMktDdln&gt;</b>	[0..1]	±		105
	<b>ElectionToCounterpartyResponseDeadline &lt;ElctnToCtrPtyRspnDdln&gt;</b>	[0..1]	±		105
	<b>LapsedDate &lt;LpsdDt&gt;</b>	[0..1]	±		106

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		106
	<b>ThirdPartyDeadline</b> <ThrdPtyDdln>	[0..1]	±		106
	<b>EarlyThirdPartyDeadline</b> <EarlyThrdPtyDdln>	[0..1]	±		107
	<b>MarketClaimTrackingEndDate</b> <MktClmTrckgEndDt>	[0..1]	±		107
	<b>LeadPlaintiffDeadline</b> <LeadPlntffDdln>	[0..1]	±		107
	<b>FilingDate</b> <FilgDt>	[0..1]	±		108
	<b>HearingDate</b> <HrgDt>	[0..1]	±		108
	<b>EventBalanceDetails</b> <EvtBalDtls>	[0..1]			108
	<b>SecurityCalledAmount</b> <SctyClldAmt>	[0..1]	Amount		109
	<b>TotalAmountOutstanding</b> <TtlAmtOutsdng>	[0..1]	Amount		109
	<b>AmountDetails</b> <AmtDtls>	[0..1]			109
	<b>RefundedAmount</b> <RfnddAmt>	[0..1]	Amount		109
	<b>NonRefundedAmount</b> <NonRfnddAmt>	[0..1]	Amount		109
	<b>DepositoryCalledAmount</b> <DpstryClldAmt>	[0..1]	Amount		110
	<b>PeriodDetails</b> <PrdDtls>	[0..1]	±	C51	110
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]	±	C16	111
	<b>PriceDetails</b> <PricDtls>	[0..1]			111
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		112
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		112
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		112
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		113
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]		C62	113
	<b>MaximumQuantity</b> <MaxQty>	[0..1]	±	C22	114
	<b>MinimumQuantitySought</b> <MinQtySght>	[0..1]	±	C22	115
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	115
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	116
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]	±	C23	116
	<b>IncrementalDenomination</b> <IncrmtlDnmtn>	[0..1]	±	C23	117
	<b>SecurityCalledQuantity</b> <SctyClldQty>	[0..1]	±	C23	117
	<b>NewOutstandingQuantity</b> <NewOutsdngQty>	[0..1]	±	C23	118
	<b>TotalOutstandingQuantity</b> <TtlOutsdngQty>	[0..1]	±	C23	118

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InterestAccruedNumberOfDays</b> <IntrstAcrdNbOfDays>	[0..1]	Quantity	C76	119
	<b>AcceptancePriorityLevel</b> <AccptncPrtyLvl>	[0..1]	Text		119
	<b>ChargesAppliedIndicator</b> <ChrgsAppldInd>	[0..1]	Indicator		119
	<b>RestrictionIndicator</b> <RstrctnInd>	[0..1]	Indicator		119
	<b>AccruedInterestIndicator</b> <AcrdIntrstInd>	[0..1]	Indicator		119
	<b>SupplementaryIndicators</b> <SplmtryIndctrs>	[0..1]		C12	120
	<b>ConditionalPaymentApplicableIndicator</b> <CondlPmtApblInd>	[0..1]	Indicator		120
	<b>SolicitationDealerFeeIndicator</b> <SlctnDealrFeeInd>	[0..1]	Indicator		121
	<b>SurrenderSharesToAgentIndicator</b> <SrmdrShrsToAgtInd>	[0..1]	Indicator		121
	<b>StepUpPrivilegeIndicator</b> <StepUpPrvlgInd>	[0..1]	Indicator		121
	<b>RightsOversubscriptionIndicator</b> <RghsOvrsbcptInd>	[0..1]	Indicator		121
	<b>RightsRoundUpPrivilegeIndicator</b> <RghsRndUpPrvlgInd>	[0..1]	Indicator		122
	<b>RightsTransferableIndicator</b> <RghsTrfblInd>	[0..1]	Indicator		122
	<b>EscrowToMaturityIndicator</b> <EscrwToMtrtyInd>	[0..1]	Indicator		122
	<b>ConcurrentCallIndicator</b> <CncrrntCallInd>	[0..1]	Indicator		123
	<b>ForfeitureOfInterestIndicator</b> <FrfrOfIntrstInd>	[0..1]	Indicator		123
	<b>DividendType</b> <DvddTp>	[0..1]	±		123
	<b>OccurrenceType</b> <OcrncTp>	[0..1]	±		123
	<b>OfferType</b> <OfferTp>	[0..*]			124
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		124
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		124
	<b>RenounceableEntitlementStatusType</b> <RnnclEntitlmntStsTp>	[0..1]	±		125
	<b>EventStage</b> <EvtStag>	[0..*]	±		125
	<b>AdditionalBusinessProcessIndicator</b> <AddtlBizPrcInd>	[0..*]	±		125
	<b>ChangeType</b> <ChngTp>	[0..*]	±		126
	<b>FollowingEventTypeIndicator</b> <FlwngEvtTpInd>	[0..1]	±		126
	<b>CapitalGainInOutIndicator</b> <CptlGnlnOutInd>	[0..1]	±		126
	<b>TaxableIncomePerShareCalculated</b> <TaxblIncmPerShrClctd>	[0..1]	±		126
	<b>LotteryEventInformation</b> <LtryEvtInf>	[0..1]			127

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	±		127
	<b>FeatureType</b> <FeatrTp>	[0..1]	CodeSet		127
	<b>CertificationType</b> <CertfctnTp>	[0..1]	±		128
	<b>ConsentType</b> <CnsntTp>	[0..1]	±		128
	<b>InformationType</b> <InfTp>	[0..1]	±		128
	<b>TaxOnNonDistributedProceedsIndicator</b> <TaxOnNonDstrbtdPrcdsInd>	[0..*]	±		129
	<b>DutchAuctionType</b> <DtchAuctnTp>	[0..1]			129
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		129
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		130
	<b>ReverseDutchAuctionIndicator</b> <RvsDtchAuctnInd>	[0..1]	Indicator		130
	<b>NewPlaceOfIncorporation</b> <NewPlcOfIncorprtn>	[0..1]	Text		130
	<b>NoticeType</b> <NtceTp>	[0..1]	CodeSet		130
	<b>ProrationReturnMinimumQuantityTreatment</b> <PrratnRtrMinQtyTrtmnt>	[0..1]	CodeSet		130
	<b>CertificateDetails</b> <CertDtls>	[0..*]			131
	<b>CertificateNumber</b> <CertNb>	[1..1]	Text		131
	<b>CertificatePrefix</b> <CertPrfx>	[0..1]	Text		131
	<b>CertificateCalledAmount</b> <CertClldAmt>	[1..1]	Quantity		131
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]		C4	131
	<b>Offeror</b> <Offerr>	[0..*]	Text		132
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		132
	<b>URLAddress</b> <URLAdr>	[0..*]			132
	<b>Language</b> <Lang>	[1..1]	CodeSet		132
	<b>URLAddress</b> <URLAdr>	[1..1]	Text		133
	<b>EventProcessingWebSiteAddress</b> <EvtPrpgWebSiteAdr>	[0..1]	Text		133

### Constraints

- **AcceptancePriorityLevelUGRule**

If OfferType/Code is equal to AcceptancePriority (ACPR), then AcceptancePriorityLevel must be present.

if

at least one occurrence of [OfferType/Code] has value included in the following list 'ACPR'

, then

at least one occurrence of the following element(s) [AcceptancePriorityLevel] must be present

- **DissenterRights1Rule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[\*]/Code is equal to value 'DissenterRights'

Following Must be True

/OfferType[\*]/Code Must be different from value 'DissenterRightsNotApplicable'

- **DissenterRights1UGRule**

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'DISS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'NDIS'

- **DissenterRights2Rule**

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

On Condition

/OfferType[\*]/Code is equal to value 'DissenterRightsNotApplicable'

Following Must be True

/OfferType[\*]/Code Must be different from value 'DissenterRights'

- **DissenterRights2UGRule**

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

if

at least one occurrence of [OfferType/Code] has value included in the following list 'NDIS'

, then

every occurrence of [OfferType/Code] must have value not included in the following list 'DISS'

### 3.4.6.1 DateDetails <DtDtIs>

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a corporate action event.

*Impacted by:* C28 "EffectiveDateRule"

**DateDetails <DtDtls>** contains the following **CorporateActionDate83** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AnnouncementDate</b> <AnncmntDt>	[0..1]	±		97
	<b>CertificationDeadline</b> <CertfctnDdln>	[0..1]	±		97
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		98
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		98
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		98
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		98
	<b>FurtherDetailedAnnouncementDate</b> <FrthrDtldAnncmntDt>	[0..1]	±		99
	<b>FixingDate</b> <FxdDt>	[0..1]	±		99
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		99
	<b>NewMaturityDate</b> <NewMtrtyDt>	[0..1]	±		100
	<b>MeetingDate</b> <MtgDt>	[0..1]	±		100
	<b>MarginFixingDate</b> <MrgnFxdDt>	[0..1]	±		100
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		101
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		101
	<b>RegistrationDeadline</b> <RegnDdln>	[0..1]	±		101
	<b>ResultsPublicationDate</b> <RsltsPblctnDt>	[0..1]	±		102
	<b>DeadlineToSplit</b> <DdlnToSpl>	[0..1]	±		102
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlnForTaxBrkdwnInstr>	[0..1]	±		102
	<b>TradingSuspendedDate</b> <TradgSspdDt>	[0..1]	±		103
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		103
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		103
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		104
	<b>OfficialAnnouncementPublicationDate</b> <OffclAnncmntPblctnDt>	[0..1]	±		104
	<b>SpecialExDate</b> <SpcExDt>	[0..1]	±		104
	<b>GuaranteedParticipationDate</b> <GrntedPrtcptnDt>	[0..1]	±		105
	<b>ElectionToCounterpartyMarketDeadline</b> <ElctnToCtrPtyMktDdln>	[0..1]	±		105
	<b>ElectionToCounterpartyResponseDeadline</b> <ElctnToCtrPtyRspnDdln>	[0..1]	±		105
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		106
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		106



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ThirdPartyDeadline</b> <ThrdPtyDdln>	[0..1]	±		106
	<b>EarlyThirdPartyDeadline</b> <EarlyThrdPtyDdln>	[0..1]	±		107
	<b>MarketClaimTrackingEndDate</b> <MktClnTrckgEndDt>	[0..1]	±		107
	<b>LeadPlaintiffDeadline</b> <LeadPlntffDdln>	[0..1]	±		107
	<b>FilingDate</b> <FilgDt>	[0..1]	±		108
	<b>HearingDate</b> <HrgDt>	[0..1]	±		108

### Constraints

- **EffectiveDateRule**

Effective date is to be used in events where there is no concept of entitlement, for example Name Change (CHAN) or Place of Incorporation (PLAC), and in events where there is a sense of eligibility but with a legal obligation, for example Merger (MRGR).

#### 3.4.6.1.1 AnnouncementDate <AnncmntDt>

*Presence:* [0..1]

*Definition:* Date/time at which the issuer announced that a corporate action event will occur.

**AnnouncementDate** <AnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.2 CertificationDeadline <CertfctnDdln>

*Presence:* [0..1]

*Definition:* Deadline by which the certification must be sent.

**CertificationDeadline** <CertfctnDdln> contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

**3.4.6.1.3 CourtApprovalDate <CrtApprvIDt>***Presence:* [0..1]*Definition:* Date upon which the court provided approval.**CourtApprovalDate <CrtApprvIDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

**3.4.6.1.4 EarlyClosingDate <EarlyClsgDt>***Presence:* [0..1]*Definition:* First possible early closing date of an offer if different from the expiry date.**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

**3.4.6.1.5 EffectiveDate <FctvDt>***Presence:* [0..1]*Definition:* Date/time at which an event is officially effective from the issuer's perspective.**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

**3.4.6.1.6 EqualisationDate <EqulstnDt>***Presence:* [0..1]

**Definition:** Date/time on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

**EqualisationDate** <EquIstnDt> contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.7 FurtherDetailedAnnouncementDate <FrthrDtIdAnncmntDt>

**Presence:** [0..1]

**Definition:** Date/time at which additional information on the event will be announced, for example, exchange ratio announcement date.

**FurtherDetailedAnnouncementDate** <FrthrDtIdAnncmntDt> contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.8 FixingDate <FxdDt>

**Presence:** [0..1]

**Definition:** Date/time at which an index / rate / price / value will be determined.

**FixingDate** <FxdDt> contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.9 LotteryDate <LtryDt>

**Presence:** [0..1]

**Definition:** Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

**LotteryDate <LtryDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.10 NewMaturityDate <NewMtrtyDt>

*Presence:* [0..1]

*Definition:* Date/time to which the maturity date of an interest bearing security is extended.

**NewMaturityDate <NewMtrtyDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.11 MeetingDate <MtgDt>

*Presence:* [0..1]

*Definition:* Date/time on which the bondholder's or shareholder's meeting will take place.

**MeetingDate <MtgDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.12 MarginFixingDate <MrgnFxdDt>

*Presence:* [0..1]

*Definition:* Date/time at which the margin rate will be determined.

**MarginFixingDate <MrgnFxdt>** contains one of the following elements (see "[DateFormat30Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.13 ProrationDate <PrratnDt>

*Presence:* [0..1]

*Definition:* Date/time (and time) at which an issuer will determine the proration amount/quantity of an offer.

**ProrationDate <PrratnDt>** contains one of the following elements (see "[DateFormat30Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.14 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat30Choice](#)" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.15 RegistrationDeadline <RegnDdln>

*Presence:* [0..1]

*Definition:* Date/time on which instructions to register or registration details will be accepted.

**RegistrationDeadline <RegnDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.16 ResultsPublicationDate <RsItsPblctnDt>

*Presence:* [0..1]

*Definition:* Date/time on which results are published, for example, results of an offer.

**ResultsPublicationDate <RsItsPblctnDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.17 DeadlineToSplit <DdlnToSpl>

*Presence:* [0..1]

*Definition:* Deadline by which instructions must be received to split securities, for example, of physical certificates.

**DeadlineToSplit <DdlnToSpl>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.18 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

*Presence:* [0..1]

*Definition:* Date/time on until which tax breakdown instructions will be accepted.

**DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.19 TradingSuspendedDate <TradgSspdT>

*Presence:* [0..1]

*Definition:* Date/time at which trading of a security is suspended as the result of an event.

**TradingSuspendedDate <TradgSspdT>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.20 UnconditionalDate <UcondIDt>

*Presence:* [0..1]

*Definition:* Date/time upon which the terms of the take-over become unconditional as to acceptances.

**UnconditionalDate <UcondIDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.21 WhollyUnconditionalDate <WhlyUcondIDt>

*Presence:* [0..1]

*Definition:* Date/time at on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

**WhollyUnconditionalDate <WhlyUcondIDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.22 ExDividendDate <ExDvddDt>

*Presence:* [0..1]

*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

**ExDividendDate <ExDvddDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.23 OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>

*Presence:* [0..1]

*Definition:* Date/time at which the corporate action is legally announced by an official body, for example, publication by a governmental administration.

**OfficialAnnouncementPublicationDate <OffclAnncmntPblctnDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.24 SpecialExDate <SpclExDt>

*Presence:* [0..1]

*Definition:* Date/time from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as



being traded cum or ex respectively, for example, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

**SpecialExDate <SpclExDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.25 GuaranteedParticipationDate <GrntedPrtcptnDt>

*Presence:* [0..1]

*Definition:* Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

**GuaranteedParticipationDate <GrntedPrtcptnDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.26 ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>

*Presence:* [0..1]

*Definition:* Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event, also known as Buyer Protection Deadline.

**ElectionToCounterpartyMarketDeadline <ElctnToCtrPtyMktDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.27 ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>

*Presence:* [0..1]

*Definition:* Date/time the account servicer has set as the deadline to respond, with instructions, prior to the election to counterparty market deadline.

**ElectionToCounterpartyResponseDeadline <ElctnToCtrPtyRspnDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.28 LapsedDate <LpsdDt>

*Presence:* [0..1]

*Definition:* Date/time at which an event/offer is terminated or lapsed.

**LapsedDate <LpsdDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.29 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the movement is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.30 ThirdPartyDeadline <ThrdPtyDdln>

*Presence:* [0..1]

*Definition:* Date/time by which the account owner must instruct directly another party, for example, to provide documentation to an issuer agent.

**ThirdPartyDeadline <ThrdPtyDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.31 EarlyThirdPartyDeadline <EarlyThrdPtyDdln>

*Presence:* [0..1]

*Definition:* Date/Time set by the issuer agent as a first early deadline by which the account owner must instruct directly another party, possibly giving the holder eligibility to incentives. For example, to provide documentation to an issuer agent.

**EarlyThirdPartyDeadline <EarlyThrdPtyDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.32 MarketClaimTrackingEndDate <MktCImTrckgEndDt>

*Presence:* [0..1]

*Definition:* Date by which the depository stops monitoring activities of the event, for instance, accounting and tracking activities for due bills end.

**MarketClaimTrackingEndDate <MktCImTrckgEndDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.33 LeadPlaintiffDeadline <LeadPlntffDdln>

*Presence:* [0..1]

*Definition:* Last day an investor can become a lead plaintiff.

**LeadPlaintiffDeadline <LeadPlntffDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.6.1.34 FilingDate <FilgDt>

*Presence:* [0..1]

*Definition:* Date on which the action was filed at the applicable court.

**FilingDate <FilgDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.1.35 HearingDate <HrgDt>

*Presence:* [0..1]

*Definition:* Date for the hearing between the plaintiff and defendant, as set by the court.

**HearingDate <HrgDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.6.2 EventBalanceDetails <EvtBalDtls>

*Presence:* [0..1]

*Definition:* Balances related to the corporate action event.

**EventBalanceDetails <EvtBalDtls>** contains the following **CorporateActionBalanceDetails48** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityCalledAmount</b> <SctyClldAmt>	[0..1]	Amount		109
	<b>TotalAmountOutstanding</b> <TtlAmtOutsdng>	[0..1]	Amount		109

#### 3.4.6.2.1 SecurityCalledAmount <SctyClldAmt>

*Presence:* [0..1]

*Definition:* Total called amount of the security that has been called for redemption on a specific payable date. This could include balances held outside of the depository.

*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1008

#### 3.4.6.2.2 TotalAmountOutstanding <TtlAmtOutsdng>

*Presence:* [0..1]

*Definition:* Total amount outstanding for the underlying security of which may or may not be held at the Depository.

*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1008

#### 3.4.6.3 AmountDetails <AmtDtls>

*Presence:* [0..1]

*Definition:* Provides information about the amounts related to a corporate action event.

**AmountDetails <AmtDtls>** contains the following **CorporateActionAmounts80** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RefundedAmount</b> <RfnddAmt>	[0..1]	Amount		109
	<b>NonRefundedAmount</b> <NonRfnddAmt>	[0..1]	Amount		109
	<b>DepositoryCalledAmount</b> <DpstryClldAmt>	[0..1]	Amount		110

##### 3.4.6.3.1 RefundedAmount <RfnddAmt>

*Presence:* [0..1]

*Definition:* For partial prerefunding events, amount that the Issuer is refunding to an earlier maturity date which is guarantee and deposited in escrow.

*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1008

##### 3.4.6.3.2 NonRefundedAmount <NonRfnddAmt>

*Presence:* [0..1]

*Definition:* For partial prerefunding events, remaining amount which will continue to have the same maturity date.

*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1008

### 3.4.6.3.3 DepositoryCalledAmount <DpstryCldAmt>

*Presence:* [0..1]

*Definition:* Indicates the portion of the call amount which is held at the depository. This may include position held in certificated form at the depository plus the balance held at the redemption agent. For registered issues, this only includes the portion of certificates held at the depository in registered or bearer form.

*Datatype:* "RestrictedFINImpliedCurrencyAndAmount" on page 1008

### 3.4.6.4 PeriodDetails <PrdDtls>

*Presence:* [0..1]

*Definition:* Provides information about the periods related to a corporate action event.

*Impacted by:* C51 "InterestPeriodRule"

**PeriodDetails <PrdDtls>** contains the following elements (see "CorporateActionPeriod17" on page 763 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestPeriod <IntrstPrd>	[0..1]	±		763
	CompulsoryPurchasePeriod <CmplsrPurchsPrd>	[0..1]	±		764
	ClaimPeriod <ClmPrd>	[0..1]	±		764
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		764
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		765
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		765
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		765
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		765
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		766
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		766
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		766
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		767
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		767
	SplitPeriod <SpltPrd>	[0..1]	±		767
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		768

**Constraints**

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

**3.4.6.5 RateAndAmountDetails <RateAndAmtDtls>**

*Presence:* [0..1]

*Definition:* Provides information about rates and amounts related to a corporate action event.

*Impacted by:* C16 "DeclaredRateRule"

**RateAndAmountDetails <RateAndAmtDtls>** contains the following elements (see "CorporateActionRate122" on page 995 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		995
	PercentageSought <PctgSght>	[0..1]	±		996
	RelatedIndex <RltdIndx>	[0..1]	±		996
	Spread <Sprd>	[0..1]	±		996
	BidInterval <BidIntrvl>	[0..1]	±		997
	PreviousFactor <PrvsFctr>	[0..1]	±		997
	NextFactor <NxtFctr>	[0..1]	±		997
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		997
	InterestShortfall <IntrstShrtfll>	[0..1]	±		998
	RealisedLoss <RealsdLoss>	[0..1]	±		998
	DeclaredRate <DclrdRate>	[0..1]	±		998
	IndexFactor <IndxFctr>	[0..1]	±		999

**Constraints**

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

**3.4.6.6 PriceDetails <PricDtls>**

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a corporate action event.

**PriceDetails <PricDtls>** contains the following **CorporateActionPrice85** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		112
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		112
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		112
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		113

#### 3.4.6.6.1 MaximumPrice <MaxPric>

*Presence:* [0..1]

*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

**MaximumPrice <MaxPric>** contains one of the following elements (see "[PriceFormat73Choice](#)" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.6.6.2 MinimumPrice <MinPric>

*Presence:* [0..1]

*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

**MinimumPrice <MinPric>** contains one of the following elements (see "[PriceFormat73Choice](#)" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.6.6.3 FirstBidIncrementPrice <FrstBidIncrmtPric>

*Presence:* [0..1]



*Definition:* First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

**FirstBidIncrementPrice <FrstBidIncrmtPric>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.6.6.4 LastBidIncrementPrice <LastBidIncrmtPric>

*Presence:* [0..1]

*Definition:* Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

**LastBidIncrementPrice <LastBidIncrmtPric>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.6.7 SecuritiesQuantity <SctiesQty>

*Presence:* [0..1]

*Definition:* Provides information about securities quantity linked to a corporate action.

*Impacted by:* C62 "MaximumAndMinimumQuantitySoughtUsageRule"

**SecuritiesQuantity** <SctiesQty> contains the following **CorporateActionQuantity15** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MaximumQuantity</b> <MaxQty>	[0..1]	±	C22	114
	<b>MinimumQuantitySought</b> <MinQtySght>	[0..1]	±	C22	115
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	115
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	116
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]	±	C23	116
	<b>IncrementalDenomination</b> <IncrmtlDnmtn>	[0..1]	±	C23	117
	<b>SecurityCalledQuantity</b> <SctyClldQty>	[0..1]	±	C23	117
	<b>NewOutstandingQuantity</b> <NewOutsdngQty>	[0..1]	±	C23	118
	<b>TotalOutstandingQuantity</b> <TtlOutsdngQty>	[0..1]	±	C23	118

#### Constraints

- **MaximumAndMinimumQuantitySoughtUsageRule**

When MaximumQuantity is present, MinimumQuantitySought must be present too. When MinimumQuantitySought is present, MaximumQuantity must be present too.

When MinimumQuantitySought/Code is AnyAndAll, then MaximumQuantity/Code must not be AnyAndAll.

When MaximumQuantity/Code is AnyAndAll, MinimumQuantitySought/code must not be used with the value AnyAndAll.

#### 3.4.6.7.1 MaximumQuantity <MaxQty>

*Presence:* [0..1]

*Definition:* The maximum number of securities the offeror/issuer is ready to purchase or redeem. This can be a number or the term "any and all".

*Impacted by:* C22 "DigitalTokenUnitUsageRule"

**MaximumQuantity** <MaxQty> contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		965
Or	FaceAmount <FaceAmt>	[1..1]	Amount		965
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		965
Or	Code <Cd>	[1..1]	CodeSet		965
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		965

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.2 MinimumQuantitySought <MinQtySght>**

*Presence:* [0..1]

*Definition:* Minimum quantity of securities the offeror/issuer is ready to purchase or redeem under the terms of the event. This can be a number or the term "any and all".

*Impacted by:* C22 "DigitalTokenUnitUsageRule"

**MinimumQuantitySought <MinQtySght>** contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		965
Or	FaceAmount <FaceAmt>	[1..1]	Amount		965
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		965
Or	Code <Cd>	[1..1]	CodeSet		965
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		965

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.3 NewBoardLotQuantity <NewBrdLotQty>**

*Presence:* [0..1]

*Definition:* Quantity of equity that makes up the new board lot.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**NewBoardLotQuantity <NewBrdLotQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.4 NewDenominationQuantity <NewDnmtnQty>**

*Presence:* [0..1]

*Definition:* New denomination of the equity following, for example, an increase or decrease in nominal value.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**NewDenominationQuantity <NewDnmtnQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.5 BaseDenomination <BaseDnmtn>**

*Presence:* [0..1]

*Definition:* Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**BaseDenomination <BaseDnmtn>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.6 IncrementalDenomination <IncrmtlDnmtn>**

*Presence:* [0..1]

*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**IncrementalDenomination <IncrmtlDnmtn>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.7 SecurityCalledQuantity <SctyClldQty>**

*Presence:* [0..1]

*Definition:* Total quantity of the underlying securities called, either held or not held at the depository.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**SecurityCalledQuantity <SctyClldQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.8 NewOutstandingQuantity <NewOutsdngQty>**

*Presence:* [0..1]

*Definition:* New outstanding quantity of the underlying securities after processing.

*Impacted by:* [C23 "DigitalTokenUnitUsageRule"](#)

**NewOutstandingQuantity <NewOutsdngQty>** contains one of the following elements (see ["FinancialInstrumentQuantity35Choice"](#) on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.7.9 TotalOutstandingQuantity <TtlOutsdngQty>**

*Presence:* [0..1]

*Definition:* Total quantity outstanding of the underlying securities as of the publication date of the notice.

*Impacted by:* [C23 "DigitalTokenUnitUsageRule"](#)

**TotalOutstandingQuantity <TtlOutsdngQty>** contains one of the following elements (see ["FinancialInstrumentQuantity35Choice"](#) on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

**Constraints**

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

**3.4.6.8 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>**

*Presence:* [0..1]

*Definition:* Number of days used for calculating the accrued interest amount.

*Impacted by:* C76 "NumberRule"

*Datatype:* "Max3Number" on page 1091

**Constraints**

- **NumberRule**

If Number is negative, then Sign must be present.

**3.4.6.9 AcceptancePriorityLevel <AcptncPrtyLvl>**

*Presence:* [0..1]

*Definition:* Level of priority of the given offer which will be accepted by the issuer/agent within the tiered structure of the event.

Offer events in many cases are subject to proration.

*Datatype:* "Exact3UpperCaseAlphaNumericText" on page 1092

**3.4.6.10 ChargesAppliedIndicator <ChrgsAppldInd>**

*Presence:* [0..1]

*Definition:* Indicates whether charges apply to the holder, for instance redemption charges.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.11 RestrictionIndicator <RstrctnInd>**

*Presence:* [0..1]

*Definition:* Indicates whether restrictions apply to the corporate action event or not.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.12 AccruedInterestIndicator <AcrdIntrstInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the holder is entitled to accrued interest.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.6.13 SupplementaryIndicators <SplmtryIndctr>

*Presence:* [0..1]

*Definition:* Identification of a supplementary data element.

*Impacted by:* C12 "ConcurrentCallRule"

**SupplementaryIndicators <SplmtryIndctr>** contains the following **CorporateActionSupplementaryIndicators2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConditionalPaymentApplicableIndicator</b> <CondIPmtAplblInd>	[0..1]	Indicator		120
	<b>SolicitationDealerFeeIndicator</b> <SlctnDealrFeeInd>	[0..1]	Indicator		121
	<b>SurrenderSharesToAgentIndicator</b> <SrmdrShrsToAgtInd>	[0..1]	Indicator		121
	<b>StepUpPrivilegeIndicator</b> <StepUpPrvlgInd>	[0..1]	Indicator		121
	<b>RightsOversubscriptionIndicator</b> <RghtsOvrsubcptInd>	[0..1]	Indicator		121
	<b>RightsRoundUpPrivilegeIndicator</b> <RghtsRndUpPrvlgInd>	[0..1]	Indicator		122
	<b>RightsTransferableIndicator</b> <RghtsTrfblInd>	[0..1]	Indicator		122
	<b>EscrowToMaturityIndicator</b> <EscrwToMtrtyInd>	[0..1]	Indicator		122
	<b>ConcurrentCallIndicator</b> <CncrrntCallInd>	[0..1]	Indicator		123

#### Constraints

- **ConcurrentCallRule**

If ConcurrentCallIndicator is Yes, then Lottery FeatureType must be present.

#### 3.4.6.13.1 ConditionalPaymentApplicableIndicator <CondIPmtAplblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the payment made by the issuer is based on a stated condition.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No



**3.4.6.13.2 SolicitationDealerFeeIndicator <SlctnDealrFeeInd>**

*Presence:* [0..1]

*Definition:* Indicates whether there is a solicitation fee on the event. This fee is paid by the soliciting dealer.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.13.3 SurrenderSharesToAgentIndicator <SrrndrShrsToAgtInd>**

*Presence:* [0..1]

*Definition:* Indicates whether shares outside of DTC need to be delivered to the agent in order to receive entitlement.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.13.4 StepUpPrivilegeIndicator <StepUpPrvlgInd>**

*Presence:* [0..1]

*Definition:* Indicates that the holder has the privilege to buy additional rights. Upon exercising this privilege, the holder may subscribe to one additional share of the new security in lieu of fractional shares to which the holder might otherwise be entitled.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.13.5 RightsOversubscriptionIndicator <RghtsOvrsbcptInd>**

*Presence:* [0..1]

*Definition:* Indicates whether holders of rights will be afforded the opportunity to subscribe to purchase extra shares that are not picked up by the remaining holders.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.6.13.6 RightsRoundUpPrivilegeIndicator <RightsRndUpPrvlgInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the shareholder will be able to round up its subscription in the event its rights are less than the requirement.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.6.13.7 RightsTransferableIndicator <RightsTrfblInd>**

*Presence:* [0..1]

*Definition:* Indicates whether rights can be transferred.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.6.13.8 EscrowToMaturityIndicator <EscrwToMtrtyInd>**

*Presence:* [0..1]

*Definition:* For Partial Defeasance events, indicates whether there is an escrow agreement in place.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.6.13.9 ConcurrentCallIndicator <CncrrntCallInd>

*Presence:* [0..1]

*Definition:* Indicates the presence of an additional redemption event occurring with the same publication date, payment date and underlying security as the event being announced.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.6.14 ForfeitureOfInterestIndicator <FrftOfIntrstInd>

*Presence:* [0..1]

*Definition:* Indicates that bondholders who convert between record date and payable date will forfeit their interest.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 3.4.6.15 DividendType <DvddTp>

*Presence:* [0..1]

*Definition:* Specifies the conditions in which a dividend is paid.

**DividendType <DvddTp>** contains one of the following elements (see "[DividendTypeFormat9Choice](#)" on page 822 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		822

#### 3.4.6.16 OccurrenceType <OcrncTp>

*Presence:* [0..1]

*Definition:* Specifies the conditions in which the instructions and/or payment of the proceeds occurs.

**OccurrenceType <OcrncTp>** contains one of the following elements (see "[DistributionTypeFormat7Choice](#)" on page 825 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		825
Or}	Proprietary <Prtry>	[1..1]	±		826

### 3.4.6.17 OfferType <OfferTp>

*Presence:* [0..\*]

*Definition:* Specifies the conditions that apply to the offer.

**OfferType <OfferTp>** contains one of the following **OfferTypeFormat18Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		124
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		124

#### 3.4.6.17.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the conditions that apply to the offer.

*Datatype:* "OfferType7Code" on page 1064

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

#### 3.4.6.17.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the conditions that apply to the offer.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 3.4.6.18 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>

*Presence:* [0..1]

*Definition:* Specifies whether terms of the event allow resale of the rights.

**RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>** contains one of the following elements (see "[RenounceableEntitlementStatusTypeFormat3Choice](#)" on page 841 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

### 3.4.6.19 EventStage <EvtStag>

*Presence:* [0..\*]

*Definition:* Stage in the corporate action event life cycle.

**EventStage <EvtStag>** contains one of the following elements (see "[CorporateActionEventStageFormat13Choice](#)" on page 830 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		831

### 3.4.6.20 AdditionalBusinessProcessIndicator <AddtlBizPrclnd>

*Presence:* [0..\*]

*Definition:* Specifies the type of the additional business process linked to the corporate action event such as a claim compensation or tax refund.

**AdditionalBusinessProcessIndicator <AddtlBizPrclnd>** contains one of the following elements (see "[AdditionalBusinessProcessFormat25Choice](#)" on page 887 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

### 3.4.6.21 ChangeType <ChngTp>

*Presence:* [0..\*]

*Definition:* Specifies the type of change announced.

**ChangeType <ChngTp>** contains one of the following elements (see "[CorporateActionChangeTypeFormat5Choice](#)" on page 844 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

### 3.4.6.22 FollowingEventTypeIndicator <FlwngEvtTpInd>

*Presence:* [0..1]

*Definition:* Specifies the event type of the following event.

**FollowingEventTypeIndicator <FlwngEvtTpInd>** contains one of the following elements (see "[IntermediateSecuritiesDistributionTypeFormat19Choice](#)" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		878
Or}	Proprietary <Prtry>	[1..1]	±		879

### 3.4.6.23 CapitalGainInOutIndicator <CptlGnInOutInd>

*Presence:* [0..1]

*Definition:* Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

**CapitalGainInOutIndicator <CptlGnInOutInd>** contains one of the following elements (see "[CapitalGainFormat3Choice](#)" on page 807 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		807
Or}	Proprietary <Prtry>	[1..1]	±		807

### 3.4.6.24 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>

*Presence:* [0..1]

*Definition:* Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.

**TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>** contains one of the following elements (see "TaxableIncomePerShareCalculatedFormat3Choice" on page 859 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		860

### 3.4.6.25 LotteryEventInformation <LtryEvtInf>

*Presence:* [0..1]

*Definition:* Specifies the details of a lottery.

**LotteryEventInformation <LtryEvtInf>** contains the following **CorporateActionLotteryEvent1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Type</b> <Tp>	[0..1]	±		127
	<b>FeatureType</b> <FeatrTp>	[0..1]	CodeSet		127

#### 3.4.6.25.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Specifies the type of lottery announced.

**Type <Tp>** contains one of the following elements (see "LotteryTypeFormat4Choice" on page 866 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		867

#### 3.4.6.25.2 FeatureType <FeatrTp>

*Presence:* [0..1]

*Definition:* Details the lottery feature.

*Datatype:* "LotteryFeatureType1Code" on page 1059

CodeName	Name	Definition
XTRA	Extraordinary	Extraordinary redemption. Extraordinary redemption allows the issuer to call its bonds before maturity if certain specified events occur, such as the project for which the bond was issued to finance has been damaged or destroyed. This feature must be specified in the bond's offering statement.
OPTL	Optional	Issuer has the right to redeem all or part of the bonds before their maturity dates.

CodeName	Name	Definition
SINK	SinkingFund	Bond sinking fund. Bond sinking fund is a financial mechanism used by bond issuers to set aside money for the purpose of repaying bondholders at maturity or through periodic redemptions.
SPCL	Special	Special redemption bond. Bond that can be redeemed before maturity due to an unusual event, such as a catastrophe that impacts the source of the bond's revenue. This feature must be specified in the bond's offering statement. Special redemption can be done pursuant to the provisions of the indenture.

#### 3.4.6.26 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Specifies the certification format required, this is, physical or electronic format.

**CertificationType <CertfctnTp>** contains one of the following elements (see "[CertificationTypeFormat3Choice](#)" on page 877 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

#### 3.4.6.27 ConsentType <CnsntTp>

*Presence:* [0..1]

*Definition:* Specifies the type of consent announced.

**ConsentType <CnsntTp>** contains one of the following elements (see "[ConsentTypeFormat4Choice](#)" on page 821 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		821

#### 3.4.6.28 InformationType <InfTp>

*Presence:* [0..1]

*Definition:* Specifies the type of information event.



**InformationType <InfTp>** contains one of the following elements (see "InformationTypeFormat4Choice" on page 812 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		812
Or}	Proprietary <Prtry>	[1..1]	±		812

### 3.4.6.29 TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>

*Presence:* [0..\*]

*Definition:* Specifies the tax regulation being attributed to the non-distributed proceeds event or to any other event.

**TaxOnNonDistributedProceedsIndicator <TaxOnNonDstrbtdPrcdsInd>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 3.4.6.30 DutchAuctionType <DtchAuctnTp>

*Presence:* [0..1]

*Definition:* Specifies whether a Dutch auction is modified or unmodified.

**DutchAuctionType <DtchAuctnTp>** contains one of the following **DutchAuctionTypeFormat1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		129
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		130

#### 3.4.6.30.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the Dutch auction type required.

*Datatype:* "DutchAuctionType1Code" on page 1042

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

**3.4.6.30.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the Dutch auction type.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**3.4.6.31 ReverseDutchAuctionIndicator <RvsDtchAuctnInd>***Presence:* [0..1]*Definition:* Indicates that the Dutch Auction event is a Reverse Dutch Auction.*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**3.4.6.32 NewPlaceOfIncorporation <NewPlcOfIncorportn>***Presence:* [0..1]*Definition:* New company's place of incorporation.*Datatype:* "[Max350Text](#)" on page 1094**3.4.6.33 NoticeType <NtceTp>***Presence:* [0..1]*Definition:* Specifies whether a redemption announcement refers to certificates held only at depository or also outside of depository.*Datatype:* "[RedemptionAnnouncementNoticeType1Code](#)" on page 1075

CodeName	Name	Definition
DEPT	Depository	Event announcement will refer only to certificates held at the depository. Used for Redemption events only.
UNVL	Universal	Event announcement will refer to certificates held outside of depository.

**3.4.6.34 ProrationReturnMinimumQuantityTreatment <PrratnRtrMinQtyTrtmnt>***Presence:* [0..1]*Definition:* Indicates how prorated instructions will be handled when the prorated entitlement results in less than the minimum denomination.*Datatype:* "[ProrationReturnQuantityTreatment1Code](#)" on page 1072

CodeName	Name	Definition
ACPT	Accept	Prorated instructions which result in less than the minimum denominations will be accepted in full.
ADJT	Adjust	Prorated instructions which result in less than the minimum denominations will be adjusted up.
REJT	Reject	Prorated instructions which result in less than the minimum denominations will be rejected in full.

### 3.4.6.35 CertificateDetails <CertDtls>

*Presence:* [0..\*]

*Definition:* Details of certificates that have been called for redemption.

**CertificateDetails <CertDtls>** contains the following **CorporateActionSD26** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CertificateNumber</b> <CertNb>	[1..1]	Text		131
	<b>CertificatePrefix</b> <CertPrfx>	[0..1]	Text		131
	<b>CertificateCalledAmount</b> <CertCldAmt>	[1..1]	Quantity		131

#### 3.4.6.35.1 CertificateNumber <CertNb>

*Presence:* [1..1]

*Definition:* Unique identification or serial number that is assigned and affixed by an issuer or transfer agent to each securities certificate.

*Datatype:* "Max15AlphaNumericText" on page 1093

#### 3.4.6.35.2 CertificatePrefix <CertPrfx>

*Presence:* [0..1]

*Definition:* Prefix of a certificate which could differ based on where the issuance of certificates took place.

*Datatype:* "Max4AlphaNumericText" on page 1095

#### 3.4.6.35.3 CertificateCalledAmount <CertCldAmt>

*Presence:* [1..1]

*Definition:* Principal amount (for debt issues) or number of shares (for equity issues) that has been called for redemption for a particular certificate number.

*Datatype:* "DecimalNumber" on page 1090

### 3.4.6.36 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Provides additional information. This field may only be used when the information to be transmitted, cannot be coded.

Impacted by: C4 "AdditionalInformationRule"

**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Offeror</b> <Offerr>	[0..*]	Text		132
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		132
	<b>URLAddress</b> <URLAdr>	[0..*]			132
	<b>Language</b> <Lang>	[1..1]	CodeSet		132
	<b>URLAddress</b> <URLAdr>	[1..1]	Text		133
	<b>EventProcessingWebSiteAddress</b> <EvtPrcgWebSiteAdr>	[0..1]	Text		133

#### Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition.

#### 3.4.6.36.1 Offeror <Offerr>

*Presence:* [0..\*]

*Definition:* Provides the entity making the offer and is different from the issuing company.

*Datatype:* "Max350Text" on page 1094

#### 3.4.6.36.2 NewCompanyName <NewCpnyNm>

*Presence:* [0..1]

*Definition:* Provides the new name of a company following a name change.

*Datatype:* "Max350Text" on page 1094

#### 3.4.6.36.3 URLAddress <URLAdr>

*Presence:* [0..\*]

*Definition:* Provides the web address published for the event, that is, the address for the Universal Resource Locator (URL), for example, used over the www (HTTP) service.

**URLAddress <URLAdr>** contains the following **UpdatedURLInformation6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		132
	<b>URLAddress</b> <URLAdr>	[1..1]	Text		133

#### 3.4.6.36.3.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 1056

#### **3.4.6.36.3.2 URLAddress <URLAdr>**

*Presence:* [1..1]

*Definition:* Provides the web address, that is, the address for the Universal Resource Locator (URL), to use over the www (HTTP) service where additional information may be found.

*Datatype:* "Max2048Text" on page 1094

#### **3.4.6.36.4 EventProcessingWebSiteAddress <EvtPrcgWebSiteAdr>**

*Presence:* [0..1]

*Definition:* Provides web address of an account servicer (or of a service provider) that contains information solely intended for the immediate account holder to enable or facilitate event processing between parties.

*Datatype:* "Max2048Text" on page 1094

### **3.4.7 CorporateActionOptionDetails <CorpActnOptnDtls>**

*Presence:* [0..\*]

*Definition:* Provides detailed information about the option of the CA event.

*Impacted by:* C6 "AdditionalTextRule", C49 "InstructCashAmountRule", C79 "OptionTypeUnknownProceedsUsageRule", C88 "RevocabilityPeriodRule", C78 "OptionNumberGuideline"

**CorporateActionOptionDetails <CorpActnOptnDtls>** contains the following  
**CorporateActionOption250** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		144
	<b>OptionType</b> <OptnTp>	[1..1]	±		144
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]	±		144
	<b>OptionFeatures</b> <OptnFeatrs>	[0..*]			145
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		145
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		146
	<b>SupplementaryOptionFeatures</b> <SplmtryOptnFeatrs>	[0..*]	CodeSet		146
	<b>OptionAvailabilityStatus</b> <OptnAvlbtySts>	[0..1]	±		148
	<b>CertificationBreakdownType</b> <CertfctnBrkdwnTp>	[0..*]	±		148
	<b>CurrencyOption</b> <CcyOptn>	[0..1]	CodeSet	C2	148
	<b>DefaultOptionIndicator</b> <DfltOptnInd>	[1..1]	Indicator		148
	<b>CertificationBreakdownIndicator</b> <CertfctnBrkdwnInd>	[0..1]	Indicator		149
	<b>WithdrawalAllowedIndicator</b> <WdrwlAllwdInd>	[0..1]	Indicator		149
	<b>OversubscriptionChargeIndicator</b> <OvrsbcptChrgInd>	[0..1]	Indicator		149
	<b>ProtectChargeIndicator</b> <PrtctChrgInd>	[0..1]	Indicator		149
	<b>SubscriptionChargeIndicator</b> <SbcptChrgInd>	[0..1]	Indicator		150
	<b>StepUpChargeIndicator</b> <StepUpChrgInd>	[0..1]	Indicator		150
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[0..1]	±	C19, C20, C53, C54, C81	150
	<b>DateDetails</b> <DtDtls>	[0..1]			151
	<b>EarlyResponseDeadline</b> <EarlyRspnDdln>	[0..1]	±		152
	<b>CoverExpirationDeadline</b> <CoverXprtnDdln>	[0..1]	±		152
	<b>ProtectDeadline</b> <PrtctDdln>	[0..1]	±		153
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		153
	<b>ResponseDeadline</b> <RspnDdln>	[0..1]	±		153
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		154
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		154
	<b>DepositoryCoverExpirationDate</b> <DpstryCoverXprtnDt>	[0..1]	±		155
	<b>StockLendingDeadline</b> <StockLndgDdln>	[0..1]	±		155

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BorrowerStockLendingDeadline</b> <BrrwrStockLndgDdln>	[0..*]			155
	<b>StockLendingDeadline</b> <StockLndgDdln>	[1..1]	±		155
	<b>Borrower</b> <Brrwr>	[1..1]	±		156
	<b>EndOfSecuritiesBlockingPeriod</b> <EndOfScitiesBlckgPrd>	[0..1]	±		156
	<b>DocumentationDeadline</b> <DcmnttnDdln>	[0..1]	±		156
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			157
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		157
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		157
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		158
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		158
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		158
	<b>AccountServicerRevocabilityPeriod</b> <AcctSvcrRvcbltyPrd>	[0..1]	±		158
	<b>DepositorySuspensionPeriodForWithdrawal</b> <DpstrySspnsnPrdForWdrwl>	[0..1]	±		159
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]		C39, C41, C68, C70, C89, C91, C93, C95, C97	159
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		161
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		161
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		162
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		163
	<b>MaximumAllowedOversubscriptionRate</b> <MaxAllwdOvrscptRate>	[0..1]	±		164
	<b>ProrationRate</b> <PrratnRate>	[0..1]	±		164
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		165
	<b>SecondLevelTax</b> <ScndLvltax>	[0..*]	±		165
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..*]	±		165
	<b>IssuerDeclaredExchangeRate</b> <IssrDclrdXchgRate>	[0..1]	±		166
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		166

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BidInterval</b> <BidIntrvl>	[0..1]	±		166
	<b>PriceDetails</b> <PricDtls>	[0..1]			167
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		167
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		168
	<b>MaximumCashToInstruct</b> <MaxCshToInst>	[0..1]	±		168
	<b>MinimumCashToInstruct</b> <MinCshToInst>	[0..1]	±		168
	<b>MinimumMultipleCashToInstruct</b> <MinMltplCshToInst>	[0..1]	±		169
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		169
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		169
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		170
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		170
	<b>SecuritiesQuantity</b> <ScitiesQty>	[0..1]			171
	<b>MaximumQuantityToInstruct</b> <MaxQtyToInst>	[0..1]	±	C22	171
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C22	172
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C23	172
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	173
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	173
	<b>FrontEndOddLotQuantity</b> <FrntEndOddLotQty>	[0..1]	±	C23	174
	<b>BackEndOddLotQuantity</b> <BckEndOddLotQty>	[0..1]	±	C23	174
	<b>TaxCategory</b> <TaxCtgy>	[0..99]			175
	<b>Identification</b> <Id>	[0..1]	Text		175
	<b>Description</b> <Desc>	[0..1]	Text		175
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C13	175
	<b>ProrationBelowMinimumQuantity</b> <PrratnBlwMinQty>	[0..1]			176
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		176
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		176
	<b>ProrationRoundingIndicator</b> <PrratnRndgInd>	[0..1]	CodeSet		177
	<b>ProrationFraction</b> <PrratnFrctn>	[0..1]	Quantity		177
	<b>ProrationReturnMinimumQuantityTreatment</b> <PrratnRtrMinQtyTrtmnt>	[0..1]	CodeSet		177



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]		C30, C45, C47	177
	<b>SecurityDetails</b> <SctyDtls>	[1..1]		C61, C85	181
	<b>FinancialInstrumentIdentification</b> <FinInstrmld>	[1..1]	±	C19, C20, C53, C54, C81	183
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		184
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		184
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		184
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		185
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	185
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		185
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		185
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		185
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		185
	<b>NextCallableDate</b> <NxtClblDt>	[0..1]	Date		186
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		186
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		186
	<b>ConversionDate</b> <ConvsDt>	[0..1]	Date		186
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		186
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		186
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		187
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		187
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	187
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C21	188
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C21	188
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	189
	<b>IssuePrice</b> <IssePric>	[0..1]	±		189
	<b>BabyBondDenomination</b> <BabyBdDnmtn>	[0..1]	Quantity		190
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		190

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmInd>	[0..1]	±		190
	<b>NonEligibleProceedsIndicator</b> <NonElgblPrclsInd>	[0..1]			190
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		191
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		191
	<b>NewSecuritiesIssuanceIndicator</b> <NewSctiesIssncInd>	[0..1]	CodeSet		191
	<b>IncomeType</b> <IncmTp>	[0..1]	±		192
	<b>OtherIncomeType</b> <OthrIncmTp>	[0..*]	±		192
	<b>ExemptionType</b> <XmptnTp>	[0..*]	±		193
	<b>EntitledQuantity</b> <EntitldQty>	[0..1]	±		193
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		193
	<b>CountryOfIncomeSource</b> <CtryOfIncmSrc>	[0..1]	CodeSet	C13	194
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]	±		194
	<b>CurrencyOption</b> <CcyOptn>	[0..1]	CodeSet	C2	194
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		195
	<b>DateDetails</b> <DtDtls>	[1..1]			195
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		195
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		196
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		196
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		196
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		197
	<b>LastTradingDate</b> <LastTradgDt>	[0..1]	±		197
	<b>RateDetails</b> <RateDtls>	[0..1]			197
	<b>AdditionalQuantityForSubscribedResultantSecuriti es</b> <AddtlQtyForSbcdbRslntScties>	[0..1]	±		198
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScties>	[0..1]	±		198
	<b>NewToOld</b> <NewToOd>	[0..1]	±		199
	<b>TransformationRate</b> <TrfrmatnRate>	[0..1]	Rate		199
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		199
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		200
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		200
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		200

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialTransactionTaxRate</b> <FinTxTaxRate>	[0..1]	±		201
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		201
	<b>SecondLevelTax</b> <ScndLvlTax>	[0..*]	±		201
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		202
	<b>PriceDetails</b> <PricDtls>	[0..1]		C36	202
	<b>IndicativeOrMarketPrice</b> <IndctvOrMktPric>	[0..1]			203
{Or	<b>IndicativePrice</b> <IndctvPric>	[1..1]	±		203
Or}	<b>MarketPrice</b> <MktPric>	[1..1]	±		203
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		204
	<b>CashValueForTax</b> <CshValForTax>	[0..1]	±		204
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		204
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		205
	<b>PriceBasis</b> <PricBsis>	[0..1]	CodeSet		205
	<b>EstimatedPriceIndicator</b> <EstmtdPricInd>	[0..1]	Indicator		206
	<b>RoundingFactor</b> <RndgFctr>	[0..1]	Quantity		206
	<b>ChargeIndicator</b> <ChrgInd>	[0..1]	Indicator		206
	<b>ReinvestmentIncomeClassification</b> <RinvstmtIncmClssfctn>	[0..1]	CodeSet		206
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]		C31, C46, C48, C37	207
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		211
	<b>NonEligibleProceedsIndicator</b> <NonElgblPrclsInd>	[0..1]			211
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		212
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		212
	<b>IncomeType</b> <IncmTp>	[0..1]	±		212
	<b>OtherIncomeType</b> <OthrIncmTp>	[0..*]	±		213
	<b>ExemptionType</b> <XmptnTp>	[0..*]	±		213
	<b>PriceCalculationMethod</b> <PricClctnMtd>	[0..1]			213
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		214
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		214
	<b>CountryOfIncomeSource</b> <CtryOfIncmSrc>	[0..1]	CodeSet	C13	214

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AmountDetails</b> <AmtDtls>	[0..1]			215
	<b>GrossAmount</b> <GrssAmt>	[0..1]	Amount	C2, C14	217
	<b>NetAmount</b> <NetAmt>	[0..1]	Amount	C2, C14	218
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C2, C14	218
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C2, C14	218
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C2, C14	219
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C2, C14	219
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C2, C14	220
	<b>ManufacturedDividendPaymentAmount</b> <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C14	220
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C2, C14	221
	<b>FullyFrankedAmount</b> <FullyFrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>UnfrankedAmount</b> <UfrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxDeferredAmount</b> <TaxDfrdAmt>	[0..1]	Amount	C2, C14	223
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C2, C14	223
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C2, C14	223
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C2, C14	224
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C2, C14	224
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>SecondLevelTaxAmount</b> <ScndLvlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C2, C14	226
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C2, C14	226
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C2, C14	227
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C2, C14	228
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C2, C14	228
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C2, C14	229

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C2, C14	229
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C2, C14	229
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C2, C14	230
	<b>IncomePortion</b> <IncmPrtn>	[0..1]	Amount	C2, C14	230
	<b>StockExchangeTax</b> <StockXchgTax>	[0..1]	Amount	C2, C14	231
	<b>EUTaxRetentionAmount</b> <EUTaxRtnAmt>	[0..1]	Amount	C2, C14	231
	<b>AccruedInterestAmount</b> <AcrdIntrstAmt>	[0..1]	Amount	C2, C14	231
	<b>EqualisationAmount</b> <EqulstnAmt>	[0..1]	Amount	C2, C14	232
	<b>FATCATaxAmount</b> <FATCATaxAmt>	[0..1]	Amount	C2, C14	232
	<b>NRATaxAmount</b> <NRATaxAmt>	[0..1]	Amount	C2, C14	233
	<b>BackUpWithholdingTaxAmount</b> <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C14	233
	<b>TaxOnIncomeAmount</b> <TaxOnIncmAmt>	[0..1]	Amount	C2, C14	233
	<b>TransactionTax</b> <TxTax>	[0..1]	Amount	C2, C14	234
	<b>DeemedAmount</b> <DmdAmt>	[0..1]	Amount	C2, C14	234
	<b>ForeignIncomeAmount</b> <FrgnIncmAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedDividendAmount</b> <DmdDvddAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedFundAmount</b> <DmdFndAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedInterestAmount</b> <DmdIntrstAmt>	[0..1]	Amount	C2, C14	236
	<b>DeemedRoyaltiesAmount</b> <DmdRyltsAmt>	[0..1]	Amount	C2, C14	236
	<b>BuyUpAmount</b> <BuyUpAmt>	[0..1]	Amount	C2, C14	237
	<b>DateDetails</b> <DtDtls>	[1..1]			237
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		237
	<b>ValueDate</b> <ValDt>	[0..1]	±		238
	<b>ForeignExchangeRateFixingDate</b> <FXRateFvgDt>	[0..1]	±		238
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		238
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]	±		239
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		239
	<b>NRATaxReportableIndicator</b> <NRATaxRptblInd>	[0..1]	Indicator		239
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]		C17, C18, C40, C42, C69, C71,	240

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
				C90, C92, C94, C96, C98	
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		242
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		242
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		243
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		244
	<b>SecondLevelTax</b> <ScndLvlTax>	[0..*]	±		244
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		245
	<b>EarlySolicitationFeeRate</b> <EarlySlctnFeeRate>	[0..1]	±		245
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		246
	<b>ThirdPartyIncentiveRate</b> <ThrdPtyIncntivRate>	[0..1]	±		246
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		246
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		247
	<b>SolicitationFeeRate</b> <SlctnFeeRate>	[0..1]	±		247
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		248
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		248
	<b>TaxOnProfits</b> <TaxOnPrfts>	[0..1]	±		248
	<b>TaxReclaimRate</b> <TaxRclmRate>	[0..1]	±		249
	<b>EqualisationRate</b> <EqulstnRate>	[0..1]	±		249
	<b>DeemedRate</b> <DmdRate>	[0..*]	±		249
	<b>PrincipleRate</b> <PrncpleRate>	[0..1]	±		250
	<b>PriceDetails</b> <PricDtls>	[0..1]		C38	250
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		251
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		251
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		252
	<b>RedemptionPrice</b> <RedPric>	[0..1]	±		252
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]		C5	253
	<b>AdditionalText</b> <AddtlTxt>	[0..*]			254
	<b>Language</b> <Lang>	[1..1]	CodeSet		255
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		255

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NarrativeVersion</b> <NrtrvVrsn>	[0..*]			255
	<b>Language</b> <Lang>	[1..1]	CodeSet		255
	<b>AdditionalInformation</b> <AddtlInf>	[1..1]	Text		255
	<b>InformationConditions</b> <InfConds>	[0..*]			255
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..*]			256
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256
	<b>SecurityRestriction</b> <SctyRstrctn>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257
	<b>TaxationConditions</b> <TaxtnConds>	[0..*]			257
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257
	<b>CertificationBreakdown</b> <CertfctnBrkdwn>	[0..*]			258
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		258

### Constraints

- **AdditionalTextRule**

If OptionType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

On Condition

/OptionType/Code is present

And /OptionType/Code is equal to value 'Other'

Following Must be True

/AdditionalInformation Must be present

And /AdditionalInformation/AdditionalText[\*] Must be present

And /AdditionalInformation/AdditionalText[\*]/AdditionalInformation Must be present

- **InstructCashAmountRule**

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMutipleCashToInstruct) must not be present.

(MT564 NVR C20).

```

On Condition
  /OptionFeatures[*]/Code is different from value 'InstructCashAmount'
Following Must be True
  /PriceDetails/MaximumCashToInstruct Must be absent
And    /PriceDetails/MinimumCashToInstruct Must be absent
And    /PriceDetails/MinimumMultipleCashToInstruct Must be absent

```

- **OptionNumberGuideline**

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

- **OptionTypeUnknownProceedsUsageRule**

When used, the corporate action option type code PRUN (Unknown Proceeds) should be maintained throughout the full lifecycle of the event.

- **RevocabilityPeriodRule**

If WithdrawalAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the withdrawal of instruction is allowed.

### 3.4.7.1 OptionNumber <OptnNb>

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 1092

### 3.4.7.2 OptionType <OptnTp>

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following elements (see "CorporateActionOption37Choice" on page 842 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843

### 3.4.7.3 FractionDisposition <FrctnDspstn>

*Presence:* [0..1]

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**FractionDisposition <FrctnDspstn>** contains one of the following elements (see "FractionDispositionType26Choice" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		806
Or}	Proprietary <Prtry>	[1..1]	±		807



### 3.4.7.4 OptionFeatures <OptnFeatrs>

*Presence:* [0..\*]

*Definition:* Specifies the features that may apply to a corporate action option.

**OptionFeatures <OptnFeatrs>** contains one of the following **OptionFeaturesFormat32Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		145
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		146

#### 3.4.7.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the features that may apply to a corporate action option.

*Datatype:* "OptionFeatures15Code" on page 1065

CodeName	Name	Definition
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
ASVO	AccountServicerOption	Option is offered by the account servicer only.

CodeName	Name	Definition
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.

#### 3.4.7.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the features that may apply to a corporate action option.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.5 SupplementaryOptionFeatures <SplmtryOptnFeatrs>

*Presence:* [0..\*]

*Definition:* Used for options that have particular proprietary features.

*Datatype:* "[ExtendedOptionFeature2Code](#)" on page 1044

CodeName	Name	Definition
FORU	ForeignTaxUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for the Foreign Tax Relief service at DTC. Option to select unfavourable tax rate. The Foreign Tax Unfavourable option is the default option.
FORF	ForeignTaxFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for the Foreign Tax Relief service at DTC. An election into this option ensures the participant receives their payment at a favourable tax withholding rate.
FORX	ForeignTaxExempt	DTC (The Depository Trust Company) only option. The event and security are

CodeName	Name	Definition
		eligible for the Foreign Tax Relief service at DTC. An election into this option ensures the participant is exempt from any tax withholding.
DRPU	DRIPUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and Foreign Tax services at DTC. Participants electing this option will receive additional shares and the tax withholding on those shares will be at the unfavourable tax rate.
DRPF	DRIPFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and Foreign Tax Relief services at DTC. Participants electing this option will receive additional shares and the tax withholding on those shares will be at the favourable tax rate.
DRPX	DRIPExempt	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and the Foreign Tax Relief services at DTC. Participants electing this option will receive additional shares and will be exempt from any tax withholding.
FCPP	ForeignCurrencyPayment	DTC (The Depository Trust Company) only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participants electing this option will receive their distribution in the foreign currency instructed.
FCPU	ForeignCurrencyPaymentUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing this option will receive their distribution in a foreign currency and the tax withholding on that distribution will be subject to unfavourable tax withholding.
FCPF	ForeignCurrencyPaymentFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing the option will receive their distribution in foreign currency and the tax withholding on that distribution will be subject to favourable tax withholding.
FCPX	ForeignCurrencyPaymentExempt	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing this option will receive their distribution in a foreign

CodeName	Name	Definition
		currency and will be exempt from any tax withholding.
NSHR	ShareholderNumberNotAllowed	Indicates option in which holders must not provide a shareholder number if provided will be rejected.

### 3.4.7.6 OptionAvailabilityStatus <OptnAvlbtys>

*Presence:* [0..1]

*Definition:* Specifies the status of the option.

**OptionAvailabilityStatus <OptnAvlbtys>** contains one of the following elements (see "[OptionAvailabilityStatus3Choice](#)" on page 805 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		805
Or}	Proprietary <Prtry>	[1..1]	±		806

### 3.4.7.7 CertificationBreakdownType <CertfctnBrkdwnTp>

*Presence:* [0..\*]

*Definition:* Type of certification/breakdown.

**CertificationBreakdownType <CertfctnBrkdwnTp>** contains one of the following elements (see "[BeneficiaryCertificationType13Choice](#)" on page 897 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		898

### 3.4.7.8 CurrencyOption <CcyOptn>

*Presence:* [0..1]

*Definition:* Currency in which cash proceeds are to be credited/debited.

*Impacted by:* [C2 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyCode](#)" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 3.4.7.9 DefaultOptionIndicator <DfltOptnInd>

*Presence:* [1..1]

*Definition:* Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.10 CertificationBreakdownIndicator <CertfctnBrkdwnInd>**

*Presence:* [0..1]

*Definition:* Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.11 WithdrawalAllowedIndicator <WdrwlAllwdInd>**

*Presence:* [0..1]

*Definition:* Indicates whether withdrawal of instruction is allowed.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.12 OversubscriptionChargeIndicator <OvrscptChrgInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the cash debit for the oversubscription charge is made at the time of instruction submission.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.13 ProtectChargeIndicator <PrtctChrgInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the cash debit for the protect charge is made at the time of instruction submission.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.14 SubscriptionChargeIndicator <SbcptChrgInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the cash debit for the subscription charge is made at the time of instruction submission.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.15 StepUpChargeIndicator <StepUpChrgInd>**

*Presence:* [0..1]

*Definition:* Indicates whether the cash debit for the step-up charge is made at the time of instruction submission.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see ["YesNoIndicator" on page 1090](#)):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### **3.4.7.16 FinancialInstrumentIdentification <FinInstrmId>**

*Presence:* [0..1]

*Definition:* Identifies the financial instrument.

*Impacted by:* [C19 "DescriptionPresenceRule"](#), [C20 "DescriptionUsageRule"](#), [C53 "ISINGuideline"](#), [C54 "ISINPresenceRule"](#), [C81 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		793
	OtherIdentification <OthrlId>	[0..*]			793
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794
	Description <Desc>	[0..1]	Text		794

### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

### 3.4.7.17 DateDetails <DtDtls>

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a corporate action option.

**DateDetails <DtDtls>** contains the following **CorporateActionDate110** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EarlyResponseDeadline</b> <EarlyRspnDdln>	[0..1]	±		152
	<b>CoverExpirationDeadline</b> <CoverXprtnDdln>	[0..1]	±		152
	<b>ProtectDeadline</b> <PrctDdln>	[0..1]	±		153
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		153
	<b>ResponseDeadline</b> <RspnDdln>	[0..1]	±		153
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		154
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		154
	<b>DepositoryCoverExpirationDate</b> <DpstryCoverXprtnDt>	[0..1]	±		155
	<b>StockLendingDeadline</b> <StockLndgDdln>	[0..1]	±		155
	<b>BorrowerStockLendingDeadline</b> <BrrwrStockLndgDdln>	[0..*]			155
	<b>StockLendingDeadline</b> <StockLndgDdln>	[1..1]	±		155
	<b>Borrower</b> <Brrwr>	[1..1]	±		156
	<b>EndOfSecuritiesBlockingPeriod</b> <EndOfScitiesBlckgPrd>	[0..1]	±		156
	<b>DocumentationDeadline</b> <DcmnttnDdln>	[0..1]	±		156

#### 3.4.7.17.1 EarlyResponseDeadline <EarlyRspnDdln>

*Presence:* [0..1]

*Definition:* Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

**EarlyResponseDeadline <EarlyRspnDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.7.17.2 CoverExpirationDeadline <CoverXprtnDdln>

*Presence:* [0..1]

*Definition:* Last day a holder can deliver the securities that it had elected on and/or previously protected.



**CoverExpirationDeadline <CoverXprtnDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

### 3.4.7.17.3 ProtectDeadline <PrctctDdln>

*Presence:* [0..1]

*Definition:* Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

**ProtectDeadline <PrctctDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

### 3.4.7.17.4 MarketDeadline <MktDdln>

*Presence:* [0..1]

*Definition:* Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

**MarketDeadline <MktDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

### 3.4.7.17.5 ResponseDeadline <RspnDdln>

*Presence:* [0..1]

*Definition:* Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

**ResponseDeadline <RspnDdlIn>** contains one of the following elements (see "DateFormat44Choice" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		775
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			775
	DateCode <DtCd>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		776
Or}	Proprietary <Prtry>	[1..1]	±		776
	Time <Tm>	[1..1]	Time		776
Or}	DateCode <DtCd>	[1..1]			776
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

#### 3.4.7.17.6 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date/time at which an order expires or on which a privilege or offer terminates.

**ExpiryDate <XpryDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.7.17.7 SubscriptionCostDebitDate <SbcptCostDbtDt>

*Presence:* [0..1]

*Definition:* Date/time by which cash must be in place in order to take part in the event.

**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

**3.4.7.17.8 DepositoryCoverExpirationDate <DpstryCoverXprtnDt>***Presence:* [0..1]*Definition:* Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.**DepositoryCoverExpirationDate <DpstryCoverXprtnDt>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

**3.4.7.17.9 StockLendingDeadline <StockLndgDdlIn>***Presence:* [0..1]*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.**StockLendingDeadline <StockLndgDdlIn>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

**3.4.7.17.10 BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>***Presence:* [0..\*]*Definition:* Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.**BorrowerStockLendingDeadline <BrrwrStockLndgDdlIn>** contains the following **BorrowerLendingDeadline5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StockLendingDeadline &lt;StockLndgDdlIn&gt;</b>	[1..1]	±		155
	<b>Borrower &lt;Brrwr&gt;</b>	[1..1]	±		156

**3.4.7.17.10.1 StockLendingDeadline <StockLndgDdlIn>***Presence:* [1..1]

*Definition:* Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

**StockLendingDeadline <StockLndgDdln>** contains one of the following elements (see "DateFormat43Choice" on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.7.17.10.2 Borrower <Brrwr>

*Presence:* [1..1]

*Definition:* Party who has borrowed stocks on loan.

**Borrower <Brrwr>** contains one of the following elements (see "PartyIdentification127Choice" on page 914 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	914
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		914

#### 3.4.7.17.11 EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd>

*Presence:* [0..1]

*Definition:* Date until which the blocking of securities can occur upon instructing this option.

**EndOfSecuritiesBlockingPeriod <EndOfSctiesBlckgPrd>** contains one of the following elements (see "DateFormat59Choice" on page 772 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		773
Or}	DateCode <DtCd>	[1..1]			773
{Or	Code <Cd>	[1..1]	CodeSet		773
Or}	Proprietary <Prtry>	[1..1]	±		774

#### 3.4.7.17.12 DocumentationDeadline <DcmnttnDdln>

*Presence:* [0..1]

*Definition:* Date/Time by when the documentation must be provided.

**DocumentationDeadline <DcmnttnDdln>** contains one of the following elements (see "DateFormat46Choice" on page 771 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		772
Or}	DateCode <DtCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		772

### 3.4.7.18 PeriodDetails <PrdDtIs>

*Presence:* [0..1]

*Definition:* Provides information about the periods related to a corporate action option.

**PeriodDetails <PrdDtIs>** contains the following **CorporateActionPeriod18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		157
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		157
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		158
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		158
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		158
	<b>AccountServicerRevocabilityPeriod</b> <AcctSvcrRvcbltyPrd>	[0..1]	±		158
	<b>DepositorySuspensionPeriodForWithdrawal</b> <DpstrySspnsnPrdForWdrwl>	[0..1]	±		159

#### 3.4.7.18.1 PriceCalculationPeriod <PricClctnPrd>

*Presence:* [0..1]

*Definition:* Period during which the price of a security is determined.

**PriceCalculationPeriod <PricClctnPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 3.4.7.18.2 ParallelTradingPeriod <ParllTradgPrd>

*Presence:* [0..1]

*Definition:* Period during which both old and new equity may be traded simultaneously, for example, consolidation of equity or splitting of equity.

**ParallelTradingPeriod <ParlITradgPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

### 3.4.7.18.3 ActionPeriod <ActnPrd>

*Presence:* [0..1]

*Definition:* Period during which the specified option, or all options of the event, remains valid, for example, offer period.

**ActionPeriod <ActnPrd>** contains one of the following elements (see "Period6Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		781
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		782

### 3.4.7.18.4 RevocabilityPeriod <RvcbltyPrd>

*Presence:* [0..1]

*Definition:* Period during which the shareholder can revoke, change or withdraw its instruction.

**RevocabilityPeriod <RvcbltyPrd>** contains one of the following elements (see "Period6Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		781
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		782

### 3.4.7.18.5 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

*Presence:* [0..1]

*Definition:* Period during which the privilege is not available, for example, this can happen whenever a meeting takes place or whenever a coupon payment is due.

**PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>** contains one of the following elements (see "Period6Choice" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		781
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		782

### 3.4.7.18.6 AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>

*Presence:* [0..1]

*Definition:* Period during which the participant of the account servicer can revoke change or withdraw its instructions.

**AccountServicerRevocabilityPeriod <AcctSvcrRvcbltyPrd>** contains one of the following elements (see "[Period6Choice](#)" on page 781 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		781
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		782

### 3.4.7.18.7 DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>

*Presence:* [0..1]

*Definition:* Period defining the last date on which withdrawal in street name requests on the outturn security will be accepted and the date on which the suspension will be released and withdrawal by transfer processing on the outturn security will resume.

**DepositorySuspensionPeriodForWithdrawal <DpstrySspnsnPrdForWdrwl>** contains one of the following elements (see "[Period12Choice](#)" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

### 3.4.7.19 RateAndAmountDetails <RateAndAmtDtls>

*Presence:* [0..1]

*Definition:* Provides information about rates and amounts related to a corporate action option.

*Impacted by:* C39 "[GrossDistributionRate2Rule](#)", C41 "[GrossDistributionRate3Rule](#)", C68 "[NetDistributionRate1Rule](#)", C70 "[NetDistributionRate2Rule](#)", C89 "[SecondLevelTax1Rule](#)", C91 "[SecondLevelTax2Rule](#)", C93 "[SecondLevelTax3Rule](#)", C95 "[WithholdingTaxRate1Rule](#)", C97 "[WithholdingTaxRate2Rule](#)"

**RateAndAmountDetails** <RateAndAmtDtls> contains the following **CorporateActionRate138** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		161
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		161
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		162
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		163
	<b>MaximumAllowedOversubscriptionRate</b> <MaxAllwdOvrsubcptRate>	[0..1]	±		164
	<b>ProrationRate</b> <PrratnRate>	[0..1]	±		164
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		165
	<b>SecondLevelTax</b> <ScndLvITax>	[0..*]	±		165
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..*]	±		165
	<b>IssuerDeclaredExchangeRate</b> <IssrDclrdXchgRate>	[0..1]	±		166
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		166
	<b>BidInterval</b> <BidIntrvl>	[0..1]	±		166

#### Constraints

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDividendRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.



(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of those elements may be present.

(MT 564 NVR C7).

### 3.4.7.19.1 AdditionalTax <AddtlTax>

*Presence:* [0..1]

*Definition:* Rate used for additional tax that cannot be categorised.

**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

### 3.4.7.19.2 GrossDistributionRate <GrssDstrbtnRate>

*Presence:* [0..\*]

*Definition:* Distribution rate before tax has been deducted.

**GrossDistributionRate <GrssDstrbtnRate>** contains one of the following elements (see "GrossDividendRateFormat43Choice" on page 892 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	893
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			893
	Amount <Amt>	[1..1]	Amount	C1	894
	RateStatus <RateSts>	[1..1]	CodeSet		894
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			894
	RateType <RateTp>	[1..1]			894
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895
	Amount <Amt>	[1..1]	Amount	C1	896
	RateStatus <RateSts>	[0..1]		C87	896
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		897

### 3.4.7.19.3 NetDistributionRate <NetDstrbtnRate>

*Presence:* [0..\*]

*Definition:* Distribution rate after tax has been deducted.

**NetDistributionRate <NetDstrbtnRate>** contains one of the following elements (see "NetDividendRateFormat38Choice" on page 826 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	826
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			827
	Amount <Amt>	[1..1]	Amount	C1	827
	RateStatus <RateSts>	[1..1]	CodeSet		827
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			827
	RateType <RateTp>	[1..1]			828
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		829
	Amount <Amt>	[1..1]	Amount	C1	829
	RateStatus <RateSts>	[0..1]		C87	829
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		830

#### 3.4.7.19.4 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

*Presence:* [0..\*]

*Definition:* The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

**GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>** contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		908
Or	Amount <Amt>	[1..1]	Amount	C1	908
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			908
	RateType <RateTp>	[1..1]			908
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909
	Amount <Amt>	[1..1]	Amount	C1	909
	RateStatus <RateSts>	[0..1]		C87	909
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		910

### 3.4.7.19.5 MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>

*Presence:* [0..1]

*Definition:* Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

**MaximumAllowedOversubscriptionRate <MaxAllwdOvrsbcptRate>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

### 3.4.7.19.6 ProrationRate <PrratnRate>

*Presence:* [0..1]

*Definition:* Percentage of securities accepted by the offeror/issuer.

**ProrationRate <PrratnRate>** contains one of the following elements (see "RateFormat32Choice" on page 984 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		984
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		985

**3.4.7.19.7 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

**3.4.7.19.8 SecondLevelTax <ScndLvITax>***Presence:* [0..\*]

*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

**3.4.7.19.9 TaxableIncomePerDividendShare <TaxblIncMPerDvddShr>***Presence:* [0..\*]

*Definition:* Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

**TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>** contains the following elements (see "RateTypeAndAmountAndStatus26" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			809
{Or	Code <Cd>	[1..1]	CodeSet		809
Or}	Proprietary <Prtry>	[1..1]	±		809
	Amount <Amt>	[1..1]	Amount	C1	810
	RateStatus <RateSts>	[0..1]		C87	810
{Or	Code <Cd>	[1..1]	CodeSet		810
Or}	Proprietary <Prtry>	[1..1]	±		810

### 3.4.7.19.10 IssuerDeclaredExchangeRate <IssrDclrdXchgRate>

*Presence:* [0..1]

*Definition:* Exchange rate (provided by the issuer) between the dividend or interest rate in the paid currency and the declared dividend or interest rate.

**IssuerDeclaredExchangeRate <IssrDclrdXchgRate>** contains the following elements (see "ForeignExchangeTerms38" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	795
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	795
	ExchangeRate <XchgRate>	[1..1]	Rate		795

### 3.4.7.19.11 TaxOnIncome <TaxOnIncm>

*Presence:* [0..1]

*Definition:* Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

**TaxOnIncome <TaxOnIncm>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

### 3.4.7.19.12 BidInterval <BidIntrvl>

*Presence:* [0..1]

*Definition:* Acceptable price increment used for submitting a bid.

**BidInterval** <BidIntrvl> contains one of the following elements (see "RateAndAmountFormat58Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		993
Or	Amount <Amt>	[1..1]	Amount	C1	993
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		993

### 3.4.7.20 PriceDetails <PricDtls>

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a corporate action option.

**PriceDetails** <PricDtls> contains the following **CorporateActionPrice87** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		167
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		168
	<b>MaximumCashToInstruct</b> <MaxCshToInst>	[0..1]	±		168
	<b>MinimumCashToInstruct</b> <MinCshToInst>	[0..1]	±		168
	<b>MinimumMultipleCashToInstruct</b> <MinMltplCshToInst>	[0..1]	±		169
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		169
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		169
	<b>FirstBidIncrementPrice</b> <FrstBidIncrmtPric>	[0..1]	±		170
	<b>LastBidIncrementPrice</b> <LastBidIncrmtPric>	[0..1]	±		170

#### 3.4.7.20.1 CashInLieuOfSharePrice <CshInLieuOfShrPric>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		944

### 3.4.7.20.2 OverSubscriptionDepositPrice <OverSbcptDpstPric>

*Presence:* [0..1]

*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.

**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		944

### 3.4.7.20.3 MaximumCashToInstruct <MaxCshToInst>

*Presence:* [0..1]

*Definition:* Maximum cash amount that may be instructed.

**MaximumCashToInstruct <MaxCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			941
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		941
	PriceValue <PricVal>	[1..1]	Amount	C1	942
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		942

### 3.4.7.20.4 MinimumCashToInstruct <MinCshToInst>

*Presence:* [0..1]

*Definition:* Minimum cash amount that may be instructed.



**MinimumCashToInstruct <MinCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			941
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		941
	PriceValue <PricVal>	[1..1]	Amount	C1	942
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		942

### 3.4.7.20.5 MinimumMultipleCashToInstruct <MinMltplCshToInst>

*Presence:* [0..1]

*Definition:* Minimum multiple of a cash amount that may be instructed.

**MinimumMultipleCashToInstruct <MinMltplCshToInst>** contains one of the following elements (see "PriceFormat61Choice" on page 941 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			941
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		941
	PriceValue <PricVal>	[1..1]	Amount	C1	942
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		942

### 3.4.7.20.6 MaximumPrice <MaxPric>

*Presence:* [0..1]

*Definition:* Maximum or cap price at which a holder can bid, for example, on a Dutch auction offer.

**MaximumPrice <MaxPric>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

### 3.4.7.20.7 MinimumPrice <MinPric>

*Presence:* [0..1]

*Definition:* Minimum or floor price at which a holder can bid, for example, on a Dutch auction offer.

**MinimumPrice <MinPric>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

### 3.4.7.20.8 FirstBidIncrementPrice <FrstBidIncrmtPric>

*Presence:* [0..1]

*Definition:* First acceptable price after the Minimum Price at which a holder can bid, for example on a Dutch auction offer.

**FirstBidIncrementPrice <FrstBidIncrmtPric>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

### 3.4.7.20.9 LastBidIncrementPrice <LastBidIncrmtPric>

*Presence:* [0..1]

*Definition:* Last acceptable price before the Maximum Price at which a holder can bid, for example on a Dutch auction offer.

**LastBidIncrementPrice** <LastBidIncrmtPric> contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

### 3.4.7.21 SecuritiesQuantity <SctiesQty>

*Presence:* [0..1]

*Definition:* Provides information about securities quantity linked to a corporate action option.

**SecuritiesQuantity** <SctiesQty> contains the following **SecuritiesOption81** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MaximumQuantityToInstruct</b> <MaxQtyToInst>	[0..1]	±	C22	171
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C22	172
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C23	172
	<b>NewBoardLotQuantity</b> <NewBrdLotQty>	[0..1]	±	C23	173
	<b>NewDenominationQuantity</b> <NewDnmtnQty>	[0..1]	±	C23	173
	<b>FrontEndOddLotQuantity</b> <FrntEndOddLotQty>	[0..1]	±	C23	174
	<b>BackEndOddLotQuantity</b> <BckEndOddLotQty>	[0..1]	±	C23	174

#### 3.4.7.21.1 MaximumQuantityToInstruct <MaxQtyToInst>

*Presence:* [0..1]

*Definition:* Maximum quantity of financial instrument that may be instructed.

*Impacted by:* C22 "DigitalTokenUnitUsageRule"

**MaximumQuantityToInstruct <MaxQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		965
Or	FaceAmount <FaceAmt>	[1..1]	Amount		965
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		965
Or	Code <Cd>	[1..1]	CodeSet		965
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		965

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.2 MinimumQuantityToInstruct <MinQtyToInst>

*Presence:* [0..1]

*Definition:* Minimum quantity of financial instrument that may be instructed.

*Impacted by:* C22 "DigitalTokenUnitUsageRule"

**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity34Choice" on page 964 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		965
Or	FaceAmount <FaceAmt>	[1..1]	Amount		965
Or	AmortisedValue <AmtdsdVal>	[1..1]	Amount		965
Or	Code <Cd>	[1..1]	CodeSet		965
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		965

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.3 MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity of financial instrument that may be instructed.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.4 NewBoardLotQuantity <NewBrdLotQty>

*Presence:* [0..1]

*Definition:* Quantity of equity that makes up the new board lot.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**NewBoardLotQuantity <NewBrdLotQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.5 NewDenominationQuantity <NewDnmtnQty>

*Presence:* [0..1]

*Definition:* New denomination of the equity following, for example, an increase or decrease in nominal value.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**NewDenominationQuantity <NewDnmtnQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.6 FrontEndOddLotQuantity <FrntEndOddLotQty>

*Presence:* [0..1]

*Definition:* Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**FrontEndOddLotQuantity <FrntEndOddLotQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.21.7 BackEndOddLotQuantity <BckEndOddLotQty>

*Presence:* [0..1]

*Definition:* Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

*Impacted by:* C23 "DigitalTokenUnitUsageRule"

**BackEndOddLotQuantity <BckEndOddLotQty>** contains one of the following elements (see "FinancialInstrumentQuantity35Choice" on page 967 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

### 3.4.7.22 TaxCategory <TaxCtgy>

*Presence:* [0..99]

*Definition:* Identification of the tax category as issuers, their agents, or tax authorities may require a breakdown of holders at a category level that have requested to receive a distribution at a favourable rate of tax.

**TaxCategory <TaxCtgy>** contains the following **TaxCategory1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[0..1]	Text		175
	<b>Description</b> <Desc>	[0..1]	Text		175
	<b>Country</b> <Ctry>	[0..1]	CodeSet	C13	175

#### 3.4.7.22.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Tax category identification.

*Datatype:* "Max2NumericText" on page 1094

#### 3.4.7.22.2 Description <Desc>

*Presence:* [0..1]

*Definition:* Description of the tax category.

*Datatype:* "Max35Text" on page 1094

#### 3.4.7.22.3 Country <Ctry>

*Presence:* [0..1]

*Definition:* Identification of the country in which the tax is withheld.

*Impacted by:* C13 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 3.4.7.23 ProrationBelowMinimumQuantity <PrratnBlwMinQty>

*Presence:* [0..1]

*Definition:* Specifies how instructions prorated below the minimum quantity to instruct will be processed.

**ProrationBelowMinimumQuantity <PrratnBlwMinQty>** contains one of the following **ProrationBelowMinimumQuantity3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		176
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		176

#### 3.4.7.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of proration below minimum quantity.

*Datatype:* "ProrationBelowMinimumQuantity2Code" on page 1072

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
REJT	Rejected	Instructions will be rejected.
MILD	RoundDown	Instructions will be accepted but rounded down to the specified minimum multiple quantity to instruct.
MILU	RoundUp	Instructions will be accepted but rounded up to the specified minimum multiple quantity to instruct.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

#### 3.4.7.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the proration below minimum quantity type.



**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.24 ProrationRoundingIndicator <PrratnRndgInd>

*Presence:* [0..1]

*Definition:* Indicates how fractional positions will be handled for events with prorated options.

*Datatype:* "[FractionDispositionType12Code](#)" on page 1048

CodeName	Name	Definition
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.

#### 3.4.7.25 ProrationFraction <PrratnFrctn>

*Presence:* [0..1]

*Definition:* Decimal above which numbers are rounded for prorated options. For example if the rounding factor is 0.5, numbers of 0.5 and above will be rounded up.

*Datatype:* "[DecimalNumber](#)" on page 1090

#### 3.4.7.26 ProrationReturnMinimumQuantityTreatment <PrratnRtrMinQtyTrtmnt>

*Presence:* [0..1]

*Definition:* Indicates how prorated instructions will be handled when the prorated entitlement results in less than the minimum denomination.

*Datatype:* "[ProrationReturnQuantityTreatment1Code](#)" on page 1072

CodeName	Name	Definition
ACPT	Accept	Prorated instructions which result in less than the minimum denominations will be accepted in full.
ADJT	Adjust	Prorated instructions which result in less than the minimum denominations will be adjusted up.
REJT	Reject	Prorated instructions which result in less than the minimum denominations will be rejected in full.

#### 3.4.7.27 SecuritiesMovementDetails <SctiesMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the securities movement linked to the corporate action option.

*Impacted by:* C30 "ExemptionTypeUsageRule", C45 "IncomeType1Rule", C47 "IncomeTypeUsageRule"

**SecuritiesMovementDetails** <SctiesMvmntDtls> contains the following **SecuritiesOption120** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityDetails</b> <SctyDtls>	[1..1]		C61, C85	181
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	183
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		184
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		184
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		184
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		185
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	185
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		185
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		185
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		185
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		185
	<b>NextCallableDate</b> <NxtCllbldDt>	[0..1]	Date		186
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		186
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		186
	<b>ConversionDate</b> <ConvsDt>	[0..1]	Date		186
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		186
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		186
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		187
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		187
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	187
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C21	188
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltplQtyToInst>	[0..1]	±	C21	188
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	189
	<b>IssuePrice</b> <IssePric>	[0..1]	±		189
	<b>BabyBondDenomination</b> <BabyBdDnmtn>	[0..1]	Quantity		190
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		190
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmInd>	[0..1]	±		190

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NonEligibleProceedsIndicator</b> <NonElgblPrcdsInd>	[0..1]			190
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		191
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		191
	<b>NewSecuritiesIssuanceIndicator</b> <NewSctiesIssncInd>	[0..1]	CodeSet		191
	<b>IncomeType</b> <IncmTp>	[0..1]	±		192
	<b>OtherIncomeType</b> <OthrIncmTp>	[0..*]	±		192
	<b>ExemptionType</b> <XmptnTp>	[0..*]	±		193
	<b>EntitledQuantity</b> <EntitldQty>	[0..1]	±		193
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		193
	<b>CountryOfIncomeSource</b> <CtryOfIncmSrc>	[0..1]	CodeSet	C13	194
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]	±		194
	<b>CurrencyOption</b> <CcyOptn>	[0..1]	CodeSet	C2	194
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		195
	<b>DateDetails</b> <DtDtls>	[1..1]			195
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		195
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		196
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		196
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		196
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		197
	<b>LastTradingDate</b> <LastTradgDt>	[0..1]	±		197
	<b>RateDetails</b> <RateDtls>	[0..1]			197
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		198
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScties>	[0..1]	±		198
	<b>NewToOld</b> <NewToOd>	[0..1]	±		199
	<b>TransformationRate</b> <TrfrmatnRate>	[0..1]	Rate		199
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		199
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		200
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		200
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		200
	<b>FinancialTransactionTaxRate</b> <FinTxTaxRate>	[0..1]	±		201
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		201

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecondLevelTax</b> <ScndLvITax>	[0..*]	±		201
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		202
	<b>PriceDetails</b> <PricDtls>	[0..1]		C36	202
	<b>IndicativeOrMarketPrice</b> <IndctvOrMktPric>	[0..1]			203
{Or	<b>IndicativePrice</b> <IndctvPric>	[1..1]	±		203
Or}	<b>MarketPrice</b> <MktPric>	[1..1]	±		203
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		204
	<b>CashValueForTax</b> <CshValForTax>	[0..1]	±		204
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		204
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		205
	<b>PriceBasis</b> <PricBsis>	[0..1]	CodeSet		205
	<b>EstimatedPriceIndicator</b> <EstmtdPricInd>	[0..1]	Indicator		206
	<b>RoundingFactor</b> <RndgFctr>	[0..1]	Quantity		206
	<b>ChargeIndicator</b> <ChrgInd>	[0..1]	Indicator		206
	<b>ReinvestmentIncomeClassification</b> <RinvstmtIncmClssfctn>	[0..1]	CodeSet		206

#### Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

- **IncomeType1Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition  
 /OtherIncomeType[\*] is present  
 Following Must be True  
 /IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

#### 3.4.7.27.1 SecurityDetails <SctyDtls>

*Presence:* [1..1]

*Definition:* Provides description of the financial instrument related to securities movement.

*Impacted by:* C61 "MaturityDateRule", C85 "PreviousNextFactorRule"

**SecurityDetails <SctyDtls>** contains the following **FinancialInstrumentAttributes130** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C19, C20, C53, C54, C81	183
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		184
	<b>DayCountBasis</b> <DayCntBsis>	[0..1]	±		184
	<b>ClassificationType</b> <ClssfctnTp>	[0..1]	±		184
	<b>OptionStyle</b> <OptnStyle>	[0..1]	±		185
	<b>DenominationCurrency</b> <DnmtnCcy>	[0..1]	CodeSet	C3	185
	<b>NextCouponDate</b> <NxtCpnDt>	[0..1]	Date		185
	<b>FloatingRateFixingDate</b> <FltgRateFxdDt>	[0..1]	Date		185
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	Date		185
	<b>IssueDate</b> <IsseDt>	[0..1]	Date		185
	<b>NextCallableDate</b> <NxtCllblDt>	[0..1]	Date		186
	<b>PutableDate</b> <PutblDt>	[0..1]	Date		186
	<b>DatedDate</b> <DtdDt>	[0..1]	Date		186
	<b>ConversionDate</b> <ConvstDt>	[0..1]	Date		186
	<b>PreviousFactor</b> <PrvsFctr>	[0..1]	±		186
	<b>NextFactor</b> <NxtFctr>	[0..1]	±		186
	<b>InterestRate</b> <IntrstRate>	[0..1]	±		187
	<b>NextInterestRate</b> <NxtIntrstRate>	[0..1]	±		187
	<b>MinimumNominalQuantity</b> <MinNmnlQty>	[0..1]	±	C21	187
	<b>MinimumQuantityToInstruct</b> <MinQtyToInst>	[0..1]	±	C21	188
	<b>MinimumMultipleQuantityToInstruct</b> <MinMltpQtyToInst>	[0..1]	±	C21	188
	<b>ContractSize</b> <CtrctSz>	[0..1]	±	C21	189
	<b>IssuePrice</b> <IssePric>	[0..1]	±		189
	<b>BabyBondDenomination</b> <BabyBdDnmtn>	[0..1]	Quantity		190

**Constraints**

- **MaturityDateRule**

Maturity date may only provide generic information about the final maturity of the underlying instrument.

- **PreviousNextFactorRule**

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

**3.4.7.27.1.1 FinancialInstrumentIdentification <FinInstrmId>**

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

*Impacted by:* [C19 "DescriptionPresenceRule"](#), [C20 "DescriptionUsageRule"](#), [C53 "ISINGuideline"](#), [C54 "ISINPresenceRule"](#), [C81 "OtherIdentificationPresenceRule"](#)

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see ["SecurityIdentification19"](#) on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		793
	OtherIdentification <OthrId>	[0..*]			793
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794
	Description <Desc>	[0..1]	Text		794

**Constraints**

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```

On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present

```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```

On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present

```

### 3.4.7.27.1.2 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Place where the referenced financial instrument is listed.

**PlaceOfListing <PlcOfListg>** contains one of the following elements (see "MarketIdentification3Choice" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		801
Or}	Description <Desc>	[1..1]	Text		802

### 3.4.7.27.1.3 DayCountBasis <DayCntBsis>

*Presence:* [0..1]

*Definition:* Specifies the computation method of (accrued) interest of the financial instrument.

**DayCountBasis <DayCntBsis>** contains one of the following elements (see "InterestComputationMethodFormat4Choice" on page 863 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		866

### 3.4.7.27.1.4 ClassificationType <ClssfctnTp>

*Presence:* [0..1]

*Definition:* Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

**ClassificationType <ClssfctnTp>** contains one of the following elements (see "ClassificationType32Choice" on page 804 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		804
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		804



**3.4.7.27.1.5 OptionStyle <OptnStyle>***Presence:* [0..1]*Definition:* Specifies how an option can be exercised.**OptionStyle <OptnStyle>** contains one of the following elements (see ["OptionStyle8Choice"](#) on page 824 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

**3.4.7.27.1.6 DenominationCurrency <DnmtnCcy>***Presence:* [0..1]*Definition:* Currency in which a financial instrument is currently denominated.*Impacted by:* [C3 "ActiveOrHistoricCurrency"](#)*Datatype:* ["ActiveOrHistoricCurrencyCode"](#) on page 1008**Constraints**

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

**3.4.7.27.1.7 NextCouponDate <NxtCpnDt>***Presence:* [0..1]*Definition:* Next payment date of an interest bearing financial instrument.*Datatype:* ["ISODate"](#) on page 1085**3.4.7.27.1.8 FloatingRateFixingDate <FltgRateFxdt>***Presence:* [0..1]*Definition:* Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.*Datatype:* ["ISODate"](#) on page 1085**3.4.7.27.1.9 MaturityDate <MtrtyDt>***Presence:* [0..1]*Definition:* Date on which a financial instrument becomes due and assets are to be repaid.*Datatype:* ["ISODate"](#) on page 1085**3.4.7.27.1.10 IssueDate <IsseDt>***Presence:* [0..1]*Definition:* Date at which the security was made available.

*Datatype:* "ISODate" on page 1085

#### 3.4.7.27.1.11 NextCallableDate <NxtClbIDt>

*Presence:* [0..1]

*Definition:* Date on which a financial instrument is called away/redeemed before its scheduled maturity.

*Datatype:* "ISODate" on page 1085

#### 3.4.7.27.1.12 PutableDate <PutbIDt>

*Presence:* [0..1]

*Definition:* Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

*Datatype:* "ISODate" on page 1085

#### 3.4.7.27.1.13 DatedDate <DtdDt>

*Presence:* [0..1]

*Definition:* Date on which an interest bearing financial instrument begins to accrue interest.

*Datatype:* "ISODate" on page 1085

#### 3.4.7.27.1.14 ConversionDate <ConvsDt>

*Presence:* [0..1]

*Definition:* Deadline by which a convertible security must be converted, according to the terms of the issue.

*Datatype:* "ISODate" on page 1085

#### 3.4.7.27.1.15 PreviousFactor <PrvsFctr>

*Presence:* [0..1]

*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

**PreviousFactor <PrvsFctr>** contains one of the following elements (see "RateFormat12Choice" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862

#### 3.4.7.27.1.16 NextFactor <NxtFctr>

*Presence:* [0..1]

*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will be applicable after the redemption (factor) date.

**NextFactor <NxtFctr>** contains one of the following elements (see ["RateFormat12Choice"](#) on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862

#### 3.4.7.27.1.17 InterestRate <IntrstRate>

*Presence:* [0..1]

*Definition:* Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

**InterestRate <IntrstRate>** contains one of the following elements (see ["RateFormat24Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.27.1.18 NextInterestRate <NxtIntrstRate>

*Presence:* [0..1]

*Definition:* Interest rate applicable to the next interest payment period in relation to variable rate instruments.

**NextInterestRate <NxtIntrstRate>** contains one of the following elements (see ["RateFormat24Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.27.1.19 MinimumNominalQuantity <MinNmnlQty>

*Presence:* [0..1]

*Definition:* Minimum nominal quantity of financial instrument.

*Impacted by:* [C21 "DigitalTokenUnitUsageRule"](#)

**MinimumNominalQuantity <MinNmnlQty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.27.1.20 MinimumQuantityToInstruct <MinQtyToInst>

*Presence:* [0..1]

*Definition:* Minimum quantity of financial instrument that may be instructed.

*Impacted by:* C21 "DigitalTokenUnitUsageRule"

**MinimumQuantityToInstruct <MinQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.27.1.21 MinimumMultipleQuantityToInstruct <MinMltpQtyToInst>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity of financial instrument that may be instructed.

*Impacted by:* C21 "DigitalTokenUnitUsageRule"

**MinimumMultipleQuantityToInstruct <MinMltplQtyToInst>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.27.1.22 ContractSize <CtrctSz>

*Presence:* [0..1]

*Definition:* Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

*Impacted by:* C21 "DigitalTokenUnitUsageRule"

**ContractSize <CtrctSz>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 3.4.7.27.1.23 IssuePrice <IssePric>

*Presence:* [0..1]

*Definition:* Initial issue/valuation price of a resulting security under a corporate action.

**IssuePrice <IssePric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		944

#### 3.4.7.27.1.24 BabyBondDenomination <BabyBdDnmtn>

*Presence:* [0..1]

*Definition:* Dollar amount attributed to the bond when the par value is less than 1000.

*Datatype:* "DecimalNumber" on page 1090

#### 3.4.7.27.2 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 3.4.7.27.3 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

*Presence:* [0..1]

*Definition:* Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

**TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>** contains one of the following elements (see "TemporaryFinancialInstrumentIndicator3Choice" on page 808 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TempInd>	[1..1]	Indicator		808
Or}	Proprietary <Prtry>	[1..1]	±		808

#### 3.4.7.27.4 NonEligibleProceedsIndicator <NonElgblPrctdsInd>

*Presence:* [0..1]

*Definition:* Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

**NonEligibleProceedsIndicator <NonElgblPrcdsInd>** contains one of the following **NonEligibleProceedsIndicator5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		191
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		191

#### 3.4.7.27.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify information regarding the non eligibility of the outturn resources.

*Datatype:* "NonEligibleProceedsIndicator2Code" on page 1064

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

#### 3.4.7.27.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification to specify information regarding the non eligibility of the outturn resources.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.27.5 NewSecuritiesIssuanceIndicator <NewSctiesIssnclnd>

*Presence:* [0..1]

*Definition:* Specifies the nature of the securities issue.

*Datatype:* "NewSecuritiesIssuanceType5Code" on page 1063

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial

CodeName	Name	Definition
		Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

### 3.4.7.27.6 IncomeType <IncmTp>

*Presence:* [0..1]

*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**IncomeType <IncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 3.4.7.27.7 OtherIncomeType <OthrIncmTp>

*Presence:* [0..\*]

*Definition:* Type of income to be used under another tax regime.



**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 3.4.7.27.8 ExemptionType <XmptnTp>

*Presence:* [0..\*]

*Definition:* Specifies the basis for the reduced rate of withholding.

**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 3.4.7.27.9 EntitledQuantity <EntitldQty>

*Presence:* [0..1]

*Definition:* Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

**EntitledQuantity <EntitldQty>** contains one of the following elements (see "[Quantity51Choice](#)" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C21	966
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		966

### 3.4.7.27.10 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Location where the financial instruments are/will be safekept.

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat41Choice" on page 889 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			889
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		889
	Identification <Id>	[0..1]	Text		890
Or	Country <Ctry>	[1..1]	CodeSet	C3	890
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		890
Or	TypeAndIdentification <TpAndId>	[1..1]			890
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		890
	Identification <Id>	[1..1]	IdentifierSet	C7	891
Or}	Proprietary <Prtry>	[1..1]	±		891

#### 3.4.7.27.11 CountryOfIncomeSource <CtryOfIncmSrc>

*Presence:* [0..1]

*Definition:* Indicates the country from which the income originates.

*Impacted by:* C13 "Country"

*Datatype:* "CountryCode" on page 1035

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 3.4.7.27.12 FractionDisposition <FrctnDspstn>

*Presence:* [0..1]

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**FractionDisposition <FrctnDspstn>** contains one of the following elements (see "FractionDispositionType26Choice" on page 806 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		806
Or}	Proprietary <Prtry>	[1..1]	±		807

#### 3.4.7.27.13 CurrencyOption <CcyOptn>

*Presence:* [0..1]

*Definition:* Currency in which cash proceeds are to be credited/debited.

Impacted by: C2 "ActiveCurrency"

Datatype: "ActiveCurrencyCode" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 3.4.7.27.14 TradingPeriod <TradgPrd>

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

**TradingPeriod <TradgPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 3.4.7.27.15 DateDetails <DtDtls>

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

**DateDetails <DtDtls>** contains the following **SecurityDate20** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		195
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		196
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		196
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		196
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		197
	<b>LastTradingDate</b> <LastTradgDt>	[0..1]	±		197

##### 3.4.7.27.15.1 PaymentDate <PmtDt>

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.15.2 AvailableDate <AvlbdDt>

*Presence:* [0..1]

*Definition:* Date/time at which securities become available for trading, for example first dealing date.

**AvailableDate <AvlbdDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.15.3 DividendRankingDate <DvddRnkgDt>

*Presence:* [0..1]

*Definition:* Date/time at which a security will be entitled to a dividend.

**DividendRankingDate <DvddRnkgDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.15.4 EarliestPaymentDate <EarlstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

**EarliestPaymentDate <EarlStPmtDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.15.5 PariPassuDate <PrpssDt>

*Presence:* [0..1]

*Definition:* Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

**PariPassuDate <PrpssDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.15.6 LastTradingDate <LastTradgDt>

*Presence:* [0..1]

*Definition:* Date/time at which the securities to be reorganised will cease to be tradeable.

**LastTradingDate <LastTradgDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.27.16 RateDetails <RateDtls>

*Presence:* [0..1]

*Definition:* Provides information about the rates related to securities movement.

**RateDetails <RateDtls>** contains the following **CorporateActionRate129** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbdRsltntScies>	[0..1]	±		198
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		198
	<b>NewToOld</b> <NewToOd>	[0..1]	±		199
	<b>TransformationRate</b> <TrfrmatnRate>	[0..1]	Rate		199
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		199
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		200
	<b>ApplicableRate</b> <ApIblRate>	[0..1]	±		200
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		200
	<b>FinancialTransactionTaxRate</b> <FinTxTaxRate>	[0..1]	±		201
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		201
	<b>SecondLevelTax</b> <ScndLvITax>	[0..*]	±		201
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		202

#### 3.4.7.27.16.1 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>

*Presence:* [0..1]

*Definition:* Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>** contains one of the following elements (see ["RatioFormat17Choice"](#) on page 835 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		835
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		835
Or}	AmountToAmount <AmtToAmt>	[1..1]			836
	Amount1 <Amt1>	[1..1]	Amount	C1	836
	Amount2 <Amt2>	[1..1]	Amount	C1	836

#### 3.4.7.27.16.2 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScies>

*Presence:* [0..1]

*Definition:* Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

**AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScities>** contains one of the following elements (see "RatioFormat17Choice" on page 835 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		835
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		835
Or}	AmountToAmount <AmtToAmt>	[1..1]			836
	Amount1 <Amt1>	[1..1]	Amount	C1	836
	Amount2 <Amt2>	[1..1]	Amount	C1	836

### 3.4.7.27.16.3 NewToOld <NewToOd>

*Presence:* [0..1]

*Definition:* Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

**NewToOld <NewToOd>** contains one of the following elements (see "RatioFormat18Choice" on page 832 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		832
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		832
Or	AmountToAmount <AmtToAmt>	[1..1]			833
	Amount1 <Amt1>	[1..1]	Amount	C1	833
	Amount2 <Amt2>	[1..1]	Amount	C1	833
Or	AmountToQuantity <AmtToQty>	[1..1]			833
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		834
Or}	QuantityToAmount <QtyToAmt>	[1..1]			834
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		835

### 3.4.7.27.16.4 TransformationRate <TrfrmatnRate>

*Presence:* [0..1]

*Definition:* Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

*Datatype:* "Percentage14Rate" on page 1092

### 3.4.7.27.16.5 ChargesFees <ChrgsFees>

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

**ChargesFees <ChrgsFees>** contains one of the following elements (see ["RateAndAmountFormat57Choice"](#) on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

#### 3.4.7.27.16.6 FiscalStamp <FscIStmp>

*Presence:* [0..1]

*Definition:* Percentage of fiscal tax to apply.

**FiscalStamp <FscIStmp>** contains one of the following elements (see ["RateFormat24Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.27.16.7 ApplicableRate <AplblRate>

*Presence:* [0..1]

*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

**ApplicableRate <AplblRate>** contains one of the following elements (see ["RateFormat24Choice"](#) on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.27.16.8 TaxCreditRate <TaxCdtRate>

*Presence:* [0..1]

*Definition:* Amount of money per equity allocated as the result of a tax credit.

**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see ["RateFormat26Choice"](#) on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		991
Or	Amount <Amt>	[1..1]	Amount	C1	991
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		991



**3.4.7.27.16.9 FinancialTransactionTaxRate <FinTxTaxRate>***Presence:* [0..1]*Definition:* Rate of financial transaction tax.

**FinancialTransactionTaxRate <FinTxTaxRate>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

**3.4.7.27.16.10 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

**3.4.7.27.16.11 SecondLevelTax <ScndLvlTax>***Presence:* [0..\*]

*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

### 3.4.7.27.16.12 EstimatedRateIndicator <EstmtdRateInd>

*Presence:* [0..1]

*Definition:* Denotes whether the rate is approximate.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.7.27.17 PriceDetails <PricDtls>

*Presence:* [0..1]

*Definition:* Provides information about the prices related to securities movement.

*Impacted by:* C36 "GenericCashPricePerProductGuideline"

**PriceDetails <PricDtls>** contains the following **CorporateActionPrice82** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IndicativeOrMarketPrice</b> <IndctvOrMktPric>	[0..1]			203
{Or	<b>IndicativePrice</b> <IndctvPric>	[1..1]	±		203
Or}	<b>MarketPrice</b> <MktPric>	[1..1]	±		203
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		204
	<b>CashValueForTax</b> <CshValForTax>	[0..1]	±		204
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		204
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		205

**Constraints**

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

**3.4.7.27.17.1 IndicativeOrMarketPrice <IndctvOrMktPric>**

*Presence:* [0..1]

*Definition:* Indicates whether the price is an indicative price or a market price.

**IndicativeOrMarketPrice <IndctvOrMktPric>** contains one of the following **IndicativeOrMarketPrice12Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>IndicativePrice</b> <IndctvPric>	[1..1]	±		203
Or}	<b>MarketPrice</b> <MktPric>	[1..1]	±		203

**3.4.7.27.17.1.1 IndicativePrice <IndctvPric>**

*Presence:* [1..1]

*Definition:* Estimated price, for example, for valuation purposes.

**IndicativePrice <IndctvPric>** contains one of the following elements (see "[PriceFormat74Choice](#)" on [page 943](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		944

**3.4.7.27.17.1.2 MarketPrice <MktPric>**

*Presence:* [1..1]

*Definition:* Last reported/known price of a financial instrument in a market.

**MarketPrice <MktPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		944

### 3.4.7.27.17.2 CashInLieuOfSharePrice <CshInLieuOfShrPric>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		944

### 3.4.7.27.17.3 CashValueForTax <CshValForTax>

*Presence:* [0..1]

*Definition:* Cash value of resulting securities proceeds for tax calculation and/or reporting.

**CashValueForTax <CshValForTax>** contains one of the following elements (see "PriceFormat46Choice" on page 940 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		940
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		941

### 3.4.7.27.17.4 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

**GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.7.27.17.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

**GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>** contains one of the following elements (see "PriceFormat72Choice" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			945
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		945
	PriceValue <PricVal>	[1..1]	Rate		946
Or	AmountPrice <AmtPric>	[1..1]	±		946
Or	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		946
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			946
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		947
	PriceValue <PricVal>	[1..1]	Amount	C1	947
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C21	947
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Amount	C1	948
	Amount <Amt>	[1..1]	Amount	C1	949
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		949

#### 3.4.7.27.18 PriceBasis <PricBsis>

*Presence:* [0..1]

*Definition:* Price is based on either base or disbursed security.

*Datatype:* "DTCBaseDisbursed1Code" on page 1039

CodeName	Name	Definition
BASE	Base	Based on base security.
DISB	Disbursed	Based on disbursed security.

### 3.4.7.27.19 EstimatedPriceIndicator <EstmtdPricInd>

*Presence:* [0..1]

*Definition:* Indicates whether the price from the offeror is estimated or final.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.7.27.20 RoundingFactor <RndgFctr>

*Presence:* [0..1]

*Definition:* Decimal above which numbers are rounded. For an example if rounding factor is 0.6 this means that fractional units greater than or equal to 6 will be rounded up and less than 6 will be rounded down.

*Datatype:* "DecimalNumber" on page 1090

### 3.4.7.27.21 ChargeIndicator <ChrgInd>

*Presence:* [0..1]

*Definition:* Indicates whether a DTC (The Depository Trust Corporation) participant, using one or more payment bonds in connection with a warrant exercise, will be charged (through settlement on exercise date) the value of the upcoming interest payment on the bond(s).

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.7.27.22 ReinvestmentIncomeClassification <RinvstmtIncmClsfctn>

*Presence:* [0..1]

*Definition:* Income classification of the cash proceeds for dividend reinvestment.

*Datatype:* "ReinvestmentIncomeClassification2Code" on page 1075

CodeName	Name	Definition
CAPG	CapitalGains	Cash proceeds being reinvested is classified as capital gains.
DVCA	CashDividend	Cash proceeds being reinvested is classified as cash dividend.
CDPS	CashDividendSpecial	Cash proceeds being reinvested is classified as special cash dividend.
INTR	Interest	Cash proceeds being reinvested is classified as interest.

CodeName	Name	Definition
LTCG	LongTermCapitalGains	Cash proceeds being reinvested is classified as long term capital gains.
PRPL	Principal	Cash proceeds being reinvested is classified as principal.
ROCA	ReturnOfCapital	Cash proceeds being reinvested is classified as return of capital.
STCG	ShortTermCapitalGains	Cash proceeds being reinvested is classified as short term capital gains.

### 3.4.7.28 CashMovementDetails <CshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the cash movement linked to the corporate action option.

*Impacted by:* C31 "ExemptionTypeUsageRule", C46 "IncomeType2Rule", C48 "IncomeTypeUsageRule", C37 "GenericCashPricePerProductGuideline"

**CashMovementDetails <CshMvmntDtls>** contains the following **CashOption115** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		211
	<b>NonEligibleProceedsIndicator</b> <NonElgblPrcdsInd>	[0..1]			211
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		212
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		212
	<b>IncomeType</b> <IncmTp>	[0..1]	±		212
	<b>OtherIncomeType</b> <OthrIncmTp>	[0..*]	±		213
	<b>ExemptionType</b> <XmptnTp>	[0..*]	±		213
	<b>PriceCalculationMethod</b> <PricClctnMtd>	[0..1]			213
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		214
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		214
	<b>CountryOfIncomeSource</b> <CtryOfIncmSrc>	[0..1]	CodeSet	C13	214
	<b>AmountDetails</b> <AmtDtls>	[0..1]			215
	<b>GrossAmount</b> <GrssAmt>	[0..1]	Amount	C2, C14	217
	<b>NetAmount</b> <NetAmt>	[0..1]	Amount	C2, C14	218
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C2, C14	218
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C2, C14	218
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C2, C14	219
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C2, C14	219
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C2, C14	220
	<b>ManufacturedDividendPaymentAmount</b> <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C14	220
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C2, C14	221
	<b>FullyFrankedAmount</b> <FullyFrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>UnfrankedAmount</b> <UfrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxDeferredAmount</b> <TaxDfrrdAmt>	[0..1]	Amount	C2, C14	223
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C2, C14	223
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C2, C14	223
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C2, C14	224
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C2, C14	224



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>SecondLevelTaxAmount</b> <ScndLvTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C2, C14	226
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C2, C14	226
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C2, C14	227
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C2, C14	228
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C2, C14	228
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C2, C14	229
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C2, C14	229
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C2, C14	229
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C2, C14	230
	<b>IncomePortion</b> <IncmPrtn>	[0..1]	Amount	C2, C14	230
	<b>StockExchangeTax</b> <StockXchgTax>	[0..1]	Amount	C2, C14	231
	<b>EUTaxRetentionAmount</b> <EUTaxRtntnAmt>	[0..1]	Amount	C2, C14	231
	<b>AccruedInterestAmount</b> <AcrdIntrstAmt>	[0..1]	Amount	C2, C14	231
	<b>EqualisationAmount</b> <EqulstnAmt>	[0..1]	Amount	C2, C14	232
	<b>FATCATaxAmount</b> <FATCATaxAmt>	[0..1]	Amount	C2, C14	232
	<b>NRATaxAmount</b> <NRATaxAmt>	[0..1]	Amount	C2, C14	233
	<b>BackUpWithholdingTaxAmount</b> <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C14	233
	<b>TaxOnIncomeAmount</b> <TaxOnIncmAmt>	[0..1]	Amount	C2, C14	233
	<b>TransactionTax</b> <TxTax>	[0..1]	Amount	C2, C14	234
	<b>DeemedAmount</b> <DmdAmt>	[0..1]	Amount	C2, C14	234
	<b>ForeignIncomeAmount</b> <FrgrnIncmAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedDividendAmount</b> <DmdDvddAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedFundAmount</b> <DmdFndAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedInterestAmount</b> <DmdIntrstAmt>	[0..1]	Amount	C2, C14	236
	<b>DeemedRoyaltiesAmount</b> <DmdRyltsAmt>	[0..1]	Amount	C2, C14	236

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BuyUpAmount</b> <BuyUpAmt>	[0..1]	Amount	C2, C14	237
	<b>DateDetails</b> <DtDtls>	[1..1]			237
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		237
	<b>ValueDate</b> <ValDt>	[0..1]	±		238
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdDt>	[0..1]	±		238
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		238
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]	±		239
	<b>EstimatedRateIndicator</b> <EstmtdRateInd>	[0..1]	Indicator		239
	<b>NRATaxReportableIndicator</b> <NRATaxRptblInd>	[0..1]	Indicator		239
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]		C17, C18, C40, C42, C69, C71, C90, C92, C94, C96, C98	240
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		242
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		242
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		243
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		244
	<b>SecondLevelTax</b> <ScndLvlTax>	[0..*]	±		244
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		245
	<b>EarlySolicitationFeeRate</b> <EarlySlctnFeeRate>	[0..1]	±		245
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		246
	<b>ThirdPartyIncentiveRate</b> <ThrdPtyIncntivRate>	[0..1]	±		246
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		246
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		247
	<b>SolicitationFeeRate</b> <SlctnFeeRate>	[0..1]	±		247
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		248
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		248
	<b>TaxOnProfits</b> <TaxOnPrfts>	[0..1]	±		248
	<b>TaxReclaimRate</b> <TaxRclmRate>	[0..1]	±		249

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EqualisationRate</b> <EqulstnRate>	[0..1]	±		249
	<b>DeemedRate</b> <DmdRate>	[0..*]	±		249
	<b>PrincipleRate</b> <PrncpleRate>	[0..1]	±		250
	<b>PriceDetails</b> <PricDtls>	[0..1]		C38	250
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		251
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		251
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		252
	<b>RedemptionPrice</b> <RedPric>	[0..1]	±		252

### Constraints

- **ExemptionTypeUsageRule**

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price.) is derived from the event type.

- **IncomeType2Rule**

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

On Condition

/OtherIncomeType[\*] is present

Following Must be True

/IncomeType Must be present

- **IncomeTypeUsageRule**

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at [www.smpg.info](http://www.smpg.info).

### 3.4.7.28.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Indicates whether the value is a debit or a credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

### 3.4.7.28.2 NonEligibleProceedsIndicator <NonElgblPrcdsInd>

*Presence:* [0..1]

*Definition:* Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

**NonEligibleProceedsIndicator** <NonElgblPrcdsInd> contains one of the following **NonEligibleProceedsIndicator5Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		212
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		212

#### 3.4.7.28.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify information regarding the non eligibility of the outturn resources.

*Datatype:* "NonEligibleProceedsIndicator2Code" on page 1064

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

#### 3.4.7.28.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification to specify information regarding the non eligibility of the outturn resources.

**Proprietary** <Prtry> contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.28.3 IncomeType <IncmTp>

*Presence:* [0..1]

*Definition:* Specifies the type of income.

**IncomeType <IncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.28.4 OtherIncomeType <OthrIncmTp>

*Presence:* [0..\*]

*Definition:* Type of income to be used under another tax regime.

**OtherIncomeType <OthrIncmTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.28.5 ExemptionType <XmptnTp>

*Presence:* [0..\*]

*Definition:* Specifies the basis for the reduced rate of withholding.

**ExemptionType <XmptnTp>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 3.4.7.28.6 PriceCalculationMethod <PricClctnMtd>

*Presence:* [0..1]

*Definition:* Specifies the method applied for the calculation of the price.

**PriceCalculationMethod <PricClctnMtd>** contains one of the following **PriceCalculationMethod2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		214
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		214

**3.4.7.28.6.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the method applied for the calculation of the price.*Datatype:* "PriceCalculationMethod1Code" on page 1068

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

**3.4.7.28.6.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification to specify the method applied for the calculation of the price.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**3.4.7.28.7 CountryOfIncomeSource <CtryOfIncmSrc>***Presence:* [0..1]*Definition:* Indicates the country from which the income originates.*Impacted by:* C13 "Country"*Datatype:* "CountryCode" on page 1035

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**3.4.7.28.8 AmountDetails <AmtDtls>**

*Presence:* [0..1]

*Definition:* Provides information about the amounts related to a cash movement.

**AmountDetails** <AmtDtls> contains the following **CorporateActionAmounts77** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>GrossAmount</b> <GrssAmt>	[0..1]	Amount	C2, C14	217
	<b>NetAmount</b> <NetAmt>	[0..1]	Amount	C2, C14	218
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C2, C14	218
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C2, C14	218
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C2, C14	219
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C2, C14	219
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C2, C14	220
	<b>ManufacturedDividendPaymentAmount</b> <ManfctrdDvddPmtAmt>	[0..1]	Amount	C2, C14	220
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C2, C14	221
	<b>FullyFrankedAmount</b> <FullyFrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>UnfrankedAmount</b> <UfrnkAmt>	[0..1]	Amount	C2, C14	221
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C2, C14	222
	<b>TaxDeferredAmount</b> <TaxDfrrdAmt>	[0..1]	Amount	C2, C14	223
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C2, C14	223
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C2, C14	223
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C2, C14	224
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C2, C14	224
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>SecondLevelTaxAmount</b> <ScndLvlTaxAmt>	[0..1]	Amount	C2, C14	225
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C2, C14	226
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C2, C14	226
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C2, C14	227
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C2, C14	227
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C2, C14	228
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C2, C14	228
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C2, C14	229



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C2, C14	229
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C2, C14	229
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C2, C14	230
	<b>IncomePortion</b> <IncmPrtn>	[0..1]	Amount	C2, C14	230
	<b>StockExchangeTax</b> <StockXchgTax>	[0..1]	Amount	C2, C14	231
	<b>EUTaxRetentionAmount</b> <EUTaxRtntnAmt>	[0..1]	Amount	C2, C14	231
	<b>AccruedInterestAmount</b> <AcrdIntrstAmt>	[0..1]	Amount	C2, C14	231
	<b>EqualisationAmount</b> <EqulstnAmt>	[0..1]	Amount	C2, C14	232
	<b>FATCATaxAmount</b> <FATCATaxAmt>	[0..1]	Amount	C2, C14	232
	<b>NRATaxAmount</b> <NRATaxAmt>	[0..1]	Amount	C2, C14	233
	<b>BackUpWithholdingTaxAmount</b> <BckUpWhldgTaxAmt>	[0..1]	Amount	C2, C14	233
	<b>TaxOnIncomeAmount</b> <TaxOnIncmAmt>	[0..1]	Amount	C2, C14	233
	<b>TransactionTax</b> <TxTax>	[0..1]	Amount	C2, C14	234
	<b>DeemedAmount</b> <DmdAmt>	[0..1]	Amount	C2, C14	234
	<b>ForeignIncomeAmount</b> <FrgnIncmAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedDividendAmount</b> <DmdDvddAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedFundAmount</b> <DmdFndAmt>	[0..1]	Amount	C2, C14	235
	<b>DeemedInterestAmount</b> <DmdIntrstAmt>	[0..1]	Amount	C2, C14	236
	<b>DeemedRoyaltiesAmount</b> <DmdRyltsAmt>	[0..1]	Amount	C2, C14	236
	<b>BuyUpAmount</b> <BuyUpAmt>	[0..1]	Amount	C2, C14	237

#### 3.4.7.28.8.1 GrossAmount <GrssAmt>

*Presence:* [0..1]

*Definition:* Amount of money before any tax deductions have been made.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.2 NetAmount <NetAmt>

*Presence:* [0..1]

*Definition:* Amount of money after tax deductions have been made.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.3 SolicitationFees <SlctnFees>

*Presence:* [0..1]

*Definition:* Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.4 CashInLieuOfShare <CshInLieuOfShr>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of a fractional quantity of, for example, equity.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.5 CapitalGain <CptlGn>

*Presence:* [0..1]

*Definition:* Amount of money distributed as the result of a capital gain.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.6 InterestAmount <IntrstAmt>

*Presence:* [0..1]

*Definition:* Amount of money representing a coupon payment.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 3.4.7.28.8.7 IndemnityAmount <IndmntyAmt>

*Presence:* [0..1]

*Definition:* Market claim/reverse market claim amount treated as an indemnity (whether net or gross).

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 3.4.7.28.8.8 ManufacturedDividendPaymentAmount <ManfctrdDvddPmtAmt>

*Presence:* [0..1]

*Definition:* Any amount representative of a dividend/other income payment that is required to be paid by one person to another, under a contract or any other arrangement between the parties, for the transfer of the underlying securities. Manufactured payments are a compensation/substitute payment made by the holder of the securities to the original owner, to reflect the fact that the original owner does not receive the real dividend or interest.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.9 ReinvestmentAmount <RinvstmtAmt>**

*Presence:* [0..1]

*Definition:* Amount of money reinvested in additional securities.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.10 FullyFrankedAmount <FullyFrnkdtAmt>**

*Presence:* [0..1]

*Definition:* Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.11 UnfrankedAmount <UfrnkdtAmt>**

*Presence:* [0..1]

*Definition:* Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.12 SundryOrOtherAmount <SndryOrOthrAmt>**

*Presence:* [0..1]

*Definition:* Amount of money related to taxable income that cannot be categorised.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.13 TaxFreeAmount <TaxFreeAmt>**

*Presence:* [0..1]

*Definition:* Amount of money that has not been subject to taxation.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.14 TaxDeferredAmount <TaxDfrdAmt>**

*Presence:* [0..1]

*Definition:* Amount of income eligible for deferred taxation.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.15 ValueAddedTaxAmount <ValAddedTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of value added tax.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.16 StampDutyAmount <StmpDtyAmt>**

*Presence:* [0..1]

*Definition:* Amount of stamp duty.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.17 TaxReclaimAmount <TaxRclmAmt>**

*Presence:* [0..1]

*Definition:* Amount that was paid in excess of actual tax obligation and was reclaimed.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.18 TaxCreditAmount <TaxCdtAmt>**

*Presence:* [0..1]

*Definition:* Amount of taxes that have been previously paid in relation to the taxable event.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.



**3.4.7.28.8.19 AdditionalTaxAmount <AddtlTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of additional taxes that cannot be categorised.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.20 WithholdingTaxAmount <WhldgTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.21 SecondLevelTaxAmount <ScndLvITaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.22 FiscalStampAmount <FscIStmpAmt>**

*Presence:* [0..1]

*Definition:* Amount of fiscal tax to apply.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.23 ExecutingBrokerAmount <ExctgBrkrAmt>**

*Presence:* [0..1]

*Definition:* Amount of money paid to an executing broker as a commission.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.24 PayingAgentCommissionAmount <PngAgtComssnAmt>**

*Presence:* [0..1]

*Definition:* Amount of paying/sub-paying agent commission.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.25 LocalBrokerCommissionAmount <LclBrkrComssnAmt>**

*Presence:* [0..1]

*Definition:* Local broker's commission.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.26 RegulatoryFeesAmount <RgltryFeesAmt>**

*Presence:* [0..1]

*Definition:* Amount of money charged by a regulatory authority, for example, securities and exchange fees.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.27 ShippingFeesAmount <ShppgFeesAmt>**

*Presence:* [0..1]

*Definition:* All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.28 ChargesAmount <ChrgsAmt>**

*Presence:* [0..1]

*Definition:* Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.29 EntitledAmount <EntitldAmt>

*Presence:* [0..1]

*Definition:* Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.30 OriginalAmount <OrgnlAmt>

*Presence:* [0..1]

*Definition:* Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.31 PrincipalOrCorpus <PrncplOrCrps>

*Presence:* [0..1]

*Definition:* Amount of money representing a distribution of a bond's principal, for example, repayment of outstanding debt.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### **3.4.7.28.8.32 RedemptionPremiumAmount <RedPrmAmt>**

*Presence:* [0..1]

*Definition:* Amount of money (not interest) in addition to the principal at the redemption of a bond.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### **3.4.7.28.8.33 IncomePortion <IncmPrtn>**

*Presence:* [0..1]

*Definition:* Amount relating to the underlying security for which income is distributed.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.34 StockExchangeTax <StockXchgTax>

*Presence:* [0..1]

*Definition:* Amount of stock exchange tax.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.35 EUTaxRetentionAmount <EUTaxRtnAmt>

*Presence:* [0..1]

*Definition:* Total amount of tax withheld at source in conformance with the EU Savings Directive.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.36 AccruedInterestAmount <AcrdIntrstAmt>

*Presence:* [0..1]

*Definition:* Amount of interest that has been accrued in between coupon payment periods.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.37 EqualisationAmount <EqulstnAmt>**

*Presence:* [0..1]

*Definition:* Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.38 FATCATaxAmount <FATCATaxAmt>**

*Presence:* [0..1]

*Definition:* FATCA (Foreign Account Tax Compliance Act) related tax amount.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.



Note: The decimal separator is a dot.

#### 3.4.7.28.8.39 NRATaxAmount <NRATaxAmt>

*Presence:* [0..1]

*Definition:* Amount of tax related income subject to NRA (Non Resident Alien).

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.40 BackUpWithholdingTaxAmount <BckUpWhldgTaxAmt>

*Presence:* [0..1]

*Definition:* Amount of tax related to back up withholding.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.41 TaxOnIncomeAmount <TaxOnIncmAmt>

*Presence:* [0..1]

*Definition:* Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.42 TransactionTax <TxTax>**

*Presence:* [0..1]

*Definition:* Amount of transaction tax.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**3.4.7.28.8.43 DeemedAmount <DmdAmt>**

*Presence:* [0..1]

*Definition:* Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.44 ForeignIncomeAmount <FrgnIncmAmt>

*Presence:* [0..1]

*Definition:* Amount relating to a foreign income such as a conduit foreign income.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.45 DeemedDividendAmount <DmdDvddAmt>

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.46 DeemedFundAmount <DmdFndAmt>

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.47 DeemedInterestAmount <DmdIntrstAmt>

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 3.4.7.28.8.48 DeemedRoyaltiesAmount <DmdRyltsAmt>

*Presence:* [0..1]

*Definition:* Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 3.4.7.28.8.49 BuyUpAmount <BuyUpAmt>

*Presence:* [0..1]

*Definition:* Amount of cash debited in the context of a buy up option.

*Impacted by:* C2 "ActiveCurrency", C14 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 3.4.7.28.9 DateDetails <DtDtls>

*Presence:* [1..1]

*Definition:* Provides information about the dates related to a cash movement.

**DateDetails <DtDtls>** contains the following **CorporateActionDate84** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		237
	<b>ValueDate</b> <ValDt>	[0..1]	±		238
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdDt>	[0..1]	±		238
	<b>EarliestPaymentDate</b> <EarlStPmtDt>	[0..1]	±		238

#### 3.4.7.28.9.1 PaymentDate <PmtDt>

*Presence:* [1..1]

*Definition:* Date/time on which the movement is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see ["DateFormat30Choice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 3.4.7.28.9.2 ValueDate <ValDt>

*Presence:* [0..1]

*Definition:* Date/time when calculating economic benefit for a cash amount.

**ValueDate <ValDt>** contains one of the following elements (see ["DateFormat57Choice"](#) on page 770 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		770
Or}	DateCode <DtCd>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771

#### 3.4.7.28.9.3 ForeignExchangeRateFixingDate <FXRateFxdt>

*Presence:* [0..1]

*Definition:* Date/time at which a foreign exchange rate will be determined.

**ForeignExchangeRateFixingDate <FXRateFxdt>** contains one of the following elements (see ["DateFormat43Choice"](#) on page 769 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 3.4.7.28.9.4 EarliestPaymentDate <EarlStPmtDt>

*Presence:* [0..1]

*Definition:* Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

**EarliestPaymentDate <EarlStPmtDt>** contains one of the following elements (see "DateFormat30Choice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

### 3.4.7.28.10 ForeignExchangeDetails <FXDtIs>

*Presence:* [0..1]

*Definition:* Exchange rate between the amount and the resulting amount.

**ForeignExchangeDetails <FXDtIs>** contains the following elements (see "ForeignExchangeTerms39" on page 796 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	796
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	796
	ExchangeRate <XchgRate>	[1..1]	Rate		796
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C5	797

### 3.4.7.28.11 EstimatedRateIndicator <EstmtdRateInd>

*Presence:* [0..1]

*Definition:* Indicates whether the rate is approximate.

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.7.28.12 NRATaxReportableIndicator <NRATaxRptblInd>

*Presence:* [0..1]

*Definition:* Indicates whether the income event is subject to withholding tax if paid to a non-U.S. resident alien (NRA).

Yes: applicable.

No: not applicable.

When absent, means No.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 3.4.7.28.13 RateAndAmountDetails <RateAndAmtDtls>

*Presence:* [0..1]

*Definition:* Provides information about the corporate action option.

*Impacted by:* C17 "DeemedRate1Rule", C18 "DeemedRate2Rule", C40 "GrossDistributionRate2Rule", C42 "GrossDistributionRate3Rule", C69 "NetDistributionRate1Rule", C71 "NetDistributionRate2Rule", C90 "SecondLevelTax1Rule", C92 "SecondLevelTax2Rule", C94 "SecondLevelTax3Rule", C96 "WithholdingTaxRate1Rule", C98 "WithholdingTaxRate2Rule"

**RateAndAmountDetails <RateAndAmtDtls>** contains the following **Rate49** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		242
	<b>GrossDistributionRate</b> <GrssDstrbtnRate>	[0..*]	±		242
	<b>GrossInterestRateUsedForPayment</b> <GrssIntrstRateUsdForPmt>	[0..*]	±		243
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..*]	±		244
	<b>SecondLevelTax</b> <ScndLvITax>	[0..*]	±		244
	<b>ChargesFees</b> <ChrgsFees>	[0..1]	±		245
	<b>EarlySolicitationFeeRate</b> <EarlySlctnFeeRate>	[0..1]	±		245
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		246
	<b>ThirdPartyIncentiveRate</b> <ThrdPtyIncntivRate>	[0..1]	±		246
	<b>NetDistributionRate</b> <NetDstrbtnRate>	[0..*]	±		246
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		247
	<b>SolicitationFeeRate</b> <SlctnFeeRate>	[0..1]	±		247
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	±		248
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		248
	<b>TaxOnProfits</b> <TaxOnPrfts>	[0..1]	±		248
	<b>TaxReclaimRate</b> <TaxRclmRate>	[0..1]	±		249
	<b>EqualisationRate</b> <EqulstnRate>	[0..1]	±		249
	<b>DeemedRate</b> <DmdRate>	[0..*]	±		249
	<b>PrincipleRate</b> <PrncpleRate>	[0..1]	±		250



**Constraints**

- **DeemedRate1Rule**

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

- **DeemedRate2Rule**

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **GrossDistributionRate2Rule**

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

- **GrossDistributionRate3Rule**

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **NetDistributionRate1Rule**

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

- **NetDistributionRate2Rule**

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax1Rule**

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

- **SecondLevelTax2Rule**

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

- **SecondLevelTax3Rule**

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

```
On Condition
    /SecondLevelTax[*] is present
Following Must be True
    /WithholdingTaxRate[*] Must be present
```

- **WithholdingTaxRate1Rule**

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

- **WithholdingTaxRate2Rule**

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

### 3.4.7.28.13.1 AdditionalTax <AddtlTax>

*Presence:* [0..1]

*Definition:* Rate used for additional tax that cannot be categorised.

**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

### 3.4.7.28.13.2 GrossDistributionRate <GrssDstrbtnRate>

*Presence:* [0..\*]

*Definition:* Distribution rate before tax has been deducted.

**GrossDistributionRate <GrssDstrbtnRate>** contains one of the following elements (see "GrossDividendRateFormat38Choice" on page 836 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	837
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			837
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[1..1]	CodeSet		838
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			838
	RateType <RateTp>	[1..1]			838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[0..1]		C87	840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		841

### 3.4.7.28.13.3 GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>

*Presence:* [0..\*]

*Definition:* The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

**GrossInterestRateUsedForPayment <GrssIntrstRateUsdForPmt>** contains one of the following elements (see "InterestRateUsedForPaymentFormat11Choice" on page 907 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		908
Or	Amount <Amt>	[1..1]	Amount	C1	908
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			908
	RateType <RateTp>	[1..1]			908
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909
	Amount <Amt>	[1..1]	Amount	C1	909
	RateStatus <RateSts>	[0..1]		C87	909
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		910

#### 3.4.7.28.13.4 WithholdingTaxRate <WhldgTaxRate>

*Presence:* [0..\*]

*Definition:* Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

**WithholdingTaxRate <WhldgTaxRate>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

#### 3.4.7.28.13.5 SecondLevelTax <ScndLvTax>

*Presence:* [0..\*]

*Definition:* Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

**SecondLevelTax <ScndLvITax>** contains one of the following elements (see "RateAndAmountFormat56Choice" on page 802 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

#### 3.4.7.28.13.6 ChargesFees <ChrgsFees>

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

**ChargesFees <ChrgsFees>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

#### 3.4.7.28.13.7 EarlySolicitationFeeRate <EarlySlctnFeeRate>

*Presence:* [0..1]

*Definition:* Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

**EarlySolicitationFeeRate <EarlySlctnFeeRate>** contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		906
Or	AmountToQuantity <AmtToQty>	[1..1]			906
	Amount <Amt>	[1..1]	Amount	C1	906
	Quantity <Qty>	[1..1]	Quantity		906
Or	Amount <Amt>	[1..1]	Amount	C1	907
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		907

#### 3.4.7.28.13.8 FiscalStamp <FscIStmp>

*Presence:* [0..1]

*Definition:* Percentage of fiscal tax to apply.

**FiscalStamp <FscIStmp>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.28.13.9 ThirdPartyIncentiveRate <ThrdPtyIncntivRate>

*Presence:* [0..1]

*Definition:* Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

**ThirdPartyIncentiveRate <ThrdPtyIncntivRate>** contains one of the following elements (see "RateFormat26Choice" on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		991
Or	Amount <Amt>	[1..1]	Amount	C1	991
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		991

#### 3.4.7.28.13.10 NetDistributionRate <NetDstrbtnRate>

*Presence:* [0..\*]

*Definition:* Distribution rate after tax has been deducted.

**NetDistributionRate <NetDstrbtnRate>** contains one of the following elements (see "NetDividendRateFormat39Choice" on page 845 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	845
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			846
	Amount <Amt>	[1..1]	Amount	C1	846
	RateStatus <RateSts>	[1..1]	CodeSet		846
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			847
	RateType <RateTp>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		848
	Amount <Amt>	[1..1]	Amount	C1	848
	RateStatus <RateSts>	[0..1]		C87	849
{Or	Code <Cd>	[1..1]	CodeSet		849
Or}	Proprietary <Prtry>	[1..1]	±		849
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		849

### 3.4.7.28.13.11 ApplicableRate <ApblRate>

*Presence:* [0..1]

*Definition:* Rate applicable to the event announced, for example, redemption rate for a redemption event.

**ApplicableRate <ApblRate>** contains one of the following elements (see "RateFormat31Choice" on page 985 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		985
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		985
Or}	Amount <Amt>	[1..1]	Amount	C1	985

### 3.4.7.28.13.12 SolicitationFeeRate <SlctnFeeRate>

*Presence:* [0..1]

*Definition:* Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

**SolicitationFeeRate <SlctnFeeRate>** contains one of the following elements (see "SolicitationFeeRateFormat11Choice" on page 905 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		906
Or	AmountToQuantity <AmtToQty>	[1..1]			906
	Amount <Amt>	[1..1]	Amount	C1	906
	Quantity <Qty>	[1..1]	Quantity		906
Or	Amount <Amt>	[1..1]	Amount	C1	907
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		907

#### 3.4.7.28.13.13 TaxCreditRate <TaxCdtRate>

*Presence:* [0..1]

*Definition:* Amount of money per equity allocated as the result of a tax credit.

**TaxCreditRate <TaxCdtRate>** contains one of the following elements (see "RateFormat26Choice" on page 991 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		991
Or	Amount <Amt>	[1..1]	Amount	C1	991
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		991

#### 3.4.7.28.13.14 TaxOnIncome <TaxOnIncm>

*Presence:* [0..1]

*Definition:* Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

**TaxOnIncome <TaxOnIncm>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

#### 3.4.7.28.13.15 TaxOnProfits <TaxOnPrfts>

*Presence:* [0..1]

*Definition:* Taxation applied on an amount clearly identified as capital profits, capital gains.



**TaxOnProfits <TaxOnPrfts>** contains one of the following elements (see "[RateFormat24Choice](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.28.13.16 TaxReclaimRate <TaxRclmRate>

*Presence:* [0..1]

*Definition:* Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

**TaxReclaimRate <TaxRclmRate>** contains one of the following elements (see "[RateFormat24Choice](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 3.4.7.28.13.17 EqualisationRate <EqulstnRate>

*Presence:* [0..1]

*Definition:* Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

**EqualisationRate <EqulstnRate>** contains one of the following elements (see "[RateAndAmountFormat42Choice](#)" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	982
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		982

#### 3.4.7.28.13.18 DeemedRate <DmdRate>

*Presence:* [0..\*]

*Definition:* Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

**DeemedRate <DmdRate>** contains one of the following elements (see "RateAndAmountFormat61Choice" on page 986 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		986
Or	Amount <Amt>	[1..1]	Amount	C1	986
Or	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		987
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			987
	RateType <RateTp>	[1..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		988
Or}	Proprietary <Prtry>	[1..1]	±		988
	Amount <Amt>	[1..1]	Amount	C1	988
	RateStatus <RateSts>	[0..1]		C87	988
{Or	Code <Cd>	[1..1]	CodeSet		989
Or}	Proprietary <Prtry>	[1..1]	±		989
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			989
	RateType <RateTp>	[1..1]			990
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Rate <Rate>	[1..1]	Rate		991

### 3.4.7.28.13.19 PrincipleRate <PrncpleRate>

*Presence:* [0..1]

*Definition:* Redemption Price expressed as a percentage

**PrincipleRate <PrncpleRate>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		969

### 3.4.7.28.14 PriceDetails <PricDtls>

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a corporate action option.

*Impacted by:* C38 "GenericCashPricePerProductGuideline"

**PriceDetails <PricDtls>** contains the following **PriceDetails39** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		251
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		251
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		252
	<b>RedemptionPrice</b> <RedPric>	[0..1]	±		252

#### Constraints

- **GenericCashPricePerProductGuideline**

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

#### 3.4.7.28.14.1 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

**GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>** contains one of the following elements (see "PriceFormat73Choice" on page 935 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 3.4.7.28.14.2 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

**GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>** contains one of the following elements (see "PriceFormat72Choice" on page 944 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			945
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		945
	PriceValue <PricVal>	[1..1]	Rate		946
Or	AmountPrice <AmtPric>	[1..1]	±		946
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		946
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			946
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		947
	PriceValue <PricVal>	[1..1]	Amount	C1	947
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C21	947
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Amount	C1	948
	Amount <Amt>	[1..1]	Amount	C1	949
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		949

#### 3.4.7.28.14.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		944

#### 3.4.7.28.14.4 RedemptionPrice <RedPric>

*Presence:* [0..1]

*Definition:* Price at which a bond is redeemed.

**RedemptionPrice <RedPric>** contains one of the following elements (see "PriceFormat74Choice" on page 943 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		944

### 3.4.7.29 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Provides additional information.

*Impacted by:* C5 "AdditionalInformationRule"

**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative66** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalText &lt;AddtlTxt&gt;</b>	[0..*]			254
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		255
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		255
	<b>NarrativeVersion &lt;NrrtvVrsn&gt;</b>	[0..*]			255
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		255
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		255
	<b>InformationConditions &lt;InfConds&gt;</b>	[0..*]			255
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		256
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..*]	Text		256
	<b>InformationToComplyWith &lt;InfToCmplyWth&gt;</b>	[0..*]			256
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		256
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..*]	Text		256
	<b>SecurityRestriction &lt;SctyRstrctn&gt;</b>	[0..*]			257
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		257
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..*]	Text		257
	<b>TaxationConditions &lt;TaxtnConds&gt;</b>	[0..*]			257
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		257
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..*]	Text		257
	<b>CertificationBreakdown &lt;CertfctnBrkdown&gt;</b>	[0..*]			258
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		258
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..*]	Text		258

#### Constraints

- **AdditionalInformationRule**

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

#### 3.4.7.29.1 AdditionalText <AddtlTxt>

*Presence:* [0..\*]

*Definition:* Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

**AdditionalText <AddtITxt>** contains the following **UpdatedAdditionalInformation19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		255
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		255

#### 3.4.7.29.1.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 1056

#### 3.4.7.29.1.2 AdditionalInformation <AddtlInf>

*Presence:* [1..1]

*Definition:* Provides additional textual information.

*Datatype:* "Max350Text" on page 1094

#### 3.4.7.29.2 NarrativeVersion <NrrtvVrsn>

*Presence:* [0..\*]

*Definition:* Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

**NarrativeVersion <NrrtvVrsn>** contains the following **UpdatedAdditionalInformation19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language &lt;Lang&gt;</b>	[1..1]	CodeSet		255
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[1..1]	Text		255

#### 3.4.7.29.2.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 1056

#### 3.4.7.29.2.2 AdditionalInformation <AddtlInf>

*Presence:* [1..1]

*Definition:* Provides additional textual information.

*Datatype:* "Max350Text" on page 1094

#### 3.4.7.29.3 InformationConditions <InfConds>

*Presence:* [0..\*]

*Definition:* Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

**InformationConditions <InfConds>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256

#### 3.4.7.29.3.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 1056

#### 3.4.7.29.3.2 AdditionalInformation <AddtlInf>

*Presence:* [1..\*]

*Definition:* Provides additional textual information.

*Datatype:* "Max350Text" on page 1094

#### 3.4.7.29.4 InformationToComplyWith <InfToCmplyWth>

*Presence:* [0..\*]

*Definition:* Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

**InformationToComplyWith <InfToCmplyWth>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		256
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		256

#### 3.4.7.29.4.1 Language <Lang>

*Presence:* [1..1]

*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.

*Datatype:* "ISO2ALanguageCode" on page 1056

#### 3.4.7.29.4.2 AdditionalInformation <AddtlInf>

*Presence:* [1..\*]

*Definition:* Provides additional textual information.

*Datatype:* "Max350Text" on page 1094



**3.4.7.29.5 SecurityRestriction <SctyRstrctn>***Presence:* [0..\*]*Definition:* Provides restriction(s) on securities.**SecurityRestriction <SctyRstrctn>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257

**3.4.7.29.5.1 Language <Lang>***Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1056**3.4.7.29.5.2 AdditionalInformation <AddtlInf>***Presence:* [1..\*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1094**3.4.7.29.6 TaxationConditions <TaxtnConds>***Presence:* [0..\*]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).**TaxationConditions <TaxtnConds>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		257
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		257

**3.4.7.29.6.1 Language <Lang>***Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1056**3.4.7.29.6.2 AdditionalInformation <AddtlInf>***Presence:* [1..\*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1094

**3.4.7.29.7 CertificationBreakdown <CertfctnBrkdw>***Presence:* [0..\*]*Definition:* Provides additional information about the type of certification/breakdown required.**CertificationBreakdown <CertfctnBrkdw>** contains the following **UpdatedAdditionalInformation21** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Language</b> <Lang>	[1..1]	CodeSet		258
	<b>AdditionalInformation</b> <AddtlInf>	[1..*]	Text		258

**3.4.7.29.7.1 Language <Lang>***Presence:* [1..1]*Definition:* Language used to provide additional information and using the ISO 639-1 language code standard.*Datatype:* "ISO2ALanguageCode" on page 1056**3.4.7.29.7.2 AdditionalInformation <AddtlInf>***Presence:* [1..\*]*Definition:* Provides additional textual information.*Datatype:* "Max350Text" on page 1094**3.4.8 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Provides additional information.**AdditionalInformation <AddtlInf>** contains the following **CorporateActionNarrative2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		258
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		259
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		259
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		259
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		259
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		259

**3.4.8.1 InformationConditions <InfConds>***Presence:* [0..1]*Definition:* Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.*Datatype:* "Max350Text" on page 1094

### 3.4.8.2 InformationToComplyWith <InfToCmplyWth>

*Presence:* [0..1]

*Definition:* Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.

*Datatype:* "Max350Text" on page 1094

### 3.4.8.3 TaxationConditions <TaxtnConds>

*Presence:* [0..1]

*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the SLA.

*Datatype:* "Max350Text" on page 1094

### 3.4.8.4 DeclarationDetails <DclrtnDtls>

*Presence:* [0..1]

*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.

*Datatype:* "Max350Text" on page 1094

### 3.4.8.5 RegistrationDetails <RegnDtls>

*Presence:* [0..1]

*Definition:* Provides information required for the registration.

*Datatype:* "Max350Text" on page 1094

### 3.4.8.6 AdditionalText <AddtlTxt>

*Presence:* [0..1]

*Definition:* Provides additional information or specifies in more detail the content of a message.

*Datatype:* "Max350Text" on page 1094

## **4        seev.010.001.01          AgentCANotificationCancellationRequestV01**

### **4.1      MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of a notification advice message.

#### Usage

When this message is used to request the cancellation of a notification advice message, the function of the message must be cancellation.

When this message is used to request the withdrawal of a Corporate Action event or option, then the function of the message must be withdrawal.

In both cases, the building block notification advice identification must be present to link this cancellation request to the notification advice that was previously sent.

#### Outline

The AgentCANotificationCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. NotificationCancellationTypeAndLinkage

Provides information about the type of notification cancellation request and linked message.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. CorporateActionNotificationDetails

Detailed information of the notification advice to be cancelled.

## 4.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCANtfctnCxlReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		273
	<b>NotificationCancellationTypeAndLinkage</b> <NtfctnCxlTpAndLkg>	[1..1]			274
	<b>NotificationCancellationType</b> <NtfctnCxlTp>	[1..1]	CodeSet		274
	<b>LinkedAgentCANotificationAdviceIdentification</b> <LkdAgtCANtfctnAdvclId>	[1..1]	±		274
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±		274
	<b>CorporateActionNotificationDetails</b> <CorpActnNtfctnDtls>	[0..1]			275
	<b>CorporateActionDetails</b> <CorpActnDtls>	[1..1]			287
	<b>EventStage</b> <EvtStag>	[0..*]			292
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		292
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		293
	<b>DefaultOptionType</b> <DfltOptnTp>	[0..1]			293
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		293
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		294
	<b>DefaultOptionNumber</b> <DfltOptnNb>	[0..1]	Text		295
	<b>CalculationMethod</b> <ClctnMtd>	[0..1]			295
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		295
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		295
	<b>BackEndOddLotSecuritiesQuantity</b> <BckEndOddLotSciesQty>	[0..1]	±		296
	<b>FrontEndOddLotSecuritiesQuantity</b> <FrntEndOddLotSciesQty>	[0..1]	±		296
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSciesQty>	[0..1]			296
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	297
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltplSciesQty>	[0..1]			297
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>IncrementalDenomination</b> <IncrmtlDnmtn>	[0..1]			298

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		298
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSctiesQty>	[0..1]			299
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		299
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	299
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSctiesQty>	[0..1]			300
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		300
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	300
	<b>SecuritiesQuantitySought</b> <SctiesQtySght>	[0..1]	±		300
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]			301
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		301
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	301
	<b>ChangeType</b> <ChngTp>	[0..*]			302
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		302
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		302
	<b>OfferType</b> <OfferTp>	[0..*]			303
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		303
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		304
	<b>RestrictionIndicator</b> <RstrctnInd>	[0..1]	Indicator		304
	<b>PartialElectionIndicator</b> <PrtlElctnInd>	[0..1]	Indicator		304
	<b>ElectionType</b> <ElctnTp>	[0..1]			304
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		305
	<b>LotteryType</b> <LtryTp>	[0..1]			305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		306
	<b>IncomeType</b> <IncmTp>	[0..1]	±		306
	<b>DividendType</b> <DvddTp>	[0..1]			306
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		307
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		307
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtSctiesDstrbntTp>	[0..1]			307

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		308
	<b>CouponNumber</b> <CpnNb>	[0..*]	Text		308
	<b>InterestAccruedNumberOfDays</b> <IntrstAcrdNbOfDays>	[0..1]	Quantity		309
	<b>NewDenominationCurrency</b> <NewDnmtnCcy>	[0..1]	CodeSet	C1	309
	<b>DateDetails</b> <DtDtls>	[0..1]			309
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		310
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		311
	<b>CoverExpirationDate</b> <CoverXprtnDt>	[0..1]	±		311
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		311
	<b>MarginFixingDate</b> <MrgnFxdDt>	[0..1]	±		312
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		312
	<b>ProtectDate</b> <PrtctDt>	[0..1]	±		312
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		313
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		313
	<b>ResultsPublicationDate</b> <RsltsPblctnDt>	[0..1]	±		313
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		314
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		314
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		314
	<b>IndexFixingDate</b> <IndxFxdDt>	[0..1]	±		315
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	±		315
	<b>TradingSuspendedDate</b> <TradgSspdt>	[0..1]	±		315
	<b>CertificationDeadline</b> <CertfctnDdln>	[0..1]	±		316
	<b>RedemptionDate</b> <RedDt>	[0..1]	±		316
	<b>RegistrationDeadline</b> <RegnDdln>	[0..1]	±		316
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		317
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlnForTaxBrkdwnInstr>	[0..1]	±		317
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		317
	<b>GuaranteedParticipationDate</b> <GrntedPrtpctnDt>	[0..1]	±		318
	<b>ElectionToCounterpartyDeadline</b> <ElctnToCtrPtyDdln>	[0..1]	±		318
	<b>SpecialExDate</b> <SpclExDt>	[0..1]	±		318

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PriceDetails</b> <PricDtls>	[0..*]			319
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		319
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		319
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			320
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		320
	<b>CompulsoryPurchasePeriod</b> <CmplsryPurchsPrd>	[0..1]	±		320
	<b>InterestPeriod</b> <IntrstPrd>	[0..1]	±		321
	<b>BlockingPeriod</b> <BlckgPrd>	[0..1]	±		321
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		321
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			321
	<b>Interest</b> <Intrst>	[0..1]	±		322
	<b>RelatedIndex</b> <RltdlIdx>	[0..1]	±		322
	<b>PercentageSought</b> <PctgSght>	[0..1]	±		323
	<b>ReinvestmentDiscountToMarket</b> <RinvstmtDscntToMkt>	[0..1]	±		323
	<b>Spread</b> <Sprd>	[0..1]	±		323
	<b>BidInterval</b> <BidIntrvl>	[0..1]			324
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	324
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			324
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		325
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		325
	<b>Charges</b> <Chrgs>	[0..1]	±		325
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			326
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		326
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		326
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		326
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		326
	<b>Offeror</b> <Offerr>	[0..1]	±		326
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		327
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		327
	<b>CertificationRequiredIndicator</b> <CertfctnReqrdInd>	[0..1]	Indicator		327
	<b>CertificationType</b> <CertfctnTp>	[0..1]			327



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		328
	<b>CapitalGain</b> <CptlGn>	[0..1]	CodeSet		328
	<b>TaxableIncomePerShareCalculated</b> <TaxblIncmPerShrClctd>	[0..1]	CodeSet		329
	<b>NewPlaceOfIncorporation</b> <NewPlcOfIncorprtn>	[0..1]	Text		329
	<b>RenounceableEntitlementStatusType</b> <RnnclEntitlmtStsTp>	[0..1]			329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		329
	<b>ConversionType</b> <ConvsTp>	[0..1]			330
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		330
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		330
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsApldInd>	[0..1]	Indicator		330
	<b>DistributionType</b> <DstrbtnTp>	[0..1]			331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		331
	<b>CorporateActionOptionDetails</b> <CorpActnOptnDtls>	[0..*]		C3, C6	331
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		339
	<b>OptionType</b> <OptnTp>	[1..1]			339
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		341
	<b>OptionAvailabilityStatus</b> <OptnAvlbtySts>	[1..1]			341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		342
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		342
	<b>CertificationType</b> <CertfctnTp>	[0..1]			342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		343
	<b>AssentedLineSecurityIdentification</b> <AssntdLineSctyld>	[0..1]	±		343
	<b>AgentSecuritiesAccountIdentification</b> <AgtSctiesAcctld>	[0..1]	Text		344
	<b>AgentCashAccountIdentification</b> <AgtCshAcctld>	[0..1]	±		344
	<b>OfferType</b> <OfferTp>	[0..*]			344

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		345
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtScitiesDstrbtp>	[0..1]			345
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		346
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		346
	<b>WithdrawalAllowedIndicator</b> <WdrwlAllwdInd>	[1..1]	Indicator		347
	<b>ChangeAllowedIndicator</b> <ChngAllwdInd>	[1..1]	Indicator		347
	<b>DateDetails</b> <DtDtls>	[0..1]			347
	<b>CouponClippingDate</b> <CpnClpngDt>	[0..1]	±		348
	<b>ConsentExpirationDate</b> <CnsntXprtnDt>	[0..1]	±		348
	<b>ConsentRecordDate</b> <CnsntRcrdDt>	[0..1]	±		349
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		349
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		349
	<b>MarketDeadline</b> <MktDdlIn>	[0..1]	±		349
	<b>ResponseDeadline</b> <RspnDdlIn>	[0..1]	±		350
	<b>DeadlineToSplit</b> <DdlInToSplT>	[0..1]	±		350
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		350
	<b>QuotationSettingDate</b> <QtnSetngDt>	[0..1]	±		351
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		351
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			351
	<b>WithholdingTax</b> <WhldgTax>	[0..1]	±		353
	<b>WithholdingOfForeignTax</b> <WhldgOfFrgnTax>	[0..1]	±		354
	<b>WithholdingOfLocalTax</b> <WhldgOfLclTax>	[0..1]	±		354
	<b>GermanLocalTax1</b> <GrmnLclTax1>	[0..1]	±		354
	<b>GermanLocalTax2</b> <GrmnLclTax2>	[0..1]	±		355
	<b>GermanLocalTax3</b> <GrmnLclTax3>	[0..1]	±		355
	<b>GermanLocalTax4</b> <GrmnLclTax4>	[0..1]	±		356
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		356
	<b>TaxOnProfit</b> <TaxOnPrft>	[0..1]	±		356
	<b>TaxReclaim</b> <TaxRclm>	[0..1]	±		356
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		357

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Proration</b> <Prratn>	[0..1]	±		357
	<b>NewToOld</b> <NewToOd>	[0..1]	±		357
	<b>NewSecuritiesToUnderlyingSecurities</b> <NewSciesToUndrlygScies>	[0..1]	±		358
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		358
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbdrsltntScies>	[0..1]	±		359
	<b>RelatedTax</b> <RltdTax>	[0..1]			359
	<b>TaxType</b> <TaxTp>	[1..1]			359
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		360
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		360
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	360
	<b>NonResidentRate</b> <NonResdtRate>	[0..1]	±		361
	<b>Charges</b> <Chrgs>	[0..1]	±		361
	<b>InterestForUsedPayment</b> <IntrstForUsdPmt>	[0..1]	±		361
	<b>IndexFactor</b> <IndxFctr>	[0..1]	±		362
	<b>FullyFranked</b> <FullyFrnkcd>	[0..1]	±		362
	<b>GrossDividend</b> <GrssDvdd>	[0..1]	±		362
	<b>NetDividend</b> <NetDvdd>	[0..1]	±		363
	<b>FinalDividend</b> <Fnldvdd>	[0..1]			363
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	363
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			364
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364
	<b>ProvisionalDividend</b> <PrvsnlDvdd>	[0..1]			365
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	365
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	±		366
	<b>SolicitationFee</b> <SlctnFee>	[0..1]	±		367
	<b>MaximumAllowedOversubscription</b> <MaxAllwdOvrsbcpt>	[0..1]	±		367

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		367
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	368
	<b>ExchangeRate</b> <XchgRate>	[0..1]			368
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	368
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	369
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		369
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	369
	<b>ApplicableRate</b> <ApplRate>	[0..1]	±		369
	<b>PriceDetails</b> <PricDtls>	[0..1]			370
	<b>ExercisePrice</b> <ExrcPric>	[0..1]	±		370
	<b>IssuePrice</b> <IssePric>	[0..1]	±		371
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		371
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..1]			372
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]			372
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373
	<b>PriceValue</b> <PricVal>	[1..1]	Amount	C1	373
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		373
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		374
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		375
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			375
	<b>AssentedLinePeriod</b> <AssntdLinePrd>	[0..1]	±		376
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		376
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		376
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		377
	<b>SellThruIssuerPeriod</b> <SellThruIssrPrd>	[0..1]	±		377
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		377
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		377
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]			378
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		380
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		380

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]			380
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	381
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSctiesQty>	[0..1]			381
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltplSctiesQty>	[0..1]			382
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		382
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSctiesQty>	[0..1]			383
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		383
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	383
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSctiesQty>	[0..1]			384
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		384
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	384
	<b>ShareRanking</b> <ShrRnkg>	[0..1]			384
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		385
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		385
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbrlRslntScties>	[0..1]	±		385
	<b>DateDetails</b> <DtDtls>	[0..1]			385
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		386
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		386
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		386
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		387
	<b>FirstDealingDate</b> <FrstDealgDt>	[0..1]	±		387
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		387
	<b>PriceDetails</b> <PricDtls>	[0..1]			388
	<b>IndicativePrice</b> <IndctvPric>	[0..1]	±		388
	<b>MarketPrice</b> <MktPric>	[0..1]	±		388
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		389

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		389
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmInd>	[0..1]	Indicator		389
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			390
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		390
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		390
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			391
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		394
	<b>Currency</b> <Ccy>	[0..1]	CodeSet	C1	394
	<b>DateDetails</b> <DtDtls>	[0..1]			394
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdt>	[0..1]	±		394
	<b>ValueDate</b> <ValDt>	[0..1]	±		395
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		395
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		395
	<b>AmountDetails</b> <AmtDtls>	[0..1]			396
	<b>GrossCashAmount</b> <GrssCshAmt>	[0..1]	Amount	C1, C5	398
	<b>NetCashAmount</b> <NetCshAmt>	[0..1]	Amount	C1, C5	399
	<b>IssueDiscountAmount</b> <IsseDscntAmt>	[0..1]	Amount	C1, C5	399
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C1, C5	399
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C1, C5	400
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	400
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C1, C5	401
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C1, C5	401
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C1, C5	401
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C1, C5	402
	<b>ManufacturedDividendAmount</b> <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	402
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C1, C5	403
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C1, C5	403
	<b>MarketClaimAmount</b> <MktClmAmt>	[0..1]	Amount	C1, C5	404
	<b>FullyFrankedAmount</b> <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>UnfrankedAmount</b> <UfrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	405

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SpecialConcessionAmount</b> <SpclCncssnAmt>	[0..1]	Amount	C1, C5	405
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C1, C5	406
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	Amount	C1, C5	406
	<b>AdditionalSubscriptionCost</b> <AddtlSbcptCost>	[0..1]	Amount	C1, C5	406
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C1, C5	407
	<b>TaxDeferredAmount</b> <TaxDfrdAmt>	[0..1]	Amount	C1, C5	407
	<b>GermanLocalTax1Amount</b> <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax2Amount</b> <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax3Amount</b> <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax4Amount</b> <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	409
	<b>StockExchangeTaxAmount</b> <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	409
	<b>TransferTaxAmount</b> <TrfTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>TransactionTaxAmount</b> <TxTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>EURRetentionTaxAmount</b> <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>LocalTaxAmount</b> <LclTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>PaymentLevyTaxAmount</b> <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>CountryNationalFederalTaxAmount</b> <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	412
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C1, C5	413
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C1, C5	413
	<b>WithholdingOfForeignTaxAmount</b> <WhldgOfFrgnTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingOfLocalTaxAmount</b> <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	415
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C1, C5	415
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	416
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>PostageFeeAmount</b> <PstgFeeAmt>	[0..1]	Amount	C1, C5	417
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C1, C5	417

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C1, C5	418
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C1, C5	418
	<b>ExchangeRate</b> <XchgRate>	[0..1]			418
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	419
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	419
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		419
	<b>ResultingAmount</b> <RsltgtAmt>	[1..1]	Amount	C1, C5	420
	<b>CorporateActionOtherAgentDetails</b> <CorpActnOthrAgtDtls>	[0..*]			420
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		420
	<b>AgentRole</b> <AgtRole>	[1..1]			421
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		421
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		421
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		422
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		422
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsApldInd>	[0..1]	Indicator		423
	<b>OptionFeatures</b> <OptnFeatrs>	[0..*]			423
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		423
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		424
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			424
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		425
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		425
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		425
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		425
	<b>Offeror</b> <Offerr>	[0..1]	±		425
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		425
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		425



## 4.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 ChangeAllowedRule

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### C6 WithdrawalAllowedRule

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

## 4.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 4.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the cancellation request.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 4.4.2 NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg>

*Presence:* [1..1]

*Definition:* Provides information about the type of notification cancellation request and linked message.

**NotificationCancellationTypeAndLinkage <NtfctnCxlTpAndLkg>** contains the following **NotificationCancellation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NotificationCancellationType</b> <NtfctnCxlTp>	[1..1]	CodeSet		274
	<b>LinkedAgentCANotificationAdvicelIdentification</b> <LkdAgtCANtfctnAdvclId>	[1..1]	±		274

### 4.4.2.1 NotificationCancellationType <NtfctnCxlTp>

*Presence:* [1..1]

*Definition:* The function of the notification e.g. new notification.

*Datatype:* "CorporateActionNotificationType2Code" on page 1032

CodeName	Name	Definition
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.

### 4.4.2.2 LinkedAgentCANotificationAdvicelIdentification <LkdAgtCANtfctnAdvclId>

*Presence:* [1..1]

*Definition:* The identification of the linked notification advice.

**LinkedAgentCANotificationAdvicelIdentification <LkdAgtCANtfctnAdvclId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 4.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation2" on page 850 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		850
	IssuerCorporateActionIdentification <IssrCorpActnId>	[1..1]	Text		850
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		851
	EventType <EvtTp>	[1..1]			851
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		857
	EventProcessingType <EvtPrvgTp>	[0..1]			857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			858
{Or	Code <Cd>	[1..1]	CodeSet		858
Or}	Proprietary <Prtry>	[1..1]	±		858
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		859
	OtherUnderlyingSecurity <OthrUndrlygScty>	[0..*]	±		859

#### 4.4.4 CorporateActionNotificationDetails <CorpActnNtfctnDtls>

*Presence:* [0..1]

*Definition:* Detailed information of the notification advice to be cancelled.

**CorporateActionNotificationDetails <CorpActnNtfctnDtls>** contains the following  
**CorporateActionNotificationAdvice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CorporateActionDetails</b> <CorpActnDtls>	[1..1]			287
	<b>EventStage</b> <EvtStag>	[0..*]			292
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		292
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		293
	<b>DefaultOptionType</b> <DfltOptnTp>	[0..1]			293
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		293
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		294
	<b>DefaultOptionNumber</b> <DfltOptnNb>	[0..1]	Text		295
	<b>CalculationMethod</b> <ClctnMtd>	[0..1]			295
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		295
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		295
	<b>BackEndOddLotSecuritiesQuantity</b> <BckEndOddLotSciesQty>	[0..1]	±		296
	<b>FrontEndOddLotSecuritiesQuantity</b> <FrntEndOddLotSciesQty>	[0..1]	±		296
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSciesQty>	[0..1]			296
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	297
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltplSciesQty>	[0..1]			297
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>IncrementalDenomination</b> <IncrmtlDnmtn>	[0..1]			298
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		298
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSciesQty>	[0..1]			299
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		299
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	299
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSciesQty>	[0..1]			300
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		300
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	300

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecuritiesQuantitySought</b> <SctiesQtySght>	[0..1]	±		300
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]			301
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		301
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	301
	<b>ChangeType</b> <ChngTp>	[0..*]			302
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		302
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		302
	<b>OfferType</b> <OfferTp>	[0..*]			303
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		303
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		304
	<b>RestrictionIndicator</b> <RstrctnInd>	[0..1]	Indicator		304
	<b>PartialElectionIndicator</b> <PrtlElctnInd>	[0..1]	Indicator		304
	<b>ElectionType</b> <ElctnTp>	[0..1]			304
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		305
	<b>LotteryType</b> <LtryTp>	[0..1]			305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		306
	<b>IncomeType</b> <IncmTp>	[0..1]	±		306
	<b>DividendType</b> <DvddTp>	[0..1]			306
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		307
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		307
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtSctiesDstrbtnTp>	[0..1]			307
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		308
	<b>CouponNumber</b> <CpnNb>	[0..*]	Text		308
	<b>InterestAccruedNumberOfDays</b> <IntrstAcrdNbOfDays>	[0..1]	Quantity		309
	<b>NewDenominationCurrency</b> <NewDnmtnCcy>	[0..1]	CodeSet	C1	309
	<b>DateDetails</b> <DtDtls>	[0..1]			309
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		310
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		311

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CoverExpirationDate</b> <CoverXprtnDt>	[0..1]	±		311
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		311
	<b>MarginFixingDate</b> <MrgnFxdDt>	[0..1]	±		312
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		312
	<b>ProtectDate</b> <PrctDt>	[0..1]	±		312
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		313
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		313
	<b>ResultsPublicationDate</b> <RsltsPblctnDt>	[0..1]	±		313
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		314
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		314
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		314
	<b>IndexFixingDate</b> <IndxFxdDt>	[0..1]	±		315
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	±		315
	<b>TradingSuspendedDate</b> <TradgSspdDt>	[0..1]	±		315
	<b>CertificationDeadline</b> <CertfctnDdlIn>	[0..1]	±		316
	<b>RedemptionDate</b> <RedDt>	[0..1]	±		316
	<b>RegistrationDeadline</b> <RegnDdlIn>	[0..1]	±		316
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		317
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlInForTaxBrkdwnInstr>	[0..1]	±		317
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		317
	<b>GuaranteedParticipationDate</b> <GrntedPrctptnDt>	[0..1]	±		318
	<b>ElectionToCounterpartyDeadline</b> <ElctnToCtrPtyDdlIn>	[0..1]	±		318
	<b>SpecialExDate</b> <SpclExDt>	[0..1]	±		318
	<b>PriceDetails</b> <PricDtls>	[0..*]			319
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		319
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		319
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			320
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		320
	<b>CompulsoryPurchasePeriod</b> <CmplsryPurchsPrd>	[0..1]	±		320
	<b>InterestPeriod</b> <IntrstPrd>	[0..1]	±		321
	<b>BlockingPeriod</b> <BlckgPrd>	[0..1]	±		321

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	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			321
	<b>Interest</b> <Intrst>	[0..1]	±		322
	<b>RelatedIndex</b> <RltdIndx>	[0..1]	±		322
	<b>PercentageSought</b> <PctgSght>	[0..1]	±		323
	<b>ReinvestmentDiscountToMarket</b> <RinvstmtDscntToMkt>	[0..1]	±		323
	<b>Spread</b> <Sprd>	[0..1]	±		323
	<b>BidInterval</b> <BidIntrvl>	[0..1]			324
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	324
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			324
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		325
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		325
	<b>Charges</b> <Chrgs>	[0..1]	±		325
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			326
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		326
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		326
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		326
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		326
	<b>Offeror</b> <Offerr>	[0..1]	±		326
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		327
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		327
	<b>CertificationRequiredIndicator</b> <CertfctnReqrdInd>	[0..1]	Indicator		327
	<b>CertificationType</b> <CertfctnTp>	[0..1]			327
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		328
	<b>CapitalGain</b> <CptlGn>	[0..1]	CodeSet		328
	<b>TaxableIncomePerShareCalculated</b> <TaxblIncmPerShrClctd>	[0..1]	CodeSet		329
	<b>NewPlaceOfIncorporation</b> <NewPlcOfIncorprtn>	[0..1]	Text		329
	<b>RenounceableEntitlementStatusType</b> <RnnclEntitlmntStsTp>	[0..1]			329
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		330
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsAppldInd>	[0..1]	Indicator		330
	<b>DistributionType</b> <DstrbtnTp>	[0..1]			331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		331
	<b>CorporateActionOptionDetails</b> <CorpActnOptnDtls>	[0..*]		C3, C6	331
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		339
	<b>OptionType</b> <OptnTp>	[1..1]			339
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		341
	<b>OptionAvailabilityStatus</b> <OptnAvlbtySts>	[1..1]			341
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	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		342
	<b>CertificationType</b> <CertfctnTp>	[0..1]			342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		343
	<b>AssentedLineSecurityIdentification</b> <AssntdLineSctyId>	[0..1]	±		343
	<b>AgentSecuritiesAccountIdentification</b> <AgtSciesAcctId>	[0..1]	Text		344
	<b>AgentCashAccountIdentification</b> <AgtCshAcctId>	[0..1]	±		344
	<b>OfferType</b> <OfferTp>	[0..*]			344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		345
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtSciesDstrbtnTp>	[0..1]			345
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		346
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		346
	<b>WithdrawalAllowedIndicator</b> <WdrwlAllwdInd>	[1..1]	Indicator		347



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ChangeAllowedIndicator</b> <ChngAllwdInd>	[1..1]	Indicator		347
	<b>DateDetails</b> <DtDtls>	[0..1]			347
	<b>CouponClippingDate</b> <CpnClpngDt>	[0..1]	±		348
	<b>ConsentExpirationDate</b> <CnsntXprtnDt>	[0..1]	±		348
	<b>ConsentRecordDate</b> <CnsntRcrdDt>	[0..1]	±		349
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		349
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		349
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		349
	<b>ResponseDeadline</b> <RspnDdln>	[0..1]	±		350
	<b>DeadlineToSplit</b> <DdlnToSpl>	[0..1]	±		350
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		350
	<b>QuotationSettingDate</b> <QtnSetngDt>	[0..1]	±		351
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		351
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			351
	<b>WithholdingTax</b> <WhldgTax>	[0..1]	±		353
	<b>WithholdingOfForeignTax</b> <WhldgOfFrgnTax>	[0..1]	±		354
	<b>WithholdingOfLocalTax</b> <WhldgOfLclTax>	[0..1]	±		354
	<b>GermanLocalTax1</b> <GrmnLclTax1>	[0..1]	±		354
	<b>GermanLocalTax2</b> <GrmnLclTax2>	[0..1]	±		355
	<b>GermanLocalTax3</b> <GrmnLclTax3>	[0..1]	±		355
	<b>GermanLocalTax4</b> <GrmnLclTax4>	[0..1]	±		356
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		356
	<b>TaxOnProfit</b> <TaxOnPrft>	[0..1]	±		356
	<b>TaxReclaim</b> <TaxRclm>	[0..1]	±		356
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		357
	<b>Proration</b> <Pratr>	[0..1]	±		357
	<b>NewToOld</b> <NewToOd>	[0..1]	±		357
	<b>NewSecuritiesToUnderlyingSecurities</b> <NewSciesToUndrlygScies>	[0..1]	±		358
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		358
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcdbRsItntScies>	[0..1]	±		359

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RelatedTax</b> <RltdTax>	[0..1]			359
	<b>TaxType</b> <TaxTp>	[1..1]			359
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Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		360
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	360
	<b>NonResidentRate</b> <NonResdtRate>	[0..1]	±		361
	<b>Charges</b> <Chrgs>	[0..1]	±		361
	<b>InterestForUsedPayment</b> <IntrstForUsdPmt>	[0..1]	±		361
	<b>IndexFactor</b> <IndxFctr>	[0..1]	±		362
	<b>FullyFranked</b> <FullyFrnkcd>	[0..1]	±		362
	<b>GrossDividend</b> <GrssDvdd>	[0..1]	±		362
	<b>NetDividend</b> <NetDvdd>	[0..1]	±		363
	<b>FinalDividend</b> <Fnldvdd>	[0..1]			363
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	363
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			364
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364
	<b>ProvisionalDividend</b> <Prvsnldvdd>	[0..1]			365
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	365
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	±		366
	<b>SolicitationFee</b> <SlctnFee>	[0..1]	±		367
	<b>MaximumAllowedOversubscription</b> <MaxAllwdOvrscpt>	[0..1]	±		367
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		367
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	368
	<b>ExchangeRate</b> <XchgRate>	[0..1]			368
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	368
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	369
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		369

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	369
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		369
	<b>PriceDetails</b> <PricDtls>	[0..1]			370
	<b>ExercisePrice</b> <ExrcPric>	[0..1]	±		370
	<b>IssuePrice</b> <IssePric>	[0..1]	±		371
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		371
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..1]			372
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]			372
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373
	<b>PriceValue</b> <PricVal>	[1..1]	Amount	C1	373
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		373
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		374
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		375
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			375
	<b>AssentedLinePeriod</b> <AssntdLinePrd>	[0..1]	±		376
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		376
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		376
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		377
	<b>SellThruIssuerPeriod</b> <SellThruIssrPrd>	[0..1]	±		377
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		377
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		377
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]			378
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		380
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		380
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]			380
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	381
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSctiesQty>	[0..1]			381

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltpSciesQty>	[0..1]			382
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		382
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSciesQty>	[0..1]			383
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		383
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	383
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSciesQty>	[0..1]			384
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		384
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	384
	<b>ShareRanking</b> <ShrRnkg>	[0..1]			384
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		385
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		385
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbdRslntScies>	[0..1]	±		385
	<b>DateDetails</b> <DtDtls>	[0..1]			385
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		386
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		386
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		386
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		387
	<b>FirstDealingDate</b> <FrstDealgDt>	[0..1]	±		387
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		387
	<b>PriceDetails</b> <PricDtls>	[0..1]			388
	<b>IndicativePrice</b> <IndctvPric>	[0..1]	±		388
	<b>MarketPrice</b> <MktPric>	[0..1]	±		388
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		389
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		389
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmlnd>	[0..1]	Indicator		389
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			390

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		390
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		390
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			391
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		394
	<b>Currency</b> <Ccy>	[0..1]	CodeSet	C1	394
	<b>DateDetails</b> <DtDtls>	[0..1]			394
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdt>	[0..1]	±		394
	<b>ValueDate</b> <ValDt>	[0..1]	±		395
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		395
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		395
	<b>AmountDetails</b> <AmtDtls>	[0..1]			396
	<b>GrossCashAmount</b> <GrssCshAmt>	[0..1]	Amount	C1, C5	398
	<b>NetCashAmount</b> <NetCshAmt>	[0..1]	Amount	C1, C5	399
	<b>IssueDiscountAmount</b> <IsseDscntAmt>	[0..1]	Amount	C1, C5	399
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C1, C5	399
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C1, C5	400
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	400
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C1, C5	401
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C1, C5	401
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C1, C5	401
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C1, C5	402
	<b>ManufacturedDividendAmount</b> <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	402
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C1, C5	403
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C1, C5	403
	<b>MarketClaimAmount</b> <MktClmAmt>	[0..1]	Amount	C1, C5	404
	<b>FullyFrankedAmount</b> <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>UnfrankedAmount</b> <UfrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	405
	<b>SpecialConcessionAmount</b> <SpclCncssnAmt>	[0..1]	Amount	C1, C5	405
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C1, C5	406
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	Amount	C1, C5	406

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AdditionalSubscriptionCost</b> <AddtlSbcptCost>	[0..1]	Amount	C1, C5	406
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C1, C5	407
	<b>TaxDeferredAmount</b> <TaxDfrdAmt>	[0..1]	Amount	C1, C5	407
	<b>GermanLocalTax1Amount</b> <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax2Amount</b> <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax3Amount</b> <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax4Amount</b> <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	409
	<b>StockExchangeTaxAmount</b> <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	409
	<b>TransferTaxAmount</b> <TrfTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>TransactionTaxAmount</b> <TxTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>EURetentionTaxAmount</b> <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>LocalTaxAmount</b> <LclTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>PaymentLevyTaxAmount</b> <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>CountryNationalFederalTaxAmount</b> <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	412
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C1, C5	413
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C1, C5	413
	<b>WithholdingOfForeignTaxAmount</b> <WhldgOfFrgnTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingOfLocalTaxAmount</b> <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	415
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C1, C5	415
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	416
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>PostageFeeAmount</b> <PstgFeeAmt>	[0..1]	Amount	C1, C5	417
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C1, C5	417
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C1, C5	418

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C1, C5	418
	<b>ExchangeRate</b> <XchgRate>	[0..1]			418
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	419
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	419
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		419
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	420
	<b>CorporateActionOtherAgentDetails</b> <CorpActnOthrAgtDtls>	[0..*]			420
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		420
	<b>AgentRole</b> <AgtRole>	[1..1]			421
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		421
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		421
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		422
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		422
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsApldInd>	[0..1]	Indicator		423
	<b>OptionFeatures</b> <OptnFeatrs>	[0..*]			423
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		423
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		424
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			424
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		425
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		425
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		425
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		425
	<b>Offeror</b> <Offerr>	[0..1]	±		425
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		425
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		425

#### 4.4.4.1 CorporateActionDetails <CorpActnDtls>

*Presence:* [1..1]

*Definition:* Provides detailed information about the corporate action event.

**CorporateActionDetails <CorpActnDtls>** contains the following **CorporateAction2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EventStage</b> <EvtStag>	[0..*]			292
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		292
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		293
	<b>DefaultOptionType</b> <DfltOptnTp>	[0..1]			293
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		293
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		294
	<b>DefaultOptionNumber</b> <DfltOptnNb>	[0..1]	Text		295
	<b>CalculationMethod</b> <ClctnMtd>	[0..1]			295
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		295
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		295
	<b>BackEndOddLotSecuritiesQuantity</b> <BckEndOddLotSciesQty>	[0..1]	±		296
	<b>FrontEndOddLotSecuritiesQuantity</b> <FrntEndOddLotSciesQty>	[0..1]	±		296
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSciesQty>	[0..1]			296
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	297
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltplSciesQty>	[0..1]			297
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>IncrementalDenomination</b> <IncrmtlDnmtn>	[0..1]			298
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		298
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSciesQty>	[0..1]			299
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		299
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	299
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSciesQty>	[0..1]			300
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		300
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	300
	<b>SecuritiesQuantitySought</b> <SciesQtySght>	[0..1]	±		300



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BaseDenomination</b> <BaseDnmtn>	[0..1]			301
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		301
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	301
	<b>ChangeType</b> <ChngTp>	[0..*]			302
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		302
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		302
	<b>OfferType</b> <OfferTp>	[0..*]			303
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		303
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		304
	<b>RestrictionIndicator</b> <RstrctnInd>	[0..1]	Indicator		304
	<b>PartialElectionIndicator</b> <PrtlElctnInd>	[0..1]	Indicator		304
	<b>ElectionType</b> <ElctnTp>	[0..1]			304
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		305
	<b>LotteryType</b> <LtryTp>	[0..1]			305
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		306
	<b>IncomeType</b> <IncmTp>	[0..1]	±		306
	<b>DividendType</b> <DvddTp>	[0..1]			306
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		307
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		307
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtSciesDstrbtnTp>	[0..1]			307
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		308
	<b>CouponNumber</b> <CpnNb>	[0..*]	Text		308
	<b>InterestAccruedNumberOfDays</b> <IntrstAcrdNbOfDays>	[0..1]	Quantity		309
	<b>NewDenominationCurrency</b> <NewDnmtnCcy>	[0..1]	CodeSet	C1	309
	<b>DateDetails</b> <DtDtls>	[0..1]			309
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		310
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		311
	<b>CoverExpirationDate</b> <CoverXprtnDt>	[0..1]	±		311

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		311
	<b>MarginFixingDate</b> <MrgnFxdDt>	[0..1]	±		312
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		312
	<b>ProtectDate</b> <PrctDt>	[0..1]	±		312
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		313
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		313
	<b>ResultsPublicationDate</b> <RsltsPblctnDt>	[0..1]	±		313
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		314
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		314
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		314
	<b>IndexFixingDate</b> <IndxFxdDt>	[0..1]	±		315
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	±		315
	<b>TradingSuspendedDate</b> <TradgSspdDt>	[0..1]	±		315
	<b>CertificationDeadline</b> <CertfctnDdln>	[0..1]	±		316
	<b>RedemptionDate</b> <RedDt>	[0..1]	±		316
	<b>RegistrationDeadline</b> <RegnDdln>	[0..1]	±		316
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		317
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlnForTaxBrkdwInstr>	[0..1]	±		317
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		317
	<b>GuaranteedParticipationDate</b> <GrntedPrtcpnDt>	[0..1]	±		318
	<b>ElectionToCounterpartyDeadline</b> <ElctnToCtrPtyDdln>	[0..1]	±		318
	<b>SpecialExDate</b> <SpclExDt>	[0..1]	±		318
	<b>PriceDetails</b> <PricDtls>	[0..*]			319
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		319
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		319
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			320
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		320
	<b>CompulsoryPurchasePeriod</b> <CmplsrPurchsPrd>	[0..1]	±		320
	<b>InterestPeriod</b> <IntrstPrd>	[0..1]	±		321
	<b>BlockingPeriod</b> <BlckgPrd>	[0..1]	±		321
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		321

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			321
	<b>Interest</b> <Intrst>	[0..1]	±		322
	<b>RelatedIndex</b> <RltdIndx>	[0..1]	±		322
	<b>PercentageSought</b> <PctgSght>	[0..1]	±		323
	<b>ReinvestmentDiscountToMarket</b> <RinvstmtDscntToMkt>	[0..1]	±		323
	<b>Spread</b> <Sprd>	[0..1]	±		323
	<b>BidInterval</b> <BidIntrvl>	[0..1]			324
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	324
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			324
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		325
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		325
	<b>Charges</b> <Chrgs>	[0..1]	±		325
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			326
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		326
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		326
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		326
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		326
	<b>Offeror</b> <Offer>	[0..1]	±		326
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		327
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		327
	<b>CertificationRequiredIndicator</b> <CertfctnReqrdInd>	[0..1]	Indicator		327
	<b>CertificationType</b> <CertfctnTp>	[0..1]			327
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		328
	<b>CapitalGain</b> <CptlGn>	[0..1]	CodeSet		328
	<b>TaxableIncomePerShareCalculated</b> <TaxblIncmPerShrClctd>	[0..1]	CodeSet		329
	<b>NewPlaceOfIncorporation</b> <NewPlcOfIncorprtn>	[0..1]	Text		329
	<b>RenounceableEntitlementStatusType</b> <RnnclEntitlmntStsTp>	[0..1]			329
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		329

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ConversionType</b> <ConvstP>	[0..1]			330
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		330
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		330
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsAppldInd>	[0..1]	Indicator		330
	<b>DistributionType</b> <DstrbtnTp>	[0..1]			331
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		331

#### 4.4.4.1.1 EventStage <EvtStag>

*Presence:* [0..\*]

*Definition:* Stage in the corporate action event life cycle.

**EventStage <EvtStag>** contains one of the following **CorporateActionEventStage1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		292
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		293

##### 4.4.4.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the stage of the CA event.

*Datatype:* "CorporateActionEventStage1Code" on page 1017

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

CodeName	Name	Definition
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.

#### 4.4.4.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the stage of the CA event.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.2 DefaultOptionType <DfltOptnTp>

*Presence:* [0..1]

*Definition:* Identifies the option that will be selected by default if no instruction is provided by account owner.

**DefaultOptionType <DfltOptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		293
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		294

##### 4.4.4.1.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "[CorporateActionOptionType1Code](#)" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution

CodeName	Name	Definition
		rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

**4.4.4.1.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.3 DefaultOptionNumber <DfltOptnNb>

*Presence:* [0..1]

*Definition:* Identifies the option number that will be selected by default if no instruction is provided by account owner.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 4.4.4.1.4 CalculationMethod <ClctnMtd>

*Presence:* [0..1]

*Definition:* The method of calculation of drawings and partial redemptions.

**CalculationMethod <ClctnMtd>** contains one of the following **CorporateActionCalculationMethod1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		295
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		295

##### 4.4.4.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the calculation method for drawings.

*Datatype:* "[CorporateActionCalculationMethod1Code](#)" on page 1015

CodeName	Name	Definition
PROR	ProRata	Pro-rata for drawings.
LOTT	Lottery	Lottery for drawings.
NOMI	NominalReduction	Reduction of nominal for partial redemption.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.

##### 4.4.4.1.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the calculation method for drawings.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.5 BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>

*Presence:* [0..1]

*Definition:* Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

**BackEndOddLotSecuritiesQuantity <BckEndOddLotSciesQty>** contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1005
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	1005
Or}	Code <Cd>	[1..1]	CodeSet		1006

#### 4.4.4.1.6 FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>

*Presence:* [0..1]

*Definition:* Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

**FrontEndOddLotSecuritiesQuantity <FrntEndOddLotSciesQty>** contains one of the following elements (see "[UnitOrFaceAmountOrCode1Choice](#)" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1005
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	1005
Or}	Code <Cd>	[1..1]	CodeSet		1006

#### 4.4.4.1.7 MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.



**MinimumExercisableSecuritiesQuantity <MinExrcblSciesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	297

#### 4.4.4.1.7.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 4.4.4.1.7.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.1.8 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltplSciesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		297
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298

#### 4.4.4.1.8.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 4.4.4.1.8.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.1.9 IncrementalDenomination <IncrmtlDnmtn>

*Presence:* [0..1]

*Definition:* Amount used when the called amount is not met by running the lottery with the base denomination.

**IncrementalDenomination <IncrmtlDnmtn>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		298
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	298

##### 4.4.4.1.9.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 4.4.4.1.9.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.1.10 NewDenominationSecuritiesQuantity <NewDnmtnScitiesQty>**

*Presence:* [0..1]

*Definition:* New Denomination of the equity following, eg, an increase or decrease in nominal value.

**NewDenominationSecuritiesQuantity <NewDnmtnScitiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		299
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	299

**4.4.4.1.10.1 Unit <Unit>**

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

**4.4.4.1.10.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.1.11 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>***Presence:* [0..1]*Definition:* Quantity of equity that makes up the new board lot.**NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		300
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	300

**4.4.4.1.11.1 Unit <Unit>***Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 1090**4.4.4.1.11.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.1.12 SecuritiesQuantitySought <SctiesQtySght>***Presence:* [0..1]*Definition:* Quantity of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

**SecuritiesQuantitySought <SctiesQtySght>** contains one of the following elements (see "UnitOrFaceAmountOrCode1Choice" on page 1005 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1005
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	1005
Or}	Code <Cd>	[1..1]	CodeSet		1006

#### 4.4.4.1.13 BaseDenomination <BaseDnmtn>

*Presence:* [0..1]

*Definition:* The minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

**BaseDenomination <BaseDnmtn>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		301
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	301

##### 4.4.4.1.13.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 4.4.4.1.13.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.1.14 ChangeType <ChngTp>***Presence:* [0..\*]*Definition:* Specifies the type of change announced.**ChangeType <ChngTp>** contains one of the following **CorporateActionChangeType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		302
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		302

**4.4.4.1.14.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of changes.*Datatype:* "CorporateActionChangeType1Code" on page 1015

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

**4.4.4.1.14.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of changes.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.15 OfferType <OfferTp>

*Presence:* [0..\*]

*Definition:* Specifies the conditions that apply to the offer.

**OfferType <OfferTp>** contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		303
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		304

##### 4.4.4.1.15.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the conditions that apply to the offer.

*Datatype:* "[OfferType1Code](#)" on page 1064

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.

CodeName	Name	Definition
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

#### 4.4.4.1.15.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the conditions that apply to the offer.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.16 RestrictionIndicator <RstrctnInd>

*Presence:* [0..1]

*Definition:* Indicates whether there is restrictions apply to the CA event or not.

Yes = There is restrictions.

No = There is no restrictions.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.1.17 PartialElectionIndicator <PrtlElctnInd>

*Presence:* [0..1]

*Definition:* Specifies if the issuer will allow the agent to accept partial elections. It is to allow split voting over options. It allows the client to elect more than one option to be selected per designated holding.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.1.18 ElectionType <ElctnTp>

*Presence:* [0..1]

*Definition:* Specifies the effect on the holdings of electing a Corporate Action option.



**ElectionType <ElctnTp>** contains one of the following **ElectionMovementType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		305

#### 4.4.4.1.18.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the effect on the holdings of electing a Corporate Action option.

*Datatype:* "ElectionMovementType1Code" on page 1043

CodeName	Name	Definition
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.

#### 4.4.4.1.18.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the effect on the holdings of electing a Corporate Action option.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.19 LotteryType <LtryTp>

*Presence:* [0..1]

*Definition:* Specifies the type of lottery announced.

**LotteryType <LtryTp>** contains one of the following **LotteryType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		305
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		306

#### 4.4.4.1.19.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the lottery type.

*Datatype:* "LotteryType1Code" on page 1060

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

#### 4.4.4.1.19.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the lottery type.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.20 IncomeType <IncmTp>

*Presence:* [0..1]

*Definition:* Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at [www.smpg.info](http://www.smpg.info).

**IncomeType <IncmTp>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.21 DividendType <DvddTp>

*Presence:* [0..1]

*Definition:* Specifies the conditions in which a dividend is paid.

**DividendType <DvddTp>** contains one of the following **CorporateActionFrequencyType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		307
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		307

#### 4.4.4.1.21.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the frequency of a CA event.

*Datatype:* "CorporateActionFrequencyType1Code" on page 1030

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

#### 4.4.4.1.21.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the frequency of a CA event.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.22 IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>

*Presence:* [0..1]

*Definition:* Type of intermediates securities distribution, eg, stock dividend, reverse right.

**IntermediateSecuritiesDistributionType <IntrmdtSciesDstrbtnTp>** contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		308
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		308

**4.4.4.1.22.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of intermediate security distribution.*Datatype:* "IntermediateSecurityDistributionType1Code" on page 1054

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

**4.4.4.1.22.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of intermediate security distribution.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**4.4.4.1.23 CouponNumber <CpnNb>***Presence:* [0..\*]*Definition:* Number of the coupon attached/associated with a security.*Datatype:* "Max3NumericText" on page 1095

**4.4.4.1.24 InterestAccruedNumberOfDays <IntrstAcrdNbOfDays>**

*Presence:* [0..1]

*Definition:* Number of days used for calculating the accrued interest amount.

*Datatype:* "Number" on page 1091

**4.4.4.1.25 NewDenominationCurrency <NewDnmtnCcy>**

*Presence:* [0..1]

*Definition:* New denomination currency of the inancial instrument.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**4.4.4.1.26 DateDetails <DtDtls>**

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a CA event.

**DateDetails <DtDtls>** contains the following **CorporateActionDate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		310
	<b>EffectiveDate</b> <FctvDt>	[0..1]	±		311
	<b>CoverExpirationDate</b> <CoverXprtnDt>	[0..1]	±		311
	<b>EqualisationDate</b> <EqulstnDt>	[0..1]	±		311
	<b>MarginFixingDate</b> <MrgnFxdDt>	[0..1]	±		312
	<b>LotteryDate</b> <LtryDt>	[0..1]	±		312
	<b>ProtectDate</b> <PrctDt>	[0..1]	±		312
	<b>UnconditionalDate</b> <UcondlDt>	[0..1]	±		313
	<b>WhollyUnconditionalDate</b> <WhlyUcondlDt>	[0..1]	±		313
	<b>ResultsPublicationDate</b> <RsltsPblctnDt>	[0..1]	±		313
	<b>CourtApprovalDate</b> <CrtApprvlDt>	[0..1]	±		314
	<b>EarlyClosingDate</b> <EarlyClsgDt>	[0..1]	±		314
	<b>ExDividendDate</b> <ExDvddDt>	[0..1]	±		314
	<b>IndexFixingDate</b> <IndxFxdDt>	[0..1]	±		315
	<b>MaturityDate</b> <MtrtyDt>	[0..1]	±		315
	<b>TradingSuspendedDate</b> <TradgSspdDt>	[0..1]	±		315
	<b>CertificationDeadline</b> <CertfctnDdlIn>	[0..1]	±		316
	<b>RedemptionDate</b> <RedDt>	[0..1]	±		316
	<b>RegistrationDeadline</b> <RegnDdlIn>	[0..1]	±		316
	<b>ProrationDate</b> <PrratnDt>	[0..1]	±		317
	<b>DeadlineForTaxBreakdownInstruction</b> <DdlInForTaxBrkdwInstr>	[0..1]	±		317
	<b>LapsedDate</b> <LpsdDt>	[0..1]	±		317
	<b>GuaranteedParticipationDate</b> <GrntedPrtpctnDt>	[0..1]	±		318
	<b>ElectionToCounterpartyDeadline</b> <ElctnToCtrPtyDdlIn>	[0..1]	±		318
	<b>SpecialExDate</b> <SpclExDt>	[0..1]	±		318

#### 4.4.4.1.26.1 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date on which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

**RecordDate <RcrdDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.2 EffectiveDate <FctvDt>

*Presence:* [0..1]

*Definition:* Date on which a process is to be completed or becomes effective.

**EffectiveDate <FctvDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.3 CoverExpirationDate <CoverXprtnDt>

*Presence:* [0..1]

*Definition:* Last day a holder can deliver the securities that it had previously protected.

**CoverExpirationDate <CoverXprtnDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.4 EqualisationDate <EqulstnDt>

*Presence:* [0..1]

*Definition:* Date on which all or part of any holding bought in a unit trust is subject to being treated as capital rather than income. This is normally one day after the previous distribution's ex date.

**EqualisationDate <EqulstnDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.5 MarginFixingDate <MrgnFxdDt>

*Presence:* [0..1]

*Definition:* Date/time at which the margin rate will be determined.

**MarginFixingDate <MrgnFxdDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.6 LotteryDate <LtryDt>

*Presence:* [0..1]

*Definition:* Date on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

**LotteryDate <LtryDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.7 ProtectDate <PrctDt>

*Presence:* [0..1]

*Definition:* Last date a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.



**ProtectDate <PrctDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.8 UnconditionalDate <UcondIDt>

*Presence:* [0..1]

*Definition:* Date upon which the terms of the take-over become unconditional as to acceptances.

**UnconditionalDate <UcondIDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.9 WhollyUnconditionalDate <WhlyUcondIDt>

*Presence:* [0..1]

*Definition:* Date on which all conditions, including regulatory, legal etc. pertaining to the take-over, have been met.

**WhollyUnconditionalDate <WhlyUcondIDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.10 ResultsPublicationDate <RsItsPblctnDt>

*Presence:* [0..1]

*Definition:* Date on which results are published, eg, results of an offer.

**ResultsPublicationDate <RsItsPblctnDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.11 CourtApprovalDate <CrtApprvlDt>

*Presence:* [0..1]

*Definition:* Date/time upon which the High Court provided approval.

**CourtApprovalDate <CrtApprvlDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.12 EarlyClosingDate <EarlyClsgDt>

*Presence:* [0..1]

*Definition:* First possible early closing date of an offer if different from the expiry date.

**EarlyClosingDate <EarlyClsgDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.13 ExDividendDate <ExDvddDt>

*Presence:* [0..1]

*Definition:* Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

**ExDividendDate <ExDvddDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.14 IndexFixingDate <IndxFxgDt>

*Presence:* [0..1]

*Definition:* Date/time at which an index rate will be determined.

**IndexFixingDate <IndxFxgDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.15 MaturityDate <MtrtyDt>

*Presence:* [0..1]

*Definition:* Date on which an interest bearing financial instrument becomes due and principal is repaid by the issuer to the investor.

**MaturityDate <MtrtyDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.16 TradingSuspendedDate <TradgSspdDt>

*Presence:* [0..1]

*Definition:* Date on which trading of a security is suspended as the result of an event.

**TradingSuspendedDate <TradgSspdDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.17 CertificationDeadline <CertfctnDdlIn>

*Presence:* [0..1]

*Definition:* Deadline by which the beneficial ownership of securities must be declared.

**CertificationDeadline <CertfctnDdlIn>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.18 RedemptionDate <RedDt>

*Presence:* [0..1]

*Definition:* Date/time at which the securities will be redeemed (early) for payment of principal.

**RedemptionDate <RedDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.19 RegistrationDeadline <RegnDdlIn>

*Presence:* [0..1]

*Definition:* Date on which instructions to register or registration details will be accepted.

**RegistrationDeadline <RegnDdln>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.20 ProrationDate <PrratnDt>

*Presence:* [0..1]

*Definition:* Date (and time) at which an issuer will determine the proration amount/quantity of an offer.

**ProrationDate <PrratnDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.21 DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>

*Presence:* [0..1]

*Definition:* Date on until which tax breakdown instructions will be accepted.

**DeadlineForTaxBreakdownInstruction <DdlnForTaxBrkdwnInstr>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.22 LapsedDate <LpsdDt>

*Presence:* [0..1]

*Definition:* Date/time at which an event/offer is terminated or lapsed.

**LapsedDate <LpsdDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.23 GuaranteedParticipationDate <GrntedPrtcptnDt>

*Presence:* [0..1]

*Definition:* Last date/time by which a buying counterparty to a trade can be sure that it will have the right to participate in an event.

**GuaranteedParticipationDate <GrntedPrtcptnDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.24 ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>

*Presence:* [0..1]

*Definition:* Deadline by which an entitled holder needs to advise their counterparty to a transaction of their election for a corporate action event.

**ElectionToCounterpartyDeadline <ElctnToCtrPtyDdln>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.26.25 SpecialExDate <SpclExDt>

*Presence:* [0..1]

*Definition:* Date/time as from which 'special processing' can start to be used by participants for that event. Special processing is a means of marking a transaction, that would normally be traded ex or cum, as being traded cum or ex respectively, eg, a transaction dealt 'special' after the ex date would result in the buyer being eligible for the entitlement. This is typically used in the UK and Irish markets.

**SpecialExDate <SpclExDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.1.27 PriceDetails <PricDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the prices related to a CA event.

**PriceDetails <PricDtls>** contains the following **CorporateActionPrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MaximumPrice</b> <MaxPric>	[0..1]	±		319
	<b>MinimumPrice</b> <MinPric>	[0..1]	±		319

##### 4.4.4.1.27.1 MaximumPrice <MaxPric>

*Presence:* [0..1]

*Definition:* Maximum or cap price at which a holder can bid, e.g. on a Dutch auction offer.

**MaximumPrice <MaxPric>** contains one of the following elements (see "PriceFormat3Choice" on page 952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			953
	AmountPriceType <AmtPricTp>	[1..1]			953
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
Or}	Rate <Rate>	[1..1]	±		954

##### 4.4.4.1.27.2 MinimumPrice <MinPric>

*Presence:* [0..1]

*Definition:* Minimum or floor price at which a holder can bid, e.g. on a Dutch auction offer.

**MinimumPrice <MinPric>** contains one of the following elements (see "PriceFormat3Choice" on page 952 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			953
	AmountPriceType <AmtPricTp>	[1..1]			953
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
Or}	Rate <Rate>	[1..1]	±		954

#### 4.4.4.1.28 PeriodDetails <PrdDtls>

*Presence:* [0..1]

*Definition:* Provides information about the periods related to a CA event.

**PeriodDetails <PrdDtls>** contains the following **CorporateActionPeriod1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		320
	<b>CompulsoryPurchasePeriod</b> <CmplsryPurchsPrd>	[0..1]	±		320
	<b>InterestPeriod</b> <IntrstPrd>	[0..1]	±		321
	<b>BlockingPeriod</b> <BlckgPrd>	[0..1]	±		321
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		321

##### 4.4.4.1.28.1 ActionPeriod <ActnPrd>

*Presence:* [0..1]

*Definition:* Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**ActionPeriod <ActnPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

##### 4.4.4.1.28.2 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

*Presence:* [0..1]

*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.



**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.1.28.3 InterestPeriod <IntrstPrd>

*Presence:* [0..1]

*Definition:* Period during which the interest rate has been applied.

**InterestPeriod <IntrstPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.1.28.4 BlockingPeriod <BlckgPrd>

*Presence:* [0..1]

*Definition:* Period during which the security is blocked.

**BlockingPeriod <BlckgPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.1.28.5 PriceCalculationPeriod <PricClctnPrd>

*Presence:* [0..1]

*Definition:* Period during which the price of a security is determined.

**PriceCalculationPeriod <PricClctnPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.1.29 RateAndAmountDetails <RateAndAmtDtls>

*Presence:* [0..1]

*Definition:* Provides information about rates and amounts related to a CA event.

**RateAndAmountDetails <RateAndAmtDtls>** contains the following **CorporateActionRate1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Interest</b> <Intrst>	[0..1]	±		322
	<b>RelatedIndex</b> <RltdIndx>	[0..1]	±		322
	<b>PercentageSought</b> <PctgSght>	[0..1]	±		323
	<b>ReinvestmentDiscountToMarket</b> <RinvstmtDscntToMkt>	[0..1]	±		323
	<b>Spread</b> <Sprd>	[0..1]	±		323
	<b>BidInterval</b> <BidIntrvl>	[0..1]			324
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	324
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			324
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		325
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		325
	<b>Charges</b> <Chrgs>	[0..1]	±		325

#### 4.4.4.1.29.1 Interest <Intrst>

*Presence:* [0..1]

*Definition:* Annual rate of a financial instrument.

**Interest <Intrst>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Rate</b> <Rate>	[1..1]	Rate		823
Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	823
Or}	<b>NotSpecifiedRate</b> <NotSpctdRate>	[1..1]			824
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		824
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		824

#### 4.4.4.1.29.2 RelatedIndex <RltdIndx>

*Presence:* [0..1]

*Definition:* Index rate related to the interest rate of the forthcoming interest payment.

**RelatedIndex <RltdIdx>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.1.29.3 PercentageSought <PctgSght>

*Presence:* [0..1]

*Definition:* Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event. This can be a number or the term "any and all".

**PercentageSought <PctgSght>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.1.29.4 ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>

*Presence:* [0..1]

*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

**ReinvestmentDiscountToMarket <RinvstmtDscntToMkt>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.1.29.5 Spread <Sprd>

*Presence:* [0..1]

*Definition:* Margin allowed over or under a given rate.

**Spread <Sprd>** contains one of the following elements (see ["RateFormat1Choice"](#) on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.1.29.6 BidInterval <BidIntrvl>

*Presence:* [0..1]

*Definition:* Acceptable price increment used for submitting a bid.

**BidInterval <BidIntrvl>** contains one of the following **AmountAndRateFormat3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	324
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			324
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		325
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		325

##### 4.4.4.1.29.6.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 4.4.4.1.29.6.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* The rate is not specified.

**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		325
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		325

#### 4.4.4.1.29.6.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the value of a rate.

*Datatype:* "RateValueType6Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

#### 4.4.4.1.29.6.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the value of a rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.29.7 Charges <Chrgs>

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

**Charges <Chrgs>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.1.30 CorporateActionAdditionalInformation <CorpActnAddtlInf>**

*Presence:* [0..1]

*Definition:* Provides additional information.

**CorporateActionAdditionalInformation <CorpActnAddtlInf>** contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>InformationConditions</b> <InfConds>	[0..1]	Text		326
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		326
	<b>TaxationConditions</b> <TaxtnConds>	[0..1]	Text		326
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		326
	<b>Offeror</b> <Offerr>	[0..1]	±		326
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		327
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		327

**4.4.4.1.30.1 InformationConditions <InfConds>**

*Presence:* [0..1]

*Definition:* Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.

*Datatype:* "Max350Text" on page 1094

**4.4.4.1.30.2 InformationToComplyWith <InfToCmplyWth>**

*Presence:* [0..1]

*Definition:* Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.

*Datatype:* "Max350Text" on page 1094

**4.4.4.1.30.3 TaxationConditions <TaxtnConds>**

*Presence:* [0..1]

*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message.

*Datatype:* "Max350Text" on page 1094

**4.4.4.1.30.4 NewCompanyName <NewCpnyNm>**

*Presence:* [0..1]

*Definition:* Provide the new name of a company following a name change.

*Datatype:* "Max350Text" on page 1094

**4.4.4.1.30.5 Offeror <Offerr>**

*Presence:* [0..1]

*Definition:* Provides the entity making the offer and is different from the issuing company.

**Offeror <Offerr>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 4.4.4.1.30.6 URLAddress <URLAdr>

*Presence:* [0..1]

*Definition:* Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.

*Datatype:* "Max256Text" on page 1094

#### 4.4.4.1.30.7 AdditionalText <AddtlTxt>

*Presence:* [0..1]

*Definition:* Provides additional information or specifies in more detail the content of a message.

*Datatype:* "Max350Text" on page 1094

#### 4.4.4.1.31 CertificationRequiredIndicator <CertfctnReqrdInd>

*Presence:* [0..1]

*Definition:* Indicates whether certification is required from the account owner.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.1.32 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		327
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		328

##### 4.4.4.1.32.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of beneficiary's certification.

*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

#### 4.4.4.1.32.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of beneficiary's certification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.33 CapitalGain <CptlGn>

*Presence:* [0..1]

*Definition:* Specifies whether the capital gain is in the scope of the EU Savings directive for the income realised upon the sale, refund or redemption of shares and units (.) (Article 6(1d)).

*Datatype:* "EUCapitalGain2Code" on page 1043

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.



**4.4.4.1.34 TaxableIncomePerShareCalculated <TaxblIncmPerShrClctd>***Presence:* [0..1]*Definition:* Specifies whether the financial instrument calculates the taxable income per dividend/taxable income per share.*Datatype:* "TaxableIncomePerShareCalculated2Code" on page 1084

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

**4.4.4.1.35 NewPlaceOfIncorporation <NewPlcOfIncorprtn>***Presence:* [0..1]*Definition:* New companys place of incorporation.*Datatype:* "Max70Text" on page 1095**4.4.4.1.36 RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>***Presence:* [0..1]*Definition:* Specifies whether terms of the event allow resale of the rights.**RenounceableEntitlementStatusType <RnnclEntitlmntStsTp>** contains one of the following **RenounceableStatus1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		329
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		329

**4.4.4.1.36.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the renounceable status.*Datatype:* "RenounceableStatus1Code" on page 1081

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

**4.4.4.1.36.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the renounceable status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.37 ConversionType <ConvsTp>

*Presence:* [0..1]

*Definition:* Specifies the conversion type of an instrument.

**ConversionType <ConvsTp>** contains one of the following **ConversionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		330
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		330

##### 4.4.4.1.37.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of conversion.

*Datatype:* "[ConversionType1Code](#)" on page 1014

CodeName	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

##### 4.4.4.1.37.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of conversion.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.1.38 RedemptionChargesAppliedIndicator <RedChrgsApplInd>

*Presence:* [0..1]

*Definition:* Indicates whether redemption charges apply.

**Datatype:** One of the following values must be used (see ["YesNoIndicator"](#) on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.1.39 DistributionType <DstrbtnTp>

**Presence:** [0..1]

**Definition:** Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

**DistributionType <DstrbtnTp>** contains one of the following **DistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		331
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		331

##### 4.4.4.1.39.1 Code <Cd>

**Presence:** [1..1]

**Definition:** Standard code to specify the distribution type.

**Datatype:** ["DistributionType1Code"](#) on page 1038

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

##### 4.4.4.1.39.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Proprietary code to express the distribution type.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13"](#) on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2 CorporateActionOptionDetails <CorpActnOptnDtls>

**Presence:** [0..\*]

**Definition:** Provides information about an option of a CA event.

**Impacted by:** [C3 "ChangeAllowedRule"](#), [C6 "WithdrawalAllowedRule"](#)

**CorporateActionOptionDetails <CorpActnOptnDtls>** contains the following  
**CorporateActionOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		339
	<b>OptionType</b> <OptnTp>	[1..1]			339
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		341
	<b>OptionAvailabilityStatus</b> <OptnAvlbtySts>	[1..1]			341
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		342
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		342
	<b>CertificationType</b> <CertfctnTp>	[0..1]			342
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		343
	<b>AssentedLineSecurityIdentification</b> <AssntdLineSctyId>	[0..1]	±		343
	<b>AgentSecuritiesAccountIdentification</b> <AgtSciesAcctId>	[0..1]	Text		344
	<b>AgentCashAccountIdentification</b> <AgtCshAcctId>	[0..1]	±		344
	<b>OfferType</b> <OfferTp>	[0..*]			344
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		345
	<b>IntermediateSecuritiesDistributionType</b> <IntrmdtSciesDstrbtnTp>	[0..1]			345
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		346
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		346
	<b>WithdrawalAllowedIndicator</b> <WdrwlAllwdInd>	[1..1]	Indicator		347
	<b>ChangeAllowedIndicator</b> <ChngAllwdInd>	[1..1]	Indicator		347
	<b>DateDetails</b> <DtDtls>	[0..1]			347
	<b>CouponClippingDate</b> <CpnClpngDt>	[0..1]	±		348
	<b>ConsentExpirationDate</b> <CnsntXprtnDt>	[0..1]	±		348
	<b>ConsentRecordDate</b> <CnsntRcrdDt>	[0..1]	±		349
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		349
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		349
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		349

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ResponseDeadline</b> <RspnDdlIn>	[0..1]	±		350
	<b>DeadlineToSplit</b> <DdlInToSpl>	[0..1]	±		350
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		350
	<b>QuotationSettingDate</b> <QtnSetngDt>	[0..1]	±		351
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		351
	<b>RateAndAmountDetails</b> <RateAndAmtDtls>	[0..1]			351
	<b>WithholdingTax</b> <WhldgTax>	[0..1]	±		353
	<b>WithholdingOfForeignTax</b> <WhldgOfFrgnTax>	[0..1]	±		354
	<b>WithholdingOfLocalTax</b> <WhldgOfLclTax>	[0..1]	±		354
	<b>GermanLocalTax1</b> <GrmnLclTax1>	[0..1]	±		354
	<b>GermanLocalTax2</b> <GrmnLclTax2>	[0..1]	±		355
	<b>GermanLocalTax3</b> <GrmnLclTax3>	[0..1]	±		355
	<b>GermanLocalTax4</b> <GrmnLclTax4>	[0..1]	±		356
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		356
	<b>TaxOnProfit</b> <TaxOnPrft>	[0..1]	±		356
	<b>TaxReclaim</b> <TaxRclm>	[0..1]	±		356
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		357
	<b>Proration</b> <Prratn>	[0..1]	±		357
	<b>NewToOld</b> <NewToOd>	[0..1]	±		357
	<b>NewSecuritiesToUnderlyingSecurities</b> <NewSctiesToUndrlygScties>	[0..1]	±		358
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScties>	[0..1]	±		358
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcdbdRsltntScties>	[0..1]	±		359
	<b>RelatedTax</b> <RltdTax>	[0..1]			359
	<b>TaxType</b> <TaxTp>	[1..1]			359
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		360
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		360
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	360
	<b>NonResidentRate</b> <NonResdtRate>	[0..1]	±		361
	<b>Charges</b> <Chrgs>	[0..1]	±		361
	<b>InterestForUsedPayment</b> <IntrstForUsdPmt>	[0..1]	±		361

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IndexFactor</b> <IndxFctr>	[0..1]	±		362
	<b>FullyFranked</b> <FullyFrnkcd>	[0..1]	±		362
	<b>GrossDividend</b> <GrssDvdd>	[0..1]	±		362
	<b>NetDividend</b> <NetDvdd>	[0..1]	±		363
	<b>FinalDividend</b> <FnlDvdd>	[0..1]			363
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	363
Or}	<b>NotSpecifiedRate</b> <NotSpcdfRate>	[1..1]			364
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364
	<b>ProvisionalDividend</b> <PrvsnlDvdd>	[0..1]			365
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	365
Or}	<b>NotSpecifiedRate</b> <NotSpcdfRate>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	±		366
	<b>SolicitationFee</b> <SlctnFee>	[0..1]	±		367
	<b>MaximumAllowedOversubscription</b> <MaxAllwdOvrshcpt>	[0..1]	±		367
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		367
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	368
	<b>ExchangeRate</b> <XchgRate>	[0..1]			368
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	368
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	369
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		369
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	369
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		369
	<b>PriceDetails</b> <PricDtls>	[0..1]			370
	<b>ExercisePrice</b> <ExrcPric>	[0..1]	±		370
	<b>IssuePrice</b> <IssePric>	[0..1]	±		371
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		371
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..1]			372
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]			372

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373
	<b>PriceValue</b> <PricVal>	[1..1]	Amount	C1	373
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		373
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		374
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		375
	<b>PeriodDetails</b> <PrdDtls>	[0..1]			375
	<b>AssentedLinePeriod</b> <AssntdLinePrd>	[0..1]	±		376
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		376
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		376
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		377
	<b>SellThruIssuerPeriod</b> <SellThrulssrPrd>	[0..1]	±		377
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		377
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		377
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]			378
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		380
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		380
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]			380
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	381
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSctiesQty>	[0..1]			381
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltpSctiesQty>	[0..1]			382
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		382
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSctiesQty>	[0..1]			383
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		383
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	383

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSciesQty>	[0..1]			384
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		384
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	384
	<b>ShareRanking</b> <ShrRnkg>	[0..1]			384
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		385
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		385
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcdbdRslntScies>	[0..1]	±		385
	<b>DateDetails</b> <DtDtls>	[0..1]			385
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		386
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		386
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		386
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		387
	<b>FirstDealingDate</b> <FrstDealgDt>	[0..1]	±		387
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		387
	<b>PriceDetails</b> <PricDtls>	[0..1]			388
	<b>IndicativePrice</b> <IndctvPric>	[0..1]	±		388
	<b>MarketPrice</b> <MktPric>	[0..1]	±		388
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		389
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		389
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmlInd>	[0..1]	Indicator		389
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			390
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		390
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		390
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			391
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		394
	<b>Currency</b> <Ccy>	[0..1]	CodeSet	C1	394
	<b>DateDetails</b> <DtDtls>	[0..1]			394
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdt>	[0..1]	±		394
	<b>ValueDate</b> <ValDt>	[0..1]	±		395
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		395



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		395
	<b>AmountDetails</b> <AmtDtls>	[0..1]			396
	<b>GrossCashAmount</b> <GrssCshAmt>	[0..1]	Amount	C1, C5	398
	<b>NetCashAmount</b> <NetCshAmt>	[0..1]	Amount	C1, C5	399
	<b>IssueDiscountAmount</b> <IsseDscntAmt>	[0..1]	Amount	C1, C5	399
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C1, C5	399
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C1, C5	400
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	400
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C1, C5	401
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C1, C5	401
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C1, C5	401
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C1, C5	402
	<b>ManufacturedDividendAmount</b> <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	402
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C1, C5	403
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C1, C5	403
	<b>MarketClaimAmount</b> <MktClmAmt>	[0..1]	Amount	C1, C5	404
	<b>FullyFrankedAmount</b> <FullyFrnkAmt>	[0..1]	Amount	C1, C5	404
	<b>UnfrankedAmount</b> <UfrnkAmt>	[0..1]	Amount	C1, C5	404
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	405
	<b>SpecialConcessionAmount</b> <SpclCncssnAmt>	[0..1]	Amount	C1, C5	405
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C1, C5	406
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	Amount	C1, C5	406
	<b>AdditionalSubscriptionCost</b> <AddtlSbcptCost>	[0..1]	Amount	C1, C5	406
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C1, C5	407
	<b>TaxDeferredAmount</b> <TaxDfrdAmt>	[0..1]	Amount	C1, C5	407
	<b>GermanLocalTax1Amount</b> <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax2Amount</b> <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax3Amount</b> <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax4Amount</b> <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	409
	<b>StockExchangeTaxAmount</b> <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	409
	<b>TransferTaxAmount</b> <TrfTaxAmt>	[0..1]	Amount	C1, C5	410

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TransactionTaxAmount</b> <TxTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>EURetentionTaxAmount</b> <EURtntnTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>LocalTaxAmount</b> <LclTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>PaymentLevyTaxAmount</b> <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>CountryNationalFederalTaxAmount</b> <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	412
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C1, C5	413
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C1, C5	413
	<b>WithholdingOfForeignTaxAmount</b> <WhldgOfFrngnTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingOfLocalTaxAmount</b> <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	415
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C1, C5	415
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	416
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>PostageFeeAmount</b> <PstgFeeAmt>	[0..1]	Amount	C1, C5	417
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C1, C5	417
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C1, C5	418
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C1, C5	418
	<b>ExchangeRate</b> <XchgRate>	[0..1]			418
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	419
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	419
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		419
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	420
	<b>CorporateActionOtherAgentDetails</b> <CorpActnOthrAgtDtls>	[0..*]			420
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		420
	<b>AgentRole</b> <AgtRole>	[1..1]			421

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		421
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		421
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		422
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			422
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		422
	<b>RedemptionChargesAppliedIndicator</b> <RedChrgsApldInd>	[0..1]	Indicator		423
	<b>OptionFeatures</b> <OptnFeatrs>	[0..*]			423
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		423
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		424
	<b>CorporateActionAdditionalInformation</b> <CorpActnAddtlInf>	[0..1]			424
	<b>InformationConditions</b> <InfCnds>	[0..1]	Text		425
	<b>InformationToComplyWith</b> <InfToCmplyWth>	[0..1]	Text		425
	<b>TaxationConditions</b> <TaxtnCnds>	[0..1]	Text		425
	<b>NewCompanyName</b> <NewCpnyNm>	[0..1]	Text		425
	<b>Offeror</b> <Offerr>	[0..1]	±		425
	<b>URLAddress</b> <URLAdr>	[0..1]	Text		425
	<b>AdditionalText</b> <AddtlTxt>	[0..1]	Text		425

**Constraints**

- **ChangeAllowedRule**

If the value of ChangeAllowedIndicator is true, then PerdioDetails/RevocabilityPeriod is Mandatory.

- **WithdrawalAllowedRule**

If the value of WithdrawalAllowedIndicator is true, then PeriodDetails/RevocabilityPeriod is Mandatory.

**4.4.4.2.1 OptionNumber <OptnNb>**

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 1092

**4.4.4.2.2 OptionType <OptnTp>**

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		340
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		341

#### 4.4.4.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

CodeName	Name	Definition
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 4.4.4.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.3 OptionAvailabilityStatus <OptnAvlbtys>

*Presence:* [1..1]

*Definition:* Specifies the status of the option.

**OptionAvailabilityStatus <OptnAvlbtys>** contains one of the following **CorporateActionEventStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		341
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		342

#### 4.4.4.2.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the CA option.

*Datatype:* "[CorporateActionEventStatus2Code](#)" on page 1018

CodeName	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.

CodeName	Name	Definition
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

#### 4.4.4.2.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the status of the CA option.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.4 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.2.5 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		342
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		343

#### 4.4.4.2.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of beneficiary's certification.

*Datatype:* "[BeneficiaryCertificationType1Code](#)" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

#### 4.4.4.2.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of beneficiary's certification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.6 AssentedLineSecurityIdentification <AssntdLineSctyld>

*Presence:* [0..1]

*Definition:* Identification of a temporary security used for processing reasons, eg, contra security used in the US.

**AssentedLineSecurityIdentification <AssntdLineSctyld>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 4.4.4.2.7 AgentSecuritiesAccountIdentification <AgtSctiesAcctld>

*Presence:* [0..1]

*Definition:* Identification of the safekeeping account held by an agent at the CSD.

*Datatype:* "Max35Text" on page 1094

#### 4.4.4.2.8 AgentCashAccountIdentification <AgtCshAcctld>

*Presence:* [0..1]

*Definition:* Identification of the cash account held by an agent at the CSD.

**AgentCashAccountIdentification <AgtCshAcctld>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctld>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		756

#### 4.4.4.2.9 OfferType <OfferTp>

*Presence:* [0..\*]

*Definition:* Specifies the conditions that apply to the offer.

**OfferType <OfferTp>** contains one of the following **OfferType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		344
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		345

##### 4.4.4.2.9.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the conditions that apply to the offer.

*Datatype:* "OfferType1Code" on page 1064



CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

#### 4.4.4.2.9.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the conditions that apply to the offer.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.10 IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>

*Presence:* [0..1]

*Definition:* Type of intermediates securities distribution, eg, stock dividend, reverse right.

**IntermediateSecuritiesDistributionType <IntrmdtSctiesDstrbtnTp>** contains one of the following **IntermediateSecurityDistributionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		346
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		346

#### 4.4.4.2.10.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of intermediate security distribution.

*Datatype:* "IntermediateSecurityDistributionType1Code" on page 1054

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

#### 4.4.4.2.10.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of intermediate security distribution.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.11 WithdrawalAllowedIndicator <WdrwAllwdInd>

*Presence:* [1..1]

*Definition:* Indicates whether withdrawal of instruction is allowed.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.2.12 ChangeAllowedIndicator <ChngAllwdInd>

*Presence:* [1..1]

*Definition:* Indicates whether change of instruction is allowed.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.2.13 DateDetails <DtDtIs>

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a CA option.

**DateDetails <DtDtls>** contains the following **CorporateActionDate4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CouponClippingDate</b> <CpnClpngDt>	[0..1]	±		348
	<b>ConsentExpirationDate</b> <CnsntXprtnDt>	[0..1]	±		348
	<b>ConsentRecordDate</b> <CnsntRcrdDt>	[0..1]	±		349
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		349
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		349
	<b>MarketDeadline</b> <MktDdln>	[0..1]	±		349
	<b>ResponseDeadline</b> <RspnDdln>	[0..1]	±		350
	<b>DeadlineToSplit</b> <DdlnToSpl>	[0..1]	±		350
	<b>ExpiryDate</b> <XpryDt>	[0..1]	±		350
	<b>QuotationSettingDate</b> <QtnSetngDt>	[0..1]	±		351
	<b>SubscriptionCostDebitDate</b> <SbcptCostDbtDt>	[0..1]	±		351

#### 4.4.4.2.13.1 CouponClippingDate <CpnClpngDt>

*Presence:* [0..1]

*Definition:* Date/time at which the coupons are to be/were submitted for payment of interest.

**CouponClippingDate <CpnClpngDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.2 ConsentExpirationDate <CnsntXprtnDt>

*Presence:* [0..1]

*Definition:* Last date/time at which a holder can consent to the changes sought by the corporation.

**ConsentExpirationDate <CnsntXprtnDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

**4.4.4.2.13.3 ConsentRecordDate <CnsntRcrdDt>***Presence:* [0..1]

*Definition:* Date/time used by the offeror to determine the beneficiary eligible to participate in a consent based on the registered owner of the securities, eg, beneficial owner of consent record. The consent record date qualifier is used to indicate that a record date only applies to a certain part of the offer, not the entire offer.

**ConsentRecordDate <CnsntRcrdDt>** contains one of the following elements (see ["DateFormat4Choice"](#) on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

**4.4.4.2.13.4 PaymentDate <PmtDt>***Presence:* [0..1]

*Definition:* Date/time at which the distribution is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see ["DateFormat4Choice"](#) on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

**4.4.4.2.13.5 EarliestPaymentDate <EarlstPmtDt>***Presence:* [0..1]

*Definition:* Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see ["DateFormat4Choice"](#) on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

**4.4.4.2.13.6 MarketDeadline <MktDdlIn>***Presence:* [0..1]

*Definition:* Issuer or issuer's agent deadline to respond, with an instruction, to an outstanding offer or privilege.

**MarketDeadline <MktDdln>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.7 ResponseDeadline <RspnDdln>

*Presence:* [0..1]

*Definition:* Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event. This time is dependent on the reference time zone of the account servicer as specified in an SLA.

**ResponseDeadline <RspnDdln>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.8 DeadlineToSplit <DdlnToSpl>

*Presence:* [0..1]

*Definition:* Deadline by which instructions must be received to split securities, eg, of physical certificates.

**DeadlineToSplit <DdlnToSpl>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.9 ExpiryDate <XpryDt>

*Presence:* [0..1]

*Definition:* Date/time at which an order expires or on which a privilege or offer terminates.

**ExpiryDate <XpryDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.10 QuotationSettingDate <QtnSetngDt>

*Presence:* [0..1]

*Definition:* Date/time at which the price of a security is determined.

**QuotationSettingDate <QtnSetngDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.13.11 SubscriptionCostDebitDate <SbcptCostDbtDt>

*Presence:* [0..1]

*Definition:* Date/time by which cash must be in place in order to take part in the event.

**SubscriptionCostDebitDate <SbcptCostDbtDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.14 RateAndAmountDetails <RateAndAmtDtIs>

*Presence:* [0..1]

*Definition:* Provides information about rates and amounts related to a CA option.

**RateAndAmountDetails <RateAndAmtDtls>** contains the following **CorporateActionRate2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>WithholdingTax</b> <WhldgTax>	[0..1]	±		353
	<b>WithholdingOfForeignTax</b> <WhldgOfFrngTax>	[0..1]	±		354
	<b>WithholdingOfLocalTax</b> <WhldgOfLclTax>	[0..1]	±		354
	<b>GermanLocalTax1</b> <GrmnLclTax1>	[0..1]	±		354
	<b>GermanLocalTax2</b> <GrmnLclTax2>	[0..1]	±		355
	<b>GermanLocalTax3</b> <GrmnLclTax3>	[0..1]	±		355
	<b>GermanLocalTax4</b> <GrmnLclTax4>	[0..1]	±		356
	<b>TaxOnIncome</b> <TaxOnIncm>	[0..1]	±		356
	<b>TaxOnProfit</b> <TaxOnPrft>	[0..1]	±		356
	<b>TaxReclaim</b> <TaxRclm>	[0..1]	±		356
	<b>FiscalStamp</b> <FscIStmp>	[0..1]	±		357
	<b>Proration</b> <Prratn>	[0..1]	±		357
	<b>NewToOld</b> <NewToOd>	[0..1]	±		357
	<b>NewSecuritiesToUnderlyingSecurities</b> <NewSctiesToUndrlygScties>	[0..1]	±		358
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScties>	[0..1]	±		358
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbdRslntScties>	[0..1]	±		359
	<b>RelatedTax</b> <RltdTax>	[0..1]			359
	<b>TaxType</b> <TaxTp>	[1..1]			359
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		360
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		360
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	360
	<b>NonResidentRate</b> <NonResdtRate>	[0..1]	±		361
	<b>Charges</b> <Chrgs>	[0..1]	±		361
	<b>InterestForUsedPayment</b> <IntrstForUsdPmt>	[0..1]	±		361
	<b>IndexFactor</b> <IndxFctr>	[0..1]	±		362
	<b>FullyFranked</b> <FullyFrnkcd>	[0..1]	±		362
	<b>GrossDividend</b> <GrssDvdd>	[0..1]	±		362
	<b>NetDividend</b> <NetDvdd>	[0..1]	±		363
	<b>FinalDividend</b> <FnIDvdd>	[0..1]			363



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	363
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			364
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364
	<b>ProvisionalDividend</b> <PrvsnlDvdd>	[0..1]			365
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	365
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	±		366
	<b>SolicitationFee</b> <SlctnFee>	[0..1]	±		367
	<b>MaximumAllowedOversubscription</b> <MaxAllwdOvrsbcpt>	[0..1]	±		367
	<b>AdditionalTax</b> <AddtlTax>	[0..1]	±		367
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	368
	<b>ExchangeRate</b> <XchgRate>	[0..1]			368
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	368
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	369
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		369
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	369
	<b>ApplicableRate</b> <AplblRate>	[0..1]	±		369

#### 4.4.4.2.14.1 WithholdingTax <WhldgTax>

*Presence:* [0..1]

*Definition:* Percentage of a cash distribution that will be withheld by a tax authority.

**WithholdingTax <WhldgTax>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Rate</b> <Rate>	[1..1]	Rate		981
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		981

**4.4.4.2.14.2 WithholdingOfForeignTax <WhldgOfFrngTax>***Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.**WithholdingOfForeignTax <WhldgOfFrngTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.3 WithholdingOfLocalTax <WhldgOfLclTax>***Presence:* [0..1]*Definition:* Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.**WithholdingOfLocalTax <WhldgOfLclTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.4 GermanLocalTax1 <GrmnLclTax1>***Presence:* [0..1]*Definition:* Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

**GermanLocalTax1 <GrmnLclTax1>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

#### 4.4.4.2.14.5 GermanLocalTax2 <GrmnLclTax2>

*Presence:* [0..1]

*Definition:* Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

**GermanLocalTax2 <GrmnLclTax2>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

#### 4.4.4.2.14.6 GermanLocalTax3 <GrmnLclTax3>

*Presence:* [0..1]

*Definition:* Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

**GermanLocalTax3 <GrmnLclTax3>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.7 GermanLocalTax4 <GrmnLclTax4>***Presence:* [0..1]*Definition:* Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.**GermanLocalTax4 <GrmnLclTax4>** contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.8 TaxOnIncome <TaxOnIncm>***Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as an income.**TaxOnIncome <TaxOnIncm>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

**4.4.4.2.14.9 TaxOnProfit <TaxOnPrft>***Presence:* [0..1]*Definition:* Taxation applied on an amount clearly identified as capital profits, capital gains.**TaxOnProfit <TaxOnPrft>** contains one of the following elements (see "[RateFormat1Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

**4.4.4.2.14.10 TaxReclaim <TaxRclm>***Presence:* [0..1]

*Definition:* Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

**TaxReclaim <TaxRclm>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.2.14.11 FiscalStamp <FscIStmp>

*Presence:* [0..1]

*Definition:* Percentage of fiscal tax to apply.

**FiscalStamp <FscIStmp>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.2.14.12 Proration <Prratn>

*Presence:* [0..1]

*Definition:* Proportionate allocation used for the offer.

**Proration <Prratn>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.2.14.13 NewToOld <NewToOd>

*Presence:* [0..1]

*Definition:* Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, eg, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

**NewToOld <NewToOd>** contains one of the following elements (see "RatioFormat2Choice" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		979
Or	AmountToAmount <AmtToAmt>	[1..1]	±		979
Or	AmountToQuantity <AmtToQty>	[1..1]	±		979
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		980
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			980
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

#### 4.4.4.2.14.14 NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>

*Presence:* [0..1]

*Definition:* Quantity of new equities that will be derived by the exercise of a given quantity of intermediate securities.

**NewSecuritiesToUnderlyingSecurities <NewSctiesToUndrlygScties>** contains one of the following elements (see "RatioFormat2Choice" on page 978 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		979
Or	AmountToAmount <AmtToAmt>	[1..1]	±		979
Or	AmountToQuantity <AmtToQty>	[1..1]	±		979
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		980
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			980
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

#### 4.4.4.2.14.15 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

*Presence:* [0..1]

*Definition:* Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new

equity credited for every 1 underlying equity = 2 resulting equities.

**AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>** contains one of the following elements (see "RatioFormat1Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		977
Or	AmountToAmount <AmtToAmt>	[1..1]	±		977
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			978
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		978

#### 4.4.4.2.14.16 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>

*Presence:* [0..1]

*Definition:* Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScties>** contains one of the following elements (see "RatioFormat1Choice" on page 977 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		977
Or	AmountToAmount <AmtToAmt>	[1..1]	±		977
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			978
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		978

#### 4.4.4.2.14.17 RelatedTax <RltdTax>

*Presence:* [0..1]

*Definition:* Percentage of the gross dividend rate on which tax must be paid.

**RelatedTax <RltdTax>** contains the following **RelatedTaxType1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxType</b> <TaxTp>	[1..1]			359
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		360
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		360
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	360

##### 4.4.4.2.14.17.1 TaxType <TaxTp>

*Presence:* [1..1]

*Definition:* Specifies the type of tax.

**TaxType <TaxTp>** contains one of the following **TaxType3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		360
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		360

#### 4.4.4.2.14.17.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of taxes.

*Datatype:* "TaxType3Code" on page 1084

CodeName	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

#### 4.4.4.2.14.17.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of taxes.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.14.17.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* The value of the related tax expressed as an amount.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007



**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.14.18 NonResidentRate <NonResdtRate>**

*Presence:* [0..1]

*Definition:* Rate per share to which a non-resident is entitled.

**NonResidentRate <NonResdtRate>** contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.19 Charges <Chrgs>**

*Presence:* [0..1]

*Definition:* Rate used to calculate the amount of the charges/fees that cannot be categorised.

**Charges <Chrgs>** contains one of the following elements (see "[RateAndAmountFormat1Choice](#)" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.20 InterestForUsedPayment <IntrstForUsdPmt>**

*Presence:* [0..1]

*Definition:* The actual interest rate used for the payment of the interest for the specified interest period.

**InterestForUsedPayment <IntrstForUsdPmt>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

#### 4.4.4.2.14.21 IndexFactor <IndxFctr>

*Presence:* [0..1]

*Definition:* Public index rate applied to the amount paid to adjust it to inflation.

**IndexFactor <IndxFctr>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

#### 4.4.4.2.14.22 FullyFranked <FullyFrnkD>

*Presence:* [0..1]

*Definition:* Rate resulting from a fully franked dividend paid by a company; rate includes tax credit for companies that have made sufficient tax payments during fiscal period.

**FullyFranked <FullyFrnkD>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

#### 4.4.4.2.14.23 GrossDividend <GrssDvdd>

*Presence:* [0..1]

*Definition:* Cash dividend amount per equity before deductions or allowances have been made.

**GrossDividend <GrssDvdd>** contains one of the following elements (see "GrossDividendRate1Choice" on page 975 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			975
{Or	Code <Cd>	[1..1]	CodeSet		975
Or}	Proprietary <Prtry>	[1..1]	±		976
Or	Amount <Amt>	[1..1]	Amount	C1, C5	976
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		976

#### 4.4.4.2.14.24 NetDividend <NetDvdd>

*Presence:* [0..1]

*Definition:* Cash dividend amount per equity after deductions or allowances have been made.

**NetDividend <NetDvdd>** contains one of the following elements (see "NetDividendRate1Choice" on page 982 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			983
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	±		983
Or	Amount <Amt>	[1..1]	Amount	C1, C5	984
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		984

#### 4.4.4.2.14.25 FinalDividend <FnIDvdd>

*Presence:* [0..1]

*Definition:* Dividend is final.

**FinalDividend <FnIDvdd>** contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	363
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			364
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364

##### 4.4.4.2.14.25.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.14.25.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* The rate is not specified.

**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		364
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		364

##### 4.4.4.2.14.25.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType12Code" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

##### 4.4.4.2.14.25.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.14.26 ProvisionalDividend <PrvsnlDvdd>

*Presence:* [0..1]

*Definition:* Dividend is provisional.

**ProvisionalDividend <PrvsnlDvdd>** contains one of the following **AmountAndRateFormat2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	365
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			365
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366

##### 4.4.4.2.14.26.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

##### 4.4.4.2.14.26.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* The rate is not specified.

**NotSpecifiedRate** <NotSpcfdRate> contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		366
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		366

#### 4.4.4.2.14.26.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType12Code" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

#### 4.4.4.2.14.26.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of rate.

**Proprietary** <Prtry> contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.14.27 CashIncentive <CshIncntiv>

*Presence:* [0..1]

*Definition:* Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

**CashIncentive** <CshIncntiv> contains one of the following elements (see "[RateFormat1Choice](#)" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Rate</b> <Rate>	[1..1]	Rate		981
Or}	<b>NotSpecifiedRate</b> <NotSpcfdRate>	[1..1]			981
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		981
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		981

**4.4.4.2.14.28 SolicitationFee <SlctnFee>***Presence:* [0..1]*Definition:* Cash rate made available in an offer in order to encourage participation in the offer.**SolicitationFee <SlctnFee>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

**4.4.4.2.14.29 MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>***Presence:* [0..1]*Definition:* A maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, eg, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50%.**MaximumAllowedOversubscription <MaxAllwdOvrsbcpt>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

**4.4.4.2.14.30 AdditionalTax <AddtlTax>***Presence:* [0..1]*Definition:* Rate used for additional tax that cannot be categorised.**AdditionalTax <AddtlTax>** contains one of the following elements (see "RateAndAmountFormat1Choice" on page 823 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**4.4.4.2.14.31 OriginalAmount <OrgnlAmt>***Presence:* [0..1]*Definition:* Amount in its original currency when conversion from/into another currency has occurred.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.14.32 ExchangeRate <XchgRate>***Presence:* [0..1]*Definition:* Provides information about a foreign exchange.**ExchangeRate <XchgRate>** contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	368
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	369
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		369
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	369

**4.4.4.2.14.32.1 UnitCurrency <UnitCcy>***Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1008**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.4.2.14.32.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.4.2.14.32.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOneRate" on page 1091

#### 4.4.4.2.14.32.4 ResultingAmount <RsltAmt>

*Presence:* [1..1]

*Definition:* Amount of money resulting from a foreign exchange transaction.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.14.33 ApplicableRate <AplblRate>

*Presence:* [0..1]

*Definition:* Rate applicable to the event announced, eg, redemption rate for a redemption event.

**ApplicableRate <AplblRate>** contains one of the following elements (see "RateFormat1Choice" on page 981 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 4.4.4.2.15 PriceDetails <PricDtls>

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a CA option.

**PriceDetails <PricDtls>** contains the following **CorporateActionPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ExercisePrice</b> <ExrcPric>	[0..1]	±		370
	<b>IssuePrice</b> <IssePric>	[0..1]	±		371
	<b>CashInLieuOfSharePrice</b> <CshInLieuOfShrPric>	[0..1]	±		371
	<b>TaxableIncomePerDividendShare</b> <TaxblIncmPerDvddShr>	[0..1]			372
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]			372
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373
	<b>PriceValue</b> <PricVal>	[1..1]	Amount	C1	373
	<b>GenericCashPriceReceivedPerProduct</b> <GncCshPricRcvdPerPdct>	[0..1]	±		373
	<b>GenericCashPricePaidPerProduct</b> <GncCshPricPdPerPdct>	[0..1]	±		374
	<b>OverSubscriptionDepositPrice</b> <OverSbcptDpstPric>	[0..1]	±		375

##### 4.4.4.2.15.1 ExercisePrice <ExrcPric>

*Presence:* [0..1]

*Definition:* 1. Price at which security will be purchased/sold if warrant is exercised, either as an actual amount or a percentage.

2. Price at which a bond is converted to underlying security either as an actual amount or a percentage.

3. Strike price of an option, represented either as an actual amount, a percentage or or a number of points above an index.

**ExercisePrice <ExrcPric>** contains one of the following elements (see "PriceFormat4Choice" on page 937 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			937
	AmountPriceType <AmtPricTp>	[1..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		938
	PriceValue <PricVal>	[1..1]	Amount	C1	939
Or	Rate <Rate>	[1..1]	±		939
Or	NotSpecified <NotSpcfd>	[1..1]			939
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		940
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		940

#### 4.4.4.2.15.2 IssuePrice <IssePric>

*Presence:* [0..1]

*Definition:* Initial issue price of a financial instrument.

**IssuePrice <IssePric>** contains one of the following elements (see "PriceFormat2Choice" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 4.4.4.2.15.3 CashInLieuOfSharePrice <CshInLieuOfShrPric>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

**CashInLieuOfSharePrice <CshInLieuOfShrPric>** contains one of the following elements (see "PriceFormat2Choice" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 4.4.4.2.15.4 TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>

*Presence:* [0..1]

*Definition:* Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments within the scope of the EU Savings directive.

**TaxableIncomePerDividendShare <TaxblIncmPerDvddShr>** contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AmountPriceType</b> <AmtPricTp>	[1..1]			372
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373
	<b>PriceValue</b> <PricVal>	[1..1]	Amount	C1	373

##### 4.4.4.2.15.4.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		372
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		373

##### 4.4.4.2.15.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 4.4.4.2.15.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.15.4.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.4.2.15.5 GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price received per product by the underlying security holder either as a percentage or an amount, eg, redemption price.

**GenericCashPriceReceivedPerProduct <GncCshPricRcvdPerPdct>** contains one of the following elements (see "PriceFormat1Choice" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			956
	AmountPriceType <AmtPricTp>	[1..1]			957
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
	PriceValue <PricVal>	[1..1]	Amount	C1	958
Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]			958
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			960
{Or	Unit <Unit>	[1..1]	Quantity		960
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	960
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]			961
{Or	Code <Cd>	[1..1]	CodeSet		961
Or}	Proprietary <Prtry>	[1..1]	±		961
	PriceValue <PricVal>	[1..1]	Amount	C1	962
	Amount <Amt>	[1..1]	Amount	C1	962
Or}	NotSpecified <NotSpcfd>	[1..1]			962
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		963

#### 4.4.4.2.15.6 GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>

*Presence:* [0..1]

*Definition:* Generic cash price paid per product by the underlying security holder either as a percentage or an amount, eg, reinvestment price.

**GenericCashPricePaidPerProduct <GncCshPricPdPerPdct>** contains one of the following elements (see "PriceFormat2Choice" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 4.4.4.2.15.7 OverSubscriptionDepositPrice <OverSbcptDpstPric>

*Presence:* [0..1]

*Definition:* Amount of money required per over-subscribed equity as defined by the issuer.

**OverSubscriptionDepositPrice <OverSbcptDpstPric>** contains one of the following elements (see "PriceFormat2Choice" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 4.4.4.2.16 PeriodDetails <PrdDtls>

*Presence:* [0..1]

*Definition:* Provides information about the periods related to a CA option.

**PeriodDetails <PrdDtls>** contains the following **CorporateActionPeriod2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AssentedLinePeriod</b> <AssntdLinePrd>	[0..1]	±		376
	<b>ActionPeriod</b> <ActnPrd>	[0..1]	±		376
	<b>PrivilegeSuspensionPeriod</b> <PrvlgSspnsnPrd>	[0..1]	±		376
	<b>ParallelTradingPeriod</b> <ParllTradgPrd>	[0..1]	±		377
	<b>SellThruIssuerPeriod</b> <SellThruIssrPrd>	[0..1]	±		377
	<b>RevocabilityPeriod</b> <RvcbltyPrd>	[0..1]	±		377
	<b>PriceCalculationPeriod</b> <PricClctnPrd>	[0..1]	±		377

#### 4.4.4.2.16.1 AssentedLinePeriod <AssntdLinePrd>

*Presence:* [0..1]

*Definition:* Period during which the assented line is available.

**AssentedLinePeriod <AssntdLinePrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.2 ActionPeriod <ActnPrd>

*Presence:* [0..1]

*Definition:* Period during which the specified option, or all options of the event, remains valid, eg, offer period.

**ActionPeriod <ActnPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.3 PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>

*Presence:* [0..1]

*Definition:* Period during which the privilege is not available, eg, this can happen whenever a meeting takes place or whenever a coupon payment is due.



**PrivilegeSuspensionPeriod <PrvlgSspnsnPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.4 ParallelTradingPeriod <ParllTradgPrd>

*Presence:* [0..1]

*Definition:* Period during which both old and new equity may be traded simultaneously, eg, consolidation of equity or splitting of equity.

**ParallelTradingPeriod <ParllTradgPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.5 SellThruIssuerPeriod <SellThrulssrPrd>

*Presence:* [0..1]

*Definition:* Period (last day included) during which an account owner can surrender or sell securities to the issuer and receive the sale proceeds.

**SellThruIssuerPeriod <SellThrulssrPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.6 RevocabilityPeriod <RvcbltyPrd>

*Presence:* [0..1]

*Definition:* Period during which the shareholder can revoke, change or withdraw its instruction.

**RevocabilityPeriod <RvcbltyPrd>** contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.16.7 PriceCalculationPeriod <PricClctnPrd>

*Presence:* [0..1]

*Definition:* Period during which the price of a security is determined (for outturn securities).

**PriceCalculationPeriod** <PricClctnPrd> contains the following elements (see "Period1" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.17 SecuritiesMovementDetails <SctiesMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the securities movement linked to the CA option.

**SecuritiesMovementDetails <SctiesMvmntDtls>** contains the following **SecurityOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		380
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		380
	<b>SecuritiesQuantity</b> <SctiesQty>	[0..1]			380
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	381
	<b>MinimumExercisableSecuritiesQuantity</b> <MinExrcblSctiesQty>	[0..1]			381
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>MinimumExercisableMultipleSecuritiesQuantity</b> <MinExrcblMltplSctiesQty>	[0..1]			382
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		382
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382
	<b>NewDenominationSecuritiesQuantity</b> <NewDnmtnSctiesQty>	[0..1]			383
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		383
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	383
	<b>NewBoardLotSecuritiesQuantity</b> <NewBrdLotSctiesQty>	[0..1]			384
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		384
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	384
	<b>ShareRanking</b> <ShrRnkg>	[0..1]			384
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		385
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		385
	<b>AdditionalQuantityForSubscribedResultantSecurities</b> <AddtlQtyForSbcbdrslntScties>	[0..1]	±		385
	<b>DateDetails</b> <DtDtls>	[0..1]			385
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		386
	<b>AvailableDate</b> <AvlbIDt>	[0..1]	±		386
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		386
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		387
	<b>FirstDealingDate</b> <FrstDealgDt>	[0..1]	±		387
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		387
	<b>PriceDetails</b> <PricDtls>	[0..1]			388

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IndicativePrice</b> <IndctvPric>	[0..1]	±		388
	<b>MarketPrice</b> <MktPric>	[0..1]	±		388
	<b>TradingPeriod</b> <TradgPrd>	[0..1]	±		389
	<b>AdditionalQuantityForExistingSecurities</b> <AddtlQtyForExstgScies>	[0..1]	±		389
	<b>TemporaryFinancialInstrumentIndicator</b> <TempFinInstrmInd>	[0..1]	Indicator		389
	<b>FractionDisposition</b> <FrctnDspstn>	[0..1]			390
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		390
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		390

**4.4.4.2.17.1 SecurityIdentification <Sctyld>***Presence:* [1..1]*Definition:* Identification of the financial instrument.**SecurityIdentification <Sctyld>** contains the following elements (see "FinancialInstrumentDescription3" on page 1003 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

**4.4.4.2.17.2 CreditDebitIndicator <CdtDbtInd>***Presence:* [1..1]*Definition:* Specifies whether the value is a debit or credit.*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**4.4.4.2.17.3 SecuritiesQuantity <SctiesQty>***Presence:* [0..1]*Definition:* Quantity of financial instrument.

**SecuritiesQuantity <SctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	381

#### 4.4.4.2.17.3.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 4.4.4.2.17.3.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.17.4 MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>

*Presence:* [0..1]

*Definition:* Minimum quantity of financial instrument or lot of rights/warrants that must be exercised.

**MinimumExercisableSecuritiesQuantity <MinExrcblSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		381
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382

#### 4.4.4.2.17.4.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 4.4.4.2.17.4.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.17.5 MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltpISctiesQty>

*Presence:* [0..1]

*Definition:* Minimum multiple quantity of financial instrument or lot of rights/warrants that must be exercised.

**MinimumExercisableMultipleSecuritiesQuantity <MinExrcblMltpISctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		382
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	382

#### 4.4.4.2.17.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 4.4.4.2.17.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.17.6 NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>**

*Presence:* [0..1]

*Definition:* New denomination of the financial instrument following, eg, an increase or decrease in nominal value.

**NewDenominationSecuritiesQuantity <NewDnmtnSciesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		383
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	383

**4.4.4.2.17.6.1 Unit <Unit>**

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

**4.4.4.2.17.6.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.17.7 NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>

*Presence:* [0..1]

*Definition:* Quantity of equity that makes up the new board lot.

**NewBoardLotSecuritiesQuantity <NewBrdLotSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		384
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	384

##### 4.4.4.2.17.7.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 4.4.4.2.17.7.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.17.8 ShareRanking <ShrRnkg>

*Presence:* [0..1]

*Definition:* Specifies whether the shares are ranking for dividend or pari passu.



**ShareRanking <ShrRnkg>** contains one of the following **ShareRanking1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		385
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		385

#### 4.4.4.2.17.8.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the share ranking.

*Datatype:* "ShareRanking1Code" on page 1083

CodeName	Name	Definition
DIVI	Dividend	Payable on the date specified.
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

#### 4.4.4.2.17.8.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the share ranking.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.17.9 AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>

*Presence:* [0..1]

*Definition:* Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

**AdditionalQuantityForSubscribedResultantSecurities <AddtlQtyForSbcbdRsltntScies>** contains the following elements (see "QuantityToQuantityRatio1" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

#### 4.4.4.2.17.10 DateDetails <DtDtls>

*Presence:* [0..1]

*Definition:* Provides information about the dates related to a securities movement.

**DateDetails <DtDtls>** contains the following **CorporateActionDate3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		386
	<b>AvailableDate</b> <AvlblDt>	[0..1]	±		386
	<b>DividendRankingDate</b> <DvddRnkgDt>	[0..1]	±		386
	<b>PariPassuDate</b> <PrpssDt>	[0..1]	±		387
	<b>FirstDealingDate</b> <FrstDealgDt>	[0..1]	±		387
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		387

#### 4.4.4.2.17.10.1 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the distribution is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.10.2 AvailableDate <AvlblDt>

*Presence:* [0..1]

*Definition:* Date/time at which securities become available for sale.

**AvailableDate <AvlblDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.10.3 DividendRankingDate <DvddRnkgDt>

*Presence:* [0..1]

*Definition:* Date/time at which a security will be entitled to a dividend.

**DividendRankingDate <DvddRnkgDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.10.4 PariPassuDate <PrpssDt>

*Presence:* [0..1]

*Definition:* Date on which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

**PariPassuDate <PrpssDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.10.5 FirstDealingDate <FrstDealgDt>

*Presence:* [0..1]

*Definition:* Date/time at which new securities begin trading.

**FirstDealingDate <FrstDealgDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.10.6 EarliestPaymentDate <EarlstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**EarliestPaymentDate <EarlStPmtDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.17.11 PriceDetails <PricDtls>

*Presence:* [0..1]

*Definition:* Provides information about the prices related to a securities movement.

**PriceDetails <PricDtls>** contains the following **CorporateActionPrice4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>IndicativePrice</b> <IndctvPric>	[0..1]	±		388
	<b>MarketPrice</b> <MktPric>	[0..1]	±		388

##### 4.4.4.2.17.11.1 IndicativePrice <IndctvPric>

*Presence:* [0..1]

*Definition:* Estimated price, eg, for valuation purposes.

**IndicativePrice <IndctvPric>** contains one of the following elements (see "PriceFormat2Choice" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

##### 4.4.4.2.17.11.2 MarketPrice <MktPric>

*Presence:* [0..1]

*Definition:* Last reported/known price of a financial instrument in a market.

**MarketPrice <MktPric>** contains one of the following elements (see "[PriceFormat2Choice](#)" on page 949 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpced>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 4.4.4.2.17.12 TradingPeriod <TradgPrd>

*Presence:* [0..1]

*Definition:* Period during which intermediate securities are tradable in a secondary market.

**TradingPeriod <TradgPrd>** contains the following elements (see "[Period1](#)" on page 780 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

#### 4.4.4.2.17.13 AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>

*Presence:* [0..1]

*Definition:* Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, eg, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

**AdditionalQuantityForExistingSecurities <AddtlQtyForExstgScties>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

#### 4.4.4.2.17.14 TemporaryFinancialInstrumentIndicator <TempFinInstrmInd>

*Presence:* [0..1]

*Definition:* Specifies that the security is a temporary security.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.2.17.15 FractionDisposition <FrctnDspstn>

*Presence:* [0..1]

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**FractionDisposition <FrctnDspstn>** contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		390
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		390

##### 4.4.4.2.17.15.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

*Datatype:* "FractionDispositionType1Code" on page 1048

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

##### 4.4.4.2.17.15.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.18 CashMovementDetails <CshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the cash movement linked to the CA option.

**CashMovementDetails <CshMvmntDtls>** contains the following **CashOption1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator &lt;CdtDbtInd&gt;</b>	[1..1]	CodeSet		394
	<b>Currency &lt;Ccy&gt;</b>	[0..1]	CodeSet	C1	394
	<b>DateDetails &lt;DtDtls&gt;</b>	[0..1]			394
	<b>ForeignExchangeRateFixingDate &lt;FXRateFxdDt&gt;</b>	[0..1]	±		394
	<b>ValueDate &lt;ValDt&gt;</b>	[0..1]	±		395
	<b>PaymentDate &lt;PmtDt&gt;</b>	[0..1]	±		395
	<b>EarliestPaymentDate &lt;EarlstPmtDt&gt;</b>	[0..1]	±		395
	<b>AmountDetails &lt;AmtDtls&gt;</b>	[0..1]			396
	<b>GrossCashAmount &lt;GrssCshAmt&gt;</b>	[0..1]	Amount	C1, C5	398
	<b>NetCashAmount &lt;NetCshAmt&gt;</b>	[0..1]	Amount	C1, C5	399
	<b>IssueDiscountAmount &lt;IsseDscntAmt&gt;</b>	[0..1]	Amount	C1, C5	399
	<b>SolicitationFees &lt;SlctnFees&gt;</b>	[0..1]	Amount	C1, C5	399
	<b>CashInLieuOfShare &lt;CshInLieuOfShr&gt;</b>	[0..1]	Amount	C1, C5	400
	<b>OriginalAmount &lt;OrgnlAmt&gt;</b>	[0..1]	Amount	C1, C5	400
	<b>CapitalGain &lt;CptlGn&gt;</b>	[0..1]	Amount	C1, C5	401
	<b>InterestAmount &lt;IntrstAmt&gt;</b>	[0..1]	Amount	C1, C5	401
	<b>IndemnityAmount &lt;IndmntyAmt&gt;</b>	[0..1]	Amount	C1, C5	401
	<b>RedemptionPremiumAmount &lt;RedPrmAmt&gt;</b>	[0..1]	Amount	C1, C5	402
	<b>ManufacturedDividendAmount &lt;ManfctrdDvddAmt&gt;</b>	[0..1]	Amount	C1, C5	402
	<b>PrincipalOrCorpus &lt;PrncplOrCrps&gt;</b>	[0..1]	Amount	C1, C5	403
	<b>ReinvestmentAmount &lt;RinvstmtAmt&gt;</b>	[0..1]	Amount	C1, C5	403
	<b>MarketClaimAmount &lt;MktClmAmt&gt;</b>	[0..1]	Amount	C1, C5	404
	<b>FullyFrankedAmount &lt;FullyFrnkAmt&gt;</b>	[0..1]	Amount	C1, C5	404
	<b>UnfrankedAmount &lt;UfrnkAmt&gt;</b>	[0..1]	Amount	C1, C5	404
	<b>SundryOrOtherAmount &lt;SndryOrOthrAmt&gt;</b>	[0..1]	Amount	C1, C5	405
	<b>SpecialConcessionAmount &lt;SpclCncssnAmt&gt;</b>	[0..1]	Amount	C1, C5	405
	<b>EntitledAmount &lt;EntitldAmt&gt;</b>	[0..1]	Amount	C1, C5	406
	<b>CashIncentive &lt;CshIncntiv&gt;</b>	[0..1]	Amount	C1, C5	406
	<b>AdditionalSubscriptionCost &lt;AddtlSbcptCost&gt;</b>	[0..1]	Amount	C1, C5	406
	<b>TaxFreeAmount &lt;TaxFreeAmt&gt;</b>	[0..1]	Amount	C1, C5	407
	<b>TaxDeferredAmount &lt;TaxDfrdAmt&gt;</b>	[0..1]	Amount	C1, C5	407



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>GermanLocalTax1Amount</b> <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax2Amount</b> <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax3Amount</b> <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax4Amount</b> <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	409
	<b>StockExchangeTaxAmount</b> <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	409
	<b>TransferTaxAmount</b> <TrfTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>TransactionTaxAmount</b> <TxTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>EURRetentionTaxAmount</b> <EURRtnTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>LocalTaxAmount</b> <LclTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>PaymentLevyTaxAmount</b> <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>CountryNationalFederalTaxAmount</b> <CtryNtlFdrlTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	412
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C1, C5	413
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C1, C5	413
	<b>WithholdingOfForeignTaxAmount</b> <WhldgOfFrngTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingOfLocalTaxAmount</b> <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	415
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C1, C5	415
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	416
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>PostageFeeAmount</b> <PstgFeeAmt>	[0..1]	Amount	C1, C5	417
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C1, C5	417
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C1, C5	418
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C1, C5	418
	<b>ExchangeRate</b> <XchgRate>	[0..1]			418
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	419

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	419
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		419
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	420

**4.4.4.2.18.1 CreditDebitIndicator <CdtDbtInd>***Presence:* [1..1]*Definition:* Indicates whether it is a debit or a credit.*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**4.4.4.2.18.2 Currency <Ccy>***Presence:* [0..1]*Definition:* Currency of the option.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1008**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**4.4.4.2.18.3 DateDetails <DtDtls>***Presence:* [0..1]*Definition:* Provides information about the dates related to a cash movement.**DateDetails <DtDtls>** contains the following **CorporateActionDate5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ForeignExchangeRateFixingDate</b> <FXRateFxdt>	[0..1]	±		394
	<b>ValueDate</b> <ValDt>	[0..1]	±		395
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		395
	<b>EarliestPaymentDate</b> <EarlstPmtDt>	[0..1]	±		395

**4.4.4.2.18.3.1 ForeignExchangeRateFixingDate <FXRateFxdt>***Presence:* [0..1]

*Definition:* Date/time at which a foreign exchange rate will be determined.

**ForeignExchangeRateFixingDate <FXRateFxdt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.18.3.2 ValueDate <ValDt>

*Presence:* [0..1]

*Definition:* Date/time at which assets become available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry).

**ValueDate <ValDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.18.3.3 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which the distribution is due to take place (cash and/or securities).

**PaymentDate <PmtDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.18.3.4 EarliestPaymentDate <EarlstPmtDt>

*Presence:* [0..1]

*Definition:* Date/time at which a payment can be made, eg, if payment date is a non-business day or to indicate the first payment date of an offer.

**EarliestPaymentDate <EarlstPmtDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 4.4.4.2.18.4 AmountDetails <AmtDtls>

*Presence:* [0..1]

*Definition:* Provides information about the amounts related to a cash movement.

**AmountDetails** <AmtDtls> contains the following **CorporateActionAmounts1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>GrossCashAmount</b> <GrssCshAmt>	[0..1]	Amount	C1, C5	398
	<b>NetCashAmount</b> <NetCshAmt>	[0..1]	Amount	C1, C5	399
	<b>IssueDiscountAmount</b> <IsseDscntAmt>	[0..1]	Amount	C1, C5	399
	<b>SolicitationFees</b> <SlctnFees>	[0..1]	Amount	C1, C5	399
	<b>CashInLieuOfShare</b> <CshInLieuOfShr>	[0..1]	Amount	C1, C5	400
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	400
	<b>CapitalGain</b> <CptlGn>	[0..1]	Amount	C1, C5	401
	<b>InterestAmount</b> <IntrstAmt>	[0..1]	Amount	C1, C5	401
	<b>IndemnityAmount</b> <IndmntyAmt>	[0..1]	Amount	C1, C5	401
	<b>RedemptionPremiumAmount</b> <RedPrmAmt>	[0..1]	Amount	C1, C5	402
	<b>ManufacturedDividendAmount</b> <ManfctrdDvddAmt>	[0..1]	Amount	C1, C5	402
	<b>PrincipalOrCorpus</b> <PrncplOrCrps>	[0..1]	Amount	C1, C5	403
	<b>ReinvestmentAmount</b> <RinvstmtAmt>	[0..1]	Amount	C1, C5	403
	<b>MarketClaimAmount</b> <MktClmAmt>	[0..1]	Amount	C1, C5	404
	<b>FullyFrankedAmount</b> <FullyFrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>UnfrankedAmount</b> <UfrnkdtAmt>	[0..1]	Amount	C1, C5	404
	<b>SundryOrOtherAmount</b> <SndryOrOthrAmt>	[0..1]	Amount	C1, C5	405
	<b>SpecialConcessionAmount</b> <SpclCncssnAmt>	[0..1]	Amount	C1, C5	405
	<b>EntitledAmount</b> <EntitldAmt>	[0..1]	Amount	C1, C5	406
	<b>CashIncentive</b> <CshIncntiv>	[0..1]	Amount	C1, C5	406
	<b>AdditionalSubscriptionCost</b> <AddtlSbcptCost>	[0..1]	Amount	C1, C5	406
	<b>TaxFreeAmount</b> <TaxFreeAmt>	[0..1]	Amount	C1, C5	407
	<b>TaxDeferredAmount</b> <TaxDfrrdAmt>	[0..1]	Amount	C1, C5	407
	<b>GermanLocalTax1Amount</b> <GrmnLclTax1Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax2Amount</b> <GrmnLclTax2Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax3Amount</b> <GrmnLclTax3Amt>	[0..1]	Amount	C1, C5	408
	<b>GermanLocalTax4Amount</b> <GrmnLclTax4Amt>	[0..1]	Amount	C1, C5	409
	<b>StockExchangeTaxAmount</b> <StockXchgTaxAmt>	[0..1]	Amount	C1, C5	409
	<b>TransferTaxAmount</b> <TrfTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>TransactionTaxAmount</b> <TxTaxAmt>	[0..1]	Amount	C1, C5	410
	<b>ValueAddedTaxAmount</b> <ValAddedTaxAmt>	[0..1]	Amount	C1, C5	410

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>EURetentionTaxAmount</b> <EURtnthTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>LocalTaxAmount</b> <LclTaxAmt>	[0..1]	Amount	C1, C5	411
	<b>PaymentLevyTaxAmount</b> <PmtLevyTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>CountryNationalFederalTaxAmount</b> <CtryNtlFdrTaxAmt>	[0..1]	Amount	C1, C5	412
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	412
	<b>TaxReclaimAmount</b> <TaxRclmAmt>	[0..1]	Amount	C1, C5	413
	<b>TaxCreditAmount</b> <TaxCdtAmt>	[0..1]	Amount	C1, C5	413
	<b>WithholdingOfForeignTaxAmount</b> <WhldgOfFrngTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingOfLocalTaxAmount</b> <WhldgOfLclTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>AdditionalTaxAmount</b> <AddtlTaxAmt>	[0..1]	Amount	C1, C5	414
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	415
	<b>FiscalStampAmount</b> <FscIStmpAmt>	[0..1]	Amount	C1, C5	415
	<b>ExecutingBrokerAmount</b> <ExctgBrkrAmt>	[0..1]	Amount	C1, C5	416
	<b>PayingAgentCommissionAmount</b> <PngAgtComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>LocalBrokerCommissionAmount</b> <LclBrkrComssnAmt>	[0..1]	Amount	C1, C5	416
	<b>PostageFeeAmount</b> <PstgFeeAmt>	[0..1]	Amount	C1, C5	417
	<b>RegulatoryFeesAmount</b> <RgltryFeesAmt>	[0..1]	Amount	C1, C5	417
	<b>ShippingFeesAmount</b> <ShppgFeesAmt>	[0..1]	Amount	C1, C5	418
	<b>ChargesAmount</b> <ChrgsAmt>	[0..1]	Amount	C1, C5	418

**4.4.4.2.18.4.1 GrossCashAmount <GrssCshAmt>***Presence:* [0..1]*Definition:* Amount of money before any deductions and allowances have been made.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.2 NetCashAmount <NetCshAmt>

*Presence:* [0..1]

*Definition:* Amount of money after deductions and allowances have been made, if any, ie, the total amount +/- charges/fees.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.3 IssueDiscountAmount <IsseDscntAmt>

*Presence:* [0..1]

*Definition:* Amount of money defined as a discount on a new issue or on a tranche of an existing issue.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.4 SolicitationFees <SlctnFees>

*Presence:* [0..1]

*Definition:* Amount of cash premium made available in order to encourage participation in the offer. Payment is made to a third party who has solicited an entity to take part in the offer.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.5 CashInLieuOfShare <CshInLieuOfShr>

*Presence:* [0..1]

*Definition:* Cash disbursement in lieu of a fractional quantity of, eg, equity.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.6 OriginalAmount <OrgnIAmt>

*Presence:* [0..1]

*Definition:* Amount in its original currency when conversion from/into another currency has occurred.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.7 CapitalGain <CptlGn>

*Presence:* [0..1]

*Definition:* Amount of money distributed as the result of a capital gain.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.8 InterestAmount <IntrstAmt>

*Presence:* [0..1]

*Definition:* Amount of money representing a coupon payment.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.9 IndemnityAmount <IndmntyAmt>

*Presence:* [0..1]

*Definition:* (Unique to France) Amount due to a buyer of securities dealt prior to ex date which may be subject to different rate of taxation.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **4.4.4.2.18.4.10 RedemptionPremiumAmount <RedPrmAmt>**

*Presence:* [0..1]

*Definition:* Amount of money (not interest) in addition to the principal at the redemption of a bond.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **4.4.4.2.18.4.11 ManufacturedDividendAmount <ManfctrdDvddAmt>**

*Presence:* [0..1]

*Definition:* Amount of money that the borrower pays to the lender as a compensation. It does not entitle the lender to reclaim any tax credit and is sometimes treated differently by the local tax authorities of the lender.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.12 PrincipalOrCorpus <PrncplOrCrps>**

*Presence:* [0..1]

*Definition:* Amount of money representing a distribution of a bond's principal, eg, repayment of outstanding debt.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.13 ReinvestmentAmount <RinvstmtAmt>**

*Presence:* [0..1]

*Definition:* Amount of money reinvested in additional securities.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.14 MarketClaimAmount <MktCImAmt>

*Presence:* [0..1]

*Definition:* Amount of money resulting from a market claim.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.15 FullyFrankedAmount <FullyFrnkdAmt>

*Presence:* [0..1]

*Definition:* Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 4.4.4.2.18.4.16 UnfrankedAmount <UfrnkAmt>

*Presence:* [0..1]

*Definition:* Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.17 SundryOrOtherAmount <SndryOrOthrAmt>**

*Presence:* [0..1]

*Definition:* Amount of money related to taxable income that cannot be categorised.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.18 SpecialConcessionAmount <SpclCncssnAmt>**

*Presence:* [0..1]

*Definition:* Amount of drawdown or other reduction from or in addition to the deal price.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.19 EntitledAmount <EntitldAmt>**

*Presence:* [0..1]

*Definition:* Cash amount based on terms of corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.20 CashIncentive <CshIncntiv>**

*Presence:* [0..1]

*Definition:* Rate of the cash premium made available if the securities holder consents or participates to an event, e.g. consent fees.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.21 AdditionalSubscriptionCost <AddtlSbcptCost>**

*Presence:* [0..1]

*Definition:* Additional costs - coming on top of the subscription costs - which the subscriber should pay as per the subscription process. Not to be used for the subscription cost itself.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.22 TaxFreeAmount <TaxFreeAmt>**

*Presence:* [0..1]

*Definition:* Amount of money that has not been subject to taxation.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.23 TaxDeferredAmount <TaxDfrdAmt>**

*Presence:* [0..1]

*Definition:* Amount of income eligible for deferred taxation.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.24 GermanLocalTax1Amount <GrmnLclTax1Amt>**

*Presence:* [0..1]

*Definition:* Local tax (ZAS Anrechnungsbetrag) subject to interest down payment tax (proportion of interest liable for interest down payment tax/interim profit that is not covered by the tax exempt amount).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.25 GermanLocalTax2Amount <GrmnLclTax2Amt>**

*Presence:* [0..1]

*Definition:* Local tax (ZAS Pflichtige Zinsen) interest liable for interest down payment tax (proportion of gross interest per unit/interim profits that is not covered by the credit in the interest pool).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.26 GermanLocalTax3Amount <GrmnLclTax3Amt>**

*Presence:* [0..1]

*Definition:* Local tax (Zinstopf) offset interest per unit against tax exempt amount (variation to offset interest per unit in relation to tax exempt amount).

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007



**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.27 GermanLocalTax4Amount <GrmnLclTax4Amt>**

*Presence:* [0..1]

*Definition:* Local tax (Ertrag Besitzanteilig) yield liable for interest down payment tax.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.28 StockExchangeTaxAmount <StockXchgTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of stock exchange tax.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.29 TransferTaxAmount <TrfTaxAmt>**

*Presence:* [0..1]

*Definition:* Tax levied on a transfer of ownership of financial instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.30 TransactionTaxAmount <TxTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of transaction tax.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.31 ValueAddedTaxAmount <ValAddedTaxAmt>**

*Presence:* [0..1]

*Definition:* Tax on value added.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.32 EURetentionTaxAmount <EURtntnTaxAmt>**

*Presence:* [0..1]

*Definition:* Total amount of tax withheld at source in conformance with the EU Savings Directive.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.33 LocalTaxAmount <LclTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of tax charged by the jurisdiction in which the financial instrument settles.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.34 PaymentLevyTaxAmount <PmtLevyTaxAmt>**

*Presence:* [0..1]

*Definition:* Payment levy tax.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.35 CountryNationalFederalTaxAmount <CtryNtlFdrTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of country, national or federal tax charged by the jurisdiction in which the account servicer is located.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.36 StampDutyAmount <StmpDtyAmt>**

*Presence:* [0..1]

*Definition:* Amount of stamp duty.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.37 TaxReclaimAmount <TaxRclmAmt>**

*Presence:* [0..1]

*Definition:* Amount that was paid in excess of actual tax obligation and was reclaimed.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.38 TaxCreditAmount <TaxCdtAmt>**

*Presence:* [0..1]

*Definition:* Amount of taxes that have been previously paid in relation to the taxable event.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.39 WithholdingOfForeignTaxAmount <WhldgOfFrgnTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of money withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.40 WithholdingOfLocalTaxAmount <WhldgOfLclTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of money withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.41 AdditionalTaxAmount <AddtlTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of additional taxes that cannot be categorised.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.42 WithholdingTaxAmount <WhldgTaxAmt>**

*Presence:* [0..1]

*Definition:* Amount of a cash distribution that will be withheld by a tax authority.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.43 FiscalStampAmount <FscIStmpAmt>**

*Presence:* [0..1]

*Definition:* Amount of fiscal tax to apply.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.44 ExecutingBrokerAmount <ExctgBrkrAmt>**

*Presence:* [0..1]

*Definition:* Amount of money paid to an executing broker as a commission.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.45 PayingAgentCommissionAmount <PngAgtComssnAmt>**

*Presence:* [0..1]

*Definition:* Amount of paying/sub-paying agent commission.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.46 LocalBrokerCommissionAmount <LclBrkrComssnAmt>**

*Presence:* [0..1]

*Definition:* Local broker's commission.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007



**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.47 PostageFeeAmount <PstgFeeAmt>**

*Presence:* [0..1]

*Definition:* Amount of money paid for delivery by regular post mail.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.48 RegulatoryFeesAmount <RgltryFeesAmt>**

*Presence:* [0..1]

*Definition:* Amount of money charged by a regulatory authority, eg, Securities and Exchange fees.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.49 ShippingFeesAmount <ShppgFeesAmt>**

*Presence:* [0..1]

*Definition:* Amount of money (including insurance) paid for delivery by carrier.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.4.50 ChargesAmount <ChrgsAmt>**

*Presence:* [0..1]

*Definition:* Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.18.5 ExchangeRate <XchgRate>**

*Presence:* [0..1]

*Definition:* Provides information about a foreign exchange.

**ExchangeRate <XchgRate>** contains the following **ForeignExchangeTerms8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	419
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	419
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		419
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	420

#### 4.4.4.2.18.5.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.4.2.18.5.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 4.4.4.2.18.5.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

*Usage:* ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOneRate" on page 1091

**4.4.4.2.18.5.4 ResultingAmount <RsltgAmt>***Presence:* [1..1]*Definition:* Amount of money resulting from a foreign exchange transaction.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**4.4.4.2.19 CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>***Presence:* [0..\*]*Definition:* Provides information about the agents linked to the CA option.

**CorporateActionOtherAgentDetails <CorpActnOthrAgtDtls>** contains the following **CorporateActionAgent1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		420
	<b>AgentRole</b> <AgtRole>	[1..1]			421
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		421
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		421
	<b>ContactPerson</b> <CtctPrsn>	[0..1]	±		422

**4.4.4.2.19.1 AgentIdentification <AgtId>***Presence:* [1..1]*Definition:* Identification of the agent.

**AgentIdentification <AgtId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICOrBEI</b> <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		911
Or}	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		911

**4.4.4.2.19.2 AgentRole <AgtRole>***Presence:* [1..1]*Definition:* Role played by the agent.**AgentRole <AgtRole>** contains one of the following **AgentRole1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		421
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		421

**4.4.4.2.19.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the role of the agent.*Datatype:* "AgentRole2Code" on page 1010

CodeName	Name	Definition
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

**4.4.4.2.19.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the role of the agent.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**4.4.4.2.19.3 ContactPerson <CtctPrsn>***Presence:* [0..1]*Definition:* Contact person at the agent.**ContactPerson <CtctPrsn>** contains the following elements (see "NameAndAddress5" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		929
	Address <Adr>	[0..1]	±		930

**4.4.4.2.20 FractionDisposition <FrctnDspstn>***Presence:* [0..1]*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.**FractionDisposition <FrctnDspstn>** contains one of the following **FractionDispositionType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		422
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		422

**4.4.4.2.20.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.*Datatype:* "FractionDispositionType1Code" on page 1048

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.

**4.4.4.2.20.2 Proprietary <Prtry>***Presence:* [1..1]

*Definition:* Proprietary code to express how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.21 RedemptionChargesAppliedIndicator <RedChrgsAppldInd>

*Presence:* [0..1]

*Definition:* Indicates whether redemption charges apply.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 4.4.4.2.22 OptionFeatures <OptnFeatrs>

*Presence:* [0..\*]

*Definition:* Specifies the features that may apply to a corporate action option.

**OptionFeatures <OptnFeatrs>** contains one of the following **OptionFeatures1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		423
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		424

##### 4.4.4.2.22.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the feature of an option.

*Datatype:* "[OptionFeatures1Code](#)" on page 1066

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a

CodeName	Name	Definition
		combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

#### 4.4.4.2.22.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the feature of an option.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 4.4.4.2.23 CorporateActionAdditionalInformation <CorpActnAddtlInf>

*Presence:* [0..1]

*Definition:* Provides additional information.

**CorporateActionAdditionalInformation <CorpActnAddtlInf>** contains the following **CorporateActionNarrative1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InformationConditions <InfConds>	[0..1]	Text		425
	InformationToComplyWith <InfToCmplyWth>	[0..1]	Text		425
	TaxationConditions <TaxtnConds>	[0..1]	Text		425
	NewCompanyName <NewCpnyNm>	[0..1]	Text		425
	Offeror <Offerr>	[0..1]	±		425
	URLAddress <URLAdr>	[0..1]	Text		425
	AdditionalText <AddtlTxt>	[0..1]	Text		425



**4.4.4.2.23.1 InformationConditions <InfConds>***Presence:* [0..1]*Definition:* Provides conditional information related to the event, eg, an offer is subject to 50% acceptance, the offeror allows the securities holder to set some conditions.*Datatype:* "Max350Text" on page 1094**4.4.4.2.23.2 InformationToComplyWith <InfToCmplyWth>***Presence:* [0..1]*Definition:* Provides information conditions to the account owner that are to be complied with, eg, not open to US/Canadian residents, QIB or SIL to be provided.*Datatype:* "Max350Text" on page 1094**4.4.4.2.23.3 TaxationConditions <TaxtnConds>***Presence:* [0..1]*Definition:* Provides taxation conditions that cannot be included within the structured fields of this message.*Datatype:* "Max350Text" on page 1094**4.4.4.2.23.4 NewCompanyName <NewCpnyNm>***Presence:* [0..1]*Definition:* Provide the new name of a company following a name change.*Datatype:* "Max350Text" on page 1094**4.4.4.2.23.5 Offeror <Offerr>***Presence:* [0..1]*Definition:* Provides the entity making the offer and is different from the issuing company.**Offeror <Offerr>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**4.4.4.2.23.6 URLAddress <URLAdr>***Presence:* [0..1]*Definition:* Provides the web address published for the event, ie the address for the Universal Resource Locator (URL), eg, used over the www (HTTP) service.*Datatype:* "Max256Text" on page 1094**4.4.4.2.23.7 AdditionalText <AddtlTxt>***Presence:* [0..1]*Definition:* Provides additional information or specifies in more detail the content of a

message.

*Datatype:* "Max350Text" on page 1094

# 5 **seev.011.001.04**

## **AgentCANotificationStatusAdviceV04**

### 5.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or change in status, of a notification advice or notification cancellation request.

#### Usage

When this message is used to report the status of a notification advice then the building block Agent Corporate Action Notification Advice Identification must be present.

When this message is used to provide the status of a notification cancellation request then the building block Notification Cancellation Request Identification must be present.

#### Outline

The AgentCANotificationStatusAdviceV04 MessageDefinition is composed of 2 MessageBuildingBlocks:

A. AgentDocumentIdentificationAndStatus

Identification and status of the linked document (ie. corporate action notification advice or notification cancellation request) sent by the agent.

B. CorporateActionGeneralInformation

General information about the corporate action event.

## 5.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCANtfcnStsAdv>	[1..1]			
	<b>AgentDocumentIdentificationAndStatus</b> <AgtDocIdAndSts>	[1..1]			430
{Or	<b>AgentCANotificationAdviceIdentificationAndStatus</b> <AgtCANtfcnAdvIdAndSts>	[1..1]			432
	<b>Identification</b> <Id>	[1..1]	Text		433
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		433
	<b>Status</b> <Sts>	[1..1]			434
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			434
	<b>Status</b> <Sts>	[1..1]			435
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		435
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		435
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		436
Or	<b>RejectedStatus</b> <RjctdSts>	[1..1]			436
	<b>Reason</b> <Rsn>	[1..*]			436
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		439
Or	<b>PendingStatus</b> <PdgSts>	[1..1]			439
	<b>Status</b> <Sts>	[1..1]			440
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		440
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		441
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		441
Or}	<b>AcceptedWithWarningStatus</b> <AcptdWthWrngSts>	[1..1]			441
	<b>Status</b> <Sts>	[1..1]			441
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		442
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		442
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		442
Or}	<b>AgentCANotificationCancellationRequestIdentificationAndStatus</b> <AgtCANtfcnCxIReqIdAndSts>	[1..1]			442
	<b>Identification</b> <Id>	[1..1]	Text		443
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		443

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			443
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			444
	<b>Status</b> <Sts>	[1..1]			444
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		445
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			445
	<b>Reason</b> <Rsn>	[1..*]			445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		446
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]		C8	446
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		447
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		447
	<b>OfficialCorporateActionEventIdentification</b> <OffclCorpActnEvtId>	[0..1]	Text		448
	<b>EventProcessingType</b> <EvtPrcgTp>	[0..1]	±		448
	<b>EventType</b> <EvtTp>	[1..1]	±	C5	448
	<b>MandatoryVoluntaryEventType</b> <MndtryVlntryEvtTp>	[1..1]	±		449
	<b>UnderlyingSecurity</b> <UndrlygScty>	[1..1]			449
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	449
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		450
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		451

## 5.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C3 DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

**C4 DescriptionUsageRule**

Description must be used alone as the last resort.

**C5 EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

**C6 ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

**C7 ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

**C8 OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on [www.smpg.info](http://www.smpg.info).

**C9 OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

## 5.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 5.4.1 AgentDocumentIdentificationAndStatus <AgtDocIdAndSts>

*Presence:* [1..1]

*Definition:* Identification and status of the linked document (ie. corporate action notification advice or notification cancellation request) sent by the agent.

**AgentDocumentIdentificationAndStatus <AgtDocIdAndSts>** contains one of the following **AgentDocumentIdentificationAndStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AgentCANotificationAdviceIdentificationAndStatus</b> <AgtCANtfctnAdvclIdAndSts>	[1..1]			432
	<b>Identification</b> <Id>	[1..1]	Text		433
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		433
	<b>Status</b> <Sts>	[1..1]			434
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			434
	<b>Status</b> <Sts>	[1..1]			435
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		435
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		435
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		436
Or	<b>RejectedStatus</b> <RjctdSts>	[1..1]			436
	<b>Reason</b> <Rsn>	[1..*]			436
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		439
Or	<b>PendingStatus</b> <PdgSts>	[1..1]			439
	<b>Status</b> <Sts>	[1..1]			440
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		440
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		441
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		441
Or}	<b>AcceptedWithWarningStatus</b> <AccptdWthWrngSts>	[1..1]			441
	<b>Status</b> <Sts>	[1..1]			441
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		442
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		442
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		442
Or}	<b>AgentCANotificationCancellationRequestIdentificationAndStatus</b> <AgtCANtfctnCxlReqIdAndSts>	[1..1]			442
	<b>Identification</b> <Id>	[1..1]	Text		443
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		443
	<b>Status</b> <Sts>	[1..1]			443
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			444

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			444
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		445
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			445
	<b>Reason</b> <Rsn>	[1..*]			445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		446

#### 5.4.1.1 AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfctnAdvclIdAndSts>

*Presence:* [1..1]

*Definition:* Identification of the linked Agent CA Notification Advice for which a status is given.



**AgentCANotificationAdviceIdentificationAndStatus <AgtCANtfcTnAdvclIdAndSts>** contains the following **AgentNotificationIdentificationAndStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		433
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		433
	<b>Status</b> <Sts>	[1..1]			434
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			434
	<b>Status</b> <Sts>	[1..1]			435
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		435
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		435
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		436
Or	<b>RejectedStatus</b> <RjctdSts>	[1..1]			436
	<b>Reason</b> <Rsn>	[1..*]			436
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		439
Or	<b>PendingStatus</b> <PdgSts>	[1..1]			439
	<b>Status</b> <Sts>	[1..1]			440
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		440
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		441
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		441
Or}	<b>AcceptedWithWarningStatus</b> <AccptdWthWrngSts>	[1..1]			441
	<b>Status</b> <Sts>	[1..1]			441
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		442
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		442
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		442

#### 5.4.1.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the document.

*Datatype:* "Max35Text" on page 1094

#### 5.4.1.1.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date/time of the creation of the document.

*Datatype:* "ISODatetime" on page 1086

#### 5.4.1.1.3 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the corporate action notification advice sent by the agent.

**Status <Sts>** contains one of the following **NotificationAdviceStatus4Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			434
	<b>Status</b> <Sts>	[1..1]			435
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		435
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		435
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		436
Or	<b>RejectedStatus</b> <RjctdSts>	[1..1]			436
	<b>Reason</b> <Rsn>	[1..*]			436
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		439
Or	<b>PendingStatus</b> <PdgSts>	[1..1]			439
	<b>Status</b> <Sts>	[1..1]			440
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		440
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		441
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		441
Or}	<b>AcceptedWithWarningStatus</b> <AccptdWithWrngSts>	[1..1]			441
	<b>Status</b> <Sts>	[1..1]			441
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		442
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		442
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		442

##### 5.4.1.1.3.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of advice.

**ProcessedStatus <PrcdSts>** contains the following **NotificationProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]			435
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		435
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		435
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		436

#### 5.4.1.1.3.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus1Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		435
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		435

#### 5.4.1.1.3.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify to express the processing status of the notification advice.

*Datatype:* "ProcessedStatus7Code" on page 1071

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
SENT	SentToMarket	The advice has been sent to the market.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
WARN	AcceptedWithWarning	The announcement has been accepted but another source has provided different information.
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.

#### 5.4.1.1.3.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of the notification advice.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.1.3.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

#### 5.4.1.1.3.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **NotificationRejectionReason3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			436
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		439

#### 5.4.1.1.3.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason6Format2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		436
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		439

#### 5.4.1.1.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of the notification advice.

*Datatype:* "[IssuerAgentRejection1Code](#)" on page 1057

CodeName	Name	Definition
NMOD	CancelledEvent	Cancelled event cannot be modified.

CodeName	Name	Definition
PR1K	CashRateLessThanThousand	Zero Coupon Bond, Principal / Cash rate must be less than USD 1,000.00.
CER0	CertificateAmountGreaterZero	Certificate Amount must be greater than zero.
NCON	ConvertibleSecurityNotSupported	Convertible Security Not Supported.
DEPO	DepositoryCalledAmountEqualSecurityCalledAmount	Depository Called Amount must be greater than zero and must be equal to Security Called Amount.
FAS0	DepositoryCalledAmountGreaterZero	Depository Called Amount must be greater than zero.
DUPL	DuplicateEvent	Duplicate event exists.
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
LOCK	GlobalLock	Underlying Security has a global lock.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
UNDI	InactiveUnderlyingSecurity	Inactive Underlying Security.
DEFE	InvalidDefeasedSecurityIdentifier	Invalid Defeased Security Identifier.
IDIS	InvalidDisbursedSecurityIdentification	Disbursed security identification is missing.
SEEQ	InvalidEquitySecurityType	Invalid Equity Security Type.
EVNT	InvalidEventType	Invalid Event Type.
NDEF	InvalidNonDefeasedSecurityIdentifier	Invalid Non-Defeased Security Identifier.
NREF	InvalidNonRefundedSecurityIdentifier	Invalid Non-Refunded Security Identifier
REFR	InvalidNotificationIdentification	Invalid Notification Identification.
ISSC	InvalidOrMissingIssuerAgentContactInformation	Issuer agent contact information is invalid or missing.
PRIN	InvalidPrincipalCashRate	Invalid Principal Cash Rate.
REDT	InvalidRedemptionDate	Invalid Redemption Date.
REFU	InvalidRefundedSecurityIdentifier	Invalid Refunded Security Identifier.
CERT	InvalidTotalCertificateAmount	Invalid Amount Total Certificate Amount must be greater than zero and equal to Security Called Amount.
UNDV	InvalidUnderlyingSecurity	Invalid Underlying Security.
BACK	MissingBackEndOddLotQuantity	Back end odd lot quantity is missing.
BIDI	MissingBidInterval	Bid interval is missing.
CINL	MissingCashInLieuPrice	Cash in lieu price is missing.
MCER	MissingCertification	Certification has not been filled in.
CSRT	MissingCashRate	Cash rate is missing.

CodeName	Name	Definition
COND	MissingConditionalTendersAcceptedFlag	Conditional tenders accepted flag is missing.
DFLT	MissingDefaultOption	One option must be marked as the default.
MDIS	MissingDisbursedSecurityIdentification	Disbursed security identification is missing.
XRAT	MissingExchangeRate	Resulting amount is not allowed without an exchange rate.
FIRS	MissingFirstBidIncrement	First bid increment is missing.
FRAC	MissingFractionalDisposition	Fractional Underlying Security cannot be announced.
MFCF	MissingFullConditionalFlag	Full conditional flag is missing.
ISSR	MissingIssuerAgent	Issuer agent is missing.
LAST	MissingLastBidIncrement	Last bid increment is missing.
MAXP	MissingMaximumPrice	Maximum price is missing.
MINP	MissingMinimumPrice	Minimum price is missing.
ODLT	MissingOddLotPriorityFlag	Odd lot priority flag is missing.
SECO	MissingOption	Second option is mandatory.
OVCH	MissingOversubscriptionChargeFlag	Oversubscription charge flag is missing.
OVFL	MissingOversubscriptionFlag	Oversubscription flag is missing.
OVPR	MissingOversubscriptionPrice	Oversubscription price is missing.
PTYP	MissingPayoutType	Payout type is missing.
ENDP	MissingPeriodEndDate	Period ending date or code is missing.
STAR	MissingPeriodStartDate	Period starting date or code is missing.
PBAS	MissingPriceBasis	Price basis is missing.
PROR	MissingProrationRate	Proration rate is missing.
MPRI	MissingProrationRoundingIndicator	Proration rounding indicator is missing.
PRCH	MissingProtectChargeIndicator	Protect charge indicator is missing.
RESU	MissingResultingAmount	Resulting amount must be filled in as exchange rate is present.
RITR	MissingRightsTransferrableFlag	Rights transferrable flag is missing.
ROUN	MissingRoundingFactor	Rounding factor is missing.
SERT	MissingSecuritiesRate	Securities rate is missing.
SFEE	MissingSolicitationDealerFeeFlag	Solicitation dealer fee flag is missing.
SUCH	MissingSubscriptionChargeFlag	Subscription charge flag is missing.
SUPR	MissingSubscriptionPrice	Subscription price is missing.
UNSP	MissingUnspecifiedPriceAllowed	Unspecified price allowed is missing.
NOAC	NoAccessToService	Agent has no access to the service.

CodeName	Name	Definition
NPAT	NonActiveParty	Party is not active.
ELIG	NonEligibleSecurity	Security is not eligible.
BDAY	NotBusinessDay	Date is not a business day for the security market.
AGID	NotDefinedAgent	Party is not defined as an agent.
DISP	NotEligibleDisperseSecurity	Disperse security is not eligible in the (ICSD) service provider owner.
ONCV	OngoingConversionEventExists	Ongoing Conversion Event Exists
PERI	PeriodEndDateBeforeStartDate	Period ending date is before period starting date.
PUBD	PublicationDate	Publication date must be earlier than payable date.
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
UNDM	UnderlyingSecurityNotEligible	Underlying Security is not eligible at Depository.
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.

#### 5.4.1.1.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of the notification advice.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.1.3.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

#### 5.4.1.1.3.3 PendingStatus <PdgSts>

*Presence:* [1..1]

*Definition:* Provides information about the pending status of advice.

**PendingStatus <PdgSts>** contains the following **NotificationPendingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]			440
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		440
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		441
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		441

#### 5.4.1.1.3.3.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **PendingStatus1Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		440
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		441

#### 5.4.1.1.3.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify to express the processing status of the notification advice.

*Datatype:* "CorporateActionPendingStatus1Code" on page 1034

CodeName	Name	Definition
CNFC	ConcurrentFullCall	Concurrent full call event exists.
PUBF	FuturePublicationDate	Pending due to future publication date.
REOR	ConcurrentReorganisation	Concurrent reorganisation announcement exists.
ACCR	IncalculableInterest	Unable to calculate accrued interest. Missing payment details.
SCAL	InvalidSecurityCalledAmount	Invalid Security Called Amount.
LATE	LateAnnouncement	Late announcement. The declared payment date is outside of acceptable range in the past.
MATU	MaturingIssue	Maturing issue. Redemption date is greater than or equal to maturity date.
PAYD	PayableDateOutsideRange	Payable date outside of applicable date range.
POSD	PositionDiscrepancy	Position discrepancy. Potential partial call.
CNPC	PotentialPartialCall	Verify event. Potential conflicting partial call exists.
CNRD	VerifyConcurrentRedemption	Verify event. Concurrent redemption event exists.



CodeName	Name	Definition
CNRE	VerifyConcurrentReorganisation	Verify event. Concurrent reorganisation event exists.
VRON	VerifyRateRedemption	Verify rate. On-cycle redemption.
VRZC	VerifyRateZeroBond	Verify rate. Zero coupon bond.

#### 5.4.1.1.3.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of the notification advice.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.1.3.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

#### 5.4.1.1.3.4 AcceptedWithWarningStatus <AccptdWthWrngSts>

*Presence:* [1..1]

*Definition:* Provides information about the accepted with warning status.

**AcceptedWithWarningStatus <AccptdWthWrngSts>** contains the following **NotificationAcceptedWithWarningStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			441
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		442
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		442
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		442

#### 5.4.1.1.3.4.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **AcceptedWithWarningStatus1Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		442
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		442

#### 5.4.1.1.3.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify to express the processing status of the notification advice.

*Datatype:* "CorporateActionAcceptedWithWarningStatus1Code" on page 1015

CodeName	Name	Definition
SCAL	InvalidSecurityCalledAmount	Invalid Security Called Amount.
PAYD	PayableDateOutsideRange	Payable Date outside of applicable date range.

#### 5.4.1.1.3.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of the notification advice.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.1.3.4.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

### 5.4.1.2 AgentCANotificationCancellationRequestIdentificationAndStatus <AgtCANtfctnCxlReqIdAndSts>

*Presence:* [1..1]

*Definition:* Identification of the linked Agent CA Notification Cancellation Request for which a status is given.

**AgentCANotificationCancellationRequestIdentificationAndStatus**  
**<AgtCANtfctnCxlReqIdAndSts>** contains the following  
**AgentNotificationCancellationIdentificationAndStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		443
	<b>CreationDateTime</b> <CreDtTm>	[0..1]	DateTime		443
	<b>Status</b> <Sts>	[1..1]			443
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			444
	<b>Status</b> <Sts>	[1..1]			444
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		445
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			445
	<b>Reason</b> <Rsn>	[1..*]			445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		446

#### 5.4.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the document.

*Datatype:* "Max35Text" on page 1094

#### 5.4.1.2.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date/time of the creation of the document.

*Datatype:* "ISODateTime" on page 1086

#### 5.4.1.2.3 Status <Sts>

*Presence:* [1..1]

*Definition:* Status of the corporate action cancellation request sent by the agent.

**Status <Sts>** contains one of the following **NotificationCancellationRequestStatus2Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			444
	<b>Status</b> <Sts>	[1..1]			444
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		445
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			445
	<b>Reason</b> <Rsn>	[1..*]			445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		446

#### 5.4.1.2.3.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the cancellation request.

**ProcessedStatus <PrcdSts>** contains the following **NotificationCancellationProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			444
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		445

##### 5.4.1.2.3.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus2Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		444
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		445

##### 5.4.1.2.3.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a cancellation request.

*Datatype:* "ProcessedStatus2Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

#### 5.4.1.2.3.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.2.3.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 5.4.1.2.3.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **NotificationCancellationRejectionReason2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			445
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		446

#### 5.4.1.2.3.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason11Format1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		446
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		446

#### 5.4.1.2.3.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of the notification cancellation request.

*Datatype:* "RejectionReason81Code" on page 1080

CodeName	Name	Definition
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.

#### 5.4.1.2.3.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of the notification cancellation request.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 5.4.1.2.3.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

## 5.4.2 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

Impacted by: C8 "OfficialCorporateActionEventReference"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following  
**CorporateActionGeneralInformation175** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgentIdentification</b> <AgtId>	[1..1]	±		447
	<b>CorporateActionEventIdentification</b> <CorpActnEvtId>	[1..1]	Text		447
	<b>OfficialCorporateActionEventIdentification</b> <OffclCorpActnEvtId>	[0..1]	Text		448
	<b>EventProcessingType</b> <EvtPrctgTp>	[0..1]	±		448
	<b>EventType</b> <EvtTp>	[1..1]	±	C5	448
	<b>MandatoryVoluntaryEventType</b> <MndtryVlntryEvtTp>	[1..1]	±		449
	<b>UnderlyingSecurity</b> <UndrlygScty>	[1..1]			449
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	449
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		450
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		451

#### Constraints

- **OfficialCorporateActionEventReference**

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). These recommendations are available in the SMPG Global Market Practices Part 1 document on [www.smpg.info](http://www.smpg.info).

#### 5.4.2.1 AgentIdentification <AgtId>

*Presence:* [1..1]

*Definition:* Identification of the party appointed to administer the event on behalf of the issuer company/ offeror.

**AgentIdentification <AgtId>** contains one of the following elements (see "PartyIdentification129Choice" on page 917 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>AnyBIC</b> <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		918
Or	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		918
Or}	<b>LEI</b> <LEI>	[1..1]	IdentifierSet		918

#### 5.4.2.2 CorporateActionEventIdentification <CorpActnEvtId>

*Presence:* [1..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "Max35Text" on page 1094

#### 5.4.2.3 OfficialCorporateActionEventIdentification <OffclCorpActnEvtId>

*Presence:* [0..1]

*Definition:* Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

*Datatype:* "Max35Text" on page 1094

#### 5.4.2.4 EventProcessingType <EvtPrcgTp>

*Presence:* [0..1]

*Definition:* Type of processing involved by a corporate action.

**EventProcessingType <EvtPrcgTp>** contains one of the following elements (see "CorporateActionEventProcessingType4Choice" on page 891 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

#### 5.4.2.5 EventType <EvtTp>

*Presence:* [1..1]

*Definition:* Type of corporate action event.

*Impacted by:* C5 "EventTypeRule"

**EventType <EvtTp>** contains one of the following elements (see "CorporateActionEventType107Choice" on page 898 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		905

#### Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.



If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

#### 5.4.2.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

*Presence:* [1..1]

*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

**MandatoryVoluntaryEventType <MndtryVlntryEvtTp>** contains one of the following elements (see "[CorporateActionMandatoryVoluntary3Choice](#)" on page 862 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		862

#### 5.4.2.7 UnderlyingSecurity <UndrlygScty>

*Presence:* [1..1]

*Definition:* Security concerned by the corporate action.

**UnderlyingSecurity <UndrlygScty>** contains the following **FinancialInstrumentDescription5** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>FinancialInstrumentIdentification</b> <FinInstrmId>	[1..1]	±	C3, C4, C6, C7, C9	449
	<b>PlaceOfListing</b> <PlcOfListg>	[0..1]	±		450
	<b>SafekeepingPlace</b> <SfkpgPlc>	[0..1]	±		451

##### 5.4.2.7.1 FinancialInstrumentIdentification <FinInstrmId>

*Presence:* [1..1]

*Definition:* Identifies the financial instrument.

*Impacted by:* C3 "DescriptionPresenceRule", C4 "DescriptionUsageRule", C6 "ISINGuideline", C7 "ISINPresenceRule", C9 "OtherIdentificationPresenceRule"

**FinancialInstrumentIdentification <FinInstrmId>** contains the following elements (see "SecurityIdentification19" on page 792 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		793
	OtherIdentification <OthrId>	[0..*]			793
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794
	Description <Desc>	[0..1]	Text		794

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /Description is absent
Following Must be True
  /ISIN Must be present
Or    /OtherIdentification[*] Must be present
```

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.

- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 5.4.2.7.2 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Place where the referenced financial instrument is listed.

**PlaceOfListing <PlcOfListg>** contains one of the following elements (see "MarketIdentification3Choice" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		801
Or}	Description <Desc>	[1..1]	Text		802

#### 5.4.2.7.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Identification of location where the financial instrument will be safekept.

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "SafekeepingPlaceFormat42Choice" on page 999 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			999
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		999
	Identification <Id>	[0..1]	Text		1000
Or	Country <Ctry>	[1..1]	CodeSet	C3	1000
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		1000
Or	TypeAndIdentification <TpAndId>	[1..1]			1000
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1001
	Identification <Id>	[1..1]	IdentifierSet	C7	1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

## 6 **seev.012.001.01** **AgentCAElectionAdviceV01**

### 6.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to the issuer (or its agent) to provide information about the clients' election instruction, the registration details, the delivery details, etc. In case of an election with underlying resource movements, it also confirms that these have been completed. This message may also be sent in case of an amendment of an election, once the CSD has completed the required resource movements.

#### Usage

This message can be used for a new election advice or an amended election advice.

If this message is used for a new election advice, the function of the message must be 'new election'.

If this message is used for an amended election advice, the function of the message must be 'option change' and the identification of the previously sent election advice must be present.

This message can include the cash movements and/or securities movements in the case of an election with underlying resource movements. Additionally, this message can include delivery, certification and beneficial owner details.

Note: this information can be also sent separately in the Agent Corporate Action Information advice message.

#### Outline

The AgentCAElectionAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. ElectionAdviceTypeAndLinkage

Provides information about the type of election advice and linked messages.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. ElectionDetails

Provides information about the election(s).

E. AdditionalInformation

Provides additional information about the delivery details, beneficial owner details, etc.

F. ContactDetails

Contact responsible for the transaction identified in the message.

## 6.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnAdv<	[1..1]		C6, C9, C10	
	<b>Identification</b> <Id>	[1..1]	±		456
	<b>ElectionAdviceTypeAndLinkage</b> <ElctnAdvTpAndLkg>	[1..1]		C7	457
	<b>ElectionType</b> <ElctnTp>	[1..1]	CodeSet		457
	<b>PreviousAgentCAElectionAdviceIdentification</b> <PrvsAgtCAElctnAdvcl<	[0..1]	±		457
	<b>AgentCAElectionStatusAdviceIdentification</b> <AgtCAElctnStsAdvcl<	[0..1]	±		458
	<b>AgentCAElectionAmendmentRequestIdentification</b> <AgtCAElctnAmdmntReqld>	[0..1]	±		458
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	458
	<b>ElectionDetails</b> <ElctnDtls>	[1..1]			459
	<b>AccountDetails</b> <AcctDtls>	[0..1]	±		461
	<b>OptionType</b> <OptnTp>	[0..1]			461
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		461
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		462
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		462
	<b>InstructedUnderlyingSecuritiesQuantity</b> <InstdUndrlygSctiesQty>	[0..1]			463
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		463
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	463
	<b>InstructedSecuritiesQuantityToReceive</b> <InstdSctiesQtyToRcv>	[0..1]			463
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		464
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	464
	<b>ProposedRate</b> <PropsdRate>	[0..1]	Rate		464
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			464
	<b>PostingIdentification</b> <Pstngld>	[0..1]	Text		465
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		465
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	465
	<b>AccountDetails</b> <AcctDtls>	[1..2]			466
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		466

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		466
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		466
	<b>SecuritiesMovementDetails</b> <ScetiesMvmntDtls>	[0..*]			467
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		467
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		467
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		468
	<b>PostingQuantity</b> <PstngQty>	[1..1]			468
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		468
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	468
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		469
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]			469
	<b>BeneficialOwnerDetails</b> <BnfclOwnrDtls>	[0..*]			471
	<b>BeneficialOwnerIdentification</b> <BnfclOwnrId>	[1..1]	±		471
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			472
	<b>Identification</b> <Id>	[1..1]	Text		472
	<b>IdentificationType</b> <IdTp>	[1..1]			472
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		472
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		473
	<b>Issuer</b> <Issr>	[0..1]	Text		473
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C4	473
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C4	473
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C4	474
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		474
	<b>CertificationType</b> <CertfctnTp>	[0..1]			474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		475
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		475
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		475
	<b>ElectedSecuritiesQuantity</b> <ElctdScetiesQty>	[1..1]			476
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		476
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	476

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		477
	<b>ReceiverIdentification</b> <Rcvrld>	[0..1]	±		477
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		477
	<b>CertificationType</b> <CertfctnTp>	[0..1]			477
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		478
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		478
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			479
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	Text		479
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		479
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		479
	<b>AccountServicerIdentification</b> <AcctSvcrld>	[0..1]	±		480
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		480
	<b>ContactDetails</b> <CtctDtls>	[0..1]	±		480

## 6.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 ElectionType2Rule**

If ElectionAdviceTypeAndLinkages/ElectionType is CHAN, then ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionNumber, ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionType, ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionNumber and ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionType must be present.

*This constraint is defined at the MessageDefinition level.*

**C7 ElectionTypeRule**

If ElectionType is CHAN, then PreviousAgentCAElectionAdviceIdentification and AgentCAElectionStatusAdviceIdentification are mandatory.

**C8 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**C9 OptionNumberRule**

If ElectionDetails/OptionNumber is present, then OptionNumber is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails.

If ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionNumber is present at least once and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionNumber is present at least once then ElectionDetails/CorporateActionOptionNumber is not allowed.

*This constraint is defined at the MessageDefinition level.*

**C10 OptionTypeRule**

If ElectionDetails/OptionType is present, then OptionType is not allowed in any occurrences of ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails.

If ElectionDetails/SecuritiesMovementDetails/DebitAccountDetails/OptionType is present at least once and/or ElectionDetails/SecuritiesMovementDetails/CreditAccountDetails/OptionType is present at least once then ElectionDetails/CorporateActionOptionType is not allowed.

*This constraint is defined at the MessageDefinition level.*

## 6.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 6.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the advice.



**Identification <Id>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 6.4.2 ElectionAdviceTypeAndLinkage <ElctnAdvTpAndLkg>

*Presence:* [1..1]

*Definition:* Provides information about the type of election advice and linked messages.

*Impacted by:* C7 "ElectionTypeRule"

**ElectionAdviceTypeAndLinkage <ElctnAdvTpAndLkg>** contains the following **ElectionAdviceFunction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ElectionType</b> <ElctnTp>	[1..1]	CodeSet		457
	<b>PreviousAgentCAElectionAdviceIdentification</b> <PrvsAgtCAElctnAdvclId>	[0..1]	±		457
	<b>AgentCAElectionStatusAdviceIdentification</b> <AgtCAElctnStsAdvclId>	[0..1]	±		458
	<b>AgentCAElectionAmendmentRequestIdentification</b> <AgtCAElctnAmdmntReqId>	[0..1]	±		458

### Constraints

- ElectionTypeRule**

If ElectionType is CHAN, then PreviousAgentCAElectionAdviceIdentification and AgentCAElectionStatusAdviceIdentification are mandatory.

### 6.4.2.1 ElectionType <ElctnTp>

*Presence:* [1..1]

*Definition:* Type of election advice.

*Datatype:* "ElectionType1Code" on page 1043

CodeName	Name	Definition
NEWM	NewElection	New election advice.
CHAN	OptionChange	Election advice which is the result of an option change.

### 6.4.2.2 PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvclId>

*Presence:* [0..1]

*Definition:* Identification of the previous Agent Corporate Action Election Advice that is being amended.

**PreviousAgentCAElectionAdviceIdentification <PrvsAgtCAElctnAdvclId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

#### 6.4.2.3 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>

*Presence:* [0..1]

*Definition:* Identification of the Agent Corporate Action Election Status Advice by which the issuer (agent) accepts the election amendment request.

**AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

#### 6.4.2.4 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

*Presence:* [0..1]

*Definition:* Identification of the Agent Corporate Action Election Amendment Request by which the CSD request the authorisation to amend an election.

**AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 6.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

## 6.4.4 ElectionDetails <ElctnDtls>

*Presence:* [1..1]

*Definition:* Provides information about the election(s).

**ElectionDetails <ElctnDtls>** contains the following **CorporateActionElection3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountDetails</b> <AcctDtls>	[0..1]	±		461
	<b>OptionType</b> <OptnTp>	[0..1]			461
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		461
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		462
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		462
	<b>InstructedUnderlyingSecuritiesQuantity</b> <InstdUndrlygSciesQty>	[0..1]			463
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		463
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	463
	<b>InstructedSecuritiesQuantityToReceive</b> <InstdSciesQtyToRcv>	[0..1]			463
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		464
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	464
	<b>ProposedRate</b> <PropsdRate>	[0..1]	Rate		464
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			464
	<b>PostingIdentification</b> <Pstngld>	[0..1]	Text		465
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		465
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	465
	<b>AccountDetails</b> <AcctDtls>	[1..2]			466
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		466
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		466
	<b>AccountIdentification</b> <Acctld>	[1..1]	±		466
	<b>SecuritiesMovementDetails</b> <SciesMvmntDtls>	[0..*]			467
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		467
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		467
	<b>PostingIdentification</b> <Pstngld>	[0..1]	Text		468
	<b>PostingQuantity</b> <PstngQty>	[1..1]			468
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		468
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	468
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		469

#### 6.4.4.1 AccountDetails <AcctDtls>

*Presence:* [0..1]

*Definition:* Provides information about the account.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount7](#)" on page 751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		751
	AccountIdentification <AcctId>	[1..1]	Text		752

#### 6.4.4.2 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		461
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		462

##### 6.4.4.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "[CorporateActionOptionType1Code](#)" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 6.4.4.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 6.4.4.3 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 6.4.4.4 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>

*Presence:* [0..1]

*Definition:* Quantity of underlying securities to which this instruction applies.

**InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		463
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	463

##### 6.4.4.4.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 6.4.4.4.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 6.4.4.5 InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>

*Presence:* [0..1]

*Definition:* Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

**InstructedSecuritiesQuantityToReceive** <InstdSctiesQtyToRcv> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		464
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	464

#### 6.4.4.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 6.4.4.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 6.4.4.6 ProposedRate <PropsdRate>

*Presence:* [0..1]

*Definition:* Rate proposed in a remarketing of variable rate notes.

*Datatype:* "PercentageRate" on page 1092

#### 6.4.4.7 CashMovementDetails <CshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the cash movement resulting from the election instruction.



**CashMovementDetails <CshMvmntDtls>** contains the following **CorporateActionCashMovements2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		465
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		465
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	465
	<b>AccountDetails</b> <AcctDtls>	[1..2]			466
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		466
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		466
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		466

#### 6.4.4.7.1 PostingIdentification <PstngId>

*Presence:* [0..1]

*Definition:* Posting identification of the cash movement.

*Datatype:* "Max35Text" on page 1094

#### 6.4.4.7.2 PostingDateTime <PstngDtTm>

*Presence:* [0..1]

*Definition:* Posting date of the cash movement.

**PostingDateTime <PstngDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

#### 6.4.4.7.3 PostingAmount <PstngAmt>

*Presence:* [1..1]

*Definition:* Amount posted as a result of the cash movement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 6.4.4.7.4 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited as a result of the movement.

**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		466
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		466
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		466

##### 6.4.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

##### 6.4.4.7.4.2 AccountOwnerIdentification <AcctOwnrld>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrld>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICOrBEI</b> <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		911
Or}	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		911

##### 6.4.4.7.4.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 6.4.4.8 SecuritiesMovementDetails <SctiesMvmntDtIs>

*Presence:* [0..\*]

*Definition:* Provides information about the securities movement resulting from the election instruction.

**SecuritiesMovementDetails <SctiesMvmntDtIs>** contains the following **CorporateActionSecuritiesMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		467
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		467
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		468
	<b>PostingQuantity</b> <PstngQty>	[1..1]			468
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		468
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	468
	<b>AccountDetails</b> <AcctDtIs>	[1..2]	±		469

##### 6.4.4.8.1 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <SctyId>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <OthrId>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

##### 6.4.4.8.2 PostingDateTime <PstngDtTm>

*Presence:* [0..1]

*Definition:* Date and time of the posting of the movement.

**PostingDateTime <PstngDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

#### 6.4.4.8.3 PostingIdentification <PstngId>

*Presence:* [0..1]

*Definition:* Posting identification of the securities movement.

*Datatype:* "Max35Text" on page 1094

#### 6.4.4.8.4 PostingQuantity <PstngQty>

*Presence:* [1..1]

*Definition:* Securities quantity posted as a result of the securities movement.

**PostingQuantity <PstngQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		468
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	468

##### 6.4.4.8.4.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 6.4.4.8.4.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 6.4.4.8.5 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited as a result of the movement.

**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount9" on page 747 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		747
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		748
	AccountIdentification <AcctId>	[1..1]	Text		748
	BalanceType <BalTp>	[0..1]			748
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		749
	OptionType <OptnTp>	[0..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		750
	OptionNumber <OptnNb>	[0..1]	Text		751
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		751

#### 6.4.5 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Provides additional information about the delivery details, beneficial owner details, etc.

**AdditionalInformation <AddtlInf>** contains the following **CorporateActionAdditionalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerDetails</b> <BnfcOwnrDtls>	[0..*]			471
	<b>BeneficialOwnerIdentification</b> <BnfcOwnrld>	[1..1]	±		471
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			472
	<b>Identification</b> <Id>	[1..1]	Text		472
	<b>IdentificationType</b> <IdTp>	[1..1]			472
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		472
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		473
	<b>Issuer</b> <Issr>	[0..1]	Text		473
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C4	473
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C4	473
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C4	474
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		474
	<b>CertificationType</b> <CertfctnTp>	[0..1]			474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		475
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		475
	<b>SecurityIdentification</b> <Sctyld>	[0..1]	±		475
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			476
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		476
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	476
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		477
	<b>ReceiverIdentification</b> <Rcvrld>	[0..1]	±		477
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		477
	<b>CertificationType</b> <CertfctnTp>	[0..1]			477
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		478
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		478
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			479
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctld>	[1..1]	Text		479
Or}	<b>CashAccountIdentification</b> <CshAcctld>	[1..1]	±		479
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		479

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		480
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		480

#### 6.4.5.1 BeneficialOwnerDetails <BnfclOwnrDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the beneficial owner of the securities.

**BeneficialOwnerDetails** <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerIdentification</b> <BnfclOwnrId>	[1..1]	±		471
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			472
	<b>Identification</b> <Id>	[1..1]	Text		472
	<b>IdentificationType</b> <IdTp>	[1..1]			472
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		472
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		473
	<b>Issuer</b> <Issr>	[0..1]	Text		473
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C4	473
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C4	473
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C4	474
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		474
	<b>CertificationType</b> <CertfctnTp>	[0..1]			474
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		475
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		475
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		475
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			476
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		476
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	476

##### 6.4.5.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

*Presence:* [1..1]

*Definition:* Identification of the party that is the beneficial owner of the specified securities.

**BeneficialOwnerIdentification <BnfcOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 6.4.5.1.2 AdditionalIdentification <AddtId>

*Presence:* [0..1]

*Definition:* Additional identification of the party that is the beneficial owner of the specified securities.

**AdditionalIdentification <AddtId>** contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		472
	<b>IdentificationType</b> <IdTp>	[1..1]			472
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		472
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		473
	<b>Issuer</b> <Iss>	[0..1]	Text		473

##### 6.4.5.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* The identifier.

*Datatype:* "Max35Text" on page 1094

##### 6.4.5.1.2.2 IdentificationType <IdTp>

*Presence:* [1..1]

*Definition:* Specifies the nature of the identifier.

**IdentificationType <IdTp>** contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		472
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		473

##### 6.4.5.1.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Person identification expressed as a code.

*Datatype:* "PersonIdentificationType3Code" on page 1067



CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

#### 6.4.5.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Person identification expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13" on page 797](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 6.4.5.1.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Party that assigns the identification.

*Datatype:* ["Max35Text" on page 1094](#)

#### 6.4.5.1.3 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the beneficial owner.

*Impacted by:* [C4 "Country"](#)

*Datatype:* ["CountryCode" on page 1035](#)

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 6.4.5.1.4 DomicileCountry <DmclCtry>

*Presence:* [0..1]

*Definition:* Country in which a person is permanently domiciled (the place of a persons permanent home).

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 6.4.5.1.5 NonDomicileCountry <NonDmclCtry>

*Presence:* [0..1]

*Definition:* The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 6.4.5.1.6 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 6.4.5.1.7 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		475
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		475

**6.4.5.1.7.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

**6.4.5.1.7.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**6.4.5.1.8 DeclarationDetails <DclrtnDtls>***Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 1094**6.4.5.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 6.4.5.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

*Presence:* [1..1]

*Definition:* Quantity of securities elected by to the beneficial owner.

**ElectedSecuritiesQuantity <ElctdScitiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		476
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	476

##### 6.4.5.1.10.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

##### 6.4.5.1.10.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

#### Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 6.4.5.2 RegistrationDetails <RegnDtls>

*Presence:* [0..1]

*Definition:* Provides information required for the registration.

*Datatype:* "Max350Text" on page 1094

#### 6.4.5.3 ReceiverIdentification <RcvrId>

*Presence:* [0..1]

*Definition:* Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**ReceiverIdentification <RcvrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 6.4.5.4 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 6.4.5.5 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		478
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		478

#### 6.4.5.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of beneficiary's certification.

*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

#### 6.4.5.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of beneficiary's certification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 6.4.5.6 DeliveryDetails <DlvryDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the delivery details of proceeds.

**DeliveryDetails <DlvryDtls>** contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	Text		479
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		479
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		479
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		480

#### 6.4.5.6.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

*Definition:* identification of the securities account to which the securities have to be delivered.

*Datatype:* "Max35Text" on page 1094

#### 6.4.5.6.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

*Definition:* Identification of the cash account to which the cash has to be delivered.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

#### 6.4.5.6.3 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 6.4.5.6.4 AccountServicerIdentification <AcctSvcrId>

*Presence:* [0..1]

*Definition:* Identification of the institution servicing the account.

**AccountServicerIdentification <AcctSvcrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 6.4.5.7 AdditionalInstruction <AddtlInstr>

*Presence:* [0..1]

*Definition:* Provides additional details pertaining to the corporate action instruction.

*Datatype:* "Max350Text" on page 1094

### 6.4.6 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Contact responsible for the transaction identified in the message.

**ContactDetails <CtctDtls>** contains the following elements (see "ContactPerson1" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		924
	InstitutionIdentification <InstnId>	[0..1]	±		925



# 7 **seev.013.001.01**

## **AgentCAElectionAmendmentRequestV01**

### 7.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to the issuer (or its agent) to request the authorisation of an amendment of a previously sent Agent Corporate Action Election Advice message.

#### Usage

This message is used to request the amendment of a previously sent Agent Corporate Action Election Advice message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

This message is used when the terms and conditions of the corporate action event allow amendments.

#### Outline

The AgentCAElectionAmendmentRequestV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the request.

B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice for which an amendment is requested.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

Provides information about the account.

E. OriginalElectionDetails

Provides information about the original election to be amended.

F. AmendedElectionDetails

Provides information about the amendments to the election.

G. ContactDetails

Contact responsible for the transaction identified in the message.

## 7.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnAmdmntReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		483
	<b>AgentCAElectionAdviceIdentification</b> <AgtCAElctnAdvclId>	[1..1]	±		483
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllnf>	[1..1]	±	C3	484
	<b>AccountDetails</b> <AcctDtls>	[1..1]	±		484
	<b>OriginalElectionDetails</b> <OrgnlElctnDtls>	[1..1]			485
	<b>OptionType</b> <OptnTp>	[1..1]			485
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		485
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		487
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		487
	<b>OriginalInstructedQuantity</b> <OrgnlInstQty>	[1..1]			487
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		487
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	487
	<b>RemainingQuantity</b> <RmngQty>	[1..1]			488
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		488
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	488
	<b>AmendedElectionDetails</b> <AmddElctnDtls>	[1..1]			489
	<b>OptionType</b> <OptnTp>	[1..1]			489
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		489
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		490
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		491
	<b>NewInstructedQuantity</b> <NewInstQty>	[1..1]			491
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		491
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	491
	<b>Reason</b> <Rsn>	[0..1]	Text		492
	<b>ContactDetails</b> <CtctDtls>	[0..1]	±		492

## 7.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 7.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 7.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the request.

**Identification <Id>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 7.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

*Presence:* [1..1]

*Definition:* Identification of the linked Agent CA Election Advice for which an amendment is requested.

**AgentCAElectionAdviceIdentification <AgtCAEIctnAdvId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 7.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### 7.4.4 AccountDetails <AcctDtls>

*Presence:* [1..1]

*Definition:* Provides information about the account.

**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount7" on page 751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		751
	AccountIdentification <AcctId>	[1..1]	Text		752

## 7.4.5 OriginalElectionDetails <OrgnIElctnDtls>

*Presence:* [1..1]

*Definition:* Provides information about the original election to be amended.

**OriginalElectionDetails <OrgnIElctnDtls>** contains the following **CorporateActionElection1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType</b> <OptnTp>	[1..1]			485
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		485
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		487
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		487
	<b>OriginalInstructedQuantity</b> <OrgnInstdQty>	[1..1]			487
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		487
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	487
	<b>RemainingQuantity</b> <RmngQty>	[1..1]			488
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		488
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	488

### 7.4.5.1 OptionType <OptnTp>

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		485
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		487

#### 7.4.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

**7.4.5.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**7.4.5.2 OptionNumber <OptnNb>***Presence:* [1..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "[Exact3NumericText](#)" on page 1092**7.4.5.3 OriginalInstructedQuantity <OrgnlInstdQty>***Presence:* [1..1]*Definition:* Quantity of the securities that was instructed in the original election advice.**OriginalInstructedQuantity <OrgnlInstdQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		487
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	487

**7.4.5.3.1 Unit <Unit>***Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "[DecimalNumber](#)" on page 1090**7.4.5.3.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**7.4.5.4 RemainingQuantity <RmngQty>**

*Presence:* [1..1]

*Definition:* Remaining instructed securities quantity after the amendment of the election.

**RemainingQuantity <RmngQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		488
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	488

**7.4.5.4.1 Unit <Unit>**

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

**7.4.5.4.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.



## 7.4.6 AmendedElectionDetails <AmddElctnDtls>

*Presence:* [1..1]

*Definition:* Provides information about the amendments to the election.

**AmendedElectionDetails <AmddElctnDtls>** contains the following **CorporateActionElection2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType</b> <OptnTp>	[1..1]			489
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		489
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		490
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		491
	<b>NewInstructedQuantity</b> <NewInstQty>	[1..1]			491
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		491
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	491
	<b>Reason</b> <Rsn>	[0..1]	Text		492

### 7.4.6.1 OptionType <OptnTp>

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		489
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		490

#### 7.4.6.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

CodeName	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 7.4.6.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 7.4.6.2 OptionNumber <OptnNb>

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 7.4.6.3 NewInstructedQuantity <NewInstQty>

*Presence:* [1..1]

*Definition:* New instructed securities quantity after the amendment.

**NewInstructedQuantity <NewInstQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		491
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	491

##### 7.4.6.3.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

##### 7.4.6.3.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 7.4.6.4 Reason <Rsn>

*Presence:* [0..1]

*Definition:* The reason for the amendment request.

*Datatype:* "Max350Text" on page 1094

### 7.4.7 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Contact responsible for the transaction identified in the message.

**ContactDetails <CtctDtls>** contains the following elements (see "[ContactPerson1](#)" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		924
	InstitutionIdentification <InstnId>	[0..1]	±		925

## 8 **seev.014.001.01**

# **AgentCAElectionCancellationRequestV01**

### 8.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to the issuer (or its agent) to request the cancellation of a previously sent Agent Corporate Action Election Advice message.

#### Usage

This message may only be used to cancel an entire Agent Corporate Action Election Advice message that was previously sent by the CSD. No partial cancellation is allowed.

This message must contain the identification of the Agent Corporate Action Election Advice to be cancelled, the agent identification and the corporate action references. This message may also contain details of the election advice to be cancelled, but this is not recommended.

#### Outline

The AgentCAElectionCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCAElectionAdviceIdentification

Identification of the Agent CA Election Advice to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. ElectionDetails

Provides information about the election advice to be cancelled.

## 8.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnCxlReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		495
	<b>AgentCAElectionAdviceIdentification</b> <AgtCAElctnAdvclId>	[1..1]	±		496
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	496
	<b>ElectionDetails</b> <ElctnDtls>	[0..1]			497
	<b>AccountDetails</b> <AcctDtls>	[0..1]	±		499
	<b>OptionType</b> <OptnTp>	[0..1]			499
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		499
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		500
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		500
	<b>InstructedUnderlyingSecuritiesQuantity</b> <InstdUndrlygSctiesQty>	[0..1]			501
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		501
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	501
	<b>InstructedSecuritiesQuantityToReceive</b> <InstdSctiesQtyToRcv>	[0..1]			501
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		502
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	502
	<b>ProposedRate</b> <PropsdRate>	[0..1]	Rate		502
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			502
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		503
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		503
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	503
	<b>AccountDetails</b> <AcctDtls>	[1..2]			504
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		504
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		504
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		504
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]			505
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		505
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		505
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		506

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingQuantity</b> <PstngQty>	[1..1]			506
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		506
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	506
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		507

## 8.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 8.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 8.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the cancellation request.

**Identification <Id>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 8.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

*Presence:* [1..1]

*Definition:* Identification of the Agent CA Election Advice to be cancelled.

**AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 8.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"



**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

## 8.4.4 ElectionDetails <ElctnDtls>

*Presence:* [0..1]

*Definition:* Provides information about the election advice to be cancelled.

**ElectionDetails <ElctnDtls>** contains the following **CorporateActionElection3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountDetails</b> <AcctDtls>	[0..1]	±		499
	<b>OptionType</b> <OptnTp>	[0..1]			499
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		499
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		500
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		500
	<b>InstructedUnderlyingSecuritiesQuantity</b> <InstdUndrlygSciesQty>	[0..1]			501
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		501
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	501
	<b>InstructedSecuritiesQuantityToReceive</b> <InstdSciesQtyToRcv>	[0..1]			501
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		502
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	502
	<b>ProposedRate</b> <PropsdRate>	[0..1]	Rate		502
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			502
	<b>PostingIdentification</b> <Pstngld>	[0..1]	Text		503
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		503
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	503
	<b>AccountDetails</b> <AcctDtls>	[1..2]			504
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		504
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		504
	<b>AccountIdentification</b> <Acctld>	[1..1]	±		504
	<b>SecuritiesMovementDetails</b> <SciesMvmntDtls>	[0..*]			505
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		505
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		505
	<b>PostingIdentification</b> <Pstngld>	[0..1]	Text		506
	<b>PostingQuantity</b> <PstngQty>	[1..1]			506
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		506
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	506
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		507

**8.4.4.1 AccountDetails <AcctDtls>***Presence:* [0..1]*Definition:* Provides information about the account.**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount7" on page 751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		751
	AccountIdentification <AcctId>	[1..1]	Text		752

**8.4.4.2 OptionType <OptnTp>***Presence:* [0..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		499
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		500

**8.4.4.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.

CodeName	Name	Definition
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 8.4.4.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 8.4.4.3 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

**8.4.4.4 InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>***Presence:* [0..1]*Definition:* Quantity of underlying securities to which this instruction applies.**InstructedUnderlyingSecuritiesQuantity <InstdUndrlygSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		501
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	501

**8.4.4.4.1 Unit <Unit>***Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 1090**8.4.4.4.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**8.4.4.5 InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>***Presence:* [0..1]*Definition:* Quantity of the benefits that the account owner wants to receive, eg, as a result of dividend reinvestment.

**InstructedSecuritiesQuantityToReceive <InstdSctiesQtyToRcv>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		502
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	502

#### 8.4.4.5.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 8.4.4.5.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 8.4.4.6 ProposedRate <PropsdRate>

*Presence:* [0..1]

*Definition:* Rate proposed in a remarketing of variable rate notes.

*Datatype:* "PercentageRate" on page 1092

#### 8.4.4.7 CashMovementDetails <CshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the cash movement resulting from the election instruction.

**CashMovementDetails <CshMvmntDtls>** contains the following **CorporateActionCashMovements2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		503
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		503
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	503
	<b>AccountDetails</b> <AcctDtls>	[1..2]			504
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		504
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		504
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		504

#### 8.4.4.7.1 PostingIdentification <PstngId>

*Presence:* [0..1]

*Definition:* Posting identification of the cash movement.

*Datatype:* "Max35Text" on page 1094

#### 8.4.4.7.2 PostingDateTime <PstngDtTm>

*Presence:* [0..1]

*Definition:* Posting date of the cash movement.

**PostingDateTime <PstngDtTm>** contains one of the following elements (see "DateAndDateTimeChoice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

#### 8.4.4.7.3 PostingAmount <PstngAmt>

*Presence:* [1..1]

*Definition:* Amount posted as a result of the cash movement.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 8.4.4.7.4 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited as a result of the movement.

**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		504
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		504
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		504

##### 8.4.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

##### 8.4.4.7.4.2 AccountOwnerIdentification <AcctOwnrld>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrld>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICOrBEI</b> <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		911
Or}	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		911

##### 8.4.4.7.4.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.



**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 8.4.4.8 SecuritiesMovementDetails <SctiesMvmntDtIs>

*Presence:* [0..\*]

*Definition:* Provides information about the securities movement resulting from the election instruction.

**SecuritiesMovementDetails <SctiesMvmntDtIs>** contains the following **CorporateActionSecuritiesMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		505
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		505
	<b>PostingIdentification</b> <PstngId>	[0..1]	Text		506
	<b>PostingQuantity</b> <PstngQty>	[1..1]			506
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		506
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	506
	<b>AccountDetails</b> <AcctDtIs>	[1..2]	±		507

##### 8.4.4.8.1 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <SctyId>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <OthrId>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

##### 8.4.4.8.2 PostingDateTime <PstngDtTm>

*Presence:* [0..1]

*Definition:* Date and time of the posting of the movement.

**PostingDateTime** <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

#### 8.4.4.8.3 PostingIdentification <PstngId>

*Presence:* [0..1]

*Definition:* Posting identification of the securities movement.

*Datatype:* "Max35Text" on page 1094

#### 8.4.4.8.4 PostingQuantity <PstngQty>

*Presence:* [1..1]

*Definition:* Securities quantity posted as a result of the securities movement.

**PostingQuantity** <PstngQty> contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		506
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	506

##### 8.4.4.8.4.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 8.4.4.8.4.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 8.4.4.8.5 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited as a result of the movement.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount9](#)" on page 747 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		747
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		748
	AccountIdentification <AcctId>	[1..1]	Text		748
	BalanceType <BalTp>	[0..1]			748
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		749
	OptionType <OptnTp>	[0..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		750
	OptionNumber <OptnNb>	[0..1]	Text		751
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		751

# 9 **seev.015.001.01**

## **AgentCAElectionStatusAdviceV01**

### 9.1 **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of:

- a corporate action election advice;
- an election cancellation request; or
- an election amendment request.

#### Usage

This message must be sent in response to an:

- Agent Corporation Action Election Advice to provide the status of an election advice in the case of a rejection. However, it may also be used in all other situations, in which case, the building blocks Election Advice Identification and the Election Advice Status must be present.
- Agent Corporation Action Election Cancellation Request to provide the status of the cancellation request, in which case, the building blocks Election Cancellation Request Identification and the Election Cancellation Request Status must be present.
- Agent Corporation Action Election Amendment Request to provide the status of the amendment request, in which case, the building blocks Election Amendment Request Identification and the Election Amendment Request Status must be present.

#### Outline

The AgentCAElectionStatusAdviceV01 MessageDefinition is composed of 8 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

##### B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice for which a status is given.

##### C. AgentCAElectionCancellationRequestIdentification

Identification of the linked Agent CA Election Cancellation Request for which a status is given.

##### D. AgentCAElectionAmendmentRequestIdentification

Identification of the linked Agent CA Election Amendment Request for which a status is given.

##### E. CorporateActionGeneralInformation

General information about the corporate action event.

##### F. ElectionAdviceStatus

Status of the election advice sent by the CSD.

G. ElectionCancellationRequestStatus

Status of the election cancellation request sent by the CSD.

H. ElectionAmendmentRequestStatus

Status of the amendment request sent by the CSD.

## 9.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAElctnStsAdv>	[1..1]		C4, C5, C6	
	<b>Identification</b> <Id>	[1..1]	±		512
{Or	<b>AgentCAElectionAdviceIdentification</b> <AgtCAElctnAdvId>	[1..1]	±		512
Or	<b>AgentCAElectionCancellationRequestIdentification</b> <AgtCAElctnCxlReqId>	[1..1]	±		512
Or}	<b>AgentCAElectionAmendmentRequestIdentification</b> <AgtCAElctnAmdmntReqId>	[1..1]	±		513
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllnf>	[1..1]	±	C2	513
{Or	<b>ElectionAdviceStatus</b> <ElctnAdvSts>	[1..1]			514
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			515
	<b>Status</b> <Sts>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		516
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		516
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			516
	<b>Reason</b> <Rsn>	[1..*]			516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		517
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		517
Or	<b>ElectionCancellationRequestStatus</b> <ElctnCxlReqSts>	[1..1]			517
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			518
	<b>Status</b> <Sts>	[1..1]			518
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		519
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		519
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			519
	<b>Reason</b> <Rsn>	[1..*]			519
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		520
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		520

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>ElectionAmendmentRequestStatus</b> <ElctnAmdmntReqSts>	[1..1]			520
{Or	<b>ProcessedStatus</b> <PrccdSts>	[1..1]			521
	<b>Status</b> <Sts>	[1..1]			521
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		521
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		522
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		522
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			522
	<b>Reason</b> <Rsn>	[1..*]			522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		523
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		523

## 9.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 ElectionAdviceStatusRule

If AgentCAElectionAdviceIdentification is present, then ElectionAdviceStatus must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 ElectionAmendmentRequestStatusRule

If AgentCAElectionAmendmentRequestIdentification is present, then ElectionAmendmentRequestStatus must be present.

*This constraint is defined at the MessageDefinition level.*

### C6 ElectionCancellationRequestStatusRule

If AgentCAElectionCancellationRequestIdentification is present, then ElectionCancellationRequestStatus must be present.

*This constraint is defined at the MessageDefinition level.*

## 9.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 9.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 9.4.2 AgentCAElectionAdviceIdentification <AgtCAEIctnAdvId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Election Advice for which a status is given.

**AgentCAElectionAdviceIdentification <AgtCAEIctnAdvId>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 9.4.3 AgentCAElectionCancellationRequestIdentification <AgtCAEIctnCxlReqId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Election Cancellation Request for which a status is given.



**AgentCAElectionCancellationRequestIdentification <AgtCAElctnCxlReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

#### 9.4.4 AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionAdviceIdentification or AgentCAElectionCancellationRequestIdentification or AgentCAElectionAmendmentRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Election Amendment Request for which a status is given.

**AgentCAElectionAmendmentRequestIdentification <AgtCAElctnAmdmntReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

#### 9.4.5 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C2 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrvgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 9.4.6 ElectionAdviceStatus <ElctnAdvcsSts>

*Presence:* [1..1]

**Warning** Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

*Definition:* Status of the election advice sent by the CSD.

**ElectionAdviceStatus <ElctnAdvcs>** contains one of the following **ElectionAdviceStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			515
	<b>Status</b> <Sts>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		516
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		516
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			516
	<b>Reason</b> <Rsn>	[1..*]			516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		517
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		517

#### 9.4.6.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of advice.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionInstructionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			515
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		516
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		516

##### 9.4.6.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		515
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		516

##### 9.4.6.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of an advice, a request or a movement.

*Datatype:* "ProcessedStatus3Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

#### 9.4.6.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.6.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 9.4.6.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			516
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		517
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		517
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		517

##### 9.4.6.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason18FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		517
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		517

#### 9.4.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of an election advice.

*Datatype:* "RejectionReason18Code" on page 1078

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INHO	InsufficientBalance	Insufficient balance.
LATT	Late	Instruction/Request arrives too late.

#### 9.4.6.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of an election advice.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.6.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

## 9.4.7 ElectionCancellationRequestStatus <ElctnCxlReqSts>

*Presence:* [1..1]

**Warning** Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

*Definition:* Status of the election cancellation request sent by the CSD.

**ElectionCancellationRequestStatus <ElctnCxlReqSts>** contains one of the following **ElectionCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			518
	<b>Status</b> <Sts>	[1..1]			518
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		519
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		519
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			519
	<b>Reason</b> <Rsn>	[1..*]			519
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		520
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		520

#### 9.4.7.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the request.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			518
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		519
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		519

##### 9.4.7.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		518
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		519

##### 9.4.7.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a request.

*Datatype:* "ProcessedStatus5Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

#### 9.4.7.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.7.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 9.4.7.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionCancellationRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			519
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		520
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		520

##### 9.4.7.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason9FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		520
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		520

#### 9.4.7.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of an election cancellation request.

*Datatype:* "RejectionReason9Code" on page 1080

CodeName	Name	Definition
NCAN	CancellationNotAllowed	Cancellation is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

#### 9.4.7.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of an election cancellation request.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.7.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

## 9.4.8 ElectionAmendmentRequestStatus <ElctnAmdmntReqSts>

*Presence:* [1..1]

**Warning** Either ElectionAdviceStatus or ElectionCancellationRequestStatus or ElectionAmendmentRequestStatus must be present.

*Definition:* Status of the amendment request sent by the CSD.



**ElectionAmendmentRequestStatus <ElctnAmdmntReqSts>** contains one of the following **ElectionAmendmentStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			521
	<b>Status</b> <Sts>	[1..1]			521
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		521
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		522
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		522
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			522
	<b>Reason</b> <Rsn>	[1..*]			522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		523
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		523

#### 9.4.8.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the request.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionAmendmentProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			521
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		521
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		522
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		522

##### 9.4.8.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		521
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		522

##### 9.4.8.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a request.

*Datatype:* "ProcessedStatus5Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

#### 9.4.8.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.8.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 9.4.8.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionAmendmentRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			522
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		523
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		523

##### 9.4.8.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason8FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		523
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		523

#### 9.4.8.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of an election amendment request.

*Datatype:* "RejectionReason8Code" on page 1080

CodeName	Name	Definition
NAMD	AmendmentNotAllowed	Amendment is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

#### 9.4.8.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of an election amendment request.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 9.4.8.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

# 10      **seev.016.001.01**

## **AgentCADistributionBreakdownAdviceV01**

### 10.1    **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to provide distribution breakdown information for the proceeds that are to be delivered outside the CSD (e.g. when the proceeds are not eligible in the CSD).

#### Usage

This message is used to provide distribution breakdown information (securities and/or cash) per account for a specific corporate action option.

Note: the delivery details are sent through the Agent Corporate Action Information Advice.

#### Outline

The AgentCADistributionBreakdownAdviceV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. CorporateActionGeneralInformation

General information about the corporate action event.

C. CorporateActionDistributionDetails

Provides information about the CA option and the entitlements.

## 10.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADstrbtnBrkdwnAdv>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		526
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	526
	<b>CorporateActionDistributionDetails</b> <CorpActnDstrbtnDtls>	[1..1]			527
	<b>OptionType</b> <OptnTp>	[1..1]			528
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		528
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		529
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		530
	<b>RecordDate</b> <RcrdDt>	[0..1]	±		530
	<b>PaymentDate</b> <PmtDt>	[0..1]	±		530
	<b>AccountAndDistributionDetails</b> <AcctAndDstrbtnDtls>	[1..*]			530
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		531
	<b>AccountIdentification</b> <AcctId>	[1..1]	Text		531
	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[0..*]			531
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		532
	<b>EntitledSecuritiesQuantity</b> <EntitldSctiesQty>	[1..1]			532
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		532
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	533
	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[0..*]			533
	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	533

## 10.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

**C3 CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

**C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 10.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 10.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the advice.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 10.4.2 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* [C3 "CorporateActionIdentificationRule"](#)

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 10.4.3 CorporateActionDistributionDetails <CorpActnDstrbtdtls>

*Presence:* [1..1]

*Definition:* Provides information about the CA option and the entitlements.

**CorporateActionDistributionDetails <CorpActnDstrbntDtls>** contains the following **EntitlementAdvice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType &lt;OptnTp&gt;</b>	[1..1]			528
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		528
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		529
	<b>OptionNumber &lt;OptnNb&gt;</b>	[1..1]	Text		530
	<b>RecordDate &lt;RcrdDt&gt;</b>	[0..1]	±		530
	<b>PaymentDate &lt;PmtDt&gt;</b>	[0..1]	±		530
	<b>AccountAndDistributionDetails &lt;AcctAndDstrbntDtls&gt;</b>	[1..*]			530
	<b>AccountOwnerIdentification &lt;AcctOwnrId&gt;</b>	[0..1]	±		531
	<b>AccountIdentification &lt;AcctId&gt;</b>	[1..1]	Text		531
	<b>SecuritiesDistributionDetails &lt;SctiesDstrbntDtls&gt;</b>	[0..*]			531
	<b>SecurityIdentification &lt;SctyId&gt;</b>	[1..1]	±		532
	<b>EntitledSecuritiesQuantity &lt;EntitldSctiesQty&gt;</b>	[1..1]			532
{Or	<b>Unit &lt;Unit&gt;</b>	[1..1]	Quantity		532
Or}	<b>FaceAmount &lt;FaceAmt&gt;</b>	[1..1]	Amount	C1, C5	533
	<b>CashDistributionDetails &lt;CshDstrbntDtls&gt;</b>	[0..*]			533
	<b>CashAmount &lt;CshAmt&gt;</b>	[1..1]	Amount	C1, C5	533

#### 10.4.3.1 OptionType <OptnTp>

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		528
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		529

##### 10.4.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033



CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

**10.4.3.1.2 Proprietary <Prtry>***Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 10.4.3.2 OptionNumber <OptnNb>

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 10.4.3.3 RecordDate <RcrdDt>

*Presence:* [0..1]

*Definition:* Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 10.4.3.4 PaymentDate <PmtDt>

*Presence:* [0..1]

*Definition:* Date on which securities/cash will be paid.

**PaymentDate <PmtDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 10.4.3.5 AccountAndDistributionDetails <AcctAndDstrbtnDtls>

*Presence:* [1..\*]

*Definition:* Provides information about the entitlement and the entitled account.

**AccountAndDistributionDetails <AcctAndDstrbtnDtls>** contains the following **Entitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		531
	<b>AccountIdentification</b> <Acctld>	[1..1]	Text		531
	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[0..*]			531
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		532
	<b>EntitledSecuritiesQuantity</b> <EntitldSctiesQty>	[1..1]			532
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		532
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	533
	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[0..*]			533
	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	533

#### 10.4.3.5.1 AccountOwnerIdentification <AcctOwnrld>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrld>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICOrBEI</b> <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	<b>ProprietaryIdentification</b> <Prtryld>	[1..1]	±		911
Or}	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		911

#### 10.4.3.5.2 AccountIdentification <Acctld>

*Presence:* [1..1]

*Definition:* Identification of the account.

*Datatype:* "Max35Text" on page 1094

#### 10.4.3.5.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the securities distribution.

**SecuritiesDistributionDetails <SctiesDstrbtnDtls>** contains the following **SecuritiesEntitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		532
	<b>EntitledSecuritiesQuantity</b> <EntitldSctiesQty>	[1..1]			532
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		532
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	533

#### 10.4.3.5.3.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ISIN</b> <ISIN>	[1..1]	IdentifierSet		1002
Or}	<b>OtherIdentification</b> <Othrlld>	[1..1]			1002
	<b>Identification</b> <Id>	[1..1]	Text		1002
{Or	<b>DomesticIdentificationSource</b> <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	<b>ProprietaryIdentificationSource</b> <PrtryldSrc>	[1..1]	Text		1003
	<b>Description</b> <Desc>	[0..1]	Text		1003

#### 10.4.3.5.3.2 EntitledSecuritiesQuantity <EntitldSctiesQty>

*Presence:* [1..1]

*Definition:* Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

**EntitledSecuritiesQuantity <EntitldSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		532
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	533

##### 10.4.3.5.3.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

**10.4.3.5.3.2.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**10.4.3.5.4 CashDistributionDetails <CshDstrbtnDtls>***Presence:* [0..\*]*Definition:* Provides information about the cash distribution.**CashDistributionDetails <CshDstrbtnDtls>** contains the following **CashEntitlement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAmount &lt;CshAmt&gt;</b>	[1..1]	Amount	C1, C5	533

**10.4.3.5.4.1 CashAmount <CshAmt>***Presence:* [1..1]*Definition:* Entitled cash amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

# 11 **seev.017.001.01**

## **AgentCAGlobalDistributionAuthorisationRequestV01**

### 11.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to request the authorisation to process the entitlement movements (cash and/or securities) calculated by the CSD for a given corporate action entire event, a given corporate action option and optionally a given resource.

This message can also be sent to request the issuer (or its agent) to make available / deliver the relevant resources to the CSD.

#### Usage

This message is used to request the authorisation to process the entitlement movements calculated by the CSD for a given corporate action event and option. An Agent Corporate Action Global Distribution Authorisation Request message must be sent for each option and if several resources are associated to an option, an Agent Corporate Action Global Distribution Authorisation Request message can be sent for each resource.

This message can also be used to pre-advise a global distribution authorisation request, in which case the value of the field pre-advice indicator must be set to yes.

#### Outline

The AgentCAGlobalDistributionAuthorisationRequestV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the request.

##### B. CorporateActionGeneralInformation

General information about the corporate action event.

##### C. GlobalDistributionDetails

Provides detailed information about the global distribution.

## 11.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAGblDstrbtrAuthstnReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		536
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	537
	<b>GlobalDistributionDetails</b> <GblDstrbtrDtls>	[1..1]		C6	537
	<b>PreadviceIndicator</b> <Pradvclnd>	[1..1]	Indicator		539
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		539
	<b>OptionType</b> <OptnTp>	[1..1]			539
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		540
	<b>RecordDate</b> <RcrdDt>	[1..1]	±		541
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		541
	<b>SecuritiesMovement</b> <SctiesMvmnt>	[0..*]			541
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		542
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		542
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			542
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		543
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	543
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		543
	<b>CashMovement</b> <CshMvmnt>	[0..*]			543
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		544
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	544
	<b>TaxAmount</b> <TaxAmt>	[0..1]	Amount	C1, C5	544
	<b>Charges</b> <Chrgs>	[0..*]	±		545
	<b>AccountDetails</b> <AcctDtls>	[1..2]			545
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		545
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		546
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		546
	<b>BalanceType</b> <BalTp>	[0..1]			546
	<b>Code</b> <Cd>	[1..1]	CodeSet		546
	<b>Proprietary</b> <Prtry>	[1..1]	±		547

## 11.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### C6 MovementRule

At least one occurrence of either CashMovement or SecuritiesMovement must be present. Both may be present.

## 11.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 11.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the request.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798



## 11.4.2 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 11.4.3 GlobalDistributionDetails <GblDstrbtnDtls>

*Presence:* [1..1]

*Definition:* Provides detailed information about the global distribution.

*Impacted by:* C6 "MovementRule"

**GlobalDistributionDetails <GbldStrbtnDtls>** contains the following **GlobalDistributionRequest1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PreadviceIndicator</b> <Pradvclnd>	[1..1]	Indicator		539
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		539
	<b>OptionType</b> <OptnTp>	[1..1]			539
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		540
	<b>RecordDate</b> <RcrdDt>	[1..1]	±		541
	<b>PaymentDate</b> <PmtDt>	[1..1]	±		541
	<b>SecuritiesMovement</b> <SctiesMvmnt>	[0..*]			541
	<b>MovementIdentification</b> <Mvmntld>	[0..1]	Text		542
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		542
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			542
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		543
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	543
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		543
	<b>CashMovement</b> <CshMvmnt>	[0..*]			543
	<b>MovementIdentification</b> <Mvmntld>	[0..1]	Text		544
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	544
	<b>TaxAmount</b> <TaxAmt>	[0..1]	Amount	C1, C5	544
	<b>Charges</b> <Chrgs>	[0..*]	±		545
	<b>AccountDetails</b> <AcctDtls>	[1..2]			545
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		545
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		546
	<b>AccountIdentification</b> <Acctld>	[1..1]	±		546
	<b>BalanceType</b> <BalTp>	[0..1]			546
	<b>Code</b> <Cd>	[1..1]	CodeSet		546
	<b>Proprietary</b> <Prtry>	[1..1]	±		547

**Constraints**

- **MovementRule**

At least one occurrence of either CashMovement or SecuritiesMovement must be present. Both may be present.

**11.4.3.1 PreadviceIndicator <Pradvclnd>**

*Presence:* [1..1]

*Definition:* Indicates whether the message is an advice or pre-advice.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

**11.4.3.2 OptionNumber <OptnNb>**

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 1092

**11.4.3.3 OptionType <OptnTp>**

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		539
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		540

**11.4.3.3.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.

CodeName	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 11.4.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 11.4.3.4 RecordDate <RcrdDt>

*Presence:* [1..1]

*Definition:* Date on which the holders of securities are/will be recorded for the income being paid or for entitlement to the rights or offer/privilege.

**RecordDate <RcrdDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 11.4.3.5 PaymentDate <PmtDt>

*Presence:* [1..1]

*Definition:* Date on which securities/cash will be paid.

**PaymentDate <PmtDt>** contains one of the following elements (see "[DateFormat4Choice](#)" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 11.4.3.6 SecuritiesMovement <SctiesMvmnt>

*Presence:* [0..\*]

*Definition:* Provides information about the securities movement.

**SecuritiesMovement <SctiesMvmnt>** contains the following **SecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		542
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		542
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			542
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		543
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	543
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		543

#### 11.4.3.6.1 MovementIdentification <MvmntId>

*Presence:* [0..1]

*Definition:* Identification of the movement.

*Datatype:* "Max35Text" on page 1094

#### 11.4.3.6.2 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <SctyId>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ISIN</b> <ISIN>	[1..1]	IdentifierSet		1002
Or}	<b>OtherIdentification</b> <OthrId>	[1..1]			1002
	<b>Identification</b> <Id>	[1..1]	Text		1002
{Or	<b>DomesticIdentificationSource</b> <DmstIdSrc>	[1..1]	CodeSet	C3	1003
Or}	<b>ProprietaryIdentificationSource</b> <PrtryIdSrc>	[1..1]	Text		1003
	<b>Description</b> <Desc>	[0..1]	Text		1003

#### 11.4.3.6.3 SecuritiesQuantity <SctiesQty>

*Presence:* [1..1]

*Definition:* Quantitty of financial instrument.

**SecuritiesQuantity <SctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		543
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	543

**11.4.3.6.3.1 Unit <Unit>***Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 1090**11.4.3.6.3.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**11.4.3.6.4 AccountDetails <AcctDtls>***Presence:* [1..2]*Definition:* Provides information about the account which is debited/credited.

**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount12" on page 753 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		754
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		754
	AccountIdentification <AcctId>	[1..1]	Text		754
	BalanceType <BalTp>	[0..1]			754
{Or	Code <Cd>	[1..1]	CodeSet		755
Or}	Proprietary <Prtry>	[1..1]	±		755
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		756

**11.4.3.7 CashMovement <CshMvmnt>***Presence:* [0..\*]*Definition:* Provides information about the cash movement.

**CashMovement <CshMvmnt>** contains the following **CashMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		544
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	544
	<b>TaxAmount</b> <TaxAmt>	[0..1]	Amount	C1, C5	544
	<b>Charges</b> <Chrgs>	[0..*]	±		545
	<b>AccountDetails</b> <AcctDtls>	[1..2]			545
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		545
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		546
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		546
	<b>BalanceType</b> <BalTp>	[0..1]			546
	<b>Code</b> <Cd>	[1..1]	CodeSet		546
	<b>Proprietary</b> <Prtry>	[1..1]	±		547

#### 11.4.3.7.1 MovementIdentification <MvmntId>

*Presence:* [0..1]

*Definition:* Identification of the movement.

*Datatype:* "Max35Text" on page 1094

#### 11.4.3.7.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 11.4.3.7.3 TaxAmount <TaxAmt>

*Presence:* [0..1]

*Definition:* Amount of taxes.



*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 11.4.3.7.4 Charges <Chrgs>

*Presence:* [0..\*]

*Definition:* Specifies the charges.

**Charges <Chrgs>** contains the following elements (see "Charges1" on page 761 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			761
{Or	Code <Cd>	[1..1]	CodeSet		761
Or}	Proprietary <Prtry>	[1..1]	±		762
	Amount <Amt>	[1..1]	Amount	C1, C5	762

#### 11.4.3.7.5 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited.

**AccountDetails <AcctDtls>** contains the following **CashAccount18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		545
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		546
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		546
	<b>BalanceType</b> <BalTp>	[0..1]			546
	<b>Code</b> <Cd>	[1..1]	CodeSet		546
	<b>Proprietary</b> <Prtry>	[1..1]	±		547

##### 11.4.3.7.5.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 11.4.3.7.5.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 11.4.3.7.5.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 11.4.3.7.5.4 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* The cash balance type.

**BalanceType <BalTp>** contains the following **CashBalanceType1FormatType** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Code</b> <Cd>	[1..1]	CodeSet		546
	<b>Proprietary</b> <Prtry>	[1..1]	±		547

##### 11.4.3.7.5.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of cash balance.

*Datatype:* "CashBalanceType1Code" on page 1013

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

**11.4.3.7.5.4.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of cash balance.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

# 12      **seev.018.001.01**

## **AgentCAGlobalDistributionStatusAdviceV01**

### 12.1    **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to the CSD to authorise/prohibit the CSD to process the entitlement movements.

#### Usage

This message is used to authorise/prohibit the CSD to process the movements requested in the Global Distribution Authorisation Request message.

Once the amendment request has been accepted by the issuer (or its agent), the CSD will process any resource movement and send an Agent Corporate Action Election Advice message with the function, option change, to confirm that the amendment has been booked at the CSD.

The issuer (or its agent) can provide the status in 2 different ways:

- Provide a global status, in which case the building block Global Movement Status must be present; or
- Provide a status by individual movements, in which case, the building block Individual Movement Status must be present. An individual movement cannot be rejected.

#### Outline

The AgentCAGlobalDistributionStatusAdviceV01 MessageDefinition is composed of 5 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

##### B. AgentCAGlobalDistributionAuthorisationRequestIdentification

Identification of the linked Agent CA Global Distribution Authorisation Request for which a status is given.

##### C. CorporateActionGeneralInformation

General information about the corporate action event.

##### D. GlobalMovementStatus

Provides information about the status of the global movement.

##### E. IndividualMovementStatus

Provides information about the status of an individual movement.

## 12.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAGblDstrbtnStsAdv>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		550
	<b>AgentCAGlobalDistributionAuthorisationRequestIdentification</b> <AgtCAGblDstrbtnAuthstnReqId>	[1..1]	±		550
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C2	551
{Or	<b>GlobalMovementStatus</b> <GblMvmntSts>	[1..1]			551
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			552
	<b>Status</b> <Sts>	[1..1]			552
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		553
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		553
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		553
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			553
	<b>Reason</b> <Rsn>	[1..*]			554
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		554
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		554
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		554
Or}	<b>IndividualMovementStatus</b> <IndvMvmntSts>	[1..*]			554
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		555
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			555
	<b>Status</b> <Sts>	[1..1]			555
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		556
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		556
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		556
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			556
	<b>Reason</b> <Rsn>	[1..*]			557
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		557
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		557
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		557

## 12.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 12.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 12.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 12.4.2 AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGbIDstrbtnAuthstnReqId>

*Presence:* [1..1]

*Definition:* Identification of the linked Agent CA Global Distribution Authorisation Request for which a status is given.

**AgentCAGlobalDistributionAuthorisationRequestIdentification <AgtCAGbIDstrbtnAuthstnReqId>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 12.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C2 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 12.4.4 GlobalMovementStatus <GbIMvmntSts>

*Presence:* [1..1]

**Warning** Either GlobalMovementStatus or IndividualMovementStatus must be present.

*Definition:* Provides information about the status of the global movement.

**GlobalMovementStatus <GblMvmntSts>** contains the following **GlobalDistributionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			552
	<b>Status</b> <Sts>	[1..1]			552
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		553
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		553
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		553
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			553
	<b>Reason</b> <Rsn>	[1..*]			554
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		554
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		554
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		554

#### 12.4.4.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

**Warning** Either ProcessedStatus or RejectedStatus.

*Definition:* Provides information about the processing status of the global movement.

**ProcessedStatus <PrcdSts>** contains the following **DistributionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			552
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		553
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		553
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		553

##### 12.4.4.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		553
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		553



**12.4.4.1.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the processing status of an advice, a request or a movement.*Datatype:* "ProcessedStatus3Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

**12.4.4.1.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**12.4.4.1.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 1094**12.4.4.2 RejectedStatus <RjctdSts>***Presence:* [1..1]**Warning** Either ProcessedStatus or RejectedStatus.*Definition:* Provides information about the rejection status.**RejectedStatus <RjctdSts>** contains the following **DistributionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			554
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		554
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		554
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		554

**12.4.4.2.1 Reason <Rsn>***Presence:* [1..\*]*Definition:* The rejection reason.**Reason <Rsn>** contains one of the following **RejectionReason19FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		554
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		554

**12.4.4.2.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the reason of a rejection of a global movement authorisation request.*Datatype:* "RejectionReason19Code" on page 1078

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

**12.4.4.2.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the reason of a rejection of a global movement authorisation request.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**12.4.4.2.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 1094**12.4.5 IndividualMovementStatus <IndvMvmntSts>***Presence:* [1..\*]**Warning** Either GlobalMovementStatus or IndividualMovementStatus must be present.*Definition:* Provides information about the status of an individual movement.

**IndividualMovementStatus <IndvMvmntSts>** contains the following **IndividualMovementStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MovementIdentification</b> <MvmntId>	[0..1]	Text		555
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			555
	<b>Status</b> <Sts>	[1..1]			555
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		556
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		556
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		556
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			556
	<b>Reason</b> <Rsn>	[1..*]			557
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		557
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		557
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		557

#### 12.4.5.1 MovementIdentification <MvmntId>

*Presence:* [0..1]

*Definition:* Identification of the movement.

*Datatype:* "Max35Text" on page 1094

#### 12.4.5.2 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

**Warning** Either ProcessedStatus or RejectedStatus.

*Definition:* Provides information about the processing status of individual movement.

**ProcessedStatus <PrcdSts>** contains the following **MovementProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			555
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		556
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		556
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		556

#### 12.4.5.2.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		556
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		556

#### 12.4.5.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of an advice, a request or a movement.

*Datatype:* "ProcessedStatus3Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

#### 12.4.5.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 12.4.5.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 12.4.5.3 RejectedStatus <RjctdSts>

*Presence:* [1..1]

**Warning** Either ProcessedStatus or RejectedStatus.

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **DistributionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason &lt;Rsn&gt;</b>	[1..*]			557
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		557
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		557
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		557

#### 12.4.5.3.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason19FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		557
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		557

##### 12.4.5.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of a global movement authorisation request.

*Datatype:* "RejectionReason19Code" on page 1078

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

##### 12.4.5.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of a global movement authorisation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 12.4.5.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

# 13      **seev.019.001.01**

## **AgentCAMovementInstructionV01**

### 13.1    **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to a CSD to order:

- the global or individual debit of exercised resources (cash and/or securities), per event and optionally per option and per resource for all or individual CSD client's accounts;
- and/or the individual credits of the outturn resources per event and optionally per option and per resource for a given CSD client's account.

#### Usage

This message is used to instruct:

- the global debit of the exercised resources from the CSD client's available or sequestered balance, in which case, the order type must be 'global debit order';
- the individual debits and credits:
- the individual debit of the exercised resources from the CSD client's available or sequestered balance and/or
- the individual credit of the outturn resources to the CSD client's account.

The order type must be 'individual order';

- a return order, in the case of a scaleback, i.e. the return of the exercised resources to the CSD client's account. The order type must be either 'global return order' or 'individual return order'; and

change of option, e.g. in the case of the closure of an option, by moving the exercised resources from one option to another option within the sequestered balances in accordance to the new option conditions. The order type must be 'option change order'.

#### Outline

The AgentCAMovementInstructionV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the instruction.

##### B. AgentCAElectionAdviceIdentification

Identification of the Agent CA ElectionAdvice when the movements are the result of an ElectionAdvice.

##### C. CorporateActionGeneralInformation

General information about the corporate action event.

##### D. MovementGeneralInformation

Provides general information about the movement.

E. UnderlyingSecuritiesMovementDetails

Information related to the movement of the underlying securities.

F. UnderlyingCashMovementDetails

Information related to the movement of the underlying cash.

G. ProceedsMovementDetails

Information related to the movement of the CA proceeds.



## 13.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntInstr>	[1..1]		C6, C7	
	<b>Identification</b> <Id>	[1..1]	±		564
	<b>AgentCAElectionAdviserIdentification</b> <AgtCAElctnAdvcl>	[0..1]	±		564
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	565
	<b>MovementGeneralInformation</b> <MvmntGnlInf>	[1..1]			565
	<b>OrderType</b> <OrdTp>	[1..1]	CodeSet		566
	<b>HighPriorityIndicator</b> <HghPrtyInd>	[1..1]	Indicator		567
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		567
	<b>OptionType</b> <OptnTp>	[0..1]			567
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		567
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[1..1]	Date		569
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		569
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		569
	<b>ConfirmedBalanceSecuritiesQuantity</b> <ConfdBalSciesQty>	[0..1]			569
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		569
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	569
	<b>UnderlyingSecuritiesMovementDetails</b> <UndrlygSciesMvmntDtls>	[0..*]			570
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		570
	<b>SecuritiesQuantity</b> <SciesQty>	[1..1]			571
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		571
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	571
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		572
	<b>UnderlyingCashMovementDetails</b> <UndrlygCshMvmntDtls>	[0..*]			572
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	573
	<b>AccountDetails</b> <AcctDtls>	[1..2]			573
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		573
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		574
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		574

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProceedsMovementDetails</b> <PrcdsMvmntDtls>	[0..1]			574
	<b>CashProceedsMovementDetails</b> <CshPrcdsMvmntDtls>	[0..*]			576
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	576
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		577
	<b>AccountDetails</b> <AcctDtls>	[1..2]			577
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		577
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		577
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		578
	<b>SecuritiesProceedsMovementDetails</b> <SciesPrcdsMvmntDtls>	[0..*]			578
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		578
	<b>PostingQuantity</b> <PstngQty>	[1..1]			579
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		579
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	579
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		580
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		580
	<b>TaxDetails</b> <TaxDtls>	[0..1]			580
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		581
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	582
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	582
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	582
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	583
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			583
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		584
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	584
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		584
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	584
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		585
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		585
	<b>CashAmountBroughtForward</b> <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	585
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		585
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	586

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	586
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	586
	<b>BargainDate</b> <BrgrnDt>	[0..1]	Date		587
	<b>BargainSettlementDate</b> <BrgrnSttlmDt>	[0..1]	Date		587
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	587
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	587
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	588
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			588
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	588
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	589
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		589
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	589
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	590

## 13.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 OptionRule1**

IF MovementGeneralInformation/OrderType is CHAN (OptionChangeOrder) then OptionNumber and OptionType must be present in each occurrence of UnderlyingSecuritiesMovementDetails/CreditAccountDetails and UnderlyingSecuritiesMovement/DebitAccountDetails and OptionNumber and OptionType cannot be present in MovementGeneralInformation.

*This constraint is defined at the MessageDefinition level.*

**C7 OptionRule2**

IF MovementGeneralInformation/OrderType is not CHAN (OptionChangeOrder), then OptionNumber and OptionType must be present in MovementGeneralInformation and cannot be present in any occurrence of UnderlyingSecuritiesMovementDetails/CreditAccountDetails neither in UnderlyingSecuritiesMovement/DebitAccountDetails.

*This constraint is defined at the MessageDefinition level.*

**C8 ValidationByTable**

Must be a valid nationality.

## 13.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 13.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the instruction.

**Identification <Id>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 13.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

*Presence:* [0..1]

*Definition:* Identification of the Agent CA ElectionAdvice when the movements are the result of an ElectionAdvice.

**AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 13.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

### 13.4.4 MovementGeneralInformation <MvmntGnlInf>

*Presence:* [1..1]

*Definition:* Provides general information about the movement.

**MovementGeneralInformation** <MvmntGnllnf> contains the following **CorporateActionMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OrderType</b> <OrdTp>	[1..1]	CodeSet		566
	<b>HighPriorityIndicator</b> <HghPrtyInd>	[1..1]	Indicator		567
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		567
	<b>OptionType</b> <OptnTp>	[0..1]			567
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		567
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		568
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[1..1]	Date		569
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		569
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		569
	<b>ConfirmedBalanceSecuritiesQuantity</b> <ConfdBalScitiesQty>	[0..1]			569
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		569
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	569

#### 13.4.4.1 OrderType <OrdTp>

*Presence:* [1..1]

*Definition:* Type of movement instruction.

*Datatype:* "DistributionInstructionType1Code" on page 1038

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

### 13.4.4.2 HighPriorityIndicator <HghPrtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 13.4.4.3 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 1092

### 13.4.4.4 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		567
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		568

#### 13.4.4.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.

CodeName	Name	Definition
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 13.4.4.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797



**13.4.4.5 RequestedExecutionDate <ReqdExctnDt>***Presence:* [1..1]*Definition:* Date at which the distribution movement must be executed.*Datatype:* "ISODate" on page 1085**13.4.4.6 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**13.4.4.7 AccountIdentification <AcctId>***Presence:* [0..1]*Definition:* Identification of the account.*Datatype:* "Max35Text" on page 1094**13.4.4.8 ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>***Presence:* [0..1]*Definition:* Quantity of securities in the confirmed balance, ie, the balance to which the credit of the returned resources applies.**ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		569
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	569

**13.4.4.8.1 Unit <Unit>***Presence:* [1..1]*Definition:* Quantity expressed as a number, eg, a number of shares.*Datatype:* "DecimalNumber" on page 1090**13.4.4.8.2 FaceAmount <FaceAmt>***Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 13.4.5 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

*Presence:* [0..\*]

*Definition:* Information related to the movement of the underlying securities.

**UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>** contains the following **UnderlyingSecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		570
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			571
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		571
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	571
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		572

### 13.4.5.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

### 13.4.5.2 SecuritiesQuantity <SctiesQty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

**SecuritiesQuantity <SctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		571
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	571

#### 13.4.5.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 13.4.5.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 13.4.5.3 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the debited/credited securities account.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount8](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		742
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		742
	AccountIdentification <AcctId>	[1..1]	Text		743
	BalanceType <BalTp>	[0..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		743
Or}	Proprietary <Prtry>	[1..1]	±		744
	OptionType <OptnTp>	[0..1]			744
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		745
	OptionNumber <OptnNb>	[0..1]	Text		746
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		746
	StampDuty <StrmpDty>	[0..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		746
Or}	Proprietary <Prtry>	[1..1]	±		747

### 13.4.6 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Information related to the movement of the underlying cash.

**UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>** contains the following **CashMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	573
	<b>AccountDetails</b> <AcctDtls>	[1..2]			573
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		573
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		574
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		574

### 13.4.6.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 13.4.6.2 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited.

**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		573
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		574
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		574

#### 13.4.6.2.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 13.4.6.2.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 13.4.6.2.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

### 13.4.7 ProceedsMovementDetails <PrcdsMvmntDtls>

*Presence:* [0..1]

*Definition:* Information related to the movement of the CA proceeds.

**ProceedsMovementDetails** <PrcdsMvmntDtls> contains the following **ProceedsMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashProceedsMovementDetails</b> <CshPrcdsMvmntDtls>	[0..*]			576
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	576
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		577
	<b>AccountDetails</b> <AcctDtls>	[1..2]			577
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		577
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		577
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		578
	<b>SecuritiesProceedsMovementDetails</b> <SctiesPrcdsMvmntDtls>	[0..*]			578
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		578
	<b>PostingQuantity</b> <PstngQty>	[1..1]			579
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		579
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	579
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		580
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		580
	<b>TaxDetails</b> <TaxDtls>	[0..1]			580
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		581
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	582
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	582
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	582
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	583
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			583
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		584
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	584
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		584
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	584
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		585
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		585
	<b>CashAmountBroughtForward</b> <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	585
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		585

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	586
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	586
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	586
	<b>BargainDate</b> <BrngDt>	[0..1]	Date		587
	<b>BargainSettlementDate</b> <BrngSttlmDt>	[0..1]	Date		587
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	587
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	587
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	588
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			588
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	588
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	589
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		589
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	589
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	590

### 13.4.7.1 CashProceedsMovementDetails <CshPrcdsMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the movement of the cash proceeds.

**CashProceedsMovementDetails <CshPrcdsMvmntDtls>** contains the following **CashProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	576
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		577
	<b>AccountDetails</b> <AcctDtls>	[1..2]			577
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		577
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		577
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		578

#### 13.4.7.1.1 PostingAmount <PstngAmt>

*Presence:* [1..1]

*Definition:* Cash amount which is posted.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007



**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.1.2 ReconciliationDetails <RcncltnDtls>**

*Presence:* [0..1]

*Definition:* Reconciliation information.

*Datatype:* "Max350Text" on page 1094

**13.4.7.1.3 AccountDetails <AcctDtls>**

*Presence:* [1..2]

*Definition:* Provides information about the debited securities account.

**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		577
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		577
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		578

**13.4.7.1.3.1 CreditDebitIndicator <CdtDbtInd>**

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**13.4.7.1.3.2 AccountOwnerIdentification <AcctOwnrld>**

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 13.4.7.1.3.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 13.4.7.2 SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the movement of the securities proceeds.

**SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtls>** contains the following **SecuritiesProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		578
	<b>PostingQuantity</b> <PstngQty>	[1..1]			579
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		579
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	579
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		580
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		580

##### 13.4.7.2.1 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 13.4.7.2.2 PostingQuantity <PstngQty>

*Presence:* [1..1]

*Definition:* The quantity of financial instruments that is posted.

**PostingQuantity <PstngQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		579
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	579

##### 13.4.7.2.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

##### 13.4.7.2.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.2.3 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account that is debited/credited.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount10](#)" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		757
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		757
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C6	757
	AccountIdentification <AcctId>	[1..1]	Text		758
	BalanceType <BalTp>	[0..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		759

#### 13.4.7.2.4 ReconciliationDetails <RcncltnDtls>

*Presence:* [0..1]

*Definition:* Provides reconciliation information.

*Datatype:* "[Max350Text](#)" on page 1094

#### 13.4.7.3 TaxDetails <TaxDtls>

*Presence:* [0..1]

*Definition:* Provides information about the tax voucher.

**TaxDetails <TaxDtls>** contains the following **TaxVoucher1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		581
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	582
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	582
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	582
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	583
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			583
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		584
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	584
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		584
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	584
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		585
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		585
	<b>CashAmountBroughtForward</b> <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	585
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		585
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	586
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	586
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	586
	<b>BargainDate</b> <BrgrDt>	[0..1]	Date		587
	<b>BargainSettlementDate</b> <BrgrSttlmDt>	[0..1]	Date		587
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	587
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	587
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	588
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			588
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	588
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	589
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		589
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	589
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	590

### 13.4.7.3.1 TaxVoucherRate <TaxVchrRate>

Presence: [1..1]

*Definition:* Distribution rate per share.

*Datatype:* "BaseOneRate" on page 1091

#### 13.4.7.3.2 TaxCredit <TaxCdt>

*Presence:* [1..1]

**Warning** Either TaxCredit orTaxDeduction must be present.

*Definition:* Amount of tax that have been previously paid.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.3 TaxDeduction <TaxDdctn>

*Presence:* [1..1]

**Warning** Either TaxCredit orTaxDeduction must be present.

*Definition:* Amount of tax that have been previously deducted.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.4 GrossAmount <GrssAmt>

*Presence:* [1..1]

*Definition:* Cash amount before any deductions and allowances have been made.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.5 NetAmount <NetAmt>

*Presence:* [1..1]

*Definition:* Cash amount after any deductions and allowances have been made.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.6 RecordDateHolding <RcrdDtHldg>

*Presence:* [1..1]

*Definition:* Securities holding on record date.

**RecordDateHolding <RcrdDtHldg>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		584
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	584

**13.4.7.3.6.1 Unit <Unit>**

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

**13.4.7.3.6.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.7 TaxCreditRate <TaxCdtRate>**

*Presence:* [0..1]

*Definition:* Applicable tax rate on the tax credit amount.

*Datatype:* "PercentageRate" on page 1092

**13.4.7.3.8 WithholdingTaxAmount <WhldgTaxAmt>**

*Presence:* [0..1]

*Definition:* Cash amount that will be withheld by a tax authority.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.



Note: The decimal separator is a dot.

#### 13.4.7.3.9 WithholdingTaxRate <WhldgTaxRate>

*Presence:* [0..1]

*Definition:* Rate of a cash distribution that will be withheld by a tax authority.

*Datatype:* "PercentageRate" on page 1092

#### 13.4.7.3.10 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>

*Presence:* [0..1]

*Definition:* Cost per share of new shares allotted.

**ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>** contains the following elements (see "PriceValue1" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	955

#### 13.4.7.3.11 CashAmountBroughtForward <CshAmtBrghtFwd>

*Presence:* [0..1]

*Definition:* Cash amount retained from previous dividend or interest payment.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.12 AllotedSharesCost <AlltdShrsCost>

*Presence:* [0..1]

*Definition:* Total cash amount required to purchase shares allotted.

**AllotedSharesCost <AlltdShrsCost>** contains the following elements (see "PriceValue1" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	955

**13.4.7.3.13 CashAmountCarriedForward <CshAmtCrrdFwd>**

*Presence:* [0..1]

*Definition:* Cash amount carried forward to next dividend or interest payment.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.14 NotionalTax <NtnlTax>**

*Presence:* [0..1]

*Definition:* Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.15 NotionalDividendPayable <NtnlDvddPybl>**

*Presence:* [0..1]

*Definition:* Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.16 BargainDate <BrngDt>**

*Presence:* [0..1]

*Definition:* Date on which DRIP purchase completed.

*Datatype:* "ISODate" on page 1085

**13.4.7.3.17 BargainSettlementDate <BrngSttlmDt>**

*Presence:* [0..1]

*Definition:* Settlement date of the DRIP purchase transaction.

*Datatype:* "ISODate" on page 1085

**13.4.7.3.18 StampDutyAmount <StmpDtyAmt>**

*Presence:* [0..1]

*Definition:* Amount of stamp duty.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.19 ChargeAmount <ChrgAmt>**

*Presence:* [0..1]

*Definition:* Amount of charges/fees charged to the client.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.20 CommissionAmount <ComssnAmt>**

*Presence:* [0..1]

*Definition:* Amount due to the paying agent.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**13.4.7.3.21 ForeignExchangeDetails <FXDtIs>**

*Presence:* [0..1]

*Definition:* Provides information about the foreign exchange transaction.

**ForeignExchangeDetails <FXDtIs>** contains the following **ForeignExchangeTerms9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	588
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	589
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		589
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	589
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	590

**13.4.7.3.21.1 UnitCurrency <UnitCcy>**

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 13.4.7.3.21.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 13.4.7.3.21.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOneRate" on page 1091

#### 13.4.7.3.21.4 ResultingAmount <RsltAmt>

*Presence:* [1..1]

*Definition:* Amount of money resulting from a foreign exchange transaction.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 13.4.7.3.21.5 OriginalAmount <OrgnlAmt>

*Presence:* [0..1]

*Definition:* Amount in its original currency when conversion from/into another currency has occurred.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

# 14      **seev.020.001.01**

## **AgentCAMovementCancellationRequestV01**

### 14.1    **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to a CSD to request the cancellation of (a) movement(s) previously sent via an Agent Corporate Action Movement Instruction.

#### Usage

This message may be used to cancel an entire Agent Corporate Action Movement Instruction message that was previously sent by the issuer (or its agent) or specific movements.

This message must contain the identification of the Agent Corporate Action Movement Instruction containing the movement(s) to be cancelled, the agent identification and the corporate action references. This message must also contain details of the movement(s) to be cancelled.

#### Outline

The AgentCAMovementCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCAMovementInstructionIdentification

Identification of the Agent CA Movement Instruction to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. MovementDetails

Details of the movement instructions to be cancelled.

## 14.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntCxlReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		595
	<b>AgentCAMovementInstructionIdentification</b> <AgtCAMvmntInstrId>	[1..1]	±		595
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllnf>	[1..1]	±	C3	595
	<b>MovementDetails</b> <MvmntDtls>	[0..1]			596
	<b>MovementGeneralInformation</b> <MvmntGnllnf>	[1..1]			599
	<b>OrderType</b> <OrdTp>	[1..1]	CodeSet		599
	<b>HighPriorityIndicator</b> <HghPrtyInd>	[1..1]	Indicator		600
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		600
	<b>OptionType</b> <OptnTp>	[0..1]			600
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		602
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[1..1]	Date		602
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		602
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		602
	<b>ConfirmedBalanceSecuritiesQuantity</b> <ConfdBalSctiesQty>	[0..1]			603
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		603
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	603
	<b>UnderlyingSecuritiesMovementDetails</b> <UndrlygSctiesMvmntDtls>	[0..*]			603
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		604
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			604
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		604
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	605
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		605
	<b>UnderlyingCashMovementDetails</b> <UndrlygCshMvmntDtls>	[0..*]			606
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	606
	<b>AccountDetails</b> <AcctDtls>	[1..2]			606
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		607
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		607



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		607
	<b>ProceedsMovementDetails</b> <PrcdsMvmntDtls>	[0..*]			607
	<b>CashProceedsMovementDetails</b> <CshPrcdsMvmntDtls>	[0..*]			609
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	609
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		610
	<b>AccountDetails</b> <AcctDtls>	[1..2]			610
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		610
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		610
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		611
	<b>SecuritiesProceedsMovementDetails</b> <SctiesPrcdsMvmntDtls>	[0..*]			611
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		611
	<b>PostingQuantity</b> <PstngQty>	[1..1]			612
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		612
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	612
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		613
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		613
	<b>TaxDetails</b> <TaxDtls>	[0..1]			613
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		614
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	615
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	615
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	615
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	616
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			616
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		616
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	617
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		617
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	617
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		618
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		618
	<b>CashAmountBroughtForward</b> <CshAmtBrghFwd>	[0..1]	Amount	C1, C5	618
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		618

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	618
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	619
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	619
	<b>BargainDate</b> <BrngDt>	[0..1]	Date		620
	<b>BargainSettlementDate</b> <BrngSttlmDt>	[0..1]	Date		620
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	620
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	620
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	621
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			621
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	621
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	622
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		622
	<b>ResultingAmount</b> <RsltgtAmt>	[1..1]	Amount	C1, C5	622
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	623

## 14.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C6 ValidationByTable**

Must be a valid nationality.

## 14.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 14.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the cancellation request.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 14.4.2 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

*Presence:* [1..1]

*Definition:* Identification of the Agent CA Movement Instruction to be cancelled.

**AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 14.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* [C3 "CorporateActionIdentificationRule"](#)

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrvgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

## 14.4.4 MovementDetails <MvmntDtls>

*Presence:* [0..1]

*Definition:* Details of the movement instructions to be cancelled.

**MovementDetails <MvmntDtls>** contains the following **MovementInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>MovementGeneralInformation</b> <MvmntGnlInf>	[1..1]			599
	<b>OrderType</b> <OrdTp>	[1..1]	CodeSet		599
	<b>HighPriorityIndicator</b> <HghPrtyInd>	[1..1]	Indicator		600
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		600
	<b>OptionType</b> <OptnTp>	[0..1]			600
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		602
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[1..1]	Date		602
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		602
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		602
	<b>ConfirmedBalanceSecuritiesQuantity</b> <ConfdBalSciesQty>	[0..1]			603
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		603
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	603
	<b>UnderlyingSecuritiesMovementDetails</b> <UndrlygSciesMvmntDtls>	[0..*]			603
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		604
	<b>SecuritiesQuantity</b> <SciesQty>	[1..1]			604
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		604
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	605
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		605
	<b>UnderlyingCashMovementDetails</b> <UndrlygCshMvmntDtls>	[0..*]			606
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	606
	<b>AccountDetails</b> <AcctDtls>	[1..2]			606
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		607
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		607
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		607
	<b>ProceedsMovementDetails</b> <PrcdsMvmntDtls>	[0..*]			607
	<b>CashProceedsMovementDetails</b> <CshPrcdsMvmntDtls>	[0..*]			609
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	609
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		610

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountDetails</b> <AcctDtls>	[1..2]			610
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		610
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		610
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		611
	<b>SecuritiesProceedsMovementDetails</b> <SctiesPrcdsMvmntDtls>	[0..*]			611
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		611
	<b>PostingQuantity</b> <PstngQty>	[1..1]			612
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		612
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	612
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		613
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		613
	<b>TaxDetails</b> <TaxDtls>	[0..1]			613
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		614
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	615
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	615
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	615
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	616
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			616
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		616
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	617
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		617
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	617
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		618
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		618
	<b>CashAmountBroughtForward</b> <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	618
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		618
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	618
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	619
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	619
	<b>BargainDate</b> <BrngnDt>	[0..1]	Date		620
	<b>BargainSettlementDate</b> <BrngnSttlmDt>	[0..1]	Date		620

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	620
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	620
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	621
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			621
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	621
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	622
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		622
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	622
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	623

#### 14.4.4.1 MovementGeneralInformation <MvmntGnlInf>

*Presence:* [1..1]

*Definition:* Provides general information about the movement.

**MovementGeneralInformation <MvmntGnlInf>** contains the following **CorporateActionMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OrderType</b> <OrdrTp>	[1..1]	CodeSet		599
	<b>HighPriorityIndicator</b> <HghPrtyInd>	[1..1]	Indicator		600
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		600
	<b>OptionType</b> <OptnTp>	[0..1]			600
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		602
	<b>RequestedExecutionDate</b> <ReqdExctnDt>	[1..1]	Date		602
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		602
	<b>AccountIdentification</b> <AcctId>	[0..1]	Text		602
	<b>ConfirmedBalanceSecuritiesQuantity</b> <ConfdBalScitiesQty>	[0..1]			603
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		603
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	603

##### 14.4.4.1.1 OrderType <OrdrTp>

*Presence:* [1..1]

*Definition:* Type of movement instruction.

*Datatype:* "DistributionInstructionType1Code" on page 1038

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

#### 14.4.4.1.2 HighPriorityIndicator <HghPrtyInd>

*Presence:* [1..1]

*Definition:* Indicates whether the movement is a high priority or not.

Meaning when true: High priority

Meaning when false: Standard.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 14.4.4.1.3 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* ["Exact3NumericText"](#) on page 1092

#### 14.4.4.1.4 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.



**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		601
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		602

#### 14.4.4.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.

CodeName	Name	Definition
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

**14.4.4.1.4.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**14.4.4.1.5 RequestedExecutionDate <ReqdExctnDt>***Presence:* [1..1]*Definition:* Date at which the distribution movement must be executed.*Datatype:* "[ISODate](#)" on page 1085**14.4.4.1.6 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICorBEI <BICorBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**14.4.4.1.7 AccountIdentification <AcctId>***Presence:* [0..1]*Definition:* Identification of the account.

*Datatype:* "Max35Text" on page 1094

#### 14.4.4.1.8 ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>

*Presence:* [0..1]

*Definition:* Quantity of securities in the confirmed balance, ie, the balance to which the credit of the outturned resources applies.

**ConfirmedBalanceSecuritiesQuantity <ConfdBalSctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		603
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	603

##### 14.4.4.1.8.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 14.4.4.1.8.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.2 UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the underlying securities movement.

**UnderlyingSecuritiesMovementDetails <UndrlygSctiesMvmntDtls>** contains the following **UnderlyingSecurityMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		604
	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			604
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		604
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	605
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		605

#### 14.4.4.2.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ISIN</b> <ISIN>	[1..1]	IdentifierSet		1002
Or}	<b>OtherIdentification</b> <OthrlId>	[1..1]			1002
	<b>Identification</b> <Id>	[1..1]	Text		1002
{Or	<b>DomesticIdentificationSource</b> <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	<b>ProprietaryIdentificationSource</b> <PrtryldSrc>	[1..1]	Text		1003
	<b>Description</b> <Desc>	[0..1]	Text		1003

#### 14.4.4.2.2 SecuritiesQuantity <SctiesQty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

**SecuritiesQuantity <SctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		604
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	605

##### 14.4.4.2.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

**14.4.4.2.2.2 FaceAmount <FaceAmt>***Presence:* [1..1]*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.2.3 AccountDetails <AcctDtls>***Presence:* [1..2]*Definition:* Provides information about the debited/credited securities account.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount8](#)" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		742
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		742
	AccountIdentification <AcctId>	[1..1]	Text		743
	BalanceType <BalTp>	[0..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		743
Or}	Proprietary <Prtry>	[1..1]	±		744
	OptionType <OptnTp>	[0..1]			744
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		745
	OptionNumber <OptnNb>	[0..1]	Text		746
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		746
	StampDuty <StmpDty>	[0..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		746
Or}	Proprietary <Prtry>	[1..1]	±		747

**14.4.4.3 UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>***Presence:* [0..\*]*Definition:* Provides information about the underlying cash movement.**UnderlyingCashMovementDetails <UndrlygCshMvmntDtls>** contains the following **CashMovement2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Amount</b> <Amt>	[1..1]	Amount	C1, C5	606
	<b>AccountDetails</b> <AcctDtls>	[1..2]			606
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		607
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		607
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		607

**14.4.4.3.1 Amount <Amt>***Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.3.2 AccountDetails <AcctDtls>***Presence:* [1..2]*Definition:* Provides information about the account which is debited/credited.**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		607
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		607
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		607

**14.4.4.3.2.1 CreditDebitIndicator <CdtDbtInd>***Presence:* [1..1]*Definition:* Specifies whether the value is a debit or credit.*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**14.4.4.3.2.2 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**14.4.4.3.2.3 AccountIdentification <AcctId>***Presence:* [1..1]*Definition:* Identification of the cash account or the securities account from which the cash account is derived.**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

**14.4.4.4 ProceedsMovementDetails <PrcdsMvmntDtls>***Presence:* [0..\*]*Definition:* Provides information about the proceeds, ie, outturned resources.

**ProceedsMovementDetails** <PrcdsMvmntDtls> contains the following **ProceedsMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashProceedsMovementDetails</b> <CshPrcdsMvmntDtls>	[0..*]			609
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	609
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		610
	<b>AccountDetails</b> <AcctDtls>	[1..2]			610
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		610
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		610
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		611
	<b>SecuritiesProceedsMovementDetails</b> <SctiesPrcdsMvmntDtls>	[0..*]			611
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		611
	<b>PostingQuantity</b> <PstngQty>	[1..1]			612
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		612
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	612
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		613
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		613
	<b>TaxDetails</b> <TaxDtls>	[0..1]			613
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		614
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	615
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	615
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	615
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	616
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			616
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		616
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	617
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		617
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	617
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		618
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		618
	<b>CashAmountBroughtForward</b> <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	618
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		618



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	618
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	619
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	619
	<b>BargainDate</b> <BrngDt>	[0..1]	Date		620
	<b>BargainSettlementDate</b> <BrngSttlmDt>	[0..1]	Date		620
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	620
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	620
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	621
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			621
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	621
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	622
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		622
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	622
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	623

#### 14.4.4.4.1 CashProceedsMovementDetails <CshPrclsMvmntDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the movement of the cash proceeds.

**CashProceedsMovementDetails <CshPrclsMvmntDtls>** contains the following **CashProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	609
	<b>ReconciliationDetails</b> <RcncltnDtls>	[0..1]	Text		610
	<b>AccountDetails</b> <AcctDtls>	[1..2]			610
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		610
	<b>AccountOwnerIdentification</b> <AcctOwnrld>	[0..1]	±		610
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		611

##### 14.4.4.4.1.1 PostingAmount <PstngAmt>

*Presence:* [1..1]

*Definition:* Cash amount which is posted.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.4.1.2 ReconciliationDetails <RcncltnDtls>**

*Presence:* [0..1]

*Definition:* Reconciliation information.

*Datatype:* "Max350Text" on page 1094

**14.4.4.4.1.3 AccountDetails <AcctDtls>**

*Presence:* [1..2]

*Definition:* Provides information about the debited securities account.

**AccountDetails <AcctDtls>** contains the following **CashAccount19** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		610
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		610
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		611

**14.4.4.4.1.3.1 CreditDebitIndicator <CdtDbtInd>**

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**14.4.4.4.1.3.2 AccountOwnerIdentification <AcctOwnrId>**

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 14.4.4.1.3.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 14.4.4.4.2 SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtIs>

*Presence:* [0..\*]

*Definition:* Provides information about the movement of the securities proceeds.

**SecuritiesProceedsMovementDetails <SctiesPrcdsMvmntDtIs>** contains the following **SecuritiesProceeds1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		611
	<b>PostingQuantity</b> <PstngQty>	[1..1]			612
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		612
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	612
	<b>AccountDetails</b> <AcctDtIs>	[1..2]	±		613
	<b>ReconciliationDetails</b> <RcncltnDtIs>	[0..1]	Text		613

#### 14.4.4.4.2.1 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 14.4.4.4.2.2 PostingQuantity <PstngQty>

*Presence:* [1..1]

*Definition:* The quantity of financial instruments that is posted.

**PostingQuantity <PstngQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		612
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	612

##### 14.4.4.4.2.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 14.4.4.4.2.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.2.3 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account that is debited/credited.

**AccountDetails <AcctDtls>** contains the following elements (see "[SecuritiesAccount10](#)" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		757
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		757
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C6	757
	AccountIdentification <AcctId>	[1..1]	Text		758
	BalanceType <BalTp>	[0..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		759

#### 14.4.4.4.2.4 ReconciliationDetails <RcncltnDtls>

*Presence:* [0..1]

*Definition:* Provides reconciliation information.

*Datatype:* "[Max350Text](#)" on page 1094

#### 14.4.4.4.3 TaxDetails <TaxDtls>

*Presence:* [0..1]

*Definition:* Provides information about the tax voucher.

**TaxDetails <TaxDtls>** contains the following **TaxVoucher1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>TaxVoucherRate</b> <TaxVchrRate>	[1..1]	Rate		614
{Or	<b>TaxCredit</b> <TaxCdt>	[1..1]	Amount	C1, C5	615
Or}	<b>TaxDeduction</b> <TaxDdctn>	[1..1]	Amount	C1, C5	615
	<b>GrossAmount</b> <GrssAmt>	[1..1]	Amount	C1, C5	615
	<b>NetAmount</b> <NetAmt>	[1..1]	Amount	C1, C5	616
	<b>RecordDateHolding</b> <RcrdDtHldg>	[1..1]			616
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		616
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	617
	<b>TaxCreditRate</b> <TaxCdtRate>	[0..1]	Rate		617
	<b>WithholdingTaxAmount</b> <WhldgTaxAmt>	[0..1]	Amount	C1, C5	617
	<b>WithholdingTaxRate</b> <WhldgTaxRate>	[0..1]	Rate		618
	<b>ScripDividendReinvestmentPricePerShare</b> <ScripDvddRinvstmtPricPerShr>	[0..1]	±		618
	<b>CashAmountBroughtForward</b> <CshAmtBrghtFwd>	[0..1]	Amount	C1, C5	618
	<b>AllotedSharesCost</b> <AlltdShrsCost>	[0..1]	±		618
	<b>CashAmountCarriedForward</b> <CshAmtCrrdFwd>	[0..1]	Amount	C1, C5	618
	<b>NotionalTax</b> <NtnlTax>	[0..1]	Amount	C1, C5	619
	<b>NotionalDividendPayable</b> <NtnlDvddPybl>	[0..1]	Amount	C1, C5	619
	<b>BargainDate</b> <BrngDt>	[0..1]	Date		620
	<b>BargainSettlementDate</b> <BrngSttlmDt>	[0..1]	Date		620
	<b>StampDutyAmount</b> <StmpDtyAmt>	[0..1]	Amount	C1, C5	620
	<b>ChargeAmount</b> <ChrgAmt>	[0..1]	Amount	C1, C5	620
	<b>CommissionAmount</b> <ComssnAmt>	[0..1]	Amount	C1, C5	621
	<b>ForeignExchangeDetails</b> <FXDtls>	[0..1]			621
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	621
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	622
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		622
	<b>ResultingAmount</b> <RsltgAmt>	[1..1]	Amount	C1, C5	622
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	623

#### 14.4.4.4.3.1 TaxVoucherRate <TaxVchrRate>

Presence: [1..1]

*Definition:* Distribution rate per share.

*Datatype:* "BaseOneRate" on page 1091

#### 14.4.4.4.3.2 TaxCredit <TaxCdt>

*Presence:* [1..1]

**Warning** Either TaxCredit orTaxDeduction must be present.

*Definition:* Amount of tax that have been previously paid.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.3 TaxDeduction <TaxDdctn>

*Presence:* [1..1]

**Warning** Either TaxCredit orTaxDeduction must be present.

*Definition:* Amount of tax that have been previously deducted.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.4 GrossAmount <GrssAmt>

*Presence:* [1..1]

*Definition:* Cash amount before any deductions and allowances have been made.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.5 NetAmount <NetAmt>

*Presence:* [1..1]

*Definition:* Cash amount after any deductions and allowances have been made.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.6 RecordDateHolding <RcrdDtHldg>

*Presence:* [1..1]

*Definition:* Securities holding on record date.

**RecordDateHolding <RcrdDtHldg>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		616
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	617

#### 14.4.4.4.3.6.1 Unit <Unit>

*Presence:* [1..1]



*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### **14.4.4.4.3.6.2 FaceAmount <FaceAmt>**

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### **14.4.4.4.3.7 TaxCreditRate <TaxCdtRate>**

*Presence:* [0..1]

*Definition:* Applicable tax rate on the tax credit amount.

*Datatype:* "PercentageRate" on page 1092

#### **14.4.4.4.3.8 WithholdingTaxAmount <WhldgTaxAmt>**

*Presence:* [0..1]

*Definition:* Cash amount that will be withheld by a tax authority.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.4.3.9 WithholdingTaxRate <WhldgTaxRate>***Presence:* [0..1]*Definition:* Rate of a cash distribution that will be withheld by a tax authority.*Datatype:* "PercentageRate" on page 1092**14.4.4.4.3.10 ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>***Presence:* [0..1]*Definition:* Cost per share of new shares allotted.**ScripDividendReinvestmentPricePerShare <ScripDvddRinvstmtPricPerShr>** contains the following elements (see "PriceValue1" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	955

**14.4.4.4.3.11 CashAmountBroughtForward <CshAmtBrghFwd>***Presence:* [0..1]*Definition:* Cash amount retained from previous dividend or interest payment.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.4.3.12 AllotedSharesCost <AlltdShrsCost>***Presence:* [0..1]*Definition:* Total cash amount required to purchase shares allotted.**AllotedSharesCost <AlltdShrsCost>** contains the following elements (see "PriceValue1" on page 955 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	955

**14.4.4.4.3.13 CashAmountCarriedForward <CshAmtCrrdFwd>***Presence:* [0..1]

*Definition:* Cash amount carried forward to next dividend or interest payment.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.3.14 NotionalTax <NtnlTax>

*Presence:* [0..1]

*Definition:* Where new securities are issued in lieu of a cash dividend, the notional tax is the tax on the amount of cash that would have been paid. For scrips only.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.3.15 NotionalDividendPayable <NtnlDvddPybl>

*Presence:* [0..1]

*Definition:* Amount of cash that would have been payable if the dividend had been taken in the form of cash rather than shares. For scrip only.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.16 BargainDate <BrnDt>

*Presence:* [0..1]

*Definition:* Date on which DRIP purchase completed.

*Datatype:* "ISODate" on page 1085

#### 14.4.4.4.3.17 BargainSettlementDate <BrnSttlmDt>

*Presence:* [0..1]

*Definition:* Settlement date of the DRIP purchase transaction.

*Datatype:* "ISODate" on page 1085

#### 14.4.4.4.3.18 StampDutyAmount <StmpDtyAmt>

*Presence:* [0..1]

*Definition:* Amount of stamp duty.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.19 ChargeAmount <ChrgAmt>

*Presence:* [0..1]

*Definition:* Amount of charges/fees charged to the client.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.4.3.20 CommissionAmount <ComssnAmt>**

*Presence:* [0..1]

*Definition:* Amount due to the paying agent.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**14.4.4.4.3.21 ForeignExchangeDetails <FXDtIs>**

*Presence:* [0..1]

*Definition:* Provides information about the foreign exchange transaction.

**ForeignExchangeDetails <FXDtIs>** contains the following **ForeignExchangeTerms9** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>UnitCurrency</b> <UnitCcy>	[1..1]	CodeSet	C1	621
	<b>QuotedCurrency</b> <QtdCcy>	[1..1]	CodeSet	C1	622
	<b>ExchangeRate</b> <XchgRate>	[1..1]	Rate		622
	<b>ResultingAmount</b> <RsltAmt>	[1..1]	Amount	C1, C5	622
	<b>OriginalAmount</b> <OrgnlAmt>	[0..1]	Amount	C1, C5	623

**14.4.4.4.3.21.1 UnitCurrency <UnitCcy>**

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 14.4.4.4.3.21.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 14.4.4.4.3.21.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOneRate" on page 1091

#### 14.4.4.4.3.21.4 ResultingAmount <RsltAmt>

*Presence:* [1..1]

*Definition:* Amount of money resulting from a foreign exchange transaction.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 14.4.4.4.3.21.5 OriginalAmount <OrgnlAmt>

*Presence:* [0..1]

*Definition:* Amount in its original currency when conversion from/into another currency has occurred.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

# 15      **seev.021.001.01**

## **AgentCAMovementConfirmationV01**

### 15.1    **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to confirm the settlement of resource movements.

#### Usage

This message is used to confirm the settlement of the movements resulting from an:

- Agent Corporate Action Movement Instruction message. It confirms the settlement of the exercised resources and/or proceeds movements in which case the building block Agent Corporate Action Movement Instruction Identification must be present. An Agent Corporate Action Movement Instruction message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Global Distribution Status Advice authorising the global distribution. It confirms the settlement of the exercised resources and/or proceeds movements. The building block Agent Corporate Action Global Distribution Status Advice Identification must be present. An Agent Corporate Action Global Distribution Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice that rejects an election advice. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.
- Agent Corporate Action Election Status Advice where an election cancellation request has been accepted. It confirms the return of the exercised resources. The building block Agent Corporate Action Election Status Advice Identification must be present. An Agent Corporate Action Election Status Advice message may be responded to by more than one Agent Corporate Action Movement Confirmation messages.

#### Outline

The AgentCAMovementConfirmationV01 MessageDefinition is composed of 7 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the confirmation.

##### B. AgentCAMovementInstructionIdentification

Identification of the Agent CA Movement Instruction that triggered the movement(s).

##### C. AgentCAElectionStatusAdviceIdentification

Identification of the Agent CA Election Status Advice that triggered the movement(s).

##### D. AgentCAGlobalDistributionStatusAdviceIdentification

Identification of the Agent CA Global Distribution Status Advice that triggered the movement(s).



E. CorporateActionGeneralInformation

General information about the corporate action event.

F. SecuritiesMovementDetails

Information about the securities movements.

G. CashMovementDetails

Information about the cash movement.

## 15.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntConf>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		627
{Or	<b>AgentCAMovementInstructionIdentification</b> <AgtCAMvmntInstrId>	[1..1]	±		627
Or	<b>AgentCAElectionStatusAdviceIdentification</b> <AgtCAElctnStsAdvId>	[1..1]	±		628
Or}	<b>AgentCAGlobalDistributionStatusAdviceIdentification</b> <AgtCAGblDstrbtnStsAdvId>	[1..1]	±		628
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	629
	<b>SecuritiesMovementDetails</b> <SctiesMvmntDtls>	[0..*]			629
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		630
	<b>SecurityIdentification</b> <SctyId>	[1..1]	±		630
	<b>PostingQuantity</b> <PstngQty>	[1..1]			630
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		631
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	631
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		631
	<b>CashMovementDetails</b> <CshMvmntDtls>	[0..*]			632
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		633
	<b>ValueDate</b> <ValDt>	[1..1]	Date		633
	<b>PostingAmount</b> <PstngAmt>	[1..1]	Amount	C1, C5	633
	<b>AccountDetails</b> <AcctDtls>	[1..2]			633
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		634
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		634
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		634
	<b>BalanceType</b> <BalTp>	[0..1]			635
	<b>Code</b> <Cd>	[1..1]	CodeSet		635
	<b>Proprietary</b> <Prtry>	[1..1]	±		635

## 15.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with

the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### **C2 AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

#### **C3 CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

#### **C4 Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### **C5 CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## **15.4 Message Building Blocks**

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### **15.4.1 Identification <Id>**

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the confirmation.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### **15.4.2 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>**

*Presence:* [1..1]

**Warning** Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

*Definition:* Identification of the Agent CA Movement Instruction that triggered the movement(s).

**AgentCAMovementInstructionIdentification <AgtCAMvmtInstrId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 15.4.3 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>

*Presence:* [1..1]

**Warning** Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

*Definition:* Identification of the Agent CA Election Status Advice that triggered the movement(s).

**AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 15.4.4 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvId>

*Presence:* [1..1]

**Warning** Either AgentCAMovementInstructionIdentification or AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification must be present.

*Definition:* Identification of the Agent CA Global Distribution Status Advice that triggered the movement(s).

**AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 15.4.5 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 15.4.6 SecuritiesMovementDetails <SctiesMvmntDtls>

*Presence:* [0..\*]

*Definition:* Information about the securities movements.

**SecuritiesMovementDetails <SctiesMvmntDtls>** contains the following  
**CorporateActionSecuritiesMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>PostingDateTime</b> <PstngDtTm>	[0..1]	±		630
	<b>SecurityIdentification</b> <Sctyld>	[1..1]	±		630
	<b>PostingQuantity</b> <PstngQty>	[1..1]			630
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		631
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	631
	<b>AccountDetails</b> <AcctDtls>	[1..2]	±		631

#### 15.4.6.1 PostingDateTime <PstngDtTm>

*Presence:* [0..1]

*Definition:* Date and time of the posting.

**PostingDateTime** <PstngDtTm> contains one of the following elements (see "DateAndDateTimeChoice" on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

#### 15.4.6.2 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification** <Sctyld> contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrld>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 15.4.6.3 PostingQuantity <PstngQty>

*Presence:* [1..1]

*Definition:* Quantity of securities that is posted.

**PostingQuantity <PstngQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		631
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	631

#### 15.4.6.3.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 15.4.6.3.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 15.4.6.4 AccountDetails <AcctDtls>

*Presence:* [1..2]

*Definition:* Provides information about the account which is debited/credited.

**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount8" on page 742 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		742
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		742
	AccountIdentification <AcctId>	[1..1]	Text		743
	BalanceType <BalTp>	[0..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		743
Or}	Proprietary <Prtry>	[1..1]	±		744
	OptionType <OptnTp>	[0..1]			744
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		745
	OptionNumber <OptnNb>	[0..1]	Text		746
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		746
	StampDuty <StmpDty>	[0..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		746
Or}	Proprietary <Prtry>	[1..1]	±		747

## 15.4.7 CashMovementDetails <CshMvmntDtls>

*Presence:* [0..\*]

*Definition:* Information about the cash movement.

**CashMovementDetails <CshMvmntDtls>** contains the following **CashMovement3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PostingDateTime <PstngDtTm>	[0..1]	±		633
	ValueDate <ValDt>	[1..1]	Date		633
	PostingAmount <PstngAmt>	[1..1]	Amount	C1, C5	633
	AccountDetails <AcctDtls>	[1..2]			633
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		634
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		634
	AccountIdentification <AcctId>	[1..1]	±		634
	BalanceType <BalTp>	[0..1]			635
	Code <Cd>	[1..1]	CodeSet		635
	Proprietary <Prtry>	[1..1]	±		635



**15.4.7.1 PostingDateTime <PstngDtTm>***Presence:* [0..1]*Definition:* Date and time of the posting.**PostingDateTime <PstngDtTm>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

**15.4.7.2 ValueDate <ValDt>***Presence:* [1..1]*Definition:* Value date.*Datatype:* ["ISODate"](#) on page 1085**15.4.7.3 PostingAmount <PstngAmt>***Presence:* [1..1]*Definition:* Cash amount that is posted.*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)*Datatype:* ["ActiveCurrencyAndAmount"](#) on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**15.4.7.4 AccountDetails <AcctDtls>***Presence:* [1..2]*Definition:* Provides information about the account which is debited/credited.

**AccountDetails <AcctDtls>** contains the following **CashAccount18** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>CreditDebitIndicator</b> <CdtDbtInd>	[1..1]	CodeSet		634
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		634
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		634
	<b>BalanceType</b> <BalTp>	[0..1]			635
	<b>Code</b> <Cd>	[1..1]	CodeSet		635
	<b>Proprietary</b> <Prtry>	[1..1]	±		635

#### 15.4.7.4.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 15.4.7.4.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>BICOrBEI</b> <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	<b>ProprietaryIdentification</b> <PrtryId>	[1..1]	±		911
Or}	<b>NameAndAddress</b> <NmAndAdr>	[1..1]	±		911

#### 15.4.7.4.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the cash account or the securities account from which the cash account is derived.

**AccountIdentification <AcctId>** contains one of the following elements (see "AccountIdentification2Choice" on page 756 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

#### 15.4.7.4.4 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* The cash balance type.

**BalanceType <BalTp>** contains the following **CashBalanceType1FormatType** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Code</b> <Cd>	[1..1]	CodeSet		635
	<b>Proprietary</b> <Prtry>	[1..1]	±		635

##### 15.4.7.4.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of cash balance.

*Datatype:* "CashBalanceType1Code" on page 1013

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

##### 15.4.7.4.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of cash balance.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

# 16 **seev.022.001.01**

## **AgentCAMovementStatusAdviceV01**

### 16.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of

- a global distribution status advice released by an issuer (or its agent);
- a movement instruction released by an issuer (or its agent);
- a movement cancellation request sent by the issuer (or its agent); and
- the non-settlement of the movements at the CSD.

#### Usage

This message is used to report the status of:

- the movements resulting from a movement instruction message, in which case, the Agent Corporate Action Movement Instruction Identification must be present;
- the movements resulting from a global distribution status advice message (with the status, authorised), in which case, the Agent Corporate Action Global Distribution Status Advice Identification must be present;
- the movement cancellation request, in which case, the Agent Corporate Action Movement Cancellation Request Identification must be present; and
- the movements resulting from an election status advice (if the status of the election advice is rejected or if the status of the election cancellation request or amendment request is accepted) in case there is a settlement problem. The Election Status Advice Identification must be present.

In the case of a failed settlement, the message contains details of the movement, such as account details, securities or cash information and the reason of the failure.

This message should not be used to provide the confirmation of the settlement; the Agent Corporate Action Movement Confirmation message should be used instead.

#### Outline

The AgentCAMovementStatusAdviceV01 MessageDefinition is composed of 8 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

##### B. AgentCAElectionStatusAdviceIdentification

Identification of the Agent Corporate Action Election Status Advice.

##### C. AgentCAGlobalDistributionStatusAdviceIdentification

Identification of the Agent Corporate Action Global Distribution Status Advice.

D. AgentCAMovementInstructionIdentification

Identification of the linked Agent CA Movement Instruction for which a status is given.

E. AgentCAMovementCancellationRequestIdentification

Identification of the linked Agent CA Movement Cancellation Request for which a status is given.

F. CorporateActionGeneralInformation

General information about the corporate action event.

G. MovementStatusDetails

Status of the movement instruction.

H. MovementCancellationStatusDetails

Status of the movement cancellation request.

## 16.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAMvmntStsAdv>	[1..1]		C6, C7	
	<b>Identification</b> <Id>	[1..1]	±		641
{Or	<b>AgentCAElectionStatusAdviceIdentification</b> <AgtCAEicnStsAdvId>	[1..1]	±		641
Or	<b>AgentCAGlobalDistributionStatusAdviceIdentification</b> <AgtCAGblDstrbtrStsAdvId>	[1..1]	±		642
Or	<b>AgentCAMovementInstructionIdentification</b> <AgtCAMvmntInstrId>	[1..1]	±		642
Or}	<b>AgentCAMovementCancellationRequestIdentification</b> <AgtCAMvmntCxlReqId>	[1..1]	±		642
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C3	643
{Or	<b>MovementStatusDetails</b> <MvmntStsDtls>	[1..1]			643
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			644
	<b>Status</b> <Sts>	[1..1]			645
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		645
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		645
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		646
Or	<b>FailedStatus</b> <FaildSts>	[1..1]			646
	<b>AgentAccountIdentification</b> <AgtAcctId>	[1..1]	Text		646
	<b>ClientAccountIdentification</b> <CIntAcctId>	[0..1]	Text		646
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		646
	<b>ResourceDetails</b> <RsrcDtls>	[1..*]			647
{Or	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	647
Or}	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			648
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		648
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	648
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		648
	<b>Reason</b> <Rsn>	[1..1]			649
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		649
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		649
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			650
	<b>Reason</b> <Rsn>	[1..*]			650

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		650
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		651
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		651
Or}	<b>MovementCancellationStatusDetails</b> <MvmntCxlStsDtls>	[1..1]			651
	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			652
	<b>Status</b> <Sts>	[1..1]			652
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		653
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		653
	<b>RejectedStatus</b> <RjctdSts>	[1..1]			653
	<b>Reason</b> <Rsn>	[1..*]			653
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		654
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		654
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		655

## 16.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.



**C6 MovementCancellationStatusDetailsRule**

If AgentCAMovementCancellationRequestIdentification is present then MovementCancellationStatusDetails must be present.

*This constraint is defined at the MessageDefinition level.*

**C7 MovementStatusDetailsRule**

If AgentCAElectionStatusAdviceIdentification, AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification is present, then MovementStatusDetails must be present.

*This constraint is defined at the MessageDefinition level.*

## 16.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 16.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 16.4.2 AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

*Definition:* Identification of the Agent Corporate Action Election Status Advice.

**AgentCAElectionStatusAdviceIdentification <AgtCAElctnStsAdvclId>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 16.4.3 AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvclD>

*Presence:* [1..1]

**Warning** Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

*Definition:* Identification of the Agent Corporate Action Global Distribution Status Advice.

**AgentCAGlobalDistributionStatusAdviceIdentification <AgtCAGbIDstrbtnStsAdvclD>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 16.4.4 AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Movement Instruction for which a status is given.

**AgentCAMovementInstructionIdentification <AgtCAMvmntInstrId>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 16.4.5 AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqId>

*Presence:* [1..1]

**Warning** Either AgentCAElectionStatusAdviceIdentification or AgentCAGlobalDistributionStatusAdviceIdentification or AgentCAMovementInstructionIdentification or AgentCAMovementCancellationRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Movement Cancellation Request for which a status is given.

**AgentCAMovementCancellationRequestIdentification <AgtCAMvmntCxlReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 16.4.6 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C3 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

### Constraints

- CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 16.4.7 MovementStatusDetails <MvmntStsDtls>

*Presence:* [1..1]

**Warning** Either MovementStatusDetails or MovementCancellationStatusDetails must be present but not both.

*Definition:* Status of the movement instruction.

**MovementStatusDetails <MvmntStsDtls>** contains one of the following **CorporateActionMovementStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			644
	<b>Status</b> <Sts>	[1..1]			645
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		645
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		645
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		646
Or	<b>FailedStatus</b> <FaildSts>	[1..1]			646
	<b>AgentAccountIdentification</b> <AgtAcctId>	[1..1]	Text		646
	<b>ClientAccountIdentification</b> <ClntAcctId>	[0..1]	Text		646
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		646
	<b>ResourceDetails</b> <RsrcDtls>	[1..*]			647
{Or	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	647
Or}	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			648
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		648
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	648
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		648
	<b>Reason</b> <Rsn>	[1..1]			649
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		649
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		649
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			650
	<b>Reason</b> <Rsn>	[1..*]			650
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		650
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		651
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		651

#### 16.4.7.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the movement.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionMovementProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]			645
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		645
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		645
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		646

#### 16.4.7.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		645
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		645

##### 16.4.7.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of an advice, a request or a movement.

*Datatype:* "ProcessedStatus3Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

##### 16.4.7.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**16.4.7.1.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "Max350Text" on page 1094**16.4.7.2 FailedStatus <FaildSts>***Presence:* [1..1]*Definition:* Provides information about the settlement failure.**FailedStatus <FaildSts>** contains the following **CorporateActionMovementFailedStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AgentAccountIdentification</b> <AgtAcctId>	[1..1]	Text		646
	<b>ClientAccountIdentification</b> <ClntAcctId>	[0..1]	Text		646
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		646
	<b>ResourceDetails</b> <RsrcDtls>	[1..*]			647
{Or	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	647
Or}	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			648
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		648
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	648
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		648
	<b>Reason</b> <Rsn>	[1..1]			649
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		649
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		649

**16.4.7.2.1 AgentAccountIdentification <AgtAcctId>***Presence:* [1..1]*Definition:* Identification of the agent account.*Datatype:* "Max35Text" on page 1094**16.4.7.2.2 ClientAccountIdentification <ClntAcctId>***Presence:* [0..1]*Definition:* Identification of the client account.*Datatype:* "Max35Text" on page 1094**16.4.7.2.3 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the client account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 16.4.7.2.4 ResourceDetails <RsrcDtls>

*Presence:* [1..\*]

*Definition:* Provides information about the resource movement that failed and the reason of the failure.

**ResourceDetails <RsrcDtls>** contains the following **FailedMovement1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>CashAmount</b> <CshAmt>	[1..1]	Amount	C1, C5	647
Or}	<b>SecuritiesQuantity</b> <SctiesQty>	[1..1]			648
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		648
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	648
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		648
	<b>Reason</b> <Rsn>	[1..1]			649
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		649
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		649

##### 16.4.7.2.4.1 CashAmount <CshAmt>

*Presence:* [1..1]

**Warning** Either CashAmount or SecuritiesQuantity must be present.

*Definition:* Amount of cash.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 16.4.7.2.4.2 SecuritiesQuantity <SctiesQty>

*Presence:* [1..1]

**Warning** Either CashAmount or SecuritiesQuantity must be present.

*Definition:* Quantity of the financial instrument.

**SecuritiesQuantity <SctiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		648
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	648

##### 16.4.7.2.4.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 16.4.7.2.4.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 16.4.7.2.4.3 SecurityIdentification <Sctyld>

*Presence:* [0..1]

*Definition:* Identification of the financial instrument.



**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 16.4.7.2.4.4 Reason <Rsn>

*Presence:* [1..1]

*Definition:* The reason for the settlement failure.

**Reason <Rsn>** contains one of the following **FailedSettlementReason1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		649
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		649

##### 16.4.7.2.4.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a settlement failure.

*Datatype:* "FailedSettlementReason1Code" on page 1047

CodeName	Name	Definition
CANE	CreditAccountNotEligible	Credit account is not eligible.
CADI	CreditAccountDisabled	Credit account is disabled.
DANE	DebitAccountNotEligible	Debit account is not eligible.
AADI	DebitAccountDisabled	Debit account is disabled.
INSE	InsufficientSecurities	Insufficient securities on the account to settle.
INDI	IncompleteDistribution	The distribution is incomplete.
INCA	InsufficientCash	Insufficient cash on the account to settle.

##### 16.4.7.2.4.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code for specifying the reason of a settlement failure.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 16.4.7.3 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionMovementRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			650
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		650
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		651
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		651

#### 16.4.7.3.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason13FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		650
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		651

##### 16.4.7.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of a movement.

*Datatype:* "[RejectionReason13Code](#)" on page 1077

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.

CodeName	Name	Definition
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.

#### 16.4.7.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of a movement.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 16.4.7.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

### 16.4.8 MovementCancellationStatusDetails <MvmntCxlStsDtls>

*Presence:* [1..1]

**Warning** Either MovementStatusDetails or MovementCancellationStatusDetails must be present but not both.

*Definition:* Status of the movement cancellation request.

**MovementCancellationStatusDetails <MvmntCxlStsDtls>** contains the following **CorporateMovementStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			652
	<b>Status</b> <Sts>	[1..1]			652
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		653
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		653
	<b>RejectedStatus</b> <RjctdSts>	[1..1]			653
	<b>Reason</b> <Rsn>	[1..*]			653
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		654
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		654
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		655

#### 16.4.8.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the cancellation request.

**ProcessedStatus <PrcdSts>** contains the following **CorporationActionMovementProcessingStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			652
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		653
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		653

##### 16.4.8.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		652
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		653

##### 16.4.8.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a cancellation request.

*Datatype:* "ProcessedStatus2Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

#### 16.4.8.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 16.4.8.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 16.4.8.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionMovementRejectionStatus2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			653
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		654
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		654
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		655

#### 16.4.8.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason14FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		654
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		654

#### 16.4.8.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of a movement cancellation request.

*Datatype:* "RejectionReason14Code" on page 1077

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
AGIN	InvalidAgent	Invalid agent identification supplied.
INMO	InvalidMovementInformation	Details specified in the MOVEMENT GENERAL INFORMATION block are inconsistent with those that were specified in the relating original message.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.

#### 16.4.8.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of a movement cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 16.4.8.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

# 17 **seev.023.001.01**

## **AgentCAInformationAdviceV01**

### 17.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to provide information about the certification and/or the delivery details to the issuer (or its agent).

#### Usage

This message can be used in the case of a corporate action event without an election.

This message can also be used in the case of a corporate action event with election when the election details and the additional information cannot be provided in the same message. In this case, the Agent Corporate Action Election Advice Identification must be used to link this message to the election advice for which additional information is provided.

#### Outline

The AgentCAInformationAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the advice.

B. AgentCAElectionAdviceIdentification

Identification of the linked Agent CA Election Advice Identification.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. AccountDetails

Information about the account for which additional information is provided.

E. CorporateActionAdditionalInformation

Additional information about the corporate action such as the delivery details.

F. ContactDetails

Contact responsible for the transaction identified in the message.



## 17.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAInfAdv>	[1..1]			
	Identification <Id>	[1..1]	±		659
	AgentCAElectionAdviceIdentification <AgtCAElctnAdvcl>	[0..1]	±		659
	CorporateActionGeneralInformation <CorpActnGnllnf>	[1..1]	±	C3	659
	AccountDetails <AcctDtls>	[1..1]	±		660
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[1..1]			660
	BeneficialOwnerDetails <BnfclOwnrDtls>	[0..*]			662
	BeneficialOwnerIdentification <BnfclOwnrld>	[1..1]	±		662
	AdditionalIdentification <AddtlId>	[0..1]			663
	Identification <Id>	[1..1]	Text		663
	IdentificationType <IdTp>	[1..1]			663
{Or	Code <Cd>	[1..1]	CodeSet		663
Or}	Proprietary <Prtry>	[1..1]	±		664
	Issuer <Issr>	[0..1]	Text		664
	Nationality <Ntlty>	[0..1]	CodeSet	C4	664
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C4	664
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C4	665
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		665
	CertificationType <CertfctnTp>	[0..1]			665
{Or	Code <Cd>	[1..1]	CodeSet		666
Or}	Proprietary <Prtry>	[1..1]	±		666
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		666
	SecurityIdentification <SctylId>	[0..1]	±		666
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			667
{Or	Unit <Unit>	[1..1]	Quantity		667
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	667
	RegistrationDetails <RegnDtls>	[0..1]	Text		668
	ReceiverIdentification <Rcvrld>	[0..1]	±		668
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		668
	CertificationType <CertfctnTp>	[0..1]			668

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		669
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		669
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			670
{Or	<b>SecuritiesAccountIdentification</b> <ScetiesAcctId>	[1..1]	Text		670
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		670
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		670
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		671
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		671
	<b>ContactDetails</b> <CtctDtls>	[0..1]	±		671

## 17.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### C6 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## 17.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 17.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the advice.

**Identification <Id>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 17.4.2 AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>

*Presence:* [0..1]

*Definition:* Identification of the linked Agent CA Election Advice Identification.

**AgentCAElectionAdviceIdentification <AgtCAElctnAdvId>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 17.4.3 CorporateActionGeneralInformation <CorpActnGnllnf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* [C3 "CorporateActionIdentificationRule"](#)

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrctgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrctgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 17.4.4 AccountDetails <AcctDtls>

*Presence:* [1..1]

*Definition:* Information about the account for which additional information is provided.

**AccountDetails <AcctDtls>** contains the following elements (see "SecuritiesAccount7" on page 751 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		751
	AccountIdentification <AcctId>	[1..1]	Text		752

## 17.4.5 CorporateActionAdditionalInformation <CorpActnAddtlInf>

*Presence:* [1..1]

*Definition:* Additional information about the corporate action such as the delivery details.

**CorporateActionAdditionalInformation <CorpActnAddtlInf>** contains the following **CorporateActionAdditionalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerDetails</b> <BnfcOwnrDtls>	[0..*]			662
	<b>BeneficialOwnerIdentification</b> <BnfcOwnrId>	[1..1]	±		662
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			663
	<b>Identification</b> <Id>	[1..1]	Text		663
	<b>IdentificationType</b> <IdTp>	[1..1]			663
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		664
	<b>Issuer</b> <Issr>	[0..1]	Text		664
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C4	664
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C4	664
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C4	665
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		665
	<b>CertificationType</b> <CertfctnTp>	[0..1]			665
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		666
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		666
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		666
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		666
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			667
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		667
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	667
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		668
	<b>ReceiverIdentification</b> <RcvrId>	[0..1]	±		668
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		668
	<b>CertificationType</b> <CertfctnTp>	[0..1]			668
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		669
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		669
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			670
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctId>	[1..1]	Text		670
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		670
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		670

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		671
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		671

#### 17.4.5.1 BeneficialOwnerDetails <BnfclOwnrDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the beneficial owner of the securities.

**BeneficialOwnerDetails** <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerIdentification</b> <BnfclOwnrId>	[1..1]	±		662
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			663
	<b>Identification</b> <Id>	[1..1]	Text		663
	<b>IdentificationType</b> <IdTp>	[1..1]			663
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		664
	<b>Issuer</b> <Issr>	[0..1]	Text		664
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C4	664
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C4	664
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C4	665
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		665
	<b>CertificationType</b> <CertfctnTp>	[0..1]			665
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		666
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		666
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		666
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		666
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			667
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		667
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	667

##### 17.4.5.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

*Presence:* [1..1]

*Definition:* Identification of the party that is the beneficial owner of the specified securities.

**BeneficialOwnerIdentification <BnfclOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 17.4.5.1.2 AdditionalIdentification <AddtId>

*Presence:* [0..1]

*Definition:* Additional identification of the party that is the beneficial owner of the specified securities.

**AdditionalIdentification <AddtId>** contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		663
	<b>IdentificationType</b> <IdTp>	[1..1]			663
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		664
	<b>Issuer</b> <Iss>	[0..1]	Text		664

##### 17.4.5.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* The identifier.

*Datatype:* "Max35Text" on page 1094

##### 17.4.5.1.2.2 IdentificationType <IdTp>

*Presence:* [1..1]

*Definition:* Specifies the nature of the identifier.

**IdentificationType <IdTp>** contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		663
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		664

##### 17.4.5.1.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Person identification expressed as a code.

*Datatype:* "PersonIdentificationType3Code" on page 1067

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

#### 17.4.5.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Person identification expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13" on page 797](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 17.4.5.1.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Party that assigns the identification.

*Datatype:* ["Max35Text" on page 1094](#)

#### 17.4.5.1.3 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the beneficial owner.

*Impacted by:* [C4 "Country"](#)

*Datatype:* ["CountryCode" on page 1035](#)

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 17.4.5.1.4 DomicileCountry <DmclCtry>

*Presence:* [0..1]



*Definition:* Country in which a person is permanently domiciled (the place of a persons permanent home).

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 17.4.5.1.5 NonDomicileCountry <NonDmclCtry>

*Presence:* [0..1]

*Definition:* The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

*Impacted by:* C4 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 17.4.5.1.6 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 17.4.5.1.7 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		666
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		666

**17.4.5.1.7.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

**17.4.5.1.7.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**17.4.5.1.8 DeclarationDetails <DclrtnDtls>***Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 1094**17.4.5.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 17.4.5.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

*Presence:* [1..1]

*Definition:* Quantity of securities elected by to the beneficial owner.

**ElectedSecuritiesQuantity <ElctdScitiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		667
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C5	667

##### 17.4.5.1.10.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

##### 17.4.5.1.10.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 17.4.5.2 RegistrationDetails <RegnDtls>

*Presence:* [0..1]

*Definition:* Provides information required for the registration.

*Datatype:* "Max350Text" on page 1094

#### 17.4.5.3 ReceiverIdentification <RcvrId>

*Presence:* [0..1]

*Definition:* Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**ReceiverIdentification <RcvrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 17.4.5.4 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 17.4.5.5 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		669
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		669

#### 17.4.5.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of beneficiary's certification.

*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

#### 17.4.5.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of beneficiary's certification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 17.4.5.6 DeliveryDetails <DlvryDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the delivery details of proceeds.

**DeliveryDetails <DlvryDtls>** contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	Text		670
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		670
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		670
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		671

#### 17.4.5.6.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

*Definition:* identification of the securities account to which the securities have to be delivered.

*Datatype:* "Max35Text" on page 1094

#### 17.4.5.6.2 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.

*Definition:* Identification of the cash account to which the cash has to be delivered.

**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

#### 17.4.5.6.3 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 17.4.5.6.4 AccountServicerIdentification <AcctSvcrId>

*Presence:* [0..1]

*Definition:* Identification of the institution servicing the account.

**AccountServicerIdentification <AcctSvcrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 17.4.5.7 AdditionalInstruction <AddtlInstr>

*Presence:* [0..1]

*Definition:* Provides additional details pertaining to the corporate action instruction.

*Datatype:* "Max350Text" on page 1094

### 17.4.6 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Contact responsible for the transaction identified in the message.

**ContactDetails <CtctDtls>** contains the following elements (see "ContactPerson1" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		924
	InstitutionIdentification <InstnId>	[0..1]	±		925

# 18      **seev.024.001.01**

## **AgentCAInformationStatusAdviceV01**

### 18.1    **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to a CSD to report the status, or change in status, of an information advice.

#### Usage

This message must be used in response to an Agent Corporate Action Information Advice in the case of a rejection. However, it may also be used to report other statuses.

The information advice identification must be present to link this message to the information advice for which the status is provided.

#### Outline

The AgentCAInformationStatusAdviceV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAInformationAdviceIdentification

Identification of the linked Agent CA Information Advice for which a status is given.

C. CorporateActionAdditionalInformation

Additional information about the corporate action such as the delivery details.

D. InformationStatusDetails

Status of the information advice sent by the CSD.



## 18.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAInfStsAdv>	[1..1]			
	Identification <Id>	[1..1]	±		675
	AgentCAInformationAdviceIdentification <AgtCAInfAdvId>	[1..1]	±		675
	CorporateActionAdditionalInformation <CorpActnAddtlInf>	[0..1]			675
	BeneficialOwnerDetails <BnfcOwnrDtls>	[0..*]			677
	BeneficialOwnerIdentification <BnfcOwnrId>	[1..1]	±		677
	AdditionalIdentification <AddtlId>	[0..1]			678
	Identification <Id>	[1..1]	Text		678
	IdentificationType <IdTp>	[1..1]			678
{Or	Code <Cd>	[1..1]	CodeSet		678
Or}	Proprietary <Prtry>	[1..1]	±		679
	Issuer <Issr>	[0..1]	Text		679
	Nationality <Ntlty>	[0..1]	CodeSet	C3	679
	DomicileCountry <DmclCtry>	[0..1]	CodeSet	C3	679
	NonDomicileCountry <NonDmclCtry>	[0..1]	CodeSet	C3	680
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		680
	CertificationType <CertfctnTp>	[0..1]			680
{Or	Code <Cd>	[1..1]	CodeSet		681
Or}	Proprietary <Prtry>	[1..1]	±		681
	DeclarationDetails <DclrtnDtls>	[0..1]	Text		681
	SecurityIdentification <SctyId>	[0..1]	±		681
	ElectedSecuritiesQuantity <ElctdSciesQty>	[1..1]			682
{Or	Unit <Unit>	[1..1]	Quantity		682
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C4	682
	RegistrationDetails <RegnDtls>	[0..1]	Text		683
	ReceiverIdentification <RcvrId>	[0..1]	±		683
	CertificationIndicator <CertfctnInd>	[0..1]	Indicator		683
	CertificationType <CertfctnTp>	[0..1]			683
{Or	Code <Cd>	[1..1]	CodeSet		684
Or}	Proprietary <Prtry>	[1..1]	±		684

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			685
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	Text		685
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		685
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		685
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		686
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		686
	<b>InformationStatusDetails</b> <InfStsDtls>	[1..1]			686
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			687
	<b>Status</b> <Sts>	[1..1]			687
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		687
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		688
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		688
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			688
	<b>Reason</b> <Rsn>	[1..*]			688
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		689
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		689

## 18.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 CurrencyAmount

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**C5 IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## 18.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 18.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 18.4.2 AgentCAInformationAdviceIdentification <AgtCAInfAdvId>

*Presence:* [1..1]

*Definition:* Identification of the linked Agent CA Information Advice for which a status is given.

**AgentCAInformationAdviceIdentification <AgtCAInfAdvId>** contains the following elements (see ["DocumentIdentification8"](#) on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 18.4.3 CorporateActionAdditionalInformation <CorpActnAddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the corporate action such as the delivery details.

**CorporateActionAdditionalInformation <CorpActnAddtlInf>** contains the following  
**CorporateActionAdditionalInformation1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerDetails</b> <BnfclOwnrDtls>	[0..*]			677
	<b>BeneficialOwnerIdentification</b> <BnfclOwnrId>	[1..1]	±		677
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			678
	<b>Identification</b> <Id>	[1..1]	Text		678
	<b>IdentificationType</b> <IdTp>	[1..1]			678
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		678
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		679
	<b>Issuer</b> <Issr>	[0..1]	Text		679
	<b>Nationality</b> <Ntly>	[0..1]	CodeSet	C3	679
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C3	679
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C3	680
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		680
	<b>CertificationType</b> <CertfctnTp>	[0..1]			680
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		681
	<b>DeclarationDetails</b> <DclrtDtls>	[0..1]	Text		681
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		681
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			682
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		682
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C4	682
	<b>RegistrationDetails</b> <RegnDtls>	[0..1]	Text		683
	<b>ReceiverIdentification</b> <RcvrId>	[0..1]	±		683
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		683
	<b>CertificationType</b> <CertfctnTp>	[0..1]			683
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		684
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		684
	<b>DeliveryDetails</b> <DlvryDtls>	[0..*]			685
{Or	<b>SecuritiesAccountIdentification</b> <SciesAcctId>	[1..1]	Text		685
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		685
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		685

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		686
	<b>AdditionalInstruction</b> <AddtlInstr>	[0..1]	Text		686

#### 18.4.3.1 BeneficialOwnerDetails <BnfclOwnrDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the beneficial owner of the securities.

**BeneficialOwnerDetails** <BnfclOwnrDtls> contains the following **BeneficialOwner1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>BeneficialOwnerIdentification</b> <BnfclOwnrId>	[1..1]	±		677
	<b>AdditionalIdentification</b> <AddtlId>	[0..1]			678
	<b>Identification</b> <Id>	[1..1]	Text		678
	<b>IdentificationType</b> <IdTp>	[1..1]			678
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		678
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		679
	<b>Issuer</b> <Issr>	[0..1]	Text		679
	<b>Nationality</b> <Ntlty>	[0..1]	CodeSet	C3	679
	<b>DomicileCountry</b> <DmclCtry>	[0..1]	CodeSet	C3	679
	<b>NonDomicileCountry</b> <NonDmclCtry>	[0..1]	CodeSet	C3	680
	<b>CertificationIndicator</b> <CertfctnInd>	[0..1]	Indicator		680
	<b>CertificationType</b> <CertfctnTp>	[0..1]			680
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		681
	<b>DeclarationDetails</b> <DclrtnDtls>	[0..1]	Text		681
	<b>SecurityIdentification</b> <SctyId>	[0..1]	±		681
	<b>ElectedSecuritiesQuantity</b> <ElctdSciesQty>	[1..1]			682
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		682
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C4	682

##### 18.4.3.1.1 BeneficialOwnerIdentification <BnfclOwnrId>

*Presence:* [1..1]

*Definition:* Identification of the party that is the beneficial owner of the specified securities.

**BeneficialOwnerIdentification <BnfcOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 18.4.3.1.2 AdditionalIdentification <AddtId>

*Presence:* [0..1]

*Definition:* Additional identification of the party that is the beneficial owner of the specified securities.

**AdditionalIdentification <AddtId>** contains the following **GenericIdentification16** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Identification</b> <Id>	[1..1]	Text		678
	<b>IdentificationType</b> <IdTp>	[1..1]			678
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		678
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		679
	<b>Issuer</b> <Iss>	[0..1]	Text		679

##### 18.4.3.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* The identifier.

*Datatype:* "Max35Text" on page 1094

##### 18.4.3.1.2.2 IdentificationType <IdTp>

*Presence:* [1..1]

*Definition:* Specifies the nature of the identifier.

**IdentificationType <IdTp>** contains one of the following **PersonIdentificationType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		678
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		679

##### 18.4.3.1.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Person identification expressed as a code.

*Datatype:* "PersonIdentificationType3Code" on page 1067

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

#### 18.4.3.1.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Person identification expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13" on page 797](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 18.4.3.1.2.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Party that assigns the identification.

*Datatype:* ["Max35Text" on page 1094](#)

#### 18.4.3.1.3 Nationality <Ntlty>

*Presence:* [0..1]

*Definition:* Nationality of the beneficial owner.

*Impacted by:* [C3 "Country"](#)

*Datatype:* ["CountryCode" on page 1035](#)

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 18.4.3.1.4 DomicileCountry <DmclCtry>

*Presence:* [0..1]

*Definition:* Country in which a person is permanently domiciled (the place of a persons permanent home).

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 18.4.3.1.5 NonDomicileCountry <NonDmclCtry>

*Presence:* [0..1]

*Definition:* The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 18.4.3.1.6 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Yes: certification required

No: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 18.4.3.1.7 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		681
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		681



**18.4.3.1.7.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of beneficiary's certification.*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

**18.4.3.1.7.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of beneficiary's certification.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**18.4.3.1.8 DeclarationDetails <DclrtnDtls>***Presence:* [0..1]*Definition:* Provides declaration details narrative relative to the financial instrument, eg, beneficial ownership.*Datatype:* "Max350Text" on page 1094**18.4.3.1.9 SecurityIdentification <Sctyld>***Presence:* [0..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "[SecurityIdentification7](#)" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 18.4.3.1.10 ElectedSecuritiesQuantity <ElctdScitiesQty>

*Presence:* [1..1]

*Definition:* Quantity of securities elected by to the beneficial owner.

**ElectedSecuritiesQuantity <ElctdScitiesQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Unit</b> <Unit>	[1..1]	Quantity		682
Or}	<b>FaceAmount</b> <FaceAmt>	[1..1]	Amount	C1, C4	682

##### 18.4.3.1.10.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "[DecimalNumber](#)" on page 1090

##### 18.4.3.1.10.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* [C1 "ActiveCurrency"](#), [C4 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 18.4.3.2 RegistrationDetails <RegnDtls>

*Presence:* [0..1]

*Definition:* Provides information required for the registration.

*Datatype:* "Max350Text" on page 1094

#### 18.4.3.3 ReceiverIdentification <RcvrId>

*Presence:* [0..1]

*Definition:* Identification of the receiver of outturned resources (cash/securities) in case the resources need to be delivered outside the CSD.

**ReceiverIdentification <RcvrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 18.4.3.4 CertificationIndicator <CertfctnInd>

*Presence:* [0..1]

*Definition:* Whether or not certification is required from the account owner.

Y: certification required

N: no certification required.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 18.4.3.5 CertificationType <CertfctnTp>

*Presence:* [0..1]

*Definition:* Type of certification which is required.

**CertificationType <CertfctnTp>** contains one of the following **BeneficiaryCertificationType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		684
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		684

#### 18.4.3.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of beneficiary's certification.

*Datatype:* "BeneficiaryCertificationType1Code" on page 1011

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

#### 18.4.3.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of beneficiary's certification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**18.4.3.6 DeliveryDetails <DlvryDtls>***Presence:* [0..\*]*Definition:* Provides information about the delivery details of proceeds.**DeliveryDetails <DlvryDtls>** contains the following **ProceedsDelivery1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>SecuritiesAccountIdentification</b> <SctiesAcctId>	[1..1]	Text		685
Or}	<b>CashAccountIdentification</b> <CshAcctId>	[1..1]	±		685
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		685
	<b>AccountServicerIdentification</b> <AcctSvcrId>	[0..1]	±		686

**18.4.3.6.1 SecuritiesAccountIdentification <SctiesAcctId>***Presence:* [1..1]**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.*Definition:* identification of the securities account to which the securities have to be delivered.*Datatype:* "Max35Text" on page 1094**18.4.3.6.2 CashAccountIdentification <CshAcctId>***Presence:* [1..1]**Warning** Either SecuritiesAccountIdentification or CashAccountIdentification must be present.*Definition:* Identification of the cash account to which the cash has to be delivered.**CashAccountIdentification <CshAcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

**18.4.3.6.3 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 18.4.3.6.4 AccountServicerIdentification <AcctSvcrId>

*Presence:* [0..1]

*Definition:* Identification of the institution servicing the account.

**AccountServicerIdentification <AcctSvcrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 18.4.3.7 AdditionalInstruction <AddtlInstr>

*Presence:* [0..1]

*Definition:* Provides additional details pertaining to the corporate action instruction.

*Datatype:* "Max350Text" on page 1094

### 18.4.4 InformationStatusDetails <InfStsDtls>

*Presence:* [1..1]

*Definition:* Status of the information advice sent by the CSD.

**InformationStatusDetails <InfStsDtIs>** contains one of the following **CorporateActionInformationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			687
	<b>Status</b> <Sts>	[1..1]			687
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		687
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		688
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		688
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			688
	<b>Reason</b> <Rsn>	[1..*]			688
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		689
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		689

#### 18.4.4.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the advice.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionInformationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			687
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		687
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		688
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		688

##### 18.4.4.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		687
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		688

##### 18.4.4.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a request.

*Datatype:* "ProcessedStatus5Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

#### 18.4.4.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 18.4.4.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 18.4.4.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionInformationRejectedStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			688
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		689
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		689

#### 18.4.4.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.



**Reason <Rsn>** contains one of the following **RejectionReason15FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		689
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		689

#### 18.4.4.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of an information advice.

*Datatype:* "RejectionReason15Code" on page 1078

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

#### 18.4.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of an information advice.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 18.4.4.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

# 19      **seev.025.001.01**

## **AgentCAStandingInstructionRequestV01**

### 19.1    **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to the issuer (or its agent) to provide the issuer (or its agent) with the CSD's client details for the distribution of the proceeds of a corporate action event:

- Gross or net payments.
- Delivery details for securities that have to be delivered outside of the CSD.
- Delivery details for cash amounts that have to be delivered outside of the CSD.

#### Usage

This message is used to request the issuer (or its agent) to put a standing instruction in place for proceeds.

The amendment of a standing instruction is done through a cancel-and-replace mechanism. First a standing instruction cancellation request is sent followed by a new standing instruction request.

#### Outline

The AgentCAStandingInstructionRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

- A. Identification  
Identification assigned by the Sender to unambiguously identify the request.
- B. StandingInstructionGeneralInformation  
General information about the standing instruction.
- C. StandingInstructionDetails  
Provides detailed information about the standing instruction.
- D. ContactDetails  
Contact responsible for the transaction identified in the message.

## 19.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		692
	<b>StandingInstructionGeneralInformation</b> <StgInstrGnlInf>	[1..1]	±		692
	<b>StandingInstructionDetails</b> <StgInstrDtls>	[1..1]			692
{Or	<b>NetOrGross</b> <NetOrGrss>	[1..1]	CodeSet		693
Or	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[1..1]			693
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		694
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	694
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		694
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	694
Or}	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[1..1]	±		695
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		695
	<b>ContactDetails</b> <CtctDtls>	[0..1]	±		695

## 19.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 BIC

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## 19.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 19.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the request.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 19.4.2 StandingInstructionGeneralInformation <StgInstrGnInf>

*Presence:* [1..1]

*Definition:* General information about the standing instruction.

**StandingInstructionGeneralInformation <StgInstrGnInf>** contains the following elements (see "[CorporateActionStandingInstructionGeneralInformation1](#)" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		813
	EventType <EvtTp>	[0..*]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		819
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		820
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		820
	AccountDetails <AcctDtls>	[0..*]			820
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		820
	IncludedIndicator <InclInd>	[1..1]	Indicator		820
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		821

### 19.4.3 StandingInstructionDetails <StgInstrDtls>

*Presence:* [1..1]

*Definition:* Provides detailed information about the standing instruction.

**StandingInstructionDetails <StgInstrDtls>** contains the following **CorporateActionStandingInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>NetOrGross</b> <NetOrGrss>	[1..1]	CodeSet		693
Or	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[1..1]			693
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		694
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	694
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		694
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	694
Or}	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[1..1]	±		695
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		695

#### 19.4.3.1 NetOrGross <NetOrGrss>

*Presence:* [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

*Definition:* Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

*Datatype:* "StandingInstructionGrossNet1Code" on page 1084

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

#### 19.4.3.2 CashDistributionDetails <CshDstrbtnDtls>

*Presence:* [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

*Definition:* Provides information about the cash distribution standing instruction.

**CashDistributionDetails <CshDstrbtnDtls>** contains the following **CashAccount17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		694
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	694
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		694
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	694

**19.4.3.2.1 AccountIdentification <AcctId>***Presence:* [1..1]*Definition:* Identification of the cash account.**AccountIdentification <AcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

**19.4.3.2.2 PaymentCurrency <PmtCcy>***Presence:* [1..1]*Definition:* Currency of the payment.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyCode" on page 1008**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**19.4.3.2.3 AccountOwnerIdentification <AcctOwnrId>***Presence:* [0..1]*Definition:* Identification of the party that owns the account.**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**19.4.3.2.4 CorrespondentBankIdentification <CrspdtBkId>***Presence:* [1..1]*Definition:* Identification of the cash correspondent bank.*Impacted by:* C3 "BIC"

*Datatype:* "BICIdentifier" on page 1087

#### Constraints

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

### 19.4.3.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>

*Presence:* [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

*Definition:* Provides information about the securities distribution standing instruction.

**SecuritiesDistributionDetails <SctiesDstrbtnDtls>** contains the following elements (see "SecuritiesAccount6" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		752
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		752
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		753
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		753
	RegistrationDetails <RegnDtls>	[0..1]	Text		753

### 19.4.3.4 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the standing instruction.

*Datatype:* "Max350Text" on page 1094

## 19.4.4 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Contact responsible for the transaction identified in the message.

**ContactDetails <CtctDtls>** contains the following elements (see "ContactPerson1" on page 924 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		924
	InstitutionIdentification <InstnId>	[0..1]	±		925

## 20 **seev.026.001.01** **AgentCAStandingInstructionCancellationReq** **uestV01**

### 20.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to the issuer agent to request the cancellation of a previously sent Agent Corporate Action Standing Instruction.

#### Usage

This message is used to request the cancellation of a standing instruction.

This message must contain the identification of the standing instruction to be cancelled. It may also contain details of the standing instruction to be cancelled, but this is not recommended.

#### Outline

The AgentCAStandingInstructionCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

##### B. AgentCAStandingInstructionRequestIdentification

Identification of the Agent CA Standing Instruction Request to be cancelled.

##### C. StandingInstructionGeneralInformation

General information about the standing instruction.

##### D. StandingInstructionDetails

Information related to the standing instruction.



## 20.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrCxlReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		698
	<b>AgentCAStandingInstructionRequestIdentification</b> <AgtCAStgInstrReqId>	[1..1]	±		698
	<b>StandingInstructionGeneralInformation</b> <StgInstrGnlInf>	[1..1]	±		698
	<b>StandingInstructionDetails</b> <StgInstrDtls>	[0..1]			699
{Or	<b>NetOrGross</b> <NetOrGrss>	[1..1]	CodeSet		699
Or	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[1..1]			700
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		700
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	700
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		701
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	701
Or}	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[1..1]	±		701
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		702

## 20.3 Constraints

### C1 ActiveCurrency

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### C2 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C3 BIC

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

### C4 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C5 IBAN

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

## 20.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 20.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the cancellation request.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 20.4.2 AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>

*Presence:* [1..1]

*Definition:* Identification of the Agent CA Standing Instruction Request to be cancelled.

**AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 20.4.3 StandingInstructionGeneralInformation <StgInstrGnlInf>

*Presence:* [1..1]

*Definition:* General information about the standing instruction.

**StandingInstructionGeneralInformation <StgInstrGnllnf>** contains the following elements (see "CorporateActionStandingInstructionGeneralInformation1" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		813
	EventType <EvtTp>	[0..*]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		819
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		820
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		820
	AccountDetails <AcctDtls>	[0..*]			820
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		820
	IncludedIndicator <InclInd>	[1..1]	Indicator		820
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		821

## 20.4.4 StandingInstructionDetails <StgInstrDtls>

*Presence:* [0..1]

*Definition:* Information related to the standing instruction.

**StandingInstructionDetails <StgInstrDtls>** contains the following **CorporateActionStandingInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>NetOrGross</b> <NetOrGrss>	[1..1]	CodeSet		699
Or	<b>CashDistributionDetails</b> <CshDstrbtnDtls>	[1..1]			700
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		700
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	700
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		701
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	701
Or}	<b>SecuritiesDistributionDetails</b> <SctiesDstrbtnDtls>	[1..1]	±		701
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		702

### 20.4.4.1 NetOrGross <NetOrGrss>

*Presence:* [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

**Definition:** Identifies whether the account Holders want their income to be paid net or gross of income tax (default is gross).

**Datatype:** "StandingInstructionGrossNet1Code" on page 1084

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

#### 20.4.4.2 CashDistributionDetails <CshDstrbtnDtls>

**Presence:** [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

**Definition:** Provides information about the cash distribution standing instruction.

**CashDistributionDetails <CshDstrbtnDtls>** contains the following **CashAccount17** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>AccountIdentification</b> <AcctId>	[1..1]	±		700
	<b>PaymentCurrency</b> <PmtCcy>	[1..1]	CodeSet	C1	700
	<b>AccountOwnerIdentification</b> <AcctOwnrId>	[0..1]	±		701
	<b>CorrespondentBankIdentification</b> <CrspdtBkId>	[1..1]	IdentifierSet	C3	701

##### 20.4.4.2.1 AccountIdentification <AcctId>

**Presence:** [1..1]

**Definition:** Identification of the cash account.

**AccountIdentification <AcctId>** contains one of the following elements (see "CashAccountIdentification1Choice" on page 759 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

##### 20.4.4.2.2 PaymentCurrency <PmtCcy>

**Presence:** [1..1]

**Definition:** Currency of the payment.

**Impacted by:** C1 "ActiveCurrency"

**Datatype:** "ActiveCurrencyCode" on page 1008

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**20.4.4.2.3 AccountOwnerIdentification <AcctOwnrId>**

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**20.4.4.2.4 CorrespondentBankIdentification <CrspdtBkId>**

*Presence:* [1..1]

*Definition:* Identification of the cash correspondent bank.

*Impacted by:* C3 "BIC"

*Datatype:* "BICIdentifier" on page 1087

**Constraints**

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

**20.4.4.3 SecuritiesDistributionDetails <SctiesDstrbtnDtls>**

*Presence:* [1..1]

**Warning** Either NetOrGross, CashDistributionDetails or SecuritiesDistributionDetails must be present.

*Definition:* Provides information about the securities distribution standing instruction.

**SecuritiesDistributionDetails <SctiesDstrbtnDtls>** contains the following elements (see "SecuritiesAccount6" on page 752 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		752
	SecuritiesAccountIdentification <SctiesAcctld>	[1..1]	Text		752
	AccountOwnerIdentification <AcctOwnrld>	[0..1]	±		753
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		753
	RegistrationDetails <RegnDtls>	[0..1]	Text		753

#### 20.4.4.4 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the standing instruction.

*Datatype:* "Max350Text" on page 1094

# 21      **seev.027.001.01**

## **AgentCAStandingInstructionStatusAdviceV01**

### 21.1    **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to the CSD to report the status, or a change in status, of a standing instruction request or the status of a standing instruction cancellation request.

#### Usage

When this message is used to report the status of a standing instruction request, the building block Standing Instruction Request Identification must be present.

When this message is used to report the status of a standing instruction cancellation request, the building block Standing Instruction Cancellation Request Identification must be present.

#### Outline

The AgentCAStandingInstructionStatusAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCAStandingInstructionRequestIdentification

Identification of the linked Agent CA Standing Instruction Request for which a status is given.

C. AgentCAStandingInstructionCancellationRequestIdentification

Identification of the linked Agent CA Standing Instruction Cancellation Request for which a status is given.

.

D. StandingInstructionGeneralInformation

General information about the standing instruction.

E. StandingInstructionRequestStatus

Status of the standing instruction request.

F. StandingInstructionCancellationRequestStatus

Provides information about the status of a standing instruction cancellation request.

## 21.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAStgInstrStsAdv>	[1..1]		C3, C4	
	<b>Identification</b> <Id>	[1..1]	±		705
{Or	<b>AgentCAStandingInstructionRequestIdentification</b> <AgtCAStgInstrReqId>	[1..1]	±		705
Or}	<b>AgentCAStandingInstructionCancellationRequestIdentification</b> <AgtCAStgInstrCxlReqId>	[1..1]	±		706
	<b>StandingInstructionGeneralInformation</b> <StgInstrGnllnf>	[1..1]	±		706
{Or	<b>StandingInstructionRequestStatus</b> <StgInstrReqSts>	[1..1]			707
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			708
	<b>Status</b> <Sts>	[1..1]			708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		708
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		709
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			709
	<b>Reason</b> <Rsn>	[1..*]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		710
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		710
Or}	<b>StandingInstructionCancellationRequestStatus</b> <StgInstrCxlReqSts>	[1..1]			710
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			711
	<b>Status</b> <Sts>	[1..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		712
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			712
	<b>Reason</b> <Rsn>	[1..*]			712
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		713
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		713



## 21.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C3 StandingInstructionCancellationRequestStatusRule

If AgentCAStandingInstructionCancellationRequestIdentification is present, then StandingInstructionCancellationRequestStatus must be present.

*This constraint is defined at the MessageDefinition level.*

### C4 StandingInstructionRequestStatusRule

If AgentCAStandingInstructionRequestIdentification is present, then StandingInstructionRequestStatus must be present.

*This constraint is defined at the MessageDefinition level.*

## 21.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 21.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 21.4.2 AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>

*Presence:* [1..1]

**Warning** Either AgentCAStandingInstructionRequestIdentification or AgentCAStandingInstructionCancellationRequestIdentification must be present, but not both.

*Definition:* Identification of the linked Agent CA Standing Instruction Request for which a status is given.

**AgentCAStandingInstructionRequestIdentification <AgtCAStgInstrReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 21.4.3 AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqId>

*Presence:* [1..1]

**Warning** Either AgentCAStandingInstructionRequestIdentification or AgentCAStandingInstructionCancellationRequestIdentification must be present, but not both.

*Definition:* Identification of the linked Agent CA Standing Instruction Cancellation Request for which a status is given.

**AgentCAStandingInstructionCancellationRequestIdentification <AgtCAStgInstrCxlReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

### 21.4.4 StandingInstructionGeneralInformation <StgInstrGnllnf>

*Presence:* [1..1]

*Definition:* General information about the standing instruction.

**StandingInstructionGeneralInformation <StgInstrGnllnf>** contains the following elements (see "CorporateActionStandingInstructionGeneralInformation1" on page 813 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		813
	EventType <EvtTp>	[0..*]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		819
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		820
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		820
	AccountDetails <AcctDtls>	[0..*]			820
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		820
	IncludedIndicator <InclInd>	[1..1]	Indicator		820
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		821

## 21.4.5 StandingInstructionRequestStatus <StgInstrReqSts>

*Presence:* [1..1]

**Warning** Either StandingInstructionRequestStatus or StandingInstructionCancellationRequestStatus must be present, but not both.

*Definition:* Status of the standing instruction request.

**StandingInstructionRequestStatus <StgInstrReqSts>** contains one of the following **StandingInstructionStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			708
	<b>Status</b> <Sts>	[1..1]			708
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		708
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		708
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		709
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			709
	<b>Reason</b> <Rsn>	[1..*]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		710
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		710

**21.4.5.1 ProcessedStatus <PrCdSts>***Presence:* [1..1]*Definition:* Provides information about the processing status of the request.**ProcessedStatus <PrCdSts>** contains the following  
**CorporateActionStandingInstructionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]			708
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		708
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		708
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		709

**21.4.5.1.1 Status <Sts>***Presence:* [1..1]*Definition:* The processing status.**Status <Sts>** contains one of the following **ProcessedStatus3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		708
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		708

**21.4.5.1.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the processing status of an advice, a request or a movement.*Datatype:* "ProcessedStatus3Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

**21.4.5.1.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the processing status of an advice, a request or a movement.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 21.4.5.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

#### 21.4.5.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionStandingInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			709
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		710
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		710

##### 21.4.5.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason20FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		709
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		710

##### 21.4.5.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of the standing instruction request.

*Datatype:* "[RejectionReason20Code](#)" on page 1079

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CORR	NotIdentifiedCorrespondentBank	Unable to identify correspondent bank.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.
NOHO	NoHolding	No holding for the specified underlying security.

#### 21.4.5.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of the standing instruction request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 21.4.5.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

## 21.4.6 StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts>

*Presence:* [1..1]

**Warning** Either StandingInstructionRequestStatus or StandingInstructionCancellationRequestStatus must be present, but not both.

*Definition:* Provides information about the status of a standing instruction cancellation request.

**StandingInstructionCancellationRequestStatus <StgInstrCxlReqSts>** contains one of the following **StandingInstructionCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			711
	<b>Status</b> <Sts>	[1..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		712
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			712
	<b>Reason</b> <Rsn>	[1..*]			712
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		713
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		713

#### 21.4.6.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the cancellation request.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionStandingInstructionCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			711
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		712

##### 21.4.6.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus4FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		711
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		712

##### 21.4.6.1.1.1 Code <Cd>

*Presence:* [1..1]

**Definition:** Standard code to specify the processing status of the standing instruction cancellation request.

**Datatype:** "ProcessedStatus4Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.
PEND	Pending	The processing of the request is pending.

#### 21.4.6.1.1.2 Proprietary <Prtry>

**Presence:** [1..1]

**Definition:** Proprietary code to express the processing status of the standing instruction cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 21.4.6.1.2 AdditionalInformation <AddtlInf>

**Presence:** [0..1]

**Definition:** Additional information about the status.

**Datatype:** "Max350Text" on page 1094

#### 21.4.6.2 RejectedStatus <RjctdSts>

**Presence:** [1..1]

**Definition:** Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following **CorporateActionStandingInstructionCancellationRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			712
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		713
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		713

##### 21.4.6.2.1 Reason <Rsn>

**Presence:** [1..\*]



*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		713
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		713

#### 21.4.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of a standing instruction cancellation request.

*Datatype:* "RejectionReason10Code" on page 1076

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

#### 21.4.6.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of a standing instruction cancellation request.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 21.4.6.2.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

## 22 **seev.028.001.01**

# **AgentCAdesactivationInstructionV01**

### 22.1 **MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to the CSD to instruct the deactivation of a corporate action event or to deactivate one or more specific options of the corporate action. As of the deactivation date, the CSD is allowed to reject any related election instruction received from clients.

#### Usage

Deactivation refers only to the empowerment of the CSD to reject further elections. To withdraw an event, the Agent Corporate Action Notification Advice message must be used.

This message can be used to deactivate all the options of a corporate action event, in which case, no option should be mentioned in the message.

This message can also be used to deactivate one or more specific corporate action options, in which case, the option type and option number must be present.

This message can only be used when the deactivation date is after the market deadline. Before the market deadline, an updated notification advice message must be sent with option availability status: inactive or cancelled.

An un-effected deactivation (pending deactivation date/time) can be cancelled with an Agent Corporate Action Deactivation Cancellation Request.

The amendment of a deactivation is effected by cancel/replace mechanism.

#### Outline

The AgentCAdesactivationInstructionV01 MessageDefinition is composed of 3 MessageBuildingBlocks:

##### A. Identification

Identification assigned by the Sender to unambiguously identify the instruction.

##### B. CorporateActionGeneralInformation

General information about the corporate action event.

##### C. DeactivationDetails

Information related to the deactivation of a CA event.

## 22.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAdactivationInstr>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		715
	<b>CorporateActionGeneralInformation</b> <CorpActnGnlInf>	[1..1]	±	C2	716
	<b>DeactivationDetails</b> <DeactivationDtls>	[1..1]			716
	<b>DeactivationDateAndTime</b> <DeactivationDtAndTm>	[1..1]	DateTime		717
	<b>OptionDetails</b> <OptnDtls>	[0..*]			717
	<b>OptionType</b> <OptnTp>	[1..1]			717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		719
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		719

## 22.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 22.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 22.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the instruction.

**Identification <Id>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 22.4.2 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C2 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 22.4.3 DeactivationDetails <DeactvtnDtls>

*Presence:* [1..1]

*Definition:* Information related to the deactivation of a CA event.

**DeactivationDetails <DeactvtnDtls>** contains the following **CorporateActionDeactivationInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>DeactivationDateAndTime</b> <DeactvtnDtAndTm>	[1..1]	DateTime		717
	<b>OptionDetails</b> <OptnDtls>	[0..*]			717
	<b>OptionType</b> <OptnTp>	[1..1]			717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		719
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		719

#### 22.4.3.1 DeactivationDateAndTime <DeactvtnDtAndTm>

*Presence:* [1..1]

*Definition:* Date and time at which the CSD must deactivate the corporate action event or the option.

*Datatype:* "ISODatetime" on page 1086

#### 22.4.3.2 OptionDetails <OptnDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.

**OptionDetails <OptnDtls>** contains the following **CorporateActionOption2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType</b> <OptnTp>	[1..1]			717
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		719
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		719

##### 22.4.3.2.1 OptionType <OptnTp>

*Presence:* [1..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		718
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		719

**22.4.3.2.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.

CodeName	Name	Definition
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

**22.4.3.2.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Option type expressed as a proprietary code.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**22.4.3.2.2 OptionNumber <OptnNb>***Presence:* [1..1]*Definition:* Number identifying the available corporate action options.*Datatype:* "[Exact3NumericText](#)" on page 1092

# 23      **seev.029.001.01**

## **AgentCADeactivationCancellationRequestV01**

### **23.1      MessageDefinition Functionality**

#### Scope

This message is sent by an issuer (or its agent) to the CSD to request the cancellation of a previously sent corporate action deactivation instruction.

#### Usage

This message is used to request the cancellation of a pending deactivation instruction. The cancellation must apply to exactly the same level as the original instruction, ie to the entire CA event or to an option as per the original instruction.

This message must be sent before the deactivation execution date.

In case a corporate action or option is already deactivated, this message can not be used to reactivate the corporate action entire event or option; the notification advice message must be used to reactivate a corporate action or option.

#### Outline

The AgentCADeactivationCancellationRequestV01 MessageDefinition is composed of 4 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the cancellation request.

B. AgentCADeactivationInstructionIdentification

Identification of the Agent CA Deactivation Instruction to be cancelled.

C. CorporateActionGeneralInformation

General information about the corporate action event.

D. DeactivationInstructionDetails

Details of the deactivation instruction to be cancelled.



## 23.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCAdactivtnCxlReq>	[1..1]			
	<b>Identification</b> <Id>	[1..1]	±		721
	<b>AgentCAdactivationInstructionIdentification</b> <AgtCAdactivtnInstrId>	[1..1]	±		722
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllnf>	[1..1]	±	C2	722
	<b>DeactivationInstructionDetails</b> <DeactivtnInstrDtls>	[0..1]			723
	<b>DeactivationDateAndTime</b> <DeactivtnDtAndTm>	[1..1]	DateTime		724
	<b>OptionDetails</b> <OptnDtls>	[0..*]			724
	<b>OptionType</b> <OptnTp>	[1..1]			724
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		724
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		725
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		726

## 23.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

## 23.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 23.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the cancellation request.

**Identification <Id>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 23.4.2 AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>

*Presence:* [1..1]

*Definition:* Identification of the Agent CA Deactivation Instruction to be cancelled.

**AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 23.4.3 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C2 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnlInf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrctgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 23.4.4 DeactivationInstructionDetails <DeactvtInstrDtls>

*Presence:* [0..1]

*Definition:* Details of the deactivation instruction to be cancelled.

**DeactivationInstructionDetails <DeactvtInstrDtls>** contains the following **CorporateActionDeactivationInstruction1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DeactivationDateAndTime <DeactvtDtAndTm>	[1..1]	DateTime		724
	OptionDetails <OptnDtls>	[0..*]			724
	OptionType <OptnTp>	[1..1]			724
{Or	Code <Cd>	[1..1]	CodeSet		724
Or}	Proprietary <Prtry>	[1..1]	±		725
	OptionNumber <OptnNb>	[1..1]	Text		726

**23.4.4.1 DeactivationDateAndTime <DeactivtnDtAndTm>***Presence:* [1..1]*Definition:* Date and time at which the CSD must deactivate the corporate action event or the option.*Datatype:* "ISODatetime" on page 1086**23.4.4.2 OptionDetails <OptnDtls>***Presence:* [0..\*]*Definition:* Provides information about the option, when the deactivation instruction applies at the level of a corporate action option.**OptionDetails <OptnDtls>** contains the following **CorporateActionOption2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType</b> <OptnTp>	[1..1]			724
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		724
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		725
	<b>OptionNumber</b> <OptnNb>	[1..1]	Text		726

**23.4.4.2.1 OptionType <OptnTp>***Presence:* [1..1]*Definition:* Specifies the corporate action options available to the account owner.**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		724
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		725

**23.4.4.2.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Option type expressed as a code.*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.

CodeName	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 23.4.4.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### **23.4.4.2.2 OptionNumber <OptnNb>**

*Presence:* [1..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "Exact3NumericText" on page 1092

## 24 **seev.030.001.01**

# **AgentCADeactivationStatusAdviceV01**

### 24.1 **MessageDefinition Functionality**

#### Scope

This message is sent by a CSD to an issuer (or its agent) to report the status, or a change in status, of a corporate action deactivation instruction or the status of a deactivation cancellation request.

#### Usage

This message is used to provide a status on the deactivation instruction, especially to confirm the deactivation of a Corporate Action event or option.

#### Outline

The AgentCADeactivationStatusAdviceV01 MessageDefinition is composed of 6 MessageBuildingBlocks:

A. Identification

Identification assigned by the Sender to unambiguously identify the status advice.

B. AgentCADeactivationInstructionIdentification

Identification of the linked Agent CA Deactivation Instruction for which a status is given.

C. AgentCADeactivationCancellationRequestIdentification

Identification of the linked Agent CA Deactivation Cancellation Request for which a status is given.

D. CorporateActionGeneralInformation

General information about the corporate action event.

E. DeactivationInstructionStatus

Status of the deactivation instruction sent by the issuer (agent).

F. DeactivationCancellationRequestStatus

Status of the deactivation cancellation request sent by the issuer (agent).

## 24.2 Structure

Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
	Message root <Document> <AgtCADeactvtnStsAdv>	[1..1]		C4, C5	
	<b>Identification</b> <Id>	[1..1]	±		729
{Or	<b>AgentCADeactivationInstructionIdentification</b> <AgtCADeactvtnInstrId>	[1..1]	±		730
Or}	<b>AgentCADeactivationCancellationRequestIdentification</b> <AgtCADeactvtnCxlReqId>	[1..1]	±		730
	<b>CorporateActionGeneralInformation</b> <CorpActnGnllnf>	[1..1]	±	C2	730
{Or	<b>DeactivationInstructionStatus</b> <DeactvtnInstrSts>	[1..*]			731
	<b>OptionType</b> <OptnTp>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		734
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			734
	<b>Status</b> <Sts>	[1..1]			734
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		735
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			735
	<b>Reason</b> <Rsn>	[1..*]			735
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		736
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		737
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		737
Or}	<b>DeactivationCancellationRequestStatus</b> <DeactvtnCxlReqSts>	[1..1]			737
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			738
	<b>Status</b> <Sts>	[1..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		738
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		739
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			739
	<b>Reason</b> <Rsn>	[1..*]			739
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		740



Or	MessageElement/BuildingBlock<XML Tag>	Mult.	Type	Constr. No.	Page
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		741
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		741

## 24.3 Constraints

### C1 AnyBIC

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

### C2 CorporateActionIdentificationRule

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

### C3 Country

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### C4 DeactivationCancellationRequestStatusRule

If AgentCADeactivationCancellationRequestIdentification is present, then DeactivationCancellationRequestStatus must be present.

*This constraint is defined at the MessageDefinition level.*

### C5 DeactivationInstructionStatusRule

If AgentCADeactivationInstructionIdentification is present, then at least one occurrence of DeactivationInstructionStatus must be present.

*This constraint is defined at the MessageDefinition level.*

## 24.4 Message Building Blocks

This chapter describes the MessageBuildingBlocks of this MessageDefinition.

### 24.4.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by the Sender to unambiguously identify the status advice.

**Identification <Id>** contains the following elements (see "[DocumentIdentification8](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 24.4.2 AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>

*Presence:* [1..1]

**Warning** Either AgentCADeactivationInstructionIdentification or AgentCADeactivationCancellationRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Deactivation Instruction for which a status is given.

**AgentCADeactivationInstructionIdentification <AgtCADeactvtnInstrId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 24.4.3 AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId>

*Presence:* [1..1]

**Warning** Either AgentCADeactivationInstructionIdentification or AgentCADeactivationCancellationRequestIdentification must be present.

*Definition:* Identification of the linked Agent CA Deactivation Cancellation Request for which a status is given.

**AgentCADeactivationCancellationRequestIdentification <AgtCADeactvtnCxlReqId>** contains the following elements (see "DocumentIdentification8" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

## 24.4.4 CorporateActionGeneralInformation <CorpActnGnlInf>

*Presence:* [1..1]

*Definition:* General information about the corporate action event.

*Impacted by:* C2 "CorporateActionIdentificationRule"

**CorporateActionGeneralInformation <CorpActnGnllnf>** contains the following elements (see "CorporateActionInformation1" on page 867 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrcgId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrcgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

#### Constraints

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be may be present.

## 24.4.5 DeactivationInstructionStatus <DeactvtnInstrSts>

*Presence:* [1..\*]

**Warning** Either DeactivationInstructionStatus or DeactivationCancellationRequestStatus must be present.

*Definition:* Status of the deactivation instruction sent by the issuer (agent).

**DeactivationInstructionStatus <DeactvtInstrSts>** contains the following  
**CorporateActionDeactivationInstructionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>OptionType</b> <OptnTp>	[0..1]			732
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733
	<b>OptionNumber</b> <OptnNb>	[0..1]	Text		734
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			734
	<b>Status</b> <Sts>	[1..1]			734
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		734
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		735
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		735
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			735
	<b>Reason</b> <Rsn>	[1..*]			735
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		736
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		737
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		737

#### 24.4.5.1 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		732
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		733

##### 24.4.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "CorporateActionOptionType1Code" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income,

CodeName	Name	Definition
		with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 24.4.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 24.4.5.2 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 24.4.5.3 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

**Warning** Either ProcessedStatus or RejectedStatus must be present.

*Definition:* Provides information about the processing status of the instruction.

**ProcessedStatus <PrcdSts>** contains the following  
**CorporateActionDeactivationInstructionProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status &lt;Sts&gt;</b>	[1..1]			734
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		734
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		735
	<b>AdditionalInformation &lt;AddtlInf&gt;</b>	[0..1]	Text		735

##### 24.4.5.3.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code &lt;Cd&gt;</b>	[1..1]	CodeSet		734
Or}	<b>Proprietary &lt;Prtry&gt;</b>	[1..1]	±		735

##### 24.4.5.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a deactivation instruction.

*Datatype:* "ProcessedStatus6Code" on page 1071

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
DEAC	WaitingDeactivationDate	Awaiting the deactivation date.
COMP	Completed	The request has been completed.

#### 24.4.5.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a deactivation instruction.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 24.4.5.3.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 24.4.5.4 RejectedStatus <RjctdSts>

*Presence:* [1..1]

**Warning** Either ProcessedStatus or RejectedStatus must be present.

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following  
**CorporateActionDeactivationInstructionRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			735
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		736
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		737
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		737

#### 24.4.5.4.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		736
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		737

#### 24.4.5.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection of a deactivation instruction.

*Datatype:* "RejectionReason12Code" on page 1076

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAME	SameCAIdentification	An Agent Corporate Action Deactivation Instruction with the same identification already exists.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
MAIN	NotMainAgent	Agent Identification specified does not correspond to the main Agent of the relating event.
OPTI	InvalidOption	Invalid combination of CA option code and CA option number.
PEDA	PendingDeactivation	The specified Corporate Action option already has a pending deactivation instruction.
NORO	NoRemainingOption	Specified Corporate Action has no remaining options to disable.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
SAID	SameIdentification	Several message with the same identification.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.



CodeName	Name	Definition
NOAP	NotApplicable	This instruction type is not applicable for mandatory events.

#### 24.4.5.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the reason of a rejection of a deactivation instruction.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 24.4.5.4.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "[Max350Text](#)" on page 1094

## 24.4.6 DeactivationCancellationRequestStatus <DeactvtnCxlReqSts>

*Presence:* [1..1]

**Warning** Either DeactivationInstructionStatus or DeactivationCancellationRequestStatus must be present.

*Definition:* Status of the deactivation cancellation request sent by the issuer (agent).

**DeactivationCancellationRequestStatus <DeactvtnCxlReqSts>** contains one of the following **CorporateActionDeactivationCancellationStatus1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>ProcessedStatus</b> <PrcdSts>	[1..1]			738
	<b>Status</b> <Sts>	[1..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		738
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		739
Or}	<b>RejectedStatus</b> <RjctdSts>	[1..1]			739
	<b>Reason</b> <Rsn>	[1..*]			739
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		740
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		741
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		741

#### 24.4.6.1 ProcessedStatus <PrcdSts>

*Presence:* [1..1]

*Definition:* Provides information about the processing status of the cancellation request.

**ProcessedStatus <PrcdSts>** contains the following **CorporateActionDeactivationCancellationProcessingStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Status</b> <Sts>	[1..1]			738
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		738
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		739

##### 24.4.6.1.1 Status <Sts>

*Presence:* [1..1]

*Definition:* The processing status.

**Status <Sts>** contains one of the following **ProcessedStatus2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		738
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		739

##### 24.4.6.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the processing status of a cancellation request.

*Datatype:* "ProcessedStatus2Code" on page 1070

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

#### 24.4.6.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the processing status of a cancellation request.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 24.4.6.1.2 AdditionalInformation <AddtlInf>

*Presence:* [0..1]

*Definition:* Additional information about the status.

*Datatype:* "Max350Text" on page 1094

#### 24.4.6.2 RejectedStatus <RjctdSts>

*Presence:* [1..1]

*Definition:* Provides information about the rejection status.

**RejectedStatus <RjctdSts>** contains the following  
**CorporateActionDeactivationCancellationRejectionStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	<b>Reason</b> <Rsn>	[1..*]			739
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		740
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		741
	<b>AdditionalInformation</b> <AddtlInf>	[0..1]	Text		741

#### 24.4.6.2.1 Reason <Rsn>

*Presence:* [1..\*]

*Definition:* The rejection reason.

**Reason <Rsn>** contains one of the following **RejectionReason7FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	<b>Code</b> <Cd>	[1..1]	CodeSet		740
Or}	<b>Proprietary</b> <Prtry>	[1..1]	±		741

#### 24.4.6.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the reason of a rejection cancellation request.

*Datatype:* "RejectionReason7Code" on page 1079

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
PDEA	NoPendingDeactivationInstruction	The cancellation request is rejected as there is no pending deactivation instruction.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
SAID	SameIdentification	Several message with the same identification.
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.

**24.4.6.2.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the reason of a rejection cancellation request.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**24.4.6.2.2 AdditionalInformation <AddtlInf>***Presence:* [0..1]*Definition:* Additional information about the status.*Datatype:* "[Max350Text](#)" on page 1094

## 25 Message Items Types

### 25.1 MessageComponents

#### 25.1.1 Account

##### 25.1.1.1 SecuritiesAccount8

*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		742
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		742
	AccountIdentification <AcctId>	[1..1]	Text		743
	BalanceType <BalTp>	[0..1]			743
{Or	Code <Cd>	[1..1]	CodeSet		743
Or}	Proprietary <Prtry>	[1..1]	±		744
	OptionType <OptnTp>	[0..1]			744
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		745
	OptionNumber <OptnNb>	[0..1]	Text		746
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		746
	StampDuty <StmpDty>	[0..1]			746
{Or	Code <Cd>	[1..1]	CodeSet		746
Or}	Proprietary <Prtry>	[1..1]	±		747

##### 25.1.1.1.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

##### 25.1.1.1.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.1.1.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the account where financial instruments are maintained.

*Datatype:* "Max35Text" on page 1094

#### 25.1.1.1.4 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Type of balance.

**BalanceType <BalTp>** contains one of the following **SecuritiesBalanceType10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		743
Or}	Proprietary <Prtry>	[1..1]	±		744

##### 25.1.1.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of securities balance.

*Datatype:* "SecuritiesBalanceType10Code" on page 1082

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	<p>Balance of cash that may only be used under certain conditions.</p> <p>Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.</p> <p>Also known as the "escrow balance" or "sequestered balance".</p>

CodeName	Name	Definition
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

#### 25.1.1.1.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of securities balance.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.1.1.5 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		744
Or}	Proprietary <Prtry>	[1..1]	±		745

##### 25.1.1.1.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "[CorporateActionOptionType1Code](#)" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.



CodeName	Name	Definition
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 25.1.1.1.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.1.1.6 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 25.1.1.1.7 SecurityHoldingForm <SctyHldgForm>

*Presence:* [0..1]

*Definition:* Specifies the form of the financial instrument.

*Datatype:* "[FormOfSecurity1Code](#)" on page 1048

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

#### 25.1.1.1.8 StampDuty <StmpDty>

*Presence:* [0..1]

*Definition:* Specifies if the stamp duty is applicable.

**StampDuty <StmpDty>** contains one of the following **StampDutyType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		746
Or}	Proprietary <Prtry>	[1..1]	±		747

##### 25.1.1.1.8.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the stamp duty information.

*Datatype:* "[StampDutyType1Code](#)" on page 1083

CodeName	Name	Definition
SDRU	OutsideCSD	SDRT paid outside of CSD on corporate action.
SDRT	Paid	SDRT paid on another transaction.
SDRN	NotApplicable	Stamp duty not applicable.
SDRQ	Exemption	Exempt, for any other reason.

#### 25.1.1.1.8.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the stamp duty information.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.1.1.2 SecuritiesAccount9

*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		747
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		748
	AccountIdentification <AcctId>	[1..1]	Text		748
	BalanceType <BalTp>	[0..1]			748
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		749
	OptionType <OptnTp>	[0..1]			749
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		750
	OptionNumber <OptnNb>	[0..1]	Text		751
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		751

##### 25.1.1.2.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "[CreditDebitCode](#)" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 25.1.1.2.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.1.2.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the account.

*Datatype:* "Max35Text" on page 1094

#### 25.1.1.2.4 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Type of balance.

**BalanceType <BalTp>** contains one of the following **SecuritiesBalanceType10FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		748
Or}	Proprietary <Prtry>	[1..1]	±		749

##### 25.1.1.2.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of securities balance.

*Datatype:* "SecuritiesBalanceType10Code" on page 1082

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.

CodeName	Name	Definition
		Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.  Also known as the "escrow balance" or "sequestered balance".
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

#### 25.1.1.2.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of securities balance.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.1.2.5 OptionType <OptnTp>

*Presence:* [0..1]

*Definition:* Specifies the corporate action options available to the account owner.

**OptionType <OptnTp>** contains one of the following **CorporateActionOption1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		749
Or}	Proprietary <Prtry>	[1..1]	±		750

##### 25.1.1.2.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Option type expressed as a code.

*Datatype:* "[CorporateActionOptionType1Code](#)" on page 1033

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

#### 25.1.1.2.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.1.2.6 OptionNumber <OptnNb>

*Presence:* [0..1]

*Definition:* Number identifying the available corporate action options.

*Datatype:* "[Exact3NumericText](#)" on page 1092

#### 25.1.1.2.7 SecurityHoldingForm <SctyHldgForm>

*Presence:* [0..1]

*Definition:* Specifies the form of the financial instrument.

*Datatype:* "[FormOfSecurity1Code](#)" on page 1048

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

#### 25.1.1.3 SecuritiesAccount7

*Definition:* Provides information about the account identification and the account owner.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		751
	AccountIdentification <AcctId>	[1..1]	Text		752

##### 25.1.1.3.1 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI/>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.1.3.2 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the account where financial instruments are maintained.

*Datatype:* "Max35Text" on page 1094

#### 25.1.1.4 SecuritiesAccount6

*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		752
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		752
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		753
	SafekeepingPlace <SfkpgPlc>	[1..1]	±		753
	RegistrationDetails <RegnDtls>	[0..1]	Text		753

##### 25.1.1.4.1 SecurityIdentification <SctyId>

*Presence:* [1..1]

*Definition:* Identification of the financial instrument.

**SecurityIdentification <SctyId>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <OthrId>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstIdSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryIdSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

##### 25.1.1.4.2 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]



*Definition:* Identification of the account where financial instruments are maintained.

*Datatype:* "Max35Text" on page 1094

#### 25.1.1.4.3 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.1.4.4 SafekeepingPlace <SfkpgPlc>

*Presence:* [1..1]

*Definition:* Identification of the place of safekeeping.

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.1.4.5 RegistrationDetails <RegnDtls>

*Presence:* [0..1]

*Definition:* Provides information required for the registration of the security.

*Datatype:* "Max350Text" on page 1094

## 25.1.2 Account Identification

### 25.1.2.1 SecuritiesAccount12

*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		754
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		754
	AccountIdentification <AcctId>	[1..1]	Text		754
	BalanceType <BalTp>	[0..1]			754
{Or	Code <Cd>	[1..1]	CodeSet		755
Or}	Proprietary <Prtry>	[1..1]	±		755
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		756

#### 25.1.2.1.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 25.1.2.1.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.2.1.3 AccountIdentification <AcctId>

*Presence:* [1..1]

*Definition:* Identification of the account where financial instruments are maintained.

*Datatype:* "Max35Text" on page 1094

#### 25.1.2.1.4 BalanceType <BalTp>

*Presence:* [0..1]

*Definition:* Type of balance.

**BalanceType <BalTp>** contains one of the following **SecuritiesBalanceType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		755
Or}	Proprietary <Prtry>	[1..1]	±		755

#### 25.1.2.1.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of securities balance.

*Datatype:* "SecuritiesBalanceType6Code" on page 1082

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.  Also known as the "escrow balance" or "sequestered balance".
TENT	TotalEntitled	Total quantity of financial instruments entitled to the account owner based on the terms of the corporate action event.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

#### 25.1.2.1.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of securities balance.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.2.1.5 SecurityHoldingForm <SctyHldgForm>

*Presence:* [0..1]

*Definition:* Specifies the form of the financial instrument.

*Datatype:* "[FormOfSecurity1Code](#)" on page 1048

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

#### 25.1.2.2 AccountIdentification2Choice

*Definition:* Choice between the identification of a cash or securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	CashAccountIdentification <CshAcctId>	[1..1]	Text		756
Or}	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		756

##### 25.1.2.2.1 CashAccountIdentification <CshAcctId>

*Presence:* [1..1]

*Definition:* Identification of a cash account.

*Datatype:* "[Max35Text](#)" on page 1094

##### 25.1.2.2.2 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Identification of a securities account.

*Datatype:* "[Max35Text](#)" on page 1094

#### 25.1.2.3 SecuritiesAccount10

*Definition:* Provides information about the securities account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	CreditDebitIndicator <CdtDbtInd>	[1..1]	CodeSet		757
	AccountOwnerIdentification <AcctOwnrId>	[0..1]	±		757
	AccountOwnerNationality <AcctOwnrNtlty>	[0..1]	CodeSet	C6	757
	AccountIdentification <AcctId>	[1..1]	Text		758
	BalanceType <BalTp>	[0..1]			758
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758
	SecurityHoldingForm <SctyHldgForm>	[0..1]	CodeSet		759

#### 25.1.2.3.1 CreditDebitIndicator <CdtDbtInd>

*Presence:* [1..1]

*Definition:* Specifies whether the value is a debit or credit.

*Datatype:* "CreditDebitCode" on page 1036

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

#### 25.1.2.3.2 AccountOwnerIdentification <AcctOwnrId>

*Presence:* [0..1]

*Definition:* Identification of the party that owns the account.

**AccountOwnerIdentification <AcctOwnrId>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.2.3.3 AccountOwnerNationality <AcctOwnrNtlty>

*Presence:* [0..1]

*Definition:* Nationality of the account owner.

*Impacted by:* C6 "ValidationByTable"

*Datatype:* "NationalityCode" on page 1061

**Constraints**

- **ValidationByTable**

Must be a valid nationality.

**25.1.2.3.4 AccountIdentification <AcctId>**

*Presence:* [1..1]

*Definition:* Identification of the account.

*Datatype:* "Max35Text" on page 1094

**25.1.2.3.5 BalanceType <BalTp>**

*Presence:* [0..1]

*Definition:* Type of balance.

**BalanceType <BalTp>** contains one of the following **SecuritiesBalanceType9FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		758
Or}	Proprietary <Prtry>	[1..1]	±		758

**25.1.2.3.5.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Standard code to specify the type of securities balance.

*Datatype:* "SecuritiesBalanceType9Code" on page 1083

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
ELEC	Elected	Balance of instructed position.
UNEL	Unelected	Balance of uninstructed position.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

**25.1.2.3.5.2 Proprietary <Prtry>**

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of securities balance.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.2.3.6 SecurityHoldingForm <SctyHldgForm>

*Presence:* [0..1]

*Definition:* Specifies the form of the financial instrument.

*Datatype:* "[FormOfSecurity1Code](#)" on page 1048

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

#### 25.1.2.4 CashAccountIdentification1Choice

*Definition:* Choice between formats for the identification of a cash account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	IBAN <IBAN>	[1..1]	IdentifierSet	C8	759
Or	BBAN <BBAN>	[1..1]	IdentifierSet		760
Or	UPIC <UPIC>	[1..1]	IdentifierSet		760
Or}	DomesticAccount <DmstAcct>	[1..1]	±		760

##### 25.1.2.4.1 IBAN <IBAN>

*Presence:* [1..1]

*Definition:* International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

*Impacted by:* [C8 "IBAN"](#)

*Datatype:* "[IBANIdentifier](#)" on page 1088

**Constraints**

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**25.1.2.4.2 BBAN <BBAN>**

*Presence:* [1..1]

*Definition:* Basic Bank Account Number (BBAN) - identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), to uniquely identify the account of a customer.

*Datatype:* "BBANIdentifier" on page 1087

**25.1.2.4.3 UPIC <UPIC>**

*Presence:* [1..1]

*Definition:* Universal Payment Identification Code (UPIC) - identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.

*Datatype:* "UPICIdentifier" on page 1090

**25.1.2.4.4 DomesticAccount <DmstAcct>**

*Presence:* [1..1]

*Definition:* Account number used by financial institutions in individual countries to identify an account of a customer, but not necessarily the bank and branch of the financial institution in which the account is held.

**DomesticAccount <DmstAcct>** contains the following elements (see "SimpleIdentificationInformation" on page 801 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		801

**25.1.3 Amount****25.1.3.1 AmountPrice2**

*Definition:* Price expressed as an actual amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761

**25.1.3.1.1 AmountPriceType <AmtPricTp>**

*Presence:* [1..1]

*Definition:* Type of amount price.



*Datatype:* "AmountPriceType2Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

### 25.1.3.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

## 25.1.4 Charge

### 25.1.4.1 Charges1

*Definition:* Specifies the type of charge and the amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[0..1]			761
{Or	Code <Cd>	[1..1]	CodeSet		761
Or}	Proprietary <Prtry>	[1..1]	±		762
	Amount <Amt>	[1..1]	Amount	C1, C5	762

#### 25.1.4.1.1 Type <Tp>

*Presence:* [0..1]

*Definition:* Type of charges.

**Type <Tp>** contains one of the following **ChargeType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		761
Or}	Proprietary <Prtry>	[1..1]	±		762

##### 25.1.4.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of charge.

*Datatype:* "ChargeType14Code" on page 1014

CodeName	Name	Definition
ADRF	ADRFee	Charge linked to an ADR (American Depositary Receipt).
ISSC	IssuanceCancellationFee	Fee linked to the cancellation of an issuance.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
CHAR	ServiceProvisionFee	Fee paid for the provision of financial services.
MISC	MiscellaneousFee	Miscellaneous fee.
ISSU	IssuanceFee	Charge linked to the issuance of security.

#### 25.1.4.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code for specifying the type of charge.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.4.1.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Amount of charges.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 25.1.5 Date Period

### 25.1.5.1 CorporateActionPeriod17

*Definition:* Specifies periods of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestPeriod <IntrstPrd>	[0..1]	±		763
	CompulsoryPurchasePeriod <CmplsryPurchsPrd>	[0..1]	±		764
	ClaimPeriod <CImPrd>	[0..1]	±		764
	DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>	[0..1]	±		764
	DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>	[0..1]	±		765
	DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>	[0..1]	±		765
	DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>	[0..1]	±		765
	DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>	[0..1]	±		765
	DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>	[0..1]	±		766
	DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>	[0..1]	±		766
	DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>	[0..1]	±		766
	BookClosurePeriod <BookClsrPrd>	[0..1]	±		767
	CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>	[0..1]	±		767
	SplitPeriod <SpltPrd>	[0..1]	±		767
	FiscalYearPeriod <FsclyrPrd>	[0..1]	±		768

#### Constraints

- **InterestPeriodRule**

InterestPeriod must be used only if it is different from a normal accrued interest period.

#### 25.1.5.1.1 InterestPeriod <IntrstPrd>

*Presence:* [0..1]

*Definition:* Period during which the interest rate has been applied.

**InterestPeriod <IntrstPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.2 CompulsoryPurchasePeriod <CmplsryPurchsPrd>

*Presence:* [0..1]

*Definition:* Period during a take-over where any outstanding equity must be purchased by the take-over company.

**CompulsoryPurchasePeriod <CmplsryPurchsPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.3 ClaimPeriod <ClmPrd>

*Presence:* [0..1]

*Definition:* Period assigned by the court in a class action. It determines the client's eligible transactions that will be included in the class action and used to determine the resulting entitlement.

**ClaimPeriod <ClmPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.4 DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>

*Presence:* [0..1]

*Definition:* Period defining the last date for which book entry transfers will be accepted and the date on which the suspension will be released and book entry transfer processing will resume.

**DepositorySuspensionPeriodForBookEntryTransfer <DpstrySspnsnPrdForBookNtryTrf>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.5 DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>***Presence:* [0..1]*Definition:* Period defining the last date for which deposits, into nominee name, at the agent will be accepted and the date on which the suspension will be released and deposits at agent will resume.**DepositorySuspensionPeriodForDepositAtAgent <DpstrySspnsnPrdForDpstAtAgt>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.6 DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>***Presence:* [0..1]*Definition:* Period defining the last date for which deposits will be accepted and the date on which the suspension will be released and deposits will resume.**DepositorySuspensionPeriodForDeposit <DpstrySspnsnPrdForDpst>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.7 DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>***Presence:* [0..1]*Definition:* Period defining the last date for which pledges will be accepted and the date on which the suspension will be released and pledge processing will resume.**DepositorySuspensionPeriodForPledge <DpstrySspnsnPrdForPldg>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.8 DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>***Presence:* [0..1]*Definition:* Period defining the last date for which intra-position balances can be segregated and the date on which the suspension will be released and the ability to segregate intra-position balances will resume.

**DepositorySuspensionPeriodForSegregation <DpstrySspnsnPrdForSgrtn>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.9 DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>

*Presence:* [0..1]

*Definition:* Period defining the last date for which withdrawals, from nominee name at the agent will be accepted and the date on which the suspension will be released and withdrawals at agent processing will resume.

**DepositorySuspensionPeriodForWithdrawalAtAgent <DpstrySspnsnPrdForWdrwlAtAgt>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.10 DepositorySuspensionPeriodForWithdrawalInNomineeName <DpstrySspnsnPrdForWdrwlInNmneeNm>

*Presence:* [0..1]

*Definition:* Period defining the last date for which physical withdrawals in the nominee's name will be accepted and the date on which the suspension will be released and physical withdrawals in the nominee's name will resume.

**DepositorySuspensionPeriodForWithdrawalInNomineeName  
<DpstrySspnsnPrdForWdrwlInNmneeNm>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.5.1.11 DepositorySuspensionPeriodForWithdrawalInStreetName <DpstrySspnsnPrdForWdrwlInStrtNm>

*Presence:* [0..1]

*Definition:* Period defining the last date on which withdrawal requests in street name's will be accepted on the event security and the date on which the suspension will be released and withdrawal in street name's processing on the event security will resume.

**DepositorySuspensionPeriodForWithdrawalInStreetName**

**<DpstrySspnsnPrdForWdrwlInStrtNm>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.12 BookClosurePeriod <BookClsrPrd>**

*Presence:* [0..1]

*Definition:* Period defining the last date on which shareholder registration will be accepted by the issuer and the date on which shareholder registration will resume.

**BookClosurePeriod <BookClsrPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.13 CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>**

*Presence:* [0..1]

*Definition:* Period during which the settlement activities at the co-depositories are suspended in order to stabilise the holdings at the CSD.

**CoDepositoriesSuspensionPeriod <CoDpstriesSspnsnPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.14 SplitPeriod <SpltPrd>**

*Presence:* [0..1]

*Definition:* Period during which a physical certificate can be split.

**SplitPeriod <SpltPrd>** contains one of the following elements (see "Period12Choice" on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.5.1.15 FiscalYearPeriod <FscIYrPrd>***Presence:* [0..1]*Definition:* Period defining the fiscal or tax year the dividend pertains to in order to correctly deduct taxes for residents.**FiscalYearPeriod <FscIYrPrd>** contains one of the following elements (see ["Period12Choice"](#) on page 784 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

**25.1.6 Date Time****25.1.6.1 DateFormat4Choice***Definition:* Specifies the value of a date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

**25.1.6.1.1 Date <Dt>***Presence:* [1..1]*Definition:* Date expressed as an ISO Date.**Date <Dt>** contains one of the following elements (see ["DateAndDateTimeChoice"](#) on page 777 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

**25.1.6.1.2 NotSpecifiedDate <NotSpcfdDt>***Presence:* [1..1]*Definition:* The date is not specified, eg, the date is unknown.*Datatype:* ["DateType6Code"](#) on page 1036

CodeName	Name	Definition
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Date is unknown by the sender or has not been established.



CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

### 25.1.6.1.3 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary scheme to specify a date.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 25.1.6.2 DateFormat43Choice

*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		769
Or}	DateCode <DtCd>	[1..1]			769
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 25.1.6.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

**Date <Dt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

#### 25.1.6.2.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		770
Or}	Proprietary <Prtry>	[1..1]	±		770

#### 25.1.6.2.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.1.6.2.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.6.3 DateFormat57Choice

*Definition:* Provides the date.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		770
Or}	DateCode <DtCd>	[1..1]			771
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771

#### 25.1.6.3.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* "ISODate" on page 1085

### 25.1.6.3.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Date is expressed using a code.

**DateCode <DtCd>** contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		771
Or}	Proprietary <Prtry>	[1..1]	±		771

#### 25.1.6.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to indicate the date is unknown.

*Datatype:* "DateType1Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

#### 25.1.6.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.6.4 DateFormat46Choice

*Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		772
Or}	DateCode <DtCd>	[1..1]			772
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		772

**25.1.6.4.1 Date <Dt>***Presence:* [1..1]*Definition:* Date expressed as a calendar date.**Date <Dt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

**25.1.6.4.2 DateCode <DtCd>***Presence:* [1..1]*Definition:* Specifies the type of date.**DateCode <DtCd>** contains one of the following **DateCode20Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		772
Or}	Proprietary <Prtry>	[1..1]	±		772

**25.1.6.4.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to indicate the date is unknown.*Datatype:* ["DateType1Code"](#) on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

**25.1.6.4.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of date.**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification30"](#) on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemaName <SchmeNm>	[0..1]	Text		800

**25.1.6.5 DateFormat59Choice***Definition:* Choice between an ISODate or ISODateTime format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		773
Or}	DateCode <DtCd>	[1..1]			773
{Or	Code <Cd>	[1..1]	CodeSet		773
Or}	Proprietary <Prtry>	[1..1]	±		774

#### 25.1.6.5.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

**Date <Dt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

#### 25.1.6.5.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		773
Or}	Proprietary <Prtry>	[1..1]	±		774

##### 25.1.6.5.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* ["DateType9Code"](#) on page 1037

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.

CodeName	Name	Definition
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

#### 25.1.6.5.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.6.6 DateAndDateTime2Choice

*Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

##### 25.1.6.6.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Specified date.

*Datatype:* "[ISODate](#)" on page 1085

##### 25.1.6.6.2 DateTime <DtTm>

*Presence:* [1..1]

*Definition:* Specified date and time.

*Datatype:* "[ISODatetime](#)" on page 1086

#### 25.1.6.7 DateFormat44Choice

*Definition:* Choice between an ISODate or ISODatetime format or a date code or a date code and a time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		775
Or	DateCodeAndTime <DtCdAndTm>	[1..1]			775
	DateCode <DtCd>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		776
Or}	Proprietary <Prtry>	[1..1]	±		776
	Time <Tm>	[1..1]	Time		776
Or}	DateCode <DtCd>	[1..1]			776
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777

#### 25.1.6.7.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

**Date <Dt>** contains one of the following elements (see "[DateAndDateTime2Choice](#)" on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

#### 25.1.6.7.2 DateCodeAndTime <DtCdAndTm>

*Presence:* [1..1]

*Definition:* Specifies a date code and a time.

**DateCodeAndTime <DtCdAndTm>** contains the following **DateCodeAndTimeFormat3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateCode <DtCd>	[1..1]			775
{Or	Code <Cd>	[1..1]	CodeSet		776
Or}	Proprietary <Prtry>	[1..1]	±		776
	Time <Tm>	[1..1]	Time		776

##### 25.1.6.7.2.1 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode21Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		776
Or}	Proprietary <Prtry>	[1..1]	±		776

#### 25.1.6.7.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* "DateType7Code" on page 1036

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.1.6.7.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.6.7.2.2 Time <Tm>

*Presence:* [1..1]

*Definition:* Specifies the time.

*Datatype:* "ISOTime" on page 1096

#### 25.1.6.7.3 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		777
Or}	Proprietary <Prtry>	[1..1]	±		777



**25.1.6.7.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of date.*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

**25.1.6.7.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.6.8 DateAndDateTimeChoice***Definition:* Choice between a date or a date and time format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		777
Or}	DateTime <DtTm>	[1..1]	DateTime		777

**25.1.6.8.1 Date <Dt>***Presence:* [1..1]*Definition:* Specified date.*Datatype:* "ISODate" on page 1085**25.1.6.8.2 DateTime <DtTm>***Presence:* [1..1]*Definition:* Specified date and time.*Datatype:* "ISODateTime" on page 1086**25.1.6.9 DateFormat30Choice***Definition:* Choice between an ISODate format or a date code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		778
Or}	DateCode <DtCd>	[1..1]			778
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

#### 25.1.6.9.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as a calendar date.

*Datatype:* "ISODate" on page 1085

#### 25.1.6.9.2 DateCode <DtCd>

*Presence:* [1..1]

*Definition:* Specifies the type of date.

**DateCode <DtCd>** contains one of the following **DateCode19Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		778
Or}	Proprietary <Prtry>	[1..1]	±		778

##### 25.1.6.9.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of date.

*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

##### 25.1.6.9.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of date.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

## 25.1.7 Date Time Period

### 25.1.7.1 Period18

*Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			779
{Or	Date <Dt>	[1..1]	Date		779
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		779
	EndDate <EndDt>	[1..1]			780
{Or	Date <Dt>	[1..1]	Date		780
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		780

#### 25.1.7.1.1 StartDate <StartDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

**StartDate <StartDt>** contains one of the following **DateFormat73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		779
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		779

##### 25.1.7.1.1.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as an ISO Date.

*Datatype:* "[ISODate](#)" on page 1085

##### 25.1.7.1.1.2 NotSpecifiedDate <NotSpcfdDt>

*Presence:* [1..1]

*Definition:* Date not specified, for example, the date is unknown.

*Datatype:* "[DateType8Code](#)" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.1.7.1.2 EndDate <EndDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

**EndDate <EndDt>** contains one of the following **DateFormat73Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		780
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		780

##### 25.1.7.1.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as an ISO Date.

*Datatype:* "ISODate" on page 1085

##### 25.1.7.1.2.2 NotSpecifiedDate <NotSpcfdDt>

*Presence:* [1..1]

*Definition:* Date not specified, for example, the date is unknown.

*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.1.7.2 Period1

*Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]	±		780
	EndDate <EndDt>	[1..1]	±		781

##### 25.1.7.2.1 StartDate <StartDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range starts.

**StartDate <StartDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 25.1.7.2.2 EndDate <EndDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

**EndDate <EndDt>** contains one of the following elements (see "DateFormat4Choice" on page 768 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		768
Or	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		768
Or}	Proprietary <Prtry>	[1..1]	±		769

#### 25.1.7.3 Period6Choice

*Definition:* Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		781
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		782

##### 25.1.7.3.1 Period <Prd>

*Presence:* [1..1]

*Definition:* Time span defined by a start date and time, and an end date and time.

**Period <Prd>** contains the following elements (see "Period11" on page 782 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			782
{Or	Date <Dt>	[1..1]	±		782
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783
	EndDate <EndDt>	[1..1]			783
{Or	Date <Dt>	[1..1]	±		783
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783

**25.1.7.3.2 PeriodCode <PrdCd>***Presence:* [1..1]*Definition:* Standard code to specify the type of period.*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

**25.1.7.4 Period11***Definition:* Time span defined by a start date and time, and an end date and time.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			782
{Or	Date <Dt>	[1..1]	±		782
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783
	EndDate <EndDt>	[1..1]			783
{Or	Date <Dt>	[1..1]	±		783
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783

**25.1.7.4.1 StartDate <StartDt>***Presence:* [1..1]*Definition:* Date and time at which the range starts.**StartDate <StartDt>** contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		782
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783

**25.1.7.4.1.1 Date <Dt>***Presence:* [1..1]*Definition:* Date expressed as an ISO Date.

**Date <Dt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

#### 25.1.7.4.1.2 NotSpecifiedDate <NotSpcfdDt>

*Presence:* [1..1]

*Definition:* Date not specified, for example, the date is unknown.

*Datatype:* ["DateType8Code"](#) on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.1.7.4.2 EndDate <EndDt>

*Presence:* [1..1]

*Definition:* Date and time at which the range ends.

**EndDate <EndDt>** contains one of the following **DateFormat45Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	±		783
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		783

#### 25.1.7.4.2.1 Date <Dt>

*Presence:* [1..1]

*Definition:* Date expressed as an ISO Date.

**Date <Dt>** contains one of the following elements (see ["DateAndDateTime2Choice"](#) on page 774 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Date <Dt>	[1..1]	Date		774
Or}	DateTime <DtTm>	[1..1]	DateTime		774

#### 25.1.7.4.2.2 NotSpecifiedDate <NotSpcfdDt>

*Presence:* [1..1]

*Definition:* Date not specified, for example, the date is unknown.

*Datatype:* ["DateType8Code"](#) on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

### 25.1.7.5 Period12Choice

*Definition:* Choice between a period or a period code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Period <Prd>	[1..1]	±		784
Or}	PeriodCode <PrdCd>	[1..1]	CodeSet		784

#### 25.1.7.5.1 Period <Prd>

*Presence:* [1..1]

*Definition:* Time span defined by a start date and time, and an end date and time.

**Period <Prd>** contains the following elements (see "Period18" on page 779 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StartDate <StartDt>	[1..1]			779
{Or	Date <Dt>	[1..1]	Date		779
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		779
	EndDate <EndDt>	[1..1]			780
{Or	Date <Dt>	[1..1]	Date		780
Or}	NotSpecifiedDate <NotSpcfdDt>	[1..1]	CodeSet		780

#### 25.1.7.5.2 PeriodCode <PrdCd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of period.

*Datatype:* "DateType8Code" on page 1036

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".



## 25.1.8 Document

### 25.1.8.1 DocumentIdentification31

*Definition:* Identification of a document and type of link.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		785
	LinkageType <LkgTp>	[0..1]	±	C99	785

#### 25.1.8.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identifies the document.

*Datatype:* "Max35Text" on page 1094

#### 25.1.8.1.2 LinkageType <LkgTp>

*Presence:* [0..1]

*Definition:* Specifies when this document is to be processed relative to another referred document.

*Impacted by:* C99 "WithLinkageRule"

**LinkageType <LkgTp>** contains one of the following elements (see "ProcessingPosition7Choice" on page 860 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861

#### Constraints

- **WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

## 25.1.9 Financial Institution Identification

### 25.1.9.1 FinancialInstitutionIdentification18

*Definition:* Specifies the details to identify a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	786
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		786
	LEI <LEI>	[0..1]	IdentifierSet		786
	Name <Nm>	[0..1]	Text		786
	PostalAddress <PstlAdr>	[0..1]	±		787
	Other <Othr>	[0..1]	±		787

#### 25.1.9.1.1 BICFI <BICFI>

*Presence:* [0..1]

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C2 "BICFI"

*Datatype:* "BICFIDec2014Identifier" on page 1087

##### Constraints

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

#### 25.1.9.1.2 ClearingSystemMemberIdentification <ClrSysMmbld>

*Presence:* [0..1]

*Definition:* Information used to identify a member within a clearing system.

**ClearingSystemMemberIdentification <ClrSysMmbld>** contains the following elements (see "ClearingSystemMemberIdentification2" on page 791 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		791
	MemberIdentification <Mmbld>	[1..1]	Text		792

#### 25.1.9.1.3 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identifier of the financial institution.

*Datatype:* "LEIIdentifier" on page 1089

#### 25.1.9.1.4 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* "Max140Text" on page 1093

**25.1.9.1.5 PostalAddress <PstlAdr>***Presence:* [0..1]*Definition:* Information that locates and identifies a specific address, as defined by postal services.**PostalAddress <PstlAdr>** contains the following elements (see "PostalAddress24" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Department <Dept>	[0..1]	Text		933
	SubDepartment <SubDept>	[0..1]	Text		933
	StreetName <StrtNm>	[0..1]	Text		934
	BuildingNumber <BldgNb>	[0..1]	Text		934
	BuildingName <BldgNm>	[0..1]	Text		934
	Floor <Flr>	[0..1]	Text		934
	PostBox <PstBx>	[0..1]	Text		934
	Room <Room>	[0..1]	Text		934
	PostCode <PstCd>	[0..1]	Text		934
	TownName <TwnNm>	[0..1]	Text		934
	TownLocationName <TwnLctnNm>	[0..1]	Text		935
	DistrictName <DstrctNm>	[0..1]	Text		935
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		935
	Country <Ctry>	[0..1]	CodeSet	C3	935
	AddressLine <AdrLine>	[0..7]	Text		935

**25.1.9.1.6 Other <Othr>***Presence:* [0..1]*Definition:* Unique identification of an agent, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following elements (see "[GenericFinancialIdentification1](#)" on page 789 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]			790
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	Text		790
	Issuer <Issr>	[0..1]	Text		790

### 25.1.9.2 BranchData3

*Definition:* Information that locates and identifies a specific branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		788
	LEI <LEI>	[0..1]	IdentifierSet		788
	Name <Nm>	[0..1]	Text		788
	PostalAddress <PstlAdr>	[0..1]	±		788

#### 25.1.9.2.1 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a branch of a financial institution.

*Datatype:* "[Max35Text](#)" on page 1094

#### 25.1.9.2.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification for the branch of the financial institution.

*Datatype:* "[LEIIdentifier](#)" on page 1089

#### 25.1.9.2.3 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which an agent is known and which is usually used to identify that agent.

*Datatype:* "[Max140Text](#)" on page 1093

#### 25.1.9.2.4 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstIAdr>** contains the following elements (see "PostalAddress24" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Department <Dept>	[0..1]	Text		933
	SubDepartment <SubDept>	[0..1]	Text		933
	StreetName <StrtNm>	[0..1]	Text		934
	BuildingNumber <BldgNb>	[0..1]	Text		934
	BuildingName <BldgNm>	[0..1]	Text		934
	Floor <Flr>	[0..1]	Text		934
	PostBox <PstBx>	[0..1]	Text		934
	Room <Room>	[0..1]	Text		934
	PostCode <PstCd>	[0..1]	Text		934
	TownName <TwnNm>	[0..1]	Text		934
	TownLocationName <TwnLctnNm>	[0..1]	Text		935
	DistrictName <DstrctNm>	[0..1]	Text		935
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		935
	Country <Ctry>	[0..1]	CodeSet	C3	935
	AddressLine <AdrLine>	[0..7]	Text		935

### 25.1.9.3 GenericFinancialIdentification1

*Definition:* Information related to an identification of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		789
	SchemeName <SchmeNm>	[0..1]			790
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	Text		790
	Issuer <Issr>	[0..1]	Text		790

#### 25.1.9.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max35Text" on page 1094

### 25.1.9.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **FinancialIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		790
Or}	Proprietary <Prtry>	[1..1]	Text		790

#### 25.1.9.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalFinancialInstitutionIdentification1Code" on page 1046

#### 25.1.9.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 1094

### 25.1.9.3.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

### 25.1.9.4 BranchAndFinancialInstitutionIdentification6

*Definition:* Unique and unambiguous identification of a financial institution or a branch of a financial institution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FinancialInstitutionIdentification <FinInstnId>	[1..1]	±		790
	BranchIdentification <BrnchId>	[0..1]	±		791

#### 25.1.9.4.1 FinancialInstitutionIdentification <FinInstnId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a financial institution, as assigned under an internationally recognised or proprietary identification scheme.

**FinancialInstitutionIdentification <FinInstnId>** contains the following elements (see "FinancialInstitutionIdentification18" on page 785 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BICFI <BICFI>	[0..1]	IdentifierSet	C2	786
	ClearingSystemMemberIdentification <ClrSysMmbld>	[0..1]	±		786
	LEI <LEI>	[0..1]	IdentifierSet		786
	Name <Nm>	[0..1]	Text		786
	PostalAddress <PstlAdr>	[0..1]	±		787
	Other <Othr>	[0..1]	±		787

#### 25.1.9.4.2 BranchIdentification <BrnchId>

*Presence:* [0..1]

*Definition:* Identifies a specific branch of a financial institution.

*Usage:* This component should be used in case the identification information in the financial institution component does not provide identification up to branch level.

**BranchIdentification <BrnchId>** contains the following elements (see "BranchData3" on page 788 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[0..1]	Text		788
	LEI <LEI>	[0..1]	IdentifierSet		788
	Name <Nm>	[0..1]	Text		788
	PostalAddress <PstlAdr>	[0..1]	±		788

#### 25.1.9.5 ClearingSystemMemberIdentification2

*Definition:* Unique identification, as assigned by a clearing system, to unambiguously identify a member of the clearing system.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ClearingSystemIdentification <ClrSysId>	[0..1]	±		791
	MemberIdentification <Mmbld>	[1..1]	Text		792

##### 25.1.9.5.1 ClearingSystemIdentification <ClrSysId>

*Presence:* [0..1]

*Definition:* Specification of a pre-agreed offering between clearing agents or the channel through which the payment instruction is processed.

**ClearingSystemIdentification <ClrSysId>** contains one of the following elements (see "ClearingSystemIdentification2Choice" on page 1006 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	Text		1006

#### 25.1.9.5.2 MemberIdentification <Mmbld>

*Presence:* [1..1]

*Definition:* Identification of a member of a clearing system.

*Datatype:* "Max35Text" on page 1094

## 25.1.10 Financial Instrument

### 25.1.10.1 SecurityIdentification19

*Definition:* Identification of a security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ISIN <ISIN>	[0..1]	IdentifierSet		793
	OtherIdentification <Othrid>	[0..*]			793
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794
	Description <Desc>	[0..1]	Text		794

#### Constraints

- **DescriptionPresenceRule**

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

On Condition

/Description is absent

Following Must be True

/ISIN Must be present

Or /OtherIdentification[\*] Must be present

- **DescriptionUsageRule**

Description must be used alone as the last resort.

- **ISINGuideline**

When an ISIN code exists, it is strongly recommended that the ISIN be used.



- **ISINPresenceRule**

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

```
On Condition
  /ISIN is absent
Following Must be True
  /OtherIdentification[*] Must be present
Or    /Description Must be present
```

- **OtherIdentificationPresenceRule**

If OtherIdentification is not present then either ISIN or Description must be present.

```
On Condition
  /OtherIdentification[*] is absent
Following Must be True
  /ISIN Must be present
Or    /Description Must be present
```

#### 25.1.10.1.1 ISIN <ISIN>

*Presence:* [0..1]

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINOct2015Identifier" on page 1089

#### 25.1.10.1.2 OtherIdentification <Othrid>

*Presence:* [0..\*]

*Definition:* Identification of a security by proprietary or domestic identification scheme.

**OtherIdentification <Othrid>** contains the following **OtherIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		793
	Suffix <Sfx>	[0..1]	Text		793
	Type <Tp>	[1..1]	±		794

##### 25.1.10.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification of a security.

*Datatype:* "Max35Text" on page 1094

##### 25.1.10.1.2.2 Suffix <Sfx>

*Presence:* [0..1]

*Definition:* Identifies the suffix of the security identification.

*Datatype:* "Max16Text" on page 1093

**25.1.10.1.2.3 Type <Tp>***Presence:* [1..1]*Definition:* Type of the identification.**Type <Tp>** contains one of the following elements (see ["IdentificationSource3Choice"](#) on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	Text		799

**25.1.10.1.3 Description <Desc>***Presence:* [0..1]*Definition:* Textual description of a security instrument.*Datatype:* ["Max140Text"](#) on page 1093**25.1.11 Financial Instrument Quantity****25.1.11.1 QuantityToQuantityRatio1***Definition:* Ratio expressed as a quotient of quantities.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

**25.1.11.1.1 Quantity1 <Qty1>***Presence:* [1..1]*Definition:* Numerator of the quotient of quantities.*Datatype:* ["DecimalNumber"](#) on page 1090**25.1.11.1.2 Quantity2 <Qty2>***Presence:* [1..1]*Definition:* Denominator of the quotient of quantities.*Datatype:* ["DecimalNumber"](#) on page 1090**25.1.12 Foreign Exchange****25.1.12.1 ForeignExchangeTerms38***Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	795
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	795
	ExchangeRate <XchgRate>	[1..1]	Rate		795

#### 25.1.12.1.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.12.1.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.12.1.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

*Usage:* ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOne14Rate" on page 1091

### 25.1.12.2 ForeignExchangeTerms39

*Definition:* Provides information about the terms of the foreign exchange transaction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	UnitCurrency <UnitCcy>	[1..1]	CodeSet	C1	796
	QuotedCurrency <QtdCcy>	[1..1]	CodeSet	C1	796
	ExchangeRate <XchgRate>	[1..1]	Rate		796
	ResultingAmount <RsltgAmt>	[0..1]	Amount	C1, C5	797

#### 25.1.12.2.1 UnitCurrency <UnitCcy>

*Presence:* [1..1]

*Definition:* Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.12.2.2 QuotedCurrency <QtdCcy>

*Presence:* [1..1]

*Definition:* Currency into which the base currency is converted, in a currency exchange.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyCode" on page 1008

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.12.2.3 ExchangeRate <XchgRate>

*Presence:* [1..1]

*Definition:* Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

*Usage:* ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

*Datatype:* "BaseOne14Rate" on page 1091

#### 25.1.12.2.4 ResultingAmount <RsltgAmt>

*Presence:* [0..1]

*Definition:* Counter value of a foreign exchange conversion.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

## 25.1.13 Identification Information

### 25.1.13.1 GenericIdentification13

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.13.1.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max4AlphaNumericText" on page 1095

#### 25.1.13.1.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.1.3 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

### 25.1.13.2 DocumentIdentification8

*Definition:* Identifies the document by providing a unique identification and optionally the date/time of the creation of the document.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	CreationDateTime <CreDtTm>	[0..1]	DateTime		798

#### 25.1.13.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique identification of the document.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.2.2 CreationDateTime <CreDtTm>

*Presence:* [0..1]

*Definition:* Date/time of the creation of the document.

*Datatype:* "ISODateTime" on page 1086

### 25.1.13.3 GenericIdentification36

*Definition:* Identification using a proprietary scheme.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	Issuer <Issr>	[1..1]	Text		798
	SchemeName <SchmeNm>	[0..1]	Text		798

#### 25.1.13.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.3.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.3.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.4 IdentificationSource3Choice

*Definition:* Choice between source of identification of a financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		799
Or}	Proprietary <Prtry>	[1..1]	Text		799

##### 25.1.13.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source, as assigned via a pre-determined code list.

*Datatype:* "ExternalFinancialInstrumentIdentificationType1Code" on page 1046

##### 25.1.13.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification source using a proprietary identification scheme.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.5 GenericIdentification1

*Definition:* Information related to an identification, for example party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		799
	SchemeName <SchmeNm>	[0..1]	Text		799
	Issuer <Issr>	[0..1]	Text		799

##### 25.1.13.5.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 1094

##### 25.1.13.5.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

*Datatype:* "Max35Text" on page 1094

##### 25.1.13.5.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

### 25.1.13.6 GenericIdentification30

*Definition:* Information related to an identification, for example, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.13.6.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.

*Datatype:* "Exact4AlphaNumericText" on page 1093

#### 25.1.13.6.2 Issuer <Issr>

*Presence:* [1..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.6.3 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Short textual description of the scheme.

*Datatype:* "Max35Text" on page 1094

### 25.1.13.7 GenericIdentification78

*Definition:* Identification expressed as a proprietary type and narrative description.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		800
	Identification <Id>	[0..1]	Text		801

#### 25.1.13.7.1 Type <Tp>

*Presence:* [1..1]

*Definition:* Proprietary information, often a code, issued by the data source scheme issuer.



**Type <Tp>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.13.7.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.

*Datatype:* "Max35Text" on page 1094

#### 25.1.13.8 SimpleIdentificationInformation

*Definition:* Information related to an identification, eg, party identification or account identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		801

#### 25.1.13.8.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Name or number assigned by an entity to enable recognition of that entity, eg, account identifier.

*Datatype:* "Max35Text" on page 1094

## 25.1.14 Market

#### 25.1.14.1 MarketIdentification3Choice

*Definition:* Choice of market identification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	MarketIdentifierCode <MktldrCd>	[1..1]	IdentifierSet		801
Or}	Description <Desc>	[1..1]	Text		802

##### 25.1.14.1.1 MarketIdentifierCode <MktldrCd>

*Presence:* [1..1]

*Definition:* Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

*Datatype:* "MICIdentifier" on page 1089

**25.1.14.1.2 Description <Desc>***Presence:* [1..1]*Definition:* Description of the market when no Market Identifier Code is available.*Datatype:* "Max35Text" on page 1094**25.1.15 Miscellaneous****25.1.15.1 RateAndAmountFormat56Choice***Definition:* Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		802
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		802
Or	Amount <Amt>	[1..1]	Amount	C1	802
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			803
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

**25.1.15.1.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1092**25.1.15.1.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.15.1.3 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.1.4 RateTypeAndRate <RateTpAndRate>**

*Presence:* [1..1]

*Definition:* Value is expressed as a rate type and a percentage rate.

**RateTypeAndRate <RateTpAndRate>** contains the following **RateTypeAndPercentageRate12** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			803
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804
	Rate <Rate>	[1..1]	Rate		804

**25.1.15.1.4.1 RateType <RateTp>**

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **RateType42Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		803
Or}	Proprietary <Prtry>	[1..1]	±		804

**25.1.15.1.4.1.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Standard code to specify the type of withholding tax rate.

*Datatype:* "WithholdingTaxRateType1Code" on page 1085

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

**25.1.15.1.4.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of withholding tax rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.1.4.2 Rate <Rate>***Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "[Percentage14Rate](#)" on page 1092**25.1.15.2 ClassificationType32Choice***Definition:* Choice of format for the classification.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ClassificationFinancialInstrument <ClssfctnFinInstrm>	[1..1]	IdentifierSet		804
Or}	AlternateClassification <AltrnClssfctn>	[1..1]	±		804

**25.1.15.2.1 ClassificationFinancialInstrument <ClssfctnFinInstrm>***Presence:* [1..1]*Definition:* ISO 10962 Classification of Financial Instrument (CFI).*Datatype:* "[CFIOct2015Identifier](#)" on page 1088**25.1.15.2.2 AlternateClassification <AltrnClssfctn>***Presence:* [1..1]*Definition:* Proprietary classification of financial instrument.**AlternateClassification <AltrnClssfctn>** contains the following elements (see "[GenericIdentification36](#)" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	Issuer <Issr>	[1..1]	Text		798
	SchemeName <SchmeNm>	[0..1]	Text		798

### 25.1.15.3 Pagination1

*Definition:* Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PageNumber <PgNb>	[1..1]	Text		805
	LastPageIndicator <LastPgInd>	[1..1]	Indicator		805

#### 25.1.15.3.1 PageNumber <PgNb>

*Presence:* [1..1]

*Definition:* Page number.

*Datatype:* "Max5NumericText" on page 1095

#### 25.1.15.3.2 LastPageIndicator <LastPgInd>

*Presence:* [1..1]

*Definition:* Indicates the last page.

*Datatype:* One of the following values must be used (see "YesNoIndicator" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

### 25.1.15.4 OptionAvailabilityStatus3Choice

*Definition:* Choice between a standard code or proprietary code to specify the option availability status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		805
Or}	Proprietary <Prtry>	[1..1]	±		806

#### 25.1.15.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the option availability.

*Datatype:* "OptionAvailabilityStatus1Code" on page 1065

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

#### 25.1.15.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the option availability.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.5 FractionDispositionType26Choice

*Definition:* Choice between a standard code or a proprietary code to specify the type of fraction disposition.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		806
Or}	Proprietary <Prtry>	[1..1]	±		807

##### 25.1.15.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

*Datatype:* "FractionDispositionType8Code" on page 1049

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

**25.1.15.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of fraction disposition.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.6 CapitalGainFormat3Choice***Definition:* Choice between a standard code or proprietary code to specify the type of capital gain.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		807
Or}	Proprietary <Prtry>	[1..1]	±		807

**25.1.15.6.1 Code <Cd>***Presence:* [1..1]*Definition:* Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.*Datatype:* "[EUCapitalGain2Code](#)" on page 1043

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

**25.1.15.6.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of capital gain.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.7 TemporaryFinancialInstrumentIndicator3Choice

*Definition:* Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	TemporaryIndicator <TemplInd>	[1..1]	Indicator		808
Or}	Proprietary <Prtry>	[1..1]	±		808

#### 25.1.15.7.1 TemporaryIndicator <TemplInd>

*Presence:* [1..1]

*Definition:* Temporary financial instrument identification used for processing reasons.

*Datatype:* One of the following values must be used (see "[YesNoIndicator](#)" on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 25.1.15.7.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to specify whether the security is a temporary security.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.8 RateTypeAndAmountAndStatus26

*Definition:* Specifies the value expressed as a rate and an amount.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			809
{Or	Code <Cd>	[1..1]	CodeSet		809
Or}	Proprietary <Prtry>	[1..1]	±		809
	Amount <Amt>	[1..1]	Amount	C1	810
	RateStatus <RateSts>	[0..1]		C87	810
{Or	Code <Cd>	[1..1]	CodeSet		810
Or}	Proprietary <Prtry>	[1..1]	±		810

#### 25.1.15.8.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **RateType36Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		809
Or}	Proprietary <Prtry>	[1..1]	±		809

##### 25.1.15.8.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of tax rate.

*Datatype:* "DividendRateType1Code" on page 1039

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

##### 25.1.15.8.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of tax rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.8.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.8.3 RateStatus <RateSts>***Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* C87 "RateStatusGuideline"**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		810
Or}	Proprietary <Prtry>	[1..1]	±		810

**Constraints**

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

**25.1.15.8.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**25.1.15.8.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.9 CorporateActionProcessingStatus5Choice

*Definition:* Specifies the status of the details of the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			811
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		811
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		811
Or}	Proprietary <Prtry>	[1..1]	±		812

#### 25.1.15.9.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Specifies the status of the details of the corporate action event.

**Code <Cd>** contains the following **CorporateActionEventStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	EventCompletenessStatus <EvtCmpltnsSts>	[1..1]	CodeSet		811
	EventConfirmationStatus <EvtConfSts>	[1..1]	CodeSet		811

##### 25.1.15.9.1.1 EventCompletenessStatus <EvtCmpltnsSts>

*Presence:* [1..1]

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Datatype:* "[EventCompletenessStatus1Code](#)" on page 1043

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

##### 25.1.15.9.1.2 EventConfirmationStatus <EvtConfSts>

*Presence:* [1..1]

*Definition:* Indicates the status of the occurrence of an event.

*Datatype:* "[EventConfirmationStatus1Code](#)" on page 1044

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

### 25.1.15.9.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Information related to an identification, for example, party identification or account identification.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.10 InformationTypeFormat4Choice

*Definition:* Choice between a standard code or proprietary code to specify the information type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		812
Or}	Proprietary <Prtry>	[1..1]	±		812

#### 25.1.15.10.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the information type required.

*Datatype:* "[CorporateActionInformationType1Code](#)" on page 1031

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

#### 25.1.15.10.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the information type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.11 CorporateActionStandingInstructionGeneralInformation1

*Definition:* Provides information about the standing instruction.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	StandingInstructionType <StgInstrTp>	[1..1]	CodeSet		813
	EventType <EvtTp>	[0..*]			813
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		819
	InstructingPartyIdentification <InstgPtyId>	[1..1]	±		820
	ClientStandingInstructionIdentification <ClntStgInstrId>	[1..1]	Text		820
	AccountDetails <AcctDtls>	[0..*]			820
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		820
	IncludedIndicator <InclInd>	[1..1]	Indicator		820
	UnderlyingSecurity <UndrlygScty>	[0..1]	±		821

#### 25.1.15.11.1 StandingInstructionType <StgInstrTp>

*Presence:* [1..1]

*Definition:* Type of standing instruction.

*Datatype:* "[StandingInstructionType1Code](#)" on page 1084

CodeName	Name	Definition
CASH	CashDistribution	Standing instruction for a cash distribution.
PAYM	GrossNet	Standing instruction for the payment modality, gross or net.
SECU	SecuritiesDistribution	Standing instruction for a securities distribution.

#### 25.1.15.11.2 EventType <EvtTp>

*Presence:* [0..\*]

*Definition:* Type of corporate action event.

**EventType <EvtTp>** contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		814
Or}	Proprietary <Prtry>	[1..1]	±		819

#### 25.1.15.11.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Event types expressed as a code.

*Datatype:* "CorporateActionEventType2Code" on page 1018

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.

CodeName	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the

CodeName	Name	Definition
		request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution



CodeName	Name	Definition
		(RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the

CodeName	Name	Definition
		shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.

CodeName	Name	Definition
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

#### 25.1.15.11.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Event types expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.11.3 InstructingPartyIdentification <InstgPtyId>

*Presence:* [1..1]

*Definition:* Identification of the instructing party, ie, the CSD client.

**InstructingPartyIdentification <InstgPtyId>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.15.11.4 ClientStandingInstructionIdentification <ClntStglInstrId>

*Presence:* [1..1]

*Definition:* Reference of the standing instruction assigned by the client.

*Datatype:* "[Max35Text](#)" on page 1094

#### 25.1.15.11.5 AccountDetails <AcctDtls>

*Presence:* [0..\*]

*Definition:* Provides information about the account to which the standing instruction can apply.

**AccountDetails <AcctDtls>** contains the following **IncludedAccount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecuritiesAccountIdentification <SctiesAcctId>	[1..1]	Text		820
	IncludedIndicator <InclInd>	[1..1]	Indicator		820

##### 25.1.15.11.5.1 SecuritiesAccountIdentification <SctiesAcctId>

*Presence:* [1..1]

*Definition:* Identification of the securities account.

*Datatype:* "[Max35Text](#)" on page 1094

##### 25.1.15.11.5.2 IncludedIndicator <InclInd>

*Presence:* [1..1]

*Definition:* Indicates whether the account is impacted or not by the standing instruction.

Yes = The account is impacted by the standing instruction.

No = The account is not impacted by the standing instruction.

*Datatype:* One of the following values must be used (see ["YesNoIndicator"](#) on page 1090):

- *Meaning When True:* Yes
- *Meaning When False:* No

#### 25.1.15.11.6 UnderlyingSecurity <UndrlygScty>

*Presence:* [0..1]

*Definition:* Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.

**UnderlyingSecurity <UndrlygScty>** contains the following elements (see ["FinancialInstrumentDescription3"](#) on page 1003 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

#### 25.1.15.12 ConsentTypeFormat4Choice

*Definition:* Choice between a standard code or proprietary code to specify the consent type format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		821
Or}	Proprietary <Prtry>	[1..1]	±		821

##### 25.1.15.12.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the consent type required.

*Datatype:* ["ConsentType1Code"](#) on page 1014

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

##### 25.1.15.12.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the consent type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.13 DividendTypeFormat9Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		822
Or}	Proprietary <Prtry>	[1..1]	±		822

#### 25.1.15.13.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the frequency of the corporate action event.

*Datatype:* "[CorporateActionFrequencyType5Code](#)" on page 1031

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

#### 25.1.15.13.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the frequency of the corporate action event.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.14 RateAndAmountFormat1Choice

*Definition:* Choice of format between rate, amount and not specified.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		823
Or	Amount <Amt>	[1..1]	Amount	C1, C5	823
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			824
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

##### 25.1.15.14.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* The value is expressed as a rate.

*Datatype:* "[PercentageRate](#)" on page 1092

##### 25.1.15.14.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* The value is expressed as a currency and amount.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.1.15.14.3 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* No value is specified.**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		824

**25.1.15.14.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of rate.*Datatype:* "RateType12Code" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

**25.1.15.14.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of rate.**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.15.15 OptionStyle8Choice***Definition:* Choice of format for the option style.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		824
Or}	Proprietary <Prtry>	[1..1]	±		825

**25.1.15.15.1 Code <Cd>***Presence:* [1..1]



*Definition:* Option style expressed as an ISO 20022 code.

*Datatype:* "OptionStyle2Code" on page 1067

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

### 25.1.15.15.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Option style expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.16 DistributionTypeFormat7Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		825
Or}	Proprietary <Prtry>	[1..1]	±		826

#### 25.1.15.16.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

*Datatype:* "DistributionType3Code" on page 1038

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

**25.1.15.16.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of distribution.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.17 NetDividendRateFormat38Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	826
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			827
	Amount <Amt>	[1..1]	Amount	C1	827
	RateStatus <RateSts>	[1..1]	CodeSet		827
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			827
	RateType <RateTp>	[1..1]			828
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		829
	Amount <Amt>	[1..1]	Amount	C1	829
	RateStatus <RateSts>	[0..1]		C87	829
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		830

**25.1.15.17.1 Amount <Amt>***Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.17.2 AmountAndRateStatus <AmtAndRateSts>**

*Presence:* [1..1]

*Definition:* Specifies an amount and a rate status.

**AmountAndRateStatus <AmtAndRateSts>** contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	827
	RateStatus <RateSts>	[1..1]	CodeSet		827

**25.1.15.17.2.1 Amount <Amt>**

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.17.2.2 RateStatus <RateSts>**

*Presence:* [1..1]

*Definition:* Value expressed as a rate status.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**25.1.15.17.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>**

*Presence:* [1..1]

*Definition:* Specifies different formats for the net dividend rate.

**RateTypeAndAmountAndRateStatus** <RateTpAndAmtAndRateSts> contains the following **RateTypeAndAmountAndStatus56** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			828
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		829
	Amount <Amt>	[1..1]	Amount	C1	829
	RateStatus <RateSts>	[0..1]		C87	829
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830

#### 25.1.15.17.3.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

**RateType** <RateTp> contains one of the following **RateType77Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		828
Or}	Proprietary <Prtry>	[1..1]	±		829

##### 25.1.15.17.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of net dividend rate.

*Datatype:* "NetDividendRateType6Code" on page 1061

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

CodeName	Name	Definition
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

#### 25.1.15.17.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of net dividend rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.17.3.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.17.3.3 RateStatus <RateSts>

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.

*Impacted by:* [C87 "RateStatusGuideline"](#)

**RateStatus** <**RateSts**> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		830
Or}	Proprietary <Prtry>	[1..1]	±		830

#### Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

#### 25.1.15.17.3.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

#### 25.1.15.17.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.17.4 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

#### 25.1.15.18 CorporateActionEventStageFormat13Choice

*Definition:* Choice between a standard code or proprietary code to specify the event stage type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		831
Or}	Proprietary <Prtry>	[1..1]	±		831

### 25.1.15.18.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the stage of the corporate action event.

*Datatype:* "CorporateActionEventStage3Code" on page 1017

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

### 25.1.15.18.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the stage of the corporate action event.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.19 RatioFormat18Choice

*Definition:* Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		832
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		832
Or	AmountToAmount <AmtToAmt>	[1..1]			833
	Amount1 <Amt1>	[1..1]	Amount	C1	833
	Amount2 <Amt2>	[1..1]	Amount	C1	833
Or	AmountToQuantity <AmtToQty>	[1..1]			833
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		834
Or}	QuantityToAmount <QtyToAmt>	[1..1]			834
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		835

#### 25.1.15.19.1 QuantityToQuantity <QtyToQty>

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of quantities.

**QuantityToQuantity <QtyToQty>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

#### 25.1.15.19.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the ratio not specified.

*Datatype:* "[RateValueType7Code](#)" on page 1075



CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.15.19.3 AmountToAmount <AmtToAmt>

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of amounts.

**AmountToAmount <AmtToAmt>** contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	833
	Amount2 <Amt2>	[1..1]	Amount	C1	833

#### 25.1.15.19.3.1 Amount1 <Amt1>

*Presence:* [1..1]

*Definition:* Numerator of the quotient of amounts.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.19.3.2 Amount2 <Amt2>

*Presence:* [1..1]

*Definition:* Denominator of the quotient of amounts.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 25.1.15.19.4 AmountToQuantity <AmtToQty>

*Presence:* [1..1]

*Definition:* Ratio expressed as an amount to quantity ratio.

**AmountToQuantity <AmtToQty>** contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		834

#### 25.1.15.19.4.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.19.4.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity expressed as number.

*Datatype:* "DecimalNumber" on page 1090

#### 25.1.15.19.5 QuantityToAmount <QtyToAmt>

*Presence:* [1..1]

*Definition:* Ratio expressed as a quantity to amount ratio.

**QuantityToAmount <QtyToAmt>** contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	834
	Quantity <Qty>	[1..1]	Quantity		835

#### 25.1.15.19.5.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.19.5.2 Quantity <Qty>**

*Presence:* [1..1]

*Definition:* Quantity expressed as number.

*Datatype:* "DecimalNumber" on page 1090

**25.1.15.20 RatioFormat17Choice**

*Definition:* Choice of format to express a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		835
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		835
Or}	AmountToAmount <AmtToAmt>	[1..1]			836
	Amount1 <Amt1>	[1..1]	Amount	C1	836
	Amount2 <Amt2>	[1..1]	Amount	C1	836

**25.1.15.20.1 QuantityToQuantity <QtyToQty>**

*Presence:* [1..1]

*Definition:* Ratio expressed as a quotient of quantities.

**QuantityToQuantity <QtyToQty>** contains the following elements (see "QuantityToQuantityRatio1" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

**25.1.15.20.2 NotSpecifiedRate <NotSpcfdRate>**

*Presence:* [1..1]

*Definition:* Value of the ratio not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.15.20.3 AmountToAmount <AmtToAmt>***Presence:* [1..1]*Definition:* Ratio expressed as a quotient of amounts.**AmountToAmount <AmtToAmt>** contains the following **AmountToAmountRatio2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1	836
	Amount2 <Amt2>	[1..1]	Amount	C1	836

**25.1.15.20.3.1 Amount1 <Amt1>***Presence:* [1..1]*Definition:* Numerator of the quotient of amounts.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.20.3.2 Amount2 <Amt2>***Presence:* [1..1]*Definition:* Denominator of the quotient of amounts.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.21 GrossDividendRateFormat38Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	837
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			837
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[1..1]	CodeSet		838
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			838
	RateType <RateTp>	[1..1]			838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[0..1]		C87	840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		841

#### 25.1.15.21.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.21.2 AmountAndRateStatus <AmtAndRateSts>

*Presence:* [1..1]

*Definition:* Specifies an amount and a rate status.

**AmountAndRateStatus <AmtAndRateSts>** contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	838
	RateStatus <RateSts>	[1..1]	CodeSet		838

**25.1.15.21.2.1 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.21.2.2 RateStatus <RateSts>***Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**25.1.15.21.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>***Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

**RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>** contains the following **RateTypeAndAmountAndStatus57** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			838
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		840
	Amount <Amt>	[1..1]	Amount	C1	840
	RateStatus <RateSts>	[0..1]		C87	840
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841

**25.1.15.21.3.1 RateType <RateTp>***Presence:* [1..1]*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **RateType78Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		839
Or}	Proprietary <Prtry>	[1..1]	±		840

#### 25.1.15.21.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of gross dividend rate.

*Datatype:* "GrossDividendRateType7Code" on page 1050

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LT CG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**25.1.15.21.3.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of gross dividend rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.21.3.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* [C1 "ActiveCurrency"](#)*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.21.3.3 RateStatus <RateSts>***Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* [C87 "RateStatusGuideline"](#)**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		840
Or}	Proprietary <Prtry>	[1..1]	±		841

**Constraints**

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

**25.1.15.21.3.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the status of the rate.



*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

#### 25.1.15.21.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.21.4 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateType13Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

#### 25.1.15.22 RenounceableEntitlementStatusTypeFormat3Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of the renounceable entitlement status.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		841
Or}	Proprietary <Prtry>	[1..1]	±		842

##### 25.1.15.22.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the renounceable status.

*Datatype:* "RenounceableStatus1Code" on page 1081

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.

CodeName	Name	Definition
RENO	Renounceable	Intermediate securities can be sold.

### 25.1.15.22.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the renounceable status.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.23 CorporateActionOption37Choice

*Definition:* Choice between a standard code or a proprietary code to specify the type of corporate action options.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		842
Or}	Proprietary <Prtry>	[1..1]	±		843

#### 25.1.15.23.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of corporate action options.

*Datatype:* "[CorporateActionOption15Code](#)" on page 1032

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.  If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.

CodeName	Name	Definition
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

### 25.1.15.23.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of corporate action options.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.24 CorporateActionChangeTypeFormat5Choice

*Definition:* Choice between a standard code or proprietary code to specify the change type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		844
Or}	Proprietary <Prtry>	[1..1]	±		845

##### 25.1.15.24.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of changes.

*Datatype:* "[CorporateActionChangeType1Code](#)" on page 1015

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.

CodeName	Name	Definition
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

#### 25.1.15.24.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of changes.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.25 NetDividendRateFormat39Choice

*Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	845
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			846
	Amount <Amt>	[1..1]	Amount	C1	846
	RateStatus <RateSts>	[1..1]	CodeSet		846
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			847
	RateType <RateTp>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		848
	Amount <Amt>	[1..1]	Amount	C1	848
	RateStatus <RateSts>	[0..1]		C87	849
{Or	Code <Cd>	[1..1]	CodeSet		849
Or}	Proprietary <Prtry>	[1..1]	±		849
Or}	NotSpecifiedRate <NotSpdfdRate>	[1..1]	CodeSet		849

#### 25.1.15.25.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 25.1.15.25.2 AmountAndRateStatus <AmtAndRateSts>

*Presence:* [1..1]

*Definition:* Specifies an amount and a rate status.

**AmountAndRateStatus <AmtAndRateSts>** contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	846
	RateStatus <RateSts>	[1..1]	CodeSet		846

#### 25.1.15.25.2.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.25.2.2 RateStatus <RateSts>

*Presence:* [1..1]

*Definition:* Value expressed as a rate status.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**25.1.15.25.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>***Presence:* [1..1]*Definition:* Specifies different formats for the net dividend rate.**RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>** contains the following **RateTypeAndAmountAndStatus58** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			847
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		848
	Amount <Amt>	[1..1]	Amount	C1	848
	RateStatus <RateSts>	[0..1]		C87	849
{Or	Code <Cd>	[1..1]	CodeSet		849
Or}	Proprietary <Prtry>	[1..1]	±		849

**25.1.15.25.3.1 RateType <RateTp>***Presence:* [1..1]*Definition:* Value expressed as a rate type.**RateType <RateTp>** contains one of the following **RateType79Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		847
Or}	Proprietary <Prtry>	[1..1]	±		848

**25.1.15.25.3.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of net dividend rate.*Datatype:* "NetDividendRateType7Code" on page 1062

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

CodeName	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

#### 25.1.15.25.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of net dividend rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.25.3.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217



Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.25.3.3 RateStatus <RateSts>

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.

*Impacted by:* C87 "RateStatusGuideline"

**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		849
Or}	Proprietary <Prtry>	[1..1]	±		849

#### Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

#### 25.1.15.25.3.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

#### 25.1.15.25.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.25.4 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.15.26 CorporateActionInformation2

*Definition:* Provides information about the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		850
	IssuerCorporateActionIdentification <IssrCorpActnId>	[1..1]	Text		850
	CorporateActionProcessingIdentification <CorpActnPrctId>	[0..1]	Text		851
	EventType <EvtTp>	[1..1]			851
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		857
	EventProcessingType <EvtPrcgTp>	[0..1]			857
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			858
{Or	Code <Cd>	[1..1]	CodeSet		858
Or}	Proprietary <Prtry>	[1..1]	±		858
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		859
	OtherUnderlyingSecurity <OthrUndrlygScty>	[0..*]	±		859

#### 25.1.15.26.1 AgentIdentification <AgtId>

*Presence:* [1..1]

*Definition:* Identification of the issuer's agent or the issuer.

**AgentIdentification <AgtId>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.15.26.2 IssuerCorporateActionIdentification <IssrCorpActnId>

*Presence:* [1..1]

*Definition:* Reference given to the event by the CA event issuer (agent).

*Datatype:* "Max35Text" on page 1094

### 25.1.15.26.3 CorporateActionProcessingIdentification <CorpActnPrcgId>

*Presence:* [0..1]

*Definition:* Reference assigned by the (I)CSD to unambiguously identify a corporate event.

*Datatype:* "Max35Text" on page 1094

### 25.1.15.26.4 EventType <EvtTp>

*Presence:* [1..1]

*Definition:* Specifies the type of corporate event.

**EventType <EvtTp>** contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		851
Or}	Proprietary <Prtry>	[1..1]	±		857

#### 25.1.15.26.4.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Event types expressed as a code.

*Datatype:* "CorporateActionEventType2Code" on page 1018

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn

CodeName	Name	Definition
		bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement

CodeName	Name	Definition
		between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company.

CodeName	Name	Definition
		The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.

CodeName	Name	Definition
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.

CodeName	Name	Definition
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.



CodeName	Name	Definition
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

#### 25.1.15.26.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Event types expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.26.5 EventProcessingType <EvtPrcgTp>

*Presence:* [0..1]

*Definition:* Type of processing involved by a Corporate Action.

**EventProcessingType <EvtPrcgTp>** contains one of the following **CorporateActionEventProcessingType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		857
Or}	Proprietary <Prtry>	[1..1]	±		858

##### 25.1.15.26.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of event processing.

*Datatype:* "[CorporateActionEventProcessingType1Code](#)" on page 1016

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

**25.1.15.26.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of event processing.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.15.26.6 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>***Presence:* [1..1]*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.**MandatoryVoluntaryEventType <MndtryVlntryEvtTp>** contains one of the following **CorporateActionMandatoryVoluntary1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		858
Or}	Proprietary <Prtry>	[1..1]	±		858

**25.1.15.26.6.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify whether the event is mandatory, mandatory with options or voluntary.*Datatype:* "[CorporateActionMandatoryVoluntary1Code](#)" on page 1031

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

**25.1.15.26.6.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.26.7 UnderlyingSecurity <UndrlygScty>

*Presence:* [1..1]

*Definition:* Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.

**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "[FinancialInstrumentDescription3](#)" on page 1003 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

#### 25.1.15.26.8 OtherUnderlyingSecurity <OthrUndrlygScty>

*Presence:* [0..\*]

*Definition:* Identification of the secondary underlying financial instrument, ie, the non-principal financial instrument affected by the corporate action event.

**OtherUnderlyingSecurity <OthrUndrlygScty>** contains the following elements (see "[FinancialInstrumentDescription3](#)" on page 1003 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

#### 25.1.15.27 TaxableIncomePerShareCalculatedFormat3Choice

*Definition:* Choice between a standard code or proprietary code to specify whether the taxable income per share or per dividend is calculated.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		860
Or}	Proprietary <Prtry>	[1..1]	±		860

**25.1.15.27.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Standard code to specify whether the fund calculates the taxable income per dividend/ taxable income per share (TID/TIS).

*Datatype:* "CorporateActionTaxableIncomePerShareCalculated1Code" on page 1035

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

**25.1.15.27.2 Proprietary <Prtry>**

*Presence:* [1..1]

*Definition:* Proprietary identification to specify whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.28 ProcessingPosition7Choice**

*Definition:* Choice of format for the processing position.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		861
Or}	Proprietary <Prtry>	[1..1]	±		861

**Constraints**

- WithLinkageRule**

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending.

Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

#### 25.1.15.28.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Processing position expressed as an ISO 20022 code.

*Datatype:* "ProcessingPosition3Code" on page 1071

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.

#### 25.1.15.28.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Processing position expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.29 RateFormat12Choice

*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		862

#### 25.1.15.29.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "BaseOne14Rate" on page 1091

**25.1.15.29.2 NotSpecifiedRate <NotSpcdfRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType5Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.15.30 CorporateActionMandatoryVoluntary3Choice***Definition:* Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		862
Or}	Proprietary <Prtry>	[1..1]	±		862

**25.1.15.30.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify whether the event is mandatory, mandatory with options or voluntary.*Datatype:* "CorporateActionMandatoryVoluntary1Code" on page 1031

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

**25.1.15.30.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of an event.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.31 InterestComputationMethodFormat4Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of interest computation method.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		863
Or}	Proprietary <Prtry>	[1..1]	±		866

#### 25.1.15.31.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the method used to compute accruing interest of a financial instrument.

*Datatype:* "InterestComputationMethod2Code" on page 1051

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the

CodeName	Name	Definition
		assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualSDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.



CodeName	Name	Definition
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two

CodeName	Name	Definition
		days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

### 25.1.15.31.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the format of interest computation method.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.32 LotteryTypeFormat4Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of lottery.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		866
Or}	Proprietary <Prtry>	[1..1]	±		867

#### 25.1.15.32.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 1060

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

### 25.1.15.32.2 Proprietary <Prtry>

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.33 CorporateActionInformation1

Definition: General information about the corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AgentIdentification <AgtId>	[1..1]	±		868
	IssuerCorporateActionIdentification <IssrCorpActnId>	[0..1]	Text		868
	CorporateActionProcessingIdentification <CorpActnPrctlId>	[0..1]	Text		868
	EventType <EvtTp>	[1..1]			868
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874
	MandatoryVoluntaryEventType <MndtryVlntryEvtTp>	[1..1]			874
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875
	EventProcessingType <EvtPrctgTp>	[0..1]			875
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876
	UnderlyingSecurity <UndrlygScty>	[1..1]	±		876

**Constraints**

- **CorporateActionIdentificationRule**

At least one element in the list (IssuerCorporateActionReference, OfficialCorporateActionReference, CorporateActionProcessingIdentifier) must be present. They may all be present.

**25.1.15.33.1 AgentIdentification <Agtd>**

*Presence:* [1..1]

*Definition:* Identification of the issuer agent.

**AgentIdentification <Agtd>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

**25.1.15.33.2 IssuerCorporateActionIdentification <IssrCorpActnId>**

*Presence:* [0..1]

*Definition:* Reference given to the event by the CA event issuer (agent).

*Datatype:* "[Max35Text](#)" on page 1094

**25.1.15.33.3 CorporateActionProcessingIdentification <CorpActnPrcgId>**

*Presence:* [0..1]

*Definition:* Reference assigned by the account servicer to unambiguously identify a corporate action event.

*Datatype:* "[Max35Text](#)" on page 1094

**25.1.15.33.4 EventType <EvtTp>**

*Presence:* [1..1]

*Definition:* Type of corporate action event.

**EventType <EvtTp>** contains one of the following **CorporateActionEventType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		868
Or}	Proprietary <Prtry>	[1..1]	±		874

**25.1.15.33.4.1 Code <Cd>**

*Presence:* [1..1]

*Definition:* Event types expressed as a code.

*Datatype:* "[CorporateActionEventType2Code](#)" on page 1018

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to

CodeName	Name	Definition
		join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually common shares) at a pre-stated price/ ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.

CodeName	Name	Definition
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a

CodeName	Name	Definition
		predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered



CodeName	Name	Definition
		following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent

CodeName	Name	Definition
		company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

#### 25.1.15.33.4.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Event types expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.33.5 MandatoryVoluntaryEventType <MndtryVlntryEvtTp>

*Presence:* [1..1]

*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

**MandatoryVoluntaryEventType** <MndtryVlntryEvtTp> contains one of the following **CorporateActionMandatoryVoluntary1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		875
Or}	Proprietary <Prtry>	[1..1]	±		875

#### 25.1.15.33.5.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

*Datatype:* "CorporateActionMandatoryVoluntary1Code" on page 1031

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

#### 25.1.15.33.5.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express whether the event is mandatory, mandatory with options or voluntary.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.33.6 EventProcessingType <EvtPrcgTp>

*Presence:* [0..1]

*Definition:* Type of processing involved by a Corporate Action.

**EventProcessingType <EvtPrcgTp>** contains one of the following **CorporateActionEventProcessingType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		876
Or}	Proprietary <Prtry>	[1..1]	±		876

#### 25.1.15.33.6.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of event processing.

*Datatype:* "CorporateActionEventProcessingType1Code" on page 1016

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

#### 25.1.15.33.6.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of event processing.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.15.33.7 UnderlyingSecurity <UndrlygScty>

*Presence:* [1..1]

*Definition:* Identification of the underlying financial instrument, ie, the financial instrument affected by the corporate action event.

**UnderlyingSecurity <UndrlygScty>** contains the following elements (see "FinancialInstrumentDescription3" on page 1003 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <SctyId>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

#### 25.1.15.34 CertificationTypeFormat3Choice

*Definition:* Choice between a standard code or proprietary code to specify the certification format required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		877
Or}	Proprietary <Prtry>	[1..1]	±		877

##### 25.1.15.34.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the certification format required, that is, physical or electronic format.

*Datatype:* "CertificationFormatType1Code" on page 1013

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

##### 25.1.15.34.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the certification format.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.35 IntermediateSecuritiesDistributionTypeFormat19Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		878
Or}	Proprietary <Prtry>	[1..1]	±		879

### 25.1.15.35.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of intermediate security distribution.

*Datatype:* "IntermediateSecurityDistributionType6Code" on page 1055

CodeName	Name	Definition
BIDS	ReverseRights	Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
PRIO	OpenOfferRights	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	Distribution of cash, assets or both. Debt may be paid in order of priority based on

CodeName	Name	Definition
		preferred claims to assets specified by the security.
SOFF	SpinOff	Distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.  Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
UKWN	Unknown	Intermediate securities are distributed before the use of them has been established.

### 25.1.15.35.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of intermediate security distribution.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

### 25.1.15.36 CorporateActionEventType127Choice

*Definition:* Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]			880
{Or	PlainCorporateEventType <PlainCorpEvtTp>	[1..1]	CodeSet		880
Or}	ExtendedCorporateEventType <XtndedCorpEvtTp>	[1..1]	CodeSet		886
Or}	Proprietary <Prtry>	[1..1]	±		887

### Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

#### 25.1.15.36.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Event type expressed as a code.

**Code <Cd>** contains one of the following **CorporateActionEventType126Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PlainCorporateEventType <PlainCorpEvtTp>	[1..1]	CodeSet		880
Or}	ExtendedCorporateEventType <XtndedCorpEvtTp>	[1..1]	CodeSet		886

##### 25.1.15.36.1.1 PlainCorporateEventType <PlainCorpEvtTp>

*Presence:* [1..1]

*Definition:* Type of corporate action event.

*Datatype:* "CorporateActionEventType35Code" on page 1024

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a



CodeName	Name	Definition
		formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more

CodeName	Name	Definition
		years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the

CodeName	Name	Definition
		market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.

CodeName	Name	Definition
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion

CodeName	Name	Definition
		of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.

CodeName	Name	Definition
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

### 25.1.15.36.1.2 ExtendedCorporateEventType <XtndedCorpEvtTp>

Presence: [1..1]

*Definition:* Extended list of corporate action event types.

*Datatype:* "ExtendedEventType8Code" on page 1044

CodeName	Name	Definition
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls (for example early Certificate of Deposit redemptions).
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.
FPRE	FullPrefunding	Exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt.

#### 25.1.15.36.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Event type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.37 AdditionalBusinessProcessFormat25Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of the additional business process.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		888
Or}	Proprietary <Prtry>	[1..1]	±		889

**25.1.15.37.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the additional business process linked to a corporate action event.*Datatype:* "AdditionalBusinessProcess13Code" on page 1009

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.



**25.1.15.37.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the additional business process linked to a corporate action event.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.38 SafekeepingPlaceFormat41Choice***Definition:* Choice between formats for the place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			889
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		889
	Identification <Id>	[0..1]	Text		890
Or	Country <Ctry>	[1..1]	CodeSet	C3	890
Or	DigitalLedgerIdentification <DgtlLdgrId>	[1..1]	IdentifierSet		890
Or	TypeAndIdentification <TpAndId>	[1..1]			890
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		890
	Identification <Id>	[1..1]	IdentifierSet	C7	891
Or}	Proprietary <Prtry>	[1..1]	±		891

**25.1.15.38.1 Identification <Id>***Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText8** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		889
	Identification <Id>	[0..1]	Text		890

**25.1.15.38.1.1 SafekeepingPlaceType <SfkpgPlcTp>***Presence:* [1..1]*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace3Code" on page 1081

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

#### 25.1.15.38.1.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* "Max35Text" on page 1094

#### 25.1.15.38.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 25.1.15.38.3 DigitalLedgerIdentification <DgtlLdgrId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

*Datatype:* "DTI2024Identifier" on page 1088

#### 25.1.15.38.4 TypeAndIdentification <TpAndId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		890
	Identification <Id>	[1..1]	IdentifierSet	C7	891

#### 25.1.15.38.4.1 SafekeepingPlaceType <SfkpgPlcTp>

*Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace1Code" on page 1081

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

#### 25.1.15.38.4.2 Identification <Id>

*Presence:* [1..1]

*Definition:* Place of safekeeping.

*Impacted by:* C7 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 1086

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 25.1.15.38.5 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a propriety identification scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification78" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		800
	Identification <Id>	[0..1]	Text		801

#### 25.1.15.39 CorporateActionEventProcessingType4Choice

*Definition:* Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		892
Or}	Proprietary <Prtry>	[1..1]	±		892

**25.1.15.39.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the processing type of a corporate action event.*Datatype:* "CorporateActionEventProcessingType2Code" on page 1016

CodeName	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

**25.1.15.39.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the processing type of a corporate action event.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.15.40 GrossDividendRateFormat43Choice***Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	893
Or	AmountAndRateStatus <AmtAndRateSts>	[1..1]			893
	Amount <Amt>	[1..1]	Amount	C1	894
	RateStatus <RateSts>	[1..1]	CodeSet		894
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			894
	RateType <RateTp>	[1..1]			894
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895
	Amount <Amt>	[1..1]	Amount	C1	896
	RateStatus <RateSts>	[0..1]		C87	896
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]	CodeSet		897

#### 25.1.15.40.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.40.2 AmountAndRateStatus <AmtAndRateSts>

*Presence:* [1..1]

*Definition:* Specifies an amount and a rate status.

**AmountAndRateStatus <AmtAndRateSts>** contains the following **AmountAndRateStatus1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	894
	RateStatus <RateSts>	[1..1]	CodeSet		894

**25.1.15.40.2.1 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.40.2.2 RateStatus <RateSts>***Presence:* [1..1]*Definition:* Value expressed as a rate status.*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

**25.1.15.40.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>***Presence:* [1..1]*Definition:* Specifies different formats for the gross dividend rate.

**RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>** contains the following **RateTypeAndAmountAndStatus55** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			894
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895
	Amount <Amt>	[1..1]	Amount	C1	896
	RateStatus <RateSts>	[0..1]		C87	896
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

**25.1.15.40.3.1 RateType <RateTp>***Presence:* [1..1]*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **RateType76Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		895
Or}	Proprietary <Prtry>	[1..1]	±		895

#### 25.1.15.40.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of gross dividend rate.

*Datatype:* "GrossDividendRateType6Code" on page 1050

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

#### 25.1.15.40.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of gross dividend rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.40.3.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.40.3.3 RateStatus <RateSts>

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.

*Impacted by:* [C87 "RateStatusGuideline"](#)

**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		896
Or}	Proprietary <Prtry>	[1..1]	±		897

##### Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

#### 25.1.15.40.3.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* "[RateStatus1Code](#)" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.



CodeName	Name	Definition
INDI	IndicativeRate	Rate is indicative.

#### 25.1.15.40.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.40.4 NotSpecifiedRate <NotSpcdfRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "[RateType13Code](#)" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

#### 25.1.15.41 BeneficiaryCertificationType13Choice

*Definition:* Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		897
Or}	Proprietary <Prtry>	[1..1]	±		898

##### 25.1.15.41.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Beneficial owner certification type expressed in a coded form.

*Datatype:* "[BeneficiaryCertificationType6Code](#)" on page 1012

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.

CodeName	Name	Definition
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

#### 25.1.15.41.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Beneficial owner certification type expressed in a proprietary form.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.42 CorporateActionEventType107Choice

*Definition:* Choice between formats to express the corporate event type.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		899
Or}	Proprietary <Prtry>	[1..1]	±		905

### Constraints

- **EventTypeRule**

If Code is present, the code OTHR (Other) must only be used if no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used if no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used if the redemption is decided by the issuer and not by the securities holders.

#### 25.1.15.42.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Event type expressed as a code.

*Datatype:* "CorporateActionEventType35Code" on page 1024

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of

CodeName	Name	Definition
		two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a

CodeName	Name	Definition
		third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

CodeName	Name	Definition
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.

CodeName	Name	Definition
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.

CodeName	Name	Definition
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.



CodeName	Name	Definition
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

#### 25.1.15.42.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Event type expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.43 SolicitationFeeRateFormat11Choice

*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		906
Or	AmountToQuantity <AmtToQty>	[1..1]			906
	Amount <Amt>	[1..1]	Amount	C1	906
	Quantity <Qty>	[1..1]	Quantity		906
Or	Amount <Amt>	[1..1]	Amount	C1	907
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		907

#### 25.1.15.43.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.15.43.2 AmountToQuantity <AmtToQty>

*Presence:* [1..1]

*Definition:* Ratio expressed as an amount to quantity ratio.

**AmountToQuantity <AmtToQty>** contains the following **AmountAndQuantityRatio4** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	906
	Quantity <Qty>	[1..1]	Quantity		906

##### 25.1.15.43.2.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

##### 25.1.15.43.2.2 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity expressed as number.

*Datatype:* "DecimalNumber" on page 1090

**25.1.15.43.3 Amount <Amt>***Presence:* [1..1]*Definition:* Cash amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.43.4 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.15.44 InterestRateUsedForPaymentFormat11Choice***Definition:* Choice between an amount or a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		908
Or	Amount <Amt>	[1..1]	Amount	C1	908
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			908
	RateType <RateTp>	[1..1]			908
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909
	Amount <Amt>	[1..1]	Amount	C1	909
	RateStatus <RateSts>	[0..1]		C87	909
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		910

**25.1.15.44.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1092**25.1.15.44.2 Amount <Amt>***Presence:* [1..1]*Definition:* Number of monetary units specified in a currency.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.15.44.3 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>***Presence:* [1..1]*Definition:* Specifies different formats for interest rate used for payment.

**RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>** contains the following **RateTypeAndAmountAndStatus24** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			908
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909
	Amount <Amt>	[1..1]	Amount	C1	909
	RateStatus <RateSts>	[0..1]		C87	909
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

**25.1.15.44.3.1 RateType <RateTp>***Presence:* [1..1]*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **RateType33Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		909
Or}	Proprietary <Prtry>	[1..1]	±		909

#### 25.1.15.44.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType7Code" on page 1074

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

#### 25.1.15.44.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.44.3.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.15.44.3.3 RateStatus <RateSts>

*Presence:* [0..1]

*Definition:* Value expressed as a rate status.

Impacted by: C87 "RateStatusGuideline"

**RateStatus** <**RateSts**> contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		910
Or}	Proprietary <Prtry>	[1..1]	±		910

#### Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

#### 25.1.15.44.3.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

#### 25.1.15.44.3.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.15.44.4 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateType13Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

## 25.1.16 Organisation Identification

### 25.1.16.1 PartyIdentification2Choice

*Definition:* Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.16.1.1 BICOrBEI <BICOrBEI>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C1 "AnyBIC"

*Datatype:* "AnyBICIdentifier" on page 1086

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

#### 25.1.16.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "[GenericIdentification1](#)" on page 799 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		799
	SchemeName <SchmeNm>	[0..1]	Text		799
	Issuer <Issr>	[0..1]	Text		799

#### 25.1.16.1.3 NameAndAddress <NmAndAdr>

*Presence:* [1..1]

*Definition:* Name and address of a party.

**NameAndAddress <NmAndAdr>** contains the following elements (see "[NameAndAddress5](#)" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		929
	Address <Adr>	[0..1]	±		930

### 25.1.16.2 OrganisationIdentification29

*Definition:* Unique and unambiguous way to identify an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C7	912
	LEI <LEI>	[0..1]	IdentifierSet		912
	Other <Othr>	[0..*]			912
	Identification <Id>	[1..1]	Text		913
	SchemeName <SchmeNm>	[0..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	Text		913
	Issuer </Issr>	[0..1]	Text		913

#### 25.1.16.2.1 AnyBIC <AnyBIC>

*Presence:* [0..1]

*Definition:* Business identification code of the organisation.

*Impacted by:* C7 "AnyBIC"

*Datatype:* "[AnyBICDec2014Identifier](#)" on page 1086

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 25.1.16.2.2 LEI <LEI>

*Presence:* [0..1]

*Definition:* Legal entity identification as an alternate identification for a party.

*Datatype:* "[LEIIdentifier](#)" on page 1089

#### 25.1.16.2.3 Other <Othr>

*Presence:* [0..\*]



*Definition:* Unique identification of an organisation, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericOrganisationIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		913
	SchemeName <SchmeNm>	[0..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	Text		913
	Issuer <Issr>	[0..1]	Text		913

#### 25.1.16.2.3.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Identification assigned by an institution.

*Datatype:* "Max35Text" on page 1094

#### 25.1.16.2.3.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **OrganisationIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	Text		913

##### 25.1.16.2.3.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a coded form as published in an external list.

*Datatype:* "ExternalOrganisationIdentification1Code" on page 1047

##### 25.1.16.2.3.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Name of the identification scheme, in a free text form.

*Datatype:* "Max35Text" on page 1094

##### 25.1.16.2.3.3 Issuer <Issr>

*Presence:* [0..1]

*Definition:* Entity that assigns the identification.

*Datatype:* "Max35Text" on page 1094

## 25.1.17 Party Identification

### 25.1.17.1 PartyIdentification127Choice

*Definition:* Choice of identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	914
Or}	ProprietaryIdentification <PrtryId>	[1..1]	±		914

#### 25.1.17.1.1 AnyBIC <AnyBIC>

*Presence:* [1..1]

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Impacted by:* C7 "AnyBIC"

*Datatype:* "AnyBICDec2014Identifier" on page 1086

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

#### 25.1.17.1.2 ProprietaryIdentification <PrtryId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification36" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	Issuer <Issr>	[1..1]	Text		798
	SchemeName <SchmeNm>	[0..1]	Text		798

### 25.1.17.2 PartyIdentification135

*Definition:* Specifies the identification of a person or an organisation.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[0..1]	Text		915
	PostalAddress <PstlAdr>	[0..1]	±		915
	Identification <Id>	[0..1]	±		916
	CountryOfResidence <CtryOfRes>	[0..1]	CodeSet	C3	916
	ContactDetails <CtctDtls>	[0..1]	±		917

#### 25.1.17.2.1 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 1093

#### 25.1.17.2.2 PostalAddress <PstlAdr>

*Presence:* [0..1]

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

**PostalAddress <PstIAdr>** contains the following elements (see "[PostalAddress24](#)" on page 932 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Department <Dept>	[0..1]	Text		933
	SubDepartment <SubDept>	[0..1]	Text		933
	StreetName <StrtNm>	[0..1]	Text		934
	BuildingNumber <BldgNb>	[0..1]	Text		934
	BuildingName <BldgNm>	[0..1]	Text		934
	Floor <Flr>	[0..1]	Text		934
	PostBox <PstBx>	[0..1]	Text		934
	Room <Room>	[0..1]	Text		934
	PostCode <PstCd>	[0..1]	Text		934
	TownName <TwnNm>	[0..1]	Text		934
	TownLocationName <TwnLctnNm>	[0..1]	Text		935
	DistrictName <DstrctNm>	[0..1]	Text		935
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		935
	Country <Ctry>	[0..1]	CodeSet	C3	935
	AddressLine <AdrLine>	[0..7]	Text		935

#### 25.1.17.2.3 Identification <Id>

*Presence:* [0..1]

*Definition:* Unique and unambiguous identification of a party.

**Identification <Id>** contains one of the following elements (see "[Party38Choice](#)" on page 919 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		919
Or}	PrivateIdentification <PrvtId>	[1..1]	±		919

#### 25.1.17.2.4 CountryOfResidence <CtryOfRes>

*Presence:* [0..1]

*Definition:* Country in which a person resides (the place of a person's home). In the case of a company, it is the country from which the affairs of that company are directed.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 25.1.17.2.5 ContactDetails <CtctDtls>

*Presence:* [0..1]

*Definition:* Set of elements used to indicate how to contact the party.

**ContactDetails <CtctDtls>** contains the following elements (see "Contact4" on page 921 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		922
	Name <Nm>	[0..1]	Text		922
	PhoneNumber <PhneNb>	[0..1]	Text		922
	MobileNumber <MobNb>	[0..1]	Text		923
	FaxNumber <FaxNb>	[0..1]	Text		923
	EmailAddress <EmailAdr>	[0..1]	Text		923
	EmailPurpose <EmailPurp>	[0..1]	Text		923
	JobTitle <JobTitl>	[0..1]	Text		923
	Responsibility <Rspnsblty>	[0..1]	Text		923
	Department <Dept>	[0..1]	Text		923
	Other <Othr>	[0..*]			923
	ChannelType <ChanlTp>	[1..1]	Text		924
	Identification <Id>	[0..1]	Text		924
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		924

#### 25.1.17.3 PartyIdentification129Choice

*Definition:* Choice between different formats for the identification of a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AnyBIC <AnyBIC>	[1..1]	IdentifierSet	C7	918
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		918
Or	NameAndAddress <NmAndAdr>	[1..1]	±		918
Or}	LEI <LEI>	[1..1]	IdentifierSet		918

**25.1.17.3.1 AnyBIC <AnyBIC>***Presence:* [1..1]*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".*Impacted by:* C7 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1086**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**25.1.17.3.2 ProprietaryIdentification <PrtryId>***Presence:* [1..1]*Definition:* Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.**ProprietaryIdentification <PrtryId>** contains the following elements (see "GenericIdentification36" on page 798 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		798
	Issuer <Issr>	[1..1]	Text		798
	SchemeName <SchmeNm>	[0..1]	Text		798

**25.1.17.3.3 NameAndAddress <NmAndAdr>***Presence:* [1..1]*Definition:* Name and address of a party.**NameAndAddress <NmAndAdr>** contains the following elements (see "NameAndAddress5" on page 929 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		929
	Address <Adr>	[0..1]	±		930

**25.1.17.3.4 LEI <LEI>***Presence:* [1..1]*Definition:* Legal entity identification of the party.*Datatype:* "LEIIdentifier" on page 1089

### 25.1.17.4 Party38Choice

*Definition:* Nature or use of the account.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	OrganisationIdentification <OrgId>	[1..1]	±		919
Or}	Privateldentification <PrvtId>	[1..1]	±		919

#### 25.1.17.4.1 OrganisationIdentification <OrgId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous way to identify an organisation.

**OrganisationIdentification <OrgId>** contains the following elements (see "OrganisationIdentification29" on page 912 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AnyBIC <AnyBIC>	[0..1]	IdentifierSet	C7	912
	LEI <LEI>	[0..1]	IdentifierSet		912
	Other <Othr>	[0..*]			912
	Identification <Id>	[1..1]	Text		913
	SchemeName <SchmeNm>	[0..1]			913
{Or	Code <Cd>	[1..1]	CodeSet		913
Or}	Proprietary <Prtry>	[1..1]	Text		913
	Issuer </ssr>	[0..1]	Text		913

#### 25.1.17.4.2 Privateldentification <PrvtId>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person, for example a passport.

**PrivateIdentification <PrvtId>** contains the following elements (see "[PersonIdentification13](#)" on page 925 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			926
	BirthDate <BirthDt>	[1..1]	Date		926
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		926
	CityOfBirth <CityOfBirth>	[1..1]	Text		926
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	927
	Other <Othr>	[0..*]			927
	Identification <Id>	[1..1]	Text		927
	SchemeName <SchmeNm>	[0..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	Text		928
	Issuer </Issr>	[0..1]	Text		928

## 25.1.18 Person Identification

### 25.1.18.1 ContactIdentification4

*Definition:* Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		920
	NamePrefix <NmPrfx>	[0..1]	CodeSet		920
	GivenName <GvnNm>	[0..1]	Text		921
	Role <Role>	[0..1]	Text		921
	PhoneNumber <PhneNb>	[0..1]	Text		921
	FaxNumber <FaxNb>	[0..1]	Text		921
	EmailAddress <EmailAdr>	[0..1]	Text		921

#### 25.1.18.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "[Max350Text](#)" on page 1094

#### 25.1.18.1.2 NamePrefix <NmPrfx>

*Presence:* [0..1]



*Definition:* Specifies the terms used to formally address a person, eg, Ms.

*Datatype:* "NamePrefix1Code" on page 1060

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

#### 25.1.18.1.3 GivenName <GvnNm>

*Presence:* [0..1]

*Definition:* First name of a person.

*Datatype:* "Max350Text" on page 1094

#### 25.1.18.1.4 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the party in the activity.

*Datatype:* "Max35Text" on page 1094

#### 25.1.18.1.5 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### 25.1.18.1.6 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### 25.1.18.1.7 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 1094

### 25.1.18.2 Contact4

*Definition:* Specifies the details of the contact person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	NamePrefix <NmPrfx>	[0..1]	CodeSet		922
	Name <Nm>	[0..1]	Text		922
	PhoneNumber <PhneNb>	[0..1]	Text		922
	MobileNumber <MobNb>	[0..1]	Text		923
	FaxNumber <FaxNb>	[0..1]	Text		923
	EmailAddress <EmailAdr>	[0..1]	Text		923
	EmailPurpose <EmailPurp>	[0..1]	Text		923
	JobTitle <JobTitl>	[0..1]	Text		923
	Responsibility <Rspnsblty>	[0..1]	Text		923
	Department <Dept>	[0..1]	Text		923
	Other <Othr>	[0..*]			923
	ChannelType <ChanlTp>	[1..1]	Text		924
	Identification <Id>	[0..1]	Text		924
	PreferredMethod <PrefrdMtd>	[0..1]	CodeSet		924

#### 25.1.18.2.1 NamePrefix <NmPrfx>

*Presence:* [0..1]

*Definition:* Specifies the terms used to formally address a person.

*Datatype:* "NamePrefix2Code" on page 1060

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

#### 25.1.18.2.2 Name <Nm>

*Presence:* [0..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max140Text" on page 1093

#### 25.1.18.2.3 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### **25.1.18.2.4 MobileNumber <MobNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a mobile phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### **25.1.18.2.5 FaxNumber <FaxNb>**

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### **25.1.18.2.6 EmailAddress <EmailAdr>**

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max2048Text" on page 1094

#### **25.1.18.2.7 EmailPurpose <EmailPurp>**

*Presence:* [0..1]

*Definition:* Purpose for which an email address may be used.

*Datatype:* "Max35Text" on page 1094

#### **25.1.18.2.8 JobTitle <JobTitl>**

*Presence:* [0..1]

*Definition:* Title of the function.

*Datatype:* "Max35Text" on page 1094

#### **25.1.18.2.9 Responsibility <Rspnsblty>**

*Presence:* [0..1]

*Definition:* Role of a person in an organisation.

*Datatype:* "Max35Text" on page 1094

#### **25.1.18.2.10 Department <Dept>**

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 1095

#### **25.1.18.2.11 Other <Othr>**

*Presence:* [0..\*]

*Definition:* Contact details in another form.

**Other <Othr>** contains the following **OtherContact1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ChannelType <ChanlTp>	[1..1]	Text		924
	Identification <Id>	[0..1]	Text		924

#### 25.1.18.2.11.1 ChannelType <ChanlTp>

*Presence:* [1..1]

*Definition:* Method used to contact the financial institution's contact for the specific tax region.

*Datatype:* "Max4Text" on page 1095

#### 25.1.18.2.11.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Communication value such as phone number or email address.

*Datatype:* "Max128Text" on page 1093

#### 25.1.18.2.12 PreferredMethod <PrefrdMtd>

*Presence:* [0..1]

*Definition:* Preferred method used to reach the contact.

*Datatype:* "PreferredContactMethod1Code" on page 1068

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

### 25.1.18.3 ContactPerson1

*Definition:* Contains information about the contact responsible for the transaction identified in the message.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	ContactPerson <CtctPrsn>	[1..1]	±		924
	InstitutionIdentification <InstnId>	[0..1]	±		925

#### 25.1.18.3.1 ContactPerson <CtctPrsn>

*Presence:* [1..1]

*Definition:* Provides additional information regarding the party, eg, the contact unit or person responsible for the transaction identified in the message.

**ContactPerson <CtctPrsn>** contains the following elements (see "[ContactIdentification4](#)" on page 920 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		920
	NamePrefix <NmPrfx>	[0..1]	CodeSet		920
	GivenName <GvnNm>	[0..1]	Text		921
	Role <Role>	[0..1]	Text		921
	PhoneNumber <PhneNb>	[0..1]	Text		921
	FaxNumber <FaxNb>	[0..1]	Text		921
	EmailAddress <EmailAdr>	[0..1]	Text		921

#### 25.1.18.3.2 InstitutionIdentification <InstnId>

*Presence:* [0..1]

*Definition:* Identification of the institution that the contact person represents.

**InstitutionIdentification <InstnId>** contains one of the following elements (see "[PartyIdentification2Choice](#)" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <PrtryId>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

#### 25.1.18.4 PersonIdentification13

*Definition:* Unique and unambiguous way to identify a person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	DateAndPlaceOfBirth <DtAndPlcOfBirth>	[0..1]			926
	BirthDate <BirthDt>	[1..1]	Date		926
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		926
	CityOfBirth <CityOfBirth>	[1..1]	Text		926
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	927
	Other <Othr>	[0..*]			927
	Identification <Id>	[1..1]	Text		927
	SchemeName <SchmeNm>	[0..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	Text		928
	Issuer <Issr>	[0..1]	Text		928

#### 25.1.18.4.1 DateAndPlaceOfBirth <DtAndPlcOfBirth>

*Presence:* [0..1]

*Definition:* Date and place of birth of a person.

**DateAndPlaceOfBirth <DtAndPlcOfBirth>** contains the following **DateAndPlaceOfBirth1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	BirthDate <BirthDt>	[1..1]	Date		926
	ProvinceOfBirth <PrvcOfBirth>	[0..1]	Text		926
	CityOfBirth <CityOfBirth>	[1..1]	Text		926
	CountryOfBirth <CtryOfBirth>	[1..1]	CodeSet	C3	927

##### 25.1.18.4.1.1 BirthDate <BirthDt>

*Presence:* [1..1]

*Definition:* Date on which a person is born.

*Datatype:* "ISODate" on page 1085

##### 25.1.18.4.1.2 ProvinceOfBirth <PrvcOfBirth>

*Presence:* [0..1]

*Definition:* Province where a person was born.

*Datatype:* "Max35Text" on page 1094

##### 25.1.18.4.1.3 CityOfBirth <CityOfBirth>

*Presence:* [1..1]

*Definition:* City where a person was born.

*Datatype:* "Max35Text" on page 1094

#### 25.1.18.4.1.4 CountryOfBirth <CtryOfBirth>

*Presence:* [1..1]

*Definition:* Country where a person was born.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 25.1.18.4.2 Other <Othr>

*Presence:* [0..\*]

*Definition:* Unique identification of a person, as assigned by an institution, using an identification scheme.

**Other <Othr>** contains the following **GenericPersonIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		927
	SchemeName <SchmeNm>	[0..1]			927
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	Text		928
	Issuer <Issr>	[0..1]	Text		928

#### 25.1.18.4.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identification of a person.

*Datatype:* "Max35Text" on page 1094

#### 25.1.18.4.2.2 SchemeName <SchmeNm>

*Presence:* [0..1]

*Definition:* Name of the identification scheme.

**SchemeName <SchmeNm>** contains one of the following **PersonIdentificationSchemeName1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		928
Or}	Proprietary <Prtry>	[1..1]	Text		928

**25.1.18.4.2.2.1 Code <Cd>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a coded form as published in an external list.*Datatype:* "ExternalPersonIdentification1Code" on page 1047**25.1.18.4.2.2.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Name of the identification scheme, in a free text form.*Datatype:* "Max35Text" on page 1094**25.1.18.4.2.3 Issuer <Issr>***Presence:* [0..1]*Definition:* Entity that assigns the identification.*Datatype:* "Max35Text" on page 1094**25.1.18.5 ContactIdentification1***Definition:* Information needed to contact a physical person.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		928
	NamePrefix <NmPrfx>	[0..1]	CodeSet		928
	GivenName <GvnNm>	[0..1]	Text		929
	Role <Role>	[0..1]	Text		929
	PhoneNumber <PhneNb>	[0..1]	Text		929
	FaxNumber <FaxNb>	[0..1]	Text		929
	EmailAddress <EmailAdr>	[0..1]	Text		929

**25.1.18.5.1 Name <Nm>***Presence:* [1..1]*Definition:* Name by which a party is known and which is usually used to identify that party.*Datatype:* "Max35Text" on page 1094**25.1.18.5.2 NamePrefix <NmPrfx>***Presence:* [0..1]*Definition:* Specifies the terms used to formally address a person.*Datatype:* "NamePrefix1Code" on page 1060

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.



CodeName	Name	Definition
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

#### 25.1.18.5.3 GivenName <GvnNm>

*Presence:* [0..1]

*Definition:* First name of a person.

*Datatype:* "Max35Text" on page 1094

#### 25.1.18.5.4 Role <Role>

*Presence:* [0..1]

*Definition:* Role of the party in the activity.

*Datatype:* "Max35Text" on page 1094

#### 25.1.18.5.5 PhoneNumber <PhneNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a phone number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### 25.1.18.5.6 FaxNumber <FaxNb>

*Presence:* [0..1]

*Definition:* Collection of information that identifies a FAX number, as defined by telecom services.

*Datatype:* "PhoneNumber" on page 1096

#### 25.1.18.5.7 EmailAddress <EmailAdr>

*Presence:* [0..1]

*Definition:* Address for electronic mail (e-mail).

*Datatype:* "Max256Text" on page 1094

### 25.1.19 Postal Address

#### 25.1.19.1 NameAndAddress5

*Definition:* Information that locates and identifies a party.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Name <Nm>	[1..1]	Text		929
	Address <Adr>	[0..1]	±		930

##### 25.1.19.1.1 Name <Nm>

*Presence:* [1..1]

*Definition:* Name by which a party is known and which is usually used to identify that party.

*Datatype:* "Max350Text" on page 1094

### 25.1.19.1.2 Address <Adr>

*Presence:* [0..1]

*Definition:* Postal address of the party.

**Address <Adr>** contains the following elements (see "PostalAddress1" on page 930 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

### 25.1.19.2 PostalAddress1

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]	CodeSet		930
	AddressLine <AdrLine>	[0..5]	Text		931
	StreetName <StrtNm>	[0..1]	Text		931
	BuildingNumber <BldgNb>	[0..1]	Text		931
	PostCode <PstCd>	[0..1]	Text		931
	TownName <TwnNm>	[0..1]	Text		931
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		931
	Country <Ctry>	[1..1]	CodeSet	C3	931

#### 25.1.19.2.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

*Datatype:* "AddressType2Code" on page 1010

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.

CodeName	Name	Definition
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 25.1.19.2.2 AddressLine <AdrLine>

*Presence:* [0..5]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

*Datatype:* "Max70Text" on page 1095

#### 25.1.19.2.3 StreetName <StrtNm>

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 1095

#### 25.1.19.2.4 BuildingNumber <BldgNb>

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 1093

#### 25.1.19.2.5 PostCode <PstCd>

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 1093

#### 25.1.19.2.6 TownName <TwnNm>

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 1094

#### 25.1.19.2.7 CountrySubDivision <CtrySubDvsn>

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country for example, state, region, county.

*Datatype:* "Max35Text" on page 1094

#### 25.1.19.2.8 Country <Ctry>

*Presence:* [1..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

#### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

### 25.1.19.3 PostalAddress24

*Definition:* Information that locates and identifies a specific address, as defined by postal services.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AddressType <AdrTp>	[0..1]			932
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933
	Department <Dept>	[0..1]	Text		933
	SubDepartment <SubDept>	[0..1]	Text		933
	StreetName <StrtNm>	[0..1]	Text		934
	BuildingNumber <BldgNb>	[0..1]	Text		934
	BuildingName <BldgNm>	[0..1]	Text		934
	Floor <Flr>	[0..1]	Text		934
	PostBox <PstBx>	[0..1]	Text		934
	Room <Room>	[0..1]	Text		934
	PostCode <PstCd>	[0..1]	Text		934
	TownName <TwnNm>	[0..1]	Text		934
	TownLocationName <TwnLctnNm>	[0..1]	Text		935
	DistrictName <DstrctNm>	[0..1]	Text		935
	CountrySubDivision <CtrySubDvsn>	[0..1]	Text		935
	Country <Ctry>	[0..1]	CodeSet	C3	935
	AddressLine <AdrLine>	[0..7]	Text		935

#### 25.1.19.3.1 AddressType <AdrTp>

*Presence:* [0..1]

*Definition:* Identifies the nature of the postal address.

**AddressType <AdrTp>** contains one of the following **AddressType3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		933
Or}	Proprietary <Prtry>	[1..1]	±		933

#### 25.1.19.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Type of address expressed as a code.

*Datatype:* "AddressType2Code" on page 1010

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 25.1.19.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Type of address expressed as a proprietary code.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.19.3.2 Department <Dept>

*Presence:* [0..1]

*Definition:* Identification of a division of a large organisation or building.

*Datatype:* "Max70Text" on page 1095

#### 25.1.19.3.3 SubDepartment <SubDept>

*Presence:* [0..1]

*Definition:* Identification of a sub-division of a large organisation or building.

*Datatype:* "Max70Text" on page 1095

**25.1.19.3.4 StreetName <StrtNm>**

*Presence:* [0..1]

*Definition:* Name of a street or thoroughfare.

*Datatype:* "Max70Text" on page 1095

**25.1.19.3.5 BuildingNumber <BldgNb>**

*Presence:* [0..1]

*Definition:* Number that identifies the position of a building on a street.

*Datatype:* "Max16Text" on page 1093

**25.1.19.3.6 BuildingName <BldgNm>**

*Presence:* [0..1]

*Definition:* Name of the building or house.

*Datatype:* "Max35Text" on page 1094

**25.1.19.3.7 Floor <Flr>**

*Presence:* [0..1]

*Definition:* Floor or storey within a building.

*Datatype:* "Max70Text" on page 1095

**25.1.19.3.8 PostBox <PstBx>**

*Presence:* [0..1]

*Definition:* Numbered box in a post office, assigned to a person or organisation, where letters are kept until called for.

*Datatype:* "Max16Text" on page 1093

**25.1.19.3.9 Room <Room>**

*Presence:* [0..1]

*Definition:* Building room number.

*Datatype:* "Max70Text" on page 1095

**25.1.19.3.10 PostCode <PstCd>**

*Presence:* [0..1]

*Definition:* Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

*Datatype:* "Max16Text" on page 1093

**25.1.19.3.11 TownName <TwnNm>**

*Presence:* [0..1]

*Definition:* Name of a built-up area, with defined boundaries, and a local government.

*Datatype:* "Max35Text" on page 1094

**25.1.19.3.12 TownLocationName <TwnLctnNm>**

*Presence:* [0..1]

*Definition:* Specific location name within the town.

*Datatype:* "Max35Text" on page 1094

**25.1.19.3.13 DistrictName <DstrctNm>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision within a country sub-division.

*Datatype:* "Max35Text" on page 1094

**25.1.19.3.14 CountrySubDivision <CtrySubDvsn>**

*Presence:* [0..1]

*Definition:* Identifies a subdivision of a country such as state, region, county.

*Datatype:* "Max35Text" on page 1094

**25.1.19.3.15 Country <Ctry>**

*Presence:* [0..1]

*Definition:* Nation with its own government.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**25.1.19.3.16 AddressLine <AdrLine>**

*Presence:* [0..7]

*Definition:* Information that locates and identifies a specific address, as defined by postal services, presented in free format text.

*Datatype:* "Max70Text" on page 1095

**25.1.20 Price****25.1.20.1 PriceFormat73Choice**

*Definition:* Choice between a percentage price or an amount price or an unspecified price or index points.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			936
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936
Or	AmountPrice <AmtPric>	[1..1]	±		936
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		937
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		937

#### 25.1.20.1.1 PercentagePrice <PctgPric>

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

**PercentagePrice <PctgPric>** contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		936
	PriceValue <PricVal>	[1..1]	Rate		936

##### 25.1.20.1.1.1 PercentagePriceType <PctgPricTp>

*Presence:* [1..1]

*Definition:* Specifies the type of percentage price.

*Datatype:* "PriceRateType3Code" on page 1068

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

##### 25.1.20.1.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Specifies the value of price.

*Datatype:* "Percentage14Rate" on page 1092

##### 25.1.20.1.2 AmountPrice <AmtPric>

*Presence:* [1..1]



*Definition:* Price expressed as a currency and amount.

**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		942
	PriceValue <PricVal>	[1..1]	Amount	C1	943

#### 25.1.20.1.3 NotSpecifiedPrice <NotSpcdfPric>

*Presence:* [1..1]

*Definition:* Value of the price not specified.

*Datatype:* "PriceValueType10Code" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

#### 25.1.20.1.4 IndexPoints <IndxPts>

*Presence:* [1..1]

*Definition:* Price expressed as an index points.

*Datatype:* "DecimalNumber" on page 1090

#### 25.1.20.2 PriceFormat4Choice

*Definition:* Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			937
	AmountPriceType <AmtPricTp>	[1..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		938
	PriceValue <PricVal>	[1..1]	Amount	C1	939
Or	Rate <Rate>	[1..1]	±		939
Or	NotSpecified <NotSpcdf>	[1..1]			939
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		940
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		940

#### 25.1.20.2.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**Amount** <Amt> contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			938
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		938
	PriceValue <PricVal>	[1..1]	Amount	C1	939

#### 25.1.20.2.1.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType** <AmtPricTp> contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		938
Or}	Proprietary <Prtry>	[1..1]	±		938

##### 25.1.20.2.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

##### 25.1.20.2.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.20.2.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.20.2.2 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, ie, percentage.

**Rate <Rate>** contains the following elements (see "[PriceRate1](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			963
{Or	Code <Cd>	[1..1]	CodeSet		964
Or}	Proprietary <Prtry>	[1..1]	±		964
	Rate <Rate>	[1..1]	Rate		964

#### 25.1.20.2.3 NotSpecified <NotSpcfd>

*Presence:* [1..1]

*Definition:* The value of the price is not specified.

**NotSpecified <NotSpcfd>** contains one of the following **PriceValueType5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		940
Or}	Proprietary <Prtry>	[1..1]	±		940

**25.1.20.2.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the value of a price.*Datatype:* "PriceValueType5Code" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.

**25.1.20.2.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the value of a price.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.20.2.4 IndexPoints <IndxPts>***Presence:* [1..1]*Definition:* Price expressed as index points.*Datatype:* "DecimalNumber" on page 1090**25.1.20.3 PriceFormat46Choice***Definition:* Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]	±		940
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		941

**25.1.20.3.1 AmountPrice <AmtPric>***Presence:* [1..1]*Definition:* Price expressed as a currency and amount.

**AmountPrice <AmtPric>** contains the following elements (see "[AmountPrice2](#)" on page 760 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		760
	PriceValue <PricVal>	[1..1]	Amount	C1	761

#### 25.1.20.3.2 NotSpecifiedPrice <NotSpcfdPric>

*Presence:* [1..1]

*Definition:* Value of the price not specified.

*Datatype:* "[PriceValueType10Code](#)" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

#### 25.1.20.4 PriceFormat61Choice

*Definition:* Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	AmountPrice <AmtPric>	[1..1]			941
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		941
	PriceValue <PricVal>	[1..1]	Amount	C1	942
Or}	NotSpecifiedPrice <NotSpcfdPric>	[1..1]	CodeSet		942

##### 25.1.20.4.1 AmountPrice <AmtPric>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**AmountPrice <AmtPric>** contains the following **AmountPrice6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		941
	PriceValue <PricVal>	[1..1]	Amount	C1	942

##### 25.1.20.4.1.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* "[AmountPriceType3Code](#)" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

#### 25.1.20.4.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.20.4.2 NotSpecifiedPrice <NotSpdfdPric>

*Presence:* [1..1]

*Definition:* Value of the price not specified.

*Datatype:* "PriceValueType10Code" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

#### 25.1.20.5 AmountPrice3

*Definition:* Price expressed as an amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		942
	PriceValue <PricVal>	[1..1]	Amount	C1	943

#### 25.1.20.5.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

CodeName	Name	Definition
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.20.5.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.20.6 PriceFormat74Choice

*Definition:* Choice between a percentage price or an amount price or an unspecified price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			943
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944
Or	AmountPrice <AmtPric>	[1..1]	±		944
Or}	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		944

##### 25.1.20.6.1 PercentagePrice <PctgPric>

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

**PercentagePrice <PctgPric>** contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		943
	PriceValue <PricVal>	[1..1]	Rate		944

##### 25.1.20.6.1.1 PercentagePriceType <PctgPricTp>

*Presence:* [1..1]

*Definition:* Specifies the type of percentage price.

*Datatype:* "PriceRateType3Code" on page 1068

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

#### 25.1.20.6.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Specifies the value of price.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.20.6.2 AmountPrice <AmtPric>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		942
	PriceValue <PricVal>	[1..1]	Amount	C1	943

#### 25.1.20.6.3 NotSpecifiedPrice <NotSpctdPric>

*Presence:* [1..1]

*Definition:* Value of the price not specified.

*Datatype:* "PriceValueType10Code" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

#### 25.1.20.7 PriceFormat72Choice

*Definition:* Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	PercentagePrice <PctgPric>	[1..1]			945
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		945
	PriceValue <PricVal>	[1..1]	Rate		946
Or	AmountPrice <AmtPric>	[1..1]	±		946
Or	NotSpecifiedPrice <NotSpctdPric>	[1..1]	CodeSet		946
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			946
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		947
	PriceValue <PricVal>	[1..1]	Amount	C1	947
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C21	947
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			948
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Amount	C1	948
	Amount <Amt>	[1..1]	Amount	C1	949
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		949

### 25.1.20.7.1 PercentagePrice <PctgPric>

*Presence:* [1..1]

*Definition:* Price expressed as a percentage.

**PercentagePrice <PctgPric>** contains the following **PercentagePrice2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	PercentagePriceType <PctgPricTp>	[1..1]	CodeSet		945
	PriceValue <PricVal>	[1..1]	Rate		946

#### 25.1.20.7.1.1 PercentagePriceType <PctgPricTp>

*Presence:* [1..1]

*Definition:* Specifies the type of percentage price.

*Datatype:* "PriceRateType3Code" on page 1068

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.

CodeName	Name	Definition
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

#### 25.1.20.7.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Specifies the value of price.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.20.7.2 AmountPrice <AmtPric>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**AmountPrice <AmtPric>** contains the following elements (see "AmountPrice3" on page 942 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		942
	PriceValue <PricVal>	[1..1]	Amount	C1	943

#### 25.1.20.7.3 NotSpecifiedPrice <NotSpcfdPric>

*Presence:* [1..1]

*Definition:* Value of the price not specified.

*Datatype:* "PriceValueType8Code" on page 1069

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

#### 25.1.20.7.4 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>

*Presence:* [1..1]

*Definition:* Price expressed as a ratio: amount price per financial instrument quantity.

**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity10** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		947
	PriceValue <PricVal>	[1..1]	Amount	C1	947
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]	±	C21	947

#### 25.1.20.7.4.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.20.7.4.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.20.7.4.3 FinancialInstrumentQuantity <FinInstrmQty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

*Impacted by:* C21 "DigitalTokenUnitUsageRule"

**FinancialInstrumentQuantity <FinInstrmQty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 25.1.20.7.5 AmountPricePerAmount <AmtPricPerAmt>

*Presence:* [1..1]

*Definition:* Price expressed as a ratio: amount price per amount.

**AmountPricePerAmount <AmtPricPerAmt>** contains the following **AmountPricePerAmount2** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]	CodeSet		948
	PriceValue <PricVal>	[1..1]	Amount	C1	948
	Amount <Amt>	[1..1]	Amount	C1	949

#### 25.1.20.7.5.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.20.7.5.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### **25.1.20.7.5.3 Amount <Amt>**

*Presence:* [1..1]

*Definition:* The amount on which the price is based.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### **Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### **25.1.20.7.6 IndexPoints <IndxPts>**

*Presence:* [1..1]

*Definition:* Price expressed in index points.

*Datatype:* "DecimalNumber" on page 1090

## **25.1.21 Price Value**

### **25.1.21.1 PriceFormat2Choice**

*Definition:* Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			950
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951
Or	Rate <Rate>	[1..1]	±		951
Or}	NotSpecified <NotSpcfd>	[1..1]			952
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

#### 25.1.21.1.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**Amount <Amt>** contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			950
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951
	PriceValue <PricVal>	[1..1]	Amount	C1	951

#### 25.1.21.1.1.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		950
Or}	Proprietary <Prtry>	[1..1]	±		951

#### 25.1.21.1.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.21.1.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.1.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.21.1.2 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, ie, percentage.

**Rate <Rate>** contains the following elements (see ["PriceRate1" on page 963](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			963
{Or	Code <Cd>	[1..1]	CodeSet		964
Or}	Proprietary <Prtry>	[1..1]	±		964
	Rate <Rate>	[1..1]	Rate		964

#### 25.1.21.1.3 NotSpecified <NotSpcfd>

*Presence:* [1..1]

*Definition:* The value of the price is not specified.

**NotSpecified <NotSpcfd>** contains one of the following **PriceValueType5FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		952
Or}	Proprietary <Prtry>	[1..1]	±		952

##### 25.1.21.1.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the value of a price.

*Datatype:* ["PriceValueType5Code" on page 1069](#)

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.

##### 25.1.21.1.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the value of a price.

**Proprietary <Prtry>** contains the following elements (see ["GenericIdentification13" on page 797](#) for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.2 PriceFormat3Choice

*Definition:* Choice of formats to express a price.



Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			953
	AmountPriceType <AmtPricTp>	[1..1]			953
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954
Or}	Rate <Rate>	[1..1]	±		954

#### 25.1.21.2.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**Amount <Amt>** contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			953
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		954
	PriceValue <PricVal>	[1..1]	Amount	C1	954

##### 25.1.21.2.1.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		953
Or}	Proprietary <Prtry>	[1..1]	±		954

##### 25.1.21.2.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.

CodeName	Name	Definition
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.21.2.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.2.1.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.21.2.2 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, ie, percentage.

**Rate <Rate>** contains the following elements (see "[PriceRate1](#)" on page 963 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			963
{Or	Code <Cd>	[1..1]	CodeSet		964
Or}	Proprietary <Prtry>	[1..1]	±		964
	Rate <Rate>	[1..1]	Rate		964

### 25.1.21.3 PriceValue1

*Definition:* Value given to a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1	955

#### 25.1.21.3.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and value.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 25.1.21.4 PriceFormat1Choice

*Definition:* Choice of formats to express a price.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]			956
	AmountPriceType <AmtPricTp>	[1..1]			957
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
	PriceValue <PricVal>	[1..1]	Amount	C1	958
Or	Rate <Rate>	[1..1]	Rate		958
Or	AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>	[1..1]			958
	AmountPriceType <AmtPricTp>	[1..1]			958
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			960
{Or	Unit <Unit>	[1..1]	Quantity		960
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	960
Or	AmountPricePerAmount <AmtPricPerAmt>	[1..1]			960
	AmountPriceType <AmtPricTp>	[1..1]			961
{Or	Code <Cd>	[1..1]	CodeSet		961
Or}	Proprietary <Prtry>	[1..1]	±		961
	PriceValue <PricVal>	[1..1]	Amount	C1	962
	Amount <Amt>	[1..1]	Amount	C1	962
Or}	NotSpecified <NotSpcfd>	[1..1]			962
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		963

#### 25.1.21.4.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Price expressed as a currency and amount.

**Amount <Amt>** contains the following **AmountPrice1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			957
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957
	PriceValue <PricVal>	[1..1]	Amount	C1	958

#### 25.1.21.4.1.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		957
Or}	Proprietary <Prtry>	[1..1]	±		957

##### 25.1.21.4.1.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

##### 25.1.21.4.1.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.21.4.1.2 PriceValue <PricVal>***Presence:* [1..1]*Definition:* Value of the price.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.21.4.2 Rate <Rate>***Presence:* [1..1]*Definition:* Price expressed as a rate, ie, percentage.*Datatype:* "PercentageRate" on page 1092**25.1.21.4.3 AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>***Presence:* [1..1]*Definition:* Price expressed as an amount per a quantity of financial instruments.

**AmountPricePerFinancialInstrumentQuantity <AmtPricPerFinInstrmQty>** contains the following **AmountPricePerFinancialInstrumentQuantity1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			958
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959
	PriceValue <PricVal>	[1..1]	Amount	C1	959
	FinancialInstrumentQuantity <FinInstrmQty>	[1..1]			960
{Or	Unit <Unit>	[1..1]	Quantity		960
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	960

**25.1.21.4.3.1 AmountPriceType <AmtPricTp>***Presence:* [1..1]*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		959
Or}	Proprietary <Prtry>	[1..1]	±		959

#### 25.1.21.4.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

#### 25.1.21.4.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.4.3.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217

Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.21.4.3.3 FinancialInstrumentQuantity <FinInstrmQty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument.

**FinancialInstrumentQuantity <FinInstrmQty>** contains one of the following **UnitOrFaceAmount1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		960
Or}	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	960

##### 25.1.21.4.3.3.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 25.1.21.4.3.3.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.1.21.4.4 AmountPricePerAmount <AmtPricPerAmt>

*Presence:* [1..1]

*Definition:* Price expressed as an amount per another amount.



**AmountPricePerAmount <AmtPricPerAmt>** contains the following **AmountPricePerAmount1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	AmountPriceType <AmtPricTp>	[1..1]			961
{Or	Code <Cd>	[1..1]	CodeSet		961
Or}	Proprietary <Prtry>	[1..1]	±		961
	PriceValue <PricVal>	[1..1]	Amount	C1	962
	Amount <Amt>	[1..1]	Amount	C1	962

#### 25.1.21.4.4.1 AmountPriceType <AmtPricTp>

*Presence:* [1..1]

*Definition:* Type of amount price.

**AmountPriceType <AmtPricTp>** contains one of the following **AmountPriceType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		961
Or}	Proprietary <Prtry>	[1..1]	±		961

##### 25.1.21.4.4.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the amount price type.

*Datatype:* "AmountPriceType1Code" on page 1011

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

##### 25.1.21.4.4.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the amount price type.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.4.4.2 PriceValue <PricVal>

*Presence:* [1..1]

*Definition:* Value of the price.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.21.4.4.3 Amount <Amt>

*Presence:* [1..1]

*Definition:* The amount.

*Impacted by:* [C1 "ActiveCurrency"](#)

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.21.4.5 NotSpecified <NotSpcfd>

*Presence:* [1..1]

*Definition:* The value of the price is not specified.

**NotSpecified <NotSpcfd>** contains one of the following **PriceValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		963
Or}	Proprietary <Prtry>	[1..1]	±		963

**25.1.21.4.5.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the value of a price.*Datatype:* "PriceValueType6Code" on page 1069

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
TBSP	ToBeSpecified	Price to be specified by account owner.

**25.1.21.4.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the value of a price.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.21.5 PriceRate1***Definition:* Specifies a price expressed as a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			963
{Or	Code <Cd>	[1..1]	CodeSet		964
Or}	Proprietary <Prtry>	[1..1]	±		964
	Rate <Rate>	[1..1]	Rate		964

**25.1.21.5.1 RateType <RateTp>***Presence:* [1..1]*Definition:* Type of rate, eg, yield.

**RateType <RateTp>** contains one of the following **PriceRateType3FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		964
Or}	Proprietary <Prtry>	[1..1]	±		964

#### 25.1.21.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of price rate.

*Datatype:* "PriceRateType3Code" on page 1068

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

#### 25.1.21.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of price rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.21.5.2 Rate <Rate>

*Presence:* [1..1]

*Definition:* Price expressed as a rate, ie, percentage.

*Datatype:* "PercentageRate" on page 1092

## 25.1.22 Quantity

### 25.1.22.1 FinancialInstrumentQuantity34Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		965
Or	FaceAmount <FaceAmt>	[1..1]	Amount		965
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		965
Or	Code <Cd>	[1..1]	CodeSet		965
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		965

### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 25.1.22.1.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 25.1.22.1.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.1.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.1.4 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* "Quantity4Code" on page 1073

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

#### 25.1.22.1.5 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 1090

### 25.1.22.2 Quantity51Choice

*Definition:* Choice of format for the quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Quantity <Qty>	[1..1]	±	C21	966
Or}	OriginalAndCurrentFace <OrgnlAndCurFace>	[1..1]	±		966

#### 25.1.22.2.1 Quantity <Qty>

*Presence:* [1..1]

*Definition:* Quantity of financial instrument in units, original face amount or current face amount.

*Impacted by:* C21 "DigitalTokenUnitUsageRule"

**Quantity <Qty>** contains one of the following elements (see "FinancialInstrumentQuantity33Choice" on page 966 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 25.1.22.2.2 OriginalAndCurrentFace <OrgnlAndCurFace>

*Presence:* [1..1]

*Definition:* Original and current value of an asset-back instrument.

**OriginalAndCurrentFace <OrgnlAndCurFace>** contains the following elements (see "OriginalAndCurrentQuantities1" on page 1004 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1004
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1005

### 25.1.22.3 FinancialInstrumentQuantity33Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		967
Or	FaceAmount <FaceAmt>	[1..1]	Amount		967
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		967
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		967

#### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 25.1.22.3.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 25.1.22.3.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.3.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.3.4 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

*Datatype:* "Max30DecimalNumber" on page 1090

#### 25.1.22.4 FinancialInstrumentQuantity35Choice

*Definition:* Choice between formats for the quantity of security.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		968
Or	FaceAmount <FaceAmt>	[1..1]	Amount		968
Or	AmortisedValue <AmtsdVal>	[1..1]	Amount		968
Or	Code <Cd>	[1..1]	CodeSet		968
Or}	DigitalTokenUnit <DgtlTknUnit>	[1..1]	Quantity		968

### Constraints

- **DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

#### 25.1.22.4.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, for example, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

#### 25.1.22.4.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.4.3 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.22.4.4 Code <Cd>

*Presence:* [1..1]

*Definition:* Quantity expressed as a code.

*Datatype:* "Quantity5Code" on page 1073

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

#### 25.1.22.4.5 DigitalTokenUnit <DgtlTknUnit>

*Presence:* [1..1]

*Definition:* Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.



*Datatype:* "Max30DecimalNumber" on page 1090

## 25.1.23 Rate

### 25.1.23.1 RateFormat24Choice

*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 25.1.23.1.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.23.1.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateType5Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.23.2 GrossDividendRate2

*Definition:* Cash dividend amount per equity before deductions or allowances have been made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			969
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		970
	Amount <Amt>	[1..1]	Amount	C1, C5	971

#### 25.1.23.2.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.

**RateType <RateTp>** contains one of the following **GrossDividendRateType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		970

#### 25.1.23.2.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of gross dividend rate.

*Datatype:* "GrossDividendRateType1Code" on page 1049

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
LTCG	LongTermCapitalGain	Long term capital gain.
STCG	ShortTermCapitalGain	Short term capital gain.

#### 25.1.23.2.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of gross dividend rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.23.2.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.1.23.3 NetDividendRate2

*Definition:* Cash dividend amount per equity after deductions or allowances have been made.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1, C5	973

##### 25.1.23.3.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Type of underlying securities to which the rate is related, eg, underlying security for which an interest is paid.

**RateType <RateTp>** contains one of the following **NetDividendRateType1FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		972

#### 25.1.23.3.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of net dividend rate.

*Datatype:* "NetDividendRateType1Code" on page 1061

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

#### 25.1.23.3.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of net dividend rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.23.3.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.1.23.4 AmountAndQuantityRatio1

*Definition:* Ratio expressed as amount per quantity.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	973
	Quantity <Qty>	[1..1]	Quantity		974

#### 25.1.23.4.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* [C1 "ActiveCurrency"](#), [C5 "CurrencyAmount"](#)

*Datatype:* "[ActiveCurrencyAndAmount](#)" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.1.23.4.2 Quantity <Qty>**

*Presence:* [1..1]

*Definition:* Quantity expressed as number.

*Datatype:* "DecimalNumber" on page 1090

**25.1.23.5 AmountToAmountRatio1**

*Definition:* Ratio expressed as a quotient of amounts.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	974
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	974

**25.1.23.5.1 Amount1 <Amt1>**

*Presence:* [1..1]

*Definition:* Numerator of the quotient of amounts.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.1.23.5.2 Amount2 <Amt2>**

*Presence:* [1..1]

*Definition:* Denominator of the quotient of amounts.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

### 25.1.23.6 GrossDividendRate1Choice

*Definition:* Choice of format to express a gross dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			975
{Or	Code <Cd>	[1..1]	CodeSet		975
Or}	Proprietary <Prtry>	[1..1]	±		976
Or	Amount <Amt>	[1..1]	Amount	C1, C5	976
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		976

#### 25.1.23.6.1 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* The value of the rate is not specified, eg, the rate is unknown.

**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateValueType2FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		975
Or}	Proprietary <Prtry>	[1..1]	±		976

##### 25.1.23.6.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the value of a rate.

*Datatype:* "RateValueType2Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.
NILP	NilPayment	Rate will not be paid.

#### 25.1.23.6.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the value of a rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchemeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

#### 25.1.23.6.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value expressed as an amount.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

##### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

#### 25.1.23.6.3 RateTypeAmount <RateTpAmt>

*Presence:* [1..1]

*Definition:* Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.



**RateTypeAmount <RateTpAmt>** contains the following elements (see "[GrossDividendRate2](#)" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			969
{Or	Code <Cd>	[1..1]	CodeSet		970
Or}	Proprietary <Prtry>	[1..1]	±		970
	Amount <Amt>	[1..1]	Amount	C1, C5	971

### 25.1.23.7 RatioFormat1Choice

*Definition:* Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		977
Or	AmountToAmount <AmtToAmt>	[1..1]	±		977
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]			978
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		978

#### 25.1.23.7.1 QuantityToQuantity <QtyToQty>

*Presence:* [1..1]

*Definition:* The ratio is expressed as a quantity per another quantity.

**QuantityToQuantity <QtyToQty>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

#### 25.1.23.7.2 AmountToAmount <AmtToAmt>

*Presence:* [1..1]

*Definition:* The ratio is expressed as an amount per another amount.

**AmountToAmount <AmtToAmt>** contains the following elements (see "[AmountToAmountRatio1](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	974
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	974

**25.1.23.7.3 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* The value of the ratio is not specified.**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		978
Or}	Proprietary <Prtry>	[1..1]	±		978

**25.1.23.7.3.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of rate.*Datatype:* "RateType12Code" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

**25.1.23.7.3.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.23.8 RatioFormat2Choice***Definition:* Choice of format to expressed a ratio.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	QuantityToQuantity <QtyToQty>	[1..1]	±		979
Or	AmountToAmount <AmtToAmt>	[1..1]	±		979
Or	AmountToQuantity <AmtToQty>	[1..1]	±		979
Or	QuantityToAmount <QtyToAmt>	[1..1]	±		980
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]			980
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

#### 25.1.23.8.1 QuantityToQuantity <QtyToQty>

*Presence:* [1..1]

*Definition:* The ratio is expressed as a quantity per another quantity.

**QuantityToQuantity <QtyToQty>** contains the following elements (see "[QuantityToQuantityRatio1](#)" on page 794 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Quantity1 <Qty1>	[1..1]	Quantity		794
	Quantity2 <Qty2>	[1..1]	Quantity		794

#### 25.1.23.8.2 AmountToAmount <AmtToAmt>

*Presence:* [1..1]

*Definition:* The ratio is expressed as an amount per another amount.

**AmountToAmount <AmtToAmt>** contains the following elements (see "[AmountToAmountRatio1](#)" on page 974 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount1 <Amt1>	[1..1]	Amount	C1, C5	974
	Amount2 <Amt2>	[1..1]	Amount	C1, C5	974

#### 25.1.23.8.3 AmountToQuantity <AmtToQty>

*Presence:* [1..1]

*Definition:* The ratio is expressed as an amount per quantity.

**AmountToQuantity <AmtToQty>** contains the following elements (see "[AmountAndQuantityRatio1](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	973
	Quantity <Qty>	[1..1]	Quantity		974

**25.1.23.8.4 QuantityToAmount <QtyToAmt>***Presence:* [1..1]*Definition:* Ratio is expressed as a quantity per an amount.**QuantityToAmount <QtyToAmt>** contains the following elements (see "[AmountAndQuantityRatio1](#)" on page 973 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Amount <Amt>	[1..1]	Amount	C1, C5	973
	Quantity <Qty>	[1..1]	Quantity		974

**25.1.23.8.5 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* The value of the ratio is not specified.**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		980
Or}	Proprietary <Prtry>	[1..1]	±		980

**25.1.23.8.5.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of rate.*Datatype:* "[RateType12Code](#)" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

**25.1.23.8.5.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary code to express the type of rate.**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 25.1.23.9 RateFormat1Choice

*Definition:* Choice of format to expressed a rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		981
Or}	NotSpecifiedRate <NotSpcdfRate>	[1..1]			981
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

#### 25.1.23.9.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "PercentageRate" on page 1092

#### 25.1.23.9.2 NotSpecifiedRate <NotSpcdfRate>

*Presence:* [1..1]

*Definition:* Value is not specified.

**NotSpecifiedRate <NotSpcdfRate>** contains one of the following **RateType12FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		981
Or}	Proprietary <Prtry>	[1..1]	±		981

##### 25.1.23.9.2.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of rate.

*Datatype:* "RateType12Code" on page 1073

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

##### 25.1.23.9.2.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the type of rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification13](#)" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

### 25.1.23.10 RateAndAmountFormat42Choice

*Definition:* Choice between an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Amount <Amt>	[1..1]	Amount	C1	982
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		982

#### 25.1.23.10.1 Amount <Amt>

*Presence:* [1..1]

*Definition:* Number of monetary units specified in a currency.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.23.10.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.23.11 NetDividendRate1Choice

*Definition:* Choice of format to express a net dividend.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]			983
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	±		983
Or	Amount <Amt>	[1..1]	Amount	C1, C5	984
Or}	RateTypeAmount <RateTpAmt>	[1..1]	±		984

#### 25.1.23.11.1 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* The value of the rate is not specified, eg, the rate is unknown.

**NotSpecifiedRate <NotSpcfdRate>** contains one of the following **RateValueType6FormatChoice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		983
Or}	Proprietary <Prtry>	[1..1]	±		983

##### 25.1.23.11.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the value of a rate.

*Datatype:* "RateValueType6Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

##### 25.1.23.11.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary code to express the value of a rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification13" on page 797 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		797
	SchemeName <SchmeNm>	[0..1]	Text		797
	Issuer <Issr>	[1..1]	Text		797

**25.1.23.11.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"*Datatype:* "ActiveCurrencyAndAmount" on page 1007**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.1.23.11.3 RateTypeAmount <RateTpAmt>***Presence:* [1..1]*Definition:* Value is expressed as an amount related to an underlying securities, eg, underlying security for which an interest is paid.

**RateTypeAmount <RateTpAmt>** contains the following elements (see "[NetDividendRate2](#)" on page 971 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			971
{Or	Code <Cd>	[1..1]	CodeSet		972
Or}	Proprietary <Prtry>	[1..1]	±		972
	Amount <Amt>	[1..1]	Amount	C1, C5	973

**25.1.23.12 RateFormat32Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		984
Or}	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		985

**25.1.23.12.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1092



**25.1.23.12.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType14Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.

**25.1.23.13 RateFormat31Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		985
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		985
Or}	Amount <Amt>	[1..1]	Amount	C1	985

**25.1.23.13.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value is expressed as a rate.*Datatype:* "Percentage14Rate" on page 1092**25.1.23.13.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateType5Code" on page 1074

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.23.13.3 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.23.14 RateAndAmountFormat61Choice**

*Definition:* Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		986
Or	Amount <Amt>	[1..1]	Amount	C1	986
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		987
Or	RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>	[1..1]			987
	RateType <RateTp>	[1..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		988
Or}	Proprietary <Prtry>	[1..1]	±		988
	Amount <Amt>	[1..1]	Amount	C1	988
	RateStatus <RateSts>	[0..1]		C87	988
{Or	Code <Cd>	[1..1]	CodeSet		989
Or}	Proprietary <Prtry>	[1..1]	±		989
Or}	RateTypeAndRate <RateTpAndRate>	[1..1]			989
	RateType <RateTp>	[1..1]			990
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Rate <Rate>	[1..1]	Rate		991

**25.1.23.14.1 Rate <Rate>**

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

**25.1.23.14.2 Amount <Amt>**

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.23.14.3 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

#### 25.1.23.14.4 RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate type and an amount.

**RateTypeAndAmountAndRateStatus <RateTpAndAmtAndRateSts>** contains the following **RateTypeAndAmountAndStatus37** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			987
{Or	Code <Cd>	[1..1]	CodeSet		988
Or}	Proprietary <Prtry>	[1..1]	±		988
	Amount <Amt>	[1..1]	Amount	C1	988
	RateStatus <RateSts>	[0..1]		C87	988
{Or	Code <Cd>	[1..1]	CodeSet		989
Or}	Proprietary <Prtry>	[1..1]	±		989

##### 25.1.23.14.4.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		988
Or}	Proprietary <Prtry>	[1..1]	±		988

**25.1.23.14.4.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Standard code to specify the type of deemed rate.*Datatype:* "DeemedRateType1Code" on page 1037

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

**25.1.23.14.4.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Proprietary identification of the type of deemed rate.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification30" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

**25.1.23.14.4.2 Amount <Amt>***Presence:* [1..1]*Definition:* Value expressed as an amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.23.14.4.3 RateStatus <RateSts>***Presence:* [0..1]*Definition:* Value expressed as a rate status.*Impacted by:* C87 "RateStatusGuideline"

**RateStatus <RateSts>** contains one of the following **RateStatus3Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		989
Or}	Proprietary <Prtry>	[1..1]	±		989

#### Constraints

- **RateStatusGuideline**

The code value ACTU is the default value for a rate status.

#### 25.1.23.14.4.3.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the status of the rate.

*Datatype:* "RateStatus1Code" on page 1073

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

#### 25.1.23.14.4.3.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the status of the rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.23.14.5 RateTypeAndRate <RateTpAndRate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate type and a percentage rate.

**RateTypeAndRate <RateTpAndRate>** contains the following **RateTypeAndPercentageRate14** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	RateType <RateTp>	[1..1]			990
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990
	Rate <Rate>	[1..1]	Rate		991

#### 25.1.23.14.5.1 RateType <RateTp>

*Presence:* [1..1]

*Definition:* Value expressed as a rate type.

**RateType <RateTp>** contains one of the following **DeemedRateType1Choice** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		990
Or}	Proprietary <Prtry>	[1..1]	±		990

##### 25.1.23.14.5.1.1 Code <Cd>

*Presence:* [1..1]

*Definition:* Standard code to specify the type of deemed rate.

*Datatype:* "DeemedRateType1Code" on page 1037

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

##### 25.1.23.14.5.1.2 Proprietary <Prtry>

*Presence:* [1..1]

*Definition:* Proprietary identification of the type of deemed rate.

**Proprietary <Prtry>** contains the following elements (see "[GenericIdentification30](#)" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		800
	Issuer <Issr>	[1..1]	Text		800
	SchemeName <SchmeNm>	[0..1]	Text		800

#### 25.1.23.14.5.2 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* "[Percentage14Rate](#)" on page 1092

#### 25.1.23.15 RateFormat26Choice

*Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		991
Or	Amount <Amt>	[1..1]	Amount	C1	991
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		991

#### 25.1.23.15.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "[Percentage14Rate](#)" on page 1092

#### 25.1.23.15.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Cash amount.

*Impacted by:* C1 "[ActiveCurrency](#)"

*Datatype:* "[ActiveCurrencyAnd13DecimalAmount](#)" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

#### 25.1.23.15.3 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.23.16 RateAndAmountFormat59Choice

*Definition:* Choice of format between rate and amount.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		992
Or}	Amount <Amt>	[1..1]	Amount	C1	992

#### 25.1.23.16.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value is expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.23.16.2 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 25.1.23.17 RateAndAmountFormat58Choice

*Definition:* Choice of format between a rate, an amount, index points or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		993
Or	Amount <Amt>	[1..1]	Amount	C1	993
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		993



**25.1.23.17.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value expressed as a rate.*Datatype:* "Percentage14Rate" on page 1092**25.1.23.17.2 NotSpecifiedRate <NotSpcfdRate>***Presence:* [1..1]*Definition:* Value of the rate not specified.*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

**25.1.23.17.3 Amount <Amt>***Presence:* [1..1]*Definition:* Value is expressed as a currency and amount.*Impacted by:* C1 "ActiveCurrency"*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006**Constraints**

- ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.23.17.4 IndexPoints <IndxPts>***Presence:* [1..1]*Definition:* Price expressed in index points.*Datatype:* "DecimalNumber" on page 1090**25.1.23.18 RateFormat25Choice***Definition:* Choice between a rate or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994

**25.1.23.18.1 Rate <Rate>***Presence:* [1..1]*Definition:* Value is expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

### 25.1.23.18.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateType10Code" on page 1073

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.1.23.19 RateAndAmountFormat57Choice

*Definition:* Choice of format between a rate, an amount or an unspecified rate.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

#### 25.1.23.19.1 Rate <Rate>

*Presence:* [1..1]

*Definition:* Value expressed as a rate.

*Datatype:* "Percentage14Rate" on page 1092

#### 25.1.23.19.2 NotSpecifiedRate <NotSpcfdRate>

*Presence:* [1..1]

*Definition:* Value of the rate not specified.

*Datatype:* "RateValueType7Code" on page 1075

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

#### 25.1.23.19.3 Amount <Amt>

*Presence:* [1..1]

*Definition:* Value is expressed as a currency and amount.

*Impacted by:* C1 "ActiveCurrency"

*Datatype:* "ActiveCurrencyAnd13DecimalAmount" on page 1006

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.1.23.20 CorporateActionRate122**

*Definition:* Specifies rates of a corporate action.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	InterestRate <IntrstRate>	[0..1]	±		995
	PercentageSought <PctgSght>	[0..1]	±		996
	RelatedIndex <RltdIndx>	[0..1]	±		996
	Spread <Sprd>	[0..1]	±		996
	BidInterval <BidIntrvl>	[0..1]	±		997
	PreviousFactor <PrvsFctr>	[0..1]	±		997
	NextFactor <NxtFctr>	[0..1]	±		997
	ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>	[0..1]	±		997
	InterestShortfall <IntrstShrtfll>	[0..1]	±		998
	RealisedLoss <RealsdLoss>	[0..1]	±		998
	DeclaredRate <DclrdRate>	[0..1]	±		998
	IndexFactor <IndxFctr>	[0..1]	±		999

**Constraints**

- **DeclaredRateRule**

DeclaredRate may only be used if the dividend or interest declared by the issuer is actually paid in a different currency than the declared one or if the dividend or the interest event makes it possible to elect amongst multiple currency options.

**25.1.23.20.1 InterestRate <IntrstRate>**

*Presence:* [0..1]

*Definition:* Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

**InterestRate <IntrstRate>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

#### 25.1.23.20.2 PercentageSought <PctgSght>

*Presence:* [0..1]

*Definition:* Percentage of securities the offeror/issuer will purchase or redeem under the terms of the event.

**PercentageSought <PctgSght>** contains one of the following elements (see "RateFormat25Choice" on page 993 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		994

#### 25.1.23.20.3 RelatedIndex <RltdIdx>

*Presence:* [0..1]

*Definition:* Index rate related to the interest rate of the forthcoming interest payment.

**RelatedIndex <RltdIdx>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 25.1.23.20.4 Spread <Sprd>

*Presence:* [0..1]

*Definition:* Margin allowed over or under a given rate.

**Spread <Sprd>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

**25.1.23.20.5 BidInterval <BidIntrvl>***Presence:* [0..1]*Definition:* Acceptable price increment used for submitting a bid.**BidInterval <BidIntrvl>** contains one of the following elements (see "[RateAndAmountFormat58Choice](#)" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		993
Or	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		993
Or	Amount <Amt>	[1..1]	Amount	C1	993
Or}	IndexPoints <IndxPts>	[1..1]	Quantity		993

**25.1.23.20.6 PreviousFactor <PrvsFctr>***Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.**PreviousFactor <PrvsFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862

**25.1.23.20.7 NextFactor <NxtFctr>***Presence:* [0..1]*Definition:* Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.**NextFactor <NxtFctr>** contains one of the following elements (see "[RateFormat12Choice](#)" on page 861 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		861
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		862

**25.1.23.20.8 ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>***Presence:* [0..1]*Definition:* Rate of discount for securities purchased through a reinvestment scheme as compared to the current market price of security.

**ReinvestmentDiscountRateToMarket <RinvstmtDscntRateToMkt>** contains one of the following elements (see "RateFormat24Choice" on page 969 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		969
Or}	NotSpecifiedRate <NotSpcfdRate>	[1..1]	CodeSet		969

#### 25.1.23.20.9 InterestShortfall <IntrstShrtfll>

*Presence:* [0..1]

*Definition:* For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the interest payment and the expected or scheduled rate of the interest payment.

**InterestShortfall <IntrstShrtfll>** contains one of the following elements (see "RateAndAmountFormat59Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		992
Or}	Amount <Amt>	[1..1]	Amount	C1	992

#### 25.1.23.20.10 RealisedLoss <RealsdLoss>

*Presence:* [0..1]

*Definition:* For structured security issues where there is a set schedule of principal and interest payments for the life of the issue, this is the difference between the actual rate of the capital or principal repayment and the scheduled capital repayment.

**RealisedLoss <RealsdLoss>** contains one of the following elements (see "RateAndAmountFormat59Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		992
Or}	Amount <Amt>	[1..1]	Amount	C1	992

#### 25.1.23.20.11 DeclaredRate <DclrdRate>

*Presence:* [0..1]

*Definition:* Dividend or interest rate declared by the issuer.

**DeclaredRate <DclrdRate>** contains one of the following elements (see "RateAndAmountFormat59Choice" on page 992 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		992
Or}	Amount <Amt>	[1..1]	Amount	C1	992

**25.1.23.20.12 IndexFactor <IndxFctr>***Presence:* [0..1]*Definition:* Public index rate applied to the amount paid to adjust it to inflation.**IndexFactor <IndxFctr>** contains one of the following elements (see "RateAndAmountFormat57Choice" on page 994 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Rate <Rate>	[1..1]	Rate		994
Or	NotSpecifiedRate <NotSpctdRate>	[1..1]	CodeSet		994
Or}	Amount <Amt>	[1..1]	Amount	C1	994

**25.1.24 Safekeeping Place****25.1.24.1 SafekeepingPlaceFormat42Choice***Definition:* Choice of formats for a place of safekeeping.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Identification <Id>	[1..1]			999
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		999
	Identification <Id>	[0..1]	Text		1000
Or	Country <Ctry>	[1..1]	CodeSet	C3	1000
Or	DigitalLedgerIdentification <DgtlLdgrld>	[1..1]	IdentifierSet		1000
Or	TypeAndIdentification <TpAndId>	[1..1]			1000
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1001
	Identification <Id>	[1..1]	IdentifierSet	C7	1001
Or}	Proprietary <Prtry>	[1..1]	±		1001

**25.1.24.1.1 Identification <Id>***Presence:* [1..1]*Definition:* Place of safekeeping expressed as a code and a narrative description.**Identification <Id>** contains the following **SafekeepingPlaceTypeAndText6** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		999
	Identification <Id>	[0..1]	Text		1000

**25.1.24.1.1.1 SafekeepingPlaceType <SfkpgPlcTp>***Presence:* [1..1]

*Definition:* Place of safekeeping as a code.

*Datatype:* "SafekeepingPlace2Code" on page 1081

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

#### 25.1.24.1.1.2 Identification <Id>

*Presence:* [0..1]

*Definition:* Additional information about the place of safekeeping.

*Datatype:* "Max35Text" on page 1094

#### 25.1.24.1.2 Country <Ctry>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a country code.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 25.1.24.1.3 DigitalLedgerIdentification <DgtlLdgrId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

*Datatype:* "DTI2024Identifier" on page 1088

#### 25.1.24.1.4 TypeAndIdentification <TpAndId>

*Presence:* [1..1]

*Definition:* Place of safekeeping expressed with a type and identification.

**TypeAndIdentification <TpAndId>** contains the following **SafekeepingPlaceTypeAndIdentification1** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SafekeepingPlaceType <SfkpgPlcTp>	[1..1]	CodeSet		1001
	Identification <Id>	[1..1]	IdentifierSet	C7	1001



**25.1.24.1.4.1 SafekeepingPlaceType <SfkpgPlcTp>***Presence:* [1..1]*Definition:* Place of safekeeping as a code.*Datatype:* "SafekeepingPlace1Code" on page 1081

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

**25.1.24.1.4.2 Identification <Id>***Presence:* [1..1]*Definition:* Place of safekeeping.*Impacted by:* C7 "AnyBIC"*Datatype:* "AnyBICDec2014Identifier" on page 1086**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

**25.1.24.1.5 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Place of safekeeping expressed with a propriety identification scheme.

**Proprietary <Prtry>** contains the following elements (see "GenericIdentification78" on page 800 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Type <Tp>	[1..1]	±		800
	Identification <Id>	[0..1]	Text		801

## 25.1.25 Securities Identification

### 25.1.25.1 SecurityIdentification7

*Definition:* Choice between ISIN and an alternative format for the identification of a security. ISIN is the preferred format.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <OthrlId>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

#### 25.1.25.1.1 ISIN <ISIN>

*Presence:* [1..1]

**Warning** Either ISIN or OtherIdentification must be present but not both.

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Datatype:* "ISINIdentifier" on page 1089

#### 25.1.25.1.2 OtherIdentification <OthrlId>

*Presence:* [1..1]

**Warning** Either ISIN or OtherIdentification must be present but not both.

*Definition:* Proprietary identification of a security assigned by an institution or organisation.

**OtherIdentification <OthrlId>** contains the following **AlternateSecurityIdentification3** elements

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003

#### 25.1.25.1.2.1 Identification <Id>

*Presence:* [1..1]

*Definition:* Unique and unambiguous identifier of a security.

*Datatype:* "Max70Text" on page 1095

#### 25.1.25.1.2.2 DomesticIdentificationSource <DmstldSrc>

*Presence:* [1..1]

**Warning** Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

*Definition:* Country of the proprietary identification scheme.

*Impacted by:* C3 "Country"

*Datatype:* "CountryCode" on page 1035

##### Constraints

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

#### 25.1.25.1.2.3 ProprietaryIdentificationSource <PrtryldSrc>

*Presence:* [1..1]

**Warning** Either DomesticIdentificationSource or ProprietaryIdentificationSource must be present, but not both.

*Definition:* Entity that issues the proprietary identification.

*Datatype:* "Max35Text" on page 1094

#### 25.1.25.1.3 Description <Desc>

*Presence:* [0..1]

*Definition:* Textual description of a security instrument.

*Datatype:* "Max140Text" on page 1093

### 25.1.25.2 FinancialInstrumentDescription3

*Definition:* Description of the financial instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	SecurityIdentification <Sctyld>	[1..1]	±		1003
	PlaceOfListing <PlcOfListg>	[0..1]	IdentifierSet		1004
	SafekeepingPlace <SfkpgPlc>	[0..1]	±		1004

#### 25.1.25.2.1 SecurityIdentification <Sctyld>

*Presence:* [1..1]

*Definition:* Identifies the financial instrument.

**SecurityIdentification <Sctyld>** contains the following elements (see "SecurityIdentification7" on page 1002 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	ISIN <ISIN>	[1..1]	IdentifierSet		1002
Or}	OtherIdentification <Othrlid>	[1..1]			1002
	Identification <Id>	[1..1]	Text		1002
{Or	DomesticIdentificationSource <DmstldSrc>	[1..1]	CodeSet	C3	1003
Or}	ProprietaryIdentificationSource <PrtryldSrc>	[1..1]	Text		1003
	Description <Desc>	[0..1]	Text		1003

### 25.1.25.2.2 PlaceOfListing <PlcOfListg>

*Presence:* [0..1]

*Definition:* Place where the referenced financial instrument is listed.

*Datatype:* "MICIdentifier" on page 1089

### 25.1.25.2.3 SafekeepingPlace <SfkpgPlc>

*Presence:* [0..1]

*Definition:* Identification of the place of safekeeping.

**SafekeepingPlace <SfkpgPlc>** contains one of the following elements (see "PartyIdentification2Choice" on page 911 for details)

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	BICOrBEI <BICOrBEI>	[1..1]	IdentifierSet	C1	911
Or	ProprietaryIdentification <Prtryld>	[1..1]	±		911
Or}	NameAndAddress <NmAndAdr>	[1..1]	±		911

## 25.1.26 Securities Quantity

### 25.1.26.1 OriginalAndCurrentQuantities1

*Definition:* Original and current value of an asset-back instrument.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
	FaceAmount <FaceAmt>	[1..1]	Amount		1004
	AmortisedValue <AmtsdVal>	[1..1]	Amount		1005

#### 25.1.26.1.1 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.26.1.2 AmortisedValue <AmtsdVal>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

*Datatype:* "ImpliedCurrencyAndAmount" on page 1007

#### 25.1.26.2 UnitOrFaceAmountOrCode1Choice

*Definition:* Choice between a unit in decimal number, a face amount in currency and amount or a unit expressed as a code.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Unit <Unit>	[1..1]	Quantity		1005
Or	FaceAmount <FaceAmt>	[1..1]	Amount	C1, C5	1005
Or}	Code <Cd>	[1..1]	CodeSet		1006

##### 25.1.26.2.1 Unit <Unit>

*Presence:* [1..1]

*Definition:* Quantity expressed as a number, eg, a number of shares.

*Datatype:* "DecimalNumber" on page 1090

##### 25.1.26.2.2 FaceAmount <FaceAmt>

*Presence:* [1..1]

*Definition:* Quantity expressed as an amount representing the face amount, ie, the principal, of a debt instrument.

*Impacted by:* C1 "ActiveCurrency", C5 "CurrencyAmount"

*Datatype:* "ActiveCurrencyAndAmount" on page 1007

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.1.26.2.3 Code <Cd>***Presence:* [1..1]*Definition:* Quantity expressed as a code.*Datatype:* "Quantity1Code" on page 1072

CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

**25.1.27 System Identification****25.1.27.1 ClearingSystemIdentification2Choice***Definition:* Choice of a clearing system identifier.

Or	MessageElement<XML Tag>	Mult.	Type	Constr. No.	Page
{Or	Code <Cd>	[1..1]	CodeSet		1006
Or}	Proprietary <Prtry>	[1..1]	Text		1006

**25.1.27.1.1 Code <Cd>***Presence:* [1..1]*Definition:* Identification of a clearing system, in a coded form as published in an external list.*Datatype:* "ExternalClearingSystemIdentification1Code" on page 1046**25.1.27.1.2 Proprietary <Prtry>***Presence:* [1..1]*Definition:* Identification code for a clearing system, that has not yet been identified in the list of clearing systems.*Datatype:* "Max35Text" on page 1094**25.2 Message Datatypes****25.2.1 Amount****25.2.1.1 ActiveCurrencyAnd13DecimalAmount***Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1008

**Format**

minInclusive	0
totalDigits	18
fractionDigits	13

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

**25.2.1.2 ActiveCurrencyAndAmount**

*Definition:* A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

*Type:* Amount

This data type contains the following XML attribute:

Name	Attribute XML Name	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 1008

**Format**

minInclusive	0
totalDigits	18
fractionDigits	5

**Constraints**

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

- **CurrencyAmount**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

**25.2.1.3 ImpliedCurrencyAndAmount**

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

#### Format

minInclusive	0
totalDigits	18
fractionDigits	5

### 25.2.1.4 RestrictedFINImpliedCurrencyAndAmount

*Definition:* Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

*Type:* Amount

#### Format

minInclusive	0
totalDigits	14
fractionDigits	5

## 25.2.2 CodeSet

### 25.2.2.1 ActiveCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

*Type:* CodeSet

#### Format

pattern	[A-Z]{3,3}
---------	------------

#### Constraints

- **ActiveCurrency**

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

### 25.2.2.2 ActiveOrHistoricCurrencyCode

*Definition:* A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".



Type: CodeSet

#### Format

pattern [A-Z]{3,3}

#### Constraints

- **ActiveOrHistoricCurrency**

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

### 25.2.2.3 AdditionalBusinessProcess13Code

*Definition:* Specifies the additional business process linked to a corporate action event.

Type: CodeSet

CodeName	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with

CodeName	Name	Definition
		an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.

#### 25.2.2.4 AddressType2Code

*Definition:* Specifies the type of address.

*Type:* CodeSet

CodeName	Name	Definition
ADDR	Postal	Address is the complete postal address.
PBOX	POBox	Address is a postal office (PO) box.
HOME	Residential	Address is the home address.
BIZZ	Business	Address is the business address.
MLTO	MailTo	Address is the address to which mail is sent.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.

#### 25.2.2.5 AgentRole2Code

*Definition:* Specifies the role of the agent.

*Type:* CodeSet

CodeName	Name	Definition
SPAY	SystemPayingAgent	The System Paying Agent (SPA) is the party within the CSD that is responsible for the distribution of cash and security proceeds related to a specific distribution to other CSD Parties.
CODO	CoDomicile	Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.
ISAG	IssuerAgent	Party appointed to administer the event on behalf of the issuer company/offeror. The party may be contacted for more information about the event.
REGR	Registrar	Party/agent responsible for maintaining the register of a security.

CodeName	Name	Definition
PAYA	PayingAgent	Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

### 25.2.2.6 AmountPriceType1Code

*Definition:* Specifies the amount price type.

*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

### 25.2.2.7 AmountPriceType2Code

*Definition:* Specifies the amount price type.

*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

### 25.2.2.8 AmountPriceType3Code

*Definition:* Specifies the amount price type.

*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

### 25.2.2.9 BeneficiaryCertificationType1Code

*Definition:* Specifies the type of beneficiary's certification.

*Type:* CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.

CodeName	Name	Definition
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

### 25.2.2.10 BeneficiaryCertificationType6Code

*Definition:* Specifies the type of certification required.

*Type:* CodeSet

CodeName	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the

CodeName	Name	Definition
		option level can be offered at the beneficial owner level.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.

### 25.2.2.11 BusinessMessagePriorityCode

*Definition:* Specifies the priority levels for the BusinessMessage.

The different priorities are typically user / service / implementation dependent. The semantics of the different values for a Message (Set) need to be defined by the relevant user community (SEG.).

*Type:* CodeSet

#### Constraints

- ValidationByTable

### 25.2.2.12 CashBalanceType1Code

*Definition:* Specifies the type of cash balance.

*Type:* CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who us the only one who can transfer securities out of this balance type.  Also known as the "escrow balance" or "sequestered balance".

### 25.2.2.13 CertificationFormatType1Code

*Definition:* Specifies the certification format required, that is, physical or electronic format.

*Type:* CodeSet

CodeName	Name	Definition
ELEC	Electronic	Certification must be provided in electronic format.
PHYS	Physical	Certification must be provided in physical format.

**25.2.2.14 ChargeType14Code**

*Definition:* Identifies charges linked to the type of service.

*Type:* CodeSet

CodeName	Name	Definition
ADRF	ADRFee	Charge linked to an ADR (American Depositary Receipt).
ISSC	IssuanceCancellationFee	Fee linked to the cancellation of an issuance.
CUST	CustodyFee	Fee paid to a custodian in respect of custodial services.
CHAR	ServiceProvisionFee	Fee paid for the provision of financial services.
MISC	MiscellaneousFee	Miscellaneous fee.
ISSU	IssuanceFee	Charge linked to the issuance of security.

**25.2.2.15 ConsentType1Code**

*Definition:* Specifies the type of consent announced.

*Type:* CodeSet

CodeName	Name	Definition
CTRM	ChangeInTerms	Change in the terms and conditions of the bond.
DUPY	DueAndPayable	Change in the due and payable conditions.

**25.2.2.16 ConversionType1Code**

*Definition:* Specifies the type of conversion.

*Type:* CodeSet

CodeName	Name	Definition
FINL	Final	This is a final conversion.
INTE	Interim	This is an interim conversion.

**25.2.2.17 CopyDuplicate1Code**

*Definition:* Specifies if this document is a copy, a duplicate, or a duplicate of a copy.

*Type:* CodeSet

CodeName	Name	Definition
CODU	CopyDuplicate	Message is being sent as a copy to a party other than the account owner, for information purposes and the message is a duplicate of a message previously sent.

CodeName	Name	Definition
COPY	Copy	Message is being sent as a copy to a party other than the account owner, for information purposes.
DUPL	Duplicate	Message is for information/confirmation purposes. It is a duplicate of a message previously sent.

### 25.2.2.18 CorporateActionAcceptedWithWarningStatus1Code

*Definition:* Accepted with warning status of the corporate action notification advice coming from the issuer agent.

*Type:* CodeSet

CodeName	Name	Definition
SCAL	InvalidSecurityCalledAmount	Invalid Security Called Amount.
PAYD	PayableDateOutsideRange	Payable Date outside of applicable date range.

### 25.2.2.19 CorporateActionCalculationMethod1Code

*Definition:* Specifies the calculation method for drawings.

*Type:* CodeSet

CodeName	Name	Definition
PROR	ProRata	Pro-rata for drawings.
LOTT	Lottery	Lottery for drawings.
NOMI	NominalReduction	Reduction of nominal for partial redemption.
NNOM	NoNominalReduction	Without reduction of nominal (via pool factor) for partial redemption.

### 25.2.2.20 CorporateActionChangeType1Code

*Definition:* Specifies the type of changes.

*Type:* CodeSet

CodeName	Name	Definition
BERE	BearToRegistered	Change from bearer into registered form.
CERT	Certificates	Change of all instruments into certificates.
DEPH	DematerialisedToPhysical	Change from dematerialised into physical form.
GPPH	GlobalPermanentToPhysical	Change from global permanent to physical form.
GTGP	GlobalTemporaryToGlobalPermanent	Change from global temporary to global permanent form.
GTPH	GlobalTemporaryToPhysical	Change from global temporary to physical form.

CodeName	Name	Definition
NAME	Name	The issuing company changes its name. CA event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
PHDE	PhysicalToDematerialised	Change from physical into dematerialised form.
REBE	RegisteredToBearer	Change from registered into bearer form.
TERM	Terms	Change in the terms of the security.
DECI	Decimalisation	Process of changing the accounting method of a security, for example, mutual fund, from units to a quantity with decimals.

### 25.2.2.21 CorporateActionEventProcessingType1Code

*Definition:* Specifies the type of event processing.

*Type:* CodeSet

CodeName	Name	Definition
GENL	General	No debit or credit of resources.
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

### 25.2.2.22 CorporateActionEventProcessingType2Code

*Definition:* Specifies the type of event processing.

*Type:* CodeSet

CodeName	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).



**25.2.2.23 CorporateActionEventStage1Code**

*Definition:* Specifies the stage of the CA event.

*Type:* CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, eg, dividend.
CLDE	Deactivated	The offer is closed for acceptance.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, eg, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.
FULL	FullReversalLotteryNotification	An original or supplemental lottery is being reversed.
LAPS	Lapsed	The event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	PartialReversalLotteryNotification	Original call amount is reduced by the agent. The original announcement is cancelled and a new original lottery is issued.
RESC	RescissionReversalLotteryNotification	Original and or supplemental lottery details have changed and the lottery is being cancelled.

**25.2.2.24 CorporateActionEventStage3Code**

*Definition:* Specifies the stage of the CA event.

*Type:* CodeSet

CodeName	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent

CodeName	Name	Definition
		new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

### 25.2.2.25 CorporateActionEventStatus2Code

*Definition:* Specifies the status of the CA option.

*Type:* CodeSet

CodeName	Name	Definition
ACTI	Active	The CA event is active.
CANC	Cancelled	The CA event is cancelled.
INAC	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, eg, expired option.

### 25.2.2.26 CorporateActionEventType2Code

*Definition:* Specifies the status of the CA event.

*Type:* CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer/Issuer bid/ Reverse rights. Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to

CodeName	Name	Definition
		reduce the number of outstanding equities.
BONU	BonusIssue	Event is a bonus issue or scrip issue or capitalisation issue. Security holders are awarded additional assets free of payment from the issuer in proportion to their holding. A bonus issue is typically represented by shares, rights or warrants. Nominal value doesn't change. Holder may be offered choice of form.
BPUT	PutRedemption	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or Sicavs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, eg, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party intended to progress an event to the next stage. This procedure is not required to be linked to the organisation of a formal meeting. For example, consent to approve a plan of reorganisation for a bankruptcy proceeding.
CONV	Conversion	Conversion of securities ( generally convertible bonds or preferred shares) into another form of securities ( usually

CodeName	Name	Definition
		common shares) at a pre-stated price/ratio.
COOP	CompanyOption	A Company Option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, eg, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Redemption in part before the scheduled final maturity date of a security. Drawing is distinct from partial call since drawn bonds are chosen by lottery and with no reduction in nominal value.
DRIP	DividendReinvestment	Event is a dividend payment type where cash dividend is rolled over into additional shares in the issuing company.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	An action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding.

CodeName	Name	Definition
		Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Event is a distribution of a dividend to shareholders with the choice of payment method. The shareholder must choose the form of payment - stock, cash, or both.
DVSC	ScripDividend	Scrip Dividend/Payment. Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Event is a dividend paid to shareholders in the form of shares of stock in the issuing company or in another company. The shareholder must take stock and is not offered a choice in the form of distribution.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INTR	InterestPayment	Regular interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	The redemption of an entire issue outstanding of securities, eg, bonds,

CodeName	Name	Definition
		preferred equity, funds, by the issuer or its agent, eg, asset manager, before final maturity.
MRGR	Merger	Event is a mandatory or voluntary exchange of outstanding securities as the result of two or more companies combining assets. Cash payments may accompany share exchange.
ODLT	OddLotSalePurchase	Odd Lot Sale/Purchase. Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
PARI	PariPassu	Occurs when securities with different characteristics, eg, shares with different entitlements to dividend or voting rights, become identical in all respects, eg, pari-passu or assimilation. May be scheduled in advance, eg, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, eg, merger, reorganisation, issue of supplementary tranches, etc.
PCAL	PartialRedemptionWithNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date with reduction of the nominal value of the shares. The outstanding amount of securities will be reduced proportionally.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	An instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithoutNominalValueReduction	Securities are redeemed in part before their scheduled final maturity date without reduction of the nominal value of the shares. This is commonly done by pool factor reduction.
PRII	InterestPaymentWithPrincipal	An event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.

CodeName	Name	Definition
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDM	FinalMaturity	The redemption of an entire issue outstanding of securities, eg, bonds, preferred equity, funds, by the issuer or its agent, eg, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, eg, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Rights Issue/ Subscription Rights/ Rights Offer. Distribution of a security or privilege that gives the holder an entitlement or right to take part in a future event.
SHPR	SharesPremiumDividend	This corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Event is a demerger or distribution or an unbundling. It is a distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. A spin-off represents a form of divestiture resulting in an independent company. Normally this is without cost to the parent issue shareholder.
SPLF	StockSplit	Event is a change in nominal value, a subdivision. It is an increase in a corporation's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. Stock price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Event is a change in nominal value, a consolidation. It is a decrease in number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the

CodeName	Name	Definition
		time of the split. Stock price and nominal value are reduced accordingly.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Event is an acquisition or take-over or offre publique de retrait (FR) or purchase offer or buy-back. It is an offer made to shareholders requesting them to sell (tender) their shares for a specified price usually at a premium over prevailing market price. Generally, the objective of a tender offer is to take control of the target company.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
OTHR	OtherEvent	Other event, use only when no other event type applies, eg, a new event type.

### 25.2.2.27 CorporateActionEventType35Code

*Definition:* Specifies the corporate action event type.

*Type:* CodeSet

CodeName	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities'



CodeName	Name	Definition
		issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
DRCA	CashDistributionFromNonEligibleSecuritiesSales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
CHAN	Change	Information regarding a change further described in the corporate action details.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.

CodeName	Name	Definition
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a

CodeName	Name	Definition
		specific price range. The acquiring party will buy from the holder with lowest offer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.

CodeName	Name	Definition
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for

CodeName	Name	Definition
		example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
TREC	TaxReclaim	Event related to tax reclaim activities.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.

CodeName	Name	Definition
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
WRTH	Worthless	Booking out of valueless securities.
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

### 25.2.2.28 CorporateActionFrequencyType1Code

*Definition:* Specifies the frequency of a CA event.

*Type:* CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.

**25.2.2.29 CorporateActionFrequencyType5Code**

*Definition:* Automatic Reinvestment of Cash distributed by accumulating funds.

*Type:* CodeSet

CodeName	Name	Definition
FINL	Final	Payment of a dividend, less amounts already paid through interim dividends.
INTE	Interim	Dividend declared and paid before annual earnings have been determined.
REIN	Reinvestment	Reinvestment of cash distributed.
REGR	Regular	Dividend paid at regular interval, for example, quarterly.
SPEC	Special	Dividend in addition to the regular dividend being paid by the company.
SPRE	SpecialDividendWithReinvestment	Special Dividend with reinvestment component.

**25.2.2.30 CorporateActionInformationType1Code**

*Definition:* Specifies the type of information event.

*Type:* CodeSet

CodeName	Name	Definition
CONF	ConferenceCall	Information is about an informal call when an issuer, trustee, agent wishes to talk to note holders to discuss a certain issue or potential change to the notes; nothing said being binding.

**25.2.2.31 CorporateActionMandatoryVoluntary1Code**

*Definition:* Specifies whether the event is mandatory, mandatory with options or voluntary.

*Type:* CodeSet

CodeName	Name	Definition
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

**25.2.2.32 CorporateActionNotificationType1Code**

*Definition:* Type of notification advice.

*Type:* CodeSet

CodeName	Name	Definition
NEWM	New	New notification.
REPL	Replacement	Notification replacing a previously sent notification.
RMDR	Reminder	Notification sent as a reminder of an event taking place.

### 25.2.2.33 CorporateActionNotificationType2Code

*Definition:* Type of notification cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
WITH	Withdrawal	Notification sent to void a previously sent notification due to the withdrawal of the event by the issuer.
CANC	Cancellation	Notification requesting the cancellation of a previously sent notification.

### 25.2.2.34 CorporateActionOption15Code

*Definition:* Specifies the type of corporate action options.

*Type:* CodeSet

CodeName	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.  If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.



CodeName	Name	Definition
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.

### 25.2.2.35 CorporateActionOptionType1Code

*Definition:* Specifies the type of CA options.

*Type:* CodeSet

CodeName	Name	Definition
BSPL	BonusSharePlan	Receive shares issued from the Share Premium Reserve of the company and considered as a capital distribution rather than a disbursement of income, with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurities	Election choice includes a combination of both cash and securities.

CodeName	Name	Definition
CASH	Cash	Distribution of cash.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MPUT	Retain	An option that allows a holder to elect to retain their holding, eg, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
SECU	SecuritiesOption	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, eg, a split voting instruction on a meeting.
NOQU	NonQualifiedInvestor	The account owner is a Non-Qualified Investor.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
QINV	QualifiedInvestor	The account owner is a Qualified Investor.

### 25.2.2.36 CorporateActionPendingStatus1Code

*Definition:* Pending status of the corporate action notification advice coming from the issuer agent.

*Type:* CodeSet

CodeName	Name	Definition
CNFC	ConcurrentFullCall	Concurrent full call event exists.
PUBF	FuturePublicationDate	Pending due to future publication date.
REOR	ConcurrentReorganisation	Concurrent reorganisation announcement exists.
ACCR	IncalculableInterest	Unable to calculate accrued interest. Missing payment details.

CodeName	Name	Definition
SCAL	InvalidSecurityCalledAmount	Invalid Security Called Amount.
LATE	LateAnnouncement	Late announcement. The declared payment date is outside of acceptable range in the past.
MATU	MaturingIssue	Maturing issue. Redemption date is greater than or equal to maturity date.
PAYD	PayableDateOutsideRange	Payable date outside of applicable date range.
POSD	PositionDiscrepancy	Position discrepancy. Potential partial call.
CNPC	PotentialPartialCall	Verify event. Potential conflicting partial call exists.
CNRD	VerifyConcurrentRedemption	Verify event. Concurrent redemption event exists.
CNRE	VerifyConcurrentReorganisation	Verify event. Concurrent reorganisation event exists.
VRON	VerifyRateRedemption	Verify rate. On-cycle redemption.
VRZC	VerifyRateZeroBond	Verify rate. Zero coupon bond.

### 25.2.2.37 CorporateActionTaxableIncomePerShareCalculated1Code

*Definition:* Specifies whether the fund calculates the taxable income per dividend/taxable income per share (TID/TIS).

*Type:* CodeSet

CodeName	Name	Definition
TDIY	Calculated	Fund is calculating the taxable income per dividend/taxable income per share.
TDIN	NotCalculated	Fund is not calculating the taxable income per dividend/taxable income per share.
UKWN	Unknown	Unknown whether the fund is calculating the taxable income per dividend/taxable income per share.

### 25.2.2.38 CountryCode

*Definition:* Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

*Type:* CodeSet

#### Format

pattern [A-Z]{2,2}

**Constraints**

- **Country**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

**25.2.2.39 CreditDebitCode**

*Definition:* Specifies if an operation is an increase or a decrease.

*Type:* CodeSet

CodeName	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

**25.2.2.40 DateType1Code**

*Definition:* Specifies when date is unknown.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

**25.2.2.41 DateType6Code**

*Definition:* Specifies the type of dates.

*Type:* CodeSet

CodeName	Name	Definition
OPEN	Open	Open-dated, which indicates that the date has not been established.
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

**25.2.2.42 DateType7Code**

*Definition:* Type of date.

*Type:* CodeSet

CodeName	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

**25.2.2.43 DateType8Code**

*Definition:* Specifies the type of dates.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

#### 25.2.2.44 DateType9Code

Definition: Date is specified as a fixed code value.

Type: CodeSet

CodeName	Name	Definition
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
UKWN	Unknown	Date is unknown by the sender or has not been established.

#### 25.2.2.45 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

CodeName	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

**25.2.2.46 DistributionInstructionType1Code**

*Definition:* Specifies the type of distribution instruction.

*Type:* CodeSet

CodeName	Name	Definition
GDEB	GlobalDebitOrder	Instruction to debit the positions held under all clients accounts in the underlying resources of the given event and option. This order applies to all clients who are eligible to participate to the CA event.
IDEB	IndividualOrder	An instruction, on a per client basis, to debit the position held in the underlying resources (securities and/or cash) and/or the credit of the proceeds (securities and/or cash).
GRET	GlobalReturnOrder	Instruction to transfer securities from the clients sequestered balances back to the respective available balances.
CHAN	OptionChangeOrder	Instruction to transfer the position from one sequestered balance (related to a CA option) to another sequestered balance (related to another CA option).
IRET	IndividualReturnOrder	Instruction to transfer securities from a client sequestered balance back to its available balance.

**25.2.2.47 DistributionType1Code**

*Definition:* Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

*Type:* CodeSet

CodeName	Name	Definition
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

**25.2.2.48 DistributionType3Code**

*Definition:* Specifies whether the proceeds of the event will be distributed on a rolling basis rather than on a specific date.

*Type:* CodeSet

CodeName	Name	Definition
FINL	Final	Final payment.
INTE	Interim	Interim payment.
ONGO	Ongoing	Event is ongoing for acceptance on an unsolicited basis.
ROLL	RollingBasis	Event will have a number of acceptance and payment dates until further announcement by the Issuer or its agent.

**25.2.2.49 DividendRateType1Code**

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

**25.2.2.50 DTCCBaseDisbursed1Code**

*Definition:* Code qualifying whether the calculation basis is on base (original) or disbursed (distributed) security.

*Type:* CodeSet

CodeName	Name	Definition
BASE	Base	Based on base security.
DISB	Disbursed	Based on disbursed security.

**25.2.2.51 DTCCSubEventType9Code**

*Definition:* Specifies DTCC (The Depository Trust and Clearing Corporation) defined sub event types.

*Type:* CodeSet

CodeName	Name	Definition
OPTO	OptOut	Identifies an event (for example Cash Dividend) where DTC (the Depository Trust Company) offers a DRIP option as a default option (holder must opt out of the DRIP Option).
DRPD	DividendReinvestmentByDTC	Identifies an event where the Issue is eligible for a Dividend Reinvestment program at DTCC (The Depository Trust and Clearing Corporation).
PLCL	PlaceOfListing	Identifies a change in the place where the security is listed.
BLOT	BoardLot	Identifies a change in Board Lot size.
RMRK	Remarketing	Identifies an event that involves a Stock Purchase Contract product that is offering an early settlement or remarketing feature prior to the contract's expiration.
UNWD	Unwind	Identifies an event where "unwinding" of the basket of securities occurs.
SHEX	ShareExchange	Identifies an event where two companies exchange their shares during a merger. After the share exchange, one company becomes a subsidiary of the other and is no longer listed. Usually applicable to an event in JP markets.
STDT	Standard	Identifies an event where one company merges with the other and assumes the name of the surviving company. It is the

CodeName	Name	Definition
		standard market-accepted merger. Usually applicable to an event in JP markets.
XFER	Transfer	Identifies an event where one company transfers all of its shares to a newly created company. Usually applicable to an event in JP markets.
SOPT	SurvivorOptions	Indicates an event where the Issue has an early redemption feature. This feature allows the holder to elect to sell bonds back to the issuer on a predetermined basis (excluding monthly) according to specific priorities.
MBCK	MortgageBacked	Indicates an event where the issue has an early redemption feature that allows the holder to elect to sell bonds back to the issuer on a monthly basis, according to specified conditions.
SALE	SaleOfAssets	Identifies an event where the distribution is from the proceeds of the sale of assets.
PRNI	PhysicalRightsNotIssued	Identifies an event where the company is not issuing a security with the right to subscribe for additional shares. In these instances, a User CUSIP is created by DTC (The Depository Trust Company) as opposed to a company-issued CUSIP in order to identify these issues.
POPI	PoisonPill	Identifies sale of rights event where issuers redeem poison pill rights.
DRPI	DividendReinvestmentByIssuer	Identifies an event where the issuer offers a dividend reinvestment program that is not processed by DTC (the Depository Trust Company).
MROS	MandatoryRedemptionOfShares	Identifies an event where redemption shares are issued in addition to shares of the original security. This is related to the Mandatory Exchange event with the same sub-event type name.
SHPP	SharePurchasePlan	Identifies an event involving the offer of shares by a corporation listed on the Australia Stock Exchange, in which ASIC will give relief so that the company can offer existing shareholders the opportunity to acquire small numbers of additional shares without needing a disclosure document or Product Disclosure Statement (PDS).
OPOF	OpenOffer	Identifies an event in certain markets where underlying rights are not issued.
TWRI	TenderWithRights	Identifies a tender offer event on a Rights security. Holders must submit both the ordinary share(s) and right(s) to be eligible to receive the tender consideration. The ratio of ordinary shares to rights is defined in the offer.



CodeName	Name	Definition
CILI	CashinLieu	Identifies DTC (the Depository Trust Company) specific sub-event where holders can elect to "sell" whole shares to satisfy fractional entitlements (usually as a result of a merger) at the beneficial owner level.
BTST	BidTenderSealedTender	Identifies a tender offer event in which the holder can choose the price at which they are willing to tender their securities. This price may or may not be accepted by the offeror.
FTPR	OfferToPurchase	Identifies a tender offer event made by another company to purchase a portion or all of the outstanding shares.
ADRS	ADR	Identifies when the event security is an ADR. The underlying security is American Depositary Receipt.
WITH	WithPayout	Identifies a consent event with payout.
WITO	WithoutPayout	Identifies a consent event without payout.
CTAX	TaxCredit	Identifies an event where a tax credit relating to the Build America Bonds will occur.
A144	USLegal144A	Identifies an exchange offer on securities that are subject to Securities Rule 144A.
CASE	CashAndSecurities	Identifies an event where the payout will be both cash and securities.
REGS	RegulationS	Identifies an exchange offer on securities that are subject to securities rule Reg S.
NOTI	ImportantNotice	Identifies a MMI Important Notice.
RDTH	BasedOnRecordDateHoldings	Identifies an event which will be based on record date holdings.
CASH	Cash	Identifies an event where the payout will be cash.
PREQ	PresentationRequired	Identifies an event where the presentation of securities will be required.
SECU	Securities	Identifies an event where the payout will be securities.
COTE	ConvertAndTender	Identifies a tender offer with a convert feature.
MITE	MiniTender	Identifies when a tender offer is a mini tender.
SETE	SelfTender	Identifies when a tender offer is a self tender.
GDRS	GDR	Identifies when the event security is a GDR. The underlying security is a global depositary receipt.

CodeName	Name	Definition
M871	DividendEquivalentPayment871M	Identifies an event which has been considered a dividend equivalent payment under Section 871(m) of the United States Internal Revenue Code.
C305	DeemedDividend305C	Identifies an event which has been considered a deemed distribution under Section 305(c) of the United States Internal Revenue Code.
CONV	Conversion	Identifies an event which is a full call or a maturity with conversion.
CUSP	NameAndCUSIPChange	Name change with CUSIP change.
CUPR	NameAndCUSIPChangeAndPresentationRequired	Name change with both CUSIP change and presentation required.
RCLA	Classification1042S	Identifies distributions that have multiple components for tax withholding and 1042-S reporting purposes.
QN92	ExemptionQualifiedNotice92Days	Identifies a qualified notice issued by a publicly traded partnership that states applicability of the 10 percent exception under IRS regulation 1.1446(f)-4(b)(3).
UNIT	UnitCombination	Identifies a combination of assets or types of assets packaged together and sold as one. For example, a shareholder buying one unit of company stock may get preferred shares, ordinary shares and even warrants in the unit.
ECNI	AmountsInExcessOfCumulativeNetIncome	Identifies when a publicly traded partnership identifies the amount realised on such portion of the distribution as an amount in excess of cumulative net income under IRS regulation 1.1446(f)-4(c)(2)(iii).
SPAC	SpecialPurposeAcquisitionCompany	Specified purpose acquisition companies (SPACs) are public companies comprised of cash available to investors in financial markets. The sole purpose of SPACs is to use the proceeds to finance a future acquisition. SPACs generally commit to identify a target operating company and complete a business combination transaction within a specified timeframe. SPACs have a feature where funds are put into a trust account and when the target investment is identified the investor can have their proceeds returned or participate in the business combination.

### 25.2.2.52 DutchAuctionType1Code

*Definition:* Specifies the type of Dutch auction.

*Type:* CodeSet

CodeName	Name	Definition
MDFD	Modified	All instructions are accepted at the same price (issuer bid).
UNMD	Unmodified	All instructions are accepted at the instructed price (offering bid).

### 25.2.2.53 ElectionMovementType1Code

*Definition:* Specifies the effect on the holdings of electing a Corporate Action option.

*Type:* CodeSet

CodeName	Name	Definition
REST	Restricted	Election results in a change of balance type that transfers control of the securities rather than the securities themselves.
DRCT	Direct	Direct movement of resource, that is, cash or security that is directly credited to the paying agent account.

### 25.2.2.54 ElectionType1Code

*Definition:* Specifies the type of election.

*Type:* CodeSet

CodeName	Name	Definition
NEWM	NewElection	New election advice.
CHAN	OptionChange	Election advice which is the result of an option change.

### 25.2.2.55 EUCapitalGain2Code

*Definition:* Specifies whether capital gain is in the scope of the European directive on taxation of savings income in the form of interest payments (Council Directive 2003/48/EC 3 June) for an income realised upon sale, a refund or redemption of shares and units etc.

*Type:* CodeSet

CodeName	Name	Definition
EUSI	CapitalGainInScope	Capital gain is in the scope of the directive.
EUSO	CapitalGainOutScope	Capital gain is out of the scope of the directive.
UKWN	CapitalGainUnknown	Unknown whether capital gain is in or out of the scope of the directive.

### 25.2.2.56 EventCompletenessStatus1Code

*Definition:* Indicates whether the details provided about an event are complete or incomplete.

*Type:* CodeSet

CodeName	Name	Definition
COMP	Complete	Event details are complete.
INCO	Incomplete	Event details are not complete.

### 25.2.2.57 EventConfirmationStatus1Code

*Definition:* Indicates the status of the occurrence of an event.

*Type:* CodeSet

CodeName	Name	Definition
CONF	Confirmed	Occurrence of the event has been confirmed.
UCON	Unconfirmed	Occurrence of the event has not been confirmed.

### 25.2.2.58 ExtendedEventType8Code

*Definition:* Specifies DTCC (The Depository Trust and Clearing Corporation) defined corporate action event type.

*Type:* CodeSet

CodeName	Name	Definition
CDRD	CertificateOfDepositEarlyRedemption	Feature of a security that allows an issuer to make a payment to the security holder. This event will be used for securities subject to redemptions other than those categorised as full and partial calls (for example early Certificate of Deposit redemptions).
FPAY	FinalPaydown	Final distribution of principal due on a security, typically Collateralised Mortgage Obligations.
REDW	RedemptionOfWarrant	Event is a redemption of warrant.
TMTN	Termination	Security, usually a form of a derivative, for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy. Distinguishes from Mandatory Exchange, Exchange Offer event types mapped to the same ISO event type code.
FPRE	FullPrefunding	Exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt.

### 25.2.2.59 ExtendedOptionFeature2Code

*Definition:* Specifies DTCC (The Depository Trust and Clearing Corporation) specific options.

*Type:* CodeSet

CodeName	Name	Definition
FORU	ForeignTaxUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for the Foreign Tax Relief service at DTC. Option to select unfavourable tax rate. The Foreign Tax Unfavourable option is the default option.
FORF	ForeignTaxFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for the Foreign Tax Relief service at DTC. An election into this option ensures the participant receives their payment at a favourable tax withholding rate.
FORX	ForeignTaxExempt	DTC (The Depository Trust Company) only option. The event and security are eligible for the Foreign Tax Relief service at DTC. An election into this option ensures the participant is exempt from any tax withholding.
DRPU	DRIPUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and Foreign Tax services at DTC. Participants electing this option will receive additional shares and the tax withholding on those shares will be at the unfavourable tax rate.
DRPF	DRIPFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and Foreign Tax Relief services at DTC. Participants electing this option will receive additional shares and the tax withholding on those shares will be at the favourable tax rate.
DRPX	DRIPExempt	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Dividend Reinvestment and the Foreign Tax Relief services at DTC. Participants electing this option will receive additional shares and will be exempt from any tax withholding.
F CPP	ForeignCurrencyPayment	DTC (The Depository Trust Company) only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participants electing this option will receive their distribution in the foreign currency instructed.
FCPU	ForeignCurrencyPaymentUnfavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing this option will receive their distribution in a foreign currency and the tax withholding on that distribution will be subject to unfavourable tax withholding.

CodeName	Name	Definition
FCPF	ForeignCurrencyPaymentFavorable	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing the option will receive their distribution in foreign currency and the tax withholding on that distribution will be subject to favourable tax withholding.
FCPX	ForeignCurrencyPaymentExempt	DTC (The Depository Trust Company) only option. The event and security are eligible for both the Foreign Currency and Foreign Tax services at DTC. Participants electing this option will receive their distribution in a foreign currency and will be exempt from any tax withholding.
NSHR	ShareholderNumberNotAllowed	Indicates option in which holders must not provide a shareholder number if provided will be rejected.

#### 25.2.2.60 ExternalClearingSystemIdentification1Code

*Definition:* Specifies the clearing system identification code, as published in an external clearing system identification code list.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	5

#### 25.2.2.61 ExternalFinancialInstitutionIdentification1Code

*Definition:* Specifies the external financial institution identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

##### Format

minLength	1
maxLength	4

#### 25.2.2.62 ExternalFinancialInstrumentIdentificationType1Code

*Definition:* Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 25.2.2.63 ExternalOrganisationIdentification1Code

*Definition:* Specifies the external organisation identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 25.2.2.64 ExternalPersonIdentification1Code

*Definition:* Specifies the external person identification scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from [www.iso20022.org](http://www.iso20022.org).

*Type:* CodeSet

**Format**

minLength	1
maxLength	4

### 25.2.2.65 FailedSettlementReason1Code

*Definition:* Specifies the reason of a settlement failure.

*Type:* CodeSet

CodeName	Name	Definition
CANE	CreditAccountNotEligible	Credit account is not eligible.
CADI	CreditAccountDisabled	Credit account is disabled.
DANE	DebitAccountNotEligible	Debit account is not eligible.

CodeName	Name	Definition
AADI	DebitAccountDisabled	Debit account is disabled.
INSE	InsufficientSecurities	Insufficient securities on the account to settle.
INDI	IncompleteDistribution	The distribution is incomplete.
INCA	InsufficientCash	Insufficient cash on the account to settle.

### 25.2.2.66 FormOfSecurity1Code

*Definition:* Form of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
BEAR	Bearer	The financial instrument does not specify any registration of ownership, and is payable to whomever possesses the certificate.
REGD	Registered	Shareholder name of the financial instrument appears in the corporation/ funds books.

### 25.2.2.67 FractionDispositionType12Code

*Definition:* Indicates the processing to apply to fractions of securities.

*Type:* CodeSet

CodeName	Name	Definition
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.

### 25.2.2.68 FractionDispositionType1Code

*Definition:* Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

*Type:* CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round down to the nearest whole number.
RDUP	RoundUp	Round up to the nearest whole number.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down.



**25.2.2.69 FractionDispositionType8Code**

*Definition:* Indicates that fractional value should be retained; no rounding.

*Type:* CodeSet

CodeName	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

**25.2.2.70 GrossDividendRateType1Code**

*Definition:* Specifies the type of gross dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate

CodeName	Name	Definition
		does not include tax credit and is subject to withholding tax.
LTCG	LongTermCapitalGain	Long term capital gain.
STCG	ShortTermCapitalGain	Short term capital gain.

### 25.2.2.71 GrossDividendRateType6Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

### 25.2.2.72 GrossDividendRateType7Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
STCG	ShortTermCapitalGain	Short term capital gain.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

### 25.2.2.73 InterestComputationMethod2Code

*Definition:* Specifies the method used to compute accruing interest of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the

CodeName	Name	Definition
		period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is

CodeName	Name	Definition
		assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of

CodeName	Name	Definition
		February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

#### 25.2.2.74 IntermediateSecurityDistributionType1Code

*Definition:* Specifies the type of intermediate security distribution.

*Type:* CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.

CodeName	Name	Definition
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Distribution of subscription rights.
PRIO	OpenOfferRights	Distribution of open offer rights.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.

### 25.2.2.75 IntermediateSecurityDistributionType6Code

*Definition:* Specifies the type of intermediate security distribution.

*Type:* CodeSet

CodeName	Name	Definition
BIDS	ReverseRights	Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
EXRI	SubscriptionRights	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.

CodeName	Name	Definition
PRIO	OpenOfferRights	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
SOFF	SpinOff	Distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision.  Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
BONU	BonusRights	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
UKWN	Unknown	Intermediate securities are distributed before the use of them has been established.

### 25.2.2.76 ISO2ALanguageCode

*Definition:* Identification of the language name according to the ISO 639-1 codes. The type is validated by the list of values coded with two alphabetic characters, defined in the standard.

*Type:* CodeSet



**Format**

pattern [a-z]{2,2}

**25.2.2.77 IssuerAgentRejection1Code***Definition:**Type:* CodeSet

CodeName	Name	Definition
NMOD	CancelledEvent	Cancelled event cannot be modified.
PR1K	CashRateLessThanThousand	Zero Coupon Bond, Principal / Cash rate must be less than USD 1,000.00.
CER0	CertificateAmountGreaterZero	Certificate Amount must be greater than zero.
NCON	ConvertibleSecurityNotSupported	Convertible Security Not Supported.
DEPO	DepositoryCalledAmountEqualSecurityCalledAmount	Depository Called Amount must be greater than zero and must be equal to Security Called Amount.
FAS0	DepositoryCalledAmountGreaterZero	Depository Called Amount must be greater than zero.
DUPL	DuplicateEvent	Duplicate event exists.
PDAY	EffectiveDayAfterPaymentDate	Effective date is after the payment date.
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
LOCK	GlobalLock	Underlying Security has a global lock.
GAMN	GrossAmountLessThanNetAmount	Gross amount is less than net amount.
UNDI	InactiveUnderlyingSecurity	Inactive Underlying Security.
DEFE	InvalidDefeasedSecurityIdentifier	Invalid Defeased Security Identifier.
IDIS	InvalidDisbursedSecurityIdentification	Disbursed security identification is missing.
SEEQ	InvalidEquitySecurityType	Invalid Equity Security Type.
EVNT	InvalidEventType	Invalid Event Type.
NDEF	InvalidNonDefeasedSecurityIdentifier	Invalid Non-Defeased Security Identifier.
NREF	InvalidNonRefundedSecurityIdentifier	Invalid Non-Refunded Security Identifier
REFR	InvalidNotificationIdentification	Invalid Notification Identification.
ISSC	InvalidOrMissingIssuerAgentContactInformation	Issuer agent contact information is invalid or missing.
PRIN	InvalidPrincipalCashRate	Invalid Principal Cash Rate.
REDT	InvalidRedemptionDate	Invalid Redemption Date.
REFU	InvalidRefundedSecurityIdentifier	Invalid Refunded Security Identifier.
CERT	InvalidTotalCertificateAmount	Invalid Amount Total Certificate Amount must be greater than zero and equal to Security Called Amount.

CodeName	Name	Definition
UNDV	InvalidUnderlyingSecurity	Invalid Underlying Security.
BACK	MissingBackEndOddLotQuantity	Back end odd lot quantity is missing.
BIDI	MissingBidInterval	Bid interval is missing.
CINL	MissingCashInLieuPrice	Cash in lieu price is missing.
MCER	MissingCertification	Certification has not been filled in.
CSRT	MissingCashRate	Cash rate is missing.
COND	MissingConditionalTendersAcceptedFlag	Conditional tenders accepted flag is missing.
DFLT	MissingDefaultOption	One option must be marked as the default.
MDIS	MissingDisbursedSecurityIdentification	Disbursed security identification is missing.
XRAT	MissingExchangeRate	Resulting amount is not allowed without an exchange rate.
FIRS	MissingFirstBidIncrement	First bid increment is missing.
FRAC	MissingFractionalDisposition	Fractional Underlying Security cannot be announced.
MFCF	MissingFullConditionalFlag	Full conditional flag is missing.
ISSR	MissingIssuerAgent	Issuer agent is missing.
LAST	MissingLastBidIncrement	Last bid increment is missing.
MAXP	MissingMaximumPrice	Maximum price is missing.
MINP	MissingMinimumPrice	Minimum price is missing.
ODLT	MissingOddLotPriorityFlag	Odd lot priority flag is missing.
SECO	MissingOption	Second option is mandatory.
OVCH	MissingOversubscriptionChargeFlag	Oversubscription charge flag is missing.
OVFL	MissingOversubscriptionFlag	Oversubscription flag is missing.
OVPR	MissingOversubscriptionPrice	Oversubscription price is missing.
PTYP	MissingPayoutType	Payout type is missing.
ENDP	MissingPeriodEndDate	Period ending date or code is missing.
STAR	MissingPeriodStartDate	Period starting date or code is missing.
PBAS	MissingPriceBasis	Price basis is missing.
PROR	MissingProrationRate	Proration rate is missing.
MPRI	MissingProrationRoundingIndicator	Proration rounding indicator is missing.
PRCH	MissingProtectChargeIndicator	Protect charge indicator is missing.
RESU	MissingResultingAmount	Resulting amount must be filled in as exchange rate is present.
RITR	MissingRightsTransferrableFlag	Rights transferrable flag is missing.
ROUN	MissingRoundingFactor	Rounding factor is missing.

CodeName	Name	Definition
SERT	MissingSecuritiesRate	Securities rate is missing.
SFEE	MissingSolicitationDealerFeeFlag	Solicitation dealer fee flag is missing.
SUCH	MissingSubscriptionChargeFlag	Subscription charge flag is missing.
SUPR	MissingSubscriptionPrice	Subscription price is missing.
UNSP	MissingUnspecifiedPriceAllowed	Unspecified price allowed is missing.
NOAC	NoAccessToService	Agent has no access to the service.
NPAT	NonActiveParty	Party is not active.
ELIG	NonEligibleSecurity	Security is not eligible.
BDAY	NotBusinessDay	Date is not a business day for the security market.
AGID	NotDefinedAgent	Party is not defined as an agent.
DISP	NotEligibleDisperseSecurity	Disperse security is not eligible in the (ICSD) service provider owner.
ONCV	OngoingConversionEventExists	Ongoing Conversion Event Exists
PERI	PeriodEndDateBeforeStartDate	Period ending date is before period starting date.
PUBD	PublicationDate	Publication date must be earlier than payable date.
RDTE	RecordDateAfterPaymentDate	Record date is after payment date.
UNDM	UnderlyingSecurityNotEligible	Underlying Security is not eligible at Depository.
ACRU	UnexpectedAccruedInterest	Accrued interest is not applicable for this type of security.
VALU	ValueDateBeforePaymentDate	Value date is before payment date.
SECT	WrongSecurityType	Security type does not match with the type of corporate action.

### 25.2.2.78 LotteryFeatureType1Code

*Definition:* Specifies the lottery feature.

*Type:* CodeSet

CodeName	Name	Definition
XTRA	Extraordinary	Extraordinary redemption. Extraordinary redemption allows the issuer to call its bonds before maturity if certain specified events occur, such as the project for which the bond was issued to finance has been damaged or destroyed. This feature must be specified in the bond's offering statement.
OPTL	Optional	Issuer has the right to redeem all or part of the bonds before their maturity dates.
SINK	SinkingFund	Bond sinking fund. Bond sinking fund is a financial mechanism used by bond

CodeName	Name	Definition
		issuers to set aside money for the purpose of repaying bondholders at maturity or through periodic redemptions.
SPCL	Special	Special redemption bond. Bond that can be redeemed before maturity due to an unusual event, such as a catastrophe that impacts the source of the bond's revenue. This feature must be specified in the bond's offering statement. Special redemption can be done pursuant to the provisions of the indenture.

### 25.2.2.79 LotteryType1Code

*Definition:* Specifies the type of lottery.

*Type:* CodeSet

CodeName	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

### 25.2.2.80 NamePrefix1Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MIST	Mister	Title of the person is Mister or Mr.
MISS	Miss	Title of the person is Miss.
MADM	Madam	Title of the person is Madam.

### 25.2.2.81 NamePrefix2Code

*Definition:* Specifies the terms used to formally address a person.

*Type:* CodeSet

CodeName	Name	Definition
DOCT	Doctor	Title of the person is Doctor or Dr.
MADM	Madam	Title of the person is Madam.
MISS	Miss	Title of the person is Miss.
MIST	Mister	Title of the person is Mister or Mr.
MIKS	GenderNeutral	Title of the person is gender neutral (Mx).

**25.2.2.82 NationalityCode**

*Definition:* Specifies the country where a person was born or is naturalised.

*Type:* CodeSet

**Constraints**

- **ValidationByTable**

Must be a valid nationality.

**25.2.2.83 NetDividendRateType1Code**

*Definition:* Specifies the type of net dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

**25.2.2.84 NetDividendRateType6Code**

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

CodeName	Name	Definition
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

### 25.2.2.85 NetDividendRateType7Code

*Definition:* Specifies the type of dividend rate.

*Type:* CodeSet

CodeName	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

CodeName	Name	Definition
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.

### 25.2.2.86 NewSecuritiesIssuanceType5Code

*Definition:* Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

*Type:* CodeSet

CodeName	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NEIS	NewIssue	Securities proceeds are newly issued.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

**25.2.2.87 NonEligibleProceedsIndicator2Code**

*Definition:* Specifies information regarding the non eligibility of the outturn resources.

*Type:* CodeSet

CodeName	Name	Definition
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ACLI	AccountLimitation	Participant's account limitation.
ONEL	OtherNonEligibility	Other source of non eligibility.
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).

**25.2.2.88 OfferType1Code**

*Definition:* Specifies the conditions that apply to the offer.

*Type:* CodeSet

CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniTender	Tender offer for a security that is priced below the current trading price of that instrument.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

**25.2.2.89 OfferType7Code**

*Definition:* Specifies the conditions that apply to the offer.

*Type:* CodeSet



CodeName	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.

### 25.2.2.90 OptionAvailabilityStatus1Code

*Definition:* Specifies the status of the option availability.

*Type:* CodeSet

CodeName	Name	Definition
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.

### 25.2.2.91 OptionFeatures15Code

*Definition:* Specifies the features that may apply to a corporate action option.

*Type:* CodeSet

CodeName	Name	Definition
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).

CodeName	Name	Definition
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.

### 25.2.2.92 OptionFeatures1Code

*Definition:* Specifies the feature of an option.

*Type:* CodeSet

CodeName	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

### 25.2.2.93 OptionStyle2Code

*Definition:* Defines how an option can be exercised.

*Type:* CodeSet

CodeName	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

### 25.2.2.94 PersonIdentificationType3Code

*Definition:* Specifies the type of person's identification.

*Type:* CodeSet

CodeName	Name	Definition
ARNU	AlienRegistrationNumber	Number assigned by a government agency to identify foreign nationals.
CCPT	PassportNumber	Number assigned by a passport authority to a passport.
EMID	EmployerIdentificationNumber	Number assigned to an employer by a registration authority.
DRLC	DriversLicenseNumber	Number assigned by a license authority to a driver's license.

CodeName	Name	Definition
FINN	ForeignInvestmentIdentityNumber	Number assigned to a foreign investor (other than the alien number).
TXID	TaxIdentificationNumber	Number assigned by a tax authority to an entity.

### 25.2.2.95 PreferredContactMethod1Code

*Definition:* Preferred method used to reach the individual contact within an organisation.

*Type:* CodeSet

CodeName	Name	Definition
LETT	Letter	Preferred method used to reach the contact is per letter.
MAIL	Email	Preferred method used to reach the contact is per email.
PHON	Phone	Preferred method used to reach the contact is per phone.
FAXX	Fax	Preferred method used to reach the contact is per fax.
CELL	MobileOrCellPhone	Preferred method used to reach the contact is per mobile or cell phone.

### 25.2.2.96 PriceCalculationMethod1Code

*Definition:* Standard code to specify the method applied for the calculation of the price.

*Type:* CodeSet

CodeName	Name	Definition
VWAP	VolumeWeightedAveragePrice	The price is based on a volume-weighted average price which is a ratio of the cumulative share price to the cumulative volume traded over a given time period.
NAVF	FinalAssetValue	Final asset value at the liquidation of the fund.
MIMP	MidMarketPrice	Price at a certain amount of time prior to the date it is announced.
NAVA	NetAssetValue	Net value of an investment fund's assets less its liabilities, divided by the number of shares outstanding.
AVCL	AverageClosingPrice	Price of the asset at close of a given day.

### 25.2.2.97 PriceRateType3Code

*Definition:* Specifies the type of price rate.

*Type:* CodeSet

CodeName	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
YIEL	Yield	Price expressed as a yield.

### 25.2.2.98 PriceValueType10Code

*Definition:* Specifies the value of a price.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

### 25.2.2.99 PriceValueType5Code

*Definition:* Specifies the value of a price.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.

### 25.2.2.100 PriceValueType6Code

*Definition:* Specifies the value of a price.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.
OPEN	OpenDated	Price has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.
TBSP	ToBeSpecified	Price to be specified by account owner.

### 25.2.2.101 PriceValueType8Code

*Definition:* Specifies a type of price value.

*Type:* CodeSet

CodeName	Name	Definition
TBSP	ToBeSpecified	Price to be specified by account owner.
UNSP	Unspecified	Price is not required to be specified by account owner.
UKWN	Unknown	Price is unknown by the sender or has not been established.
NILP	NilPayment	Price will not be paid.

### 25.2.2.102 ProcessedStatus2Code

*Definition:* Specifies the processing status of a cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.

### 25.2.2.103 ProcessedStatus3Code

*Definition:* Specifies the processing status of an advice, a request or a movement.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PEND	Pending	The processing of the request is pending.
PACK	Accepted	The request is accepted.

### 25.2.2.104 ProcessedStatus4Code

*Definition:* Specifies the processing status of the standing instruction cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
COMP	Completed	The request has been completed.
PEND	Pending	The processing of the request is pending.

### 25.2.2.105 ProcessedStatus5Code

*Definition:* Specifies the processing status of a request.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
PACK	Accepted	The request is accepted.

### 25.2.2.106 ProcessedStatus6Code

*Definition:* Specifies the processing status of a deactivation instruction.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
DEAC	WaitingDeactivationDate	Awaiting the deactivation date.
COMP	Completed	The request has been completed.

### 25.2.2.107 ProcessedStatus7Code

*Definition:* Processing status of the corporate action notification advice coming from the issuer agent.

*Type:* CodeSet

CodeName	Name	Definition
RECE	Received	The instruction/request has been received.
SENT	SentToMarket	The advice has been sent to the market.
SNAV	SystemNotAvailable	System is not available. Instruction is sent outside of business hours.
WARN	AcceptedWithWarning	The announcement has been accepted but another source has provided different information.
PACK	Accepted	The request is accepted.
PEND	Pending	The processing of the request is pending.

### 25.2.2.108 ProcessingPosition3Code

*Definition:* Specifies the processing position.

*Type:* CodeSet

CodeName	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

CodeName	Name	Definition
INFO	Information	Specifies that the transactions/ instructions are linked for information purposes only.

### 25.2.2.109 ProrationBelowMinimumQuantity2Code

*Definition:* Specifies the type of proration when below the minimum quantity to instruct.

*Type:* CodeSet

CodeName	Name	Definition
FULL	AcceptedInFull	Instructions will be accepted in full (with their original quantity of securities instructed).
MIEX	MinimumQuantity	Instructions will be accepted but prorated to the minimum quantity to instruct.
REJT	Rejected	Instructions will be rejected.
MILD	RoundDown	Instructions will be accepted but rounded down to the specified minimum multiple quantity to instruct.
MILU	RoundUp	Instructions will be accepted but rounded up to the specified minimum multiple quantity to instruct.
UKWN	Unknown	Processing of instructions prorated below the minimum quantity to instruct is unknown.

### 25.2.2.110 ProrationReturnQuantityTreatment1Code

*Definition:* Indicates how prorated instructions will be handled when the prorated entitlement results in less than the minimum denomination.

*Type:* CodeSet

CodeName	Name	Definition
ACPT	Accept	Prorated instructions which result in less than the minimum denominations will be accepted in full.
ADJT	Adjust	Prorated instructions which result in less than the minimum denominations will be adjusted up.
REJT	Reject	Prorated instructions which result in less than the minimum denominations will be rejected in full.

### 25.2.2.111 Quantity1Code

*Definition:* Specifies quantity of a financial instrument.

*Type:* CodeSet



CodeName	Name	Definition
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.

### 25.2.2.112 Quantity4Code

*Definition:* Specifies quantity of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.

### 25.2.2.113 Quantity5Code

*Definition:* Specifies quantity of a financial instrument.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

### 25.2.2.114 RateStatus1Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

### 25.2.2.115 RateType10Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.2.2.116 RateType12Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
OPEN	Open	Rate has not been established.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

### 25.2.2.117 RateType13Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
NILP	NilPayment	Rate will not be paid.

### 25.2.2.118 RateType14Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.

### 25.2.2.119 RateType5Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.2.2.120 RateType7Code

*Definition:* Specifies the type of rate.

*Type:* CodeSet

CodeName	Name	Definition
SCHD	Scheduled	Rate of the scheduled payment.
USCD	Unscheduled	Rate of the unscheduled payment.

### 25.2.2.121 RateValueType2Code

*Definition:* Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.
NILP	NilPayment	Rate will not be paid.

### 25.2.2.122 RateValueType6Code

*Definition:* Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.
OPEN	Open	Rate has not been established.

### 25.2.2.123 RateValueType7Code

*Definition:* Specifies the value of a rate.

Type: CodeSet

CodeName	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

### 25.2.2.124 RedemptionAnnouncementNoticeType1Code

*Definition:* Specifies whether the redemption event announcement refers to certificates held only at depository or outside of depository.

Type: CodeSet

CodeName	Name	Definition
DEPT	Depository	Event announcement will refer only to certificates held at the depository. Used for Redemption events only.
UNVL	Universal	Event announcement will refer to certificates held outside of depository.

### 25.2.2.125 ReinvestmentIncomeClassification2Code

*Definition:* Specifies the type of cash proceeds that the holder is eligible to reinvest.

Type: CodeSet

CodeName	Name	Definition
CAPG	CapitalGains	Cash proceeds being reinvested is classified as capital gains.
DVCA	CashDividend	Cash proceeds being reinvested is classified as cash dividend.

CodeName	Name	Definition
CDPS	CashDividendSpecial	Cash proceeds being reinvested is classified as special cash dividend.
INTR	Interest	Cash proceeds being reinvested is classified as interest.
LTCG	LongTermCapitalGains	Cash proceeds being reinvested is classified as long term capital gains.
PRPL	Principal	Cash proceeds being reinvested is classified as principal.
ROCA	ReturnOfCapital	Cash proceeds being reinvested is classified as return of capital.
STCG	ShortTermCapitalGains	Cash proceeds being reinvested is classified as short term capital gains.

### 25.2.2.126 RejectionReason10Code

*Definition:* Specifies the reason of a rejection of a standing instruction cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

### 25.2.2.127 RejectionReason12Code

*Definition:* Specifies the reason of a rejection of a deactivation instruction.

*Type:* CodeSet

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAME	SameCAIdentification	An Agent Corporate Action Deactivation Instruction with the same identification already exists.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
MAIN	NotMainAgent	Agent Identification specified does not correspond to the main Agent of the relating event.
OPTI	InvalidOption	Invalid combination of CA option code and CA option number.
PEDA	PendingDeactivation	The specified Corporate Action option already has a pending deactivation instruction.
NORO	NoRemainingOption	Specified Corporate Action has no remaining options to disable.

CodeName	Name	Definition
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
SAID	SameIdentification	Several message with the same identification.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.
NOAP	NotApplicable	This instruction type is not applicable for mandatory events.

### 25.2.2.128 RejectionReason13Code

*Definition:* Specifies the reason of a rejection of a movement.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
MICA	MismatchedCAIdentification	Issuer CA Identification does not correspond with the Corporate Action Identification supplied.

### 25.2.2.129 RejectionReason14Code

*Definition:* Specifies the reason of a rejection of a movement cancellation request.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
LATT	Late	Instruction/Request arrives too late.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.

CodeName	Name	Definition
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
AGIN	InvalidAgent	Invalid agent identification supplied.
INMO	InvalidMovementInformation	Details specified in the MOVEMENT GENERAL INFORMATION block are inconsistent with those that were specified in the relating original message.
SAID	SameIdentification	Several message with the same identification.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
MOSE	MovementAlreadySettled	One or more of the underlying movements have already settled.

### 25.2.2.130 RejectionReason15Code

*Definition:* Specifies the reason of a rejection of an information advice.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

### 25.2.2.131 RejectionReason18Code

*Definition:* Specifies the reason of a rejection of an election advice.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
INHO	InsufficientBalance	Insufficient balance.
LATT	Late	Instruction/Request arrives too late.

### 25.2.2.132 RejectionReason19Code

*Definition:* Specifies the reason of a rejection of a global movement authorisation request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

### 25.2.2.133 RejectionReason20Code

*Definition:* Specifies the reason of a rejection of the standing instruction request.

Type: CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
CASA	NotIdentifiedCashAccount	Unable to identify cash account.
CORR	NotIdentifiedCorrespondentBank	Unable to identify correspondent bank.
STAN	InvalidStandingInstructionType	Invalid standing instruction type for the specified underlying security.
NOHO	NoHolding	No holding for the specified underlying security.

### 25.2.2.134 RejectionReason7Code

*Definition:* Specifies the reason of a rejection cancellation request.

Type: CodeSet

CodeName	Name	Definition
DEAC	EventAlreadyDeactivated	Rejected as the deactivation of the event is already completed.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.
PDEA	NoPendingDeactivationInstruction	The cancellation request is rejected as there is no pending deactivation instruction.
INID	InvalidIdentification	Instruction contains an invalid message identification, identification is unknown.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
AGIN	InvalidAgent	Invalid agent identification supplied.
SAID	SameIdentification	Several message with the same identification.
DEAO	OptionAlreadyDeactivated	Rejected as the deactivation of the option is already completed.
INET	InvalidEventType	CA Event Type does not correspond with the relating Corporate Action.
INUS	InvalidUnderlyingSecurity	Underlying Security Identification does not correspond with the Corporate Action Reference supplied.

CodeName	Name	Definition
INPT	InvalidEventProcessingType	Event Processing Type does not correspond with the relating Corporate Action.
INMV	InvalidMandatoryVoluntaryEventType	Mandatory/Voluntary Event Type does not correspond with the relating Corporate Action.
INDE	InvalidCorporateActionInformation	Details specified in the Corporate Action General Information block are inconsistent with those that were specified in the relating original message.
INDT	InvalidDetails	Message details specified in the instruction/request are inconsistent with those that were specified in the relating original message.

### 25.2.2.135 RejectionReason81Code

*Definition:* Specifies the reason why the corporate action notification cancellation request has a rejected status.

*Type:* CodeSet

CodeName	Name	Definition
FAIL	FailedValidation	Validation of the advice/instruction/request failed.
REFI	InvalidCAIdentification	Invalid corporate action identification supplied.
DCAN	RejectedSinceAlreadyCancelled	Cancellation request was rejected since the instruction has already been cancelled.
DPRG	RejectedSinceInProgress	Cancellation request has been rejected because the instruction process is in progress or has been processed.

### 25.2.2.136 RejectionReason8Code

*Definition:* Specifies the reason of a rejection of an election amendment request.

*Type:* CodeSet

CodeName	Name	Definition
NAMD	AmendmentNotAllowed	Amendment is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

### 25.2.2.137 RejectionReason9Code

*Definition:* Specifies the reason of a rejection of an election cancellation request.

*Type:* CodeSet



CodeName	Name	Definition
NCAN	CancellationNotAllowed	Cancellation is not allowed.
LATT	Late	Instruction/Request arrives too late.
ELEC	ElectionAlreadyCancelled	The election advice is already cancelled.
FAIL	FailedValidation	The validation of the advice/instruction/request failed.

### 25.2.2.138 RenounceableStatus1Code

*Definition:* Specifies the renounceable status.

*Type:* CodeSet

CodeName	Name	Definition
NREN	NonRenounceable	Intermediate securities cannot be sold.
RENO	Renounceable	Intermediate securities can be sold.

### 25.2.2.139 SafekeepingPlace1Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

### 25.2.2.140 SafekeepingPlace2Code

*Definition:* Specifies the type of place of safekeeping.

*Type:* CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.

### 25.2.2.141 SafekeepingPlace3Code

*Definition:* Specifies the type of place of safekeeping.

Type: CodeSet

CodeName	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

### 25.2.2.142 SecuritiesBalanceType10Code

*Definition:* Specifies the type of securities balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.  Also known as the "escrow balance" or "sequestered balance".
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

### 25.2.2.143 SecuritiesBalanceType6Code

*Definition:* Specifies the type of securities balance.

Type: CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
REST	Restricted	Balance of cash that may only be used under certain conditions.  Balance type is used to block securities/ cash in the client account e.g., in relation to elections relating to certain corporate actions. The holding is under the direct control of the system paying agent, who is the only one who can transfer securities out of this balance type.

CodeName	Name	Definition
		Also known as the "escrow balance" or "sequestered balance".
TENT	TotalEntitled	Total quantity of financial instruments entitled to the account owner based on the terms of the corporate action event.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

#### 25.2.2.144 SecuritiesBalanceType9Code

*Definition:* Specifies the type of securities balance.

*Type:* CodeSet

CodeName	Name	Definition
AVLB	Available	Balance of money or securities that is at the disposal of the account owner on the date specified.
ELEC	Elected	Balance of instructed position.
UNEL	Unelected	Balance of uninstructed position.
RDIS	ReservedForDistribution	Balance of financial instruments that are reserved for the distribution of the proceeds.
RREM	ReservedForRemoval	Balance of securities which are reserved temporarily in the SPA account before they will effectively be exchanged.

#### 25.2.2.145 ShareRanking1Code

*Definition:* Specifies whether the shares are ranking for dividend or pari passu.

*Type:* CodeSet

CodeName	Name	Definition
DIVI	Dividend	Payable on the date specified.
PARI	PariPassu	Pari Passu. With equal rights as other shares of the company.

#### 25.2.2.146 StampDutyType1Code

*Definition:* Specifies if the stamp duty is applicable.

*Type:* CodeSet

CodeName	Name	Definition
SDRU	OutsideCSD	SDRT paid outside of CSD on corporate action.
SDRT	Paid	SDRT paid on another transaction.

CodeName	Name	Definition
SDRN	NotApplicable	Stamp duty not applicable.
SDRQ	Exemption	Exempt, for any other reason.

### 25.2.2.147 StandingInstructionGrossNet1Code

*Definition:* Specifies the type of standing instruction.

*Type:* CodeSet

CodeName	Name	Definition
GROS	Gross	Gross payment.
NETT	Net	Net payment.

### 25.2.2.148 StandingInstructionType1Code

*Definition:* Specifies the type of standing instruction.

*Type:* CodeSet

CodeName	Name	Definition
CASH	CashDistribution	Standing instruction for a cash distribution.
PAYM	GrossNet	Standing instruction for the payment modality, gross or net.
SECU	SecuritiesDistribution	Standing instruction for a securities distribution.

### 25.2.2.149 TaxableIncomePerShareCalculated2Code

*Definition:* Specifies whether the fund calculates a taxable interest per share (TIS).

*Type:* CodeSet

CodeName	Name	Definition
TSIY	FundCalculates	Fund is calculating the taxable interest per share with the NAV.
TSIN	FundDoesNotCalculate	Fund is not calculating the taxable interest per share with the NAV.
UKWN	FundCalculatesUnknown	Unknown whether the fund is calculating the taxable interest per share with the NAV.

### 25.2.2.150 TaxType3Code

*Definition:* Type of tax.

*Type:* CodeSet

CodeName	Name	Definition
LIDT	GermanLocalTax2	Local tax (ZAS pflichtige Zinsen) - interest liable for interest down payment tax (proportion of gross interest per unit/

CodeName	Name	Definition
		interim profits that is not covered by the credit in the interest pool).
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

### 25.2.2.151 UnicodeChartsCode

*Definition:* codelist containing the names of the UNICODE code block name as specified on <http://unicode.org/Public/UNIDATA/Blocks.txt>.

*Type:* CodeSet

#### Constraints

- ValidationByTable

### 25.2.2.152 WithholdingTaxRateType1Code

*Definition:* Specifies the type of withholding tax rate.

*Type:* CodeSet

CodeName	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

## 25.2.3 Date

### 25.2.3.1 ISODate

*Definition:* A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

*Type:* Date

## 25.2.4 DateTime

### 25.2.4.1 ISODateTime

*Definition:* A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* DateTime

## 25.2.5 IdentifierSet

### 25.2.5.1 AnyBICDec2014Identifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

#### Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

#### Constraints

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

### 25.2.5.2 AnyBICIdentifier

*Definition:* Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; AnyBICIdentifier

**Format**

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Constraints**

- **AnyBIC**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consists of eight (8) or eleven (11) contiguous characters.

**25.2.5.3 BBANIdentifier**

*Definition:* Basic Bank Account Number (BBAN). Identifier used nationally by financial institutions, ie, in individual countries, generally as part of a National Account Numbering Scheme(s), which uniquely identifies the account of a customer.

*Type:* IdentifierSet

*Identification scheme:* National Banking Association; Basic Bank Account Number

**Format**

pattern [a-zA-Z0-9]{1,30}

**25.2.5.4 BICFIDec2014Identifier**

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

**Format**

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

**Constraints**

- **BICFI**

Valid BICs for financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consist of eight (8) or eleven (11) contiguous characters.

**25.2.5.5 BICIdentifier**

*Definition:* Code allocated to a financial institution by the ISO 9362 Registration Authority as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

*Type:* IdentifierSet

*Identification scheme:* SWIFT; BICIdentifier

**Format**

pattern [A-Z]{6,6}[A-Z2-9][A-NP-Z0-9]([A-Z0-9]{3,3}){0,1}

**Constraints**

- **BIC**

Valid BICs for financial institutions are registered by the ISO 9362 Registration Authority in the BIC directory, and consist of eight (8) or eleven (11) contiguous characters.

**25.2.5.6 CFIOct2015Identifier**

*Definition:* Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; CFIIIdentifier

**Format**

pattern [A-Z]{6,6}

**25.2.5.7 DTI2024Identifier**

*Definition:* The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

*Type:* IdentifierSet

*Identification scheme:* Digital Token Identifier Foundation (DTIF)

**Format**

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

**25.2.5.8 IBANIdentifier**

*Definition:* An identifier used internationally by financial institutions to uniquely identify the account of a customer at a financial institution, as described in the latest edition of the international standard ISO 13616. "Banking and related financial services - International Bank Account Number (IBAN)".

*Type:* IdentifierSet

*Identification scheme:* National Banking Association; International Bank Account Number (ISO 13616)

**Format**

pattern [a-zA-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}



**Constraints**

- **IBAN**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

**25.2.5.9 ISINIdentifier**

*Definition:* International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

**Format**

pattern [A-Z0-9]{12,12}

**25.2.5.10 ISINOct2015Identifier**

*Definition:* The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

*Type:* IdentifierSet

*Identification scheme:* ANNA; ISINIdentifier

**Format**

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

**25.2.5.11 LEIIdentifier**

*Definition:* Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

*Type:* IdentifierSet

*Identification scheme:* Global LEI System; LEIIdentifier

**Format**

pattern [A-Z0-9]{18,18}[0-9]{2,2}

**25.2.5.12 MICIdentifier**

*Definition:* Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Identification scheme: SWIFT; MICIdentifier

Format

pattern	[A-Z0-9]{4,4}
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25.2.5.13 UPICIdentifier

Definition: Universal Payment Identification Code (UPIC). Identifier used by the New York Clearing House to mask confidential data, such as bank accounts and bank routing numbers. UPIC numbers remain with business customers, regardless of banking relationship changes.

Type: IdentifierSet

Identification scheme: The Clearing House (formerly The New York Clearing House); Universal Payment Identification Code

Format

pattern	[0-9]{8,17}
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25.2.6 Indicator

25.2.6.1 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

25.2.7 Quantity

25.2.7.1 DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6.

Type: Quantity

Format

totalDigits	18
fractionDigits	17

25.2.7.2 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

**Format**

totalDigits	30
fractionDigits	29

**25.2.7.3 Max3Number**

*Definition:* Number (max 999) of objects represented as an integer.

*Type:* Quantity

**Format**

totalDigits	3
fractionDigits	0

**Constraints**

- **NumberRule**

If Number is negative, then Sign must be present.

**25.2.7.4 Number**

*Definition:* Number of objects represented as an integer.

*Type:* Quantity

**Format**

totalDigits	18
fractionDigits	0

**25.2.8 Rate****25.2.8.1 BaseOne14Rate**

*Definition:* Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

*Type:* Rate

**Format**

totalDigits	14
fractionDigits	13
baseValue	1.0

**25.2.8.2 BaseOneRate**

*Definition:* Rate expressed as a decimal, for example, 0.7 is 7/10 and 70%.

*Type:* Rate

**Format**

totalDigits	11
fractionDigits	10
baseValue	1.0

**25.2.8.3 Percentage14Rate**

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

*Type:* Rate

**Format**

totalDigits	14
fractionDigits	13
baseValue	100.0

**25.2.8.4 PercentageRate**

*Definition:* Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7%.

*Type:* Rate

**Format**

totalDigits	11
fractionDigits	10
baseValue	100.0

**25.2.9 Text****25.2.9.1 Exact3NumericText**

*Definition:* Specifies a numeric string with an exact length of 3 digits.

*Type:* Text

**Format**

pattern	[0-9]{3}
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**25.2.9.2 Exact3UpperCaseAlphaNumericText**

*Definition:* Specifies an upper case alphanumeric string with a length of three characters.

*Type:* Text

**Format**

pattern	[A-Z0-9]{3}
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### 25.2.9.3 Exact4AlphaNumericText

*Definition:* Specifies an alphanumeric string with a length of 4 characters.

*Type:* Text

#### Format

pattern	[a-zA-Z0-9]{4}
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### 25.2.9.4 Max128Text

*Definition:* Specifies a character string with a maximum length of 128 characters.

*Type:* Text

#### Format

minLength	1
maxLength	128

### 25.2.9.5 Max140Text

*Definition:* Specifies a character string with a maximum length of 140 characters.

*Type:* Text

#### Format

minLength	1
maxLength	140

### 25.2.9.6 Max15AlphaNumericText

*Definition:* Specifies an alphanumeric string with a maximum length of 15 characters.

*Type:* Text

#### Format

minLength	1
maxLength	15
pattern	[a-zA-Z0-9]{1,15}

### 25.2.9.7 Max16Text

*Definition:* Specifies a character string with a maximum length of 16 characters.

*Type:* Text

#### Format

minLength	1
maxLength	16

### 25.2.9.8 Max2048Text

*Definition:* Specifies a character string with a maximum length of 2048 characters.

*Type:* Text

#### Format

minLength	1
maxLength	2048

### 25.2.9.9 Max256Text

*Definition:* Specifies a character string with a maximum length of 256 characters.

*Type:* Text

#### Format

minLength	1
maxLength	256

### 25.2.9.10 Max2NumericText

*Definition:* Specifies a numeric string with a maximum length of 2 digits.

*Type:* Text

#### Format

pattern	[0-9]{1,2}
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### 25.2.9.11 Max350Text

*Definition:* Specifies a character string with a maximum length of 350 characters.

*Type:* Text

#### Format

minLength	1
maxLength	350

### 25.2.9.12 Max35Text

*Definition:* Specifies a character string with a maximum length of 35 characters.

*Type:* Text

#### Format

minLength	1
maxLength	35

**25.2.9.13 Max3NumericText**

*Definition:* Specifies a numeric string with a maximum length of 3 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,3}
---------	------------

**25.2.9.14 Max4AlphaNumericText**

*Definition:* Specifies an alphanumeric string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4
pattern	[a-zA-Z0-9]{1,4}

**25.2.9.15 Max4Text**

*Definition:* Specifies a character string with a maximum length of 4 characters.

*Type:* Text

**Format**

minLength	1
maxLength	4

**25.2.9.16 Max5NumericText**

*Definition:* Specifies a numeric string with a maximum length of 5 digits.

*Type:* Text

**Format**

pattern	[0-9]{1,5}
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**25.2.9.17 Max70Text**

*Definition:* Specifies a character string with a maximum length of 70characters.

*Type:* Text

**Format**

minLength	1
maxLength	70

### 25.2.9.18 PhoneNumber

*Definition:* The collection of information which identifies a specific phone or FAX number as defined by telecom services.

It consists of a "+" followed by the country code (from 1 to 3 characters) then a "-" and finally, any combination of numbers, "(", ")", "+", and "-" (up to 30 characters).

*Type:* Text

#### Format

pattern \+[0-9]{1,3}-[0-9()+\-]{1,30}

## 25.2.10 Time

### 25.2.10.1 ISOTime

*Definition:* A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

*Type:* Time